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# Nanoscale dielectric properties of $\text{TiO}_2$ in $\text{SiO}_2$ nanocomposite deposited by hybrid PECVD method

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## PAPER

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### Abstract

In this paper, nanocomposites (TiO<sub>2</sub> in SiO<sub>2</sub>) are produced by an advanced hybrid aerosol-PECVD method based on direct liquid injection of a non-commercial colloidal solution in an O<sub>2</sub> / hexamethyldisiloxane (HMDSO) low-pressure plasma. Dielectric properties are investigated at nanoscale using techniques derived from Atomic Force Microscopy in terms of relative dielectric permittivity, charge injection and transport. Results show that a concentration in TiO<sub>2</sub> up to 14% by volume makes it possible to increase the relative dielectric permittivity up to 4.8 while maintaining the insulating properties of the silica matrix. For a TiO<sub>2</sub> concentration in the range 15%–37% by volume, the relative dielectric permittivity increases (up to 11 for 37% TiO<sub>2</sub> by volume) and only few agglomerated nanoparticles lowering the insulating properties are observed. For TiO<sub>2</sub> concentration above 40% by volume, the relative dielectric permittivity still increases but the quantity of agglomerated nanoparticles is very high, which greatly increases the charge transport dynamic and degrades the insulating properties. Finally, 37% of TiO<sub>2</sub> by volume in the SiO<sub>2</sub> matrix appears to be the best compromise, between high dielectric permittivity and low leakage current for the MIM applications aimed.

## 1. Introduction

In microelectronic devices, dielectric materials are widely used for passive or active functions. In addition, Metal-Insulator-Metal (MIM) structures are part of many devices such as analog-to-digital converters, DC voltage decoupling, dynamic random-access memory. Main requirements on dielectric materials for such devices are high energy storage density, low dissipation factor and high temperature stability [1, 2]. Among dielectrics, silicon dioxide (SiO<sub>2</sub>) thin films meet several criteria for MIM structures, such as low leakage current and high energy storage but exhibit a strong limitation due to a low relative dielectric permittivity ( $\epsilon_r$ ) [3]. The projection of International Roadmap for Devices and Systems (IRDS) 2026 for MIM structures highlights the need for an equivalent oxide thickness (EOT) close to 1 nm, a relative dielectric permittivity  $\epsilon_r$  greater than 40 and a leakage current lower than  $10^{-8}$  A cm<sup>-12</sup>. To fulfil these requirements, the relative dielectric permittivity should increase and/or the layer thickness decrease leading to breakdown strength reduction and capacitance quadratic voltage coefficient increase, which is not favorable for the applications [1]. To overcome this disadvantage, several materials have been investigated. First, high- $k$  materials such as TiO<sub>2</sub>, HfO<sub>2</sub>, BaTiO<sub>3</sub> or Al<sub>2</sub>O<sub>3</sub> were identified as the most promising candidates to improve MIM performances [1, 4, 5]. Indeed, compared to SiO<sub>2</sub>, these oxides exhibit the same capacitance density for thicker dielectric film which minimizes the leakage current generated by tunneling mechanisms. However, MIM capacitors based on high- $k$  materials exhibit also leakage currents due to their weak dielectric strength [6, 7]. To avoid this behavior, mixed oxides such as Ti<sub>x</sub>Si<sub>1-x</sub>O<sub>2</sub> have been developed. However, Ti<sub>x</sub>Si<sub>1-x</sub>O<sub>2</sub> films which exhibit low leakage current do not offer enough increase of their dielectric permittivity increase [8]. In a second approach, high- $k$  multilayers

stacking or nanolaminates were investigated since they lead to a reduction in leakage current and an increase in  $\epsilon_r$  which depends on the number of layers [1]. Studies on various dielectric stacks are reported in the literature, mainly developed by Atomic Layer Deposition (ALD), as  $\text{TiO}_2\text{-Al}_2\text{O}_3$  [9],  $\text{HfO}_2\text{-Al}_2\text{O}_3$  [10],  $\text{VO}_2\text{-SiO}_2$  [11],  $\text{Ta}_2\text{O}_5\text{-HfO}_2$  [12],  $\text{ZrO}_2\text{-HfO}_2$  [12],  $\text{Ta}_2\text{O}_5\text{-ZrO}_2$  [12],  $\text{HfO}_2\text{-SiO}_2$  [13] or  $\text{ZrO}_2\text{-La}_2\text{O}_3$  [14]. These stacks associate layers of high- $k$  materials with highly insulating ones as  $\text{SiO}_2$  or  $\text{Al}_2\text{O}_3$  [15]. In the case of  $\text{TiO}_2\text{-Al}_2\text{O}_3$  nanolaminates, it was demonstrated that a reduction of the lower layer thickness led to an increase of  $\epsilon_r$  and dielectric loss (i.e. conductivity). The best compromise was obtained for a 3.8 nm-thick sublayer ( $\epsilon_r$  around 30 and dielectric loss around of 0.5 at 100 Hz) [9]. This apparent  $\epsilon_r$  enhancement, observed in various nanolaminates, is not only related to the permittivity of the involved materials but also to physical phenomena occurring at the interfaces such as charges accumulation by Maxwell–Wagner-type dielectric relaxation [9, 15, 16] or chemical modifications [9–15]. Moreover, for many nanolaminates, dielectric properties improvement need high-temperature post-deposition annealing (i.e. up to 700 °C–800 °C) [12–15] that could be a limitation for the integration in microelectronic devices. A third approach based on Nanocomposites (NC) appears promising, since results on nanolaminates demonstrate that interfaces have a strong influence on relative dielectric permittivity increasing [15]. Indeed, NC make it possible to increase the number of interfaces and to control their properties thanks to nanoparticles surface treatment. Thin polymer NC based on  $\text{TiO}_2$  or  $\text{ZnO}$  high- $k$  nanoparticles (NPs) were successfully used in Organic field-effect transistor (OFET) to improve performances in term of field-induced current [17]. However, to our knowledge, MIM structures based on fully-inorganic NC materials are barely reported in the literature. Y. Sun *et al* reported on  $\text{VO}_2/\text{SiO}_2$  NC for thermally tunable capacitor leading to a leakage current lower by three orders of magnitude than that obtained for a  $\text{VO}_2/\text{SiO}_2$  multilayer structure but associated with a reduced capacitance density [11].

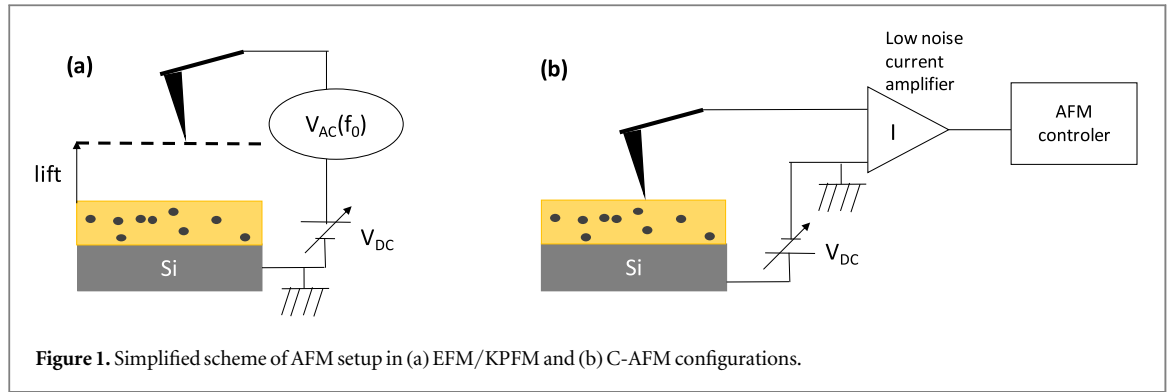
In this context, our aim is to investigate the dielectric properties of a fully-inorganic NC material with  $\text{TiO}_2$  nanoparticles (NPs) in a  $\text{SiO}_2$  matrix in order to take advantage of the best properties of each material. Indeed,  $\text{TiO}_2$  exhibits a high relative dielectric permittivity (up to 80) [18] and  $\text{SiO}_2$  shows a low leakage current (less than  $1 \cdot 10^{-8} \text{ A}\cdot\text{cm}^{-2}$  for an electric field of  $250 \text{ V}\cdot\text{m}^{-1}$ ) [18]. Several chemical and physical methods have been developed to synthesize such NC films. Considering the sol–gel method, the main drawback to elaborate NC is the need of several steps and the possible toxicity of handling NPs during the drying step [19]. Plasma enhanced chemical vapor deposition (PECVD), chemical vapor deposition (CVD) or hybrid sputtering-PECVD have also demonstrated their ability to synthesize NC thin films [20–22]. More recently, an advanced hybrid aerosol-PECVD method based on direct liquid injection of a colloidal solution in an  $\text{O}_2$  / hexamethyldisiloxane (HMDSO) low-pressure plasma to form  $\text{TiO}_2$  in  $\text{SiO}_2$  [23, 24] or  $\text{ZnO}$  in  $\text{SiO}_2$  [25] NC films has been developed. Moreover, the injection of colloidal solutions avoids any handling of NPs and the process can thus be considered as environmentally friendly and safe-by-design. Another advantage of this process is the control of nanoparticles/matrix interfaces thanks to the colloidal solution. In addition, this includes the ability to modify the interface properties and offer an accurate control of dielectric properties.

In this context, we aim to develop thin films with tunable properties of a fully-inorganic nanocomposite (i.e.  $\text{TiO}_2$  NPs in  $\text{SiO}_2$ ) elaborated at low temperature by a safe-by-design process. In this paper, we investigate the dielectric properties of the  $\text{TiO}_2$  in  $\text{SiO}_2$  NC films elaborated by such hybrid aerosol-PECVD method. The effect of the  $\text{TiO}_2$  fraction incorporated in the  $\text{SiO}_2$  matrix on the morphology and dielectric properties (dielectric permittivity, charges injection and transport) of the deposited films is investigated. Electrical properties are studied at nanoscale using techniques derived from Atomic Force Microscopy (AFM) to improve understanding of NPs influence at local scale. The main objective is to determine the optimal  $\text{TiO}_2$  fraction by volume that leads to the best compromise, i.e. highest dielectric permittivity and lowest leakage current.

## 2. Experiments

### 2.1. Nanocomposite thin film preparation

The  $\text{TiO}_2\text{-SiO}_2$  NC thin films were prepared by a hybrid process combining low pressure PECVD for the deposition of the  $\text{SiO}_2$  matrix and controlled injection of very stable  $\text{TiO}_2$  colloidal solution [23]. As stated in previous work [24, 26], due to the synthesis conditions, solvent molecules act as stabilizing ligands around the particles allowing the solution to remain stable for years. In order to produce a totally inorganic amorphous silica matrix [27], a high-density inductively coupled plasma (ICP) created at 13.56 MHz was ignited by applying a power discharge of 400 W to a gaseous mixture including  $\text{O}_2$  95%-Ar 5%: 24 sccm and HMDSO: 0.11 sccm. No intentional heating of the substrate is required to remove the ligands and mineralize the NPs surface by interaction between the droplets of solution drying at the substrate surface and the plasma [28]. As reported, the volume fraction of  $\text{TiO}_2$  NPs can be tuned by the  $\text{TiO}_2$  precursor injection N within a sequence of 1 min. The pulsed injection is made at a frequency of 0.5 Hz and 1 ms injection time in order to limit any plasma pressure



variations. Such sequence is repeated until the targeted thickness is reached (50 nm in this study). For the present work, we present results on NCs thin films obtained for  $N = 1, 5, 10, 20$  and  $30$ .

## 2.2. Structural and surface characterization

Atomic Force Microscopy (AFM) was used in tapping mode with a Bruker Multimode 8 setup to characterize the surface morphology. Some selected samples were deposited on Indium-doped Tin oxide (ITO) on polyethylene terephthalate (ITO (50 nm) / PET (125  $\mu\text{m}$ ), Sheldahl) for observations by Transmission Electron Microscopy (TEM) (S/TEM Themis ZG3, 300 kV, Thermo Fisher Scientific). High resolution TEM images were obtained on cross-sections prepared by ultramicrotome (Leica UC7/FC7) equipped with diamond knife (Diatome).

The NC thickness, composition and optical properties were investigated by spectroscopic ellipsometry. The *in situ* real time accurate monitoring of the films growth was carried out with a rotating compensator spectroscopic ellipsometer (J.A. Woollam M- 2000) mounted on the plasma reactor. Measurements were conducted between 250 and 1000 nm at  $72^\circ$  incident angle in order to control the film growth and to extract film composition and thickness. To fit the ellipsometric data, a similar procedure as the one previously reported has been involved [23]. A two-phase material ( $\text{SiO}_2$  and  $\text{TiO}_2$ ) was set up to account for the ellipsometric data of the  $\text{TiO}_2$ - $\text{SiO}_2$  NC thin film. The Bruggeman Effective Medium Approximation (BEMA) was thus used to model the  $\text{TiO}_2$  NPs dispersed in the  $\text{SiO}_2$  matrix that was accounted for using the Cauchy dispersion law whereas the Tauc-Lorentz dispersion law was used for the  $\text{TiO}_2$  NPs.

## 2.3. Nanoscale electrical characterizations

Nanoscale electrical characterizations were carried out on a Bruker Multimode 8 setup using three different modes: Electrostatic Force Microscopy (EFM) for the dielectric permittivity [29, 30], Kelvin Probe Force Microscopy (KPFM) for the charge injection and trapping dynamics [31] and the Conductive AFM (C-AFM) for the current flowing through the NC films [32]. Experimental setups are described in figure 1.

EFM measurements were done using Pt-coated Si-tip with resonance frequency  $f_0$  of 75.5 kHz, a quality factor  $Q$  of 293, a curvature radius  $R_c$  of 25 nm and a spring constant  $k$  of  $2.81 \text{ N m}^{-1}$ . EFM measurement of the resonance phase shift  $\Delta\phi_0$  was done at 20 nm-lift with an applied potential on the tip  $V_{\text{DC}}$  equal to 0 V or 5 V (figure 1(a)). The resulting experimental phase shift parameter  $a_{\Delta\phi}$  is determined using the following equation:

$$a_{\Delta\phi} = \frac{\Delta\phi_0(5\text{V}) - \Delta\phi_0(0\text{V})}{5^2 - 0^2} \quad (1)$$

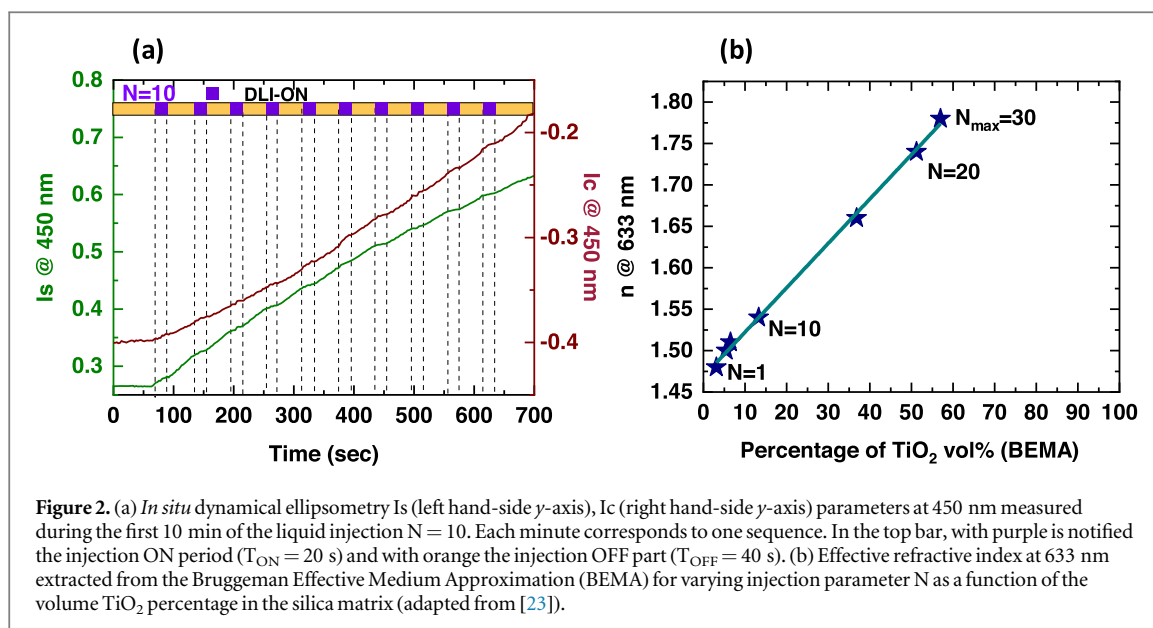
This methodology allows taking into account a reference phase shift that corresponds to 0 V applied to the tip.

From a theoretical point of view, the resonance phase shift  $a_{\Delta\phi}$  is related to the capacitance  $C$  between AFM probe and the sample by [29]:

$$a_{\Delta\phi} = \frac{Q}{2k} \cdot \frac{d^2C}{dz^2} \quad (2)$$

where  $z$  is the vertical distance,  $Q$  the quality factor and  $k$  the stiffness of the cantilever.

The quantity  $d^2C/dz^2$  is determined by using a 2D axisymmetric Finite Elements Model developed with COMSOL [30], in which the electrostatic force  $F_e$  is computed at different lift positions and for different dielectric permittivity values. This modelling approach allows to extract a theoretical non-linear relationship between the relative dielectric permittivity  $\epsilon_r$  and  $a_{\Delta\phi}$  parameter. Finally, this relationship is applied to the  $a_{\Delta\phi}$  experimental map (equation (1)) which permits to obtain the relative dielectric permittivity distribution at nanoscale. This model was calibrated using two well-known samples as reference: 50 nm-thick pure  $\text{SiO}_2$  and  $\text{TiO}_2$  layer deposited on highly-doped Si substrate whose dielectric permittivity has been determined by dielectric spectroscopy.



**Figure 2.** (a) *In situ* dynamical ellipsometry  $I_s$  (left hand-side  $y$ -axis),  $I_c$  (right hand-side  $y$ -axis) parameters at 450 nm measured during the first 10 min of the liquid injection  $N = 10$ . Each minute corresponds to one sequence. In the top bar, with purple is notified the injection ON period ( $T_{ON} = 20$  s) and with orange the injection OFF part ( $T_{OFF} = 40$  s). (b) Effective refractive index at 633 nm extracted from the Bruggeman Effective Medium Approximation (BEMA) for varying injection parameter  $N$  as a function of the volume  $TiO_2$  percentage in the silica matrix (adapted from [23]).

Charge injection and trapping dynamics were investigated by KPFM under controlled atmosphere (without humidity and under  $N_2$  gas), to avoid charges dissipation due to a layer of water on the sample surface. The charging step was achieved in contact mode (contact force set to around 40 nN to ensure tip-coating integrity) by applying positive or negative DC bias ( $V_{DC}$  from  $-40$  V to  $+40$  V by step of 10 V) to the AFM tip for 1 min with sample back side grounded. After this charging step, the same tip was used to probe the surface potential in Amplitude Modulation KPFM (AM-KPFM) mode using a lift of 50 nm. For surface potential measurement and charges injection protocol, a Pt-coated Si-tip is used ( $R_c = 25$  nm and  $f_0 = 77.5$  kHz). The investigated area of the sample surface is different for each charge injection and AM-KPFM measurement, in order to insure a region free of charge before measurements and thus avoid the influence of previous trapped charges.

Current measurements at nanoscale were investigated by C-AFM using a Pt/Ir coated Si-tip ( $R_c = 28$  nm and  $k = 0.42$  N m<sup>-1</sup>) with different low noise amplifier modules: from low current module (from 1 pA to 200 pA) for lowest conductive area to middle range current module (from 100 pA to 10 nA) for highest conductive area. As described in figure 1(b), the AFM tip plays the role of a top electrode and collects the current within the NC layer. Various bias voltages  $V_{DC}$  were applied to the NCs thin films in the  $-40$  V to  $+40$  V range and a contact force of around 35 nN was maintained during current measurement.

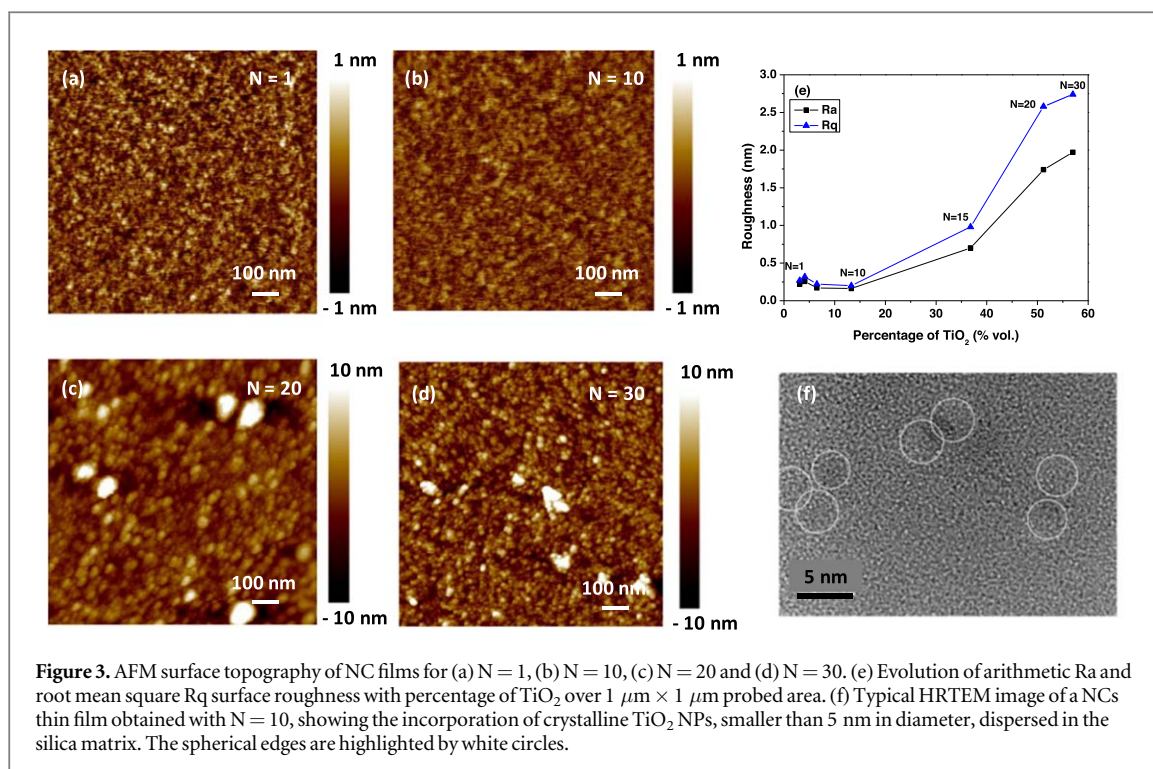
### 3. Results

#### 3.1. Structural and surface morphology characterization

As a first step of this study, the NC layer growth is followed by *in situ* ellipsometry as shown in figure 2(a) with the  $I_s/I_c$  trajectory plots at a constant wavelength of 450 nm. The dynamical monitoring of the experimental  $I_s$  and  $I_c$  curves for the 10 first minutes of the growth clearly shows the two different zones associated to each sequence (DLI-ON and DLI-OFF). For each DLI ON duration, one can observe a strong decrease of the slope for both  $I_s$  and  $I_c$ . Indeed, though the injector is controlled to limit the pressure increase during  $T_{ON}$ , the plasma density and consequently the deposition rate are strongly reduced. During the DLI-ON time mainly NPs are deposited whereas the HMDSO vapor dissociation is not efficient (nevertheless a small amount of  $SiO_2$  growth takes place). On the contrary, during the DLI-OFF the  $SiO_2$  matrix is efficiently deposited without  $TiO_2$  NPs. Generally, from this dynamic study, it appears that in all cases ( $N = 1$  to 30) the total evolution of the  $I_s$  and  $I_c$  curves is similar for the first 10 min of growth. With an average growth rate of 1.4 nm.min<sup>-1</sup> ( $N = 10$ ), these results clearly reveal that measurement resolution corresponds to about 0.2 nm film thickness variation and confirms that *in situ* ellipsometry is a very accurate tool to control the growth. This alternating mode of deposition is also a way to tune precisely the film composition. This means that as  $N$  is increased, the content of the  $TiO_2$  NPs increases and that of  $SiO_2$  decreases.

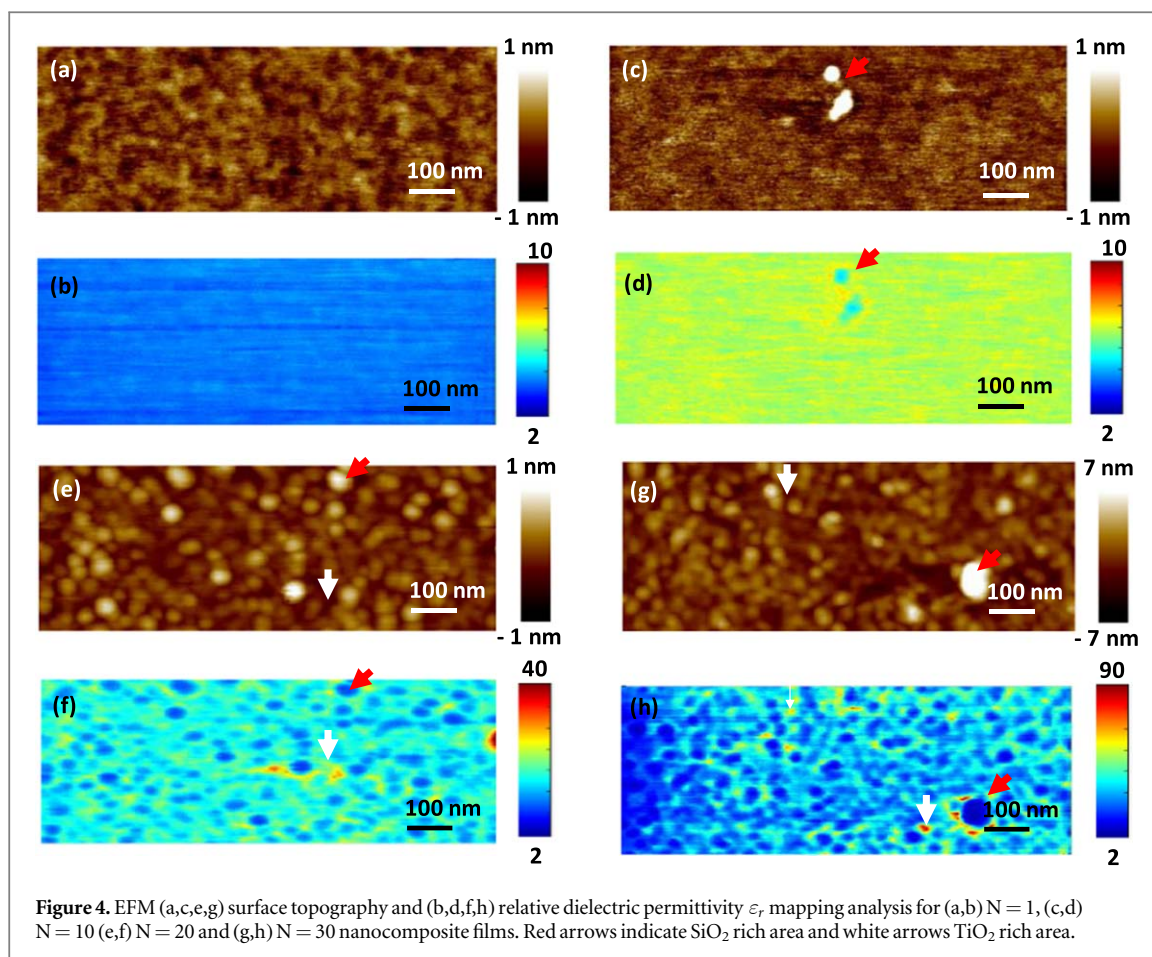
At the end of each deposition, the effective refractive index of the  $TiO_2/SiO_2$  NC films has been extracted using the Bruggeman Effective Medium Approximation (BEMA) [23]. The optical film effective index at 633 nm is plotted in figure 2(b) as a function of the volume fraction of the  $TiO_2$  NPs distributed in the  $SiO_2$  matrix for an increasing  $N$  parameter. At  $N = 1$ , the fraction of NPs has a value of 2.5 vol% and an effective refractive index of 1.48. For  $N = 30$ , the fraction increases up to 58 vol%, with an effective refractive index of 1.78. From these





results, we observe a very accurate control of the NC composition and effective optical index by the deposition conditions. Indeed, a very good trend of linear increase of the index with the TiO<sub>2</sub> content is observed from 1.47 (SiO<sub>2</sub> optical index) to 1.78 when the volume fraction of TiO<sub>2</sub> NPs varies from zero (100% SiO<sub>2</sub>) to 58 (42% SiO<sub>2</sub>). It is worth noting that the extrapolated  $n$  index slightly differs from the one expected for anatase bulk phase. Indeed, the refractive index is still higher than the index found for the plasma treated NPs [28]. Nevertheless, the refractive index has lower values than the ones obtained for bulk, plasma-deposited TiO<sub>2</sub> films ( $n = 2.3$  at 633 nm) due to the nanometer size of TiO<sub>2</sub> NPs in the film deposited by hybrid PECVD method. This is consistent with the optical gap value determined at 2.4 eV, whatever the  $N$  value. In addition, it is to highlight that our ellipsometric model assumes that the TiO<sub>2</sub> NPs are homogeneously dispersed in the film. In order to check this hypothesis, complementary AFM/TEM analysis were performed.

Surface morphology and topography of the deposited TiO<sub>2</sub> in SiO<sub>2</sub> nanocomposite thin films were investigated by AFM as reported in figure 3. From AFM images, the arithmetic average Ra and the root mean square Rq of the 3D roughness were extracted. For  $N \leq 10$ , the amount of TiO<sub>2</sub> NPs has no influence on the surface morphology (figures 3(a) and (b)) and the roughness value is much lower than 0.5 nm (figure 3(e)), i.e. a value similar to the one of SiO<sub>2</sub> films [8]. As shown in a typical high resolution TEM image (figure 3(f)), crystalline TiO<sub>2</sub> nanoparticles, embedded in amorphous silica matrix, are clearly identified (i.e. white circles). In addition, previous results highlighted that the process does not modify the crystalline nature of nanoparticles [23]. In this image, it is worth noting that 2 or 3 NPs are agglomerated together. However, TiO<sub>2</sub> NPs remain mostly individualized and no significant agglomeration was observed. Moreover, this observation emphasizes that the TiO<sub>2</sub> nanoparticles present an average diameter of 4 nm. These results highlight that the introduction of TiO<sub>2</sub> NPs does not modify the surface morphology up to 15% by volume. Above this value, the roughness remains low and in the same order of magnitude than the TiO<sub>2</sub> nanoparticles diameter. For  $N > 10$ , the surface morphology appears modified (figures 3(c) and (d)) and larger grains are observed on the surface and their occurrence increases with  $N$  which implies a surface roughness increasing (figure 3(e)) although limited to 3 nm. Moreover, the lateral dimension of these objects (i.e. 50–100 nm) is larger than nanoparticles ones (i.e. 4 nm). So, this phenomenon, not observed for bare SiO<sub>2</sub>, is related to the interaction between the colloidal solution and the plasma. Indeed, increasing  $N$  means that the injector (for the TiO<sub>2</sub> solution) is open more often allowing thus the incorporation of a larger amount of solution and thus increase of TiO<sub>2</sub> content injected per minute. This increase of colloidal solution in the plasma could modified the matrix properties. Consequently, the surface morphology and roughness of the deposited films are mainly driven by the amount of colloidal solution injected in the plasma (i.e. the amount of TiO<sub>2</sub> NPs). However, even if the surface roughness is increased as compared to lower value of  $N$  (figure 3(d)), it remains below 4 nm (i.e. nanoparticles size). When compared to literature, this NC surface roughness is of the same order of magnitude as SiO<sub>2</sub>-TiO<sub>2</sub> nanolaminates processed by ALD for optical applications [33].

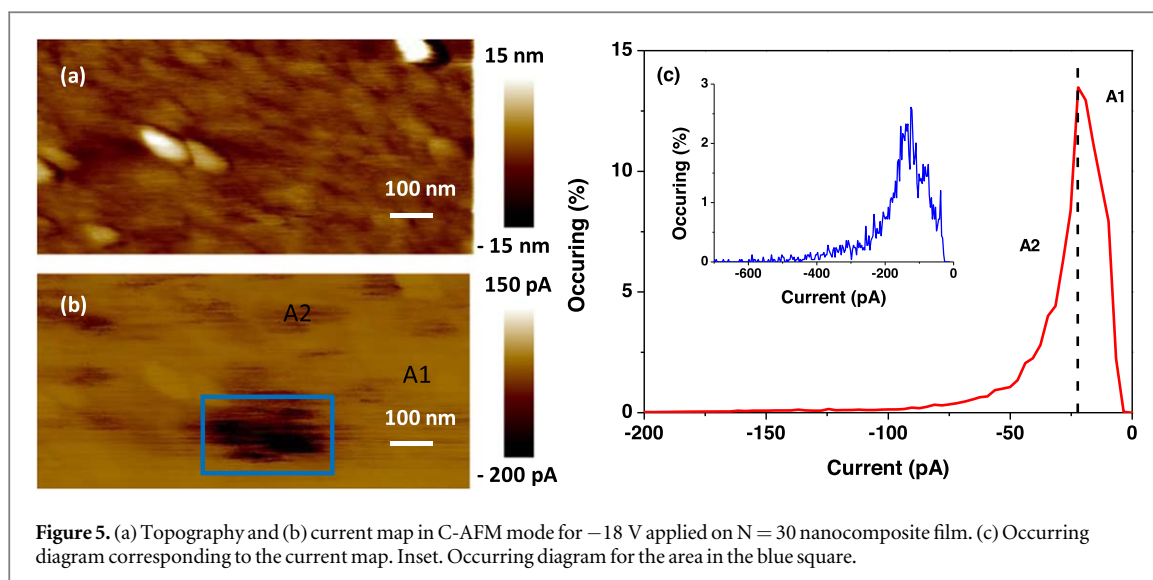


### 3.2. Dielectric permittivity characterization

In figure 4, AFM images show topography and relative dielectric permittivity maps obtained by EFM for  $\text{TiO}_2$  in  $\text{SiO}_2$  NC films. Concerning the relative dielectric permittivity, the mean value and standard deviation, over the map, are determined to identify the NPs dispersion. For  $N = 1$ , the dielectric surface topography is smooth (figure 4(a)) and the dielectric permittivity (figure 4(b)) appears homogeneous. The main value is around  $3.75 \pm 0.1$ , which is close to  $\text{SiO}_2$  one [8]. Hence, no  $\text{TiO}_2$  NPs are observed. For  $N = 10$ , the dielectric surface topography remains smooth and two different grain-like structures are observed (red arrow on figure 4(c)). The corresponding relative dielectric permittivity map appears quite homogeneous with a main value around  $4.7 \pm 0.3$ . Therefore, the presence of  $\text{TiO}_2$  NPs increases the apparent dielectric permittivity even if no NP is detected close to the surface. The grain-like structures (red arrow on figure 4(d)) present a relative dielectric permittivity close to 3.8, which implies that such grains correspond to  $\text{SiO}_2$ -like area. For  $N = 20$ , the dielectric surface topography (figure 4(e)) presents a lot of grains that is consistent with the higher surface roughness observed in tapping mode (figure 3(e)). The corresponding relative dielectric permittivity map (figure 4(f)) is no more homogeneous and associated to high permittivity area (white arrow) which correspond to  $\text{TiO}_2$ -rich area (less than 30 nm in lateral size) and low permittivity area (red arrow) with a permittivity close to 3.8 that can be associated to  $\text{SiO}_2$ -rich area. As the lateral dimension of the  $\text{TiO}_2$  NPs is in the 4 nm range, the  $\text{TiO}_2$ -rich area might be associated to agglomerates of NPs. The main dielectric value is close to  $15.5 \pm 0.3$ . The results obtained for  $N = 30$  are similar to the ones obtained for  $N = 20$  with an heterogeneous surface topography (figure 4(g)) exhibiting similar grain size and relative dielectric permittivity map (figure 4(h)). In that case,  $\text{TiO}_2$ -rich area (white arrow) and  $\text{SiO}_2$ -rich area are observed. Due to the  $\text{TiO}_2$  fraction by volume, the average value of permittivity is increased up to  $29.5 \pm 0.6$ .

Dielectric permittivity determination by EFM can be modified by three main parameters. (i) Error due to AFM tip parameters used in the finite element model. In this study, the calibration on  $\text{SiO}_2$  and  $\text{TiO}_2$  well-known layers, as references, allows to avoid this shortcoming. (ii) Thickness variation mainly due to surface roughness as reported in literature [34, 35]. Considering NC investigated in this study (figure 2), the highest variation of the NC thickness due to surface topography is of 6%. Such thickness variation would generate a variation of 1.5% on the dielectric permittivity value. (iii) Noise on EFM phase shift measurement is determined





on the silica reference sample, and correspond to a variation of 2% in the dielectric permittivity. Consequently, the maximum error would be of 3.5% that is similar to the dispersion range observed in NC dielectric map.

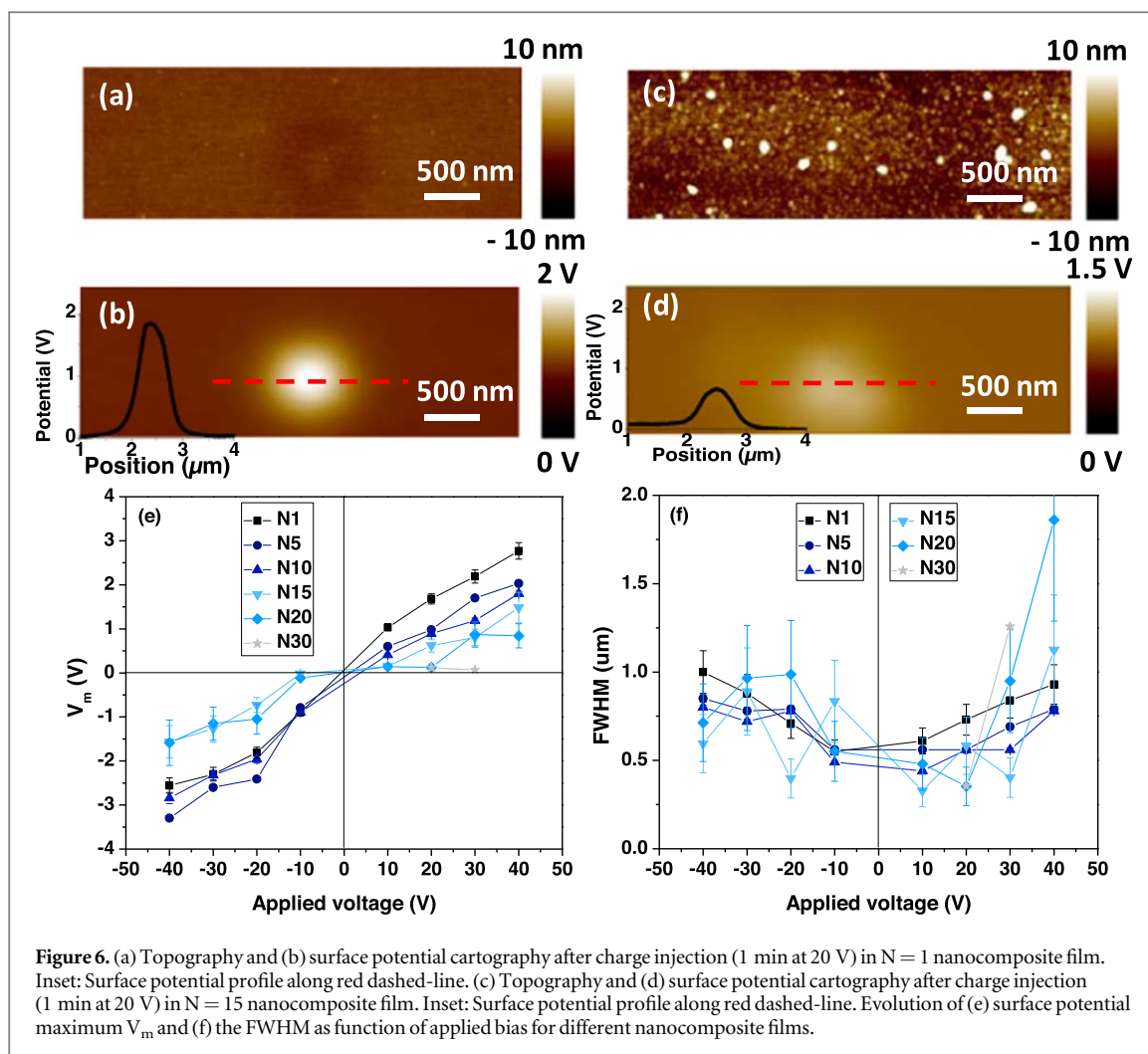
As expected, the mean value of the relative dielectric permittivity increases with the content of  $\text{TiO}_2$  nanoparticles (i.e.  $N$  parameter). For  $N \leq 10$ , the relative dielectric permittivity map appears homogeneous and no  $\text{TiO}_2$  NPs are observed. For  $N > 10$ , the relative dielectric permittivity map appears heterogeneous and  $\text{SiO}_2$ -rich and  $\text{TiO}_2$ -rich areas are evidenced.

### 3.3. Charge injection and transport

Finally, to get more insights in the electrical properties of  $\text{TiO}_2$  in  $\text{SiO}_2$  nanocomposite films, charges injection and transport at local scale were investigated by AM-KPFM and C-AFM modes, respectively.

Figures 5(a) and (b) show surface topography and associated current maps for a  $-18$  V bias applied on the  $N = 30$  NC film obtained by C-AFM. In figure 5(c), the diagram shows the statistical distribution of the current value over the entire analyzed area. As for the relative dielectric permittivity map, the current through the NC film is not homogeneous over the whole surface and 3 different areas are identified. A low current area, so-called A1, which represents around 60% of the surface and exhibits a current value ranging from  $-5$  pA to  $-25$  pA. A medium current area, so-called A2, which represents nearby 34% of the surface, exhibits a current value ranging from  $-25$  pA to  $-200$  pA. A high current area (blue rectangle) represents 6% of the surface and exhibits a current ranging from  $-100$  pA to  $-600$  pA (figure 5(c) inset). This area could be related to the presence of  $\text{TiO}_2$  nanoparticles agglomerates (i.e. high dielectric permittivity area on figure 3(h)) with a significant conductivity for negative carrier [8]. Moreover, no peak is observed at 0 pA, meaning that the current is measurable (i.e. higher than 0.1 pA) for all analyzed surface. Concerning  $N = 20$  nanocomposite film, only 10% of the surface is conductive and a current value close to  $-20$  pA is achieved for  $-28$  V applied on the substrate. These regions might be associated to  $\text{TiO}_2$ -rich areas since they exhibit high dielectric permittivity (as shown in figure 4(f)). For nanocomposite with  $N \leq 15$  no current was measured for all applied voltage. Moreover, for all analyzed nanocomposite film, no current was measured for positive applied bias. We assume that this could be probably due to the N-type nature of the  $\text{TiO}_2$ .

In AM-KPFM configuration, charges were injected by applying a positive or a negative bias to the tip, whereas the Si substrate was grounded. For  $N = 1$  NC film, a comparison between surface topography (figure 6(a)) and surface potential (figure 6(b)), after charge injection (1 min at 20 V), emphasizes no modification of the topography induced by the injected charge. Moreover, the surface potential exhibits a conventional round-like shape with a cross-section (red line on figure 6(b)) exhibiting a bell-like shape profile (inset figure 6(d)). Rather similar behavior is observed for  $N \leq 10$ . For  $N = 15$  nanocomposite films, the surface topography is not influenced by injected charge (figure 6(c)) as for all studied samples, but the surface potential is no more round-like shaped (figure 6(d)). Therefore,  $\text{TiO}_2$  NPs dispersed in the  $\text{SiO}_2$  layer influenced the charge injection. The influence of NPs on injected charge cloud has been already observed in the case of silicon nanocrystal embedded in a  $\text{SiO}_2$  matrix [36] or  $\text{SiO}_2$  nanoparticles in a Low Density Polyethylene (LDPE) matrix [37]. Moreover, the profile shape remains bell-like after charge injection (inset figure 6(d)). To fully understand such behavior, surface potential profiles were fitted with a Gaussian function to extract three parameters: (i) the maximum surface potential  $V_m$ , (ii) the Full-Width at Half Maximum (FWHM) that represents the lateral charge spreading and (iii) the area under the potential peak  $A_s$ , that represents the charge density for a fixed

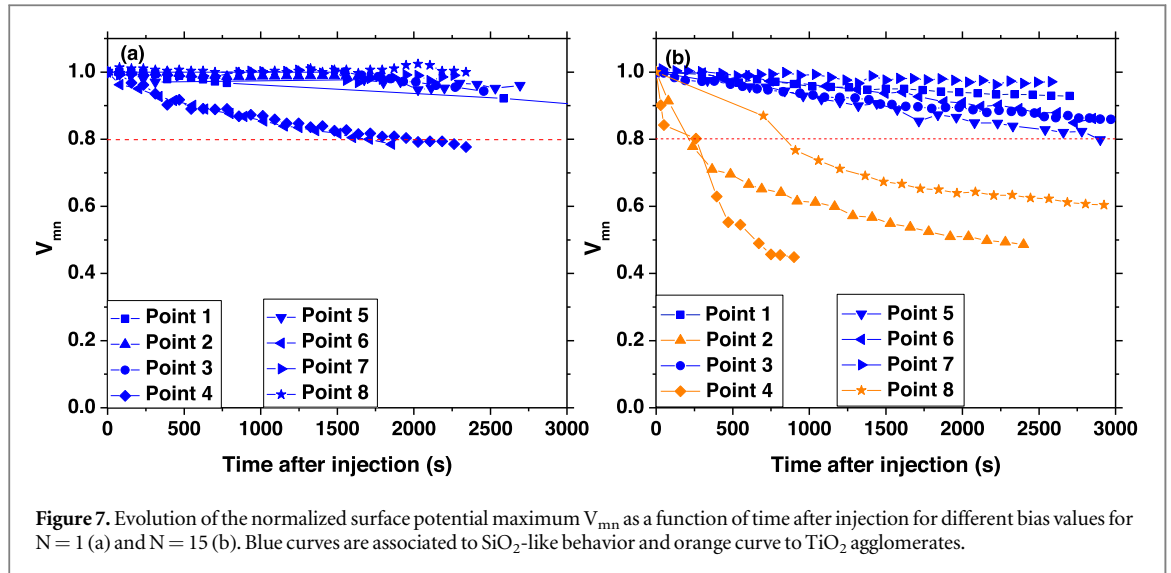


**Figure 6.** (a) Topography and (b) surface potential cartography after charge injection (1 min at 20 V) in  $N = 1$  nanocomposite film. Inset: Surface potential profile along red dashed-line. (c) Topography and (d) surface potential cartography after charge injection (1 min at 20 V) in  $N = 15$  nanocomposite film. Inset: Surface potential profile along red dashed-line. Evolution of (e) surface potential maximum  $V_m$  and (f) the FWHM as function of applied bias for different nanocomposite films.

thickness [38]. For each applied voltage, charge injection was carried out at three different locations on the film NC surface. Mean value and standard deviation of each parameter ( $V_m$ , FWHM and  $A_s$ ) were determined to provide an assessment on dispersion. The evolutions of the surface potential maximum  $V_m$  and the FWHM are plotted as function of applied bias for charges injection in figures 6(e) and (f) respectively.

Concerning  $N = 1$  NC films (black square symbol), both  $V_m$  and FWHM are increasing correspondingly with the applied bias that is consistent with the behavior observed for  $\text{SiO}_2$  films [8, 38]. For  $N = 5$  and  $N = 10$  NC films, the same behavior as the one observed for  $N = 1$  is obtained (i.e. a linear increase for both  $V_m$  and FWHM for the both polarities of the applied voltage). However, the slightly higher  $V_m$  measured for a negative bias as compared to a positive one is significant for a quantity of trapped charge slightly higher for negative carriers than for positive ones. Nevertheless, the standard deviation remains low ( $<5\%$ ) as nanoparticles are homogeneously dispersed in the silica matrix. For  $N = 15$  and  $N = 20$  NC films,  $V_m$  and FWHM are increased with the applied bias. As for  $N = 5$  and  $N = 10$ ,  $V_m$  is lower for positive bias than for negative one meaning that the amount of positive trapped charge is lower than negative ones. However,  $V_m$  remains lower than for  $N \leq 10$  nanocomposite films, which implies a trapped charge quantity lower for  $N = 15$  and  $N = 20$  NC films as compared to  $N \leq 10$  nanocomposite films. Moreover, the FWHM and  $V_m$  exhibit a higher standard deviation. The origin could be that the charge injection and transport are varying depending on the location of the charge cloud: either close to a nanoparticles agglomerate (high conductivity associated to higher FWHM and lower  $V_m$ ) or in an area where NPs are widely dispersed behavior close to silica with lower FWHM and higher  $V_m$ ). In the case of  $N = 30$  no trapped charge is observed at negative bias that is consistent with the high current probed by C-AFM. For positive charges, a small amount of injected charges is observed at positive bias, but only for the lowest values (i.e. 10 V and 20 V). For all measurements, the amount of injected charge decreases as the  $\text{TiO}_2$  NPs fraction by volume is increasing in the NC films. Finally, a behavior similar to the  $\text{SiO}_2$  one is observed for a  $\text{TiO}_2$  fraction below 15% by volume.

To analyze charge transport after injection, the maximum surface potential  $V_m$  and the potential profile FWHM were plotted as a function of the relaxation time for each layer. To emphasize charge dynamics,



**Figure 7.** Evolution of the normalized surface potential maximum  $V_{mn}$  as a function of time after injection for different bias values for  $N = 1$  (a) and  $N = 15$  (b). Blue curves are associated to  $\text{SiO}_2$ -like behavior and orange curve to  $\text{TiO}_2$  agglomerates.

normalized values are given as a function of time: (i) Normalized maximum potential  $V_{mn}$  that corresponds to surface potential maximum at time  $t$  after injection divided by the initial maximum value. (ii) Peak broadening  $\Delta W$  that corresponds to the difference between FWHM at time  $t$  and at  $t = 0$  s. For all amounts of  $\text{TiO}_2$  NPs in the  $\text{SiO}_2$  matrix, the peak broadening  $\Delta W$  is not significant versus time after charge injection, meaning that charge lateral dispersion is not involved in the charge relaxation process. To take into account the spatial heterogeneity of the NC films (figure 4 and figure 5), charge transport dynamics were investigated with 8 points randomly distributed on the surface. Figure 7(a) represents the evolution of the normalized surface potential maximum  $V_{mn}$  as a function of time for  $N = 1$  NC film. For all locations, the  $V_{mn}$  slowly decreases with a dynamic similar to the one of  $\text{SiO}_2$  films [8, 38]. The same decreasing behavior is observed for  $N = 5$  and  $N = 10$  NC films. Consequently,  $\text{TiO}_2$  in  $\text{SiO}_2$  NC films with  $N \leq 10$  exhibit similar insulating properties that  $\text{SiO}_2$  i.e. a strong charge retention. For  $N = 15$ , a different behavior is observed (figure 7(b)). Two charge transport phenomena are observed: (i) a slow decrease of  $V_{mn}$  versus time after injection with a kinetic similar to  $N = 1$  and  $\text{SiO}_2$  films (blues curves in figure 7(b)). The areas associated to points 1, 3, 5, 6 and 7 should be located far from  $\text{TiO}_2$  agglomerates (i.e. a zone with low dielectric permittivity in figure 4). (ii) A quick decrease of the  $V_{mn}$  versus time (orange curve in figure 7(b)) is significant of a rapid transport of charge in the bulk of NC film. This rapid charge dissipation is attributed to the presence of  $\text{TiO}_2$  agglomerates near charges and probably associated to areas with high dielectric permittivity (figure 4). To quantify such a phenomenon, an insulating quality parameter  $Q_{\text{insul}}$  has been defined as

$$Q_{\text{insul}} = \frac{n_{\text{SiO}_2}}{Z} \quad (3)$$

Where  $n_{\text{SiO}_2}$  corresponds to the number of  $V_{mn} = f(t)$  curves which presents the same dynamics as  $\text{SiO}_2$  (blue curves in figure 7) and  $Z$  the number of analyzed areas (here  $Z = 8$ ). In the case of  $N = 15$ ,  $n_{\text{SiO}_2} = 5$  and the insulating quality parameter  $Q_{\text{insul}}$  is 62.5%.

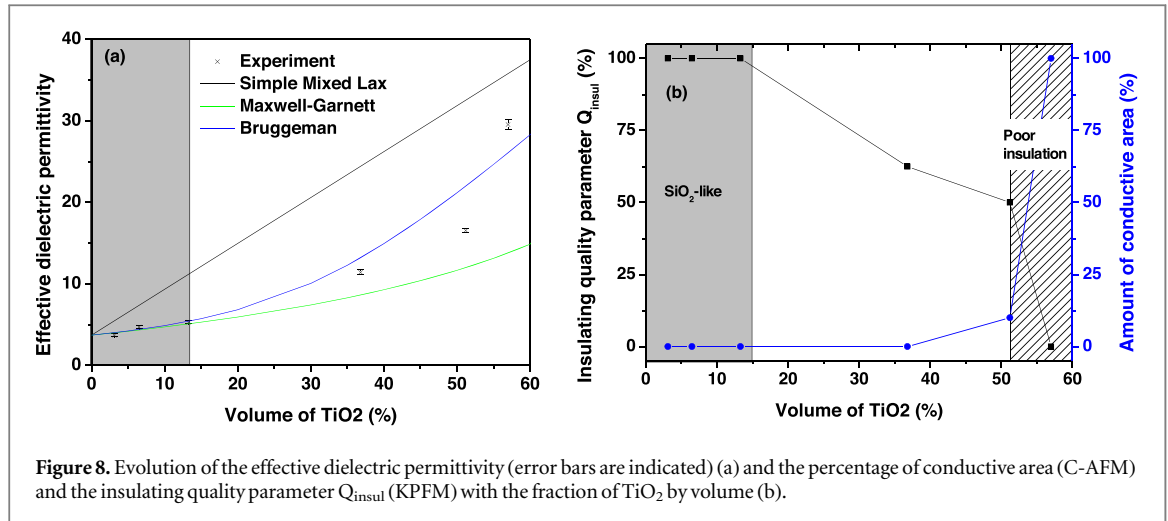
The same phenomenon is observed for  $N = 20$  with an insulating quality parameter  $Q_{\text{insul}}$  of 50%. For  $N = 30$ , a quick decrease of  $V_{mn}$  versus time after injection is observed in all injected conditions. Consequently, the insulating quality parameter  $Q_{\text{insul}}$  is equal to zero.

#### 4. Discussion

To study the influence of the  $\text{TiO}_2$  NPs dispersed in the  $\text{SiO}_2$  matrix on the dielectric properties of the NC films, relative dielectric permittivity, charges injection and transport have been investigated at nanoscale.

For further interpretation of the relative dielectric permittivity  $\epsilon_r$  values, the effective dielectric permittivity measured by EFM (i.e. the mean value over  $0.25 \mu\text{m} \times 1 \mu\text{m}$  surface) was plotted as a function of the content of  $\text{TiO}_2$  nanoparticles by volume estimated by ellipsometry. As expected, the relative dielectric permittivity is increasing with the  $\text{TiO}_2$  NPs content. This relationship could be modeled by several equations and we selected three of them:

- The mixed law that is the simplest equation and corresponds to a volume-average approach. In this model, the effective relative dielectric permittivity of the NC films can be expressed by



**Figure 8.** Evolution of the effective dielectric permittivity (error bars are indicated) (a) and the percentage of conductive area (C-AFM) and the insulating quality parameter  $Q_{\text{insul}}$  (KPFM) with the fraction of TiO<sub>2</sub> by volume (b).

$$\epsilon_{\text{eff}} = \varphi_{\text{SiO}_2} \epsilon_{\text{SiO}_2} + \varphi_{\text{TiO}_2} \epsilon_{\text{TiO}_2} \quad (4)$$

Where  $\varphi_{\text{SiO}_2}$  ( $\varphi_{\text{TiO}_2}$ ) is the fraction of SiO<sub>2</sub> (or TiO<sub>2</sub>) by volume and  $\epsilon_{\text{SiO}_2}$  ( $\epsilon_{\text{TiO}_2}$ ) is the relative dielectric permittivity of SiO<sub>2</sub> (or TiO<sub>2</sub>).

According to equation (4), the relative dielectric permittivity of the NC should increase sharply for low fraction by volume of the nanofiller, as TiO<sub>2</sub> permittivity is one order of magnitude higher than the SiO<sub>2</sub> one. Many studies, reported in the literature, emphasize that the dielectric permittivity of heterostructures is poorly taken into account [39].

- The Maxwell-Garnett theory, which is based on the mean field approximation of a single spherical inclusion embedded in a homogeneous matrix [40, 41]. This model assumes that there is no interactions between inclusions and their respective electrical fields and the matrix. Hence, this model is only valid for a small quantity of NPs in the matrix. The effective relative dielectric permittivity is expressed by

$$\epsilon_{\text{eff}} = \epsilon_{\text{SiO}_2} \frac{\epsilon_{\text{TiO}_2}(1 + 2\varphi_{\text{TiO}_2}) - 2\epsilon_{\text{SiO}_2}(\varphi_{\text{TiO}_2} - 1)}{\epsilon_{\text{SiO}_2}(2 + \varphi_{\text{TiO}_2}) + \epsilon_{\text{TiO}_2}(1 - \varphi_{\text{TiO}_2})} \quad (5)$$

- The Bruggeman model is also a mean field model but more adapted to high fraction of NC by volume [41]. In that study, NPs are assumed to be dispersed in the effective medium including matrix and the other NPs. Therefore, the interaction with all other particles is taken into account. The effective relative dielectric permittivity is expressed by

$$\varphi_{\text{SiO}_2} \frac{\epsilon_{\text{SiO}_2} - \epsilon_{\text{eff}}}{\epsilon_{\text{SiO}_2} + 2\epsilon_{\text{eff}}} + \varphi_{\text{TiO}_2} \frac{\epsilon_{\text{TiO}_2} - \epsilon_{\text{eff}}}{\epsilon_{\text{TiO}_2} + 2\epsilon_{\text{eff}}} = 0 \quad (6)$$

In the following, the relative dielectric permittivity of SiO<sub>2</sub> is fixed to 3.8. Concerning the relative dielectric permittivity of TiO<sub>2</sub> anatase, various values are reported in the literature ranging from 48 to 90 for powder [42] and PECVD thin films [8], respectively. In this study, we fixed the TiO<sub>2</sub> dielectric permittivity to 60 (value close to those of red spot in figure 4(h)).

Figure 8(a) shows the evolution of the effective dielectric permittivity, which corresponds to the mean value of the relative dielectric permittivity map probed by EFM (figure 4), as a function of the TiO<sub>2</sub> fraction  $\varphi_{\text{TiO}_2}$  by volume. We observe that the effective dielectric permittivity increase with the TiO<sub>2</sub> fraction by volume is not linear. For all fraction by volume, the simple mixed law overestimates the effective dielectric permittivity. For  $\varphi_{\text{TiO}_2} \leq 14\%$ , the Maxwell-Garnett and Bruggeman laws are in good agreement with the experimental data and validate thus the hypothesis of a diluted medium with low amount of NPs and no agglomerates. For  $\varphi_{\text{TiO}_2}$  above 14%, the experimental results agree well with the Bruggeman law meaning that under electric field, electrostatic interactions take place between nanoparticles due to high TiO<sub>2</sub> fraction by volume and lead to the formation of agglomerates.

All the results of this study demonstrate that the fraction of TiO<sub>2</sub> NPs by volume has a strong impact on dielectric properties of TiO<sub>2</sub> in SiO<sub>2</sub> NC. To get deeper understanding on this impact, the amount of conductive surface determined by C-AFM and the insulation quality factor  $Q_{\text{insul}}$  determined by KPFM are plotted as a function of the TiO<sub>2</sub> volume fraction (figure 8(b)). Below 14% of TiO<sub>2</sub> fraction by volume ( $N \leq 10$ ), the NC



**Table 1.** Comparison between high-k materials, nanolaminates (NL) and TiO<sub>2</sub> in SiO<sub>2</sub> NC dielectric thin film properties in term of relative dielectric permittivity, leakage current density J and equivalent Oxide Thickness (EOT). Leakage current is obtained either by C-AFM<sup>(\*)</sup> (collection surface estimated to 2 10<sup>-2</sup> μm<sup>2</sup>) or by macroscale measurement<sup>(\*\*)</sup>.

	Dielectric material	$\epsilon_r$	EOT (nm)	J (A.cm <sup>-2</sup> )	Applied electric field (V.nm <sup>-1</sup> )	Reference
NC	37% TiO <sub>2</sub> in SiO <sub>2</sub>	11	17.7	< 4 10 <sup>-4</sup> (*)	0.56	This study
	51% TiO <sub>2</sub> in SiO <sub>2</sub>	17	11.5	3 10 <sup>-2</sup> (*)	0.8	
	57% TiO <sub>2</sub> in SiO <sub>2</sub>	30	6.5	12 10 <sup>-2</sup> (*)	0.36	
High-k	Y <sub>2</sub> O <sub>3</sub>	15	15.6	10 <sup>-7</sup> (**)	0.2	Xu <i>et al</i> [43]
	a-LaAlO <sub>3</sub>	16	0.9	10 <sup>-2</sup> (**)	0.01	Pelloquin [44]
	HfO <sub>2</sub>	25	1.5	10 <sup>-7</sup> (**)	0.1	Robertson [18]
NL	Ta <sub>2</sub> O <sub>5</sub> -HfO <sub>2</sub>	12	3.25	2 10 <sup>-7</sup> (**)	0.1	Zhang [12]
	ZrO <sub>2</sub> -HfO <sub>2</sub>	14	3.2	2.6 10 <sup>-8</sup> (**)	0.1	Zhang [12]
	VO <sub>2</sub> -SiO <sub>2</sub>	5.42	70	10 <sup>-7</sup> (**)	0.01	Sun [11]

films exhibit a surface morphology and dielectric properties similar to SiO<sub>2</sub> one. Indeed, the surface roughness is extremely small (figure 2(e)), no leakage current is measured and the charge injection and transport exhibit the same dynamics than SiO<sub>2</sub>. Such characteristics are significant for well-dispersed TiO<sub>2</sub> NPs in the SiO<sub>2</sub> matrix. This high dispersion is in very good agreement with TEM images. Hence, the dielectric permittivity evolution is well fitted by Maxwell-Garnett law (i.e. dilute medium hypothesis). In such range of NPs contents, the relative dielectric permittivity increases with the TiO<sub>2</sub> volume fraction without affecting the insulating properties of the matrix.

For the 37% TiO<sub>2</sub> NPs content (figure 8), the SiO<sub>2</sub> matrix remains enough insulating with a low conduction conversely with an increased relative dielectric constant of 11 (table 1). In addition, we should notice that, in our work, the leakage current is in the 10<sup>-4</sup> range (<4 10<sup>-4</sup> A cm<sup>-2</sup>, see first line in tables 1), i.e. a rather low value considering the value of the applied electrical field, which is higher by almost a factor 6 as compared to results from literature (lower part of table 1).

For a TiO<sub>2</sub> fraction by volume between 37% and 57% (15 ≤ N ≤ 20), the NC films roughness increases and the dielectric properties are different from SiO<sub>2</sub>. Accordingly, the apparent dielectric permittivity (figure 8(a)) and the conductive area surface (figure 8(b)) are increased. Moreover, the insulating quality factor Q<sub>insul</sub> decreases. As said previously, considering deposition condition with higher N implies that the injector of the TiO<sub>2</sub> colloidal solution is open more often allowing thus the incorporation of a larger amount of solution in the plasma and thus increase of TiO<sub>2</sub> content injected per minute. Actually, when the TiO<sub>2</sub> volume fraction increases, the distance between TiO<sub>2</sub> NPs is decreasing and promotes NPs agglomerates. As TiO<sub>2</sub> NPs exhibit higher conduction than silica matrix, the decreasing of distance between nanoparticles and/or the formation of agglomerates favors charge transport and leads to an increase of the leakage current. The insulating properties of these NC materials are worsened compared to SiO<sub>2</sub> ones but remain suitable. For a TiO<sub>2</sub> fraction of 57 vol%, as TiO<sub>2</sub> is the majority in the NC film, the number of agglomerates becomes important leading to high leakage current, weak amount of trapped charges and quick charge dissipation rate. In this context, one way to improve dielectric properties of TiO<sub>2</sub> in SiO<sub>2</sub> NC films would be to improve the nanoparticles dispersion in fraction by volume in the 32%–52% range.

To conclude, for MIM applications, table 1 gathers values of relative dielectric permittivity and current density for TiO<sub>2</sub> in SiO<sub>2</sub> NC films with those of classical high-k material and nanolaminates (NL). The Equivalent Oxide Thickness (EOT) is calculated using the following equation [18]:

$$EOT = d_D \frac{\epsilon_{SiO_2}}{\epsilon_D} \quad (6a)$$

Where  $d_D$  is the film thickness and  $\epsilon_D$  the dielectric permittivity of the thin dielectric layer.

First, these results emphasize that TiO<sub>2</sub> in SiO<sub>2</sub> NC films allow to reach similar dielectric permittivity than classical high-k materials. Considering leakage current, the comparison is not immediate since macroscale measurement leads to current mean value for large size electrodes (few mm<sup>2</sup>) whereas C-AFM gives access to local current values highlighting thus heterogeneity. However, considering an analyzed surface collection of 2 10<sup>-2</sup> μm<sup>2</sup> a current density could be estimated. Finally, current density values obtained for TiO<sub>2</sub> in SiO<sub>2</sub> NC make them alternative candidates to reference high-k materials to develop MIM structures.

In addition, the comparison of TiO<sub>2</sub> in SiO<sub>2</sub> NC films investigated in this study with TiO<sub>2</sub>-SiO<sub>2</sub> nanolaminates is not immediate since dielectric properties of the later are rarely reported in the literature. Indeed, TiO<sub>2</sub>-SiO<sub>2</sub> nanolaminates are mainly studied for optical devices applications [33]. However, our TiO<sub>2</sub> in SiO<sub>2</sub> NC films exhibit similar dielectric permittivity to those of laminates classically reported in the literature [15]. The comparison in term of leakage current is not easy as nanolaminates include a top and/or

bottom insulating layer (i.e. SiO<sub>2</sub> layer with thickness ranging from 2 nm to 10 nm) that could limit both charge injection and transport [11–13, 15]. Based on the present study, TiO<sub>2</sub> in SiO<sub>2</sub> NC appear promising for MIM applications.

## 5. Conclusion

In this paper, the dielectric properties of TiO<sub>2</sub> in SiO<sub>2</sub> NC films are investigated by several techniques derived from AFM, namely relative dielectric permittivity, charges injection and transport. The TiO<sub>2</sub> in SiO<sub>2</sub> NC films are synthesized by an advanced hybrid aerosol-PECVD method based on direct liquid injection of a colloidal solution in an O<sub>2</sub> / HMDSO low-pressure plasma. This technique allows an accurate tuning of the TiO<sub>2</sub> NPs fraction by volume (from 2% to 57%) while controlling the NPs dispersion in the matrix. Results demonstrate that the NPs fraction by volume has a large impact on nanocomposite dielectric permittivity. For low TiO<sub>2</sub> content (less than 14% by vol.), the dielectric properties are similar to silica one. Indeed, the relative dielectric permittivity can be increased up to 4.7 while maintaining the silica insulating properties and surface roughness. For middle range TiO<sub>2</sub> content (i. e. 15%–37% by vol.), the relative dielectric permittivity is strongly increased up to 11 for 37% TiO<sub>2</sub> by volume. In that case, few NPs agglomerates are observed along with a slight increase of the surface roughness. Moreover, the presence of small NPs agglomerates tends to slightly worsen the electrical insulating properties (i.e. charge transport dynamic is favored). At high concentration of TiO<sub>2</sub> NPs (i.e. above 40% by vol.), the quantity of NPs agglomerates becomes important, which increases strongly the leakage current and limits charges trapping. However, the dielectric properties of TiO<sub>2</sub> in SiO<sub>2</sub> NC films, with 37% TiO<sub>2</sub> by volume, appear very challenging to replace high-k materials in MIM-capacitor. The next step will be to optimize the nanoparticles dispersion in the SiO<sub>2</sub> matrix and further investigate their dielectric performances in MIM devices.

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## Data availability statement

All data that support the findings of this study are included within the article (and any supplementary files).

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