

# Inland navigation and land use interact to impact European freshwater biodiversity

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## 1 Title:

2 Inland navigation and land use interact to impact European freshwater biodiversity

#### 3

## 4 Author list:

- 5 Aaron N. Sexton<sup>1</sup>, Jean-Nicolas Beisel<sup>2</sup>, Cybill Staentzel<sup>2</sup>, Christian Wolter<sup>3</sup>, Evelyne Tales<sup>4</sup>,
- 6 Jérôme Belliard<sup>4</sup>, Anthonie D.Buijse<sup>5,6</sup>, Vanesa Martínez Fernández<sup>7</sup>, Karl M. Wantzen<sup>8</sup>, Sonja
- 7 C. Jähnig<sup>9,10</sup>, Carlos Garcia de Leaniz<sup>11</sup>, Astrid Schmidt-Kloiber<sup>12</sup>, Peter Haase<sup>13,14</sup>, Marie Anne
- 8 Eurie Forio<sup>15</sup>, Gait Archambaud<sup>16</sup>, Jean-François Fruget<sup>17</sup>, Alain Dohet<sup>18</sup>, Vesela Evtimova<sup>19</sup>,
- 9 Zoltán Csabai<sup>20, 21</sup>, Mathieu Floury<sup>4</sup>, Peter Goethals<sup>15</sup>, Várbiró Gábor<sup>22</sup>, Miguel Cañedo-
- 10 Argüelles<sup>23</sup>, Aitor Larrañaga<sup>24</sup>, Anthony Maire<sup>25</sup>, Ralf B. Schäfer<sup>13, 26</sup>, James S. Sinclair<sup>13</sup>, Rudy
- 11 Vannevel<sup>15</sup>, Ellen Welti<sup>27</sup> & Alienor Jeliazkov<sup>1, 4</sup>
- 12

## 13 Affiliations:

14	1.	Fondation pour la Recherche sur la Biodiversité (FRB), Centre de Synthèse et d'Analyse
15		sur la Biodiversité (CESAB), Montpellier France.

- Université de Strasbourg, ENGEES, CNRS, LIVE UMR 7362, F-67000 Strasbourg,
   France.
- 183. Department of Fish Biology, Fisheries and Aquaculture, Leibniz Institute of Freshwater
- 19 Ecology and Inland Fisheries, Müggelseedamm 310, 12587 Berlin, Germany.
- 20 4. University of Paris-Saclay, INRAE, HYCAR, Antony, France.
- 5. Deltares, Department of Freshwater Ecology and Water Quality, Delft, the Netherlands.

22	6.	Wageningen	University	& Research,	Aquaculture	and Fi	sheries g	roup,	Wageningen,	the
23		Netherlands.								

- Departamento de Sistemas y Recursos Naturales, E.T.S. Ingeniería de Montes, Forestal y
   del Medio Natural, Universidad Politécnica de Madrid.
- 26 8. UNESCO Chair "Fleuves et Patrimoine", CNRS UMRS CITERES, Tours University,
- 27 37000 Tours, and CNRS UMR LIVE, Strasbourg University, France.
- 9. Department of Community and Ecosystem Ecology Leibniz Institute of Freshwater
  Ecology and Inland Fisheries, Berlin, Germany.
- 30 10. Geography Department Humboldt-Universität zu Berlin, Berlin, Germany.
- 11. Centre for Sustainable Aquatic Research (CSAR), Department of Biosciences, Swansea
   University, Swansea, UK.
- 33 12. University of Natural Resources and Life Sciences, BOKU Vienna, Institute of
- Hydrobiology and Aquatic Ecosystem Management, Gregor Mendel Strasse 33, 1180
  Vienna, Austria.
- 13. Department of River Ecology and Conservation, Senckenberg Research Institute and
- 37 Natural History Museum Frankfurt, Clamecystraße 12, 63571, Gelnhausen, Germany.
- 38 14. Faculty of Biology, University of Duisburg, Essen, Germany.
- 15. Department of Animal Sciences and Aquatic Ecology, Ghent University, Coupure Links
  653, 9000 Ghent, Belgium.
- 41 16. INRAE, Aix Marseille Univ, RECOVER, Aix-en-Provence, France
- 42 17. ARALEP Ecologie des Eaux Douces, Villeurbanne 69603 France.
- 43 18. Environmental Research and Innovation (ERIN) Luxembourg Institute of Science and
- 44 Technology (LIST), Belvaux, Luxembourg.

45	19. Institute of Biodiversity and Ecosystem Research, Bulgarian Academy of Sciences, 1
46	Tsar Osvoboditel Blvd., Sofia 1000, Bulgaria.
47	20. University of Pécs, Department of Hydrobiology, 6 Ifjúság útja, H7624 Pécs, Hungary.
48	21. Balaton Limnological Research Institute, 3 Klebelsberg Kuno, H8237, Tihany, Hungary.
49	22. Department of Tisza Research, Institute of Aquatic Ecology, Centre for Ecological
50	Research, Debrecen, Hungary.
51	23. FEHM Lab, Institute of Environmental Assessment and Water Research (IDAEA-CSIC),
52	Jordi Girona, 18-26, 08034 Barcelona, Spain.
53	24. Department of Plant Biology and Ecology, University of the Basque Country, Leioa
54	48940 Spain.
55	25. EDF R&D, LNHE (Laboratoire National d'Hydraulique et Environnement), Chatou,
56	France.
57	26. Institute for Environmental Sciences, RPTU Kaiserslautern-Landau, Fortstrasse 7, 76829
58	Landau, Germany.
59	27. Conservation Ecology Center, Smithsonian's National Zoo and Conservation Biology
60	Institute, Front Royal, VA, USA.
61	Contact Information for corresponding author:
62	Aaron Sexton
63	Fondation sur la Recherché pour la Biodiversité
64	Montpellier, France, 34000
65	e-mail: aaron.sexton@fondationbiodiversite.fr
66	ORCID ID: 0000-0002-8926-3872

#### 67 Abstract

Inland navigation in Europe is proposed to increase in the coming years, being promoted as a low-68 carbon form of transport. However, we currently lack knowledge on how this would impact 69 biodiversity at large scales and interact with existing stressors. We addressed this knowledge gap 70 71 by analyzing fish and macroinvertebrate community time series across large European rivers 72 comprising 19,592 observations from 4,049 sampling sites spanning the last 32 years. We found ship traffic to be associated with biodiversity declines, i.e. loss of fish and macroinvertebrate 73 taxonomic richness, diversity, and trait richness. Ship traffic was also associated with increases in 74 75 taxonomic evenness, which, in concert with richness decreases, was attributed to losses in rare 76 taxa. Ship traffic was especially harmful for benthic taxa and those preferring slow flows. These effects often depended on local land use and riparian degradation. In fish, negative impacts of 77 78 shipping were highest in urban and agricultural landscapes. Regarding navigation infrastructure, the negative impact of channelization on macroinvertebrates was only evident when riparian 79 degradation was also high. Our results demonstrate the risk of increasing inland navigation on 80 81 freshwater biodiversity. Integrative waterway management accounting for riparian habitats and landscape characteristics could help to mitigate these impacts. 82

## 83 Main Text

#### 84 Introduction

85 Freshwater ecosystems are among the most diverse in the world, but freshwater biodiversity is declining at a much faster pace than both terrestrial and marine biodiversity (Reid et al. 2019). 86 Populations of freshwater vertebrate species have declined globally by over 80% since 1970 87 (WWF 2018). In Europe and North America, freshwater fish extinction rates are over 100 times 88 higher than natural extinction rates (Dias et al. 2017). Of European freshwater mussel species, 89 65% are listed as either Threatened or Near Threatened by the International Union for the 90 Conservation of Nature (IUCN) Red List of species (www.iucnredlist.org). Efforts are being made 91 to halt these declines as freshwater ecosystems harbor vital ecological, economic, and cultural 92 values (Tickner et al. 2020). This requires identifying the relevant stressors on freshwater 93 biodiversity, and how these stressors interact with each other. Habitat loss, climate change and 94 land use intensification rank among the most common freshwater stressors, alongside poor water 95 quality and the introduction of aquatic invasive species (Dudgeon et al. 2006; Reid et al. 2019). 96

Within this context, the prevalence and rise of inland navigation has received little attention in 97 spite of its potential to affect freshwater biodiversity. Commercial shipping on rivers and lakes has 98 99 been practiced for centuries but is proposed to increase by 50% in Europe by 2050 as it is being touted as carbon-friendly mode of transport (INE 2020). Accordingly, the European Parliament 100 has adopted the resolution in 2021 towards future-proofing inland waterway transport in Europe 101 (2021/2015[INI]). Parliament has also given its approval for the revision of the Trans-European 102 Network for Transport (TEN-T), focusing on ensuring a good navigation status for the core 103 network, and paved the way for funding managed by the European Climate Infrastructure and 104 Environment Executive Agency (CINEA). This potential rise in shipping would not only mean 105

106 more ship traffic, but would also require the modification of navigation infrastructures (e.g., heavier embankments, larger locks and ports, additional waterways) that will impact freshwater 107 ecosystems in ways that are difficult to predict (He et al. 2021; Belletti et al. 2020). Inland 108 109 navigation and its infrastructures have largely been investigated as being vectors for the spread of invasive species, (Leuven et al. 2009; Hanafiah et al. 2013; Nunes et al. 2015) and not nearly as 110 much for their direct impact on facets of native biodiversity such as richness, evenness and 111 112 functional trait responses, as has been done in the case of global change stressors such as climate change and land use (Dudgeon et al. 2006).. 113

114 Additionally, with few exceptions (Zajicek et al. 2018; Zajicek and Wolter 2019), most research 115 on inland navigation has been conducted at local spatial scales, examining the effects of a specific lock, river or catchment (e.g., Bergman et al. 2022; Xiong et al. 2021; Jackson and Grey 2013; 116 117 Huckstorf et al. 2011). These studies have shown that inland navigation constitutes a significant pressure on freshwater biodiversity. Specifically, these studies have shown ship traffic to decrease 118 fish richness, abundances, and reproduction, especially that of limnophilic fish (those with 119 120 preference to slow flowing waters) (Xiong et al. 2021; Huckstorf et al. 2011; Wolter 2001), and modifications of river systems to accommodate for inland navigation to reduce fisheries and other 121 riverine ecosystem services (Zhang et al. 2018). Declines in biodiversity associated with 122 navigation are often attributed to the destruction of natural habitats, construction of artificial 123 embankments, aquatic and acoustic pollution, and ship waves that further degrade habitats 124 125 (Huckstorf et al. 2011; Wolter 2001).

Given that most studies have addressed different navigation-related effects, taxa, and local contexts, (e.g. focused on YOY-fish (Wolter 2001), or on the Rhine river (Leuven et al. 2009) or Yangtze river (Xiong et al. 2021), or on one canal (Vergeynst et al. 2021)) drawing generalizations

on the overall effect of inland navigation at a large scale is fraught with uncertainty. General 129 130 conclusions are further challenged by potential context-dependency of navigation-biodiversity relationships. For example, the landscape (e.g. urban vs. agricultural areas) and habitat conditions 131 (channelized rivers, disconnected from floodplains) are both known to be important determinants 132 of freshwater communities, for example decreased habitat connectedness or increases in intense 133 land use leading to reductions in diversity, endangered species, and shifts in community 134 composition (Brink et al. 1996; Décamps 2011; Manfrin et al. 2020). Therefore, analyses of fine-135 scale observations across multiple land use contexts and habitat conditions are required to assess 136 whether the impact of inland navigation is consistent across catchments, and if this impact interacts 137 with other stressors. 138

To better understand how inland navigation impacts freshwater biodiversity at a continental scale, 139 and how the impact is moderated by landscape and habitat conditions, we compiled several local 140 biodiversity datasets across Europe and modelled their relationships with navigation pressures. 141 Specifically, we tested the relationship between navigation stressors (ship traffic, density of ports 142 and locks, and degree of river channelization) and the taxonomic and functional diversity, i.e. 143 taxonomic and functional richness, Simpson's Reciprocal diversity, functional dispersion, and 144 145 taxonomic and functional evenness of freshwater fish and macroinvertebrate communities, as well as the prevalence of invasive taxa. We hypothesized consistently negative effects of ship traffic on 146 taxonomic and functional richness and diversity. Additionally, in ports, especially where ships 147 dock closely, invasive species will be most prevalent, as observed in marine and estuarine systems 148 (Keller et al. 2011). For example, in Canadian marine ports, invasive marine macroinvertebrates 149 are found in ballast tanks, while Finnish ports commonly document invasive species with high 150 eutrophic tolerance in ship ballast waters (Briski et al. 2011; Paavola et al. 2008). However, these 151

studies and previous reviews have focused mainly on marine invasive species and maritime ports, and similar investigations in freshwater systems are lacking. In addition, we evaluated how land use and riparian degradation would interact with the effects of navigation. We hypothesized that navigation's negative impact would be lower in highly degraded landscapes, because of correlated tolerances of organisms towards landscape-related and ship traffic-related stress. Thus, although co-occurring stressors might increase the overall level of impact, this impact would be lower than if the tolerances to the stressors were either un- or negatively correlated (Vinebrook et al. 2004).

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#### 160 **Results**

Our biodiversity database consisted of 19,592 samples (13,335 fish and 6,257 macroinvertebrate communities) from 4,049 sampling sites (2,381 fish [Fig.1a] and 1,668 macroinvertebrates [Fig.1b]) across Europe from 1990 to 2022. We obtained ship traffic in all large European rivers, which ranged from no recorded vessel to more than one million vessels per month, and the location of 1,215 locks and 433 ports used for inland navigation, and we calculated rates of river channelization at the landscape scale (for further details, see Methods).



**Fig. 1 – Fish and macroinvertebrate communities across Europe**. Locations of all biodiversity data used in our analyses (colored dots). Panel a) Fish (n = 2,381). Panel b) Macroinvertebrates (n = 1,668). Large rivers and navigated canals are shown in blue lines.

172

## 173 Navigation Impact

174 Ship traffic was strongly associated with decreases in taxonomic richness and Simpson's 175 Reciprocal diversity, and functional richness of both fish and macroinvertebrates (Fig.2, p-values, 176 R<sup>2</sup>, model estimates and standard errors for all models are provided in Supplemental Table 1). 177 Additionally, for both fish and macroinvertebrates, ship traffic was positively associated with the 178 prevalence of invasive species and taxonomic evenness (Fig.2, Supplemental Table 1). For all 179 models other than invasive species, functional diversity and evenness, ship traffic was the term in the model with the largest effect size (i.e. the effect causing the greatest change in the responsemetric), indicating strong impacts throughout (Fig. 2).

#### 182

183

	Taxol Rich	nomic ness	Fund Rich	<ul> <li>Image: A state of the state of</li></ul>	Taxo Dive	nomic ersity	Fund Dive	tional ersity	Taxor Ever	nomic ness	Fund Even	tional nness	Inv Abur	asive asive
Ships	-0.125	-0.238	-0.036	-0.037	-0.18	-0.396			0.025	0.027			0.048	0.097
Ports	0.01	0.025	0.005	0.009	0.06									
Locks	0.025				0.043		0.006	-0.011	-0.012				-0.051	
Channelization		0.032	0.005					0.014			0.007		-0.145	0.158
Riparian Degradation			-0.007				0.01		-0.008				-0.05	
Agriculture	-0.011		-0.004		0.047		-0.007					0.011	0.06	0.098
Urban	-0.05	-0.047	-0.016	-0.01	-0.125			-0.009		0.021		0.01	0.054	0.144
Ships:Riparian	0.025	0.018	-0.004				-0.004		-0.012	-0.012	-0.006		-0.103	
Ships:Agriculture	-0.067		-0.014		-0.123	-0.167	0.004	-0.014	0.013	-0.015	0.007			
Ships:Urban	-0.047	0.067	-0.015	0.007	-0.051				0.013	-0.013	0.005		0.078	
Channelization:Riparian		-0.036			-0.046	-0.19								0.185
Channelization:Agriculture	-0.01								0.007	-0.007		0.02	-0.144	0.163
Channelization:Urban	0.011		0.01		0.065		0.004	-0.015						

Fig. 2 - Inland navigation and other stressor effects on freshwater fish and 184 185 macroinvertebrate biodiversity. Model estimates from the GLMMs. Each column represents a separate model, with the response metric and taxonomic group as the column header, with predictors of 186 187 the model as rows. Red cells indicate a negative effect and blue cells represent a positive effect, with color 188 intensity representing the magnitude of effect. Non-significant effects are blank cells (p values and standard errors reported in Supplemental Table 1). Ships refers to ship traffic, Ports and Locks represent the density 189 of each, Channelization represents the portion of the river stretch that has been channelized, Agriculture 190 191 and Urban represent the respective portion of land use at each site, and Riparian Degradation represents 192 the portion of the riparian habitat that has been lost from its potential extent. All predictors were 193 standardized to an average value of 0 and SD of  $\pm 1$ , allowing one to compare predictors.

The negative effects of ship traffic on fish communities had important context dependencies regarding urban and agricultural land use. Ship traffic's negative association with fish richness and Simpson's Reciprocal diversity and the positive association with evenness was magnified in areas of high agricultural and urban land use with relatively lower effects in areas of low agricultural and urban cover (Fig.3a, b and e, Supplemental Table 1). Additionally, the positive association between ship traffic and invasive species was magnified in highly urbanized areas (Fig.2, Extended Data Fig. 1, Supplemental Table 1).

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traffic on the biodiversity metric. The marginal effect is the additional effect for a given absolute 209 effect of the other variables, here in particular land use. Negative values indicate ship traffic 210 decreases the metric (e.g. decrease in macroinvertebrate taxonomic richness at low levels of 211 212 urbanization), zero on the y-axis represents a null effect, and positive values show an increase. The dotted lines represent the standard error of the marginal value. Plots e and f visualize these 213 changes in the marginal effect of ship traffic at specific levels of urbanization (high, medium and 214 low levels of urban land use – medium representing the average level of urbanization, and high 215 and low representing the max and min values) by showing the predicted values of taxonomic 216 217 richness as ship traffic increases in across different land use scenarios. The top and bottom graphs show the effects on fish and macroinvertebrate communities, respectively. 218

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For macroinvertebrates, urban land use modulated the effect of ship traffic (Fig.3c, d and f). Ship traffic's negative effect on richness was strongly negative in areas of low urban land use, but minimal in areas of high urban land use (Fig.3c and f, Supplemental Table 1). Shipping's positive effect on taxonomic evenness was only observed in areas of low to moderate urban cover, and was also only negative in areas of high riparian degradation (Fig.3d, Supplemental Table 1).

The effects of channelization were also context-dependent. For macroinvertebrates, taxonomic and functional diversity, and functional richness decreased in areas of high channelization, but only when riparian degradation was also high (Fig.2, Extended Data Fig.2, Supplemental Table 1). Additionally, when riparian degradation was high, channelization was associated with significant increases in invasive species prevalence (Fig.2, Extended Data Fig.2, Supplemental Table 1). In areas of low to moderate riparian degradation, channelization had no effect on the studied metrics. The density of locks was weakly associated with increases in fish taxonomic richness and functional diversity, and a decrease in invasive prevalence, and with slight decreases in macroinvertebrates functional diversity (Fig.2, Supplemental Table 1). Port density was associated with slight increases in taxonomic and functional richness for both fish and macroinvertebrates (Fig.2, Supplemental Table 1).

#### 236 Traits involved in navigation-biodiversity relationships

To better identify the guilds of species responding to navigation, we analyzed the relationships between traits and navigation pressures via an RLQ-Fourth Corner analysis, which quantifies the covariance between species traits and environmental variables, mediated by the species abundances at sites (Dray et al. 2014). We found strong significant relations between the environmental variables and species traits in both fish and macroinvertebrates.

In fish, we found increased ship traffic positively associated with traits such as pelagic environment, planktivorous feeding, and diadromous migration, generalist flow preferences (eurytopic) and negatively with stagnant water preference (limnophilic) (Fig.4, Supplemental Table 2). Limnophilic species were more likely to occur in areas around locks. Additionally, we found the presence of ports positively associated with planktivorous and detritivorous feeding traits, and with psammohilic and lithophilic reproduction (gravel spawning) (Fig.4, Supplemental Table 2).



**Fig. 4 – Fish trait responses to navigation.** Ordinations from an RLQ-Fourth corner analysis

253 that represents the relationships between fish traits (colored text) and navigation pressures (bold

- 254 green boxes). Both ordination axes (traits and navigation pressures) are significantly linked (p =
- 255 0.002). Both traits and navigation pressures with highly positive x-axis values (e.g. Pelagic habitat
- and Ships) are strongly associated, and the same for y-axis relationships.

257



Fig. 5 – Macroinvertebrate trait responses to navigation. Ordinations from an RLQ-Fourth corner analysis which represents the relationships between macroinvertebrate traits (colored text) and navigation pressures (bold green boxes). The axes of the two ordinations (traits and navigation pressures) are significantly linked (p = 0.002). Traits with highly positive y-axis values (e.g. Burrower locomotion) are strongly associated with navigation pressures with highly positive y-axis values (e.g. Locks & Ports), and the same for x-axis relationships.

For macroinvertebrates, we found increased ship traffic negatively associated with interstitial/crawling species and passive aquatic dispersers, and positively with fliers, aquatic active dispersers and locomotive swimmers. Increases in navigation infrastructures such as ports and locks were associated with increases in active aerial dispersal, free eggs, and those inhabiting gravel, sandy, and silty substrates. (Fig.5, Supplemental Table 2).

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To identify how land use and riparian degradation mediated navigations effect on traits, we ran 273 additional RLQ-Fourth Corner analyses using subsets of sites with the highest degree of 274 anthropogenic land cover and riparian degradation (top 25% of sites with each land use class). In 275 fish, degradation did not change the effect of navigation on traits (Extended Data Fig.2, 276 277 Supplemental Table 3). In macroinvertebrates, trait responses also did not change at agricultural sites, but in highly urbanized sites the association of ship traffic with burrowing species and those 278 laying clutches in terrestrial sites turned from positive (full dataset) to strongly negative (Extended 279 Data Fig.2, Supplemental Table 3). 280

281

## 282 Discussion

Our results suggest that inland navigation has strongly negative impacts on freshwater biodiversity 283 at the European scale. In particular, we found negative responses (decreases in richness and 284 diversity) of both fish and macroinvertebrates to ship traffic, at both taxonomical and 285 trait/functional levels, and increases in the prevalence of invasive species. This confirms previous 286 studies at local scales reporting negative impacts of navigation on freshwater fish and 287 macroinvertebrate communities. This study goes beyond existing work in showing that inland 288 navigation affects the whole 35,000 km European inland waterway network and threatens 289 biodiversity of multiple taxa, building on previous work that focused on only sections of a 290 particular river at a time. 291

292 *Ship traffic* 

293 The effects on biodiversity are reflected in trait shifts in both fish and macroinvertebrate communities. Ship traffic had the strongest negative effects on benthic and limnophilic taxa and 294 on fish species that suspend their eggs in the flow. Ship traffic was positively associated with 295 pelagic and eurytopic taxa and those fixing their eggs in clutches to substrates. Together these trait 296 shifts reflect selection pressures by vessel-induced waves and return currents against specialist and 297 298 towards generalist life history traits (Zajicek and Wolter 2019; Gabel et al. 2017). Ship waves and re-suspend sediment both degrading littoral habitats for fish and erode banks 299 macroinvertebrates (Gabel et al. 2011). Additionally, commonly used heavy embankments to 300 301 prevent bank erosion considerably impact littoral habitats in all navigated rivers, and have been shown to reduce fish diversity (Wolter, 2001). Reducing ship speed and creating shallow habitats 302 that are protected from ship waves could mitigate some of these wave-induced negative impacts. 303 Additionally, many waterways require dredging of the river bottom to maintain navigable fairway 304 depth (Grygoruk et al. 2015), which has disproportionally negative impacts on benthic habitats. 305 306 These known effects of ship traffic and fairway maintenance can explain negative association with benthic species. 307

The community shifts described above are confirmed by the positive association between ship 308 traffic and taxonomic evenness in both fish and macroinvertebrates. Decreasing species richness, 309 the loss of rare taxa and small populations, resulted in ship traffic induced increases in evenness. 310 Correspondingly, in a follow-up analysis we found strong correlations between taxonomic 311 evenness and the abundance and occurrence of rare taxa for both fish and macroinvertebrates, 312 (Supplemental File 2, Extended Data Fig.3) supporting the hypothesis that ship traffic drives losses 313 in populations of rare species. Shipping favors the most tolerant, generalist and 314 abundant/ubiquitous taxa and eliminates rare species, resulting in a more even community. For 315

example, eurytopic fish tolerating a wide variety of flow rates were positively associated with ship 316 traffic, while negatively so with flow specialists (both limnophilic and rheophilic taxa). This is a 317 concern as it may allow invasive goby fish species, such as Proterorhinus semilunaris, or 318 319 *Neogobius fluviatilis* that are generalists in their flow preferences, to proliferate. Additionally, this might have consequences at the ecosystem level given the role that specialist species play in 320 ecological function and stability (Hooper et al. 2005). For example, Cao et al. (2018) documented 321 322 significant losses of benthic macroinvertebrate species, especially among sensitive taxa, as a result of habitat degradation in streams leading to losses in ecosystem functionality, especially nutrient 323 cycling. 324

## 325 Interaction with land use

Degraded landscapes magnified negative impacts of ship traffic on fish communities, with higher 326 decreases in richness and diversity in urban and agricultural areas. These interactions demonstrate 327 that land use can modify the effects of shipping and create environments where only few taxa will 328 persist. For example, in agricultural systems, in areas with little to no riparian buffer, the dual 329 stressors lead to fish and macroinvertebrate communities dominated by pollution tolerant taxa 330 (Effert-Fanta et al. 2019). Research at large scales has shown that multiple stressors like pollution, 331 hydromorphological modifications, land use, and water use can synergistically interact to create 332 stronger effects in combination on freshwater ecosystem services (Elmhagen et al 2015; Wen et 333 al. 2017). Several freshwater fish species living in large rivers might tolerate some level of 334 degradation, agricultural or urban pollution and habitat modifications, but still decline or disappear 335 when another stressor such as ship traffic is added. 336

For macroinvertebrates, the impact of ship traffic more closely followed our original hypothesis
that navigation's impact would be lessened in degraded areas – especially in urban areas. The

impact of ship traffic on species richness was minimal in highly urbanized areas as depicted in 339 Figure 3c (i.e. marginal effect of ship traffic close to zero when urban cover is high). However, 340 the effects of ship traffic on species richness were strongly negative in areas with moderate to low 341 342 levels of urbanization. This suggests that the taxa exhibited a positive co-tolerance to urbanization and ship traffic, where the same sensitive taxa are independently extirpated by each stressor. For 343 example, a weak swimming species that is sensitive to low dissolved oxygen levels is extirpated 344 345 by both stressors related to urban land use and navigation, e.g. in an urban environment, either by urban runoff or vessel-induced wake wash. Several studies have documented what is described as 346 the "urban stream syndrome" whereby urban freshwater ecosystems have similar hydrology, 347 ecology and pollution (Booth et al. 2004; Halstead et al. 2014, Wantzen et al. 2019). These urban 348 streams are often characterized by increases in pH and heavy metals as well as temperature and 349 suspended solids, which is typically accompanied by decreases in dissolved oxygen (Winter and 350 Duthie 2013; Martins et al. 2017). This combination has been shown to produce negative effects 351 on macroinvertebrate communities (Gal et al. 2019). Similarly, ship traffic has been reported to 352 increase suspended sediment, release heavy metals and other toxins (Fetters et al. 2016; Prygiel et 353 al. 2016; Floehr et al. 2013). Urban waterways are particularly confined, homogeneous and 354 simplified, which might have resulted in little negative impact of ship traffic observed, as their 355 aquatic communities are already depauperated and composed of taxa tolerant to stressors resulting 356 from ship traffic. 357

The contrasting result that urban land use magnified the impact of ship traffic on fish, but lowered it on macroinvertebrates as discussed above might be explained by the particular simplified habitat structure of urban waterways. Steep bank slopes, hard embankments and the lack of shelter structures particularly expose fish to vessel-induced physical forces, like return currents and wake

wash, thereby magnifying the negative effect of ship traffic (Wolter 2008). Moreover, fish may be 362 363 more tolerant than macroinvertebrates with respect to water quality, which means that their tolerances are less correlated than for macroinvertebrates. Correspondingly, a recent study from 364 365 China found that urban pollutants were a primary driver of macroinvertebrate communities, while urban fish communities were driven by dispersal limitations instead (Xu et al. 2023). Indeed, a 366 recent study found that landscape connectivity was more important than urbanization for fish 367 community characteristics in Hungary (Czeglédi et al. (2020). Future research on how dual 368 stressors such as urbanization and navigation can interact to influence freshwater biodiversity 369 370 across taxa and functional groups is certainly warranted.

371 The effects of channelization on macroinvertebrate communities were also dependent on local and landscape contexts, such that in areas of high riparian degradation and agricultural cover the 372 373 negative effect was aggravated. We found that channelization was associated with decreased 374 richness and diversity and increased invasive species only when riparian degradation was also high (Extended Data Fig.1). These interactive effects indicate potential benefits of protecting and 375 376 restoring riparian habitat to mitigate the impacts of river channelization. For example, in highly channelized river stretches, the macroinvertebrate community could be partially protected by 377 restoring the riparian habitat. Riparian vegetation provides habitat structure, shade, food resources, 378 terrestrial habitat for aquatic insects and can control algal biomass, (Li et al. 1994; Corline et al. 379 2023). In addition, riparian vegetation reduces bank erosion, thereby preserving habitat suitability 380 for macroinvertebrates, in turn lessening navigation/channelization impacts. However, mitigation 381 382 measures are also required to further improve water quality, which is often insufficient, particularly in many larger European rivers (Lemm et al. 2021). 383

384 Navigation infrastructure

Fish richness tended to be higher in river segments with a higher number of locks. This is likely 385 an impoundment effect creating more lentic habitats in the river, which then allow limnophilic 386 species to proliferate -a finding that is corroborated by our trait analysis showing these species to 387 388 be more frequent in areas of high lock densities. This, in concert with the finding of taxonomic evenness decreases in areas of high lock densities indicates a shift in community composition near 389 locks, and not that locks are increasing fish biodiversity. However, locks may also increase 390 connectivity for certain species in highly fragmented rivers, because lock operation at least 391 occasionally allows fish passage (Lin et al. 2013; Vergeynst et al. 2021). This is relevant for 392 management, as oftentimes locks and dams are side-by-side, meaning that fish of different 393 swimming abilities may be able to navigate through such blockages via different avenues – some 394 via fish ladders and others via locks. Further research is needed to elucidate how locks should be 395 best operated to reduce river fragmentation. 396

397 Richness values of both fish and macroinvertebrates were slightly higher in areas near ports. However, while statistically significant, the effect sizes were small - an order of magnitude smaller 398 399 than the negative effect sizes of shipping intensity. Similar to locks, this finding probably results from shifts in community composition due to novel habitats created in ports as well as potential 400 ballast water release therein. Ports alter the physical environment of lowland rivers by increasing 401 depth, providing pools of standing water, and accumulating sediments (Samsami et al. 2022; Keller 402 et al. 2011). These accumulating sediments may explain why macroinvertebrates living in sandy 403 and gravel substrates, and fish reproducing in sandy substrates (psammophils) were positively 404 associated with ports in our trait analysis. Ballast water mixing and release occurring in port areas 405 is known to release species (Costello et al. 2022) and to spread invasive species especially by 406 seagoing vessels (Wan et al. 2021; Molnar et al. 2008). However, here we did not find increases 407

408 of invasive species in port areas. This may indicate that either the ballast water transport of non-409 native species by inland navigation is less relevant than in marine shipping, or that the inland 410 waterways are already widely colonized by non-native species, so that the ports did not pop up as 411 hotspots. Further research would be warranted to scrutinize the links between ballast water and 412 freshwater invasive and exotic species.

#### 413 *Conclusion*

Europe's large rivers host a wealth of Europe's freshwater biodiversity, and are vital socio-414 economic systems. Here we show that inland navigation can have negative impacts on this 415 biodiversity – mainly via frequent ship traffic. This impact on biodiversity is highlighted by ship 416 traffic being associated with declines in taxonomic and functional richness and diversity, declines 417 in rare taxa, and increases in invasive species prevalence. These findings are important in regard 418 to both recent biodiversity declines and composition shifts in freshwater communities and global 419 and European initiatives and strategies to hold biodiversity loss. Importantly though, we show that 420 421 this impact is context-dependent – shifting in relation to land use and riparian degradation. Riparian habitats can serve as a potential mitigation tool, especially reducing the negative impacts 422 of river channelization. This may become important if inland navigation, and the river management 423 required to support it, would increase in the coming years. Overall, our results inform global and 424 European initiatives and strategies to halt and reverse biodiversity loss. 425

In addition to biodiversity declines, we observed shifts in community compositions and functional traits. These findings add to those of recent research, for example showing shifts towards pollutiontolerant taxa in urban and agricultural streams, and climate warming shifting the average body sizes of freshwater fish communities (Rumschlag et al. 2023; Arranz et al. 2023). We add to this by showing ship traffic to be especially negative for benthic taxa, locks to shift communities

towards slow-flow taxa, and for port areas to benefit macroinvertebrates living in sandy bottom 431 rivers. Mitigating the negative impacts on benthic taxa could be achieved via simple measures 432 such as reducing vessel speed to reduce hydraulic forces on shoreline habitats, as well as larger 433 434 efforts such as the re-establishment of shallow habitats protected from ship waves. These findings, in concert with previous work, are important for restoration plans. As a halt in freshwater 435 biodiversity recoveries in recent years has been found (Haase et al. 2023), it is important to 436 consider all stressors, including potentially emerging stressors such as navigation that are currently 437 neglected, to fully restore communities. Finally, it is important to focus restoration/mitigation 438 439 efforts not only on small streams, but also large rivers and balance the use of these rivers for socioeconomic goods with the role they play in hosting biodiversity. 440

441

#### 442 Methods

## 443 Fish & Macroinvertebrate Biodiversity

We combined data sets of fish (n = 9 datasets) and macroinvertebrate (n = 11) communities in large European rivers and canals from the NAVIDIV consortium (Inland navigation infrastructures and biodiversity: impacts and opportunities for waterwayscape management https://www.fondationbiodiversite.fr/en/the-frb-in-action/programs-and-projects/le-

448 cesab/navidiv/), complemented by macroinvertebrate time series from Haase et al. (2023) relevant 449 for our study. We defined a "large river" as having a Strahler order of five or greater based on the 450 HydroSHEDS database (Lehner et al. 2008). This ensured we focused only on navigated and 451 navigable waterways and omitted smaller rivers from the analysis because of the absence of 452 stressors associated with navigation. However, large rivers without navigation were considered as

potential reference sites. Moreover, given that larger rivers typically are more degraded than 453 smaller rivers, the inclusion of smaller rivers may have led to an overestimation of the navigation 454 effect because of the collinearity of river size with navigation (Nilsson et al. 2005). We used data 455 456 from 1992 to 2021, as the opening of the Main-Danube canal in 1992 marked the beginning of the spread of many freshwater invasive species (Leuven et al. 2009). During this time period the total 457 amount of goods transported by commercial navigation, a proxy for ship traffic has remained 458 approximately constant (see next section). One ecological community dataset consisted only of 459 presences-absence data. These data were only used to calculate the taxonomic richness model; all 460 other included datasets featured abundance data for multiple taxa at specific locations with spatial 461 coordinates, years and sampling effort. While our data are pan-European, the bulk of the 462 biodiversity data are in France and Central Europe, which aligns with the main shipping routes in 463 Europe. To ensure that this geographic bias did not influence our results, we re-ran our GLMMs 464 without observations from France. This removed 4,845 fish observations, and 1,263 465 macroinvertebrate observations. The models resulted in the exact same interpretations (i.e. no 466 major changes in significance of model terms and interactions or  $R^2$  values). These model outputs 467 are provided in Supplemental Table 5. 468

From each dataset we calculated the taxonomic richness (as the number of taxa, most commonly at the genus level for macroinvertebrates and species level for fish), Simpson's Reciprocal Diversity Index, using the 'diversity' function in the "vegan" R package (Oksanen et al. 2022), and Simpson's evenness based on relative abundances (diversity divided by species number) of each sampling occasion. We chose to use Simpson's Reciprocal Diversity Index, a Hill number, instead of other common metrics (e.g. Shannon) because our functional metrics, calculated in the "mFD" package, are also Hill number metrics. Additionally, we calculated the relative abundance of invasive taxa. Taxa were identified as invasive on a dataset-by-dataset basis, and relied on expert opinion from either the data collector/provider, or an expert in the region on fish or macroinvertebrates. Biodiversity metrics (richness, diversity, evenness, invasive prevalence) were calculated for each sampling effort of each database, then combined into one file for running models, such that each row was an individual sample with diversity metrics, the database identifier, sampling effort identifier, and the navigation and landscape predictors used for analyses.

To explore the effects of navigation on the ecological traits of these communities, we compiled 483 484 ecological trait information on the traits that were expected to play a role in the response of communities. Fish traits of feeding guild, habitat, migration, and reproduction were obtained from 485 the freshwaterecology.com trait database (Schmidt-Kloiber, & Hering 2015). Flow preference 486 traits followed the FAME consortium (Development, Evaluation and Implementation of a 487 Standardized Fish-based Assessment Method for the Ecological Status of European Rivers, EU 488 FP5), sensitivity was obtained from van Treeck et al. (2020), Fish Region Index (FRI) and its 489 variation ( $S^2$ FRI) were based on Wolter et al. (2021). Macroinvertebrate traits were obtained from 490 the Tachet database (Tachet et al. 2010). Linking these species traits to our community data, we 491 492 calculated the metrics functional richness, diversity (specifically Functional Dispersion of the functional space of communities [Villéger et al. 2008]), and evenness, using the "mFD" package 493 in R (Magneville et al. 2021). The traits we use here are *ecological* traits, and not strictly *functional* 494 495 traits according to (Violle et al. 2007). Therefore when we refer to functional diversity, evenness and richness, we use these terms in the broad colloquial sense to represent the diversity of organism 496 attributes that interact with the abiotic and biotic environments (as defined in Magneville et al. 497 2021). 498

#### 499 Navigation & Infrastructures

Ship traffic, i.e. vessel counts were obtained from Marine Traffic (https://www.marinetraffic.com/) 500 and compiled as the number of ships passing through 30 km stretches of river per-month over the 501 course of 2019. This grain was chosen to provide the optimal grain for our European-extent study 502 - generating 4,174 river lengths across Europe, allowing us to compare river stretches at the 503 504 continental extent. A finer grain would not have been relevant due to the linear flow of ship traffic, but a larger grain would not have allowed us to compare traffic changes between cities/ports, and 505 our choice of grain generally aligns with the Water Framework Directives River Basin 506 507 Management Plans. A comparison of the ship traffic in 2019 to independent data of ship traffic 508 logs from locks throughout Europe including the rivers Danube, Rhine, Elbe, Spree, Oder, and others provided by Zajicek et al. (2018) for 1992 to 2015 showed that 2019 was similar to this 509 510 period (Supplemental Figure 1). Channelization was also measured at the grain of 30 km stretches. 511 Within the same river stretches used for shipping we calculated the total length of channelized river obtained via the Open Street Map database (https://openstreetmap.org/). Channelization 512 513 represents a metric of riverine modifications that have been made for navigation, though reasons for channelization may go beyond navigation and also include manipulation of floodplain for 514 agriculture. Almost all European navigated rivers have been channelized to some extent (Petts et 515 al. 1989). The location of all inland ports and locks was obtained via personal communication from 516 the United Nations Economic Commission for Europe (UNECE), and is sourced from the UNECE 517 Inventory of Main Standards and Parameters of the Waterway Network. We counted the number 518 519 of ports and locks within a 5 km buffer (consistent with the grain of land use) around each biodiversity sampling site. 520

521 Local and landscape land use

To identify how the surrounding landscape and habitat characteristics moderate navigation's 522 impact, we collected data on the land use and riparian degradation surrounding each biodiversity 523 sampling site. We considered the two major land use types that are associated with the degradation 524 of surface waters in Europe: agriculture and urbanization. We clipped land use (obtained from 525 CORINE Land Cover 2018, [100 m positional accuracy] https://land.copernicus.eu/pan-526 527 european/corine-land-cover/clc2018) to 5 km-radius circular buffers around sampling locations. While additional research is needed to elucidate the spatial grain at which land use influences 528 aquatic taxa, studies have shown that a grain between 1-5 km is appropriate (Wang et al. 2001; 529 Bergerot et al. 2013). We found strong correlations between land use at 1 km and 5 km across our 530 sites (Supplemental Figure 2). Within these buffers, we obtained the surface sizes of surrounding 531 urban and agricultural land uses for each site. To prevent collinearity issues between the two land 532 use variables (significant Pearson's correlation test between urban and agricultural land use), we 533 conducted a Principal Component Analysis (PCA) on these values as the PCA creates two 534 535 uncorrelated variables. We then used the site scores from each Principle Component (PC) that were strongly associated with each land use class. This was done for the macroinvertebrate sites, 536 and then separately for the fish sites, such that one PCA was run on each set of sites. In 537 538 macroinvertebrate sites, the first PC (PC1) had a high rotation score for urban land use, and PC2 had a high rotation score for agricultural land use. It was reversed for fish sites, such that PC1 site 539 540 scores were used to represent agricultural land use, and PC2 represented urban land use. Code for this PCA and the resulting outputs (rotation scores, standard deviation, and proportional and 541 542 cumulative variance) are provided in Supplemental Table 4 and in the repository for this paper linked in the data availability section. 543

To obtain finer-grain information on habitat structure, we characterized riparian degradation at the grain of 1 km using the Green Arteries database from CORINE (Weissteiner et al. 2016). Within a 1 km radius buffer around our biodiversity sampling sites, we clipped the "Potential Riparian Extent" (PRZ) as modeled based on hydrologic and geomorphologic characteristics, and the "Actual Riparian Extent" (ARZ) from satellite images. Our metric of Riparian degradation around each site was then calculated as the inverse proportion of ARZ to PRZ: [1 - (ARZ/PRZ)].

To control for large-scale abiotic influences and differences from one region of Europe to the next, we included data on the size of river and subcatchment for each sampling site. River size (Strahler order) and subcatchment size were both obtained from the HydroSHEDS database. We used Pfafstetter level six of subcatchment size (average of size of 7,972.7 m<sup>2</sup>) because this maximized the prediction (code provided in Supplemental File 1).

555 Models

To assess the relationships between navigation and taxonomic and functional diversity metrics, we ran Generalized Linear Mixed Models (GLMMs) with the function 'glmer' of the "lme4" R package (Bates et al. 2015). For the taxonomic richness models, a Poisson distribution was used and for all others a Gaussian distribution was used. The model structure was:

Biodiversity Response ~ Ship Traffic + Ports + Locks + Channelization + Riparian Degradation +
Agriculture + Urban + Ship:Riparian + Ship:Agriculture + Ship:Urban + Channelization:Riparian
+ Channelization:Agriculture + Channelization:Urban + Subcatchment Size + Strahler order +
(1|Study/Year).

Here, Ship Traffic was the average number of ships per month in the river stretch of the collectionsite and Channelization was proportion of the river that had been channelized in the river stretch

of the site. Ports and Locks were the number of ports and locks within 5 km from each site, Riparian Degradation was the proportion of the riparian forest that had been lost within a 1 km buffer, and Agriculture and Urban were the site scores relating to each land use class from the PCA. Subcatchment size was the size of the Pfafstetter level six of each site, and Strahler order is the respective order of the river of a site. The random effect of (1|Study/Year) represents a nested design wherein Study represents the data source, and Year represents the year that the sample was collected.

We used this model to test the effect of navigation and associated infrastructures, and their interactions with local and landscape stressors while controlling for hydrotopographic factors that differ between river basins and size (Strahler order, subcatchment size). Additionally, the inclusion of the random effect (Study/Year) allowed us to remove potential influences of different data sources and of temporal trends, which may, for example, be due to climatic changes.

For macroinvertebrates, we found an effect of year on taxonomic richness – an increase in richness 578 from 2000-2010, followed by a decrease from 2010-2020, whereas fish exhibited no trend (via a 579 Generalized Additive Model [GAM] see Supplemental Figure 3 for both macroinvertebrates and 580 fish). To control for this non-linear pattern in diversity across years, we included a second order 581 polynomial term for year to the macroinvertebrate models of: +(poly(yr scaled, 2), Plot's showing)582 the year effect on macroinvertebrates and fish are visualized in Supplemental Fig. 3. Finally, for 583 584 the invasive species abundance model for both fish and macroinvertebrates, we included an "offset" term of total community abundance (log transformed) to control for large samples. 585

Collinearity between our fixed effects was checked via their Variable Inflation Factors (VIF)
scores and all were below two, indicating the model was acceptable (e.g. Graham 2003;
Supplemental Table 1). All predictor terms were standardized to zero mean and standard deviation

of +/- 1. Scatterplots of pairwise comparisons of predictor values are provided in Supplemental 589 Fig.4. We identified the marginal effects of shipping (a partial derivative from the GLMM 590 equation) at various levels of landscape degradation (Fig. 3a-d), which was obtained using the 591 592 'margins' and 'cplot' functions in the "margins" R package. Fig. 3e-f were produced using the 'interact plot' function in the "interactions" R package, which allowed us to plot regressions lines 593 at specific levels of our moderator variable (urban land use) to explore interactions. (Leeper 2021; 594 Long 2019). Figures were produced using the "ggplot" and "ggpubr" R packages (Wickham 2016; 595 Kassambara 2020). Silhouettes of fish and macroinvertebrates were obtained from the "rphylopic" 596 R belong Marie 597 package, and to Russell (2020-12-29)License: https://creativecommons.org/licenses/by/3.0/ and Guillaume Dera (2022-12-01) License: 598 https://creativecommons.org/publicdomain/zero/1.0/. 599

#### 600 Trait Analysis

To understand the guilds of species responding to navigation, we analyzed the relationships 601 between functional traits and navigation pressures via an RLQ-Fourth Corner analysis, which 602 quantifies the covariance between traits and environmental variables, mediated by the species 603 abundances (Dray et al. 2014). This multivariate method analyzes the links between species traits 604 605 and environmental variables via an ordination approach. Specifically, we combined the environmental variables (ship traffic, count of ports and locks, and rate of channelization) at sites 606 (creating a matrix R), the species abundances at these sites (matrix L) and the species traits (matrix 607 Q) to create a "fourth corner" (matrix M) that is an environmental-trait relationship matrix. Each 608 of these matrices is turned into ordinations to allow for direct comparability and computational 609 610 analysis (via a correspondence analysis to the species table and a principal component analysis to the environmental and trait tables), and then the analysis finds linear combinations of 611

environmental variables and linear combinations of traits that maximize their squared cross-612 covariance. A permutation test (n = 999 permutations) is then conducted to test global significance 613 of the traits-environment relationships (Supplemental Table 2). As this is an ordination approach, 614 615 this generates suites of traits that commonly co-occur and identifies how they relate to environmental ordination axes. This analysis was conducted using the "ade4" R package (Dray et 616 al. 2007), applying the function 'rlq' to join the three matrices and the 'fourthcorner.rlq', function 617 was used to run the permutation analysis following Dray et al. (2014) (Supplemental Table 2). 618 The same suite of traits used to calculate functional richness, diversity and evenness were used in 619 620 this RLQ-Fourth Corner analysis for both fish and macroinvertebrates.

621

#### 622 Data availability

All data and R code used in this study are available in an open-source repository
(https://github.com/aaronsexton/Sextonetal\_Navigation\_Biodiversity\_Supplementals).

625

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639

## 640 Author Contributions Statement

- 641 A.N.S, A.J. and J.N.B. conceived of the study. C.S., C.W., E.T., J.B., A.D.B, V.M.F., K.M.W.,
- 642 S.C.J., C.G.L., A.S.K. contributed to initial ideas. A.N.S. and A.J. designed and conducted
- 643 analyses. P.H., M.A.E.F, G.A., JF.F., A.D., V.E., Z.C., M.F., P.G., V.G., M.CA., A.L. A.M.,
- 644 R.B.S., J.S.S., R.V., E.W., C.W., E.T., and J.B. provided biodiversity data, and all authors provided
- 645 significant input on manuscript writing.

646

### 647 Competing Interest Statement

648 The authors report no competing interests.

649

650 Figure Captions

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## 923 Extended Data Figures

**Figure 1.** Macroinvertebrate's response to channelization is dependent on riparian degradation and agricultural cover. The panels show the marginal effect of channelization from the GLMMs on macroinvertebrate communities along a gradient of riparian degradation and agricultural land use. A negative value on the y-axis indicates a negative impact of channelization on said metric (e.g. a decrease in taxonomic richness), and a value at 0 (grey horizontal line) indicates a null effect. Left panel shows the relationships of taxonomic richness and right panel shows the prevalence of invasive taxa. Predictors were standardized to zero mean and a SD of  $\pm 1$ .



Figure 2. Fish and macroinvertebrate trait relationships with ship traffic across different land use scenarios. Trait scores associated with the environmental axis of heightened ship traffic in the RLQ analysis are shown in the 'Full Dataset' column, and are the values shown in the ordination of Figures 4 and 5. Columns two and three of each table show the trait associations with heightened ship traffic when the RLQ is re-run in highly agricultural sites (those with the top 25% of agricultural cover across the datasets) and in highly urbanized sites.

Macroinvertebrate Trait Associations with Ship Traffic Across Land Use Scenarios

Trait Class	Trait	Full Dataset	Highly Agricultural Sites	Highly Urbanized Sites
Locomotion	Flier	0.337	0.363	0.311
Dispersal	Aerial Active	0.298	0.411	-0.034
Locomotion	Full Water Swimmer	0.292	0.261	0.332
Reproduction	Clutches Fixed	0.255	0.158	0.340
Substrate	Mud	0.181	0.263	-0.033
Reproduction	Clutches Vegetation	0.141	0.057	0.191
Dispersal	Aquatic Active	0.134	0.085	0.234
Saprobity	Oligosaprobic	0.095	0.140	0.001
Substrate	Organic Detritus	0.088	0.105	0.067
Saprobity	Xenosaprobic	0.082	0.154	0.016
Locomotion	Burrower	0.067	0.072	-0.221
Reproduction	Clutches Terrestrial	0.064	0.114	-0.232
Reproduction	Eggs Cemented	0.042	-0.023	0.224
Reproduction	Clutches Free	0.039	0.057	-0.150
Locomotion	Perm. Attached	0.026	0.085	0.011
Substrate	Silt	0.012	0.095	-0.106
Locomotion	Surface Swimmer	0.007	-0.040	-0.111
Dispersal	Aerial Passive	0.000	0.012	-0.162
Reproduction	Eggs Free	-0.006	-0.069	-0.200
Saprobity	Alpha.Mesosaprobic	-0.041	-0.077	0.087
Reproduction	Asexual	-0.056	-0.026	-0.022
Substrate	Macrophytes	-0.064	-0.081	0.148
Locomotion	Crawler	-0.078	0.059	-0.005
Saprobity	Polysaprobic	-0.113	0.025	-0.299
Substrate	Sand	-0.125	0.007	-0.246
Locomotion	Temp. Attached	-0.127	-0.121	-0.044
Saprobity	Beta.Mesosaprobic	-0.180	-0.137	-0.096
Substrate	Boulders Pebbles	-0.185	-0.180	-0.139
Substrate	Twigs Roots	-0.186	-0.125	-0.152
Substrate	Gravel	-0.211	-0.126	-0.167
Substrate	Microphytes	-0.270	-0.230	-0.100
Reproduction	Ovoviviparity	-0.290	-0.244	-0.135
Locomotion	Interstitial	-0.295	-0.244	-0.123
Dispersal	Aquatic Passive	.0 302	.0.376	-0.100

Trait Class	Trait	Full Dataset	Highly Agricultural Sites	Highly Urbanized Sites
Habit	Pelagic	2.005	-0.445	-0.571
Feeding	Planktivorous	1.895	-0.500	-0.732
Reproduction	Psammophil	1.664	0.500	0.524
Migration	Diadromous	0.928	-0.235	-0.421
Reproduction	Pelagophil	0.730	-0.302	0.353
Feeding	Piscivorous	0.528	0.619	0.359
Feeding	Parasitic	0.445	-0.107	1.121
Region	Fri	0.430	0.318	0.274
Rheophily	Eurytop	0.292	0.291	0.158
Region	Sensitivity	0.263	0.117	0.386
Reproduction	Phytolithophil	0.222	0.561	0.362
Feeding	Omnivorous	0.023	0.263	0.194
Habit	Demersal	0.011	-0.031	0.287
Migration	Potamodromous	-0.067	0.136	0.191
Reproduction	Speleophil	-0.069	0.728	0.744
Region	S2Fri	-0.070	0.287	0.043
Habit	Benthic	-0.123	0.087	0.065
Reproduction	Lithophil	-0.131	-0.627	-0.722
Reproduction	Phytophil	-0.209	-0.430	0.284
Feeding	Invertivorous	-0.409	-0.580	-0.179
Migration	Nonmigratory	-0.409	-0.598	-0.490
Feeding	Detritivorous	-0.419	-0.896	-0.227
Feeding	Phytovorous	-0.493	-1.006	-0.287
Rheophily	Rheophil	-0.501	-0.644	-0.537
Reproduction	Polyphil	-0.586	-0.622	-1.036
Rheophily	Limnophil	-0.588	-0.774	-1.509
Reproduction	Ostracophil	-0.657	-0.796	-1.695
Reproduction	Lithopelagophil	-0.706	-0.886	-1.910

Fish Trait Associations with Ship Traffic Across Land Use Scenarios

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Figure 3. Relationships between taxonomic evenness and the abundance and occurrences of rare
species in fish and macroinvertebrates. We defined a rare species as a species whose abundance
accounting for less than 25% of the total community abundance (see Supplemental file 2 for
details).

