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# Evolution of masting in plants is linked to investment in low tissue mortality

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## **15 Summary**

Masting, a variable and synchronized variation in reproductive effort is a prevalent strategy 16 among perennial plants, but the factors leading to interspecific differences in masting remain 17 unclear. Here, we investigate interannual patterns of reproductive investment in 517 species 18 of terrestrial perennial plants, including herbs, graminoids, shrubs, and trees. We place these 19 patterns in the context of the plants' phylogeny, habitat, form and function. Our findings reveal 20 that masting is widespread across the plant phylogeny. Nonetheless, reversion from masting 21 to regular seed production is also common. While interannual variation in seed production is 22 highest in temperate and boreal zones, our analysis controlling for environment and phylogeny 23 indicates that masting is more frequent in species that invest in tissue longevity. Our modeling 24 exposes masting-trait relationships that would otherwise remain hidden and provides large-scale 25 evidence that the costs of delayed reproduction play a significant role in the evolution of variable 26 reproduction in plants. 27

## **Introduction**

In perennial plants, reproduction can occur through spatially synchronized seed production, 29 which varies substantially over time. In some years, investment in seed production is much 30 higher than average, while in other years plants allocate few or no resources to reproduction, 31 resulting in what is known as masting [1, 2]. The concentration of reproduction in intermittent 32 years appears heritable [3], and helps alleviate pollen limitation and reduce seed predation but 33 comes at the cost of skipped reproductive opportunities [4, 5, 6, 7]. The varying balance of 34 masting costs and benefits is likely responsible for the rich diversity of reproductive behaviors 35 observed in perennials, ranging from relatively regular fruiting to rare reproduction happening at 36 long lags [1, 8, 9, 10, 11]. Large-scale variation in masting benefits is better explored compared 37 to costs [1, 9, 12, 11]. For example, interannual variation in seed production is high in the 38 temperate zone, where the benefits of starving and satiating specialist seed predators are the 39 greatest [1, 13]. In contrast, the costs of missed reproductive opportunities have long been only 40 theorized to be higher in species with high population growth rates and low adult survivorship 41 [14, 5], but this has remained challenging to test. Here, using trait-based approaches, we provide 42 support for this central tenet of masting theory, showing that masting predominately occurs in 43 species with conservative plant tissues. 44 Accessible trait-based approaches can serve as indicators of life history strategies, aiding in 45 the identification of functional constraints and trade-offs [15, 16, 17, 18], and providing an avenue 46

to investigate how varying costs of reproduction (skipped reproduction) shapes the evolution of
 masting. High stem tissue density (i.e. wood density) provides mechanical strength and reduces

masting. High stem tissue density (i.e. wood density) provides mechanical strength and reduces
 mortality, but limits growth rates, which distinguishes strategies reliant on stress persistence

<sup>50</sup> from rapid utilization of ephemeral opportunities[17]. We can thus expect stronger masting in

<sup>51</sup> species with high stem tissue density, as lower mortality rates due to stronger stress resistance



Figure 1: MASTREE+ sites used in the analysis, and climatic space for the species analyzed. a) Location of MASTREE+ sites (red dots) included in this study (data displayed in Van der Grinten IV projection). b) Climatic distribution of our sites. Each dot represents average climatic conditions (mean annual temperature, MAT, and mean annual precipitation, MAP) at the species distribution level (n = 517 species). Data on species distribution was largely derived from the Global Biodiversity Information Facility (GBIF, www.gbif.org) (see Methods). The Whittaker biome plot is included in the background for context.

should buffer against missed reproductive opportunities [14, 19, 20]. Similarly, productive but 52 short-lived leaves with high nitrogen content and low leaf mass per area (LMA) are characteristic 53 of cheap, acquisitive leaves that are efficient in resource-rich environments and associated with 54 high population growth rates [20]. Such leaves should be thus associated with low interannual 55 variation in reproduction [1, 21]. In addition, high interannual variation should be also associated 56 with large seeds if expensive reproduction strongly depletes resources after reproductive events 57 [22, 5]. Although these links are theoretically established in the literature, supporting evidence is 58 scarce, as data on seed production accumulate slowly and require significant investment [23, 24]. 59 The relationships between traits at large scales are complicated by their often-neglected direct 60 (conditional) and indirect (marginal) relationships [25, 26], through the intricate connection of 61 climate, geography, or phylogeny. In the case of masting, stem tissue density tends to be high in 62 the tropics where interannual variation in seed production is low [17, 9]. Therefore, a negative 63 correlation between interannual variation in seed production and stem tissue density could 64 be an indirect relationship resulting from latitudinal covariance in these traits. Alternatively, 65 the relationship could be direct if the low interannual variation in seed production requires 66

species to produce conservative stems. Indirect relationships may also arise from phylogenetic 67 conservatism. Certain taxa may exhibit large interannual variations in seed production and 68 high stem tissue density even if environmental conditions that select one or both traits change. 69 Traditional summaries such as principal component analysis (PCA) summarize correlations that 70 include all the indirect ways traits could be associated [26, 27]. To address this issue, novel 71 methods such as joint attribute modeling enable the decomposition of relationships into direct 72 and indirect, driven by either climate or phylogeny [28, 26]. These statistical tools synergize with 73 the recent advancement of global coordination in monitoring and seed production data synthesis, 74 allowing tests of decades-old assumptions of the field while accounting for longstanding issues 75 with covariance between variables. 76 In this study, we explore the relationship between masting, phylogeny, climate, and functional 77

diversity across 517 species of vascular plants, including herbs, graminoids, shrubs, and trees 78 from various biomes (Fig. 1). We use MASTREE+, a database that provides information 79 on annual variations in plant reproductive effort [24]. We characterize the variability of seed 80 production in each species using two commonly used masting metrics, the coefficient of variation 81 (CV), and the lag-1 temporal autocorrelation (AR1), which describes the tendency of high 82 seed production years to be followed by low seed production [1, 29]. Using joint attribute 83 modeling, we extract conditional relationships driven by climate and phylogeny and associate 84 large interannual variation in seed production with a need for conservative tissues. This provides 85 large-scale evidence that the costs of delayed reproduction play a significant role in the evolution 86 of variable reproduction. 87

## **Results**

#### <sup>89</sup> Masting on the spectrum of plant form

We start with results derived from the traditional principal component analysis (PCA) approach to 90 illustrate the challenges associated with mixing conditional and marginal relationships. Principal 91 component analysis of functional traits and masting metrics indicates that masting is largely 92 independent of functional traits. The PCA of six functional traits and masting metrics indicated 93 that the 517 species examined here had two primary sources of variation: an axis of leaf 94 economics (Axis 1: leaf mass per area, leaf nitrogen, leaf area) and plant size (Axis 2: seed 95 mass, plant height, and stem tissue density), with no contributions from masting metrics (i.e. 96 coefficient of variation, CV, and the lag-1 of temporal auto-correlation, AR1 of seed production). 97 Instead, masting generated a distinct axis of variation (Axis 3), with species exhibiting high CV 98 and negative temporal autocorrelation of seed production concentrated at one end of the axis (Fig. 99 2 & Fig. S1). However, the correlation summary mixed conditional and marginal relationships 100 conferred by phylogeny and climate, which each had strong effects on masting, as explained 101 below. 102



Figure 2: Masting metrics (coefficient of variation, CV, and lag-1 temporal autocorrelation of seed production, AR1) on the spectrum of plant functional traits. A) Biplot of principal components that summarized axes 1 and 2, and B) and axes 1 and 3. The PCA included plant functional traits (stem tissue density, leaf area, leaf nitrogen, leaf mass per area LMA, plant height, and seed mass) and masting metrics (CV and AR1). Arrow length indicates the loading of each considered trait onto the axes. Points represent the position of species color-coded according to their growth form (yellow for trees, purple for shrubs, and grey for others that included graminoid and non-graminoid herbaceous and climbers). C) Summary of PCA loadings, and D) contributions to the three axes of variation. The bars at C) and D) are color-coded to match the colors of axes (at A and B) to which the traits loaded the most. The trait probability density function is given in Fig. S1, and CV/AR1 by growth form with PCA S2



Figure 3: Coefficient of variation of seed production mapped onto a plant phylogeny. Warmer colors (reds) indicate higher, while blue lower CV (the phylogenetic signal is calculated using Pagel's  $\lambda = 0.48$ , p < 0.0001, n = 518 species). Distributions of the masting metrics are in Fig.S4. Orders of plants are provided at the periphery of the phylogenetic tree.

#### **Masting on the Tree of Life of plants**

The coefficient of variation (CV) and the lag-1 temporal auto-correlation (AR1) exhibited 104 phylogenetic coherence, with CV coherence being about twice as strong (CV:  $\lambda = 0.48$ , p < 0.48105 0.0001; AR1:  $\lambda = 0.27$ , p < 0.0001, as shown in Fig. 3 and Fig. S3). Several groups were 106 found to have a high concentration of species with a very high coefficient of variation in seed 107 production (Fig. 3). These groups included Poales' Chionochloa and Miscanthus. The Pinales 108 order also included high-CV genera such as *Abies*, *Juniperus*, and *Picea*, as well as mixed ones 109 such as *Pinus*. Fagales were also mixed, including high-variability genera such as *Betulaceae* 110 and mixed ones such as Fagaceae, which had high-CV Fagus and diverse Quercus. Low CV 111 was common in Magnoliales, Gentianales, and some genera of Cornales and Malvales, such as 112 *Cistaceae* and *Cornaceae*. Highly negative temporal autocorrelation of seed production was a 113 characteristic trait of Fagales (Fig. S3). Other groups, such as Rosales or Pinales, were mixed, 114 while Malpighiales, Gentianales, and Magnoliales were dominated by positive autocorrelation. 115

#### **Masting across climates**

Although interannual variation (CV) and lag-1 temporal auto-correlation (AR1) of seed pro-117 duction were not correlated (Fig. S5), they responded to the climate in opposite ways that 118 resulted in a convergence of high CV and negative AR1 in the same climates (Fig. 4). Positive 119 temporal autocorrelation was observed in species that grow in hot and dry environments, such as 120 subtropical deserts or tropical seasonal forests (Fig. S6), where low CV was also common (Fig. 121 S6). Conversely, negative AR1 and high CV were predicted in temperate and boreal forests, 122 which are characterized by intermediate annual temperatures and precipitation (Fig. 4). We 123 also explored models that were supplemented with climate variability (standard deviation of the 124 monthly mean temperatures and coefficient of variation of the monthly precipitation), but the 125 inclusion of climate variability has not improved our model's fit (Table S2). 126

#### <sup>127</sup> Masting and traits, accounted for climate and phylogeny

The conditional prediction from generalized joint attribute modeling (GJAM), which accounted 128 for the effects of phylogeny and species climatic niche on masting, revealed that species with 129 dense stems and conservative leaves characterized by high mass per area tend to have higher 130 coefficients of variation in seed production (Fig. 5). There was also a weak (non-significant in 131 the full model) association between high CV and small seeds (Fig. 5, Table S1). These effects 132 suggest that correlations (or the lack thereof) observed by PCA between traits and masting 133 metrics were mainly driven by climate or shared ancestry. For instance, stem tissue density is 134 highest in climates where masting is lowest (Fig. S7), but this negative covariance changes sign 135 once the climate is taken into account. 136



Figure 4: Summary of climate effects on masting, derived from the GJAM model that included coefficient of variation (CV) and temporal autocorrelation (AR1) as responses (n= 517 species). a) Boxplots show the marginal posterior distributions of the GJAM-derived coefficients. Specifically, boxes show mean effect size as vertical lines and are bounded by 80% credible intervals (CI), with 95% CI as whiskers. Colors highlight signs of the correlation (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside of zero. Grey indicates coefficients that overlap zero. b) Effects of mean annual temperature (MAP, in  $^{\circ}C$ ) and mean annual precipitation (MAT, in cm) on CV and AR1. The surface shows the conditional relationship between CV/AR1 and MAT across levels of MAP. Convex hull is defined by species observations (red dots). MAT and MAP are defined for each species' distribution derived from the Global Biodiversity Information Facility (GBIF, www.gbif.org). Biplots of relationships between CV/AR1 and MAP are in Fig. S6. Climate effects on functional traits are in Fig. S7.



Figure 5: GJAM-derived, conditional relationship between masting metrics (CV and AR1) and functional traits (stem tissue density, seed mass, LMA, leaf N, leaf area, and plant height) after accounting for effects of climate and phylogeny (n= 517 species). Boxplots show the marginal posterior distributions of the GJAM-derived coefficients. Specifically, boxes show mean effect size as vertical lines and are bounded by 80% credible intervals (CI), with 95% CI as whiskers. Colors highlight signs of the correlation (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside of zero. Grey indicates coefficients that overlap zero.

## 137 **Discussion**

Interannual variation in seed production across 517 species is associated with restricted climatic 138 and phylogenetic space and conservative tissues that include higher stem tissue (wood) density 139 and higher LMA. First, the coefficient of variation of seed production was highest in temperate 140 and boreal climates, which supports previous studies that have shown the CV to be highest at 141 mid-latitudes [1, 9]. Second, masting has evolved multiple times across the Tree of Life in 142 plants, in growth forms ranging from grasses to trees. Nonetheless, numerous branches have 143 split into high and low-variability groups, perhaps because species quickly lose their inherited 144 seed production variability once there is no ongoing selection for it (e.g., low seed predation 145 or high pollination can be achieved via other routes). Third, high interannual variation in seed 146 production is concentrated in life history strategies that invest in low mortality. High survival 147 rates decrease the costs of missed reproductive opportunities, which is a major masting cost that 148 can prohibit masting evolution even when there is a strong selection for it [19, 7]. Thus, costs 149 of delayed reproduction appear a major factor driving the evolution of masting across species. 150

Masting is a widespread phenomenon in the Tree of Life of plants. Although the coefficient of variation (CV) of seed production exhibited relatively strong phylogenetic coherence, branches lacking closely related species that have reverted from masting to regular seed production were rare. For instance, the *Betulaceae* family, comprising *Betula*, *Carpinus*, and *Alnus*, displayed generally high variability, with exceptions including *Alnus hirsuta* and *Betula pendula*. The

closely related *Chionochloa* species all showed highly interannually variable seeding patterns, 156 with related *Dactylis glomerata* being a low-variability exception. Perhaps the high costs of high 157 seed production variability mean that if the need for masting (e.g., high seed predation rates or 158 low pollination efficiency) can be circumvented through less costly alternatives, regular seeding 159 re-evolves. In this context, oaks represent a notable example of diversity and rapid transitions 160 between low and highly variable strategies, contrasting with Pinales, where masting was lost 161 less frequently. A comparison of these two groups to understand why masting is almost always 162 beneficial in Pinales, such as *Picea* or *Abies*, but can quickly cease to be so in *Quercus*, is a 163 promising area for future research. Are the costs of masting systematically smaller in Pinales, or 164 is the need for masting (e.g., low pollination efficiency) systematically greater? One interesting 165 way forward is to examine this question in light of the high resprouting abilities of oaks but not 166 pines [30]. 167

A high coefficient of variation in seed production does not necessarily imply a need for 168 negative lag-1 temporal autocorrelation, indicating that the two can evolve independently [9, 10]. 169 High CV values without strongly negative AR1 may happen if mast years are not followed by 170 complete failure years [9, 10]. However, climate effects on these metrics lead to the convergence 171 of high CV and highly negative AR1 in the same boreal and temperate habitats. Predator 172 satiation is most effective at mid-latitudes [13], which is often explained by a lower diversity of 173 alternate food resources for seed consumers that helps control their populations [1, 9]. Thus, 174 the high potential effectiveness of predator satiation may lead to stronger selection for both high 175 CV and negative AR1 in such biomes. Alternatively, species in the boreal and temperate zones 176 may rely less on mutualistic interactions [31], which tend to select against masting [32, 1, 11]. 177 For example, wind pollination is less frequent at low latitudes [33], and the absence of negative 178 AR1 may avoid the starvation of animal pollinators in these systems. Finally, to the extent that 179 negative AR1 reflects resource depletion following high-seeding years [34, 35], convergence 180 between high CV and negative AR1 could be driven by stronger resource constraints in certain 181 climates [21]. Irrespective of the reason, in climates where high CV and negative AR1 co-182 occur, masting-driven pulsed resources would be expected to involve frequent famines [36, 37], 183 creating an especially unstable base of food webs in these biomes. 184

Masting is associated with a restricted functional trait space. High interannual variation in 185 seed production is common in species with high stem tissue density and, to a lesser extent, in 186 species with high leaf mass per area (LMA). These species invest heavily in constructing tissues, 187 resulting in slower returns on nutrient investment but higher survival through higher defenses 188 against physical damage and herbivores [38, 39, 17]. Theoretical models suggest that the 189 significant costs of missed reproductive opportunities can prevent the evolution of masting, even 190 in the presence of significant benefits such as improved pollination and reduced seed predation 191 [14, 19, 7]. In this context, our results support this long-standing theory, testing of which has 192 previously been frustrated by lack of data. What is more, recent studies suggested that the other 193 theoretical masting cost, negative density-dependent seedling survival [5, 40], may be lower 194 than expected. Theory predicts that negative density-dependent seedling survival can prohibit 195 the evolution of masting in plants that have high adult survival [40]. However, recent evidence 196

<sup>197</sup> implies that masting does not result in lowered seedling survival in *Sorbus aucuparia* [12], and <sup>198</sup> may even increase seeding survival in tropical communities [41]. Generally, negative density <sup>199</sup> dependence appears fairly weak on average and highly variable among species, suggesting that <sup>200</sup> its generality may be overstated [42]. Together with our results, these suggest that the costs of <sup>201</sup> delayed reproduction may be a major mechanism driving the evolution of masting across plant <sup>202</sup> life history strategies.

We also found no support for theories linking high CV with large seed [22, 5]. We speculate that the tendency for high CV in small-seeded species, in contrast to theoretical predictions, may result from contrasting selection pressures. For example, small seeds are correlated with seed bank persistence in the soil [43], which is another way to circumvent the costs of missed reproductive opportunities [19]. Consequently, if there are ways that small-seeded species can reduce the costs of missed reproduction, masting might evolve more readily, offsetting the expected direct effect of large seeds on masting.

In summary, our analysis supports the idea that the extent of year-to-year variation in masting 210 is regulated by a species' phylogeny, location (climate), and life history (plant form). The 211 effects of climate and phylogeny on mast seeding and functional traits necessitated conditional 212 predictions that extracted direct associations [28, 27]. A PCA analysis that combined all the 213 ways in which variables can be linked suggested that masting created a third, mostly independent 214 dimension of variation in plant traits. This outcome would support a twin-filter model, according 215 to which primary strategies, such as the fast-slow leaf economics spectrum [44], determine plant 216 persistence for climate and habitat norms, whereas traits involved in episodic events, including 217 reproduction, affect fitness regardless of other traits [45]. In other words, masting would evolve 218 whenever there is a need for it, regardless of the plant form. However, by extracting direct 219 effects, we showed that links among traits and variation in seed production were concealed by 220 their covariance with climate and phylogeny. That modeling reversed the analytical outcomes, 221 showing that the costs of delayed reproduction may prevent masting in fast-growing, low-survival 222 plant forms. The required next step is to directly link masting with life history traits (population 223 growth rate, size at sexual maturity, mortality rates) which, with growing data availability [46], 224 may soon become feasible. 225

## 226 Methods

#### **Data description**

Our analysis is based on MASTREE+, a database of annual records of population-level reproductive effort of 974 from all vegetated continents [24]. For our analysis, we excluded time series that were on an ordinal scale and those based on pollen measurements. We analyzed two subsets of the data. One, broader, was limited to time series with at least 5 years of observations. That analysis is reported in the main text. Second, a more restrictive analysis included time series with at least 10 years of records. Results of that analysis are reported in the Supplementary Section and provide quantitatively the same outcomes.

#### 235 Masting metrics

We computed the coefficient of variation (CV, standard deviation divided by mean of seed production) for each site-species combination. The CV is commonly used in masting studies to describe inter-annual variations of seed production [1, 29, 47]. We also computed lag-1 temporal auto-correlation of seed production (AR1), which characterized the tendency of high-seeding years to be followed by low-seeding years. For each species, we computed the average CV and AR1. To compute auto-regressive correlation we used the acf function in R [48].

#### 242 Functional traits

We extracted species-level functional traits from [49], which include Leaf Mass Area (LMA, in g.m<sup>-2</sup>), stem tissue density (SSD, in mg.mm<sup>-3</sup>), plant height (ph, in m), leaf nitrogen (ln, in mg.g<sup>-1</sup>), seed size (sm, in mg), and leaf area (la, in mm<sup>-2</sup>), and plant growth form (Fig .S8). We also obtained plant growth form, which includes trees, shrubs, and other categories, with graminoid and non-graminoid herbaceous, and climbers (see distribution in Fig. S2).

Full trait information obtained from [49] was available for 210 species from MASTREE+ 248 database. To increase species coverage, we performed a trait-imputation procedure. We used 249 machine learning that accounted for species phylogeny [50, 51]. We filled only species that had 250 information for at least three functional traits (out of six used in the analysis) [18, 50]. First, we 251 log10 transformed known functional traits and incorporated phylogenetic information for each 252 species [52]. The phylogenetic information was summarized by eigenvectors extracted from 253 a principal coordinate analysis (PCoA), which represented the variation in the phylogenetic 254 distances among species. We used the first ten axes of PCoA for the imputation process 255 [52, 50]. The phylogeny was obtained using the R package V.Phylomaker2 [53, 54], with 256 the GBOTB.extented.TPL tree as a backbone [55, 56], and scenario S3 to generate the 257 phylogeny [57, 54]. Imputation of missing trait information with machine learning has been 258 done through the R package missForest [58]. That imputation allowed us to increase the 259 sample size (i.e. species for which we had full traits and seed production data) to 517 species. 260 The GJAM model without trait data imputation generated qualitatively similar results for CV 261

(Table S1). In the case of AR1, lack of trait imputation resulted in a positive association between leaf N and AR1, and a negative between height and AR1 being significant. That hints that acquisitive leaves may buffer against strong post-mast seeding failure [21], although it is unclear why smaller plants have more negative AR1. For consistency, we discuss only the results with the data imputation in the main text.

#### **267** Abiotic variables

We determined the species' climatic niche by using species occurrences extracted from Global 268 Biodiversity Information Facility (GBIF, , www.gbif.org) through the rgbif package [59] 269 (data request: 10.15468/dl.jxyrhk, [60]). We removed species occurrences from GBIF 270 that are incorrectly or vaguely reported and outliers by using the R package CoordinateCleaner 271 [61] to keep precise species locations (mean number of occurrences for our species = 7,609, 272 CI975 = [1; 105,093]). Next, for each occurrence, we extracted a mean annual temperature 273 (MAT, in  $^{\circ}C$ ) and mean annual cumulative precipitation (MAP, in cm) by using CHELSA 274 data [62], and averaged those values from all occurrences per species to one value per species 275 range (MAT and MAP). For each species, we used average species climatic conditions from 276 MASTREE+ if the number of sample sites from MASTREE+ was higher than the number of 277 species occurrences from GBIF (n = 55 species). We used GBIF-based climate to accommodate 278 functional traits and masting metrics at species-wide averages. Nonetheless, MAT and MAP 279 obtained through MASTREE+ sites and GBIF present strong correlations (Fig. S9), and using 280 both provides qualitatively the same results. 281

#### 282 Analysis

#### 283 Phylogenetic analysis

We estimated the phylogenetic signal of the coefficient of variation (CV) and temporal autocorrelation (AR1) of seed production with Pagel's  $\lambda$  [63]. Pagel's  $\lambda$  is based on the Brownian Motion evolutionary model and ranges from 0, when there is no phylogenetic signal, to 1 where the phylogenetic signal is estimated to be very strong. The Pagel's  $\lambda$  was estimated by using the phyolosig function from phytools R package [64] and visualized with ggtree [65]. We used a plant phylogenetic tree provided by [55].

#### 290 Multivariate analysis

We used the principal component analysis (PCA) to describe the multivariate trait spectrum, which included the six functional traits and two masting metrics (CV and AR1). We kept functional traits log10 transformed. We standardized and centered variables. We used ade4 [66] R package. Moreover, we estimated the occurrence probability of trait combination in twodimensional space (determined by the PCA axis 1 and 2, or by axis 2 and 3) with their bivariate trait combinations. We used the two-dimensional kernel density estimation and determined the
highest probability trait occurrence [18, 51].

#### 298 Joint model analysis

We jointly modeled functional traits and masting metrics using the generalized joint attribute 299 modeling (GJAM, [28]). Average climatic conditions per species range (occurrences obtained 300 via GBIF, see above) were included as predictors, i.e. mean annual temperature (MAT) and 301 mean annual precipitation (MAP). We tested a set of models with different combinations of the 302 interaction between MAP and MAT, and their quadratic terms. Model selection was based on the 303 Deviance information criterion (DIC). The GJAM allowed us to accommodate the dependence 304 between traits and phylogeny as random groups. To this end, we followed past studies that used 305 a similar approach [67, 27], and grouped species according to genus or family (when the genus 306 had less than 10 species). We used the 'multiple' category for families with less than 5 species. 307 We accommodated the mutual dependence structure of traits and isolated their effect on 308 masting metrics through conditional prediction [68, 27]. Conditional prediction offers an 309 estimation of the relationships between traits and masting metrics while accounting for the 310 effects that come through climate and phylogeny. These conditional parameters are obtained 311 via g jam R package [28], by specifying traits being conditioned (here, functional traits) on the 312 variable of interest (here, CV and AR1 of seed production). In doing this, we first estimate how 313 responses (functional traits and masting metrics) correlate with climate. Next, the relationships 314 among responses are estimated, after accounting for the predictors (climate and phylogeny). The 315 gjam is an open-access R package gjam available on CRAN. 316

#### 317 Data availability statement

The data used in this study have been deposited in the Open Science Framework (OSF) (https://osf.io/57w2q/). The full MASTREE+ dataset is available in [24]. Traits have been downloaded from [49]. Climate data have been extracted from CHELSA at https: //chelsa-climate.org/.

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#### 323 Code availability statement

R statistical software v4.3.0 was used in this work [48]. All analyses used published R packages.

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#### 498 Author contributions Statement

V.J. and M.B designed the study. V.J. led the analysis with inputs from M.B. and A. H-P. M.B.
 and V.J. co-wrote the first draft of the paper. Revisions were done by all Authors.

#### 501

#### 502 **Competing Interests Statement**

<sup>503</sup> The authors declare no competing interests

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## **Figure Legends/Captions**

**Figure 1:** MASTREE+ sites used in the analysis, and climatic space for the species analyzed. a) Location of MASTREE+ sites (red dots) included in this study (data displayed in Van der Grinten IV projection). b) Climatic distribution of our sites. Each dot represents average climatic conditions (mean annual temperature, MAT, and mean annual precipitation, MAP) at the species distribution level (n = 517 species). Data on species distribution was largely derived from the Global Biodiversity Information Facility (GBIF, www.gbif.org) (see Methods). The Whittaker biome plot is included in the background for context.

**Figure 2:** Masting metrics (coefficient of variation, CV, and lag-1 temporal autocorrelation of seed production, 512 AR1) on the spectrum of plant functional traits. A) Biplot of principal components that summarized axes 1 and 2, 513 and B) and axes 1 and 3. The PCA included plant functional traits (stem tissue density, leaf area, leaf nitrogen, leaf 514 mass per area LMA, plant height, and seed mass) and masting metrics (CV and AR1). Arrow length indicates the 515 loading of each considered trait onto the axes. Points represent the position of species color-coded according to their 516 growth form (yellow for trees, purple for shrubs, and grey for others that included graminoid and non-graminoid 517 518 herbaceous and climbers). C) Summary of PCA loadings, and D) contributions to the three axes of variation. The bars at C) and D) are color-coded to match the colors of axes (at A and B) to which the traits loaded the most. The 519 trait probability density function is given in Fig. S1, and CV/AR1 by growth form with PCA S2 520

**Figure 3:** Coefficient of variation of seed production mapped onto a plant phylogeny. Warmer colors (reds) indicate higher, while blue lower CV (the phylogenetic signal is calculated using Pagel's  $\lambda = 0.48$ , p < 0.0001, n = 518 species). Distributions of the masting metrics are in Fig.S4. Orders of plants are provided at the periphery of the phylogenetic tree.

Figure 4: Summary of climate effects on masting, derived from the GJAM model that included coefficient of 525 variation (CV) and temporal autocorrelation (AR1) as responses (n= 517 species). a) Boxplots show the marginal 526 posterior distributions of the GJAM-derived coefficients. Specifically, boxes show mean effect size as vertical lines 527 and are bounded by 80% credible intervals (CI), with 95% CI as whiskers. Colors highlight signs of the correlation 528 (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside 529 of zero. Grey indicates coefficients that overlap zero. b) Effects of mean annual temperature (MAP, in  $^{\circ}C$ ) and 530 mean annual precipitation (MAT, in cm) on CV and AR1. The surface shows the conditional relationship between 531 CV/AR1 and MAT across levels of MAP. Convex hull is defined by species observations (red dots). MAT and 532 533 MAP are defined for each species' distribution derived from the Global Biodiversity Information Facility (GBIF, www.gbif.org). Biplots of relationships between CV/AR1 and MAT and MAP are in Fig. S6. Climate effects 534 on functional traits are in Fig. S7. 535

**Figure 5:** GJAM-derived, conditional relationship between masting metrics (CV and AR1) and functional traits (stem tissue density, seed mass, LMA, leaf N, leaf area, and plant height) after accounting for effects of climate and phylogeny (n= 517 species). Boxplots show the marginal posterior distributions of the GJAM-derived coefficients. Specifically, boxes show mean effect size as vertical lines and are bounded by 80% credible intervals (CI), with 95% CI as whiskers. Colors highlight signs of the correlation (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside of zero. Grey indicates coefficients that overlap zero.

## Supplementary material

## **Supplementary Notes**

#### Analysis with time-series restricted to 10 years

In that Supplement, we report the results as in the main text, but with a more restrictive data filtering, i.e. we limited the time series (site by species combinations) to have at least 10 years of observations.

**Phylogenetic signal** The strength of the phylogenetic signal slightly increased once the data was restricted to fewer species. In the case of CV,  $\lambda$  equaled 0.57 (p < 0.00001, n = 364 species), while in the case of AR1,  $\lambda$  equaled 0.41 (p < 0.00001, n = 364 species, Fig. S10).

**Principal Component Analysis (PCA)** Patterns summarized by the PCA analysis on the restricted dataset (n = 368) resembled those run on a larger set of species (n = 517). Masting metrics created a 3rd, largely independent from the first two, axis of variation (Fig. S11).

**Generalized Joint Attribute Modeling (GJAM)** The coefficient of variation and lag-1 temporal auto-correlation responded in the opposite way to climate (Fig. S12). Conditional parameters estimated with GJAM support the conclusion that high CV is concentrated in species that are characterized by conservative tissue construction, i.e. high stem (tissue) density (Fig. S13). That support comes from the relationship of CV with stem tissue density, but not with leaf mass per area (LMA).

## **Supplementary Figures**



Figure S1: Masting metrics on the spectrum of plant form and function. Trait probability density function for principal components: a) axis 1 and axis 2; b) axis 1 and axis 3. Colors indicate the probabilistic distribution of trait combinations in the functional trait space defined by a PCA. Contour lines indicate 0.99, 0. 50, and 0.25 quantiles of the probability distribution, and dots represent species. We estimated the occurrence probability of a given combination of trait values determined by the principal components axis and bivariate trait combination using two-dimensional kernel density estimation.



Figure S2: Masting metrics on the spectrum of plant form and function, by growth form. Trait probability density function for principal components between axis 3 and axis 1 according to plant growth form for a) other groups, b) shrub, and c) trees. For each growth form group, the colors indicate the probabilistic distribution of trait combinations in the functional trait space defined by a PCA (ranging from low probability in pale white to high probability in red). Contour lines indicate 0.99, 0. 50, and 0.25 quantiles of the probability distribution. We estimated the occurrence probability of a given combination of trait values determined by the principal components axis and bivariate trait combination using two-dimensional kernel density estimation. Analysis and plots have been made with the R package funspace [51]. d) Coefficient of variation (CV) and lag-1 temporal auto-correlation (AR1) across growth forms (n = 517 species). The growth form follows a compilation from [49], with samples: trees, n = 367 species; shrubs, n = 86 species; other n = 64 species. Other include graminoid and non-graminoid herbaceous and climbers. Groups were compared with a one-sample t-test (\*\* *P* < for 0.01, \* *P* < for 0.05, and n.s. for *P* > 0.05)



Figure S3: Lag-1 temporal autocorrelation of seed production mapped onto a plant phylogeny. Warmer colors (reds) indicate higher, while blue lower AR1 ( $\lambda = 0.27$ , p < 0.0001, n = 518 species). Distribution of the masting metrics is given in Fig. S4.



Figure S4: Distribution of masting metrics. Histogram of a) coefficient of variation (CV), and b) lag-1 temporal auto-correlation (AR1) for the 517 species analyzed. Black dotted lines show median and quantiles at 2.5% and 97.5%.



Figure S5: Relationship between the coefficient of variation (CV) and the lag-1 temporal auto-correlation (AR1). The Hexagon color is scaled to the number of observations within each hexagon, n = 517.



Figure S6: Summary of climate effect on masting metrics, derived from the GJAM model. Relationship between the coefficient of variation (CV) and lag-1 temporal auto-correlation (AR1) and species climatic niche (MAP, in cm and MAT, in °C). The predictions and associated standard error are derived from the GJAM model. Each dot represents one species (n = 517).



Figure S7: Summary of climate effect on functional traits, derived from the GJAM model. Effects of mean annual temperature (MAP, in  $^{\circ}C$ ) and mean annual precipitation (MAT, in cm) on functional traits (a- leaf area, b- LMA, c- leaf N, d- plant height, e- stem tissue density and f- seed mass). The surface shows the conditional relationship between functional traits and MAT across levels of MAP. Convex hull is defined by species observations (red dots). MAT and MAP are defined for each species' distribution derived from Global Biodiversity Information Facility (GBIF, www.gbif.org). Traits are log10 transformed.



Figure S8: Bivariate relationships between plant functional traits, their distributions (histograms), and correlations. The prediction lines at bivariate scatter plots are the loess regressions (estimate with 95% CI). The dots represented each species (n=517), with 2D kernel density estimation. The significance of the Pearson correlations: \*\*\* for p-values < 0.001, \*\* for 0.001, and \* for 0.01. Traits were log10 transformed.



Figure S9: Correlation of climatic variables obtained from average conditions of MASTREE+ sites and from GBIF observations. a) Relation between MAT (in degree C) from MASTREE+ observations and GBIF observations extracted from CHELSA. b) Relation between MAP (in cm) from MASTREE+ observations and GBIF observations extracted from CHELSA. Each dot represents one species (n = 517). The regression line is reported in black (estimate with 95 % CI), with the equation at the bottom right and correlation and the 1:1 line in red dashed.



Figure S10: Phylogeny of masting metrics on a restricted dataset. (a) Coefficient of variation of seed production mapped onto a plant phylogeny restricted to time series of 10 years and longer. Warmer colors (reds) indicate higher, while blue lower CV ( $\lambda = 0.56$ , p < 0.0001, n = 364 species). (b) Lag-1 temporal autocorrelation of seed production mapped onto a plant phylogeny, restricted to time series of 10 years and longer. Warmer colors (reds) indicate higher, while blue lower temporal autocorrelation ( $\lambda = 0.40$ , p < 0.0001, n = 364 species).



Figure S11: Masting metrics on the spectrum of plant form and function, for time series of 10 years and longer (n=368). A) Biplot of principal components that summarized axes 1 and 2, and B) axes 2 and 3. The PCA included plant functional traits (stem tissue density, leaf area, leaf nitrogen, leaf mass per area LMA, plant height, and seed mass) and masting metrics (CV and AR1). Arrow length indicates the loading of each considered trait onto the axes. Points represent the position of species color-coded according to their growth form (green for trees, blue for shrubs, and black for others that included graminoid and non-graminoid herbaceous and climbers). C) Summary of PCA loadings and D) contributions to the three axes of variation.  $\frac{34}{24}$ 



Figure S12: Summary of climate effects on masting metrics, derived from the GJAM model that included coefficient of variation (CV) and temporal autocorrelation (AR1) as responses for time series of 10 years and longer (n=368 species). a) Boxplot of standardized coefficients from the GJAM model with 95%CI, bounded by 80% interval. Colors highlight signs of the correlation (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside of zero. Grey is for coefficients that overlap zero. b) Effects of mean annual temperature (MAP, in °C) and mean annual precipitation (MAT, in cm) on CV and AR1. The surface shows the conditional relationship between CV/AR1 and MAT across levels of MAP. Convex hull is defined by species observations (red dots). MAT and MAP are defined for each species' distribution derived from the Global Biodiversity Information Facility (GBIF, www.gbif.org). Biplots of relationships between CV/AR1 and MAT and MAP are in Fig. S6.



Figure S13: Conditional relationship between masting metrics and functional traits, restricted to time series of 10 years and longer (n=368 species). Boxplots are based on the mean estimate, CI at 80% and 95% to determine the ranges of the boxplot. Colors highlight signs of the correlation (green for positive and purple for negative), with opacity increasing from 80% to 95% of the distribution outside of zero. Grey is for non-significant variables (i.e. coefficients overlap 0).

### **Supplementary Tables**

Table S1: Summary of the conditional relationship between masting metrics and functional traits without trait imputation. GJAM-derived conditional relationship between masting metrics (CV and AR1) and functional traits (stem tissue density, seed size, LMA, leaf N, leaf area, and plant height) after accounting for the effect of climate and phylogeny. Coefficients are reported with 95%CI, with significance (95% CI overlapping 0) of functional trait coefficients in bold. GJAM was used here on the dataset without functional trait imputation (total count of species with missing traits for LMA = 90 species; seed size = 84 species; leaf N = 96 species; leaf area = 111 species; stem density = 84 species; height = 51 species).

Masting metric	Conditional traits	Estimate	SE	2.5%	97.5%	significance
CV						
	LMA	9.64e-04	4.26e-04	1.38e-04	1.79e-03	*
	Seed size	-2.27e-05	8.60e-06	-3.92e-05	-5.60e-06	*
	Leaf N	-6.98e-04	3.95e-03	-8.44e-03	7.18e-03	
	Leaf area	-8.00e-07	1.50e-06	-3.80e-06	2.10e-06	
	Stem density	5.68e-01	1.79e-01	2.17e-01	9.29e-01	*
	Height	3.97e-03	2.17e-03	-2.33e-04	8.28e-03	
AR1						
	LMA	-3.17e-05	2.36e-04	-4.94e-04	4.34e-04	
	Seed size	4.40e-06	4.80e-06	-4.80e-06	1.38e-05	
	Leaf N	4.82e-03	2.35e-03	3.11e-04	9.45e-03	*
	Leaf area	2.00e-07	8.00e-07	-1.50e-06	1.90e-06	
	Stem density	-1.01e-01	9.88e-02	-2.92e-01	9.72e-02	
	Height	-2.77e-03	1.20e-03	-5.08e-03	-4.06e-04	*

Table S2: Joint traits model selection (based on the DIC values). GJAM models were fitted with different combinations of climate covariates, average species climatic conditions (MAP and MAT), and climate variability (MAP<sub> $\sigma$ </sub> and MAT<sub> $\sigma$ </sub>). Some model combinations were excluded due to collinearity issues. Note: in the top-scored models that included climate variability, the effects of climate variability on masting metrics overlapped with 0. The other models that included either MAP<sub> $\sigma$ </sub> and MAT<sub> $\sigma$ </sub> had the  $\Delta$  DIC less than 10, which means that these model fits received essentially no support. In other words, the probability that one of the alternative models is the best for the data is 0.

Climatic predictors in GJAM	DIC
$MAP \times MAT + MAT^2 + MAP^2$	10,997
$MAP \times MAT + MAT^2 + MAP^2 + MAP_{\sigma}$	11,005
$MAP \times MAT$	11,063
$MAP_{\sigma} \times MAT + MAT^2 + MAP_{\sigma}^2$	11,113
$MAP_{\sigma} \times MAT$	11,149
$MAT_{\sigma} \times MAT$	11,159
$MAP \times MAT_{\sigma} + MAT_{\sigma}^{2} + MAP^{2} + MAP_{\sigma}$	11,190
$MAP_{\sigma} \times MAT_{\sigma} + MAT_{\sigma}^2 + MAP_{\sigma}^2$	11,314
$MAP_{\sigma} \times MAP + MAP^2 + MAP_{\sigma}^2$	11,464
$MAP \times MAT_{\sigma}$	11,505
$MAP \times MAP_{\sigma}$	11,576
$MAP_{\sigma} \times MAT_{\sigma}$	11,652