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► **To cite this version:**

G. W. Angus, G. Gentile, R. Swaters, Benoit Famaey, A. Diaferio, et al.. Mass models of disc galaxies from the DiskMass Survey in modified Newtonian dynamics. *Monthly Notices of the Royal Astronomical Society*, 2015, 451 (4), pp.3551-3580. 10.1093/mnras/stv1132 . hal-04587862

**HAL Id: hal-04587862**

**<https://hal.science/hal-04587862v1>**

Submitted on 24 May 2024

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# Mass models of disc galaxies from the DiskMass Survey in modified Newtonian dynamics

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Accepted 2015 May 15. Received 2015 May 15; in original form 2015 March 13

## ABSTRACT

This article explores the agreement between the predictions of modified Newtonian dynamics (MOND) and the rotation curves and stellar velocity dispersion profiles measured by the DiskMass Survey (DMS). A bulge–disk decomposition was made for each of the thirty published galaxies, and a MOND Poisson solver was used to simultaneously compute, from the baryonic mass distributions, model rotation curves and vertical velocity dispersion profiles, which were compared to the measured values. The two main free parameters, the stellar disk's mass-to-light ratio ( $M/L$ ) and its exponential scaleheight ( $h_z$ ), were estimated by Markov Chain Monte Carlo modelling. The average best-fitting  $K$ -band stellar mass-to-light ratio was  $M/L \simeq 0.55 \pm 0.15$ . However, to match the DMS data, the vertical scaleheights would have to be in the range  $h_z = 200\text{--}400$  pc which is a factor of 2 lower than those derived from observations of edge-on galaxies with a similar scalelength. The reason is that modified gravity versions of MOND characteristically require a larger  $M/L$  to fit the rotation curve in the absence of dark matter and therefore predict a stronger vertical gravitational field than Newtonian models. It was found that changing the MOND acceleration parameter, the shape of the velocity dispersion ellipsoid, the adopted vertical distribution of stars, as well as the galaxy inclination, within any realistic range, all had little impact on these results.

**Key words:** methods: numerical – galaxies: kinematics and dynamics – dark matter.

## 1 INTRODUCTION

Understanding the dynamics of disk galaxies is essential to the vetting process of theories of galaxy formation and cosmology (Flores & Primack 1994; de Blok & McGaugh 1998; de Blok et al. 2001; van den Bosch & Swaters 2001; Swaters et al. 2003; Gentile et al. 2004; Gilmore et al. 2007; de Blok 2010). Disk galaxies moderately inclined to the line of sight ( $50^\circ\text{--}80^\circ$ ) can provide an  $H\text{ I}$  rotation curve from which the dark matter (DM) content and distribution can be deduced in the context of Newtonian dynamics (Bosma 1978; Rubin, Thonnard & Ford 1978; Bosma 1981a,b; Sofue & Rubin 2001). However, there exist degeneracies between

the DM halo density profile, the stellar mass-to-light ratios ( $M/L_s$ ) of the stellar components and the scaleheight of the stellar disk (van Albada et al. 1985; Kuijken & Gilmore 1989, 1991; Angus et al. 2012).

The vertical stellar distribution is assumed to be a declining exponential on both sides of the mid-plane. Thus, the scaleheight of the stellar disk is simply the exponential rate at which the stellar luminosity density drops, with increasing height above or below the mid-plane of the disk. It is assumed to be constant with radius, which is supported by observations (e.g. van der Kruit & Searle 1981, 1982; Bizyaev & Mitronova 2002).

The stellar  $M/L$  is the ratio between the mass of a stellar population and the luminosity, as observed through a particular bandpass. It cannot be observed directly, so it is ordinarily inferred by numerical stellar population synthesis models. This modelling crucially

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depends on the star formation and chemical enrichment history considered and on the initial mass function (IMF; Bell & de Jong 2001; Kroupa 2001; Chabrier 2003). The IMF is the spectrum of stellar types formed given a molecular cloud of certain initial mass, metallicity and other relevant properties. It therefore has the scope to vary from galaxy to galaxy. In addition to the IMF, stellar population synthesis models have other sources of uncertainty (Conroy, Gunn & White 2009; Conroy, White & Gunn 2010; Conroy & Gunn 2010).

In standard fitting of rotation curves of highly inclined disk galaxies, it is common to invoke the ‘maximum disk hypothesis’ (van Albada & Sancisi 1986; Sackett 1997; Courteau & Rix 1999) i.e. that the stellar disk contributes maximally to the rotation curve. This hypothesis is supported by observations that deduce the microlensing optical depth in the Milky Way (e.g. Bissantz & Gerhard 2002), the baryonic Tully–Fisher (TF) relation (McGaugh & Schombert 2015) and measurements that place the co-rotation radius of barred galaxies just beyond the end of the bar (e.g. Sellwood & Debattista 2014). It yields values for the  $M/L$  that are typically in accordance with the predictions of stellar population synthesis models.

This, however, does not demonstrate that the hypothesis is correct (see e.g. Herrmann & Ciardullo 2009; Dutton et al. 2011) and it would be ideal to have a robust, independent measurement that breaks the disk mass degeneracy. This is theoretically possible because the  $M/L$  can be determined dynamically through the vertical velocity dispersions of stars over the full projected area of the galactic disk, if the scaleheight is known (Bahcall 1984). For close to edge-on disk galaxies, however, one cannot measure the vertical velocity dispersion and thus the technique is limited to only those galaxies with moderate inclinations to the line of sight ( $5^\circ$ – $45^\circ$ ), where  $25 < i < 35^\circ$  is seen as optimal (Bershady et al. 2010b, hereafter DMSii).

In order to break the degeneracy between the DM halo and  $M/L$ , the DiskMass Survey (DMS; Bershady et al. 2010a, hereafter DMSi) made observations of the line-of-sight velocity dispersion profiles of 46 nearly face-on disk galaxies (DMSi): 30 of which have been published and a further 100 are part of the larger survey. They also measured their surface brightness profiles and rotation curves. There were two further, essential ingredients in the analysis that come from scaling relations. The first is the luminous TF relation between the absolute magnitude of a galaxy and a measure of its outer rotation speed. This helps to isolate the inclination of the galaxy, which for nearly face-on galaxies can otherwise be obtained using the tilted disk method of Andersen & Bershady (2013).

The second is the correlation between the disk stellar scaleheight, a measure of the thickness of the disk, and scalelength, a measure of the radial extent. This relationship is explored in detail by DMSii (their section 2.2), and is derived from the studies of Kregel, van der Kruit & de Grijs (2002, hereafter K02), Pohlen et al. (2000), Schwarzkopf & Dettmar (2000), Xilouris et al. (1997), and Xilouris et al. (1999). This allowed Bershady et al. (2011) and Martinsson et al. (2013a,b, hereafter DMSvi and DMSvii) to infer the  $M/L$  of the disk. The data imply that the stellar disks are ‘sub-maximal’ ( $K$ -band  $M/L \simeq 0.3$  or lower; see Swaters et al. 2014) which means they do *not* contribute maximally to the rotation curve in the central regions, contrary to the value found from population synthesis models that assume a Kroupa IMF ( $M/L \simeq 0.6$ ; McGaugh & Schombert 2014). This leaves more room for DM in the central regions.

Modified Newtonian dynamics (MOND; Milgrom 1983, see Famaey & McGaugh 2012 for a recent review) is a theory which proposes a modification of dynamics whose impact is most apparent in regions of low acceleration. Most current working versions

of MOND consist of an actual modification of gravity, i.e. at the classical level, a modification of the Newtonian Poisson equation (but see also Milgrom 2011). This modification occurs due to the hypothesized existence of a new constant of physics with dimensions of acceleration,  $a_0 \sim 10^{-10} \text{ ms}^{-2}$ . For accelerations much stronger than this threshold,  $a_0$ , there is no discerned deviation from Newtonian gravity. However, far below the threshold the true acceleration perceived by a test mass is found from  $a^2 = g_N a_0$  – where  $g_N$  is the expected Newtonian gravitational field.

Nipoti et al. (2007) and Bienaymé et al. (2009) made studies of the vertical dynamics of the Galaxy in MOND, showing that it could be possible to distinguish between MOND and the DM paradigm with data from the Milky Way. The extra constraint on the dynamics from vertical velocity dispersions in nearly face-on disks of external galaxies provides a new test of this hypothesis. This article addresses whether MOND can simultaneously account for the measured vertical velocity dispersions and rotation curves, while keeping in line with galaxy scaling relations.

In Section 2, the framework is presented for the joint modelling of galaxy rotation curves and stellar vertical velocity dispersions in the MOND context. In Section 3, the methods are discussed and this includes a discussion of the bulge–disk decomposition, the accuracy of the Poisson solver, and the observational error budget for the main data. In Section 4, the primary results are presented, this includes a discussion of the fits to the vertical dynamics and rotation curves, the confidence ranges of the fitted parameters, and how well the fitted parameters mesh with other observations. In Section 5, possible scenarios that could alter the results are discussed. In Section 6, conclusions are drawn and their implications are explored.

## 2 DYNAMICAL ANALYSIS OF THE DISKMASS SURVEY

### 2.1 Rotation curve fitting

The following reviews how to fit the measured rotation curve of a disk galaxy in an idealized case. This is done in order to expose the free parameters and it is generalized for both DM and MOND.

The total model rotation speed is required from the total model potential in order to compare with the measured rotation curve. This can be found from

$$\frac{V_{\text{tot}}(R)^2}{R} = \frac{d\Phi_{\text{tot}}}{dR}(R). \quad (1)$$

Here,  $R$  is the cylindrical radius in the disk mid-plane and  $\Phi_{\text{tot}}$  is the total gravitational potential. Next, the total potential is required from the total mass distribution. This is computed via the Poisson equation, which in Newtonian dynamics is

$$\vec{\nabla}^2 \Phi_{n,\text{tot}}(R, z) = 4\pi G \rho_{\text{tot}}(R, z), \quad (2)$$

where  $G$  is Newton’s gravitational constant,  $\rho_{\text{tot}}(R, z)$  is the total mass density from all sources (see the following and Section 2.1.1) and  $\Phi_{n,\text{tot}}(R, z)$  is the total *Newtonian* potential. In Newtonian dynamics,  $\Phi_{n,\text{tot}}(R, z)$  is fully equivalent to  $\Phi_{\text{tot}}(R, z)$ , but  $\rho_{\text{tot}}$  consists of  $\rho_{\text{bar}}$  and  $\rho_{\text{DM}}$ . In MOND,  $\rho_{\text{tot}}$  is fully equivalent to  $\rho_{\text{bar}}$ , but a second step is made to find  $\Phi_{\text{tot}}$  from  $\Phi_{n,\text{tot}}$ , which is (Milgrom 2010)

$$\vec{\nabla}^2 \Phi_{\text{tot}}(R, z) = \vec{\nabla} \cdot \left[ \nu(|\vec{\nabla} \Phi_{n,\text{tot}}|/a_0) \vec{\nabla} \Phi_{n,\text{tot}} \right], \quad (3)$$

where  $a_0 \sim 3.6(\text{km s}^{-1})^2 \text{ pc}^{-1}$  is the acceleration threshold of MOND and  $\nu$  is an interpolating function, chosen here to depend

on its argument as

$$\nu_\gamma(y) = \left[ \frac{1 + (1 + 4y^{-\gamma})^{1/2}}{2} \right]^{1/\gamma}, \quad (4)$$

where  $\gamma = 1$  is the simple  $\nu$ -function and  $\gamma = 2$  is the standard  $\nu$ -function (Famaey & McGaugh 2012, equations 51 and 53), or likewise by

$$\nu_\delta(y) = \left[ 1 - e^{-y^{\delta/2}} \right]^{-1/\delta} + (1 - \delta^{-1})e^{-y^{\delta/2}}. \quad (5)$$

### 2.1.1 Baryonic density

The last question here is the distribution of  $\rho_{\text{tot}}$ . The derivation of the contribution from atomic and molecular gas is described in DMSvii. Both are assumed to have non-smooth, axisymmetric, radial surface densities. Both gas components are included in the modelling, but they are considered fixed in mass and distribution. They are given nominal scaleheights of 200 pc, to which reasonable variations are inconsequential.

There are typically two stellar components, a bulge and a disk. Below, the bulge and disk surface brightnesses are given to expose the free parameters in their fitting. The bulge is assumed to be spherical and to follow a Sérsic profile

$$I_b(R) = I_e \exp\{-k[(R/R_e)^{1/n} - 1]\}, \quad (6)$$

where the effective surface brightness ( $I_e$ ), the effective projected radius ( $R_e$ ) and the Sérsic index ( $n$ ) can be fitted to the observed surface brightness distribution.<sup>1</sup> Simultaneously, the disk luminosity density can be expressed with a simple form

$$j_d(R, z) = \frac{L_d}{4\pi h_R^2 h_z} \exp\left(-\frac{R}{h_R}\right) \exp\left(-\frac{|z|}{h_z}\right), \quad (7)$$

where  $L_d$  is the total luminosity of the disk,  $h_R$  is the scalelength and  $h_z$  is the scaleheight. The vertical distribution is characterized by the exponential function, but the commonly used  $\text{sech}^2(z/z_0)$  function (van der Kruit & Searle 1981; Bottema 1993) would be equally appropriate. Here,  $z_0$  is the  $\text{sech}^2$  scaleheight which corresponds to  $2h_z$  at large  $z$ . Using the  $\text{sech}^2$  vertical distribution does not change the conclusions (see Section 5.4).

In general, the surface density can be found by integrating along the line of sight. For a face-on galaxy, the luminosity density of equation (7) can be projected to give the surface brightness

$$I_d(R) = \frac{L_d}{2\pi h_R^2} \exp\left(-\frac{R}{h_R}\right). \quad (8)$$

Here, the density profiles are always assumed to be smooth. To find the mass density of the bulge and disk, the luminosity densities of the bulge and the disk must be multiplied by their respective  $M/L$  so that

$$\rho_* = \Upsilon_b j_b + \Upsilon_d j_d, \quad (9)$$

where  $\Upsilon_b$  and  $\Upsilon_d$  are the stellar  $M/L$  values of the bulge and disk, respectively. The total density of baryons is then  $\rho_{\text{bar}} = \rho_* + \rho_g$ , where  $\rho_g$  is the atomic and molecular gas density. As stated previously, in MOND,  $\rho_{\text{bar}}$  is equivalent to the total mass density,  $\rho_{\text{tot}}$  (equation 2), because there is no DM in MOND galaxies. For Newtonian gravity  $\rho_{\text{tot}} = \rho_{\text{bar}} + \rho_{\text{DM}}$ .

<sup>1</sup> The constant  $k$  is fixed at 7.67.

For the baryonic mass models, there are eight parameters:  $\Upsilon_b$ ,  $I_e$ ,  $R_e$ ,  $n$ ,  $L_d$ ,  $\Upsilon_d$ ,  $h_R$ ,  $h_z$ . Of those nine, the surface brightness parameters are either directly observed or unambiguously fitted to the surface brightness profile. This leaves only  $\Upsilon_b$ ,  $\Upsilon_d$ ,  $h_z$ . Since the DMS sample is chosen so that the total bulge luminosity to total disk luminosity is low,  $\Upsilon_b$  is relatively insignificant and is never independently varied in the modelling performed here ( $\Upsilon_b = \Upsilon_d$ ). Therefore,  $\Upsilon_d$  and  $h_z$  are the only two free parameters that are relevant to a theory like MOND. In principle, the inclination of the galaxy also has a small amount of freedom but it is strongly curtailed by the luminous TF relation. The aforementioned free parameters are fitted for through a simultaneous comparison of the model vertical velocity dispersions and rotation curves with the observed ones, as is described in Section 3.3.

### 2.1.2 Inclination

The derivation of the rotation curve of a moderate or high-inclination disk galaxy from the measured 2D velocity field, permits the fitting of tilted rings (see Begeman 1989; van der Hulst et al. 1992). These tilted rings allow us to model the variation in the inclination and position angle of numerous concentric annuli at different galactocentric radii. The inclinations of the various rings, as a function of radius, can vary by  $10^\circ$  (e.g. de Blok et al. 2008) depending on the quality of the data, the regularity of the velocity field and characteristic inclination of the disk.

The DMS galaxies have low inclinations (they are close to face-on), thus the inclination has a lot of leverage on the inferred rotation speed because of the shape of the sine function. It is possible to derive accurate kinematic inclinations for nearly face-on disks using the tilted disk (as opposed to tilted ring) technique of Andersen & Bershady (2013). It is also possible to infer the inclination using the luminous TF relation (Verheijen 2001). This relates the absolute  $K$ -band magnitude of the galaxy,  $M_K$ , to a measure of the outer rotation speed,  $V_f$  such that

$$V_f = 0.5 \times 10^{(5.12 - M_K)/11.3} \text{ km s}^{-1}. \quad (10)$$

Andersen et al. (in preparation) have determined that the kinematic and TF inclinations for the DiskMass galaxies generally agree well, although there are some outliers.

Relating the measured, inclined outer rotation velocity  $V_{\text{obs}} \sin(i)$  with the expected outer velocity from the TF relation (equation 10) allows the expected inclination to be deduced. This inclination is only that expected for the outer parts of the rotation curve, and thus the inner parts can vary somewhat due to a warp.

In addition to the luminous TF relation, there is a baryonic TF relation (McGaugh et al. 2000; McGaugh 2005) which relates the total baryonic mass of a galaxy to its outer, flat rotation speed, where  $V_f^\epsilon \propto GM_{\text{bar}}$ .

This relation is fundamental to MOND and the exponent  $\epsilon = 4$  and the constant of proportionality  $a_0$  are predictions which agrees well with the observed relation (McGaugh 2005). Thus, the MOND baryonic TF relation can be written in a similar form to equation (10) as

$$\frac{V_f^4}{Ga_0} = M_g + (\Upsilon_b f_b + \Upsilon_d f_d) \times 10^{(M_{K,\odot} - M_K)/2.5}. \quad (11)$$

Here,  $f_b = \frac{L_b}{L_b + L_d}$  and  $f_d$  are the fractions of the total luminosity contributed by the bulge and disk, respectively. The absolute magnitude of the Sun in the  $K$  band is  $M_{K,\odot} = 3.28$  (Blanton et al. 2003) and  $M_g$  is the gas mass.

It was found that the inclinations from equation (11) are typically between 5 and 15 per cent larger than those found with equation (10), depending on the  $M/L$  used (here the  $M/L$  was taken to be between 0.6 and 1): a smaller  $M/L$  implies a smaller corresponding rotation velocity, hence a larger inclination.

For a large enough sample there should, in principle, be no systematic deviation from either TF relation. When modelling rotation curves in general, it is not always clear when the rotation curve has reached the terminal velocity, so some margin of error must be granted. Since equation (10) has no dependence on  $M/L$ , and to make it easier to compare with the DMS results, the luminous TF relation inclinations (equation 10) are used in this article.

## 2.2 Stellar vertical velocity dispersions

### 2.2.1 Choice of stellar velocity dispersion ellipsoid parameters

In addition to the measured rotation curve, the DMS also measured the line-of-sight velocity dispersion profile of the stars over the full projected area of the disk. This is then azimuthally averaged to give a 1D line-of-sight velocity dispersion, which can be converted to a vertical velocity dispersion ( $\sigma_z$ ) through the equation (Westfall et al. 2011)

$$\sigma_z^2 = \frac{\sigma_{\text{los}}^2}{\cos^2 i} \left[ 1 + \frac{\tan^2 i}{2\alpha^2} (1 + \beta^2) \right]^{-1}. \quad (12)$$

Here, the inclination of the galaxy to the line of sight is again,  $i$ , and  $\alpha = \frac{\sigma_z}{\sigma_R}$  &  $\beta = \frac{\sigma_\theta}{\sigma_R}$  provide information about the stellar velocity dispersion ellipsoid (SVE). Generally,  $\alpha$  and  $\beta$  are expected to take on certain values from measurements in the solar neighbourhood (Binney & Merrifield 1998; Gerssen & Shapiro Griffin 2012), and  $\beta$  is presumed to take on specific values from the epicycle approximation. However, beyond the Milky Way their variation is not empirically well known (see discussion in section 2.1 of **DM-Sii**, and also Westfall et al. 2011; Westfall, in preparation; Gentile et al. 2015). By choosing galaxies that are nearly face-on, the DMS reduces their importance (cf. Fig. 21). The statistical variation of these parameters is discussed in **DMSii**, Section 2.1, and the DMS analysis establishes  $\alpha = 0.6 \pm 0.15$  and  $\beta = 0.7 \pm 0.04$ . The mean values used by the DMS are chosen for the default values in this article.

### 2.2.2 Model stellar vertical velocity dispersions

In order to compare with the observations, the model vertical velocity dispersions of the galaxies must be computed. The vertical velocity dispersion at a height  $z$  above the mid-plane, at a radial distance  $R$  from the centre of the disk galaxy is found from (see Nipoti et al. 2007)

$$\rho_*(R, z) \sigma_z(R, z)^2 = \int_z^\infty \rho_*(R, z') \frac{d\Phi_{\text{tot}}(R, z')}{dz'} dz', \quad (13)$$

and the equivalent of the observed vertical velocity dispersion at any radius,  $R$ , weighted by the local stellar surface density is given by

$$\Sigma_*(R) \sigma_z(R)^2 = \int_{-\infty}^\infty \rho_*(R, z) \sigma_z(R, z)^2 dz. \quad (14)$$

These equations effectively reduce to

$$\sigma_z(R)^2 = \frac{1}{h_z} \int_0^\infty \left[ \int_z^\infty \exp(-z'/h_z) \frac{d\Phi_{\text{tot}}(R, z')}{dz'} dz' \right] dz. \quad (15)$$

In the Newtonian gravity framework, equation (15) depends mainly on two fitted parameters:  $\Upsilon_d$  and  $h_z$ . As stated previously, inclination could also be varied but only in a tight range around the TF relation values. Thus, combining simultaneous fits to the observed rotation curves and vertical velocity dispersions is a strong test of the MOND paradigm.

It is worth noting that the infinite potential well of isolated galaxies in MOND is irrelevant here since the vertical gravitational field in equation (13) is convolved with the exponentially declining stellar density, and thus the MOND gravity is only relevant where there are stars.

### 2.2.3 The DiskMass Survey method

In the analysis of **DMSvi**, the measured rotation curve of each galaxy is used to fit the DM halo parameters and then the vertical velocity dispersion is used to directly give the mass surface density using  $\Sigma_{\text{dyn}}(R) = \frac{\sigma_z(R)^2}{\pi G k h_z}$ , where  $k$  is assumed to be 1.5 to describe an exponential vertical stellar distribution. From this surface density, the gas disk was subtracted. This left the stellar disk surface density,  $\Sigma_*(R) = \Sigma_{\text{dyn}}(R) - \Sigma_{\text{gas}}(R)$ . This also includes an unknown component of DM. The stellar  $M/L$  as a function of radius was given by  $\Upsilon_d(R) = \frac{\Sigma_*(R)}{I_d(R)}$ . An average of this  $M/L$  out to a given radius then defines the quoted disk  $M/L$ . This approach, although straight-forward, is only accurate when the derivative of the rotation curve is small. It is also not transferable to MOND because of the non-linearity of the theory and that the  $M/L$  in MOND affects both the rotation curve and vertical velocity dispersion. It is more secure to make the reverse calculation and go from observed surface brightness, sample an  $M/L$  to give surface density, then use equation (15) to give a model vertical velocity dispersion which can be compared with the observed vertical velocity dispersions. However, this requires calculation of the full three-dimensional potential from a model galaxy – which is performed here.

## 3 PRELIMINARY MODELLING

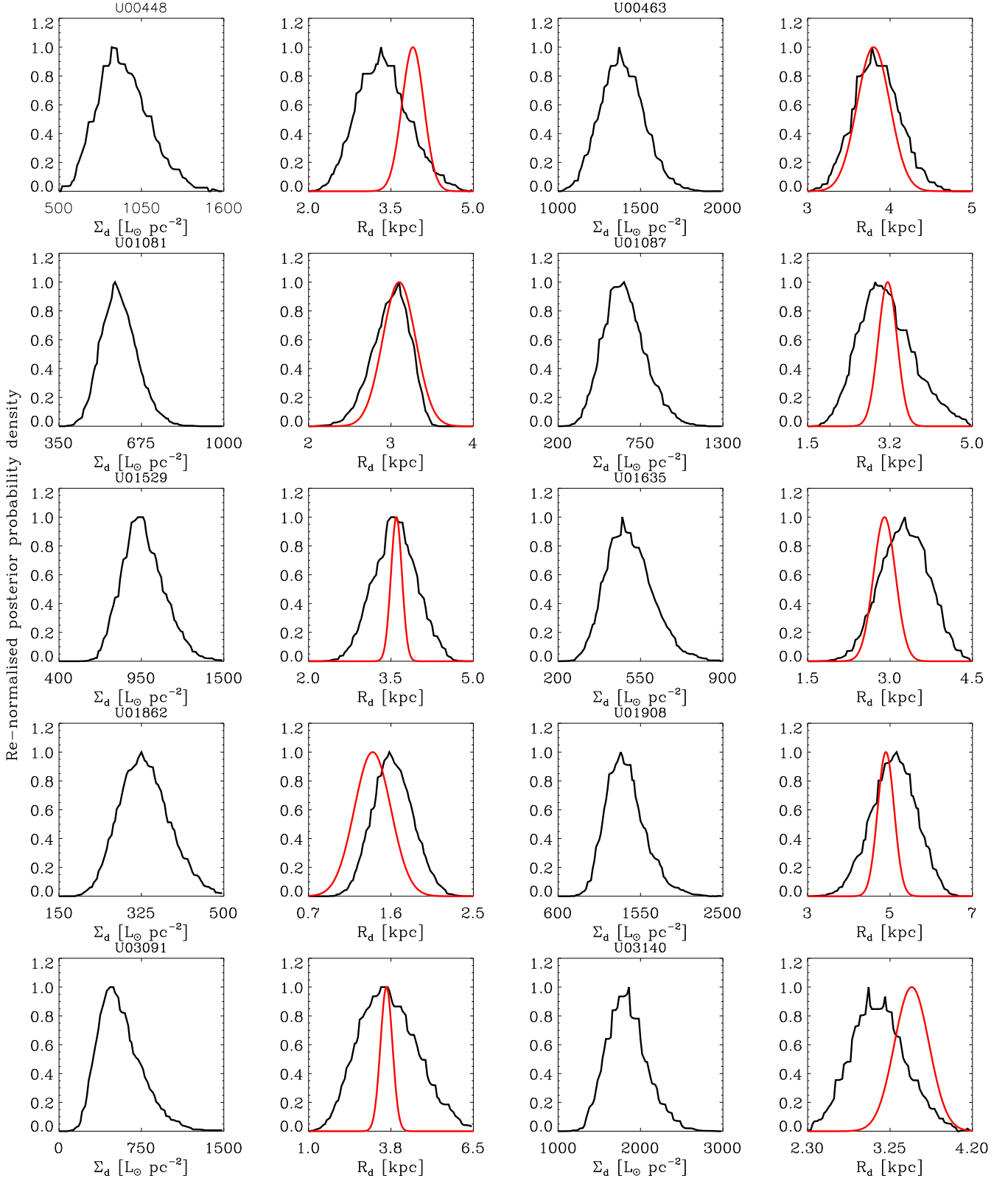
### 3.1 Bulge–disk decomposition

The inclination corrected surface brightness profiles presented by **DMSvi** were analysed with a Markov Chain Monte Carlo (MCMC) approach to fit bulge plus disk surface brightness models using equations (6) and (8). The seeing was simultaneously accounted for with a Gaussian convolution of appropriate radius (see tables 3 and 4 of **DMSvii**). All five surface brightness parameters (two disk and three bulge) were fitted, but the bulge surface brightness parameters were found to be degenerate with each other due to seeing effects and the lack of data points at low radii. Thus, the bulge parameters were fixed at the maximum likelihood values, which allowed better sampling of the more important disk parameters. In Figs 1–3, the posterior probability distributions are presented for the two disk surface brightness parameters of each galaxy. For the disk scalelength, the range found by **DMSvi** (red curve) is also plotted.

The best fits to the surface brightness profiles are given in Figs 4–6 and are generally good. Both linear radius and log radius are plotted on the  $x$ -axis to expose the quality of the fits to the bulge and the outer disk. The fitted scalelengths found here (see Figs 1–3) are generally consistent with those fitted by the DMS, although theirs have smaller error ranges.

Once the bulge and disk surface brightness profiles were established, an Abel transform was used to de-project the bulge surface brightness, which was stored numerically. The disk has cylindrical





**Figure 1.** Re-normalized posterior probability profiles for the two disk surface brightness parameters (equation 8) fitted to the DMS galaxy surface brightness profiles (DMSvii). In the first and third columns, the disk central surface brightness is plotted and the disk scalelength is plotted in the second and fourth columns. For the disk scalelength, the values found by DMSvi (red curves) are overlotted for comparison.

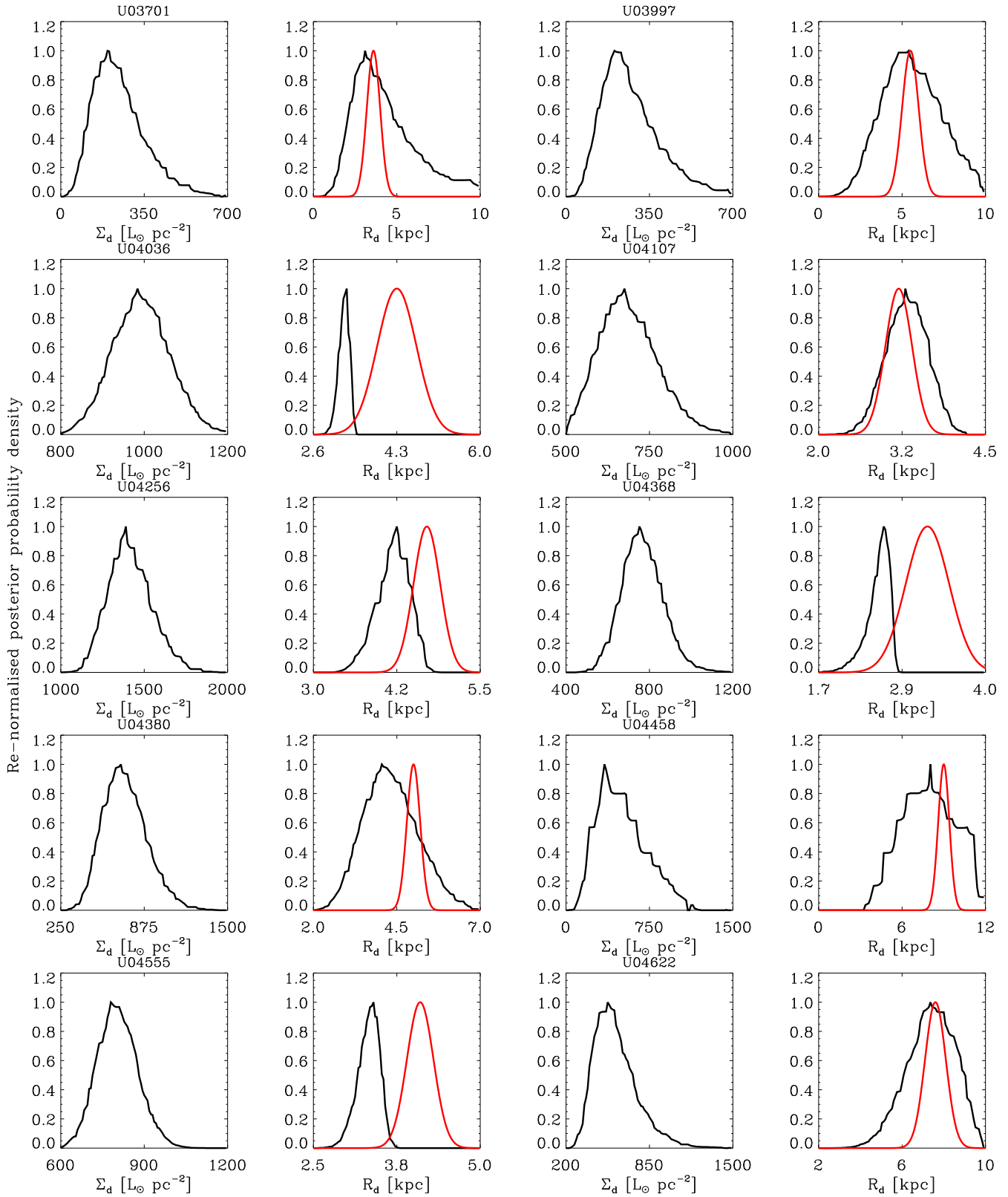


Figure 2. As per Fig. 1.

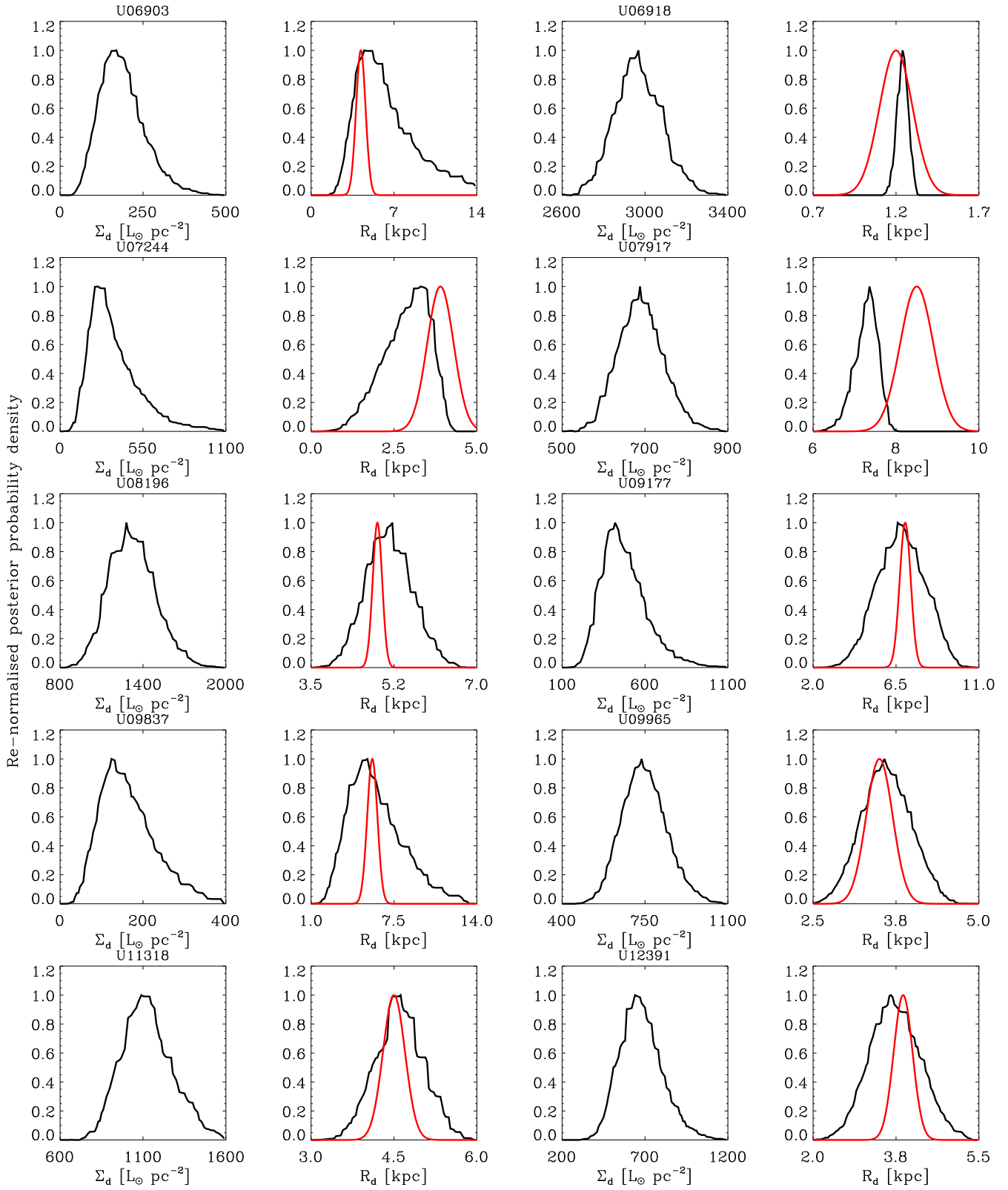
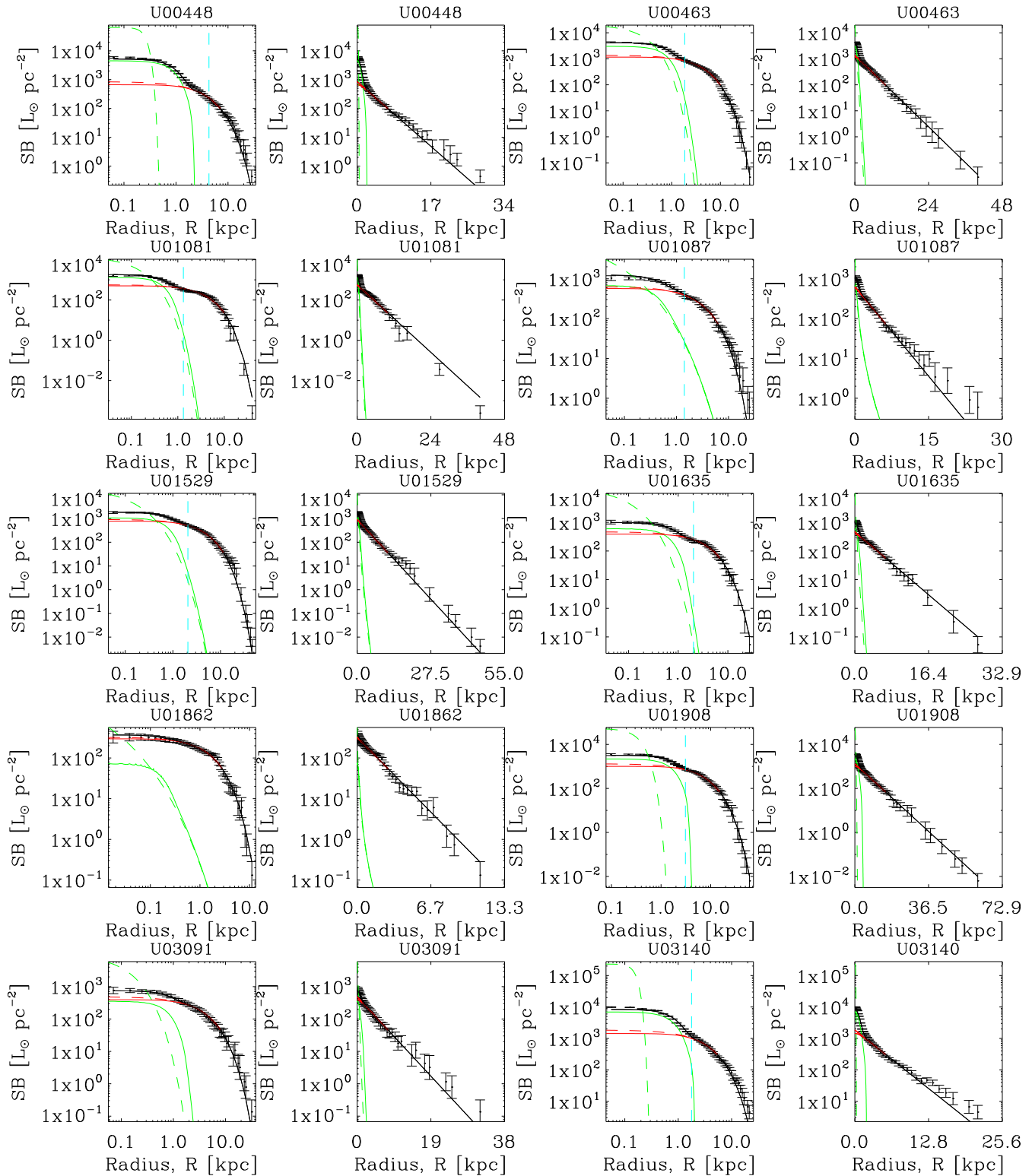


Figure 3. As per Fig. 1.





**Figure 4.** Observed surface brightness profiles (black error bars around small circle) along the disk major axis for each galaxy in the DMS. Each row shows two different galaxies. In columns (1) and (3), the fits in log-log are plotted and in columns (2) and (4) they are plotted as log-linear, to expose the quality of the fits at different scales. The green dashed lines are the intrinsic bulge surface brightnesses and the solid green lines are the seeing affected versions. The same is true for the red dashed and solid lines, except these are for the exponential disks. The black solid lines are the seeing affected total combined surface brightnesses. The vertical turquoise lines are the bulge radii as given by *DMSvi* and are unchanged in this analysis.

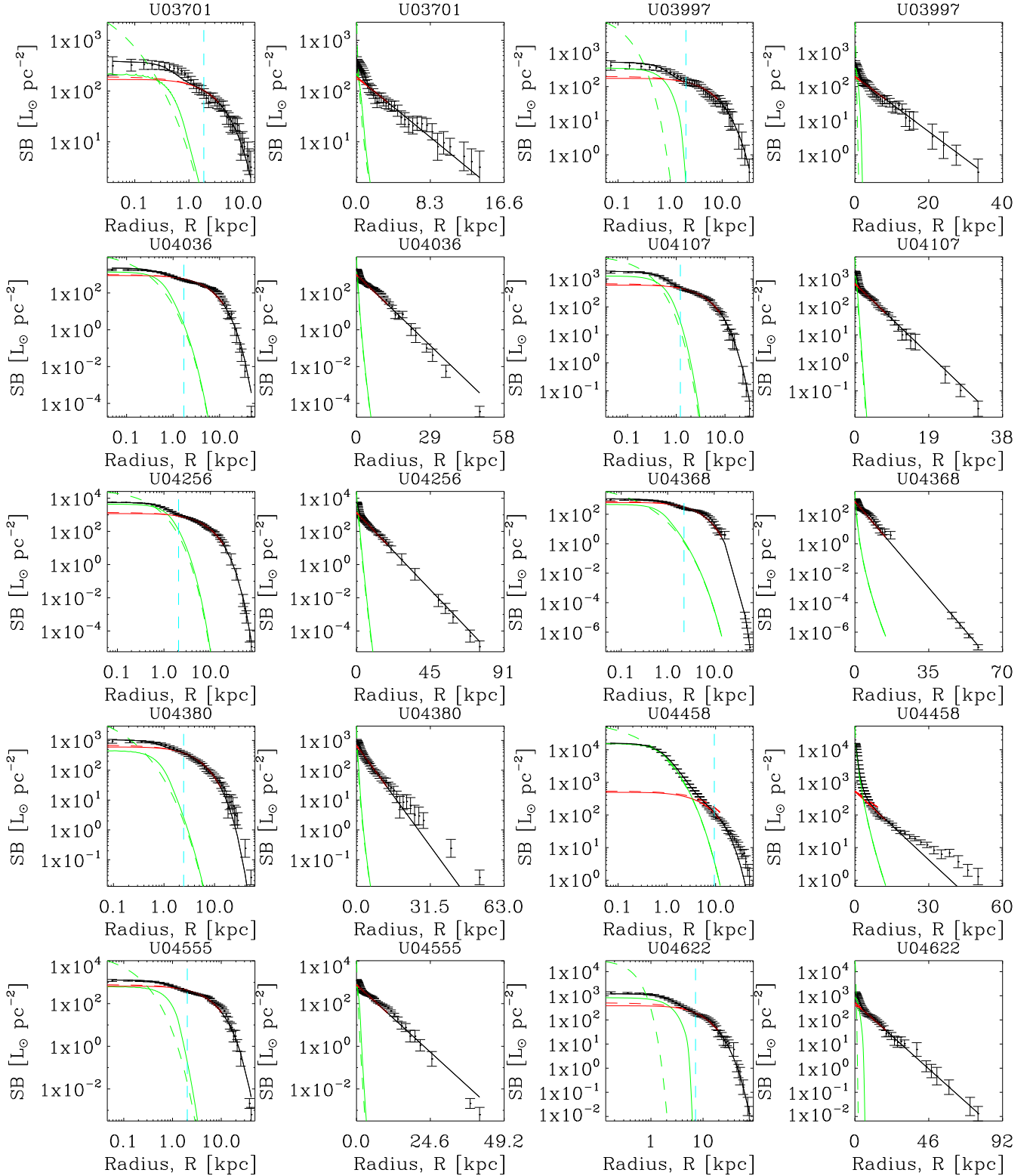
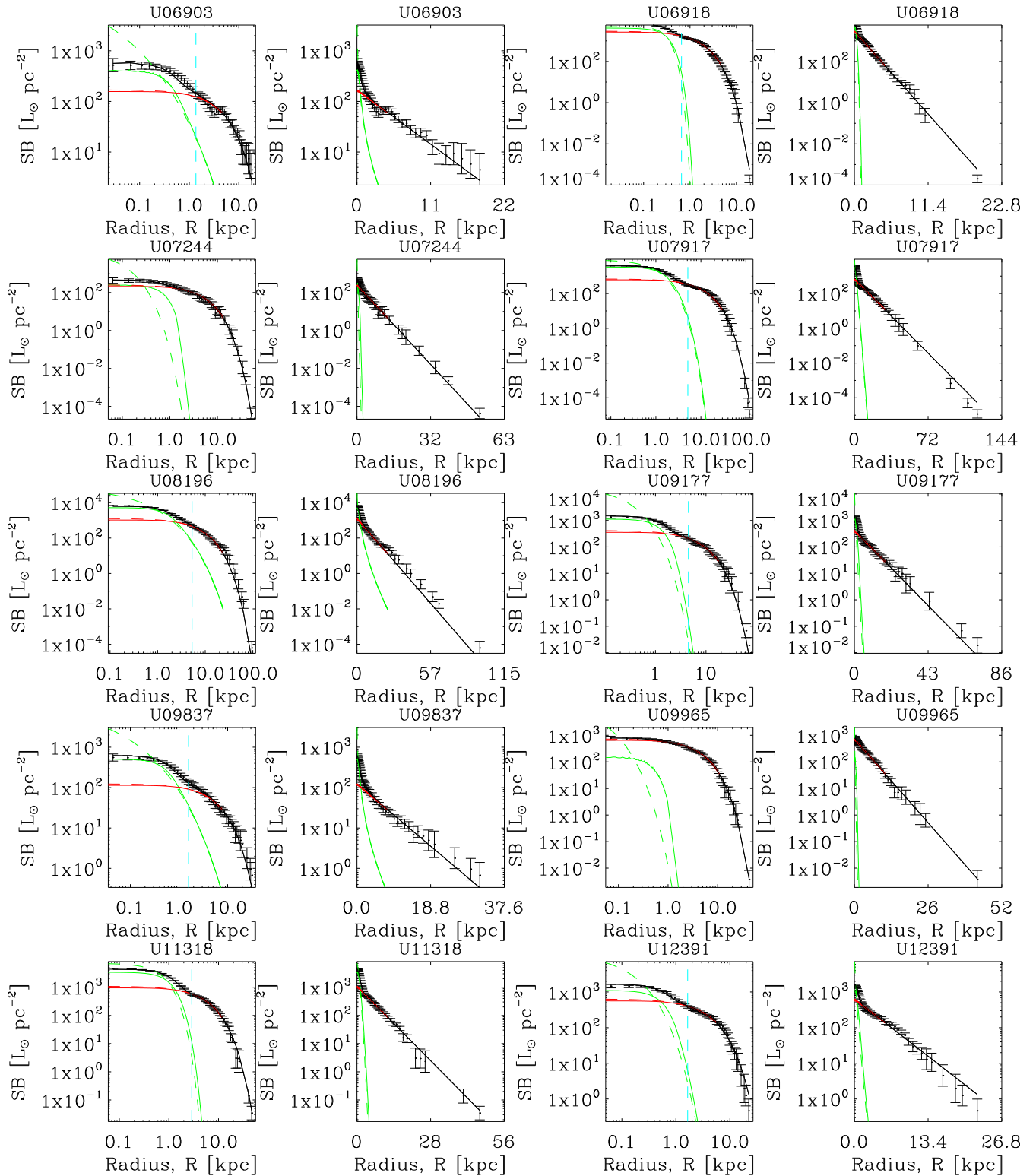


Figure 5. As per Fig. 4.

symmetry, so it does not require de-projection. Following this, rejection sampling was used to generate  $N$ -particle representations of the galaxies, with half of all particles representing the stellar disk and the other half split evenly between the stellar bulge and the atomic and molecular gas disks. The fitted scalelengths are used

for all the following analysis, but they are not used to generate the  $N$ -particle representations of the stellar disk. Instead, the ‘observed’ surface brightness of the disk is sampled after subtracting the fitted bulge – since the fit to the surface brightness can be poor at large radii.



**Figure 6.** As per Fig. 4.

In this analysis, the static  $N$ -particle representations are only used to find the potential and gravitational field, there is no  $N$ -body evolution of the simulated galaxies. The masses recovered after generating the  $N$ -particle representations of each component of each galaxy generally agreed very well with the masses reported by the DMS.

### 3.2 The MOND Poisson solver

The MOND Poisson solver described in Angus et al. (2012) is used to compute the radial and vertical gravitational fields of the  $N$ -particle galaxy models. The code solves the modified Poisson equation of the quasi-linear version of MOND (QUMOND) given

by equation (3). The code uses a 3D grid and the cloud-in-cell technique to numerically discretize the 3D density of an  $N$ -particle distribution. It then employs finite differencing and multigrid methods to iterate from a test potential to the final potential which accurately reflects the density.

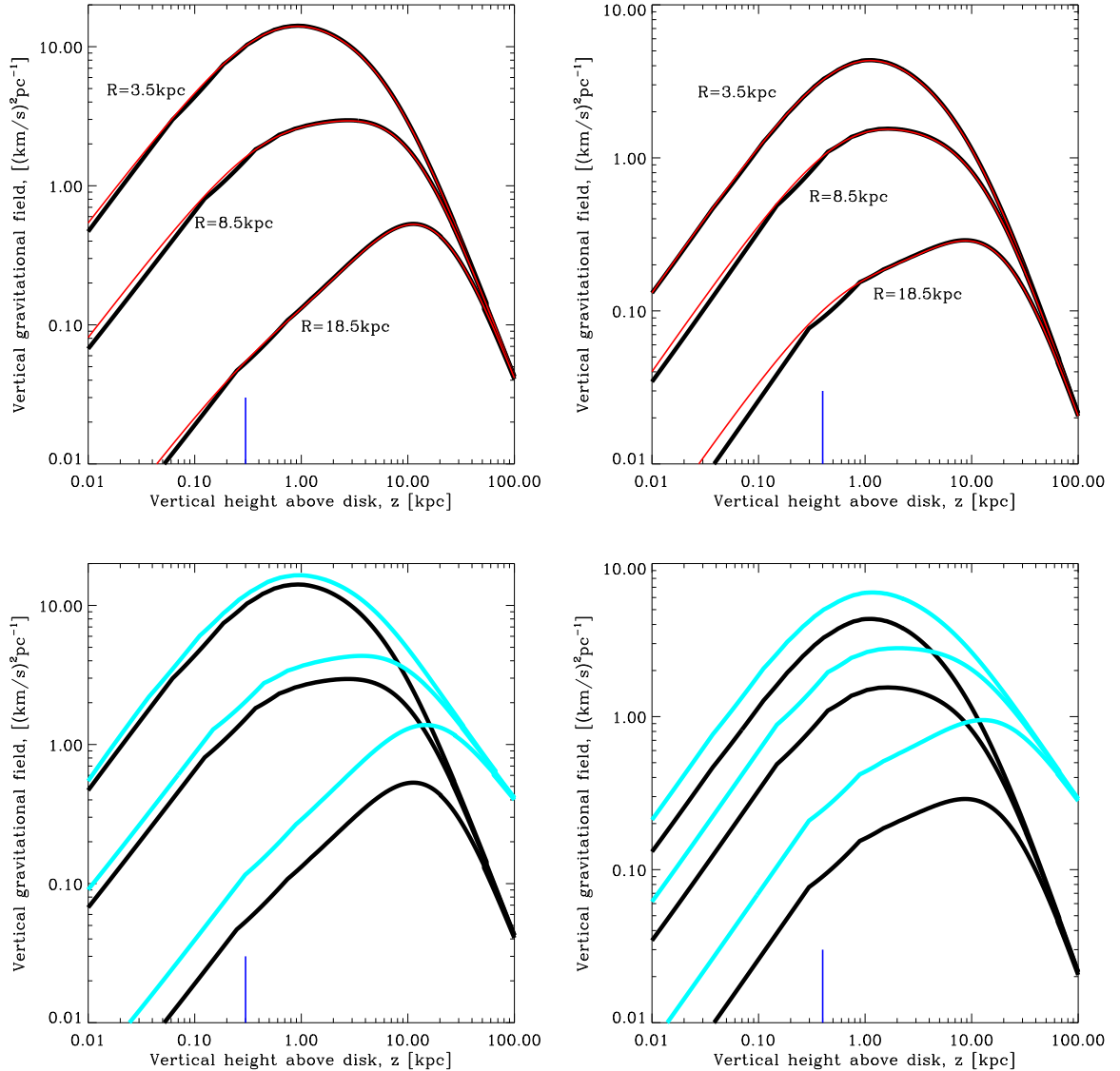
### 3.2.1 Comparison of theoretical and numerical vertical gravity

The Poisson solver is used to compute the gravitational field of the baryons in the radial direction,  $\frac{d\Phi_{\text{bar}}}{dR}(R)$ , as a function of radius, to find the model rotation speed (equation 1). Simultaneously, the gravitational field of the baryons is solved for in the vertical direction,  $\frac{d\Phi_{\text{bar}}(R,z)}{dz}$ , as a function of height above the disk, at several

discrete radii:  $R = 0.5\text{--}9.5$  kpc in steps of 1 kpc and then  $R = 11.5, 14.5, 18.5$  and 25.5 kpc. In MOND, both gravitational fields noted above are equivalent to the gradient of the total potential (i.e.  $\Phi_{\text{bar}} \equiv \Phi_{\text{tot}}$ ) used in equations (1) and (13) and thus the rotation speed can be straight-forwardly calculated and equations (13) and (14) can be integrated to find  $\sigma_z$  at different radii,  $R$ .

The most important, and difficult to compute, quantity is the vertical gravity profile at large radii. For an isolated, double exponential disk, like that introduced in equation (7), the Newtonian vertical gravity profile at a given radius can be calculated numerically – as described in detail by Kuijken & Gilmore (1989).

In the top panel of Fig. 7, the Newtonian vertical gravity profile is plotted for three discrete cylindrical radii  $R = 3.5, 8.5$  and 18.5 kpc.



**Figure 7.** Vertical gravity profile through three discrete cylindrical radii in the disk mid-plane  $R = 3.5, 8.5$  and 18.5 kpc. Top panels: comparison of the Newtonian theoretical vertical gravity profile against the computations from a Poisson solver for two different model galaxies. The galaxies are composed, as per equation (7), of a radial exponential disk and exponential scaleheight. The left-hand panels use a galaxy with scalelength  $h_R = 2.5$  kpc, scaleheight  $h_z = 0.3$  kpc and total mass  $M_d = 10^{11} M_\odot$ . The right-hand panels use a galaxy with scalelength  $h_R = 4$  kpc, scaleheight  $h_z = 0.4$  kpc and total mass  $M_d = 5 \times 10^{10} M_\odot$ . The computations from the Poisson solver with Newtonian dynamics use the thick black line and the theoretical values found using Kuijken & Gilmore (1989) equation (27) use the thin red line. The short blue vertical lines mark the scaleheight of the model disk. The match is generally very good for  $z > 0.1$  kpc. Bottom panels: comparison of the MOND (using  $\gamma = 1$ ; turquoise lines) and Newtonian (black lines) vertical gravity profiles as calculated by the Poisson solver. The galaxies used in both panels are the same as the ones used in the top panels. In these units, the MOND acceleration parameter is  $a_0 = 3.6 \text{ (km s}^{-1}\text{)}^2 \text{ pc}^{-1}$ . Clearly, the MOND boost to the gravity is relatively less significant for the higher surface density galaxy.

The left- and right-hand panels correspond to two different double exponential disk galaxies (as per equation 7). The left-hand panel has scalelength  $h_R = 2.5$  kpc, scaleheight  $h_z = 0.3$  kpc and total mass  $M_d = 10^{11} M_\odot$ . The right-hand panel has scalelength  $h_R = 4$  kpc, scaleheight  $h_z = 0.4$  kpc and total mass  $M_d = 5 \times 10^{10} M_\odot$ . The computation from the Poisson solver using Newtonian dynamics is the thick black line and the theoretical value using equation (27) of Kuijken & Gilmore (1989) is the red line. The agreement is generally very good, except for small heights above the disk. This is due to a combination of the limit of spatial resolution at large radii, owing to the centrally refining mesh, and limited particles at large radii. The average percentage error for these discrete radii (3.5, 8.5, 11.5, 14.5, 18.5 and 25.5 kpc) between the numerical calculation of  $\sigma_z$  (using equation 15) and the theoretical values are 0.05, 0.8, 0.7, 5.8, 5.2 and 4.4 per cent. Given that only 6 of the 30 galaxies have vertical velocity dispersion data points beyond 11 kpc and the errors on those data points are typically more than 10 per cent, this is more than adequate.

In the bottom two panels of Fig. 7, the Newtonian (black lines) and MOND ( $\gamma = 1$ ; turquoise lines) vertical gravity profiles are compared, both of which were computed with the Poisson solver. The MOND profiles are strongly boosted relative to the Newtonian profiles, however, the higher surface density galaxy (left-hand panel) receives less of a boost than the other.

### 3.3 Error budget

The extra information that allows the DMS to close their set of equations is that, in general, disk scaleheights are observed to correlate with their scalelengths. These scaleheights and scalelengths have been fitted to edge-on galaxies and certain assumptions are made in their modelling (like constant inclination, that the disks are well described by exponential or sech<sup>2</sup> distributions). The DMS made an analysis of literature measurements and derived a simple relationship between  $h_R$  and  $h_z$  such that in units of kpc (see DMSii)

$$h_z \sim 0.2h_R^{0.633}. \quad (16)$$

This relation has a  $1\sigma$  scatter of roughly 25 per cent (DMSii).

In the analysis presented here, the scaleheight and disk  $M/L$  are fitted to the observed vertical velocity dispersions and rotation curves of the sample of 30 galaxies. After the distribution of fitted scaleheight and scalelengths is known, they will be compared for consistency with the direct observations of  $h_R$  and  $h_z$  for the sample of edge-on galaxies compiled by the DMS. Therefore, a simultaneous fit must be made to the observed vertical velocity dispersions and rotation curves. A critical concern is the contribution of each of the two data sets to the overall likelihood. Since the vertical velocity dispersions are the primary data set, the errors on each data point as taken as computed by the DMS. Given that there is the potential for some systematic errors from disk warping, the rotation curve should not be given too large a weighting, especially the inner parts. The decision was made to increase the error bars on the rotation curve data points to  $10 \text{ km s}^{-1}$ . Lastly, the two separate reduced  $\chi^2$ s from fitting the vertical velocity dispersion profile and the rotation curve are combined.

Therefore, the likelihood is given by

$$-2\log_e \mathcal{L} = n_{\text{RC}}^{-1} \sum_{i=1}^{n_{\text{RC}}} \left( \frac{V_{\text{mod}}(R_i) - V_{\text{obs}}(R_i)}{10 \text{ km s}^{-1}} \right)^2 + n_{\text{VVD}}^{-1} \sum_{j=1}^{n_{\text{VVD}}} \left( \frac{\sigma_{z,\text{mod}}(R_j) - \sigma_{z,\text{obs}}(R_j)}{\sigma_{z,\text{obs,error}}(R_j)} \right)^2, \quad (17)$$

where  $n_{\text{RC}}$  and  $n_{\text{VVD}}$  are the number of relevant data points in the rotation curve and vertical velocity dispersion profile, respectively. The prior then multiplies  $\mathcal{L}$  to give the un-normalized posterior probability.

## 4 PRIMARY RESULTS

### 4.1 Parameter posterior probabilities

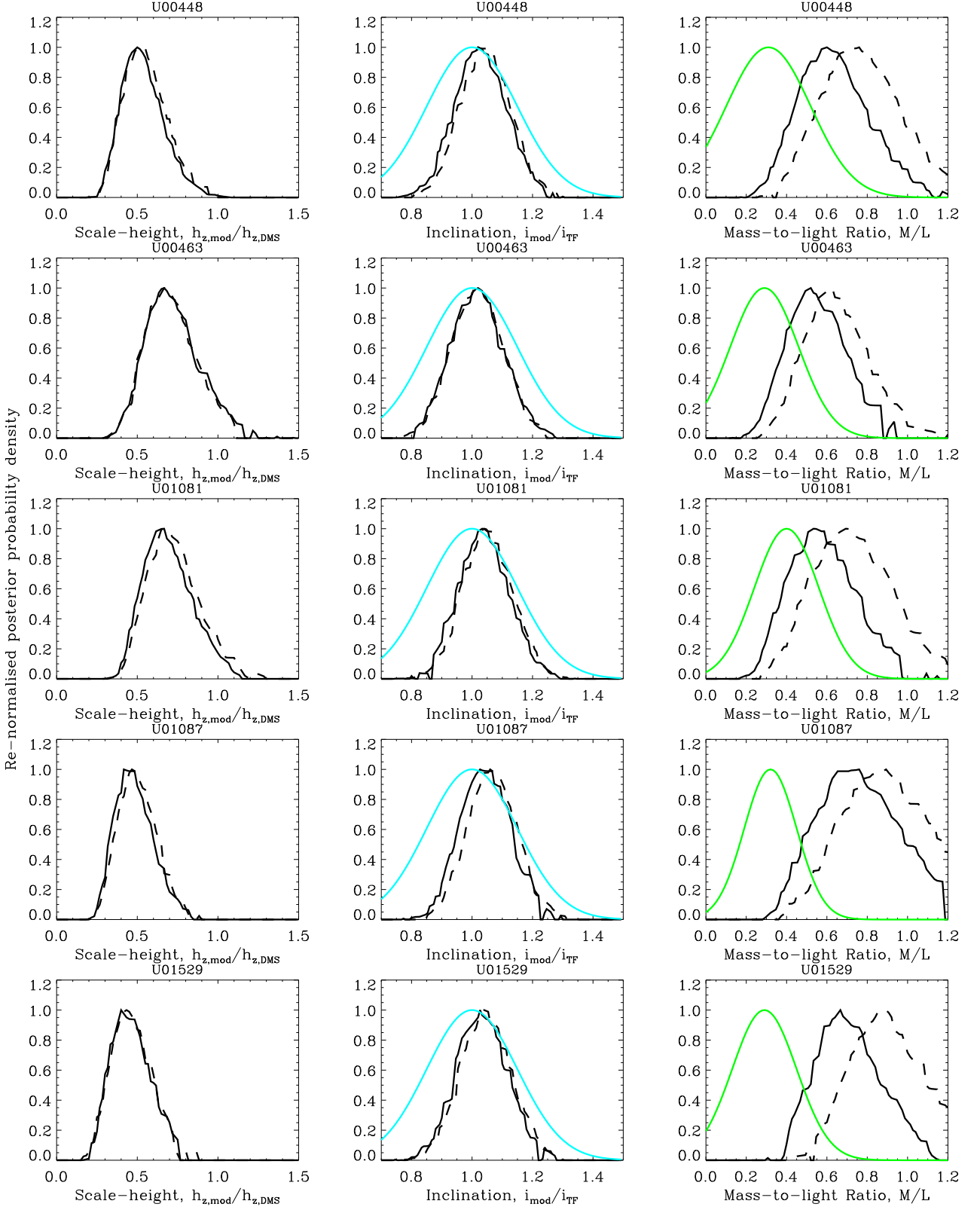
MCMC sampling was used to find the posterior probability distribution for each of the free parameters: disk scaleheight ( $h_z$ ), disk  $M/L$  ( $\Upsilon_d$ ) and inclination ( $i$ ). The scaleheight was varied by considering the ratio of the fitted scaleheight to the one derived from observations (equation 16). A broad Gaussian prior of width 1.5 was placed on this ratio. The prior placed on the disk  $M/L$  was centred on  $\Upsilon_d = 0.3 M_\odot / L_\odot$  and had a width  $0.5 M_\odot / L_\odot$ . The ratio between the fitted inclination and the luminous TF relation inclination (from equation 10) received a fairly tight Gaussian prior of 0.15 (or 15 per cent), since there should be little deviation from the TF relation. The main reason for including inclination as a free parameter is just in case there is a sharp increase in posterior probability for a small change in inclination. The full expression for the likelihood is given by equation (17). This defines how the goodness of fit for the two data sets is combined: rotation curve and vertical velocity dispersion.

The re-normalized posterior probability distributions of each of the three parameters (scaleheight, inclination and  $M/L$ ) is plotted in Figs 8–13 for each galaxy individually. There are two main lines in each of the three columns: MOND with  $\gamma = 1$  and 2 (see equation 4) black lines, solid and dashed, respectively. The second column (inclination) also has the curve of the prior (turquoise). The third column ( $M/L$ ) has a green line which represents the Newtonian gravity (with DM halo)  $M/L$  found by the DMS (DMSvi), shown only for reference. All the lines are re-normalized to have the same maximum posterior probability. The two MOND fits vary little in terms of goodness of fit.

From the left-hand column it is clear that the majority of the fits require substantially lower scaleheights than those derived from observations (equation 16), which in those panels are unity. There is a preference with most galaxies to have a higher inclination because that decreases the amplitude of the rotation curve. A lower rotation curve requires a lower  $M/L$ . A lower  $M/L$  allows a larger scaleheight – which allows better agreement with the observations of edge-on galaxies (equation 16). However, the fairly tight prior on the inclination prevents it from changing substantially. Usually, it changes by less than 10 per cent.

In Table 1, various  $1\sigma$  confidence ranges for the fitted scaleheights and stellar  $M/L$ s are presented using MOND with varying constraints (from interpolating functions and which parameters are left free). The preferred scaleheights, those from equation (16) and used by the DMS, are given for each galaxy (column 2). For reference (column 3), the  $M/L$  found by the DMS when using Newtonian gravity and DM haloes is shown, followed by the best-fitting MOND scaleheights (as a ratio between the fitted scaleheight and the one derived from observations of edge-on galaxies – equation 16) and the  $M/L$  for three different interpolating functions (the two used above and one other). These fits are for the scenario where the scaleheight and  $M/L$  are barely constrained, but the inclination is tightly constrained by its prior.





**Figure 8.** Re-normalized posterior probability for each parameter from the fits to the vertical velocity dispersions and rotation curves. Each row displays a different galaxy. The first column shows the ratio of the fitted scaleheights to the scaleheights derived from observations of edge-on galaxies, as used by *DMSvi* (equation 16). The different lines are for MOND with  $\gamma = 1$  and 2 (solid and dashed black lines, respectively). Note all rows use the same x-axis range except the galaxy UGC 8196. The second column shows the fitted inclination relative to the inclination from the luminous TF relation (V01). The prior on inclination is the turquoise curve. The third column shows the fitted  $M/L$  and the green curve is the confidence range of the deduced  $M/L$  from *DMSvi* (using Newtonian gravity with fitted DM haloes).



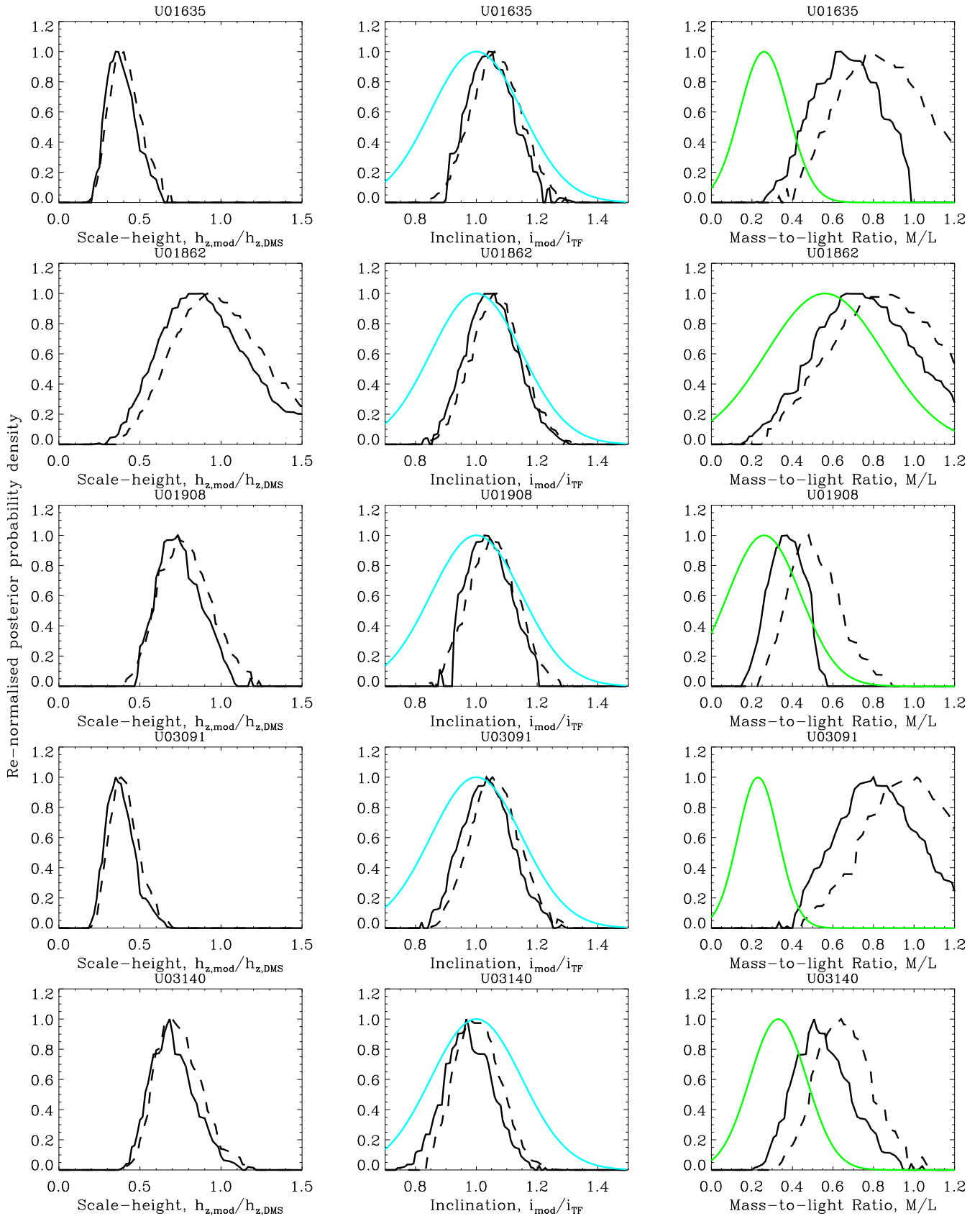


Figure 9. As per Fig. 8.

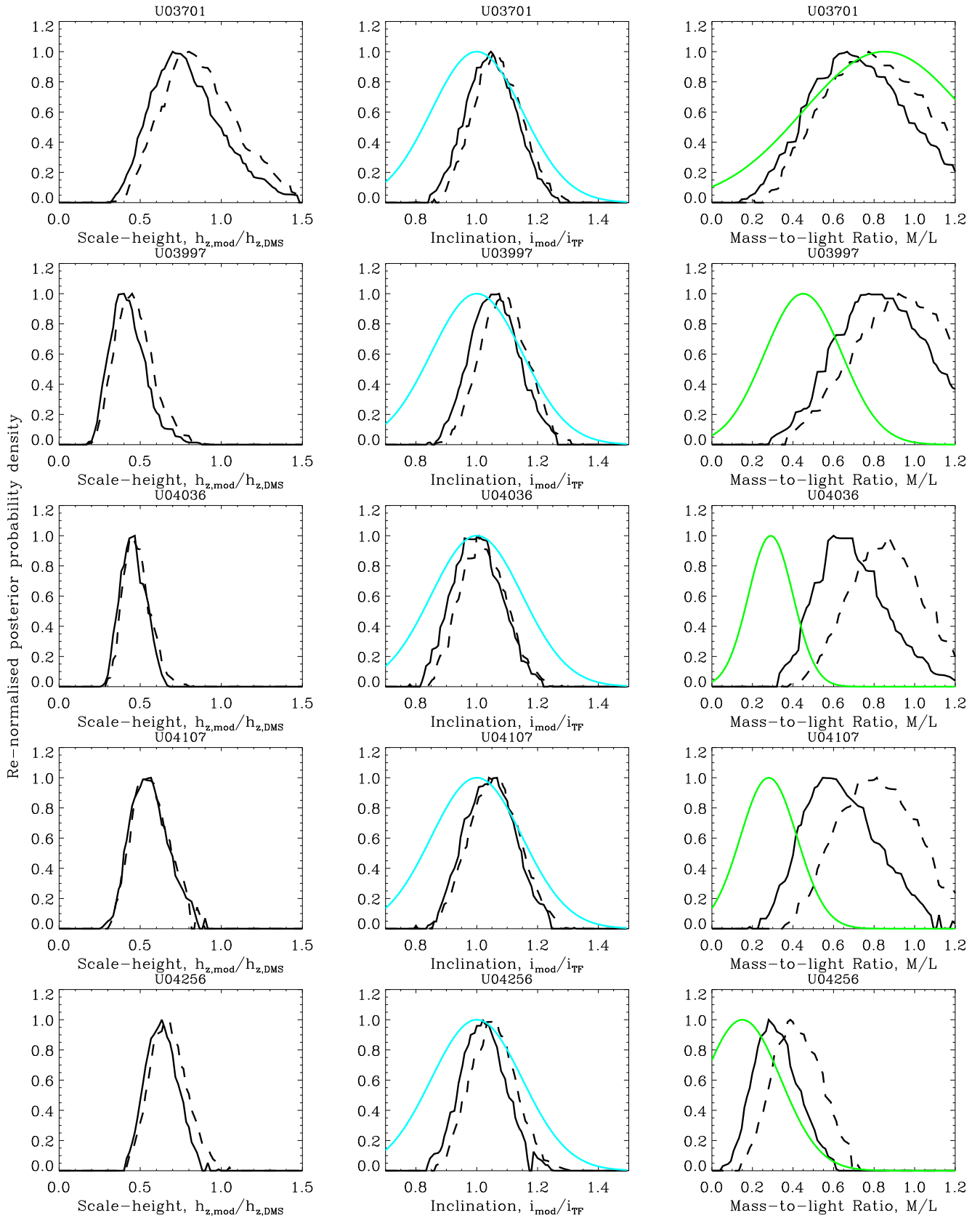


Figure 10. As per Fig. 8.

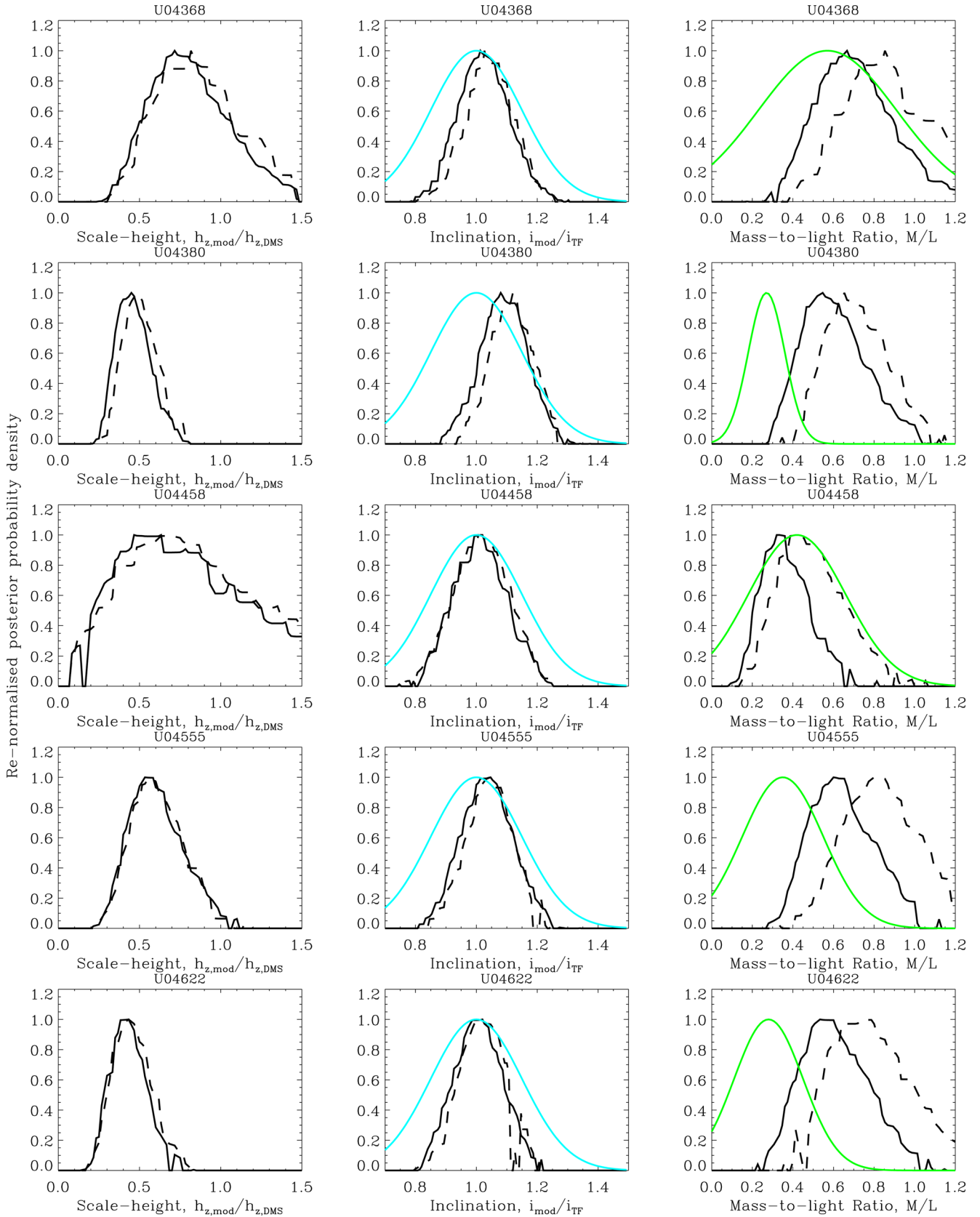


Figure 11. As per Fig. 8.

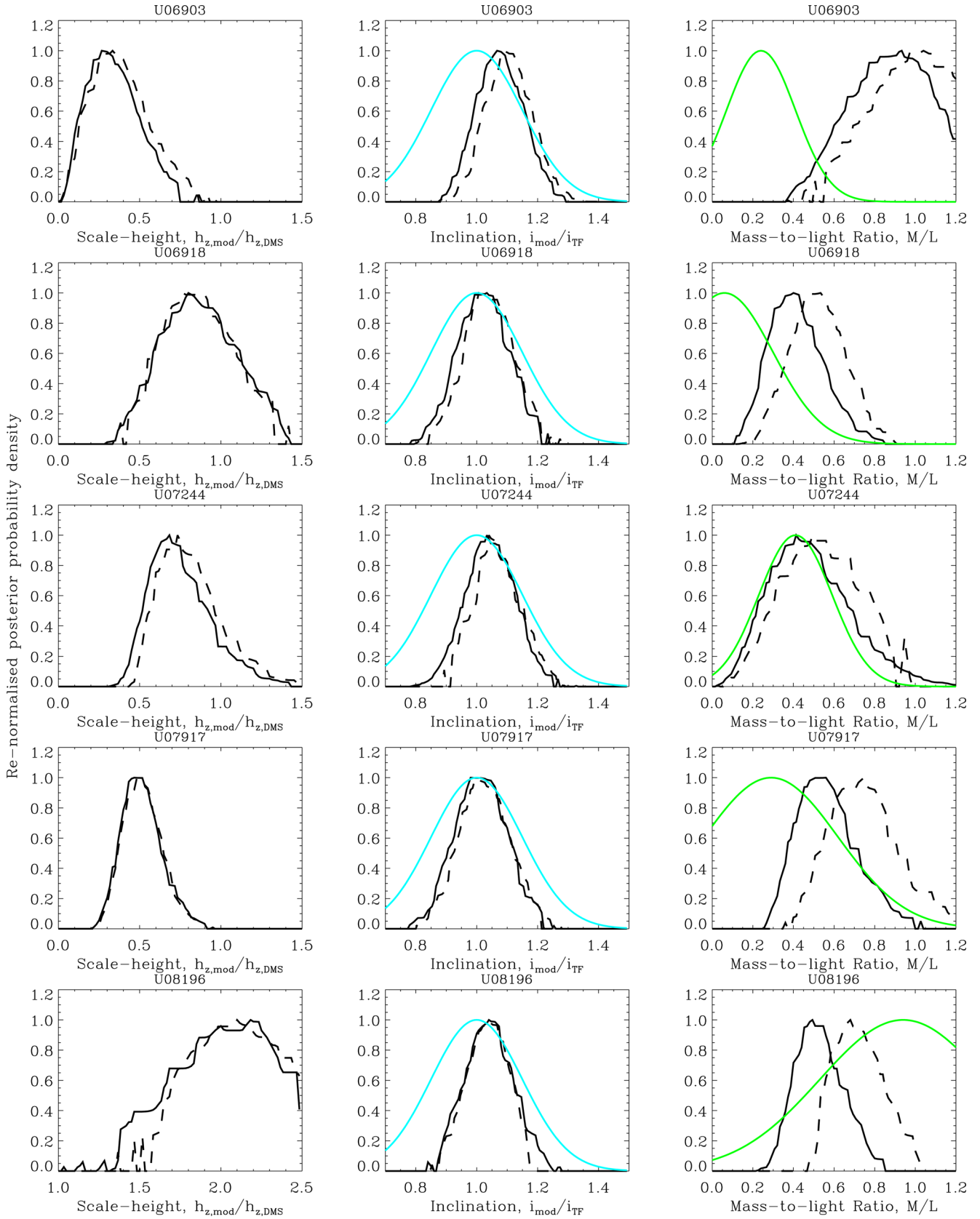


Figure 12. As per Fig. 8.

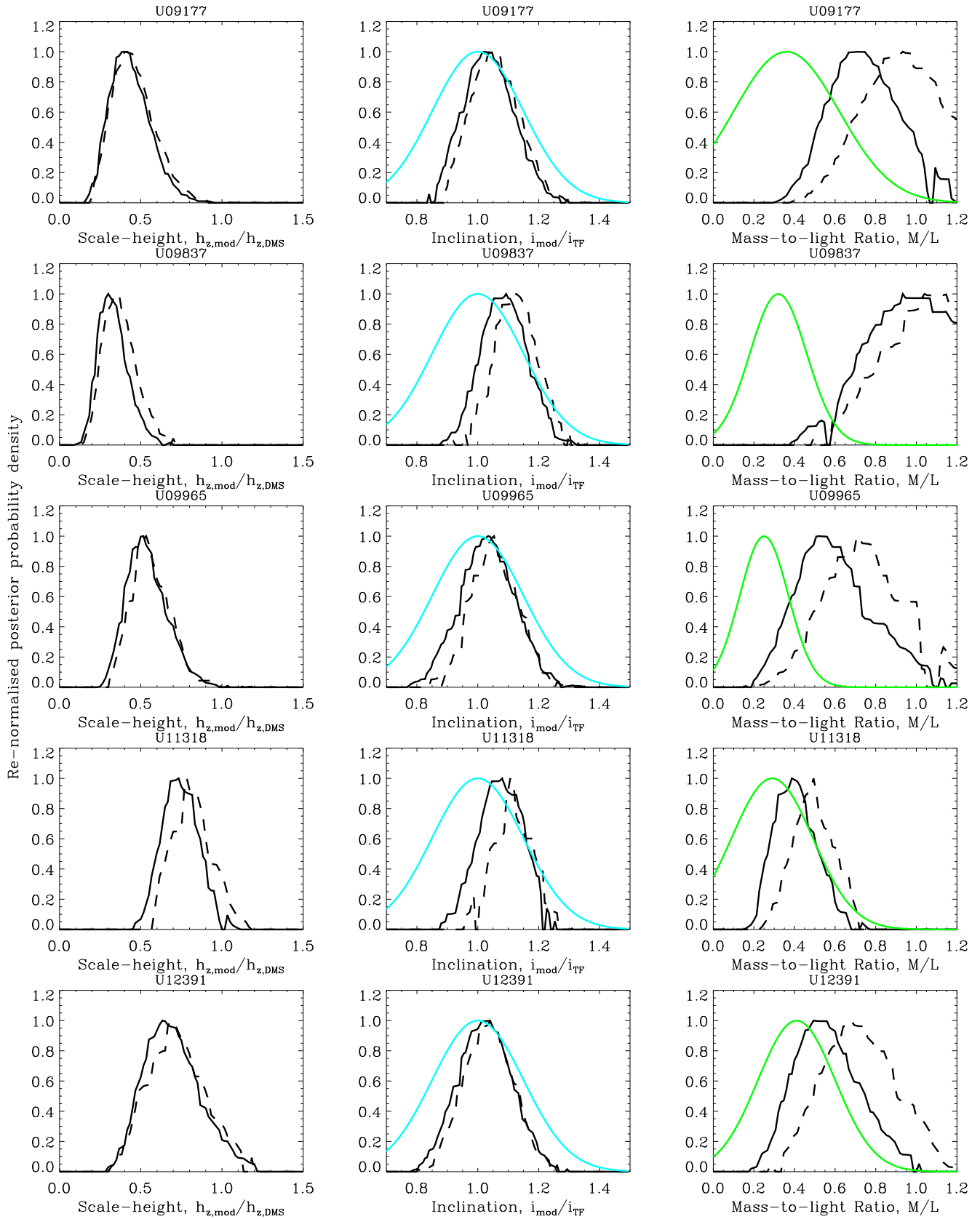
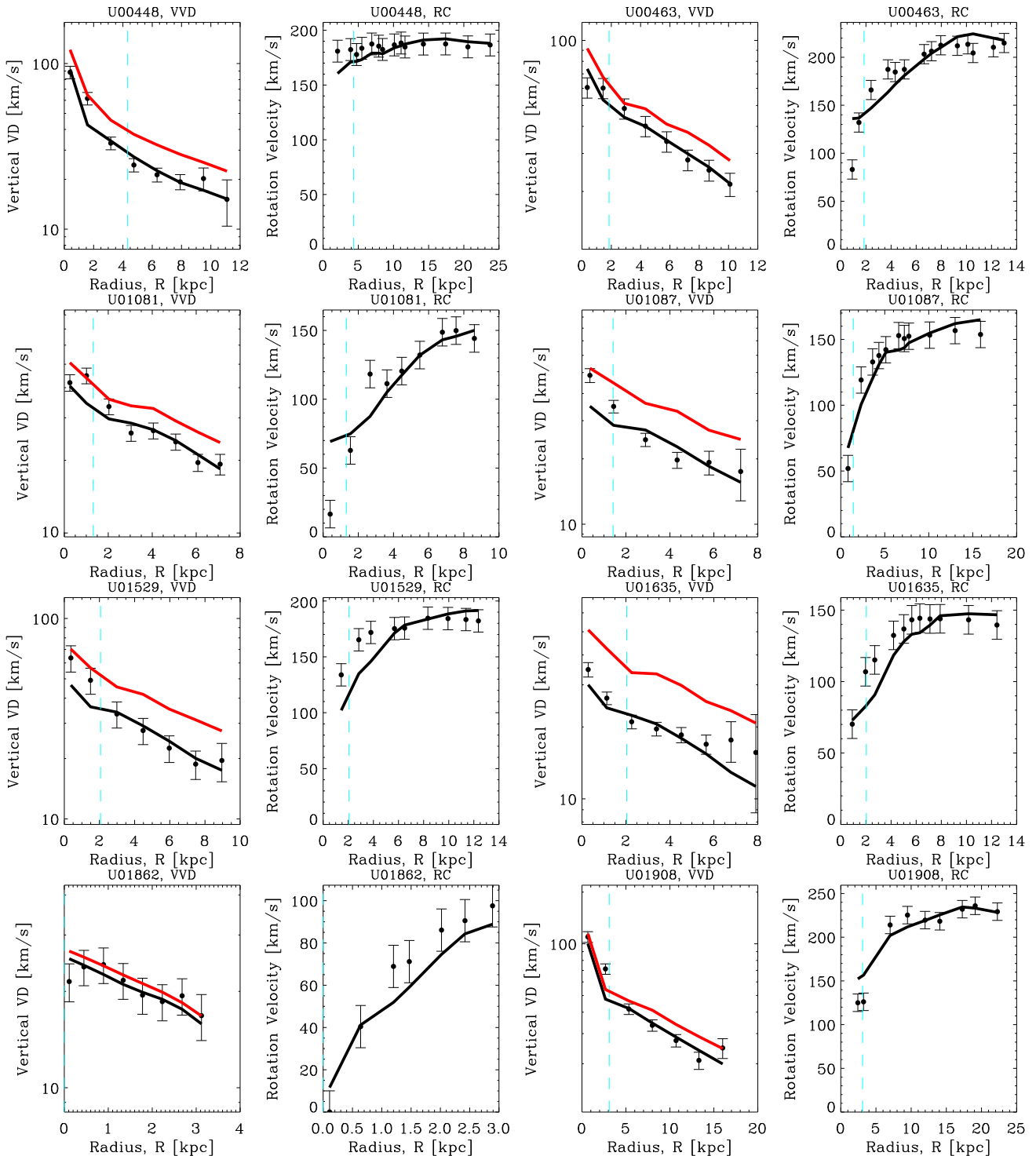


Figure 13. As per Fig. 8.

**Table 1.** This table presents various scaleheights and mass-to-light ratios with  $1\sigma$  errors for each of the 30 galaxies in the DMS sample. Column (1) gives the galaxy name, (2) the scaleheight used by the DMS, (3) has the  $M/L$  fitted by the DMS using Newtonian gravity and a DM halo. The remaining columns all pertain to MOND fits. Columns (4)–(9) give the best-fitting ratio of fitted scaleheight to the one used by the DMS and the fitted  $M/L$  for three distinct interpolating functions, respectively. These models come from analyses where the  $M/L$  and scaleheight are almost unconstrained, and the inclination has a limited amount of freedom. The next two columns (10) and (11) are for models where only the MOND acceleration parameter and  $M/L$  were free to vary (inclination and scaleheight were fixed). These two columns use different interpolating functions and show the  $M/L$  of the best fit. The last column is the best-fitting  $M/L$  found when the inclination is unconstrained, but scaleheight is fixed.

Galaxy	DMS					MOND							$i$ and $\Upsilon_d$ free
	$h_{z, \text{DMS}}$ (kpc)	$\Upsilon_{d, \text{DMS}}$	$h_z$ and $\Upsilon_d$ free; $i$ constrained		$\delta = 4$	$a_0$ and $\Upsilon_d$ free			$\gamma = 2$	$\Upsilon_d$			
			$\frac{h_z}{h_{z, \text{DMS}}}$	$\Upsilon_d$		$\frac{h_z}{h_{z, \text{DMS}}}$	$\Upsilon_d$	$\Upsilon_d$			$\gamma = 4$	$\gamma = 8$	
U00448	0.46 ± 0.10	0.31 ± 0.21	0.50 <sup>+0.12</sup> <sub>-0.12</sub>	0.59 <sup>+0.19</sup> <sub>-0.13</sub>	0.53 <sup>+0.17</sup> <sub>-0.08</sub>	0.71 <sup>+0.23</sup> <sub>-0.15</sub>	0.48 <sup>+0.24</sup> <sub>-0.09</sub>	0.55 <sup>+0.20</sup> <sub>-0.20</sub>	0.60 <sup>+0.17</sup> <sub>-0.21</sub>	0.11 <sup>+0.16</sup> <sub>-0.08</sub>			
U00463	0.45 ± 0.10	0.29 ± 0.17	0.65 <sup>+0.18</sup> <sub>-0.12</sub>	0.51 <sup>+0.17</sup> <sub>-0.12</sub>	0.63 <sup>+0.15</sup> <sub>-0.12</sub>	0.61 <sup>+0.15</sup> <sub>-0.12</sub>	0.71 <sup>+0.19</sup> <sub>-0.15</sub>	0.63 <sup>+0.11</sup> <sub>-0.11</sub>	0.64 <sup>+0.09</sup> <sub>-0.17</sub>	0.21 <sup>+0.20</sup> <sub>-0.17</sub>			
U01081	0.40 ± 0.09	0.40 ± 0.16	0.63 <sup>+0.17</sup> <sub>-0.12</sub>	0.53 <sup>+0.17</sup> <sub>-0.13</sub>	0.65 <sup>+0.15</sup> <sub>-0.08</sub>	0.69 <sup>+0.21</sup> <sub>-0.19</sub>	0.52 <sup>+0.19</sup> <sub>-0.16</sub>	0.71 <sup>+0.17</sup> <sub>-0.29</sub>	0.75 <sup>+0.16</sup> <sub>-0.17</sub>	0.19 <sup>+0.19</sup> <sub>-0.17</sub>			
U01087	0.41 ± 0.09	0.32 ± 0.13	0.42 <sup>+0.15</sup> <sub>-0.08</sub>	0.72 <sup>+0.21</sup> <sub>-0.19</sub>	0.53 <sup>+0.12</sup> <sub>-0.12</sub>	0.89 <sup>+0.20</sup> <sub>-0.23</sub>	0.65 <sup>+0.31</sup> <sub>-0.23</sub>	0.51 <sup>+0.19</sup> <sub>-0.17</sub>	0.57 <sup>+0.16</sup> <sub>-0.19</sub>	0.15 <sup>+0.11</sup> <sub>-0.09</sub>			
U01529	0.44 ± 0.10	0.29 ± 0.16	0.40 <sup>+0.15</sup> <sub>-0.08</sub>	0.67 <sup>+0.16</sup> <sub>-0.12</sub>	0.40 <sup>+0.17</sup> <sub>-0.08</sub>	0.87 <sup>+0.16</sup> <sub>-0.16</sub>	0.89 <sup>+0.23</sup> <sub>-0.23</sub>	0.91 <sup>+0.16</sup> <sub>-0.15</sub>	0.92 <sup>+0.15</sup> <sub>-0.12</sub>	0.21 <sup>+0.13</sup> <sub>-0.05</sub>			
U01635	0.39 ± 0.09	0.26 ± 0.12	0.35 <sup>+0.12</sup> <sub>-0.08</sub>	0.61 <sup>+0.23</sup> <sub>-0.13</sub>	0.37 <sup>+0.12</sup> <sub>-0.10</sub>	0.79 <sup>+0.25</sup> <sub>-0.17</sub>	0.63 <sup>+0.21</sup> <sub>-0.19</sub>	0.37 <sup>+0.15</sup> <sub>-0.16</sub>	0.43 <sup>+0.19</sup> <sub>-0.19</sub>	0.08 <sup>+0.08</sup> <sub>-0.07</sub>			
U01862	0.24 ± 0.06	0.56 ± 0.29	0.80 <sup>+0.30</sup> <sub>-0.20</sub>	0.65 <sup>+0.32</sup> <sub>-0.16</sub>	0.73 <sup>+0.12</sup> <sub>-0.10</sub>	0.85 <sup>+0.27</sup> <sub>-0.25</sub>	0.84 <sup>+0.15</sup> <sub>-0.11</sub>	0.59 <sup>+0.01</sup> <sub>-0.01</sub>	0.61 <sup>+0.03</sup> <sub>-0.05</sub>	0.59 <sup>+0.37</sup> <sub>-0.28</sub>			
U01908	0.53 ± 0.12	0.26 ± 0.18	0.73 <sup>+0.19</sup> <sub>-0.15</sub>	0.35 <sup>+0.13</sup> <sub>-0.07</sub>	0.80 <sup>+0.15</sup> <sub>-0.12</sub>	0.48 <sup>+0.12</sup> <sub>-0.11</sub>	0.37 <sup>+0.15</sup> <sub>-0.12</sub>	0.40 <sup>+0.15</sup> <sub>-0.16</sub>	0.43 <sup>+0.15</sup> <sub>-0.19</sub>	0.25 <sup>+0.11</sup> <sub>-0.09</sub>			
U03091	0.44 ± 0.10	0.23 ± 0.10	0.35 <sup>+0.10</sup> <sub>-0.07</sub>	0.80 <sup>+0.17</sup> <sub>-0.17</sub>	0.38 <sup>+0.08</sup> <sub>-0.08</sub>	1.01 <sup>+0.17</sup> <sub>-0.25</sub>	0.85 <sup>+0.28</sup> <sub>-0.20</sub>	0.48 <sup>+0.13</sup> <sub>-0.12</sub>	0.52 <sup>+0.12</sup> <sub>-0.12</sub>	0.09 <sup>+0.07</sup> <sub>-0.04</sub>			
U03140	0.43 ± 0.10	0.33 ± 0.14	0.68 <sup>+0.12</sup> <sub>-0.12</sub>	0.51 <sup>+0.13</sup> <sub>-0.11</sub>	0.73 <sup>+0.17</sup> <sub>-0.10</sub>	0.64 <sup>+0.15</sup> <sub>-0.13</sub>	0.55 <sup>+0.19</sup> <sub>-0.05</sub>	0.49 <sup>+0.07</sup> <sub>-0.05</sub>	0.49 <sup>+0.07</sup> <sub>-0.04</sub>	0.40 <sup>+0.05</sup> <sub>-0.04</sub>			
U03701	0.44 ± 0.11	0.85 ± 0.40	0.70 <sup>+0.23</sup> <sub>-0.15</sub>	0.65 <sup>+0.27</sup> <sub>-0.19</sub>	0.65 <sup>+0.25</sup> <sub>-0.12</sub>	0.77 <sup>+0.28</sup> <sub>-0.24</sub>	0.60 <sup>+0.32</sup> <sub>-0.16</sub>	0.99 <sup>+0.65</sup> <sub>-0.51</sub>	1.12 <sup>+0.60</sup> <sub>-0.57</sub>	0.36 <sup>+0.37</sup> <sub>-0.23</sub>			
U03997	0.58 ± 0.14	0.45 ± 0.19	0.37 <sup>+0.13</sup> <sub>-0.07</sub>	0.73 <sup>+0.33</sup> <sub>-0.15</sub>	0.45 <sup>+0.10</sup> <sub>-0.12</sub>	0.92 <sup>+0.28</sup> <sub>-0.21</sub>	0.79 <sup>+0.19</sup> <sub>-0.25</sub>	0.92 <sup>+0.37</sup> <sub>-0.35</sub>	0.99 <sup>+0.36</sup> <sub>-0.35</sub>	0.09 <sup>+0.12</sup> <sub>-0.09</sub>			
U04036	0.49 ± 0.12	0.29 ± 0.11	0.45 <sup>+0.08</sup> <sub>-0.11</sub>	0.60 <sup>+0.21</sup> <sub>-0.11</sub>	0.43 <sup>+0.08</sup> <sub>-0.05</sub>	0.87 <sup>+0.17</sup> <sub>-0.17</sub>	0.93 <sup>+0.17</sup> <sub>-0.24</sub>	0.48 <sup>+0.08</sup> <sub>-0.08</sub>	0.52 <sup>+0.07</sup> <sub>-0.07</sub>	0.21 <sup>+0.04</sup> <sub>-0.04</sub>			
U04107	0.41 ± 0.09	0.28 ± 0.14	0.53 <sup>+0.12</sup> <sub>-0.12</sub>	0.55 <sup>+0.23</sup> <sub>-0.12</sub>	0.58 <sup>+0.15</sup> <sub>-0.12</sub>	0.81 <sup>+0.21</sup> <sub>-0.21</sub>	0.57 <sup>+0.33</sup> <sub>-0.23</sub>	0.59 <sup>+0.17</sup> <sub>-0.43</sub>	0.65 <sup>+0.13</sup> <sub>-0.21</sub>	0.09 <sup>+0.15</sup> <sub>-0.09</sub>			
U04256	0.52 ± 0.12	0.15 ± 0.19	0.63 <sup>+0.08</sup> <sub>-0.12</sub>	0.28 <sup>+0.12</sup> <sub>-0.08</sub>	0.65 <sup>+0.10</sup> <sub>-0.10</sub>	0.39 <sup>+0.16</sup> <sub>-0.09</sub>	0.44 <sup>+0.13</sup> <sub>-0.13</sub>	0.28 <sup>+0.09</sup> <sub>-0.09</sub>	0.31 <sup>+0.08</sup> <sub>-0.08</sub>	0.09 <sup>+0.08</sup> <sub>-0.07</sub>			
U04368	0.41 ± 0.10	0.57 ± 0.34	0.72 <sup>+0.25</sup> <sub>-0.18</sub>	0.67 <sup>+0.17</sup> <sub>-0.15</sub>	0.75 <sup>+0.27</sup> <sub>-0.20</sub>	0.85 <sup>+0.09</sup> <sub>-0.19</sub>	0.59 <sup>+0.19</sup> <sub>-0.15</sub>	1.17 <sup>+0.31</sup> <sub>-0.35</sub>	1.24 <sup>+0.27</sup> <sub>-0.32</sub>	0.57 <sup>+0.56</sup> <sub>-0.31</sub>			
U04380	0.54 ± 0.12	0.27 ± 0.09	0.45 <sup>+0.10</sup> <sub>-0.12</sub>	0.55 <sup>+0.17</sup> <sub>-0.12</sub>	0.48 <sup>+0.08</sup> <sub>-0.12</sub>	0.65 <sup>+0.20</sup> <sub>-0.12</sub>	0.59 <sup>+0.12</sup> <sub>-0.12</sub>	0.35 <sup>+0.12</sup> <sub>-0.12</sub>	0.41 <sup>+0.12</sup> <sub>-0.12</sub>	0.25 <sup>+0.05</sup> <sub>-0.05</sub>			
U04458	0.79 ± 0.17	0.42 ± 0.24	0.47 <sup>+0.47</sup> <sub>-0.18</sub>	0.32 <sup>+0.08</sup> <sub>-0.08</sub>	0.58 <sup>+0.27</sup> <sub>-0.27</sub>	0.39 <sup>+0.21</sup> <sub>-0.07</sub>	0.25 <sup>+0.12</sup> <sub>-0.07</sub>	0.92 <sup>+0.15</sup> <sub>-0.13</sub>	0.92 <sup>+0.15</sup> <sub>-0.12</sub>	0.07 <sup>+0.28</sup> <sub>-0.05</sub>			
U04555	0.48 ± 0.11	0.35 ± 0.20	0.53 <sup>+0.17</sup> <sub>-0.12</sub>	0.60 <sup>+0.13</sup> <sub>-0.13</sub>	0.62 <sup>+0.20</sup> <sub>-0.15</sub>	0.80 <sup>+0.17</sup> <sub>-0.16</sub>	0.49 <sup>+0.21</sup> <sub>-0.13</sub>	1.00 <sup>+0.19</sup> <sub>-0.17</sub>	1.03 <sup>+0.17</sup> <sub>-0.17</sub>	0.16 <sup>+0.20</sup> <sub>-0.05</sub>			
U04622	0.70 ± 0.16	0.28 ± 0.17	0.38 <sup>+0.05</sup> <sub>-0.05</sub>	0.53 <sup>+0.12</sup> <sub>-0.12</sub>	0.43 <sup>+0.10</sup> <sub>-0.10</sub>	0.75 <sup>+0.20</sup> <sub>-0.19</sub>	0.45 <sup>+0.17</sup> <sub>-0.09</sub>	0.53 <sup>+0.20</sup> <sub>-0.16</sub>	0.59 <sup>+0.20</sup> <sub>-0.17</sub>	0.15 <sup>+0.12</sup> <sub>-0.08</sub>			
U06903	0.49 ± 0.11	0.24 ± 0.17	0.27 <sup>+0.20</sup> <sub>-0.12</sub>	0.92 <sup>+0.19</sup> <sub>-0.27</sub>	0.33 <sup>+0.18</sup> <sub>-0.13</sub>	1.04 <sup>+0.27</sup> <sub>-0.25</sub>	0.83 <sup>+0.28</sup> <sub>-0.19</sub>	0.91 <sup>+0.28</sup> <sub>-0.01</sub>	1.16 <sup>+0.48</sup> <sub>-0.01</sub>	0.13 <sup>+0.19</sup> <sub>-0.05</sub>			
U06918	0.21 ± 0.05	0.06 ± 0.24	0.80 <sup>+0.23</sup> <sub>-0.20</sub>	0.40 <sup>+0.11</sup> <sub>-0.13</sub>	0.77 <sup>+0.32</sup> <sub>-0.17</sub>	0.48 <sup>+0.17</sup> <sub>-0.09</sub>	0.49 <sup>+0.15</sup> <sub>-0.23</sub>	0.41 <sup>+0.16</sup> <sub>-0.20</sub>	0.44 <sup>+0.13</sup> <sub>-0.17</sub>	0.31 <sup>+0.12</sup> <sub>-0.12</sub>			
U07244	0.46 ± 0.11	0.41 ± 0.18	0.68 <sup>+0.18</sup> <sub>-0.13</sub>	0.41 <sup>+0.20</sup> <sub>-0.16</sub>	0.62 <sup>+0.08</sup> <sub>-0.08</sub>	0.49 <sup>+0.21</sup> <sub>-0.19</sub>	0.45 <sup>+0.23</sup> <sub>-0.19</sub>	0.17 <sup>+0.27</sup> <sub>-0.11</sub>	0.16 <sup>+0.35</sup> <sub>-0.11</sub>	0.21 <sup>+0.07</sup> <sub>-0.07</sub>			
U07917	0.76 ± 0.17	0.29 ± 0.33	0.45 <sup>+0.07</sup> <sub>-0.16</sub>	0.51 <sup>+0.16</sup> <sub>-0.12</sub>	0.55 <sup>+0.12</sup> <sub>-0.12</sub>	0.75 <sup>+0.19</sup> <sub>-0.19</sub>	0.39 <sup>+0.15</sup> <sub>-0.12</sub>	0.84 <sup>+0.12</sup> <sub>-0.15</sub>	0.85 <sup>+0.09</sup> <sub>-0.09</sub>	0.09 <sup>+0.13</sup> <sub>-0.13</sub>			
U08196	0.10 ± 0.12	0.14 ± 0.53	2.18 <sup>+0.42</sup> <sub>-0.42</sub>	0.49 <sup>+0.40</sup> <sub>-0.40</sub>	1.55 <sup>+0.28</sup> <sub>-0.28</sub>	0.68 <sup>+0.15</sup> <sub>-0.15</sub>	0.95 <sup>+0.20</sup> <sub>-0.20</sub>	1.00 <sup>+0.11</sup> <sub>-0.08</sub>	1.01 <sup>+0.09</sup> <sub>-0.08</sub>	1.39 <sup>+0.33</sup> <sub>-0.15</sub>			
U09177	0.67 ± 0.15	0.36 ± 0.26	0.38 <sup>+0.10</sup> <sub>-0.10</sub>	0.67 <sup>+0.23</sup> <sub>-0.23</sub>	0.43 <sup>+0.12</sup> <sub>-0.12</sub>	0.93 <sup>+0.19</sup> <sub>-0.30</sub>	0.59 <sup>+0.13</sup> <sub>-0.13</sub>	1.15 <sup>+0.24</sup> <sub>-0.20</sub>	1.17 <sup>+0.21</sup> <sub>-0.19</sub>	0.19 <sup>+0.19</sup> <sub>-0.05</sub>			
U09837	0.60 ± 0.14	0.32 ± 0.14	0.30 <sup>+0.10</sup> <sub>-0.08</sub>	0.93 <sup>+0.36</sup> <sub>-0.17</sub>	0.30 <sup>+0.12</sup> <sub>-0.07</sub>	1.04 <sup>+0.30</sup> <sub>-0.12</sub>	0.89 <sup>+0.31</sup> <sub>-0.20</sub>	0.84 <sup>+0.39</sup> <sub>-0.31</sub>	1.03 <sup>+0.28</sup> <sub>-0.41</sub>	0.05 <sup>+0.12</sup> <sub>-0.04</sub>			
U09965	0.40 ± 0.10	0.25 ± 0.12	0.50 <sup>+0.12</sup> <sub>-0.10</sub>	0.51 <sup>+0.19</sup> <sub>-0.11</sub>	0.60 <sup>+0.15</sup> <sub>-0.18</sub>	0.71 <sup>+0.16</sup> <sub>-0.16</sub>	0.48 <sup>+0.52</sup> <sub>-0.19</sub>	0.36 <sup>+0.11</sup> <sub>-0.12</sub>	0.43 <sup>+0.09</sup> <sub>-0.04</sub>	0.21 <sup>+0.05</sup> <sub>-0.04</sub>			
U11318	0.11 ± 0.15	0.29 ± 0.20	0.73 <sup>+0.12</sup> <sub>-0.12</sub>	0.39 <sup>+0.08</sup> <sub>-0.11</sub>	0.70 <sup>+0.10</sup> <sub>-0.07</sub>	0.49 <sup>+0.05</sup> <sub>-0.09</sub>	0.57 <sup>+0.09</sup> <sub>-0.11</sub>	0.37 <sup>+0.07</sup> <sub>-0.08</sub>	0.41 <sup>+0.05</sup> <sub>-0.07</sub>	0.32 <sup>+0.04</sup> <sub>-0.05</sub>			
U12391	0.46 ± 0.10	0.41 ± 0.19	0.63 <sup>+0.20</sup> <sub>-0.13</sub>	0.49 <sup>+0.19</sup> <sub>-0.11</sub>	0.67 <sup>+0.23</sup> <sub>-0.13</sub>	0.68 <sup>+0.21</sup> <sub>-0.15</sub>	0.41 <sup>+0.28</sup> <sub>-0.13</sub>	0.75 <sup>+0.21</sup> <sub>-0.28</sub>	0.81 <sup>+0.13</sup> <sub>-0.23</sub>	0.19 <sup>+0.27</sup> <sub>-0.17</sub>			





**Figure 14.** Best fits (black lines) to the vertical velocity dispersions (first and third columns) and rotation curves (second and fourth columns) in MOND with  $\gamma = 1$ . The vertical velocity dispersions found using the same best-fitting  $M/L$  and inclination, but the scaleheight derived from observations of edge-on galaxies (equation 16) are given by the red line. The data points are given in black and are derived from the raw measurements of *DMSvi*, but are corrected for the best-fitting inclination. The error bars on the vertical velocity dispersion data points are the combination of the systematic and random errors and the error bars on the rotation curve data points are  $10 \text{ km s}^{-1}$  for each point as discussed in Section 3.3. The dashed turquoise vertical line shows the bulge radius, below which the vertical velocity dispersions are unreliable.

#### 4.1.1 Comparison of the MOND fits with the *DMS* data

In Figs 14–17, the best fits to the vertical velocity dispersion (first and third columns) and rotation curve (second and fourth columns) are plotted in the MOND case with  $\gamma = 1$ . The two interpolating

functions are not plotted together because different best-fitting inclinations mean the data points vary in each case. There is little difference between the two MOND cases in terms of quality of fit. Most fits to the vertical velocity dispersion are good, but the

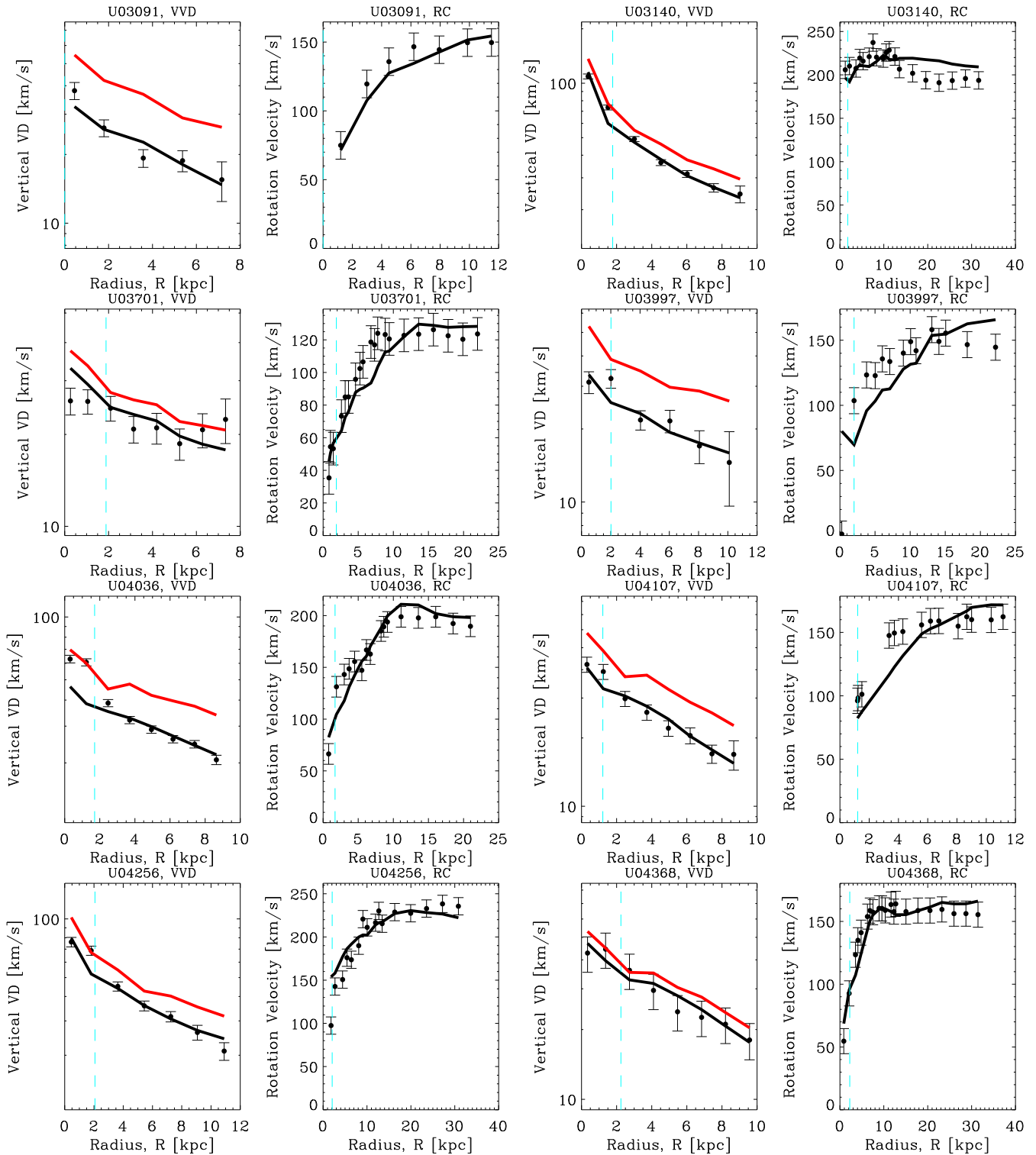


Figure 15. As per Fig. 14.

quality of fits to the rotation curves range from poor to good. Rotation curves with good fits include the galaxies UGC 448, 1081, 1087, 1908, 3091, 4036, 4368, 4380, 7244, 6918, 8196, 9965, 11318, 12391. Quite good fits are found for UGC 463, 1529, 1635, 1862, 3701, 4256; whereas the fits to UGC 3140, 3997, 4107, 4458, 4555, 4622, 6903, 7917, 9177, 9837 are poor.

MOND fits to the rotation curves of nearly face-on galaxies are very sensitive to the inclination (see e.g. de Blok & McGaugh 1998). In the outer parts, they depend on the fourth power of  $1/\sin(i)$ . Warping of the disk might be invoked to improve certain rotation curve fits, however, in some cases the DMS galaxies have already been corrected for warps.

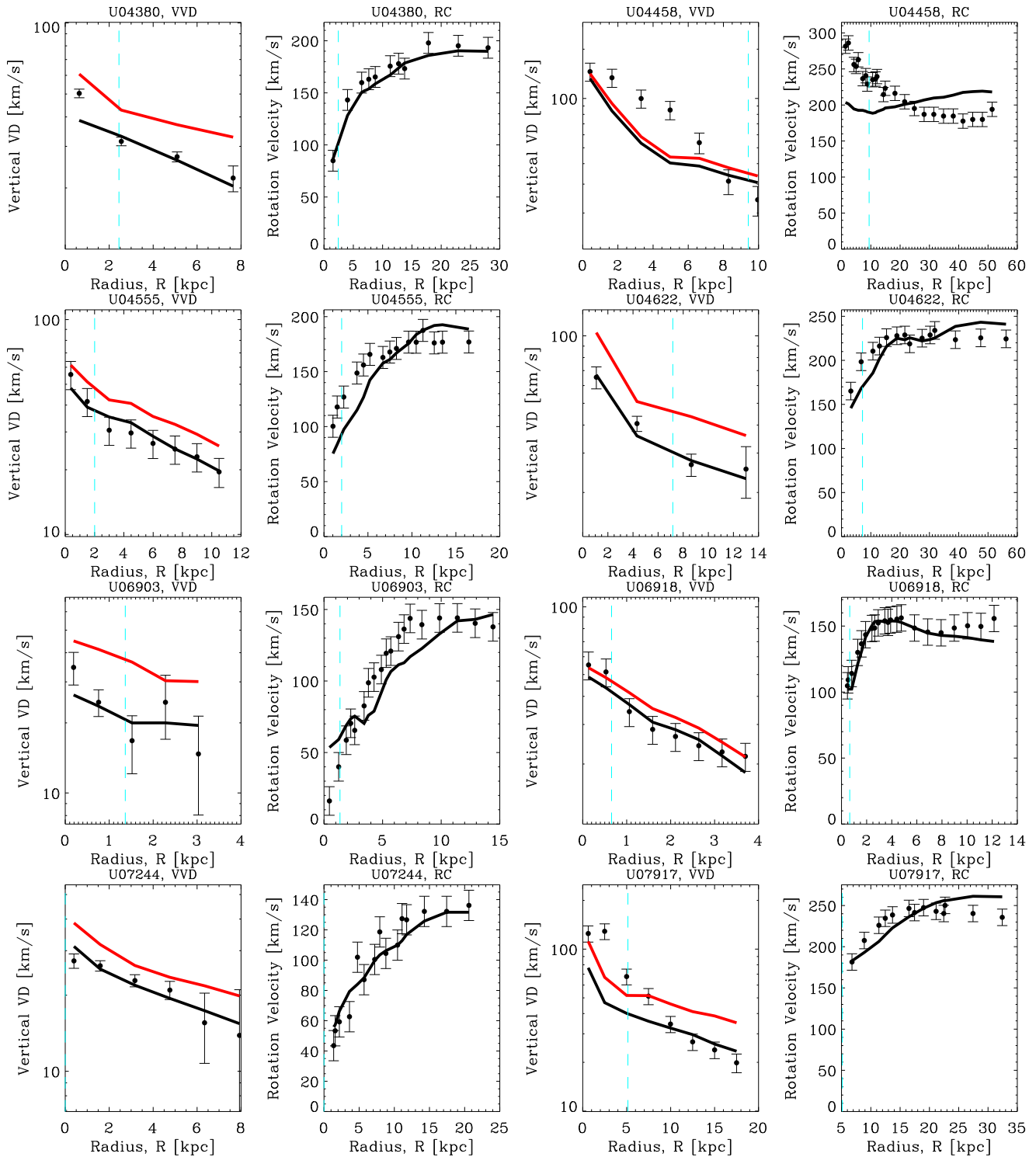


Figure 16. As per Fig. 14.

To compare with models that use the scaleheight from equation (16), the vertical velocity dispersion (red line) is overplotted for each galaxy using that larger scaleheight, but the  $M/L$  and inclination of the best fit are kept. In many cases, the red line has a far greater amplitude than the data points and best fit (black line). There are, however, a few cases where the red and black lines are close together, such as UGC 1862, UGC 4368 and UGC 4458 – although the latter only has a single relevant data point.

#### 4.2 Comparison of the fitted $h_z$ versus $h_R$ with observations

From fitting the observed surface brightness profiles of the DMS galaxies, a value for the radial scalelength was estimated for each galaxy disk. As can be seen in Figs 1–3 (second and fourth columns) the radial scalelength fitted by **DMSvi** is generally slightly larger than the best fit found here, but the majority are consistent within the errors. This fact makes the analysis presented here slightly more favourable to MOND. The difference in fitted scalelengths is in part

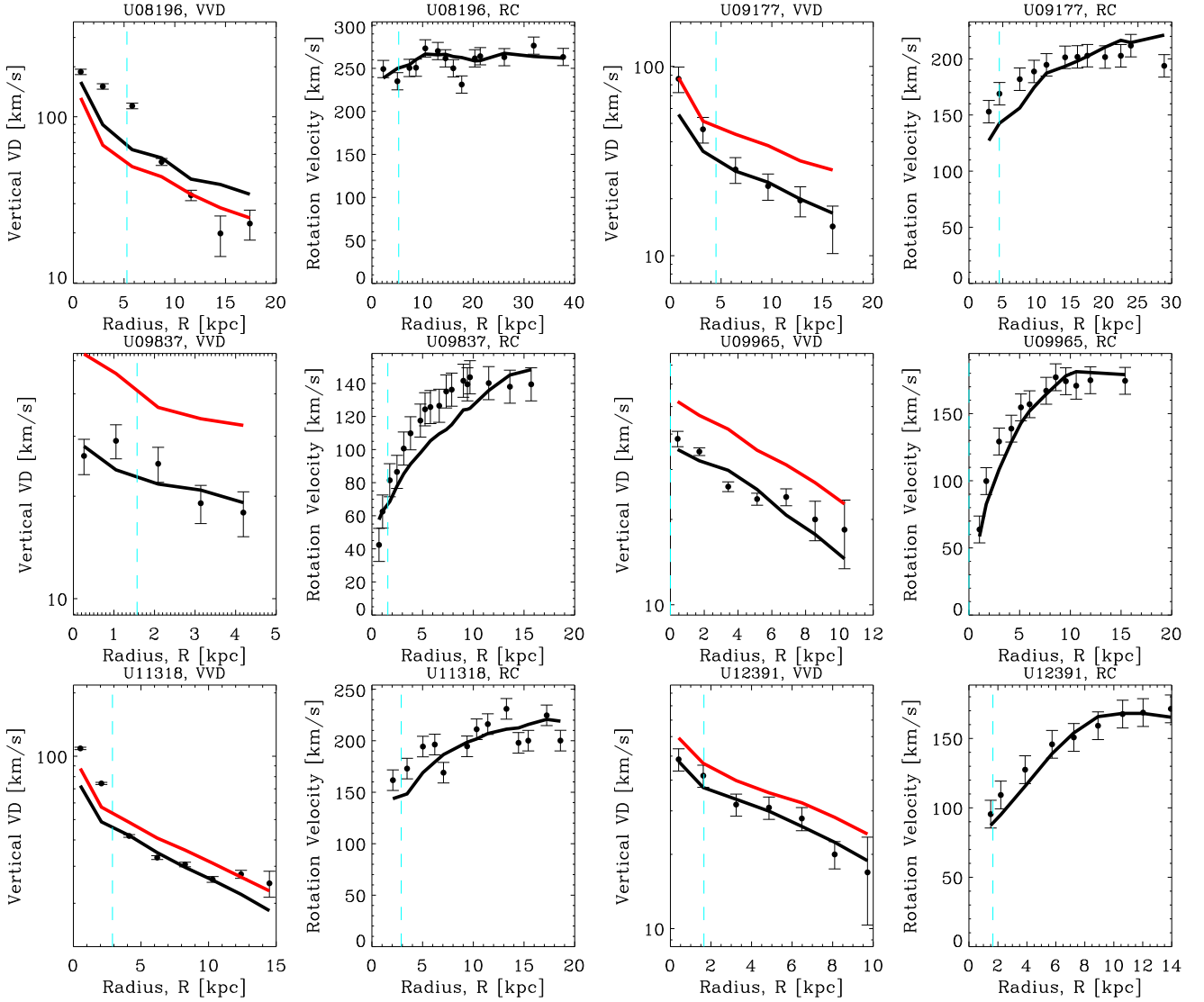


Figure 17. As per Fig. 14.

due to the fact that in *DMSvi* a finite central region was used to fit the scalelength, whereas the full extent of the galaxy is used here. Thus, for any galaxies whose disk surface brightness is not well fitted by a single exponential there is a difference. For example, UGC 4036 has a shallow inner surface brightness profile and then a steep outer decline, thus the scalelength found here naturally has a smaller scalelength. Recall that the  $N$ -particle realizations (Section 3.1) use the observed surface brightness, not the fitted exponential disk.

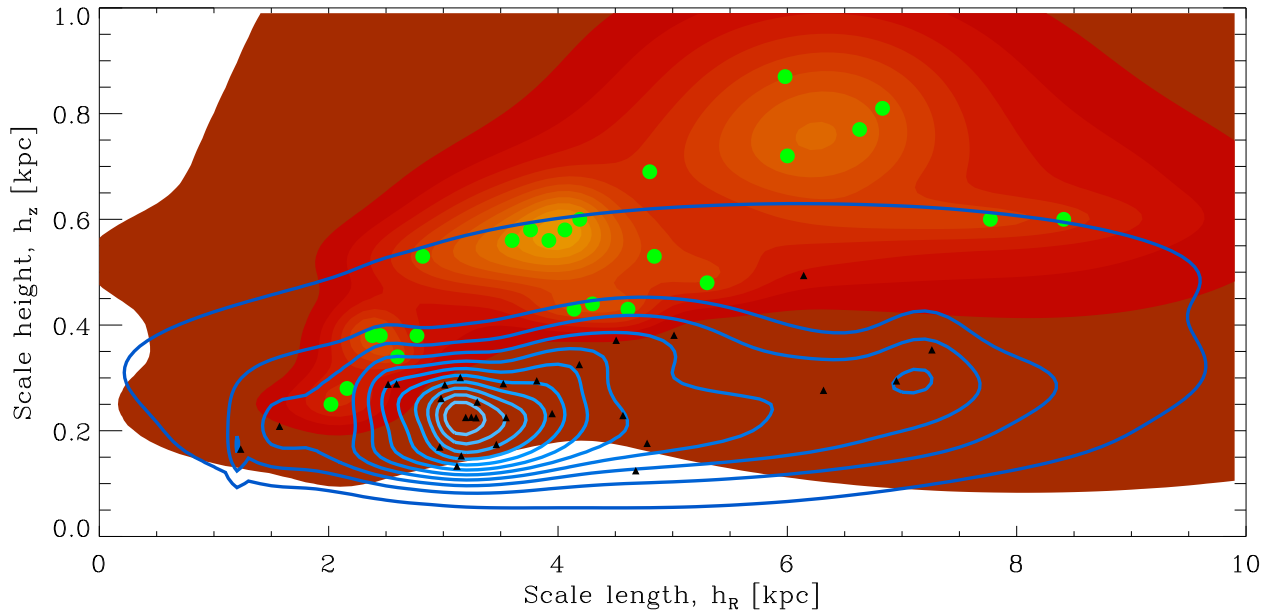
Similarly, by fitting the vertical velocity dispersion profile and the rotation curve of each DMS galaxy, a confidence range for the scaleheight of each galaxy has been derived. In principle, this combination of  $h_z$  and  $h_R$  parameters can be compared to the measurements of scalelengths and scaleheights of a sample of edge-on galaxies. In Fig. 18, the  $h_z$  versus  $h_R$  diagram for the MOND fits ( $\gamma = 1, a_0 \sim 3.6 \text{ (km s}^{-1})^2 \text{ pc}^{-1}$ ) is plotted along with the observations of these parameters for edge-on galaxies made by *K02*.

In order to visualize this more plainly, contours in the  $h_z$  versus  $h_R$  plane were added to Fig. 18. Here, the re-normalized posterior probability density of all individual data points are co-added on a fine grid, with each galaxy receiving equal weighting. A point with larger error bars will spread its probability density over a larger

range. The blue contours represent the co-added MOND probability densities and the black triangles give the location of each individual galaxy point. The error bars are not included to minimize clutter. The measurements by *K02* have red shaded contours with green circles. The MOND contours are significantly inclined relative to the *K02* contours. The equivalent plot for the  $\gamma = 2$  interpolating function is almost identical.

### 4.3 Two-dimensional Kolmogorov–Smirnov test

To demonstrate statistically the large offset in the  $h_z$  versus  $h_R$  plane between the *K02* data points in Fig. 18 and the fitted MOND points, a 2D Kolmogorov–Smirnov (KS) test (see Press et al. 1992, chapter 14.7) was employed. In this test, the first *K02* data point was taken and made the origin of four quadrants. Then the fraction of *K02* points in each quadrant and the fraction of MOND points in the same quadrants. The largest difference between the two fractions in a single quadrant is stored and then the rest of the *K02* points are cycled through, making each one the origin in turn. The largest of the largest differences is stored and then the procedure is repeated centring on the MOND points. The largest difference in fractions is



**Figure 18.** Contours of the posterior probability distribution of galaxies in the  $h_z$  versus  $h_R$  plane. The blue contours and the black triangles correspond to the scalelengths fitted to the surface brightness profiles of the DMS galaxies and the scaleheights found from the MOND ( $\gamma = 1$ ,  $a_0 = 3.6 (\text{km s}^{-1})^2 \text{pc}^{-1}$ ) fits to their vertical velocity dispersions. The measurements of scalelengths and scaleheights from observations of edge-on galaxies by K02 have red shaded contours with green circles. The re-normalized contour levels are 1.0, 0.91, 0.82, 0.73, 0.64, 0.55, 0.46, 0.37, 0.28, 0.19, 0.1, 0.0001. The two sets of contours are highly inclined relative to each other.

ordinarily the same regardless of whether the K02 or MOND points are central. This number is the statistic of merit for the 2D KS test and provides a significance level that the two samples originate from the same parent population.

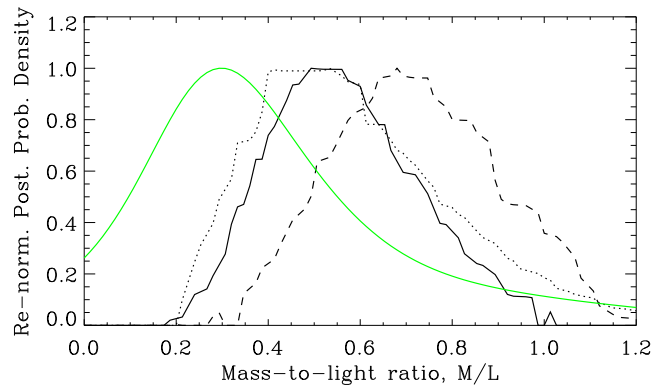
Since the data points have fairly large error bars, if the mean of a particular data point lies in the first quadrant, it is not ideal to add the whole point to that quadrant. Instead, the fraction of the point inside each quadrant determined by the point's error bars is added.

According to the 2D KS test, the significance levels that the MOND fits with  $\gamma = 1$  and 2 come from the same parent distribution as the K02 sample are  $4.4 \times 10^{-5}$  and  $1.4 \times 10^{-4}$ , respectively. Using the interpolating function  $\delta = 4$  the significance level is  $1.0 \times 10^{-4}$ . Comparing with DM models, it is easy to obtain significance levels of the order of unity, so the method seems robust.

As a separate test, a straight line was fitted to each data set of  $h_z$  versus  $h_R$  with a fixed intercept. The resulting gradients differ at the  $2\sigma$  level, regardless of interpolating function. Using a variable intercept only improves the agreement slightly.

#### 4.4 Superposition of $M/L$ distributions

In the approach presented here to modelling the DMS data, the  $M/L$  is fitted for as well as the scaleheight. At near-infrared wavelengths, a smaller variation of the  $M/L$  is expected from one galaxy to the next than at optical wavelengths (Bell & de Jong 2001; Bell et al. 2007). To find the posterior probability distribution of  $M/L$  across the full sample of galaxies, the summation of the re-normalized posterior probability density for the  $M/L$  of all 30 galaxies is plotted in Fig. 19. This is done for three MOND interpolating functions and the DMS computation of  $M/L$  in the DM scenario. This means the same weighting is given to each galaxy's  $M/L$  posterior probability density in the summation. Recall that in the fitting process a Gaussian prior is used with width  $0.5 M_\odot / L_\odot$  centred on  $M/L = 0.3 M_\odot / L_\odot$ .



**Figure 19.** Co-addition of all 30 re-normalized posterior probability profiles for the fitted  $M/L$  parameter. MOND fits for the interpolating functions using equation (4) with  $\gamma = 1$  and 2 are represented by black solid and dashed curves, respectively and  $\delta = 4$  (from equation 5) has a dotted curve. The co-added  $M/L$  found by the DiskMass Survey in DMSvi has a green curve.

The  $\gamma = 1$  MOND interpolating function (solid black line) has an average best-fitting  $M/L \simeq 0.55 \pm 0.15$ , whereas the  $\gamma = 2$  MOND interpolating function (dashed black line) requires significantly larger values,  $M/L \simeq 0.7 \pm 0.2$ . Bear in mind that the  $\gamma = 2$  produces less of a boost to the gravity than the  $\gamma = 1$  interpolating function at intermediate gravities around  $\sim a_0$ . The  $\delta = 4$  interpolating function (which uses equation 5) has a similar  $M/L$  distribution as  $\gamma = 1$ . There is no impact on the derived  $M/L$  values from the weak prior. The green line, given only for reference, is the  $M/L$  derived from the DMS analysis with Newtonian gravity and DM.

#### 4.5 Why does MOND need such thin disks?

The results presented here suggest that MOND requires disks that have roughly half the vertical scaleheight as those inferred from



observations of edge-on galaxies (DMS II or equation 16). There are two key differences between the MOND vertical velocity dispersions and those using DM. The first effect is related to the required  $M/L$  of the galaxies. Comparing a disk with the same surface brightness in MOND and Newtonian gravity, the Newtonian disk is surrounded by a DM halo. This DM halo can account for the radial gravitation field that produces the observed rotation speed. This means the  $M/L$  of the Newtonian disk is only weakly constrained by the rotation curve (van Albada et al. 1985). In MOND, the  $M/L$  is essentially fixed by the rotation speed because it is the only parameter that can be varied to allow a match between the observed and expected rotation speeds. Based on the results presented here, the required  $M/L$  of the disk is a factor of between 1.5 and 2.5 higher in MOND (cf. Fig. 19), depending on the interpolating function used. Since the  $M/L$  is typically much larger in MOND, the resulting vertical gravitational field is larger than the DM equivalent. Moreover, even if the disks in MOND and Newtonian gravity had the same  $M/L$ , the MOND gravitational field is still boosted relative to the Newtonian one as seen in Fig. 7. This follows because the nearly spherical DM halo typically has a weak influence on the vertical gravitational field within the disk.

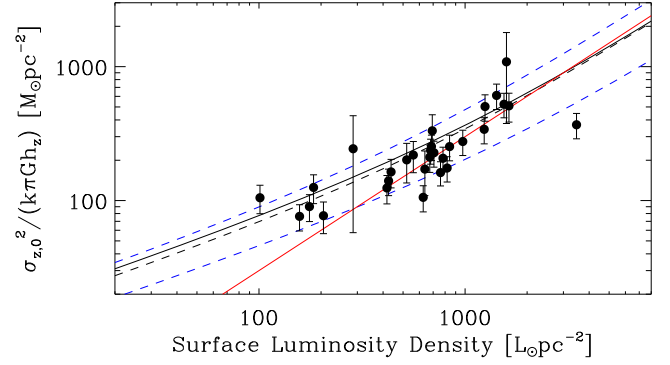
The influence of MOND on the vertical gravitational field, relative to Newton, for the same disk is of course greater when the surface density is low. This is demonstrated by comparing the vertical gravitational field of a high surface brightness galaxy (Fig. 7, bottom left panel) with a lower surface brightness one (Fig. 7, bottom right panel).

In relation to the equations of Section 2, it can be seen that increasing  $\Upsilon_d$  or  $h_z$  increases the amplitude of the model  $\sigma_z$ . However, in MOND only increasing  $\Upsilon_d$  increases the model rotation curve at intermediate to large radii. Thus, once  $\Upsilon_d$  is fixed by the rotation curve, only  $h_z$  can be varied to fit the observed vertical velocity dispersion. Since the observed vertical velocity dispersions are typically much lower than predicted by MOND,  $h_z$  must be decreased to fit them. It is for these reasons, the fitted scaleheights in MOND are significantly lower than those expected from observations (equation 16).

#### 4.6 Central dynamical surface density versus central surface luminosity density

Swaters et al. (2014) used the DMS data to present a correlation between the extrapolated disk central surface luminosity density, from the photometry, and the extrapolated disk dynamical central surface mass density (see Section 2.2.3). The majority of galaxies are consistent with the relation  $\Sigma_{\text{dyn}}(0) = 0.3 M_{\odot} / L_{\odot} I_*(0)$ , but at the low surface luminosity density end a clump of six galaxies lie above the relation. In the Newtonian context, this can be attributed to greater relative DM dominance for low surface luminosity density galaxies (Swaters et al. 2014), but this is also reminiscent of the MOND transition in rotation curves of spiral galaxies, where the effect becomes pronounced at low surface densities. Thus, a prediction for dynamical surface density versus central surface luminosity density in MOND would be valuable.

To this end, a representative galaxy from the DMS was selected – UGC 4036. The stellar bulge and all gas was removed from the  $N$ -particle realization, thus it has the same stellar disk surface brightness profile as UGC 4036, and the same vertical profile. For this model, the central vertical velocity dispersion,  $\sigma_z(0)$  from equation (15), can be found for a chosen  $M/L$ . From here, the surface brightness of the stellar disk can be scaled to compute  $\sigma_z(0)$  at a broad range of central surface luminosity densities which allows a



**Figure 20.** Disk central dynamical surface density versus disk central surface luminosity density relation. The data points correspond to each galaxy in the DiskMass Survey and are reproduced from Swaters et al. (2014). The red line illustrates  $\Sigma_{\text{dyn}}(0) = 0.3 M_{\odot} / L_{\odot} I_*(0)$ . The other lines show MOND predictions: the dashed lines use scaleheights that are twice as thin as those derived from fits to observations of edge-on galaxies (equation 16), and the solid black line uses the scaleheights derived from fits to observations of edge-on galaxies. The black lines all use  $M/L = 0.6$ . The upper blue dashed line uses  $M/L = 0.9$  and the lower one uses  $M/L = 0.3$ . The apparent flattening of the central velocity dispersions at low surface brightnesses is consistent with MOND.

comparison with the data from Swaters et al. (2014), which is done in Fig. 20.

The data points come from Swaters et al. (2014) Fig. 7 (left-hand panel) and both coordinates are extrapolated from exponential fits to the observed projected disk surface luminosity density and observed vertical velocity dispersion. The red line is the relation  $\Sigma_{\text{dyn}}(0) = 0.3 M_{\odot} / L_{\odot} I_*(0)$ . The black solid line is the MOND prediction with  $M/L = 0.6$  and the scaleheight expected from observations of edge-on galaxies (DMSii; equation 16 in this paper). The black dashed line has the same  $M/L$ , but used disks that are twice as thin, which MOND has been shown here to require in order to mesh with the DMS data. The two blue dashed lines also use disks twice as thin as observations of edge-on galaxies, with the upper line using  $M/L = 0.9$  and the lower line using  $M/L = 0.3$ . Thus, MOND provides a natural explanation for the deviation of low surface luminosity density galaxies from the central dynamical surface density versus central surface luminosity density relation.

## 5 SECONDARY RESULTS: VARYING ADDITIONAL PARAMETERS

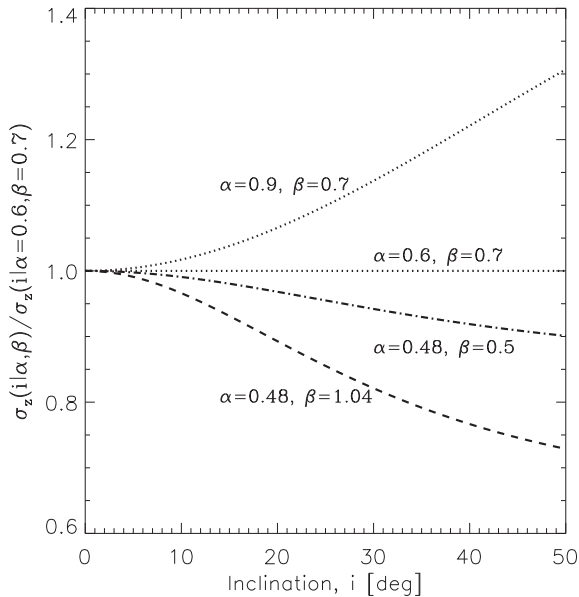
In this section, the impact of other variables on the results from Section 4 is considered.

### 5.1 Variation of the stellar velocity dispersion ellipsoid

As discussed in Section 2.2, the SVE parameters are fixed to those used by the DMS, i.e.  $\alpha = 0.6$  and  $\beta = 0.7$ . To demonstrate the degree to which a reasonable variation in these parameters might affect the results, in Fig. 21 the vertical velocity dispersion ratio between three combinations of parameters and the default values are plotted against inclination.

Using different values for  $\alpha$  in equation (12) can make a sizeable difference to the derived  $\sigma_z$ , especially for large inclinations. The dotted line of Fig. 21 shows that increasing  $\alpha$  to 0.9, which is  $2\sigma$  above the default value used by DMSvi, would increase the derived  $\sigma_z$  by around 10 per cent for standard DMS inclinations of  $25^\circ$ . On the other hand, decreasing  $\alpha$  to 0.48 and using  $\beta = 1.04$  (dot-dashed





**Figure 21.** Ratio between the  $\sigma_z$  found from equation (12), using certain  $\alpha$  and  $\beta$  parameter combinations, and the default values used by the DMS (which are  $\alpha = 0.6$  and  $\beta = 0.7$  as per *DMSvi*) against inclination. The combination of  $\alpha$  and  $\beta$  used for each line is made clear in the figure. Varying  $\alpha$  can have a significant impact on the derived velocity dispersion for increasingly inclined galaxies.

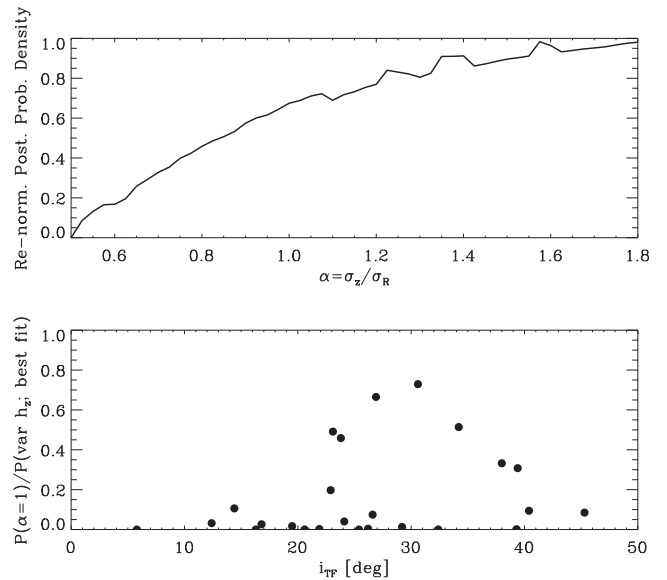
line in Fig. 21), which was the value derived by Westfall et al. (2011) for UGC 463, would *decrease* the derived  $\sigma_z$  by around 15 per cent for  $i = 25^\circ$ .

To test the impact of these SVE parameters on the fits to the vertical velocity dispersions and rotation curves, MCMC modelling was used to simultaneously fit  $M/L$ ,  $\alpha$  and  $\beta$ , with inclination fixed by the TF relation and  $h_R/h_z$  set by observations of edge-on galaxies (equation 16). Here, only flat priors are used such that  $0 < \alpha < 1$  and  $0 < \beta < 2.5$ . The  $M/L$  has a Gaussian prior of width 0.5 centred on 0.3. The most relevant parameter is  $\alpha$ , due to its impact on equation (12).

In the top panel of Fig. 22 the co-addition (for all 30 galaxies) of the re-normalized posterior probability is plotted as a function of  $\alpha$ . Each of the 30 galaxies are given equal weighting, regardless of their individual posterior probability. This shows the impact of increasing  $\alpha$  from the nominal value of 0.6 used here and by the DMS. The posterior probability increases roughly a factor of 2 and a half from  $\alpha = 0.6$  to 1.

This increase does not necessarily mean that the fit qualities are good. To demonstrate this, it is worth comparing the fits where  $\alpha$  is a free parameter (but scaleheight is fixed) to the fits where the scaleheight is free. These fits where scaleheight is free represent, for the majority of galaxies, a good quality fit to the vertical velocity dispersion. For the remainder of this section, this fit is referred to as the *benchmark* fit.  $\alpha$  does not influence the fit to the rotation curve.

In the bottom panel of Fig. 22 the y-axis is the ratio between the posterior probability of the fit with fixed scaleheight (equation 16) and inclination (luminous TF relation),  $\alpha = 1$  and best-fitting  $\beta$  and  $M/L$  to the benchmark fit. This is plotted against the inclination of the galaxy derived from the luminous TF relation to show that increasing  $\alpha$  may help with some (but not all) of the high-inclination galaxies, but the majority of the sample would have extremely poor fits to the DMS data even with  $\alpha = 1$ .



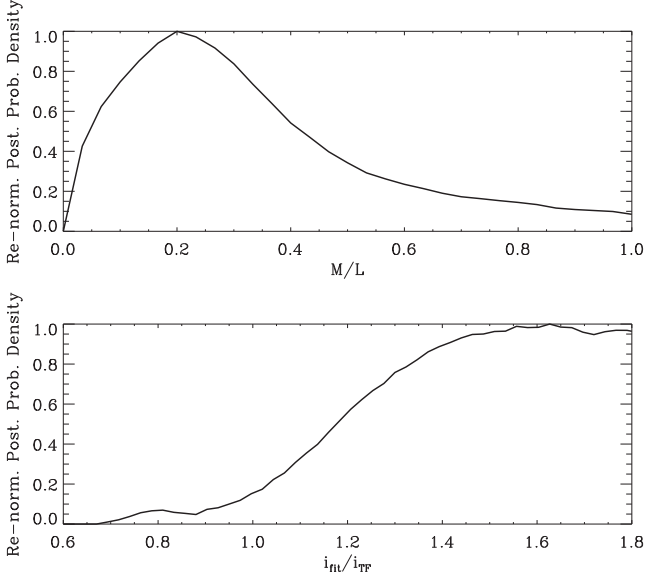
**Figure 22.** Top panel: superposition (for all 30 galaxies) of the re-normalized posterior probability density as a function of  $\alpha$ . Bottom panel: ratio between the posterior probability of the MOND fit to the DMS data with fixed scaleheight and inclination, but  $\alpha = 1$  (along with best-fitting  $\beta$  and  $M/L$ ), to the benchmark fit. This is plotted against the inclination of the galaxy derived from the luminous TF relation. The MOND parameters  $\gamma = 1$  and  $a_0 = 3.6 \text{ (km s}^{-1}\text{)}^2 \text{ pc}^{-1}$  are used.

## 5.2 Fixed scaleheight, unconstrained inclination

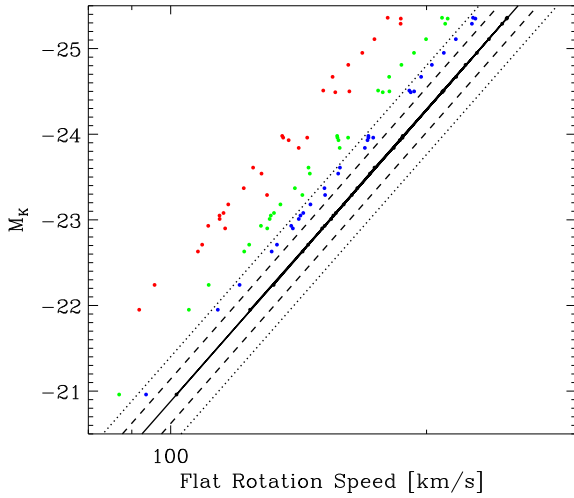
A relevant question is how far the fitted inclination would have to deviate from the luminous TF relation before a good fit to the DMS data could be achieved, whilst remaining consistent with the  $h_z$  values derived from fits to the photometry of edge-on galaxies. To do this, the scaleheight was fixed to the aforementioned value (equation 16) for each galaxy and fitted only for the inclination and  $M/L$ . The parameters  $\gamma = 2$  and  $a_0 = 3.6 \text{ (km s}^{-1}\text{)}^2 \text{ pc}^{-1}$  were used. For the sake of brevity, in Fig. 23 only the co-added, posterior probability densities for the two parameters are plotted.

The top panel shows the  $M/L$ , which is shifted to values roughly three times smaller (cf. dashed line of Fig. 19) than the original models with scaleheight free. In the last column of Table 1, the  $M/L$  range is given for each galaxy in this scenario individually. The  $M/L$  values are much smaller than the other models where inclination is constrained. In the bottom panel of Fig. 23, the inclination, which is given as a ratio of the fitted value ( $i_{\text{fit}}$ ) to the luminous TF relation value ( $i_{\text{TF}}$ ), is plotted. With an unchanged inclination ( $i_{\text{fit}} = i_{\text{TF}}$ ), the quality of the fits to the majority of the DMS galaxies are poor, but by increasing the fitted inclination to  $i_{\text{fit}}/i_{\text{TF}} = 1.4$  the fits are of a high quality. This is partly owed to the resulting rotation speeds generally having lower amplitudes. Such significant deviations from V01's luminous TF relation seems unlikely.

To demonstrate this last point, in Fig. 24 the luminous TF relation from V01 (equation 10) is plotted, which is used for the DMS and this study. The solid black line is the best-fitting TF relation and the black data points are the values of the DMS galaxies. The blue, green and red points are the positions of those galaxies if their inclinations were increased by a factor 1.1, 1.2 or 1.4, respectively. The dashed and dotted lines are the  $1\sigma$  and  $2\sigma$  scatter in the observed TF relation. Clearly, even changing the inclinations by a factor of 1.1 would be in stark conflict with the TF relation.



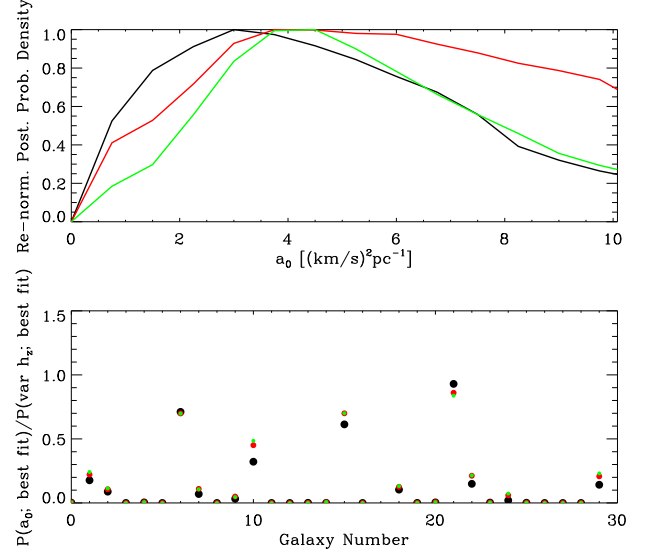
**Figure 23.** Impact of varying inclination on the goodness of fit and the  $M/L$ . These models use fixed scaleheights (equation 16), but the inclination is left completely unconstrained. Top panel: co-added posterior probability density as a function of  $M/L$ , for all 30 galaxies, required for high-quality fits to the DMS data when the inclination is left free. Bottom panel: co-added posterior probability density against the ratio of the fitted inclination to the inclination derived from the luminous TF relation (V01). The inclinations required for good fits to the DMS data are far larger than the inclinations derived from the luminous TF relation.



**Figure 24.** Luminous TF relation from V01 (equation 10) showing  $K$ -band magnitude against outer rotation velocity. The solid black line is the best-fitting luminous TF relation and the black data points represent the DMS galaxies. The blue, green and red points are the positions those galaxies would take if their inclinations were increased by a factor 1.1, 1.2 or 1.4, respectively. The dashed and dotted lines are the  $1\sigma$  and  $2\sigma$  scatter in the observed luminous TF relation.

### 5.3 Variable MOND acceleration parameter

The DMS data seem to be at odds with MOND when using the default acceleration parameter  $a_0 = 3.6 \text{ (km s}^{-1}\text{)}^2 \text{ pc}^{-1}$ . To test this parameter's influence, models were tested where the scaleheight and inclination are fixed, but the MOND acceleration parameter is variable – along with the  $M/L$ . Although varying the MOND



**Figure 25.** Top panel: co-added re-normalized posterior probability density for all 30 galaxies, this time against the MOND acceleration parameter,  $a_0$ . Three interpolating functions were used:  $\gamma = 1, 4$  and  $8$  (black, red and green lines, respectively). The default value for the MOND acceleration parameter is  $a_0 = 3.6 \text{ (km s}^{-1}\text{)}^2 \text{ pc}^{-1}$ . Bottom panel: ratio between the posterior probability of the best fit using a variable  $a_0$  and the benchmark fit. The three interpolating functions are represented by the same colours as the lines in the top panel. Varying  $a_0$  does not allow good fits to the DMS data.

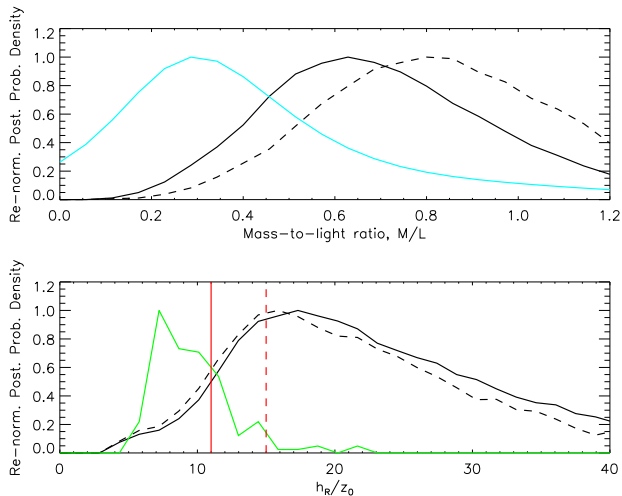
acceleration parameter from galaxy to galaxy is not an acceptable solution, this could identify a unique value for  $a_0$  that is more consistent with the DMS data. In the top panel of Fig. 25 another co-added, posterior probability density is plotted, this time against the MOND acceleration parameter. This is done for three different interpolating functions ( $\gamma = 1, 4$  and  $8$  – black, red and green lines, respectively). The parameters  $\gamma = 4$  and  $8$  are trialled to see if the sharper transition from the MOND regime to the Newtonian regime would affect the ability to fit the DMS data. It appears that little is gained overall by varying  $a_0$  and the same is true on a case by case basis. This can be demonstrated by comparing the fit with  $a_0$  free (and scaleheight fixed) to the benchmark fit (similar to what was done in Section 5.1 and Fig. 22, bottom panel). In the bottom panel of Fig. 25, for each galaxy individually, the ratio is plotted between the posterior probability of the best fit using a variable  $a_0$  (with fixed scaleheight) and the benchmark fit. The vast majority of the galaxies would have poor fits with a variable  $a_0$  and fixed scaleheight.

Varying  $a_0$  suffers from the same drawbacks as varying the  $M/L$ . Increasing  $a_0$  can allow a fit to the rotation curve with a lower  $M/L$ , but this simultaneously increases the vertical gravitational field, which in turn requires the scaleheight to be reduced.

In the second (with  $\gamma = 8$ ) and third last (with  $\gamma = 4$ ) columns of Table 1, the  $M/L$  range is displayed for each galaxy individually when the MOND acceleration parameter is variable.

### 5.4 $\text{sech}^2$ vertical distributions

Regarding whether the poor results were caused by using an exponential function to describe the vertical scaleheight, the DMS data were modelled using a  $\text{sech}^2(z/z_0)$  function (van der Kruit & Searle 1981) to describe the vertical distribution of the stellar disk in the  $N$ -particle models. The scaleheight used in the  $\text{sech}^2$  function



**Figure 26.** Top panel: superposition of the re-normalized posterior probability densities of fitted mass-to-light ratios, using a  $\text{sech}^2$  function to describe the vertical distribution of the stellar disk, for the 30 galaxies in the DiskMass sample. The black solid curve corresponds to the  $\gamma = 1$  interpolating function and the black dashed curve to  $\gamma = 2$ . The distribution found by the DMS, with an exponential vertical distribution, uses a turquoise curve and is just shown for reference. Bottom panel: superposition of the re-normalized posterior probability density of fitted scalelength to vertical scaleheight, using a  $\text{sech}^2$  distribution, for the 30 galaxies in the DiskMass sample. The black solid curve corresponds to the  $\gamma = 1$  interpolating function and the black dashed curve to  $\gamma = 2$ . The green curve is the probability density of the sample of 153 galaxies from Bizyaev & Mitronova (2002). The vertical solid red line represents the value of  $h_R/z_0$  at which the sample of Mosenkov et al. (2010) begins to decline from a previously flat value. The dashed red line represents where the probability density of Mosenkov et al. (2010) drops to zero. Using a  $\text{sech}^2$  distribution does not improve the ability of MOND to fit the DMS without decreased scaleheights.

is  $z_0$ . In Fig. 26, the posterior probability densities are plotted for the ratios of the fitted  $h_R$  to  $z_0$  for the  $\gamma = 1$  and 2 interpolating functions. These are compared with data from Mosenkov, Sotnikova & Reshetnikov (2010) and Bizyaev & Mitronova (2002). From fig. 5(d) of Mosenkov et al. (2010) the indicative range of observed  $h_R/z_0$  is between 12 and 22. A solid vertical red line is placed in Fig. 26 to mark the  $h_R/z_0$  value where their histogram begins to decline and a dashed vertical red line to mark where their data effectively terminates.

The 153 galaxies of Bizyaev & Mitronova (2002) are also used to produce a probability density (green curve in Fig. 26) to compare with the posterior probability density found here. The  $h_R/z_0$  distributions (solid and dashed black lines in Fig. 26) fitted here peak beyond where Mosenkov et al. (2010) and Bizyaev & Mitronova (2002) have any evidence of such values. The distribution found here also extends to much larger values. To demonstrate the mismatch statistically, a 2D KS test was performed to compare the  $h_R$  and  $z_0$  distributions found here with the data of Bizyaev & Mitronova (2002). The confidence levels found for the  $\gamma = 1$  and 2 interpolating functions were  $6.0 \times 10^{-7}$  and  $2.8 \times 10^{-6}$ . Evidently, the  $\text{sech}^2$  vertical distribution does little to aid the agreement between MOND and the DMS data.

## 6 CONCLUSIONS AND DISCUSSION

By assuming the scaleheights of face-on stellar disks are similar to the measured scaleheights of edge-on galaxies (DMSii), the DMS

found that stellar disks are sub-maximal, with  $K$ -band  $M/L$ s of the order of  $M/L \simeq 0.3$  (DMSvi).

In this paper, the measured vertical velocity dispersions and rotation curves from DMSvi were analysed in the context of MOND. The problem is that the velocity dispersions measured by the DMS can only allow for thick enough disks if the vertical gravitational field is weak. In Newtonian gravity, this can be accommodated by lowering the  $M/L$ . In MOND, the  $M/L$  must be larger to account for the rotation curve in the absence of DM. This means the vertical gravitational field is strong. Moreover, it is amplified by MOND relative to the vertical gravitational field of a disk with the same  $M/L$  in Newtonian gravity.

Thus, the most straight-forward way to reduce the model vertical velocity dispersions in MOND is to decrease the stellar scaleheight. If these were reduced from the values derived from observations of edge-on galaxies by roughly a factor of 2 then the DMS vertical velocity dispersions and rotation curves would be compatible with MOND. Regardless of stellar scalelength, these disks would have scaleheights between 200 and 400 pc. According to a two-dimensional KS tests, such thin disks are strongly at odds with observations.

All other key parameters involved in analysing the DMS data in MOND were modelled, such as the SVE parameters, inclination, the choice of stellar vertical distribution profile, the MOND acceleration parameter and interpolating function. No clear way was found to reconcile MOND with the data.

If the derived rotation velocities were lowered, by imposing 30–40 per cent higher inclinations, it became possible to simultaneously fit the low vertical velocity dispersions and low rotation speeds with low values for the stellar  $M/L$ . By design, since the outer rotation speeds are fitted, this is in accordance with the MONDian baryonic TF relation (with logarithmic slope fixed to 4, equation 11). Despite this, these circular velocities are inconsistent with the luminous TF relation which is quite well established for high-inclination galaxies. To this end, it would be interesting to make a fully self-consistent analysis that models the effect of the varying inclination on the two-dimensional velocity fields and the surface brightness profiles.

A prediction was made for the scaling of the central dynamical surface density versus central surface luminosity density in MOND and compared with the DMS galaxies (see Swaters et al. 2014). MOND correctly predicts that the low surface luminosity density galaxies deviate from the simple relation  $\Sigma_{\text{dyn}}(0) = 0.3 M_{\odot}/L_{\odot} I_{*}(0)$ .

In addition to viewing MOND as a modification of the law of gravity, Milgrom (2011) has maintained that a modification of inertia might be better positioned to explain all available galactic dynamics data. In such a theory, the orbital trajectories of particles would have different inertias meaning the interpolating function in the radial direction would differ from the vertical directions. This is an intriguing possibility since the problem with MOND outlined here is that for the radial force defined by a galaxy, the corresponding vertical force is too large. It is interesting therefore that the vertical velocity dispersions of disk galaxies must increase to agree with MOND, but the observed radial velocity dispersions of some dwarf spheroidal galaxies (Angus 2008; Angus et al. 2014) must decrease. This anecdotal evidence should be followed up with more rigorous study.

Something worth further investigation is the impact from super-thin disks, much thinner than the observed scaleheights represented here (Schechtman-Rook, Bershady & Wood 2012; Schechtman-Rook & Bershady 2014), on the measurement of vertical velocity

dispersions by the DMS survey. Specifically, how prominent are super-thin disks in all disk galaxies, and are the vertical velocity dispersions that the DMS measures meaningfully influenced by them? The super-thin disk found by Schechtman-Rook & Bershady (2014) was truncated at around 3 kpc, suggesting the influence is minimal. As discussed in DMSi, it is expected that stars in or near the mid-plane diffuse over time. The youngest stars may contribute to the light because of the OB stars, but they contribute little to the absorption lines, because those OB stars have weak spectral features (except for H and He).

It is possible to make even stronger claims about the status of MOND-like theories, as well as conventional dynamics, with certain desiderata. From a theoretical point of view, this would be a detailed study of the impact of the neglected cross-term (tilt of the velocity ellipsoid) in equation (15). From an observational point of view, a larger sample of edge-on galaxies (like K02) with near-infrared photometry, to more precisely confirm the correlation between scalelength and scaleheight. It would also be beneficial to have an increased sample of nearly face-on galaxies, with measured stellar velocity dispersions and rotation curves and with greater sensitivity to be able to measure the stellar velocity dispersions to larger radii.

## ACKNOWLEDGEMENTS

GWA is a postdoctoral fellow of the FWO Vlaanderen (Belgium). AD acknowledges partial support from the INFN grant Indark, the grant Progetti di Ateneo TO Call 2012 0011 Marco Polo of the University of Torino and the grant PRIN 2012 ‘Fisica Astroparticellare Teorica’ of the Italian Ministry of University and Research. The authors thank M. Bershady, K. Westfall and F. Lelli for valuable comments and are deeply indebted to the DiskMass Survey team, and Thomas Martinsson in particular, for taking and sharing their data. The reviewer also suggested several important improvements to the article.

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