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1 Quantifying the minerals abundances on planetary surfaces  
2 using VIS-NIR spectroscopy, what uncertainties should we  
3 expect? General results and application to the case of  
4 phyllosilicates and carbonates on Mars.

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8 **Abstract**

9 Over the last few decades, visible and near-infrared spectroscopy has proven to be  
10 an efficient technique to characterize planetary surface mineralogy, in particular thanks  
11 to the presence of diagnostic features appropriate for the identification of most minerals  
12 of interest. A more quantitative analysis of the VIS-NIR reflectance spectra constitutes  
13 the next major step in understanding the planetary bodies' history as the retrieval of  
14 the mineral assemblages and their relative abundances enables to constrain the chemical  
15 and physical conditions of their formation and, thus, the past and present geologic and  
16 climatic processes.

17 Here, we evaluate the capability to retrieve quantitative properties (abundance, grain  
18 size) of intimately mixed materials (the most common mineral mixture among planetary  
19 surfaces) from typical space VIS-NIR reflectance spectroscopic data. Such results are key  
20 to correctly assess the accuracy and relevance of the retrieved mineral information. For  
21 that purpose, we developed an inversion model based on a Monte-Carlo Markov Chains  
22 (MCMC) scheme with a Bayesian approach to invert VIS-NIR spectra. This approach  
23 allows to properly propagate the uncertainties from the data to the retrieved properties,  
24 and finally assess what such uncertainties imply for the interpretation. Different binary  
25 and ternary mixtures with minerals of interest in planetary sciences and displaying a  
26 large variety of albedos and spectral features were tested. Typical uncertainties, both  
27 for the abundance and the grain size, were derived and sensitivities on specific parame-  
28 ters/trends were identified. In particular, the role of absorption features in the spectra  
29 is quantified. Tests were performed using either the Hapke or the Shkuratov radiative

30 transfer model. The case of unidentified endmembers in the mixture is also discussed.  
31 In particular, results show that if the unidentified phase does not display any significant  
32 spectral feature, the lack of knowledge about its optical properties does not significantly  
33 impact the inversion. These different results will be key in the quantitative analyses of  
34 VIS-NIR spectra from planetary bodies.

35 Finally, we analyze more specifically the case of phyllosilicates and carbonates, two  
36 families of minerals of high importance in understanding the Mars geologic and climate  
37 history. Typical uncertainties on their relative abundances and grain sizes are derived  
38 in various cases, providing a critical supporting dataset for the characterization of the  
39 martian mineralogy and the associated geological processes.

40 *Keywords:* Mineralogy, abundances, spectroscopy, modeling, Mars

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<sup>✉</sup>A proposed running head: Uncertainties on mineral abundances estimations

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## 41 1. Introduction

42 Over the last few decades, remote sensing reflectance spectroscopy has demonstrated  
43 to be an effective technique to assess the surface mineralogical composition of Solar Sys-  
44 tem objects. Particularly, the visible and near-infrared (VIS-NIR) part of the spectrum -  
45 typically 0.4-2.5 microns has been used and has proven to be appropriate for the identifi-  
46 cation of most silicates (e.g., olivines, pyroxenes, phyllosilicates), salts (e.g., carbonates,  
47 sulfates), oxides, and ices. Qualitative interpretation of the VIS-NIR reflectance spectra  
48 enables so far to access what minerals/ices/organics are present, map them at regional  
49 and global scales and determine the major and global processes that occurred on the  
50 planetary body (e.g. McCord et al. (1998); Bibring et al. (2006); Kitazato et al. (2019);  
51 Nozette et al. (1994)).

52 A more quantitative analysis of the VIS-NIR reflectance spectra is the next major  
53 step in understanding body history. Retrieving the mineral assemblages and their relative  
54 abundances enable to constrain the chemical and physical conditions of their formation  
55 and their geological settings. For example, on Mars, knowing the olivine composition and  
56 its relative abundance enables to directly derive the physical conditions (i.e. temperature  
57 and pressure) of the martian mantle (e.g. Ody et al. (2013)). Additionally, deriving the  
58 abundance of phyllosilicates, that can only form in an aqueous environment, will support  
59 constraining the environmental conditions and assessing the past habitability of Mars  
60 (e.g. Poulet et al. (2014)). On the Moon, identifying the minerals abundances enables  
61 to characterize the Lunar crustal structure and compositional variation with space and  
62 depth to better constrain its evolution and the "magma ocean" hypothesis (e.g., Warren  
63 (1985)).

64 To estimate mineral abundances from the VIS-NIR reflectance spectra, several ap-  
65 proaches have been developed: radiative transfer (RT) nonlinear mixture models (e.g.  
66 Mustard and Pieters (1987); Shkuratov et al. (1999); Douté et al. (2007); Poulet et al.  
67 (2009); Lapotre et al. (2017); Riu et al. (2019)), modified Gaussian models (e.g. Sunshine  
68 and Pieters (1993); Noble et al. (2006); Clenet et al. (2013)), RT models in conjunction  
69 with statistical analysis such as principal components analysis (e.g. Smith et al. (1985)).  
70 Among them, RT approaches (e.g. Hapke (1981, 1984, 1986); Douté and Schmitt (1998);  
71 Shkuratov et al. (1999)) are commonly used to determine the mineral abundances from

72 VIS-NIR data. They are applied to simulate spectra assuming a specific composition  
73 (endmembers, grain sizes, abundances), which are then compared to the one that one  
74 wants to invert and which serves as a reference. A specific algorithm is generally used to  
75 converge towards a solution that tries to fit the simulated spectra with the reference one.  
76 Criteria to assess the reliability of the solution usually assumes a chi-square minimization  
77 over the entire spectral range or specific areas, sometimes with various weights. However,  
78 if a minimum can be found, one can generally not assess if it is a global or just a local  
79 minimum. The uncertainty of the solution is also generally not properly assessed (i.e.,  
80 by propagating the uncertainty on the data).

81 Here, the capability to retrieve quantitative properties of mineral mixtures (abun-  
82 dance, grain size) from typical space VIS-NIR reflectance spectroscopic data is explored.  
83 As remotely sensed surfaces are commonly composed of assemblages of fine-grained inti-  
84 mately mixed minerals, we decided to focus on intimate mixtures in the present study. For  
85 that purpose, we developed a model based on a Monte-Carlo Markov Chains (MCMC)  
86 scheme with a Bayesian approach to invert VIS-NIR spectra of various compositions.  
87 Coupled to a radiative transfer model like the Hapke or Shkuratov model, and assuming  
88 a given uncertainty on the data, we can quantitatively evaluate how this uncertainty is  
89 propagated to the retrieved parameters and what it implies for their interpretation. Such  
90 approach was also used by Lapotre et al. (2017) with the Hapke model to investigate the  
91 case of olivine-enstatite-anorthite and olivine-nontronite-basaltic glass ternary mixtures.

92 Here, we explore various mineral mixtures as well as inversion assumptions with  
93 the objective to better understand what controls the uncertainties on the quantitative  
94 parameters. First, we characterize the sensitivity of a mixture spectrum to a variation  
95 of the fraction and/or grain size of one of the endmembers (Section 3). Then, two- and  
96 three- endmember mixtures of minerals of interest are analyzed in Sections 4 and 5. The  
97 case of mixtures with some unidentified endmembers is discussed in Section 6. Finally,  
98 we examine in Section 7 the case of the phyllosilicates and carbonates on Mars, minerals  
99 of critical importance to understand the climate evolution of the planet.

100 **2. Methodology**

101 *2.1. Samples*

102 Intimate mixtures of different compositions (2 or 3 endmembers with various grains  
103 sizes and abundances) were tested using the Hapke and Shkuratov models. Endmembers  
104 include:

- 105 • Mg-rich olivine/forsterite (OLV),
- 106 • Fe-rich smectite/nontronite (NG1),
- 107 • basalt (BAS),
- 108 • basaltic glass (BasG1),
- 109 • Mg-rich carbonate/magnesite (MGC),

110 which represent various minerals families (silicates, phyllosilicates, salts) and have di-  
111 verse spectral properties (overall reflectance, absorption features with different shapes/depths,  
112 see Fig.1), all relevant to planetary surfaces. Those 5 endmembers have been selected  
113 as they were all well-characterized and used in previous studies (e.g., Ehlmann (2010);  
114 Pilorget et al. (2016)). Their description is available in Pilorget et al. (2016).

115 [Figure 1 about here.]

116 *2.2. Optical constants*

117 The optical constants are defined as follows:  $n(\lambda) + i.k(\lambda)$ , where  $n$  is the real part of  
118 the complex refraction index and  $k$  the imaginary part. The coefficient  $k(\lambda)$  was derived  
119 for each endmember using Pilorget et al. (2016) results, more specifically from the single  
120 scattering albedo (from the Hapke theory) obtained on pure granular samples previously  
121 sieved between 45 and 75 microns, assuming a constant value of  $n$  over the spectral  
122 range (Fig.2). Usually, the absorptivity of a particle is characterized by its absorption  
123 coefficient  $\alpha$ , derived from the optical constants as follows (Hapke, 2012):

$$\alpha(\lambda) = \frac{4\pi k}{\lambda} \tag{1}$$

124 [Figure 2 about here.]

125 *2.3. Radiative transfer models*

126 *2.3.1. Hapke model*

127 The semi-analytical Hapke radiative transfer model (Hapke, 1981, 1984, 1986, 2002,  
128 2008) is used to calculate the surface reflectance factor  $REFF(i, e, \phi)$  of a semi-infinite  
129 granular medium, with particles large compared to the wavelength  $\lambda$ , where  $i$  is the  
130 incidence angle,  $e$  the emergence angle and  $\phi$  the phase angle. The reflectance factor is  
131 linked to the bidirectional reflectance as follows:

$$REFF(i, e, \phi) = r(i, e, \phi) \frac{\pi}{\mu_0} \quad (2)$$

132 with  $r(i, e, \phi)$  the bidirectional reflectance and  $\mu_0 = \cos(i)$ .

133 The model depends on six parameters: the single scattering albedo (which depends  
134 on the complex refractive index and the mean grain size), two parameters describing  
135 the grain phase function (assuming a 2-lobe Henyey-Greenstein phase function), one  
136 parameter related to the surface macroscopic roughness, and two parameters describing  
137 the opposition effect). More recently, a new parameter has also been added to simulate  
138 the impact of porosity (Hapke, 2008).

139 The derivation of  $REFF(i, e, \phi)$  in the case of an intimate mixture follows three steps:

- 140 1. Calculation, for each endmember, of the single scattering albedo  $\omega$ . Their estima-  
141 tion is done independently of the equations used to solve the radiative transfer.  
142 The parameter  $\omega$  is defined as the ratio of scattered light at the grain scale to  
143 extincted light and varies from 0 (the light is totally absorbed) to 1 (the light is  
144 totally scattered). It depends on the grain size and the optical constants.
- 145 2. Determination of the single scattering albedo of the mixture. For an intimate  
146 mixture, the single scattering albedo is computed as the average single scattering  
147 albedo of the different endmembers, weighted by their fraction within the mixture.
- 148 3. Calculation of the reflectance factor for the specific geometric set  $(i, e, \phi)$ . Here  
149 an incidence angle ( $i$ ) of  $30^\circ$ , an emission angle ( $e$ ) of  $0^\circ$  and a phase angle ( $\phi$ )  
150 of  $30^\circ$  are assumed. In this configuration, the opposition effect can be neglected  
151 (e.g. Hapke (1986); Mustard and Pieters (1989); Fernando et al. (2013)). As for  
152 the particles' phase function, it describes the scattering properties of a particle as a

153 function of phase angle and is related to the particle texture (shape, roughness, in-  
 154 ternal structure) (e.g. McGuire and Hapke (1995); Shepard and Helfenstein (2007);  
 155 Souchon et al. (2011); Pilorget et al. (2016)). Since geological processes that shape  
 156 planetary surfaces grains are quite diverse, a same component may take different  
 157 textures and thus different phase functions (Fernando et al., 2016). For that reason  
 158 and to simplify the analysis, we assume a single "mean" isotropic behavior for all  
 159 cases. The following model can then be applied (Hapke, 2012):

$$REFF(i, e, \phi) = \frac{\omega}{4(\mu + \mu_0)} \frac{1 + 2\mu_0}{1 + 2\gamma\mu_0} \frac{1 + 2\mu}{1 + 2\gamma\mu} \quad (3)$$

160 with  $\mu_0 = \cos(i)$ ,  $\mu = \cos(e)$  and  $\gamma = \sqrt{1 - \omega}$ . This assumption may, however,  
 161 affect the abundance retrieval on the order of 5-10% according to experiments on a  
 162 series of laboratory mixtures of igneous rock-forming minerals and of natural soils  
 163 (Mustard and Pieters, 1987).

### 164 2.3.2. Shkuratov model

165 Similar to the Hapke model, the Shkuratov model (Shkuratov et al., 1999) is used to  
 166 calculate the albedo of a semi-infinite granular medium, but contrary to the previous one,  
 167 one assumes here that the phase angle is within a few degrees, meaning that one cannot  
 168 simulate different geometries. It also relies on fewer parameters: the single scattering  
 169 albedo and the porosity. A particle phase function is computed in the model based on  
 170 these parameters and is not required as an input.

171 The derivation of the albedo in the case of an intimate mixture follows three steps:

- 172 1. Calculation, for each endmember, of the fraction of light scattered by a grain into  
 173 the backward hemisphere ( $r_b$ ) and into the forward hemisphere ( $r_f$ ) as a function  
 174 of the optical constants and grain size.
- 175 2. Determination of the radiative properties of the mixture. For an intimate mixture,  
 176 the average parameters  $r_b$  and  $r_f$  weighted by their fraction within the mixture are  
 177 computed.
- 178 3. Calculation of the albedo of the mixture. The light propagation is simulated as  
 179 the propagation through a semi-infinite stack of layers, assuming a given porosity.  
 180 Here, a porosity of 50% is assumed.



181 2.4. Inversion model

182 To estimate the relative fraction and grain size (diameter) of each endmember of the  
183 mixture, we adapted the procedure developed by Fernando et al. (2013, 2015). This  
184 methodology uses a Bayesian approach and was initially applied to determine photomet-  
185 ric parameters from the Hapke model.

186 Fernando et al. (2013, 2015) used the Tarantola and Valette (1982) approach, initially  
187 developed to resolve inverse problem for non-linear direct models. The latter is based on  
188 the concept of the state of information (e.g. physical domain of a parameter, data uncer-  
189 tainty) characterized by a probability density function (PDF) (e.g. uniform, gaussian).  
190 The Bayes theory is used to infer the solution. To numerically sample the final state  
191 of information (posterior PDF of each parameter) that corresponds to the solution, Fer-  
192 nando et al. (2013, 2015) used a Monte Carlo Markov Chain (Mosegaard and Tarantola,  
193 1995). Such approach was also followed by Lapotre et al. (2017).

194 The following hypotheses are applied for the current study:

- 195 • Data, model parameters and direct physical model: the direct model  $F$  (Hapke  
196 or Skuratov model) enables to calculate the data  $d$  (reflectance spectrum at a  
197 given geometric set) from the model parameters  $m$  (fraction and diameter of each  
198 endmember within the mixture) such that:  $d = F(m)$ .
- 199 • Prior information about the model parameters  $m$ : for a given mixture, the model  
200 parameters are the fraction (abundance) and diameter of each component. The  
201 prior information is set as a non-nul uniform PDF (named  $p(m)$ ) within the physical  
202 domain of the given parameter (fraction from 0 to 1, diameter from 10 to 600  
203 microns here). Outside the physical domain, the PDF is null to avoid any inexistent  
204 solution.
- 205 • Prior information about the data  $d$ : the data is described by a reflectance spectrum  
206 from 0.4 to 2.5  $\mu\text{m}$ . Each reflectance factor at a given wavelength is characterized  
207 by a value and an uncertainty respectively corresponding to a mean ( $d_{mes}$ ) and a  
208 standard deviation ( $\sigma$ ). The prior information is then set as a Gaussian PDF. The  
209 data uncertainty at each wavelength is described by a covariance matrix in which

210 the diagonal contains the variance of the reflectance factor:  $\sigma_1^2, \dots, \sigma_n^2$ , with  $n$  the  
211  $n^{th}$  wavelength.

212 • Posteriori information about the model parameters: the prior information about  
213 model parameters (non-nul uniform PDF) combined with prior information about  
214 observations (a Gaussian PDF) are fused to infer the posteriori PDF (named  $P(m)$ )  
215 for each model parameter, by using Bayes theory such as:  $P(m) = k.p(m).L(m)$ ,  
216 with  $k$  a normalization constant and  $L(m)$  the likelihood function used to adjust  
217 the observed data ( $d_{mes}$ ) and the model data ( $d_{mod}$ , reflectance factor at each  
218 wavelength). The data uncertainty is supposed to be Gaussian with a covariance  
219 matrix  $C$ . Since the problem is a gaussian linear one (meaning that the prior PDF  
220 of the reflectance data has a gaussian form), the likelihood function from Tarantola  
221 and Valette (1982) (eq.9-1) is used and is written as:

$$L(m) = \exp(-0.5 (d_{mod} - d_{mes})^T C^{-1} (d_{mod} - d_{mes})) \quad (4)$$

222 • Sampling the posteriori PDF (solution chain): as the RT model is non-linear, it is  
223 not possible to analytically describe the posterior PDF of each model parameter.  
224 Consequently, the posterior PDF is sampled by randomly generating a large collec-  
225 tion of model parameter values following the prior PDF from the data and model  
226 parameters, and a sampling rule of the solution set by the likelihood function, sim-  
227 ilar to Mosegaard and Tarantola (1995). Following our tests, after 500 iterations,  
228 the state of the solution chain is considered as stationary. These 500 values are  
229 considered as a good proxy of the posterior PDF.

230 This inversion approach enables to get all potential solutions and their likelihood  
231 considering a given uncertainty on the data, and thus to retrieve mineral abundances and  
232 mean grain sizes from a VIS-NIR reflectance spectrum with their uncertainty (e.g., Fig.3).  
233 In what follows, we computed for each distribution the likelihood maximum within the  
234 potential solutions of the chain (corresponding to the solution of the inversion), as well  
235 as the mean and standard deviation.

236 [Figure 3 about here.]

237 **3. Sensitivity of a mixture spectrum to fraction and grain size changes**

238 The inversion basically evaluates the sensitivity of the mixture spectrum to a modi-  
239 fication of the grain size and the fraction of each endmember (see Figure 4 for example):  
240 the larger the effect (integrated over the whole spectral range), the smaller the derived  
241 likelihood, assuming a given data uncertainty.

242 [Figure 4 about here.]

243 The sensitivity of a mixture spectrum to a modification of the grain size highly de-  
244 pends on the properties of each endmember, more precisely on its absorptivity (cf Eq.1).  
245 The evolution of the reflectance factor of a granular sample with the grain size and the  
246 absorptivity of the grains was tested (Fig.5a). The sensitivity to a modification of the  
247 grain size can be observed as the variation of the reflectance factor around the x-axis  
248 (grain size). This sensitivity varies a lot over the tested  $k$  and grain size ranges (Fig.5c).  
249 In particular, two main areas can be identified: the volume-scattering region (where the  
250 overall reflectance factor decreases with increasing  $k$ ) and the weak-surface-scattering  
251 region (where the reflectance factor remains constant with increasing  $k$  since all photons  
252 that penetrate into a grain are absorbed) (Fig.5b) (Hapke, 2012). The volume-scattering  
253 region can be also divided into two domains: the first one where the reflectance factor  
254 decreasing rate keeps increasing with increasing  $k$  and the second one where the re-  
255 flectance factor decreasing rate keeps decreasing with increasing  $k$  (slowly reaching the  
256 weak-surface-scattering region where the decreasing rate is null). The two domains can  
257 be observed in Fig.5b on either side of the inflection points of the curves, and in Fig.5c.  
258 For example, the presence of an absorption feature (characterized by an increase of  $k$ )  
259 in the spectrum tends to increase the sensitivity to a modification of the grain size when  
260 before this inflection point (above, the sensitivity again decreases). Fig.5 also shows that  
261 the smaller the grain size, the smaller the variation of the reflectance factor with  $k$  when  
262 before the inflection point, and thus the shallower the absorption features, resulting in a  
263 smaller impact on the mixture spectrum.

264 [Figure 5 about here.]

265 The sensitivity of a mixture spectrum to a modification of the fraction of one of  
266 the endmembers also highly depends on the properties of the endmembers (absorptivity)

267 and their variation over the spectral range. Figure 6 illustrates this sensitivity for diverse  
268 binary synthetic mixtures (made of "Endmember 1" and "Endmember 2"). In particular,  
269 the variation of the reflectance factor with  $k$  is plotted for a fraction of Endmember 1  
270 set to 0.5, 0.6 and 0.7. The difference between the curves illustrates the sensitivity to  
271 a modification of the fraction. For instance, it can be observed that when a highly  
272 absorbent compound is mixed with a much brighter one, even a small variation of the  
273 fraction of the absorbent one has a huge effect on the final reflectance factor (Fig.6a). This  
274 effect tends to decrease as the absorptivity of the bright compound tends to increase. On  
275 the other hand, when one mixes two bright compounds, the variation of their fraction  
276 has very little impact on the final reflectance factor (Fig.6c). These different trends  
277 can be explained by the non-linearity of the reflectance with the absorptivity of the  
278 different compounds: the absorbent grains tend to control the overall reflectance. As a  
279 corollary, when both endmembers have a similar absorptivity, they are not sensitive to  
280 a modification of their fraction. Additionally, and similar to what has been observed in  
281 Fig.5, the presence of an absorption feature (characterized by an increase of  $k$ ) in the  
282 spectrum, can increase the sensitivity to a modification of the fraction (e.g. Fig.6c).  
283 Finally, Fig.6 shows that the smaller the fraction, the shallower the absorption features  
284 (obviously), and thus the smaller the impact on the mixture spectrum.

285 [Figure 6 about here.]

## 286 4. Two-endmember mixtures

### 287 4.1. Test cases

288 At first, two-endmember mixtures have been tested. The following endmember com-  
289 binations have been used:

- 290 • nontronite (NG1) + basalt (BAS)
- 291 • nontronite (NG1) + basaltic glass (BasGl)
- 292 • nontronite (NG1) + olivine (OLV)
- 293 • nontronite (NG1) + magnesite (MGC)

294 • basalt (BAS) + basaltic glass (BasGl)

295 • olivine (OLV) + magnesite (MGC)

296 For each couple of endmembers, we tested the following abundances: 10/90%, 20/80%,  
297 30/70%, 40/60%, 50/50%, 60/40%, 70/30%, 80/20% and 90/10%. For each couple and  
298 each abundance scenario, we investigated the role of grain size using various diameters  
299 between 25 and 500 microns (25, 50, 75, 100, 200, 300, 400, and 500 microns).

300 The reflectance spectrum of each of the mixtures has been generated using either  
301 the Hapke or Shkuratov model. The spectra were then inverted by using the developed  
302 inversion model, assuming a data uncertainty of 20% on the reflectance factor. This is  
303 typically the order of magnitude that we could expect from space data. In particular, the  
304 absolute calibration of near-infrared spectrometers on space missions is generally within  
305 20% (e.g., Bibring et al. (2004)). Uncertainties are also typically related to optical  
306 constants, photometric correction, etc.

#### 307 4.2. Results and interpretation

308 We can observe the different trends described in Section 3 in the results that we  
309 obtained with the different mineral mixtures. The role of the overall reflectance and  
310 absorption features, for example, can be illustrated by comparing mixtures of basaltic  
311 glass (BasGl) and basalt (BAS), two endmembers that are relatively absorbent with  
312 small and broad absorption features ( $k \sim 10^{-4} - 7.10^{-4}$ ), and mixtures of basaltic glass  
313 (BasGl) and nontronite (NG1), a brighter compound with strong absorption features  
314 ( $k \sim 10^{-5} - 5.10^{-4}$ ). As for the fractions, the standard deviations of the solution  
315 distributions are typically around a few percents for the NG1/BasGl while around 10 %  
316 for the BAS/BasGl (in absolute) (Fig.7, 8, and 12). In the worst cases (generally small-  
317 grained mixtures), the standard deviations can reach values between 10 and 20 % (the  
318 worst cases being for the BAS/BasGl mixtures), which means that the quantification  
319 of the abundances is poorly constrained (uncertainty of +/-  $\sigma$ , this range containing  
320 only 68% of the solutions). For the grain size, its estimation highly depends on the  
321 cases (from a few to about 200 microns), with generally smaller uncertainties for the  
322 NG1/BasGl mixtures.

323 [Figure 7 about here.]

324

[Figure 8 about here.]

325

[Figure 9 about here.]

326

[Figure 10 about here.]

327

Additionally, in the tested cases where one of the endmembers is much more abundant, the grain size of the minor endmember is never well constrained, similar to Lapotre et al. (2017). Indeed, the minor endmember has a small contribution to the mixture spectrum, and the 20% uncertainty on the reflectance data gives a lot of possibilities regarding its grain size. This is typically the case in 10/90% mixtures in Fig.7 where the grain size of the minor endmember can generally take almost any value within the 10-600 microns range.

334

The uncertainty on the retrieved parameters can have various distributions: more or less pronounced over one or the other dimension (grain size vs. fraction). "Smile" distributions (i.e., distributions where one can see a continuum of solutions from large grains/small fraction to small grains/large fraction for instance), present for various mixtures (e.g., Fig. 9), imply that within the 20% uncertainty on the reflectance data, grain size and fraction can have relatively similar effects. It is, however, not always the case. In some cases, the uncertainty is much more pronounced in one of the two dimensions. For instance, in Fig.7 (NG1/BasG1 mixtures), we can notice that for 50/50% mixtures with a similar grain size for both endmembers, the distributions of the solutions vary a lot from one case to the other. When both grain diameters are small (25 microns here), the uncertainty on the retrieved parameters appears much larger over the fraction dimension, while in the case where both grain diameters are large (500 microns here), it appears much larger in the grain size dimension. Generally, when the grain size is larger, the overall reflectance factor is lower and the absorption features are more pronounced. Here, we can observe that the spectrum of large-grained mixture is more sensitive to a modification of the fraction than the grain size (the 20% data uncertainty mostly gives some flexibility on the grain size). However, when the grain size is small, the overall reflectance factor is higher and the absorption features are less pronounced. The spectrum of the mixture, then, is more sensitive to a modification of the grain size (Fig.11).

353

354 Other mixtures were also simulated with various endmembers (Mg-rich olivine, basaltic  
355 glass, basalt, Fe-rich smectite, and Mg-carbonate) of different grain diameters (from 25  
356 to 500 microns). All fractions from 10/90% to 90/10% with a 10% step have been tested.  
357 Standard deviations on both dimensions (fraction and grain size) have been derived,  
358 within the assumption of a 20% reflectance factor uncertainty (Fig.12). The standard  
359 deviation for the fraction generally lies between a few percents and 15% (in absolute).  
360 These values can go up to ~20-30% when the grain diameter is small (below a few tens of  
361 microns in the tested cases) and the absorption features of the mixture spectrum appear  
362 relatively shallow. Interestingly, when the fraction is low ( $< \sim 10\text{-}20\%$ ), the uncertainty  
363 on the fraction remains small, while the uncertainty on the grain diameter is very high,  
364 up to ~200 microns (i.e. no constraint on the grain diameter). Indeed, since the fraction  
365 is small, the absorption features are shallow and a variation of the grain size can easily  
366 compensate for a small variation of the fraction, within the 20% data uncertainty. A  
367 variation of the grain size can, however, not compensate for a large increase of the frac-  
368 tion, which remains, therefore, well constrained. When the fractions are above ~10-20%,  
369 the standard deviation of the grain diameter generally lies between a few microns and  
370 ~100 microns.

371 [Figure 11 about here.]

372 [Figure 12 about here.]

373 Results obtained with the Hapke and Shkuratov models are quite similar (Fig.13  
374 and Sup. Fig. 1-4). In particular, the retrieved standard deviations for the grain  
375 size and the fraction appear to first order equivalent. It can also be noticed that the  
376 standard deviations for the grain size can be different for both endmembers within a  
377 given mixture, as shown in Fig.13 (left). This difference is similar in both models.  
378 These results demonstrate that both models are to first order equally sensitive to a 20%  
379 uncertainty on the reflectance data.

380 [Figure 13 about here.]

381 A second run of inversion was then performed, assuming this time an uncertainty of  
382 20% on the reflectance data and a relative uncertainty between the spectral channels of

383 1% (from each spectral channel to the next), similar to the relative calibration that we  
384 generally expect on spaceborn VIS-NIR spectrometers. The spectral sampling here is  
385 10 nm. Such case represents a theoretical case since a 1% relative uncertainty may not  
386 apply to other contributors (e.g. optical constants). It may, nonetheless, give a good  
387 idea on how this constraint can impact the resulting uncertainties on the grain sizes and  
388 abundances.

389 Results show no major variation from the previous case when the absorption features  
390 are not too sharp (Fig. 14). However, in the case of mixtures containing nontronite  
391 (NG1), the 1% relative uncertainty helps better constraining both the fraction and grain  
392 size of the two endmembers. Uncertainties on the fraction, for example, can reach very  
393 low values, down to a few percents (Sup. Fig. 5-8). Similar to the previous case, the  
394 results obtained with the Hapke and Shkuratov models are quite similar (Fig. 15).

395 [Figure 14 about here.]

396 [Figure 15 about here.]

## 397 5. Three-endmember mixtures

398 In this section, three-endmember mixtures are tested. As an example, we consider  
399 at first a nontronite (NG1)/olivine (OLV) mixture to which has been added a third  
400 endmember ("compound 3"). Its optical constants are as follows:  $n + ik$  with  $n = 1.5$   
401 and  $k = 10^{-2}, 10^{-4}$  or  $10^{-6}$ . Adding this third endmember can have different effects  
402 depending on its absorptivity, more specifically on its coefficient  $k$  and its grain size, as  
403 illustrated in Fig. 16. When the additional endmember is highly absorbent ( $k = 10^{-2}$   
404 here), both the continuum and the band depths of the mixture spectrum tend to rapidly  
405 decrease as the third endmember is added (Fig.16a). Note that the grain diameter of  
406 the third endmember has very little impact on the results: for grain diameters above  
407 typically a few tens of microns, all photons that penetrate into the grains are absorbed  
408 (i.e. weak-surface-scattering region). This results in a similar absorptivity for these  
409 grains. Thus, the grain size of this third endmember cannot be retrieved when mixed  
410 with other minerals. To constrain its grain diameter, the absorptivity of these grains  
411 has to vary with the grain diameter, typically when the latter is smaller than a few



412 tens of microns. In the present cases, 25 microns grain size is already quite close to the  
413 limit, and the sensitivity to grain size is limited. In most cases, this small effect can be  
414 compensated - within the allowed 20% data uncertainty - by playing with the two other  
415 endmembers properties (Fig. 17). However, when the additional endmember has a very  
416 low absorptivity ( $k = 10^{-6}$  here), its impact on the mixture spectrum is limited. Figure  
417 16b shows that the overall reflectance factor tends to increase as the third endmember  
418 is added for the tested grain sizes, but the absolute band depths of the features present  
419 in the spectra and due to the two other endmembers experience little variation. Neither  
420 the fraction nor the grain size can be well constrained for this third compound (Fig.19).  
421 Overall, the addition of a third endmember with no or very shallow absorption features  
422 tends to increase the relative uncertainties on the retrievals since there is more flexibility  
423 in the combination of the different parameters to fit the spectrum within the allowed  
424 data uncertainty.

425 [Figure 16 about here.]

426 As a second run, 3-endmember mixtures BAS/BasGl/OLV and NG1/OLV/MGC  
427 were tested. Results show similar trends as for two-endmember mixtures: both the  
428 constraints on the fraction and the grain size depend on the overall absorptivity (through  
429 the reflectance) and its variation over the spectral range (i.e., the presence, shape and  
430 depth of diagnostic absorption features). The higher the sensitivity of the spectrum to  
431 the grain size and the distribution of the abundances, the better the uncertainties on  
432 the retrieval (Fig.17,18,19,20, 21, Sup. Fig. 9-13). The uncertainties on the fractions  
433 tend to remain relatively similar as for the 2-endmember case (e.g.  $\sim 6\%$  for NG1 in  
434 the NG1/MGC and NG1/OLV cases and  $\sim 6\%$  in the NG1/OLV/MGC case,  $\sim 15\%$  for  
435 OLV and MGC in the OLV/MGC case and between 12 and 14% in the NG1/OLV/MGC  
436 case,  $\sim 10\%$  for BAS and BasGl in the BAS/BasGl case and between 10 and 15% in  
437 the BAS/BasGl/OLV case (Fig.12 and 22)). The uncertainties on the grain size tend,  
438 however, to be more affected for certain endmembers (e.g.  $\sim 80$ -90 microns for OLV and  
439 MGC in the case of OLV/MGC and respectively  $\sim 120$  and  $\sim 160$  microns for OLV and  
440 MGC in the NG1/OLV/MGC case).

441 We also tested the case with an additional relative uncertainty (from each spectral  
442 channel to the next) of 1% (Fig. 22). As noticed for two-endmember mixtures, results

443 show no major improvement when only broad absorption bands are present. However,  
444 when the spectrum exhibits sharp features, the parameters can be much better con-  
445 strained (down to a few percents for NG1 abundances for example). As for the previous  
446 cases, the results obtained with the Hapke and Shkuratov models are also quite similar.

447 [Figure 17 about here.]

448 [Figure 18 about here.]

449 [Figure 19 about here.]

450 [Figure 20 about here.]

451 [Figure 21 about here.]

452 [Figure 22 about here.]

## 453 **6. What to expect in case of unidentified endmembers?**

454 In most cases in planetary sciences, some phases remain unidentified, either because  
455 they do not display any diagnostic spectral feature in the VIS-NIR spectral range (non-  
456 diagnostic spectral features or no spectral features at all if the compound is inactive (e.g.,  
457 quartz)), or because their fraction is too small and the spectral features remain below  
458 the noise level. These phases, however, impact to some extent the mixture spectrum  
459 (in particular as a function of the fraction and opacity), and both the continuum and  
460 absorption features are affected (e.g., Fig. 16). It is, therefore, not possible to derive the  
461 abundances of the identified phases without taking into account these phases.

462 Their effect can, however, be simulated as an additional compound with specific  
463 spectral properties ( $n$  and  $k$ ), similar to "Compound 3" in Section 5. We first simulated  
464 a mixture by assuming an unidentified compound which does not display any spectral  
465 features (constant  $n$  and  $k$  in our example) (Fig.23). Results show that the correct  
466 abundances (both relative and absolute) and grain sizes of the identified compounds  
467 could be efficiently retrieved even though the coefficient  $k$  of the unidentified compound  
468 had to be assumed with potential bias. Indeed, even though the optical properties of  
469 the unidentified compound are not known, the flexibility given by the grain size enables

470 to converge towards the correct fraction for this endmember. The information about  
471 its grain size, however, cannot be derived since it is used here to compensate for the  
472 unknown absorptivity of the grains. As long as the unidentified endmember does not  
473 display any significant spectral feature, the lack of knowledge about its optical constants  
474 will not significantly impact the inversion and the solutions' distribution of the identified  
475 endmembers will remain similar. The grain size range for the unidentified endmember  
476 shall, nonetheless, be sufficiently broad to give the necessary flexibility.

477 In the case where the unidentified endmembers display some absorption variations  
478 over the spectral range, the identified absorption features will be distorted in an unknown  
479 way, which makes impossible the abundances and the grain sizes to be retrieved without  
480 any knowledge or assumption about the properties of these unidentified endmembers.

481 [Figure 23 about here.]

482 The presence of an additional compound tends to give more flexibility for the iden-  
483 tified endmembers, assuming a given uncertainty on the mixture spectrum. How its  
484 presence affects the accuracy of the retrieval of the other endmembers parameters de-  
485 pends on their sensitivity to a modification of their fraction and grain size and how they  
486 can compensate each other. In the case where the grains of the third endmember are  
487 very absorbent ( $k = 10^{-2}$  here, shown in Figure 24), the other endmembers are well  
488 constrained since the fraction of the third endmember can be easily determined (only  
489 a narrow distribution of solutions can explain the overall reflectance factor and bands  
490 depths since such high absorptivity has much impact on the mixture spectrum). When  
491 the absorptivity of the third endmember decreases (i.e.,  $k$  decreases), the constraints  
492 on the identified endmembers tend to decrease (i.e., broader distribution of the solu-  
493 tions). This, however, does not affect the different endmembers in a similar way as it  
494 depends on their own sensitivity to a modification of their fraction/grain size. In the  
495 case presented in Fig. 24 where all endmembers have a grain diameter of 250 microns,  
496 the nontronite (Endmember 1) is more sensitive than the olivine (Endmember 2), which  
497 results in a much better constrained abundance for the nontronite than for the olivine  
498 when  $k = 10^{-6}$ .

499 When the absorption features of the identified endmembers are shallower, the un-  
500 certainty on their abundances tends to increase, as can be seen in Fig. 25. In this

501 example, the grain diameters of Endmembers 1 and 2 are set to 25 microns (x10 smaller  
502 than the previous case, which results in shallower spectral features), while Endmember  
503 3 remains identical (grain diameter of 250 microns). While in the case where  $k = 10^{-4}$   
504 and  $k = 10^{-6}$ , the solutions distributions tend to broaden, the case of  $k = 10^{-2}$  is a bit  
505 different. In particular, it can be observed that the fraction of Endmember 3 is very well  
506 constrained, while solutions found for the fractions of Endmembers 1 and 2 can take a  
507 broad range of values between  $\sim 0$  and  $\sim 33\%$  (assuming a 20% uncertainty on the data).  
508 The fraction of the 3rd compound is, indeed, easily assessed here since it has a huge  
509 impact on the mixture spectrum overall reflectance factor due to its high absorptivity  
510 compared to the two other endmembers. The relative abundances of the two other com-  
511 pounds, displaying shallow spectral features, are, on the other hand, much more difficult  
512 to constrain as the grain size and the fraction can compensate each other within the data  
513 uncertainty.

514 [Figure 24 about here.]

515 [Figure 25 about here.]

## 516 **7. Application to the case of phyllosilicates and carbonates on Mars**

### 517 *7.1. Phyllosilicates*

518 VIS-NIR remote sensing data of Mars measured by the Observatoire pour la Min rologie,  
519 l'Eau, les Glaces et l'Activit  (OMEGA) (Bibring et al., 2004) on board Mars Express  
520 and the Compact Reconnaissance Imaging Spectrometer for Mars (CRISM) (Murchie  
521 et al., 2007) on board Mars Reconnaissance Orbiter revealed extensive hydrated min-  
522 erals deposits across the planet. Among them, phyllosilicates could be detected, which  
523 completely changed our understanding of the planet's past climate (e.g. Poulet et al.  
524 (2005); Bibring et al. (2006). We now know that liquid water was present about 4 billion  
525 years ago, either at the surface or in the subsurface, and that conditions favorable for  
526 the development of a prebiotic chemistry may have existed. The exact processes that  
527 occurred on the planet are, however, still unknown, though the mapping and identification  
528 of the phyllosilicates on Mars have led to some important constraints (Carter et al., 2013;  
529 Ehlmann et al., 2013). The characterization of the mineralogy of the rocks containing

530 phyllosilicates, and in particular, the quantification of the abundances of the different  
531 phases, in their context, will undoubtedly provide important clues to decipher Mars'  
532 history and potential prebiotic developments.

533 Here, we investigate the capability to assess the abundances of nontronite ("NG1", Fe-  
534 rich smectite), one of the phyllosilicates detected at the surface of Mars (e.g. Poulet et al.  
535 (2005); Ehlmann et al. (2011); Carter et al. (2013); Ehlmann and Edwards (2014)), mixed  
536 with a second featureless endmember (e.g. amorphous phase) with various properties.

537 We assume here a 20% uncertainty on the reflectance data. Figure 26 displays the  
538 uncertainties, both on the abundance and the grain size that are obtained for the non-  
539 tronite. Several properties of the 2<sup>nd</sup> endmember were tested, from  $k = 10^{-6}$  (very  
540 transparent) to  $k = 10^{-3}$  (very absorbent).

541 Figure 26 (left column) shows that nontronite abundance can be well constrained,  
542 generally within  $\sim 5\%$  ( $1 \sigma$  absolute uncertainties) as long as its grain diameter is above  
543  $\sim 100 \mu\text{m}$ , though in a few cases where the abundances are low ( $\sim 10\%$ ) the uncertainties  
544 can reach  $\sim 10\%$  or more. When its grain diameter is  $25 \mu\text{m}$  (black and blue curves), its  
545 abundance is much less constrained, especially when the abundance is low. In the latter  
546 case, the abundances are basically not constrained because of the very small absorption  
547 features in the spectrum, which are in the present case only related to NG1 since the  
548 second endmember is featureless. As the abundances increase, the absorption depths  
549 increase as well, and the abundance uncertainties decrease, down to  $\sim 10\%$  for mixtures  
550 with 90% of NG1. The properties of the 2<sup>nd</sup> endmember do not show any major impact  
551 on the results, except that the abundance of NG1 tends to be more constrained as the  
552 second endmember is more absorbent. This might seem to be in contradiction with the  
553 fact that the signatures tend to lower as the absorptivity of the 2<sup>nd</sup> endmember increases.  
554 The resulting low reflectance factor level, however, provides some important constraints  
555 in the inversion.

556 The uncertainty on the nontronite grain diameter varies a lot from the different cases  
557 tested, as shown in Figure 26 (right column). It tends to decrease as the fraction of NG1  
558 increases, similar to what has been observed in Section 4 (basically no or little constraint  
559 when the fraction lies within 10%). The uncertainties on the nontronite grain diameter  
560 are, however, typically within  $50 \mu\text{m}$  when the abundance is above 50%.

561 Tests were also performed assuming properties of the 2<sup>nd</sup> endmember different from  
562 the real ones (factor of 10 or 0.1 on  $k$ ) and did not show any significant differences on  
563 the uncertainties and solutions retrieved for the grain size and abundance of NG1, in  
564 agreement with the results obtained in Section 6.

565 Properties of nontronite and compounds with close spectral characteristics should  
566 thus be relatively well constrained when detected at the surface of Mars, assuming that  
567 the spectral model can correctly reproduce the variations, both in grain diameters and  
568 abundances of the mixtures encountered on Mars. Compounds with different properties  
569 will have to be investigated on a case-by-case basis. In particular, phyllosilicate-rich sur-  
570 faces may display shallower absorption features compared to terrestrial samples because  
571 of different physiochemical properties caused by the pressure and temperature conditions  
572 of the Mars environment under which those materials were formed (Morris et al., 2011)  
573 and/or the exposure to the current Martian environment (e.g., exposure to UV, low atmo-  
574 spheric pressure) (Cloutis et al., 2007). Future studies will focus on specific Mars cases,  
575 taking into account the geological contexts, and including, if relevant, optical constants  
576 from more adapted analog samples.

577 [Figure 26 about here.]

## 578 7.2. Carbonates

579 Given the  $CO_2$ -rich Mars atmosphere composition and the widespread near-surface  
580 aqueous alteration in its past history, one might expect to detect extensive carbonate  
581 deposits on Mars. However, while phyllosilicates have a widespread distribution from  
582 VIS-NIR remote sensing data, carbonates show regionally restricted distributions (e.g.,  
583 (Ehlmann et al., 2008; Ehlmann and Edwards, 2014)).

584 As for the phyllosilicates, here we explore the capability to assess the abundances of  
585 magnesite ("MGC", magnesium-rich carbonate) (e.g., (Bandfield et al., 2003; Ehlmann  
586 et al., 2008; Morris et al., 2010)) using the same approach. Figure 27 shows the abundance  
587 (left) and grain size (right) uncertainties that are obtained for the magnesite. For the  
588 inversion, several properties of the 2<sup>nd</sup> endmember were tested, from  $k = 10^{-6}$  (very  
589 transparent) to  $k = 10^{-3}$  (very absorbent).

590 Results show that magnesite abundance can generally not be accurately constrained  
591 (Figure 27, left column). In most cases, the uncertainty on the abundance lies above 10%,  
592 except (but not in all cases) when the grain diameter of MGC is large ( $500\mu\text{m}$  here). The  
593 influence of the grain size, thus of the depth of the spectral features, does constrain a lot  
594 the possibility to accurately retrieve the abundance of magnesite. For smaller grain sizes,  
595 the abundance uncertainties of MGC generally lie between 10 and 35%. Above 15-20%,  
596 we can assume that the abundances are not (or only poorly) constrained. Similar to what  
597 has been observed for nontronite (see Section 7.1), when the  $2^{nd}$  endmember is highly  
598 absorbent ( $k = 10^{-2}$  here), the abundance uncertainties tend to decrease and lie within  
599 10% in most cases.

600 The uncertainties on the grain size vary a lot from the different cases (Fig. 27, right  
601 column). They generally tend to decrease as the fraction of MGC increases, similar  
602 to what has been previously observed. Only a few cases display uncertainties within  
603  $\sim 50\mu\text{m}$ : cases where we combine a large grain diameter ( $500\mu\text{m}$  here) with a large  
604 fraction ( $\sim 90\%$ ), or in a couple of cases when the  $2^{nd}$  endmember is highly absorbent.

605 Similar to what is presented in Section 7.1, tests were also performed assuming that  
606 properties of the  $2^{nd}$  endmember were different from the real ones (factor of 10 and  
607 0.1 on  $k$ ) and did not show any significant differences in the uncertainties and solutions  
608 retrieved for the grain size and abundance of MGC.

609 Abundances and grain sizes of magnesite thus appear to be generally relatively dif-  
610 ficult to constrain, mainly because of its high reflectance factor and relatively small  
611 absorption features between  $0.4$  and  $2.6\mu\text{m}$ . Large grained mixtures, however, tend to  
612 display small uncertainties on the abundances. Other carbonate compounds with differ-  
613 ent properties will have to be investigated on a case-by-case basis.

614 [Figure 27 about here.]

## 615 8. Conclusion

616 The inversion approach that we have developed enables to get all potential solutions  
617 and their likelihood considering a given uncertainty on the data, and thus, to retrieve  
618 mineral abundances and mean grain sizes from a VIS-NIR reflectance spectrum with

619 their uncertainties. Thanks to this model, we have tested various mixtures, displaying  
620 a large variety of albedos and spectral features, typical on what can be encountered on  
621 planetary surfaces over the VIS-NIR spectral range.

622 Typical uncertainties, both for the abundance and the grain size, have been derived,  
623 and sensitivities on specific parameters/trends have been identified. In particular, we  
624 have shown that:

- 625 • both the abundances and the grains sizes are better constrained when they have  
626 a large impact on the mixture spectrum. For instance, the abundance of a dark  
627 endmember among bright ones can be well characterized as these absorbent grains  
628 are driving the overall reflectance factor.
- 629 • absorption features present in the spectrum of one of the endmembers do not nec-  
630 essarily help to constrain the abundance / grain size. Indeed, the critical parameter  
631 is the  $k$  range (and values) over the spectrum and the sensitivity of the reflectance  
632 factor to this parameter.
- 633 • within a given data uncertainty, grain size and fraction can have relatively similar  
634 effects, which might lead to difficulties to assess these parameters. In particular,  
635 when the fraction of one of the endmembers is small (typically within  $\sim 10-20\%$ ),  
636 the absorption features are shallow, and the grain size can generally not be well  
637 constrained, contrary to the abundance.
- 638 • for the different tested cases, uncertainties on the abundance ( $1\sigma$  standard deviation  
639 of the distribution) generally lie between a few percents and 15% (in absolute).  
640 These values can reach  $\sim 20-30\%$  when the grain sizes are small (typically within  
641 a few tens of microns in the tested cases), and the absorption features appear  
642 relatively shallow.
- 643 • in most cases in planetary science, some phases remain unidentified. The latter need  
644 to be taken into account in the models to derive the abundances of the identified  
645 phases. If the unidentified phase does not display any significant spectral feature,  
646 it is possible to replace it with an endmember with similar spectral shape and  
647 assess the correct abundances (for all endmembers) and grain sizes (except for the  
648 unidentified phase), with the uncertainties that such mixture imply .



- 649 • all results do not show any significant difference whether the Hapke or Shkuratov  
650 model was used.
- 651 • the addition of a constraint on the relative uncertainty from one spectral channel  
652 to the next (1% here) to the data uncertainty of 20% do not significantly impact  
653 the results as long as absorption features remain relatively broad. In the case of  
654 sharp absorption bands, their presence can significantly reduce the uncertainties.  
655 This, however, remains a theoretical case since it requires the relative uncertainty  
656 of all contributors combined to reach 1%.

657 We finally investigated the case of phyllosilicates (through the example of nontronite)  
658 and carbonates (through the example of magnesite), two families of high importance in  
659 Mars science. We have derived typical uncertainties ( $1\sigma$  standard deviation) on their  
660 relative abundances and grain size that could be expected when inverting reflectance  
661 spectra of mixtures made of these compounds and other featureless compounds. Results  
662 have shown that nontronite abundances could generally be well constrained (generally  
663 within 5%) except when the grain diameter is below a few tens of microns or when the  
664 fractions are very low (10%). As for the magnesite, it is much more difficult to character-  
665 ize: uncertainties on the abundances are typically between 10% and 35% except in some  
666 cases where the grains are large (above a few hundreds of microns in diameter) or when  
667 mixed with a highly absorbent phase. Such results will be key in the characterization of  
668 the martian mineralogy and the associated geological processes.

669 More generally, models, that are capable to propagate the uncertainties on the data  
670 to the endmembers properties (abundances and grain sizes), similar to the one presented  
671 in this paper, will be critical in the future to correctly assess the accuracy and relevance  
672 of the quantitative information retrieved from VIS-NIR reflectance spectra. Areal and  
673 intraparticle mixtures, also observed among planetary surfaces, should also be subject  
674 to such kind of evaluation in the future. Future studies will focus on specific cases (e.g.,  
675 Martian landing sites) and explore new endmembers (e.g., Fe-oxides, pyroxenes) to mimic  
676 as possible typical global/regional contexts.

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996	24	<i>Inversion results (fraction of Endmember 1 and Endmember 2) obtained on a mixture of 16.6% of nontronite (NG1) (Endmember 1), 16.6% of olivine/forsterite (OLV) (Endmember 2) and 66.7% of a third compound (Endmember 3) with the following properties: <math>n = 1.5</math> and <math>k = 10^{-2}</math> (left), <math>k = 10^{-4}</math> (center), <math>k = 10^{-6}</math> (right). Grain diameters of the three endmembers are set to 250 microns. We assumed a data uncertainty of 20% and the Hapke model is used here. Inversion results are represented by black crosses, the reference by a red square, the distribution likelihood maximum by a red star and the distribution mean by a red cross. . . . .</i>	57
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1005	25	Same as Fig.24, with the grain diameters of Endmembers 1 and 2 set to 25 microns ( $\times 10$ smaller) while the grain diameter of Endmember 3 remains at 250 microns. . . . .	58
1006			
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1008	26	Uncertainties ( $1\sigma$ ) on the nontronite (NG1) obtained when inverting the reflectance spectra of mixtures made of nontronite (Endmember 1) and a second endmember with the various properties. Grain diameters for the different endmembers are as follows: 25 $\mu\text{m}$ for Endmembers 1 and 2 (black), 25 $\mu\text{m}$ for Endmember 1 and 100 $\mu\text{m}$ for Endmember 2 (blue), 100 $\mu\text{m}$ for Endmember 1 and 25 $\mu\text{m}$ for Endmember 2 (cyan), 100 $\mu\text{m}$ for Endmembers 1 and 2 (green), 100 $\mu\text{m}$ for Endmember 1 and 500 $\mu\text{m}$ for Endmember 2 (yellow), 500 $\mu\text{m}$ for Endmember 1 and 100 $\mu\text{m}$ for Endmember 2 (orange), 500 $\mu\text{m}$ for Endmembers 1 and 2 (red). The continuous lines correspond to the case where the grain size is tested over the 10-600 $\mu\text{m}$ range, while the dashed lines correspond to the 1-600 $\mu\text{m}$ range. This highlights the boundary effects present when the grain sizes are small as the second endmember is featureless. The Hapke model is used and a 20% uncertainty on the reflectance factor is assumed. . . . .	59
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1022	27	Same as Figure 26 for the magnesite (MGC). . . . .	60

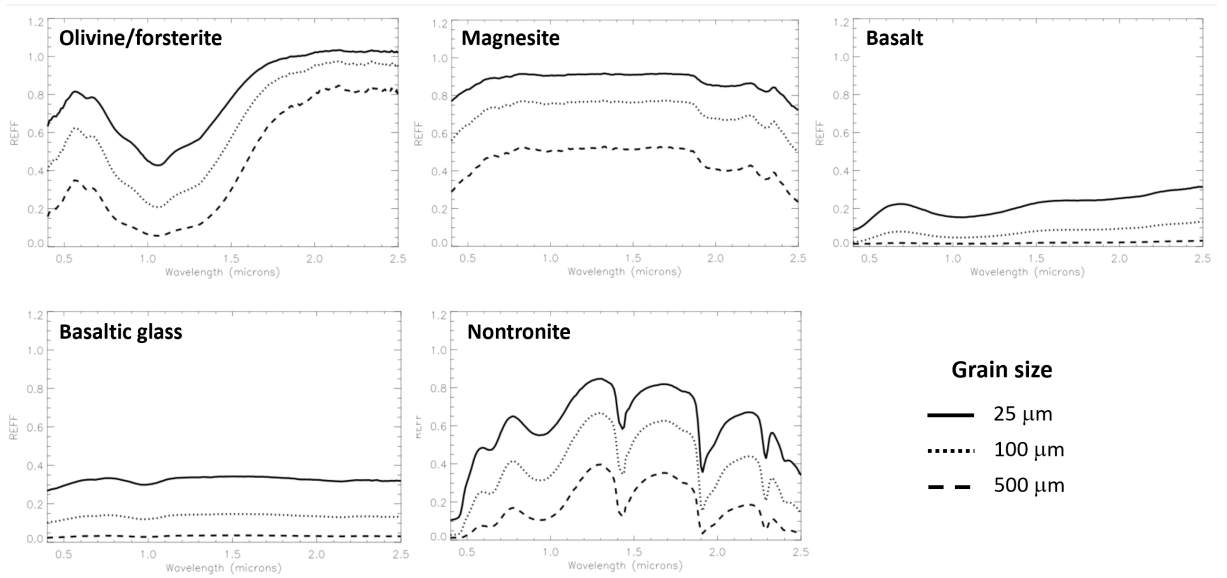


Figure 1: Reflectance spectra ( $REFF$  unit =  $(I/F)/\cos i$ ) of the different endmembers used in this study: olivine/forsterite (OLV), nontronite (NG1), basalt (BAS), basaltic glass (BasGl) and magnesite (MGC). These spectra are simulated with the Hapke model for the following grain diameters: 25, 100, and 500 microns. Optical constants were derived from Pilorget et al. (2016).

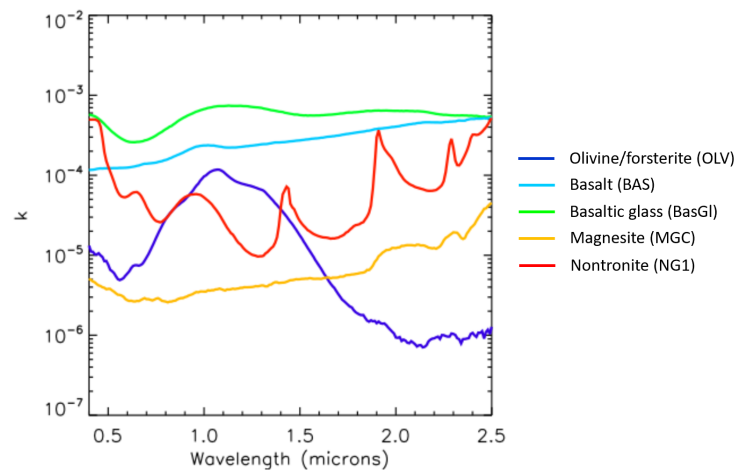


Figure 2: Imaginary part of the complex refractive index  $k$  as a function of the wavelength for the different tested endmembers. Results are derived from Pilorget et al. (2016), assuming a constant real part of the refractive index  $n$  over the spectral range ( $n = 1.5$  for NG1,  $n = 1.6$  for BAS, BasGl, OLV and MGC). The granular samples used to derive  $k$  were sieved between 45 and 75 microns.

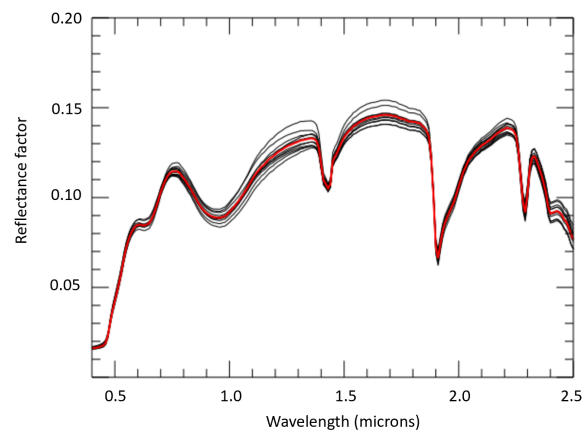


Figure 3: *In red: modeled reflectance spectrum of a 50%/50% mixture of nontronite (NG1) and basaltic glass (BasGl). Grain diameter for both endmembers is set to 200 microns. In black: examples of solutions obtained from the inversion assuming a data uncertainty of 20%. Eleven samples from the Markov chain (equally sampled from the last 500 iterations) are displayed here. The Hapke model is used in this example.*

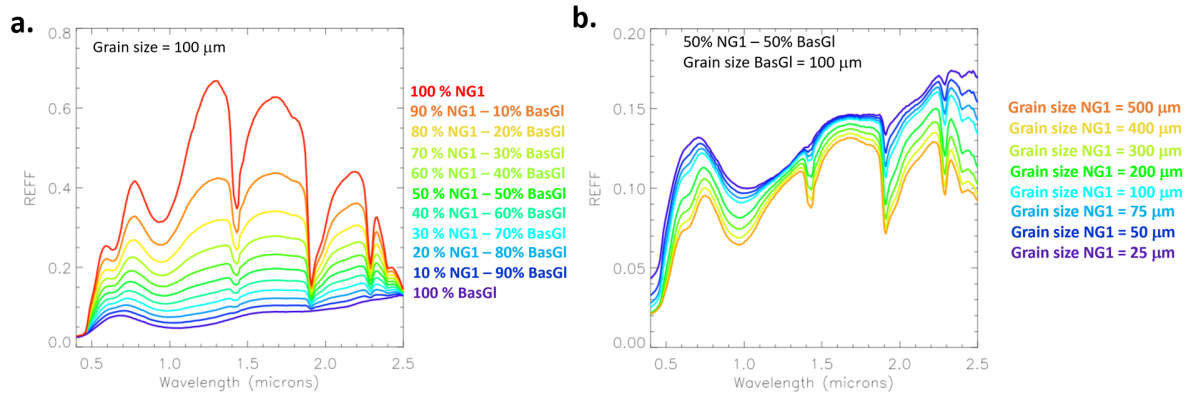


Figure 4: (a) Evolution of the reflectance spectrum of a mixture made of nontronite and basaltic glass for different fractions. Grain diameter for both endmembers is set at 100 microns. (b) Evolution of the reflectance spectrum of a 50/50% mixture made of nontronite and basaltic glass for different grain sizes of the nontronite endmember. Grain diameter for basaltic glass is set at 100 microns.

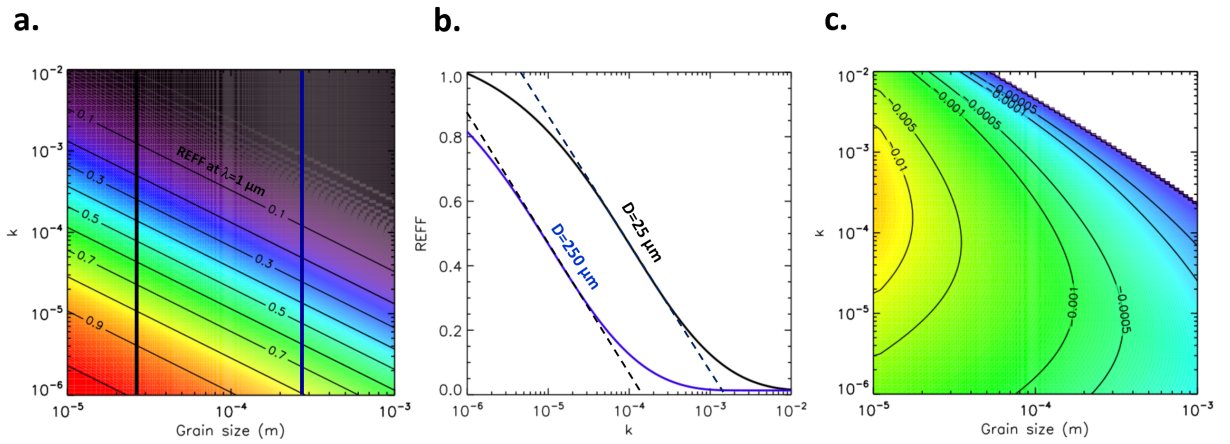


Figure 5: (a) Evolution of the reflectance factor for a granular sample with the grain size (x-axis) and imaginary part of the optical constant  $k$  (y-axis).  $n$  is set to 1.5 here, the wavelength is  $1 \mu\text{m}$ . (b) Vertical cross-sections displaying the evolution of the reflectance factor with  $k$  (cases where the grain diameters are 25 and  $250 \mu\text{m}$ ). Dashed lines are added to highlight the shape of the curves and their inflection point. (c) Sensitivity of the reflectance factor shown in (a) to an increase of the grain size (in REFF unit /  $\mu\text{m}$ ). The right top corner (in white) corresponds to the weak-surface-scattering region (no sensitivity of REFF to the grain size).

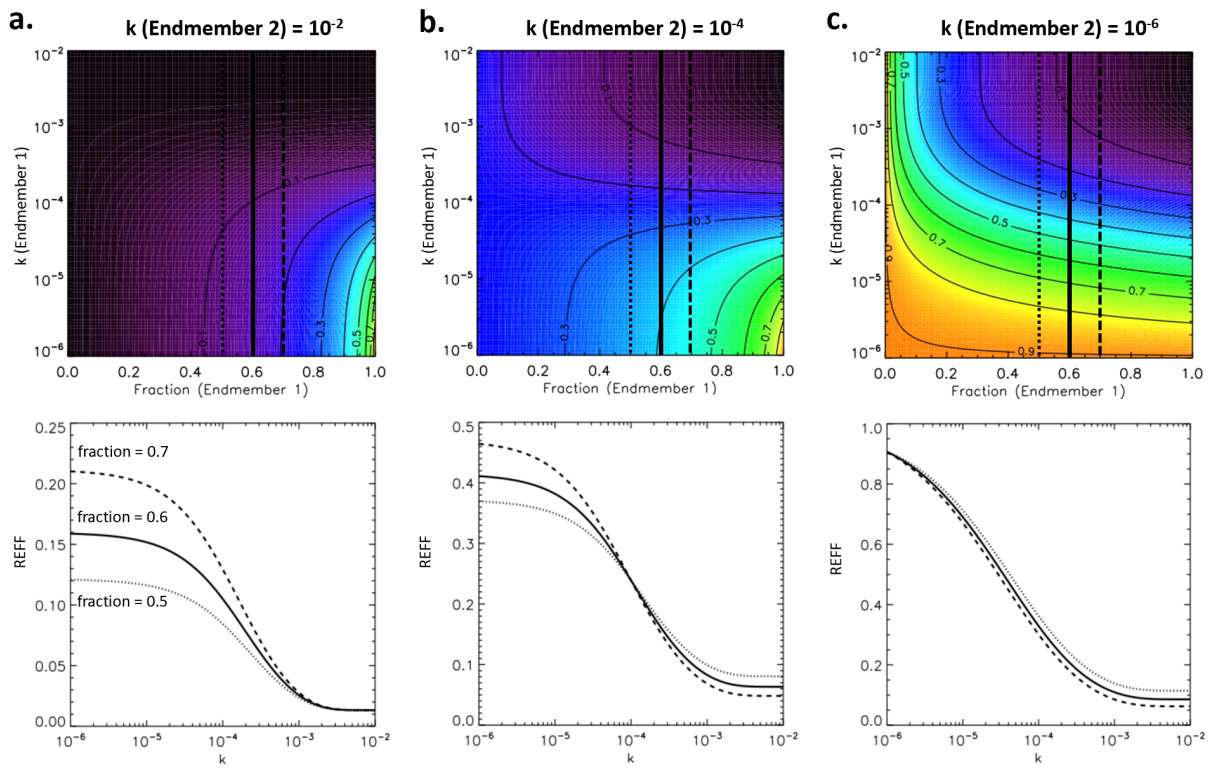


Figure 6: First row - Evolution of the reflectance factor for a mixture of two endmembers with the fraction and imaginary part of the optical constant  $k$  of Endmember 1 for three cases of imaginary part of the optical constant of Endmember 2: (a)  $k = 10^{-2}$ , (b)  $k = 10^{-4}$ , (c)  $k = 10^{-6}$ .  $n$  is set to 1.5 here, the wavelength is  $1 \mu\text{m}$  and the grain diameter of both endmembers is  $100 \mu\text{m}$ . Second row - Vertical cross-sections displaying the evolution of the reflectance factor with  $k$  for three fractions of Endmember 1: 0.5, 0.6 and 0.7.



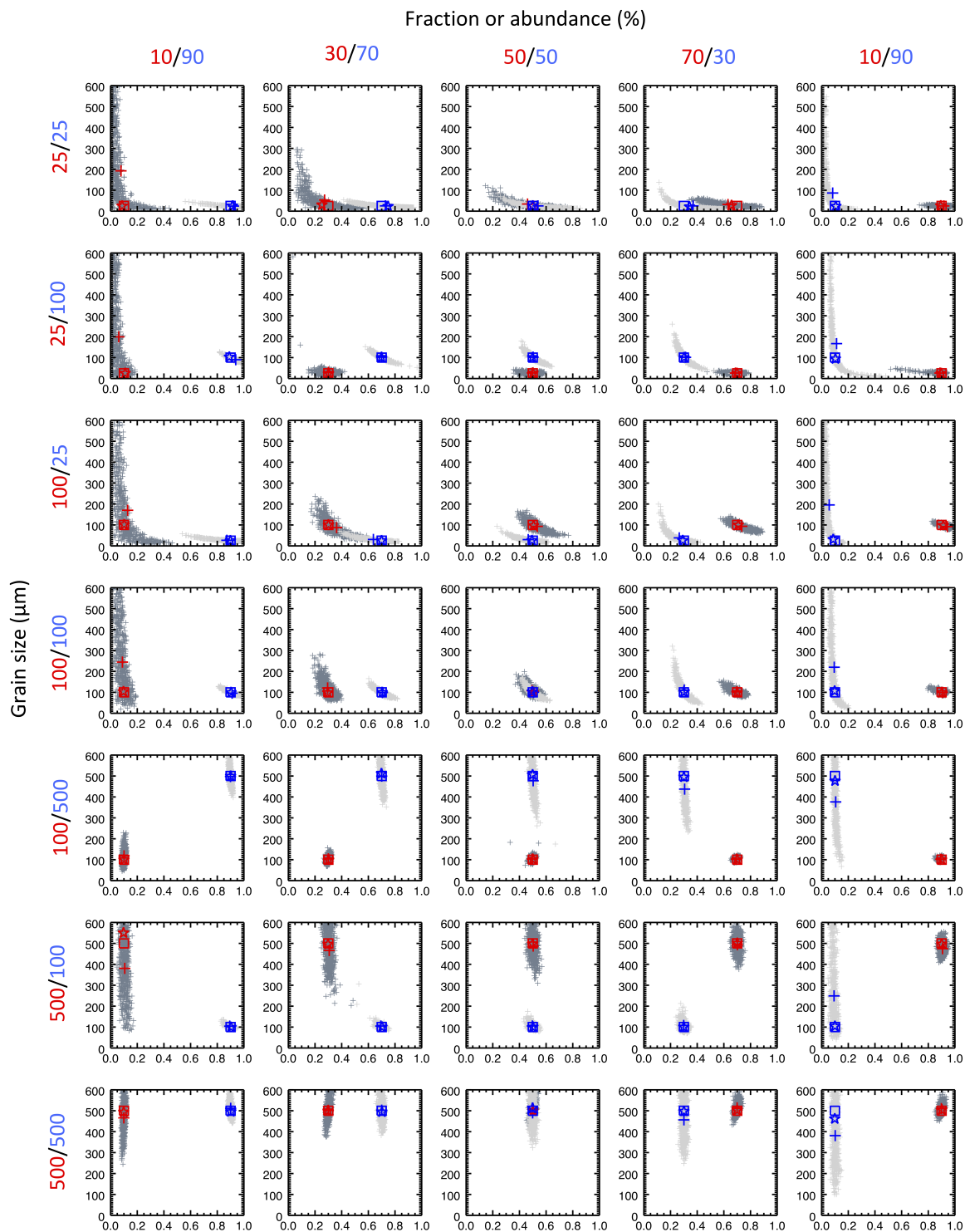


Figure 7: Inversion results obtained on a mixture of nontronite (NG1, Endmember 1) and basaltic glass (BasGl, Endmember 2) with the Hapke model, assuming a data uncertainty of 20%. From left to right: 10/90%, 30/70%, 50/50%, 70/30%, 90/10% fractions. From top to bottom: 25/25  $\mu\text{m}$ , 25/100  $\mu\text{m}$ , 100/25  $\mu\text{m}$ , 100/100  $\mu\text{m}$ , 100/500  $\mu\text{m}$ , 500/100  $\mu\text{m}$ , 500/500  $\mu\text{m}$  grain diameters. Endmember 1 solutions: dark gray crosses; endmember 2 solutions: light gray crosses. References: squares (red for endmember 1 and blue for endmember 2); distribution likelihood maximum: stars (red for endmember 1 and blue for endmember 2); distribution mean: bold crosses (red for endmember 1 and blue for endmember 2).

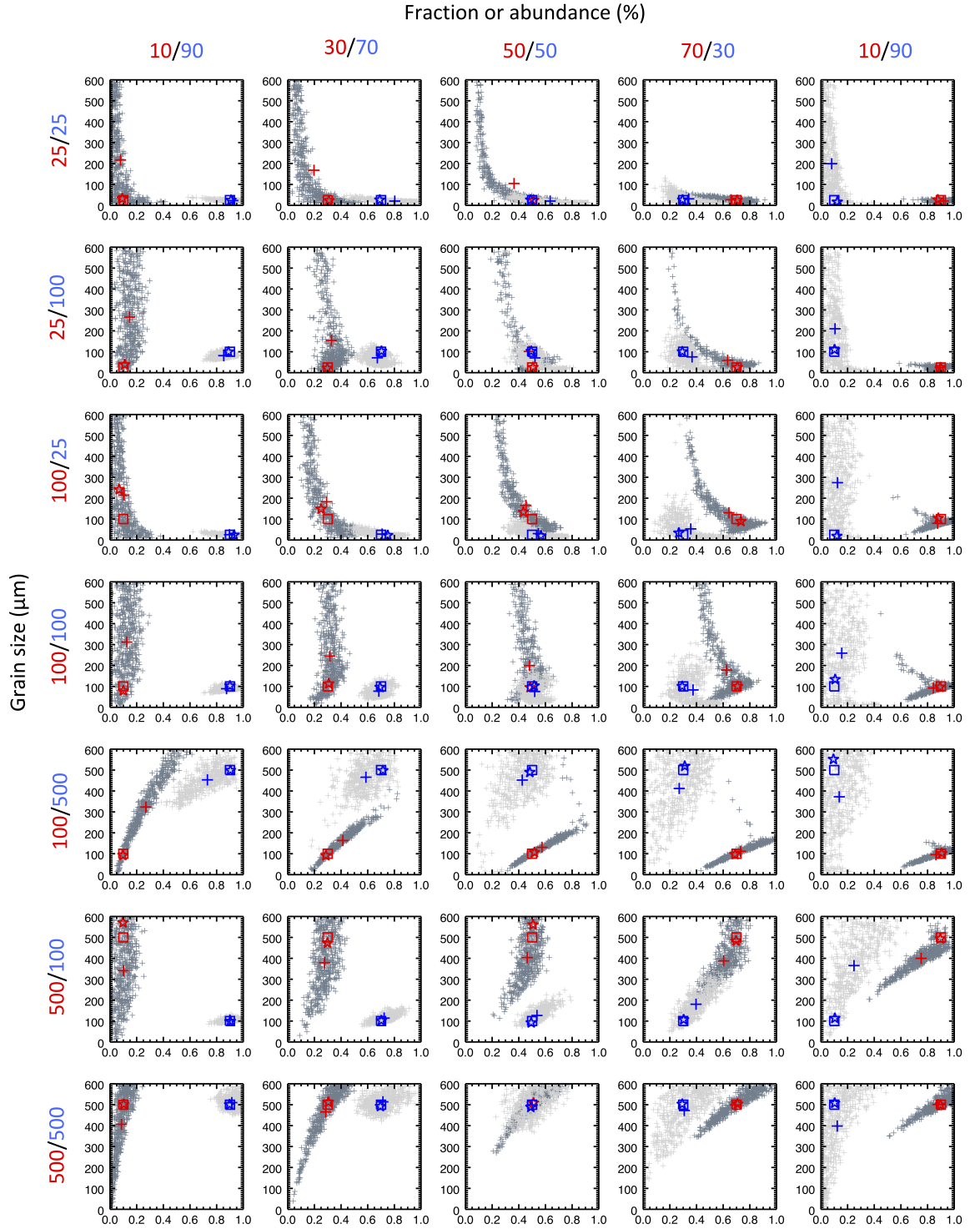


Figure 8: Same as Fig.7 for a mixture of basalt (BAS, Endmember 1) and basaltic glass (BasGl, Endmember 2). Endmember 1 solutions: dark gray crosses; endmember 2 solutions: light gray crosses. References: squares (red for endmember 1 and blue for endmember 2); distribution likelihood maximum: stars (red for endmember 1 and blue for endmember 2); distribution mean: bold crosses (red for endmember 1 and blue for endmember 2).

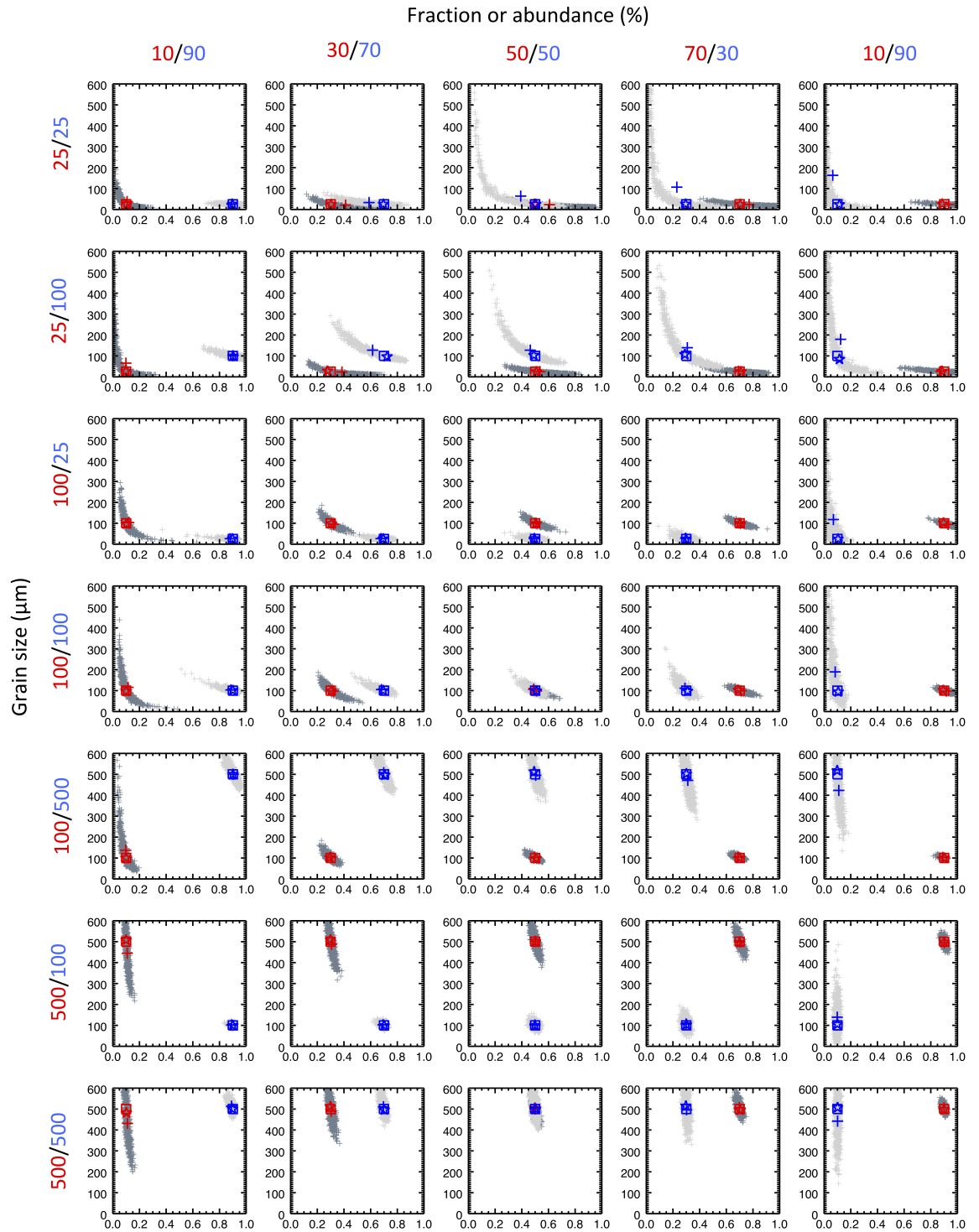


Figure 9: Same as Fig.7 for a mixture of nontronite (NG1, Endmember 1) and olivine/forsterite (OLV, Endmember 2). Endmember 1 solutions: dark gray crosses; endmember 2 solutions: light gray crosses. References: squares (red for endmember 1 and blue for endmember 2); distribution likelihood maximum: stars (red for endmember 1 and blue for endmember 2); distribution mean: bold crosses (red for endmember 1 and blue for endmember 2).

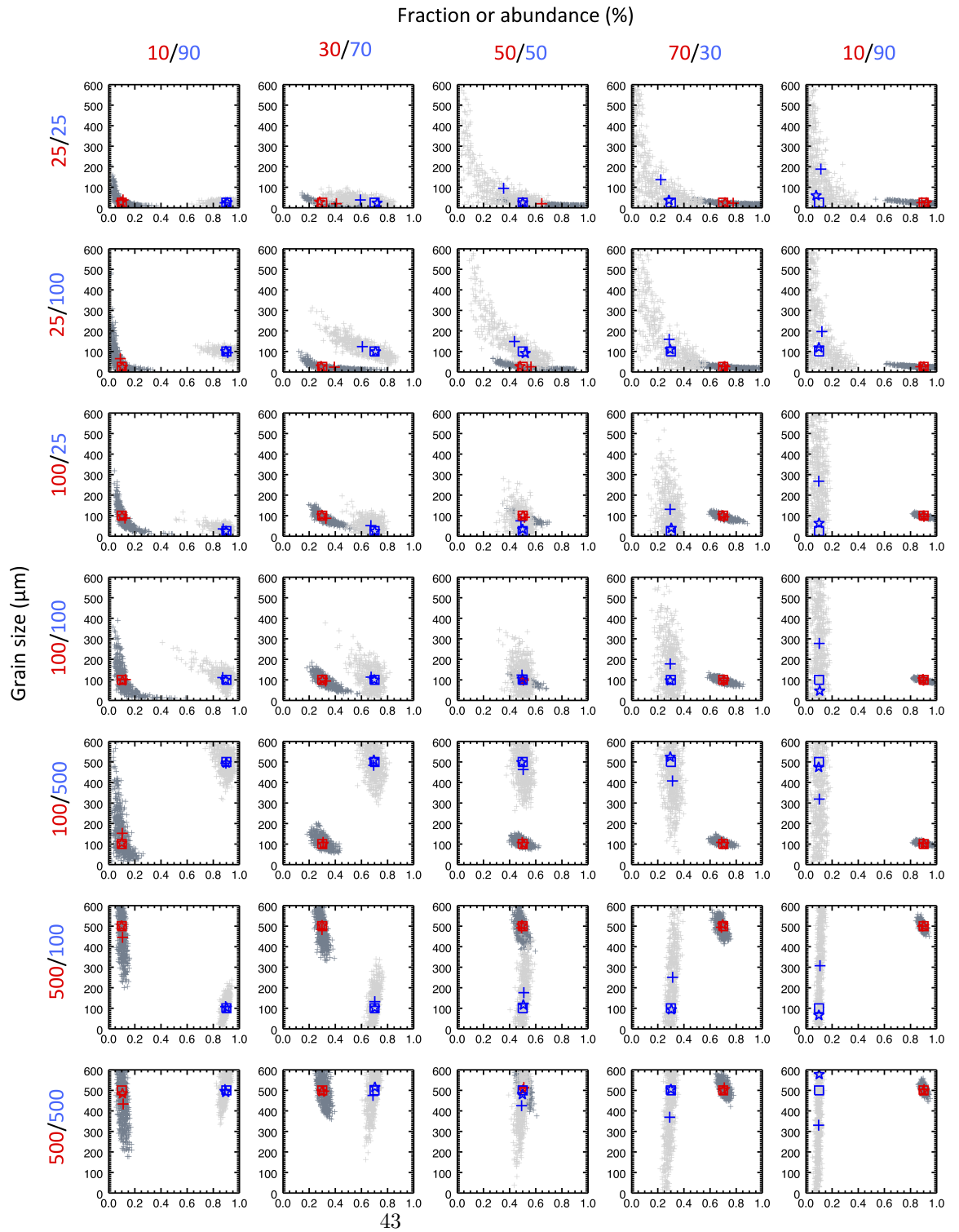


Figure 10: Same as Fig.7 for a mixture of nontronite (NG1, Endmember 1) and magnesite (MGC, Endmember 2). Endmember 1 solutions: dark gray crosses; endmember 2 solutions: light gray crosses. References: squares (red for endmember 1 and blue for endmember 2); distribution likelihood maximum: stars (red for endmember 1 and blue for endmember 2); distribution mean: bold crosses (red for endmember 1 and blue for endmember 2).

### NG1 / BasGI mixture

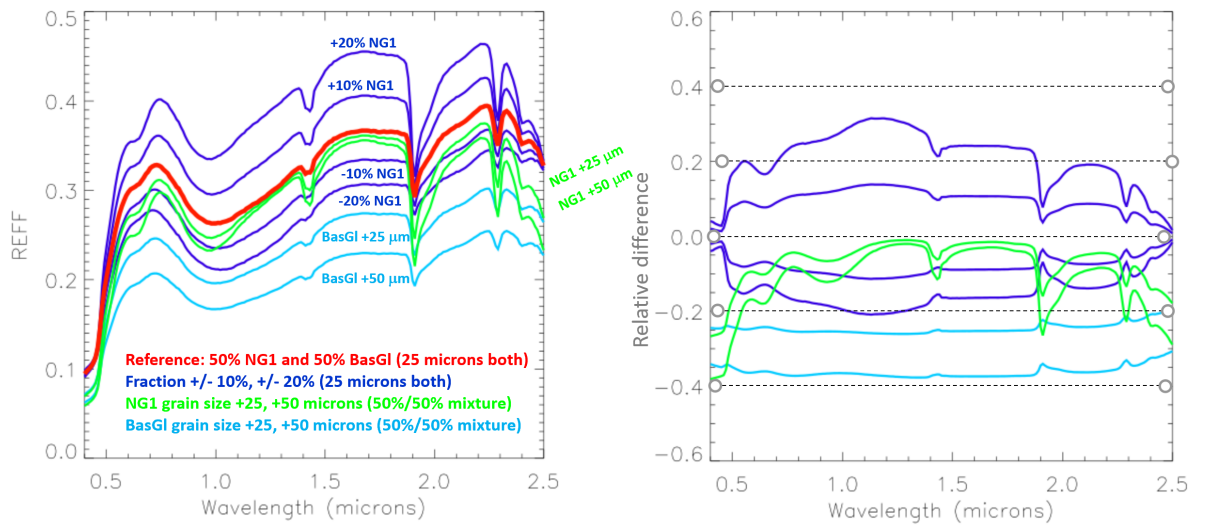


Figure 11: Evaluation of the spectrum sensitivity for a 50%/50% NG1/BasGI mixture (grain diameter of 25 microns for both endmembers). Reflectance spectra are displayed on the left, while the relative difference with regards to the reference spectrum is displayed on the right. Grain size affects the spectrum to a larger extent with peak values above 0.2 in all displayed cases. Fraction only affects the spectrum under 0.2, except for the case with 70% NG1. For the latter, Fig.7 shows that the reflectance factor increase (resulting from an increase of the NG1 fraction) is actually compensated by an increase of the BasGI grain size.

Hapke model - 20% absolute uncertainty

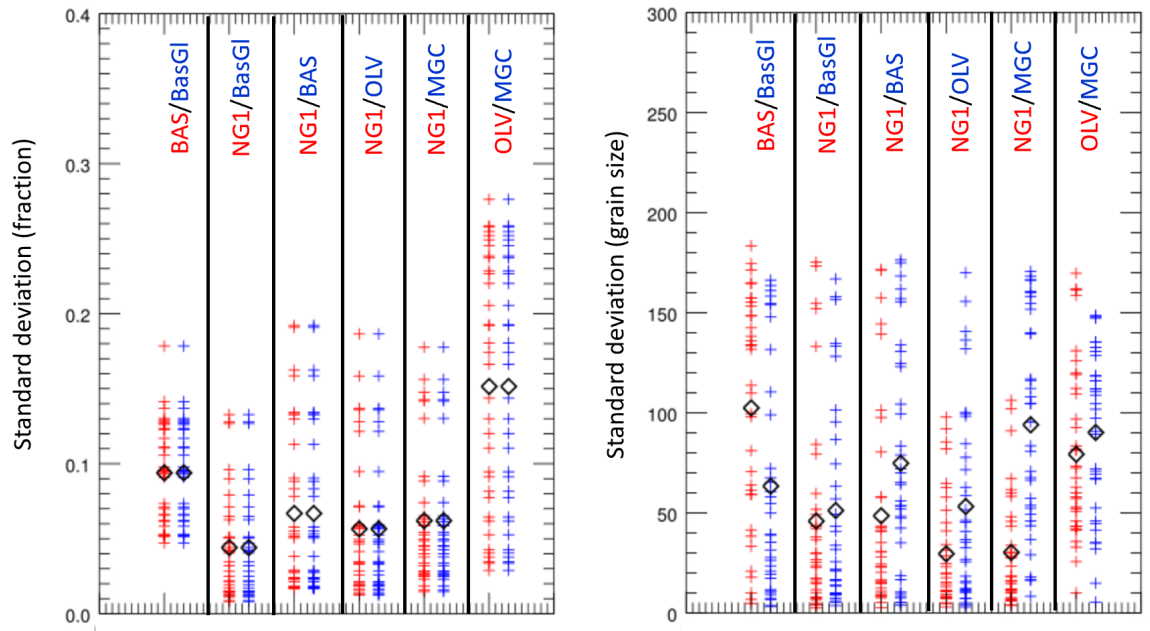


Figure 12: Standard deviation of the fraction (left) and grain diameter (right) calculated from the inversion results using the Hapke model with a data uncertainty of 20%. Endmember 1: red crosses; endmember 2: blue crosses. Black crystals represent the average standard deviation over all tested cases for each couple of endmembers.

Hapke (◇) vs. Shkuratov (□) model - 20% absolute uncertainty

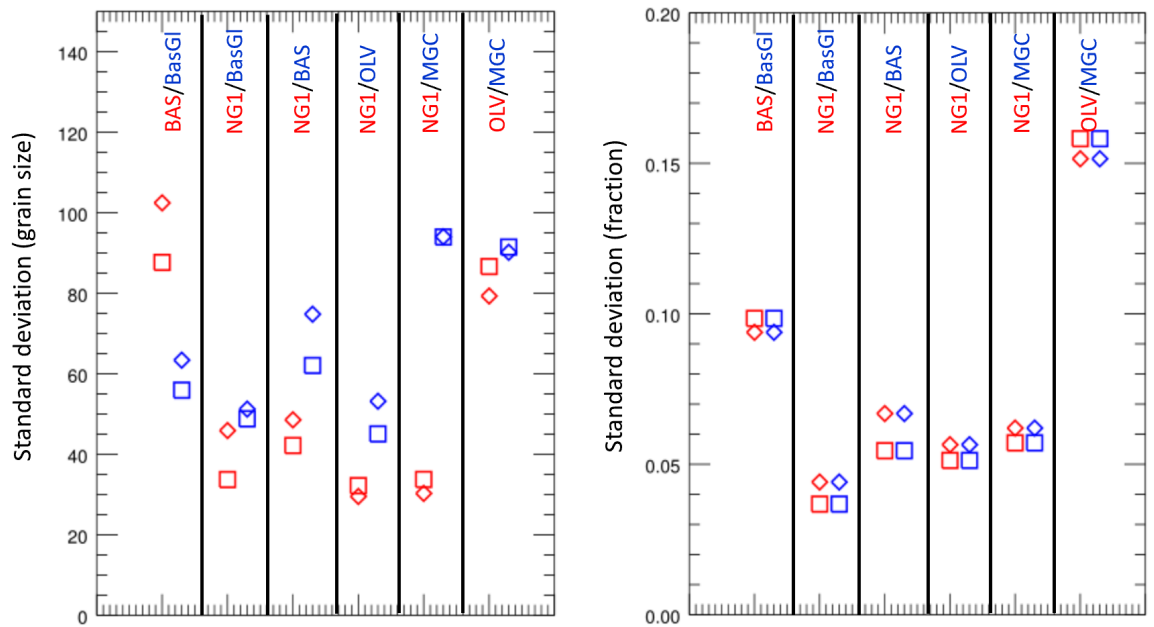


Figure 13: Comparison of the average standard deviations calculated from the inversion results for the two models (Hapke: crystals, and Shkuratov: squares) in the case of a data uncertainty of 20%. Endmember 1: red; endmember 2: blue.

20% absolute uncertainty ( $\diamond$ ) vs. 20% absolute uncertainty & 1% relative uncertainty ( $\square$ )  
Hapke model

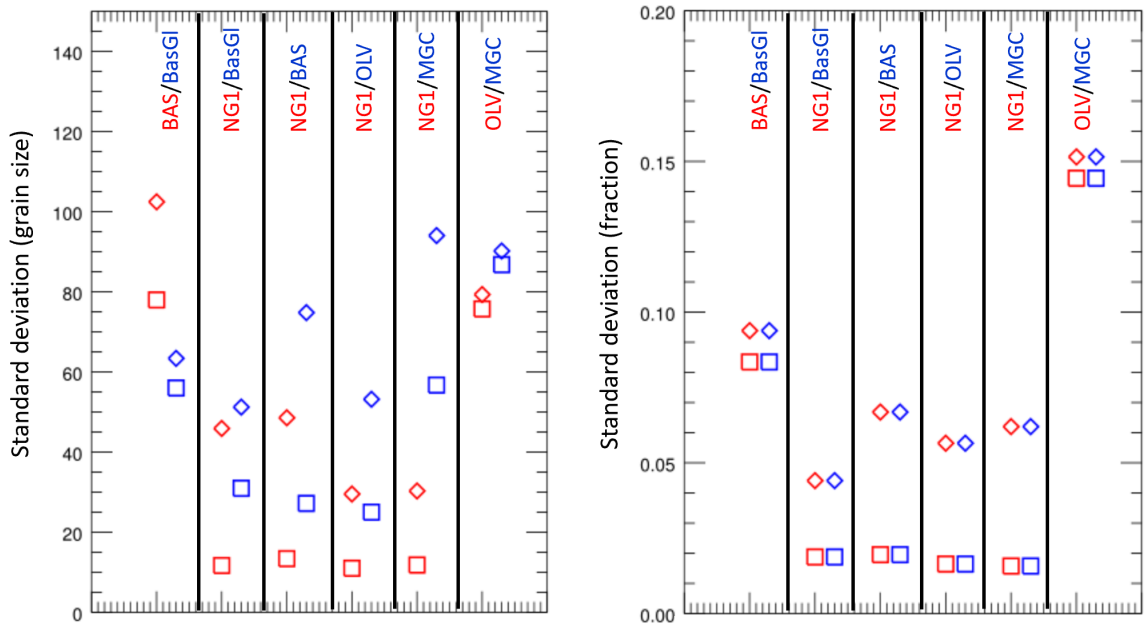


Figure 14: Comparison of the average standard deviations (fraction on the left, grain size on the right) calculated from the inversion results with the Hapke model in the case of a data uncertainty of 20% (crystals) and in the case where an uncertainty of 1% from 1 spectral channel to the next is also taken into account (squares). Endmember 1 (red), endmember 2 (blue).



Hapke ( $\diamond$ ) vs. Shkuratov ( $\square$ ) model - 20% absolute uncertainty & 1% relative uncertainty

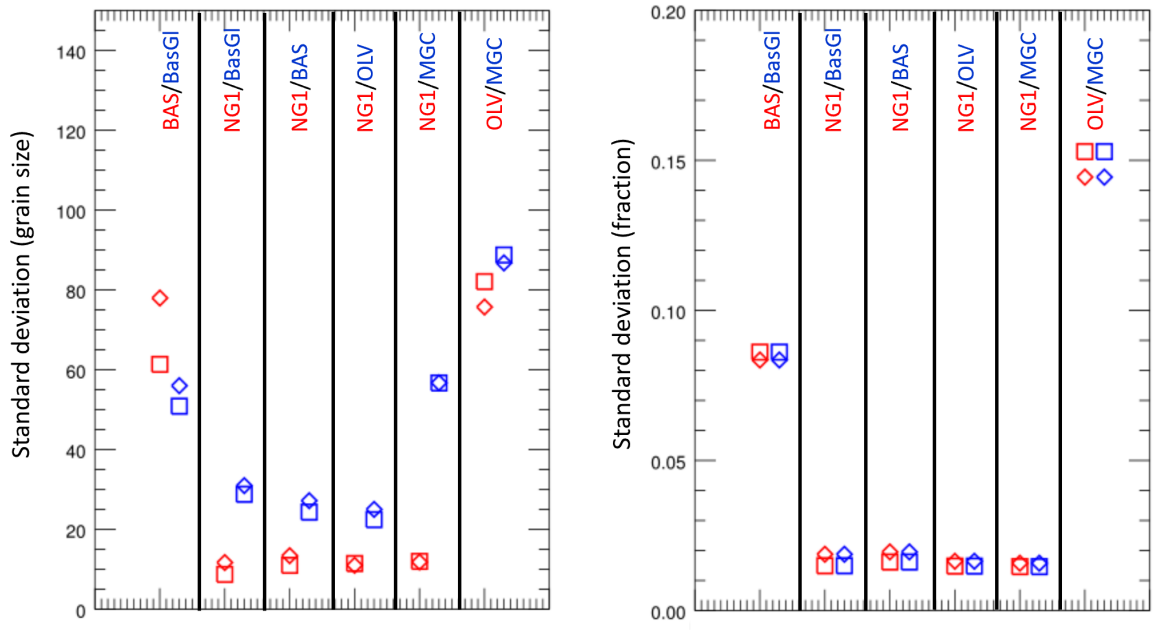


Figure 15: Same as Fig.13 in the case of a data uncertainty of 20% and 1% from 1 spectral channel to the next. Endmember 1 (red), endmember 2 (blue).

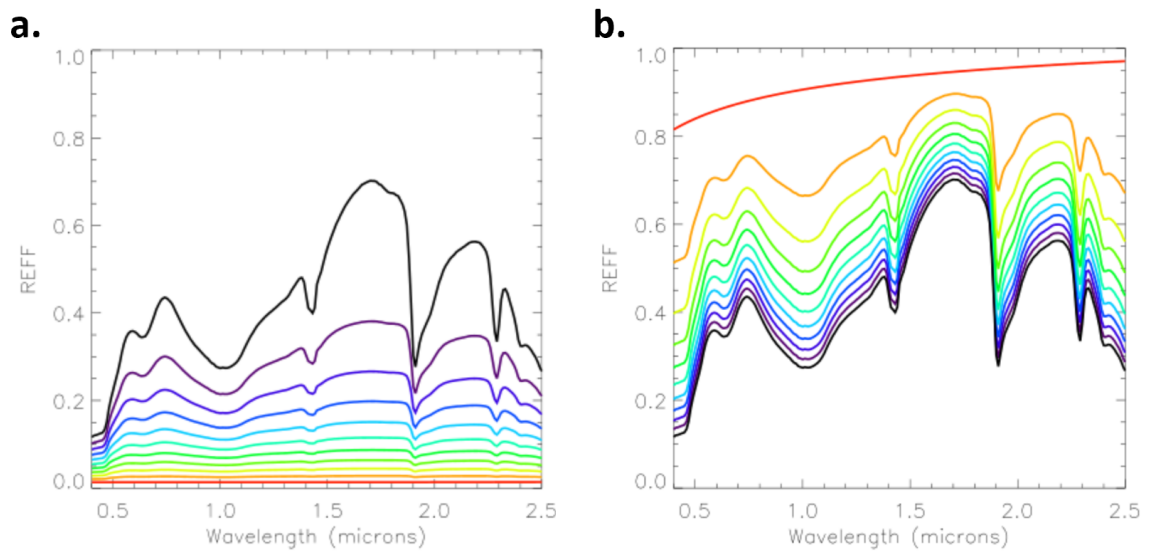


Figure 16: (a) Reflectance spectra of a mixture of nontronite (NG1), olivine/forsterite (OLV) and a 3rd endmember with the following optical constants:  $1.5 + 10^{-2}i$ . Fractions of NG1 and OLV are equal. The fraction of the 3rd endmember increases from 0% (black) to 100% (red) (10% steps). Grain diameters of all three endmembers are set to 100 microns. (b) Reflectance spectra of a mixture of nontronite (NG1), olivine/forsterite (OLV) and a 3rd endmember with the following optical constants:  $1.5 + 10^{-6}i$ .

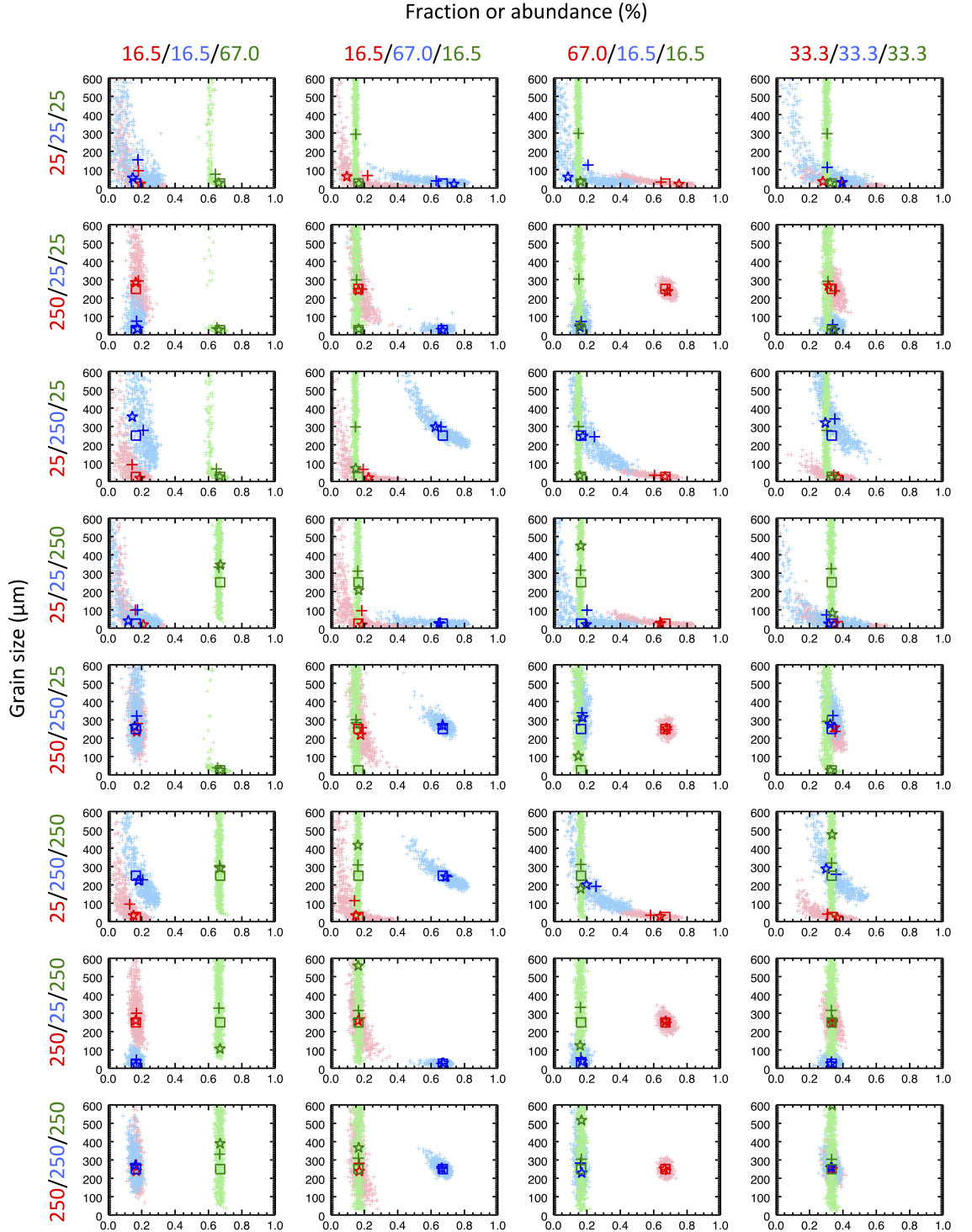


Figure 17: Inversion results obtained on a mixture of nontronite (NG1), olivine/forsterite (OLV) and Compound 3 (with  $n = 1.5$  and  $k = 10^{-2}$ ) with the Hapke model, assuming a data uncertainty of 20%. From left to right: 16.5/16.5/67.0%, 16.5/67.0/16.5%, 67.0/16.5/16.5%, 33.3/33.3/33.3% fractions. From top to bottom: 25/25/25  $\mu\text{m}$ , 250/25/25  $\mu\text{m}$ , 25/250/25  $\mu\text{m}$ , 25/25/250  $\mu\text{m}$ , 250/250/25  $\mu\text{m}$ , 25/250/250  $\mu\text{m}$ , 250/25/250  $\mu\text{m}$  grain diameters. Endmember 1 solutions: light red crosses; endmember 2 solutions: light blue crosses; endmember 3 solutions: light green crosses. References: squares; distribution likelihood maximum: stars; distribution mean: bold crosses. Endmember 1 (red), Endmember 2 (blue), endmember 3 (green).

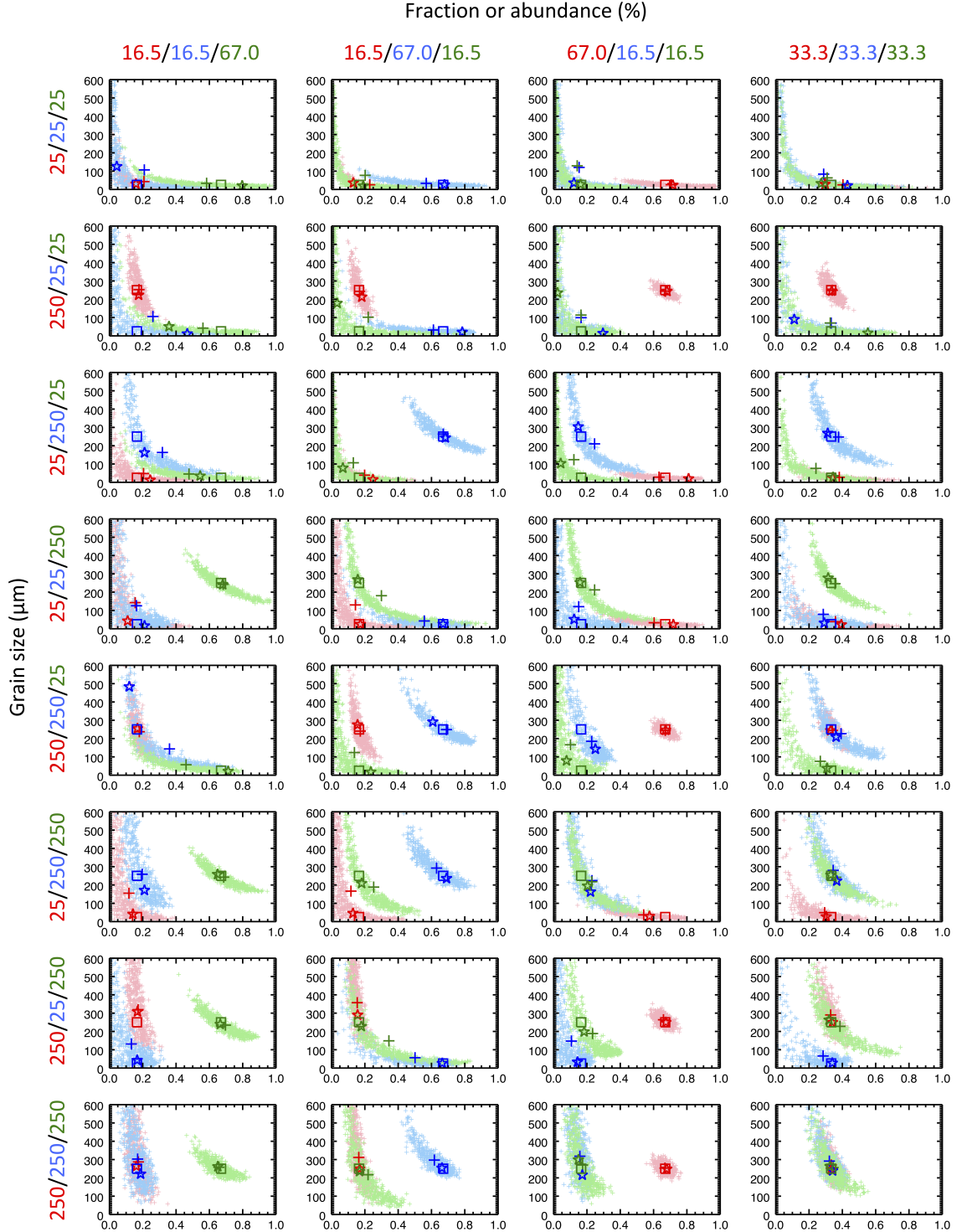


Figure 18: Inversion results obtained on a mixture of nontronite (NG1), olivine/forsterite (OLV) and Compound 3 (with  $n = 1.5$  and  $k = 10^{-4}$ ) with Hapke model, assuming a data uncertainty of 20%. From left to right: 16.5/16.5/67.0%, 16.5/67.0/16.5%, 67.0/16.5/16.5%, 33.3/33.3/33.3% fractions. From top to bottom: 25/25/25  $\mu\text{m}$ , 250/25/25  $\mu\text{m}$ , 25/250/25  $\mu\text{m}$ , 25/25/250  $\mu\text{m}$ , 250/250/25  $\mu\text{m}$ , 250/250/250  $\mu\text{m}$  grain diameters. Endmember 1 solutions: light red crosses; endmember 2 solutions: light blue crosses; endmember 3 solutions: light green crosses. References: squares; distribution likelihood maximum: stars; distribution mean: bold crosses. Endmember 1 (red), Endmember 2 (blue), endmember 3 (green).

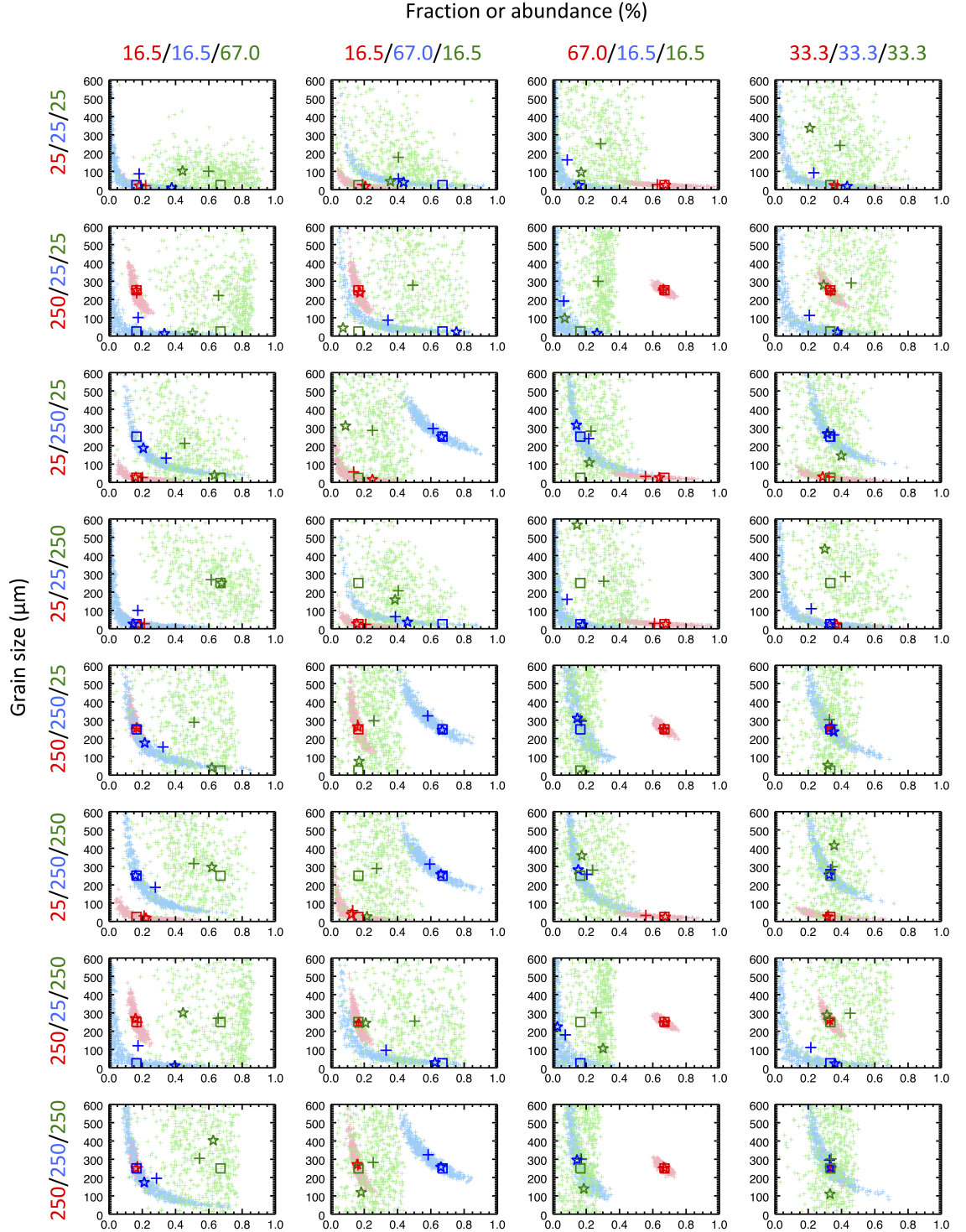


Figure 19: Inversion results obtained on a mixture of nontronite (NG1), olivine/forsterite (OLV) and Compound 3 (with  $n = 1.5$  and  $k = 10^{-6}$ ) with Hapke model, assuming a data uncertainty of 20%. From left to right: 16.5/16.5/67.0%, 16.5/67.0/16.5%, 67.0/16.5/16.5%, 33.3/33.3/33.3% fractions. From top to bottom: 25/25/25  $\mu\text{m}$ , 250/25/25  $\mu\text{m}$ , 25/250/25  $\mu\text{m}$ , 25/25/250  $\mu\text{m}$ , 250/250/25  $\mu\text{m}$ , 25/250/250  $\mu\text{m}$ , 250/250/250  $\mu\text{m}$  grain diameters. Endmember 1 solutions: light red crosses; endmember 2 solutions: light blue crosses; endmember 3 solutions: light green crosses. References: squares; distribution likelihood maximum: stars; distribution mean: bold crosses. Endmember 1 (red), Endmember 2 (blue), endmember 3 (green).

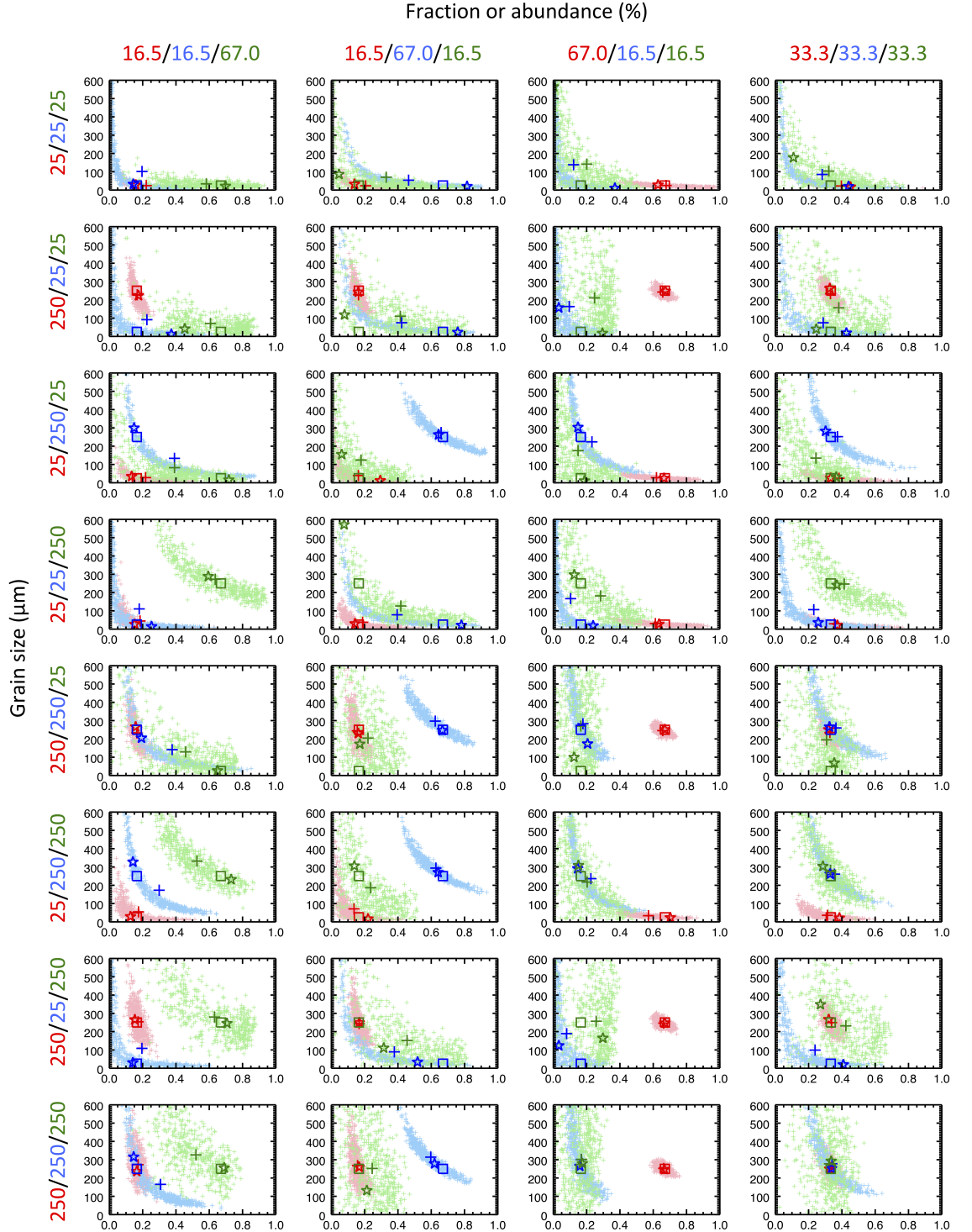


Figure 20: Inversion results obtained on a mixture of nontronite (NG1), olivine/forsterite (OLV) and magnesite (MGC) with Hapke model, assuming a data uncertainty of 20%. From left to right: 16.5/16.5/67.0%, 16.5/67.0/16.5%, 67.0/16.5/16.5%, 33.3/33.3/33.3% fractions. From top to bottom: 25/25/25  $\mu\text{m}$ , 250/25/25  $\mu\text{m}$ , 25/250/25  $\mu\text{m}$ , 25/25/250  $\mu\text{m}$ , 250/250/25  $\mu\text{m}$ , 25/250/250  $\mu\text{m}$ , 250/25/250  $\mu\text{m}$  grain diameters. Endmember 1 solutions: light red crosses; endmember 2 solutions: light blue crosses; endmember 3 solutions: light green crosses. References: squares; distribution likelihood maximum: stars; distribution mean: bold crosses. Endmember 1 (red), Endmember 2 (blue), endmember 3 (green).

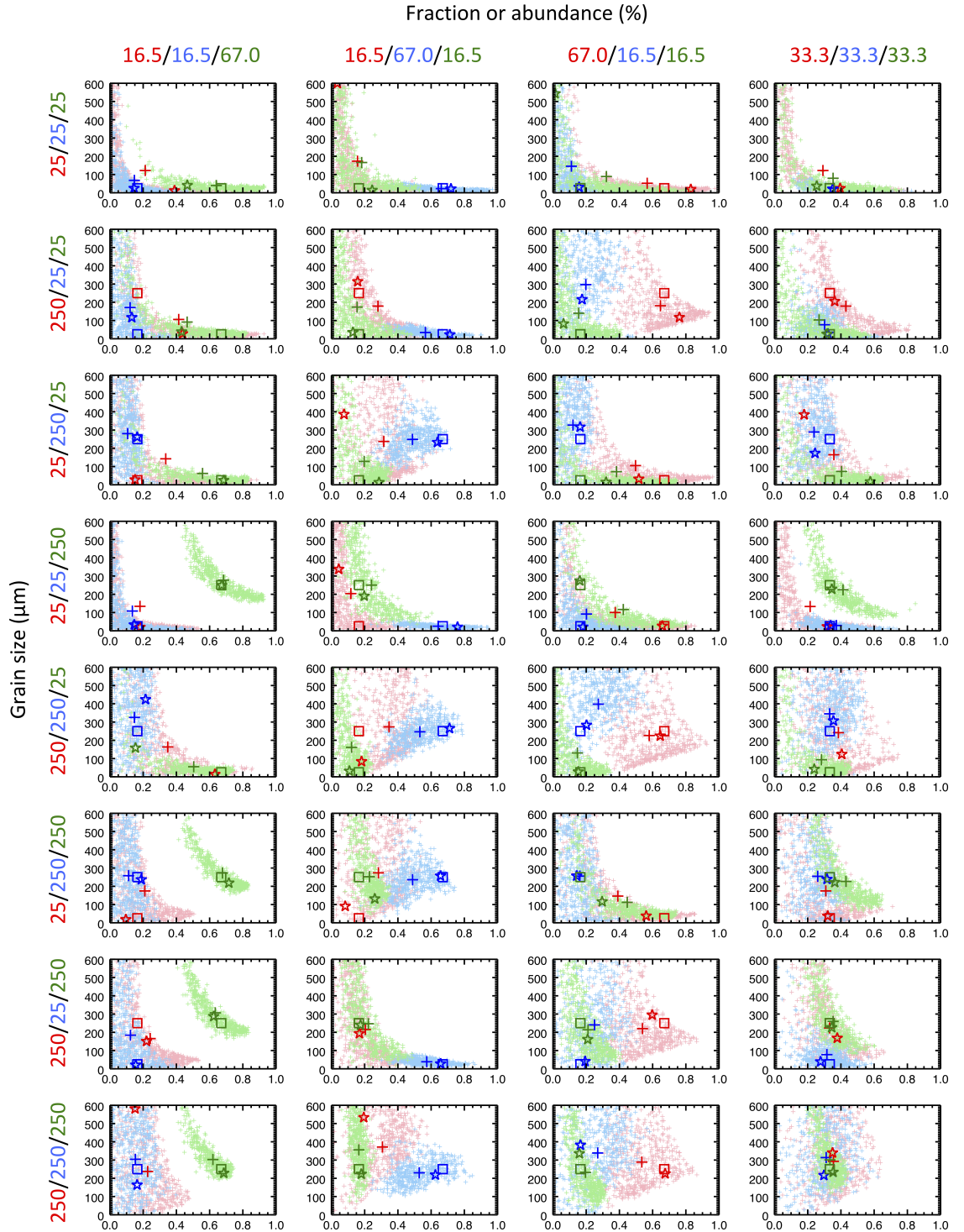


Figure 21: Inversion results obtained on a mixture of basalt (BAS), basaltic glass (BasGl) and olivine/forsterite (OLV) with Hapke model, assuming a data uncertainty of 20%. From left to right: 16.5/16.5/67.0%, 16.5/67.0/16.5%, 67.0/16.5/16.5%, 33.3/33.3/33.3% fractions. From top to bottom: 25/25/25  $\mu\text{m}$ , 250/25/25  $\mu\text{m}$ , 25/250/25  $\mu\text{m}$ , 25/25/250  $\mu\text{m}$ , 250/250/25  $\mu\text{m}$ , 25/250/250  $\mu\text{m}$ , 250/250/250  $\mu\text{m}$  grain diameters. Endmember 1 solutions: light red crosses; endmember 2 solutions: light blue crosses; endmember 3 solutions: light green crosses. References: squares; distribution likelihood maximum: stars; distribution mean: bold crosses. Endmember 1 (red), Endmember 2 (blue), endmember 3 (green).

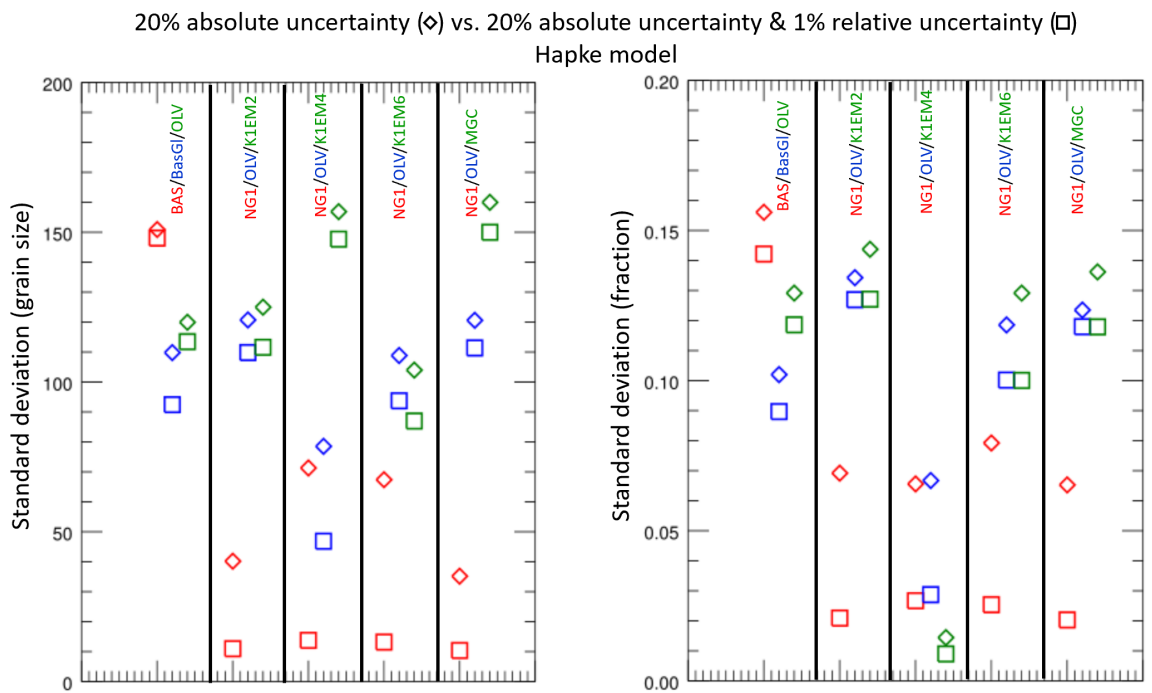


Figure 22: Comparison of the average standard deviations (fraction on the right, grain size on the left) calculated from the inversion results in the case of a data uncertainty of 20% (crystals) and in the case where an uncertainty of 1% from 1 spectral channel to the next is also taken into account (squares). Endmember 1 (red), endmember 2 (blue) and endmember 3 (green).



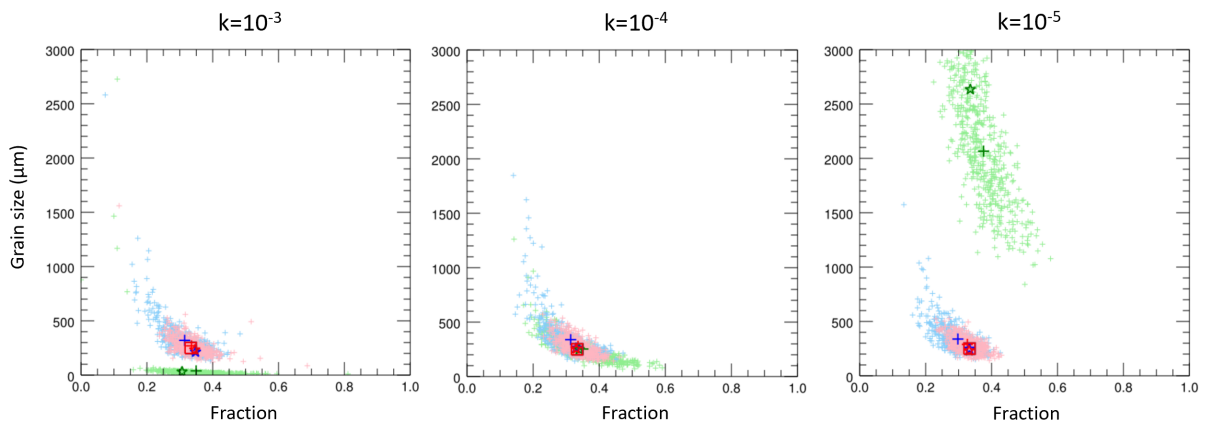


Figure 23: Inversion results obtained on a mixture of nontronite (NG1) (Endmember 1, in red), olivine/forsterite (OLV) (Endmember 2, in blue) and a third compound (Endmember 3, in green) having the following properties:  $n = 1.5$  and  $k = 10^{-4}$  (i.e. no spectral features are assumed for this test). Grain diameters of Endmembers 1, 2 and 3 are set to 250 microns. We assumed here a data uncertainty of 20% (the Hapke model is used). The inversion is made by considering here the Endmember 3 as unknown and testing different optical properties for this compound:  $k = 10^{-3}$  (left),  $k = 10^{-4}$  (middle),  $k = 10^{-5}$  (right). Inversion results are represented by small crosses, the reference by a square, the distribution likelihood maximum by a star and the distribution mean by a cross.

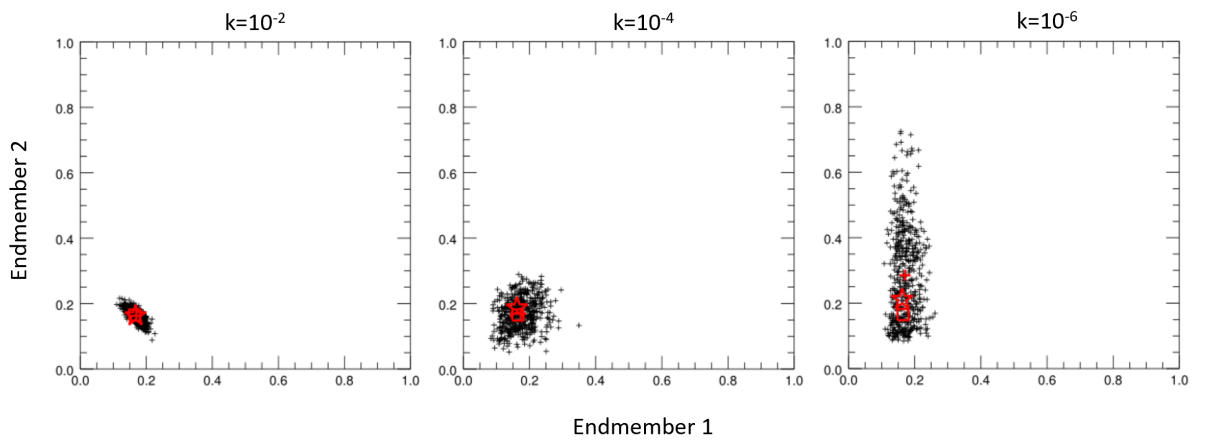


Figure 24: *Inversion results (fraction of Endmember 1 and Endmember 2) obtained on a mixture of 16.6% of nontronite (NG1) (Endmember 1), 16.6% of olivine/forsterite (OLV) (Endmember 2) and 66.7% of a third compound (Endmember 3) with the following properties:  $n = 1.5$  and  $k = 10^{-2}$  (left),  $k = 10^{-4}$  (center),  $k = 10^{-6}$  (right). Grain diameters of the three endmembers are set to 250 microns. We assumed a data uncertainty of 20% and the Hapke model is used here. Inversion results are represented by black crosses, the reference by a red square, the distribution likelihood maximum by a red star and the distribution mean by a red cross.*

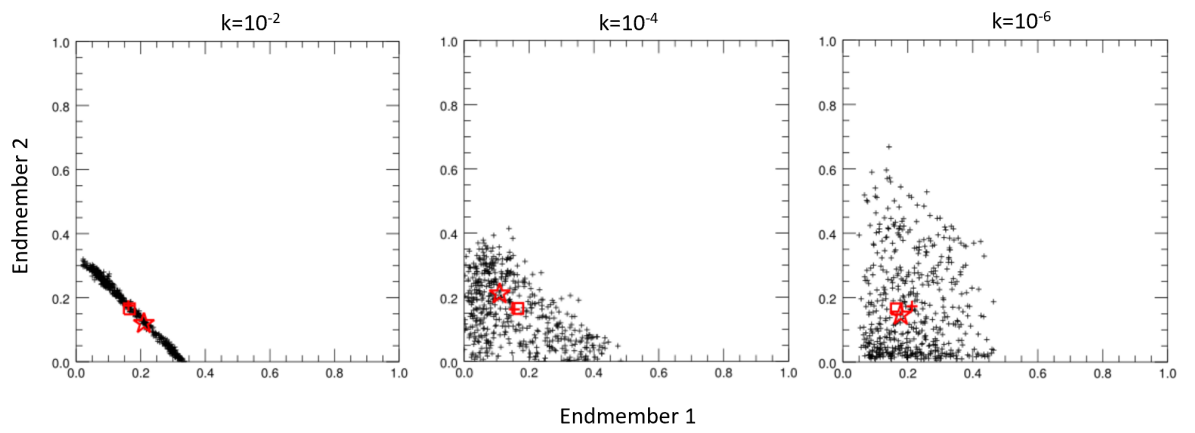


Figure 25: Same as Fig.24, with the grain diameters of Endmembers 1 and 2 set to 25 microns ( $\times 10$  smaller) while the grain diameter of Endmember 3 remains at 250 microns.

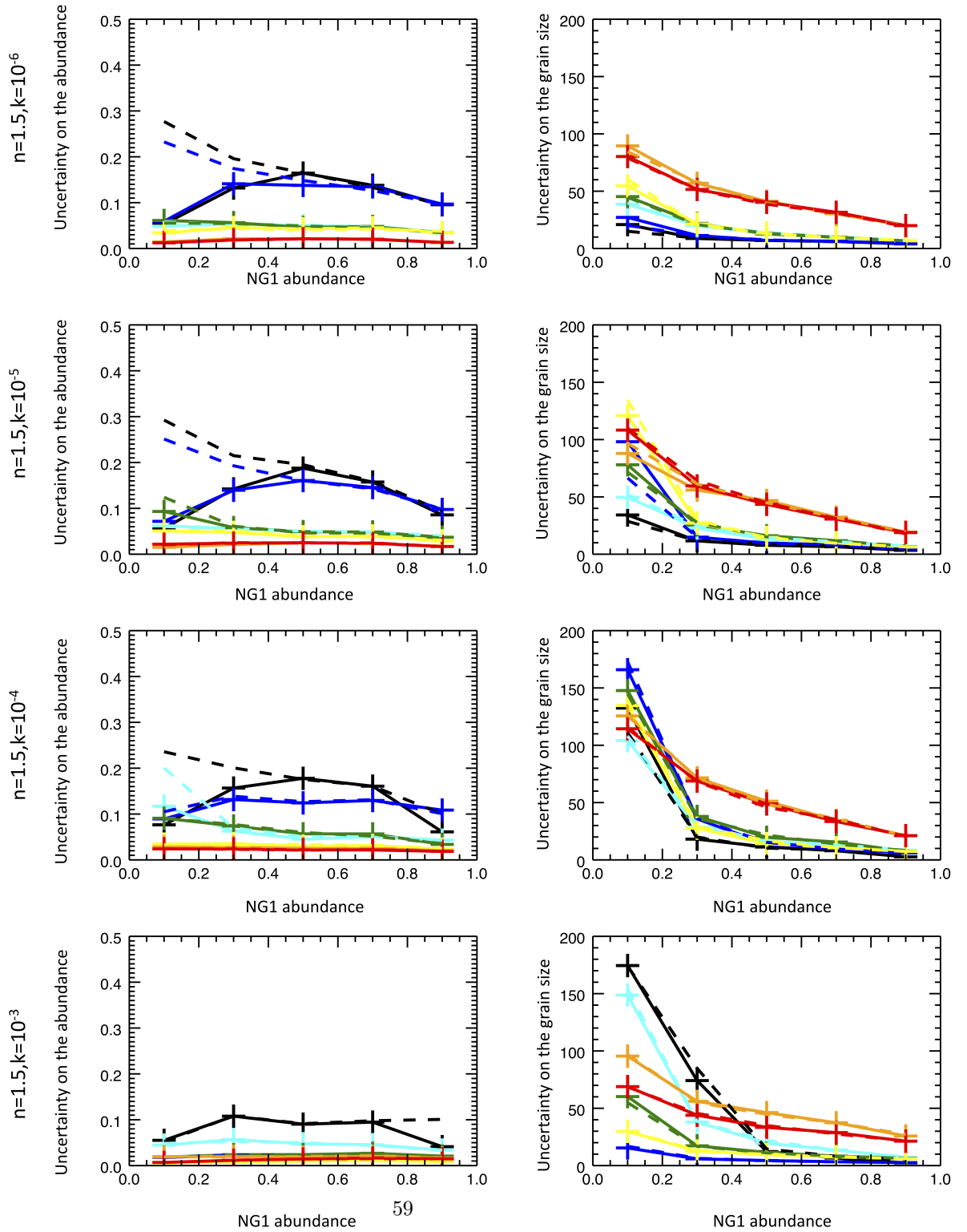


Figure 26: *Uncertainties ( $1\sigma$ ) on the nontronite (NG1) obtained when inverting the reflectance spectra of mixtures made of nontronite (Endmember 1) and a second endmember with the various properties. Grain diameters for the different endmembers are as follows:  $25\mu\text{m}$  for Endmembers 1 and 2 (black),  $25\mu\text{m}$  for Endmember 1 and  $100\mu\text{m}$  for Endmember 2 (blue),  $100\mu\text{m}$  for Endmember 1 and  $25\mu\text{m}$  for Endmember 2 (cyan),  $100\mu\text{m}$  for Endmembers 1 and 2 (green),  $100\mu\text{m}$  for Endmember 1 and  $500\mu\text{m}$  for Endmember 2 (yellow),  $500\mu\text{m}$  for Endmember 1 and  $100\mu\text{m}$  for Endmember 2 (orange),  $500\mu\text{m}$  for Endmembers 1 and 2 (red). The continuous lines correspond to the case where the grain size is tested over the  $10\text{-}600\mu\text{m}$  range, while the dashed lines correspond to the  $1\text{-}600\mu\text{m}$  range. This highlights the boundary effects present when the grain sizes are small as the second endmember is featureless. The Hapke model is used and a 20% uncertainty on the reflectance factor is assumed.*

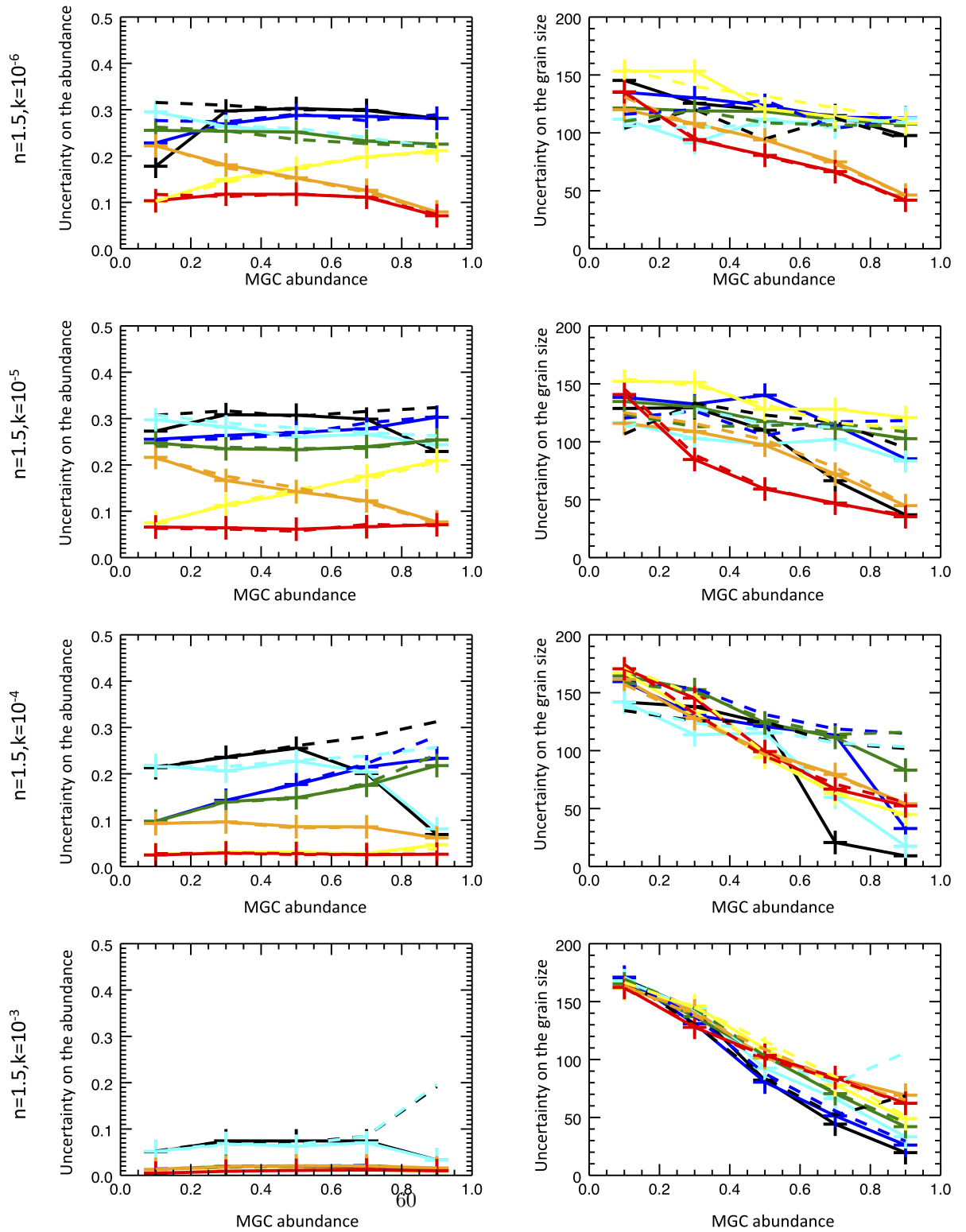


Figure 27: Same as Figure 26 for the magnesite (MGC).