

## A sequence of Type Ib, IIb, II-L, and II-P supernovae from binary-star progenitors with varying initial separations

Luc Dessart, Claudia P. Gutiérrez, Andrea Ercolino, Harim Jin, Norbert Langer

### ▶ To cite this version:

Luc Dessart, Claudia P. Gutiérrez, Andrea Ercolino, Harim Jin, Norbert Langer. A sequence of Type Ib, IIb, II-L, and II-P supernovae from binary-star progenitors with varying initial separations. Astronomy and Astrophysics - A&A, 2024, 685, pp.A169. 10.1051/0004-6361/202349066. hal-04584074

HAL Id: hal-04584074

https://hal.science/hal-04584074

Submitted on 22 May 2024

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



# A sequence of Type Ib, IIb, II-L, and II-P supernovae from binary-star progenitors with varying initial separations

Luc Dessart<sup>1</sup>, Claudia P. Gutiérrez<sup>2,3</sup>, Andrea Ercolino<sup>4</sup>, Harim Jin<sup>4</sup>, and Norbert Langer<sup>4,5</sup>

- <sup>1</sup> Institut d'Astrophysique de Paris, CNRS-Sorbonne Université, 98 bis boulevard Arago, 75014 Paris, France e-mail: dessart@iap.fr
- <sup>2</sup> Institut d'Estudis Espacials de Catalunya (IEEC), Gran Capità, 2-4, Edifici Nexus, Desp. 201, 08034 Barcelona, Spain
- <sup>3</sup> Institute of Space Sciences (ICE, CSIC), Campus UAB, Carrer de Can Magrans, s/n, 08193 Barcelona, Spain
- <sup>4</sup> Argelander Institut für Astronomie, Auf dem Hügel 71, 53121 Bonn, Germany
- Max-Planck-Institut f
  ür Radioastronomie, Auf dem H
  ügel 69, 53121 Bonn, Germany

Received 22 December 2023 / Accepted 29 February 2024

#### **ABSTRACT**

Over the last decade, evidence has accumulated that massive stars do not typically evolve in isolation but instead follow a tumultuous journey with a companion star on their way to core collapse. While Roche-lobe overflow appears instrumental for the production of a large fraction of Type Ib and Ic supernovae (SNe), variations in the initial orbital period,  $P_{\rm init}$ , of massive interacting binaries may also produce a wide diversity of case B, BC, or C systems, with pre-SN stars endowed from minute to massive H-rich envelopes. Focusing here on the explosion of the primary donor star, originally  $12.6\,M_\odot$ , we used radiation hydrodynamics and nonlocal thermodynamic equilibrium time-dependent radiative transfer to document the gas and radiation properties of such SNe, covering Types Ib, IIb, II-L, and II-P. Variations in  $P_{\rm init}$  are the root cause of the wide diversity of our SN light curves, which present single-peak, double-peak, fast-declining, or plateau-like morphologies in the V band. The different ejecta structures, expansion rates, and relative abundances (e.g., H, He, and  $^{56}$ Ni) can lead to a great deal of diversity in terms of spectral line shapes (absorption versus emission strength and width) and evolution. We emphasize that  $H\alpha$  is a key tracer of these modulations, and that He I 7065 Å is an enduring optical diagnostic for the presence of He. Our grid of simulations fares well against representative Type Ib, IIb, and II-P SNe, but interaction with circumstellar material, which is ignored in this work, is likely at the origin of the tension between our Type II-L SN models and observations (e.g., of SN 2006Y). Remaining discrepancies in the rise time to bolometric maximum of our models call for a proper account of both small-scale and large-scale structures in core-collapse SN ejecta. Discrepant Type II-P SN models, with a high plateau brightness but small spectral line widths, can be fixed by adopting more compact red-supergiant star progenitors.

Key words. hydrodynamics - radiative transfer - binaries: general - supernovae: general

#### 1. Introduction

Over the last several decades, the community has assembled a large dataset of core-collapse supernova (SN) light curves whose characteristic morphology includes single-peak, double-peak, fast-declining, or plateau events (Anderson et al. 2014b; Stritzinger et al. 2018; Modjaz et al. 2019)¹. Such variations have been understood as arising from different evolutionary channels and, in particular, single versus binary star evolution (e.g., Podsiadlowski et al. 1992; Yoon et al. 2010; Eldridge et al. 2018; Schneider et al. 2021; Laplace et al. 2021). Roche-lobe overflow (RLOF) allows for huge mass-loss rates in stars with modest luminosities and weak winds, opening a channel for the production of Type IIb and Ib SNe² from stars of moderate masses, which would have otherwise exploded as Type II-P SNe had they been single. The consideration of binary-star evolution has also become a necessity when investigating core-collapse SN

progenitors since a high fraction of massive stars reside in binary systems with an initial orbital separation tight enough for interaction and RLOF at some stage in their life (e.g., see Sana et al. 2012)

The strict segregation of events in separate SN types hides the complexity inherent to SN light curves, which tend to show a continuum in between morphological types. For example, the exact dichotomy between slow (i.e., SNe II-P) and fast decliners (i.e., SNe II-L) is hard to define (Anderson et al. 2014b), and the wealth of information encoded in spectra is typically reduced to the presence or absence of certain lines or ions. The diversity in spectral morphology is considerable among Type II SNe (Gutiérrez et al. 2017), even when considering a single line, such as  $H\alpha$  (Gutiérrez et al. 2014). This profile morphology is generally considered a feature, but it can provide critical information on the processes controlling the SN radiation characteristics and, in particular, in lifting degeneracies (Hillier & Dessart 2019). Clear examples of this are the detection of short-lived Type IIn signatures, which provide robust evidence for ejecta interaction with circumstellar material (CSM) in the early aftermath of shock breakout (Yaron et al. 2017). Type II SNe in which H $\alpha$  develops a strong and broad emission line with weak or no absorption likely interact with CSM, leading to extreme cases, such as SN 1998S (Leonard et al. 2000;

 $<sup>^{1}</sup>$  These morphologies generally refer to V-band and not bolometric light curves, which differ when there is significant UV flux.

<sup>&</sup>lt;sup>2</sup> Type Ic SNe are unlikely to arise from lower-mass massive stars in binary systems because, once stripped of the H-rich shell through RLOF, the stripping of the progenitor He-rich shell must occur through wind mass loss, which is strong enough only in higher-mass Wolf-Rayet stars (see, for example, Yoon 2017).

Fassia et al. 2000, 2001), as well as more moderate cases, such as SN 2013ej (Bose et al. 2015; Yuan et al. 2016; Hillier & Dessart 2019).

Similarly, the dichotomy between Type IIb and Type Ib SNe rests upon the residual H-rich envelope that survives at the progenitor surface at the time of core collapse. Depending on the mass transferred to a companion in a binary and the adopted wind mass-loss rates, this extended and tenuous envelope can range from as little as  $0.001 M_{\odot}$  in tight binaries (Yoon et al. 2010) up to several solar masses in the widest binaries that effectively evolve as single stars (Yoon et al. 2017; Gilkis & Arcavi 2022; Ercolino et al. 2024). The exact threshold H mass separating Type IIb from Type Ib SNe is inferred from observations to be around a few  $0.01 M_{\odot}$  (Hachinger et al. 2012; Gilkis & Arcavi 2022), although, theoretically, M(H) values as low as  $0.001\,M_\odot$  are sufficient to produce a Type IIb SN (Dessart et al. 2011). Previous studies have documented the influence of mass loss on a massive star, in particular in terms of H-rich envelope masses at core collapse. Morozova et al. (2015) showed the impact of an enhanced red supergiant (RSG) mass loss on the final pre-SN star and the corresponding Type II SN light curves, covering Type II-P to Type II-L SNe. Interaction with CSM is at the origin of the early-time luminosity boost of Type II SNe (González-Gaitán et al. 2015; Moriya et al. 2017; Morozova et al. 2017; Yaron et al. 2017; Förster et al. 2018). Hillier & Dessart (2019) present similar simulations that describe both light curves and spectra. They argue that the excess luminosity of fast-declining Type II SNe as well as the spectral morphologies require interaction – a low M(H) alone cannot explain the shape of fast-declining Type II SNe and their high luminosities. Eldridge et al. (2018) discuss the broad diversity of Type II SN light curves that arise when including interacting binaries rather than single stars alone. Hence, the impact of mass loss on the pre-SN star, and in particular on the residual H-rich envelope, as well as the potential presence of that stripped material in the direct vicinity of the exploding star play a major role in determining the SN type and properties.

In this work we present explosion and radiative-transfer calculations for massive stars in a binary system with an initial orbital period varying from 562 to 2818 d, employing the binary-star evolution models of Ercolino et al. (2024). Although similar work has been done by, for example, Gilkis & Arcavi (2022), we document here the gas, photometric, and spectroscopic properties of the resulting SNe, finding that this sample of models covers the whole range from Type Ib, IIb, and II-L (or fast-declining Type II)<sup>3</sup> to Type II-P SNe. In particular, through this sample of progenitors, we reveal how the progressive changes in the progenitor H-rich envelope impact the photometric and spectral properties during the photospheric phase.

In the next section we present the numerical setup for our calculations. We then present the results of our radiative transfer simulations, focusing first on photometric properties (Sect. 3) and then on spectroscopic properties (Sect. 4). In Sect. 5 we discuss in more detail the properties obtained for  $H\alpha$ , in particular the evolution of its strength and width in our set of models. Section 6 discusses the evolution of He I lines and in particular the properties of He I 7065 Å, which is found to be present in all models at late times and may thus offer an alternative test for the

presence of He in Type Ic SNe. Section 7 then presents an extensive comparison of our model results with prototypical Type Ib (Sect. 7.1), IIb (Sects. 7.2 and 7.3), II-L (i.e., fast-declining fast-evolving SNe; Sect. 7.4), and II-P SNe (Sect. 7.5). We present our conclusions in Sect. 8.

#### 2. Numerical approach

Our numerical exploration is a three-step process consisting of stellar evolution models carried out until core collapse with MESA (Paxton et al. 2011, 2013, 2015, 2018, 2019), followed by radiation hydrodynamics of the explosion phase until homologous expansion with V1D (Livne 1993; Dessart et al. 2010a,b), and finally the remapping of the resulting SN ejecta into the radiative transfer code CMFGEN (Hillier & Miller 1998; Hillier & Dessart 2012) to compute the evolution of the properties of the gas and the radiation (bolometric and multiband light curves as well as spectra covering from the far-UV to the far-IR).

#### 2.1. Pre-SN evolution

Our work starts from a subset of the binary-star evolution models presented in Ercolino et al. (2024), namely the models for a binary system with a primary star mass of  $12.6\,M_\odot$ , a system mass ratio of 0.95, and a range of initial binary period from  $562\,\mathrm{d}$  (logP2p75) up to  $2818\,\mathrm{d}$  (logP3p45) – our nomenclature is to refer to the models by the log of their initial period in days since our models differ initially only in that parameter. A mixing-length parameter  $\alpha_{\mathrm{MLT}}$  of 1.5 was chosen to keep in line with previous stellar-evolution simulations (see Sect. 7.5 for further discussion). Full details about the MESA parameters used are given in Ercolino et al. (2024).

With the adopted range of initial orbital separations, mass transfer takes place at different stages in the evolution of the primary star. For the shortest initial periods (i.e., tightest initial orbits), the primary H-rich envelope is severed early in the life of the primary star and leads to a case B mass transfer. For the longest initial periods (i.e., widest initial orbits), the primary never fills its Roche lobe and the star effectively evolves as a single star. In between these two extremes, RLOF starts at different ages and lasts different durations, producing a range of case B, case BC, and case C systems. The ultimate result from this set of progenitors are pre-SN stars with essentially the same core (the He-core masses for our set covers from 3.42 to  $3.98 M_{\odot}$ , with most cluttering around  $3.8 M_{\odot}$ ) but widely different H-rich envelope masses covering from 0 (model logP2p75) up to  $6.86 M_{\odot}$  (model logP3p45). As is well known (see, e.g., Woosley et al. 1994; Dessart et al. 2010a; Morozova et al. 2015; Hillier & Dessart 2019) and discussed in Ercolino et al. (2024), since the high-brightness phase of Type II SNe is primarily controlled by the H-rich envelope mass of the progenitor (and not by its metal-rich core; Dessart & Hillier 2019), one expects a wide diversity of SN outcomes covering from Type Ib (model logP2p75) to Type IIb (cases with M(H-env) on the order of  $0.1 M_{\odot}$ ) to fast-declining Type II (cases with M(H-env) on the order of  $1 M_{\odot}$ ) and more standard Type II-P (cases with M(H-env) of several solar

Ercolino et al. (2024) also emphasize the high wind massloss rates and mass transfer rates for case BC and case C systems within O (10 000 yr) prior to core collapse. These conditions are prime for producing interacting SNe. However, in this study we ignored this CSM (we thus assumed that all these pre-SN models explode successfully and in a vacuum) in order to document

<sup>&</sup>lt;sup>3</sup> Our models with such fast-declining light curves are qualitatively similar to Type II-L but typically underluminous at peak relative to events like SN 1979C (Panagia et al. 1980). The terminology should thus be taken loosely rather than strictly.

Table 1. Summary of preSN model properties.

Model	$M_{ m f}$ $[M_{\odot}]$	$L_{\star}$ $[L_{\odot}]$	T <sub>eff</sub> [K]	$R_{\star}$ $[R_{\odot}]$	$M(H)$ $[M_{\odot}]$	$M(\text{H-env})$ $[M_{\odot}]$	$M(\mathrm{He})$ $[M_{\odot}]$	<i>M</i> (O) [ <i>M</i> <sub>☉</sub> ]	$M_{\mathrm{He,c}}$ $[M_{\odot}]$	$M_{\mathrm{Fe,c}}$ $[M_{\odot}]$	$X_{\rm s}({\rm H})$	X <sub>s</sub> (He)
logP2p75 (*)	3.42	48823	24715	12.1	0.00	0.00	1.44	0.19	3.42	1.28	0.00	0.98
logP2p80	3.76	60789	4775	360.7	0.02	0.12	1.75	0.17	3.67	1.52	0.27	0.72
logP2p85	3.92	63983	3690	619.8	0.06	0.19	1.83	0.22	3.73	1.51	0.50	0.48
logP2p90	4.06	65103	3462	710.2	0.12	0.28	1.86	0.27	3.78	1.59	0.54	0.44
logP2p95 (*)	4.14	65102	3389	740.9	0.15	0.31	1.84	0.28	3.82	1.59	0.57	0.41
logP3p00 (*)	4.26	57528	3267	749.4	0.20	0.39	1.91	0.27	3.86	1.50	0.60	0.39
logP3p10 (*)	4.51	66203	3205	835.6	0.34	0.60	1.95	0.28	3.88	0.93	0.63	0.36
logP3p20	5.18	68434	3091	913.2	0.73	1.18	2.21	0.29	3.92	1.51	0.66	0.32
logP3p35	7.97	70136	3065	940.1	2.50	3.74	3.04	0.32	3.98	1.50	0.69	0.30
logP3p45	10.84	71080	3146	898.2	4.64	6.86	3.90	0.36	3.98	1.52	0.69	0.30

**Notes.** For each progenitor model (which all have an initial mass of  $12.59 \, M_{\odot}$ ), the table gives the final mass, the surface luminosity, the effective temperature, the surface radius, the total hydrogen mass, the mass of the H-rich envelope, the total mass of He and O, the He-core and Fe-core masses, and finally the surface mass fraction of H and He. The (\*) symbol refers to models that did not reach core collapse but terminated after core silicon exhaustion (consequently, these models show a lower central density – see Fig. 1 – at the end of the MESA simulation). For historical reasons having to do with the development of this work, the preSN models used here are not all exactly identical to the preSN models described in Ercolino et al. (2024).

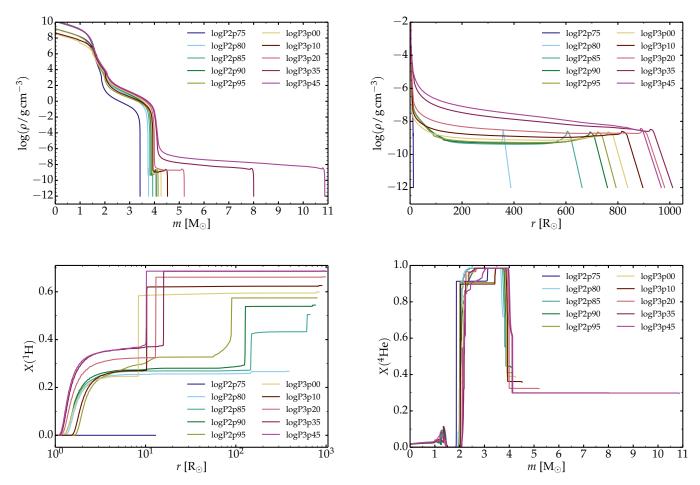
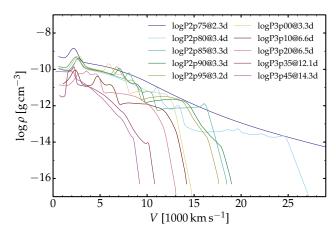


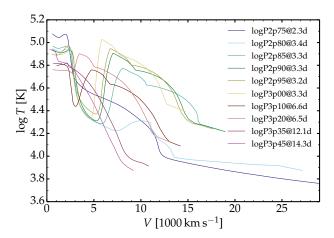
Fig. 1. Pre-SN structure for our model set. We show the density versus mass (top left) and radius (top right), the H mass fraction versus radius (bottom left), and the He mass fraction versus mass (bottom right). See Sect. 2.1.

the fundamental properties of the exploding stars alone. This is a useful first step to consider before incorporating the extra complexity associated with such CSM. We present various properties of our selected sample of binary star models in Table 1 and illustrate some of their important properties at core collapse in Fig. 1.

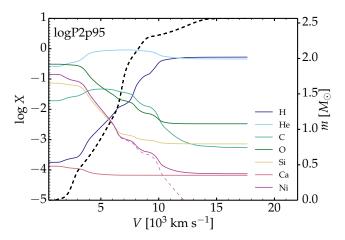
# 2.2. Explosion phase and evolution to homologous expansion

The next stage was to model the explosion of the primary star once it had reached its evolutionary endpoint. All models terminated after silicon core exhaustion but not all reached





**Fig. 2.** Initial ejecta structure for our CMFGEN simulations. We show the density (left) and the temperature (right) versus velocity for the ejecta that result from the explosion of the primary star in our set of binary progenitor models. These profiles are used as initial conditions for the radiative transfer calculations. The initial SN age, at which homologous expansion is essentially reached, occurs earlier for more compact progenitors and varies from 2.3 (model  $\log P2p75$ ) to 14.3 d (model  $\log P3p45$ ). The ejecta extend to about  $40\,000\,\mathrm{km\,s^{-1}}$  for model  $\log P2p75$  (cut at  $29\,000\,\mathrm{km\,s^{-1}}$  here for better visibility).



**Fig. 3.** Composition profile of model logP2p95 at 3.5 d used as initial conditions for the CMFGEN calculations. In the case of Ni, we show both the total mass fraction from all Ni isotopes (solid) and the mass fraction of <sup>56</sup>Ni alone (dashed). The right axis corresponds to the Lagrangian mass (dashed black line).

the nominal endpoint with a maximum core infall velocity of  $1000 \, \mathrm{km \, s^{-1}}$ . This issue is not critical for our work since we artificially triggered the explosion and do not focus on the exact post-shock nucleosynthesis nor on the remnant mass. In practice, at a mass cut of  $1.53 \, M_{\odot} \, (1.60 \, M_{\odot} \, \mathrm{for} \, \mathrm{models \, logP2p90} \, \mathrm{and} \, \mathrm{logP2p95})^4$ , we deposited, within a narrow region of  $0.05 \, M_{\odot}$ , an energy corresponding to the binding energy of the overlying layers (about  $-2 \times 10^{50} \, \mathrm{erg}$ ) and the desired kinetic energy  $E_{\mathrm{kin}}$  of the ejecta at infinity. Although V1D solves for the explosive nucleosynthesis during the simulation (each progenitor yields a specific value but with little scatter given the very similar core structures for all models in our sample), we reset the  $^{56} \, \mathrm{Ni}$  mass to be the same in all models. Here, guided by the inferred values for the Type IIb SN 1993J (Woosley et al. 1994), we adopted

 $M(^{56}{\rm Ni})$  = 0.09  $M_{\odot}$  and  $E_{\rm kin}$  =  $10^{51}$  erg (the actual values in the final ejecta differ a little because of the additional energy from nuclear burning as well as the various adjustments we made to the ejecta structure; see below). The exact value is unimportant as long as it is essentially the same one for all models in our sample – this will facilitate the interpretation of photometric and spectroscopic properties.

In all cases, the pre-SN structure was adjusted to ensure that the density at the outermost grid point was low enough to allow for shock breakout under optically thin conditions (or at least close to that). Hence, progenitors were extended with an atmosphere having a density scale height of 1% of the stellar radius and going down to a minimum density of  $10^{-12}$  g cm<sup>-3</sup> (see the top-right panel in Fig. 1)<sup>5</sup>. Although the V1D simulations were run until one year after explosion, we used the V1D results for each model at the onset of homologous expansion, or at about 2 d after explosion, whichever came first. The initial density and temperature structures used for the CMFGEN calculations are shown in Fig. 2. In contrast to the smooth density and temperature structure obtained for the compact H-deficient logP2p75 model, most models in our sample exhibit strong density variations with depth, with multiple dense shells. To reduce the sharp density jumps obtained in a Lagrangian code like V1D, we applied a Gaussian smoothing before remapping in CMFGEN (Fig. 2 shows the profiles after smoothing). These adjustments were mostly done for numerical convenience but also to get rid of artifacts arising from the imposed spherical symmetry. For a more extended discussion on shock propagation in progenitors with extended envelopes, we refer the reader, for example, to Woosley et al. (1994) or Dessart et al. (2018b) for the 1D case and to Gabler et al. (2021) for the multidimensional

Finally, to avoid having all the  $^{56}$ Ni present in the innermost ejecta layers, we applied a boxcar smoothing (width of  $0.1 M_{\odot}$ , run once through the model at  $100 \, \text{s}$  after the explosion trigger). This leads to chemical mixing between all shells and the presence of  $^{56}$ Ni out to velocities of a few thousand km s<sup>-1</sup> (see the

 $<sup>^4</sup>$  For consistency with previous similar simulations with CMFGEN, we chose our mass cut at the location where the entropy jumps above  $4\,k_{\rm B}$  baryon $^{-1}$  – other choices are possible, as long as they remain reasonable (i.e., shifts on the order of  $0.1\,M_{\odot}$ ). The exact value of the mass cut has little importance for the present study.

<sup>&</sup>lt;sup>5</sup> Other choices corresponding to a mass loaded atmosphere, a slowly accelerating wind, or even enhanced mass-loss rates are largely irrelevant here since we focus on the long-term evolution of the SN ejecta and radiation rather than on the immediate phase following shock breakout.

**Table 2.** Summary of ejecta properties used as initial conditions for our CMFGEN simulations.

Model	$M_{ m ej}$ $[M_{\odot}]$	$\frac{E_{\rm kin}}{[10^{51}{\rm erg}]}$	$M(^{56}{ m Ni})$ [0.01 $M_{\odot}$ ]	$M(\mathrm{H})$ $[M_{\odot}]$	$V_{99,H}$ [1000 km s <sup>-1</sup> ]	$V_{99, \text{He}}$ [1000 km s <sup>-1</sup> ]	$V_{99,^{56}\text{Ni}}$ [1000 km s <sup>-1</sup> ]	$X_{\rm s}({\rm H})$	X <sub>s</sub> (He)
logP2p75	1.90	1.02	8.97	0.00		1.79	6.47	0.	0.98
logP2p80	2.25	1.07	8.96	0.02	7.12	2.10	6.74	0.13	0.86
logP2p85	2.43	1.17	8.81	0.06	6.43	2.06	6.73	0.33	0.65
logP2p90	2.49	1.15	8.79	0.12	5.93	2.31	7.05	0.48	0.51
logP2p95	2.56	1.17	8.78	0.15	5.85	2.00	6.86	0.53	0.46
logP3p00	2.75	1.09	8.76	0.22	4.96	2.39	6.76	0.57	0.42
logP3p10	3.03	1.13	8.57	0.37	4.37	1.92	6.21	0.62	0.37
logP3p20	3.72	1.19	8.55	0.80	2.92	2.13	6.54	0.66	0.33
logP3p35	6.49	0.98	8.76	2.68	1.57	1.39	5.70	0.68	0.30
logP3p45	9.45	1.09	8.84	4.64	0.78	0.64	5.45	0.68	0.30

**Notes.** The columns give in turn the ejecta mass, the kinetic energy, the <sup>56</sup>Ni mass, the hydrogen mass, the velocity bounding 99% of the mass of H, He, and <sup>56</sup>Ni (integral performed inwards for H and He and outwards for <sup>56</sup>Ni), and the mass fractions of H and He in the outermost ejecta layer. These abundance values slightly differ from those shown in Table 1 because of the adopted chemical mixing (see discussion in Sect. 2.2).

illustration of the composition for model logP2p95 in Fig. 3). Table 2 summarizes the ejecta properties of our model set used as initial conditions for the CMFGEN calculations.

#### 2.3. Radiative transfer with CMFGEN

Starting from the ejecta models described in the previous section (see also Table 2 and Fig. 2), we solved the nonlocal thermodynamic equilibrium (NLTE) time-dependent radiative transfer with CMFGEN (Hillier & Dessart 2012) and computed the evolution of the gas and radiation until the nebular phase. Species that have a relatively high abundance or have been understood to impact SN radiation are included in our model atom. We treated H, He, C, N, O, Ne, Na, Mg, Al, Si, S, Ar, Ca, Sc, Ti, Cr, Fe, Co, and Ni – species with heavier nuclei than Ni are not included because they are not covered by our nuclear network (the corresponding atomic data are also scarce or nonexistent). We used the updated atomic data described in Blondin et al. (2023) and included the following ions: HI (26,36), HeI (40,51), HeII (13,30), C I (14,26), C II (14,26), C III (62,112), N I (44,104), N II (23,41), N III (25,53), O I (21,51), O II (54,123), O III (44,86), Ne I (78,155), Ne II (22,91), Ne III (32,80), Na I (22,71), Mg I (39,122), Mg II (31,80), Mg III (31,99), Al II (26,44), Al III (17,45), Sc I (26,72), Sc II (38,85), Sc III (25,45), Si I (100,187), Si II (31,59), Si III (33,61), S I (106,322), S II (56,324), S III (48,98), Ar I (56,110), Ar II (134,415), Ar II (32,346), K I (25,44), Ca I (76,98), Ca II (21,77), Ca III (16,40), Ti II (37,152),  $Ti \, III \, (33,\!206), \, Cr \, II \, (28,\!196), \, Cr \, III \, (30,\!145), \, Cr \, IV \, (29,\!234), \, Fe \, I$ (44,136), Fe II (228, 2696), Fe III (96,1001), Fe IV (100,1000), Fe V (47,191), Co II (44,162), Co III (33,220), Co IV (37,314), Co V (32,387), Ni I (56,301), Ni II (27,177), Ni III (20,107), Ni IV (36,200), and Ni V (46,183). The numbers in parentheses correspond to the number of super levels and full levels employed (for details on the treatment of super levels, see Hillier & Miller 1998). With our model atom, we treated 11 939 levels and a total of 830 000 bound-bound transitions. These are mostly coming from iron-group elements, in particular Fe, Co, and Ni (and of these three elements, Fe is the most important followed by Ni).

Besides the initially stored radiative energy, we accounted at all times for the radioactive decay power from the two-step <sup>56</sup>Ni decay chain – nearly all other isotopes are stable. The only exceptions are <sup>44</sup>Ti and <sup>57</sup>Ni, but because of their low abundances or long lifetimes, they are irrelevant at the times con-

sidered here (see, for example, Dessart et al. 2023). We treated nonthermal processes for high-energy electrons as described in Li et al. (2012). Nonlocal  $\gamma$ -ray energy deposition was computed by solving the radiative-transfer equation using a gray, absorption-only opacity equal to  $0.06 \, Y_{\rm e} \, {\rm cm}^2 \, {\rm g}^{-1}$ , where  $Y_{\rm e}$  is the electron fraction.

The grid employs 80--100 grid points uniformly spaced on a logarithmic optical-depth scale (the exact number depends on model and SN age). Remapping the grid is necessary at the start of every timestep as well as during the calculation in order to track recombination fronts, if present. To cover the full evolution to the nebular phase, a total of 30--40 timesteps were computed (differences arise because the start time is not the same for all sequences and also because some ejecta take much longer to turn nebular). The main CMFGEN output of interest for this study is the emergent flux, which is calculated at each time step in the observer's frame from the far-UV to the far-IR. This spectrum can be shown directly or used to compute the bolometric luminosity  $L_{\rm bol}$  or the absolute magnitude in various filters.

In this work, to refer to the models in our set, we repeatedly call them members of a sequence "from" logP2p75 "up" to logP3p45 because this forms a sequence of increasing initial periods for the binary system from which we extracted the exploding primary star. This sequence is a natural one since it is also one of increasing ejecta mass or H mass (see Table 1). This is a convenient shorthand.

#### 3. Results: Diversity of model light curves

Figure 4 presents the photometric evolution for our model set from 2.3–14.3 d until 92.2–159.5 d after explosion, essentially stopped when the ejecta optical depth drops to near unity. The bolometric light curves (top-left panel) exhibit different morphologies. Model logP2p75, whose progenitor has no residual H-rich envelope, shows a single,  $^{56}$ Ni-powered luminosity peak (we exclude here the shock breakout burst, modeled with V1D but not with CMFGEN) followed by a steep decline from maximum, and eventually a quasi-linear decline at nebular times with a slope that is steeper than predicted for full  $\gamma$ -ray trapping. Models logP2p80, logP2p85, logP2p90 show two separate phases in their light curves, with a first high and slowly declining luminosity down to a minimum at  $10^{41.5}$ – $10^{42.3}$  erg s $^{-1}$ , and a subsequent

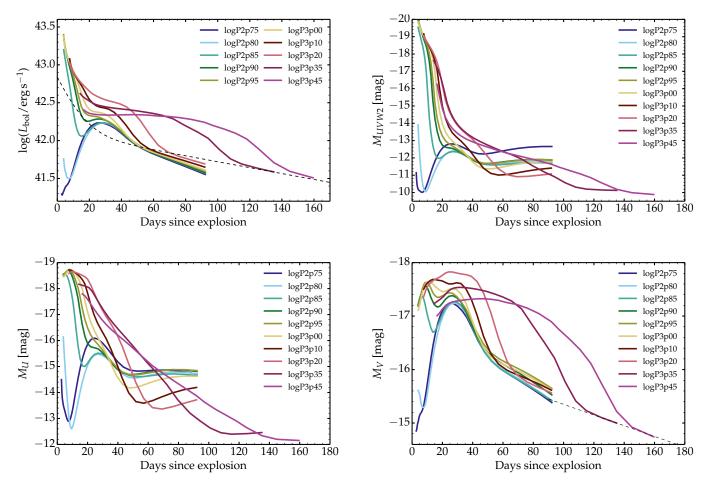


Fig. 4. Photometric properties for our model set. We show the bolometric light curves (top left) followed by the UVW2 (top right), U (bottom left), and V-band (bottom right) light curves. In the top-left panel, the dashed line corresponds to the emitted power from  $0.09 \, M_{\odot}$  of  $^{56}$ Ni. In the bottom-right panel, the dashed curve gives the predicted decline rate for full  $\gamma$ -ray trapping and a constant color, which essentially holds in the more massive ejecta models logP3p35 and logP3p45.

evolution that lines up with that obtained for model logP2p75<sup>6</sup>. Models logP2p95, logP3p00, logP3p10, and logP3p20 show a prolonged decline of the initial high, post-breakout luminosity, with no clear separated peak, and eventually merge with the light curve obtained for model logP2p75 at 50-70 d after explosion. The late-time decline in those models is flatter for greater ejecta masses. Models logP3p35 and logP3p45 exhibit a clear plateau in their light curve, which lasts until the end of the optically thick phase at 100–120 d after explosion. At a qualitative level, this set of light curves thus covers that obtained for Type Ib or Ic SNe (e.g., logP2p75) from stripped compact progenitors, for Type IIb SNe from progenitors with an extended but low-mass Hrich envelope (e.g., models logP2p80, logP2p85, logP2p90), for fast-declining Type II-L SNe (e.g., models logP2p95, logP3p00, logP3p10, and logP3p20), and for more standard Type II-P SNe (e.g., models logP3p35 and logP3p45).

Such a diversity of light curves is not a new prediction of our work. Single-peaked light curves are typical of stripped-envelope SNe and are well understood in the context of moderate mass ejecta (e.g.,  $3-5\,M_\odot$ ) powered by the radioactive decay of O(0.1  $M_\odot$ ) of <sup>56</sup>Ni (see, e.g., Ensman & Woosley 1988; Dessart et al. 2011; Bersten et al. 2013). The extended high luminosity phase prior to that main peak arises from pro-

genitors with extended O (0.1  $M_{\odot}$ ) H-rich envelopes (see, e.g., Woosley et al. 1994; Blinnikov et al. 1998; Bersten et al. 2012; Dessart et al. 2018b). Our results for higher but still moderate,  $O(1 M_{\odot})$  H-rich envelopes have been obtained before in the context of massive stars partially stripped of their envelope because of wind mass loss (see, e.g., Blinnikov & Bartunov 1993; Morozova et al. 2015; Hillier & Dessart 2019). Finally, standard RSG progenitors are notorious for producing a plateau in their light curves, a feature known since the 70s (see, e.g., Grassberg et al. 1971). What is new though is that our model set based on the same primary star but different initial system orbital separation can reproduce the full sequence of light curves from Type Ib (or Ic), to Type IIb, Type II-L, and Type II-P SNe. This property arises in part from the fact that the residual H-rich envelope in our progenitors varies from zero to  $\sim 7 M_{\odot}$  while the metal rich core remains essentially the same for the whole set, leading to an explosion with the same ejecta kinetic energy and <sup>56</sup>Ni mass (the adopted values for  $E_{\rm kin}$  and  $M(^{56}{\rm Ni})$  could have been different, but these values should be the same for the whole set). For a given explosion energy, the potential presence of a loosely bound H-rich envelope (whose binding energy is about  $-10^{47}$  erg) does not affect the ejecta kinetic energy at infinity, although it strongly impacts the ejecta structure, the SN light curves and the spectra.

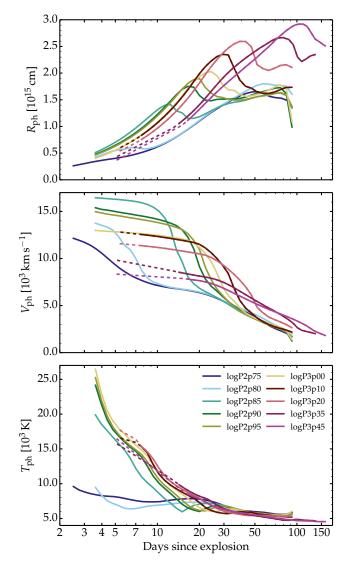
Models that stand between logP2p75 (progenitor with no residual H-rich envelope) and logP3p35/logP3p45 (standard RSG star progenitors with a massive H-rich envelope) are hybrid

 $<sup>^6</sup>$  SNe from He-giant star explosions also yield a double-peak light curve in the V band and this arises from the presence of an extended He-rich H-deficient envelope (Dessart et al. 2018b).

cases in which the photospheric phase is powered by the release of shock-deposited energy (whose original budget is essentially set at the time of shock breakout) and by continuous radioactive decay. In model logP2p85, the light curve is composed of two, essentially separate components. The first one arises from the power released from the shocked H-rich envelope, which lasts about 10 d, and the second one from the <sup>56</sup>Ni heating of the underlying metal-rich ejecta (more diversity could have been produced by varying the explosion energy, the <sup>56</sup>Ni mass, the chemical mixing, etc.). Because essentially the same <sup>56</sup>Ni mass is used (and expected for such progenitors) in our set, the model sequence from logP2p75 to logP3p20 yields progressively more luminous light curves since an increasing amount of shock-deposited power within the increasingly massive progenitor envelope is added to the contribution from radioactive decay power (which is comparable in all models; see Table 2). More massive ejecta eventually break this luminosity sequence because this increase in ejecta mass reduces the ejecta expansion rate  $(E_{kin}/M_{ei})$  is smaller) and increases the ejecta optical depth (which inhibits radiative diffusion and the recession of the photosphere). In models logP3p35 and logP3p45, the <sup>56</sup>Ni is more deeply embedded and contributes to the light curve at later times. The release of shock-deposited energy over the first 30-50 d exceeds the decay power in all relevant models (i.e., those whose pre-SN star has a massive H-rich envelope). Finally, the diversity in late-time decline rate reflects the greater efficiency of highermass ejecta at trapping  $\gamma$ -rays. For example, at 50 d after explosion, model logP2p75 absorbs only 88% of the emitted decay power whereas model logP3p45 absorbs 100% of that emitted power. In the same order, this fraction is 59% and 99% at 92 d after explosion.

Figure 4 also shows the model light curves in the UVW2, U, and V bands. Each of these light curves differs significantly from the bolometric light curves because of the evolution of the color, with the noticeable exception of model logP2p75. Because of its small progenitor radius  $(R_{\star} = 12.1 R_{\odot})$ , the ejecta model logP2p75 undergoes significant cooling so that the model essentially evolves at constant photospheric, or equivalently color temperature, at all times covered here (i.e., 2 d and beyond after explosion). Model logP2p75 radiates predominantly at optical wavelengths at all times and consequently its V-band light curve follows closely the bolometric light curve. In all other models, the presence of a residual H-rich envelope in the pre-SN star comes with a large progenitor radius of about  $400-900 R_{\odot}$ . All these models first exhibit colors more typical of RSG star explosions (the flux peaks in the UV; top-right panel of Fig. 4) before shifting to the optical band as the outer H-rich ejecta layers become optically thin (and the <sup>56</sup>Ni-powered ejecta dominates the radiative display) or until the H-rich ejecta layers recombine. This transition occurs over a few days in models logP2p80, over 10-20 d in models logP2p85-logP3p00 and even longer in the rest of the model set. This hybrid powering source between early and late times is what causes the double-peak V-band light curves in models logP2p80 to logP2p90 (see also the discussions about this process in Woosley et al. 1994; Bersten et al. 2012; Nakar & Piro 2014; Dessart et al. 2018b, and Park et al. 2024).

Another feature of interest in the models is the V-band rise time, which is on the order of 20–50 d in models logP3p35 and logP3p45. This is a typical result for explosions of RSG stars with a very large radius (see, e.g., Kasen & Woosley 2009; Dessart & Hillier 2011; Morozova et al. 2015). The peak in the V-band light curve occurs in those models when the spectral energy distribution essentially peaks in the optical, hence at the onset of the recombination phase. Prior to that, the bulk of the



**Fig. 5.** Evolution of photospheric properties for our model set. From top to bottom, we show the evolution (the x-axis is shown in logarithmic scale to better reveal the rapid changes at early times) of the radius (top), velocity (middle), and gas temperature (bottom) at the location where the inward integrated electron scattering optical depth is equal to 2/3. The dashed lines correspond to early epochs for models logP3p10 to logP3p45 in which we computed single snapshots based on V1D inputs.

flux falls in the UV and the optical brightness is small. We return to this property in Sect. 7.5.

We can also connect these photometric properties with the evolution of properties at the photosphere, which we show for all models in Fig. 5. At early times, there is a marked dichotomy in photospheric temperature  $T_{\rm ph}$  with high values up to  $20-25~\rm kK$  in models with large radii and a sizable H-rich envelope and much cooler temperatures of  $\lesssim 10~\rm kK$  in those from more compact progenitors (models  $\log P2p75$  and  $\log P2p80$ ) – this offset is the cause lying behind the color offset between models (i.e., UV bright versus optically bright at early times). This offset disappears after about 20 d when the photosphere is at about  $7~\rm kK$  – in models  $\log P3p45$  and analogs, this corresponds to the recombination phase (plateau-like in the V band) of the SN. In all models, the photospheric velocity  $V_{\rm ph}$  decreases steadily at all times. In the lighter ejecta models, the recession is fast so that, despite the relatively high outer ejecta velocity (see Fig. 2), they have

relatively low  $V_{\rm ph}$  values at 5 d after explosion, comparable to values obtained for more massive, slower moving ejecta – the offset in  $E_{\rm kin}/M_{\rm ej}$  is compensated by a greater ionization and optical depth. Models with the highest photospheric velocities at early times (up to 16000 km s<sup>-1</sup>) are those with intermediatemass H-rich envelopes (i.e., logP2p85) since they have a combination of a relatively high  $E_{kin}/M_{ej}$  and a relatively high opticaldepth from the outer H-rich ejecta. Models that exhibit a steep density drop-off in their outer ejecta (e.g., logP3p45; see Fig. 2) have a very slowly evolving  $V_{\rm ph}$  as long as the photosphere remains in those external layers. This arises because that density cliff represents a large jump in optical depth at a fixed velocity. This velocity also gives the maximum velocity one may record from any spectral line in these models (e.g., about 9000 km s<sup>-1</sup> in model logP3p45, which is much smaller than typically observed in SNe II-P; see Sect. 7.5). Because of our assumption of homologous expansion (which is warranted), the photospheric radii yield an information equivalent to that of the velocity since  $R_{\rm ph}$ is just  $V_{\rm ph}$  multiplied by the SN age. Not surprisingly, differentiating between the models of our set (whose progenitors differ strongly in H-rich envelope mass) is best done at early times, when the photosphere probes the outer ejecta layers.

# 4. Results: Diversity of the evolution of model spectra

A richer information is conveyed by the spectral evolution since it contains information on photometry (and in particular color) as well as on the temperature, ionization, composition, and kinematics of the ejecta. In Fig. 6 we show the spectra for our model set at about 5, 15, 30, and 85 d after explosion<sup>7</sup>. There are numerous differences between models and between epochs that reflect the different ejecta structure and composition.

At 5 d after explosion (top-left panel of Fig. 6), the first striking spectral difference is that models logP2p75 and logP2p80 are optically bright and UV faint whereas all other models have the bulk of their flux emerging in the UV, and the more so the bigger the progenitor radius (which covers from about 600 to  $900 R_{\odot}$ ). The luminosity in those bluer models is much greater (see the top-left panel of Fig. 4). The other striking difference is the change in H<sub>I</sub> (e.g., H $\alpha$ ) or He<sub>I</sub> (e.g., He<sub>I</sub> 10830 Å) line widths and strengths, which are large in models logP2p75 (Hfree model) and logP2p80, and weak in models with a greater H content. In the absence of a sizable H-rich envelope, the outer ejecta layers expand much faster and become much more transparent and cold, causing this red color, as well as the broader and stronger lines. In models from progenitors with a sizable H-rich envelope, a greater shock-deposited energy is radiated away and less turned into kinetic energy so the lines are systematically narrower. The weakness of the lines arises from the steep density profile in the outer ejecta (where the spectrum forms at such times; see Fig. 2) as well as the relatively high ionization. Most optical lines are from the H<sub>I</sub> Balmer series. In addition, SN ejecta from more compact RSG progenitors show spectra with stronger He I lines whereas those from more extended RSG stars show stronger He II lines (e.g., He II 4686 Å in the optical).

This reflects a shift to higher temperature and ionization at the photosphere, rooted in the difference in progenitor radius. In the optical, these spectra exhibit weak lines but are not featureless (they might appear so in observations with low quality signal). Most strong lines reside in the UV. This includes resonant transitions from CNO elements, Al, as well as Fe (in particular the forest of lines from Fe III; see for example the discussion of models tailored to the observations of the Type II-P SN 2022acko at ~5 d after explosion; Bostroem et al. 2023).

At 15 d after explosion (top-right panel of Fig. 6), our model set shows a clear trend toward a bluer optical color as we progress to ejecta from progenitors with more massive and more extented H-rich envelopes. In model logP2p75, the spectrum has hardly changed (it already had a red color at 5 d; see also the top-left panel of Fig. A.1) except for slightly stronger He I lines and narrower line profiles (the photosphere has receded from  $\sim 9000 \,\mathrm{km}\,\mathrm{s}^{-1}$  to  $\sim 7000 \,\mathrm{km}\,\mathrm{s}^{-1}$ ). In the rest of the model set, these He I lines are progressively weaker. In lighter ejecta, He I lines are influenced by nonthermal excitation by high-energy, Compton-scattered electrons (Lucy 1991; Swartz 1991), while in heavier ejecta, the higher gas temperature and stronger photoionization are the main processes controlling their strength (the weakness of the lines in massive ejecta also results from the steeper density profile; Fig. 2). Cooler ejecta in which the spectral energy distribution peaks around 4000 Å show clear signs of metal line blanketing in the optical (primarily associated with Ti II and Fe II), while the recombination phase has not yet started in bluer models ( $T_{\rm ph}$  is about  $10\,{\rm kK}$  in model logP3p45 at that time). The most striking feature in our model set at this epoch is the strong decrease in H $\alpha$  absorption and emission strength, as well as in line width, as M(H) increases from models logP2p80/logP2p85 to logP3p45 - this reflects the decrease in the volume over which the spectrum forms and little the actual H/He abundance ratio (the models with the stronger  $H\alpha$  line here are those that are more He enriched; see Fig. 1). The more massive ejecta (with more H), have a lower  $E_{\rm kin}/M_{\rm ej}$ and therefore yield narrower lines (best seen in HI lines and in particular  $H\alpha$ ).

At 30 d after explosion (bottom-left panel of Fig. 6), all models exhibit a similar spectral morphology, with a spectral energy distribution peaking in the optical, which reflects their similar photospheric temperature of about 7000 K. All models show signs of metal-line blanketing in the optical. He I lines are strongest in model logP2p75 and decrease in strength for models with higher ejecta mass - He I lines are absent beyond model logP3p00 because the influence of <sup>56</sup>Ni is too weak and the He mass fraction smaller (even though the total He mass is greater in more massive ejecta). HI lines (of which H $\alpha$  is the most visible Balmer transition) are present in models logP2p80 to logP3p45 but in lighter models the lower H content yields a weaker feature. H $\alpha$  is strongest at this phase in intermediate models like logP2p90 and logP2p95. We also see a trend of decreasing strength for O17774 Å (likely a composition effect since the O-rich layers are revealed at this time in lighter ejecta) or the Ca II near-IR triplet (reflecting the lower ionization and more extended ejecta in lighter models).

At 85 d after explosion (bottom-right panel of Fig. 6), the physical conditions prevailing for the different models differ fundamentally since lighter ones are optically thin (e.g., logP2p75) while others are optically thick (e.g., logP3p45). With their greater He and  $^{56}$ Ni content (i.e., relative to  $M_{\rm ej}$ ), lighter models are slightly bluer in the optical although strong signs of metal-line blanketing are present in all models. The He I 10830 Å shows a clear trend of decreasing strength from logP2p75 to

<sup>&</sup>lt;sup>7</sup> For the models logP3p10, logP3p20, logP3p35, and logP3p45 at 5 d (top-left panel of Fig. 6), we computed the spectra assuming steady state and fixing the temperature to the value obtained in the V1D calculation. This is not ideal but is used nonetheless to illustrate the spectral properties for these models prior to the phase of homologous expansion – the outer ejecta layers are in fact close to homologous expansion at that time

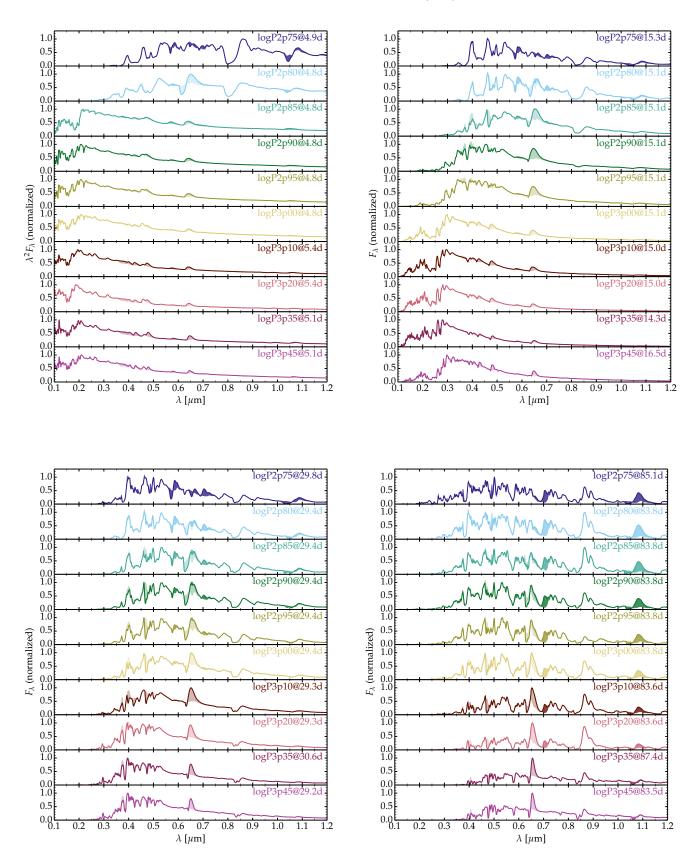
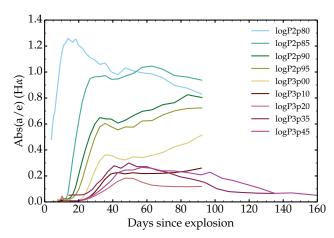


Fig. 6. Spectral properties of our model set at about 5 (top left), 15 (top right), 30 (bottom left), and 85 d (bottom right) after explosion. We stack our ten models from top to bottom in order of increasing H content, using the same color coding as before. For the montage at 5 d, we show the quantity  $\lambda^2 F_{\lambda}$  in order to reduce the contrast between UV and optical ranges. We also shade the flux associated with bound-bound transitions of H I (light shade) and He I (dark shade).



**Fig. 7.** Evolution of the flux ratio associated with the absorption and emission parts of  $H\alpha$ . This ratio is computed by integrating the flux over the absorption and emission parts of the  $H\alpha$  profiles (see Fig. A.4 for time sequences of these profiles).

logP3p45. In the optical, the strongest He I line is due to the transition at 7065 Å (see further discussion in Sect. 6). H $\alpha$  is strongly affected by overlapping lines (e.g., due to Fe II) in lighter models. In heavier models, H $\alpha$  is weaker and narrower, primarily because of the steeper density gradient and lower velocity at the photosphere.

To summarize, the evolution of each model and the differences between models reflect the differences in  $M_{\rm ej}$  (all models in our set have essentially the same  $E_{\rm kin}$  so the ejecta density is typically greater and the expansion rate smaller in higher-mass ejecta), in the relative H abundance (i.e.,  $M({\rm H})/M_{\rm ej}$ ), which also determines how external in the ejecta H is present, in the relative He abundance (i.e.,  $M({\rm He})/M_{\rm ej}$ ), and in the relative <sup>56</sup>Ni abundance (i.e.,  $M(^{56}{\rm Ni})/M_{\rm ej}$ ), which determines how strong nonthermal processes can impact elements like He. We show the more complete spectral evolution of all ten models in Figs. A.1–A.3.

#### 5. Results: H $\alpha$ properties

Much discussion surrounds the H $\alpha$  transition in core-collapse SNe. It is the strongest line in optical spectra of SNe II at most epochs and is also the diagnostic line used to differentiate Type IIb from Type Ib SNe. There is a consensus that not much residual H is needed to produce a clear  $H\alpha$  line, with values ranging from as low as  $0.001 M_{\odot}$  (Dessart et al. 2011), around 0.01  $M_{\odot}$  (Hachinger et al. 2012), all the way to a few  $0.1 M_{\odot}$  (Ben-Ami et al. 2015; Dessart et al. 2018b). Objects with double-peak V-band light curves or with a high bolometric luminosity for days after shock breakout (e.g., SN 1993J; Richmond et al. 1994) are understood to arise from progenitors with an extended, H-rich envelope with a mass on the order of  $0.1 M_{\odot}$  (Blinnikov et al. 1998; Nomoto et al. 1993; Podsiadlowski et al. 1993; Woosley et al. 1994; Nakar & Piro 2014; Dessart et al. 2018b). The photometric signature is much more elusive, or even absent if the H-rich envelope mass is lower (see, e.g., Dessart et al. 2011; Bersten et al. 2012).

In binary progenitors, the amount of residual hydrogen of the primary star at core collapse is a function of the post-RLOF stellar wind mass loss. It also strongly depends on the initial orbital separation. For example, very low values of M(H) were obtained for the short-period, tight binary systems studied by Yoon et al. (2010, that work also employed weak mass-loss rates that facil-

itated the survival of H at the progenitor surface until core collapse). SN radiative-transfer simulations based on such progenitors indicated that  $H\alpha$  would be present, although only for a few days after shock breakout (Dessart et al. 2011). Other studies that included wider orbits yielded a broader range of residual H (see, for example, Yoon et al. 2017; Gilkis & Arcavi 2022; Ercolino et al. 2024).

Although limited to a primary star of  $12.6 M_{\odot}$  with an initial binary mass ratio of 0.95, our progenitor models cover initial periods ranging from 562 up to 2818 d and final M(H) of 0, 0.02, 0.06, 0.12, 0.15, 0.22, 0.37, 0.80, 2.68, and  $4.64 M_{\odot}$ (Table 2; these values correspond to about 40–70 % of the progenitor H-rich envelope mass since the H/He mass-fraction ratio covers 0.69/0.30 in model logP3p45 down to 0.27/0.72 in model logP2p80). With our fixed explosion energy of 10<sup>51</sup> erg and moderate, ad hoc chemical mixing, this pre-SN chemical stratification in mass leads to a stratification in velocity space. As indicated in Table 2, the minimum velocity bounding 99 % of the H decreases from 7120 (model logP2p80) to 781 km s<sup>-1</sup> (model logP3p45). This implies that no absorption or emission can occur in H $\alpha$  below that threshold (in the emergent H $\alpha$  profile, absorption and emission can be seen below this velocity because of the change in projected velocity for nonzero impact parameters and this may be aggravated by departures from spherical symmetry; see Sect. 5 of Dessart & Hillier 2005a). In those models, the depth at which H is present depends also on the adopted mixing whose exact magnitude is not robustly known and probably varies a great deal in nature.

Figure 6 and Figs. A.1–A.3 show that H $\alpha$  is visible until about 50 d in model logP2p80, until about 70 d in model logP2p85, and at least until 90 d in all other models with a higher M(H). In models logP3p35 and logP3p45, H $\alpha$  is visible throughout the nebular phase, as in typical RSG star explosions since in those events, H is mixed inward while <sup>56</sup>Ni is mixed outward, so that H and <sup>56</sup>Ni overlap in velocity space (see Table 2 and specifically the values for  $V_{99,H}$  and  $V_{99,50Ni}$ ). This means that in all models (except the H-deficient model logP2p75), H $\alpha$  is visible until the nebular phase.

Figure 7 shows in more detail the evolution of the H $\alpha$  profile characteristics by comparing the flux ratio of the absorption and emission parts. To make these measurements on the  $H\alpha$  profile, we computed the spectrum accounting for all boundbound transitions and subtracting from it the spectrum obtained when all but H<sub>I</sub> transitions are included. Over the H $\alpha$  profile region (shown for all H-rich models in Fig. A.4), the flux is zero far away from the line (at Doppler velocities exceeding the maximum ejecta velocity), negative in the blueshifted part (corresponding to the P Cygni trough), and positive as we move closer to line center and into the so-called red wing. Besides the notorious blueshift of peak emission (Dessart & Hillier 2005b; Anderson et al. 2014a), we see that both emission and absorption persist at all epochs in all cases. The absorption component is typically weaker than the emission part. This is related to complicated radiative transfer effects (i.e., how the line and continuum source functions compare, how the ionization varies with depth above the photosphere), but it is also the result of a simple geometric effect since the emission arises potentially from a large volume (this volume is greater for flatter density profiles) while the absorption is fundamentally limited to the column of material falling onto the radiating disk (roughly limited by an impact parameter equal to the photospheric radius). This geometric effect dominates at late times in models such as logP3p45. In model logP2p85 or logP2p90, the  $H\alpha$  absorption extends from about  $10\,000\,\mathrm{km\,s^{-1}}$  out to  $25\,000\,\mathrm{km\,s^{-1}}$ , hence

essentially from the photosphere out to the outermost ejecta layers at high velocities. The maximum range of velocities is much more limited in more massive H-rich ejecta (see Fig. 2) so there is little space between the photosphere and the outermost ejecta layers to accommodate a broad absorption.

Figure A.4 also reveals the intrinsic morphology and characteristics of the H $\alpha$  profile. For example, in model logP2p80, the line covers from  $-25\,000$  to  $+\,20\,000$  km s<sup>-1</sup> at early times and eventually narrows down to exhibit at late times a narrow absorption at about  $-11\,000\,\mathrm{km\,s^{-1}}$  together with a weak flattopped emission bounded by a similar velocity. This velocity of 11 000 km s<sup>-1</sup> is where the H mass fraction drops to about 0.1 as we progress inward from the outermost ejecta layers (the absorption exhibits two minima at 11 000 and 13 000 km s<sup>-1</sup>, which correspond to the location of two maxima in the density profile; see Fig. 2). In model logP2p85, the morphology is analogous but the velocities are smaller, the peak blueshift even more marked at early times, the flat-topped profile at late times is narrower, and the P Cygni absorption exhibits multiple minima reflecting the presence of density extrema at about 8000, 10000, and 16 000 km s<sup>-1</sup>. Such extended troughs are present at late times in models with M(H) between 0.06 and 0.37  $M_{\odot}$  (progenitors with a H-rich envelope mass between 0.14 and 0.59  $M_{\odot}$ ). These troughs are narrow and weak at late times in models logP3p20, logP3p35, and logP3p45 because of the limited range in ejecta velocity and the large emitting volume of the H-rich ejecta (this emission fills in the absorption part).

#### 6. Results: Evolution of helium lines

Our set of simulations also exhibit interesting features and paradoxes in relation to He lines. As shown earlier in Fig. 6 and in Figs. A.1-A.3, He lines are present in all models at early times. Models logP2p75 and logP2p80 have cool and partially ionized photospheres at essentially all epochs past 2 d so that the excitation of He I lines is caused by collisions with high-energy electrons themselves produced through scattering with  $\gamma$ -rays emitted by decaying <sup>56</sup>Ni and <sup>56</sup>Co isotopes. In these models, He I lines (e.g., He I 5875, 6678, 7075, and 10 830 Å) persist through this process throughout the evolution (i.e., thus out to at least 90 d). In models whose progenitors have a residual H-rich envelope greater than  $0.1 M_{\odot}$ , the presence of He lines at early times is caused by the high photospheric temperature and photoionizing flux. That temperature is high enough to produce He II lines (in particular He II 4686 Å; this line is obvious in all models beyond logP2p90) or only He I lines (e.g., at 5875 Å; model logP2p85 at ~5 d). In models with intermediate M(H) values, the transition from photoionization to nonthermal excitation as the process behind the production of He I lines occurs after about 20 d. In more massive ejecta, the He I lines are essentially absent in the optical after the onset of the recombination phase, apart from He I 10 830 Å in the near-IR, which persists until late times. It is thus paradoxical, though not surprising, that the ejecta with the largest amount of He are those that show weaker and only short-lived He lines.

Surprisingly, the He I 7065 Å line is predicted in all models once they turn nebular – it strengthens as the continuum optical depth drops. This line is present earlier on (i.e., during the photospheric phase) as we progress from model logP3p45 to logP2p75 (i.e., in order of increasing  $M({\rm He})/M_{\rm ej}$ ). It is much more obvious than the weak He I 6678 Å but also He I 5875 Å, which overlaps with Na I D. Although not the main focus of this work, this He I 7065 Å line offers an interesting diagnostic to test

for the presence of He in the ejecta. The detection of He I lines is the sole criterion for differentiating Type Ib from Type Ic SNe and this task is trivial when such He I lines are strong. However, if they are weak, one is often limited to searching absorptions related to those He I transitions (see, e.g., Liu et al. 2016 or Williamson et al. 2019), and such absorptions only probe for the presence of He within the column of material falling onto the photodisk<sup>8</sup>. In an aspherical explosion where most of the He may reside along other lines of sight, this absorption may be weak or even absent, complicating the identification of He I lines. In contrast, when searching for signs of He at later times, after bolometric maximum and as the ejecta become optically thin, a line like He I 7065 Å would be ideal since it does not suffer from overlap with Na I D or Fe II lines, and it is also predicted as a strong emission line.

#### 7. Comparison to observations

In this section we compare a few models (using both light curves and multi-epoch spectra) from our set to well-observed, prototypical core-collapse SNe of various kinds: Type Ib (i.e., iPTF13bvn; Sect. 7.1), Type IIb (i.e., SNe 2011dh and 1993J; Sects. 7.2 and 7.3), Type II-L (i.e., fast decliners like SN 2006Y; Sect. 7.4), and Type II-P (i.e., SN 2017eaw; Sect. 7.5). The origin of the photometric and spectroscopic data that we used together with some of their inferred characteristics (i.e., redshift, distance modulus, extinction, and explosion date) are presented in Table 3. This comparison is mostly qualitative and focused on assessing whether the models are fundamentally compatible with observations (e.g., if a slight shift in kinetic energy could resolve a discrepancy) or whether there is something fundamentally inadequate about the model (e.g., there is a missing physical process or "ingredient" in the model). The comparison is also limited to photospheric epochs (or at most the onset of the nebular phase). At later times, a different approach is used in CMFGEN simulations in order to treat chemical mixing in the ejecta (Dessart & Hillier 2020), and this approach has been applied to Type II SNe from 9 to 29  $M_{\odot}$  single-star progenitors (Dessart et al. 2021b, specifically, 9.0, 9.5, 10.0, 10.5, 11.0, 12., 12.5, 13.5, 14.5, 15.2, 15.7, 16.5, 17.5, 18.5, 20.1, 21.5, 25.2, and  $26.5\,M_\odot$ ) as well as from 2.6 to  $12\,M_\odot$  He-star progenitors (Dessart et al. 2021a, corresponding to a zero-age main sequence mass from 13.85 up to  $35.74 M_{\odot}$ ; the specific He-star models have masses of 2.6, 2.9, 3.3, 3.5, 4.0, 4.5, 5.0, 6.0, 7.0, 8.0, and  $12.0 M_{\odot}$ , including progenitor models evolved with different mass loss prescriptions).

To address some of the mismatches and to give a sense of how ejecta properties impact the observables, we also included models computed in previous work using a similar numerical approach with MESA, V1D, and CMFGEN. Namely, we additionally included:

- 1. The Type Ib model he4 from Dessart et al. (2020), with  $M_{\rm ej} = 1.49 \, M_{\odot}$ ,  $E_{\rm kin} = 0.75 \times 10^{51} \, {\rm erg}$ ,  $M(^{56}{\rm Ni}) = 0.08 \, M_{\odot}$ , and  $M({\rm He}) = 1.13 \, M_{\odot}$ . Strong chemical mixing was enforced in this model, as well as all others in that work. This model is used in Sect. 7.1.
- 2. The Type IIb model 3p65Ax1 from Dessart et al. (2015), characterized by moderate chemical mixing, and with  $M_{\rm ej} = 2.22 \, M_{\odot}$ ,  $E_{\rm kin} = 1.24 \times 10^{51} \, {\rm erg}$ ,  $M({\rm H}) = 0.005 \, M_{\odot}$ ,  $M({\rm He}) = 1.49 \, M_{\odot}$ , and  $M(^{56}{\rm Ni}) = 0.074 \, M_{\odot}$ . This model is used in Sect. 7.2.

<sup>&</sup>lt;sup>8</sup> The alternative is to use the NIR and in particular search for the unblended He I 20 581 Å line; see, for example, Shahbandeh et al. (2022).

**Table 3.** Characteristics of the selected sample of observations, ranked in order of increasing of M(H).

SN	Type	Redshift	μ [mag]	$E(B-V)_{\text{tot}}$ [mag]	Explosion date [MJD]	Refs. (spectra)	Refs. (photometry)
iPTF13bvn	Ib	0.00449	31.76	0.071	56459	[1]; [2]; [3]	[1]; [4]; [5]
SN 2011dh	IIb	0.00168	29.42	0.07	55713	[6]	[6]
SN 1993J	IIb	0.000113	27.82	0.2	49074	[7]; [8]	[7]; [9]; [10]
SN 2006Y	II-L	0.03358	35.73	0.115	53766	[11]	[12]; [13]
SN 2017eaw	II-P	0.002192	29.47	0.30	57885	[14]	[15]; [16]

Notes. Data downloaded from https://www.wiserep.org/ (Yaron & Gal-Yam 2012).

References. [1] Cao et al. 2013; [2] Fremling et al. 2014; [3] Fremling et al. 2016; [4] Srivastav et al. 2014; [5] Zheng et al. 2022; [6] Ergon et al. 2014; [7] Barbon et al. 1995; [8] Matheson et al. 2000; [9] Richmond et al. 1994; [10] Richmond et al. 1996; [11] Gutiérrez et al. 2017; [12] Anderson et al. 2014b; [13] Hiramatsu et al. 2021; [14] Szalai et al. 2019; [15] Tsvetkov et al. 2018; [16] Van Dyk et al. 2019.

3. The Type II-L/II-P model x2p0 from Hillier & Dessart (2019). This model corresponds to a solar-metallicity single-star model for a 15  $M_{\odot}$  progenitor on the zero-age main sequence but evolved with a twice greater RSG mass-loss rate relative to the nominal value in MESA (as adopted in the corresponding version; see Hillier & Dessart 2019 for details). The pre-SN progenitor has an H-rich envelope mass of 9.24  $M_{\odot}$ . The corresponding ejecta have  $E_{\rm kin} = 1.2 \times 10^{51}$  erg, and  $M(^{56}{\rm Ni}) = 0.036 \, M_{\odot}$ . This model is used in Sect. 7.5.

#### 7.1. Type Ib SN iPTF13bvn and model logP2p75

The top panel of Fig. 8 compares the multiband optical light curves of Type Ib SN iPTF13bvn with the results obtained for model  $\log P2p75$ , whose H-deficient progenitor had a surface radius of  $12\,R_\odot$ . In the model, the maximum brightness is reached one week too late and is 0.5 mag greater than iPTF13bvn (both shifts were applied to the data in Fig. 8). The model stays too bright after maximum (i.e., it declines too slowly) and at late times in all bands except in the U band. However, the overall shape of the observed light curves is reproduced, including the shorter rise time to maximum in bluer bands. The color at most epochs is also well matched (with the global magnitude shift of  $-0.5\,\mathrm{mag}$ ) although there is a marked offset in the U band by about 1 mag at all times (model is too faint early on and too bright later on).

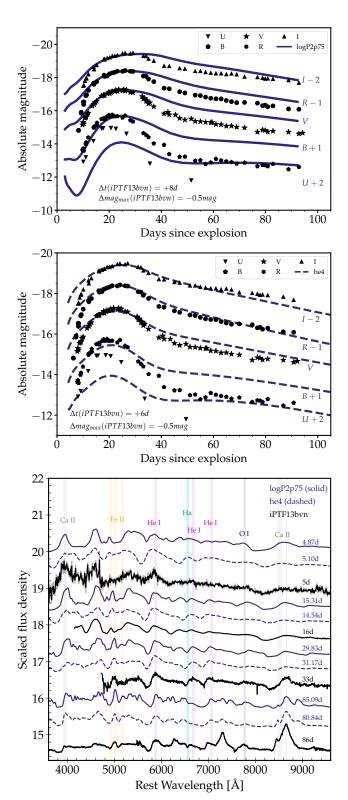
The bottom panel of Fig. 8 compares the multi-epoch spectra of Type Ib SN iPTF13bvn with the results obtained for model logP2p75, specifically at about 5, 15, 30, and 85 d. The model predicts essentially all observed lines. Overall, the model line widths are too small, with the exception of  $Ca II \lambda \lambda 8498 - 8662$ . The ejecta density of the model is also too high at late times because the model exhibits essentially no [Ca II]  $\lambda \lambda 7291$ , 7323, which only appears when the material density is low enough for forbidden-line formation. In the optical spectrum at 85 d, all model lines appear much narrower than observed.

Some of these discrepancies are reduced by using model he4 ( $E_{\rm kin}=0.75\times10^{51}\,{\rm erg},\,M_{\rm ej}=1.49\,M_{\odot},\,M(^{56}{\rm Ni})=0.08\,M_{\odot})$  from Dessart et al. (2020, see the middle and bottom panels of their Fig. 8). The rise time is shorter by 2 d, and this slight gain arises because of the lower ejecta mass and the 5% higher  $E_{\rm kin}/M_{\rm ej}$ . The stronger chemical mixing causes a faster brightening (more <sup>56</sup>Ni at high velocities) and the faster decline (more  $\gamma$ -ray escape at late times), but the light curve is now too broad. The He I lines are too broad at early times (an argument against the adopted, strong mixing of <sup>56</sup>Ni), but well matched after 30 d.

Another way to reduce the discrepancy is to increase the explosion energy. This would shorten the rise time, brighten the peak, and steepen the fading at late times due to the enhanced  $\gamma$ -ray escape. The  $^{56}$ Ni mass would need to be reduced since the peak would be too bright, but this might bring the late-time brightness too low. We leave this to future work.

Both models have roughly the same brightness as observed at the earliest times (i.e., without applying a time shift to the data of six or eight days), so the luminosity of the outermost ejecta layers is compatible with observations – it is the rate at which radiation leaks from within the ejecta that is too small. In other words, the brightening rate is too low. The simulations of stripped-envelope SNe with CMFGEN always yield rise times in excess of 20 d unless the explosion energy is enhanced considerably, bringing in discrepancies with line width or latetime decline rates (Dessart et al. 2015, 2016b) - reducing the ejecta mass shortens the rise time but low-mass He-rich ejecta no longer match the nebular-phase spectra of typical SNe Ib, which systematically show strong [O I]  $\lambda\lambda$  6300, 6364 (see how weak [O I]  $\lambda\lambda$  6300, 6364 is in model he3p3 from Dessart et al. 2021a). Results from 1D gray, flux-limited diffusion, lagrangian, radiation hydrodynamics simulations yield a good match to the rise time and peak luminosity of iPTF13bvn with ejecta parameters similar to those employed here (Bersten et al. 2014). This suggests that different methods yield different diffusion models for the ejecta. There may be concerns that the opacities, and therefore the diffusion time, are overestimated in CMFGEN (e.g., because of the adoption of a turbulent velocity of 50 km s<sup>-1</sup>), but a similar concern holds for radiation hydrodynamics calculations since they use approximate opacity means that typically hold in the dense interior of stars (i.e., these codes might underestimate the opacities and the diffusion time).

A source of physical error in our CMFGEN simulations comes from the assumption of a smooth and spherically symmetric ejecta. In reality, the <sup>56</sup>Ni bubble effect (Woosley 1988) should lead to a strong expansion and rarefaction of the <sup>56</sup>Nirich regions and a compression of the surrounding gas, causing clumping on small scales and large voids, as predicted by long-term simulations of the neutrino-driven explosions (Gabler et al. 2021) and also directly inferred from observations of SN remnants like Cas A (see, e.g., Milisavljevic & Fesen 2015). Small-scale clumping can hasten the recombination of the ejecta material and speed up the recession of the photospheric layers, thereby releasing the energy stored from optically thick layers (Dessart et al. 2018a). In addition, largerscale inhomogeneities, arising in part from the <sup>56</sup>Ni-bubble effect, can also reduce the effective optical depth of the ejecta and contribute to further enhance the release of stored energy



**Fig. 8.** Comparison of model light curves and spectra with the observations of iPTF13bvn. Top: photometric data (black symbols) shifted in time by  $+8\,d$  and in magnitude by  $-0.5\,\text{mag}$  as well as the results for model logP2p75 (solid line). With such shifts, data and model are in close agreement at V-band maximum. Middle: Same as top but for the model he4 of Dessart et al. (2020), now with a shift in time of  $+6\,d$ . Bottom: comparison of multi-epoch spectra at about 5, 15, 30, and 85 d after explosion between the observations of SN iPTF13bvn and models logP2p75 and he4 (no time shift has been applied to the observed spectra).

from the ejecta (Dessart & Audit 2019). Both effects have been confirmed to reduce the rise time and enhance the peak brightness of stripped-envelope SNe (Ergon & Fransson 2022; Ergon et al. 2024).

#### 7.2. Type IIb SN 2011dh and model logP2p80

The top panel of Fig. 9 compares the multiband optical light curves of Type IIb SN 2011dh with the results obtained for model logP2p80, whose progenitor had a surface radius of 361  $R_{\odot}$  and a H-rich envelope mass of  $0.05 M_{\odot}$ . The disagreements between model and observations are similar to those discussed in the previous section, namely that the model rises too slowly by about 7 d and the model is too blue at late times. Here too the model and the observations have initially the same brightness so it is the brightening rate of the model on the rise to photometric maximum that is too slow. The model predicts a clear inflection in all bands at 5–10 d, which is not obviously observed. Hence, the progenitor H-rich envelope is a little too massive and extended, yielding a luminosity that is too high during the first five to ten days after explosion. Omitting this brightness offset at early times and the rise time, the R-band light curve is very well reproduced by the model - this good match would be degraded in the absence of the time shift applied to the data (i.e., it would require adjusting the ejecta kinetic energy and <sup>56</sup>Ni mass).

The bottom panel of Fig. 9 compares the multi-epoch spectra of Type IIb SN 2011dh with the results obtained for model logP2p80 (thick line). Overall, the spectral evolution is well matched by the model but there are offsets. The model is bluer at 5 d and after maximum, but not blue enough around peak (though not by much). The H $\alpha$  line is too broad early on but too narrow at 15 d, likely because we overestimated the amount of H mixed inward at lower velocities (likely in addition to overestimating M(H-env)). The He I lines are well matched in width and strength at all times, which suggests that the model has an adequate stratification of helium (and of  $^{56}\text{Ni}$ , which is the source of excitation of He I lines). Ca II  $\lambda\lambda$  8498 – 8662 is too broad at all times. Either the outer ejecta stretch out too far at high velocities or something quenches the ionization in those outer layers, or both.

In the middle and bottom panels of Fig. 9, we also show the photometric and spectroscopic results for model 3p65Ax1 of Dessart et al. (2015). The smaller and lighter progenitor Hrich envelope leads to an ejecta with a faster drop in post-breakout luminosity. The weaker chemical mixing leads to a short post-breakout plateau before a brightening that is also too slow. However, this model reproduces better the spectral evolution of SN 2011dh than model logP2p80, in particular concerning the width, strength, and survival time of  $H\alpha$ .

Most deficiencies of both models may be related to the ejecta clumping and porosity related to the  $^{56}$ Ni bubble effect, which were both taken into consideration, and found to be important, in the study of Ergon & Fransson (2022; see also Jerkstrand et al. 2015 for models supporting a similar structure from nebular phase spectra). An interesting mismatch of our spectral models relative to SN 2011dh at late times is that both [O I]  $\lambda\lambda$  6300, 6364 and [Ca II]  $\lambda\lambda$  7291, 7323 appear too weak. The [O I]  $\lambda\lambda$  6300, 6364 would strengthen by lowering the ionization, as could occur if the O-rich material was clumped by the surrounding expanding  $^{56}$ Ni-rich material, while that lower-density  $^{56}$ Ni-rich material may facilitate the early emergence of the forbidden [Ca II]  $\lambda\lambda$  7291, 7323. This needs further study.

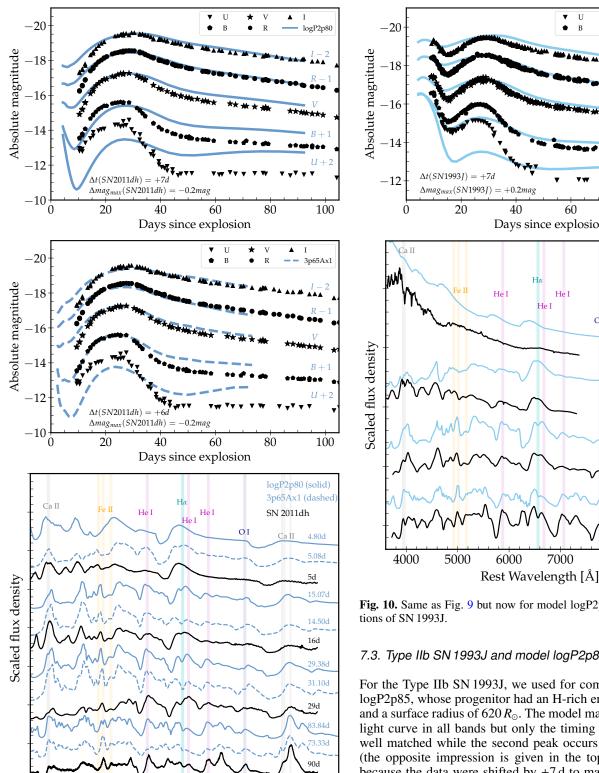


Fig. 9. Comparison of model light curves and spectra with the observations of SN 2011dh. Top: photometric data (black symbols) shifted in time by +7 d and in magnitude by -0.2 mag as well as the results for model logP2p80 (solid line). Middle: same as top but for the model 3p65Ax1 of Dessart et al. (2015), now with a shift in time of +6 d. Bottom: Comparison of multi-epoch spectra at about 5, 15, 30, and 85 d after explosion for SN 2011dh and models logP2p80 and 3p65Ax1.

Rest Wavelength [Å]

7000

8000

9000

6000

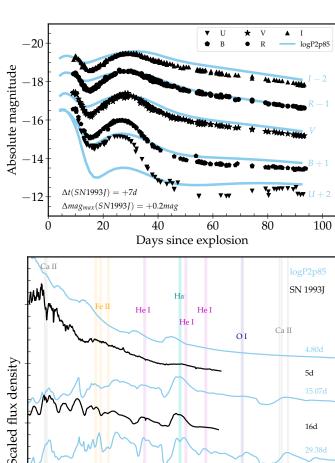


Fig. 10. Same as Fig. 9 but now for model logP2p85 and the observa-

7000

8000

33d

9000

#### 7.3. Type IIb SN 1993J and model logP2p85

For the Type IIb SN 1993J, we used for comparison the model logP2p85, whose progenitor had an H-rich envelope of  $0.14 M_{\odot}$ and a surface radius of  $620 R_{\odot}$ . The model matches the two-peak light curve in all bands but only the timing of the first peak is well matched while the second peak occurs one week too late (the opposite impression is given in the top panel of Fig. 10 because the data were shifted by +7 d to match the main <sup>56</sup>Nipowered peak). The drop in brightness between the two peaks is too small, which suggests that the power released from the shocked envelope lasts for too long. The envelope may be too massive, too extended, perhaps both. As for the comparison to SN 2011dh, the model matches the (shifted) *R*-band light curve of SN 1993J well.

The spectral comparison shown in the bottom panel of Fig. 10 shows a good overall agreement for most lines of HI, HeI, CaII, or FeII. There are noticeable offsets at early times when the model does not match the observed quasi

4000

5000

featureless optical spectrum. The model overpredicts the absorption strength of most lines (particularly for  $H\alpha$ ). This may be caused by asphericity (see, for example, evidence of this from light-echo spectra of Cas A; Rest et al. 2011) or from interaction with a wind, which would occasion flux dilution from excess continuum radiation in the optical (see, for example, Dessart & Hillier 2022).

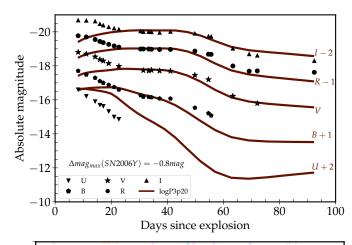
The overall agreement of model logP2p85 with SN 1993J supports, but is also confirmed by, former modeling work of SN 1993J for which similar parameters were inferred (Blinnikov et al. 1998; Nomoto et al. 1993; Podsiadlowski et al. 1993; Woosley et al. 1994). However, when looking into the details of our mismatches, we argue that there is evidence here too for the effect of clumping and porosity, which were not taken into account in those older works nor in the present one.

#### 7.4. Type II-L SNe and models with intermediate M(H) values

There does not appear to be any clear observational counterpart to our models with M(H) between 0.15 and 0.80  $M_{\odot}$  (models logP2p95 to logP3p20). They exhibit a fast-declining bolometric light curve, in principle qualitatively analogous to those of Type II-L SNe, but their V-band light curve exhibits two bright peaks that may even merge into a short-lived plateau of  $\sim 50\,\mathrm{d}$  before turning nebular. The first V-band peak is brighter than the main  $^{56}$ Ni-powered peak, although the relative brightness of each peak could be modulated by varying the progenitor radius and the  $^{56}$ Ni mass

In the sample of Anderson et al. (2014b), some Type II SNe exhibit short-lived plateau of about 50 d, such as SN 2006Y but this SN exhibits a very different spectral evolution from that of our models (see the comparison with model logP3p20 in the bottom panel of Fig. 11). Indeed, SN 2006Y shows nearly featureless spectra early on, turning into a nearly-unchanging spectrum out to 100 d, with weak signs of metal-line blanketing and a strong and broad H $\alpha$  line with little or no absorption – the  ${
m H}lpha$  line in SN 2006Y even broadens in time. Such spectral features in SN 2006Y are indicative of ejecta interaction with CSM (Dessart et al. 2016a; Dessart & Hillier 2022), as was observed even more conspicuously in events like SN 1998S (Leonard et al. 2000; Fassia et al. 2000, 2001). The Type IIb SNe 2011fu and 2013df also exhibited a double-peak V-band light curve, with the first peak brighter than the second, <sup>56</sup>Ni-powered peak and a spectral evolution clearly suggestive of interaction, which also persisted until late times as is evidenced by the broad, and eventually boxy H $\alpha$  profile (Morales-Garoffolo et al. 2014, 2015). Other evidence that interaction may take place at various levels in some Type IIb SNe comes from excess emission in the blue part of the optical or in the UV (Ben-Ami et al. 2015). This interaction may not swamp the radiation of the underlying SN, but it can considerably alter the ejecta structure and the SN spectral properties and evolution, and this is what complicates the comparison to the present set of models in which interaction was ignored.

It is interesting that the observational sample contains no obvious cases of Type II SNe with a short photospheric phase and no sign of interaction, like in our model logP3p20. This suggests that the main sequence and RSG mass loss is strong enough to entirely strip the progenitor H-rich envelope and lead to a compact, H-deficient star at core collapse (then producing a stripped-envelope SN or a failed SN with no luminous radiative display and black-hole formation). Or such partially stripped stars (i.e., M(H) on the order of  $1 M_{\odot}$ ) are systematically connected to Case C systems that transfer mass to the companion at



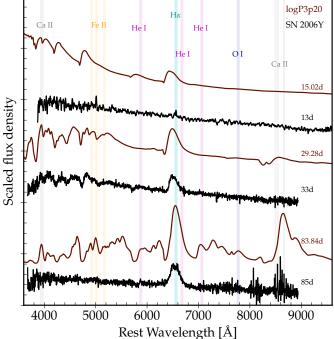


Fig. 11. Same as Fig. 10 but now for model logP3p20 and the observations of SN 2006Y.

or soon before core collapse (Ercolino et al. 2024) and produce peculiar Type II SNe more closely connected to interacting SNe.

### 7.5. Type II-P SN 2017eaw and model logP3p45

In this final comparison to observations, we considered model logP3p45, whose progenitor evolved as a single star, never experiencing RLOF. The progenitor lost mass nearly exclusively during the RSG phase through wind mass loss, dying as a RSG star with a massive H-rich envelope mass of  $6.81\,M_{\odot}$  and external radius of  $898\,R_{\odot}$ . In Fig. 12 we compare the multiband light curves and spectra of model logP3p45 with the data from SN 2017eaw – no shift has been applied to this figure, neither in time nor in magnitude.

The agreement between model logP3p45 and SN 2017eaw is relatively good but there are several discrepancies in the light curves and spectra. The plateau brightness matches but the plateau duration is too long and the nebular luminosity is too high. Both could be resolved by having employed a lower  $^{56}$ Ni mass (i.e., instead of the adopted value of  $0.09\,M_{\odot}$ , a

lower value of  $0.045 M_{\odot}$  should be used; Szalai et al. 2019). The early-time brightness is too faint in all bands and could be remedied by adding in some CSM in the direct environment of the SN (Morozova et al. 2017; Moriya et al. 2017; Dessart et al. 2017). Since no Type IIn signatures were observed at early times (Szalai et al. 2019), it suggests dense and confined CSM directly at the progenitor surface, but it is unclear whether this material implies a boost to the progenitor mass loss immediately before core collapse (see, e.g., Morozova et al. 2017 or Moriya et al. 2017) or whether this is indicative of material "stagnating" in the atmosphere of the RSG, in the transition zone between the hydrostatic surface and the accelerating regions at the base of the RSG wind (Dessart et al. 2017; Soker 2021; Ercolino et al. 2024). An extra ingredient, not present in off-the-shelf stellar evolution models of Type II-P SN progenitors, is clearly needed to bring the V-band rise time of "bare" RSG star progenitors from 20-30 d (as obtained here for model logP3p45 or long ago in Dessart & Hillier 2011) to less than a week (see also the sample of V-band rise times of González-Gaitán et al. 2015 or the results from the survey of Förster et al. 2018).

Another discrepancy of model logP3p45 is the underestimate of line widths relative to SN 2017eaw. The model has narrower lines than observed, in particular at early times when the spectrum forms in the fastest moving layers of the ejecta. However, because the plateau brightness of the model is already well matched, one cannot increase  $E_{kin}$  since that would not just increase the line widths but also the plateau brightness. This is a recurrent problem of employing RSG star progenitors with a very large radius (Dessart & Hillier 2011) and a solution to this problem was found by invoking a larger mixing length parameter (Dessart et al. 2013; Paxton et al. 2018; Goldberg et al. 2019). There is independent evidence that RSG star radii may be smaller than typically assumed (Davies et al. 2013) and models of convective RSG star envelopes also support a greater mixing length parameter (see, e.g., Goldberg et al. 2022, and more recently Chun et al. 2018). In Fig. 12, we show the results for the model x2p0 of Hillier & Dessart (2019) whose RSG progenitor radius was  $582\,R_\odot$  (rather than  $898\,R_\odot$  for model logP3p45). Model x2p0 would match better the plateau brightness with a slightly higher  $E_{\rm kin}/M_{\rm ej}$  and  $M(^{56}{\rm Ni})$  but this model is close to SN 2017eaw in terms of brightness, line widths, and spectral evolution, while model logP3p45 is too bright for its expansion rate.

#### 8. Conclusion

We have investigated the final, SN fate of the primary stars with initial masses of  $12.6\,M_\odot$  in the large grid of binary-star evolution models computed by Ercolino et al. (2024). Focusing on systems with an initial mass ratio of 0.95 and initial orbital periods from 562 to 2818 d, we simulated the explosion with radiation hydrodynamics and solved the NLTE time-dependent radiative transfer for the corresponding SN ejecta from a few days after explosion to the nebular phase. Since the He-core properties of all models were essentially the same, a unique explosion energy of  $10^{51}\,\mathrm{erg}$  and  $^{56}\mathrm{Ni}$  mass (i.e.,  $\sim 0.09\,M_\odot$ ) was adopted for the whole set, to be on par with the inferred properties of the Type IIb SN 1993J. However, because of the wide range in residual H-rich envelope masses at the time of explosion, the SN models yielded widely different SN light curves and multi-epoch spectra.

With our grid of models varying initially only in terms of orbital separation, we recover a continuum of V-band light-curve morphologies from single- or double-peak to plateau-like,

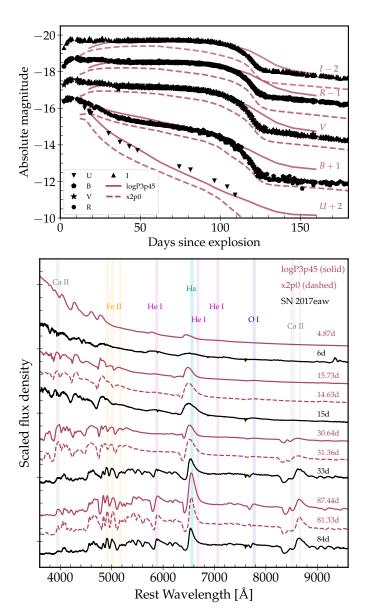
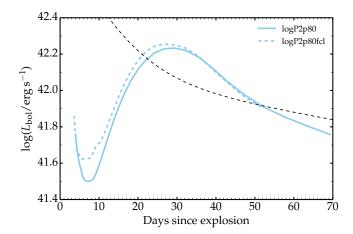


Fig. 12. Same as Fig. 11 but now for models logP3p45 and x2p0 and the observations of SN 2017eaw.

reflecting the observed types associated with stripped-envelope SNe (case B mass transfer systems), the fast-declining Type II-L SNe (case BC and case C mass transfer systems), and Type II-P SNe (primary stars without RLOF). This diversity reflects the range in pre-SN H masses (or H-rich envelope masses), from zero to several solar masses. Namely, the shortest-period system produces a Type Ib SN with a single-peak <sup>56</sup>Ni-powered V-band light curve and spectra that exhibit unambiguous He I lines (as observed, for example, in SN iPTF13bvn). Progenitors with intermediate M(H) values produce ejecta with double-peak light curves or light curves in which these two peaks merge into a short high-brightness plateau, with spectra that exhibit long-lived H I and He I lines (at the low M(H) end, these explosions capture the essence of events like Type IIb SNe 2011dh or 1993J). And finally, progenitors with a massive H-rich envelope evolve essentially in isolation and produce a plateau light curve typical of SNe II-P, with spectra that exhibit H I lines at all epochs (as observed for Type II-P SNe such as 2017eaw).



**Fig. 13.** Comparison of the bolometric light curves for model logP2p80 (solid; smooth ejecta) and model logP2p80fcl (dashed; clumped ejecta). For the clumped model, we adopt the same ejecta as for model logP2p80 but introduce a volume filling factor for the material, with a value of 10% up to about  $12\,000\,\mathrm{km\,s^{-1}}$  and rising steeply to asymptote at 100% beyond.

Although all case BC and case C systems in Ercolino et al. (2024) underwent a major mass-transfer event shortly before, or were transferring mass at, core collapse, we ignored ejecta interaction with CSM but find that it may be the dominant process affecting SNe from progenitors with intermediate H-rich envelope masses. Indeed, we find essentially no observed counterpart that has the properties of models logP2p95 to logP3p20 (i.e., with M(H) between 0.15 to 0.8  $M_{\odot}$ ) and is characterized by luminous double-peaked light curves or short plateaus and broadlined spectra (relative to SNe II-P). Events such as SN 2006Y show similar light curves, but the spectral evolution of SN 2006Y suggests that interaction dominates the whole evolution, and such ejecta-CSM interactions are ignored in our work. Lacking observational counterparts to our intermediate M(H) models, we surmise that a large fraction of these case BC and case C systems are at the origin of interacting SNe such as SN 1979C or 1998S.

Our grid of models also reveals a number of intrinsic properties of core-collapse SN progenitors and ejecta. As in Dessart et al. (2013), we find that a RSG progenitor with a moderate radius, on the order of  $500 R_{\odot}$ , yields a better match to the plateau brightness, the timing for the onset of recombination, and the line widths of Type II-P SNe. A larger radius, as employed in logP3p45 (i.e.,  $\sim 900 R_{\odot}$ ), yields a higher luminosity but a lower expansion rate, making it difficult to match the Vband brightness during the plateau at the same time as the spectral line widths. This arises from the fact that the bigger the star, the greater the amount of energy channeled into radiation at the expense of kinetic energy. Other works that employ large RSG radii (i.e., stellar evolution models in which the mixing length parameter is around 1.4 rather than 2 or 3) alleviate this problem by invoking, for example, low and nonstandard explosion energies for Type II-P SNe (e.g., Morozova et al. 2018).

The models discussed in this paper represent only the mass donor in binary systems. For each donor in a stable mass transfer binary, we can expect one mass gainer, which will likely explode as a RSG. Furthermore, on the order of 50% of the massive binaries are expected to merge (Podsiadlowski et al. 1992; Langer et al. 2020). In either case, the product is expected to produce a RSG progenitor at core collapse, with a hydrogenrich envelope mass that exceeds that of single stars with the same He-core mass, and that may be significantly more helium

enriched than corresponding single stars (Justham et al. 2014; Menon et al. 2023). The RSGs from mass-gaining binary products could even outnumber the RSGs produced by true single stars and determine the appearance of typical Type II-P SNe. The effects of more massive and/or helium-enriched envelopes on the pre-SN star and associated SN explosion will be the subject of a future study.

A discrepancy that has been plaguing CMFGEN simulations for Type IIb, Ib, and Ic SNe since 2011 is the systematic overestimate of the rise time to the main, <sup>56</sup>Ni-powered peak, with a delay of about a week relative to the observations. Such offsets with data are typically not present in our simulations for Type Ia SNe despite the same power source and diffusion process being behind these light curves (see, e.g., rise times in Blondin et al. 2017). Modulations in chemical mixing are often invoked (see, e.g., Bersten et al. 2013; Dessart et al. 2015, or more recently Park et al. 2024), but this seems to be contradicted by the strong impact they have on He I line profiles at early times (i.e., stronger mixing yields broader He I lines, all else being the same, in particular the explosion energy) and the values inferred from neutrino-driven explosions (Wongwathanarat et al. 2015). What we are most likely lacking here is a proper description of the ejecta structure, which is not smooth and spherically symmetric (as assumed here) but instead clumped and structured on small and large scales due to the physics of neutrino-driven explosions and the action of the <sup>56</sup>Ni-bubble effect over time (Woosley 1988; Gabler et al. 2021). Clumping is known to hasten recombination and the recession of the photosphere toward the inner ejecta, thereby releasing the energy stored in the optically thick layers of the ejecta on a shorter timescale (Dessart et al. 2018a). To illustrate this effect here for a stripped-envelope SN, we show in Fig. 13 the bolometric light curve obtained for model logP2p80 without clumping (the same model as described earlier) and with clumping (model logP2p80fcl), confirming the effect obtained for bluesupergiant star explosions in Dessart et al. (2018a). The <sup>56</sup>Nibubble effect also creates large cavities surrounded by denser, shell-like structures, through which radiation can escape more easily because of the reduced effective opacity (Dessart & Audit 2019). These effects should be present in essentially all corecollapse SNe because they derive from the same explosion mechanism. Ergon & Fransson (2022) and Ergon et al. (2024) have demonstrated that such an inhomogeneous ejecta structure can shorten the rise time and also boost the luminosity at maximum.

Acknowledgements. CPG acknowledges financial support from the Secretary of Universities and Research (Government of Catalonia) and by the Horizon 2020 Research and Innovation Programme of the European Union under the Marie Skłodowska-Curie and the Beatriu de Pinós 2021 BP 00168 programme, from the Spanish Ministerio de Ciencia e Innovación (MCIN) and the Agencia Estatal de Investigación (AEI) 10.13039/501100011033 under the PID2020-115253GA-I00 HOSTFLOWS project, and the program Unidad de Excelencia María de Maeztu CEX2020-001058-M. This work was granted access to the HPC resources of TGCC under the allocation 2022 – A0130410554 made by GENCI, France. This research was supported by the Munich Institute for Astro-Particle and BioPhysics (MIAPbP) which is funded by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) under Germany's Excellence Strategy – EXC-2094 – 390783311. This research has made use of NASA's Astrophysics Data System Bibliographic Services.

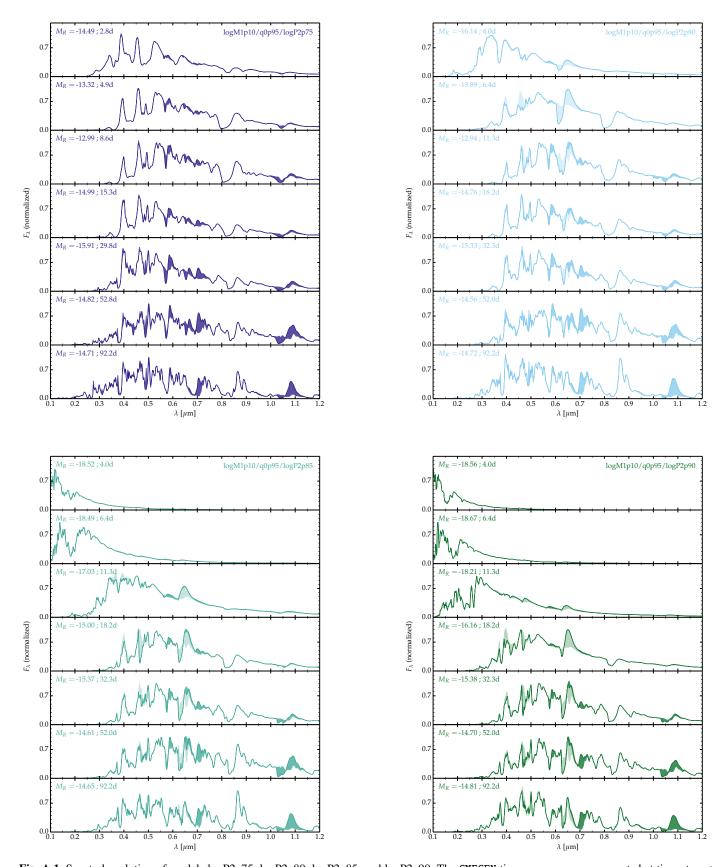
#### References

Anderson, J. P., Dessart, L., Gutierrez, C. P., et al. 2014a, MNRAS, 441, 671 Anderson, J. P., González-Gaitán, S., Hamuy, M., et al. 2014b, ApJ, 786, 67 Barbon, R., Benetti, S., Cappellaro, E., et al. 1995, A&AS, 110, 513

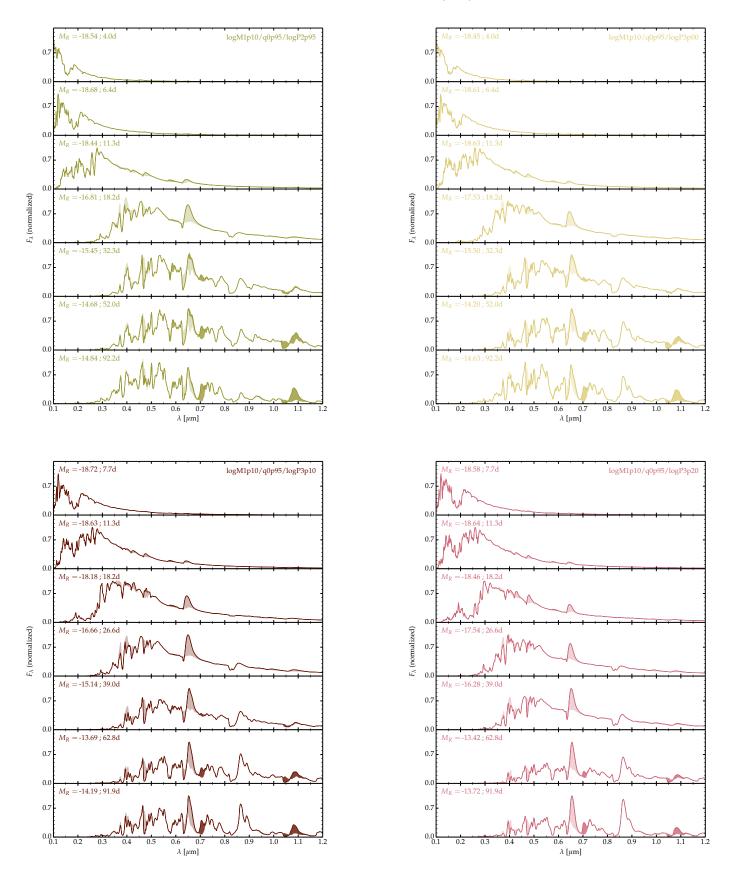
```
Ben-Ami, S., Hachinger, S., Gal-Yam, A., et al. 2015, ApJ, 803, 40
                                                                                  Hillier, D. J., & Dessart, L. 2012, MNRAS, 424, 252
Bersten, M. C., Benvenuto, O. G., Nomoto, K., et al. 2012, ApJ, 757, 31
Bersten, M. C., Tanaka, M., Tominaga, N., Benvenuto, O. G., & Nomoto, K.
   2013, ApJ, 767, 143
Bersten, M. C., Benvenuto, O. G., Folatelli, G., et al. 2014, AJ, 148, 68
Blinnikov, S. I., & Bartunov, O. S. 1993, A&A, 273, 106
Blinnikov, S. I., Eastman, R., Bartunov, O. S., Popolitov, V. A., & Woosley, S. E.
   1998, ApJ, 496, 454
Blondin, S., Dessart, L., Hillier, D. J., & Khokhlov, A. M. 2017, MNRAS, 470,
Blondin, S., Dessart, L., Hillier, D. J., Ramsbottom, C. A., & Storey, P. J. 2023,
   A&A, 678, A170
Bose, S., Sutaria, F., Kumar, B., et al. 2015, ApJ, 806, 160
Bostroem, K. A., Dessart, L., Hillier, D. J., et al. 2023, ApJ, 953, L18
Cao, Y., Kasliwal, M. M., Arcavi, I., et al. 2013, ApJ, 775, L7
Chun, S.-H., Yoon, S.-C., Jung, M.-K., Kim, D. U., & Kim, J. 2018, ApJ, 853,
Davies, B., Kudritzki, R.-P., Plez, B., et al. 2013, ApJ, 767, 3
                                                                                     [arXiv:2311.05581]
Dessart, L., & Audit, E. 2019, A&A, 629, A17
Dessart, L., & Hillier, D. J. 2005a, A&A, 439, 671
Dessart, L., & Hillier, D. J. 2005b, A&A, 437, 667
Dessart, L., & Hillier, D. J. 2011, MNRAS, 410, 1739
Dessart, L., & Hillier, D. J. 2019, A&A, 625, A9
Dessart, L., & Hillier, D. J. 2020, A&A, 643, L13
                                                                                     95
Dessart, L., & Hillier, D. J. 2022, A&A, 660, L9
Dessart, L., Livne, E., & Waldman, R. 2010a, MNRAS, 408, 827
Dessart, L., Livne, E., & Waldman, R. 2010b, MNRAS, 405, 2113
Dessart, L., Hillier, D. J., Livne, E., et al. 2011, MNRAS, 414, 2985
Dessart, L., Hillier, D. J., Waldman, R., & Livne, E. 2013, MNRAS, 433, 1745
Dessart, L., Hillier, D. J., Woosley, S., et al. 2015, MNRAS, 453, 2189
Dessart, L., Hillier, D. J., Audit, E., Livne, E., & Waldman, R. 2016a, MNRAS,
Dessart, L., Hillier, D. J., Woosley, S., et al. 2016b, MNRAS, 458, 1618
Dessart, L., Hillier, D. J., & Audit, E. 2017, A&A, 605, A83
Dessart, L., Hillier, D. J., & Wilk, K. D. 2018a, A&A, 619, A30
Dessart, L., Yoon, S.-C., Livne, E., & Waldman, R. 2018b, A&A, 612, A61
Dessart, L., Yoon, S.-C., Aguilera-Dena, D. R., & Langer, N. 2020, A&A, 642,
Dessart, L., Hillier, D. J., Sukhbold, T., Woosley, S. E., & Janka, H. T. 2021a,
   A&A, 656, A6
Dessart, L., John Hillier, D., Sukhbold, T., Woosley, S. E., & Janka, H. T. 2021b,
   A&A, 652, A64
Dessart, L., Gutiérrez, C. P., Kuncarayakti, H., Fox, O. D., & Filippenko, A. V.
   2023, A&A, 675, A33
Eldridge, J. J., Xiao, L., Stanway, E. R., Rodrigues, N., & Guo, N. Y. 2018,
   PASA, 35, e049
Ensman, L. M., & Woosley, S. E. 1988, ApJ, 333, 754
Ercolino, A., Jin, H., Langer, N., & Dessart, L. 2024, A&A, 685, A58
Ergon, M., & Fransson, C. 2022, A&A, 666, A104
Ergon, M., Sollerman, J., Fraser, M., et al. 2014, A&A, 562, A17
Ergon, M., Lundqvist, P., Fransson, C., et al. 2024, A&A, 683, A241
Fassia, A., Meikle, W. P. S., Vacca, W. D., et al. 2000, MNRAS, 318, 1093
Fassia, A., Meikle, W. P. S., Chugai, N., et al. 2001, MNRAS, 325, 907
Förster, F., Moriya, T. J., Maureira, J. C., et al. 2018, Nat. Astron., 2, 808
Fremling, C., Sollerman, J., Taddia, F., et al. 2014, A&A, 565, A114
Fremling, C., Sollerman, J., Taddia, F., et al. 2016, A&A, 593, A68
Gabler, M., Wongwathanarat, A., & Janka, H.-T. 2021, MNRAS, 502, 3264
Gilkis, A., & Arcavi, I. 2022, MNRAS, 511, 691
Goldberg, J. A., Bildsten, L., & Paxton, B. 2019, ApJ, 879, 3
Goldberg, J. A., Jiang, Y.-F., & Bildsten, L. 2022, ApJ, 929, 156
González-Gaitán, S., Tominaga, N., Molina, J., et al. 2015, MNRAS, 451,
Grassberg, E. K., Imshennik, V. S., & Nadyozhin, D. K. 1971, Ap&SS, 10, 28
Gutiérrez, C. P., Anderson, J. P., Hamuy, M., et al. 2014, ApJ, 786, L15
Gutiérrez, C. P., Anderson, J. P., Hamuy, M., et al. 2017, ApJ, 850, 89
                                                                                  Yuan, F., Jerkstrand, A., Valenti, S., et al. 2016, MNRAS, 461, 2003
Hachinger, S., Mazzali, P. A., Taubenberger, S., et al. 2012, MNRAS, 422, 70
                                                                                  Zheng, W., Stahl, B. E., de Jaeger, T., et al. 2022, MNRAS, 512, 3195
```

```
Hillier, D. J., & Dessart, L. 2019, A&A, 631, A8
Hillier, D. J., & Miller, D. L. 1998, ApJ, 496, 407
Hiramatsu, D., Howell, D. A., Moriya, T. J., et al. 2021, ApJ, 913, 55
Jerkstrand, A., Ergon, M., Smartt, S. J., et al. 2015, A&A, 573, A12
Justham, S., Podsiadlowski, P., & Vink, J. S. 2014, ApJ, 796, 121
Kasen, D., & Woosley, S. E. 2009, ApJ, 703, 2205
Langer, N., Schürmann, C., Stoll, K., et al. 2020, A&A, 638, A39
Laplace, E., Justham, S., Renzo, M., et al. 2021, A&A, 656, A58
Leonard, D. C., Filippenko, A. V., Barth, A. J., & Matheson, T. 2000, ApJ, 536,
Li, C., Hillier, D. J., & Dessart, L. 2012, MNRAS, 426, 1671
Liu, Y.-Q., Modjaz, M., Bianco, F. B., & Graur, O. 2016, ApJ, 827, 90
Livne, E. 1993, ApJ, 412, 634
Lucy, L. B. 1991, ApJ, 383, 308
Matheson, T., Filippenko, A. V., Barth, A. J., et al. 2000, AJ, 120, 1487
Menon, A., Ercolino, A., Urbaneja, M. A., et al. 2023, ArXiv e-prints
Milisavljevic, D., & Fesen, R. A. 2015, Science, 347, 526
Modjaz, M., Gutiérrez, C. P., & Arcavi, I. 2019, Nat. Astron., 3, 717
Morales-Garoffolo, A., Elias-Rosa, N., Benetti, S., et al. 2014, MNRAS, 445,
Morales-Garoffolo, A., Elias-Rosa, N., Bersten, M., et al. 2015, MNRAS, 454,
Moriya, T. J., Yoon, S.-C., Gräfener, G., & Blinnikov, S. I. 2017, MNRAS, 469,
Morozova, V., Piro, A. L., Renzo, M., et al. 2015, ApJ, 814, 63
Morozova, V., Piro, A. L., & Valenti, S. 2017, ApJ, 838, 28
Morozova, V., Piro, A. L., & Valenti, S. 2018, ApJ, 858, 15
Nakar, E., & Piro, A. L. 2014, ApJ, 788, 193
Nomoto, K., Suzuki, T., Shigeyama, T., et al. 1993, Nature, 364, 507
Panagia, N., Vettolani, G., Boksenberg, A., et al. 1980, MNRAS, 192, 861
Park, S. H., Yoon, S.-C., & Blinnikov, S. 2024, ApJ, 960, 21
Paxton, B., Bildsten, L., Dotter, A., et al. 2011, ApJS, 192, 3
Paxton, B., Cantiello, M., Arras, P., et al. 2013, ApJS, 208, 4
Paxton, B., Marchant, P., Schwab, J., et al. 2015, ApJS, 220, 15
Paxton, B., Schwab, J., Bauer, E. B., et al. 2018, ApJS, 234, 34
Paxton, B., Smolec, R., Schwab, J., et al. 2019, ApJS, 243, 10
Podsiadlowski, P., Joss, P. C., & Hsu, J. J. L. 1992, ApJ, 391, 246
Podsiadlowski, P., Hsu, J. J. L., Joss, P. C., & Ross, R. R. 1993, Nature, 364, 509
Rest, A., Foley, R. J., Sinnott, B., et al. 2011, ApJ, 732, 3
Richmond, M. W., Treffers, R. R., Filippenko, A. V., et al. 1994, AJ, 107, 1022
Richmond, M. W., Treffers, R. R., Filippenko, A. V., & Paik, Y. 1996, AJ, 112,
Sana, H., de Mink, S. E., de Koter, A., et al. 2012, Science, 337, 444
Schneider, F. R. N., Podsiadlowski, P., & Müller, B. 2021, A&A, 645, A5
Shahbandeh, M., Hsiao, E. Y., Ashall, C., et al. 2022, ApJ, 925, 175
Soker, N. 2021, ApJ, 906, 1
Srivastav, S., Anupama, G. C., & Sahu, D. K. 2014, MNRAS, 445, 1932
Stritzinger, M. D., Anderson, J. P., Contreras, C., et al. 2018, A&A, 609, A134
Swartz, D. A. 1991, ApJ, 373, 604
Szalai, T., Vinkó, J., Könyves-Tóth, R., et al. 2019, ApJ, 876, 19
Tsvetkov, D. Y., Shugarov, S. Y., Volkov, I. M., et al. 2018, Astron. Lett., 44, 315
Van Dyk, S. D., Zheng, W., Maund, J. R., et al. 2019, ApJ, 875, 136
Williamson, M., Modjaz, M., & Bianco, F. B. 2019, ApJ, 880, L22
Wongwathanarat, A., Mueller, E., & Janka, H.-T. 2015, A&A, 577, A48
Woosley, S. E. 1988, ApJ, 330, 218
Woosley, S. E., Eastman, R. G., Weaver, T. A., & Pinto, P. A. 1994, ApJ, 429,
Yaron, O., & Gal-Yam, A. 2012, PASP, 124, 668
Yaron, O., Perley, D. A., Gal-Yam, A., et al. 2017, Nat. Phys., 13, 510
Yoon, S.-C. 2017, MNRAS, 470, 3970
Yoon, S.-C., Woosley, S. E., & Langer, N. 2010, ApJ, 725, 940
Yoon, S.-C., Dessart, L., & Clocchiatti, A. 2017, ApJ, 840, 10
```

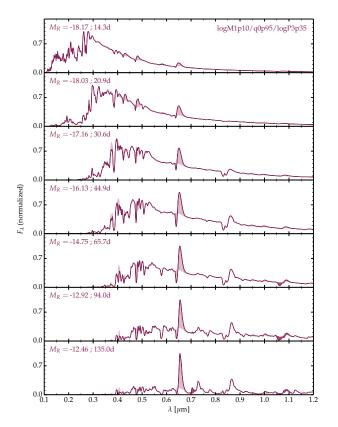
### Appendix A: Additional figures for all models

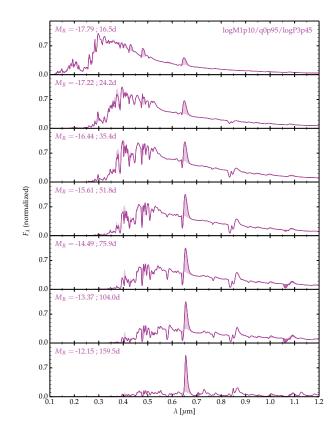


**Fig. A.1.** Spectral evolution of models logP2p75, logP2p80, logP2p85, and logP2p90. The CMFGEN time sequences are computed at time steps  $t_i$  such that  $t_{i+1}/t_i = 1.1$ . Here, we show fewer time steps for better visibility so that  $t_{iplot+1}/t_{iplot} \sim 1.3$ . As for Fig. 6, we illustrate the flux associated with He I lines (dark shade) and H I lines (light shade).

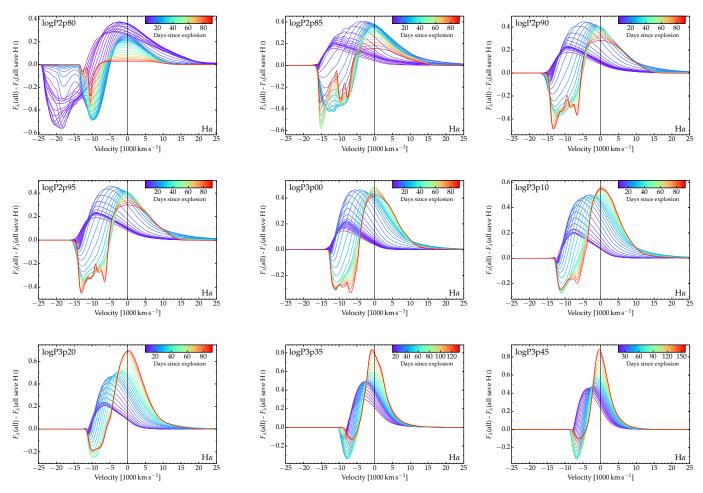


 $\textbf{Fig. A.2.} \ \text{Same as Fig. A.1} \ \text{but now for models logP2p95}, \ logP3p00, \ logP3p10, \ and \ logP3p20.$ 





 $\textbf{Fig. A.3.} \ \text{Same as Fig. A.1} \ \text{but now for models logP3p35} \ \text{and logP3p45}.$ 



**Fig. A.4.** Evolution of the H $\alpha$  profile for our set of models. We show the flux obtained by accounting for all bound-bound transitions subtracted from the flux obtained by ignoring those associated with H I. The fluxes are normalized by the peak H $\alpha$  flux in the "full" model. These profiles are used to compute the ratio of the absorption to the emission flux in H $\alpha$  (see Fig. 7).