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Quantum-Chemistry Study of the Photophysical Properties of 4-Thiouracil and Comparisons with 2-Thiouracil

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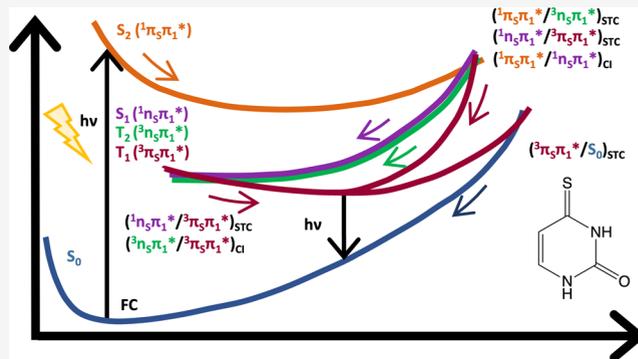


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Supporting Information

ABSTRACT: DNA in living beings is constantly damaged by exogenous and endogenous agents. However, in some cases, DNA photodamage can have interesting applications, as it happens in photodynamic therapy. In this work, the current knowledge on the photophysics of 4-thiouracil has been extended by further quantum-chemistry studies to improve the agreement between theory and experiments, to better understand the differences with 2-thiouracil, and, last but not least, to verify its usefulness as a photosensitizer for photodynamic therapy. This study has been carried out by determining the most favorable deactivation paths of UV-vis photoexcited 4-thiouracil by means of the photochemical reaction path approach and an efficient combination of the complete-active-space second-order perturbation theory//complete-active-space self-consistent field (CASPT2//CASSCF), (CASPT2//CASPT2), time-dependent density functional theory (TDDFT), and spin-flip TDDFT (SF-TDDFT) methodologies. By comparing the data computed herein for both 4-thiouracil and 2-thiouracil, a rationale is provided on the relatively higher yields of intersystem crossing, triplet lifetime and singlet oxygen production of 4-thiouracil, and the relatively higher yield of phosphorescence of 2-thiouracil.



INTRODUCTION

Chemically modified nucleobases have been used in different applications, from chemotherapy^{1–4} and fluorescence markers^{5–14} to nanomaterials.^{15–18} Among them, thiated nucleobases (thiobases or thionucleobases) have received considerable attention due to their potential applications in photodynamic therapy.^{19–25} Thionucleobases differ from the canonical nucleobases because one (or more) oxygen atom(s) is (are) replaced by a sulfur atom(s), which greatly increases the intersystem crossing yields, conferring them the characteristic of being species with very high quantum yield for triplet state population, which can be employed to stimulate in situ generation of reactive singlet oxygen (¹O₂). This excited state of molecular oxygen is a toxic species employed in photodynamic cancer therapy to damage biomolecules and produce cell death. ¹O₂ is generated when a photosensitizer (photodynamic drugs), after being exposed to a specific wavelength and excited to a triplet excited state, deactivates to the ground state and the energy released is transferred to the oxygen in the ground state (³O₂). The photosensitizer is a key component in this therapy, and therefore, most of the research focuses on the design of more efficient photosensitizers and understanding

their physicochemical and medical applications.^{26–28} Thionucleobases are prospective photosensitizers.

Among thionucleobases, 2-thiouracil (2-TU) (Figure 1, right) is a very interesting species because of its high triplet

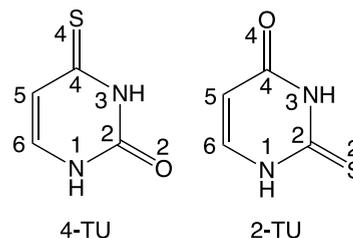


Figure 1. Chemical structure and labeling numbering for 4-TU and 2-TU.

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quantum yield ($\Phi_{\text{ISC}} = 0.75 \pm 0.20$),²⁹ high phosphorescence yield ($\Phi_{\text{ph}} = 0.65\text{--}0.70$),^{30,31} and short triplet lifetime ($\tau = 0.07 \pm 0.02 \mu\text{s}$).³² As it has a limited absorption at 355 nm, no attempt was made to measure its singlet oxygen yield,³³ but it has been supposed to be similar to that observed for 2-thiothymine ($\Phi_{\Delta} = 0.36 \pm 0.02$).²⁹

2-TU deactivation pathways have been investigated computationally by different authors. Cui and Fang,³⁴ by employing the complete-active-space second-order perturbation theory//complete-active-space self-consistent field (CASPT2//CASSCF) protocol and imposing C_s symmetry in most calculations, were the first to propose three different deactivation mechanisms ($S_2^1(\pi_S\pi_1^*) \rightarrow S_1^1(n_S\pi_1^*) \rightarrow T_1^3(\pi_S\pi_1^*)$, $S_2^1(\pi_S\pi_1^*) \rightarrow T_2^3(n_S\pi_1^*) \rightarrow T_1^3(\pi_S\pi_1^*)$, and $S_2^1(\pi_S\pi_1^*) \rightarrow T_3^3(n_S\pi_1^*) \rightarrow T_2^3(n_S\pi_1^*) \rightarrow T_1^3(\pi_S\pi_1^*)$). However, these mechanisms are compromised due to symmetry constraints imposed by the authors. Later on, Gobbo and Borin³⁵ employed the same computational protocol, but without symmetry constraints, and proposed two similar deactivation pathways ($S_2^1(\pi_S\pi^*) \rightarrow S_1^1(n_S\pi^*) \rightarrow T_1^3(\pi_S\pi^*)$ and $S_2^1(\pi_S\pi^*) \rightarrow T_2^3(n_S\pi^*) \rightarrow T_1^3(\pi_S\pi^*)$). Shortly afterward, Mai et al.,³⁶ employing several levels of theory (CASSCF, MRCIS—multireference configuration interaction including single excitations, SS-CASPT2—state-specific CASPT2, and MS-CASPT2—multistate CASPT2), optimized minima and crossing points, obtaining strongly distorted geometries, and proposed new photophysical deactivation mechanisms as, for instance, the $S_2 \rightarrow S_1 \rightarrow T_2 \rightarrow T_1$ sequence of population transfers. They also reported a spin–orbit coupling (SOC) between the S_1 and T_2 states of about 150 cm^{-1} , even though the S_1 and T_2 states are of the same $n_S\pi^*$ nature, which is in apparent contradiction to El-Sayed's rule;³⁷ nonetheless, they detected in the photo-dynamics that the $T_2^3(n_S\pi^*)$ and $T_1^3(\pi\pi^*)$ states reversed their energetic order temporarily, consequently determining that the above-reported $S_2 \rightarrow S_1 \rightarrow T_2/T_1$ pathway can be represented as $^1\pi_S\pi^* \rightarrow ^1n_S\pi^* \rightarrow ^3\pi_S\pi^*$ decay, where the electronic states are labeled by their nature.³⁸ Consequently, from now on we will refer to the deactivation path of this molecule as $S_2^1(\pi_S\pi^*) \rightarrow S_1^1(n_S\pi^*) \rightarrow T_2/T_1^3(\pi_S\pi^*)$.

4-Thiouracil (4-TU) (Figure 1, left) is another important thionucleobase but less explored computationally. Its absorption spectrum is characterized by a strong band in the UVA region, with a maximum of around 330 nm, and by a weaker one in the UVB region, at 240 nm.³⁹ Its lowest-lying triplet state is of $\pi\pi^*$ nature ($T_1^3(\pi\pi^*)$), while T_2 is of $n\pi^*$ character ($T_2^3(n\pi^*)$). In comparison to 2-TU, it has a higher (near unity) triplet population quantum yield ($\Phi_{\text{ISC}} = 0.90 \pm 0.15$),^{33,39,40} with the highest singlet oxygen formation yield ($\Phi_{\Delta} = 0.49 \pm 0.02$)^{33,41} among thiobases. At 77 K, 4-TU shows a low phosphorescence yield ($\Phi_{\text{ph}} = 0.15$).⁴² Finally, a triplet lifetime of $\tau = 0.23 \pm 0.02 \mu\text{s}$ was measured in anaerobic conditions and $\tau = 0.17 \pm 0.02 \mu\text{s}$ in aerobic conditions.³⁹ A more recent study on 4-TU⁴³ has shown, by means of sub-30 fs broadband transient absorption spectroscopy in the UV with state-of-the-art QM/MM simulations, that its most relevant decay paths are $S_2^1(\pi_S\pi^*) \rightarrow S_1^1(n_S\pi^*) \rightarrow T_1^3(\pi_S\pi^*)$ and $S_2^1(\pi_S\pi^*) \rightarrow T_2^3(n_S\pi^*) \rightarrow T_1^3(\pi_S\pi^*)$, with the former being the most probable. The study also explained why populating the triplet state of 4-TU is two times faster than that of 2-TU. This is attributed to the planar geometry of 4-TU in contrast to 2-TU and the fact that, in 4-TU, the minimum energy region

of the singlet $n\pi^*$ state crosses the potential energy hypersurface of the triplet state of $\pi\pi^*$ nature enhancing the possibility of population transfer to the triplet state, whereas 2-TU needs to overcome a barrier of ca. 0.1 eV in order to populate the triplet manifold. However, a detailed knowledge about the factors influencing the experimentally observed phosphorescence yields and triplet lifetimes was still missing. Therefore, the present contribution is devoted to providing deeper details on the intrinsic photophysical properties of the 4-TU molecule by using the SS-CASPT2 method and different flavors of the density functional theory (DFT) method with the B3LYP functional. Furthermore, by comparing the photophysical properties and the geometry differences between 4-TU and 2-TU, we achieve a more comprehensive interpretation of their behavior and characteristics.

COMPUTATIONAL DETAILS

Geometry optimizations of excited-state minima, conical intersections (CIs), singlet–triplet crossings (STCs), and minimum energy paths (MEPs) have been mainly performed with the CASPT2//CASSCF protocol, which consists of geometry optimizations at the CASSCF⁴⁴ level, followed by single-point energy calculations at the state-specific CASPT2⁴⁵ (SS-CASPT2; here after CASPT2) level on top of the converged geometries. Calculations were performed without symmetry constraints and the ANO-S-VDZP basis set, since the CASPT2 method does not depend much on the basis set's size in the case of thiouracils⁴⁶ and also in larger systems.⁴⁷ However, in order to test this dependence on the basis set, CASPT2 benchmark calculations were performed in this study with the ANO-S-VDZP, ANO-L-VDZP, and ANO-L-VTZP basis sets (see Tables S1–S5 on the Supporting Information), obtaining the same conclusions for energies and SOCs. CASSCF and CASPT2 calculations were carried out with MOLCAS 8 software.⁴⁸ CIs and STCs were computed as the minimum energy crossing points (MECPs) on the singlet–singlet, triplet–triplet, and singlet–triplet manifolds obtained with the restricted Lagrange multiplier technique,⁴⁹ imposing the constraint of degeneracy between the two states considered. In order to perform a geometrical analysis and comparison, some specific geometry optimizations (indicated in Results and Discussion) were also carried out: (1) at the CASPT2/ANO-S-VDZP level and (2) with the spin-flip time-dependent DFT (SF-TDDFT),⁵⁰ (3) time-dependent DFT (TDDFT), and/or (4) Tamm–Dancoff DFT approach (TDA)⁵¹ methods, using the B3LYP functional and the 6-311 + G** basis set, with Q-Chem 5 software.⁵²

MEPs were computed with a modified version of the steepest descent algorithm developed by Anglada and Boffil, as proposed by Müller-Brown.⁵³ Mass-weighted coordinates (in bohr $(\text{amu})^{1/2}$) were used, which means that they are equivalent to the so-called intrinsic reaction coordinate (IRC).

The CASSCF active space for ground state (GS) geometry optimization comprises the π and π^* orbitals and electrons (CASSCF(10,8)) only (Figure 2, highlighted in yellow). For this geometry optimization, state-specific CASSCF wave functions were employed; that is, a single root was employed in the CASSCF step. S_0/T_1 MECP geometry optimization was obtained at the CASPT2 level due to the differential correlation initially detected when performing CASSCF geometry optimization of this point. This CASPT2 optimization was performed with an active space of CAS(10,8)

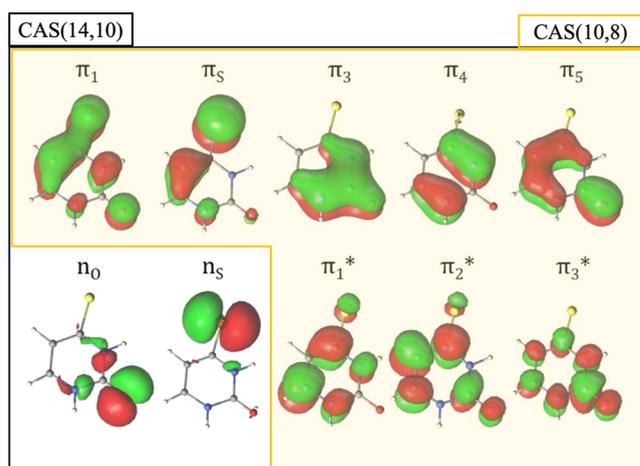


Figure 2. Valence natural orbitals computed at the SA(7)-CASSCF-(14,10)/ANO-S-VDZP (highlighted in black) and SS-CASSCF-(10,8)/ANO-S-VDZP (highlighted in yellow) levels of theory at the ground-state equilibrium geometry for 4-thiouracil.

including the π -system orbitals. Remaining geometry optimizations were carried out with a larger active space, including 14 electrons distributed over 10 molecular orbitals (MOs; 8 π and π^* orbitals, plus the oxygen and sulfur lone pairs; CASSCF(14,10)) (Figure 2, highlighted in black), including the necessary roots to consider the desired states.

In order to add dynamical correlation effects to all of the geometries corresponding to the previously optimized minima, crossing points, and MEP points, the CASPT2 method^{49,53,54} was used with an active space that includes all π , π^* and lone pair orbitals, that is, CASSCF(14,10). Seven singlets and seven triplets were computed using as zeroth-order reference for each spin multiplicity a state-average CASSCF (SA-CASSCF) wave function (with equal weights for each state). The zeroth-order Hamiltonian with an IPEA⁵⁵ value of zero and an imaginary level shift⁵⁶ of 0.2 au have been used in CASPT2 calculations. Reference weights of the CASSCF wave functions in the CASPT2 computations for each root are compiled in Table S6, indicating that it does not include intruder states. Associated oscillator strengths (f) were computed using transition dipole moments (length representation) obtained with the CAS state interaction (CASSI) method⁵⁷ and state energy differences calculated at the CASPT2 level as described above.

Benchmark computations were conducted on the different minima and the MECPs by comparing CASPT2//CASSCF and MS-CASPT2//CASSCF protocols (see Tables S7 and S8 in the Supporting Information). The outcomes consistently offer a comparable perspective in both scenarios, with the SS-CASPT2 values establishing a lower limit of 0.01 eV for the energy difference between the states on the degeneracy regions, and MS-CASPT2 values establishing an upper limit of 0.3 eV in these points where we would also expect degeneracy. The use of CASSCF for geometries and CASPT2 for the final energies also introduces a certain degree of differential correlation specially in the crossing points. However, almost degeneracies are kept when recomputing energies at the CASPT2 level on top of the CASSCF structures with gaps lower than 0.2 eV. All of these differences are not too high and allow providing a reliable description with the CASPT2//CASSCF protocol.

RESULTS AND DISCUSSION

Franck–Condon Region Spectroscopy. The CASPT2 vertical absorption energies (E_v^{abs}) computed at the CASSCF ground state optimized geometry (FC region), corresponding nature (CSF), f values, dipole moments (μ), and weight of the most important configurations in the CASSCF wave function for the seven lowest-lying singlet and triplet electronic states of 4-TU are collected in Table 1. We also added our computed data at TDDFT and TDA levels, and the data obtained by Borrego-Varillas et al.⁴³

As we can notice, the 4-TU brightest state is the S_2 ($^1\pi_s\pi_1^*$) state, derived from the ground state by a single electron excitation from the π_s to the π_1^* orbital, with a smaller contribution from the $\pi_1 \rightarrow \pi_1^*$ single excitation, which has also a contribution from the S atom (Figure 2) and also a small influence of double excitations from the π_s orbital. The S_1 ($^1n_s\pi_1^*$) appears at 2.75 eV vertically above the ground state, being derived from it by a single excitation from the n_s lone pair orbital, mainly localized on the sulfur atom, to the π_1^* orbital; the associated oscillator strength is computed to be null. Below the S_1 ($^1n_s\pi_1^*$) state, we found two lowest-lying triplet electronic states, T_1 ($^3(\pi_s\pi_1^*, \pi_1\pi_1^*)$) and T_2 ($^3(n_s\pi_1^*)$). The former has an electronic wave function mainly dominated by the $^1\pi_s \rightarrow \pi_1^*$ single excitation and is localized at 2.59 eV vertically above the ground state, and the latter is mainly described by the $n_s \rightarrow \pi_1^*$ single excitation and appears at 2.62 eV above the ground state energy. It is worth noticing the presence of triplet states below the S_1 ($^1n_s\pi_1^*$) and S_2 ($^1\pi_s\pi_1^*$) singlet excited states, a favorable condition for triplet state population via intersystem crossing mechanisms.

Regarding TDDFT and TDA-computed data, at the TDDFT level, the S_2 ($^1\pi_s\pi_1^*$) is 0.22 eV higher in energy than that at the CASPT2 level. This state is vertically placed at 4.49 eV at the TDA level, namely, about 0.5 eV overestimated with respect to the CASPT2 value. Both DFT values are much higher than the experimental one, obtained in water and in acetonitrile (3.79 eV).^{39,41} This discrepancy on the S_2 ($^1\pi_s\pi_1^*$) state energy between DFT-based and multiconfigurational methods might be due to the multiconfigurational nature with certain contribution of double excitations, as identified in Table 1. Furthermore, the TDDFT and TDA methods were not able to reproduce the CASPT2 energetic order, in particular for the states situated above the S_2 ($^1\pi_s\pi_1^*$) state, where also some double excitations were found in the description of the electronic states. This suggests a limitation in the ability of the TDDFT and TDA methodologies mentioned here to provide an accurate description of the spectroscopic data of 4-TU.

The E_v^{abs} computed herein are now compared with those obtained experimentally.³⁹ The experimental absorption spectrum exhibits two bands, the most intense peaking at ~ 327 nm (3.79 eV, ranging from 280 to 360 nm) and the weakest at 240 nm (5.12 eV). According to our results, the strongest absorption band can be associated with the electronic transitions to the S_2 ($^1\pi_s\pi_1^*$) and S_3 ($^1\pi_1\pi_1^*$) excited states. The electronic transition to the S_2 ($^1\pi_s\pi_1^*$) can be associated with the maximum of the strongest band at 3.79 eV, while the S_3 ($^1\pi_1\pi_1^*$) state contributes to the higher energy region. The weakest band can be assigned to the transition to the S_4 ($^1\pi_s\pi_2^*$) state, located at 4.90 eV (253 nm) above the ground state.

Table 1. 4-Thiouracil Vertical Absorption Energies (E_v^{abs} , eV), Oscillator Strengths (f), Nature and Weights (%) of the Main Excitations, and Dipole Moments (μ , Debye) for the Seven Lowest Singlet and Triplet States at the Franck–Condon Region at Different Levels of Theory Data from Ref 43 and Experimental Studies are also Provided, Both Obtained in Water Solution (cs = Closed Shell, de = Double Excitation)

state	E_v^{abs}	f	CASPT2			TDDFT			TDA			ref 43		exp. data	
			nature	weight	μ	state	E_v^{abs}	f	nature	weight	state	E_v^{abs}	f		E_v^{abs39}
S ₀			cs	78	4.28	S ₀									
			$^1(\pi_S\pi_1^*)$	11											
T ₁	2.59		$^3(\pi_S\pi_1^*)$	57	3.02	T ₁	50	0.000	$^3(\pi_S\pi_1^*)$	49	2.49	0.000			
			$^3(\pi_1\pi_1^*)$	30											
T ₂	2.62		$^3(n_S\pi_1^*)$	86	2.87	T ₂	49	0.000	$^3(n_S\pi_1^*)$	49	2.55	0.000			
S ₁	2.75	0.000	$^1(n_S\pi_1^*)$	88	3.10	S ₁	50	0.000	$^1(n_S\pi_1^*)$	50	2.80	0.000	$^1(n_S\pi_1^*)$	2.82	0.00
T ₃	3.84		$^3(\pi_S\pi_2^*)$	34	3.64	T ₃	39	0.000	$^3(\pi_1\pi_1^*)$	41	3.88	0.000			
			$^3(\pi_1\pi_1^*)$	33			6.9		$^3(\pi_S\pi_2^*)$						
S ₂	4.00	0.419	$^1(\pi_S\pi_1^*)$	47	4.55	S ₂	46	0.298	$^1(\pi_S\pi_1^*)$	37	4.49	0.347	$^1(\pi_S\pi_1^*)$	3.73	0.43
			$^1(\pi_1\pi_1^*)$	18											
			de $^1(2\pi_S\pi_1^*)$	3											
			de $^1(\pi_S\pi_1^* + \pi_S\pi_2^*)$	2											
S ₃	4.41	0.158	$^1(\pi_1\pi_1^*)$	37	8.13	T ₄	49	0.000	$^3(n_S\pi_2^*)$	49	4.55	0.000	$^3(n_S\pi_2^*)$	4.39	0.04
			$^1(\pi_S\pi_1^*)$	21											
			de $^1(2\pi_S\pi_1^*)$	11											
T ₄	4.56		$^3(n_S\pi_2^*)$	52	3.04	S ₃	49	0.000	$^1(n_S\pi_2^*)$	49	4.61	0.000	$^1(n_S\pi_2^*)$		
			de $^3(\pi_S\pi_1^* + n_S\pi_1^*)$	17											
S ₄	4.90	0.128	$^1(\pi_S\pi_2^*)$	42	3.83	S ₄	48	0.008	$^1(\pi_1\pi_1^*)$	45	4.93	0.018	$^1(\pi_1\pi_1^*)$		5.12
			$^1(\pi_1\pi_1^*)$	18											
T ₅	4.93		$^3(\pi_1\pi_2^*)$	50	3.95										
S ₅	5.10	0.000	$^1(n_S\pi_2^*)$	57	3.14										
			de $^1(\pi_S\pi_1^* + n_S\pi_1^*)$	13											
			de $^1(\pi_1\pi_1^* + n_S\pi_1^*)$	11											
T ₆	5.19		$^3(\pi_S\pi_2^*)$	29	5.69										
			$^3(\pi_1\pi_1^*)$	17											
			$^3(\pi_S\pi_1^*)$	14											
			$^3(\pi_1\pi_2^*)$	10											
S ₆	6.05	0.000	$^1(n_O\pi_1^*)$	70	11.45										
T ₇	6.19		$^3(n_O\pi_2^*)$	63	5.37										
			$^3(n_O\pi_1^*)$	14											

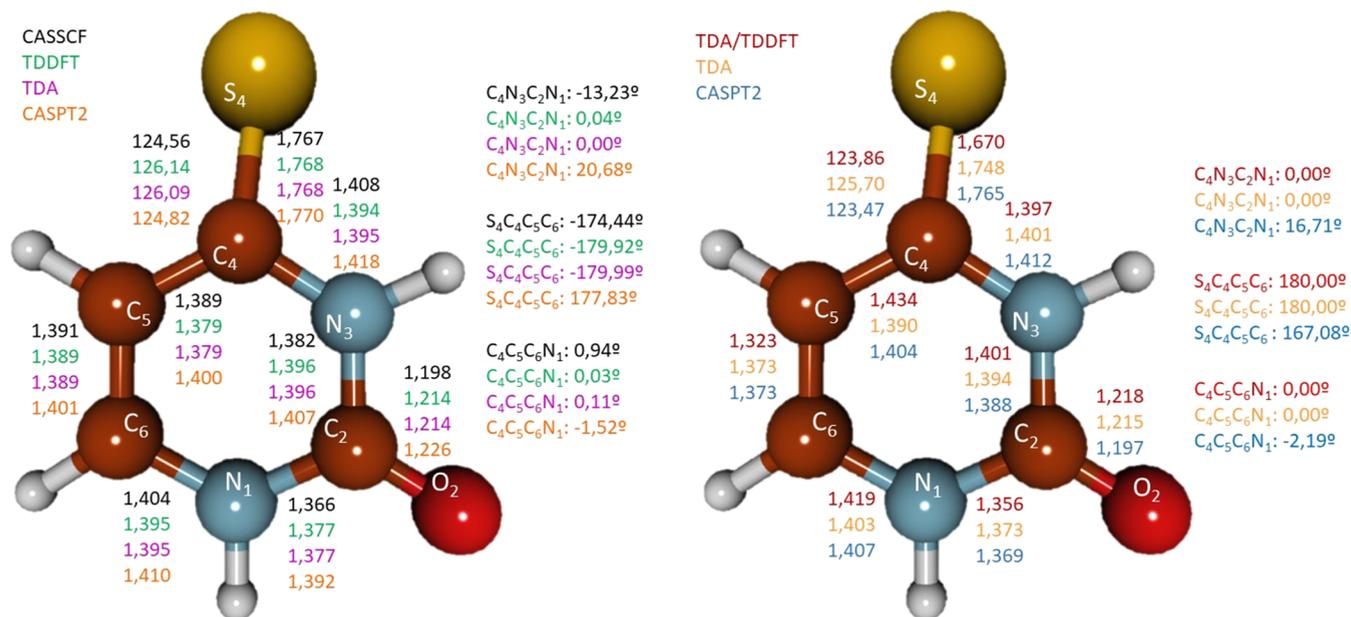


Figure 3. Most relevant geometrical parameters for 4-thiouracil S₁T₁ (¹n_sπ₁^{*}/³π_sπ₁^{*}) singlet–triplet crossing (left) and T₂T₁ (³n_sπ₁^{*}/³π_sπ₁^{*}) conical intersection (right) optimized at different levels of theory. Bond lengths are expressed in Å and angles and dihedrals in degrees (deg).

Comparison of Geometries by Different Levels of Theory.

The performance of different methods for geometry optimizations (minima and minimum energy crossing points) was also analyzed to compare their accuracy. Thus, GS (S₀ minimum) geometry was optimized with CASSCF, CASPT2, and DFT (Figure S1). In the case of the relevant points along the 4-TU decay paths, in particular, S₁T₁ (¹n_sπ₁^{*}/³π_sπ₁^{*}) STC (equivalent to the S₁ minimum), T₂T₁ (³n_sπ₁^{*}/³π_sπ₁^{*}) CI, S₂¹(π_sπ₁^{*}) minimum, T₂³(n_sπ₁^{*}) minimum, T₁³(π_sπ₁^{*}) minimum, S₂S₁ (¹π_sπ₁^{*}/¹n_sπ₁^{*}) CI, S₁S₀ (¹n_sπ₁^{*}/GS) CI, T₁S₀ (³π_sπ₁^{*}/GS) STC, and T₂S₀ (³n_sπ₁^{*}/GS) STC (Figures 3 and S2–S8) were optimized with some or all of the CASSCF, CASPT2, TDDFT, TDA, and SF-TDDFT methods.

For the GS, DFT agrees with the CASSCF and CASPT2, providing all of them nearly planar geometries (see Figure S1). For the excited-state points, the highest discrepancies appear for the S₁T₁ (¹n_sπ₁^{*}/³π_sπ₁^{*}) STC, which, as mentioned above, corresponds to the S₁¹(n_sπ₁^{*}) minimum (see Figure 3) and the T₂T₁ (³n_sπ₁^{*}/³π_sπ₁^{*}) CI (see Figure 3), which is nearby the T₂³n_sπ₁^{*} minimum (Figure S3). Here, the most controversial parameters are the S₄–C₄–C₅–C₆, C₄–C₅–C₆–N₁, and C₄–N₃–C₂–N₁ dihedral angles, responsible for the out-of-plane distortions of the molecule. The optimized geometries at the TDDFT and TDA levels are mostly planar, while both the CASSCF and CASPT2 give rise to distorted structures.

According to Mai et al.,³⁶ 2-TU MS-CASPT2 optimized geometries are very distorted, mainly due to the C=S bond which lies out of the plane in some critical points. Due to the chemical resemblance between 2-TU and 4-TU, we would expect similar geometries for both systems. Nonetheless, deeper analyses have shown that their bright states are of different nature and, consequently, different geometries might be possible. For 2-TU, a relevant molecular orbital in the excited-state chemistry of this molecule is π₂^{*}, as labeled in ref 36, mainly localized on the C₂ atom (see Figures 4 and S9 for the complete active space). Electron excitations from n_s or π_s orbitals to π₂^{*} increase the electronic density over the C₂ atom,

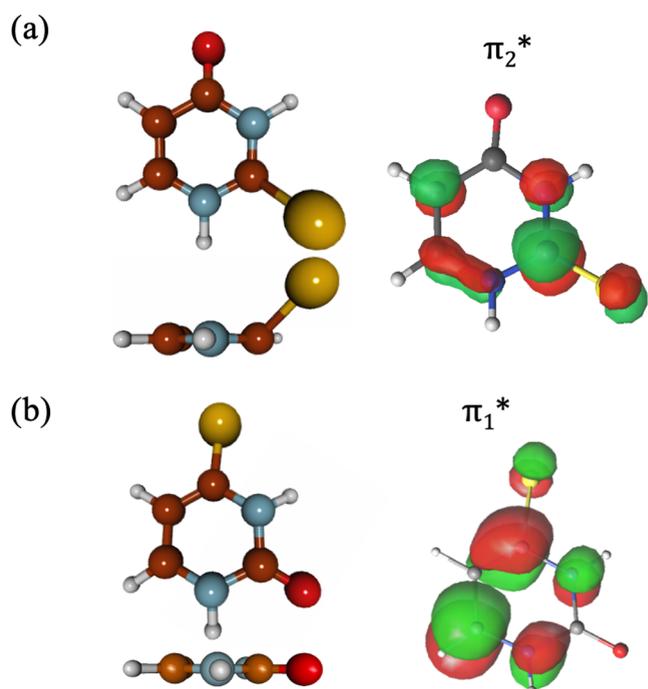


Figure 4. Relevant molecular orbitals for describing the bright states of (a) 2-thiouracil and (b) 4-thiouracil.

which becomes a carbanion with a pyramidalized structure, distorting the ring. On the other hand, the most relevant states of 4-TU involve an excitation to the π₁^{*} orbital, delocalized over the entire molecule (see Figure 4). In addition, there is a π bonding component on the C₄ atom that extends to the adjacent carbon C₅, making the pyramidalization of the C₄ atom more difficult. This reasoning-based monoconfigurational description would prevent the out-of-plane distortion. The multiconfigurational description also indicates the lack of distortion, as described above. To verify whether the planar geometries obtained were a result of utilizing a small basis set

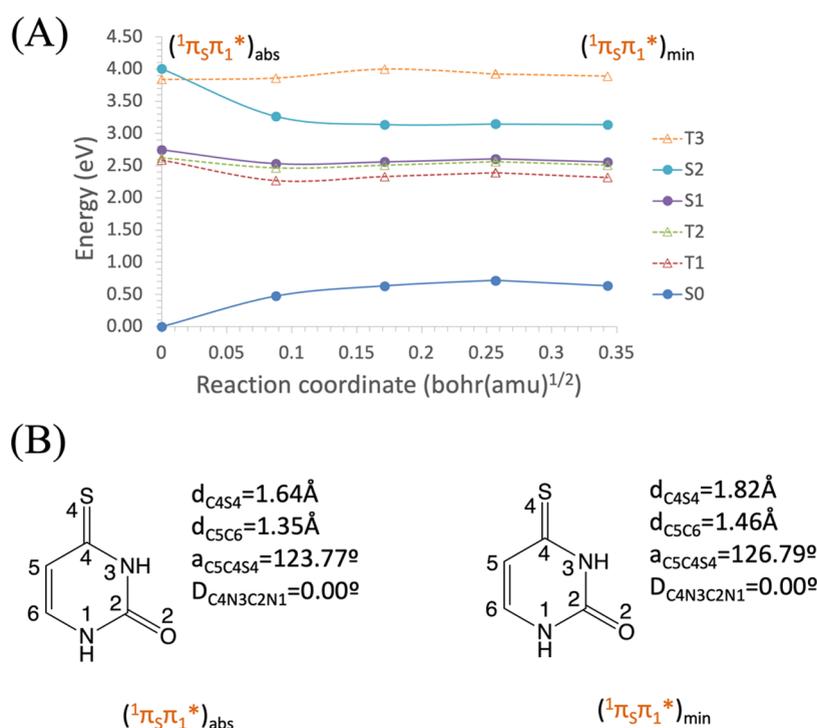


Figure 5. (A) Energies of the ground and lowest-lying singlet and triplet electronic excited states of 4-thiouracil along the minimum energy path (MEP) of the S₂ ($^1\pi_s\pi_1^*$) state from the S₀ equilibrium structure toward the S₂ ($^1\pi_s\pi_1^*$) equilibrium geometry, computed at the CASPT2//CASSCF(14,10)/ANO-S-VDZP level of theory. (B) Main geometrical changes related with the MEP coordinate.

(i.e., ANO-S-VDZP), optimizations of the S₁, S₂, and T₁ minima were performed at the CASSCF(14,10)/ANO-L-VTZP level. In this case, the same planar structures were obtained as with the previous basis set. Additionally, a pyramidalized geometry was found for the minimum of the S₂ excited state. This pyramidalized structure is located 0.63 eV higher in energy compared to the planar minimum. Consequently, we infer that it will not be relevant in our proposed scheme and that geometries obtained with ANO-S are trustworthy enough. The accuracy of the ANO-S basis set was demonstrated by Merchán et al. in related systems.⁵⁸ The same tests were performed for the S₂ and S₁ minima of the 2-TU molecule obtaining, in both cases, both a planar and a pyramidalized structure. Energies can be found in Table S11. For the S₂ equilibrium structure, both geometries are degenerated in energy, while for the S₁ minima, the planar geometry is located 0.15 eV above the distorted one. These calculations agree with the vision described by Mai et al.,³⁶ confirming that our level of theory is adequate for describing these systems.

Duan et al. used the TDDFT CAM-B3LYP/6-31G* approach to study the photochemistry and photophysics of 2-TU,⁵⁹ obtaining similar geometries to those obtained by the MS-CASPT2 method. To analyze the performance of TDDFT in 4-TU, we also optimized the T₂ ($^3n_s\pi_1^*$) state using the CAM-B3LYP/6-31G* calculation level. The out-of-plane distortion obtained at the CASSCF and CASPT2 levels is not reproduced at the CAM-B3LYP level (Figure S3). This indicates that TDDFT and TDA methods, at least with the mentioned functional and basis set, are not accurate for the 4-TU photochemical pathways determination.

In contrast to TDDFT and TDA, SF-TDDFT provides a good agreement with CASSCF and CASPT2 in the T₁S₀ ($^3\pi_s\pi_1^*/GS$)_{STC} crossing structure computed at such a level

(see Figure S7), similar to the observations found in the literature for 2-TU.^{1,26}

Overall, we chose the CASSCF method, which is in good agreement with CASPT2 results for 4-TU, to obtain the geometries of the decay channels with some data computed with the SF-TDDFT method to provide comparisons with 2-TU from the literature using such a method.

Photophysics of 4-Thiouracil. To understand the deactivation mechanisms of 4-TU excited states, we have performed MEP computations and CI and STC searches at the CASPT2//CASSCF level involving the S₀, S₁, S₂, T₁, T₂, and T₃ electronic states. CASSCF and CASPT2 energies of all states at the most relevant points of the photophysics of the system are shown in Tables S9 and S10.

The initial step was to compute the MEP along the S₂ bright excited-state potential energy hypersurface, starting from the FC region. The relative energies to the GS of the lowest singlet and triplet states along the MEP of the S₂ state are shown in Figure 5A. In Figure 5B, the main geometrical changes that take place along the MEP coordinate are represented. As can be noticed, the S₂ state evolves barrierless from the FC region to its minimum structure (last point of the MEP), which lies at 3.14 eV. When we remove the MEP constraint and optimize the geometry of this point, a nearby structure is found, slightly more stable (3.02 eV), which only differs in the N₃C₃S₄ angle, which changes from 112.37 to 110.86°. It is important to note that this structure remains planar. It is also worth noticing the existence of an STC between the S₂ and T₃ states at the FC region with an SOC of <0.5 cm⁻¹, very small to be responsible for a population transfer to T₃ in this region. Therefore, this STC can be safely ignored as a viable triplet state population mechanism.

As the S₂ ($^1\pi_s\pi_1^*$) state does not cross other potential energy hypersurfaces along its MEP from the FC region, we

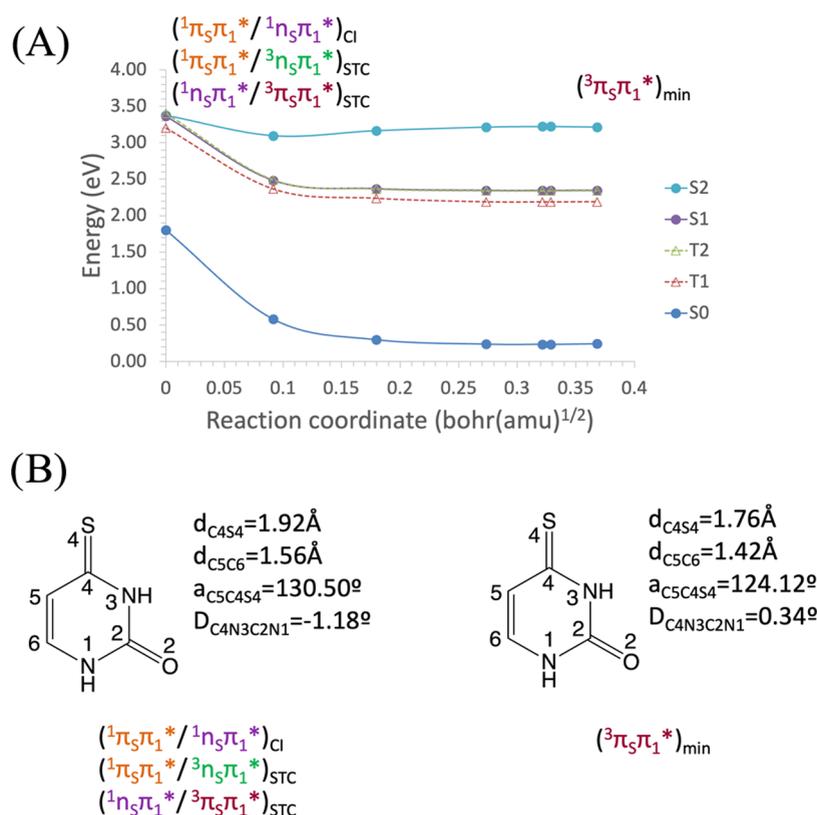


Figure 6. (A) Energies of the ground and lowest-lying singlet and triplet electronic excited states of 4-thiouracil along the minimum energy path (MEP) of the $T_1^3(\pi_s\pi_1^*)$ state from the $S_2S_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)$ conical intersection toward the $T_1^3(\pi_s\pi_1^*)$ equilibrium geometry, computed at the CASPT2//CASSCF(14,10)/ANO-S-VDZP level of theory. (B) Main geometrical changes related with the MEP coordinate.

continued searching for a possible MECF (CI and STC) in other regions. A multistate crossing region was found involving the $S_1^1(n_s\pi_1^*)$, $S_2^1(\pi_s\pi_1^*)$, $T_1^3(\pi_s\pi_1^*)$, and $T_2^3(n_s\pi_1^*)$ states. From there on, several possible paths are open for the decay. The CI between $S_2^1(\pi_s\pi_1^*)$ and $S_1^1(n_s\pi_1^*)$ is found at 3.37 eV. This crossing point is located ~ 0.24 eV above the $S_2^1(\pi_s\pi_1^*)$ minimum and is similar to the CI reported for 2-TU in ref 43. The connection between the minimum and the CI is confirmed by an MEP computation on the $S_2^1(\pi_s\pi_1^*)$ state starting at the $S_2S_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)$ CI geometry (see Figure S10). It is worth mentioning that other S_2S_1 CIs were found at much higher energies, which makes them photochemically irrelevant. The computed geometries are displayed in Figure S5.

At the multistate crossing region, the STC between the $S_2^1(\pi_s\pi_1^*)$ and $T_2^3(n_s\pi_1^*)$ states is found at 3.41 eV, that is, 0.27 eV above the $S_2^1(\pi_s\pi_1^*)$ minimum. In this region, the SOC is computed to be 107.4 cm^{-1} , a relatively large value, which can be attributed to the different nature of the states involved.

At the multistate crossing region, we also computed the SOC between other nearby singlet and triplet states. For the STC between the $T_2^3(n_s\pi_1^*)$ and $S_1^1(n_s\pi_1^*)$ states, the SOC is computed to be 7.3 cm^{-1} , in agreement with ref 43. In the case of $T_1^3(\pi_s\pi_1^*)$ and $S_1^1(n_s\pi_1^*)$, the SOC is 169.3 cm^{-1} . Finally, for $S_2^1(\pi_s\pi_1^*)$ and $T_1^3(\pi_s\pi_1^*)$, it is 6.0 cm^{-1} . As can be seen, the lower or higher SOC follows El-Sayed's rules.

From the multistate crossing region involving the $S_1^1(n_s\pi_1^*)$, $S_2^1(\pi_s\pi_1^*)$, $T_1^3(\pi_s\pi_1^*)$, and $T_2^3(n_s\pi_1^*)$ electronic states and considering the computed SOC, three plausible deactivation pathways are foreseen: (i) along the S_1 state via

the $S_2S_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)$ CI, (ii) along the $T_2^3(n_s\pi_1^*)$ state via the $S_2T_2^1(\pi_s\pi_1^*/^3n_s\pi_1^*)$ STC, and (iii) along the $T_1^3(\pi_s\pi_1^*)$ state via, first, the $S_2S_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)$ CI crossing and, next, the $S_1T_1^1(n_s\pi_1^*/^3\pi_s\pi_1^*)$ STC (note that these CIs and STCs appear to be near-degenerate). Thus, we decided to calculate the corresponding MEPs along the $S_1^1(n_s\pi_1^*)$, $T_1^3(\pi_s\pi_1^*)$, and $T_2^3(n_s\pi_1^*)$ potential energy hypersurfaces.

The $T_1^3(\pi_s\pi_1^*)$ MEP from the $S_1T_1^1(n_s\pi_1^*/^3\pi_s\pi_1^*)_{\text{STC}}$ ends in the minimum of that state at 2.19 eV, as shown in Figure 6. The $S_1^1(n_s\pi_1^*)$ MEP from the $S_2S_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)_{\text{CI}}$ (Figure 7) and the $T_2^3(n_s\pi_1^*)$ MEP from the $S_2T_2^1(\pi_s\pi_1^*/^3n_s\pi_1^*)_{\text{STC}}$ (Figure 8) show an evolution in parallel, as expected for $n\pi^*$ states of carbonyls in π -conjugated molecules. The $S_1^1(n_s\pi_1^*)$ MEP ends barrierless at the equilibrium geometry of the $S_1^1(n_s\pi_1^*)$ state at 2.33 eV, which coincides with the $S_1T_1^1(n_s\pi_1^*/^3\pi_s\pi_1^*)_{\text{STC}}$. Meanwhile, the $T_2^3(n_s\pi_1^*)$ MEP also ends barrierless at its minimum, which is nearby the $T_2T_1^1(\pi_s\pi_1^*/^1n_s\pi_1^*)_{\text{CI}}$ at 2.31 eV. For each of these MEPs, geometry optimizations without the MEP constraint were performed, affirming that the final point in each case corresponds to the minimum of the respective state. As expected from the fact that the $S_1^1(n_s\pi_1^*)$ and $T_1^3(\pi_s\pi_1^*)$ states are of different nature, the computed SOC between them in the STC region is large (155.0 cm^{-1}), in agreement with Borrego-Varillas et al.⁴³

Finally, from the STC between the $S_1^1(n_s\pi_1^*)$ and $T_1^3(\pi_s\pi_1^*)$ states, the lowest-lying triplet state decays to its minimum, placed at 2.19 eV (see Figure 9). From this point, it can either transfer its energy to the triplet oxygen or deactivate itself to the GS through a radiative or a nonradiative process.

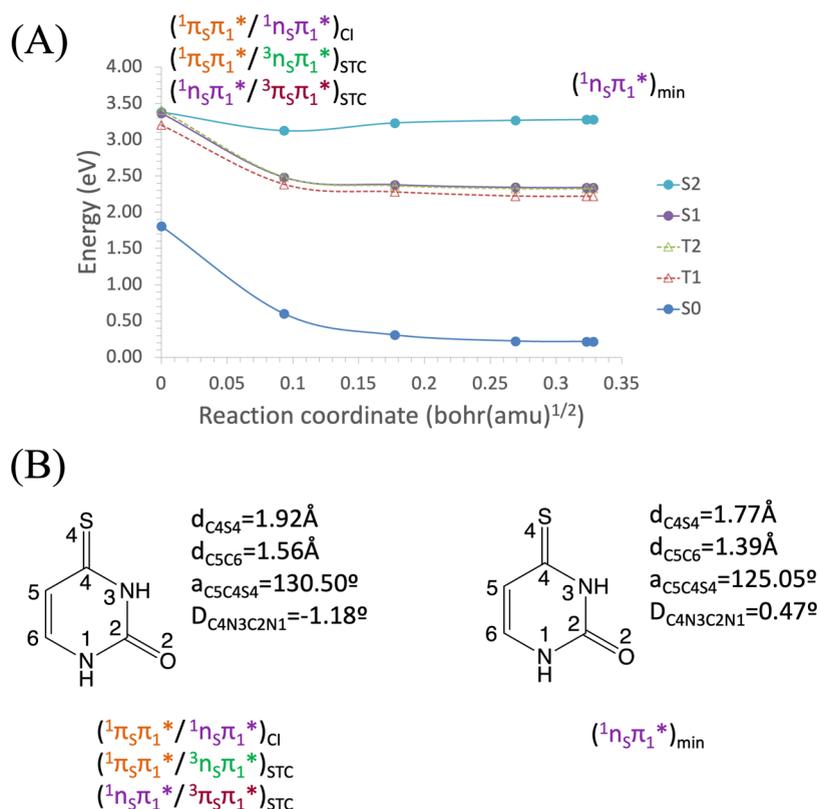


Figure 7. (A) Energies of the ground and lowest-lying singlet and triplet electronic excited states of 4-thiouracil along the minimum energy path (MEP) of the S_1 $^1(n_s\pi_1^*)$ state from the S_2S_1 ($^1\pi_s\pi_1^*/^1n_s\pi_1^*$) conical intersection toward the S_1 $^1(n_s\pi_1^*)$ equilibrium geometry, computed at the CASPT2//CASSCF(14,10)/ANO-S-VDZP level of theory. (B) Main geometrical changes related with the MEP coordinate.

To characterize the latter, we computed the T_1S_0 ($^3\pi_s\pi_1^*/GS$)_{STC}, which was found at 3.17 eV. The MEP from the T_1S_0 ($^3\pi_s\pi_1^*/GS$)_{STC} along the T_1 $^3(\pi_s\pi_1^*)$ potential energy surface connects the STC with the T_1 $^3(\pi_s\pi_1^*)$ minimum, as shown in Figure S11. The relative energy from the T_1 minimum to reach the T_1S_0 ($^3\pi_s\pi_1^*/GS$)_{STC} and therefore the point for nonradiative decay to the GS is ~ 0.98 eV, which is relatively high. Furthermore, the SOC at this STC between T_1 and S_0 has a relatively low value of 5 cm^{-1} . Both features make this process unfavorable.

The crossing between the S_0 and S_1 $^1(n_s\pi_1^*)$ electronic states was also computed for 4-TU, displaying energy barriers of about 1.15 eV from the S_1 $^1(n_s\pi_1^*)$ minimum. Such a barrier is too high to play a relevant role in the deactivation mechanism of the molecule to the GS.

The most relevant geometry changes along the deactivation paths involve the S_4-C_4 and C_5-C_6 bonds, the $S_4-C_4-C_5$ angle, and dihedral angles related to distortions from the molecular plane, namely, $S_4-C_4-C_5-C_6$, $C_4-C_5-C_6-N_1$, and $C_4-N_3-C_2-N_1$ (see Figures 3 and S1–S8). Along the S_2 $^1(\pi_s\pi_1^*)$ state evolution from the FC toward the multistate crossing region, the above-mentioned chemical bonds elongate and the angles increase, while the molecule remains mostly planar. Then, from the CI between the S_2 $^1(\pi_s\pi_1^*)$ and S_1 $^1(n_s\pi_1^*)$ electronic states, the S_1 $^1(n_s\pi_1^*)$ MEP evolves similarly toward the STC with T_1 $^3(\pi_s\pi_1^*)$, shortening the bonds and decreasing the angles, but in this case, the molecule becomes slightly more distorted. The same happens from the STC between S_2 $^1(\pi_s\pi_1^*)$ and T_2 $^3(n_s\pi_1^*)$ on the T_2 $^3(n_s\pi_1^*)$ MEP toward the CI with T_1 $^3(\pi_s\pi_1^*)$. Finally, from the end of such MEPs on the T_1 $^3(\pi_s\pi_1^*)$ potential energy hypersurface

toward its minimum, the chemical bonds and angles remain almost invariant, but the molecule returns to a flatter configuration.

Figure 10 shows an illustrative scheme of the decay paths derived from these SS-CASPT2 computations. The same scheme has been also represented with MS-CASPT2 energy values, see Figure S12 in the SI, with no significant differences on the main features of the photophysics mechanism, which reinforces the accuracy of the description provided. Three deactivation pathways can be proposed for photoexcited 4-TU. The first one will be $S_{2,FC}$ (4.0 eV) \rightarrow $S_{2,min}$ (3.1 eV) \rightarrow $S_{1,(S_2S_1)CI}$ (3.4 eV) \rightarrow $S_{1,min}$ (2.3 eV) \rightarrow $T_{1,(S_1T_1)STC}$ (2.3 eV) \rightarrow $T_{1,min}$ (2.2 eV), with an SOC of 155 cm^{-1} between the S_1 $^1(n_s\pi_1^*)$ and T_1 $^3(\pi_s\pi_1^*)$ states. The second path will be $S_{2,FC}$ (4.0 eV) \rightarrow $S_{2,min}$ (3.1 eV) \rightarrow $S_{1,(S_2S_1)CI}$ (3.4 eV) \rightarrow $T_{1,(S_1T_1)STC}$ (3.4 eV) \rightarrow $T_{1,min}$ (2.2 eV), with an SOC of 169 cm^{-1} between the S_1 $^1(n_s\pi_1^*)$ and T_1 $^3(\pi_s\pi_1^*)$ states. The last one will be $S_{2,FC}$ (4.0 eV) \rightarrow $S_{2,min}$ (3.1 eV) \rightarrow $T_{2,(S_2T_2)STC}$ (3.4 eV) \rightarrow $T_{1,(T_2T_1)CI}$ (2.3 eV) \rightarrow $T_{1,min}$ (2.2 eV), with an SOC of 107 cm^{-1} between the S_2 $^1(\pi_s\pi_1^*)$ and T_2 $^3(n_s\pi_1^*)$ states. The large SOC observed along the second path points out that it would be the most likely to happen. On the other hand, in the first path, the spin inversion occurs in the minimum of the S_1 $^1(n_s\pi_1^*)$ state, where the molecule will be trapped, increasing the probability for ISC even with lower SOC values. Thus, both regions shall allow for a significant ISC mechanism. However, as they correspond to the same mechanism, we will refer to them as S_2 $^1(\pi_s\pi_1^*) \rightarrow S_1$ $^1(n_s\pi_1^*) \rightarrow T_1$ $^3(\pi_s\pi_1^*)$ and the last one as S_2 $^1(\pi_s\pi_1^*) \rightarrow T_2$ $^3(n_s\pi_1^*) \rightarrow T_1$ $^3(\pi_s\pi_1^*)$ paths. Therefore, we will have, in general, two deactivation pathways, with the first being the most probable.

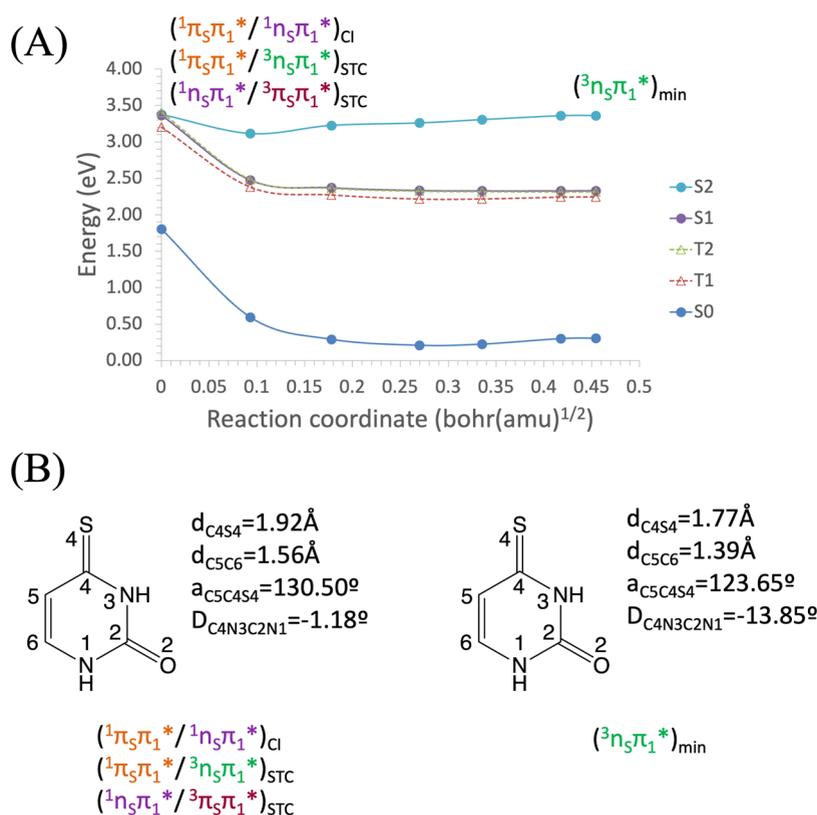


Figure 8. (A) Energies of the ground and lowest-lying singlet and triplet electronic excited states of 4-thiouracil along the minimum energy path (MEP) of the T_2 $^3(n_s\pi_1^*)$ state from the S_2T_2 ($^1\pi_s\pi_1^*/^3n_s\pi_1^*$) singlet–triplet crossing toward the T_2 ($^3n_s\pi_1^*$) minimum, computed at the CASPT2//CASSCF(14,10)/ANO-S-VDZP level of theory. (B) Main geometrical changes related with the MEP coordinate.

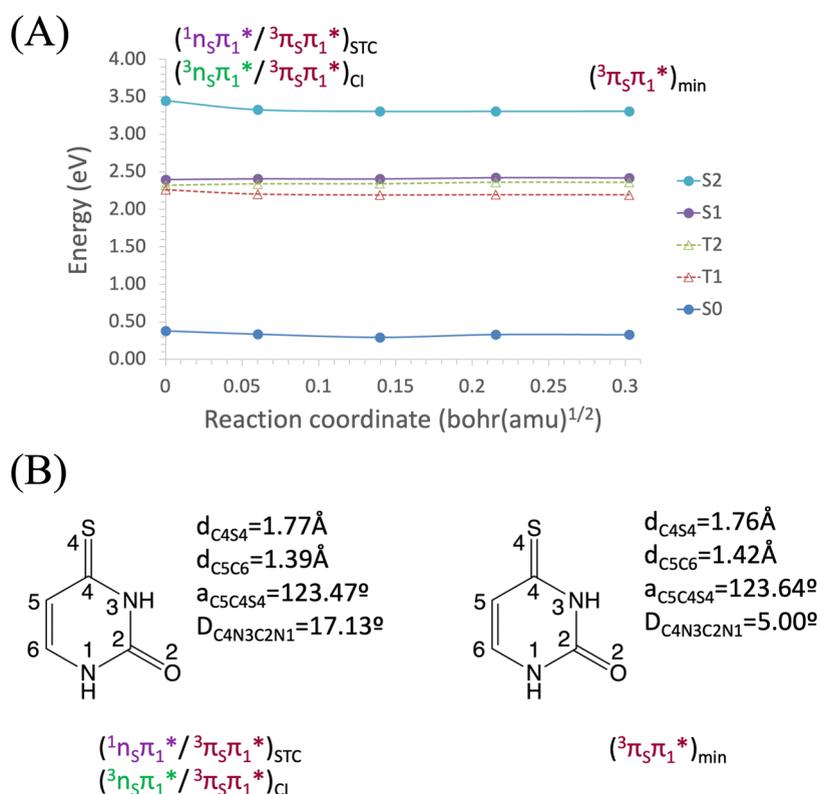


Figure 9. (A) Energies of the ground and lowest-lying singlet and triplet electronic excited states of 4-thiouracil along the minimum energy path (MEP) of the T_1 $^3(\pi_s\pi_1^*)$ state from S_1T_1 ($^1n_s\pi_1^*/^3\pi_s\pi_1^*$) toward the T_1 $^3(\pi_s\pi_1^*)$ equilibrium structure, computed at the CASPT2//CASSCF(14,10)/ANO-S-VDZP level of theory. (B) Main geometrical changes related with the MEP coordinate.

with an SOC of 107.4 cm^{-1} between the $S_2 \ ^1(n_s\pi_1^*)$ and $T_2 \ ^3(n_s\pi_1^*)$ states. The energy barriers along the paths are very low in both cases and therefore the SOC values will determine the ISC efficiencies and, consequently, the most probable deactivation path. Therefore, 4-TU will most probably decay through the path via $S_1 \ ^1(n_s\pi_1^*)$ as an intermediate state.

A difference between the lowest-lying triplet state in both systems was found. In the case of 4-TU, there is only a minimum in the $T_1 \ ^3(\pi_s\pi_1^*)$ potential energy hypersurface, while in 2-TU, it shows two minima of different nature ($^3(\pi_s\pi_2^*)$ and $^3(\pi_s\pi_6^*)$). In the 2-TU molecule, the T_1S_0 crossings are, in general, more accessible and have higher SOCs than in 4-TU. Therefore, the deactivation to the GS will be more favorable for 2-TU.

These mentioned findings were already obtained by Borrego-Varillas et al.⁴³ modeling the excited-state dynamics of 4-TU in aqueous solution. Herein, differences in experimental data between 4-TU and 2-TU were further rationalized. Thus, the longer triplet lifetimes and lower phosphorescence quantum yields for the former can be explained due to the less energetically accessible T_1S_0 crossing, lower SOCs at such crossing, and a lower radiative rate at the T_1 minimum. The larger ISC quantum yield of 4-TU with respect to that of 2-TU can be associated with the fact that the SOCs along the decay path toward the T_1 equilibrium geometry are higher for 4-TU. The high ISC quantum yield of 4-TU is also linked to a high singlet oxygen formation and a lower phosphorescence yield measured under aerobic conditions.

Thus, with the present study, we reinforce the conclusion that 4-TU is a better photosensitizer compared to 2-TU and is deemed a more suitable candidate for photodynamic therapy.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpca.3c06310>.

Geometrical parameters of interest for 4-TU; CASSCF active space for the 2-TU system; complementary MEPs for the 4-TU deactivation mechanism with their respective geometrical changes; schematic representation of the deactivation pathway of 4-TU with MS-CASPT2 energies; schematic representation of the deactivation pathway of 2-TU; ANO-S and ANO-L basis set comparison at the Franck–Condon region and MECPs for energies and spin–orbit couplings; SS and MS-CASPT2 methods comparison at equilibrium structures and MECPs for energies and oscillator strengths; reference weights for SS-CASPT2 calculations; CASSCF and CASPT2 energies at relevant points of the 4-TU molecule; Cartesian coordinates (PDF)

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Notes

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