

# Simultaneous quantification of four hormone therapy drugs by LC-MS/MS: Clinical applications in breast cancer patients

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# <sup>1</sup> Simultaneous quantification of four hormone therapy

# 2 drugs by LC-MS/MS: clinical applications in breast cancer

# 3 patients

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# 17 Abstract

# 18 Introduction

Aromatase inhibitors such as anastrozole, letrozole, exemestane and selective estrogen down-19 regulator (SERD) fulvestrant are used mostly to treat breast cancer estrogen receptor positive 20 21 in post-menopausal women. These drugs are given either through the oral route or by intramuscular injection. They have shown great inter-individual variability with a risk of 22 cardiometabolic disorders. Hence the importance of their therapeutic drug monitoring not 23 24 only for exposure-efficacy but also exposure-toxicity. We describe here a LC-MS/MS method 25 for the simultaneous quantification of anastrozole, letrozole, exemestane and fulvestrant in 26 human plasma.

# 27 Material and methods

Plasma samples were prepared by a single-step protein precipitation. The liquid
chromatography system was paired with a triple quadrupole mass spectrometer.
Quantification were achieved in Multiple Reactions Monitoring mode and the electrospray
ionization was in positive mode.

# 32 **Results**

The method demonstrated consistent analytical performance across various parameters, including linearity, specificity, sensitivity, matrix effect, upper and lower limits of quantification, extraction recovery, precision, accuracy, hemolysis effect, dilution integrity, and stability under different storage conditions, in accordance with established guidelines.

The analysis time for each run was 4 minutes. Calibration curves exhibited linearity within the 1-100 ng/ml range, with correlation coefficients > 0.99 for the four analytes. Plasma concentrations from 42 patients were integrated into the selected calibration.

40 Stability assessments indicated that the four drugs remained stable at -20°C for three months,

41 15 days under refrigeration, up to 7 days at room temperature, and after three freeze-thaw

42 cycles

# 43 Conclusion

44 We have developed and validated this quantitative method for therapeutic drug monitoring of

those four hormone therapy drugs:anastrozole, letrozole, fulvestrant and exemestane. This
 method can be also used for future clinical pharmacokinetics /pharmacodynamics studies.

47 Keywords: anastrozole, exemestane, letrozole, fulvestrant, mass spectrometry, Therapeutic
48 drug monitoring (TDM)

#### 49 **1** Introduction

Breast cancer is the most prevalent cancer among women in Europe and is the leading 50 cause of cancer-related death. The worldwide incidence of breast cancer is estimated to be 2.3 51 million cases [1]. Approximately 80% of breast cancers are estrogen receptor (ER)-positive: 52 in such cases, cancer cells proliferate in response to estrogen. Hormone therapy with drugs 53 such as aromatase inhibitors (AIs) and selective ER downregulators (SERDs) is indicated in 54 the treatment of this type of cancer in mostly postmenopausal women and to a lesser extent, 55 premenopausal women when combined with a central blocking. These agents can either 56 inhibit synthesis of estrogen or cause the degradation of its receptor [2]. 57

Als compete with aromatase, preventing it from converting androgen into estrogen. 58 59 Commonly prescribed AIs are anastrozole (ANA), letrozole (LET), and exemestane (EXE) [3]. Analogous in structure to the natural substrate of aromatase, EXE is a steroidal compound 60 61 that inactivates the enzyme by irreversibly binding to it, whereas ANA and LET bind reversibly to aromatase. Fulvestrant (FUL), a steroidal SERD, is a high-affinity ER 62 antagonist. When FUL binds to ERs, it induces their degradation [4]. Accordingly, estrogen 63 levels could serve as biomarkers of treatment efficacy. However, estrogen concentrations 64 found in patients taking LET or EXE [5] can be subpicomolar, and the quantitative 65 66 determination of estrogen in plasma relies on an expensive albeit very sensitive mass spectrometry method [6]. 67

AIs and FUL are administered differently: AIs are taken orally on a daily basis, while FUL is given intramuscularly once a month. The half-lives of AIs—ANA (48–96 h), LET (48 h), EXE (24 h)—and FUL (50 d) also differ greatly[7]. These drugs are administered at fixed doses despite great interpatient variability in concentrations of AIs and FUL: for example, patients' plasma LET concentrations can vary from 17.0 to 301.3 ng/mL [8].

As adjuvant therapy with AIs can last over 5 years, exposure-toxicity evaluation is of 73 value for therapeutic drug monitoring purposes. The literature offers no definitive exposure-74 efficacy studies [3, 9]. However, the review by Nabholtz et al. [10] proposed target 75 concentrations for ANA ( $C_{min} \ge 34.2 \text{ ng/mL}$ ), EXE ( $C_{max} = 4.1 \text{ ng/mL}$ ), and LET ( $C_{min} \ge 85.6$ 76 ng/mL). The same results was found with a promising TDM recommendation for LET and 77 exploratory TDM recommendation for ANA and EXE [5]. ANA has a linear relationship 78 79 between dose and exposure across doses ranging from 0.5 to 10 mg [11]. Considering the available data, it may be prudent to contemplate dose escalation of ANA for patients with a 80 Cmin below 34.2 ng/mL [12]. EXE undergoes extensive metabolism, with 17-hydroxy-81 82 exemestane being the sole active metabolite but with a concentration ten times lower than exemestane concentration and with a less anti-estrogenic effect [5]. Exemestane has not 83 demonstrated a clear relationship between exposure and toxicity. Generally, it is well-84 85 tolerated, as evidenced by phase I studies where single doses of up to 800 mg and multiple doses of up to 200 mg were administered, and it has a linear dose-exposure relationship [13]. 86 In the absence of a defined exposure-efficacy target, the median Cmax of 7.7 ng/mL could 87 serve as a reference for therapeutic drug monitoring (TDM), correlating with a calculated 88 trough concentration of 4.1 ng/mL [5]. LET has shown a linear dose-exposure relathionship 89 90 up to the standard dose of 2.5mg once a day while at higher doses the exposure is nonlinear.Until then, the target for LET TDM is  $C_{min} \ge 85.6$  ng/ml [5]. A pharmacokinetics study 91 of FUL has proved that Cmax and AUC (0-28) demonstrate a dose-dependent increase and that 92 93 the exposure was proportional to the dose [14].

Monitoring of these hormone therapy drugs could offer a better understanding of pharmacokinetic profiles, such as exposure-response and exposure-toxicity; permit evaluation of patient compliance; and provide clinicians a tool for personalized breast cancer treatments. The method presented here aims to simultaneously quantify these commonly prescribed 98 agents after simple pretreatment of plasma samples and has the advantage of rapid run time99 for routine laboratory application.

## 100 2 Materials and methods

## 101 2.1 Chemicals and reagents

ANA, LET, EXE, FUL, anastrozole-13C4, fulvestrant-D5, and letrozole-13C2,15N2 were purchased from AlsaChim (Illkirch, France), their purity was higher than 98%. exemestane-13C,D3, from Toronto Research Chemicals (Toronto, Canada), its purity was higher than 95%; and methanol, acetonitrile, and DMSO, from Carlo Erba Reagents (Val-de-Reuil, France), Merck KGaA (Darmstadt, Germany), and PanReac AppliChem (Schiltigheim, France), respectively. Water used was purified with an ELGA PURELAB system.

Drug-free, blank plasma was supplied by the Établissement Français du Sang (French Blood Establishment) in Paris, France. All reagents used were of the highest available analytical grades.

## 111 2.2 Preparation of stock solutions, standards, and quality control samples

112 Stock solutions were prepared in different solvents: ANA was dissolved in 113 acetonitrile; EXE in DMSO; and LET and FUL in methanol. Individual stock solutions of 114 each analyte had concentrations of 1 mg/mL. Quality control samples (QCs) and calibration 115 standards were obtained by dilution of these stock solutions in methanol:water (50:50, v/v). 116 QCs were prepared in blank plasma at concentrations of 5, 45 and 90 ng/mL (QC1, QC2 and 117 QC3, respectively). This quantitative method covers a range of 1 to 100 ng/mL (1, 10, 25, 50, 118 75, 100 ng/mL) for the four compounds. For samples with a concentration above the linear range, a fourth QC was added (QC4) at concentrations of 150 ng/mL for ANA, 200 ng/mL for EXE, 400 ng/mL for FUL, and 1,000 ng/mL for LET, corresponding to the upper limits of quantification (ULOQs) identified.

Internal standard (IS) stock solutions were prepared at a concentration of 1 mg/mL. A
mixture of anastrozole-13C4, exemestane-13C,D3, letrozole-13C2,15N2, and fulvestrant-2H5
was diluted in methanol:acetonitrile (50:50, v/v) to obtain a 75 ng/mL solution.

### 126 2.3 Analytical conditions

127 An Acquity UPLC BEH C18 column ( $2.1 \times 50$  mm, 1.7-µm particle size) (WATERS, 128 Milford, Massachusetts, US) was used for chromatographic separation. The Nexera® LC40 LC system (Shimadzu Corporation, Kyoto, Japan) was paired with a triple quadrupole mass 129 spectrometer (LCMS-8060NX, Shimadzu Corporation, Kyoto, Japan) equipped with a 20µL 130 injection loop. The mobile phase was composed of 0.1% formic acid and acetonitrile and had 131 a flow rate of 0.4 mL/min. The analysis run time was 4 min. Quantification were achieved in 132 133 Multiple Reaction Monitoring (MRM) mode, and electrospray ionization (ESI) was in positive mode. Transitions (m/z)—294.20 > 225.20 for ANA, 286.10 > 190.10 for LET, 134 297.20 > 121.10 for EXE, and 607.30 > 589.40 for FUL—were monitored. Data acquisition 135 136 and quantification were performed using Labsolution V5.99 SP2 (Shimadzu Corporation, Kyoto, Japan) and Insight V3.7 SP3 (Shimadzu Corporation, Kyoto, Japan), respectively. 137

#### 138 2.4 Sample pretreatment

Plasma samples were prepared by one-step protein precipitation: 150  $\mu$ L of IS solution was added to 50  $\mu$ L of patient plasma, a calibrator sample, or a QC. The tube was agitated for 5 min at 2,000 rpm and centrifuged for another 5 min at 13,000 rpm. Next, 100  $\mu$ L of supernatant was diluted in 100 μL of water and a volume of 10 μL was injected into the LCMS/MS system.

#### 144 2.5 Method validation

Validation was conducted in accordance with guidelines of the European Medicines Agency (EMA) and industry guidance from the US Food and Drug Administration (FDA) for bioanalytical method validation [15-17]. The parameters verified were selectivity, specificity, matrix effect, linearity, accuracy and precision, lower limit of quantification (LLOQ) and upper limits of quantification (ULOQ), carryover, stability, extraction recovery, and dilution integrity. Hemolysis effect, as described by D'Cunha et al. [18], was also tested.

# 151 **2.5.1** Lower and upper limits of quantification

For each analyte, the LLOQ was matched to the lowest plasma concentration quantifiable with reliable accuracy and precision, corresponding to a coefficient of variation (CV) and bias under 20%; and the ULOQ, to the highest quantifiable plasma concentration, with a CV and bias under 15%.

## 156 2.5.2 Selectivity and specificity

We tested for drugs potentially associated with these compounds that could interfere 157 with analyte quantification. Patient plasma samples containing these drugs, but none of the 158 analytes, were selected. Thus, plasmas from 6 different patients treated with everolimus, 159 sirolimus, posaconazole, voriconazole, aciclovir, ganciclovir, or ribociclib were used. Spiked 160 plasma samples were used for tamoxifen and palbociclib. No interference was detected when 161 the peak area for the plasma containing a cotreatment was <20% of the peak area for the first 162 calibration standard at the retention time of the analyte. The selectivity and specificity of the 163 IS was tested through the same procedure but with a limit of 5%. 164

#### 165 **2.5.3** Stability

The stability of the four molecules was measured under different conditions: after short-term storage for 7 days at room temperature, short-term storage for 15 days when refrigerated at 4 °C, long-term storage for a total period of 3 months at -20 °C, 3 freeze-thaw cycles (from -20 °C to room temperature), and leaving in autosampler at 15 °C for 9 days.

170 Stability was assessed by comparing accuracy and precision of QCs at two 171 concentration levels (QC1 and QC3) before and after exposure to the aforementioned 172 conditions. Analytes were considered stable when measured concentrations were within  $\pm 15\%$ 173 of the baseline QC3 concentration and  $\pm 20\%$  of the baseline QC1 concentration. All QC 174 levels were measured in triplicate. These tests sought to reproduce all possible storage 175 conditions to which patient samples could be exposed during routine laboratory operations.

#### 176 **2.5.4 Dilution integrity**

Dilution integrity was tested to determine whether quantification of a sample, diluted with blank plasma, whose initial concentration was above the validated calibration range is precise and accurate. Spiked samples with a concentration above the ULOQ were diluted (1:5 or 1:2) with blank plasma to levels falling within the calibration range. Precision and accuracy were considered satisfactory when the measured concentration of the diluted sample did not deviate from the nominal concentration by >15%.

#### 183 **2.5.5** Linearity

A weighting factor of 1/X was applied to the linear calibration curve. The calibration curve was plotted with 6 calibration points (G1 to G6) by determining the ratio of the analyte peak area to the IS peak area ( $r^2 > 0.99$ ). The validation criteria were: ( $r^2 > 0.99$ ), both biais and CV of the nominal concentration had to be < 15% for all standards (G2 to G6) and 188 <20% for the G1. The calibration curve follows a linear regression with a 1/x weighting</li>189 factor.

## 190 2.5.6 Matrix effect, hemolysis effect, and extraction recovery

The matrix effect was evaluated for two QC concentration levels (QC1 and QC3). Freshly prepared QCs and spiked blank plasma were prepared on the same day. Selected plasma samples were drawn from patients taking everolimus, sirolimus, posaconazole, voriconazole, aciclovir, ganciclovir, itraconazole, or ribociclib. The CV of the IS–normalized matrix factor should be <15%, and the peak area of the spiked plasma should not deviate by more than 15% of the peak area for QC1 and QC3 levels.

In the preanalytical phase, hemolysis could be a source of interference. Hemolysis effect was tested according to the method described by D'Cunha et al. [18]. The concentrations of freshly prepared QC1 and QC3 (n = 6) were compared with that of 2%hemolysis spiked blank plasma extracted in sextuplicate (n = 12).

Extraction recovery was evaluated for three QC concentration levels (QC1, QC2, and QC3). No acceptance criteria were established, but CV should be homogenous for all levels and ideally should not deviate by >20%.

#### 204 **2.5.7** Carryover

This parameter was evaluated after an autopurge and tested at the highest (G6) and lowest (G1) calibration levels. A sequence of three G6 samples followed by three G1 samples was repeated four times. A G1 sample was injected before this sequence, and its peak area was used as the reference peak area for the analyte and the IS area. Carryover is not observed if G1 peak areas are <20% of the reference peak area and <5% of the reference IS area.

#### 210 **2.5.8** Precision and accuracy

Intra-day precision and accuracy were measured in sextuplicate (n = 6 for samples at each QC concentration and G1). Inter-day precision and accuracy were evaluated by measuring sample at each QC concentration and G1 (n = 18) in triplicate over 6 days. CV (%) and bias (%) should be within 20% for G1 and QC1, and 15% for QC2, QC3, and QC4.

#### 215 2.6 Clinical applications

This validated LC-MS/MS plasma quantification method was applied to a cohort of 216 15 female breast cancer patients, with a mean age of 63 years. A total of 42 samples of plasma 217 were analyzed, from patients treated with LET (n = 37), EXE (n = 5), and FUL (n = 4). The 218 samples of plasma were collected at different time points in the treatment cycle: before 219 treatment initiation, before achieving a steady state, at steady state, or after the end of 220 treatment. All LET-treated patients received concurrent ribociclib therapy at a dose of 600 221 222 mg, administered once daily 3 weak/month. One patient, who was also undergoing FUL treatment (administered monthly at a dosage of 500 mg), was additionally treated with EXE at 223 25mg and ribociclib at 600mg. 224

### 225 **3 Results**

## 226 3.1 Optimization of LC-MS/MS conditions

Parameters chosen for each analyte are described in Table 1. Phase A was 0.1%
formic acid; phase B, acetonitrile. The chromatograms of LLOQ, blank plasma, and patient
plasma peaks are presented in Figure 1.

#### 230 3.2 Method validation

#### 231 3.2.1 Precision and accuracy

For all QC concentrations and G1, intra-day and inter-day precision and accuracy met the defined criteria (within 20% for G1 and QC1, and within 15% for QC2, QC3, and QC4) (Table 2).

#### 235 **3.2.2** Stability

The four analytes were stable after short-term storage at room temperature for 7 days, refrigeration at 4 °C for 15 days, and storage at -20 °C for 3 months. All analytes were stable after 3 freeze-thaw cycles, following extraction. Extracts were stable for  $\geq 9$  days when kept in the autosampler at 15 °C. (**Table 3**).

#### 240 3.2.3 Selectivity and specificity

In all, 9 molecules were tested, including immunosuppressants (everolimus and sirolimus), antifungals (posaconazole and voriconazole), antivirals (aciclovir and ganciclovir), tyrosine kinase inhibitors (ribociclib and palbociclib), and tamoxifen. None presented a peak area >20% of that for G1 or the IS peak.

# 245 3.2.4 Lower and upper limits of quantification

LLOQs were 0.4 ng/mL for ANA, 0.3 ng/mL for LET, 0.8 ng/mL for EXE, and 1 ng/mL for FUL, each LLOQ corresponding to the lowest concentration with a CV of <20% and an accuracy of 80%–120%. ULOQs were 150 ng/mL for ANA, 1,000 ng/mL for LET, 200 ng/mL for EXE and 400 ng/mL for FUL, each ULOQ corresponding to the highest concentration with a CV of <15% and an accuracy of 95%–115%.

## 251 **3.2.5** Linearity

Calibration curve equations for the 4 analytes are given in **Table 1**. Coefficients of correlation ( $r^2$ ) were all >0.9900. Calibrator CVs were  $\leq 10.54\%$  and accuracy was 95.28%– 107.02% (**Table 4**).

## 255 **3.2.6** Matrix effect, hemolysis effect, and extraction recovery

Plasma of patients treated with four different drug classes were selected: antivirals 256 (ganciclovir and aciclovir), immunosuppressants (everolimus and sirolimus), antifungals 257 (posaconazole and itraconazole), and lastly, a tyrosine kinase inhibitor (ribociclib). No 258 259 significative matrix effect was observed. Matrix effect ranges were 82.7%-108.1% (QC1) and 92.1%-112.1% (QC3) for ANA; 88.4%-106.5% (QC1) and 90.1%-110.1% (QC3) for LET; 260 81.3%-117.5% (QC1) and 91.6%-105.2% (QC3) for EXE; 86.9%-120.7% (QC1) and 261 87.0%–112.9% (QC3) for FUL. Mean matrix effects for each analyte are given in Table 5. 262 IS-normalized matrix factor CVs were 2.32% (QC1) and 2.46% (QC3) for ANA; 9.21% 263 (OC1) and 1.72% (QC3) for LET; 4.84% (QC1) and 1.99% (QC3) for EXE; and 6.51% 264 (QC1) and 1.52% (QC3) for FUL. 265

Concentrations of QC1 and QC3 samples prepared with 2% hemolyzed plasma did not
diverge by >15% from the QC1 and QC3 samples prepared with blank plasma. Hence, 2%hemolyzed plasma did not appear to interfere with analyte quantification.

Extraction recovery data are provided in **Table 5**. CVs varied from -6.08% to 15.43% with all analytes except EXE, for which CVs were homogenous for all 3 concentrations but varied from 20.33% to 23.78%. Considering the linearity, accuracy, and precision of calibrator standards, no correction factor was applied for EXE quantification.

#### 273 **3.2.7** Carryover

No carryover was observed for any of the 4 analytes. Carryover percentages were all <0.112%. Furthermore, no G1 peak area exceeded 20% of the reference peak area and 5% of the reference IS peak area.

277 3.2.8 Dilution integrity

Both spiked and diluted samples were within the acceptable range of 15% for the 4 analytes (**Table 6**). Samples with a concentration exceeding the calibration range can thus be diluted.

281 3.3 Clinical application

All patient plasma samples lay within the validated calibration range for this method: 10.6–283.3 ng/mL for LET, 1.1–2.4 ng/mL for EXE, and 13.9 – 33.0 ng/mL for FUL. Patients were all treated with combination therapies representative of clinical practice. **Figure 2** presents analyte concentration ranges, excluding patients with plasma concentrations below LLOQ. There was no observed interference related to other drugs taken by patients.

#### 287 4 Discussion

In this study, we describe a method for the simultaneous quantification of ANA, LET, EXE, and FUL in patient plasma samples for therapeutic drug monitoring, and for clinical research, to assess potential adverse effects of these 4 hormone therapy drugs.

Recent combination therapies involve the association of AIs or antiestrogens with other treatments, such as ribociclib, a TKI [19-22] which may causes cardiometabolic disorders. Quantification of these drugs may reduce the likelihood of adverse effects.

Several LC-MS/MS methods have been proposed in the literature for quantifying one or more hormone therapy drugs. To the best of our knowledge, however, no other method simultaneously quantifies ANA, LET, EXE, and FUL.

Matrix effect and hemolysis effect were tested, and the results were satisfying according to the guidelines of the FDA. No carryover was observed, and we are similar to another study that attempted to use a rinsing solution consisting of 50% methanol to eliminate the carryover they encountered at the beginning of the method validation for the following molecules, anastrozole and fulvestrant. Our rinsing solution is composed of 100% MeOH. The extraction recovery was satisfying and the results was similar to this studie [8].

303 For the quantification of FUL in human plasma, Turkovic et al. [23] successfully validated a LC-MS/MS method with a range of 5-1,000 ng/mL. Despite the limited number 304 305 of samples in our study, the average concentration was found to be 21 ng/mL, with one outlier 306 at 33 ng/mL. In contrast, Van Nuland et al. [24] reported low sensitivity with their method, 307 necessitating an additional concentration step for sample extraction when dealing with EXE, 308 along with a relatively large sample volume of 500 µL. Our method permits rapid processing 309 with short sample preparation and run times (4 min), requires a minimal plasma volume of 50 µL, and boasts wider ranges (Table 7). 310

For their clinical study, Beer et al. [8] reported concentration ranges of 6.2–102.0 ng/mL (mean: 37.4 ng/mL) for ANA and 17.0–301.3 ng/mL (mean: 107 ng/mL) for LET. The study revealed significant interindividual variability for these concentrations. Importantly, these ranges are well within the linear ranges validated for our own method. As such, the measured plasma concentrations and those reported in the literature did not provide any compelling reason to further extend our current measurement ranges.

In previous research [25, 26], the maximum length of time for assessing short-term stability of the 4 molecules was 5 days. However, our results suggest that these analytes

remain stable for longer periods: up to 7days at room temperature and up to 15days when 319 refrigerated at 4 °C. The long-term stability of ANA, EXE, and LET was considered by a 320 previously published method, which confirmed that the molecules remained stable over a 321 322 period of 21 weeks. Our study demonstrated their stability over a period of 3 months at −20 °C. 323

324 Limitations we faced included certain methodological constraints that hindered our 325 ability to measure the metabolites of the 4 hormone therapy drugs as well as a lack of plasma samples from patients being treated with ANA. 326

327 5

#### Conclusions

In summary, we have developed and validated a quantitative method for therapeutic 328 329 monitoring of ANA, LET, EXE, and FUL. Sample treatment is easy and effective, requiring only one simple precipitation. We have shown that small sample volumes are suitable for 330 routine quantification of these analytes. The validated ranges include measured 331 concentrations in patient plasma samples are aimed to be applied in wider and more diverse 332 groups of patients and with the intention of describing eventual mechanisms to 333 334 interindividual/intraindividual plasma variations. However, we encountered certain limitations, such as the scarcity of plasma samples from patients treated with those four drugs, 335 336 especially anastrozole, and the lack of chemical reagents to expand our measurements and 337 quantify the metabolites of the four analytes. This method could also apply to pharmacokinetic/pharmacodynamic studies and clinical trials 338

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J.G.J.S: investigation, writing-review and editing. N.Z: supervision, conceptualization, 342

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