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1 First detection of triazole-resistant Aspergillus fumigatus harbouring the TR34/L98H

- 2 Cyp51A mutation in Burkina Faso
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28 ABSTRACT

Background: Triazole-resistant Aspergillus fumigatus (TRAF) isolates are a growing public 29 health problem with worldwide distribution. Epidemiological data on TRAF is limited in 30 Africa, particularly in West Africa. Objectives: This study aimed to screen for the 31 32 environmental presence of TRAF isolates in the indoor air of two hospitals in Burkina Faso. Materials and methods: Air samples were collected in wards housing patients at risk for 33 invasive aspergillosis, namely infectious diseases ward, internal medicine ward, nephrology 34 ward, pulmonology ward, medical emergency ward, and pediatric ward. Sabouraud Dextrose 35 Agar supplemented with triazoles was used to screen the suspected TRAF isolates and 36 EUCAST method to confirm the resistance of suspected isolates. Sequencing of *cyp51A* gene 37 38 was used to identify the resistance mechanism of confirmed TRAF isolates. Results: Of the 198 samples collected and analysed, 67 showed growth of A. *fumigatus* isolates. The prevalence of 39 40 TRAF isolates was 3.23% (4/124). One TRAF isolate exhibited a pan-triazole resistance. Sequencing of *cyp51A* gene identified the TR34/L98H mutation for this pan-triazole resistant 41 isolate. This study showed for the first time the circulation of the pan-azole resistant isolate 42 harbouring the TR34/L98H mutation in Burkina Faso. Conclusions: These findings emphasize 43 the need to map these TRAF isolates in all parts of Burkina Faso and to establish local and 44 national continuous surveillance of environmental and clinical TRAF isolates in this country. 45

Keywords: Triazole-resistant *Aspergillus fumigatus*; *cyp51A* gene; TR34/L98H mutation;
Burkina Faso

48 **1. INTRODUCTION**

Aspergillus fumigatus is a cosmopolitan filamentous fungus that plays an important role in 49 recycling environmental carbon and nitrogen.¹ This species is also the leading cause of 50 Aspergillus-related diseases worldwide, particularly in immunocompromised patients.²⁻⁴ The 51 mortality of the most severe and deadly form of aspergillosis, invasive aspergillosis (IA), is 52 high ranging from 30 to 95%.⁵ The use of triazoles as a cornerstone of antifungal therapy in A. 53 fumigatus-related diseases has significantly reduced this mortality.^{6,7} In addition, the 54 availability of oral formulation with triazole antifungals allows outpatient treatment, reducing 55 the risk of nosocomial infections.⁸ However, a steady increase in global reports of TRAF 56 isolates has become a significant challenge in effective management of aspergillosis.⁹ Indeed, 57 triazole-resistant IA showed a 21-31% higher mortality than triazole-susceptible IA.^{10,11} 58 Triazole-resistant A. fumigatus isolates have been reported worldwide.¹² A multicentre 59

prospective study reported an overall prevalence of 3.2% of TRAF isolates with rates among 60 centres ranging from 0% to 26%.¹³ Data available in Africa showed a prevalence of 1.3% and 61 17.1% respectively in clinical and environmental settings.¹⁴ The prevalence of TRAF isolates 62 vary considerably between geographic regions and between hospitals.¹⁵ Knowledge of the local 63 epidemiology of TRAF strains being a key factor^{16,17} for the choice of empirical antifungal 64 treatment, regular monitoring of these isolates becomes essential to guide the therapeutic 65 management of Aspergillus-related diseases.¹⁸ In Burkina Faso, an initial investigative study on 66 TRAF strains identified a prevalence of 2% in soil samples.¹⁹ The present study aimed to screen 67 for the presence of TRAF strains in the indoor air of hospital settings in Burkina Faso. 68

69

2. MATERIALS AND METHODS

70 **2.1. Study design (type, site and period)**

A cross-sectional study was carried out in two reference university hospital centres in Burkina Faso namely "Centre Hospitalier Universitaire Sourô Sanou" (CHUSS) and "Centre Hospitalier Universitaire Régional de Ouahigouya" (CHUR-OHG). These reference hospitals have 550 and 311 beds, respectively. Air samples were collected in wards housing patients at risk for IA (infectious diseases ward, internal medicine ward, nephrology ward, pulmonology ward, medical emergency ward, and pediatric ward). The samples were collected from September 2021 to February 2022.

78 **2.2. Sampling**

Air samples were collected using portable air sampler (SpinAir®, IUL S.A., USA) with a flow rate of 100 L·min-1 (collected volume was 0.5 m^3) in 90 mm diameter Petri dishes containing Sabouraud Dextrose Agar with Chloramphenicol (50mg L⁻¹) (LIOFILCHEM®, Italy). Prior to collecting each sample, the sampler head was rinsed in 70% ethyl alcohol. Air sampling was performed in a dry and clean area between 1:00 pm and 4:00 pm at a height of 1.5 m above the floor.

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2.3. Identification of A. fumigatus

The collected plates were incubated at 30°C for 2 to 4 days and if necessary up to 5-7 days. Based on the macroscopic features mainly the colour (white initially, then characteristic dark green and finally smoky grey on old cultures),²⁰ the suspected *A. fumigatus* isolates were subcultured on another Petri dishes containing Sabouraud Dextrose Agar with Chloramphenicol (50 mg L⁻¹). Formal identification of *A. fumigatus* was done using the microscopic features.²⁰

91 The results were reported as colony forming unit (CFU/m³). Confirmed A. *fumigatus* isolates

92 were then stored at -80° C in purified water.

93 **2.4. Screening for triazole-resistance isolates**

94 **2.4.1.** Sub-culture of *A. fumigatus* isolates

Aspergillus fumigatus isolates were sub-cultured on potato dextrose agar at 30°C. Inoculum
suspensions were prepared from fresh and mature cultures (2–5 days old). In some cases,
prolonged incubation was necessary to allow proper sporulation of conidia.

98

2.4.2. Screening for triazole-resistant A. fumigatus

The colonies were covered with 5 mL of purified water then carefully wiped with a sterile 99 cotton swab and transferred into a sterile tube. This suspension was adjusted to the optical 100 density of a 0.5 McFarland. After homogenization of the suspension (pipetting up and down 5 101 102 times), 100 microliters were plated onto three Sabouraud dextrose agar (SDA) media plates; one without triazole antifungal (control plate) and two supplemented with triazoles, 103 itraconazole (4 mg L⁻¹) and voriconazole (2 mg L⁻¹). Samples were incubated at 30°C for 2 to 104 7 days. Isolates that grew on media supplemented with triazole were considered as suspected 105 106 TRAF isolates. These suspected isolates were stored at -80°C in purified water then shipped to the department of microbiology, immunology and transplantation in Leuven (Belgium) where 107 further laboratory analysis were carried out. Suspected TRAF isolates were categorized as A. 108 fumigatus sensu-strictu via Matrix-assisted laser desorption ionization-time of flight mass 109 spectrophotometry (MALDI-TOF) (Bruker Daltonics, Bremen, Germany) and the Mass 110 Spectrometry Identification platform (MSI) reference spectra database.²¹ If the identification 111 with the MALDI-TOF was inconclusive, beta-tubulin gene sequencing was performed as 112 previously described.²² 113

114 2.4.3. Triazole-resistant A. *fumigatus* phenotype determination and *Cyp51A* gene 115 sequencing

116 The European Committee on Antimicrobial Susceptibility Testing (EUCAST) broth 117 microdilution reference method for moulds was used to determine the minimal inhibitory 118 concentrations (MIC) values of itraconazole, voriconazole, posaconazole and isavuconazole. 119 An isolate was considered as resistant if the MIC value was > 1 mg L⁻¹, > 1 mg L⁻¹, > 0.25 mg 120 L⁻¹ and > 2 mg L⁻¹ respectively for itraconazole, voriconazole, posaconazole and 121 isavuconazole.²³ Sequencing of the *cyp51A* gene and its promoter region was performed in

confirmed TRAF isolates, as described previously.²⁴ Briefly, primers specifically designed for 122 the cyp51A gene of A. fumigatus and the BigDye-Terminator-v3.1 cycle sequencing kit 123 (Applied-Biosystems, Vilnius, Lithuania) were used to sequence the amplified DNA obtained 124 from confirmed TRAF isolates. The manufacturer's protocol was used to purify, dry and 125 reconstitute the reaction products. ABI3730xl Genetic Analyser (Applied-Biosystems, Foster 126 City, CA, USA) was used to analyse the reconstituted products. The consensus sequences were 127 obtained by aligning the resulting sequences using the CLC-Genomics-Workbench software 128 (CLC-bio, Aarhus, Denmark). Finally, the consensus sequences were compared to the reference 129 sequence of the A. fumigatus cyp51A gene (ATCC366071).¹⁹ 130

131 **2.5. Data management**

132 Microsoft office excel 2013 software was used to data entry and to calculate the proportions.

133 **2.6. Ethical statements**

The authors confirm that the ethical policies of the journal, as noted on the journal's author guidelines page, have been adhered to. No ethical approval was required as the research in this article related to microorganisms.

3. RESULTS

Of the 198 samples collected and analysed, the overall rate of positive cultures for A. fumigatus 138 was 33.84%. (67/198). This rate of positive cultures for A. fumigatus were 37.04% (30/81) and 139 31.62% (37/117) for the CHUR-OHG and CHUSS, respectively. Contamination of the indoor 140 air of the hospital by A. fumigatus conidia varied from 1.43 to 30 CFU/m³ and from 2.5 to 40 141 CFU/m³ for the CHUR-OHG and CHUSS, respectively. Within positive cultures, 124 A. 142 fumigatus isolates were identified, including 51 isolates at CHUR-OHG and 73 isolates at 143 144 CHUSS (Table 1). The screening onto SDA supplemented with triazole antifungals identified two suspected TRAF isolates in each hospital. These four suspected isolates were confirmed as 145 TRAF isolates by EUCAST method (Table 2). The overall prevalence of TRAF isolates was 146 147 3.23% (4/124) (Table 1). The prevalence of TRAF isolates was 3.92% (2/51) at CHUR-OHG and 2.74% (2/73) at CHUSS. These TRAF isolates were identified in three wards' namely 148 infectious diseases ward, internal medicine ward and medical emergencies ward. One TRAF 149 150 isolate identified in the medical emergencies ward at CHUR-OHG exhibited a pan-triazole 151 resistance (Table 2). The sequencing of the cyp51A gene was identified the TR34/L98H

- 152 mutation for this pan-triazole resistant isolate. The others TRAF isolates showed the
- 153 F46Y/M172V/N248T/ D255E/E427K mutations in the *cyp51A* gene (Table 1).

154

			CHUSS				CHUR-OHG	
Ward	N° of A. fumigatus isolates	N° of suspectedN° of confirmedTRAF isolatesTRAF isolates		<i>cyp51A</i> gene mutation	N° of A. <i>fumigatus</i> isolates	N° of suspected TRAF isolates	N° of confirmed TRAF isolates	<i>cyp51A</i> gene mutation
Infectious diseases	17	1	1	F46Y/M172V/N248T/D255E/ E427K	13	1	1	F46Y/M172V/N248T/D255E/ E427K
Internal medicine	11	1	1	F46Y/M172V/N248T/ D255E/E427K	7	0	0	-
Medical emergencies	16	0	0	-	10	1	1	TR34/L98H
Nephrology	15	0	0	-	11	0	0	-
Paediatric	9	0	0	-	6	0	0	-
Pulmonology	5	0	0	-	4	0	0	-
Total	73	2	2	-	51	2	2	-

Table 1 Distribution of triazole susceptibility profile and resistance mechanism of A. fumigatus isolates by hospitals and wards

CHUSS: "Centre Hospitalier Universitaire Sourô Sanou"; CHUR-OHG: "Centre Hospitalier Universitaire Régional de Ouahigouya", TRAF: Triazole-resistant *A. fumigatus*, N°: Number

Table 2 Minimum inhibitory concentration values of confirmed TRAF isolates by hospital

Hospital name	MIC (mg L ⁻¹)						
Hospital name	Isolate ID	Itraconazole	Voriconazole	Isavuconazole	Posaconazole		
	9	0.5	2	2	0.125		
CHUSS	77	1	2	4	0.25		
	40	1	2	2	0.25		
CHUR-OHG	123	> 16	8	8	1		

CHUSS: "Centre Hospitalier Universitaire Sourô Sanou"; CHUR-OHG: "Centre Hospitalier Universitaire Régional de Ouahigouya";

ID: Identity; MIC: Minimal inhibitory concentration; TRAF: Triazole-resistant A. fumigatus

155 **4. DISCUSSION**

Triazole-resistant A. fumigatus harbouring the TR34/L98H mutation in cyp51A gene first 156 described in The Netherlands is now reported worldwide among clinical and environmental 157 strains.^{25–27} Triazole-resistant IA due to isolates harbouring the TR34/L98H mutation has 158 159 been shown to be associated with poor outcomes with 88% mortality, compared to the 30-50% mortality found with triazole-susceptible IA.²⁸ In this context, the first detection of this 160 pan-azole-resistant isolate carrying the TR34/L98H mutation in Burkina Faso is worrying. 161 Although the origin of the emergence of this pan-azole resistant strain has not been formally 162 identified, an environmental route could be suspected due to the use of azole fungicides in 163 agricultural fields in this country.²⁹ International travel to and from Burkina Faso could also 164 favour the emergence of this pan-azole resistant isolate.¹⁹ Following a previous study 165 conducted in Bobo-Dioulasso on soil samples which found a TRAF prevalence of 2%,¹⁹ the 166 present study shows the circulation of TRAF isolates also in Burkina Faso hospital settings. 167 This situation adds to the diagnostic difficulties of IA in Burkina Faso, a huge therapeutic 168 challenge. Indeed, no IA case has yet been reported in Burkina Faso, despite data estimating 169 its annual incidence at 54 cases.³⁰ TRAF isolates having been detected in wards housing 170 patients at risk for IA (e.g., patients with hematological malignancies, patients with 171 HIV/AIDS, or patients with COVID-19) highlight the urgent need to evaluate their 172 prevalence in the occurrence of IA cases in Burkina Faso. The detection of these resistant 173 isolates in two different areas in Burkina Faso (North and West area of Burkina Faso) also 174 highlights the need to establish a national surveillance program for these resistant strains. 175 Finally, this situation underscores the dire need to map the distribution of these TRAF 176 isolates in this country. The prevalence of TRAF in the present environmental study 177 (3.92%) is consistent with findings reported in Nigeria (2.2%).³¹ However, this prevalence, 178 was lower compared with data previously recorded in Eastern Africa (20-33%).³²⁻³⁴ 179 Furthermore, no case of TRAF has been isolated from African countries such as Benin and 180 Cameroon.^{31,35} These data indicate the variability of the prevalence of TRAF isolates across 181 regions. Consistent with previously reported studies, the TR34/L98H mutation was 182 identified in environmental settings.¹² This mutation was found in 29.3%, 37.4%, 77%, and 183 58.8% of the azole-resistant environmental isolates in Africa, East Asia, Europe, and North 184 America, respectively.¹² Understanding the fitness cost of TRAF isolates will provide 185 valuable insights into the spread of these resistant isolates and their tendencies to expand in 186 terms of frequency and geographical range.¹² Finally, raising awareness among national 187

health authorities and medical personnel is essential and urgent in order to promote theestablishment of a national protocol for the management of TRAF isolates in Burkina Faso.

This study showed for the first time the circulation of the pan-azole resistant isolate harbouring the TR34/L98H mutation in Burkina Faso. This study also showed the presence of TRAF isolates in two different areas in this country. These findings emphasize the need to map these TRAF isolates in all parts in Burkina Faso and to establish local and national continuous surveillance programs of environmental and clinical TRAF isolates in this country.

196 AUTHOR CONTRIBUTIONS

197 Isidore W. Yerbanga, Sanata Bamba, Olivier Denis and Isabel Montesinos designed the
198 study. Isidore W. Yerbanga and Seydou Nakanabo Diallo collected the data. Isidore W.
199 Yerbanga, Katrien Lagrou and Rita Merckx analysed and interpreted the data. Isidore
200 W. Yerbanga wrote the manuscript. All authors read and agreed to the published version
201 of the manuscript.

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205 CONFLICTS OF INTEREST

206 The authors declare no conflict of interest.

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