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# The Total Carbon Column Observing Network's GGG2020 data version

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**Abstract.** The Total Carbon Column Observing Network (TCCON) measures column-average mole fractions of several greenhouse gases (GHGs), beginning in 2004, from over 30 current or past measurement sites around the world using solar absorption spectroscopy in the near-infrared (near-IR) region. TCCON GHG data have been used extensively for multiple purposes, including in studies of the carbon cycle and anthropogenic emissions, as well as to validate and improve observations from space-based sensors. Here, we describe an update to the retrieval algorithm used to process the TCCON near-IR solar spectra and to generate the associated data products. This version, called GGG2020, was initially released in April 2022. It includes updates and improvements to all steps of the retrieval, including but not limited to the conversion of the original interferograms into spectra, the spectroscopic information used in the column retrieval, post hoc air mass dependence correction, and scaling to align with the calibration scales of in situ GHG measurements.

All TCCON data are available through https://tccondata.org/ (last access: 22 April 2024) and are hosted on CaltechDATA (https://data.caltech.edu/, last access: 22 April 2024). Each TCCON site has a unique DOI for its data record. An archive of all the sites' data is also available with the DOI https://doi.org/10.14291/TCCON.GGG2020 (Total Carbon Column Observing Network (TCCON) Team, 2022). The hosted files are updated approximately monthly, and TCCON sites are required to deliver data to the archive no later than 1 year after acquisition. Full details of data locations are provided in the "Code and data availability" section.

#### 1 Introduction

The Total Carbon Column Observing Network (TCCON) is a network of nearly 30 ground-based, solar-viewing, Fourier transform infrared (FTIR) spectrometers that report observations of column-average mole fractions of CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, CO, HF, H<sub>2</sub>O, and HDO in the atmosphere. The first two TCCON stations were established in 2004, with additional stations joining over the following years. As of July 2023, 30 sites exist. In that time, TCCON data have been used to estimate or evaluate carbon fluxes (e.g., Keppel-Aleks et al., 2012; Peiro et al., 2022), for satellite validation (e.g., Wunch et al., 2017; Chen et al., 2022; Lorente et al., 2022), for model verification (e.g., Byrne et al., 2023), and for other purposes.

The need for updates to the retrieval algorithm used by TCCON has been largely driven by the need for increasingly high accuracy and precision in total column greenhouse gas (GHG) data for carbon cycle science and satellite validation. GHG measurements require high precision to distinguish signals from anthropogenic, terrestrial, or oceanic processes from the background mixing ratios. The 2018 National Academies decadal strategy recommends that random and systematic errors for CO<sub>2</sub> be less than 1 and 0.2 ppm ( $\sim 0.25\,\%$  and  $\sim 0.05\,\%$ ), respectively, and likewise less

than 6 and 2.5 ppb ( $\sim 0.3\%$  and  $\sim 0.1\%$ ), respectively, for CH<sub>4</sub> (National Academies of Sciences, Engineering, and Medicine, 2018, Table B.1, question C-3, p. 601). Future space-based CO<sub>2</sub>-observing missions are striving for even greater precision; for example, CO2M has a stated goal of 0.7 ppm precision and < 0.5 ppm systematic error in  $X_{\rm CO_2}$  (ESA, 2020). The increasingly stringent precision requirements for carbon cycle science and satellite validation demand that ground-based networks, such as TCCON, continue to refine their data to support these requirements.

A second factor driving improvements in the retrieval is the emergence of portable, low-resolution, solar-viewing, FTIR instruments such as EM27/SUNs. These instruments can be deployed to areas that cannot support a full TCCON site and are also affordable enough to be deployed in greater density around locations of interest (e.g., cities). This capability complements the higher-precision and higher-accuracy data produced by TCCON. To facilitate comparisons between TCCON and EM27/SUN data, it is beneficial to use the same retrieval for both. Improvements to the handling of EM27/SUN interferograms (Sect. 4.3) have been added.

TCCON instruments record interferograms of direct-sun measurements in the near-IR wavelengths. These interferograms are transformed into spectra from which the final column-average mole fractions (henceforth denoted as  $X_{\rm gas}$ , e.g.,  $X_{\rm CO_2}$ ) are derived using the retrieval software GGG. Major versions of GGG are identified by the year of development. The previous version used to generate public TCCON data was GGG2014 and is described in Wunch et al. (2015) (see also Wunch et al., 2011, 2010). GGG2020 is the first major update applied to TCCON public data since GGG2014. The primary goal of this paper is to describe the changes in GGG2020 compared to GGG2014.

GGG retrieves trace gas column amounts by iteratively scaling an a priori trace gas vertical profile until the best fit between a spectrum simulated from those trace gas profiles by the built-in forward model and the observed spectrum is found. This differs slightly from the Bayesian framework described in Rodgers (2000); please refer to Sect. 3.4 of Roche (2021) for a discussion of specific differences. A single gas may be fit in more than one spectral window; for example, GGG2020 produces the standard TCCON CO<sub>2</sub> product from two separate retrievals using two spectral windows (6220 to 6260 and 6297 to 6382 cm<sup>-1</sup>). Each window is run separately and produces its own posterior scaled trace gas profile, which is separately integrated to generate a column density from each window. These column densities are combined and converted to the final  $X_{\rm gas}$  value. Retrieving each window separately, rather than concatenating the spectral information, makes it simpler to handle non-contiguous windows that need different state vector elements. It also allows biases that differ between these windows to be expressed separately in the resulting output data and, if necessary, corrected separately. The output values (column densities and profile scaling factors) from different windows with similar averaging kernels for the same target gas are combined in a weighted average during post-processing.

The post-processing step includes the conversion from column densities to column-average dry mole fractions, followed by the above window-to-window averaging, an empirical air-mass-dependent correction, and a scaling correction to tie TCCON data to the relevant calibration scales. Airmass-dependent errors can arise from, for example, errors in the relative intensities of strong and weak absorption lines for a target gas. At large solar zenith angles (SZAs), the longer light paths through the atmosphere will cause strong absorption lines to completely absorb incoming light within their core wavelengths; such lines may be referred to as "blacked out". Blacked-out lines cannot contribute information to the retrieval; thus, the retrieval must get a greater fraction of its information from weaker lines in the spectral window or the wings of saturated lines. If there is a different bias in the forward model between the strong and weak lines, it will manifest as an error in the retrieved column amounts that varies with SZA and is symmetric about solar noon. Once the magnitude of this error is derived (Sect. 8.1), a post-processing correction is applied to mitigate it.

Applying a scaling factor to tie to the in situ calibration scales is necessary because the spectroscopic parameters used in the forward model are not, in general, known to the accuracy needed to achieve the desired precision in retrievals of atmospheric mole fractions of greenhouse gases. However, since all TCCON sites use the same retrieval (and thus the same forward model), we use a single mean scaling factor to remove the mean bias caused by errors in the spectroscopic parameters. It is not intended to correct biases from instrument artifacts, such as an imperfect instrument line shape (ILS), as such biases can change over time. The scaling factors for the various gases are derived from comparisons between TCCON data and in situ vertical profiles measured by aircraft- or balloon-borne instruments (Sect. 8.3).

Finally, the conversion from column densities to columnaverage dry mole fractions is done by dividing the target gas column density ( $V_{\text{gas}}$  in molec. cm<sup>-2</sup>) by the O<sub>2</sub> column density ( $V_{\text{O}_2}$  in molec. cm<sup>-2</sup>) and then multiplying by the mean O<sub>2</sub> dry mole fraction ( $f_{\text{O}_2}$ ) in the atmosphere:

$$X_{\rm gas} = \frac{V_{\rm gas}}{V_{\rm O_2}} \cdot f_{\rm O_2}.\tag{1}$$

GGG2020 assumes that  $f_{\rm O_2} = 0.2095$  for all  $X_{\rm gas}$  products, except for those listed in Sect. 8.3.2, where a variable  $\rm O_2$  dry mole fraction has been implemented. The advantages of normalizing to the  $\rm O_2$  column are as follows:

- 1. It normalizes for path length. Observations at higher surface elevations will have smaller column densities compared to those from lower altitudes due to the shorter vertical extent. Normalizing to the  $\rm O_2$  column removes this effect.
- 2. Because  $O_2$  and the primary TCCON gases are measured on the same detector, many biases related to the detector and to pointing partially cancel each other out (e.g., ILS, mis-pointing, zero-level offsets; Wunch et al., 2011, Appendices A and B). Note that TCCON uses the  $^1\Delta$   $O_2$  band around 7885 cm $^{-1}$  rather than the A-band (around 13080 cm $^{-1}$ , commonly used by satellite missions to avoid interference from airglow). The  $^1\Delta$   $O_2$  band is closer in frequency to the near-IR CO<sub>2</sub> and CH<sub>4</sub> bands than the  $O_2$  A-band; this minimizes differences in frequency-dependent effects (e.g., refraction) between the  $O_2$  and  $CO_2$  or  $CH_4$  bands.

GGG is comprised of several sub-programs, which handle these various elements of the retrieval. The flow among these sub-programs is shown in Fig. 1. Each of these has been upgraded for GGG2020:

- The sub-program I2S converts interferograms to spectra. Updates include identifying detector nonlinearity and better phase correction (Sect. 4).
- The sub-program GSETUP prepares the input files needed to run GFIT (a priori meteorology and trace gas

<sup>&</sup>lt;sup>1</sup>GGG is the proper name of the software and is not an acronym.

profiles, atmospheric path information, etc.) in the required formats. Updates include the source of a priori meteorology and trace gas profiles and the retrieval grid (Sect. 5).

- The sub-program GFIT retrieves column densities from the spectra output by i2s. Updates include the forward model spectroscopy (Sect. 6) and continuum fitting (Sect. 7).
- For post-processing, we employ a suite of programs that collate the output from GFIT and apply post hoc corrections. Updates include the air mass correction (Sect. 8.1), window-to-window averaging (Sect. 8.2), and scaling to tie to in situ calibration scales (Sect. 8.3).

GGG2020 data are available through https://tccondata.org (last access: 22 April 2024). A repository containing the full set of publicly available data is available through Caltech-DATA (Total Carbon Column Observing Network (TCCON) Team, 2022). These data undergo quality evaluation before release, with all data being reviewed by experienced TCCON members from various sites. Each TCCON site's data record has its own unique DOI. On occasions that a site needs to reprocess and redeliver data already released to the public, the revised data set receives a new DOI with the revision number incremented. TCCON sites are permitted to withhold data from the public archive for up to 1 year from acquisition. This public archive is updated approximately once per month with newly delivered or released data. The TC-CON data product is documented extensively through the TCCON Wiki (https://tccon-wiki.caltech.edu/, last access: 22 April 2024). Users are asked to familiarize themselves with the data use policy and license, which are available at https://tccon-wiki.caltech.edu/Main/DataUsePolicy (last access: 22 April 2024).

As this paper is quite long, we provide a list of contents in Table 1 for readers to jump to sections that are of interest to them. We begin with a review of new  $X_{\rm gas}$  products and changes to the data product most that are likely to be of interest to users. Next (starting with Sect. 4), for each step in the GGG processing chain, we describe the changes between GGG2014 and GGG2020. Finally, we present an uncertainty budget for GGG2020 (Sect. 9).

## 2 New X<sub>qas</sub> products

GGG2020 introduced  $X_{\rm CO_2}$  dry mole fractions retrieved in two new windows: the band between 4809.74 and 4896.0 cm<sup>-1</sup>, with higher-intensity absorption than the 6330 band, and a band with weaker absorption between 6041.8 and 6105.2 cm<sup>-1</sup>. We refer to these as ICO<sub>2</sub> (for "lower" CO<sub>2</sub>) and wCO<sub>2</sub> (for "weak" CO<sub>2</sub>), respectively. Figure 2 shows how these two windows (plus the windows for the standard TCCON  $X_{\rm CO_2}$  product) align with the strong and weak CO<sub>2</sub> windows used by OCO-2 and OCO-3. These are reported as

separate  $CO_2$  products ( $X_{ICO_2}$  and  $X_{wCO_2}$ ) and are not averaged together with the standard TCCON  $X_{CO_2}$  product. Figure 3 shows the column averaging kernels (AKs) and  $CO_2$  absorption lines in these two windows. The  $ICO_2$  AKs increase toward the surface, while, at small slant  $X_{gas}$  amounts (i.e., small solar zenith angle), the  $wCO_2$  AKs are greater in the stratosphere than in the lower troposphere. This is because, as seen in Fig. 3b and d, the  $CO_2$  absorption lines in the  $ICO_2$  band are mostly saturated at the line center, while the  $wCO_2$  lines are not. When used together with the standard  $TCCON\ X_{CO_2}$  product (which has an AK profile that is more constant with altitude than the  $wCO_2$  or  $ICO_2$  products; see Fig. 5), this provides the potential to separate changes in  $CO_2$  at the surface from those in the free troposphere or stratosphere (Parker et al., 2023).

For wCO<sub>2</sub>, we chose not to use the second weak band around 6500 cm<sup>-1</sup> for reasons detailed in Sect. 8.1. For ICO<sub>2</sub>, we did not use the strong band around 4900 cm<sup>-1</sup> because the lines are so strong that the retrieval would be more sensitive to errors in the line shape and zero-level offsets in the interferograms.

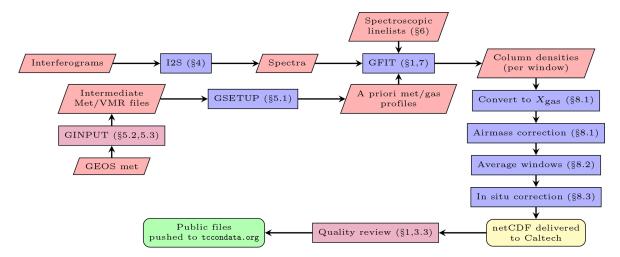
Beginning with GGG2020, experimental mid-IR data products will be available from select TCCON sites equipped with an InSb (indium antimonide) detector that enables measurements in the 1800 to 4000 cm<sup>-1</sup> frequency range. Gases observed in this range include, but are not limited to, O<sub>3</sub>, N<sub>2</sub>O, CO, CH<sub>4</sub>, NO, NO<sub>2</sub>, carbonyl sulfide, formaldehyde, and ethane. These products offer the potential to extend the applications of TCCON data to new areas of research. However, currently, these data do not have any post-processing corrections for air mass dependence (Sect. 8.1) or scaling to in situ data (Sect. 8.3) applied.

#### 3 Miscellaneous data format changes

# 3.1 AK binning

The publicly available GGG2020 TCCON files now include one averaging kernel (AK) per observation. For a description of how these column AKs are calculated by GGG, see Sect. 3.5 of Roche (2021). This is a change from GGG2014, where the public files included a table of canonical AKs for a limited set of SZAs and where users were required to interpolate the AKs to the SZA of each spectrum. This was done in response to user requests to simplify the use of the averaging kernels. This does not mean that averaging kernels are computed by GGG for every TCCON observation (they are not). Internally, we still use a table of precomputed AKs, which are interpolated as needed to provide per-spectrum AKs in the public files. This affords significant savings in data storage as the files GGG requires to compute the AKs are very large.

Though users of public TCCON data no longer need to know how the AK tables are structured, there are two changes from GGG2014 that we wish to document here.



**Figure 1.** The flow among all the components of GGG and the TCCON data. Red trapezoids (e.g. "Interferograms") represent input or intermediate data. Blue rectangles (e.g., "I2S") represent processing steps that are part of GGG. The rounded yellow rectangle ("netCDF...") represents a transfer step. The purple rectangles (e.g., "GINPUT") represent centralized processing steps. The rounded green rectangle ("Public files") indicates public-facing data. Numbers prefixed with §refer to sections of this paper.

**Table 1.** Contents of the paper with associated page numbers.

Section	Topic
2	New CO <sub>2</sub> and other trace gas products
3	Data format updates
4	Updates to interferogram-to-spectrum conversion
5	Updates to the a priori meteorology and trace gas profiles
6	Updates to spectroscopic line lists and line shapes
7	Updates to continuum and channel fringe fitting
8.1	Updates to post hoc air-mass-dependent correction
8.2	Changes to averaging of multiple windows
8.3	Updated scaling to in situ measurements
9	Uncertainty budget
11	Conclusions and planned future improvements
Appendix A	Abbreviation list and additional tables
Appendix B	Additional error budget plots
Appendix C	In situ profile selection and uncertainty calculations
Appendix D	Method details for comparing TCCON N <sub>2</sub> O vs. surface data
Appendix E	Derivation of variable $O_2$ dry mole fraction for $X_{gas}$ calculation

First, in GGG2020, the bin coordinate has changed from solar zenith angle (SZA) to slant  $X_{gas}$ , which is defined as follows:

slant 
$$X_{\text{gas}} = \operatorname{airmass} \cdot X_{\text{gas}},$$
 (2)

where "airmass" is the unitless ratio of slant to vertical column calculated by GGG in the  $O_2$  window and  $X_{\rm gas}$  is the column-average dry mole fraction of the gas of interest. Using slant  $X_{\rm gas}$  as the bin coordinate correctly accounts for cases where the dynamic range of a gas's concentrations is large enough to change the AK at a single SZA. This can be seen in Fig. 4. For  $CO_2$  (Fig. 4a, b), the AKs vary smoothly and monotonically with either SZA or slant  $X_{CO_2}$ . However,

for  $H_2O$ , because the mixing ratios vary by orders of magnitude, the AKs do not vary simply with SZA (Fig. 4c) but do with slant  $X_{H_2O}$  (Fig. 4d). Therefore, slant  $X_{gas}$  was adopted as the binning coordinate for all AKs for consistency.

Second, in order to provide per-spectrum AKs in the public TCCON data files without significantly increasing the file size, it was necessary to ensure that observations with similar slant  $X_{\rm gas}$  values had identical AKs so that the netCDF compression algorithm could operate effectively. We achieved this by "quantizing" the slant  $X_{\rm gas}$  values that we interpolated the AKs to; that is, we select 500 slant  $X_{\rm gas}$  values that cover the expected range of slant  $X_{\rm gas}$  plus 50 additional points to cover extreme values. Each observation then uses the AK corresponding to the one of those 550 slant  $X_{\rm gas}$  values that

**Table 2.** List of TCCON sites and their associated data citations as of 20 December 2022. Some sites (Lauder, JPL) have had different FTIR instruments in operation over different periods and so are listed multiple times. Sites with "not available" in the "Data citation" column did not have GGG2020 data available at time of final publication.

Site ID	Site name	Location	Data citation
ae	ascension01	Ascension Island, Saint Helena	not available
an	anmeyondo01	Anmyeondo, South Korea	not available
bi	bialystok01	Bialystok, Poland	not available
br	bremen01	Bremen, Germany	Notholt et al. (2022)
bu	burgos01	Burgos, Philippines	Morino et al. (2022c)
ci	pasadena01	Pasadena, California, USA	Wennberg et al. (2022c)
db	darwin01	Darwin, Australia	Deutscher et al. (2023a)
df	edwards01	AFRC, Edwards, CA, USA	Iraci et al. (2022b)
et	easttroutlake01	East Trout Lake, Canada	Wunch et al. (2022)
eu	eureka01	Eureka, Canada	Strong et al. (2022)
fc	fourcorners01	Four Corners, NM, USA	Dubey et al. (2022b)
gm	garmisch01	Garmisch, Germany	Sussmann and Rettinger (2023
hf	hefei01	Hefei, China	Liu et al. (2022)
hw	harwell01	Harwell, UK	Weidmann et al. (2023)
if	indianapolis01	Indianapolis, Indiana, USA	Iraci et al. (2022a)
iz	izana01	Izana, Tenerife, Spain	García et al. (2022)
jc	jpl01	JPL, Pasadena, California, USA	Wennberg et al. (2022e)
jf	jpl02	JPL, Pasadena, California, USA	Wennberg et al. (2022a)
js	saga01	Saga, Japan	Shiomi et al. (2022)
ka	karlsruhe01	Karlsruhe, Germany	Hase et al. (2022)
lh	lauder01	Lauder, New Zealand	Sherlock et al. (2022a)
11	lauder02	Lauder, New Zealand	Sherlock et al. (2022b)
lr	lauder03	Lauder, New Zealand	Pollard et al. (2022)
ma	manaus01	Manaus, Brazil	Dubey et al. (2022a)
ni	nicosia01	Nicosia, Cyprus	Petri et al. (2023)
ny	nyalesund01	Ny-Ålesund, Svalbard, Norway	Buschmann et al. (2022)
oc	lamont01	Lamont, Oklahoma, USA	Wennberg et al. (2022d)
or	orleans01	Orleans, France	Warneke et al. (2022)
pa	parkfalls01	Park Falls, Wisconsin, USA	Wennberg et al. (2022b)
pr	paris01	Sorbonne Université, Paris, FR	Te et al. (2022)
ra	reunion01	Reunion Island, France	De Maziere et al. (2022)
rj	rikubetsu01	Rikubetsu, Hokkaido, Japan	Morino et al. (2022a)
so	sodankyla01	Sodankylä, Finland	Kivi et al. (2022)
tk	tsukuba02	Tsukuba, Ibaraki, Japan, 125HR	Morino et al. (2022b)
wg	wollongong01	Wollongong, Australia	Deutscher et al. (2023b)
xh	xianghe01	Xianghe, China	Zhou et al. (2022)
ZS	zugspitze01	Zugspitze, Germany	not available

is closest to its true slant  $X_{\rm gas}$  value. This scheme keeps the difference between the quantized and full-resolution AKs to < 1 % in 90 % of observations while only increasing the file size by  $\sim$  20 %.

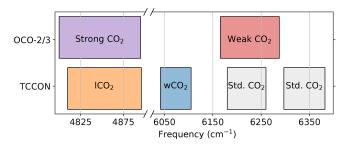
#### 3.2 A priori profiles and AK corrections

As described in Sect. 5.3, the a priori profiles reported in the published GGG2020 netCDF files are in units of wet mole fraction. When applying an averaging-kernel correction to calculate the  $X_{\rm gas}$  value that would be retrieved by TCCON for an arbitrary gas profile, that gas profile must be converted into units of wet mole fraction. This can be

done using either the TCCON  $H_2O$  a priori profile provided or an  $H_2O$  profile measured or modeled coincidentally with the gas profile for which an  $X_{\rm gas}$  value is desired. Users who are unsure which is appropriate for their application are encouraged to reach out to the TCCON network chairs (listed at https://tccon-wiki.caltech.edu/Main/SteeringCommitteeMembership, last access: 22 April 2024) for assistance.

# 3.3 Changes to quality flags

As in GGG2014, a retrieval is flagged as being poor quality if any of the retrieved  $X_{gas}$  or  $X_{gas}$  error values or the an-



**Figure 2.** Frequency ranges of the TCCON CO<sub>2</sub> windows (those for the standard product, as well as for the two new products discussed in Sect. 2) compared to the frequency ranges of the OCO-2 and OCO-3 CO<sub>2</sub> windows (Crisp et al., 2021).

cillary variables pertaining to instrument operation or local observation conditions are outside of expected ranges. Such spectra are not included in the publicly available data files. In GGG2020, spectra may also be flagged as poor quality and withheld if one of the following criteria is met:

- The staff at the TCCON site identify a hardware issue affecting that spectrum.
- During pre-release data review, a time period containing that spectrum is identified as being out of family for TCCON data.

The latter case focuses on a smoothed time series of  $X_{\text{luft}}$ and DIP. DIP is a measure of nonlinearity in the detector or signal chain (Sect. 4.1).  $X_{\text{luft}}$  is a diagnostic for retrieval biases (see Sect. 6.3 for a detailed definition). As shown in Sect. 8.3 and Sect. 9, deviation of  $X_{\text{luft}}$  from the network median correlates with bias in the other  $X_{\rm gas}$  products. Therefore, when a 500-spectrum rolling median of  $X_{\text{luft}}$  falls consistently outside the nominal range of 0.995 to 1.003, that time period is rejected as the  $X_{gas}$  products will likely have biases larger than the required TCCON accuracy. Likewise, testing has shown that increasing the magnitude of DIP increases bias in  $X_{\rm CO_2}$  (Fig. 6). In most cases, data where DIP consistently exceeds  $\pm 5 \times 10^{-4}$  during the initial quality assessment will be reprocessed with a nonlinearity correction (Sect. 4.1) applied to remove this bias. In very rare cases, if such reprocessing is not possible, the data are removed in order to keep the  $X_{\text{CO}_2}$  bias at less than 0.25 ppm.

# 4 Improved interferogram-to-spectrum conversion

There have been substantial code changes and streamlining of common code in i2s, the interferogram-to-spectrum conversion subroutine. The main substantive improvements to the code are in the handling of detector nonlinearity, the phase correction, and other changes.

# 4.1 Detector nonlinearity

The largest signals in an interferogram generated by a Fourier transform spectrometer are found near zero path difference (ZPD), where light from all wavelengths constructively interferes. The modulated signal levels drop significantly away from the ZPD. If the detector measuring the interferogram has a nonlinear response, the variations in the signal near the ZPD will be more distorted than in the rest of the interferogram. This causes a discrepancy between the low-resolution spectral envelope (diagnosed near the ZPD) and the high-resolution spectral lines (diagnosed at larger path differences). Nonlinear detector responses can be strongly pronounced or subtle, and several improvements to i2s have been made to address these situations.

We have implemented a check early in i2s processing to remove interferograms affected by signal chain saturation, an extreme form of nonlinearity. If the signal intensity is too large, the ZPD signal will reach the maximum value permitted by the detector electronics. We call this interferogram saturation, and this causes irreversible loss of information. Such saturation is rarely found in the TCCON spectra and is straightforward to resolve once it has been identified. To mitigate signal chain saturation, we carefully set the preamplifier gain such that, even under the most intense illumination, the signal chain does not saturate. To avoid detector saturation, we limit the number of photons incident on the detector through reducing the field stop or aperture stop diameter or by placing an optical filter in the beam. Because this effect depends on sunlight intensity, saturation is more likely to occur near noon than later or earlier in the day. It is also seasonally dependent or is dependent on the amount of water vapor in the atmosphere. In GGG2020, we have implemented a saturation check to discard any saturated interferograms based on the maximum and minimum values of their signal.

There are more subtle detector nonlinearity effects that do not necessarily result in interferogram saturation but can adversely affect the retrievals. We now compute and store a detector nonlinearity diagnostic variable (DIP) as part of the regular TCCON data processing. Keppel-Aleks et al. (2007) described the solar-intensity variation correction applied to the TCCON interferograms that has been part of the TC-CON processing software since 2007. In this correction, a low-pass-filtered interferogram is used to re-weight the original AC interferogram, largely removing the impacts of solarintensity fluctuations during a measurement. As part of this work, Keppel-Aleks et al. realized that detector nonlinearity becomes observable in the low-pass-filtered interferogram as a symmetrical reduction in intensity, which we term DIP, near the ZPD (see Fig. 6b in Keppel-Aleks et al., 2007). The magnitude of this DIP is a diagnostic of the severity of detector nonlinearity and is now computed, stored, and reported as part of the routine TCCON processing.

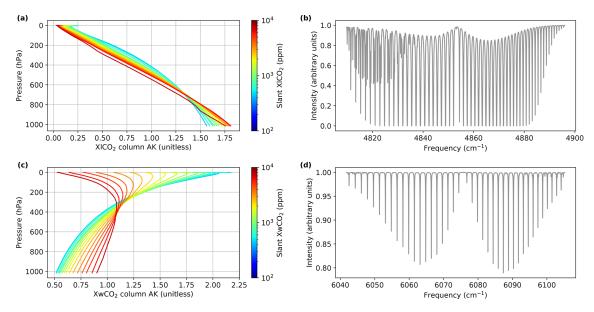


Figure 3. Column averaging kernels (**a**, **c**) and calculated  $CO_2$  absorption lines (**b**, **d**) in the  $ICO_2$  (**a**, **b**) and  $wCO_2$  (**c**, **d**) windows, respectively. The absorption lines in panels (**b**) and (**d**) are for a TCCON spectrum measured at solar zenith angle = 39.684° in July 2004 at Park Falls, WI, USA. In panels (**a**) and (**c**), the different colors indicate AKs for different slant  $X_{gas}$  amounts. "Slant  $X_{gas}$ " is a measure of total absorber column along the light path. See Sect. 3.1 for details.

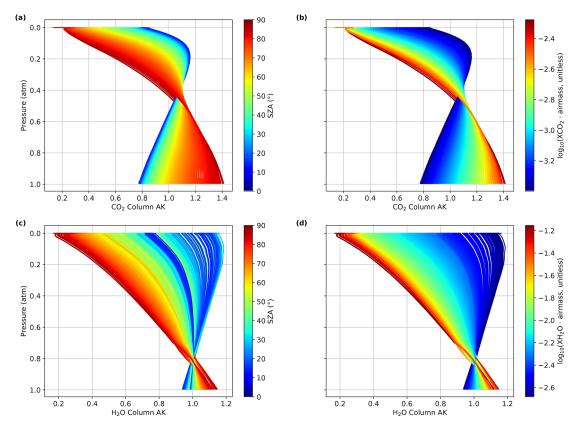


Figure 4. CO<sub>2</sub> and H<sub>2</sub>O AKs from 4d (days) of measurements at the TCCON site in Lamont, OK, USA. (a) CO<sub>2</sub> AKs binned by SZA. (b) CO<sub>2</sub> AKs binned by slant  $X_{CO_2}$ . (c) H<sub>2</sub>O AKs binned by SZA. (d) H<sub>2</sub>O AKs binned by slant  $X_{H_2O}$ .

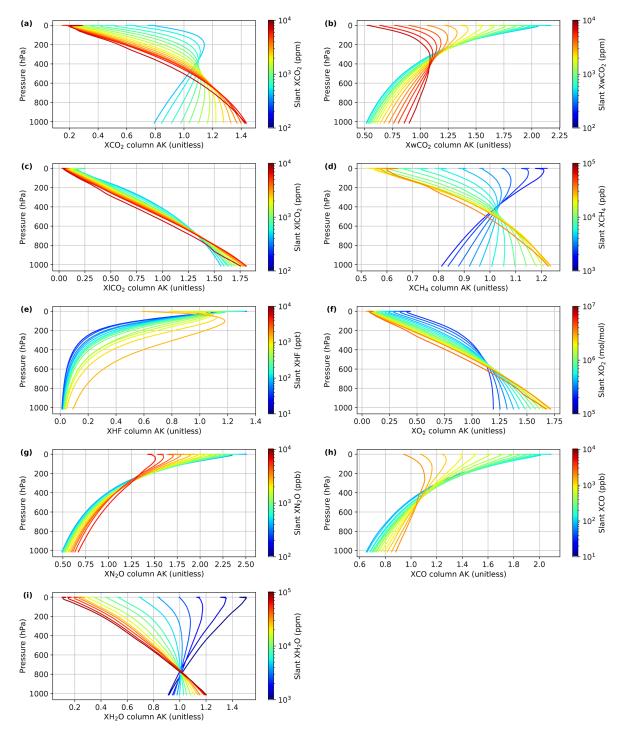
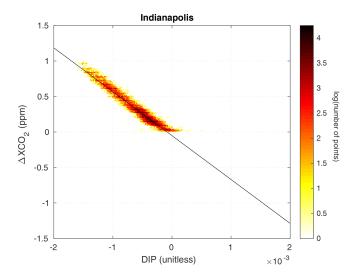


Figure 5. Precomputed column AKs for TCCON  $X_{gas}$  products: (a)  $X_{CO_2}$ , (b)  $X_{wCO_2}$ , (c)  $X_{ICO_2}$ , (d)  $X_{CH_4}$ , (e)  $X_{HF}$ , (f)  $X_{O_2}$ , (g)  $X_{N_2O}$ , (h)  $X_{CO}$ , (i)  $X_{H_2O}$ , and (j)  $X_{HDO}$ .



**Figure 6.** Detector nonlinearity can cause a bias in  $X_{\rm CO_2}$ . This figure shows an example of the difference between the  $X_{\rm CO_2}$  retrieved after correcting the nonlinearity and prior to the nonlinearity correction as a function of the DIP parameter, which is a proxy for nonlinearity. Prior to correction, the Indianapolis data had DIP values that were almost exclusively negative. To limit the  $X_{\rm CO_2}$  bias caused by nonlinearity to less than 0.25 ppm, the absolute value of the DIP must be smaller than  $0.5 \times 10^{-3}$ .

Detector nonlinearity in the Sodankylä TCCON data persisted from early in their record until the problem was found in 2017. The problem in the early data was resolved by applying the nonlinearity correction developed by Hase (2000) directly to the interferogram before transforming it into a spectrum. This correction process and its results are described in detail in Appendices A and B of Sha et al. (2020). In that paper, the authors show that the nonlinearity caused a bias in  $X_{\rm CO_2}$  of about 0.5 ppm in the 2017 Sodankylä data. After 2017, the problem was resolved by optically limiting the light entering the interferometer.

We now use the DIP diagnostic during the quality control step to identify all TCCON spectra affected similarly by nonlinearity. Once such data are identified, the correction process described in the previous paragraph is applied to the afflicted data. We are in the process of incorporating the correction process as a standardized part of the interferogram-to-spectrum processing to make this process easier to complete in the future.

At a few sites, DIP is consistently observed to be positive – that is, the detector appears to have a supralinear response rather than the traditional saturation response seen at, for example, Sodankylä. The procedure described in the last paragraph is not effective in correcting the supralinear behavior as it has a different physical cause than the sublinear behavior. Based on tests performed at the Garmisch TCCON site, our current hypothesis is that this behavior results from overfilling the detector element with the light beam (Corredera et al., 2003), and the magnitude of the effect varies from de-

tector to detector. Another possible cause of supralinearity in detectors can come from absorptive layers on the InGaAs active region itself (Fox, 1993), but we do not yet have evidence that this is occurring in our instruments.

#### 4.2 Phase correction

Sampled interferograms are always asymmetrical, either because the sampling grid does not include the ZPD position or because the underlying continuous interferogram is already asymmetrical even before it is sampled. This asymmetry causes the resulting, post-FFT, complex spectrum to have substantial imaginary terms. A phase correction is necessary to resample the interferogram such that it is sampled symmetrically about the ZPD, resulting in a computed spectrum that has the signals of interest in the real component, and only the noise is divided between both the real and imaginary components.

If we used a power spectrum  $(\sqrt{\Re^2 + \Im^2})$ , avoiding phase correction, it would compute a spectrum that is entirely real but would retain all of the noise in the real and imaginary components of the spectrum. Therefore, the final noise level in a power spectrum would be a factor of  $\sqrt{2}$  greater than in a phase-corrected and Fourier-transformed spectrum. Additionally, in a power spectrum, saturated (zero-intensity) regions would no longer be centered at zero as any noise present is rectified and so made all positive. For these reasons, we compute a phase correction.

We use the phase correction method described by Forman et al. (1966), with a spectral domain convolution as described by Mertz (1965, 1967). The phase correction is performed using a low-resolution double-sided interferogram, apodized with a cos<sup>2</sup> function, to compute the angle between the real and imaginary components of the spectrum. This angle is a smoothly varying function of wavenumber and is called the phase curve. Its counterpart in interferogram space is called the phase correction operator. In regions of the spectrum with sufficient signal, the phase curve is well defined, but where the spectrum is blacked out by water vapor, another strong absorber, or an optical component, it can become undefined. Therefore, to compute the phase correction operator, we need to set a signal threshold so that we can compute a wellbehaved phase curve across the spectral region of interest. We interpolate the phase curve linearly across the blackedout regions of the spectrum where the phase curve is below the signal threshold. The phase curve is interpolated to 0 at both  $0 \, \text{cm}^{-1}$  and the Nyquist frequency (15 798 cm<sup>-1</sup>).

In GGG2014, several TCCON stations showed retrievals of  $X_{\rm gas}$  with systematic differences between spectra generated from interferograms collected while the scanning mirror moves away from zero path difference (forward scans) and while the scanning mirror moves toward zero path difference (reverse scans). These differences are typically less than 0.5 ppm in  $X_{\rm CO_2}$ , but with larger differences observed at the Ny Ålesund, Eureka, Paris, and Zugspitze TCCON stations.

This forward–reverse bias was tracked down to the phase correction operator and, more specifically, the minimum signal level threshold for which the phase operator is calculated.

To address this issue, we lowered the phase curve threshold from 0.02 (2%, in GGG2014) to 0.001 (0.1%, in GGG2020) of the peak spectral signal, which improves the consistency between forward and reverse scans. This eliminates the observed bias in  $X_{\rm CO_2}$  between forward and reverse scans but is not a fully general solution to the underlying problem. In a future version of i2s, we hope to develop a phase correction scheme that is independent of the signal level.

#### 4.3 Improved EM27/SUN support

We now make better use of the entire interferogram collected by the spectrometer in i2s. In typical linear single-passed Fourier transform spectrometers (such as those used by TC-CON), we collect most of our interferometric data between the zero path difference (ZPD) and the maximum optical path difference (MOPD) positions of the scanning mirror. However, in order to perform a phase correction, a small amount of data must be collected on the other side of the ZPD, which we call the "short arm" of the interferometer. The "long arm" is the section from the ZPD to the MOPD.

I2S now has the capability to process interferograms as single sided (using data only from one side of the ZPD, usually the long arm) or double sided (using data from both sides of the ZPD, namely the long and short arms). When processing an interferogram as double sided, the optical path difference (OPD) on either side of the ZPD must be the same. This means that, for standard TCCON processing, I2S will always choose to process the interferogram as single sided because the long arm is much longer ( $\geq 45 \, \mathrm{cm}$ ) than the short arm (typically 0.2 to 5.0 cm). However, for spectrometers such as the EM27/SUNs, where the OPD is more symmetrical about the ZPD, I2S can process the interferogram as double sided, which avoids discarding useful data from the other side of the ZPD.

#### 5 Improved a priori profiles

#### 5.1 Modified retrieval grid

In GGG, the retrieval is done on a fixed-altitude grid. In GGG2014, the altitude grid had a constant spacing of 1 km, with 71 levels between 0–70 km above sea level. In GGG2020, the grid was updated to 51 levels between 0–70 km above sea level, with spacing increasing away from the surface following the expression below:

$$z_i = i \cdot (0.4 + 0.02 \cdot i), \tag{3}$$

where  $z_i$  is the altitude of the *i*th level in kilometers. As the altitude grids are fixed to sea level, this does mean that some

sites have some levels below the terrain; these are not included in the integration.

# 5.2 Meteorological updates

In GGG2014, the a priori  $H_2O$ , pressure, density, and temperature profiles were derived from NCEP 6-hourly reanalyses. In GGG2020, these profiles are now derived from the GEOS 5 FP-IT 3-hourly product in addition to potential temperature, potential vorticity,  $O_3$ , and CO profiles. GGG2020 uses the nearest profile in time, changing every 3 h, to better capture changes throughout the day. The potential vorticity profiles are used to derive equivalent latitude profiles based on the equation in Allen and Nakamura (2003). Equivalent latitude is used in deriving the stratospheric part of the a priori trace gas concentration profiles (Laughner et al., 2023). GGG2020 will transition to the GEOS IT product when it replaces GEOS FP-IT; an analysis to quantify the impact of that change on TCCON  $X_{\rm gas}$  products is planned.

#### 5.3 Trace gas profile updates

GGG2020 includes a substantial redesign of the algorithm that generates the CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HF, CO, and O<sub>3</sub> a priori profiles. Generating these profiles is now handled by GINPUT, a separate program from GSETUP. The GINPUT algorithm is described in detail in Laughner et al. (2023). Briefly, the CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O profiles are tied to the longterm records from the NOAA observatories in Mauna Loa, Hawaii, and American Samoa (Lan et al., 2022b, a, c) in order to ensure that the growth rates of these gases are correctly accounted for. Individual profiles are produced based on the mean transport time between the profile location and the Mauna Loa and American Samoa observatories and (in the stratosphere) chemical loss. HF profiles are derived from CH<sub>4</sub> profiles using the HF-CH<sub>4</sub> relationships previously identified by Washenfelder et al. (2003) and Saad et al. (2014, 2016). CO and O<sub>3</sub> profiles are drawn from the GEOS FP-IT chemical product<sup>2</sup> (Lucchesi, 2015), with adjustments in the stratosphere to better match observations. See Laughner et al. (2023) for details on these adjustments.

One additional change compared to GGG2014 is that the a priori profiles are now given in units of wet, rather than dry, mole fraction. This is necessary as GGG calculates absorber number densities as the prior wet mole fractions times the number density of air, which is assumed to include water. The a priori profiles provided in the published data files are also in units of wet mole fraction. Thus, whenever one compares GGG2020 a priori profiles in the published netCDF files with other sources, care must be taken to ensure that the

<sup>&</sup>lt;sup>2</sup>We have transitioned to the GEOS IT product as of 1 April 2024. That is, TCCON data for dates including and later than 1 April 2024 will use GEOS IT for the a priori inputs, and data for dates before 1 April 2024 will continue to use GEOS FP-IT for now.

comparisons convert both profiles to the same (wet or dry) mole fractions. Note that the column-average  $X_{\rm gas}$  values are always reported in units of dry mole fraction.

# 6 Updated spectroscopy

#### 6.1 Telluric and solar line lists

As described in Toon et al. (2016), the telluric line list (atm. 161, Toon, 2022c) is a "greatest hits" compilation based heavily on HITRAN predecessor lists but not necessarily on the latest HITRAN version for all bands and gases. As new line lists become available, they are evaluated using laboratory and atmospheric spectra and are compared with earlier HITRAN line lists and the current atm.161 line list, which is updated if the new line list represents an improvement in any spectral regions, as determined by (1) improved fitting residuals, (2) better consistency of retrieved gas amounts from different windows and bands, and (3) reduced air mass dependence of the retrieved gas amounts. Additionally, ad hoc empirical corrections are performed for some lines, bands, and gases to fix obvious errors. Since the GGG2014 version of the line list, there have been many improvements to the H<sub>2</sub>O and HDO spectroscopy throughout the main TCCON region  $(4000 \text{ to } 8000 \text{ cm}^{-1})$ . Water vapor is an important interferer in almost all windows, as is CH<sub>4</sub>, which has also undergone substantial ad hoc correction, but not in the 2v<sub>3</sub> band (5800 to 6200 cm<sup>-1</sup>), where CH<sub>4</sub> itself is retrieved.

Table 3 shows how the spectral residuals (i.e., the difference between the observed and simulated spectra for the retrieved state) and VMR scale factors (VSFs, the ratio of the retrieved to the a priori gas column) have progressed between the GGG2014 and GGG2020 line lists. These results are for spectra of gas cells with a known amount of CO2 and so are restricted to CO2. For all the CO2 bands used by GGG2020, the spectral residuals show clear reductions in the GGG2020 line list, combining Voigt and non-Voigt lines (see Sect. 6.2 for details of the non-Voigt line shapes), compared to GGG2014. The mean bias in line strengths, as indicated by the VSF values, was more varied: two windows had less bias (with VSFs closer to 1), but the other two had slightly larger bias. However, such biases are removed by scaling to match in situ data (Sect. 8.3); thus, while removing such biases with improved spectroscopy is desirable, their presence has little impact on the TCCON data.

Improvements to the telluric line lists are communicated to the HITRAN group through spectroscopic evaluations, posted to https://mark4sun.jpl.nasa.gov/presentation. html (last access: 31 January 2024). Such evaluations are also performed on candidate line lists developed by the HITRAN group to provide feedback on the performance of those line lists before they are adopted.

The solar line list (Toon, 2022b) is completely empirical, based on high-resolution solar spectra measured by various instruments from the ground, balloons, and space. In the

4000 to 8000 cm<sup>-1</sup> spectral region covered by TCCON, the line list is based primarily on ground-based Kitt Peak and TCCON spectra, with additional balloon-borne MKIV spectra from 40 km altitude up to 5600 cm<sup>-1</sup>. To deduce which absorption features are solar rather than telluric, we fit out the telluric spectrum as best we can. Remaining dips in the residuals are solar unless they grow with air mass, in which case they are missing tellurics.

The solar line list is not the same format as the HITRAN line list. In addition to the line position, there are parameters representing the line center absorption depth, a Doppler width, and a Lorentz width, each for disk-center and disk-integrated cases; thus, there are seven parameters in total. A simple subroutine computes a solar pseudo-transmittance spectrum from these seven parameters, providing flexibility to model disk-center, disk-integrated, or intermediate cases. Since GGG2014, the improvements in the main TCCON region have been modest, adding new weak lines (< 0.1 % depth).

The solar continuum is handled separately from the line list in GGG. This is discussed in Sect. 7.

# 6.2 Non-Voigt line shapes for O<sub>2</sub>, CO<sub>2</sub>, and CH<sub>4</sub>

Absorption coefficient calculations were improved in GGG2020. In previous versions of GGG, absorption coefficients were calculated using a Voigt spectral line shape. Numerous spectroscopic studies (e.g., Tran et al., 2013; Hartmann et al., 2009; Gordon et al., 2017) have shown that the Voigt line shape is insufficient for use with CO<sub>2</sub> and other molecules; thus, a more sophisticated line shape is required to improve the accuracy of the retrieval. Hence, the quadratic speed-dependent Voigt (qSDV) with line-mixing (LM) code from Tran et al. (2013) was implemented into the forward model of GGG (Toon, 2022a). Tables A2 and A3 in the Appendix list the frequency windows used in GGG2020 and contain columns identifying which windows include speed-dependent and line-mixing line shape information.

It was shown in Mendonca et al. (2016) that using the qSDV with first-order LM and adopting the spectroscopic parameters from Devi et al. (2007b) for the CO2 lines in the CO<sub>2</sub> window centered at 6220 cm<sup>-1</sup> and from Devi et al. (2007a) for the window centered at 6339 cm<sup>-1</sup> resulted in an up-to-40 % improvement in both spectral-fit rms values and a reduction in the air mass dependence of the retrieved XCO<sub>2</sub>. For the CO<sub>2</sub> band lines in the window centered at 4850 cm<sup>-1</sup>, the spectroscopic parameters from Benner et al. (2016) are used with the qSDV and first-order LM to calculate absorption coefficients. This resulted in improving the quality of XCO<sub>2</sub> retrievals (i.e., reducing the spectral-fit rms) from this spectral region. New spectroscopic studies aimed at improving CO<sub>2</sub> absorption coefficient calculations are ongoing. Recent studies like that of Hashemi et al. (2020) that provide spectroscopic parameters for CO<sub>2</sub> can be tested with TCCON spectra to see if the retrievals can be improved.

**Table 3.** Results of test retrievals on known amounts of  $CO_2$  in a cell with three different line lists. "(14)" indicates the GGG2014 line list, "(20, V)" indicates the GGG2020 line lists *without* the non-Voigt lines discussed in Sect. 6.2, and "(20, V+NV)" indicates the full GGG2020 line list (with non-Voigt lines included). The  $X_{wCO_2}$  window does not have non-Voigt  $CO_2$  lines; thus, its (20, V) and (20, V+NV) results are the same. "Gas product" indicates which of the TCCON products is retrieved in each frequency window, and "Freq." gives the span of that window. Note that these windows are used to fit laboratory cell spectra and differ slightly from those used operationally by TCCON (given in Tables A2 and A3). The "rms" columns list the root mean squared difference between observed and simulated spectra normalized by the continuum level. The "VSF" columns list the ratio of the retrieved  $CO_2$  amount to the prior amount. Since these measure known  $CO_2$  amounts in laboratory cells, VSF  $\neq$  1 indicates a systematic bias in the  $CO_2$  line strengths. For both the rms and VSF columns, the best values (closest to 0 for rms and closest to 1 for VSF) are in bold.

Gas product	Freq. (cm <sup>-1</sup> )	rms (14)	rms (20, V)	rms (20, V+NV)	VSF (14)	VSF (20, V)	VSF (20, V+NV)
$X_{\rm lCO_2}$	4830.3 to 4874.1	0.1785	0.1753	0.1496	1.0089	1.0109	1.0170
$X_{\mathrm{wCO}_2}$	6047.5 to 6096.5	0.0937	0.0918	0.0918	1.0179	1.0083	1.0083
$X_{\text{CO}_2}$	6200.0 to 6240.0	0.1279	0.1248	0.1110	1.0194	1.0180	1.0180
$X_{\text{CO}_2}$	6318.3 to 6360.7	0.1363	0.1325	0.1215	1.0203	1.0184	1.0212

TCCON CH<sub>4</sub> is retrieved from three windows that are composed of the P, Q, and R branches of the 2v<sub>3</sub> CH<sub>4</sub> band. To improve the forward model of GGG, the spectroscopic parameters from Devi et al. (2015, 2016) are used to calculate the absorption coefficients with the qSDV with full line mixing. Unlike CO<sub>2</sub> that uses first-order line mixing, requiring that one extra parameter be added to the line list per spectral line, CH<sub>4</sub> requires full line mixing. This requires that spectroscopic parameters from all coupled lines (i.e., a relaxation matrix) be used to calculate the effective spectral line parameters for each spectral line. In previous versions of GGG, absorption coefficients could only be calculated by reading in spectroscopic parameters line by line, making it awkward to take into account the full line mixing. GGG2020 has been updated to read in spectroscopic parameters and the relaxation matrix (supplied in Devi et al., 2015, 2016) at the same time for spectral lines that require full line mixing. More details on how this is done are provided in Mendonca et al. (2017). The improved absorption coefficient calculations for  $CH_4$  lines for the  $2\nu_3$   $CH_4$  band have improved the quality of the spectral fits and the air mass dependence of the retrieved XCH<sub>4</sub>. The addition of full line mixing can be extended to other molecules to improve retrievals.

To improve the retrievals of  $O_2$  columns, which are required to calculate  $X_{\rm gas}$ , spectroscopic parameters for the  $O_2$  singlet delta band were retrieved by fitting cavity ring-down spectra as detailed in Mendonca et al. (2019). The spectroscopic parameters derived from the cavity ring-down spectra were tested on TCCON spectra, where they were shown to slightly improve the quality of the spectral fit, as well as greatly decrease the air mass dependence of the retrieved  $O_2$  column. The study by Mendonca et al. (2019) is the first to show the need for a spectral line shape that takes into account speed dependence. Since then, newer spectroscopic studies such as those of Tran et al. (2020) and Fleurbaey et al. (2021) have shown the need to take into account Dicke narrowing and line mixing in order to fit new cavity ring-down spectra in the  $O_2$  singlet delta band. The spectroscopic parameters

of Mendonca et al. (2019), Tran et al. (2020), and Fleurbaey et al. (2021) were used to fit TCCON  $O_2$  spectra in Tran et al. (2021). The study showed that the newer spectroscopic parameters slightly improved the quality of the spectral fit but that they should also be assessed on the basis of how they impact the air mass dependence of retrieved  $O_2$  columns.

This does mean that the standard 160-character-wide HI-TRAN line list product does not include all of the parameters required for these gases. GGG has always used a customized version of the HITRAN line list. Therefore, this need for additional parameters represents an increase in the complexity of our line list strategy but also a continuation of the same approach to use the best spectroscopic information from various sources rather than a wholly new approach.

# 6.3 Empirical optimization of O<sub>2</sub> line widths

During pre-release testing, we found that a diagnostic quantity we call  $X_{\text{luft}}$  had a noticeable temperature dependence (Fig. 7a).  $X_{\text{luft}}$  is defined as follows:

$$X_{\text{luft}} = \frac{\sum_{k} (1 - x_{\text{H}_2\text{O},k}) \cdot n_{\text{air},k} \cdot \Delta z_{\text{eff},k}}{V_{\text{O}_2} / f_{\text{O}_2}},$$
(4)

where

- $x_{H_2O_k}$  is the water vapor wet mixing ratio for level k;
- n<sub>air,k</sub> is the ideal gas number density of air at level k (calculated from temperature and pressure);
- Δz<sub>eff,k</sub> is an effective path length for level k that accounts for the pressure-weighted contribution of that level and the surface pressure;
- V<sub>O2</sub> is the retrieved O<sub>2</sub> column (with the same integration as the numerator); and
- f<sub>O2</sub> is the mean dry mole fraction of O<sub>2</sub> in air, fixed at 0.2095 for GGG2020 (see Sect. 8.3.2 for a discussion on accounting for the trend in O<sub>2</sub> dry mole fraction).

Conceptually,  $X_{\text{luft}}$  is a ratio of two distinct ways of calculating the column of dry air (one from surface pressure and the a priori  $H_2O$  profile and one from the column of  $O_2$  retrieved in the singlet delta band – or put another way, it is the column-average dry mole fraction of dry air) and thus should not have a temperature dependence. Since dry mole fractions of  $O_2$  in the atmosphere are highly constant over space and time, this implied that either the temperature dependence or the water broadening of the  $O_2$  line widths in the forward model was incorrect as the concentration of water in the atmosphere is generally correlated with temperature.

To disentangle the effect of temperature and water, we first examined data from the Darwin, Australia, TCCON station. Darwin is located in the tropics and so experiences greater water columns and a narrower range of temperatures than other TCCON sites (Fig. 8a, b). We chose approximately 14 months of data from Darwin when the instrument was performing well and processed that year three times, with water broadening set to 1.0, 1.4, and 1.8 times that of the airbroadening half-width.

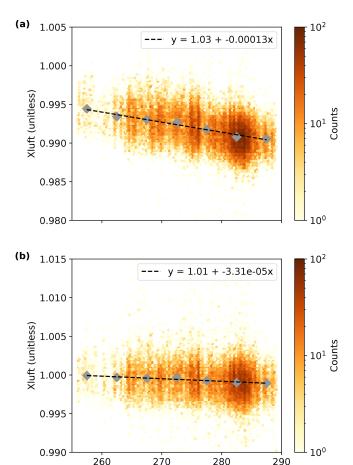
To identify the optimal strength for water broadening, we examined the slope of  $X_{\text{luft}}$  vs. the water column in 10° SZA bins for each of these tests. Binning the data by SZA helps to separate the water dependence from air mass dependence. Figure 8c shows that a water broadening of 1.4 times that of air minimized the dependence of  $X_{\text{luft}}$  on water.

With the water broadening optimized, we turned to the temperature dependence of the  $O_2$  line widths. Reducing the dependence of  $X_{\rm luft}$  on temperature was the primary goal; however, we had to account for the interplay between the temperature and pressure dependence. In particular, our concern was that changing the temperature dependence of the  $O_2$  line widths would introduce or increase an SZA dependence by changing the average line widths.

Our solution was to simultaneously adjust both the temperature and pressure dependence of the  $O_2$  line widths. To find the optimal combination of these coefficients, we minimized a cost function of three quantities. For each quantity, we tested how the results changed using a different collection of TCCON sites:

- the average magnitude of the slope of X<sub>luft</sub> vs. temperature at 700 hPa (T700) across various combinations of 1–3 of the East Trout Lake, Lamont, and Park Falls sites:
- 2. the variance of the  $X_{\text{luft}}$  vs. SZA slopes across the Darwin, East Trout Lake, Lamont, and Park Falls sites;
- 3. the variance of the magnitude of  $X_{\text{luft}}$  across the same sites as in no. 2.

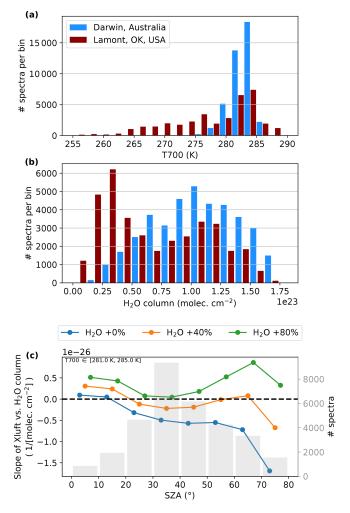
Our rationale was that the temperature dependence of  $X_{\text{luft}}$  was the most important error to eliminate; thus, minimizing its magnitude took priority. T700 is taken from the a priori meteorology data and was chosen based on the assumption



**Figure 7.** Correlation between  $X_{\text{luft}}$  and temperature at 700 hPa (a) before and (b) after optimizing the  $O_2$  line broadening in terms of its water, pressure, and temperature dependencies. Note that (a) is *not* from the previous TCCON data version (GGG2014); it is from a preliminary beta test of GGG2020. In both panels, the colored background is a 2D histogram, the gray diamonds mark the mean  $X_{\text{luft}}$  in 5 K bins, and the black line is a linear fit to the gray diamonds. The data shown here are from the Lamont TCCON site, between 2 September 2017 to 30 September 2018. Note that the *y*-axis limits shift between the panels; this is because the mean magnitude of  $X_{\text{luft}}$  changed with the increase in  $O_2$  line intensities (see text) between the tests plotted in the two panels. The slope is visually comparable between the panels since the span of  $X_{\text{luft}}$  is the same (0.025) in both panels.

T700 (K)

that this is a reasonable metric for temperature variations in the free troposphere ( $\sim$  800 to 200 hPa), containing the majority ( $\sim$  60%) of the O<sub>2</sub> column. We then minimized the variance in slopes of  $X_{\rm luft}$  vs. SZA across different TCCON sites because GGG already has a well-tested program to remove spurious SZA dependencies in the output  $X_{\rm gas}$  products so long as those dependencies are the same across sites. While minimizing the magnitude of the SZA dependence itself would have been preferable, we were not certain there would be enough flexibility in the  $X_{\rm luft}$ –O<sub>2</sub> spectroscopy re-



**Figure 8.** (a) Histogram of temperatures at 700 hPa at the Darwin (located at  $12.5^{\circ}$  S) and Lamont (located at  $36.6^{\circ}$  N) TCCON sites. (b) Histogram of water column amounts at the same sites. (c) Slopes of  $X_{\text{luft}}$  vs. water column in  $10^{\circ}$  SZA bins at Darwin, with water broadening of  $O_2$  set to be equal to, 40% greater, and 80% greater than air. The gray bars give the number of spectra in each bin. The Lamont data in (a) and (b) are from the period 2 September 2017 to 30 September 2018, and the Darwin data in all bins are from 21 July 2015 to 30 September 2016.

lationship to simultaneously minimize the temperature and SZA dependencies. Similarly, we minimized the variance in  $X_{\text{luft}}$  itself because the average magnitude of  $X_{\text{luft}}$  depends on the strengths of the  $O_2$  lines rather than the pressure and temperature effects on line width that were adjusted in this initial experiment. Therefore, while we ideally want  $X_{\text{luft}} = 1$ , this first step did not involve optimizing the spectroscopic parameters that can achieve that. We do adjust the  $O_2$  line strengths separately, as noted at the end of this section.

To carry out this optimization, we ran approximately 1 year of data from four TCCON sites (Darwin, Australia; East Trout Lake, Canada; Lamont, OK, USA; Park Falls, WI,

USA) multiple times. In each test, we scaled the temperature dependence, pressure dependence, or both of all lines in the  $\rm O_2$  band, covering a reasonable range of estimates from the literature. We could then interpolate between these test runs to estimate the three cost function quantities for any pressure- or temperature-broadening coefficients, and from that, we could find the combination of coefficients that minimized the overall cost function. Note that we did not use Darwin data to calculate the  $X_{\rm luft}$  versus T700 slopes for the cost function as the small range of temperatures that Darwin experiences (Fig. 8a) makes it difficult to get reliable fits versus temperature.

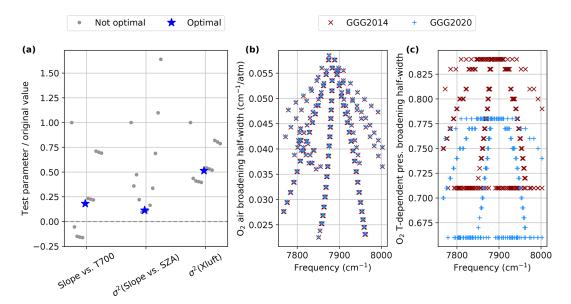
The results of the optimization are shown in Fig. 9. Figure 9a shows how the three criterion described above (slope of  $X_{\text{luft}}$  vs. T700, variance in slope of  $X_{\text{luft}}$  vs. SZA, variance in  $X_{\text{luft}}$ ) varied across the tests performed with different pressure- and temperature-broadening coefficients. The values are normalized to their respective pre-optimization values. We found that the best combination of coefficients reduced the slope of  $X_{\text{luft}}$  vs. T700 by 82%, the variance in  $X_{\text{luft}}$  vs. SZA slopes across TCCON sites by 89 %, and the variance in  $X_{\text{luft}}$  itself by 49%. The optimized airbroadening half-widths and temperature dependence coefficients for GGG2020 are shown in panels (b) and (c) of Fig. 9, respectively, with GGG2014 values for comparison. The air-broadening half-widths were increased by 0.25 %, and the temperature dependence coefficients were decreased by 6.77 %. The effect on the  $X_{\text{luft}}$  vs. T700 relationship is shown in Fig. 7b, where, although not reduced to zero, the slope is reduced by a factor of 4 compared to its preoptimization value.

Finally, the  $O_2$  line intensities were increased by  $\sim 1\%$  to bring  $X_{\text{luft}}$  closer to 1. This effect is apparent in Fig. 7, where the pre-optimization values are between 0.990 and 0.995, but the post-optimization  $X_{\text{luft}}$  in panel (b) is near 1. Across the TCCON network, we determined that the median  $X_{\text{luft}}$  is 0.999; therefore, we use that as the benchmark for ideal  $X_{\text{luft}}$ .

#### 7 Continuum fitting

TCCON spectra are a combination of narrow features due to solar and telluric absorptions superimposed on the much broader spectral responses of the instrument<sup>3</sup> and the solar Planck function (the continuum). To accurately fit the telluric features of interest, all other components of the spectrum must be accurately modeled simultaneously. Since TCCON spectra are not radiometrically calibrated, the continuum can vary from instrument to instrument or even from day to day

<sup>&</sup>lt;sup>3</sup>Here, by "spectral responses of the instrument", we mean an instrument-specific response which can be characterized as a frequency-dependent vector that multiplies the incoming solar spectra. This is distinct from the ILS, which is instead best considered to be an instrument-specific vector that convolves the incoming solar spectra.



**Figure 9.** Result of the O<sub>2</sub> spectroscopy optimization. (a) The values of each criterion for each test using different values of pressure- and temperature-broadening coefficients. The values are normalized to their values in the baseline test (before optimizing the O<sub>2</sub> spectroscopy). The points within each parameter are spread horizontally for clarity. (b) The air-broadening half widths used in GGG2020 (after optimization) compared with GGG2014. The mean GGG2020 / GGG2014 ratio is 1.0025; thus, the points are barely different on this scale. (c) As in (b) but for the temperature-broadening coefficient. The mean GGG2020 / GGG2014 ratio is 0.9323.

(if optical components are inserted or replaced); therefore, a general approach was needed to model the continuum. Prior to GGG2014, the continuum was fitted with only two terms (mean and slope) over the  $< 100 \,\mathrm{cm}^{-1}$  wide windows used to retrieve atmospheric gases. To make use of wider spectral windows, it became necessary to include additional higherorder terms in the model of the continuum to account for optical components within the instrument (e.g., detectors, optical filters, beam splitters) that induce curvature in the spectral response (e.g., Kiel et al., 2016b). In GGG2014, we implemented the ability to fit higher-order polynomials to the continuum level using discrete Legendre polynomials, although this capability was not uniformly used in the GGG2014 TC-CON data processing (Wunch et al., 2015). We use Legendre polynomials because they are orthogonal, whereas standard polynomials are not. Higher-order Legendre polynomials are now used widely in the GGG2020 spectral windows to better account for continuum shape changes between instruments and over time. The continuum curvature fitting option is not intended to fit out spectroscopic deficiencies; they will be air mass dependent and so should be fixed separately. The default polynomial order in GGG2020 for each window has been chosen to capture the continuum shapes of all sites in GGG2020 and to reduce the spectral residuals without overfitting the spectrum. The default order for each window is listed in Tables A2 and A3.

#### 7.1 Channel fringe fitting

Parallel optical surfaces delay a small fraction of the transmitted beam, which subsequently interferes with the main, un-delayed beam, resulting in a small periodic modulation of the spectral signal. This modulation has an amplitude of  $R^2$ , where R is the reflectivity of each surface, and a period of  $(2 \cdot n \cdot d \cdot \cos \theta)^{-1}$  cm<sup>-1</sup>, where n is the refractive index of the optic, d is its thickness (in cm), and  $\theta$  is the angle to the normal.

For decades, GFIT has had the capability to fit a channel fringe to determine its amplitude (as a fraction of the continuum), its period, and its phase and then remove it from the measured spectrum during the spectral fitting. This capability was not used by TCCON until GGG2020, when spectral fits from some sites were noticed to exhibit the tell-tale periodicities in the residuals. Left untreated, channel fringes can seriously bias the retrieved gas amounts by an amount that can vary from instrument to instrument and even over time for a single instrument, e.g., if its temperature changes.

An important code change for GGG2020 was to prevent channel fringes from being mistaken for higher-order continuum terms. This was much less of a problem for GGG2014 when we only ever fitted a straight line to represent the continuum. But now, if a particular wavelike feature in the continuum could be fitted by a higher-order polynomial or by a channel fringe, this tends to slow down convergence as the continuum fitting and channel fringe fitting vie with each other. To prevent this, a lower limit was imposed on the channel fringe period that was fittable in a given window,

such that it was always narrower than the periodicities in the continuum-fitting polynomial. Hence, if we are fitting an N-term polynomial to the spectrum (called the number of continuum basis functions or  $N_{\rm CBF}$ ) in a window of width w (in cm<sup>-1</sup>), then the period of the fitted channel fringes must be less than  $w/(N_{\rm CBF}-1)$ .

Diagnostics to detect channel fringes are reviewed as part of the quality control process before TCCON data are made public. Any channel fringes detected will be removed by adjusting the fitting before the data are released to the public archive, though this is extremely uncommon.

# 8 Post-retrieval data processing

GGG incorporates several post-retrieval steps to (1) collate and average data (Sect. 8.2) from the individual retrieval windows into the final  $X_{\rm gas}$  products and (2) correct post hoc for known errors in the forward model. There are two corrections. The first is an air-mass-dependent correction (Sect. 8.1), which aims to eliminate spurious dependence of  $X_{\rm gas}$  quantities on SZA. The second is an in situ-based or air-mass-independent correction (Sect. 8.3), which aims to eliminate the mean bias in  $X_{\rm gas}$  values arising from incorrect spectroscopic line strengths. These corrections are calculated from data that include all improvements discussed in the preceding sections.

In the following sections, the post-processing steps are presented in the order in which they are applied in GGG2020.

#### 8.1 Updated air mass dependence correction

In the limit of no variation in trace gas dry air mole fraction,  $X_{\rm gas}$  quantities are independent of atmospheric path length as the change in column density due to path length is multiplicative and so will cancel out between the target gas in the numerator and  $O_2$  in the denominator. However, a spurious dependence of  $X_{\rm gas}$  on air mass can arise from errors in the spectroscopic forward model.

# 8.1.1 Changes to air mass correction approach

GGG2020, like GGG2014, applies a post hoc correction to the  $X_{\rm gas}$  values to remove air mass dependences. This correction is applied to each  $X_{\rm gas}$  value. It has a similar form to that in Appendix A of Wunch et al. (2011):

$$f_c = \left(\frac{\text{SZA} + g}{90 + g}\right)^p - \left(\frac{45 + g}{90 + g}\right)^p.$$
 (5)

We use this to correct the  $X_{\rm gas}$  value as follows:

$$X_{\text{gas,corr}} = \frac{X_{\text{gas,raw}}}{1 + \text{ADCF} \cdot f_c}.$$
 (6)

In Eq. (6), ADCF (standing for air-mass-dependent correction factor) is a coefficient for each gas (in GGG2014)

or each window (in GGG2020). In Eq. (5), SZA is the solar zenith angle in degrees, and g and p are coefficients chosen to best represent the SZA-dependent behavior. This form was chosen to normalize to a 90° window centered at  $(45 + g)^\circ$ . While the basic approach is the same in GGG2020 as it was in GGG2014, we made four changes to the implementation:

- In GGG2014, column densities from different spectral windows used to retrieve a target gas were averaged first, and then a single air mass correction was applied to each gas. In GGG2020, each spectral window is air mass corrected first, and then the resulting X<sub>gas</sub> values are averaged.
- 2. In GGG2014, g = 13 and p = 3 for all gases. In GGG2020, different values of g and p were selected for each window.
- 3. In GGG2014, only data from 3 TCCON sites (Park Falls, Lamont, and Darwin) were used to compute the ADCFs. For GGG2020, we use 18 sites' data.
- 4. In GGG2014, we did not examine the ADCF for temperature dependence. We do in GGG2020 and attempt to account for that in how we select the final ADCF values.

The rationale for the first change is clear from Fig. 10. The standard TCCON  $CO_2$  and  $CH_4$  products are derived from two and three spectral windows, respectively. Although the overall SZA dependence has a similar shape for all windows of a given gas, there are clear differences in low- and high-SZA behavior. Thus, we decided to apply an SZA-dependent correction to individual windows rather than the average  $X_{\rm gas}$  value. The right panels of Fig. 10 show that applying the air mass correction significantly reduces the SZA dependence of the data.

The rationale for the second change is that we do not know a priori the best form to represent the air mass dependence in any given window. For GGG2020, we used data from the Darwin TCCON site for all of 2015 to choose the values of *g* and *p* for each window. We used Darwin because, as a tropical site, it sees a wide range of SZAs (useful for examining SZA dependence) and water columns (useful to check for water effects on the derived air mass dependence). We used 2015 data because the instrument at Darwin was well aligned during that year.

To understand how g and p were determined, we must first explain how the ADCF in Eq. (6) is calculated for a given g and p. The ADCF is calculated by fitting the following function to each day's data:

$$f(t, SZA|c_{mean}, c_{asym}, c_{ADCF}) = c_{mean} + c_{asym} \cdot \sin(2\pi(t - t_{noon})) + c_{ADCF} f_c,$$
 (7)

where t and  $t_{\text{noon}}$  are the measurement time and solar noon time (in day of year);  $f_c$  is the polynomial defined in Eq. (5);

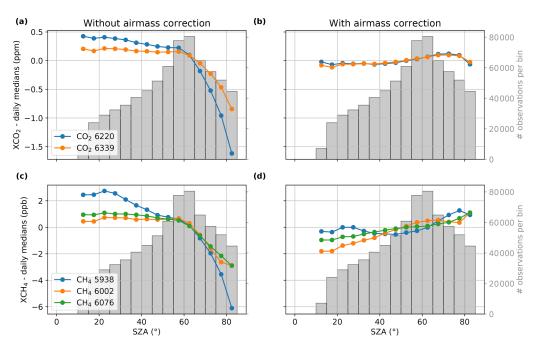


Figure 10. Variation of ( $\mathbf{a}$ ,  $\mathbf{b}$ ) the two CO<sub>2</sub> and ( $\mathbf{c}$ ,  $\mathbf{d}$ ) three CH<sub>4</sub> windows used by TCCON with SZA. Panels ( $\mathbf{a}$ ) and ( $\mathbf{c}$ ) are without the air mass correction applied, and panels ( $\mathbf{b}$ ) and ( $\mathbf{d}$ ) are with the correction applied. In all panels, the y axis is the column-average dry mole fraction of CO<sub>2</sub> or CH<sub>4</sub> derived from a single spectral window, with the central wavenumber given in the legend. The y values have the daily median values subtracted (to remove day-to-day variability), and each point represents the median of all such values in a 5° SZA bin. The gray bars give the number of observations in each 5° SZA bin (this is the same in all panels).

and  $c_{\rm mean}$ ,  $c_{\rm asym}$ , and  $c_{\rm ADCF}$  are the fitted coefficients. This equation assumes that symmetrical variations in  $X_{\rm gas}$  values around noon (fit by  $f_c$ ) are due to spectroscopic errors, and real variations throughout the day are antisymmetrical and will be fit by the  $c_{\rm asym}$  term. The coefficients and their errors are calculated with a weighted least squares fit using the individual windows'  $X_{\rm gas}$  uncertainties (calculated from the spectral residuals of the target gas and  $O_2$ ) as the weights. The ADCF for a given window is the error-weighted mean of all days'  $c_{\rm ADCF}$  values.

# 8.1.2 Determination of ADCF coefficients

To find the optimal g and p values, we derived ADCFs for five subsets of the 2015 Darwin data (data with SZA > 20, 30, 40, 50, and 60°, all with H<sub>2</sub>O column < 1.1 ×  $10^{23}$  molec. cm<sup>-2</sup>) for values of g between -45 and +45 and values of p between 1 and 6. We then find the combination of g and p that gives the smallest standard deviation in terms of the ADCF across all five subsets and choose that as the optimal combination. This approach assumes that the values of g and p (and thus the form of  $f_c$ ) which best capture the air mass dependence of a particular window will have the smallest change in ADCF as smaller subsets of data are fit.

This procedure is illustrated for the two TCCON CO<sub>2</sub> windows in Fig. 11. In the top panels, the gray lines show the variation in ADCF with the minimum SZA in the subset of

data fitted to; each line represents one combination of g and p. It is clear that the variation in ADCF is much greater for some combinations of g and p than others. The contour plots in Fig. 11 show the standard deviation of ADCF for each g and p combination. In both windows, there is a clear minimum valley. The white stars in the contour plots and thicker black lines in the upper panels show the g and p combination with the smallest standard deviation.

The final step in selecting ADCFs for GGG2020 was to account for potentially spurious temperature dependence in the  $X_{\rm gas}$  values. As we saw with  $O_2$  in Sect. 6.3, incorrect temperature dependence in the line widths introduces a temperature dependence in retrieved  $X_{gas}$ , which could alias into the air mass dependence. While we acknowledge that such temperature dependence of the ADCFs could be due to a real change in the atmosphere, we believe this to be unlikely for two reasons. First, the ADCF is constructed to account only for variations in  $X_{gas}$  that are symmetric around solar noon, and generally changes in atmospheric composition are not perfectly symmetric around solar noon. Second, as we show in Fig. 13, different windows for the same gas have different relationships between the ADCF and temperature. A real change in atmospheric composition would be more likely to show up in all windows for a given gas.

To check this, we derived ADCFs from the data of 18 TCCON sites using 2-month-long subsets of data to sample different temperatures. Figure 13 shows how the CH<sub>4</sub>

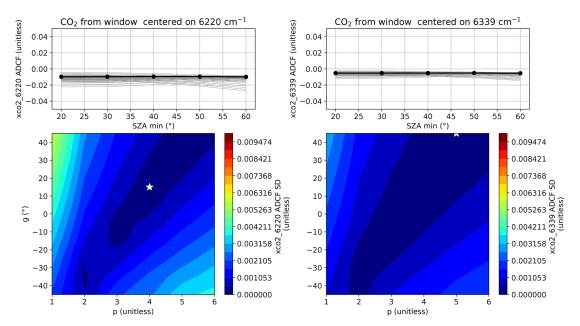


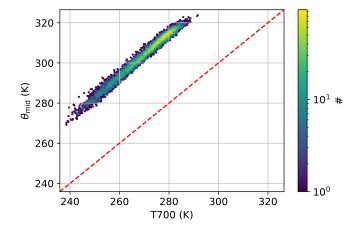
Figure 11. Example of how g and p in Eq. (5) were chosen for the two TCCON CO<sub>2</sub> windows. The left two panels are for the CO<sub>2</sub> window centered at  $6220 \,\mathrm{cm}^{-1}$ , and the right two panels are for the window at  $6339 \,\mathrm{cm}^{-1}$ . The line plots at the top show how the value of the ADCF changes as we increase the lower limit in SZA for the data fitted to. Each gray line represents one combination of g and p, with the black line representing the combination with the smallest standard deviation in the ADCF. The contour plots show the standard deviation of the ADCF across different minimum SZAs for each combination of g and p. The white star represents the combination with the smallest standard deviation; it corresponds to the test show with the black line in the line plots.

ADCFs vary with potential temperature averaged between 500 and 700 hPa ( $\theta_{mid}$ ) as an example. Figure 12 shows how  $\theta_{mid}$  and T700 relate to assist in comparisons with Fig. 7. Here, we see that the 6002 and 6076 cm<sup>-1</sup> windows' ADCFs have no or little temperature dependence (Fig. 13b, c), but the 5938 cm<sup>-1</sup> window has a clear temperature dependence. For each window, we use the value of the fit to this data at  $\theta_{mid} = 310 \, \text{K}$  as the final ADCF value; 310 K was chosen as it is approximately the midpoint temperature for the TCCON network, as can be seen in Fig. 13.

The magnitude of this temperature dependence varies from gas to gas: the primary TCCON  $CO_2$  windows have almost no slope, while the  $N_2O$  windows have slopes of ADCF vs.  $\theta_{mid}$  that are similar to or larger than the  $CH_4$  5938 window. We plan to investigate these temperature dependence behaviors more thoroughly in the next major GGG version and to identify spectroscopic improvements that will reduce or eliminate this behavior using a similar approach to that described for  $O_2$  in Sect. 6.3.

# 8.1.3 Fitting windows excluded in GGG2020

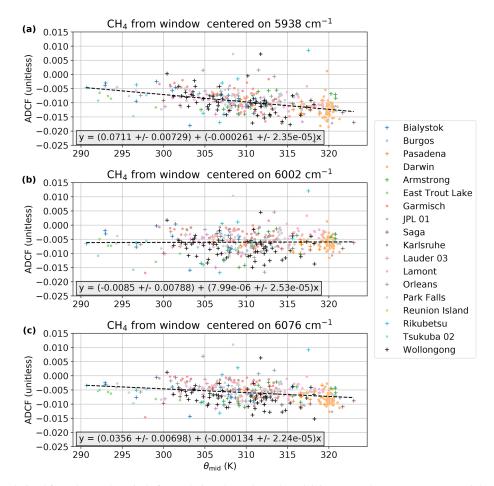
Based on the ADCF analysis, several spectral windows were excluded from the TCCON GGG2020 product. Figure 14 shows the ADCF versus  $\theta_{\rm mid}$  plots for two CO windows and two weak CO<sub>2</sub> windows. The CO window centered at 4233 cm<sup>-1</sup> (Fig. 14a) has a slightly stronger temperature dependence and a clearly larger scatter than the 4290 cm<sup>-1</sup> CO



**Figure 12.** A heat map of the relationship between  $\theta_{\text{mid}}$  and T700, taken from the Park Falls TCCON data. The dashed red line denotes the 1:1 line.

window (Fig. 14b). We suspect this is due to water interference; the  $4233 \,\mathrm{cm}^{-1}$  CO window has more water lines in it than the  $4290 \,\mathrm{cm}^{-1}$  window. We examined the spectral residuals in both CO windows to try to identify and correct the water interference but were not able to reduce it to satisfactory levels. Thus, in GGG2020, the  $X_{\rm CO}$  product relies on only the  $4290 \,\mathrm{cm}^{-1}$  window.

Similarly, the new  $X_{\text{wCO}_2}$  product was planned to use two windows, one centered at  $6073 \, \text{cm}^{-1}$  and another at



**Figure 13.** ADCFs derived from 2-month periods from 18 sites throughout the TCCON network versus mean potential temperature between 500 and 700 hPa over the same 2-month period. Each panel is one of the TCCON CH<sub>4</sub> windows. The text inset in each panel gives the intercept and slope of the robust fit through the data shown by the dashed black line.

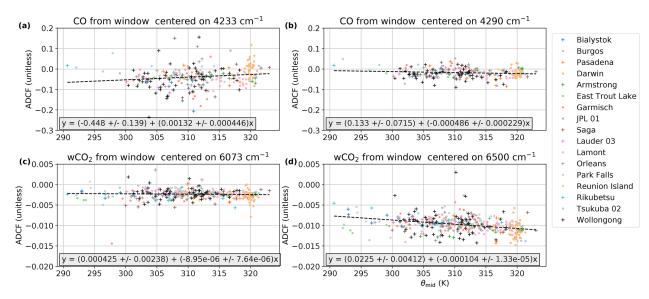


Figure 14. Similar to Fig. 13, except for two CO windows (a, b) and two weak CO<sub>2</sub> windows (c, d).

 $6500\,\mathrm{cm^{-1}}$ . However, as shown in Fig. 14c and d, the  $6500\,\mathrm{cm^{-1}}$  window's ADCF has more scatter and a stronger temperature dependence than those of the  $6073\,\mathrm{cm^{-1}}$  window. As the  $6500\,\mathrm{cm^{-1}}$  also has more water interference than the  $6073\,\mathrm{cm^{-1}}$  window, we elected to use only the  $6073\,\mathrm{cm^{-1}}$  window.

Lastly, we also removed a number of HCl windows. TC-CON instruments use HCl lines to assess instrument alignment with an HCl cell that can be illuminated by the solar beam or an internal lamp. TCCON used 16 windows to measure HCl in GGG2014, but like the CO and wCO2 windows, many of these have water absorption lines in them. We can diagnose unaccounted-for water interference by computing the ADCFs for each HCl window from Darwin 2015 data, split by the amount of water in the column. The result is shown in Fig. 15. Most of the GGG2014 windows have a clear difference in ADCF, with small or large water column amounts. Based on this, we chose to only retain the 5625, 5687, 5702, 5735, and 5739 cm<sup>-1</sup> windows. Most of the windows removed clearly have a water interference. The 5754 and 5763 cm<sup>-1</sup> windows are special cases. The 5754 cm<sup>-1</sup> window was rejected because its air mass dependence is slightly more negative than the retained windows. The 5763 cm<sup>-1</sup> window was rejected because it exhibits a clear temperature dependence in the window-to-window scale factors (Sect. 8.2).

# 8.2 Updated window-to-window averaging

Many gases retrieved by GGG are retrieved in more than one spectral window. GGG retrieves the column amount in each window separately then averages together the columns with similar averaging kernels to produce a mean value. Specifically,

$$\overline{y}_{i} = \frac{\sum_{j} s_{j} y_{ij} / \epsilon_{ij}^{2}}{\sum_{j} s_{j}^{2} / \epsilon_{ij}^{2}},$$
(8)

where subscript j represents the spectral window. That is, the average value for the ith measurement  $(\overline{y}_i)$  is an error-weighted average of the individual windows' column amounts  $(y_{ij})$ , with errors  $\epsilon_{ij}$ , with a mean bias in each window removed by the per-window scale factor  $s_j$ . The errors  $\epsilon_{ij}$  are the posterior errors in the  $X_{\rm gas}$  amounts as calculated from the spectral residuals.

In GGG2014, the  $s_j$  values were determined online using an iterative process that minimizes the differences between  $y_{ij}$  and the corresponding  $s_j \overline{y}_i$  values. While this calculates  $s_j$  values that best fit the data being averaged, it means that how the windows are combined depends on how many data are averaged at once – processing a month could give different results than processing a year of data, for example. Thus, while GGG2020 retains the capability to compute the  $s_j$  values on the fly, the  $s_j$  values are prescribed for standard TCCON processing, and all sites use the same  $s_j$  values.

To determine the standard TCCON  $s_j$  values, we used a very similar approach to how we derived the ADCFs in Sect. 8.1. Specifically, we calculated the  $s_j$  values for 2-month subsets of data from the same 18 TCCON sites as in Sect. 8.1 and fit these values versus  $\theta_{\rm mid}$ . As with the ADCFs, we used the values of the fit at  $\theta_{\rm mid} = 310$  K as the final choices of  $s_j$ .

#### 8.3 Updated in situ bias correction

As in GGG2014, the GGG2020  $X_{CO_2}$ ,  $X_{CH_4}$ ,  $X_{N_2O}$ , and  $X_{\rm H_2O}$  products are tied to standard scales by in situ aircraft, balloon, and/or radiosonde measurements to remove any mean multiplicative bias introduced by error in absorption line intensity. As the absorption of a gas is the product of its column density and spectroscopic cross-section, a bias in the mean line intensity (and therefore the cross-section) will by definition lead to a multiplicative bias in the simulated absorption and thus the retrieved column density. Unlike GGG2014, X<sub>CO</sub> in GGG2020 is not tied to in situ measurements due to previous work that found that the difference between TCCON  $X_{CO}$  and both NDACC (Kiel et al., 2016a) and MOPITT (Hedelius et al., 2019) X<sub>CO</sub> was approximately the magnitude of the in situ correction. Those analyses suggest that the GGG2014 7 % CO scaling was likely to be spurious. However, we do evaluate  $X_{CO}$  against a subset of in situ data from AirCore below.

Comparison of TCCON data against in situ data follows the following steps:

- 1. Identify in situ vertical profiles in available data and convert to a standardized file format.
- 2. Extend the profiles' tops to 70 km altitude using the standard GGG2020 priors (shown in Laughner et al. (2023) to have good agreement with in situ profiles in the stratosphere) and to the surface by extrapolation or use of surface data.
- 3. Match profiles to available TCCON spectra.
- 4. Run custom retrievals using the matched profiles as the a priori trace gas profile.
- 5. Compare integrated in situ  $X_{\rm gas}$  values against matched TCCON data, accounting for TCCON vertical sensitivity.

Points 1–4 are described in detail in Appendix C. Briefly, we use profiles from

 the GLOBALVIEWplus 5.0 CO<sub>2</sub> (Cooperative Global Atmospheric Data Integration Project, 2019) and GLOBALVIEWplus 2.0 CH<sub>4</sub> ObsPack (Cooperative Global Atmospheric Data Integration Project, 2020) products;

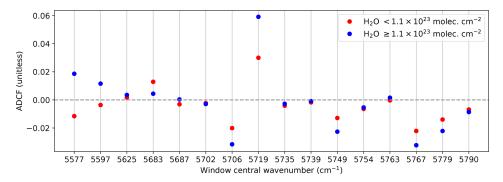


Figure 15. ADCF calculated for each HCl window from 2015 Darwin data for two data subsets with different amounts of water in the atmosphere.

- AirCore balloon measurements (Tans, 2009; Karion et al., 2010) flown by NOAA (v20201223, Baier et al., 2021) at multiple TCCON sites and by FMI/LSCE/RUG at the Sodankylä, Finland (Kivi and Heikkinen, 2016), and Nicosia, Cyprus, TCCON sites;
- the Infrastructure for Measurement of the European Carbon Cycle (IMECC) campaign;
- Profiles over the Manaus, Brazil, TCCON site (Dubey et al., 2016);
- ARM radiosondes over the Darwin, Australia (Deutscher et al., 2010), and Lamont, OK, USA, TCCON sites.

CH<sub>4</sub> profiles have an additional correction to the stratospheric levels obtained from the GGG2020 priors; see Sect. C3 for details. We have addressed the recent change in CO<sub>2</sub> data from the X2007 to X2019 WMO scales, which will be covered in Sect. 8.3.2. Due to the relative sparsity of N<sub>2</sub>O profiles, GGG2020 TCCON N<sub>2</sub>O products were evaluated against surface N<sub>2</sub>O data and using a different approach, which will be covered in Sect. 8.3.3. The number of usable profiles for each gas is given in Table 4.

The use of ObsPack data represents a slight methodological change compared to GGG2014. Most of the in situ aircraft profiles used for the GGG2014 in situ correction are included in the ObsPack, and switching to the ObsPack instead of individual campaigns' data files will allow us to use the same tools to ingest future new profiles added to the ObsPack. This also allows us to benefit from the data curation and quality control efforts of the ObsPack team. With the larger number of profiles now available (especially for CO<sub>2</sub>), we are able to test for correlations with potential sources or metrics of bias. However, the primary purpose of the in situ comparison remains to tie TCCON (and, through TCCON, satellite) GHG data to the same metrological scales as in situ GHG data.

# 8.3.1 CO<sub>2</sub>, CH<sub>4</sub>, CO, and H<sub>2</sub>O in situ comparisons

The first step in comparing TCCON  $X_{\rm CO_2}$ ,  $X_{\rm CH_4}$ ,  $X_{\rm CO}$ , or  $X_{\rm H_2O}$  to their respective in situ profiles is to match each in situ profile to temporally proximate, good-quality TCCON retrievals. For this step, we define custom quality filters. A TCCON retrieval is considered to be good quality in this context if it fulfills the following criteria:

- Fractional variation in solar intensity (FVSI) is ≤ 0.05.
   This is the standard deviation of solar intensity divided by the average solar intensity during the ~80 s long scan, and it filters out observations impacted by intermittent clouds.
- Solar zenith angle (SZA) is ≤ 80°. This avoids observations at large air masses, where spectroscopic errors can be more pronounced.
- The unscaled  $X_{gas}$  value is  $> 0 \text{ mol mol}^{-1}$ . A negative retrieved value is unphysical, and the distribution of retrieved values should not be large enough to make negative values a reasonable part of it.
- The  $X_{\rm gas}$  error is  $< 2\epsilon_{\rm median}$ , where  $\epsilon_{\rm median}$  is the median error for that  $X_{\rm gas}$  across all the spectra used for the given gas. This restricts us to observations where the observed spectra were fit reasonably well.
- The median  $X_{\text{luft}}$  (see Eq. 4) for a comparison is between 0.996 and 1.002.  $X_{\text{luft}}$  and this rational are explained near the end of this subsection.

For each in situ profile, we require at least 30 TCCON observations (each  $\sim 80 \, \mathrm{s}$ ) to pass these quality checks within a certain window of time around the corresponding profile's lowest altitude measurement. Our initial window is  $\pm 1 \, \mathrm{h}$ . If 30 points meeting these criteria are not present within  $\pm 1 \, \mathrm{h}$ , we increase both the time window and the allowed  $X_{\mathrm{gas}}$  error, trying the combinations ( $\pm 1 \, \mathrm{h}$ ,  $< 2\epsilon_{\mathrm{median}}$ ), ( $\pm 2 \, \mathrm{h}$ ,  $< 3\epsilon_{\mathrm{median}}$ ), and ( $\pm 3 \, \mathrm{h}$ ,  $< 4\epsilon_{\mathrm{median}}$ ). We use the smallest of these time and error windows that yield 30 passing TCCON

observations, but if a profile does not have 30 passing TC-CON observations in the  $(\pm 3 \, \text{h}, < 4 \epsilon_{\text{median}})$  range, it is removed from the comparison.

The remaining in situ profiles are integrated following Wunch et al. (2010), where the integrated in situ  $X_{gas}$  value is calculated as follows:

$$X_{\text{gas,insitu}} = I(\gamma x_a, p, x_{\text{H}_2\text{O}}) + I(\delta x, p, x_{\text{H}_2\text{O}}), \tag{9}$$

where

- p is the vector of pressure at each profile level;
- x<sub>H<sub>2</sub>O</sub> is the vector of water dry mole fractions at each profile level;
- $\gamma x_a$  is the TCCON posterior profile (i.e., the prior times the retrieved VMR scale factor  $\gamma$ );
- $\delta x$  is the difference between the in situ  $(x_{\text{insitu},i})$  and TC-CON posterior  $(x_{a,i})$  profiles, modified by the TCCON averaging kernel  $(a_i)$   $(\delta x_i = a_i(x_{\text{insitu},i} \gamma x_{a,i}))$ .

*I* represents the pressure-weighted integration function:

$$I(\boldsymbol{x}, \boldsymbol{p}, \boldsymbol{x}_{\text{H}_2\text{O}}) = \frac{\sum_i x_i \cdot \mathrm{d}p_i / D_i}{\sum_i \mathrm{d}p_i / D_i},$$
(10)

$$D_i = g_i \cdot M_{\text{air}} \cdot \left(1 + x_{\text{H}_2\text{O},i} \cdot \frac{M_{\text{H}_2\text{O}}}{M_{\text{air}}}\right),\tag{11}$$

where

- $dp_i$  represents the pressure thickness of layer i;
- $g_i$  represents the acceleration from gravity at layer i;
- M<sub>air</sub> and M<sub>H2O</sub> represent the mean molecular masses of dry air and water, respectively.

The integrated in situ  $X_{\rm gas}$  values are compared against the median of the TCCON  $X_{\rm gas}$  values from the matched observations. The TCCON  $X_{\rm gas}$  values used here have the air mass correction (Sect. 8.1) and window-to-window averaging (Sect. 8.2) applied. Because we expect the bias in the TCCON data to arise from incorrect absorption line strengths or broadening coefficients, it should be a multiplicative bias. Therefore, we calculate an uncertainty-weighted mean of the TCCON / in situ  $X_{\rm gas}$  values to derive the bias correction. We consider five sources of uncertainty:

- 1. measurement error in the in situ data
- 2. uncertainty from the unmeasured portion of the free troposphere (will be zero if the in situ vertical profile extends through the tropopause)
- uncertainty from the unmeasured portion of the stratosphere
- 4. random error in the TCCON observations

5. bias in the TCCON observations from instrument misalignment or similar hardware concerns.

The calculation of each term and how they are combined for the error bars in Fig. 16 are detailed in Appendix C6.

The results of the TCCON–in situ comparison are shown in Fig. 16. In this plot, the y axes are the ratio of TCCON to in situ  $X_{\rm gas}$  amounts, and the x axes show  $X_{\rm luft}$  (see Eq. 4 in Sect. 6.3 for the definition). We will return to the significance of  $X_{\rm luft}$  shortly. The use of TCCON / in situ ratios to derive the in situ correction is equivalent to the best-fit lines forced through the origin used in Wunch et al. (2010) as the best-fit line through the origin is essentially the mean TCCON / in situ ratio. As in Wunch et al. (2010), a ratio (or slope in Wunch et al. (2010)) > 1 indicates that TCCON  $X_{\rm gas}$  values are biased high relative to in situ and vice versa for ratios < 1. The use of ratios directly in Fig. 16 allows us to more clearly identify outliers and evaluate the correlation of the TCCON vs. in situ bias with other variables, such as  $X_{\rm luft}$  here.

The ratios from Fig. 16 indicate that the mean biases are within approximately 1% of unity in all cases, with water being the furthest from unity at 0.9883 (-1.17%). The differences among the CO<sub>2</sub> products are interesting; the standard CO<sub>2</sub> product is biased about 1% high before correction (which is in line with expected uncertainties for the CO<sub>2</sub> lines), while the other two CO<sub>2</sub> products are much closer to unity (0.08% for wCO<sub>2</sub> and 0.14% for lCO<sub>2</sub>). This suggests that the absorption coefficients in these latter two windows are more accurate than in the standard TCCON windows (which are centered at 6220 and 6339 cm<sup>-1</sup>). However, as the wCO<sub>2</sub> and lCO<sub>2</sub> are more sensitive to the upper and near-surface atmosphere, respectively, it may be that this reflects other factors, such as the accuracy of the a priori temperatures at those levels.

Additionally, we note that the TCCON  $X_{\rm CO_2}$  product changed from being 1% low compared to in situ (pre-in situ correction) in Wunch et al. (2015) to 1% high here. We would expect this to be due to changes in spectroscopy, such as an average decrease in  $\rm CO_2$  line strengths or an increase in  $\rm O_2$  line strengths. However, we are in the process of conducting a full attribution study for all the component changes between GGG2014 and GGG2020 and will reserve a final conclusion until that is complete.

The CO comparison (Fig. 16e) suggests that, without scaling, the GGG2020  $X_{\rm CO}$  has no significant bias with respect to AirCore CO measurements. Figure 16e shows significant variation in the TCCON / in situ CO agreement, with individual points also having large uncertainty. This resulting  $2\sigma$  uncertainty in the mean ratio is significantly larger than for the other gases at 0.0526. Thus, the mean TCCON / in situ CO ratio is well within its  $2\sigma$  uncertainty of 1. We do acknowledge that limiting the CO comparisons to AirCore profiles alone may contribute to a larger uncertainty than if aircraft campaigns were included due to the use of a CO-spiked

fill gas in AirCores (see Sect. 2.1 of Martínez-Alonso et al., 2022). However, comparing TCCON  $X_{\rm CO}$  to AirCore profiles was significantly more straightforward than including aircraft profiles since the already-matched AirCore profiles for CO<sub>2</sub> and CH<sub>4</sub> intrinsically include CO as well. Given the other reasons discussed above for not applying an in situderived scaling to GGG2020  $X_{\rm CO}$  or the process needed to match aircraft data with TCCON (see Appendix C1.1), we chose to accept the additional uncertainty from using AirCore profiles only. Future versions of the TCCON data product will re-evaluate the inclusion of aircraft profiles alongside AirCore ones.

Figure 16 also provides insight into how instrumental errors affect different TCCON products. Under ideal circumstances,  $X_{\text{luft}}$  (the quantity on the x axis) should be 1; in practice, the nominal value for the TCCON network is 0.999 due to small residual biases in the O<sub>2</sub> spectroscopy. Deviations of  $X_{\text{luft}}$  from the nominal value indicate either (a) variable errors in spectroscopy, such as temperature or pressure broadening, or (b) instrument issues, such as a misalignment in the beam path. From Fig. 16a, we can see that the TC-CON / in situ ratio tends to be less when  $X_{\text{luft}} < 0.999$  and greater when  $X_{\text{luft}} > 0.999$ . The slope for Fig. 16a is 0.363. This translates to a bias in CO<sub>2</sub> of about 0.15 %, or approximately 0.5 ppm, when  $X_{\text{luft}}$  is 0.004 units away from the nominal value of 0.999 (0.15 % =  $0.0015 = 0.363 \times 0.004$ ). To keep this bias well below the expected 0.25 % accuracy, we limit the comparison used here to those cases where  $X_{\text{luft}}$ is between 0.996 and 1.002 and have instituted additional quality checks of TCCON data that filter out observations when  $X_{\text{luft}}$  is outside the range of 0.995 to 1.003 for an extended period of time. Additionally,  $X_{luft}$  is now reported in the public data set alongside other  $X_{gas}$  retrievals.

We note that the standard  $CO_2$  and the near-surfacesensitive  $ICO_2$  products show the clearest dependence on  $X_{luft}$ . The reason for this is not clear at this time, though it implies a stronger dependence of these products on ILS compared to the other four products discussed in this section. Future versions of GGG are planned to account for errors in the ILS, which we hope will mitigate this bias and improve the accuracy of  $CO_2$  data when  $X_{luft}$  is outside the 0.995 to 1.003 range.

The correlation of  $X_{\rm CO_2}$  and  $X_{\rm ICO_2}$  with  $X_{\rm luft}$  implies that we could develop an  $X_{\rm luft}$ -based bias correction for those CO<sub>2</sub> products. Such a correction is planned for a minor update to the GGG data product. Our aim is to quantify the underlying physical drivers of the  $X_{\rm CO_2}$  bias and use the correlation of those factors with  $X_{\rm luft}$  to derive the bias correction. This would allow us to use the comparison to in situ data shown here as an independent verification of the bias correction's efficacy.

# 8.3.2 Addressing the CO<sub>2</sub> scale change from X2007 to X2019 and changing O<sub>2</sub> dry mole fraction

The update from the previous WMO  $\rm CO_2$  X2007 calibration scale to the new X2019 calibration scale (Hall et al., 2021) occurred late enough in the process of releasing GGG2020 that we were not able to incorporate it into the initial release. Given the clear need expressed by the community to have TCCON data tied to the same scale as in situ data, we have since derived new in situ correction factors to tie all three TC-CON  $X_{\rm CO_2}$  products to the X2019 scale. Doing so required obtaining in situ data that had been adjusted to the new scale, which we did in one of three ways:

- 1. The preferred approach was for the data originator to fully recalibrate their data to the new scale using the updated standards provided by the NOAA Global Monitoring Laboratory. NOAA AirCore and some NOAA ObsPack data (GLOBALVIEWplus v7, Schuldt et al., 2021) followed this approach.<sup>4</sup>
- 2. The second approach was for the data originator or an intermediate provider to adjust the CO<sub>2</sub> data using the linear correction described in Sect. 9.1 of Hall et al. (2021). The remaining NOAA ObsPack data not covered by approach no. 1 followed this approach.
- 3. The third approach was for us to perform the same linear correction as no. 2 ourselves. All other data used this approach.

Also recall that the profiles must be extended to 70 km altitude using the TCCON standard priors to ensure that the same vertical extent is captured in the in situ and TCCON column averages. As discussed in Laughner et al. (2023), the standard priors are derived from NOAA data at the Mauna Loa and American Samoa observatories and so are also intrinsically tied to WMO calibration scales. To ensure consistency throughout the in situ profiles, we used the latest available monthly average CO<sub>2</sub> flask data on the X2019 scale as input to the priors when generating the profile extensions. Once this was complete, we redid the analysis described in Sect. 8.3 with the in situ profiles adjusted to the X2019 scale to generate updated correction factors.

The overall effect of the scale change for each of the three TCCON CO<sub>2</sub> products is shown in Fig. 17 compared to the "raw", non-bias-corrected  $X_{\rm CO_2}$  value on the x axis. The magnitude is about +0.15 ppm for typical current  $X_{\rm CO_2}$  values of 400 ppm. In the TCCON data products, there are three CO<sub>2</sub> variables with the suffix  $_{\rm x2019}$  which are adjusted to the new X2019 scale.

<sup>&</sup>lt;sup>4</sup>The ObsPack release notes at https://gml.noaa.gov/ccgg/obspack/release\_notes.html#obspack\_co2\_1\_ GLOBALVIEWplus\_v7.0\_2021-08-18 (last access: 22 April 2024) provide information on how to determine which data were fully recalibrated.

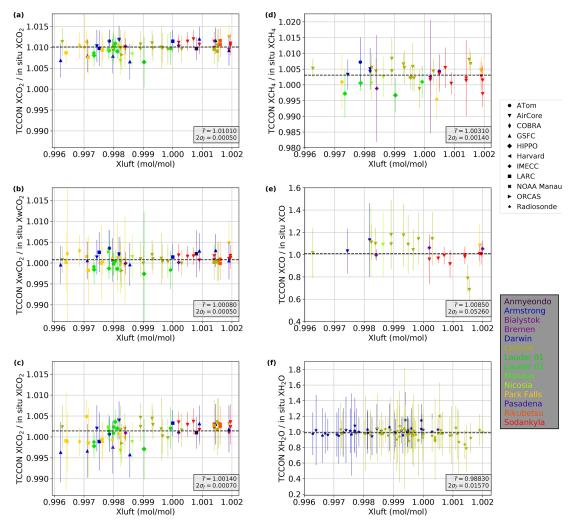


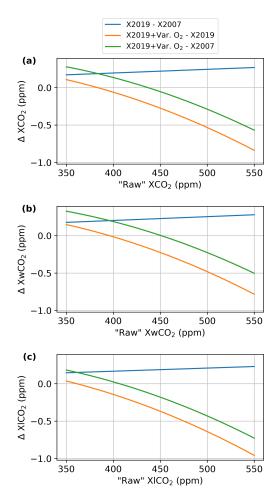
Figure 16. Plots of the TCCON/in situ  $X_{\rm gas}$  ratios for (a) CO<sub>2</sub>, (b) wCO<sub>2</sub>, (c) lCO<sub>2</sub>, (d) CH<sub>4</sub>, (e) CO, and (f) H<sub>2</sub>O. In all plots, the y axis is the ratio of TCCON/in situ  $X_{\rm gas}$ , and the x axis is the median  $X_{\rm luft}$  value for the TCCON observations in a comparison (see text for explanation of  $X_{\rm luft}$ ). The marker style of each comparison indicates the source of the in situ data, and the color indicates which TCCON site the comparison occurred at. The text inset in the lower-right corner of each plot gives the uncertainty-weighted mean TCCON/in situ ratio and its  $2\sigma$  uncertainty. The dashed black lines mark the mean ratio. Panels (a), (b), and (c) are set to use the same y limits; some of the error bars in (b) go outside the y limits.

Another source of bias that is of similar magnitude to the effect of the scale change is the assumed  $O_2$  dry mole fraction. As shown in Eq. 1, the column-average dry mole fractions reported by TCCON are computed by dividing the column density of the target gas by the  $O_2$  column density and scaling by the mean  $O_2$  dry mole fraction in the atmosphere. We have assumed that this dry mole fraction is fixed for the initial GGG2020 data products; however, it is in fact changing over time due to various processes, predominantly fossil fuel combustion and the land biosphere (Keeling et al., 1998; Keeling and Manning, 2014).

Because the effect of ignoring the change in the global average  $O_2$  dry mole fraction is of similar magnitude to the X2007 to X2019 scale change, we decided to account for the change in  $O_2$  dry mole fraction over time in the  $CO_2$  products

updated to the X2019 scale. We did *not* retroactively apply this correction to the X2007  $X_{\rm CO_2}$  or the other  $X_{\rm gas}$  products as doing so would change the  $X_{\rm gas}$  values and require a new data version. This correction will be applied to all  $X_{\rm gas}$  values in the next GGG data version.

Our approach to account for changing  $O_2$  dry mole fraction takes advantage of the anticorrelation between atmospheric  $O_2$  and  $CO_2$  to derive the  $O_2$  dry mole fraction from  $CO_2$  measured by TCCON. For our application, this assumption is sufficiently accurate; however, we note that this is not generally true for other applications of  $O_2/N_2$  ratio data. Specifically, the value for  $f_{O_2}$  in Eq. (1) is calculated as fol-



**Figure 17.** The change in TCCON (a)  $X_{\rm CO_2}$ , (b)  $X_{\rm wCO_2}$ , and (c)  $X_{\rm ICO_2}$  due to the WMO scale change, the change in assumed  $O_2$  dry mole fraction, and the combination of both. The x axis is the raw  $X_{\rm CO_2}$  value that has no in situ bias correction and assumes a fixed  $O_2$  dry mole fraction. The "X2019–X2007" line shows the difference due to only the CO<sub>2</sub> WMO scale change, the "X2019+Var  $O_2$ -X2019" shows the difference due to only the change from fixed to variable  $O_2$  dry mole fraction, and the "X2019+Var  $O_2$ -X2007" line shows the total change from both effects combined.

lows (see Appendix E1 for the full derivation):

$$f_{O_2} = (\alpha - \alpha \cdot f_{O_2, \text{ref}} - f_{O_2, \text{ref}}) \cdot \frac{X_{CO_2} - X_{CO_2, \text{ref}}}{1 - X_{CO_2} - \alpha \cdot X_{CO_2}} + f_{O_2, \text{ref}}, \tag{12}$$

where

-  $\alpha = \partial N_{\rm O_2}/\partial N_{\rm CO_2} = -1/0.4575$ , i.e., the change in the number of moles of  $\rm O_2$  in the atmosphere for a given change in the number of moles of  $\rm CO_2$  in the atmosphere. The choice of -1/0.4575 comes from the agreement with the measured change in  $f_{\rm O_2}$  as shown in Fig. 18. This value is chosen to remove the effect of long-term trends in the  $\rm O_2$  dry mole fraction and ignores

synoptic-scale variations due to, e.g., photosynthesis or fossil fuel emissions.

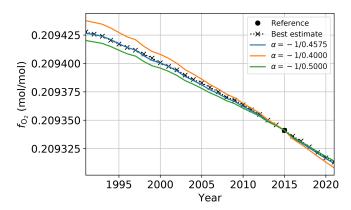
- $f_{\rm O_2,ref}$  is the reference value for the dry mole fraction of  $\rm O_2$ . We use 0.209341 based on the value measured by Aoki et al. (2019) at Hateruma Island, Japan, in 2015 and adjust by  $\sim 2$  ppm to approximate the global mean  $f_{\rm O_2}$  by using the difference between the annual mean  $\rm CO_2$  reported for Hateruma Island by Aoki et al. (2019) and that for the NOAA global marine boundary layer reference. A revised calculation accounting for the possible influence of fossil fuel emissions on Hateruma Island puts the global mean  $\rm O_2$  dry mole fraction closer to 0.209347; however, the 0.209341 value is what is used in GGG2020.
- $X_{\rm CO_2,ref}$  is a reference value for the column-average dry mole fraction of  $\rm CO_2$ . We use  $4 \times 10^{-4}$  (400 ppm) to approximate the value seen in TCCON data during 2015 (the same year as the  $f_{\rm O_2,ref}$  value), though, as discussed below, it is not crucial that the  $\rm O_2$  and  $\rm CO_2$  reference values be for exactly the same time.
- $X_{\text{CO}_2}$  is the raw measured TCCON  $X_{\text{CO}_2}$  with air mass correction and assuming  $f_{\text{O}_2} = f_{\text{O}_2,\text{ref}} = 0.209341$ .

To validate this approach, we also compute the change in  $f_{\rm O_2}$  (including the effect of  ${\rm CO_2}$  dilution) using  $\delta({\rm O_2/N_2})$  data measured by the Scripps Institution of Oceanography at Alert, NWT, Canada (station code ALT); La Jolla Pier, California, USA (LJO); and Cape Grim, Australia (CGO), as well as NOAA  ${\rm CO_2}$  annual trend data (Lan et al., 2023). To approximate a global mean  $\delta({\rm O_2/N_2})$  value, we follow Sect. 5.15.4.2 of Keeling and Manning (2014) and combine the data from these stations as (ALT + LJO)/4 + CGO/2.

The results of this comparison are shown in Fig. 18. The black line shows the change in  $f_{\rm O_2}$  computed using the Scripps  $\delta({\rm O_2/N_2})$  data (see Appendix E2 for the methodology), while the other three lines represent  $f_{\rm O_2}$  calculated with Eq. (12) and various values of  $\alpha$ . We can see that Eq. (12) with  $\alpha=-1/0.4575$  gives quite good agreement with the change in  $f_{\rm O_2}$  computed using the Scripps  $\delta({\rm O_2/N_2})$  and NOAA global CO<sub>2</sub> data.

The final step in adopting the variable  $O_2$  dry mole fraction was to recompute the in situ correction factor once more using the variable  $O_2$  dry mole fraction in the TCCON  $X_{\rm gas}$  values for the comparison. Doing so ensures that any constant multiplicative bias introduced by incorrect or inconsistent values for the  $f_{\rm O_{2,ref}}$  or  $X_{\rm CO_{2},ref}$  values is scaled out. This is why, in the discussion above about the choice of those reference values, we note that it is not critical to have the  $O_2$  and  $CO_2$  reference values be exactly consistent.

The orange lines in Fig. 17 show the effects of the change from a fixed  $O_2$  dry mole fraction to the variable one. For  $X_{\rm CO_2}$  values around 400 ppm, the change is of similar magnitude to the WMO scale change for  ${\rm CO_2}$  products. If  ${\rm CO_2}$ 



**Figure 18.** Comparison of  $f_{\rm O_2}$  values calculated using Eq. (12) for three different values of  $\alpha$  versus a best estimate of  $f_{\rm O_2}$  using  $\delta({\rm O_2/N_2}$  from the Scripps Institute of Oceanography (Scripps  ${\rm O_2}$  Program, 2022) and NOAA global mean  ${\rm CO_2}$  (Lan et al., 2023) data. The three colored lines also use NOAA global mean  ${\rm CO_2}$  data for the  $X_{\rm CO_2}$  and  $X_{\rm CO_2,ref}$  values in Eq. (12). The black circle marks our reference value of  $f_{\rm O_2} = 0.209341$ .

mixing ratios continue to increase in the future, the difference between using the incorrectly fixed and correctly varying  $O_2$  dry mole fraction would increase to 0.75 to 1 ppm in magnitude.

The green lines in Fig. 17 show the combined effect of the  $CO_2$  calibration scale change and the switch to a variable  $O_2$  dry mole fraction. For low raw  $X_{CO_2}$  values (i.e., values without the in situ bias correction and using a fixed  $O_2$  dry mole fraction), the two effects reinforce each other, but as the raw  $X_{CO_2}$  increases, the  $O_2$  dry mole fraction change starts to counteract part of the  $CO_2$  scale change.

 $X_{\rm CO_2},~X_{\rm wCO_2},~{\rm and}~X_{\rm ICO_2}$  on the X2019 scale and accounting for the variable  ${\rm O_2}$  dry mole fraction are now available in the public data set as variables  ${\rm xco2\_x2019},~{\rm xwco2\_experimenta1\_x2019},~{\rm and}~{\rm xlco2\_experimenta1\_x2019}.$  Users comparing to other data or model simulations and/or assimilations on the X2019 scale should use these variables. Anyone needing to compare against data still on the X2007 scale can use  ${\rm xco2},~{\rm xwco2\_experimenta1},~{\rm and}~{\rm xlco2\_experimenta1}$  instead.

#### 8.3.3 N<sub>2</sub>O in situ comparisons

To derive an in situ correction for  $N_2O$ , we adopted a different approach than for the other gases due to the small number of  $N_2O$  profiles over TCCON sites which our matching algorithm found in the NOAA CCGG Aircraft Program v1.0 ObsPack (Sweeney et al., 2018). Figure 19a shows the 10 profiles identified from the ObsPack, and Fig. 19b shows the TCCON / in situ ratio vs.  $X_{luft}$  relationship for these profiles. We note that this scarcity of profiles was partly due to the criteria used to filter for good-quality profiles (Appendix C1.1). However, given how well mixed  $N_2O$  is in the troposphere,

the criteria intended to ensure enough vertical resolution to capture plumes of  $CO_2$  or  $CH_4$  could be relaxed for  $N_2O$  in future TCCON / in situ comparisons to increase the number of available  $N_2O$  profiles for comparison.

The available profiles were further restricted by our criteria for coincidence with good-quality TCCON observations; 2 of these 10 profiles do not meet the coincidence criteria for inclusion in Fig. 19b, and 5 of the remaining 8 fall outside the allowed  $X_{\rm luft}$  range of 0.996 to 1.002. With the available data, it is difficult to distinguish whether there is significant correlation between  $X_{\rm luft}$  and TCCON  $X_{\rm N_2O}$  bias and therefore whether those 5 comparisons below  $X_{\rm luft} = 0.996$  should be excluded. As their exclusion would significantly alter the in situ correction for  $X_{\rm N_2O}$ , we tested a second approach to derive the N<sub>2</sub>O correction.

This alternate approach uses NOAA surface N<sub>2</sub>O data from the NOAA Halocarbons and other Atmospheric Trace Species (HATS) program (Dutton et al., 2023) combined with the GGG2020 priors to generate pseudo-in situ profiles. This takes advantage of the limited vertical variation in N<sub>2</sub>O up to the tropopause seen in Fig. 19a and the good accuracy of the GGG2020 priors in the stratosphere (Laughner et al., 2023). These pseudo-in situ profiles use the HATS N<sub>2</sub>O data for the tropospheric VMRs, the GGG2020 priors for VMRs above 380 K potential temperature, and linearly interpolates in between. These pseudo-in situ profiles are then integrated following Eq. (10) to produce a pseudo-in situ  $X_{N_2O}$  and are compared to TCCON in the same manner as the other gases. As we are not limited by when an aircraft provided an N<sub>2</sub>O profile over a TCCON site, we can compare to TCCON observations from any time. We use spectra from the same sites and days as the other gases, filtered for the following criteria:

- FVSI < 0.05, as for the other gases;
- $X_{\text{luft}}$  between 0.996 and 1.002, as for the other gases;
- the difference between prior HF column density and retrieved HF column density is  $< 2 \times 10^{14}$  molec. cm<sup>-2</sup>.

The filtering on the HF column helps to remove cases where the  $N_2O$  stratospheric prior used in the pseudo-in situ profiles is incorrect. HF is a gas found almost exclusively in the stratosphere, and in GGG2020, the HF and N<sub>2</sub>O stratospheric priors are coupled. Thus, when the retrieved HF column is substantially different from the prior, that indicates that the HF prior was incorrect, which implies the same for the N2O profile. HF columns tend to be between 1 and  $2 \times 10^{15}$  molec. cm<sup>-2</sup>; thus,  $2 \times 10^{14}$  molec. cm<sup>-2</sup> represents a 10% to 20% error in the HF prior. Given that the stratosphere component of  $N_2O$  is < 20% of the column, and assuming that the percent error in the N<sub>2</sub>O prior is similar, this keeps the random error in the pseudo-in situ  $X_{N_2O}$  to less that 2 % to 4 %. All together, these filtering criteria retain approximately 8600 TCCON observations from the initial set of  $\sim 20,000$  observations used in the in situ correction analvsis.

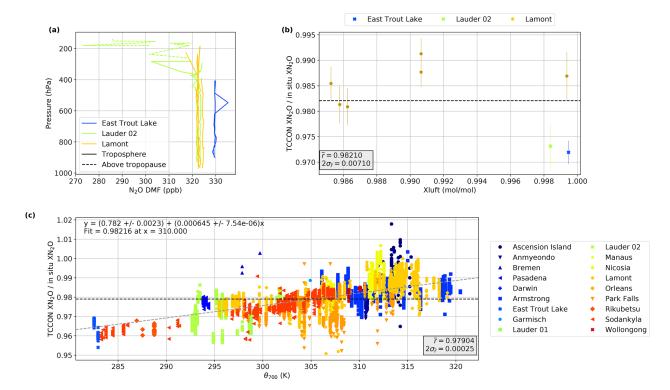


Figure 19. (a) The available  $N_2O$  profiles over TCCON sites from the NOAA CCGG Aircraft Program v1.0 ObsPack (Sweeney et al., 2018). (b) TCCON / in situ ratio vs.  $X_{luft}$ , similarly to Fig. 16 but for  $N_2O$ . (c) The TCCON / in situ  $X_{N_2O}$  ratio derived using surface NOAA  $N_2O$  data versus mid-tropospheric potential temperature. The dashed gray line is a robust fit to the data. The text in the lower-right-hand corner gives the mean TCCON / in situ ratio (denoted also by the horizontal dashed black line) and its  $2\sigma$  standard deviation. The points are colored by TCCON site.

This larger sample set for  $N_2O$  allowed us to identify a correlation in  $X_{N_2O}$  bias with atmospheric temperature. Figure 19c shows how the TCCON/in situ  $X_{N_2O}$  ratio varies with potential temperature at 700 hPa. As in the ADCF analysis (Sect. 8.1), these potential temperature values come from the GEOS FP-IT meteorology used as input to the GGG retrievals. The presence of this bias suggests that there is an error in the temperature dependence of the  $N_2O$  cross-sections (similar to that we identified and removed for  $N_2O$  cross-sections (similar to that we identified and removed for  $N_2O$  for inclusion in a minor update to the TCCON GGG2020 data. Long term, the underlying error in the spectroscopic model will be corrected so that the next major TCCON data release will have improved  $X_{N_2O}$  data.

For GGG2020, we elected to choose the  $X_{\rm N_2O}$  in situ correction as the value of the fit in Fig. 19c at 310 K potential temperature. This is consistent with the choice of ADCF values at the same temperature (Sect. 8.1). The value of 0.9822 is very close to the mean TCCON/in situ ratio using the eight true in situ profiles in Fig. 19b. That both methods agree gives us confidence that this is a reasonable value to use for the in situ correction. We are also investigating applying the slope from Fig. 19c to TCCON  $X_{\rm N_2O}$  as a temperature-

based bias correction. Figure 20 demonstrates the difference this correction would make in comparison to both the in situ data (Fig. 20a, b) and the column-average dry mole fractions themselves (Fig. 20c).

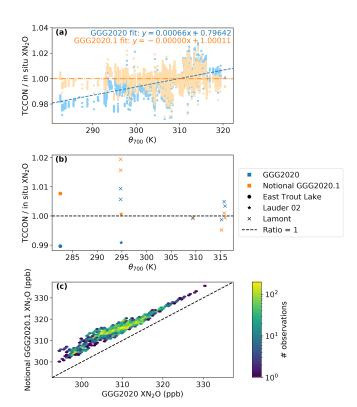
#### 8.3.4 In situ bias correction summary

A summary of the in situ correction factors, their errors, and what in situ calibration scales each product is tied to are given in Table 4. Because these correction factors are the mean TCCON / in situ ratio, dividing the air-mass-corrected and window-averaged values by these correction factors removes the mean TCCON—in situ bias.

In the TCCON data, users will find two sets  $X_{\text{CO}_2}$ variables. Those with the x2019 suffix (xwco2\_experimental\_x2019, xlco2 experimental x2019, and xco2 x2019) are those tied to the WMO X2019 CO2 scale and which use the variable O<sub>2</sub> dry mole fraction. Those CO<sub>2</sub> variables without the \_x2019 suffix remain tied to the WMO X2007  $CO_2$  scale and still use the fixed  $O_2$  dry mole fraction. All other gases (xch4, xco, etc.) also still use the fixed O<sub>2</sub> dry mole fraction.

**Table 4.** In situ correction factors and their errors for each  $X_{\rm gas}$  product evaluated against in situ data. The "Calibration scale" column indicates which scale or source these data are tied to by the AICFs. The N column indicates how many profiles are used to calculate the AICF for that gas. The  $f_{\rm O_2}$  column indicates what  $\rm O_2$  dry mole fraction was used in the conversion of column density to column-average dry mole fraction: "Fixed" means  $f_{\rm O_2} = 0.2095$  in Eq. (1), and "Var." means that the variable dry mole fraction described in Sect. 8.3.2 was used. n/a – not applicable

X <sub>gas</sub> product	Correction factor	CF error	Calibration scale	N	$f_{O_2}$
$X_{\text{CO}_2}$	1.0101	0.0005	WMO X2007	67	Fixed
$X_{\text{CO}_2}$ _x2019	1.0090	0.0005	WMO X2019	70	Var.
$X_{\mathrm{wCO}_2}$	1.0008	0.0005	WMO X2007	67	Fixed
$X_{\text{wCO}_2}$ _x2019	0.9996	0.0005	WMO X2019	69	Var.
$X_{1\text{CO}_2}$	1.0014	0.0007	WMO X2007	67	Fixed
$X_{1\text{CO}_2}$ _x2019	1.0006	0.0007	WMO X2019	69	Var.
$X_{\mathrm{CH_4}}$	1.0031	0.0014	WMO X2004	40	Fixed
$X_{N_2O}$	0.9821	0.0098	NOAA 2006A	n/a	Fixed
$X_{\rm CO}$	1.000	0.0526	n/a	31	Fixed
$X_{ m H_2O}$	0.9883	0.0157	ARM radiosondes	94	Fixed

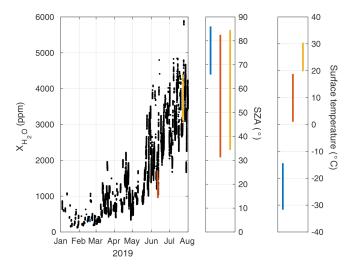


**Figure 20.** Future correction for  $X_{\rm N_2O}$ . (a) Similar to Fig. 19c except showing the ratio between TCCON and the surface-derived  $X_{\rm N_2O}$  from GGG2020, with the in situ correction factor of 0.9821 applied in blue and the expected temperature-corrected  $X_{\rm N_2O}$  in orange, along with their respective fits. (b) Similar to Fig. 19b but, like panel (a) of this figure, comparing the ratios of GGG2020 and temperature-corrected  $X_{\rm N_2O}$  to in situ. (c) A 2D histogram comparing the current and notionally corrected  $X_{\rm N_2O}$ .

Releasing the rescaled  $X_{CO_2}$  as new variables rather than creating a new TCCON data version with the existing variables rescaled was chosen for several reasons. First, it is logistically simpler, allowing us to provide this update more quickly. Second, during this transitional period when existing CO<sub>2</sub> data are available on both the X2007 and X2019 scales, having both X2007 and X2019  $X_{CO_2}$  allows users to switch back and forth easily if they need to match up with other data sets on a mix of both scales. Third, this approach provides for the release of more recent TCCON data without disrupting existing users' workflows - users do not have to worry about existing variables changing but can switch their analyses to use the updated  $X_{CO_2}$  variables if and when they wish. Incorporating the variable O<sub>2</sub> dry mole fraction for all gases is planned for an upcoming minor revision of the TCCON data (tentatively called GGG2020.1). Likewise, a temperature-corrected  $X_{N_2O}$  product will be included in GGG2020.1 or the follow-on GGG2020.2, depending on development time.

#### 9 Uncertainty budget

To calculate an uncertainty in the GGG2020 data set, we selected three days from the East Trout Lake data set, spanning a range of atmospheric water vapor, surface temperature, and solar zenith angle (Fig. 21). Each known source of uncertainty is modeled or perturbed by a realistic amount in the GFIT forward model (the quantitative amounts are described in the following paragraphs), and we compute the percent fractional difference in  $X_{\rm gas}$  between the perturbed and unperturbed values. The total uncertainty is computed as the sum in quadrature of the individual uncertainties. For each gas, we have plotted the contributions of each source as a function of solar zenith angle for the date of 11 June 2019 in Figs. 23–25. The same figures for cold, dry 18 February are in the Appendix in Figs. B1–B3, and the figures for warm,



**Figure 21.** The three dates chosen for the error budget calculations are from East Trout Lake on 18 February (blue), 11 June (red), and 23 July (yellow) 2019. These dates were chosen to span a range of water vapor, solar zenith angle, and surface temperature. In the left panel, the black data points show the full East Trout Lake record between January and August 2019 for reference.

wet 23 July are in Figs. B4–B6. The sums in quadrature of all the sources of error for each gas are plotted together for the 3 stated days in Figs. 26–28. Each source of uncertainty included in our error budget is described below. Table 5 toward the end of this section summarizes the error budget for the primary TCCON products.

#### 9.1 Field of view

The field of view (FOV) is the maximum solid angle viewed by the detector element, and its value is set by the field stop diameter inside the instrument. It is an important parameter in the GFIT forward model because it defines the extent of off-axis rays that pass through the interferometer, ultimately limiting the spectral resolution of a spectrum. The field stop diameter is set by a physical pinhole that ranges from 0.5 to 1.3 mm and is drilled into a thin plate within the instrument, and its size can be in error by a few percent. Here, we increase FOV by 7 % to reflect any uncertainty in the field stop diameter.

#### 9.2 Continuum basis functions

In GGG2020, the number of continuum basis functions has been optimized to improve the spectral fits without overfitting the data (see Sect. 7). Here, we increase the number of continuum basis functions fitted by 1 in all windows that have widths >5 cm<sup>-1</sup> to assess the sensitivity of our choice of the number of basis functions to the retrieved  $X_{\rm gas}$  value. The gases excluded from this test because of their fitting-window widths are HF, HCl, and some H<sub>2</sub>O and HDO windows.

# 9.3 Solar pointing

The observer-sun Doppler stretch (OSDS) is a calculation made by GFIT based on the Earth-Sun radial velocity and the Earth's rotational velocity component under the assumption that the solar tracker is imaging the center of the Sun. It defines the Doppler stretch of the solar absorption lines relative to the telluric (atmospheric) absorption lines. If the solar tracker is not imaging the exact center of the Sun, the solar lines may be Doppler-shifted relative to the telluric lines, creating systematic residuals in the spectral fits. Here, we increase the OSDS by 2 ppm to assess the sensitivity of the retrievals to a small pointing error from the Doppler stretch component alone. This error affects carbon monoxide more than the other gases because, for every telluric CO line in the spectrum, there is also a solar CO absorption line beneath, making it difficult to distinguish solar from telluric CO absorption. In GGG2014 and previous versions, this was a particular problem because the pointing was assumed to be in the center of the solar disk. In GGG2020, however, the solargas stretches are now fitted, reducing the impact of an OSDS error on the CO retrievals (see Wunch et al., 2015, Fig. 13). A solar-gas stretch is when the solar absorption lines' frequencies have to be stretched due to unaccounted-for Doppler effects, e.g., pointing away from the center of the solar disk.

Solar tracker pointing offsets also affect the ray tracing in GFIT, causing errors in the air masses calculated for a given spectrum. This error impacts all gas retrievals but should mostly be canceled out in the ratio between the gas of interest and oxygen. It shows up most prominently in  $X_{\text{luft}}$  as that is not a ratio between two retrieve gas columns (see Sect. 6.3 and Eq. 4). Here, we add a  $0.05^{\circ}$  pointing offset (poff), which represents a pointing error of about 20 % of the solar radius.

#### 9.4 Prior

We modify the priors in several ways to estimate the uncertainties caused by various errors in the a priori profiles.

- A priori pressure profile (prior pressure). We multiplied the pressure at each atmospheric level in the prior by 1.002 to scale up the pressure by 0.2% at all altitudes. For the HCl cell pressure error, we added 0.14 hPa (0.138 atm) to the cell pressure, following the "pessimistic" uncertainty budget in Hase et al. (2013, P3565). The purpose of the HCl cells will be described in Sect. 9.18.
- A priori temperature profile (prior temperature). We added 1 K to each atmospheric level in the prior.
- A priori profile shape (prior shift). We shifted the a priori profiles down by one atmospheric level. In GGG2014, we shifted the priors down by 1 km; thus, this is a slightly different approach, but the level spacing is about 1 km in altitude near the tropopause, where

this shift is most important for well-mixed tropospheric gases like N<sub>2</sub>O and CH<sub>4</sub> and for HF, a stratospheric gas. H<sub>2</sub>O and HDO are not shifted as part of this process but are modified in an independent test.

- A priori boundary layer CO (prior CO enhanced). The GEOS FP-IT CO profiles are created using an old emission inventory and tend to significantly overestimate emissions in urban regions that have reduced their emissions over time (e.g., Los Angeles). However, because of the coarse spatial resolution of GEOS FP-IT, sites that are located near to an urban center can be affected by the urban enhancements in the model. We therefore add an additional test that affects only the CO error budget, in which we add 25 ppb to the altitudes below 2 km to estimate the uncertainty caused by the incorrect lower-atmosphere shape in the GGG2020 CO prior profiles
- A priori H<sub>2</sub>O and HDO (prior h2o/hdo). We modified the water and HDO profiles by reducing the values in the first 1 km by 50 %.

#### 9.5 Surface pressure

The surface pressure measurements we collect as part of our on-site meteorological data are important for determining the bottom altitude when integrating the total columns. The largest surface pressure uncertainty permitted by the TCCON data protocol is 0.3 hPa, but we have seen these instruments drift by up to 1 hPa. Here, we add 1 hPa to the surface pressure (pout) to calculate the sensitivity of the retrievals to this error.

# 9.6 Nonlinearity

Detector nonlinearities, described in Sect. 4.1, cause a discrepancy between the low-resolution spectral envelope and the high-resolution spectral lines, resulting in an offset at zero in the spectrum. These zero-level offsets are most readily observed in regions of the spectrum where strong absorption lines absorb all the incident light (Abrams et al., 1994). Here, we add 0.001 (0.1 %) to the zero-level offset (ZLO) parameter in GFIT; this is a large ZLO observed in the network.

# 9.7 Instrument line shape

The instrument line shape (ILS) of a Fourier transform spectrometer quantifies the optical alignment of the instrument and is independent of the alignment of the solar image. The ILS is characterized by two parameters: the modulation efficiency and phase error. The modulation efficiency is the broadening or narrowing of the ideal spectral line width in the instrument, and the phase error is the asymmetrical component of the spectral line that is caused by the misalignment. It is not currently possible to model phase error within GFIT,

but we can model imperfect modulation efficiency. The TC-CON data protocol requires that the instrument modulation efficiencies must be within 5 % of a perfect alignment. The modulation efficiency of a perfectly aligned interferometer is defined as a value of 1.0 at all optical path differences, taking self-apodization into account, and therefore the maximum and minimum modulation efficiencies acceptable in the network are 1.05 and 0.95, respectively. Here, we model two cases: a "shear" misalignment, where the modulation efficiency of the spectrometer increases linearly to 1.05 as a function of optical path difference, and an "angular" misalignment, where the modulation efficiency drops linearly to 0.95 as a function of optical path difference. See Sect. 8 of Wunch et al., 2015 for more details on the mathematical forms for these misalignments. We confirmed the misalignment by passing synthetic spectra generated by GFIT with these misalignments through LINEFIT (v14.8, Hase et al., 1999), a program designed to assess instrument line shapes (see Fig. 22).

Because GGG2020 cannot model phase errors, these sensitivity studies are likely to underestimate the full effect of ILS errors, and therefore we include both the shear and angular misalignments in the sum.

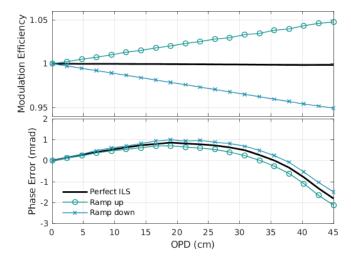
#### 9.8 Other sources of error

This error budget does not include radiometric noise or spectroscopic errors. We omit radiometric noise because Wunch et al. (2011) showed that random noise does not introduce a bias in  $X_{\rm CO_2}$  because TCCON spectra have a high signal-to-noise ratio due to the direct-sun viewing geometry and the strength of our target gases' absorption lines. We omit spectroscopic errors in this section because mean and SZA-dependent spectroscopic errors are removed by the post-processing corrections (Sect. 8.1, 8.3).

#### 9.9 General comments on the results

This error budget was calculated by perturbing the retrieval as described above for data from a single site (East Trout Lake). Its purpose is to evaluate sources of bias that can affect an individual instrument rather than to provide an assessment of the actual magnitude of site-to-site biases. That magnitude has been assessed in Sect. 8.1 to 8.3. We generally expect the sensitivities identified here to hold for all TCCON sites; however, we acknowledge that these results were derived from a single site.

The method of simulating modulation efficiency errors in GGG2014 (Wunch et al., 2015) was incorrect, resulting in an inferred uncertainty from ILS errors that is too large, likely by about a factor of 2 (see Appendix B1 for details). The change from the errant ILS modeling to our current model, on its own, will produce an apparent overall uncertainty reduction for GGG2020 when compared with GGG2014, but there have been no improvements in GGG2020 with respect



**Figure 22.** Synthetic spectra were generated using GFIT to simulate shear and angular misalignment with 5 % change from the ideal line shape at a maximum optical path difference of 45 cm. These spectra were then passed through LINEFIT 14.8 to confirm that the modulation efficiency and phase errors were as expected.

to fitting imperfect ILS. However, there are several other improvements in GGG2020 that have resulted in systematic reductions in the uncertainty, including higher-order continuum fitting (Sect. 7), solar-gas stretch fitting (Sect. 9.3), and gas-specific spectroscopy (Sect. 6.1) and line-shape-fitting improvements (Sect. 6.2).

In GGG2014, our retrievals were performed on a 1 km grid, and we shifted the profiles down by one level (or 1 km at all altitudes). In GGG2020, our retrievals are on a grid that increases in spacing with altitude, and a shift down by one level is roughly 1 km at the tropopause but smaller below and larger above. This change is most likely to affect the retrievals of gases for which there is a rapid change in abundance near the tropopause and above: N<sub>2</sub>O, CH<sub>4</sub>, and HF. Therefore, our shift for the GGG2020 error budget represents a larger perturbation to the a priori shape for these gases, which will cause larger errors in retrievals. However, because HF is a species found primarily in the stratosphere, and N<sub>2</sub>O and CH<sub>4</sub> are species found primarily in the troposphere, retrievals of HF can be used to diagnose and reduce the impact of the profile shift errors on  $X_{N_2O}$  and  $X_{CH_4}$  (e.g., Washenfelder et al., 2003; Saad et al., 2014, 2016; Wang et al., 2014).

In each section below, we will discuss the results for each gas, keeping in mind the reductions in error from the ILS model and the inflation of error from the prior shifts.

# 9.10 X<sub>luft</sub>

 $X_{\text{luft}}$  is the column-averaged amount of dry air and is equivalent to the parameter  $X_{\text{air}}$  in GGG2014 (see Eq. 4 in Sect. 6.3 for a definition). The error budget for  $X_{\text{luft}}$  (Figs. 23 and 26)

is very similar to that of  $X_{\text{air}}$  in GGG2014, with uncertainties smaller than 0.7% for all solar zenith angles less than 82°. The error is dominated by pointing offsets at large solar zenith angles, and zero-level offsets contribute significantly to the error at all solar zenith angles.

# 9.11 $X_{CO_2}$

The  $X_{\rm CO_2}$  error budget is smaller than for GGG2014 (Wunch et al., 2015), mostly as a result of the reduced continuum-fitting errors. The GGG2020 errors are below 0.16% ( $\sim$ 0.6 ppm) for solar zenith angles less than 82°, though if extrapolated linearly to smaller solar zenith angles, the error could become larger than 0.15% at 0° (Figs. 23 and 26). The largest sources of error at lower solar zenith angles are from prior pressure offsets and misalignment. At larger solar zenith angles, the error becomes dominated by prior temperature errors and zero-level offsets.

# 9.12 X<sub>CH<sub>4</sub></sub>

The  $X_{\text{CH}_4}$  error budget is smaller than for GGG2014 (Wunch et al., 2015). There is a significant reduction in the errors associated with observer-sun Doppler stretch (OSDS) offsets and continuum-fitting errors. The GGG2020 errors are below 0.4 % ( $\sim$  7 ppb) for solar zenith angles less than 82° (Figs. 23 and 26). The largest sources of error at lower solar zenith angles are from prior profile shifts and prior pressure errors. At larger solar zenith angles, the error is dominated by prior profile shifts. Errors caused by profile shifts can be mitigated by extracting the tropospheric partial column of  $X_{\text{CH}_4}$  using the Saad et al. (2014) or Wang et al. (2014) methods.

# 9.13 $X_{CO}$

The  $X_{\rm CO}$  spectral fitting has been substantially improved in GGG2020, largely because of our reduced sensitivity to errors in the observer-sun Doppler stretch (OSDS) and also because we removed one of the fitted windows from our standard analysis in GGG2020 that had relatively poorer spectral fits. The GGG2020 errors are below 2% ( $\sim$ 2 ppb assuming a 100 ppb column) for all SZA < 82°. The largest sources of error are the prior CO enhancement, the prior shift, prior temperature, and shear misalignment (Figs. 23 and 26).

# 9.14 $X_{\text{H}_2\text{O}}$ and $X_{\text{HDO}}$

The error budget for water and HDO is roughly the same as for GGG2014 and earlier, with total errors under 2 % in  $X_{\rm H2O}$  and 3 % in  $X_{\rm HDO}$  over all solar zenith angles less than 82°. The largest component of the error budget for water vapor and HDO is the shape of the a priori profile, which dominates the error budget for all solar zenith angles below 75° for water and over all solar zenith angles below 82° for HDO (Figs. 24 and 27).

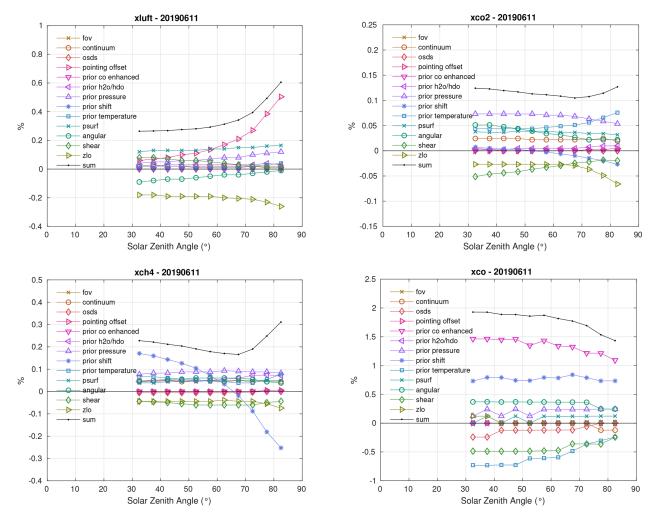


Figure 23. The 11 June 2019 error budget from East Trout Lake. The figures show the percent difference between the perturbed test and the standard retrieval plotted as a function of solar zenith angle. "Sum" in the legend means the quadrature sum of the other terms. The retrievals plotted here are  $X_{\text{luft}}$ ,  $X_{\text{CO}_2}$ ,  $X_{\text{CH}_4}$ , and  $X_{\text{CO}}$ .

# 9.15 $X_{N_2O}$

The  $X_{\rm N_2O}$  error budget is roughly the same as in GGG2014, with total errors less than 1.25% ( $\sim$ 4 ppb) over all solar zenith angles. The largest source of error is the prior shift, which is not surprising, given the rapid chemical destruction of N<sub>2</sub>O above the tropopause, though the magnitude of the error is about twice as large as it was for GGG2014. As discussed above, this is likely caused by differences in the way we shift the profile and could be mitigated by extracting the tropospheric partial column by adapting the Saad et al. (2014) approach. Other contributors to the total error include the prior pressure and shear and angular misalignments (Figs. 24 and 27).

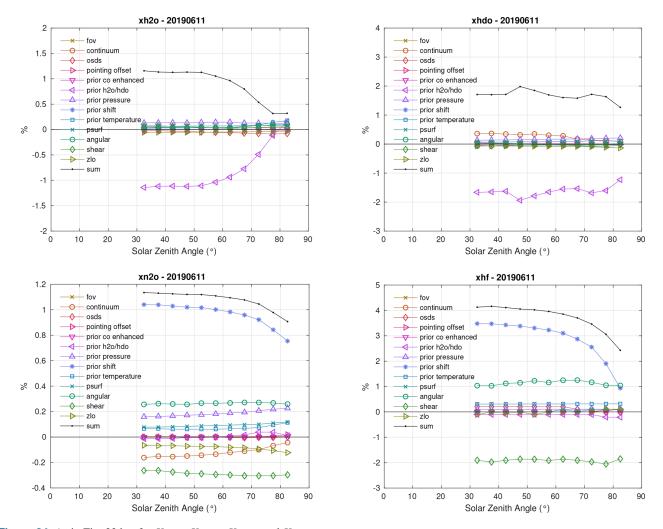
#### 9.16 X<sub>HF</sub>

HF has only a single absorption line (4038.96 cm<sup>-1</sup>) that is located on the wing of a strong water absorption feature;

thus, the retrievals tend to be noisy, especially at high solar zenith angles and under wet conditions. The  $X_{\rm HF}$  error budget is reduced in GGG2020 compared to in GGG2014, with total errors now being less than 5% over all solar zenith angles. In GGG2014, the errors were typically below 8%, but that error was dominated by the much larger shear misalignment. The largest source of error in GGG2020 is the prior shift, followed closely by shear misalignment (Figs. 24 and 27).

# 9.17 $X_{ICO_2}$ and $X_{wCO_2}$

In GGG2014 and previous versions, we did not retrieve strong (ICO<sub>2</sub>) and weak (wCO<sub>2</sub>) CO<sub>2</sub> bands. The strong CO<sub>2</sub> retrieval errors are dominated by prior temperature errors, and the weak CO<sub>2</sub> errors are dominated by both shear and angular misalignments, errors in the prior pressure, adjustments to the continuum curvature, and zero-level offsets (Figs. 25



**Figure 24.** As in Fig. 23 but for  $X_{\text{H}_2\text{O}}$ ,  $X_{\text{HDO}}$ ,  $X_{\text{N}_2\text{O}}$ , and  $X_{\text{HF}}$ .

and 28). The strong  $ICO_2$  retrieval errors are less than 0.3% over all solar zenith angles, and the weak  $wCO_2$  retrievals have around 0.5% errors at all solar zenith angles, declining slightly at higher angles.

#### 9.18 VSF HCI

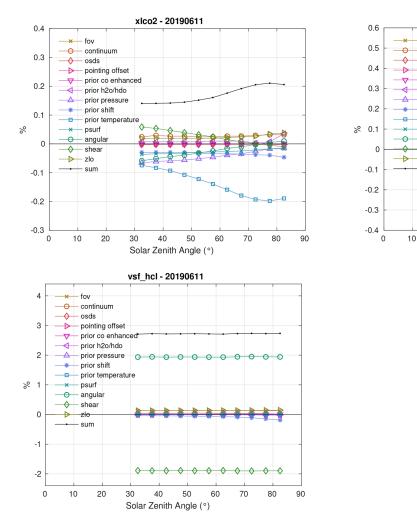
In this error budget, we have included the scale factors retrieved for HCl (vsf\_hcl in Figs. 25 and 28). In the East Trout Lake instrument and most others in the network, a sealed HCl cell filled with a known quantity of gas (Hase et al., 2013) is placed permanently in the solar beam inside the evacuated spectrometer to monitor long-term changes in ILS or a leak of outside air into the cell. Because the quantity of gas in the cell is significantly larger than the atmospheric abundance, the atmospheric component is negligible and largely independent of surface pressure or other atmospheric adjustments. Therefore, deviations of the HCl scale factors from 1 indicate a drift in ILS. To assess the HCl retrieval sensitivity

to changes in ILS and other parameters, we include the HCl scale factors in our error budget.

The retrieval errors in the scaling factors retrieved for HCl in a sealed cell are dominated by errors in the instrument line shape with no significant solar zenith angle dependence. This is a comforting result, showing that our HCl retrievals are a good diagnostic for instrument line shape drift. The HCl retrievals are not included in the standard public data files as they are used primarily for diagnostic purposes.

# 9.19 Uncertainty estimate comparison

For six products ( $X_{\rm CO_2}$ ,  $X_{\rm wCO_2}$ ,  $X_{\rm lCO_2}$ ,  $X_{\rm CH_4}$ ,  $X_{\rm CO}$ , and  $X_{\rm H_2O}$ ) we can compare the uncertainty estimates derived from the error budgets with those computed from in situ comparisons similar to those in Sect. 8.3 but with one difference: the comparisons in Sect. 8.3 use the in situ vertical profiles as the prior trace gas profiles in the TCCON retrievals; the in situ comparisons in this section use standard TCCON GGG2020 prior profiles. For the in situ uncertainty, we use



**Figure 25.** As in Fig. 23 but for  $X_{1CO_2}$ ,  $X_{wCO_2}$ , and HCl scale factors (vsf\_hcl).

the mean absolute deviation (MAD) of the TCCON  $X_{\rm gas}$  values from the in situ  $X_{\rm gas}$  values after removing the mean bias for each  $X_{\rm gas}$  (i.e., the correction factor in Table 4). We use MAD over standard deviation because it is less sensitive to outliers. To convert the percent error from the error budget into a column-average dry mole fraction, we use the mean total percent error across all 3 stated days used in the error budget (18 February, 11 June, and 23 July 2019) binned by SZA in 5° increments. We interpolate this to the mean SZA of all spectra used in the in situ comparison for that gas and multiply this interpolated mean percentage by the mean TC-CON  $X_{\rm gas}$  value across all the in situ comparisons. We then add the error from the in situ data to the error budget value in quadrature for comparison to the MAD. The results are presented in Table 5.

It is important to acknowledge that the MAD values calculated from the in situ comparison are (for most gases) potentially less than the error budget for several reasons. First, in situ profiles are usually taken when the target TCCON station is near optimal performance; thus, those comparisons are un-

likely to capture the full range of error sources. Second, the in situ profiles are heavily concentrated over certain TCCON sites, also limiting how representative they are. Finally, the TCCON  $X_{\rm gas}$  values compared against the in situ values are averaged over a minimum of 2 h. This will reduce sources of random error. However, we believe this is still a worthwhile evaluation of measurement accuracy because (a) there is real physical variation in the atmosphere during the in situ profile, and the time averaging is necessary to account for that, and (b) many of the factors considered in the error budget will not average out over the coincidence window. For example, angular or shear misalignment of the instrument would be essentially constant over an entire day.

xwco2 - 20190611

50

40

Solar Zenith Angle (°)

80

continuum

pointing offset prior co enhanced

prior h2o/hdo

prior pressure prior shift

prior temperat

20

osds

psurf

zlo

angulai

For three  $X_{\rm gas}$  products ( $X_{\rm CO_2}$ ,  $X_{\rm ICO_2}$ , and  $X_{\rm CH_4}$ ) the MAD and error budget estimates are similar, which gives us confidence in the error budget estimates. For  $X_{\rm wCO_2}$ , the error budget estimate is much larger than the MAD value. It may be that the error budget tested larger errors in the stratosphere temperature or VMR prior profile than those that were observed during the in situ comparisons as the  $X_{\rm wCO_2}$  prod-

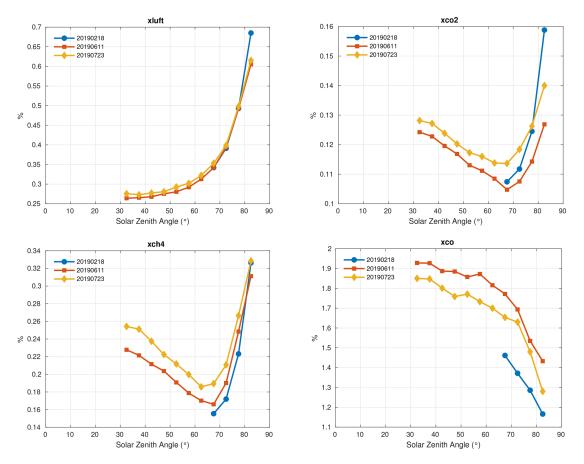


Figure 26. These figures show the sum in quadrature of all the errors plotted in Fig. 23 for all three dates. The errors plotted here are for  $X_{\text{luft}}$ ,  $X_{\text{CO}_2}$ ,  $X_{\text{CH}_4}$ , and  $X_{\text{CO}}$ .

**Table 5.** A comparison of typical errors calculated from the differences between TCCON and in situ  $X_{\rm gas}$  values ("MAD" in the table, i.e., mean absolute deviation), errors calculated from the error budget ("Budget" in the table), and the quadrature difference of the error budget and in situ error ("MAD – in situ"). "Gas" indicates which  $X_{\rm gas}$  product the error is for and the units of the values in the last four columns. "SZA" gives the solar zenith angle for which the error budget percent was taken to calculate the "Error budget" column.  $\epsilon_{\rm in\ situ}$  gives the total  $2\sigma$  uncertainty of the in situ data. The first number in this column is the result of formally propagating the in situ error into its MAD value, and the second number in parentheses is the simple mean across all the TCCON / in situ comparisons. Note that  $\epsilon_{\rm in\ situ}$  includes estimated uncertainty due to unmeasured parts of the profile; see Table C7 in the Appendix for a breakdown of the individual error components.

Gas	SZA	MAD	MAD – in situ	Budget	€in situ
$X_{\text{CO}_2}$ (ppm)	46°	0.42	0.41	0.47	0.053 (0.30)
$X_{\text{wCO}_2}$ (ppm)	46°	0.43	0.42	1.8	0.062 (0.36)
$X_{\rm lCO_2}$ (ppm)	46°	0.75	0.75	0.66	0.038 (0.24)
$X_{\text{CH}_4}$ (ppb)	46°	4.9	4.5	3.9	2.0 (9.6)
$X_{\rm CO}$ (ppb)	43°	8.1	7.6	1.7	2.8 (14.0)
$X_{\rm H_2O}$ (ppm)	52°	140	100	33	100 (950)

uct is more sensitive to the upper atmosphere than the other  $CO_2$  products in GGG2020. Pressure errors could be another source of the overestimation, but the pressure perturbation test was designed to avoid introducing an overly large perturbation to the stratosphere. As we treat the in situ-derived errors as a lower estimate, this situation is acceptable but will be investigated in the future.

Both  $X_{\rm CO}$  and  $X_{\rm H_2O}$  had larger MAD values than their respective error budgets. For  $X_{\rm CO}$ , the difference in error estimates is 5.9 ppb. The "MAD – in situ" column uses the propagated value for  $\epsilon_{\rm in \ situ}$  (2.8 ppb). The uncertainty in individual comparisons (the parenthetical numbers in Table 5) is quite a bit larger; if part of this error is systematic (such as from drift in calibration tanks,

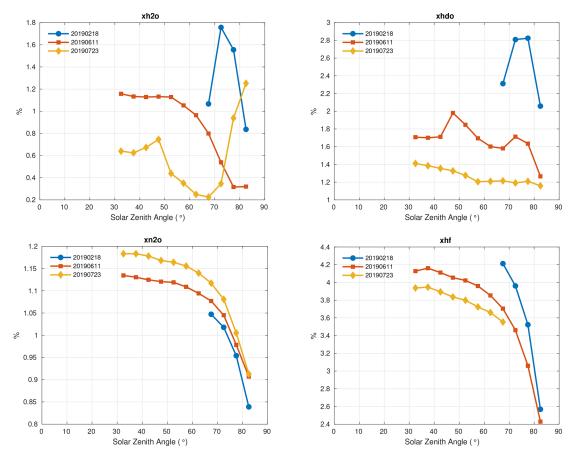


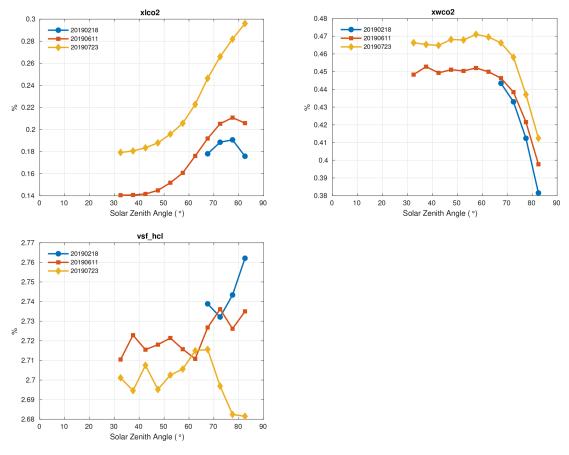
Figure 27. As in Fig. 26 but for  $X_{\text{H}_2\text{O}}$ ,  $X_{\text{H}_2\text{O}}$ ,  $X_{\text{H}_2\text{O}}$ ,  $X_{\text{H}_2\text{O}}$ , and  $X_{\text{H}_5}$ .  $X_{\text{H}_5}$  values above 68° SZA are not available on 23 July 2019 because the HF lines were blacked out by H<sub>2</sub>O absorbance.

e.g., Andrews, 2019), that could explain the remaining difference. For  $X_{H_2O}$ , this is because of uncertainty in the radiosondes used to be compared against. The radiosondes used at ARM have a 4% or 5% uncertainty in relative humidity (https://www.arm.gov/publications/tech\_ reports/handbooks/sonde handbook.pdf, last access: 10 April 2023). When we propagate this uncertainty to the mean absolute deviation, it works out to 100 ppm. Subtracting this in quadrature from the MAD estimate reduces the mismatch in error estimates, but  $\sim 70 \, \mathrm{ppm}$  remains. We do note that, in Fig. 16f, the TCCON and radiosonde values for  $X_{\text{luft}} \approx 1$ (i.e., when the TCCON instrument was operating best) seem to be systematically > 1 at Darwin and < 1 at Lamont, suggesting that subtracting the in situ bias in quadrature might be underestimating its impact on the TCCON-radiosonde  $X_{\text{H}_2\text{O}}$ mismatch.

## 10 Code and data availability

All TCCON GGG2020 data are linked through https://tccondata.org (last access: 22 April 2024) and stored as DOI-tagged data sets on CaltechDATA (https://data.caltech.edu, last access: 22 April 2024). Each

TCCON site has a separate repository and DOI on Caltech-DATA; these are listed in Table 2. If a future correction requires a revision of previously published data, that revision will receive a new DOI. Users are encouraged to check https://tccondata.org for the latest revisions of data rather than relying on Table 2. A repository containing the full set of TCCON GGG2020 data is also available on CaltechDATA with the DOI https://doi.org/10.14291/TCCON.GGG2020 (Total Carbon Column Observing Network (TCCON) Team, 2022). Users are asked to cite the individual sites' data records rather than the combined record as this helps track usage of site data and thus support the ongoing operation of these sites. We provide a citation generhttps://tccondata.org/metadata/siteinfo/genbib/ ator (last access: 22 April 2024). All data are provided in netCDF format, and additional documentation for the data is available at https://tccon-wiki.caltech.edu/ The **TCCON** community, 2024. The GGG2020 archived retrieval software is on CaltechDATA (https://doi.org/10.14291/tccon.ggg2020.stable.R0, 2023), as well as being publicly available through GitHub at https://github.com/TCCON/GGG (last access: 11 July 2023).



**Figure 28.** As in Fig. 26 but for  $X_{1CO_2}$ ,  $X_{wCO_2}$ , and HCl scale factors (vsf\_hcl).

**Table 6.** Known biases in GGG2020 data along with current action taken by the TCCON data providers or recommended for users to take to mitigate the impact of each bias. The final column identifies future plans to correct each bias, with the GGG version in which those corrections will be implemented.

Issue	Current mitigation	Future correction
$X_{\rm CO_2}$ bias correlated with $X_{\rm luft}$	Data with out-of-family $X_{\text{luft}}$ excluded from public files; effect on public data passing this filter should be $< 0.5 \text{ ppm}$ .	Empirical bias correction (GGG2020.1); improve ILS treatment (future major version).
$X_{\rm N_2O}$ bias correlated with temperature	Users should be cautious when interpreting seasonal patterns of $X_{N_2O}$ .	Empirical bias correction (GGG2020.1); improve $N_2O$ spectroscopy (future major version).
$X_{\text{CH}_4}$ air mass dependence correlates with temperature	None; effect expected to be minor.	Empirical bias correction if needed (GGG2020.2); improve CH <sub>4</sub> spectroscopy (future major version).

## 11 Conclusions

The GGG2020 TCCON data product incorporates numerous improvements to the GGG retrieval, based both on first-principle understanding and empirical evaluation. To review, we note the following:

 The interferogram-to-spectrum conversion has added checks and diagnostics for detector nonlinearity or saturation, as well as a modification to the phase correction that reduces bias between forward and reverse scans of the interferometer.

 The solar and telluric spectroscopic line lists used in the GGG forward model have been updated to reflect new laboratory and atmospheric and solar observing studies, to include non-Voigt line shapes and line mixing, and to reduce an observed temperature and water dependence in the  $O_2$  column amounts.

- The a priori inputs of atmospheric state (temperature, pressure, and composition) have increased temporal resolution, and the trace gas profiles have been updated to better reflect both atmospheric growth rates of key species and gradients in their mixing ratios across the tropopause.
- Improvements have been made to fitting the continuum and channel fringes in the spectra.
- A more flexible air mass correction was applied to the X<sub>gas</sub> value from individual spectral windows rather than multi-window averages of said values.
- We made a change to how retrieved X<sub>gas</sub> values from multiple spectral windows measuring the same gas are averaged together that eliminates the dependence on how many observations were averaged at once.
- We applied an updated in situ correction factor that increases the number of profiles used to tie TCCON to the calibration scales used by in situ GHG measurements.
- We made improvements in terms of user friendliness in how AKs and prior profiles are reported in public files.

There remains work to be done to further improve the TC-CON data product. Implementing the capability in GGG to account for errors in ILS remains a high priority. This was planned for inclusion in GGG2020 but could not be completed in time. It is expected that this capability will be an important tool to eliminate the  $X_{\rm CO_2}$  bias seen in comparison with in situ profiles as  $X_{\rm luft}$  deviates from its nominal 0.999 value. A second high-priority objective is to investigate the temperature dependence seen in the N<sub>2</sub>O and (to a much lesser extent) CH<sub>4</sub> data and to correct the underlying spectroscopic terms.

We currently plan to develop minor releases, GGG2020.1 and GGG2020.2, within the next several years to address the highest-priority issues (Table 6) that will include additional post-processing bias corrections to address the bias of  $X_{\rm CO_2}$  versus  $X_{\rm luft}$  and  $X_{\rm N_2O}$  and  $X_{\rm CH_4}$  versus temperature. This may allow us to release data from the early years of several sites, currently flagged as poor quality due to out-of-bounds  $X_{\rm luft}$ , as well as to improve the  $X_{\rm N_2O}$  data substantially. As this would be a post-processing-only update, the reprocessing could be completed very rapidly.

At time of writing, 26 TCCON sites have reprocessed their existing data with GGG2020. Several sites are still in the process of carrying out this reprocessing, in many cases to improve the data quality based on new diagnostics available in GGG2020. Work is ongoing toward completing these sites' reprocessing. Extensions to the existing data records will be released monthly going forward.

# Appendix A: Abbreviations and additional tables

Abbreviations used in this paper are listed in Table A1. The retrieval windows used in GGG2020 are given in Tables A2 and A3.

**Table A1.** Abbreviations used in this paper.

Abbreviation	Meaning	Notes
ADCF	Air-mass-dependent correction factor	See SZA note.
AICF	Air-mass-independent correction factor	Also called the "in situ correction factor".
AK	Averaging kernel	Refers to column averaging kernels unless otherwise indicated
CBF	Continuum basis function	
FT	Free troposphere	
FFT	Fast Fourier transform	
FOV	Field of view	
FTIR	Fourier transform infrared	
FTS	Fourier transform spectrometer	
FVSI	Fraction variation in solar intensity	
ILS	Instrument line shape	
IR	Infrared	
GGG	_	The name of the retrieval, not an abbreviation
GHG	Greenhouse gas	
LM	Line mixing	
MIR	Mid-infrared	
MOPD	Maximum optical path difference	
MOPITT	Measurements of Pollution in the Troposphere	An instrument on the Terra satellite
NDIR	Nondispersive infrared	
near-IR	Near-infrared	
OSDS	Observer-sun Doppler stretch	
RH	Relative humidity	
rms	Root mean square/squared	
qSDV	Quadratic speed-dependent Voigt	
SZA	Solar zenith angle	SZA and air mass dependence are used equivalently.
TCCON	Total Carbon Column Observing Network	
UTC	Coordinated universal time	
VMR	Volume mixing ratio	
VSF	VMR scale factor	
$X_{\rm gas}$	Column-average dry mole fraction	" $X_{\rm gas}$ " is generic; " $X_{\rm CO_2}$ ", " $X_{\rm CH_4}$ ", etc. are specific.
$X_{\text{luft}}$	Column-average dry mole fraction of dry air	This is a diagnostic quantity defined in Eq. (4).
ZLO	Zero-level offset	
ZPD	Zero-path difference	

**Table A2.** Retrieval windows used for CO, N<sub>2</sub>O, CH<sub>4</sub>, O<sub>2</sub>, and the three CO<sub>2</sub> products in GGG2020. "Freq. range" gives the edges of the window. "Target gas" gives the gas whose column abundance is obtained from that window. "Other gases" lists gases that are retrieved as interferents in that window (note that the ICO<sub>2</sub> window includes several CO<sub>2</sub> isotopologs, and the O<sub>2</sub> window includes the O<sub>2</sub> collision-induced absorption). "qSDV" and "LM" indicate which species in that window include non-Voigt speed-dependent and line-mixing line shape information, respectively, for their main lines (Sect. 6.2). A dash in these columns indicates no gas has speed dependence or line-mixing information, respectively. Note that CH<sub>4</sub> uses full line mixing, while CO<sub>2</sub> uses the Rosenkranz approximation. "No. CBF" indicates the number of basis functions used to fit the continuum in that window (Sect. 7).

Freq. range (cm <sup>-1</sup> )	Target gas	Other gases	qSDV	LM	No. CBFs
4262.2 to 4318.8	СО	CH <sub>4</sub> , H <sub>2</sub> O, HDO	_	_	4
4373.5 to 4416.9	$N_2O$	$CH_4$ , $H_2O$ , $HDO$	_	_	4
4418.6 to 4441.7	$N_2O$	$CH_4$ , $H_2O$ , $HDO$ , $CO_2$	_	_	2
4682.9 to 4756.1	$N_2O$	$CH_4$ , $H_2O$ , $CO_2$	_	_	3
5880.0 to 5996.0	$CH_4$	$CO_2$ , $H_2O$ , $N_2O$	$CH_4$	$CH_4$	4
5996.4 to 6007.6	$CH_4$	$CO_2$ , $H_2O$ , $HDO$	$CH_4$	$CH_4$	2
6007.0 to 6145.0	$CH_4$	$CO_2$ , $H_2O$ , $HDO$	$CH_4$	$CH_4$	5
4809.7 to 4896.0	$ICO_2$	<sup>13</sup> CO <sub>2</sub> , C <sup>16</sup> O <sup>18</sup> O, C <sup>16</sup> O <sup>17</sup> O, H <sub>2</sub> O, HDO	$ICO_2$	$ICO_2$	3
6041.8 to 6105.2	$wCO_2$	$H_2O$ , $CH_4$	$CH_4$	$CH_4$	2
6180.0 to 6260.0	$CO_2$	$H_2O$ , $HDO$ , $CH_4$	$CO_2$	$CO_2$	3
6297.0 to 6382.0	$CO_2$	H <sub>2</sub> O, HDO	$CO_2$	$CO_2$	3
7765.0 to 8005.0	$O_2$	$O_2$ CIA, $H_2O$ , HF, $CO_2$ , HDO	$O_2$	-	5

**Table A3.** Same as Table A2 but for HF, H<sub>2</sub>O, HDO, and HCl.

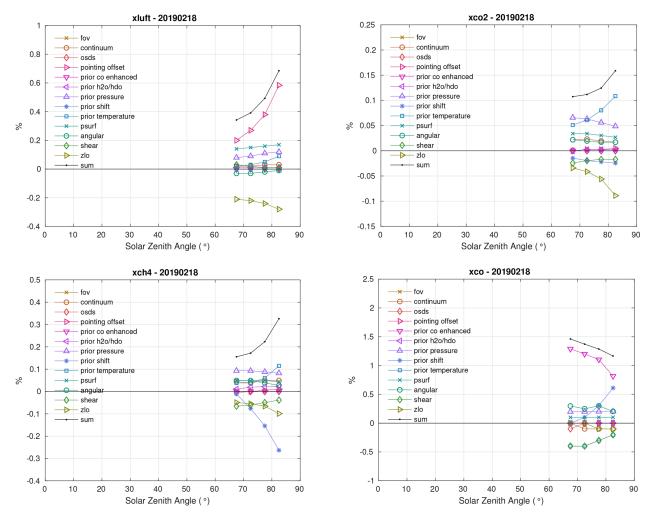
Freq. range (cm <sup>-1</sup> )	Target gas	Other gases	qSDV	LM	No. CBFs
4038.8 to 4039.1	HF	H <sub>2</sub> O	_	_	2
4563.9 to 4566.4	$H_2O$	$CO_2$ , $CH_4$	_	_	2
4568.8 to 4571.9	$H_2O$	$CO_2$ , $CH_4$	_	_	2
4570.5 to 4573.0	$H_2O$	$CO_2$ , $CH_4$	_	_	2
4575.9 to 4577.8	$H_2O$	$CH_4$	_	_	2
4593.3 to 4604.1	$H_2O$	$CH_4$ , $CO_2$ , $N_2O$	_	_	2
4609.9 to 4612.2	$H_2O$	$CH_4$ , $CO_2$ , $N_2O$	_	_	2
4620.9 to 4623.1	$H_2O$	$CO_2$ , $N_2O$	_	_	2
4630.9 to 4632.2	$H_2O$		_	_	2
4697.6 to 4701.6	$H_2O$	$CO_2$ , $N_2O$	_	_	2
4731.0 to 4738.2	$H_2O$	$CO_2$ , $N_2O$	_	_	2
4755.8 to 4766.5	$H_2O$	$CO_2$	_	_	2
6075.0 to 6078.8	$H_2O$	$CH_4$ , $HDO$ , $CO_2$	_	_	2
6098.9 to 6099.8	$H_2O$	$CO_2$	_	_	2
6125.1 to 6126.6	$H_2O$	$HDO, CO_2, CH_4$	_	_	2
6176.9 to 6178.1	$H_2O$	$HDO, CO_2, CH_4$	_	_	2
6254.1 to 6257.8	$H_2O$	$CO_2$ , HDO	$CO_2$	$CO_2$	2
6297.4 to 6305.3	$H_2O$	$CO_2$ , HDO	_	_	2
6390.9 to 6394.0	$H_2O$	HDO	_	_	2
6400.6 to 6401.7	$H_2O$	$HDO, CO_2$	_	_	2
6467.9 to 6471.4	$H_2O$	$CO_2$ , HDO	_	_	2
4052.9 to 4056.2	HDO	$H_2O$ , $CH_4$	_	_	2
4063.2 to 4072.0	HDO	$H_2O$ , $CH_4$	_	_	2
4112.1 to 4120.1	HDO	$H_2O$ , $CH_4$	_	_	2
4211.5 to 4213.4	HDO	$H_2O$ , $CH_4$	_	_	2
4227.0 to 4238.0	HDO	$H_2O$ , $CH_4$ , $CO$	_	_	2
6307.3 to 6352.8	HDO	$H_2O, CO_2$	_	_	4
6352.3 to 6402.5	HDO	$H_2O, CO_2$	$CO_2$	$CO_2$	4
6437.4 to 6478.8	HDO	$H_2O, CO_2$	_	_	4
5624.9 to 5625.2	HCl	$H_2O$ , $CH_4$	_	_	2
5687.1 to 5688.2	HCl	$H_2O, CH_4$	_	_	2
5701.6 to 5702.4	HCl	$H_2O$ , $CH_4$	_	_	2
5734.8 to 5735.3	HCl	$H_2O$ , $CH_4$	_	_	2
5738.5 to 5740.0	HCl	$H_2O$ , $CH_4$	_	_	2

## Appendix B: Error budget

For completeness, we include the error budget figures equivalent to Figs. 23–25 for February and July at East Trout Lake in Figs. B1 to B6. February is extremely cold (-30 to  $-15^{\circ}$ C) and dry (<500 ppm  $X_{\rm H_2O}$ ), with short days and large solar zenith angles. July is warm (20 to 30 °C) and humid (3000 to 4500 ppm  $X_{\rm H_2O}$ ), causing the HF absorption feature to be blacked out by adjacent H<sub>2</sub>O lines at higher solar zenith angles, causing unreliable retrievals of HF.

## B1 ILS

We created synthetic spectra in GGG2020 with different ILS errors following the formulation for the "shear" and "angular" misalignments tested for the GGG2014 error budget and for the new formulation in GGG2020. We then passed these synthetic spectra through an ILS quantification program called LINEFIT (v14.8) (Hase et al., 1999), which calculates the modulation efficiency and phase error of the spectra. Here, we plot the LINEFIT-derived modulation efficiencies for these four cases in Fig. B7. The GGG2020 shear and angular misalignments represent a ramp-up and ramp-down from 1.0 at zero path difference to 5% offsets at 45 cm optical path difference, as expected. Unfortunately, the GGG2014 "shear" and "angular" misalignments both model shear misalignments of different magnitudes. The GGG2014



**Figure B1.** The 18 February 2019 error budget from East Trout Lake. The figures show the percent difference between the perturbed test and the standard retrieval plotted as a function of solar zenith angle. The retrievals plotted here are  $X_{\text{luft}}$ ,  $X_{\text{CO}_2}$ ,  $X_{\text{CH}_4}$ , and  $X_{\text{CO}}$ .

"shear" case is, in fact, more like a 15 % ramp up as a function of optical path difference, and the GGG2014 "angular" case is more like a 3 % ramp up. This will essentially double the inferred error from the ILS in GGG2014 when compared with GGG2020.

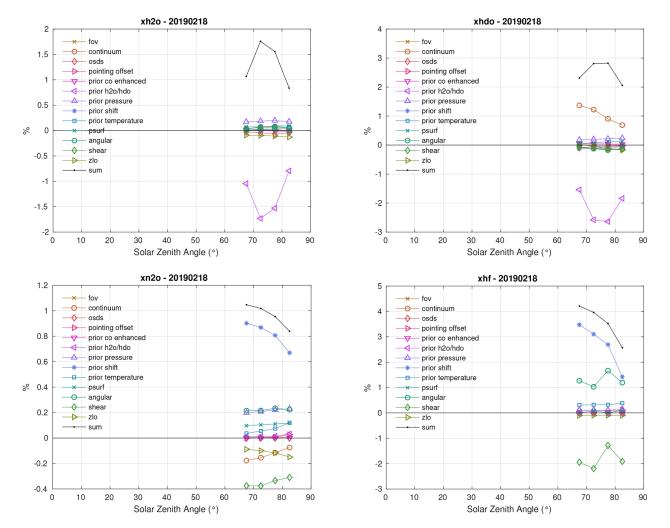
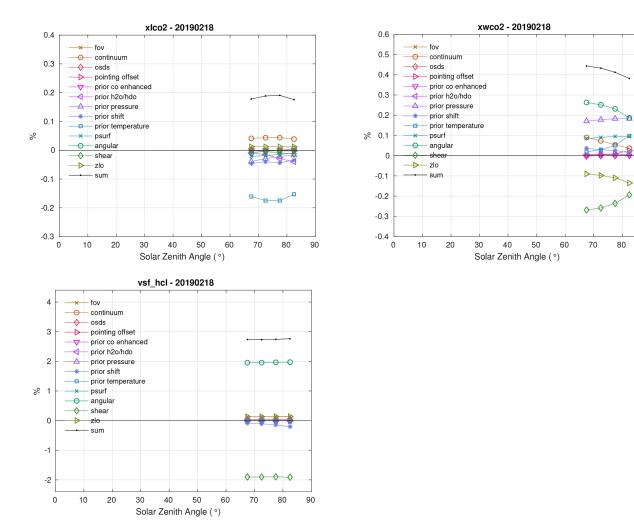


Figure B2. As in Fig. B1 but for  $X_{\rm H2O}, X_{\rm HDO}, X_{\rm N2O},$  and  $X_{\rm HF}.$ 

80

90



**Figure B3.** As in Fig. B1 but for  $X_{1\text{CO}_2}$ ,  $X_{\text{wCO}_2}$ , and HCl scale factors (vsf\_hcl).

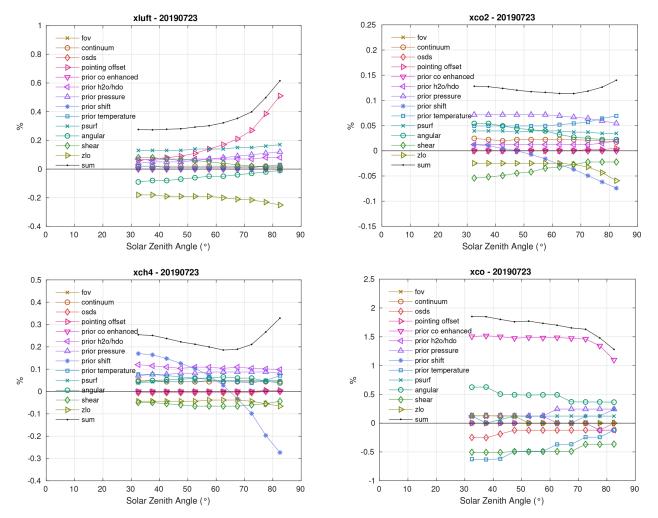
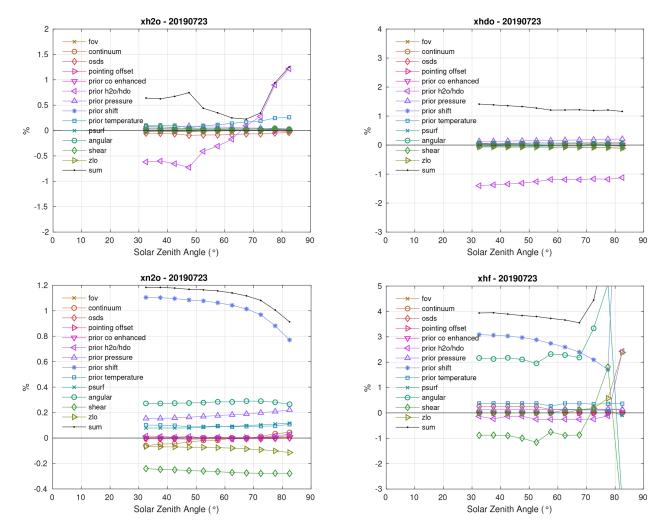
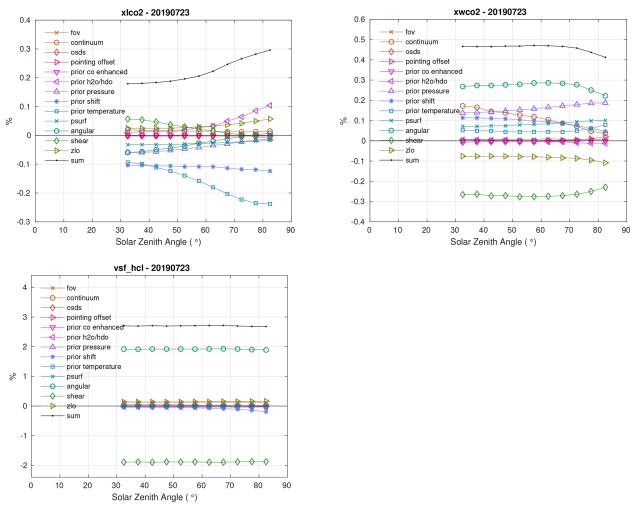


Figure B4. The 23 July 2019 error budget from East Trout Lake. The figures show the percent difference between the perturbed test and the standard retrieval plotted as a function of solar zenith angle. The retrievals plotted here are  $X_{\text{luft}}$ ,  $X_{\text{CO}_2}$ ,  $X_{\text{CH}_4}$ , and  $X_{\text{CO}}$ .



**Figure B5.** As in Fig. 23 but for  $X_{\rm H_2O}$ ,  $X_{\rm HDO}$ ,  $X_{\rm N_2O}$ , and  $X_{\rm HF}$ .



**Figure B6.** As in Fig. B4 but for  $X_{1CO_2}$ ,  $X_{wCO_2}$ , and HCl scale factors (vsf\_hcl).

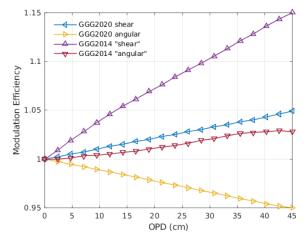


Figure B7. The modulation efficiencies tested in GGG2014 and GGG2020.

## Appendix C: AICF profile selection

# C1 CO<sub>2</sub>, CH<sub>4</sub>, CO

In situ profiles for CO2, CH4, and CO were drawn primarily from the NOAA CO2 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2019), the NOAA CH<sub>4</sub> ObsPack (Cooperative Global Atmospheric Data Integration Project, 2020), the NOAA AirCore data set (Baier et al., 2021), additional AirCore launches at the Sodanklyä and Nicosia TCCON sites, the Infrastructure for Measurement of the European Carbon Cycle (IMECC) campaign, and the GO-AMAZON campaign. The ObsPack contains data from numerous providers across different institutions; Tables C1 and C2 provide a detailed breakdown. For the NOAA ObsPack Aircraft and AirCore profiles, the procedure used to match these data to TCCON sites will be detailed in the following subsections. For the remaining sources, the profiles were already associated with specific TCCON sites; thus, no colocation was required.

All airborne data sources used for these profiles are listed in Tables C1 and C2. Ground data used to extend some of the profiles to the surface are listed in Table C3.

## C1.1 ObsPack

The ObsPack data are provided as a single time series per measurement campaign or similar source. To extract individual profiles from these files, we performed the following:

- 1. We scanned all files for data points within 2° (total distance) of an active TCCON site. When one was found, we stored the list of data points surrounding it in time within a box of 10° longitude and 5° latitude, centered on the TCCON site as a "chunk". A chunk extends forward and backward in time from the point closest to the TCCON site and stops at the first data point in each direction that is outside the 10° × 5° box. Any profiles derived from this chunk were assigned to the TCCON station it passed closest to.
- 2. We further filtered the chunks based on the lowest altitude, highest altitude, number of data points, and minimum distance to a TCCON site. This step was done interactively to find the filtering criteria that gave the best balance between the number of chunks retained and the usefulness of the profile(s) within the chunk. The final criteria used were
  - minimum altitude below 2000 m
  - maximum altitude above 7500 m
  - at least 20 data points
  - approached within 0.1° of a TCCON station.
- 3. These filtered chunks were then individually evaluated, and specific data points within them were chosen by

hand to be used as profiles. In this process, we considered the latitude–longitude position of the aircraft, the profile of altitude versus time, and the profile of  $\mathrm{CO}_2$  or  $\mathrm{CH}_4$  versus altitude. We generally selected as profiles times when the aircraft was consistently ascending or descending and excluded times of level flight. However, this had to be handled on a case-by-case basis to allow for profiles with a period of level flight in between two legs of an ascent or descent. If a chunk contained multiple ascending or descending legs, we would split them if

- there was a clear separation in time, or
- the legs measured different air masses (evidenced by different CO<sub>2</sub> or CH<sub>4</sub> dry mole fractions).
- 4. For each profile, we checked for ground data in the ObsPack that can be used to extend the profile to the surface. We identified which ground files in the ObsPack were near which TCCON sites by hand. We interpolated any data within 4h of the lowest-altitude measurement in a profile to the time of the lowest-altitude profile measurement. In cases where ground data were only available before or after the lowest profile measurement, we used the closest ground data in time.

## C1.2 AirCore

As AirCore data intrinsically provide discrete profiles, matching these data to TCCON sites was much simpler. For NOAA AirCores, we search all files for those where the mean latitude and longitude of the profile were within 1° (total distance) of a TCCON site. We use a looser distance compared to the aircraft as it is unlikely that an AirCore would be within 1° of a TCCON site by happenstance if it was not intended to match with that TCCON. However, since it is possible that the balloon trajectory drifted significantly, depending on the winds, we use the looser distance criterion to allow for that.

## C2 H<sub>2</sub>O

Profiles for the H<sub>2</sub>O AICF come from radiosonde data provided by the Department of Energy Atmospheric Radiation Measurement (ARM) facility (Keeler et al., 2001). The data were downloaded from https: //adc.arm.gov/discovery/#/results/instrument\_class\_code:: sonde%2Fprimary\_meas\_type\_code::atmtemp (last access: 11 July 2023) in March 2021. Two ARM sites are close enough to TCCON locations to be useful: the Southern Great Plains (SGP) site's Central Facility (facility code C1) is near the Lamont, OK, USA, TCCON site, and the Tropical Western Pacific (TWP) site's Darwin facility (code C3) is near the Darwin, Australia, TCCON site.

These facilities produce more radiosonde observations than we can feasibly use in the AICF calculation; thus, we

**Table C1.** Airborne profile data used in the AICF calculation. "CO<sub>2</sub> ObsPack" is the CO<sub>2</sub> GLOBALVIEWplus v5.0 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2019), and "CH<sub>4</sub> ObsPack" is the CH<sub>4</sub> GLOBALVIEWplus v2.0 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2020). The "TCCON sites" column indicates at which sites data were used; the IDs are mapped to locations in Table 2, and the numbers of profiles per site are given in Tables C4 and C5. In the "Providers" column, affiliations are given in parentheses. If only one affiliation is listed, it applies to all individuals named. Abbreviations: NASA for National Aeronautics and Space Administration, LaRC for Langley Research Center, Harvard U. for Harvard University, CSUSB for California State University San Bernadino, GSFC for Goddard Space Flight Center, NCAR for National Center for Atmospheric Research, NOAA for National Oceanic and Atmospheric Administration, GML for Global Monitoring Laboratory, FMI for Finnish Meteorological Institute, CARE-C for Climate and Atmosphere Research Center, LSCE/IPSL for Laboratoire des Sciences du Climat et de l'Environnement.

Source	Campaign or ID	Providers	TCCON sites
CO <sub>2</sub> ObsPack	CO <sub>2</sub> Budget and Regional Airborne Study – Maine (COB2004)	Steve Wofsy (Harvard U.)	pa
CO <sub>2</sub> ObsPack	Deep Convective Clouds & Chemistry (DC3), DC8 aircraft	Andreas Beyersdorf (CSUSB) & Yonghoon Choi (SSAI)	oc
CO <sub>2</sub> ObsPack	Goddard Space Flight Center (GSFC)	Stephan Randolph Kawa, James Brice Abshire, & Haris Riris (NASA GSFC)	df, pa
CO <sub>2</sub> ObsPack	HIAPER Pole-to-Pole Observations (HIPPO)	Steve Wofsy (Harvard U.), & Britton Stephens (NCAR)	ll, wg
CO <sub>2</sub> ObsPack	Intercontinental Chemical Transport Experiment - North America (INTEX-NA)	Stephanie A. Vay (NASA LaRC) & Yonghoon Choi (SSAI)	pa
CO <sub>2</sub> ObsPack	Korea-United States Air Quality Study (KORUS-AQ)	Joshua P. DiGangi, & Yonghoon Choi (SSAI)	an, df, rj
CO <sub>2</sub> ObsPack	O <sub>2</sub> /N <sub>2</sub> Ratio and CO <sub>2</sub> Airborne Southern Ocean Study (ORCAS)	Britton Stephens (NCAR), Colm Sweeney (NOAA GML), Kathryn McKain (NOAA GML), Eric Kort (U. Michigan)	ос
CO <sub>2</sub> ObsPack	Studies of Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC4RS), ER-2 aircraft	Steve Wofsy (Harvard U.)	df
CO <sub>2</sub> ObsPack	Studies of Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC4RS), DC8 aircraft	Andreas Beyersdorf (CSUSB) & Yonghoon Choi (SSAI)	oc

must choose a subset. We use the following steps for each site:

- 1. Identify radiosonde profiles that are coincident with another trace gas profile (CO<sub>2</sub>, CO, CH<sub>4</sub>, or N<sub>2</sub>O).
- 2. Identify radiosonde profiles not in the set identified in step 1 that have at least 30 TCCON spectra within  $\pm 3$  h of the time of the profile's lowest-altitude measurement.
- 3. Combine the profiles from step 1 with randomly selected profiles from step 2 to collect 50 total profiles. We use a seed of 42 chosen in reference to *The Hitchhiker's Guide to the Galaxy* to ensure repeatability across runs.
- 4. Finally, remove any profiles from this set of 50 that have a maximum altitude < 15 km.

Once we have assembled a pool of radiosonde profiles, we convert the relative humidity (RH) values stored in the files to

water dry mole fractions. Based on the convention described in Miloshevich et al. (2006), we assume that the definition of RH is the ratio of water vapor pressure to the saturation water vapor pressure over liquid water and calculate the  $\rm H_2O$  dry mole fraction as

$$f_{\text{H}_2\text{O,wet}} = \frac{\text{RH} \cdot \text{SVP}}{p},$$
 (C1)

$$f_{\text{H}_2\text{O,dry}} = \frac{f_{\text{H}_2\text{O,wet}}}{1 - f_{\text{H}_2\text{O,wet}}},$$
 (C2)

where RH is the relative humidity as a fraction (i.e., 0 to 1), SVP is the saturation vapor pressure of water over liquid water calculated using Eq. (6) of Miloshevich et al. (2004) (see also Eq. 15 of Wexler, 1976), and p is the atmospheric pressure (in the same units as SVP).

Source	Campaign or ID	Providers	TCCON sites
CO <sub>2</sub> ObsPack	Stratosphere-Troposphere Analyses of Regional Transport (START-08)	Steve Wofsy (Harvard U.)	pa
CO <sub>2</sub> ObsPack	Atmospheric Tomography Mission (ATom)	Kathryn McKain (NOAA GML), Colm Sweeney (NOAA GML), Steve Wofsy (Harvard U.), Bruce Daube (Harvard U.), Roisin Commane (Harvard U.)	ae, df, eu, ll, oc, pa
Other CO <sub>2</sub>	NOAA Manaus	John Miller (NOAA GML)	ma
CH <sub>4</sub> ObsPack HIAPER Pole-to-Pole Observations (HIPPO)		Steve Wofsy, Greg Santoni, & Jasna Pittman (Harvard U.)	ll, oc, pa, wg
CH <sub>4</sub> ObsPack	Stratosphere-Troposphere Analyses of Regional Transport (START08)	Steve Wofsy (Harvard U.)	pa
CH <sub>4</sub> ObsPack	Atmospheric Tomography Mission (ATom)	Kathryn McKain & Colm Sweeney (NOAA GML)	ae, ci, df, eu, ll, oc, pa
IMECC Repository (CO <sub>2</sub> , CH <sub>4</sub> , CO)	Infrastructure for Measurement of the European Carbon Cycle (IMECC)	Various	bi, br, gm, je, ka, or
NOAA AirCores (CO <sub>2</sub> , CH <sub>4</sub> , CO)	n/a	Bianca Baier & Colm Sweeney (NOAA GML)	df,oc,pa,so
Sodankylä AirCores (CO <sub>2</sub> , CH <sub>4</sub> , CO)	n/a	Huilin Chen (RUG) & Rigel Kivi (FMI)	SO
Nicosia AirCores (CO <sub>2</sub> , CH <sub>4</sub> , CO)	n/a	Pierre-Yves Quéhé (CARE-C, Cyl) & Thomas Laemmel (LSCE/IPSL)	ni
Radiosondes (H <sub>2</sub> O)	Southern Great Plains (SGP) Lamont Central Facility and Tropical Western Pacific (TWP) Darwin Facility	ARM	db, oc

Table C2. Table C1 continued. Abbreviations: ARM for Atmospheric Radiation Monitoring facility. n/a – not applicable

# C3 Constructing full profiles

In order to ensure a proper comparison between the in situ and TCCON column amounts, the in situ profiles must extend to the top of the TCCON retrieval altitude grid at 70 km. No aircraft- or balloon-borne profile reaches this altitude; therefore, similarly to Wunch et al. (2010), we extend the in situ profiles using the GGG2020 prior profiles (Laughner et al., 2023).

The differences between Wunch et al. (2010) and our approach stem from the fact that (1) the GGG2020 priors do a better job of representing trace gas profiles in the stratosphere, and (2) we have enough additional profiles over TC-CON sites to be selective about which ones we use. This is why we filtered the ObsPack data to chunks that have data up to at least 7500 m altitude (Sect. C1.1) to limit the altitude that needs to be filled in above the top of the profile.

There are three ways that profiles are extended up to  $70\,\mathrm{km}$  altitude, depending on their top altitude:

1. If the profile's top is above 380 K potential temperature (i.e., reaches the stratospheric overworld) then we ap-

- pend the GGG2020 priors for levels above the profile top.
- 2. If the profile's top is below 380 K potential temperature but at or above 7.5 km then the in situ profile's values are binned to the same altitude grid (see below), and then we do a constant-value extrapolation of the top binned value up to the tropopause altitude. We use the GGG2020 prior above 380 K potential temperature again and connect the two parts of the profile by linearly interpolating the trace gas dry mole fractions with respect to potential temperature between the tropopause and 380 K. This case covers profiles where the top of the measured profile is expected to be a better representation of the unmeasured free troposphere than the GGG2020 priors.
- 3. If the profile's top is below 7.5 km, then we use the GGG2020 priors for all levels above the profile top. The case assumes that profiles that do not reach above 7.5 km do not constrain the free troposphere well enough to supplant the GGG2020 priors. While we filtered the ObsPack data for chunks that have data above

Table C3. Ground in situ data used in validating the priors. "CO<sub>2</sub> ObsPack" is the CO<sub>2</sub> GLOBALVIEWplus v5.0 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2019), and "CH<sub>4</sub> ObsPack" is the CH<sub>4</sub> GLOBALVIEWplus v2.0 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2020). The "TCCON sites" column indicates which sites profiles were used at, and the IDs are mapped to locations in Table 2. In the "Providers" column, affiliations are given in parentheses. If only one affiliation is listed, it applies to all individuals named. Abbreviations: NDIR for nondispersive infrared, NOAA GML for National Oceanic and Atmospheric Administration Global Monitoring Laboratory, PSU for Pennsylvania State University, U. of WI for University of Wisconsin, USGS for United States Geological Survey, LBNL for Lawrence Berkeley National Laboratory, ARM for Atmospheric Radiation Measurement, CRDS for cavity ring-down spectroscopy, NIWA for National Institute of Water & Atmospheric Research Ltd.

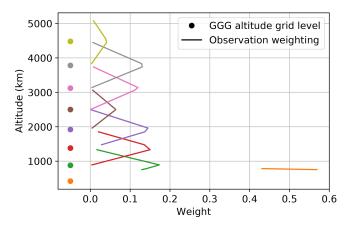
Measurement type	Providers/partners	Location	TCCON site
Programmable flask packages	Arlyn Andrews (NOAA GML), Peter Bakwin, Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Bau- mann (USGS)	Park Falls, WI, USA	pa
LI-COR NDIR on tower	Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Baumann (USGS)	Park Falls, WI, USA	pa
CRDS on tower	Sebastien Biraud & Margaret Torn (LBNL)	Southern Great Plains ARM site, OK, USA	oc
Programmable flask packages	Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)	Park Falls, WI, USA	pa
CRDS on tower	Arlyn Andrews (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)	Park Falls, WI, USA	pa
Flask	Ed Dlugokencky (NOAA GML), Sebastien Biraud (LBNL), & Margaret Torn (LBNL)	Southern Great Plains ARM site, OK, USA	oc
CRDS on tower	Sebastien Biraud & Margaret Torn (LBNL)	Southern Great Plains ARM site, OK, USA	oc
LI-COR 7000 NDIR (CO <sub>2</sub> ), in situ GHG FTS (CH <sub>4</sub> )	Dan Smale (NIWA)	Lauder, New Zealand	11
	Programmable flask packages  LI-COR NDIR on tower  CRDS on tower  Programmable flask packages  CRDS on tower  Flask  CRDS on tower  LI-COR 7000 NDIR (CO <sub>2</sub> ), in situ	Programmable flask packages  Arlyn Andrews (NOAA GML), Peter Bakwin, Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Baumann (USGS)  LI-COR NDIR on tower  Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Baumann (USGS)  CRDS on tower  Sebastien Biraud & Margaret Torn (LBNL)  Programmable flask packages  Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)  CRDS on tower  Arlyn Andrews (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)  Flask  Ed Dlugokencky (NOAA GML), Sebastien Biraud (LBNL), & Margaret Torn (LBNL)  CRDS on tower  Sebastien Biraud & Margaret Torn (LBNL)  LI-COR 7000 NDIR (CO2), in situ  Dan Smale (NIWA)	Programmable flask packages  Arlyn Andrews (NOAA GML), Peter Bakwin, Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Baumann (USGS)  LI-COR NDIR on tower  Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ken Davis (PSU), Ankur Desai (U. of WI), & Dan Baumann (USGS)  CRDS on tower  Sebastien Biraud & Margaret Torn (LBNL)  Park Falls, WI, USA  Southern Great Plains ARM site, OK, USA  Programmable flask packages  Arlyn Andrews (NOAA GML), Ed Dlugokencky (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)  CRDS on tower  Arlyn Andrews (NOAA GML), Ankur Desai (U. of WI), & Dan Baumann (USGS)  Flask  Ed Dlugokencky (NOAA GML), Sebastien Biraud (LBNL), & Margaret Torn (LBNL)  Southern Great Plains ARM site, OK, USA  CRDS on tower  Sebastien Biraud & Margaret Torn (LBNL)  Southern Great Plains ARM site, OK, USA  LI-COR 7000 NDIR (CO <sub>2</sub> ), in situ  Dan Smale (NIWA)  Lauder, New Zealand

**Table C4.** The number of profiles in the CO<sub>2</sub> in situ correction from each campaign or other data source identified and used for each TCCON site. The "Found" column gives the number of profiles identified for that campaign and site, and the "Used" column gives the number of those profiles which could be used in the in situ comparison after matching with TCCON data. The definitions of the site IDs can be found in Table 2; "we" refers to an instrument in Jena, Germany, for which GGG2020 data were not available at time of writing.

Campaign	Site	Found	Used	Campaign	Site	Found	Used
ATom	ae	4	0	INTEX-NA	pa	3	3
	df	1	1	KORUS-AQ	an	1	1
	eu	2	0		df	1	1
	11	4	4		rj	2	2
	oc	1	0	ORCAS	oc	1	1
	pa	1	1	SEAC4RS	df	1	1
COB2004	pa	5	4		oc	2	0
DC3	oc	3	2	START-08	pa	2	0
GO-Amazon	ma	2	1	AirCore	df	3	3
GSFC	df	8	7		ni	3	2
	pa	2	2		oc	19	13
HIPPO	11	7	5		pa	2	2
	wg	1	0		so	16	9
IMECC	bi	2	2				
	br	2	0				
	gm	1	1				
	je	1	0				
	ka	1	0				
	or	2	0				

Campaign	Site	Found	Used	Campaign	Site	Found	Used
ATom	ae	4	0	IMECC	bi	2	2
	ci	2	1		br	2	0
	df	1	1		gm	1	0
	eu	1	0		je	1	0
	11	1	1		ka	1	0
	oc	1	0		or	2	0
	pa	1	1	START-08	pa	2	1
HIPPO	11	5	3	AirCore	df	3	3
	oc	4	1		ni	3	2
	pa	1	0		oc	19	13
	wg	1	0		pa	2	2
					so	16	9

**Table C5.** Same as Table C4 but for the CH<sub>4</sub> in situ correction.



**Figure C1.** An example of the weighting functions from Eq. (C4). Lines indicate the weights applied to the observed mole fractions, and circles indicate the GGG altitude grid levels that correspond to those weights – like colors match.

7.5 km, we still have a few profiles with ceilings below 7.5 km from chunks that needed to be split into multiple profiles.

For no. 2, we calculate the binned in situ profile values for the highest altitude of the GGG retrieval grid below the in situ profile's ceiling  $(z_{\text{GGG,k}})$  as follows:

$$\overline{f}_{\text{obs}} = \frac{\sum_{i=1}^{n_{\text{obs}}} w_i f_{\text{obs},i}}{\sum_{i=1}^{n_{\text{obs}}} w_i},$$
(C3)

 $w_i =$ 

$$\begin{cases} (z_{\text{obs},i} - z_{\text{GGG},k-1})/(z_{\text{GGG},k} - z_{\text{GGG},k-1}) & \text{if } z_{\text{GGG},k-1} \le z_{\text{obs},i} < z_{\text{GGG},k} \\ (z_{\text{GGG},k+1} - z_{\text{obs},i})/(z_{\text{GGG},k+1} - z_{\text{GGG},k}) & \text{if } z_{\text{GGG},k} \le z_{\text{obs},i} < z_{\text{GGG},k+1} \\ 0 & \text{otherwise} \end{cases} . \tag{C4}$$

Figure C1 shows an example of the weights for one short profile at the Armstrong TCCON site.

There is a special case for  $CH_4$  applied when integrating the in situ profile to calculate the in situ-derived  $X_{CH_4}$ . Previous work (e.g., Washenfelder et al., 2003; Saad et al.,

2014, 2016) established that there is a strong correlation between CH<sub>4</sub> and HF in the stratosphere. Since this correlation is encoded into the GGG2020 priors (Laughner et al., 2023), we can use the difference between the prior and posterior HF column (which is almost entirely found in the stratosphere) from the TCCON retrievals to adjust the levels in the in situ CH<sub>4</sub> profiles that use the GGG2020 profiles.

Specifically, when calculating the in situ  $X_{\rm CH_4}$ , we get the slope of CH<sub>4</sub> vs. HF mixing ratios used by the GGG2020 priors for the year and region (tropics, midlatitudes, or polar vortex) of the profile (see Sect. 3.5 and Fig. 11 of Laughner et al., 2023). We then multiply this slope by the difference between the prior and median posterior HF profile of all the TCCON observations matched with the in situ profile in question in order to get the expected change in the CH<sub>4</sub> priors to better match the true stratospheric profile. Finally, we multiply this profile difference by the TCCON AK and integrate only the levels in the total in situ profile obtained from the GGG2020 priors. The integration uses Eq. (10) and adds the integrated change to the in situ  $X_{\rm CH_4}$  as a posterior adjustment.

Again, note that this correction is only applied when integrating the in situ profiles to obtain the true  $X_{\rm CH_4}$  value to compare the TCCON retrievals against. When using the in situ profiles as priors in the TCCON retrievals, the levels taken from the GGG2020 priors are not adjusted in this fashion.

## C4 Grouping temporally proximate profiles

There are several cases where multiple profiles are available within a short time of each other (such as different legs of a missed approach or duplicate AirCore launches). Because we use the observed profiles as the prior in the TCCON retrievals from which the AICF is derived, this presents a technical challenge. Ideally, we want to use the same prior for all retrievals matched up with a given profile for comparison. Our temporal coincidence criterion can be up to  $\pm 3$  h; there-

fore, in cases with two or more profiles within a few hours, if we used for each TCCON retrieval the observed priors closest in time to it, this would result in a change in the prior partway through our coincidence window.

Our solution was to merge profiles close enough in time for this to occur but only for use as priors. Each individual observed profile still contributes one point in Fig. 16. This does mean that the prior will not exactly match any of the observed profiles those retrievals are compared against, but we consider that to be an acceptable error, given that we do apply an AK correction to the integrated in situ profile.

To find profiles that need to be merged, we first identify which TCCON observations would match with that profile. We ignore the quality filtering criteria from Sect. 8.3.1 during this step and only try to find the time window ( $\pm 1$ , 2, or 3 h) necessary to match at least 30 TCCON observations to each profile. If any two profiles from the same TCCON site are matched to any of the same TCCON observations, they are grouped together in the list of profiles to be averaged together when creating the custom priors in Sect. C5. This initial list is written out to a text file so that it can be modified by hand later, as needed.

# C5 Running custom TCCON retrievals

As mentioned in Sect. 8.3, when we run the TCCON retrievals for the AICF calculation, we use as custom priors the in situ profiles that a given TCCON observation will be compared against. This reduces error in the TCCON  $X_{\rm gas}$  value that arises from an incorrect prior profile and thus improves the accuracy of the AICF. There are several technical considerations in how we handle this matching. In order to make those considerations clear, let us first describe how the GGG retrieval accepts inputs describing both the prior profiles and the TCCON observations to retrieve on.

GGG takes a list of TCCON spectra to retrieve as input in the "runlog" file. This lists each spectrum on which to run the retrieval in order. For the AICF retrievals, we combined all the spectra from all the relevant TCCON sites into a single runlog.

The priors (including temperature and pressure, as well as trace gas mixing ratios) are written to a ".mav" file. This file is organized into blocks. Each block indicates the first spectrum from the runlog which the priors contained in the .mav block apply to. During the retrieval, GGG iterates through the spectra contained in the runlog. When it reaches the spectrum defined as the first spectrum of the next block in the .mav file, it loads the priors from that block before continuing.

In inserting the in situ profiles into the .mav file as priors, we had three objectives:

- 1. retain the standard priors for gases and times for which we did not have in situ profiles available
- 2. ensure that the in situ profiles were used as priors for any spectra that they *might* be compared against

ensure that any in situ profiles were only applied to the TCCON site where and on the day that they were measured.

To meet these objectives, our approach to inserting the in situ profiles as priors was as follows:

- Divide the runlog into chunks by site and day so that each chunk only has spectra from one site on one day.
- For each unique site-day chunk, collect all the in situ profiles from that day.
- Average together any in situ profiles grouped together in the list created in Sect. C4. For this, we used an approach that considers whether each in situ profile contributed observations to a given level in the regridded profile. For a level on the retrieval grid where none of the in situ profiles provided any data points (i.e., the observed profiles were extrapolated or had the GGG2020 prior appended to it), both profiles are weighted equally. For a level where at least one of the in situ profiles had observed data, each profile is weighted by the fraction of data for that level that came from observations.
- For gases that only have one profile (after averaging) for that site or day, assign that profile to all the .mav blocks for that site or day.
- For gases that have multiple profiles that are not merged together (Sect. C4), use the first profile in the day for all .mav blocks up until the first spectrum that could be compared with the second profile in the day (for our coincidence criteria, this will be the spectra 3 h before the floor time of the second profile). Introduce a new .mav block in that profile that switches to the second profile. Repeat for third, fourth, etc. profiles if present. Assign the last profile to cover all .mav blocks through to the end of the day.

Once the profiles are assigned to their .mav blocks, they must be averaged from their native vertical resolution to the GGG retrieval altitude grid, and, if multiple profiles for the same gas were present for the same block, they must be averaged together.

For the vertical regridding, we use the same approach as described in Appendix C3, where we do a weighted average of the observed mixing ratios, where the weights are maximized when the observed altitude equals the altitude of the GGG retrieval level they are being averaged to and decrease linearly to the adjacent GGG retrieval levels (Fig. C1, Eq. C4).

We found that it is crucial that we use geopotential height as the altitude for the regridding as that did a better job ensuring that the observed profiles followed hydrostatic balance. To compute geopotential height for the in situ profiles, we take pressure and geopotential height from the two GEOS

**Table C6.** Values of  $\partial r/\partial X_{\text{luft}}$  in Eq. (C11). Gases not listed here use 0 for  $\partial r/\partial X_{\text{luft}}$ .

Gas	$\partial r/\partial X_{\mathrm{luft}}$
CO <sub>2</sub>	0.363
$wCO_2$	0.206
$1CO_2$	0.928
$CH_4$	0.0609

FP-IT files (Lucchesi, 2015) that bound the profile's lowest altitude in time and average the GEOS FP-IT data, and we weight each by the time difference between the GEOS FP-IT profile and the time of the lowest-altitude measurement in the in situ profile, giving greater weight to profiles nearer in time to the in situ profile. We then interpolate the GEOS FP-IT geopotential altitude on the logarithm of pressure to the pressures in the in situ profile.

The final consideration in preparing the custom priors is that we always retain the pressure and temperature profiles from the standard GEOS FP-IT priors used in GGG2020. This is because our testing found it very difficult to maintain hydrostatic balance if we used the observed pressure and temperature. This, in turn, caused greater error in the retrieved  $X_{\rm gas}$  values as the air column would be incorrect.

Once the custom priors were generated, the TCCON retrievals could be run as normal. The standard post-processing corrections for air mass dependence (Sect. 8.1) and window-to-window averaging (Sect. 8.2) were applied as well. AKs were calculated for each spectrum retrieved as used to smooth the in situ profiles and account for the TCCON vertical sensitivity (Sect. 8.3).

# C6 Uncertainty in TCCON / in situ comparisons

For the TCCON / in situ ratios in Sect. 8.3, we considered five sources of uncertainty for the comparisons. We chose twice the standard deviation as our metric for deriving uncertainty (rather than  $1\sigma$  to be conservative) and use that consistently for all random error terms.

1. In situ measurement error ( $\epsilon_{meas}$ ) accounts for the error in individual in situ measurements that make up the profiles. To be conservative, we assume the worst-case scenario with 100% correlated error at all levels. The uncertainty in  $X_{gas}$  is then calculated as

$$\epsilon_{\text{meas}} = \int c(p) + 2\sigma(p) \, \mathrm{d}p - \int c(p) \, \mathrm{d}p,$$
 (C5)

where c(p) is the measured mixing ratio, and  $\sigma(p)$  is the uncertainty at each level. The integrals represent the pressure-weighted integration (see Eq. 10). The uncertainty values are those reported in the original data files where available or a typical value chosen in consultation with the data providers.

2. Unmeasured free troposphere ( $\epsilon_{\rm FT}$ ) accounts for uncertainty due to the portion of the free troposphere not measured by a given profile. For each profile, we first calculate  $\sigma_{\rm obs,FT}$ , the standard deviation of measurements above 750 hPa and below the tropopause (as determined by GEOS FP-IT meteorology). We then create a perturbed profile,

$$c'(p) = \begin{cases} c(p) + 2\sigma_{\text{obs,FT}} & \text{if interp/extrap at } p \\ c(p) & \text{otherwise} \end{cases} , \tag{C6}$$

which adds this standard deviation to interpolated or extrapolated levels above the top of the measured profile. The uncertainty in  $X_{\rm gas}$  is calculated as

$$\epsilon_{\rm FT} = \int c'(p) \, \mathrm{d}p - \int c(p) \, \mathrm{d}p. \tag{C7}$$

This error will be zero for profiles that do not require extrapolation or interpolation to reach the stratospheric overworld (i.e., altitudes with potential temperature  $\geq$  380 K).

3. Bias in stratospheric prior ( $\epsilon_{strat}$ ) represents expected bias in the column from the use of GGG2020 priors for levels in the stratosphere. This uses the retrieved vs. prior HF column as a proxy for error in the stratospheric prior. As discussed in Sect. 8.3.3, HF is predominately found in the stratosphere; thus, the difference between the retrieved and prior HF columns gives information about whether the stratospheric profile was biased high or low. We calculate the bias as

$$\epsilon_{\text{strat}} = 2 \cdot (X_{\text{HF,post}} - X_{\text{HF,prior}}) \cdot \frac{\partial X_{\text{gas}}}{\partial X_{\text{HF}}}.$$
 (C8)

The derivative  $\partial X_{gas}/\partial X_{HF}$  has to be calculated for each gas. For CO<sub>2</sub>, we use  $8.09 \times 10^3$ , which was derived from East Trout Lake TCCON data by comparing prior and posterior wCO<sub>2</sub> and HF columns. East Trout Lake is positioned to see significant stratospheric variability due to the polar vortex, and wCO<sub>2</sub> is the GGG2020 CO<sub>2</sub> product with enhanced sensitivity to the stratosphere. For CH<sub>4</sub>, this is drawn from the CH<sub>4</sub>: HF slopes used in the GGG2020 priors (Laughner et al., 2023).

AirCore profiles are treated specially as they always reach into the stratosphere. For these profiles, we create a perturbed profile, c'(p), where the levels in the stratosphere filled by the GGG2020 priors have the difference between the top of the AirCore profile and the corresponding level in the prior added to them. The difference between the integral of these profiles becomes the stratospheric error. Mathematically, that is

**Table C7.** The magnitudes of each uncertainty component for the AICF comparison. As in Table 5, the first number in each column is the overall contribution of that term to the AICF according to formal error propagation, and the number in parentheses is the simple mean across all the TCCON to in situ comparisons. The units for each gas's error values are given in the first column.

Gas	$\epsilon_{ m meas}$	$\epsilon_{\mathrm{FT}}$	$\epsilon_{ m strat}$	$\epsilon_{ m std.~xgas}$	$\epsilon_{X_{ ext{luft}}}$
$X_{\text{CO}_2}$ (ppm)	0.033 (0.16)	0.032 (0.12)	0.061 (0.072)	0.13 (0.97)	0.03 (0.22)
$X_{\text{wCO}_2}$ (ppm)	0.037 (0.16)	0.038 (0.15)	0.075 (0.10)	0.23 (1.6)	0.017 (0.12)
$X_{\rm lCO_2}$ (ppm)	0.025 (0.14)	0.020 (0.067)	0.057 (0.060)	0.16 (1.18)	0.08 (0.56)
$X_{\mathrm{CH_4}}$ (ppb)	0.65 (3.1)	0.19 (0.49)	3.4 (6.3)	0.86 (5.0)	0.07 (0.15)
$X_{\rm CO}$ (ppb)	1.9 (9.3)	0.13 (0.39)	0.24 (4.8)	0.44(2.0)	0(0)
$X_{\rm H_2O}$ (ppm)	100 (950)	0 (0)	0 (0)	26 (200)	0 (0)

$$c'(p) = \begin{cases} c(p) + 2[c_{\text{prior}}(p_{\text{obs. top}}) - c_{\text{AirCore}}(p_{\text{obs. top}})] & \text{if using prior at } p \\ c(p) & \text{otherwise} \end{cases}, \quad (C9)$$

$$\epsilon_{\text{strat,AirCore}} = \int c'(p) \, dp - \int c(p) \, dp.$$
 (C10)

- 4. Random error in TCCON  $X_{\rm gas}$  value ( $\epsilon_{\rm std. \ xgas}$ ) represents random error in the TCCON observations. Because we require at least 30 TCCON observations coincident with a profile for a valid comparison, we use twice the standard deviation among those coincident observations as the metric of random error. The coincidence windows vary between 2 and 6 h wide; thus, the standard deviation likely includes some true change in the data and can therefore be considered to be conservative.
- 5. Bias in TCCON derived from  $X_{\text{luft}}$  ( $\epsilon_{X_{\text{luft}}}$ ) represents bias in retrieved  $X_{\text{gas}}$  values resulting from instrument hardware issues diagnosed from deviations in  $X_{\text{luft}}$  from the nominal network value (0.999; see Sect. 8.3). The bias is calculated as

$$\epsilon_{X_{\text{luft}}} = \frac{\partial r}{\partial X_{\text{luft}}} \cdot (X_{\text{luft,median}} - 0.999) \cdot X_{\text{gas,median}}.$$
 (C11)

Here,  $X_{\rm luft,median}$  and  $X_{\rm gas,median}$  are the median values of TCCON  $X_{\rm luft}$  and the target  $X_{\rm gas}$  across the 30+ coincident observations for the comparison; 0.999 is the nominal value of  $X_{\rm luft}$  that represents a well-operating instrument. The  $\partial r/\partial X_{\rm luft}$  value is how the TCCON / in situ ratio changes with  $X_{\rm luft}$  and was derived for  $X_{\rm CO_2}$ ,  $X_{\rm wCO_2}$ ,  $X_{\rm lCO_2}$ , and  $X_{\rm CH_4}$  by an unweighted robust fit through similar plots of TCCON / in situ ratio vs.  $X_{\rm luft}$  as in Fig. 16 but with TCCON retrievals that used the standard trace gas priors instead of custom ones built from the in situ profiles. The values used are given in Table C6.

With regard to full error calculation, as the error terms include a mix of random ( $\epsilon_{\text{meas}}$ ,  $\epsilon_{\text{FT}}$ ,  $\epsilon_{\text{std. xgas}}$ ) and systematic

 $(\epsilon_{\rm strat}, \epsilon_{X_{\rm luft}})$  errors, the in situ and TCCON total errors are calculated as

$$\epsilon_{\text{in situ}} = \sqrt{\epsilon_{\text{meas}}^2 + \epsilon_{\text{FT}}^2} + |\epsilon_{\text{strat}}|,$$
 (C12)

$$\epsilon_{\text{TCCON}} = \sqrt{\epsilon_{\text{std. xgas}}^2 + |\epsilon_{X_{\text{luft}}}|}.$$
 (C13)

The first term in the second equation is written as a root of a square to indicate that, if additional random TCCON error terms were to be added in the future, they should add in quadrature. The uncertainty in the TCCON/in situ ratio  $(X_{\text{gas,TCCON}}/X_{\text{gas,in situ}})$  follows standard error propagation  $(\epsilon_{\text{total}} = \sum_{i} (\sigma_x \cdot \partial f(x)/\partial x)^2)$ :

$$\epsilon_{\text{total}} = \frac{\epsilon_{\text{TCCON}}^2}{\epsilon_{\text{in situ}}^2} + \frac{\epsilon_{\text{in situ}}^2 X_{\text{gas,TCCON}}^2}{\epsilon_{\text{in situ}}^4}.$$
 (C14)

Note that Eq. (C12) is applied to each individual TC-CON / in situ comparison, while the statistics in Table 5 are averaged over all the comparisons for a given gas. Therefore, the values of  $\epsilon_{\rm in\ situ}$ ,  $\epsilon_{\rm meas}$ ,  $\epsilon_{\rm FT}$ , and  $\epsilon_{\rm strat}$  in Table 5 do not directly relate to each other through Eq. (C12). As noted in the caption for Table 5, the non-parenthetical values in the last four columns formally propagate the error from the individual comparisons, such that the values shown in the table (which we will denote generally as  $\epsilon_{\rm formal}$ ) are calculated from the individual comparisons' values with

$$\epsilon_{\text{formal}}^2 = \sum_{i=1}^n \left(\frac{1}{n}\epsilon_{\text{indiv},i}\right)^2,$$
 (C15)

where  $\epsilon_{\text{indiv},i}$  denotes individual comparisons' error values, and n is the number of individual observations. Conversely, the parenthetical numbers in Table 5 give the simple mean – i.e.,

$$\epsilon_{\text{mean}} = \frac{1}{n} \sum_{i=1}^{n} \epsilon_{\text{indiv},i}.$$
 (C16)

# Appendix D: Comparison between TCCON and NOAA surface $N_2O$

For Fig. 19, we constructed  $N_2O$  profiles to compare TCCON  $X_{N_2O}$  against using NOAA surface data. This approach takes

advantage of how well mixed  $N_2O$  is in the troposphere to build a large set of comparison. The approach, in detail, is as follows.

The TCCON vs. in situ comparison shown in Fig. 19 calculates an in situ  $X_{\rm N_2O}$  from N<sub>2</sub>O profiles using Eq. (9), as with the other  $X_{\rm gas}$  quantities in Sect. 8.3. These N<sub>2</sub>O profiles are constructed using the NOAA surface N<sub>2</sub>O VMR from the surface to the tropopause and the GGG2020 N<sub>2</sub>O prior for levels with potential temperature greater than 380 K, linearly interpolating the N<sub>2</sub>O VMR with respect to potential temperature between the tropopause and the 380 K level.

For the tropospheric N<sub>2</sub>O VMRs, we obtained monthly average NOAA global N<sub>2</sub>O data from https://gml.noaa.gov/hats/combined/N2O.html (last access: 10 May 2021). For sites at latitudes north of 23°N or south of 23°S, we use the northern and southern hemispheric averages, respectively (GML\_NH\_N2O and GML\_SH\_N2O in the combined NOAA N<sub>2</sub>O file). For equatorial latitudes between 23°S and 23°N, we used the average of the Mauna Loa and American Samoa N<sub>2</sub>O data (GML\_mlo\_N2O and GML\_smo\_N2O in the combined file). For each comparison point in Fig. 19, we used the N<sub>2</sub>O VMR from that month as the tropospheric VMR of the profile.

The comparisons selected for Fig. 19 meet the following criteria:

- The difference between the prior and posterior HF column must be  $< 2 \times 10^{14}$  molec. cm<sup>-2</sup> in magnitude. Since HF is almost entirely in the stratosphere, this limits the comparisons to cases where the GGG2020 prior stratospheric profiles are reasonably accurate, thus limiting error in the in situ  $X_{\rm N_2O}$  from an incorrectly assumed stratosphere
- X<sub>luft</sub> must be in the range [0.996, 1.002). This ensures that we are considering data from when the TCCON instrument was well aligned, as discussed in Sect. 8.3.1
- FVSI must be ≤ 0.05. This limits the comparison to mostly cloud-free observations.

# Appendix E: Variable O<sub>2</sub> dry mole fraction derivations

## E1 Trends in $O_2$ dry mole fraction from trends in $X_{CO_2}$

The derivation of Eq. (12) begins from the definition of  $f_{O_2}$ :

$$f_{\rm O_2} = \frac{N_{\rm O_2}}{N + N_{\rm O_2} + N_{\rm CO_2}},\tag{E1}$$

where

- N<sub>O2</sub> and N<sub>CO2</sub> are the number of moles of O<sub>2</sub> and CO<sub>2</sub>, respectively;
- N is the number of moles of gases other than O<sub>2</sub> or CO<sub>2</sub> in H<sub>2</sub>O-free air; and

-  $N_{\text{tot}}$  (used below) is  $N + N_{\text{O}_2} + N_{\text{CO}_2}$ .

Defining  $\alpha = \partial N_{\rm O_2}/\partial N_{\rm CO_2}$ , taking the derivative of  $f_{\rm O_2}$  with respect to  $N_{\rm CO_2}$ , and simplifying gives

$$\frac{\partial f_{\text{O}_2}}{\partial N_{\text{CO}_2}} = \left(\frac{\alpha (N + N_{\text{CO}_2})}{N_{\text{tot}}} - \frac{N_{\text{O}_2}}{N_{\text{tot}}}\right) \cdot \frac{1}{N_{\text{tot}}},\tag{E2}$$

recognizing that  $N_{\rm O_2}/N_{\rm tot} = f_{\rm O_2}$  and  $(N+N_{\rm CO_2})/N_{\rm tot} = 1-f_{\rm O_2}$ , as well as converting the derivative to a ratio of small but finite differences (represented by  $\delta$  in place of  $\delta$ ), give

$$\frac{\delta f_{\text{O}_2}}{\delta N_{\text{CO}_2}} = (\alpha - \alpha \cdot f_{\text{O}_2} - f_{\text{O}_2}) \cdot \frac{1}{N_{\text{tot}}},\tag{E3}$$

$$\Rightarrow \delta f_{\rm O_2} = (\alpha - \alpha \cdot f_{\rm O_2} - f_{\rm O_2}) \cdot \frac{\delta N_{\rm CO_2}}{N_{\rm tot}}.$$
 (E4)

Finally, to convert  $\delta N_{\rm CO_2}/N_{\rm tot}$  into terms of  $X_{\rm CO_2}$  and  $X_{\rm CO_2,ref}$ , we start by defining

$$X_{\text{CO}_2,\text{ref}} = \frac{N_{\text{CO}_2}}{N_{\text{tot}}},\tag{E5}$$

and

$$X_{\text{CO}_2} = \frac{N_{\text{CO}_2} + \delta N_{\text{CO}_2}}{N_{\text{tot}} + \delta N_{\text{CO}_2} + \delta N_{\text{O}_2}},$$
 (E6)

as well as  $\delta N_{\rm O_2} = \alpha \cdot \delta N_{\rm CO_2}$ . Substituting this and  $N_{\rm CO_2} = X_{\rm CO_2,ref} \cdot N_{\rm tot}$  from Eq. (E5) in Eq. (E6) and rearranging gives

$$\frac{\delta N_{\rm CO_2}}{N_{\rm tot}} = \frac{X_{\rm CO_2} - X_{\rm CO_2, ref}}{1 - X_{\rm CO_2} - \alpha \cdot X_{\rm CO_2}}.$$
 (E7)

Substituting Eq. (E7) in Eq. (E4) yields the final version of Eq. (12).

## E2 O<sub>2</sub> dry mole fraction from O<sub>2</sub>/N<sub>2</sub> data

Measurements of atmospheric  $O_2$  concentration are commonly reported as  $10^{-6}$  relative deviations in the  $O_2/N_2$  ratio (denoted  $\delta(O_2/N_2)$  and given in units of per meg) to avoid the complexities of dilution effects from changes in  $CO_2$  and other trace species in the  $O_2$  dry mole fraction. To convert from available measurements of trends in  $\delta(O_2/N_2)$ , we must convert to units of ppm and account for the diluting effect of trends in  $CO_2$ . The equation for the black line in Fig. 18, based on Scripps  $\delta(O_2/N_2)$  and NOAA global mean  $CO_2$  data, is slightly different from Eq. (12). As above, the derivation starts with Eq. (E1), but now, since we have measured values for the change in  $N_{O_2}$  and  $N_{CO_2}$ , our change in  $f_{O_2}$  will instead be

$$\delta f_{\rm O_2} = \frac{\partial f_{\rm O_2}}{\partial N_{\rm O_2}} \cdot \delta N_{\rm O_2} + \frac{\partial f_{\rm O_2}}{\partial N_{\rm CO_2}} \cdot \delta N_{\rm CO_2}. \tag{E8}$$

In this case, both  $\partial N_{\rm O_2}/\partial N_{\rm CO_2}$  and  $\partial N_{\rm CO_2}/\partial N_{\rm O_2}$  are 0 since we have measurements of both  $\rm O_2$  and  $\rm CO_2$  and therefore can treat their changes as orthogonal. This leads to the

following expressions for the derivatives in Eq. (E8):

$$\frac{\partial f_{\text{O}_2}}{\partial N_{\text{O}_2}} = \frac{1 - f_{\text{O}_{2,\text{ref}}}}{N_{\text{tot}}},\tag{E9}$$

$$\frac{\partial f_{\text{O}_2}}{\partial N_{\text{CO}_2}} = -\frac{f_{\text{O}_{2,\text{ref}}}}{N_{\text{tot}}}.$$
 (E10)

Inserting these into Eq. (E8) gives

$$\delta f_{\rm O_2} = (1 - f_{\rm O_{2,ref}}) \cdot \frac{\delta N_{\rm O_2}}{N_{\rm tot}} - f_{\rm O_{2,ref}} \cdot \frac{\delta N_{\rm CO_2}}{N_{\rm tot}}.$$
 (E11)

 $\delta N_{\rm O_2}/N_{\rm tot}$  can be expressed in terms of  $\delta({\rm O_2/N_2})$  values by using the definition of  $\delta({\rm O_2/N_2})$  (Keeling et al., 1998):

$$\delta(O_2/N_2) = \frac{(O_2/N_2)_{sample}}{(O_2/N_2)_{reference}} - 1,$$
 (E12)

assuming that the amount of  $N_2$  in the atmosphere does not change. Multiplying this definition by  $f_{O_{2}}$  ref gives

$$\delta(O_2/N_2) \cdot f_{O_{2,ref}} = \left[ \frac{(N_{O_2} + \delta N_{O_2})/N_{N_2}}{N_{O_2}/N_{N_2}} - 1 \right] \cdot \frac{N_{O_2}}{N_{tot}}, \quad (E13)$$

$$= \frac{\delta N_{O_2}}{N_{tot}}. \quad (E14)$$

 $\delta N_{\rm CO_2}/N_{\rm tot}$  can be expressed as in Eq. (E7), except with  $\alpha = 0$  (again, this is because we have measurements of dry mole fractions of CO<sub>2</sub> and O<sub>2</sub>). The final equation used for the best estimate line in Fig. 18 is therefore

$$f_{O_2,ref} + \delta f_{O_2} = f_{O_2,ref} + (1 - f_{O_2,ref}) \cdot \delta(O_2/N_2)$$
$$\cdot f_{O_2,ref} - \frac{X_{CO_2} - X_{CO_2,ref}}{1 - X_{CO_2}} \cdot f_{O_2,ref}, \tag{E15}$$

where  $f_{\rm O_2,ref}$  is the 0.209341 value obtained in Sect. 8.3.2 by adjusting Aoki et al. (2019). As noted in Sect. 8.3.2, the  $\delta({\rm O_2/N_2})$  data used are a weighted average of the ALT, LJO, and CGO sites, with weights of 1/4, 1/4, and 1/2, respectively. Note that the NOAA global mean CO<sub>2</sub> (rather than TCCON  $X_{\rm CO_2}$ ) is used for  $X_{\rm CO_2}$  and  $X_{\rm CO_2,ref}$  in this equation.

**Author contributions.** JLL led the development of the new air mass correction (Sect. 8.1), window-to-window averaging (Sect. 8.2), in situ scaling (Sect. 8.3), and miscellaneous changes in Sect. 3. GCT is the main developer of GGG. JM developed the non-Voigt treatment of the spectral line shape (Sect. 6.2). CP contributed to the development of the phase correction update (Sect. 4.2). SR developed the new retrieval grid (Sect. 5.1), meteorological resampler (Sect. 5.2), and netCDF writer. DW carried out the sensitivity tests (Sect. 9). DW, CMR, GCT, POW, and JLL conducted the O<sub>2</sub> study in Sect. 6.3. JFB is a key developer of I2S. DWTG contributed to various aspects of GGG2020 development. PH, RK, and MKS first diagnosed the nonlinearity issue from Sect. 4.1 and developed a correction methodology. RFK and BBS consulted on the approaches to parameterize the change in O<sub>2</sub> dry mole fraction (Sect. 8.3.2).

MK performed tests of the phase correction threshold (Sect. 4.2) and choices of NCBFs (Sect. 7). CMR, ND, PJ, DP, MR, SR, MKS, YT, and DW all participated in a beta test of GGG2020. NMD, JG, BH, PJ, IM, HO, CP, JN, DP, MR, SR, EM, KS, CMR, MKS, KS, RS, YT, VV, DW, and MZ provided data used to derive the corrections in Sect. 8.1 and 8.2. BCB, BBS, HC, RK, YC, XL, TL, KM, JM, HR, CR, and SCW provided the in situ data used in Sect. 8.3. POW provided input to all elements of this work. All the authors reviewed the paper.

**Competing interests.** The contact author has declared that none of the authors has any competing interests.

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