

Synthesis, Characterization, and Self-Assembling Properties of New Amphiphilic Dendrons Bearing Branched TRIS-Derived Oligomers as Polar Head Groups

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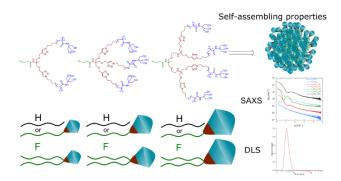
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- Synthesis, characterization and self-assembling properties of new amphiphilic
- 2 dendrons bearing branched TRIS-derived oligomers as polar head groups

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- 5 Damien Bourgeois, Christiane Contino-Pépin*



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8 ABSTRACT

The synthesis and characterization of a new family of dendritic surfactants called Dendri-TAC is described. Two series of single or double-tailed surfactants containing hydrophobic hydrocarbon or fluorocarbon chains grafted onto Tris(hydroxymethyl)aminomethane (or TRIS)-derived oligomeric polar heads, via a copper (I)-mediated click reaction, have been prepared. A total of thirteen new dendritic surfactants have been obtained by grafting linear polyTRIS branches of variable average degree of polymerization (DPn) onto a single or double-tailed fluorinated or hydrocarbon chain of variable length, via a dendritic central scaffold of generation G_1 or G_2 . Structural parameters of the surfactant assemblies in solution (radii of gyration (R_G), volume-weighted hydrodynamic radii (R_H) and aggregation numbers (N_{ag})) were assessed by small-angle X-ray scattering (SAXS) and dynamic light scattering (DLS). Overall,

regardless of their tree-like structure (*i.e.* generation type), length of the headgroup (DPn) and nature (hydrocarbonated or fluorinated, single or double-tail) or length of the hydrophobic chains, self-assembling properties of H/F-DendriTACs are in agreement with usual trends of surfactant assemblies, *e.g.*, as a function of chain length or polar head volume. Thus, thanks to their great structural versatility, H/F-DendriTACs can provide highly tunable self-assembly morphologies that can be adapted to specific applications.

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INTRODUCTION

Amphiphilic molecules are ubiquitous in everyday life as they can be found in cosmetic formulations,¹ food, pharmaceutical,² ink, paint, and coating industries.³⁻⁵ Bearing both hydrophobic and hydrophilic parts, they exhibit interfacial activity and self-assemble into supramolecular structures. The features of the latter rely on numerous factors such as the nature and size of both hydrophobic and hydrophilic domains, their concentration, etc.^{2, 4} Depending on the nature of their polar head, amphiphiles can be classified as ionic or nonionic, the latter being of particular interest for biomedical applications as their structure does not change with pH and as they mainly produce biocompatible particles.⁶ For many years our team has been developing biocompatible amphiphiles **TAC-surfactants** called comprising Tris(hydroxymethyl)aminomethane-functionalized polyACrylamide (TAC) backbone as a nonionic polar head. Synthesized in one step by free radical telomerization of the Tris(Hydroxymethyl)AcrylamidoMethane (THAM) monomer, ^{7, 8} TAC-surfactants have been used in a wide range of biomedical applications such as drug delivery and protein stabilization.⁹, ¹⁰ The use of an aliphatic hydrocarbon (H) or a fluorinated (F) tail of variable length leads to H- and F-TAC surfactants respectively. In both cases the hydrophobic tail acts as a transfert reagent (or telogen) during the THAM telomerization, giving rise to a linear polar head, composed of a variable number of water-soluble tris(hydroxymethyl)aminomethane (TRIS)

units (see Figure 1). The so-called polyTRIS head is a linear oligomer, which is assumed to 44 45 wrap around itself and be an interesting alternative to polyethylene glycol (PEG) or to sugar-46 based polar heads. 47 Although F- and H-TACs are easy to prepare at the gram scale their synthesis suffers from a 48 growing lack of batch-to-batch reproducibility as the intended size of the polyTRIS head 49 (average degree of polymerization (DPn)) increases. Moreover, while for the F-TAC series the DPn is precisely assessed by ¹⁹F-NMR titration using an external reference, ⁸ for H-TAC 50 51 analogues the DPn is estimated by ¹H-NMR by comparing the area of the signal due to the 52 methyl group of the hydrocarbon tail with that of hydroxyl groups of TRIS units. Thereby, the 53 longer the polyTRIS moiety the lesser accurate is the DPn estimation in that case. 54 In order to overcome these drawbacks (structural variability, polydispersity, DPn estimation for polyTRIS of high DPn) keeping the main advantages of F- and H-TACs (high water 55 56 solubility arising from the polyTRIS polar head, biocompatibility, modular structure), we have 57 recently developed a new class of dendritic surfactants bearing dendronized PolyTRIS oligomers of short DPn (DPn<15) as polar head groups. 58 59 Dendrimers and dendrons have drawn considerable attention because of their well-defined 60 molecular structure resulting in unique three-dimensional architecture and small hydrodynamic radius. 11 One subclass are nonionic amphiphilic dendrons. 12, 13 This subclass has been 61 62 extensively exemplified by the work of Haag et al, who developed a series of hydrogenated or 63 fluorinated glycerol-based dendrons and studied the influence of structural features on their self-assembly. 14, 15 64 65 In comparison with F/H-TACs, which are linear oligomers whose structural modularity is

limited to the length of both polar and apolar parts, dendronization is expected to increase the

surface functionalization, hence the polar head volume, due to steric hindrance at the

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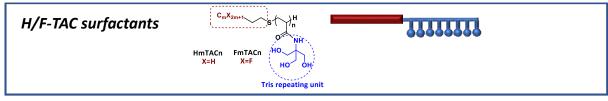
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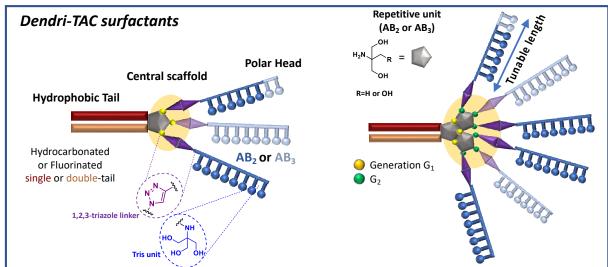
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hydrophilic surface.

Design and preparation of these new dendritic surfactants, called Dendri-TACs, have been envisioned by the grafting of dendronized polyTRIS oligomers as polar head groups to single or double-tail apolar chains using click chemistry (Scheme 1). Such a synthetic pathway should provide high structural versatility combined with a well-defined, tunable and controlled preparation. By doing so, it is possible to easily tune both their hydrophilic tree-like arms structure *i.e.* both the number and the length (number average degree of polymerization, DPn) of hydrophilic branches, and to control the structure of their hydrophobic tail (single or double-tail, fluorinated or hydrocarbon chains of variable length). The central scaffold possesses a dendritic structure with multiple branching points depending on the dendron's generation (Gn) and on the valence (2 or 3) of the repetitive unit (denoted AB₂ or AB₃ respectively, see Scheme 1) used to build the scaffold, *i.e.* 2-amino-2-methylpropane-1,3-diol (AB₂ unit) or tris(hydroxymethyl)aminomethane (AB₃ unit).

Figure 1. General structure of H/F-TAC and of Dendri-TAC surfactants





Herein, we report the synthesis of two generations of Dendri-TAC surfactants, along with the study of their structural features. AB_2 and AB_3 building blocks were used for the synthesis of G_1 Dendri-TACs, leading to either a di- or a tri-branched polar head. G_2 Dendri-TACs were synthetized using AB_2 units resulting in a tetra-cephalic polar head (See Scheme 1). The influence of structural features of the surfactant on the size and number of aggregation (N_{ag}) of resulting self-assembled architectures was studied by DLS and SAXS analyses.

RESULTS AND DISCUSSION

Synthesis. The Dendri-TAC surfactant family was built following a divergent synthetic approach starting from a bifunctional repetitive unit (starting core) endowed on one side (branching point B) with hydroxyl groups convertible to propargyl ones, in order to introduce the polar head via a copper (I) catalyzed azide-alkyne cycloaddition reaction (CuAAC), and on the other side (branching point A) an amino group graftable to the hydrophobic tail (HT). Retrosynthetically, the structure of Dendri-TAC molecules can be divided in 3 fragments, including the HT, the central scaffold (made of AB₃ or AB₂ units) and the polar head. Each functional block can be varied separately, sequentially coupled and reused to easily grow the library thanks to a modular synthetic strategy (See Scheme 1). This approach allows to provide a series of structurally versatile surfactants. The synthesis is based on three key steps. First, the synthesis of the oligomeric polyTRIS polar head functionalized with an azido group. Secondly, the modular synthesis of apolar propargyl building blocks resulting from the combination of the central scaffold with the HT. Finally, the conjugation of the two former synthons through a 1,2,3-triazole junction after a Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC) reaction.

introduced: C_8H_{17} , $C_{12}H_{25}$, $C_{16}H_{33}$ respectively called H_8 , H_{12} and H_{16} for the hydrocarbon series, and C_6F_{13} (single-tail) or diC_6F_{13} (double-tail) respectively called F_6 and diF_6 for the fluorinated one, with either AB_2 or AB_3 starting cores. For the G_2 generation, single- (F_6) or double-tail (diF_6) fluorinated surfactants were prepared starting from a AB_2 -type core.

Scheme 1. Retrosynthetic pathway of Dendri-TAC surfactants

Synthesis of azido-polyTRIS. Hydrosoluble polar heads, constituted of oligomeric polyTRIS moieties functionalized with azido groups, were easily synthesized in two steps. The first step consists in a free radical telomerization of THAM monomer, performed in methanol with chloropropanethiol as transfert agent and 2,2'-azobisisobutyronitrile (AIBN) as radical initiator. Then, an azido group is introduced by nucleophilic substitution of the chlorine atom in water. According to the choice of initial monomer/telogen molar ratio (1/R₀), the number of n repeating TRIS units (n=DPn) can be adjusted. Two 1/R₀ ratios were intended namely 5 and 12. After a purification step by size exclusion chromatography, the resulting DPn of azido-polyTRIS oligomers were assessed by ¹H-NMR, and found to be of 6 and 10 for compounds 2a and 2b respectively (see Scheme 2). This slight drift of the DPn values arises from the fact that free radical telomerization does not offer the same level of DPn control as living polymerization techniques. However, it is a simpler, low-cost and more straightforward synthetic methodology.¹⁷

Scheme 2. Synthetic pathway of azido-polyTRIS oligomers

Synthesis of apolar building blocks. For all surfactants, the amino group of the AB₂ or AB₃ central scaffold is the anchor point of the HT through an amide junction. As shown in scheme 3, for single-tail analogues the amino group of the starting material (2-amino-2-methylpropane-1,3-diol or tris(hydroxymethyl)aminomethane) was first grafted to an acryloyl moiety (compounds 3a and 3b) followed by the propargylation of hydroxyl groups and consecutive thiol-ene Michael addition of intermediates (compounds 4a and 4b) to commercially available

alkyl ($C_6H_{13}SH$, $C_{10}H_{21}SH$, $C_{14}H_{29}SH$) or fluoroalkyl thiols ($C_6F_{13}C_2H_4SH$). Apolar single tails resulting from these three steps were referred as H_8 , H_{12} , H_{16} and F_6 , respectively (on compounds **5 a-b** to **8 a-b**).

Scheme 3. Synthetic pathway of single-tail apolar building blocks for G₁ DendriTACs

The synthesis of double-tail fluorinated surfactants (compounds **13a** and **13b**) is described in Scheme **4**. The synthesis consists in the preparation of propargyl analogues of 2-amino-2-methylpropane-1,3-diol and of tris(hydroxymethyl)aminomethane as previously described by Chabre *et al*¹⁸ and their consecutive coupling to a double-tail acid (compound **9**) under classical conditions of peptide synthesis. The latter double-tail acid being obtained in parallel by the thiol-yne click reaction of an alkynyl carboxylic acid with an alkyl or fluoroalkyl thiol, namely 4-pentynoic acid and 2-perfluorohexylethyl thiol herein. This dihydrothiolation reaction, conducted under radical conditions using AIBN, demonstrates remarkable robustness, versatility, and compatibility with a diverse range of functional groups.¹⁹

Scheme 4. Synthetic pathway of double-tail apolar building blocks for G₁ DendriTACs

An alternative synthetic pathway for the G1 apolar building block was also followed in order to prevent thiol-yne side additions and improve the overall yield. Hence, a Michael addition was first performed on compounds **3a** and **3b** before the introduction of propargyl groups, as shown in scheme **5**. The addition was achieved in presence of a catalytic amount of Hunig's base to obtain compounds **14a** & **14b** in quantitative yield. It is noteworthy that this reaction condition (methanolic N,N-Diisopropylethylamine) allowing a quantitative Michael addition on **3a** and **3b** completely failed when performed on compounds **4a** and **4b**. However, lower yields were obtained for the following Williamson reaction compared to the original pathway described on scheme **3**, with 41 % & 54 % yield against 75% & 76% yield respectively. This result may be due to the retro-Michael reaction that may have occurred under basic conditions or prolonged reaction time. Overall yields were actually close, with 43% & 52% for the original pathway against 41% & 54% for the alternative pathway.

Scheme 5. Alternative synthetic pathway of G1 apolar building blocks (single-tail)

alternative Pathway

respectively.

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DendriTAC second generation (G₂). To build the apolar building blocks for the synthesis of G₂ DendriTACs, an approach comprising an ester bond was applied as shown in scheme 6 consisting in 3 steps. Only AB₂ repetitive units were used in order to limit steric hindrance and repulsive interactions between oligomeric branches. The HT was either constituted of commercially available 1H, 1H, 2H, 2H-perfluorononanoic acid or with the previously synthesised fluorinated double-tail acid 9. These HT and the AB₂ starting core were combined through a peptide coupling, using EEDQ in ethanol at reflux, to obtain the diols 15a and 15b in 63% and 83% yield respectively. The second step involves the acylation of these diols with the 2-chloroacetyl chloride in DMF for the single-tail analogue and THF for the double-tail one, in order to overcome solubility problems observed with DMF for the latter, to afford the dichloride 16a and 16b in close yields, namely 84% and 81%. Then, compounds 16a and 16b underwent the Finkelstein reaction to promote the nucleophilic substitution of chlorides with the dipropargyl 13a affording the G₂ apolar building blocks 17a and 17b in 69% and 42 % yield

Scheme 6. Synthetic pathway of apolar building blocks for G₂ DendriTACs

propargylated apolar building blocks. The final key step of our modular synthetic strategy relied on the formation of triazole junction, employing the most widely used click method, *e.g.* the Cu(I)-catalyzed azide–alkyne cycloaddition (CuAAC), to produce amphiphilic conjugates. This is an attractive method for bioconjugation due to its high yields, robustness, tolerance toward functional group, simple reaction conditions and generally lack of side reaction occurrence.^{22, 23}

The click reactions between apolar building blocks 5-8, 14, and 17 and two azido-polyTRIS analogues of different DPn (2a or 2b) afforded the first and second generation of dendriTAC surfactants 18-23 and 24, respectively. Cycloadditions were carried out in a mixture of DMF and water at 50°C, with a combination of copper (II) and sodium ascorbate as the source of Cu(I) for the catalytic system. The total conversion of the alkyne to triazole was monitored by ¹H-NMR, with the deshielding of the signal, assigned to the CH₂ in α position of the alkyne,

Amphiphilic "click" conjugates: Coupling between the azido-polyTRIS and

from $\delta = 4.12$ ppm to $\delta = 4.54$ ppm after the triazole formation (see Figure 2). Both F- and H-DendriTAC surfactants were purified by size exclusion chromatography (SEC) on a Sephadex LH-20 column with MeOH as eluent. The F-DendriTAC series was consecutively purified by fluorous solid phase extraction (FSPE). The main impurity was the unreacted azido-polyTRIS. Hence, to confirm its removal during the purification process, a 2D [1H,1H] COSY NMR experiment was conducted, as signals of this unreacted azido-polyTRIS were overlapping with signals from the newly formed amphiphilic click conjugates on ¹H-NMR spectra. The total disappearance of cross peaks of coupled protons at $\delta = 1.78$ ppm, ascribed to the three methylene groups of the terminal propyl chain of the azido-polyTRIS (1a, 2a & 3a in Figure 3) was observed. As shown in Table 1, except for compound 20a, purified DendriTACs showed a slight increase in DPn compared to starting azido-polar heads 2a (DPn = 6) or 2b (DPn = 10). This DPn shift can arise from the fractionation of DendriTACs, during the final LH-20 purification step. According to the Sephadex column features (column volume, packing...) the molecular size distribution of these branched molecules can be refined compared to starting linear oligomers 2a and 2b, thus leading to collected fractions of interest exhibiting new DPn values. It is also noteworthy that DendriTACs of low DPn and unreacted azido-polyTRIS analogues of high DPn can coelute during the size exclusion chromatography which contributes to limit some reaction yields from 20% to 70%. For the second generation, the low yields obtained might be due to the ester hydrolysis that may have occurred during the coupling or purification steps.

Figure 2. Click reaction monitoring. *Above*: example of ¹H-NMR spectrum of a fluorinated HT central core. *Below*: ¹H-NMR spectrum of a total reaction completion.

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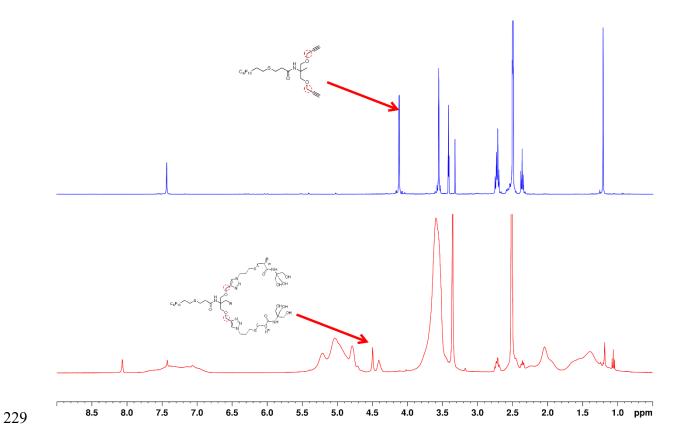
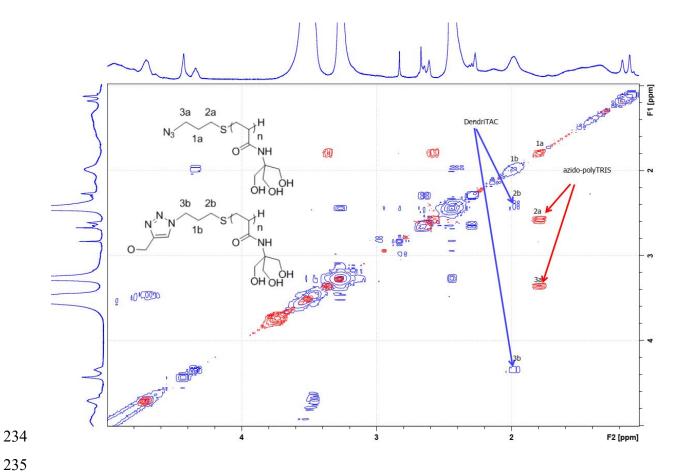


Figure 3. Overlay of 2D [1H,1H]-COSY NMR spectrum, in blue propargylated apolar moiety spectrum and in red a DendriTAC spectrum (F₆DiTAC₆) after purification



Scheme 7. Click reaction for G₁ DendriTAC surfactants' synthesis

Table 1. Surfactants' synthesis summary and characterization

| G | HT ¹ | PH ² | Ref | Yield ³ | DPn | Surfactant | Mn ⁴ (¹ H-NMR) g/mol | Mn ⁵ (GPC) g/mol | ${m {\cal D}_{ m M}}^6$ |
|----------------|-----------------|-----------------|-----|--------------------|-----|--------------------------------------|---|-----------------------------------|-------------------------|
| G ₁ | 5a | 2a | 18a | 30% | 9 | H ₈ DiTAC ₉ | 3769 | 2170 | 1.11 |
| G ₁ | 6a | 2a | 19a | 63% | 9 | H ₁₂ DiTAC ₉ | 3824 | 1940 | 1.11 |
| G ₁ | 7a | 2a | 20a | 22% | 3 | H ₁₆ DiTAC ₃ | 1779 | N/A ⁷ | N/A |
| G ₁ | 8a | 2a | 21a | 20% | 7 | F ₆ DiTAC ₇ | 3301 | 2282 | 1.13 |
| G ₁ | 8a | 2b | 22a | 73% | 11 | F ₆ DiTAC ₁₁ | 4702 | 2094 | 1.13 |
| G ₁ | 5b | 2a | 18b | 43% | 6 | H ₈ TriTAC ₆ | 3939 | 2226 | 1.12 |
| G ₁ | 6b | 2a | 19b | 41% | 8 | H ₁₂ TriTAC ₈ | 5045 | 1919 | 1.14 |
| G ₁ | 7b | 2a | 20b | 29% | 8 | H ₁₆ TriTAC ₈ | 5101 | 1921 | 1.16 |
| G ₁ | 8b | 2a | 21b | 65% | 7 | F ₆ TriTAC ₇ | 3296 | 2420 | 1.11 |
| G ₁ | 13a | 2a | 23a | 56% | 6 | DiF ₆ DiTAC ₆ | 3357 | 3208 | 1.06 |
| G ₁ | 13b | 2a | 23b | 80% | 8 | DiF ₆ TriTAC ₈ | 4403 | 2290 | 1.17 |
| G ₂ | 17a | 2a | 24a | 31% | 6 | F ₆ TetraTAC ₆ | 5592 | 2582 | 1.09 |

¹ HT: hydrophobic tail; ² PH: Polar head; ³ Click reaction yield; ⁴ Number-averaged weights in 243 g/mol determined by ¹H-NMR in DMSO-d₆; ⁵ Number-averaged weights in g/mol determined 244 by GPC; ⁶Molar Mass Dispersities ($D_{\rm M}$ =Mw/Mn⁵); M_n and $D_{\rm M}$ were determined by DMF 245 (5mM LiBr) SEC against linear polystyrene standards. ⁷N/A: not applicable 246 DendriTAC surfactants were analyzed by ¹H-NMR and by gel permeation chromatography 247 (GPC), number-averaged molecular weights (M_n) were determined by ¹H-NMR (M_n^4) and by 248 249 GPC (M_n^5) while weight-averaged molecular weights (Mw) and polydispersity (D_M) were 250 assessed by GPC only. It is noteworthy that relatively narrow $D_{\rm M}$ values, (comprised between 251 1.06 and 1.17) were obtained for DendriTACs (Table 1), meaning that the synthesis of azido-252 polyTRIS series somehow occurred in a controlled manner. 253 Self-assembly studies. DLS and SAXS experiments have been performed to characterize 254 structural parameters, in terms of size, shape, aggregation number of this new family of 255 hydrogenated and fluorinated surfactants in aqueous solution. H/F-DendriTACs were dissolved 256 in Milli-Q water and studied as a function of surfactant concentration between 0.8 and 50 257 mg/mL to highlight changes in shape and/or intermicellar weak interactions. DLS experiments 258 were conducted prior to SAXS in order to evaluate both polydispersity (PDI) of surfactant 259 solutions and hydrodynamic radius $(R_{\rm H})$ of surfactant assemblies in solution. The PDI values 260 of all compounds were found less than 0.2 suitable for SAXS experiments (see SI, Table S1). 261 Indeed, SAXS analyses require quite monodisperse samples for reliable results. SAXS profiles 262 are shown only at 20 or 25 mg/mL in Figure 4. SAXS patterns with concentration were 263 measured only for H-DendriTACs (see SI, Figure S4) due to the low quality of SAXS curves 264 (ratio signal/noise) for F-DendriTACs at low concentrations. For all H/F-DendriTACs, the 265 radius of gyration (R_G) was determined from the linear Guinier approximation LnI(q) = LnI(0) $-(qR_{\rm G})^2/3$ at very small angles, i.e. such that $qR_{\rm G} < 1.3$) and the maximum micelle dimension 266 (D_{max}) from the pair distribution function (PDF) by Inverse Fourier Transformation of the 267

scattering intensity using the program GNOM 24 (see SI, Figure S2A). A Kratky representation (*i.e.* a plot $q^2I(q)$ as function of q) was also performed to evaluate if the assemblies were globular, flexible or non-assembled. The aggregation number $N_{\rm ag}$, *i.e.* the number of surfactant molecule per surfactant assembly, was finally determined from the absolute forward intensity, I(0) in cm⁻¹, via the expression:

$$N_{ag} = N_a \frac{I_{\text{surf}}(0)}{M_{\text{mono}} c \left[r_0 \cdot v_p \cdot (\rho_{\text{surf}} - \rho_0)\right]^2}$$

with N_a the Avogadro's number, v_p the specific volume of surfactant (cm³/g), $\rho_{\rm surf}$ and ρ_0 the electron scattering length densities (e⁻/cm³) of surfactant and water respectively, $M_{\rm mono}$ the molar mass of each surfactant monomer, r_0 the classical electron radius equal to 0.28179 10⁻¹² cm/e⁻. The partial specific volumes, v_p , were calculated from chemical composition.²⁵ All structural parameters are listed in table 2.

Figure 4: SAXS profiles, I(q) versus q, for H-DendriTAC (A) at 25 mg/mL (H₈-Di & TriTAC, H₁₂-Di & TriTAC) and 20 mg/mL (H₁₆-Di & TriTAC), for F-DendriTACs (B) at 20 mg/mL. (The curves are shifted for more clarity: $\times 5$, $\times 10$, $\times 50$, $\times 100$ represents offset parameter of the curve from its original position)

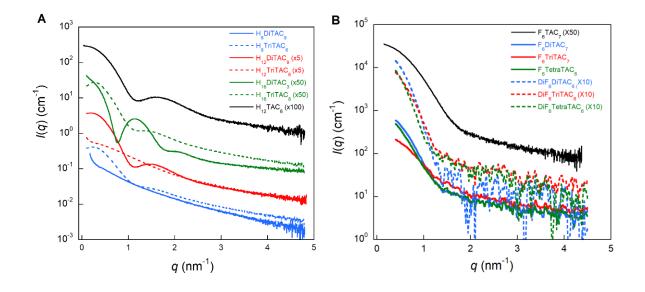


Table 2: Structural parameters of H/F-DendriTAC assemblies obtained from SAXS and DLS analysis

| Entry | Surfactant | DPn | Total TRIS units | R _G nm (SAXS) | D _{max} nm (SAXS) | R _H nm (DLS) | N _{ag} (SAXS) |
|-------|--|-----|---------------------|--------------------------|-------------------------------|-------------------------|------------------------|
| | H-DendriTACs [†] | | | | | | |
| 1 | H ₈ DiTAC ₉ | 9 | 18 | 2.20+/-0.05 | 7.5+/-0.5 | 1.5 | 3 |
| 2 | H ₈ TriTAC ₆ | 6 | 18 | 2.75+/-0.01 | 9.0+/-0.5 | 1.5 | 7 |
| 3 | H ₁₂ DiTAC ₉ | 9 | 18 | 3.05+/-0.01 | 8.7+/-0.3 | 3.5 | 20 |
| 4 | $H_{12}TriTAC_8$ | 8 | 24 | 1.79+/-0.01 | 6.5+/-0.1 | 4.5 | 1 |
| 5 | H ₁₆ DiTAC ₃ | 3 | 6 | 4.19+/-0.01 | 10.4+/-0.1 | 5.2 | 21 |
| 6 | H ₁₆ TriTAC ₈ | 8 | 24 | 3.25+/-0.05 | 9.5+/-0.1 | 2.8 | 15 |
| | F-DendriTACs [‡] | | | | | | |
| 7 | F ₆ DiTAC ₇ | 7 | 14 | 2.80+/-0.05 | 7.6+/-0.1 | 2.4 | 14 |
| 8 | F ₆ DiTAC ₁₁ | 11 | 22 | 3.10+/-0.05 | 9.9+/-0.1 | 3.3 | 9 |
| 9 | F ₆ TriTAC ₇ | 7 | 21 | 2.37+/-0.02 | 6.6+/-0.1 | 1.7 | 3 |
| 10 | F ₆ TetraTAC ₆ | 6 | 24 | 2.72+/-0.02 | 7.0+/-0.1 | 2.8 | 7 |
| 11 | DiF ₆ DiTAC ₆ | 6 | 12 | 3.50+/-0.05 | 9.5+/-0.5 | 4.6 | 37 |
| 12 | DiF ₆ TriTAC ₈ | 8 | 24 | 2.75+/-0.12 | 8.05+/-0.1 | 1.2 | 11 |
| 13 | DiF ₆ TetraTAC ₆ | 6 | 24 | 3.63+/-0.02 | 9.3+/-0.5 | 4.7 | 15 |
| | H/F-TACs [†] | | | | | | |
| 14 | $H_{12}TAC_6$ | 6 | 6 | 2.78+/-0.01 | 8.5+/-0.3 | 3.0 | 29 |
| 15 | F_6TAC_7 | 7 | 7 | 2.37+/-0.01 | 7.7+/-0.1 | 2.5 | 13 |

Structural parameters for H/F-DendriTACs and H/F-TACs at 20-25 mg/mL; †these SAXS measurements were performed in a q-range of 0.032–4.93 nm⁻¹ on BM29 at ESRF; repulsive interactions at very small angles are clearly visible for some assemblies (entries 2, 3, 6). ‡ These SAXS measurements were performed in a q-range of 0.2–4.5 nm⁻¹ on a bench-top SAXS system at ICSM; no specific interactions are visible in this q-range.

From scattering experiments (Figure 4) we observe that H/F-DendriTACs form small globular assemblies in water regardless of their tree-like structure (i.e. generation type), length of the headgroup (DPn) and nature (hydrocarbonated or fluorinated, single or double-tail) or length of the hydrophobic chains. This is confirmed by the Kratky plots (See SI Figures S2B-C), which highlight a globular micellar structure as seen by a bell-shaped (Gaussian) peak for all H/F-DendriTACs at small q (< 1 nm⁻¹), except for H₁₂TriTAC₈ and H₈DiTAC₉, which show a plateau at large q typical of non-globular or flexible objects. Some SAXS curves (for surfactants H₁₂TAC₆, H₁₂DiTAC₉ and H₁₆DiTAC₃ in Figure 4A) exhibit a distinct 2nd maximum in the intermediate q-region, whose value is inversely proportional to a size in the micelle. This peak, which is characteristic of a core-shell structure where a large difference in the scattering contrast exists between the polar head group and the apolar tail, is more pronounced for H-DendriTACs than for F-DendriTACs. In addition, regarding surfactants H₈TriTAC₆, H₁₂DiTAC₉ and H₁₆TriTAC₈, the profile of scattering intensities recorded at small angles (i.e. low q-region) is clearly indicative of repulsive interactions between micelles (Figure 4/A). In table 2 are listed $R_{\rm H}$, the micelle volume-weighted hydrodynamic radius determined by DLS, $R_{\rm G}$ the radius of gyration and $D_{\rm max}$, the maximum dimension of the DendriTAC assemblies, both determined by SAXS. For all surfactants, $R_{\rm H}$ and $R_{\rm G}$ are lower than 6 nm, and $D_{\rm max}$ remains below 11 nm. Compared to conventional surfactants, ²⁶ all compounds present small aggregation numbers, except DendriTAC 4 (H₁₂TriTAC₈), which is a monomer form at this concentration in view of its low aggregation number ($N_{\rm ag}$ =1). Overall, self-assembling properties are in agreement with usual trends of surfactant assemblies, e.g., as a function of chain length or polar head volume. However, the high degree of structural versatility of H/F-DendriTACs, which offers a wide range of aggregate morphologies, can be tailored to specific applications. Regarding H-DendriTACs, for a defined headgroup, i.e. same length and tree-like structure (e.g. entries 1 & 3 and 4 & 6), N_{ag} , R_{G} and almost all R_{H} values increase with the elongation of

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the hydrophobic tail reflecting a better anchoring of monomers in the micelle due to higher hydrophobic interactions between apolar chains, consistent with literature and previous studies.^{27, 28} The aggregation numbers approximatively increase by 15 monomers per micellar aggregates for a group of four carbons added on the hydrocarbonated tail. Whereas for the same tree-like structure, this extension of the hydrophobic tail (four carbons) is balanced by a reduction in the length of the headgroup, i.e. DPn values, and leads to an equivalent aggregation number (entries 3 & 5). For the same apolar chain, the micelle size decreases with increasing total number of TRIS units (entries 3 & 4 and 5 & 6), which is achieved by adding a polar branch from AB₂ to AB₃ with either comparable (entries 3 & 4) or higher DPn values (entries 5 & 6). This is in agreement with the concept of molecular packing parameter P (P being defined as $V_0/a_e l_0$, where V_0 is the surfactant tail volume, l_0 is the tail length, and a_e is the equilibrium area per molecule at the aggregate surface) introduced by Israelachvili et al. ²⁹ The addition of several hydrated TRIS units (either by elongation or branching enhancement) improves the repulsion between polyTRIS branches, leading to an increase in the polar head volume and therefore in the equilibrium area a_e . This induces a decrease in the packing parameter P leading to the formation of smaller objects. These repulsive interactions between TRIS units at the surface of surfactants also contribute to repulsive interactions between micelles clearly observable on SAXS curves in the case of H₈TriTAC₆, H₁₂DiTAC₉ and H_{16} TriTAC₈ (entries 2, 3, 6) at very small angles (i.e. $q \rightarrow 0$) by a decrease in I(q), which can slightly underestimate R_G and forward intensity I(0) thus impacting assembly size and mass values. It is noteworthy that for surfactants with both identical apolar chain length and same total number of TRIS units, micelle size decreases with longer polyTRIS branches (entries 1 & 2), the equilibrium area a_e due to repulsion between longer AB₂-connected branches being higher than for shorter AB₃ ones.

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In the case of fluorinated surfactants, the latter repulsion is counterbalanced by an improved hydrophobic interaction between fluorinated chains, also referred as "fluorophilicity", consistent with a closely-packed fluorinated core. ^{30,31} This leads to a decrease of the aggregation number (entries 8 & 9). If we compare the evolution of the structural parameters from H₈DiTAC₉ to H₈TriTAC₆ (entries 1 & 2) with those of fluorinated surfactants with the same carbon atoms number on the hydrophobic tail, namely from F₆DiTAC₁₁ to F₆TriTAC₇ (entries 8 & 9), the trend between size and aggregation number is clearly reversed: for H₈-DendriTACs (entries 1 & 2) it seems that the repulsion between the polar heads (due to a combination of steric hindrance and strong hydration of hydroxyl groups) increases with the length of the polyTRIS chains and with the easier unfolding of these chains from AB₃ to AB₂ branching type. Then, from H₈TriTAC₆ to H₈DiTAC₉ (entries 2 & 1) the aggregation number decreases and the size of micelles too. While for F₆-DendriTACs, both hydrophobicity and lipophobicity of fluorinated chains, along with their lower conformational freedom (rigid rodlike chains) concur to a "super" hydrophobic binding interaction between F-chains (mainly driven by the entropic gain of water molecules in bulk water) and contribute to their ordered packing into the micelle core. ³⁰⁻³² Their combination to bulky oligomeric head groups, probably confers a more conical shape to F-DendriTAC monomers with a more "classical" influence of the tree-like structure on the volume of the heads: AB3 induces a greater curvature of the surfactant with stronger anchoring of fluorinated tails in the micelle core, resulting in smaller micelles when moving from AB₂ to AB₃. The general trend observed from F₆DiTAC₁₁ to F₆TriTAC₇ (entries **8** & **9**) is that both the aggregation number and the micelle size decrease even though the AB₂ analogue F₆DiTAC₁₁ is endowed with longer polyTRIS branches. It is interesting to note that for an equivalent total number of TRIS units (18 for the two H₈-DendriTACs and 21-22 for the two F₆-DendriTACs), the impact of the "branching/elongation" effect is reversed between H₈- and F₆- chains. The aggregation behavior of F-DendriTACs

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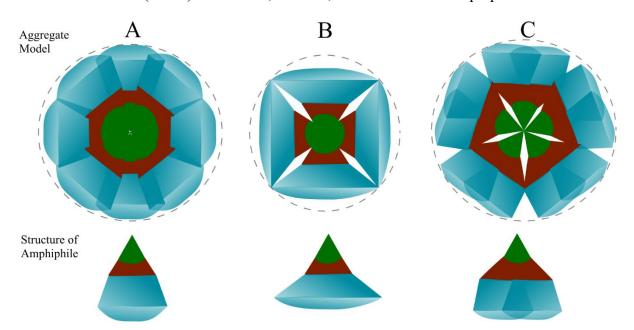
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seems to be more affected by the branched geometry of the polar head groups (R_G - R_H and N_{ag} decrease with the branching effect) compared to H-DendriTACs more affected by the elongation of polyTRIS units (R_G - R_H and N_{ag} decrease with the elongation effect).

Figure 5: A, B, C represent respectively the structure (below) and proposed aggregation model (above) for G₁/AB₂, G₁/AB₃, and G₂/AB₂-AB₂ amphiphiles



In regards to the F-DendriTACs series, they all possess the same C₆F₁₃C₂H₄ hydrophobic chain length, either in a single or double-tail form. Always in agreement with the concept of packing parameter, for F-DendriTACs with rather identical heads in terms of the length and number of polyTRIS branches (comparing F₆DiTAC₇ to DiF₆DiTAC₆; F₆TriTAC₇ to DiF₆TriTAC₈ and F₆TetraTAC₆ to DiF₆TetraTAC₆), as the volume of the hydrophobic part increases (from single to double-tailed surfactants), *P* increases leading to larger objects (entries 7 & 11; 9 & 12; 10 & 13). In the same way, as for H-DendriTACs, the micelle size decreases with the addition of one polar branch, from AB₂ to AB₃ when polyTRIS branches have similar DPn values (entries 7 & 9; 11 & 12, Figure 5-A&B).

In contrast, for a same hydrophobic tail and same branching structure, when the length of the headgroup increases from 7 to 11 TRIS units per branch (entries 7 & 8), both $R_{\rm H}$ and $R_{\rm G}$ slightly increases, whereas N_{ag} decreases. The decrease in $N_{\rm ag}$ can be explained by the fact that if the

headgroups are larger, less surfactants are required to fill the same volume. Indeed, $N_{\rm ag}$ is related to the geometric parameters of surfactants by the expression $N_{ag} \sim (4\pi l o^2)/a_e$ (with a_e the equilibrium area per molecule at the aggregate surface and lo the tail length). $^{33-35}$ Thus, if a_e increases, $N_{\rm ag}$ decreases. However, we cannot rule out the possibility that the shape of micelles has changed as a result of the concept of molecular parameter (vide supra). Regarding the generations G₁ and G₂, for F-DendriTACs with a same AB₂ branching structure and close DPn value per polyTRIS branch, F₆DiTAC₇ versus F₆TetraTAC₆ and DiF₆DiTAC₆ versus $DiF_6TetraTAC_6$, little variation in the size of the assemblies is observed (R_G and R_H) although for the single-tailed (entries 7 & 10) $R_{\rm H}$ slightly increases, while $N_{\rm ag}$ is divided by at least 2 (see entries 7 & 10, 11 & 13). This trend is consistent with the results described previously on the impact of the headgroup volume. Thus, between G₁ and G₂ series with identical apolar chains, the micelle shape is retained while G_2 ones have a lower N_{ag} due to the wider/bulkier headgroup. Although there is no great variation in the size of the resulting assemblies, a difference in the transport properties may arise from G₁ to G₂ DendriTACs due to the volume variation of the central scaffold between polyTRIS branches (Figure 5-A&C). For each single- or double-tail F-DendriTACs series of generation G₁, it is again noteworthy that the addition of a polyTRIS branch of similar length (entries 7 & 9, 11 & 12) leads to a decrease in micelle size. A decrease of the aggregation number is also observed as a consequence of the high curvature of the polar head group of each monomer engaged in the micelle. Comparison between H/F-surfactants. It has long been shown for surfactants whose hydrophobic tail contains more than 6 perfluorinated carbons linked to a short hydrocarbonated

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hydrophobic tail contains more than 6 perfluorinated carbons linked to a short hydrocarbonated part (methylene groups), that 1 CF₂ \approx 1.5-1.7 CH₂ ref.^{36, 37} This means that a F₆-chain is equivalent to roughly a H₁₀ one. In our case, since each perfluorocarbon tail is followed by two methylene units, the tail of the F₆-chain series is therefore equivalent to that of the H₁₂-chain

series, as confirmed by the size parameters for H- and F-DendriTACs, which have similar DPn values and same AB₂ branching type (see entries **3** & **7**). However, smaller assemblies are observed with fluorinated analogues due to the enhanced hydrophobic interaction and stiffness of the fluorocarbon chains that confers them a different packing into the micelle core compared to their hydrocarbon analogues. This is also observed for surfactants endowed with linear polar heads H- and F-TAC surfactants (see entries **14** & **15**). In both linear or dendritic families, $N_{\rm ag}$ is lower for F₆-series than for H₁₂-series due to the bulkiest and rigidity of F-chains that do not allow as much hydrophobic chains in the micelle core as for H-chains.

Comparison between H/F-TAC and H/F-DendriTAC surfactants. As mentioned above,

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H₁₂TAC₆ and F₆TAC₇ can be considered to have both comparable hydrophobic contribution of their tail and length of their polyTRIS polar head. However, smaller assemblies are obtained for F₆TAC₇ due to the different molecular packing of fluorinated monomers into the supramolecular construct. Now, if we compare the linear surfactant F₆TAC₇ to its closest dendritic counterpart F₆DiTAC₇ it appears that the structural parameters of the resulting assemblies (R_G , R_H and N_{ag}) are very close. Then, if we extrapolate the comparison and consider F₆TAC₇ as the AB₁ analogue of the F₆-DendriTAC series, it seems that the presence of the central scaffold between the F₆-chain and the polyTRIS head groups attenuates the impact of the branching effect on the micelle size and aggregation number when comparing F₆TAC₇ (AB₁ analogue) to F₆DiTAC₇ (AB₂ analogue). However, one can assume that the presence of the two triazole rings and the space between the two oligomeric head groups will influence their respective performances in terms of encapsulation efficiency or as potential nanoreactors. Addition of one supplementary polyTRIS branch to the polar head (from F₆DiTAC₇ to F₆TriTAC₇) induces a decrease in the micelle size and aggregation number, as already commented (entries 7 & 9). The same tendency is observed for double-tail analogues DiF₆DiTAC₆ and DiF₆TriTAC₈ (entries 11 & 12). Surprisingly, the introduction of a fourth

polyTRIS branch *via* an increase in surfactant generation (from a G₁/AB₂ polar head (entry 7) to a G₁/AB₃ (entry 9) and then to a G₂/AB₂-AB₂ *i.e.* a TetraTAC polar head (entry 10)) cancels out micelle size fluctuations without compensating for *N*_{ag} variations when moving from G₁/AB₂ to G₁/AB₃ and then to G₂/AB₂-AB₂ monomers. The same trend can be seen with the double-tail surfactants (entries 11, 12 and 13, Figure 5-A, B & C). Again, we can assume that for comparable object size, these different types of assemblies will not offer the same loading capacity for drug delivery or as nanoreactors. For these application types, the high intrinsic variability of the self-assemblies generated by our new family of dendritic surfactants should make H/F-DendriTACs much more versatile platforms than H/F-TACs.

CONCLUSION

In this study, the synthesis of a new dendritic surfactant family called H/F-DendriTAC is described, consisting of either hydrogenated or fluorinated alkyl chains grafted to dendronized hydrophilic oligomers leading to a high structural modularity. All compounds showed a good solubility in water, which allowed their self-assembling study. The aggregation behavior of fifteen surfactants was investigated using small-angle X-ray scattering (SAXS) and dynamic light scattering (DLS) to assess micellar structural parameters, such as their radius of gyration ($R_{\rm G}$), volume-weighted hydrodynamic radius ($R_{\rm H}$) and aggregation number ($N_{\rm ag}$). The versatility of the resulting molecular architectures (varying in both the nature, length and number of hydrophobic chains, and number and length of oligomeric polyTRIS polar branches) allows to obtain a large library of micellar aggregates of nanometric size and variable aggregation number. Overall, the high structural versatility of H/F-DendriTAC surfactants, which offers tunable self-assembly behaviors, opens perspectives for a wide range of applications. In ongoing researches, their potential use as nanoreactors for micellar catalysis and as nanocarriers for drug delivery will be investigated.

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