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Bayesian inference based inverse analysis of the impact response of a rockfall protection structure: Application towards warning and survey

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Abstract

The viability of a rockfall protection structure is vital for the hazard mitigation of habitations and infrastructures. This article investigates the feasibility and potential of inverse analysis applied to data collected on on-site rockfall protection structures exposed to real events. As an application case, a rockfall protection wall made from interconnected concrete blocks which are piled up in a zig-zag pattern is considered. The corresponding numerical model is developed in a python-based open source software SICONOS which implements the Non-Smooth Contact Dynamics (NSCD) method. The numerical model was previously spatiotemporally calibrated from two real-scale impact experiments with 520kJ and 1020kJ projectile energy and used in this work to investigate the variability in wall mechanical response against different impact conditions. The simulation results served as input data to develop the Bayesian inference based inverse analysis method aided by the polynomial chaos expansion based metamodelling technique for two purposes. First, to aid in remote decision-making shortly after an event, based on real-time measurements and second, to retrieve the impact condition characteristics (energy, location) from data collected after the event is addressed. The proposed approach appeared efficient for back-analysing (*i.e.*, output to input) data related to the wall response for being used as a warning based on its displacement with respect to the protected element at risk and damage to the wall with root mean square error (RMSE) of 16 cm and 82 kJ respectively and for a rockfall site survey with RMSE of 71 kJ energy transferred to the wall and position of impact with 37 cm.

Keywords: rockfall, impact, meta-model, statistical learning, NSCD modelling, inverse analysis

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1 1. Introduction

Passive rockfall protection mitigation measures such as embankments [1], galleries [2] or flexible barriers [3] are exposed to severe loading when intercepting rock blocks threatening elements at risk. During
their normal operation, these structures thus experience significant deformation, displacement, and damage and possibly fail to withstand the impact or to satisfactorily control the rock block's trajectory
[4].

In this context, and similarly, as for other gravitational natural hazards, increasing use is being made of equipment for monitoring on-site rockfall protection structures, to collect the data upon the impact of a rockfall [5]. On-site monitoring of structures with accelerometers or force sensors, for example, in particular aims at serving as a warning system for supporting decision-making (*e.g.*, road closure) or for providing information regarding the loading amplitude or the structure response in real situations. On-site monitoring is becoming increasingly common in the field of landslides due to the improvement, miniaturisation and cost reduction of sensors, data acquisition and transmission systems [6, 7].

Numerical models are widely used for addressing rockfall protection structures' response as a forward 14 problem. Published research demonstrates the accuracy of the model predictions as compared to experi-15 mental data (e.g. [8, 9, 10]) or addressing the structure response under some specific impact conditions 16 (e.g. [11, 12]) or considering sets of realistic impact conditions (e.g. [13, 14]). By contrast, inverse analysis 17 conducted (*i.e.*, finding input from the output) based on numerical models has rarely been used in this 18 field. To the best of the authors' knowledge, the only exception concerns the work presented by Escallón 19 et al. [15] who proposed an inverse optimisation process for determining the parameters of macroscopic 20 FE models of steel wire-rope cables and steel wire-rings. 21

In this work, we investigate the feasibility of conducting an inverse analysis of the structure response 22 to derive the information with added value for stakeholders and engineers. It is for instance proposed 23 to analyse the measured data pertinent to the structure's performance to retrieve the impact conditions. 24 The proposed inverse analysis presents means to correlate the data retrievable from an on-site structure 25 during and after an rockfall event, with the corresponding impact response obtained from the numerical 26 model simulation of that structure. This correlation relies on the use of statistical learning methods 27 enhanced by meta-modelling tools. A statistically modelled series of different impact conditions and a 28 learning-enabled link between input and output responses provide us with the necessary components to do 29

the inverse analysis. Further, the establishment of a mathematical link between the structure's response and the corresponding impact condition is enabled through the use of meta-models having negligible computation costs.

In this work, we focus on an innovative passive rockfall protection structure made of pile-up con-33 crete blocks to form a wall with a zig-zag pattern, for which a Non-Smooth Contact Dynamics (NSCD) 34 method-based numerical model was previously developed. The calibration of this model was conducted 35 against experimental data following a complex method involving statistical learning, resulting in im-36 proved confidence in the model's predictive capacities, reported in Gupta et al. [16]. In parallel, specific 37 instrumentation including sensors (*i.e.* accelerometers and inclinometers), remote data acquisition and 38 processing has been developed to equip these structures. In principle, the proposed approach for conduct-39 ing an inverse analysis could be applied to any type of structure, and in particular structures exposed to 40 dynamic loading such as flexible barriers. 41

The article is organised as follows: First, the type of the protection structure considered in this study 42 is described followed by the presentation of its numerical equivalent developed in the non-smooth contact 43 dynamics (NSCD) framework - named the NSCD model. A brief description of the previously conducted 44 NSCD model calibration using the displacement data from the impact response for two full-scale struc-45 tures is presented alongside. Then the mechanical response of the calibrated NSCD model wall to the 46 model projectile impact is addressed via an extensive campaign of simulations. An illustrative structural 47 response is first presented at three distinct sections of wall geometry followed by the generalised impact 48 assessment via varying the six parameters collectively describing the rock block trajectory, kinematics 49 and impact point on the wall. The impact simulation results highlight the intricacy of the structure 50 response and suggest the simulation outputs which best allow differentiating the impact cases. Then, a 51 method for conducting the inverse analysis from the acquired data is developed using a combination of 52 meta-modelling and Bayesian inference techniques to support the real-time decision-making and rockfall 53 activity monitoring purposes for a given site. A discussion on of predictive capability of the inverse 54 analysis method, its limitations and the perspective on improvements towards future research concludes 55 the work. 56

57 2. Experimental Structure and NSCD model

58 2.1. Presentation

The considered structure is a composition of concrete blocks, reinforced with internal rebars and placed 59 together in a staggered pattern to form a wall whose geometry can be adapted to specific requirements 60 (e.g., linear or zig-zag conformation along its longitudinal axis). Each block weighs about 1800 kg, 61 is cuboid in shape with dimensions $1.56 \times 0.76 \times 0.8$ m having rounded extremities in the horizontal 62 plane and is traversed by two cylindrical holes of diameter 154 mm along the vertical axis. The blocks 63 are connected thanks to metallic tubes and cables passing through these holes, providing mechanical 64 continuity to the structure [17]. There exist mechanical plays between concrete blocks of the same row 65 as well as between the metallic tubes and the blocks. 66

This type of articulated structure constitutes an alternative to other massive passive rockfall protection structures with reduced footprint [18, 19] with the advantages of high versatility in the design and deformability under impact. For such an application where the structure is exposed to a localised dynamic loading, tubes and cables increase the number of blocks involved in the structure's response proportional to the impact loading.

The dynamic response of this structure is evaluated thanks to two full-scale impact experiments carried 72 out at the pendulum testing facility of the Université Gustave Eiffel test site (Montagnole, France). This 73 testing facility enables the control of the impact position and constraining the projectile incidence angle 74 and rotational velocity to zero. The blocks are arranged following a zig-zag pattern (at 45° angle) to 75 improve the structure stability against tilting. The wall is made up of 38 blocks and four half-blocks 76 stacked in four layers resulting in 3.2 m height and 14.1 m length, presented in Figure 1a. Notably, 77 the layers from the ground up are named 'base', 'second', 'third' and 'top' for all future mentions. The 78 projectile used for this purpose is 2600kg in mass and 1.1 m in dimension, corresponding to approximately 79 $1/3^{rd}$ the wall height, conforming with the requirements of flexible barrier testing [20]. The released 80 projectile impacted the wall at its mid-length and at a height of about 1.7 m from the ground. The 81 velocity at impact was 20m/s and 28m/s during the first and second impacts, corresponding to kinetic 82 energies of 520 kJ and 1020 kJ respectively. 83

The wall displacement evolution data is recorded at a couple of points on the impact axis (at points Top and Base) and on the distant axis (at points C and D). Besides, the data from two points A and B

in the impact axis is also available which is used for the cross-reference purpose only. Measures along the 86 impact axis were derived from video records while measures from cable extensioneters were considered 87 along the distant axis. Notably, due to the absence of this later data for the 520 kJ impact test at point 88 C, the evolution obtained from simulations presented in Furet et al. [17] are considered. This exception 89 is thought to have a minor influence due to the very small amplitude in displacement observed in this 90 specific case. The experimentally recorded evolution of displacement with time at these four points for two 91 impact tests can be referred to in Figure 2. Further information on the two real-scale impact experiments 92 setup, instrumentation and data acquisition shall be referred to in Furet et al. [17]. 93



Figure 1: (a) The experimental real-scale wall structure and (b) its numerical equivalent developed using SICONOS software based on the framework of non-smooth contact dynamics (NSCD) method, hereby referred to as NSCD model.

Based on these impact experiments, a numerical model of the structure was developed under the Non-Smooth Contact Dynamics (NSCD) framework implemented in a python-based software package SICONOS [21]. The NSCD model of the structure is a collection of blocks and connectors, presented in Figure 1b. The detailed description of the model development and calibration is presented in Gupta et al. [16] and briefed hereafter.

⁹⁹ The NSCD method was developed to solve multi-body multi-contact problems with rigid and/or

deformable bodies with rigid contact laws such as Signorini's model of unilateral contact and Coulomb's dry friction without any kind of regularization (viscous friction) or compliance. It finds many modelling applications in the field of civil engineering and geomechanics such as masonry and stone structures exposed to static, cyclic, and dynamic loading, cohesive and non-cohesive granular materials and rockfall propagation on slopes to name a few.

In the present work, the system is modelled as a collection of rigid blocks connected by unilateral constraints with Coulomb friction. The finite-freedom dynamics of rigid bodies with unilateral constraints are known to be non-smooth, in the sense that the velocities of the system possess jumps when a contact is closing with a positive relative velocity. These velocity jumps are described through the introduction of an impact law. Thereby, the NSCD method can perform the numerical time integration of the multi-body system under impacts in a dynamic condition.

The NSCD model of the experimental structure is a collection of the model objects - *i.e.*, blocks, 111 connectors, projectile and ground, as shown in Figure 1b. The same block and projectile geometry is 112 considered in the model as that in the real structure and the tubes and slings are collectively modelled 113 as connectors. In the NSCD framework, these model objects are defined as a combination of different 114 contactors, collectively making the model equivalent to the real structure. These contactors are defined 115 with a uniquely assigned collision group to identify them and differentiate one from another. The inter-116 action between model bodies is assigned through Newton impact friction non-smooth law [22]. This law 117 governs the interaction between a pair of contactors (identified through their respective collision groups) 118 via a user-defined coefficient of friction (μ) and coefficient of restitution (e). 119

120 2.2. Calibration

The model parameters governing the interactions between the various bodies of the system were calibrated against the experimental database describing the spatio-temporal response of the wall during the two impact tests. The calibration was based on the displacement measured with time (at threetime instants) at the four locations previously mentioned. These three-time instances correspond to the evolving displacement from the start of the impact at (1) the initial nearly linear slope with a representative instance of 0.1 seconds for the impact axis and 0.25 seconds for the distant axis, (2) maximum block displacement and, (3) displacement at rest *i.e.*, at 1.0 second.

The model calibration was carried out for five parameters. The first two take into account the imposed

constraints for the block-connector (d_z) and connector-connector (v_p) interaction which collectively con-129 trols the relative flexibility of the wall and the relative looseness in the sling. The other three parameters 130 concern the interaction laws between contacting bodies in the NSCD framework. They are defined as 131 the friction coefficient between blocks *i.e.*, concrete-concrete (μ_{cc}) and between blocks and ground *i.e.*, 132 concrete-soil (μ_{cs}) and the restitution coefficient (e). The calibration was supported by the Bayesian 133 inference statistical learning method, improving the confidence in the derived set of model parameters as 134 6.8 cm, 7.1 cm, 0.316, 0.307 and 0.222 chronologically as mentioned above. Consequently, it enables the 135 replication of the structure response with time and space, over a range of impact energies up to 1 MJ. 136

In addition, the model being simple and developed under the NSCD method framework provides on average about 30 times faster computation times in comparison to conventional FE-based models [17] and allows envisaging running a large number of computations.



Figure 2: Comparison of the wall displacement response for (a) 520 kJ and (b) 1020 kJ impact tests at 'Top' and 'Base' points (for impact axis) and 'C' and 'D' points (for distant axis) recorded experimentally (exp) with its counterpart evaluated from the calibrated NSCD model of the short-wall (SW) and long-wall (LW).

In the present work, all simulations are conducted on a wall 3.2m high and about 28.2m long (Fig. 3). The increase in length as compared to that in Gupta et al. [16] aims at avoiding any potential boundary effects when varying the impact location along the wall longitudinal axis. For these simulations, the same projectile is considered. Moreover, the comparison of spatio-temporal displacement response for both small wall (SW) and large wall (LW) lengths (*i.e.*, 14.1 and 28.2m respectively) for the two calibrated ¹⁴⁵ impact cases are reported qualitatively and quantitatively similar, as presented in Figure 2. Therefore, ¹⁴⁶ the calibrated model constitution parameters as reported in Gupta et al. [16] are directly applied to the ¹⁴⁷ long wall model. Notably, the SW displacements are quantitatively slightly different (up to 4cm) from ¹⁴⁸ the one reported in Gupta et al. [16] due to the current computations in an updated version of SICONOS.

¹⁴⁹ 3. Impact response investigation

Due to its zig-zag conformation, discrete nature and complex design where concrete blocks are interconnected thanks to metallic components and including mechanical plays, the structure response is expected to be highly sensitive to impact conditions, for example varying the impact location or the projectile pre-impact trajectory. Therefore, an extensive campaign of numerical simulations is carried out on the NSCD model of the structure to understand, evaluate and exploit the response under different impact conditions.

First, the structural response variability is illustrated by considering three impact cases in similar conditions to that during the experiments but with different impact locations. Then the investigation is generalised varying the six parameters describing the impact conditions.

¹⁵⁹ 3.1. Response for the illustrative impact conditions

The wall response is addressed by varying the projectile impact location to illustrate its complexity 160 and variability. The projectile with an impact energy of 520kJ is simulated where the point of impact 161 is located in the centre of each of the distinct sections of the zig-zag pattern. The 520kJ impact energy 162 is significantly below the wall nominal capacity of 1 MJ where severe block damage, loss of mechanical 163 continuity and very large wall displacements are observed. Therefore, the 520kJ is considered to represent 164 the structure's serviceable impact energy levels, for which the complexity of the wall response is hereby 165 illustrated. These sections are referred to as convex-wedge, angled-wedge and concave-wedge, when 166 viewed from the impacted side, as presented in Figure 3. The origin of the wall structure for the 'along 167 length position' (*i.e.*, Y=0) is assigned at the wall centre and for the 'wall movement due to impact' (*i.e.*, 168 X=0) at the rear face of the wall. The wall response is addressed in terms of block displacement, velocity, 169 deflection and plastic damage hereafter. 170



Figure 3: Top view of the wall model highlighting the repetitive pattern and an identifier for different sections. Here, the abscissa refers to the block position along the wall length where Y=0 is located at the wall centre. And, the ordinate refers to the direction of wall movement when a projectile hits the wall from the impacted side where X=0 is located at the wall's rear face.

171 3.1.1. Displacement

The displacement of the wall is extremely sensitive to the impact location, presented for three illustra-172 tive impact conditions (IC), via the top view of the wall in Figure 4. Here, the three simulated impacts 173 one each at convex, angled and concave wedges are named IC-1, IC-2 and IC-3 respectively. The sensi-174 tivity to the impact location is particularly noticeable in terms of structure conformation. The impact on 175 the concave-wedge results in aligned blocks (Fig. 4c), while an impact on the convex-wedge amplifies the 176 amplitude of the zig-zag pattern (Fig. 4a). The length of the movement zone along the wall longitudinal 177 axis varies from approximately 6 m in the case of an impact on the angled-wedge to 7 m for the two other 178 impact cases, corresponding to four and six top-row blocks respectively. A significant difference in the 179 displacement of the individual blocks is also observed in Figure 4, where the displacement is evaluated 180 at the block's centre of gravity. Larger block displacement is observed for impacts on the concave-wedge 181 in comparison to the other two ICs. 182

As this zig-zag patterned wall aims at protecting a given element at risk, it appears much more 183 relevant to consider the residual distance to the so-called 'Safety line' shown in Figure 4 in addition to 184 the displacement of the blocks. The safety line may be defined based on the position of the protected 185 element at risk. It is here arbitrarily located at 1.5 m from the convex-wedge block's rear face. From 186 Figure 4, it can be deduced that the minimum residual distance to the safety line is much smaller in the 187 case of the impact in the convex-wedge (0.5 m. approx.), as compared to the two other impact cases (1.3 m.)188 m approx.). With respect to this criterion, an impact on the convex-wedge thus reveals comparatively 189 more critical than others. 190



(0)

Figure 4: Top view of the displaced component of the whole length of the wall structure before and after a 520-kJ impact located in the centre of (a) the convex-wedge (IC-1), (b) the angled-wedge (IC-2) and (c) the concave-wedge (IC-3).

¹⁹¹ 3.1.2. Velocity

The variability in the wall's response is here illustrated by the time evolution of the velocity of the 192 concrete blocks during the impact. For this purpose, the velocity computed at the mid-bottom of the 193 block rear vertical face ' v_{face} ' (*i.e.*, on the side opposite to impact) is considered which represents the 194 potential position for the sensor deployment in the in-situ structure. In this position, the instrumentation 195 shall likely be with 1D accelerometers to capture the time evolution of the acceleration normal to the 196 block. For a simplified analysis, the desired velocity profile from the NSCD model shall also be computed 197 normal to the block face at all time instances. For this, the ' v_{face} ' vector is projected onto the unit normal 198 vector (\hat{n}_{b}) to the block face. The computation process is presented in Equation 1. 199

$$v_{\text{face}} = v_{\text{g}} + (p\Omega p^{-1}) \times q$$

$$v_{\text{nb}} = (v_{\text{face}}.\hat{n}_{\text{b}})\hat{n}_{\text{b}}$$
(1)

where v_{g} is the velocity at the block gravity centre, Ω is the rotational velocity, p is the quaternion (presenting block's 3D orientation) and q is the relative position vector of the block face (envisaged sensor location) to its centre of gravity. The time evolution of velocity normal to block face computed for all three cases is presented in Figure 5 (all left). In addition, the peak velocity of the blocks and the time to reach this peak are plotted in Figure 5 (all right).

A general trend where the peak velocity localises at the impacted block is observed. Besides, the number of blocks experiencing rapid displacement from the impact beginning is comparatively higher when the projectile impacts the concave-wedge (Fig. 5c). Indeed, three blocks close to the impact location experience very similar curves. The time for the wall to come to rest is smaller in case of an impact on the convex-wedge with a duration of about 0.5 as compared to 0.8 seconds after an impact on the angledwedge in particular (Fig. 5a vs Fig. 5b). Overall, the velocity of all the blocks appears to provide rich information, with high amplitude as well as significant differences from one case to the other.

The blocks with significant maximum velocities are located within a distance along the wall length ranging from 8 to 12 m approximately depending on the impact location. This observation is in line with the observation made in Figure 4. The shape of the maximum velocity pattern significantly differs from one impact case to the other. These observations suggest that the distribution of peak velocity along the wall could be specific to an impact case in a rather univocal manner.

217 3.1.3. Deflection

In addition to the displacement and velocity, the deflection of the wall blocks, *i.e.*, their rotation around a horizontal axis, seems relevant to address as it relates to wall post-impact stability. In addition, deflection may be measured on-site with rather low-cost sensors. The post-impact deflection of all the blocks in the wall is presented in Figure 6. An impact on the concave-wedge results in block deflection over a wall length as large as 8 m. The maximum value of about 17° is observed at the upper row in the impact vicinity. Notably, the deflection results concerning the impact on the convex-wedge and angled-wedge are not presented as they induced nearly zero wall deflection.

²²⁵ Conclusively, the deflection doesn't provide sufficiently rich information to envisage relating it to the



Figure 5: Evolution of the velocity of the concrete block in the movement zone (all left) and the corresponding mapping of the maximum velocity for blocks in all four rows *i.e.*, top, third, second and base (all right) for the illustrative impact cases at (a) convex wedge (IC-1), (b) angled wedge (IC-2) and concave wedge (IC-3) respectively. Here, 'Y' refers to the global position of the mid-bottom of the rear face of each block along the wall length.

impact conditions, in particular, because some impact conditions result in null deflection angle values
and hence are not deemed appropriate to explore further.



Figure 6: Deflection of all blocks in four layers of the wall (*i.e.*, top, third, second and base) with respect to the vertical at rest for the impacts at concave wedge (IC-3) under 520kJ energy impact. The results for the impact at the convex-wedge (IC-1) and angled-wedge (IC-2) are not presented because of their negligible magnitude.

228 3.1.4. Plastic damage

The rockfall impact on the structure causes plastic damage to the blocks, dissipating a portion of the incident projectile kinetic energy. Notably, the NSCD model is created as an accumulation of rigid bodies where the contact law controls the interaction. Despite that, the quantitative estimation of the energy dissipation mechanisms is possible following the work reported by Acary [23] in the NSCD framework. Subsequently, the relation presented in Equation 2 is implemented in the present work to compute the energy dissipation due to plastic damage (D_p) .

$$D_{p} = \sum_{k=0}^{N} \sum_{\alpha \in I} \frac{1}{2} \left(v_{n,k+1}^{\alpha} + v_{n,k}^{\alpha} \right) P_{n,k+1}^{\alpha}$$
(2)

Here, $v_{\rm N}$ is the normal component of the velocity vector and $P_{\rm N}$ is the normal impulse at a given time step 'k' (out of total N) at a contact point $\alpha \in I$. The details of velocity and impulse computations can be referred to at Gupta et al. [16].

The time evolution of D_p for the three illustrative cases with different impact locations is presented in Figure 7. A significant contribution of plastic damage is observed at the early time instance when the projectile impacts the wall. With reference to the zoomed-in window, the damage evolves relatively fast for the impact at convex-wedge (IC-1) and reaches a constant magnitude of about 380kJ in about 0.35 seconds post-impact. The other two impact cases evolve for a relatively longer time (about 0.6 seconds)



Figure 7: Time evolution of the energy dissipation due to plastic damage for the three illustrative cases, with impact at the convex-wedge (IC-1), the angled-wedge (IC-2) and concave-wedge (IC-3) and the zoom-in window between 300 and 400 kJ

²⁴³ before reaching a constant value towards the end of the one-second simulation time. This observation is
²⁴⁴ in line with the duration over which the wall moves, as illustrated in Figure 5. Conclusively, the plastic
²⁴⁵ damage is taken as a quantity of interest for structural response assessment as it presents the variability
²⁴⁶ in response with different impact conditions.

Notably, the remaining part of the energy transferred by the projectile to the wall is dissipated by friction at the contacts, as detailed in Lambert et al. [24] where it is also demonstrated that the computation scheme complies with the fundamental principle of energy conservation.

250 3.2. Response to a large set of impact conditions

Following the illustrative structural response description in the previous section, the numerical simulation framework is extended to address the response considering a large set of impact conditions. In a similar way as previously done for flexible barriers [13, 25, 26], the parameters describing the incident projectile trajectory, kinematics and location, hereafter referred to as ICPs (Impact Conditions Parameters) are varied over realistic ranges to account for nearly all possible distinct impact conditions which may occur during natural rockfall event. The ICPs and their magnitude ranges are given in Table 1. The first two parameters (*i.e.*, translational and rotational velocities) account for the incident kinetic

Table 1: Projectile model impact condition parameters (ICPs), their magnitude range and the probabilistic distributions for close-to-reality wall impact computations. Here, the Uniform (\mathcal{U}) distribution characterised by the minimum (a) and maximum (b) parameters, and Gaussian/Normal (\mathcal{N}) distribution characterised by mean (μ) and standard deviation (σ) parameters are used.

Parameter	Possible range	Unit	Distribution
Translational velocity (v)	10 - 25	m/s	$\mathcal{N}(\mu = 17.5, \sigma = 2.5)$
Rotational velocity (Ω)	0.0 - 5.6	$\mathrm{rot/sec}$	$\mathcal{U}(a=0.0,b=5.6)$
Impact position - along length 'offset' $\left(y\right)$	0.03.53	m	U(a = 0.0, b = 3.53)
Impact position - along wall height (z)	0.55 - 2.10	m	U(a = 0.55, b = 2.10)
Impact inclination (α)	-60 - +60	0	$\mathcal{U}(a=-60,b=60)$
Impact deviation (β)	-45 - +45	0	$\mathcal{N}(\mu=0,\sigma=15)$

energy of the projectile. Given the projectile geometric and mechanical characteristics considered in this
study, the translation velocity results in a kinetic energy ranging from 130kJ to 800 kJ. The rotational
velocity range is defined as per the work reported by Bourrier et al. [27] providing the rotational kinetic
energy up to 240kJ for the projectile geometry used in this work.

The impact location refers to the projectile's centre of gravity at impact. The range for the impact 262 locations along the wall length (Y axis) is defined considering that the structure consists of the repetition 263 of a pattern. Thereby, the impact locations were restricted to a representative segment of the wall 264 conformation inclusive of all three wedges (*i.e.*, convex, angled and concave), defined as 'y' offset or 265 simply y' for future mentions. By definition, the response observed for this segment is extendable to 266 other parts of the wall, except for the wall extremities. Indeed, impacts at a close distance from the wall 267 extremities shall result in a different impact response, in particular depending on some design choice with 268 influence on the mobility of the blocks at the wall extremity (such as retaining cables or abutments). 269 The case of impacts close to the wall extremities is thus not considered in the present work. The impact 270 location along the vertical axis (z) is defined considering the wall height and the block size, which is 1.1m. 271 The minimum value for the impact location along the vertical axis is half the projectile dimension. The 272 maximum value corresponds to the distance between the projectile centre of gravity and the wall crest 273 equalling the projectile diameter. 274

The inclination and deviation angles are varied over the ranges reported by Toe et al. [25]. The 275 inclination angle (α) represents the relation of the z-component of projectile impact path with the normal 276 to the wall face [13]. Negative α values thus correspond to upward trajectories (*i.e.*, away from the 277 ground). The β angle accounts for the deviation in the horizontal plane of the incident rock block 278 trajectory with respect to the normal to the wall longitudinal axis (and not with respect to the impacted 279 block uphill face). The range considered for β implies that the wall longitudinal axis is considered 280 perpendicular to the line of the maximum gradient of the uphill slope. Also, β determines the planer 281 (x,y) components of Ω while the z-component is considered to have no magnitude such that the incoming 282 projectile spin is always in line with its trajectory. Notably, the sketch in the Figure 11 visually illustrates 283 the considered inclination and deviation angles. 284

In this study, the kinetic energy is considered sufficient for describing the projectile kinematics in view 285 of addressing the structure response. This obviously constitutes a simplification as it is evidenced that, 286 for a given projectile kinetic energy, the response of a rockfall protection structure may be significantly 287 influenced by the projectile mass-to-velocity ratio [28, 29]. Besides, the upper limit of the translational 288 kinetic energy is kept less than the wall nominal capacity as observed during the experiments, which is 289 above 1 MJ. This is motivated by two observations: (1) The representation of the model to correctly 290 model the structure displacement and damage is lower at impact kinetic close to the nominal value (e.q., 291 due to loss of mechanical continuity in connectors [16] and (2) The damage and displacement are severe for translational kinetic energy at impact higher than 800 kJ, imposing visit and repair/maintenance 293 works without any doubts. 294

295 3.2.1. Statistical sample

A statistical set of 300 model computations, based on the Latin hypercube sampling (LHS) method [30] 296 is retrieved to represent the model response under different impact conditions. The created statistical 297 sample is presented in Figure 8, where the magnitudes of all parameters are in the range reported in 298 Table 1. Here, the filled input space assures that possible combinations of the input variables are well 290 considered in the finite set of ICPs. In general, 50-500 model runs are considered a 'reasonable budget' 300 to create the metamodels of the NSCD model presented later on. The NSCD model computation time 301 is about 30-40 minutes for one projectile impact simulation and hence the computation cost of 300 runs 302 is deemed sufficient. Such sample size also enables the creation of all requisite metamodels to exploit 303

³⁰⁴ various response mechanisms without optimising the sample size for each mechanism separately.



Figure 8: Input sample of size 300 (based on Latin hypercube sampling (LHS) sampling method) comprising six impact condition parameters (ICPs) and their probability distribution in the respective ranges of variability.

Moreover, a probability distribution is assigned to each parameter to account for the influence of 305 rockfall trajectory, inspired by the work reported in Lambert et al. [14]. A Gaussian distribution is 306 chosen for the translational velocity (v) and deviation angle (β) indicating that the extreme limits of 307 both these parameters are less probable. The parameter β distribution abides by the idea that the 308 structure installation on-site is such that the projectile is most likely to impact normally to its face. 309 Similarly, the parameter v distribution takes into account the most likely impacting projectile energy 310 and keeps the low and high-energy cases as rare events. The uniform distribution is assigned for all the 311 remaining parameters as no prior information is available on their probability of occurrence. 312

It is worth highlighting that the ICPs were defined ignoring some correlations that may exist between the six parameters. For example, the velocity in the case of a downward projectile trajectory is higher on average than that for an upward trajectory. This results from the fact that a downward trajectory is associated with a rock block-free fall while an upward projectile trajectory immediately succeeds the rebound from the ditch which induces energy dissipation. This means that some combinations of parameter values are not realistic (for instance, the lowest α value with the highest translational velocity, v). A specific site-investigation-based ICP correlation can be established for a better representation of the input sample. However, this is out of the scope of the present work and hence we proceed further with the uncorrelated ICPs. Moreover, the Gaussian distribution for v and β parameters results in the representation of each ICP set as not equiprobable. Subsequently, it enables having less number of simulated cases corresponding to rare events.

324 3.2.2. Displacements under impact

The position of the top row blocks at the end of impact (i.e., at rest) for all 300 simulations is 325 presented in Figure 9. The hollow circles correspond to the location of the extremity of the vertical 326 connectors position. The blocks represented in blue correspond to the impact case resulting in the least 327 distance from the wall to the safety line. Impacts in the convex-wedge resulted in a lower distance to 328 the safety line which is consistent with observations made based on Figure 4. The movement zone for 329 all simulated cases stays within approximately 7m on either side from the centre of the wall (*i.e.*, Y =330 0). The cloud of points, together with the mean positions and the variability (estimated as twice the 331 standard deviation), reveals that the amplitude of the displacement in the X- and Y-axis directions are 332 much larger at a distance from the wall centre (i.e. at the concave wedge) and that it is significantly 333 dependent on the impact location along the Y-axis. 334



Figure 9: Top view of the displaced component of the whole length of the wall as per sampled 300 sets of projectile impact condition parameters. The position of the block holes position after impact is presented for each simulation and the impact case resulting in the least distance to the safety line is highlighted for better visibility.

335 3.2.3. Sensitivity analysis

The variability in structure response is further addressed by investigating the influence of each parameter on the different quantities of interest (QoI). In accordance with Section 3.1, the considered QoIs are the minimum distance to the safety line (u^{safety}) , the maximum displacement of the wall (u_{max}) , the maximum concrete block velocity recorded (v_{max}) during impact and plastic damage (D_p) .

The influence of each parameter on the QoIs is investigated through the Sobol sensitivity method also known as analysis of variance [31]. This method decomposes the variance of the output parameters as the sum of the contributions of the different input parameters including the possible interaction between input parameters. Each contribution is characterised by the ratios of the partial variance to the total variance, called Sobol sensitivity indices.

The accurate computation of Sobol indices demands a large number of model computations (of order 10⁶). This is highly impractical in the present study if the NSCD model is used directly. This limitation is circumvented with the help of the meta-modelling technique which enables to create a surrogate of the NSCD model, allowing direct computation of Sobol indices at zero cost [32, 33]. In this case, a meta-model (or surrogate) can be defined as a mathematical operator describing the response envelope of the wall in the 6D space corresponding to the six variables defining the impact conditions related to the rock projectile.

Here, a database of 300 model simulations is processed for each QoI to acquire the model output set and 352 its corresponding ICPs. Then, the polynomial chaos expansion (PCE) based meta-modelling technique 353 (as per UQlab PCE module [34], see Appendix A for details) is used to formulate a generalised link 354 between the input ICPs and each output QoI. A total of four metamodels are created corresponding 355 to four QoI, named Meta- u^{safety} , Meta- u_{max} , Meta- v_{max} and Meta- D_p . For each meta-model creation, 356 a mathematical relation (as presented in Equation A.2), is established between the 300 distinct sets of 357 input parameters and the corresponding displacement output set. The mathematical relation here can 358 be analogically referred to as obtaining a regression for a 2D database. The accuracy of the PCE-based 359 meta-model for each QoI is presented by the leave-one-out (LOO) error (Equation A.5), reported of 360 order 10^{-2} for Meta- u^{safety} , Meta- u_{max} and Meta- D_p and of order 10^{-1} for Meta- v_{max} . Notably, these 361 PCE-based meta-models are also used for the inverse analysis work, detailed in the section 4. 362

The UQlab sensitivity analysis module [35] is used for the computation of the Sobol indices. The first

and total order Sobol indices for the QoI are presented in Figure 10, computed to evaluate the influence
 of each of the six ICPs on the four aforementioned QoI.



Figure 10: Sobol sensitivity analysis of the ICPs on different QoI towards the representation of the generalised impact assessment.

The first-order Sobol indices reflect the main effect of each ICP and the total order indices reflect the main effect plus the contribution from the interaction between different ICPs. Thereby, when there is no interaction between variables, the first and total index magnitudes shall be the same. However, the contrary is observed here which reflects the significant interaction between ICPs in terms of their influence on the QoIs. The main and the total effects of each ICP are briefly discussed as follows.

The main effect of the rotational velocity (except for D_p), the vertical position of impact (z) and deviation (β) are observed to have the lowest sensitivity to all QoIs. On the contrary, all QoIs are reported to be highly sensitive to the other three input ICPs. The y position of projectile impact is observed to be highly influential confirming the structural response variability from convex to angled to concave wedges, as reported in Figures 4 and 9. The v and α parameters collectively account for the projectile velocity component parallel to the ground (xy plane) and the component parallel to the wall face (z-axis) as presented in Equation 3.

$$v_{z} = v \sin \alpha$$

$$v_{xy} = v \cos \alpha$$

$$v_{x} = v_{xy} \cos \beta, \quad v_{y} = v_{xy} \sin \beta$$
(3)

It is thus evident that these three input ICPs influence all resulting QoI directly. Notably, the parameter β determines the further division of projectile velocity (v) in x-y plane (*i.e.*, v_x and v_y). Since β is observed to have a low influence on the QoI, the collective effect of projectile velocity in xy-plane is sufficient for further consideration. The negligible influence of 'z' infers the stability of the wall structure throughout its height. Lastly, the negligible influence of ' Ω ' on block displacement and velocity indicates that the projectile spin induces a reaction from the block predominately in the vertical direction. A relatively significant influence on D_p further emphasises this interaction inferring that Ω contributes to the block damage with minimal induced displacement.

The total effect of each ICP is observed to reflect the interaction between them as their total Sobol 386 index is observed higher than the corresponding first-order index. It is an indication of the non-linearity 387 in the model response. The v and α parameters collectively account for the energy transferred to the 388 wall, which in turn influence all four evaluated QoIs. Thereby, an interaction between them is justified. 380 Similarly, the parameter y, despite being independent of the velocity parameter, accounts for the effect 390 of the wall pattern (i.e., convex, angled and concave wedges) on the wall response. Therefore, abiding 391 with the illustrative observations in Figure 4, the same energy impact at different locations results in a 392 different response, *i.e.*, sensitive to all four QoIs. The effect on D_p is observed least in comparison with 393 the other three QoI which indicates that the damage to the block is predominantly influenced by the 394 impact energy with a minor influence of the impact location. The reported D_p from the three illustrative 395 impact cases (see Figure 7) supports this observation. Lastly, similar to the main effect, the contribution 396 of Ω and z and β parameters in the total effect is observed relatively less. 391

398 3.3. Towards inverse analysis

The investigation of the structure response under close-to-reality conditions is of paramount importance, first, for evaluating its on-site efficiency and, second, for defining the best strategy for developing the inverse analysis method.

The wall response to impact is revealed extremely complex, with a significant dependence on parameters describing the impact conditions (ICPs). Differences in response were revealed by the concrete blocks' kinematics, in terms of displacement and velocity. The sensitivity to the impact point location along the wall's longitudinal axis results from the wall's zig-zag conformation and its discrete and articulated nature. For instance, in the case of IC-1 (*i.e.*, an impact with a zero deviation and at a 520 kJ impact energy), the length of the wall experiencing significant displacement is about 12m (from -6 to +6), and similarly, it is about 8m for IC-2 and 9m for IC3 (see Figure 4). This is confirmed by the distinct patterns of the peak concrete block velocity along the wall (see Figure 5). In addition, the wall response depends on the projectile orientation with respect to the wall face (α and β) which is attributed to the amount of energy that is transferred to the wall during impact (which will be further addressed in see section 5.2).

The complexity of this response justified considering a specific approach for developing a relevant 413 inverse analysis method. In addition, it is concluded that the deflection of the wall is not relevant for 414 conducting an inverse analysis. On the contrary, the distribution of the concrete block's peak velocity 415 seemed to be impact-conditions-specific and is thus considered a good input data candidate for conducting 416 inverse analysis based on real-time measurements made on-site. These conclusions suggest that the 417 priority in terms of on-site structure instrumentation should be placed on accelerometers, from which 418 the concrete block velocity can be derived. By contrast, it is less relevant to install inclinometers for the 419 purpose of conducting inverse analysis. 420

In this work, it is proposed to conduct inverse analysis based on real-time measurements, during impact, for the purpose of warning and decision-making upon impact. This requires installing sensors in different locations on the structure, connected to data aquisition and transfer equipment. Then, it is also proposed to conduct inverse analysis based on data collected on the structure after impact, such as a cloud of points revealing the structure envelope obtained from scanning tools. These data sets may be used for conducting post-impact inverse analysis in view, for example, of quantifying the consequence of the impact on the structure or retrieving information related to the event.

In both cases, the inverse analysis consists of exploiting the data collected on-site to statistically 428 establish a link with the various impact conditions. The inverse analysis relies on meta-models created 429 based on a large set of NSCD model simulations of the structure response under close-to-reality conditions. 430 The Bayesian inference statistical learning method is used where the data collected on-site shall be fed 431 as evidence into the meta-models based forward model to find out the likelihood of obtaining the same 432 result (a single value or a pattern) from a particular set of input parameters. A brief description of the 433 Bayesian inference is presented in Appendix B and its implementation in the present work is detailed in 434 section 4.2. 435

436 4. Inverse analysis based on real-time measurements

437 4.1. General considerations

The instrumented protective structures *e.g.*, installed sensors, most often aim at warning the structure owner or the protected infrastructure manager of any event. Real-time measurements could also be used to improve decision-making over the next few moments after impact. Such a process could be based on images from cameras, but sensors have the advantage of providing reliable information independently of the light and scene conditions.

Two decisions could be taken remotely immediately after an impact on a structure protecting a traffic 443 line: traffic interruption and the need for structure inspection. Both are related to the consequences of 444 the impact on the structure, in terms of displacement and damage. An excess magnitude may be critical 445 to the safety of the traffic line or imply rapid repair or maintenance work. In this objective, the inverse 446 analysis is intended to rapidly and remotely indicate the magnitude of the consequences of the impact, for 447 example using consequence classes, rather than to provide a precise value of the displacement or damage. 448 The inverse analysis is based on measurements that shall be retrieved from the on-site structure 449 exposed to the natural rockfall impact event. The devices used for these measurements should con-450 sider cost constraints to be of interest in an operational context. In particular, the number and type 451 of sensors should be optimised. The previous section suggested that a reliable inverse analysis could 452 be conducted from the block velocity, which can be derived from the on-site acceleration measurements 453 recorded during the impact. Based on this, the proposed inverse analysis is developed considering accel-454 eration measurements from uniaxial sensors, because of their much lower cost compared to triaxial ones. 455 Also, it is developed to constrain the number of required data, meaning the number of measuring points 456 on the structure (*i.e.*, sensors). The number of measuring points is kept at a minimum while allowing a 457 sufficiently good prediction accuracy of the inverse analysis. 458

In this perspective, the sensor deployment scheme is defined for a representative wall length, in such a manner it can be replicated along the full wall length. Considering the minimum length of the movement zone observed in Figure 4, the choice is made to have at least 3 sensors per wall segment (*i.e.*, component of the wall length comprising of one convex, angled and concave wedge each). More precisely, sensors shall be located in the centre of the vertical face of concrete blocks experiencing significant displacements whatever the ICPs. This number of sensors per representative length is presumed to be the minimum ⁴⁶⁵ number for allowing a reliable inverse analysis. It corresponds to 6 concrete blocks equipped with sensors ⁴⁶⁶ over the maximum movement zone length, as shown in Figure 11. Here, the illustrative process of ⁴⁶⁷ acceleration data acquisition followed by its integration to obtain velocity evolution with time for each ⁴⁶⁸ sensor is also presented. The maximum velocity magnitude is identified and consequently, the pattern ⁴⁶⁹ of maximum velocity for each sensor processed data is retrieved which is deemed unique for each impact ⁴⁷⁰ condition.



Figure 11: The schematics of the wall model indicating the blocks equipped with sensors for data acquisition. The sketch on the top right presents the notation of the impacting projectile inclination (α) and deviation (β)

The inverse analyses presented in the following make use of the maximum concrete block velocity along the direction normal to the block face at these 6 locations to represent the impact response corresponding to all possible sets of ICPs. The set of maximum block velocities recorded along the wall at these locations is in the following referred to as the maximum velocity pattern (MVP).

475 4.2. Inverse analysis method

The inverse analysis relied on the confrontation between the MVP that shall be obtained from an on-site measurements to that obtained from a large number of simulation-based results. The developed method for conducting the inverse analysis comprised a combination of meta-models and Bayesian inference statistical learning methods. The flow diagram is presented in Figure 12 and detailed as follows. The rich database of NSCD model simulations for 300 different ICPs (Figure 8) is processed (as per Equation 1) to obtain the maximum velocity normal to the block face for all blocks where a sensor is planned to be installed. This provides a database of MVP for 300 model computations. This database



Figure 12: Computational workflow of the inverse analysis using a combination of meta-models, event data and Bayesian inversion methods

is processed to create a PCE-based meta-model, named Meta-MVP, associating each set of ICPs to a
 distinct MVP.

The accuracy of this meta-model is estimated via leave-one-out-error which is estimated of order 10^{-2} to 10^{-1} for 6 locations collectively making a MVP. Besides, the predictive capability of the Meta-MVP is presented by comparing the predicted vs true value from the NSCD model at all six sensor locations. For this, the same 300 simulations set complemented with an independent set of 300 simulations, where ICP sets generated using Halton sampling method [30] within the bounds reported in Table 1 are used. The collective outcome from a total of 600 simulations is presented in Figure 13.

The prediction accuracy of the Meta-MVP is quantified via the predictability coefficient (Q^2) , estimated considering the results from the 600 simulations and 6 points, for a total of 3600 observations, as:

$$Q^{2} = 1 - \frac{\sum_{i=1}^{N} (y_{i}^{\text{pred}} - y_{i}^{\text{true}})^{2}}{\sum_{i=1}^{N} (y_{i}^{\text{true}} - y_{\text{mean}})^{2}}$$
(4)

where y_i^{pred} is the meta-model predicted value and y_i^{true} is the value processed from the NSCD model for block (*i*) and y_{mean} is the arithmetic mean of all 'true' predictions. The interest of using the metamodels is to generalise the maximum concrete block velocity data obtained from 300 (*i.e.*, limited number of) simulations, to any possible ICP combination (*i.e.*, a large number), which is a prerequisite for the



Figure 13: Validation of the PCE-based meta-model of the maximum velocity pattern (Meta-MVP) by comparison of the reported magnitude from 600 NSCD model simulations and the corresponding estimation by the meta-model.

⁴⁹⁸ next step.

The Bayesian inversion process is deployed where the processed PCE-based meta-model is used as 499 the forward model (or 'prior', see Equation B.2). This approach is extensively used by many researchers 500 (e.g., [36, 37, 16]) to accelerate Bayesian computations. The Bayesian inference is inspired by Bayes' 501 theorem [38] - a representation of the changing beliefs - simply demonstrating that the probability of a 502 'hypothesis' being correct becomes more reliable with supporting 'evidence'. In our work, the 'hypothesis' 503 states that the 300 distinct sets of input parameters present a set of 300 distinct MVPs. The idea of 504 reliability increase in our hypothesis is that, if we have the on-site recorded MVP as 'evidence', then 505 there exists at least one set of ICPs (*i.e.*, 'posterior', see Equation B.3) such that the recorded evidence 506 is reproduced. The data that shall be obtained from on-site measurements for a real projectile impact 507 on the wall event shall provide the MVP which serves as evidence for inverse analysis. The Bayesian 508 process estimates the likelihood of this MVP amongst all possible MVPs recorded from the meta-models 509 and predicts the corresponding set of ICPs. 510

In the UQlab Bayesian inference framework [39], the uncertainty in the model prediction is assigned via added Gaussian discrepancy (see Equation B.5) when correlated with the data that shall be recorded from the real on-site event of the projectile impact on the wall. In the present work, as the inverse analysis relies on a single MVP that shall be recorded on-site, deemed less precise, the discrepancy with a known residual variance of order 10^{-3} is manually assigned. The output from the Bayesian inference-based inverse analysis is a set of ICP values retrieved as point estimates 'maximum a posteriori' and 'mean' (see Equation B.13). This predicted set of ICP values is then used to estimate the QoI (in this case damage to the wall or distance to the safety line). As a conventional approach, running a new NSCD model simulation with the predicted ICPs shall provide detailed information on the wall response from which the QoI can be extracted as a subset. However, this operation shall require about 30-40 minutes of computation time and added time for post-processing. This added delay time between on-site observation and warning system activation is unfavourable.

A procedure by which the QoI is instantly computed is developed to reduce the reaction time. The 523 computational workflow components for this process are highlighted in the dashed box of Figure 12. The 524 database of NSCD model simulation results is processed to evaluate the QoI as outputs. The resulting 525 database of QoI is then used to create the PCE-based meta-model for each QoI. It serves as a surrogate 526 of the NSCD model where the input ICPs are processed to compute the corresponding QoI output. 527 The leave-one-out (LOO) error of order 10^{-2} is reported for the two PCE-based meta-models created 528 for the two QoI (*i.e.*, distance to safety and wall damage), named as Meta- u^{safety} and Meta- D_p (see 529 section 3.2.3). The predicted ICPs are then fed into this surrogate of the NSCD model as input which is 530 then evaluated to eventually predict the QoI and the whole computational process terminates. 531

Notably, in the absence of an exhaustive database of the MVP that shall be retrieved from the structure exposed to the natural rockfall events, the essential 'evidence' database (*i.e.*, recorded MVP) to test the inverse analysis process is not available. Therefore, the concrete block velocity patterns from the same set of 300 model simulations and the new independent set of 300 simulations with different impact conditions are used as pseudo-evidences to test the process as the realisation of the natural rockfall events on the structure.

Each of these 600 known block velocity patterns is individually fed as input into the inverse analysis using the multiple model output feature of Bayesian analysis in UQlab (demonstrated in Equation B.8), providing the predicted ICP values set. The evaluation of the reliability of the inverse analysis is based on the comparison between the QoI reported via the ICP set used for running the simulation and the one estimated from evaluating the ICP set predicted by the inverse analysis onto the meta-model created for the same QoI.

544 4.3. Inverse analysis for warning purpose

First, the inverse analysis addresses the traffic interruption issue for which the post-impact minimum distance of the wall to the safety line constitutes the QoI. The higher the distance, the higher the safety. The predictive capability of the inverse analysis workflow presented in Figure 12 is tested for the maximum concrete block velocity database obtained from the 600 NSCD model simulations. The MVPs from the three impact cases mentioned in Section 3.1 are also used to predict the minimum distance to the safety line.

The comparison between the predictions from the inverse analysis + meta-model and the simulation results is presented in Figure 14. The relative proximity of the predictions to the ideal diagonal reflects the potential of the presented methodology. Points above the diagonal concern cases for which the inverse analysis overestimates the distance to the safety line. On the contrary, the inverse analysis underestimates the distance to the safety lines for points below the diagonal, which is on the safe side.

The root mean square (RMS) error of the deviation from the expected response for the 600 simulations 556 is 16 cm. About 58% of this RMS error is due to the surrogate of the NSCD model (*i.e.*, dashed box 557 component of the workflow). Besides, the presented process is also tested for the three illustrative impact 558 cases, reflecting a good prediction. In fact, in some sectors of the 6D space of the six ICPs, this surrogate 559 locally fails in precisely capturing the wall response, in particular due to the presence of non-linearities. 560 As an alternative, the reliability of the inverse analysis could be improved by running an NSCD model 561 simulation with the predicted ICPs in place of using the surrogate of the NSCD model, at the expense 562 of a longer reaction time upon event. 563

For practical purposes, it is proposed to classify the impact response into three classes depending on 564 the distance to the safety line: [0-0.75 m], [0.75-1.25 m] and [1.25-1.5m]. These classes correspond to 565 decreasing criticality concerning transport corridor safety, respectively high (H), medium (M) and low 566 (L) criticality. NSCD model simulation results indicate that 15%, 59% and 26% of the impact cases are 567 classified in class H, M and L respectively. Notably, the relatively high number of simulated cases are in 568 the middle category as a consequence of the Gaussian distribution of the magnitude of the velocity input 569 parameter. Predictions based on the inverse analysis + metamodel give values of 12%, 63% and 25% for 570 classes H, M and L respectively. Besides, the false negative predictions are estimated to be about 24% 571 and 10% among all in the H and M classes respectively. The remaining predictions *i.e.*, good and false 572



Figure 14: Comparison of the minimum distance from the safety line, estimated from the NSCD model and the corresponding prediction from the inverse analysis tool accompanied with Meta- u^{safety} . Here, the predictions are divided into three zones reflecting high (H), medium (M) and Low (L) levels of severity inclusive of the corresponding zonal false positive (+) and false negative (×) predictions. Results are based on a set of 600 impact conditions complemented with the impact cases presented in section 3.1 (IC-1 to IC-3).

⁵⁷³ positives are in favour of the decision-making by the user, and hence are deemed acceptable.

In the end, the predictions are considered sufficiently good to be used in an operational context for estimating the consequences of the rockfall event in terms of structure displacement. The undeniable interest in this approach is that it automatically and remotely provides stakeholders with information of great value for decision-making upon impact. No quantitative evaluation would be derived rapidly from data measured on-site in the absence of such an approach.

579 4.4. Inverse analysis for structure damage quantification purpose

Second, the inverse analysis is used to remotely estimate damage to the wall. It is defined as the 580 cumulative energy dissipated by the plastic damage of all the wall concrete blocks, D_p , after the wall is 581 at rest. Damage to all concrete blocks of the wall is computed from NSCD model simulation results as 582 per section 3.1.4. The approach considered for this inverse analysis is the same as that for the previous 583 warning criterion. Figure 15 provides a comparison between D_p values obtained from NSCD model 584 simulations and the predicted ones. The RMS error of the deviation from the expected response is 82 kJ, 585 which may be considered acceptable when considering all uncertainties associated with the quantification 586 of plastic energy dissipation due to real rockfall impacts. Similar to the previous prediction of distance, 587

about 35% of the contribution to the RMS error is attributed to the surrogate of the NSCD model.
Besides, the process is tested for the three illustrative cases, reflecting a good prediction except for the
impact at the angled-wedge (*i.e.*, IC-2).



Figure 15: Comparison of the predicted damage to the wall, D_p , estimated from the NSCD model and the corresponding prediction from the inverse analysis tool accompanied with Meta- D_p . Here, the predictions are divided into three zones reflecting Low (L), medium (M) and high (H) levels of damage inclusive of the corresponding zonal false positive (+) and false negative (×) predictions. Results are based on a set of 600 impact conditions and impact cases presented in section 3.1 (IC-1 to IC-3).

The cases in the right upper corner constitute the most critical impact cases. Points above the diagonal concern cases for which the inverse analysis overestimates the damage, which is on the safe side. For practical purposes, it is proposed to classify the damage into three classes depending on the value of D_p : [0-100 kJ], [100-300 kJ] and [300-800 kJ] for low (L), medium (M) or high (H) damage. These limits are arbitrarily defined from the distribution of D_p over all cases.

The class limits refer to the level of damage to the wall corresponding to the fracture energy of one 596 concrete block when a fracture propagates along a vertical plane in the middle of the concrete block. 597 A classification based on the energy dissipation associated with the fracture of the considered concrete 598 blocks following different patterns and mechanisms (compression or tension) and in different locations 599 in each block (e.g., middle of the blocks and corners) would be more appropriate for the practitioners. 600 However, such specific estimations are not possible in the framework of the NSCD method used for the 601 definition of the NSCD model in the present work. Also, the experimental estimation of these specific 602 energy dissipation magnitudes through dynamic tests is not in the scope of the present work. Thereby, in 603

the absence of specific dynamic test results for these large and reinforced concrete blocks, results obtained by Guo et al. [40] for a reinforced beam exposed to impact were extrapolated accounting for the difference in block section and resulted in a value of 100 kJ approx. This value is a rough estimate of the first limit for the considered concrete blocks.

According to NSCD model simulations results presented in Figure 15, 8%, 72% and 20% of the impact cases result in damage classified as H, M and L respectively. Notably, the relatively higher number of cases in the middle category are a consequence of the Gaussian distribution of the magnitude of the velocity input parameter. Values of 6%, 76% and 18% for classes H, M and L are obtained from the predictions respectively. Besides, the false negative predictions are estimated to be about 38% and 5% among all in the H and M classes respectively. The remaining predictions *i.e.*, good and false positives are in favour of the decision-making by the user, and hence are acceptable.

The differences between simulation results and predictions are associated with points at a large distance from the diagonal (Fig. 15). Further method developments could improve the accuracy of these predictions. Nevertheless, the predictions following the described inverse analysis are considered sufficiently good to be used in an operational context to aid in remote decision-making, the RMS error is 82 kJ.

4.5. Comments concerning the use of inverse analysis based on real-time measurements

The results presented in section 4.3 and 4.4 demonstrate the feasibility of conducting an inverse analysis of data that shall be collected on-site during impact, focusing on two specific purposes.

The proposed method, making use of numerical modelling, meta-modelling and statistical approaches, 623 revealed rather efficient in providing useful information which could not be derived from the direct 624 observation of the data that shall be received from the site. The duration of the whole process for 625 treating the input data typically lasts about five minutes with the developed script making it compatible 626 with rapid reaction upon event. The analysis scripts are written in Matlab as per UQLab framework 627 [41] allowing running this complex process in two operations (one for inverse analysis and the other for 628 output of interest prediction). The accuracy of the predictions is considered sufficiently good for remotely 629 classifying the events and aiding in decision-making. If required for another purpose, this accuracy could 630 be improved further, for example by also accounting for other measure types, such as deflection, or by 631 improving the used methodologies for creating the meta-models. 632

In real situations, the probability of occurrence of an impact in given conditions is extremely variable. By contrast, and as mentioned in section 3.2.1, the ICP sets considered in this work were defined by not considering any possible correlation between the various parameters. The statistical assessment of the inverse analysis predictive capacities is related to the considered ranges and distributions of the six ICPs. It should thus be considered indicative at this stage. It should be improved by accounting for the probability of each scenario considered in this evaluation, for example considering site-specific data describing the rock projectile trajectory.

It is worth noting that, as the second step of the inverse analysis provides the set of ICP values resulting in an MVP similar to the observed one, a preliminary estimate of the projectile velocity at impact and consequently the impact energy can also be retrieved complementarily.

⁶⁴³ 5. Inverse analysis based on post-impact measurements

644 5.1. General considerations

In addition to real-time measurements, the wall conformation after impact can be easily obtained by employing techniques such as photogrammetry or Lidar. An accurate impact-induced displacements may be obtained if the same type of data is collected before the impact. In such a situation, the number of data that shall be from the site would be much larger than that for real-time response. Typically, the position of the centre of gravity of all blocks can be derived from these data sets and will be considered in the following.

In this context, the aim of an inverse analysis could be to retrieve information related to the rock projectile kinematics at impact, such as its kinetic energy. This information could thus contribute to better quantifying the rockfall hazard at the wall location.

The development of the inverse analysis method is based on the following considerations. In case there is damage to concrete blocks, notably in the impact location vicinity, the determination of the blocks' gravity centre will not be possible. This eventuality is accounted for in the development of the inverse analysis method. In addition, it is considered that the rock projectile volume and the impact location can be determined during the on-site visit. For the simplicity of executing the process, we assume that the NSCD model which is calibrated for a unique projectile mass is also able to predict the structure response for another rock projectile mass. In other words, this implies that for a given projectile kinetic energy, the wall response is the same whatever the mass and velocity. Notably, this assumption is not tested via the NSCD simulations of varying mass of the projectile and hence we acknowledge that it can be considered a strong assumption. Under this assumption, the kinetic energy provided by the inverse analysis combined with the observed rock projectile mass gives an estimate of its velocity at impact. Thereby, the reliability of the prediction shall be relatively higher when the impacting rock mass in a natural event is close to the simulated projectile mass.

The ICP comprise six physical parameters which describe the projectile kinematics and the point of impact on the wall. The accurate prediction of all parameters is of limited interest for post-impact measurements as the user is more focused on the estimation of the incident rock projectile kinetic energy. Therefore, it is proposed to use a reduced dimension of the input parameters space to create the metamodel from the 3D displacement database. The set of six ICPs is reduced to three by combining v, Ω , α and β to calculate the total kinetic energy of the projectile (KE_{proj}) as per the Equation 5 below and keeping position parameters y and z intact.

$$KE_{proj} = \frac{1}{2}m[v_{xy}]^2 + \frac{1}{2}m[v_z]^2 + \frac{1}{2}I[2\pi\Omega]^2$$
(5)

Further, the total kinetic energy of the projectile can be divided into two components: one accounting for the energy on the plane parallel to the ground (KE_p) and the other accounting for the rest of the energy (KE_{np}) . This is done by using only the planer component of the translational velocity (v_{xy}) component to compute KE_p and the remaining two components (*i.e.*, v_z and Ω) to compute KE_{np} .

This division is motivated by the observation that KE_p mainly governs the wall displacement, as it is integrally transferred to the wall (except if the projectile rebound velocity is significant, which is not the case here). On the contrary, the rest of the energy (which is associated with projectile rotation and velocity along the vertical direction) is marginally transferred to the wall and thus has very little influence on the wall response.

This proposition is qualitatively explored by the Sobol indices evaluated on reduced ICPs for the maximum 3D displacement, presented in Figure 16. Here, KE_p is observed highly influential for the resulting maximum displacement of the wall and as suspected, KE_{np} provides negligible influence. The y-position of the projectile impact is observed as influential and supports the conclusion presented in the previous section. The position-z is observed to have a negligible influence which supports the conclusions



Figure 16: Sobol sensitivity analysis of the impact condition parameters (ICPs) of derived and reduced dimensions (*i.e.*, from 6 to 4) for the maximum 3D displacement

in the previous section as well. Thereby, observing from the Sobol indices, the inverse analysis is conducted taking into account the variability in the input KE_p and y parameters only hereby referred to as reduced dimension ICPs.

⁶⁹¹ 5.2. Inverse analysis method

The process of inverse analysis for displacement-based prediction of the impact conditions follows the 692 same workflow as illustrated in Figure 12, however by replacing some components detailed hereafter. The 693 recorded database from 300 NSCD model simulations is associated with the reduced dimension ICPs, 694 instead of 6 ICPs. The 3D displacement post-impact is evaluated at all blocks in the movement zone 695 and the database of the displacement pattern (as a replacement for the MVP mentioned in the previous 696 section) corresponding to each set of reduced dimension ICPs is obtained. A PCE-based metamodel 697 is created to associate each reduced dimension ICP set to a distinct 3D-displacement pattern, named 698 Meta-3DP. 699

The accuracy of the Meta-3DP is estimated via the leave-one-out error, reported of order 10^{-1} for all 26 blocks in the movement zone collectively making a 3D-displacement pattern. Besides, the created meta-model for 3D displacement is validated by using the same simulation set and the independent set of 300 simulations (presented in Section 4.2), thus a total of 600 simulations, and comparing the predicted vs true value (from NSCD model) at all 26 blocks in the movement zone, collectively presented in Figure 17. Here, the predictability coefficient (Q^2) presents the prediction accuracy, estimated for N = 600 × 26 = 15600 observations as per Equation 4.



Figure 17: Validation of PCE-based meta-model of the 3D displacement pattern (Meta-3DP) of 26 blocks by comparison of the reported magnitude from 600 NSCD model simulations and the corresponding estimation by the meta-model

This created meta-model is used as a forward model for the Bayesian inference-based inverse analysis. 707 The displacement data retrieved from real events shall serve as evidence for the inverse analysis, by 708 replacing the 'on-site MVP' mentioned in the workflow of Figure 12. Notably, here, two threshold levels 709 of displacement magnitude are considered at 0.2m and 0.05m based on the observation from processed 710 displacement data from 300 simulations for all 26 blocks. The first threshold of 0.2m is assigned to limit 711 the number of blocks in the displacement pattern to avoid overfitting. The second threshold of 0.05m is 712 assigned to take into account a sufficient number of blocks for a small wall displacement situation where 713 the first threshold limits the total number of moving blocks to a maximum of three. 714

The corresponding reduced dimension ICPs are predicted and the inverse analysis process terminates. Notably, the workflow (in the dashed box of Figure 12) corresponding to the evaluation of the QoI and the associated process to create a meta-model serving as a surrogate of the NSCD model is therefore not required.

719 5.3. Evaluation of the method

Following the guidelines of the previous section, the predictions of the energy transferred to the wall and the position of impact are compared with the corresponding NSCD model simulation results. These comparisons are presented in Figure 18 for the simulated 600 distinct impact conditions and the three illustrative impact conditions.



Figure 18: Comparison of displacement-scan inverse analysis-based predictions of (a) energy transferred to the wall and (b) position along the wall length with the corresponding magnitude deduced from 600 different sets of the impact condition parameters.

The energy transferred to the wall is predicted with an overall RMS error of 71 kJ (which is below the fracture energy of the concrete block) based on 600 simulations. The overall prediction is satisfactory for a wide range of energy magnitudes supporting the potential of the presented methodology. Nevertheless, two out of three illustrative cases are badly predicted with up to 120 kJ difference from the expected 520kJ for all cases (IC-1 and IC-3).

Further, the position of impact along the wall length is rather well predicted for impacts at convex 729 and concave-wedges, including the illustrative impact tests in these two locations (IC-1 and IC-3). By 730 contrast, the predictions for the angled wedge zone (between 1.2 to 2.2 m approx.) are significantly less 731 accurate thereby contributing to the overall RMS error of 37 cm (which is about 24% of the length of a 732 block). This significant difference in prediction accuracy is attributed to the zig-zag conformation of the 733 wall, which amplifies the influence of the deviation angle (β) on the structure response depending on the 734 impact location, as illustrated by the Sobol indices. Considering a reduced ICPs input space dimension 735 suppresses the distinct contribution of β which in turn suppresses the variability of the impact at the 736 angled wedge. 737

⁷³⁸ Overall, the inverse analysis method based on the post-impact measurements is deemed acceptable ⁷³⁹ to retrieve the incident projectile impact position and the energy transferred to the wall. The presented method well captures the variability in the retrieved information. Further efforts can be made to improve
 the predictive capability of the inverse analysis method.

742 6. Conclusions

This work explored the potential of inverse analysis to obtain the information of interest in the operational context of a rockfall protection structure. The inverse analysis relies on simulation-based evaluation of the structure response and makes use of the Bayesian inference and meta-modelling techniques. This work in particular focuses on rockfall protection walls made of piled-up and articulated concrete blocks for which an NSCD model was previously developed and calibrated against real-scale impact experiments. The main conclusions drawn from this research are :

Provided a well-calibrated model is available, it is possible to carry out reliable inverse analysis of
 the response of complex structures subjected to localised dynamic loading.

- Investigating the structure response considering a wide range of impact conditions, representative
 of those encountered in situ is a prerequisite in view of defining the strategy for developing the
 inverse analysis method.
- The inverse analysis may be based on real-time or post-impact measurements and may serve various purposes, in particular for remote warning upon impact or rockfall activity survey.
- The presented inverse analysis framework is transferable to other types of such structures (such as flexible barriers) via the usage of the representative numerical model and the relevant recorded database on-site.
- The inverse analysis process can be implemented to other wall configurations of the same constituent
 blocks and connectors considering the same calibrated model parameters and following the workflow
 presented in Figure 12.

The purpose of the two presented inverse analysis processes (*i.e.*, for real-time impact and post-impact conditions) is different and hence a direct comparative assessment is not representative. Nonetheless, as both analyses yield predictions of one distance-based parameter and one energy-based parameter, an indirect comparative assessment is made that can be useful in future research. The displacement pattern metamodel is about 8.3% less accurate than the velocity pattern metamodel accessed via the reported Q^2 values. The resulting energy-based prediction is 13% more accurate for the former whereas the distancebased prediction relative to the corresponding block dimension (*i.e.*, length for position of impact and width for minimum distance to the safety line) is reported 11% less accurate for the former when accessed via the reported RMS errors.

As a perspective, the predictive capability of the proposed methodology shall be improved by focusing 771 on the accuracy of the individual components of the whole process. The improvement in the surrogate 772 model via an increase in the Q^2 coefficient and improvement in the inverse analysis method via (1) 773 reducing the RMS error of the predictions and (2) reducing the number of false indicators cases 'especially 774 negative' shall be adopted. As a supplementary outcome, the recorded concrete block velocity database 775 for different impact conditions can be further exploited towards the optimisation of the sensor location. 776 Moreover, the present method is limited to considering a single rock impact and therefore the assessment 777 of multiple rocks impacting the wall at the same time is subjected to future research. 778

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885 Appendix A. PCE based meta-model

Consider the articulated structure model represented by a $\mathcal{M}(\mathbf{X})$ as an equivalent mathematical model. Here, $\mathbf{X} \in \mathbb{R}^M$ is a random vector with independent components described by the joint probability density function (PDF) $f_{\mathbf{X}}$. Consider also a finite variance computational model as a map $Y = \mathcal{M}(\mathbf{X})$, with $Y \in \mathbb{R}$ such that:

$$\mathbb{E}\left[Y^2\right] = \int_{\mathcal{D}_{\mathbf{X}}} \mathcal{M}(\boldsymbol{x})^2 f_{\mathbf{X}}(\boldsymbol{x}) \mathrm{d}\boldsymbol{x} < \infty \tag{A.1}$$

⁸⁹⁰ Then, under the assumption of Equation A.1, the PCE of $\mathcal{M}(\mathbf{X})$ is defined as:

$$Y = \mathcal{M}(\mathbf{X}) = \sum_{\boldsymbol{\alpha} \in \mathbb{N}^M} y_{\boldsymbol{\alpha}} \Psi_{\boldsymbol{\alpha}}(\mathbf{X})$$
(A.2)

where, the $\Psi_{\alpha}(\mathbf{X})$ are multivariate polynomials orthonormal with respect to $f_{\mathbf{X}}$, $\alpha \in \mathbb{N}^{M}$ is a multiindex that identifies the components of the multivariate polynomials Ψ_{α} and the $y_{\alpha} \in \mathbb{R}$ are the corresponding coefficients. In practical applications, the sum in Equation A.2 needs to be truncated to a finite sum by introducing the truncated polynomial chaos expansion:

$$\mathcal{M}(\mathbf{X}) \approx \mathcal{M}^{PC}(\mathbf{X}) = \sum_{\alpha \in \mathcal{A}} y_{\alpha} \Psi_{\alpha}(\mathbf{X})$$
(A.3)

where, $\mathcal{A} \subset \mathbb{N}^M$ is the set of selected multi-indices of multivariate polynomials.

In this work, the least-angle regression (LARS) method is used to create the PCE meta-model truncated to the maximum polynomial degree (p) ranging from 1 to 20, and using hyperbolic truncation scheme (q) ranging from 0.5 to 1.

$$\mathcal{A}^{M,p,q} = \{ \boldsymbol{\alpha} \in \mathcal{A}^{M,p} : \|\boldsymbol{\alpha}\|_q \leqslant p \}, \text{ where } \|\boldsymbol{\alpha}\|_q = \left(\sum_{i=1}^M \alpha_i^q\right)^{1/q}$$
(A.4)

The accuracy of the constructed PCE is estimated by computing the leave-one-out (LOO) crossvalidation error (ϵ_{LOO}). It consists in building N meta-models $\mathcal{M}^{PC\setminus i}$, each one created on a reduced experimental design $\mathfrak{X}\setminus \mathbf{x}^{(i)} = {\mathbf{x}^{(j)}, j = 1, ..., N, j \neq i}$ and comparing its prediction on the excluded point $\mathbf{x}^{(i)}$ with the real value $y^{(i)} = \mathcal{M}(\mathbf{x}^{(i)})$ [34]. The leave-one-cross-validation error can be written as:

$$\epsilon_{LOO} = \frac{\sum_{i=1}^{N} \left(\mathcal{M} \left(\mathbf{x}^{(i)} - \mathcal{M}^{PC \setminus i} \left(\mathbf{x}^{(i)} \right) \right)^2 \right)}{\sum_{i=1}^{N} \left(\mathcal{M} \left(\mathbf{x}^{(i)} - \hat{\mu}_Y \right)^2 \right)}$$
(A.5)

where, $\hat{\mu}_Y$ is the mean of the experimental design sample.

⁹⁰⁴ Appendix B. Bayesian inference for inverse analysis

⁹⁰⁵ Consider the computational model \mathcal{M} that allows the analyst to predict certain quantities of interest ⁹⁰⁶ gathered in a vector $\boldsymbol{y} \in \mathbb{R}^{N_{out}}$ as a function of input parameters \boldsymbol{x} :

$$\mathcal{M}: \boldsymbol{x} \in \mathcal{D}_{\mathbf{X}} \subset \mathbb{R}^M \mapsto \boldsymbol{y} = \mathcal{M}(x) \in \mathbb{R}^{N_{out}}$$
(B.1)

The Bayesian inference for inverse analysis focuses on identifying the input parameters of a computational model to recover the observations in the collected output data set. It comprises of a computational forward model \mathcal{M} , a set of input parameters $\boldsymbol{x} \in \mathcal{D}_{\mathbf{X}}$ that need to be inferred, and a set of experimental data \mathcal{Y} . Here, $\mathcal{Y} \stackrel{\text{def}}{=} \{\boldsymbol{y}_1, ..., \boldsymbol{y}_N\}$ is a global data set of N independent measured quantities of interest (\boldsymbol{y}_i) .

The forward model $\boldsymbol{x} \mapsto \mathcal{M}(\boldsymbol{x})$ is a mathematical representation of the system under consideration. The lack of knowledge on the input parameters is modelled by considering them as a random vector, denoted by \boldsymbol{X} which is assumed to follow a so-called prior distribution (with support $\mathcal{D}_{\boldsymbol{X}}$), as presented in Figure 8 in the present work.

$$\boldsymbol{X} \sim \pi(\boldsymbol{x}) \tag{B.2}$$

The Bayesian statistics combine this prior knowledge of the parameters with the few observed data points to obtain a statistical model called posterior distribution ($\pi(\boldsymbol{x} \mid \boldsymbol{y})$) of the input parameters, using Bayes' theorem [38], expressed as:

$$\pi(\boldsymbol{x} \mid \boldsymbol{y}) = \frac{\pi(\boldsymbol{y} \mid \boldsymbol{x})\pi(\boldsymbol{x})}{\pi(\boldsymbol{y})}$$
(B.3)

Now, considering the available data set (\mathcal{Y}) as independent realizations of $Y \mid x \sim \pi(y \mid x)$, the collected measurements result in the definition of the likelihood function $\mathcal{L}(x; \mathcal{Y})$, which is a function of input parameters x:

$$\mathcal{L}: \boldsymbol{x} \mapsto \mathcal{L}(\boldsymbol{x}; \mathcal{Y}) \stackrel{\text{def}}{=} \prod_{i=1}^{N} \pi(\boldsymbol{y}_i \mid \boldsymbol{x})$$
(B.4)

This implicitly assumes in/dependence between individual measurements in \mathcal{Y} . Intuitively the likelihood function for a given \boldsymbol{x} returns the relative likelihood of observing the data at hand, under the assumption that it follows the prescribed parametric distribution $\pi(\boldsymbol{y} \mid \boldsymbol{x})$.

As all models are simplifications of the real world, a discrepancy term (ϵ) is introduced to connect real-world observations (\mathcal{Y}) to the predictions by the model. In practice, the discrepancy term represents the effects of the measurement error and model inaccuracy. The discrepancy term introduced here reads:

$$\boldsymbol{y} = \mathcal{M}(\boldsymbol{x}) + \boldsymbol{\epsilon} \tag{B.5}$$

Here, the ϵ is assumed as an additive Gaussian discrepancy [39] with a zero mean and given covariance matrix (Σ):

$$\epsilon \sim \mathcal{N}(\epsilon \mid \mathbf{0}, \mathbf{\Sigma}) \tag{B.6}$$

Taking insights from the discrepancy term definition, a particular measurement point ($y_i \in \mathcal{Y}$, is a realization of the Gaussian distribution with mean value $\mathcal{M}(x)$ and covariance matrix Σ . This distribution is named as discrepancy model and is expressed as:

$$\pi(\boldsymbol{y} \mid \boldsymbol{x}) = \mathcal{N}(\boldsymbol{y} \mid \mathcal{M}(\boldsymbol{x}), \boldsymbol{\Sigma})$$
(B.7)

In application, the discrepancy model defines the connection between the supplied data (\mathcal{Y}) and the forward model. In the present work, as the inverse analysis is conducted on the pseudo on-site measurement (as evidence), the discrepancy model with known residual variance is assigned.

Afterwards, the N independent available measurements (in our case, maximum velocity pattern and 3D displacement databases) gathered in the data-set (*i.e.*, $\mathcal{Y} = \{y_1, ..., y_N\}$) are used to define the likelihood function as:

$$\mathcal{L}(\boldsymbol{x}; \mathcal{Y}) = \prod_{i=1}^{N} \mathcal{N}(\boldsymbol{y}_{i} \mid \mathcal{M}(\boldsymbol{x}), \boldsymbol{\Sigma})$$

$$= \prod_{i=1}^{N} \frac{1}{\sqrt{(2\pi)^{N_{out}} \det(\boldsymbol{\Sigma})}} \exp\left(-\frac{1}{2} \left(\boldsymbol{y}_{i} - \mathcal{M}(\boldsymbol{x})\right)^{\mathsf{T}} \boldsymbol{\Sigma}^{-1} \left(\boldsymbol{y}_{i} - \mathcal{M}(\boldsymbol{x})\right)\right)$$
(B.8)

Thereby, following Bayes' theorem, the posterior distribution $\pi(\boldsymbol{x} \mid \boldsymbol{\mathcal{Y}})$ of the parameters (\boldsymbol{x}) given the observations in $\boldsymbol{\mathcal{Y}}$ can be written as:

$$\pi(\boldsymbol{x} \mid \boldsymbol{\mathcal{Y}}) = \frac{\mathcal{L}(\boldsymbol{x}; \boldsymbol{\mathcal{Y}})\pi(\boldsymbol{x})}{Z}$$
(B.9)

Here, Z is a normalizing factor, known as the marginal likelihood or evidence, is added to ensure that this distribution integrates to 1:

$$Z \stackrel{\text{def}}{=} \int_{\mathcal{D}_{\mathbf{X}}} \mathcal{L}(\mathbf{x}; \mathcal{Y}) \pi(\mathbf{x}) \mathrm{d}\mathbf{x}$$
(B.10)

The closed-form solutions do not exist in practice, and therefore the posterior distribution is obtained through Markov chain Monte Carlo (MCMC) simulations. In the present work, among many proposed algorithms in [39], the Adaptive Metropolis (AM) algorithm is used and 100 parallel chains with 1000 steps are assigned to the MCMC solver. The start of the covariance adaptation in AM algorithm is assigned at the 100th step (see Wagner et al. [39] for more details).

The output (\boldsymbol{y}) predictive capabilities of the Bayesian inference is assessed through the comparison of prior $(\pi(\boldsymbol{y}))$ and posterior $(\pi(\boldsymbol{y} \mid \mathcal{Y}))$ output distributions as:

$$\pi(\boldsymbol{y}) = \int_{\mathcal{D}_{\boldsymbol{X}}} \pi(\boldsymbol{y} \mid \boldsymbol{x}) \pi(\boldsymbol{x}) d\boldsymbol{x}$$
(B.11)

$$\pi(\boldsymbol{y} \mid \boldsymbol{\mathcal{Y}}) = \int_{\mathcal{D}_{\boldsymbol{X}}} \pi(\boldsymbol{y} \mid \boldsymbol{x}) \pi(\boldsymbol{x} \mid \boldsymbol{\mathcal{Y}}) d\boldsymbol{x}$$
(B.12)

Lastly, in the present studies, the purpose of the Bayesian inference is to obtain the 'best set of impact condition parameters (ICPs)'. Given the posterior distribution $(\pi(\boldsymbol{x} \mid \mathcal{Y}))$, we are interested in finding a suitable set among the posterior computed set *i.e.*, $\boldsymbol{X} \mid \mathcal{Y}$. This is done through a point estimator $(\hat{\boldsymbol{x}})$ computed from:

$$\pi(\boldsymbol{y} \mid \boldsymbol{\mathcal{Y}}) \stackrel{\text{\tiny def}}{=} \pi(\boldsymbol{y} \mid \hat{\boldsymbol{x}}) \tag{B.13}$$

This point estimator can be a mean or mode (maximum a posteriori 'MAP') [39] of the posterior distribution as per the user's choice.