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## ON THE CAUCHY PROBLEM FOR LOGARITHMIC FRACTIONAL SCHRÖDINGER EQUATION

RÉMI CARLES AND FANGYUAN DONG

ABSTRACT. We consider the fractional Schrödinger equation with a logarithmic nonlinearity, when the power of the Laplacian is between zero and one. We prove global existence results in three different functional spaces: the Sobolev space corresponding to the quadratic form domain of the fractional Laplacian, the energy space, and a space contained in the operator domain of the fractional Laplacian. For this last case, a finite momentum assumption is made, and the key step consists in estimating the Lie commutator between the fractional Laplacian and the multiplication by a monomial.

#### 1. INTRODUCTION

We consider the logarithmic Schrödinger equation

(1.1) 
$$i\partial_t u - (-\Delta)^s u = \lambda \log\left(|u|^2\right) u, \quad u_{|t=0} = u_0,$$

where 0 < s < 1, u = u(t, x) represents a complex-valued function defined on  $(t, x) \in \mathbb{R} \times \mathbb{R}^d$ , with  $d \ge 1$ . The fractional Laplacian  $(-\Delta)^s$  is defined through the Fourier transform as follows:

(1.2) 
$$\mathcal{F}\left[(-\Delta)^{s}u\right](\xi) = |\xi|^{2s}\mathcal{F}u(\xi),$$

where the Fourier transform is given by

(1.3) 
$$\mathcal{F}u(\xi) = \frac{1}{(2\pi)^{d/2}} \int_{\mathbb{R}^d} u(x) e^{-i\xi \cdot x} \, dx.$$

The fractional Laplacian  $(-\Delta)^s$  is a self-adjoint operator acting on the space  $L^2(\mathbb{R}^d)$ , characterized by a quadratic form domain  $H^s(\mathbb{R}^d)$  and an operator domain  $H^{2s}(\mathbb{R}^d)$ . The nonlocal operator  $(-\Delta)^s$  serves as the infinitesimal generators in the context of Lévy stable diffusion processes, as outlined in [2]. Fractional derivatives of the Laplacian have applications in numerous equations in mathematical physics and related disciplines, as proposed in [28, 29] in the case of linear Schrödinger equations; see also [2, 16, 22] and the associated references. Recently, there has been a strong focus on studying mathematical problems related to the fractional Laplacian purely from a mathematical perspective. Regarding specifically fractional nonlinear Schrödinger equations, important progress has been made in e.g. [4, 6, 12, 13, 18, 19, 20, 21].

The problem (1.1) does not seem to have physical motivations (so far), and was introduced in [15] as a generalization of the case s = 1, introduced in [5],

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and proposed in different physical contexts since (see e.g. [25, 33]). Note also that the logarithmic nonlinearity may be obtained as the limit of an homogeneous nonlinearity  $\lambda |u|^{2\sigma} u$  when  $\sigma$  goes to zero, at least when ground states are considered (case  $\lambda < 0$ ; see [31] for s = 1, [1] in the fractional case).

In [3], the author addresses the nonlinear fractional logarithmic Schrödinger equation (1.1) with  $\lambda = -1$  and  $d \geq 2$ , employing a compactness method to establish a unique global solution for the associated Cauchy problem within a suitable functional framework, inspired by [11] (for the logarithmic nonlinearity) and [13] (for the fractional Laplacian). In [32], the author investigate the existence of a global weak solution to the problem (1.1) in the case of  $\lambda = -1$ , when the space variable x belongs to some smooth bounded domain, by using a combination of potential wells theory and the Galerkin method. In this paper, we complement the approach from [3, 32] by adapting the strategy employed in [24] in the case of the standard Laplacian, s = 1.

Formally, (1.1) enjoys the the conservations of mass, angular momentum, and energy:

$$M(u(t)) = \|u(t)\|_{L^{2}(\mathbb{R}^{d})}^{2},$$
  

$$J(u(t)) = \operatorname{Im} \int_{\mathbb{R}^{d}} \bar{u}(t, x) \nabla u(t, x) dx,$$
  

$$(1.4) \quad E(u(t)) = \frac{1}{2} \|(-\Delta)^{s/2} u(t)\|_{L^{2}(\mathbb{R}^{d})}^{2} + \frac{\lambda}{2} \int_{\mathbb{R}^{d}} |u(t, x)|^{2} \left(\log |u(t, x)|^{2} - 1\right) dx$$

The energy is well-defined in the subset of  $H^{s}(\mathbb{R}^{d})$ ,

$$W_1^s := \left\{ u \in H^s(\mathbb{R}^d) \, , \, x \mapsto |u(x)|^2 \log |u(x)|^2 \in L^1(\mathbb{R}^d) \right\}.$$

When s = 1, Hayashi and Ozawa [24] revisit the Cauchy problem for the logarithmic Schrödinger equation, constructing strong solutions in both  $H^1$  and  $W_1 = W_1^1$ . This approach deliberately avoids relying on compactness arguments, demonstrating the convergence of a sequence of approximate solutions in a complete function space. The authors in [24] also address the existence in the  $H^2$ -energy space, as discussed below.

The main contributions of this paper can be summarized as follows:

1. Construction of  $H^s$  strong solutions, without relying on the conservation of the energy.

2. Construction of solutions in the energy space  $W_1^s$ .

3. The higher  $H^{2s}$  regularity is established, by assuming some further spatial decay of the initial data.

In all cases, no sign assumption is made on  $\lambda \in \mathbb{R}$ .

**Theorem 1.1.** Let  $\lambda \in \mathbb{R}$  and 0 < s < 1. For any  $\varphi \in H^s(\mathbb{R}^d)$ , there exists a unique solution  $C(\mathbb{R}, H^s(\mathbb{R}^d))$  to (1.1) in the sense of

(1.5) 
$$i\partial_t u - (-\Delta)^s u = \lambda \log\left(|u|^2\right) u \quad in \ H^{-s}(\Omega)$$

for all bounded open sets  $\Omega \subset \mathbb{R}^d$  and all  $t \in \mathbb{R}$ , and with  $u_{|t=0} = \varphi$ . If in addition we assume  $\varphi \in W_1^s$ , this  $H^s$ -solution satisfies  $u \in (C \cap L^\infty)(\mathbb{R}, W_1^s)$  if  $\lambda < 0$ and  $u \in C(\mathbb{R}, W_1^s)$  if  $\lambda > 0$ . Moreover, the  $W_1^s$ -solution u satisfies the equation (1.5) in the sense of  $(W_1^s)^*$ , where  $(W_1^s)^*$  is the dual space of  $W_1^s$ . Finally, if  $\varphi \in H^1(\mathbb{R}^d)$ , then the solution  $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$  to (1.1) satisfies in addition  $u \in C(\mathbb{R}, H^1(\mathbb{R}^d))$ .

The next result addresses on the construction of strong solutions in  $W_2^s$ , where

$$W_2^s := \left\{ u \in H^{2s}(\mathbb{R}^d) \,, \, x \mapsto u(x) \log |u(x)|^2 \in L^2(\mathbb{R}^d) \right\},$$

and this space is the natural counterpart of the space  $W_2$  of the  $H^2$ -energy space introduced in [24] for the case s = 1. Note that considering this space is interesting especially when s > 1/2, since we have seen in Theorem 1.1 that the  $H^1$  regularity is propagated, and  $H^1(\mathbb{R}^d) \subset H^{2s}(\mathbb{R}^d)$  when  $s \leq 1/2$ .

In the fractional case, it seems delicate to adapt the strategy introduced in [24], as some algebraic structure is lost. More precisely, the strategy in [24] starts by showing that  $\partial_t u \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ , to eventually conclude that  $\Delta u \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ . At this level of generality, this is the standard approach, as presented in e.g. [10], but the logarithmic nonlinearity actually requires some special care. The above line of reasoning needs, as an intermediary step, to know that  $u \log |u|^2 \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ , which is by no means obvious, due to the region  $\{|u| < 1\}$  where the nonlinearity is morally sublinear. This difficulty is overcome in [24] by a beautiful algebraic identity ([24, Lemma 3.3]), whose derivation involves an integration by parts in the term

$$\operatorname{Re}\left(\Delta u, u \log\left(|u|+\varepsilon\right)\right)_{L^{2}} = -\operatorname{Re}\left(\overline{u}\nabla u, \frac{\nabla|u|}{|u|+\varepsilon}\right)_{L^{2}} + \left(|\nabla u|^{2}, \log\left(|u|+\varepsilon\right)\right)_{L^{2}}.$$

In the present case, we would face

$$\operatorname{Re}\left((-\Delta)^{s} u, u \log\left(|u|+\varepsilon\right)\right)_{L^{2}},$$

and the integration by parts would require to control a fractional derivative of  $u \log (|u| + \varepsilon)$ , at least in the case s < 1/2 (for s > 1/2, one could consider the gradient again).

To overcome this issue, we adopt the approach considered in [7] for the case s = 1, and rely on some finite momentum assumption. For  $0 < \alpha \leq 1$ , we have

$$\mathcal{F}(H^{\alpha}) = \left\{ u \in L^{2}\left(\mathbb{R}^{d}\right), x \mapsto \langle x \rangle^{\alpha} u(x) \in L^{2}\left(\mathbb{R}^{d}\right) \right\},\$$

where  $\langle x \rangle := \sqrt{1 + |x|^2}$ , and this space is equipped with the norm

$$||u||_{\mathcal{F}(H^{\alpha})} := ||\langle x \rangle^{\alpha} u(x)||_{L^{2}(\mathbb{R}^{d})}.$$

Denote, for  $\alpha > 0$ ,  $X_{\alpha}^{2s} := H^{2s} \cap \mathcal{F}(H^{\alpha})$ : for any  $\alpha > 0$ ,  $X_{\alpha}^{2s} \subset W_{2}^{s}$ , as can be seen from the estimate, valid for any  $\delta \in (0, 1)$ ,

(1.6) 
$$|u\log(|u|^2)| \lesssim |u|^{1-\delta} + |u|^{1+\delta}$$

**Theorem 1.2.** Let  $\lambda \in \mathbb{R}$ , 0 < s < 1. Consider  $0 < \alpha < 2s$  with  $\alpha \leq 1$ . For any  $\varphi \in X^{2s}_{\alpha} = H^{2s} \cap \mathcal{F}(H^{\alpha})$ , there exists a unique solution  $u \in C_w \cap L^{\infty}_{\text{loc}}(\mathbb{R}, X^{2s}_{\alpha})$  to (1.1) in the sense of

(1.7) 
$$i\partial_t u - (-\Delta)^s u = \lambda \log\left(|u|^2\right) u \quad in \quad L^2(\Omega),$$

for all bounded open sets  $\Omega \subset \mathbb{R}^d$  and a.e.  $t \in \mathbb{R}$ , with  $u_{|t=0} = \varphi$ . Moreover, when  $\lambda < 0, u \in C(\mathbb{R}, X^{2s}_{\alpha})$  and (1.7) holds in  $L^2(\mathbb{R}^d)$  and for all  $t \in \mathbb{R}$ .

The new difficulty in proving the above result, compared to the case s = 1, lies in the fact that the Lie bracket  $[(-\Delta)^s, \langle x \rangle^{\alpha}]$  requires some extra care; see Lemma 2.3.

We underline the fact that we do not know whether the  $H^{\sigma}$  regularity is propagated by the flow of (1.1), where  $\sigma = \max(1, 2s)$ , like in the case of the regular Laplacian s = 1.

Notations.

- $\int f$  is employed in place of  $\int_{\mathbb{R}^d} f(x) dx$ .
- The inner product in  $L^2$  is denoted by

$$(f,g)_{L^2} = \int_{\mathbb{R}^d} f(x)\overline{g(x)}dx = \int f\bar{g}.$$

- Let C(I, X) (resp.  $C_w(I, X)$ ) be the space strongly (resp. weakly) continuous functions from interval  $I(\subseteq \mathbb{R})$  to X.
- Abbreviated notation: for T > 0, we write

$$C_T(X) = C([-T,T],X), \quad L_T^{\infty}(X) = L^{\infty}((-T,T),X),.$$

•  $A \lesssim B$  represents the inequality  $A \leq CB$  with some constant C > 0.

Content. The rest of the paper is organized as follows. In Section 2, we collect lemmas which are of constant use in this paper. Section 3 is dedicated to the study of the Cauchy problem for (1.1) in both  $H^s$  and  $W_s^1$ , proving Theorem 1.1. In Section 4, we consider higher regularity and prove Theorem 1.2.

#### 2. Useful Lemmas

The following lemma is a generalization of the inequality proven initially by Cazenave and Haraux [11] in the case  $\varepsilon = \mu = 0$ :

**Lemma 2.1** ([24], Lemma A.1). For all  $u, v \in \mathbb{C}$  and  $\varepsilon, \mu \geq 0$ , we have

(2.1) 
$$|\operatorname{Im}(u\log(|u|+\varepsilon) - v\log(|v|+\mu))(\bar{u}-\bar{v})| \le |u-v|^2 + |\varepsilon-\mu||u-v|.$$

We will also use several times the fractional Leibniz rule. We state a simplified version of a result from [30], by using the fact that BMO contains  $L^{\infty}$ , and considering only the  $L^2$  setting.

**Lemma 2.2** ([30], Corollary 1.4). For  $\sigma > 0$ , let  $A^{\sigma}$  be a differential operator such that its symbol  $\widehat{A^{\sigma}}(\xi)$  is homogeneous of degree  $\sigma$  and  $\widehat{A^{\sigma}}(\xi) \in C^{\infty}(\mathbb{S}^{d-1})$ .

• If  $0 < \sigma < 1$ ,  $\|A^{\sigma}(fg) - gA^{\sigma}f\|_{L^{2}} \lesssim \|f\|_{L^{2}} \|(-\Delta)^{\sigma/2}g\|_{L^{\infty}}.$ • If  $1 \le \sigma < 2$ ,  $\|A^{\sigma}(fg) - gA^{\sigma}f - \nabla g \cdot A^{\sigma,\nabla}f\|_{L^{2}} \lesssim \|f\|_{L^{2}} \|(-\Delta)^{\sigma/2}g\|_{L^{\infty}}.$ where  $\widehat{A}^{\sigma,\nabla}(\xi) = -i\nabla_{\xi}\left(\widehat{A^{\sigma}}(\xi)\right).$ 

We recall that the characterization of the  $H^s$  norm, for 0 < s < 1, can be expressed as follows (see e.g. [17]):

$$\|f\|_{H^s}^2 = \|f\|_{L^2}^2 + \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{|f(x) - f(y)|^2}{|x - y|^{d + 2s}} dy dx$$
$$= \|f\|_{L^2}^2 + \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{|f(x + y) - f(x)|^2}{|y|^{d + 2s}} dy dx.$$

We also have, for 0 < s < 1 and  $f \in \mathcal{S}(\mathbb{R}^d)$  (see e.g. [17]),

(2.2) 
$$(-\Delta)^s f(x) = c(d,s) \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{f(x+y) + f(x-y) - 2f(x)}{|y|^{d+2s}} dy dx,$$

for some constant c(d, s) whose exact value is irrelevant here.

The following lemma will be crucial in the proof of Theorem 1.2.

**Lemma 2.3.** Let 0 < s < 1. If  $0 < \alpha < 2s$  and  $\alpha \leq 1$ , then the commutator  $[(-\Delta)^s, \langle x \rangle^{\alpha}]$  is continuous from  $H^s(\mathbb{R}^d)$  to  $L^2(\mathbb{R}^d)$ .

*Proof.* The proof relies on the fractional Leibniz rule stated in Lemma 2.2, with  $A^{\sigma} = (-\Delta)^s$ , hence  $\sigma = 2s$ . Fix  $f \in C_c^{\infty}(\mathbb{R}^d)$ , and let  $g(x) = \langle x \rangle^{\alpha}$ .

We first show that under the assumptions of the lemma,  $(-\Delta)^s g \in L^{\infty}(\mathbb{R}^d)$ , by using the characterization (2.2). In the region  $\{|y| \ge 1\}$ , we write, since  $0 < \alpha \le 1$ ,

$$|\langle x \pm y \rangle^{\alpha} - \langle x \rangle^{\alpha}| \le |\langle x \pm y \rangle - \langle x \rangle|^{\alpha} \lesssim |y|^{\alpha},$$

hence

$$\left|\int_{|y|\geq 1} \frac{\langle x+y\rangle^{\alpha} + \langle x-y\rangle^{\alpha} - 2\,\langle x\rangle^{\alpha}}{|y|^{d+2s}} dy\right| \lesssim \int_{|y|\geq 1} \frac{|y|^{\alpha}}{|y|^{d+2s}} dy < \infty,$$

provided that  $\alpha < 2s$ . In the ball  $\{|y| < 1\}$ , Taylor's formula yields

$$\langle x+y \rangle^{\alpha} + \langle x-y \rangle^{\alpha} - 2 \langle x \rangle^{\alpha} = \langle \nabla^2 g(x)y, y \rangle + \mathcal{O}(|y|^3)$$

where the remainder is uniform in  $x \in \mathbb{R}^d$ , as the third order derivatives of g are bounded. Also, the Hessian of g is bounded since  $|\nabla^2 g(x)| \leq \langle x \rangle^{\alpha-2}$ , and

$$\left|\int_{|y|\leq 1} \frac{\langle x+y\rangle^{\alpha} + \langle x-y\rangle^{\alpha} - 2\langle x\rangle^{\alpha}}{|y|^{d+2s}} dy\right| \lesssim \int_{|y|\leq 1} \frac{|y|^2}{|y|^{d+2s}} dy < \infty,$$

since s < 1.

**First case:** 0 < s < 1/2. In view of the first case in Lemma 2.2,

$$\|[(-\Delta)^s, \langle x \rangle^{\alpha}]f\|_{L^2} \lesssim \|f\|_{L^2} \|(-\Delta)^s g\|_{L^{\infty}} \lesssim \|f\|_{L^2},$$

and  $[(-\Delta)^s, \langle x \rangle^{\alpha}]$  is continuous from  $L^2(\mathbb{R}^d)$  to  $L^2(\mathbb{R}^d)$ . Second case:  $1/2 \leq s < 1$ . In view of the second case in Lemma 2.2,

$$\|[(-\Delta)^{s}, \langle x \rangle^{\alpha}]f\|_{L^{2}} \lesssim \|\nabla g \cdot A^{2s, \nabla}f\|_{L^{2}} + \|f\|_{L^{2}}\|(-\Delta)^{s}g\|_{L^{\infty}}.$$

In view of the definition of  $A^{2s,\nabla}$ , with  $A^{2s} = (-\Delta)^s$ ,

$$\|A^{2s,\nabla}f\|_{L^2} \lesssim \|f\|_{\dot{H}^{2s-1}} \lesssim \|f\|_{H^s},$$

since 0 < s < 1. Recalling that since  $\alpha \leq 1$ ,  $\nabla g \in L^{\infty}$ , the lemma is proved.

#### 3. The Cauchy problem in $H^s$ and the energy space

In this section, we prove Theorem 1.1, by resuming the strategy of [24], which requires very few adaptations to treat this fractional case (essentially, the fractional Leibniz rule).

3.1. Approximate problems. For  $\varepsilon > 0$ , we consider the approximate equation (3.1)  $i\partial_t u_{\varepsilon} - (-\Delta)^s u_{\varepsilon} = 2\lambda u_{\varepsilon} \log(|u_{\varepsilon}| + \varepsilon), \quad u_{\varepsilon}(0, x) = \varphi(x).$ 

We set

$$g(u) = 2u \log |u|, \quad g_{\varepsilon}(u) = 2u \log(|u| + \varepsilon)$$

For  $\sigma \geq 0$  we have

$$\int_0^\sigma g_\varepsilon(\tau) d\tau = \frac{1}{2} \sigma^2 \log\left((\sigma + \varepsilon)^2\right) - \frac{1}{2} \int_0^\sigma \frac{2\tau^2}{\tau + \varepsilon} d\tau.$$

We define  $G_{\varepsilon}(u)$  by

$$G_{\varepsilon}(u) = \frac{1}{2} \int |u|^2 \log\left((|u| + \varepsilon)^2\right) - \frac{1}{2} \int \mu_{\varepsilon}(|u|), \quad \text{for } u \in H^s\left(\mathbb{R}^d\right),$$

where

$$\mu_{\varepsilon}(\sigma) := \int_0^{\sigma} \frac{2\tau^2}{\tau + \varepsilon} d\tau \quad \text{ for } \sigma \ge 0.$$

We define  $E_{\varepsilon}(u)$  by

(3.2)  
$$E_{\varepsilon}(u) = \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \lambda G_{\varepsilon}(u)$$
$$= \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{\lambda}{2} \int |u|^2 \log\left((|u| + \varepsilon)^2\right) - \frac{\lambda}{2} \int \mu_{\varepsilon}(|u|)$$

**Lemma 3.1.** Let  $\varphi \in H^s(\mathbb{R}^d)$  and  $\varepsilon > 0$ . Then (3.1) possesses a unique solution

$$u_{\varepsilon} \in C\left(\mathbb{R}, H^{s}\left(\mathbb{R}^{d}\right)\right) \cap C^{1}\left(\mathbb{R}, H^{-s}\left(\mathbb{R}^{d}\right)\right).$$

Moreover, the mass and energy are conserved: for all  $t \in \mathbb{R}$ ,

$$\|u_{\varepsilon}(t)\|_{L^2}^2 = \|\varphi\|_{L^2}^2, \quad E_{\varepsilon}(u_{\varepsilon}(t)) = E_{\varepsilon}(\varphi).$$

Proof. Unlike in the case of the regular Laplacian, s = 1, it seems delicate to invoke Strichartz estimates independently of the space dimension d in order to solve (3.1) in  $H^s$ , since a loss of regularity is present when 0 < s < 1, see [14], and [23, 26]. We rather adopt the approach of [13], which in turn resumes the arguments from [10]. A key step is to check that, for a given T > 0, (3.1) has a most one (weak) solution  $u_{\varepsilon} \in L_T^{\infty} H^s \cap W_T^{1,\infty} H^{-s}$ . By interpolation, such a solution belongs to  $C_T L^2$ , and if  $u_{\varepsilon}, v_{\varepsilon}$  are two such solutions,  $u_{\varepsilon} - v_{\varepsilon}$  solves

$$(i\partial_t - (-\Delta)^s) (u_{\varepsilon} - v_{\varepsilon}) = \lambda (u_{\varepsilon} \log (|u_{\varepsilon}| + \varepsilon) - v_{\varepsilon} \log (|v_{\varepsilon}| + \varepsilon)).$$

We then proceed with the usual argument for  $L^2$  estimates in Schrödinger equations: multiply by  $\bar{u}_{\varepsilon} - \bar{v}_{\varepsilon}$ , integrate over  $\mathbb{R}^d$ , and take the imaginary part. The term involving the fractional Laplacian vanishes by self-adjointness, and the nonlinear term is controlled thanks to Lemma 2.1 (with  $\mu = \varepsilon$ ), so we get

$$\frac{d}{dt} \|u_{\varepsilon} - v_{\varepsilon}\|_{L^2}^2 \lesssim \|u_{\varepsilon} - v_{\varepsilon}\|_{L^2}^2$$

hence  $u_{\varepsilon} \equiv v_{\varepsilon}$  by Gronwall Lemma, since  $||u_{\varepsilon}(t) - v_{\varepsilon}(t)||_{L^2}$  is continuous at t = 0. The existence of such a weak solution is given by [10, Theorem 3.3.5], which is readily adapted to the case of the fractional Laplacian, and since we note that for fixed  $\varepsilon > 0$ , there exists a function  $C^{\varepsilon}(\cdot)$  such that if  $||u||_{H^s}, ||v||_{H^s} \leq M$ , then

$$\|g_{\varepsilon}(u) - g_{\varepsilon}(v)\|_{H^{-s}} \le \|g_{\varepsilon}(u) - g_{\varepsilon}(v)\|_{L^{2}} \le C^{\varepsilon}(M)\|u - v\|_{H^{s}}.$$

With the above uniqueness property, we can resume the proof of [10, Theorem 3.3.9] and [10, Theorem 3.4.1] for the globalization, since, as we have, for every  $\delta > 0$ ,

$$\left| |u|^2 \log \left( |u| + \varepsilon \right) - \mu_{\varepsilon}(|u|) \right| \le C_{\varepsilon,\delta} |u|^{2+\delta} + |u|,$$

Gagliardo-Nirenberg and Young inequalities yield

$$\lambda G_{\varepsilon}(u) \leq \frac{1}{4} \|u\|_{\dot{H}^{s}}^{2} + C(\|u\|_{L^{2}}),$$

so we obtain the lemma.

.

3.2. Construction of weak  $H^s$  solution. We initially establish a uniform estimate for approximate solutions within the  $H^s$  space.

**Lemma 3.2.** Let  $0 < \alpha \leq 1$  and  $\varphi \in H^s$ . For all  $t \in \mathbb{R}$  we have

(3.3) 
$$\left\| (-\Delta)^{s/2} u_{\varepsilon}(t) \right\|_{L^{2}}^{2} \leq e^{4|\lambda||t|} \| (-\Delta)^{s/2} \varphi \|_{L^{2}}^{2}.$$

*Proof.* We resume the energy estimate from [8]: in view of the conservation of the  $L^2$ -norm,

$$\frac{d}{dt} \|u_{\varepsilon}(t)\|_{H^{s}(\mathbb{R}^{d})}^{2} = 2\operatorname{Re} \iint_{\mathbb{R}^{d} \times \mathbb{R}^{d}} \overline{(u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x))} \partial_{t} (u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x)) \frac{dxdy}{|y|^{d+2\alpha}} = -2\operatorname{Im} \iint_{\mathbb{R}^{d} \times \mathbb{R}^{d}} \overline{(u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x))} (-\Delta)^{s} (u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x)) \frac{dxdy}{|y|^{d+2\alpha}} - 4\lambda \operatorname{Im} \iint_{\mathbb{R}^{d} \times \mathbb{R}^{d}} \overline{(u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x))} (g_{\varepsilon} (u_{\varepsilon}(t, x+y)) - g_{\varepsilon} (u_{\varepsilon}(t, x))) \frac{dxdy}{|y|^{d+2\alpha}}.$$

Here, the first term on the right-hand side of the equation vanishes, since  $(-\Delta)^s$  is self-adjoint, and so the imaginary part of the integral in x is zero. By applying Lemma 2.1 with  $\mu = \varepsilon$ , we obtain

$$\frac{d}{dt} \|u_{\varepsilon}(t)\|_{H^{s}(\mathbb{R}^{d})}^{2} \\
\leq 4|\lambda| \iint_{\mathbb{R}^{d} \times \mathbb{R}^{d}} \left| \operatorname{Im} \left[ \overline{(u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x))} \left( g_{\varepsilon} \left( u_{\varepsilon}(t, x+y) \right) - g_{\varepsilon} \left( u_{\varepsilon}(t, x) \right) \right) \right] \right| \frac{dxdy}{|y|^{d+2\alpha}} \\
\leq 4|\lambda| \iint_{\mathbb{R}^{d} \times \mathbb{R}^{d}} |u_{\varepsilon}(t, x+y) - u_{\varepsilon}(t, x)|^{2} \frac{dxdy}{|y|^{d+2\alpha}} \leq 4|\lambda| \|u_{\varepsilon}(t)\|_{H^{s}(\mathbb{R}^{d})}^{2}.$$

Gronwall Lemma then yields

$$\|u_{\varepsilon}(t)\|_{H^{s}(\mathbb{R}^{d})}^{2} \leq e^{4|\lambda t|} \|\varphi\|_{H^{s}(\mathbb{R}^{d})}^{2} \quad \text{for all } t \in \mathbb{R},$$

hence the lemma.

It follows from Lemma 3.1 and (3.3) that for any T > 0 we have

(3.4) 
$$M_T := \sup_{0 < \varepsilon < 1} \|u_\varepsilon\|_{L^\infty_T(H^s)} \le C\left(T, \|\varphi\|_{H^s}\right).$$

Next we prove that  $\{u_{\varepsilon}\}_{0 < \varepsilon < 1}$  forms a Cauchy sequence in  $C_T(L^2_{\text{loc}}(\mathbb{R}^d))$  as  $\varepsilon \downarrow 0$  for any T > 0. Take a function  $\zeta \in C_c^{\infty}(\mathbb{R}^d)$  satisfying

$$\zeta(x) = \begin{cases} 1 & \text{if } |x| \le 1, \\ 0 & \text{if } |x| \ge 2, \end{cases} \quad 0 \le \zeta(x) \le 1 \quad \text{for all} \quad x \in \mathbb{R}^d.$$

For R > 0 we set  $\zeta_R := \zeta(x/R)$ . For  $\varepsilon, \mu \in (0, 1)$ , utilizing (3.1), (2.1), and (3.4), a direct computation indicates that

$$\begin{aligned} \frac{d}{dt} \left\| \zeta_R \left( u_{\varepsilon} - u_{\mu} \right) \right\|_{L^2}^2 &= 2 \operatorname{Im} \left( i \zeta_R^2 \partial_t \left( u_{\varepsilon} - u_{\mu} \right), u_{\varepsilon} - u_{\mu} \right) \\ &= 2 \operatorname{Im} \left( \zeta_R^2 (-\Delta)^s \left( u_{\varepsilon} - u_{\mu} \right), u_{\varepsilon} - u_{\mu} \right) \\ &+ 4\lambda \operatorname{Im} \left( \zeta_R^2 \left( u_{\varepsilon} \log \left( |u_{\varepsilon}| + \varepsilon \right) - u_{\mu} \log \left( |u_{\mu}| + \mu \right) \right), u_{\varepsilon} - u_{\mu} \right) \end{aligned}$$

The first term on the right hand side is estimated thanks to the fractional Leibniz rule recalled in (the first case of) Lemma 2.2, since

$$\operatorname{Im}\left(\left(-\Delta\right)^{s/2}\left(u_{\varepsilon}-u_{\mu}\right),\zeta_{R}^{2}\left(-\Delta\right)^{s/2}\left(u_{\varepsilon}-u_{\mu}\right)\right)=0,$$

by:

$$\operatorname{Im}\left(\left(-\Delta\right)^{s/2}\left(u_{\varepsilon}-u_{\mu}\right),\left(-\Delta\right)^{s/2}\left(\zeta_{R}^{2}\left(u_{\varepsilon}-u_{\mu}\right)\right)\right)\right| \\ \lesssim \|u_{\varepsilon}-u_{\mu}\|_{\dot{H}^{s}}\|u_{\varepsilon}-u_{\mu}\|_{L^{2}}\|(-\Delta)^{s/2}\left(\zeta_{R}^{2}\right)\|_{L^{\infty}}.$$

The estimate  $\|(-\Delta)^{s/2}\zeta_R^2\|_{L^{\infty}} \lesssim 1/R^s$  follows by homogeneity (using e.g. Fourier transform), and thus

$$\frac{d}{dt} \left\| \zeta_R \left( u_{\varepsilon} - u_{\mu} \right) \right\|_{L^2}^2 \leq \frac{C}{R^s} \left\| u_{\varepsilon} - u_{\mu} \right\|_{\dot{H}^s} \left\| u_{\varepsilon} - u_{\mu} \right\|_{L^2} + 4|\lambda| \left( \left\| \zeta_R \left( u_{\varepsilon} - u_{\mu} \right) \right\|_{L^2}^2 + |\varepsilon - \mu| \left\| \zeta_R^2 \left( u_{\varepsilon} - u_{\mu} \right) \right\|_{L^1} \right).$$

Gronwall Lemma implies

(3.5) 
$$\|\zeta_R (u_{\varepsilon} - u_{\mu})(t)\|_{L^2}^2 \le e^{4|\lambda|T} \left(\frac{C(M_T)}{R^s} + |\varepsilon - \mu| |B_{2R}|^{1/2} \|\varphi\|_{L^2}\right),$$

for all  $t \in [-T, T]$ , where we have used

$$\left|\zeta_{R}^{2}\left(u_{\varepsilon}-u_{\mu}\right)\right\|_{L^{1}} \leq \left\|u_{\varepsilon}-u_{\mu}\right\|_{L^{2}(B_{2R})} \leq 2\left|B_{2R}\right|^{1/2} \|\varphi\|_{L^{2}}.$$

We now fix  $R_0 > 0$  and take  $R \in (R_0, \infty)$  as a parameter. It follows from (3.5) that

$$\|u_{\varepsilon} - u_{\mu}\|_{C_{T}\left(L^{2}\left(B_{R_{0}}\right)\right)}^{2} \leq \|\zeta_{R}\left(u_{\varepsilon} - u_{\mu}\right)\|_{C_{T}\left(L^{2}\right)}^{2} \leq C\left(T, \|\varphi\|_{H^{s}}\right) \left(\frac{1}{R^{s}} + |\varepsilon - \mu| |B_{2R}|^{1/2}\right)$$

which yields

$$\limsup_{\varepsilon,\mu\downarrow 0} \left\| u_{\varepsilon} - u_{\mu} \right\|_{C_{T}\left(L^{2}\left(B_{R_{0}}\right)\right)}^{2} \leq \frac{C\left(T, \left\|\varphi\right\|_{H^{s}}\right)}{R^{s}} \xrightarrow[R \to \infty]{} 0$$

As  $R_0 > 0$  is arbitrary, we conclude that the sequence  $\{u_{\varepsilon}\}_{0 < \varepsilon < 1}$  constitutes a Cauchy sequence in  $C_T(L^2_{\text{loc}}(\mathbb{R}^d))$ . When combining this with Lemma 3.1, this entails that there exists a function  $u \in L^{\infty}(\mathbb{R}, L^2(\mathbb{R}^d))$  such that

(3.6) 
$$u_{\varepsilon} \to u \quad \text{in } C_T \left( L^2_{\text{loc}} \left( \mathbb{R}^d \right) \right) \quad \text{as } \varepsilon \downarrow 0$$

for all T > 0.

Lemma 3.3.  $u \in L^{\infty}_{\text{loc}}(\mathbb{R}, H^{s}(\mathbb{R}^{d}))$  and

(3.7) 
$$u_{\varepsilon}(t) \rightharpoonup u(t) \quad in \ H^s\left(\mathbb{R}^d\right) \quad for \ all \ t \in \mathbb{R}$$

*Proof.* First it follows from (3.6) that

(3.8) 
$$u_{\varepsilon}(t) \rightharpoonup u(t) \quad \text{in } L^2(\mathbb{R}^d) \quad \text{for all } t \in \mathbb{R}.$$

To prove  $u \in L^{\infty}_{\text{loc}}(\mathbb{R}, H^s(\mathbb{R}^d))$ , we use the characterization of  $H^s$  functions by duality. For any  $\psi \in C^{\infty}_c(\mathbb{R}^d)$  and  $t \in [-T, T]$  we obtain from (3.4) that

$$\left| \int u_{\varepsilon}(t)(-\Delta)^{s/2}\psi \right| = \left| \int (-\Delta)^{s/2}u_{\varepsilon}(t)\psi \right| \le \|u_{\varepsilon}(t)\|_{\dot{H}^{s}}\|\psi\|_{L^{2}} \le M_{T}\|\psi\|_{L^{2}}.$$

Then it follows from (3.8) that

$$\left| \int u(t)(-\Delta)^{s/2} \psi \right| \le M_T \|\psi\|_{L^2} \quad \text{for all } t \in [-T,T].$$

We infer that for all  $t \in [-T, T]$ ,

$$u(t) \in H^{s}(\mathbb{R}^{d})$$
 and  $||(-\Delta)^{s/2}u(t)||_{L^{2}} \leq M_{T},$ 

hence  $u \in L^{\infty}_{loc}(\mathbb{R}, H^{s}(\mathbb{R}^{d}))$ . Also, in view of (3.8),

$$\int (-\Delta)^{s/2} u_{\varepsilon}(t) \psi \to \int (-\Delta)^{s/2} u(t) \psi$$

for any  $\psi \in C_c^{\infty}(\mathbb{R}^d)$  and  $t \in \mathbb{R}$ . Using (3.4) and a density argument, we deduce that

$$(-\Delta)^{s/2}u_{\varepsilon}(t) \rightharpoonup (-\Delta)^{s/2}u(t) \quad \text{in } L^2(\mathbb{R}^d), \text{ for all } t \in \mathbb{R},$$

hence the lemma.

Next, we prove that the convergence of the nonlinear term.

**Lemma 3.4.** For all  $t \in \mathbb{R}$  we have

$$g_{\varepsilon}(u_{\varepsilon}(t)) \to g(u(t)) \text{ in } L^2_{\text{loc}}(\mathbb{R}^d) \quad \text{ as } \varepsilon \downarrow 0.$$

*Proof.* We show that for any  $\Omega \subset \mathbb{R}^d$  and  $t \in \mathbb{R}$ ,

$$u_{\varepsilon}(t)\log(|u_{\varepsilon}(t)|+\varepsilon) \to u(t)\log|u(t)|$$
 in  $L^{2}(\Omega)$  as  $\varepsilon \downarrow 0$ .

In view of [24, Lemma A.2], we know that for  $\alpha \in (0, 1)$ , there exists  $C(\alpha) > 0$  such that for all  $u, v \in \mathbb{C}, \varepsilon \in (0, 1)$ 

$$|v\log(|v|+\varepsilon) - u\log|u|| \le \varepsilon + |u-v| + C(\alpha) \times (1+|u|^{1-\alpha}\log^+|u| + |v|^{1-\alpha}\log^+|v|) |u-v|^{\alpha}$$

where  $\log^+ x := \max(\log x, 0)$ . Hence, for any  $\delta > 0$  small, there exists  $C(\delta) > 0$  such that

$$|u_{\varepsilon} \log(|u_{\varepsilon}| + \varepsilon) - u \log |u|| \le \varepsilon + |u_{\varepsilon} - u| + C(\alpha) \times \left(1 + |u_{\varepsilon}|^{\frac{1}{2} + \delta} + |u|^{\frac{1}{2} + \delta}\right) |u_{\varepsilon} - u|^{1/2}.$$

Fixing  $\delta > 0$  sufficiently small so that  $H^s(\mathbb{R}^d) \subset L^{2+4\delta}(\mathbb{R}^d)$ , we have

$$\begin{aligned} \left\| \left\| u_{\varepsilon} \right\|_{L^{2}(\Omega)}^{\frac{1}{2}+\delta} \left\| u_{\varepsilon} - u \right\|_{L^{2}(\Omega)}^{1/2} \right\|_{L^{2}(\Omega)}^{2} &= \int_{\Omega} \left\| u_{\varepsilon} \right\|_{L^{2}\delta}^{1+2\delta} \left\| u_{\varepsilon} - u \right\|_{L^{2}(\Omega)} \\ &\lesssim \left\| u_{\varepsilon} \right\|_{H^{s}}^{1+2\delta} \left\| u_{\varepsilon} - u \right\|_{L^{2}(\Omega)}. \end{aligned}$$

Therefore, the results follows from (3.4) and (3.6).

From (3.1) it follows that for every  $\varphi \in C_c^{\infty}(\mathbb{R}^d)$  and every  $\phi \in C_c^1(\mathbb{R})$ ,

$$\begin{split} \int_{\mathbb{R}} (iu_{\varepsilon}, \psi)_{L^{2}} \phi'(t) dt &= -\int_{\mathbb{R}} \langle i\partial_{t} u_{\varepsilon}, \psi \rangle_{H^{-s}, H^{s}} \phi(t) dt \\ &= -\int_{\mathbb{R}} \langle (-\Delta)^{s} u_{\varepsilon} + 2\lambda u_{\varepsilon} \log\left(|u_{\varepsilon}| + \varepsilon\right), \psi \rangle_{H^{-s}, H^{s}} \phi(t) dt \\ &= -\int_{\mathbb{R}} \left\{ \left( (-\Delta)^{s/2} u_{\varepsilon}, (-\Delta)^{s/2} \psi \right)_{L^{2}} + (\lambda g_{\varepsilon} (u_{\varepsilon}), \psi)_{L^{2}} \right\} \phi(t) dt. \end{split}$$

From (3.7),  $u_{\varepsilon}(t) \rightharpoonup u(t)$  in  $H^s(\mathbb{R}^d)$ . In view of Lemma 3.4, taking the limit  $\varepsilon \downarrow 0$  yields

$$\int_{\mathbb{R}} (iu, \psi)_{L^2} \phi'(t) dt = -\int_{\mathbb{R}} \left\{ ((-\Delta)^{s/2} u, (-\Delta)^{s/2} \psi)_{L^2} + (\lambda g(u), \psi)_{L^2} \right\} \phi(t) dt.$$

It can be easily verified for any  $\Omega \subset \mathbb{R}^d$ ,

$$u \in L^{\infty}_{\text{loc}}\left(\mathbb{R}, H^{s}\left(\mathbb{R}^{d}\right)\right) \cap W^{s,\infty}_{\text{loc}}\left(\mathbb{R}, H^{-s}(\Omega)\right)$$

and

(3.9) 
$$i\partial_t u - (-\Delta)^s u = \lambda g(u) \quad \text{in } H^{-s}(\Omega),$$

for almost all  $t \in \mathbb{R}$ .

### 3.3. Uniqueness and regularity. Following [11, Lemme 2.2.1], we have:

**Lemma 3.5.** Assume that, for some T > 0,  $u, v \in L_T^{\infty}(H^s(\mathbb{R}^d))$  solve (1.1) in the distribution sense. Then u = v.

*Proof.* We set

$$M := \max\left\{ \|u\|_{L^{\infty}_{T}(H^{s})}, \|v\|_{L^{\infty}_{T}(H^{s})} \right\}.$$

As mentioned above, u, v satisfy the equation in the sense of (3.9). Resuming the cut-off function  $\zeta_R$ , and the computations from Section 3.2 (with  $u_{\varepsilon}$  replaced by u and  $u_{\mu}$  replaced by v), Gronwall Lemma yields, like for (3.5) (with now  $\varepsilon = \mu = 0$ ),

$$\|\zeta_R(u-v)(t)\|_{L^2}^2 \le e^{4|\lambda|T} \left( \|\zeta_R(u(0)-v(0))\|_{L^2}^2 + \frac{C(M)}{R^s}T \right) \quad \text{for all } t \in [-T,T].$$

By Fatou's Lemma,

$$\|(u-v)(t)\|_{L^{2}}^{2} \leq \liminf_{R \to \infty} \|\zeta_{R}(u-v)(t)\|_{L^{2}}^{2} \leq 0,$$
  
for all  $t \in [-T, T]$ . Therefore,  $u = v$  on  $[-T, T]$ .

Continuity in time and strong  $L^2$  convergence are established like in the proof of [24, Lemma 2.10].

Lemma 3.6.  $u \in C_w(\mathbb{R}, H^s(\mathbb{R}^d)) \cap C(\mathbb{R}, L^2(\mathbb{R}^d))$  and  $u_{\varepsilon}(t) \to u(t) \quad in \ L^2(\mathbb{R}^d).$  *Proof.* First we note that  $u \in C_w(\mathbb{R}, H^s(\mathbb{R}^d))$ . Indeed this easily follows from Lemma 3.3 and  $u \in C(\mathbb{R}, L^2_{\text{loc}}(\mathbb{R}^d))$ . Next, we obtain from Lemma 3.1 and (3.8) that

$$||u(t)||_{L^2}^2 \le \liminf_{\varepsilon \to 0} ||u_{\varepsilon}(t)||_{L^2}^2 = ||\varphi||_{L^2}^2 \text{ for all } t \in \mathbb{R}.$$

Uniqueness of solutions yields that

(3.10) 
$$||u(t)||_{L^2}^2 = ||\varphi||_{L^2}^2 \text{ for all } t \in \mathbb{R}$$

As  $u \in C_w(\mathbb{R}, L^2(\mathbb{R}^d))$ , we deduce that  $u \in C(\mathbb{R}, L^2(\mathbb{R}^d))$ . Since no mass is lost in the weak convergence (3.8), the convergence is strong in  $L^2$ .

# Lemma 3.7. $u \in C(\mathbb{R}, H^s(\mathbb{R}^d)).$

*Proof.* We just need to show the continuity  $t \mapsto u(t) \in H^s(\mathbb{R}^d)$  at t = 0. It follows from (3.3), (3.7), and the weak lower semicontinuity of the norm that

$$||u(t)||_{\dot{H}^s}^2 \le e^{4|\lambda||t|} ||\varphi||_{\dot{H}^s}^2.$$

Passing to the limit as  $t \to 0$  we have

$$\limsup_{t \to 0} \|u(t)\|_{\dot{H}^{s}}^{2} \le \|\varphi\|_{\dot{H}^{s}}^{2}$$

On the other hand, it follows from the weak continuity  $t \mapsto u(t) \in H^s(\mathbb{R}^d)$  at t = 0 that

$$\|\varphi\|_{\dot{H}^s}^2 \le \liminf_{t \to 0} \|u(t)\|_{\dot{H}^s}^2$$

So we obtain

$$\lim_{t \to 0} \|u(t)\|_{\dot{H}^s}^2 = \|\varphi\|_{\dot{H}^s}^2.$$

Therefore, the weak convergence in (3.7) is actually strong.

3.4. Construction of solutions in  $W_1^s$ . We now assume that  $\varphi \in W_1^s \subset H^s(\mathbb{R}^d)$ . From the dominated convergence theorem we have

$$E_{\varepsilon}(\varphi) \to E(\varphi) \quad \text{as } \varepsilon \downarrow 0,$$

recalling that  $E_{\varepsilon}(\varphi)$  and  $E(\varphi)$  are defined in (3.2) and (1.4), respectively. Let  $\theta \in C_c^1(\mathbb{C}, \mathbb{R})$  satisfying

$$\theta(z) = \begin{cases} 1 & \text{if } |z| \le 1/4, \\ 0 & \text{if } |z| \ge 1/2, \end{cases} \quad 0 \le \theta(z) \le 1 \quad \text{for } z \in \mathbb{C},$$

and set, for  $\varepsilon > 0$ ,

$$F_{1\varepsilon}(u) = \theta(u)|u|^2 \log \left( (|u| + \varepsilon)^2 \right), \quad F_{2\varepsilon}(u) = (1 - \theta(u))|u|^2 \log \left( (|u| + \varepsilon)^2 \right),$$
  
$$F_1(u) = \theta(u)|u|^2 \log \left( |u|^2 \right), \quad F_2(u) = (1 - \theta(u))|u|^2 \log \left( |u|^2 \right).$$

In the subsequent discussion, we confine the range of  $\varepsilon$  to (0, 1/2). The energy expressed in equation (3.1) is denoted as

$$E_{\varepsilon}(u) = \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{\lambda}{2} \int F_{1\varepsilon}(u) + \frac{\lambda}{2} \int F_{2\varepsilon}(u) - \frac{\lambda}{2} \int \mu_{\varepsilon}(|u|).$$

Taking  $\delta > 0$  sufficiently small, (3.11)

$$\int |F_2(u)| \lesssim \int |u|^{2+\delta} \lesssim \left( \|u\|_{L^2}^{1-\eta} \|u\|_{\dot{H}^s}^{\eta} \right)^{2+\delta}, \quad \eta = \frac{d}{s} \left( \frac{1}{2} - \frac{1}{2+\delta} \right) \in (0,1).$$

In particular,

for 
$$u \in H^s(\mathbb{R}^d)$$
,  $u \in W_1^s \iff \int |F_1(u)| < \infty$ .

**Lemma 3.8.** For all  $t \in \mathbb{R}$  we have, as  $\varepsilon \to 0$ ,

$$\int \mu_{\varepsilon} \left( |u_{\varepsilon}(t)| \right) \to \int |u(t)|^2, \quad \int F_{2\varepsilon} \left( u_{\varepsilon}(t) \right) \to \int F_2(u(t)).$$

The proof of this lemma is found in [24, Lemma 2.13], and relies on the observation that for any  $\delta \in (0, 1)$  there exists  $C(\delta) > 0$  such that

$$|F_{2\varepsilon}(z) - F_2(w)| \le C(\delta) \left( |z|^{1+\delta} + |w|^{1+\delta} \right) |z - w| \quad \text{for all } z, w \in \mathbb{C}.$$

**Proposition 3.9.** Let  $\lambda < 0$ . Then,  $u \in (C \cap L^{\infty})(\mathbb{R}, W_1^s)$  and  $E(u(t)) = E(\varphi)$  for all  $t \in \mathbb{R}$ .

*Proof.* For  $\varepsilon \in (0, 1/2)$ , we have  $F_{1\varepsilon}(u) \leq 0$ , and we can rewrite the first two terms  $E_{\varepsilon}(u)$  as

$$\frac{1}{2}\int |(-\Delta)^{s/2}u|^2 + \frac{\lambda}{2}\int F_{1\varepsilon}(u) = \frac{1}{2}\int |(-\Delta)^{s/2}u|^2 + \frac{|\lambda|}{2}\int |F_{1\varepsilon}(u)|.$$

The weak lower semicontinuity of the norm, Fatou's lemma (for the second term), and Lemma 3.8 imply

$$\frac{1}{2} \int |(-\Delta)^{s/2} u(t)|^2 + \frac{|\lambda|}{2} \int |F_1(u(t))| \leq \liminf_{\varepsilon \to 0} \left( E_\varepsilon \left( u_\varepsilon(t) \right) - \frac{\lambda}{2} \int F_{2\varepsilon} \left( u_\varepsilon(t) \right) + \frac{\lambda}{2} \int \mu_\varepsilon \left( u_\varepsilon(t) \right) \right) \\ \leq E(\varphi) - \frac{\lambda}{2} \int F_2(u(t)) + \frac{\lambda}{2} \int |u(t)|^2,$$

for all  $t \in \mathbb{R}$ . It implies that

$$u(t) \in W_1^s$$
,  $E(u(t)) \le E(\varphi)$  for all  $t \in \mathbb{R}$ .

Invoking Lemma 3.6, we obtain that the conservation of the energy

(3.12) 
$$E(u(t)) = E(\varphi)$$
 for all  $t \in \mathbb{R}$ 

From inequality (3.11) with  $(2 + \delta)\eta < 2$ , and the identity (3.10) we get

$$\int |(-\Delta)^{s/2} u(t)|^2 + \int |F_1(u(t))| \le C(E(\varphi), \|\varphi\|_{L^2}),$$

for all  $t \in \mathbb{R}$ . Therefore we deduce that

$$u \in L^{\infty}(\mathbb{R}, H^{s}(\mathbb{R}^{d}))$$
 and  $t \mapsto \int |u(t)|^{2} \log(|u(t)|^{2}) \in L^{\infty}(\mathbb{R}),$ 

and thus  $u \in L^{\infty}(\mathbb{R}, W_1^s)$ . Moreover, from (3.12) and Lemma (3.7), we know that

$$t \mapsto \int |u(t)|^2 \log \left( |u(t)|^2 \right) \in C(\mathbb{R}) \iff u \in C(\mathbb{R}, W_1^s)$$

which completes the proof.

**Proposition 3.10.** Let  $\lambda > 0$ . Then,  $u \in C(\mathbb{R}, W_1^s)$ .

*Proof.* Step 1. We show that  $u \in L^{\infty}_{loc}(\mathbb{R}, W^s_1)$ . It follows from (3.2) and (3.12) that for any T > 0 and  $t \in [-T, T]$ ,

$$\frac{|\lambda|}{2} \int |F_{1\varepsilon}(u_{\varepsilon}(t))| = -\frac{\lambda}{2} \int F_{1\varepsilon}(u_{\varepsilon}(t))$$
$$= -E_{\varepsilon}(u_{\varepsilon}(t)) + \frac{1}{2} \int \left| (-\Delta)^{s/2} u_{\varepsilon}(t) \right|^{2}$$
$$+ \frac{\lambda}{2} \left( \int F_{2\varepsilon}(u_{\varepsilon}(t)) - \int \mu_{\varepsilon}(|u_{\varepsilon}(t)|) \right).$$

Fatou's Lemma and (3.4) imply

$$\frac{|\lambda|}{2} \int |F_1(u(t))| \le \liminf_{\varepsilon \to 0} \frac{|\lambda|}{2} \int |F_{1\varepsilon}(u_\varepsilon(t))| \le -E(\varphi) + C(M_T),$$

for all  $t \in [-T, T]$ . This entails

$$t \mapsto \int |u(t)|^2 \log \left( |u(t)|^2 \right) \in L^{\infty}_{\text{loc}}(\mathbb{R}),$$

hence the claim.

**Step 2.** We show that  $u \in C(\mathbb{R}, W_1^s)$ . We check that the map  $t \mapsto \int F_2(u(t))$  is continuous, and then we need to show that so is  $t \mapsto \int F_1(u(t))$ . As in the proof of Lemma 3.7, we consider continuity at t = 0 only. Resuming the computation for the preceding paragraph, we derive

$$\begin{aligned} \frac{|\lambda|}{2} \int |F_{1\varepsilon} \left( u_{\varepsilon}(t) \right)| &= -E_{\varepsilon} \left( u_{\varepsilon}(t) \right) + \frac{1}{2} \int \left| (-\Delta)^{s/2} u_{\varepsilon}(t) \right|^{2} \\ &+ \frac{\lambda}{2} \left( \int F_{2\varepsilon} \left( u_{\varepsilon}(t) \right) - \int \mu_{\varepsilon} \left( |u_{\varepsilon}(t)| \right) \right) \\ &\leq -E_{\varepsilon}(\varphi) + \frac{1}{2} e^{4|\lambda||t|} \| (-\Delta)^{s/2} \varphi \|_{L^{2}}^{2} \\ &+ \frac{\lambda}{2} \left( \int F_{2\varepsilon} \left( u_{\varepsilon}(t) \right) - \int \mu_{\varepsilon} \left( |u_{\varepsilon}(t)| \right) \right). \end{aligned}$$

In view of Fatou's Lemma and Lemma 3.8, we infer

$$\frac{|\lambda|}{2} \int |F_1(u(t))| \leq -E(\varphi) + \frac{1}{2} e^{4|\lambda||t|} \|(-\Delta)^{s/2}\varphi\|_{L^2}^2 + \frac{\lambda}{2} \int F_2(u(t)) - \frac{\lambda}{2} \int |u(t)|^2.$$
Passing to the limit  $t \to 0$  yields

Passing to the limit 
$$t \to 0$$
 yields

$$\limsup_{t \to 0} \frac{|\lambda|}{2} \int |F_1(u(t))| \leq -E(\varphi) + \frac{1}{2} ||(-\Delta)^{s/2} \varphi||_{L^2}^2 + \frac{\lambda}{2} \int F_2(\varphi) - \frac{\lambda}{2} \int |\varphi|^2$$
$$= -\frac{\lambda}{2} \int F_1(\varphi) = \frac{|\lambda|}{2} \int |F_1(\varphi)|.$$

Thanks to Fatou's Lemma,

$$\int |F_1(\varphi)| \le \liminf_{t \to 0} |F_1(u(t))|,$$

hence the proposition.

Since regardless of the sign of  $\lambda$ ,  $u \in C(\mathbb{R}, W_1^s)$ , arguing like in the proof of [9, Lemma 2.6], we infer

$$i\partial_t u - (-\Delta)^s u = \lambda u \log(|u|^2) \quad \text{in } (W_1^s)^*.$$

3.5. The  $H^1$  case. To conclude the proof of Theorem 1.1, we now assume  $\varphi \in H^1(\mathbb{R}^d)$ . Since 0 < s < 1, we already know that (1.1) has a unique solution  $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$ . We note that the solution  $u_{\varepsilon}$  to (3.1) is bounded in  $H^1(\mathbb{R}^d)$ , uniformly on any time interval [-T, T] and in  $\varepsilon \in (0, 1]$ . Indeed, applying the gradient to (3.1) yields

$$i\partial_t \nabla u_{\varepsilon} - (-\Delta)^s \nabla u_{\varepsilon} = 2\lambda \nabla u_{\varepsilon} \log\left(|u_{\varepsilon}| + \varepsilon\right) + 2\lambda \frac{u_{\varepsilon}}{|u_{\varepsilon}| + \varepsilon} \nabla |u_{\varepsilon}|,$$

and the standard  $L^2$  estimate readily provides

$$\frac{d}{dt} \|\nabla u_{\varepsilon}\|_{L^2}^2 \le 4|\lambda| \|\nabla u_{\varepsilon}\|_{L^2} \|\nabla |u_{\varepsilon}|\|_{L^2} \le 4|\lambda| \|\nabla u_{\varepsilon}\|_{L^2}^2.$$

The conclusion of Theorem 1.1 then follows from the same arguments as above, when we proved that  $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$ .

### 4. The Cauchy problem in the $H^{2s}$ regularity

In this section we show that if  $\varphi \in X^{2s}_{\alpha} = H^{2s} \cap \mathcal{F}(H^{\alpha})$ , then the solution  $u \in C(\mathbb{R}, H^s)$  provided by Theorem 1.1 actually belongs to  $C_w \cap L^{\infty}_{\text{loc}}(\mathbb{R}, X^{2s}_{\alpha})$  (note the obvious relation  $X^{2s}_{\alpha} \subset H^s$ ).

The strategy is inspired by the classical one in the case of the nonlinear Schrödinger equation, when  $H^2$  regularity is addressed, see [27] (see also [10]): we first prove that  $\partial_t u \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ , and eventually use the equation, (1.1), to conclude that  $(-\Delta)^s u \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ . The intermediate step consists in considering the nonlinearity, to show that  $u \log |u|^2 \in L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ : due to the singularity of the logarithm at the origin, this is by no means obvious (in particular, the information  $u \in C(\mathbb{R}, H^s)$ and the Sobolev embedding do not suffice to conclude). The first step is indeed:

**Lemma 4.1.** Let  $\alpha > 0$ ,  $\varphi \in X^{2s}_{\alpha}$ , and, for  $\varepsilon > 0$ ,  $u_{\varepsilon}$  solve (3.1). For all  $t \in \mathbb{R}$  we have

$$\left\|\partial_t u_{\varepsilon}(t)\right\|_{L^2}^2 \le e^{4|\lambda t|} \left\|\partial_t u_{\varepsilon}(0)\right\|_{L^2}^2,$$

and there exists a map C independent of  $\varepsilon \in (0,1)$  such that

$$\|\partial_t u_{\varepsilon}(0)\|_{L^2} \le C\left(\|\varphi\|_{H^{2s}}, \|\langle x \rangle^{\alpha} \varphi\|_{L^2}\right).$$

*Proof.* For the first part of the lemma, we compute

$$\frac{d}{dt} \|\partial_t u_{\varepsilon}\|_{L^2}^2 = 2 \operatorname{Re}\left(\partial_t^2 u_{\varepsilon}, \partial_t u_{\varepsilon}\right) \\ = -2 \operatorname{Im}\left(\partial_t \left\{(-\Delta)^s u_{\varepsilon} + 2\lambda u_{\varepsilon} \log\left(|u_{\varepsilon}| + \varepsilon\right)\right\}, \partial_t u_{\varepsilon}\right) \\ = -4\lambda \operatorname{Im}\left(\frac{u_{\varepsilon}}{|u_{\varepsilon}| + \varepsilon} \partial_t |u_{\varepsilon}|, \partial_t u_{\varepsilon}\right) \le 4|\lambda| \|\partial_t u_{\varepsilon}(t)\|_{L^2}^2.$$

hence the announced inequality by Gronwall Lemma. Now in view of (3.1),

$$\begin{aligned} \|\partial_t u_{\varepsilon}(0)\|_{L^2} &\leq \|(-\Delta)^s u_{\varepsilon}(0)\|_{L^2} + 2|\lambda| \|u_{\varepsilon}(0)\log(|u_{\varepsilon}(0)|+\varepsilon)\|_{L^2} \\ &\leq \|\varphi\|_{H^{2s}} + 2|\lambda| \|\varphi\log(|\varphi|+\varepsilon)\|_{L^2} \,. \end{aligned}$$

For  $\delta > 0$ ,

$$|\varphi \log(|\varphi| + \varepsilon)| \lesssim |\varphi| \left( (|\varphi| + \varepsilon)^{-\delta} + (|\varphi| + \varepsilon)^{\delta} \right) \lesssim |\varphi|^{1-\delta} + |\varphi| (|\varphi|^{\delta} + 1),$$

and, provided that  $\delta > 0$  is sufficiently small (in terms of s and  $\alpha$ ),

$$\left\| |\varphi|^{1-\delta} \right\|_{L^2} \lesssim \left\| \langle x \rangle^{\alpha} \, \varphi \right\|_{L^2}^{1-\delta}, \quad \left\| \varphi(|\varphi|^{\delta}+1) \right\|_{L^2} \lesssim \|\varphi\|_{H^{2s}}^{1+\delta} + |\varphi\|_{L^2}$$

hence the lemma.

Combined with (3.4),

(4.1) 
$$N_T := \sup_{\varepsilon \in (0,1)} \left( \|u_\varepsilon\|_{C_T(H^s)} + \|\partial_t u_\varepsilon\|_{C_T(L^2)} \right) \le C\left(T, \|\varphi\|_{X^{2s}_\alpha}\right).$$

The unique solution  $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$  to (1.1) was constructed in Section 3, obtained as the limit of  $u_{\varepsilon}$  as  $\varepsilon \to 0$ , and we deduce from (4.1) that

 $u \in W^{1,\infty}_{\mathrm{loc}}\left(\mathbb{R}, L^2\left(\mathbb{R}^d\right)\right), \quad \partial_t u_\varepsilon(t) \rightharpoonup \partial_t u(t) \quad \text{ in } L^2\left(\mathbb{R}^d\right).$ 

As announced above, the next step consists in showing that  $u \log |u|^2$  belongs to  $L^{\infty}_{\text{loc}}(\mathbb{R}, L^2)$ . Using the same estimates as in the proof of Lemma 4.1, it suffices to prove the following result:

**Lemma 4.2.** Let 0 < s < 1,  $0 < \alpha < 2s$  with  $\alpha \leq 1$ , and  $\varphi \in X^{2s}_{\alpha}$ . Then the solution  $u \in C(\mathbb{R}, H^s)$  provided by Theorem 1.1 also belongs to  $C_w \cap L^{\infty}_{\text{loc}}(\mathbb{R}, \mathcal{F}(H^{\alpha}))$ .

*Proof.* Let  $\varepsilon > 0$ : multiplying (3.1) by  $\langle x \rangle^{\alpha}$ , we find

$$i\partial_t (\langle x \rangle^{\alpha} u_{\varepsilon}) - \langle x \rangle^{\alpha} (-\Delta)^s u_{\varepsilon} = 2\lambda \langle x \rangle^{\alpha} u_{\varepsilon} \log (|u_{\varepsilon}| + \varepsilon),$$

which can be rewritten as

$$i\partial_t (\langle x \rangle^{\alpha} u_{\varepsilon}) - (-\Delta)^s (\langle x \rangle^{\alpha} u_{\varepsilon}) = 2\lambda \langle x \rangle^{\alpha} u_{\varepsilon} \log (|u_{\varepsilon}| + \varepsilon) - [(-\Delta)^s, \langle x \rangle^{\alpha}] u_{\varepsilon}.$$

Multiplying the above equation by  $\langle x \rangle^{\alpha} \bar{u_{\varepsilon}}$ , integrating over  $\mathbb{R}^d$  and taking the imaginary part, we obtain, since  $(-\Delta)^s$  is self-adjoint,

$$\frac{d}{dt} \left\| \left\langle x \right\rangle^{\alpha} u_{\varepsilon} \right\|_{L^{2}}^{2} \leq 2 \left\| \left\langle x \right\rangle^{\alpha} u_{\varepsilon} \right\|_{L^{2}} \left\| \left[ (-\Delta)^{s}, \left\langle x \right\rangle^{\alpha} \right] u_{\varepsilon} \right\|_{L^{2}}.$$

The last factor is estimated thanks to Lemma 2.3: for T > 0 and  $t \in [-T, T]$ ,

$$\|[(-\Delta)^s, \langle x \rangle^{\alpha}] u_{\varepsilon}(t)\|_{L^2} \lesssim \|u_{\varepsilon}(t)\|_{H^s} \lesssim M_T \lesssim N_T.$$

Gronwall Lemma implies that  $u_{\varepsilon}$  is uniformly bounded in  $L^{\infty}_T \mathcal{F}(H^{\alpha})$ , and the lemma follows by the same arguments as in Section 3.

As explained above, we conclude that  $(-\Delta)^s u \in C_w \cap L^{\infty}_{loc}(\mathbb{R}, L^2)$ , and Theorem 1.2 follows, keeping Lemma 4.2 in mind.

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UNIV RENNES, CNRS, IRMAR - UMR 6625, F-35000 RENNES, FRANCE Email address: Remi.Carles@math.cnrs.fr Email address: dfangyuan20@163.com