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# Mobility of carbon-decorated screw dislocations in bcc iron

7 Lisa Ventelona,<sup>∗</sup>, Daniel Caillardb, Bérengère Lüthi<sup>a</sup> , Emmanuel Clouet<sup>a</sup> , David Rodney<sup>c</sup> , François Willaime<sup>d</sup> <sup>8</sup> *<sup>a</sup>Université Paris-Saclay, CEA, Service de Recherches de Métallurgie Physique, <sup>91191</sup> Gif-sur-Yvette, France*

<sup>9</sup> *<sup>b</sup>CEMES-CNRS, <sup>29</sup> rue Jeanne Marvig, BP94347, <sup>31055</sup> Toulouse, France*

*Institut Lumière Matière, CNRS-Université Claude Bernard Lyon 1, F-69622 Villeurbanne, France*

11 *<sup>d</sup>Université Paris-Saclay, CEA, Direction Scientifique Énergies, 91191 Gif-sur-Yvette, France*

#### 16 **Abstract**

We investigate the mobility of screw dislocations decorated by carbon solutes in body-centered cubic iron based

on density functional theory (DFT) calculations and transmission electron microscopy (TEM) observations of in-20 situ straining experiments. We focus on the high-temperature domain, between 500 and 800 K, where plasticity is controlled by the slow glide of decorated screw dislocations with a mobility similar to the Peierls mechani  $\frac{21}{22}$  controlled by the slow glide of decorated screw dislocations with a mobility similar to the Peierls mechanism existing below 300 K, at variance with the athermal regime observed at intermediate temperatures. W below 300 K, at variance with the athermal regime observed at intermediate temperatures. We propose that due to 23 the strong pinning of reconstructed dislocation lines by carbon solutes, dislocation glide occurs by the formation and 24 migration of kinks, both controlled by the jumps of carbon atoms. We calculate the associated kink-pair nucleation 25 and formation energies as well as the migration energies of isolated kinks and use these DFT values to predict the<br>26 dislocation velocity as a function of temperature, applied stress and dislocation length. The validit 26 dislocation velocity as a function of temperature, applied stress and dislocation length. The validity of the mobility  $27$  law is assessed by the comparison with dislocation velocities measured in TEM observations at 27 law is assessed by the comparison with dislocation velocities measured in TEM observations at different temperatures  $28$  and local shear stresses. The quantitative agreement between the experimental measurements and t 28 and local shear stresses. The quantitative agreement between the experimental measurements and the analytical model  $29$  supports the proposed glide mechanism and the DET values obtained for kink energies supports the proposed glide mechanism and the DFT values obtained for kink energies.

31 *Keywords:* Plasticity, Dislocations, Iron, Carbon, Density functional theory, In-situ TEM straining experiments

## 34 **1. Introduction**

In-situ transmission electron microscopy (TEM) straining experiments [1, 2] carried out in iron contain-38 ing a low carbon concentration, namely 3-4 wt. ppm 39 of C, have shown that at high temperature, at the end of 40 the so-called blue-brittleness regime near 500 K [3], and 41 up to about 800K, plasticity is unexpectedly controlled 42 by the thermally-activated motion of straight screw dis-<br>43 locations This behaviour suggests that in this high-43 locations. This behaviour suggests that in this high-<br>44 temperature regime dislocation glide proceeds through  $\frac{44}{15}$  temperature regime, dislocation glide proceeds through  $\frac{45}{15}$  the nucleation and migration of kink-pairs along the dis-45 the nucleation and migration of kink-pairs along the dis-<br>46 location lines as below room temperature in pure Fe  $[4]$  $\frac{46}{47}$  location lines, as below room temperature in pure Fe [4].  $\frac{47}{48}$  The reappearance of the Peierls mechanism at high tem-<br>approximation Eq. C is prepared to result from the strong perature in Fe-C is proposed to result from the strong<br>49 attraction of screw dislocations with carbon atoms, as<br>evidenced by density functional theory (DFT) calcula-<br>51  $52$  tions in most body-centered cubic (bcc) transition met-<br>als, leading to their transformation and pinning in the hard core configuration with regular trigonal prisms of

metal atoms centered on carbon atoms [5–10]. This segregation of solutes in the dislocation core leading to a core reconstruction was also evidenced using DFT with other interstitial solute elements [11-15] and is observed with embedded atom method (EAM) potentials [16].

At high temperatures, the carbon atoms can diffuse and segregate on screw dislocation cores. A thermodynamical model parameterized on DFT calculations showed that at equilibrium, half of the prismatic core sites are occupied by carbon atoms over a large temperature domain between 400 and 600 K [17]. The mobility of decorated screw dislocations has therefore to be investigated accounting for this segregation of carbon atoms in the dislocation core. This requires to determine the activation energies involved during the formation and migration of kink-pairs on a screw dislocation decorated by carbon atoms.

DFT calculations are used in the present work to obtain all ingredients necessary to model the dislocation velocity in the temperature range where carbon segregates on screw dislocations, i.e. the kink-pair forma-59 *Preprint submitted to Acta Materialia September 6, 2022*

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 $57$  \* Corresponding authors<br>57  $F_{\text{mail} \text{ address: } \text{ lies } y}$ 57 *Email address:* [lisa.ventelon@cea.fr](mailto:lisa.ventelon@cea.fr) (Lisa Ventelon)

tion energy, the migration and nucleation energies. The resulting kinetic model parameterized on DFT calcula- $\frac{5}{6}$  tions is then compared to experimental measurements. To this end, in-situ TEM straining experiments in Fe- $\sim$  8  $\sigma$  care performed at three different temperatures, corresponding to the end of the blue-brittleness regime, where the mobility of dislocations is controlled by the 11 nucleation and propagation of kinks. Both the disloca-12 tion velocities and the local shear stress are measured 13 experimentally allowing to test the validity of the the-14 oretical mobility law. We also use these TEM obser-15 vations to measure the critical kink-pair extension cor-16 responding to the transition length between the length-<br>17 dependent and kink-collision regimes. These delicate 17 dependent and kink-collision regimes. These delicate<br>18 and detailed experimental measurements make it possi-18 and detailed experimental measurements make it possi-<br>19 ble to quantitatively compare the velocity law predicted  $\frac{19}{20}$  ble to quantitatively compare the velocity law predicted  $\frac{20}{20}$ from ab initio with the experimental one.

# 23 **2. Ab initio investigation**

#### *2.1. Methodology*

<sup>27</sup> We consider a quadrupolar periodic array of disloca-<br>28  $\frac{28}{2}$ 

the dislocation Burgers vector equal to  $1/2/111$ <sub>1</sub>), as  $(^{111})$  $\frac{44}{45}$  1  $\times$  2 shifted *k*-point grids. This configuration re-<sup>43</sup> line, denoted *h*, are used with respectively  $1 \times 2 \times 4$  and <sup>28</sup> tion dipoles [18], with carbon atoms separated by 2*b* 29 along the 111<sub>1</sub> direction of the dislocation line (*b* is the dislocation Burgers vector equal to 1/2<sub>(111)</sub>, as illustrated in Figs.  $1(b)$  and (c). This carbon-carbon separation distance along the line corresponds to every 34 other prismatic core site occupied by a carbon atom, as<br>35 predicted by ab initio-based thermodynamics [17]. For 35 predicted by ab initio-based thermodynamics [17]. For completeness, we also consider a fully saturated dislo-36 completeness, we also consider a fully saturated dislo-<br>37 cation line shown in Fig. 1(a) with all the prismatic sites cation line shown in Fig.  $1(a)$  with all the prismatic sites 38 occupied by carbon atoms, corresponding to a separa-39 tion distance of 1*b* between carbon atoms along the line.<br>40 The simulation cell contains 135 Fe atoms per length 40 The simulation cell contains 135 Fe atoms per length  $\frac{41}{41}$  b of dislocation line with periodicity vectors given in  $\frac{41}{42}$  *b* of dislocation line, with periodicity vectors given in  $\frac{42}{42}$ <sup>42</sup> Ref. [19]. Cell sizes of 4*b* and 8*b* along the dislocation  $\frac{43}{2}$  line denoted *b*, are used with respectively 1, 2, 4 and 45  $\frac{1}{2}$  sults in 540 and 1080 Fe atoms in the simulation cell 46 for resp.  $h = 4b$  and  $h = 8b$ . Spin-polarized DFT calculations are performed with the Vasp code [20] us-<sup>48</sup> ing the projector augmented wave scheme [21, 22] and the Perdew–Burke–Ernzerhof generalized gradient approximation. Pseudopotentials without semicore electrons for Fe and with s and p valence electrons for C are 53 used with a 400 eV kinetic-energy cutoff and a 0.2 eV<br>54 Methfessel-Paxton electronic occupancy smearing. The 54 Methfessel-Paxton electronic occupancy smearing. The 55 atomic structures are determined by performing ionic<br>56 relaxations in fixed cells using a criterion of 10 meV $\AA$ <sup>-1</sup>  $56$  relaxations in fixed cells using a criterion of 10 meVÅ<sup>-1</sup><br>57 for force convergence for force convergence.



Figure 1: Atomic structure of a reconstructed screw dislocation with a kink-pair and carbon-carbon separations of(a) 1*b* and (b) 2*b*. The 2*b* case is represented schematically in (c), highlighting the shift of *b/*3 between prismatic sites in successive Peierls valleys. In (a) and (b), the Fe atoms in contact with the dislocation core are shown in gray, the C atoms in red. The configurations are obtained after DFT relaxation in the 8*b*-cell.

angle of 71<sup>∘</sup> with *b*), and a-superscript (denoted *K*<sup>−</sup> kink is rotated towards the other 111 close-packed di-We consider kinks lying on {110} planes. Two nonequivalent kinks can form on a screw dislocation, sometimes erroneously called vacancy- and interstitialtype kinks [23–27]. Here, we adopt the notation proposed in Ref. [28] and use a + superscript (denoted K<sup>+</sup> for positive kink in Fig. 1) if the dislocation line in the rection in the {110} plane (i.e. the direction forming an for negative kink in Fig. 1) in the opposite case. To calculate the kink-pair formation energy, a pair of kinks of opposite sign is introduced along both dislocations composing the dislocation dipole, unless otherwise specified. The kink separation, i.e. the separation distance between opposite kinks, denoted by *w*kp thereafter, is set equal to half the cell size along the dislocation line, i.e. 2*b* in the 4*b*-cell and 4*b* in the 8*b*-cell. The kink height,

denoted *λ*P, is set to one Peierls valley, i.e. *λ*<sup>P</sup> = , 2*/*3*a*<sup>0</sup> with  $a_0$ , the lattice parameter (2.83 Å).

# 3 *2.2. Kink-pair formation energy*

<sup>4</sup> Whether the kink separation is set to <sup>2</sup>*<sup>b</sup>* or <sup>4</sup>*<sup>b</sup>* and for both carbon distributions along the reconstructed lines<br>  $\begin{array}{ll} \n\frac{1}{2} & \text{[Figs. 1(a) and 1(b))}, \text{ we find upon relaxation that both}\n\end{array}$ (Figs. 1(a) and 1(b)), we find upon relaxation that both the negative and positive kinks are very abrupt with a the negative and positive kinks are very abrupt with a width set by the distance between C atoms along the

line, i.e. 1*b* to 2*b*, in contrast with the case of pure 11 iron, where the kinks along the easy core configuration 12 are spread over 20*b* approximately [27, 29, 30]. All the 13 carbon atoms remain in the prismatic core sites during 14 energy minimization except for one particular configu-15 ration. In the case of the fully saturated dislocation line, 16 as seen in Fig. 1(a), the carbon atom situated in the nega-<br>17 tive kink relaxes from its prismatic core site towards the 17 tive kink relaxes from its prismatic core site towards the 18 nearest neighbouring octabedral site for kink senara 18 **nearest neighbouring octahedral site, for kink separa-**<br>19 **none of both 2b** and 4b. Similar kink relaxation has been 19 tions of both 2*b* and 4*b*. Similar kink relaxation has been<br>20 observed in W-C system [31]. This can be linked to the 20 observed in W-C system [31]. This can be linked to the<br>21 feet that the V<sup>+</sup> and V<sup>-</sup> kinke have different atomic ar 21 fact that the K<sup>+</sup> and K<sup>-</sup> kinks have different atomic ar-<br>22 rangements As illustrated in Fig. 1 prismatic sites are 22 rangements. As illustrated in Fig. 1, prismatic sites are<br>23 ratified by  $h/2$  in augments Deiarly valleys. As a result <sup>23</sup> shifted by *b*/3 in successive Peierls valleys. As a result,<br>24 the *V* bight has a width 2*U* 2 known than the *V*, bight 24 the K<sup>+</sup> kink has a width  $2b/3$  longer than the K<sup>-</sup> kink. 25<br>
The C-C distance in the K<sup>-</sup> kink is thus compressed.<br>
During relaxation, due to the strong first-neighbour re-<br>
pulsion between C atoms, one C atom moves away from

the core to an octahedral position, which corresponds to the fourth neighbour octahedral site of the reconstructed  $\frac{31}{31}$  core for the forward dislocation segment. This position  $32$  has been shown energetically stable for half-filled re-32 has been shown energetically stable for half-filled re-<br>33 constructed cores of straight dislocations [17]. constructed cores of straight dislocations [17].

34 The reference configuration used in the calculation 35 of the kink-pair formation energy is the straight dislo-<br>36 cation in the hard core configuration with the carbon 36 cation in the hard core configuration with the carbon<br>37 catoms in the prismatic core sites. The obtained kink- $\frac{37}{38}$  atoms in the prismatic core sites. The obtained kink-<br> $\frac{38}{38}$  pair formation energy is 0.44 eV (resp. 0.28 eV) when  $\frac{38}{39}$  pair formation energy is 0.44 eV (resp. 0.28 eV) when<br> $\frac{39}{39}$  the opposite kinks are separated by 2h and the C-C sep-39 the opposite kinks are separated by 2*b* and the C-C sep- $\frac{40}{41}$  aration distance is 2*b* (resp. 1*b*). Upon increasing the  $\frac{41}{41}$  $\frac{41}{42}$  distance between the opposite kinks, the formation en- $^{42}_{43}$  ergy increases to 0.60 eV (resp. 0.50 eV) for a kink<br>43 43 separation  $w_{kp}$  of 4*b* and a C-C separation distance of 45  $2b$  (resp. 1*b*). These uncorrected DFT values, denoted  $E_{\text{DFT}}$  correspond to the circles in Fig. 2. The increase of *E*<sub>DFT</sub> with  $w_{kp}$  reflects the elastic interaction energy  $\frac{4}{48}$  between the kinks, which varies as  $1/w_{kp}$ . Indeed, given<br> $\frac{4}{50}$  the small separation distances between the kinks be-<br> $\frac{50}{50}$ 49 the small separation distances between the kinks be-50 longing to the same dislocation line, part of the obtained

51 formation energy corresponds to the elastic interaction 52 between opposite kinks composing the kink-pair as well 53 as between these kinks and their periodic images. In the 54 following, in order to estimate the corresponding elas-<br>55 tic interaction energy, the kink-pair is regarded as being 55 tic interaction energy, the kink-pair is regarded as being<br>56 composed of straight orthogonal line segments (i.e. with composed of straight orthogonal line segments (i.e. with 57 no kink-width). Considering two opposite straight kinks



Figure 2: Uncorrected and corrected kink-pair formation energies as a function of the separation distance between both kinks in the case of (a) a full saturation of the prismatic core sites and (b) a halfoccupation with every other prismatic site occupied by a carbon atom. The solid lines correspond to the analytic model of finite separation distance and the dashed lines correspond to the best estimate of the formation energy of a pair of isolated kinks. Note that these lines pass through the points for  $w_{kp} = 4b$ , i.e. corresponding to the case where the elastic correction is expected to work the best.

» ing that *w*kp *λ*P, the interaction energy between kinks in an otherwise infinite straight dislocation and assumof opposite sign in the general anisotropic elastic case is [32]:

$$
W_{\text{int}}(w_{\text{kp}}) = -\frac{1}{2} E(\theta) + E^{\text{u}}(\theta) \frac{\lambda_{\text{p}}^2}{w_{\text{kp}}}.
$$
 (1)

 $\theta$  is the dislocation orientation ( $\theta$  = 0 for a screw orientation) and  $E(\theta)$  is the prelogarithmic energy factor of the elastic energy for an infinite straight isolated dislocation with the same Burgers vector *b*. This interaction energy is attractive provided that the line tension  $E(\theta) + E(\theta) > 0$ . Simple explicit solutions for *E*( $\theta$ ) exist in isotropic media as a function of the Poisson

3

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2 153, and 103 GPa for the elastic constants *C*11, *C*12, and 13 ary conditions (*W*<sub>mt</sub><sup>o</sup>) and the interaction between opratio and shear modulus. In an anisotropic elastic media,  $E(\theta)$  can be numerically evaluated using the BaBeL code [33]. For the screw orientation, the line tension coefficient Γ =  $\frac{1}{2}(E(0) + E^{(0)})$  is 0.32 eVÅ<sup>-1</sup> using 299, 9 *C*<sup>44</sup> resp. [34]. To obtain the formation energy of a pair  $10$  of isolated kinks, the formation energy calculated with  $11$  DFT (circles in Fig. 2) is corrected by subtracting the 11 DFT (circles in Fig. 2) is corrected by subtracting the 12 interaction energy arising from both the periodic boundinteraction energy arising from both the periodic bound-

15 interaction energy contributions are given by (see Ap-14 posite kinks composing the kink-pair (*W*supercell). Both 16 metabolism<br>pendix A):

$$
\frac{18}{19} \t\t W^{PBC} = -\Gamma \cdot \frac{\lambda^2}{2} (2 \ln 2 - 1), \t\t (2)
$$

 $\int_{\text{int}}^{\text{int}} = -\Gamma \frac{P}{W_{\text{kp}}}$ . *w*kp supercell *λ*  $\lambda^2$ 

<sup>25</sup> Eqs. 2 and 3 are used in Fig. 2 to extract the formation energy of the kink-pair with finite kink separation  $w_{kp}$ energy of the kink-pair with finite kink separation  $w_{kp}$ , <sup>27</sup> denoted *<sup>E</sup>*kp(*w*kp), and the formation energy of <sup>a</sup> pair of

\n
$$
E^{kp}(w)
$$
 isolated kinks, i.e. with  $w_{kp} \rightarrow \infty$ , denoted  $2E^{\infty}$ .\n

\n\n $E^{kp}(w)$   $E$   $p = 0$   $p = 0$  <math display="inline</p>

$$
2E_f^{\infty} = E_f^{kp}(w_{kp}) - W_{int}^{supercell}.
$$
 (5)

35 As seen in Fig. 2, whether the carbon atoms are sep-36 arated by 1*b* or 2*b* along the dislocation line, the cor-37 rected formation energies for the isolated defect depend<br>38 very weakly on the simulation cell size along *b*, which 38 very weakly on the simulation cell size along *b*, which  $\frac{39}{40}$  shows the validity of the elastic model. The best esti-40 mate for the formation energy of a pair of isolated kinks<br>41 is 0.94 eV (reep 0.74 eV) for C G distances of 2b (reep  $\frac{41}{42}$  is 0.84 eV (resp. 0.74 eV) for C-C distances of 2*b* (resp. 42)  $\frac{42}{10}$  1*b*) along the line. Its decrease with decreasing carbon-<br>43  $\frac{43}{44}$  carbon separation distance along the dislocation line can  $\frac{44}{4}$  $\frac{44}{45}$  be linked to the strongly repulsive nearest-neighbour C-45 C interaction along the line evidenced in a previous DFT<br>47 study (+0.21 eV [5]). Indeed, in the case of carbon atoms separated by 1*b*, this strong repulsion is reduced with the presence of the kink-pair as the carbon atoms are moved appart from, while in the case of C-C dis-51 tance of 2*b*, this effect is weaker as the carbon atoms 52 are already far away from one another in the straight  $\frac{52}{52}$  are already far away from one another in the straight dislocation. dislocation.

54 Note that the configuration, where the kink-pair is in-55 troduced only on one of the two dislocation lines com-<br>56 nosing the dislocation dinole, the other dislocation reposing the dislocation dipole, the other dislocation re-57 maining straight, was also investigated in the case of carbon-carbon separation distance of 2*b* and kink separation of 2*b*. The resulting uncorrected kink-pair formation energy varies by less than 10 meV compared to the configuration where both dislocation lines contain a kink-pair. This justifies our choice to neglect the elastic interaction between kinks belonging to different dislocation lines in the elastic correction.

### *2.3. Kink migration energy*

In order to compute the migration energies of isolated kinks, one positive (resp. negative) kink was introduced in a cell containing 585 (resp. 495) Fe atoms along each straight screw dislocation composing the dipole, following the methodology in Ref. [27]. The kink migration energy was calculated using the Nudged Elastic Band int the intervals of the two kinks in the intervals of the two kinks in the intervals in the intervals in the intervals in the intervals of the two kinks in the intervals of the two kinks in the intervals of the two kinks 4*b*-cell by a distance of 2*b* (resp. 1*b*) along the dislocation line in the case of a C-C separation distance of <sup>2</sup> $b$  (resp. 1*b*), with seven intermediate NEB images in  $W_{int} = -\Gamma \frac{P}{r}$  (3) both seven as *a s*illustrated in Fig. 2(a), this process in both cases. As illustrated in Fig. 3(a), this process involves the migration of a carbon atom between successive Peierls valleys. The kink on the second dislocation is kept immobile in order to avoid a non-simultaneous 28 **a** × *n* is strong to the two kinks along the NEB path.

> *<sup>E</sup>*kp(*<sup>w</sup>* ) *<sup>E</sup> W*PBC (4) non-negligible energy barrier when propagating along  $k_{\rm p}$  =  $_{\rm DFT}$  –  $_{\rm int}$ , the dislocation line (Fig. 3(b)), contrary to pure iron where kinks are highly mobile [27]. We note that the initial and final states for both carbon distributions have the same energy, justifying again neglecting the elastic interaction between kinks belonging to different dislocation lines. Thus no elastic correction is applied in this set of calculations. The migration barriers calculated for both kinks evidence an easier migration for the positive kink compared to the negative kink, both for a full (0.58 eV vs 1.52 eV) or a half occupation of the prismatic sites (0.90 eV vs 1.01 eV). This asymme-

> > try results from the asymmetry between the K<sup>+</sup> and K<sup>-</sup> kinks illustrated in Fig. 1. The carbon-carbon distance varies along the migration path and notably, at the saddle point, the distance between carbon atoms in the *K*– kink is shorter than in the *K* <sup>+</sup> kink (for a half occupation of the prismatic sites, 4*.*7 and 5*.*5 Å resp.). Thus at the saddle point, the carbon atom has less space during the migration of the *K*– kink than during the migration of the *K* <sup>+</sup> kink, resulting in a higher migration barrier for the *K*– kink. This asymmetry is more pronounced in the case of a fully saturated dislocation line as the carbon atoms are closer to one another. The migration barrier is highest in the case of the negative kink on a fully saturated line as in this particular configuration, the kink migration involves the displacement of two carbon atoms:



<sup>20</sup> Figure 3: (a) Schematic representation of the migration of the positive 29 and positive links A dialogation correct of 1k or 2k (depending <sup>29</sup> and negative kinks. A dislocation segment of 1*b* or 2*b* (depending 30 on the carbon-carbon separation distance along **b**) is displaced to the 30 on the carbon-carbon separation distance along **<sup>b</sup>**) is displaced to the 31 neighbouring Peierls valley following the motion of a carbon atom. 32 The dislocation lines are represented in gray (before kink migration) and black (after migration) and the carbon atoms in light red (before kink migration) and red (after migration). (b) Energy variation during  $3<sup>34</sup>$  the migration of the positive and negative kinks in the case of carbon-<br> $35$  carbon distances of 1b (in blue) and 2b (in red) along the dislocation carbon distances of  $1b$  (in blue) and  $2b$  (in red) along the dislocation 36 line. The distance between two kinks along the dislocation line is 4*b*.

39 the carbon atom situated in the negative kink initially 40 occupies an octahedral site (Fig. 1(a)) and jumps during 41 the kink migration into a prismatic site while a neigh-<br>42 houring carbon atom in a prismatic site moves towards  $\frac{42}{43}$  bouring carbon atom in a prismatic site moves towards<br> $\frac{43}{43}$  an octahedral site. The other kink migrations – the nos- $\frac{43}{44}$  an octahedral site. The other kink migrations – the pos-<sup>44</sup> itive kink migration and the kink migrations for a half<br> $\frac{45}{7}$  compation of the primatic sites simplies the jump of <sup>45</sup> occupation of the prismatic sites – involve the jump of one carbon atom between prismatic sites.

The migration energies obtained for a half occupation of the prismatic sites are close to the migration energy 50 of carbon in bulk Fe (0.86 eV in the present work in agreement with other DFT studies [35, 36] and the ex- perimental value, namely 0.87 eV [37]). This shows that carbon atom migration controls the kink migration in Fe-C in this high temperature regime. The presence of the secondary Peierls valleys contrasts with the case of pure Fe, where kinks can migrate freely along the line [27].



Figure 4: Energy variation for kink-pair nucleation in the case of carbon-carbon distance of 2*b* along the dislocation line. The kink separation is equal to 2*b* and the distance between a single kink and its image along the line is equal to 4*b*. The triangle symbol represents the corrected DFT formation energy of the kink-pair, where interactions with periodic images along the line are subtracted.

### *2.4. Kink-pair nucleation energy* The energy barrier for the nucleation of a kink-pair is

kink separation,  $E_{\mathrm{f}}^{\mathrm{kp}}(2b)$  is represented in Fig. 4 in the ficalculated using the NEB method in the case of a C-C separation distance of 2*b* in the 4*b*-cell with a kink separation of 2*b*. The initial state consists of two straight dislocation lines and the final state, of one straight line and the other line containing a kink-pair. The obtained nucleation energy for the kink-pair is 1.19 eV (Fig. 4). Note that in this calculation, the elastic correction arising from the interactions of the kinks with their periodic images is highest in the final state. The value of the corrected DFT formation energy of the kink-pair with finite nal state by a triangle, and compared to the uncorrected DFT energy of the final state in this NEB calculation. This shows that there is an error due to periodic boundary conditions of 0.16 eV at most in the energy barrier calculation for kink-pair nucleation.

### **3. Dislocation velocity law**

Based on the above DFT results, summarized in Table 1, we use the model of Hirth and Lothe for the motion of dislocations in a material with high secondary Peierls barriers [38–40] to map in Fig. 5 the corresponding energy profile for kink diffusion as a function of kink separation. This results from the formation energy of the kink-pair, which varies with 1*/w*kp, on which the migration barrier for kink diffusion is superimposed (taken as the lowest migration barrier, i.e.



27 Fig. 5 by adding a contribution-  $\tau_a b\lambda_P w_{kp}$  correspond- $\frac{11}{12}$  for the positive kink). Accounting for the half occu-<br> $\frac{12}{12}$  $\frac{12}{13}$  pation of the core sites by carbon atoms, the spacing<br> $\frac{12}{13}$ between bumps is 2*b* and the resulting activation energy is equal to the sum of the formation energy of a 15 kink-pair and the migration energy of a positive kink,<br>i.e.  $2E^∞ + E^{K^*} = 1.74$  eV for a pair of non-interacting<br>17 i.e.  $\lim_{x \to 0}$  i.e.  $\lim_{x \to 0}$  i.e.  $\lim_{x \to 0}$  i.e.  $\dot{+}$  = 1.74 eV for a pair of non-interacting 1.7 **b 1.2 i 1.9 i**  $\frac{1}{2}$  **i**  $\frac{1}{2}$  **i**  $\frac{1}{2}$  **i**  $\frac{1}{2}$  **i**  $\frac{1}{2}$  **i**  $\frac{1}{2}$  mig finite appartion distance. The nuclear pair size. The attempt frequency  $\overline{v}$  is considered as an <sup>1</sup>8 kinks with an infinite separation distance. The nucle-<br>
19 ation energy barrier of a fresh kink-pair on a dislocation<br>
20 segment of length 4*b* (Fig. 4) corresponds to the first  $\frac{21}{21}$  barrier in Fig. 5. The nucleation energy  $(1.19 \text{ eV})$  is 22 therefore smaller than the activation energy for kink for-23 mation and migration and migration and the separation<br>
20 material and the energy barrier of a fresh kink-pair on a dislocation<br>
20 segment of length 4b (Fig. 4) corresponds to the first<br>
21 barrier in Fig. 5. The nucle 24 barrier controlling the motion of dislocations in Fe-C is<br>25 ont the nucleation energy barrier of the first kink-pair. not the nucleation energy barrier of the first kink-pair. 26 The effect of an applied stress  $\tau_a$  is also illustrated in  $27$  Fig. 5 by adding a contribution- $\tau_a h \lambda_b w_{\text{in}}$  correspond-28 ing to the work of the Peach-Koehler forces to the for-<br>29 ination energy of the kink-pair  $29$  mation energy of the kink-pair.<br>30 The dislocation velocity co

31 cally as the product of the nucleation rate  $J_{kp}$  with the average kink-pair extension  $I_{kp}$ , i.e. the average kink- $\frac{30}{31}$  The dislocation velocity can be expressed analyti-

34 pair mean free path [39] [38, 39]. Using the classical nu-

 $\sigma = 0$ 

 $\sigma$  = 400 MPa

*\** 6 8 10

œ

+ *E* K mig

+

*H*

*E* kp nucl

Kink−pair

energy (eV)

1.0



distances Summary of the present of Tataalon distances 1: Superior delection theory, the nucleation rate can be written [31]:

$$
\begin{array}{ccccccccc}\n & E^{kp}(2b) & E^{kp}(4b) & 2E^{\infty} & E^{K^*}_{mig} & E^{kp}_{mig} & E^{kp}_{mcl} \\
7 & 1b & 0.41 & 0.57 & 0.74 & 0.58 & 1.52 & - \\
8 & 2b & 0.58 & 0.67 & 0.84 & 0.90 & 1.01 & 1.19 & \\
\end{array}
$$
\n
$$
J_{kp}(\tau_a, T) = v^{-} Z(\tau_a, T) \exp \left[\frac{H_k^*_{p}(\tau_a)}{kT}\right]^{-1} + \exp \left[\frac{H_k^*_{p}(\tau_a)}{kT}\right]^{-1} \\
\text{Exp} \left[\frac{E^{K^*}_{mig}}{kT}\right]^{-1} + \exp \left[\frac{E^{K^*}_{mig}}{kT}\right]^{-1} \\
\text{log} \left[\frac{E^{k^*}_{mig}}{kT}\right]^{-1} \exp \left[\frac{E^{k^
$$

In this expression,  $H_{k}^*_{p}(\tau_a)$  is the critical kink-pair nucleation barrier defined atthe critical kink-pair size *n*∗, corresponding to a maximum of the kink-pair formation enthalpy, and  $Z(\tau_a, T)$  is the Zeldovitch factor, which accounts for the fluctuations around the critical kinkadjustable parameter. In the following, we assume that the kink-pair formation energy follows the elastic interaction model given in Eqs. 3 and 5, and we consider a half occupation of the prismatic sites leading to a kink separation distance  $w_{kp} = 2n b$ , with *n* an integer. The kink-pair formation enthalpy can then be expressed as a function of the applied shear stress *τ*a, and the kink separation 2*n b*:

$$
H_{kp}(n, \tau_a) = 2E_f^{\infty} - \frac{\Gamma \lambda^2}{2n b} - 2n \tau_a \lambda_p b^2,
$$
 (7)

where the contribution of an external stress *τ*<sup>a</sup> is included (last term of Eq. 7). This results in: n ہ<br>hi<br>ح

$$
n^*(\tau_a) = \frac{1}{2} \frac{\overline{\tau_{\lambda P}}}{\tau_a b^3},
$$
 (8)

 $36$  and  $36$ 

$$
H_{\text{kp}}^*(\tau_a) = 2E_f^{\infty} - 2 \overline{\Gamma \lambda_p^3 b \tau_a}.
$$
 (9)

 $\begin{array}{c|c}\n\mathbb{S} & \mathbb{S} & \mathbb{S} \\
\hline\n\mathbb{S} & \mathbb{S} & \mathbb{S} \\
\mathbb{S} & \mathbb{S} & \mathbb{S}\n\end{array}$   $\begin{array}{c}\n\mathbb{S} & \mathbb{S} \\
\hline\n\mathbb{S} & \mathbb{S} \\
\mathbb{S} & \mathbb{S}\n\end{array}$  Using the expression for the Zeldovitch factor

$$
Z(\tau_a, T) = \frac{1}{-\frac{1}{2\pi kT} \frac{\partial^2 H_{\text{kp}}}{\partial n^2}} \frac{1}{n\pi k} \text{ [31], we obtain:}
$$

$$
Z(\tau_a, T) = 2 \frac{1}{\pi kT} + \frac{\overline{\tau^3 \lambda_P b^7}}{\Gamma}. \tag{10}
$$

Finally the dislocation velocity is given by [38, 39]:

48 Kink separation, 
$$
w_{kp}(b)
$$
  $v(\tau, T) = J(\tau, T) \lambda \frac{L_{kp}(T_a, T)}{p}$  (11)  
49  $v_{p}$   $\frac{1}{a}$   $\frac{1}{p}$   $\frac{2b}{2b}$ 

Depending on the dislocation length *L*d, two different expressions can be obtained for the average kinkpair extension *L*kp, corresponding to a length-dependent regime and a kink-collision regime for the dislocation velocity [39]. The former regime prevails for short dislocation segments or low kink densities, where the kinkpair extension *L*kp is equal to the dislocation length *L*d.

tween two collisions, given by:<br>— <del>و</del> The latter regime prevails for long segments or large kink densities, where the kink-pair extension is equal to the average distance  $L_{\bf k-p}^*$  travelled by both kinks be-

9 
$$
L_k^*_{p}(\tau_a, T) = 2b \frac{v_k(\tau_a, T)}{J_{kp}(\tau_a, T)}
$$
 (12)

12 with  $v_k$  the kink velocity given by:<br>13

$$
v \t(T) = 2b^{\text{-}} \sinh \frac{\tau_a \lambda_p b^2}{kT}
$$
  
\n
$$
\mathbb{D}_{\text{exp}} \mathbb{E} \left[ \frac{E^k}{kT} \right] \qquad \mathbb{E} \left[ \frac{E^k}{kT} \right]
$$
  
\n
$$
\mathbb{E} \left[ \frac{E^k}{kT} \right] \qquad \mathbb{E} \left[ \frac{E^k}{kT} \right]
$$
  
\n(13)

20 following the derivation explained in Ref.  $[31]^1$ .<br>21 Transition between the length dependent

 $\frac{21}{22}$  Transition between the length-dependent and the  $\frac{22}{22}$ <sup>22</sup> kink-collision regimes occurs when the dislocation<br>23 **back** Laboration **L**  $^*$  **back** Laboration **L**  $^*$  Laboration **L**  $^*$ 23 length *L*<sub>d</sub> becomes larger than  $L_k^*$ , Interestingly, Eq. 12<br>24 shows that this transition length does not depend on ki-The metic parameters like the attempt frequency *ν*̄ or the kink and accelerating voltage of 200 kV, and using a high-<br>migration energies, *E*<sup>K+</sup> and *E*<sup>K-</sup>, but only on the kink-<br>temperature straining holder designed i 28 pair formation energy. A smooth transition between 29 both regimes can be described by considering the ge-30 ometric average between both expressions of the kink-28 pair formation energy. A smooth transition between<br>
29 both regimes can be described by considering the ge-<br>
31 ometric average between both expressions of the kink-<br>
pair extension [31, 40], but such an average procedu purely phenomenological. We will thus simply consider 33 that the kink-pair extension is given by the minimum<br>34 between the dislocation length and the critical kink-pair 34 between the dislocation length and the critical kink-pair<br>35 extension,  $L_{k0}(\tau_a, T) = \min [L_a, L_a^*({\tau_a}, T)].$ 35 extension,  $L_{kp}(\tau_a, T) = \min [L_a, L_k^*_{p}(\tau_a, T)].$ 

36 Using Eqs. 6 and 10, all parameters appearing in  $37$  Eq. 11 are known from the crystallography of bcc Fe<br> $38$  and the DET calculations presented in Section 2, except  $\frac{38}{39}$  and the DFT calculations presented in Section 2, except  $\frac{39}{39}$  $\frac{39}{40}$  the attempt frequency *ν*<sup> $\bar{}}$ </sup>, which is the only adjustable the preparator *Movill powter* the validity of this velocity.  $^{40}$  parameter. We will now test the validity of this velocity  $\frac{41}{42}$  model by comparison with TEM in-situ straining experiments.

## 45 **4. In-situ straining experiments**

47 Previous experiments [1, 2] have shown the locking  $\frac{48}{100}$  by C atoms of screw dislocations in iron above 500 K  $\frac{48}{49}$  by C atoms of screw dislocations in iron above 500 K,<br> $\frac{49}{49}$  with the reannearance of a Pejerls regime for dislocation with the reappearance of a Peierls regime for dislocation

timate the critical kink-pair extension  $L_{\rm kp}^*$ , which marks glide. In order to assess the validity of the mobility law deduced from our ab initio calculations, we performed TEM in-situ straining experiments in Fe-C at three temperatures, 523, 573 and 623 K and measured the dislocation velocities, as well as the local value of the applied shear stress. We also use these TEM observations to esthe transition between the length-dependent and kinkcollision regimes. A similar comparison was recently performed in bcc tungsten in presence of carbon [31]. But the comparison in W-C could not be as detailed as in Fe-C, because applied stresses were not measured experimentally. With this information extracted from experiments in Fe-C, a quantitative comparison becomes possible between experimental and theoretical velocities.

### *4.1. Experimental procedure*

#### *4.1.1. In-situ experiments*

In-situ straining experiments were carried out in a JEOL 2010HC transmission electron microscope, at an accelerating voltage of 200 kV, and using a high-

tute. The temperature is calibrated by observing the melting of several metallic samples in real operating conditions. Dynamic sequences were recorded by a Megaview III video camera working at 25 images per second, and analyzed frame by frame. Microsamples are rectangles with an electro-polished thin-edged hole, glued with a high-temperature cement on two rings adapted to the straining holder. Experiments were carried out in electrolytically pure iron containing 3 to 4 wt. ppm of C, less than 2 wt. ppm each of S, N, and Cr, and less than 5 wt. ppm each of O and P, provided by the École des Mines of St Étienne (France). Parts of these experiments have already been reported in Refs. [1, 2]. We discuss the measurement of the local stress before addressing the critical kink-pair extension.

# *4.1.2. Local stress measurement*

The stress measurement is based on the observation of expanding and shrinking screw dipoles. This method is frequently used in materials where screw dislocations are subjected to high Peierls friction forces. It has been fully described in Refs. [4] and [41], in the case of pure iron strained at low temperature. As shown in Fig. 6(a), a screw dipole can expand when the work done by the local stress on the curved mixed dislocations closing the screw dipole is equal to or larger than the energy of the growing dipole, namely when the local shear stress *τ*<sup>a</sup> is equal to or larger than the line tension stress *τ*l(*d*)

 $52$  <sup>1</sup>Note that the factor of 2 appearing in the final expressions of the 53 **2** Zeldovitch factor (Eq. 10), the critical kink-pair size (Eq. 8) and the 53 Zeldovitch factor (Eq. 10), the critical kink-pair size (Eq. 8) and the <br>54 kink velocity (Eq. 13) compared to the expressions given in Ref. [31]<br>55 comes from the fact that in the present work, kinks are gliding over<br>a 54 kink velocity (Eq. 13) compared to the expressions given in Ref. [31] 55 comes from the fact that in the present work, kinks are gliding over a distance 2*b* for each migration event as only half of the core sites are occupied by C atoms, while kinks glide distance is 1*b* in tungsten where the dislocation core can be fully saturated by carbon atoms [10].

 $\frac{3}{4}$  of the dipole. Both stresses are equal when the dipole  $\frac{4}{4}$  width d (becauster called critical width) is just sufficient.  $\frac{4}{5}$  width *d* (hereafter called critical width) is just sufficient  $\frac{1}{5}$ to allow for dipole expansion. An approximate value<br>of the dipole line-tension stress is  $0.5 \mu b/d$ , with  $\mu$  the of the dipole line-tension stress is  $0.5 \mu b/d$ , with  $\mu$  the shear modulus, which yields  $\tau_1$  inversely proportional to shear modulus, which yields *<sup>τ</sup>*<sup>l</sup> inversely proportional to 8 *d*. More precise values deduced from anisotropic elasticity are used in the present case (see Ref. [4]).

18 yields  $τ_1(d) = τ_2 = τ_1$ .  $\frac{11}{12}$  In the same material strained at high temperature,<br> $\frac{12}{12}$  there is however an additional friction stress  $\tau_f$  neces- $\frac{12}{12}$  there is however an additional friction stress *τ*<sub>f</sub> neces-<br>13 sary to trail the high density of carbon atoms segregated sary to trail the high density of carbon atoms segregated 14 on the mixed dislocation core, as illustrated in Fig. 6(b). 15 This friction stress is an average of the various friction 16 stresses on the different characters of the curved dislo-<br>17 cation Then the measurement of the line tension stress 17 cation. Then, the measurement of the line tension stress<br>18 vields  $\pi(d) = \tau_2 - \tau_f$ 

<sup>22</sup>
Fig. 6(c), we have then *τ*<sub>l</sub>(*d*)  $\geq \tau_a + \tau_f$ . The inequal-≤ applied stress, *τ*<sup>a</sup> (*τ*l(*d* <sup>J</sup>) + *τ*l(*d*)) */*2. These values can ≤ – *τ*<sup>f</sup> (*τ*l(*d* <sup>J</sup>) *τ*l(*d*)) */*2 and then an upper value for the 19 In order to estimate  $τ_f$  and  $τ_a$  separately, it is nec-<br>20 essary to find narrower dinoles of width  $d_1$  which 20 essary to find narrower dipoles of width  $d<sup>1</sup>$ , which 21 shrink under the same conditions. As illustrated in<br>22 Siz  $f(s)$  we have then  $f(t)$  and  $s$ . The inequal 23 ity can be transformed in a strict equality if the shrink-<br>24 in a strict equality if the shrink- $\frac{24}{25}$  ing dipole width  $d$  can be considered as critical. Com-25 bined with the observation of an expanding dipole, we 26 can thus obtain an upper value for the friction stress,  $27$ 30 be used as rough estimates of*τ*<sup>f</sup> and *τ*a. This procedure 31 has been used in Ref.[42]. Note however that shrinking 32 dipoles, which form by cross-slip, are rather rare and 33 not observed in all experiments. Corrections taking into 34 account dislocation movements in different slip planes 35 with different Schmid factors are also necessary.

36 All these measurements allow us to determine *τ*<sup>a</sup> in a given area of the microsample. However, the applied 38 shear stress  $\tau_a$  strongly varies with the observed area<br>39 and local sample thickness. Stress and velocity mea  $\frac{39}{40}$  and local sample thickness. Stress and velocity mea- $\frac{40}{41}$  surements must accordingly be carried out in a same thick area of the microsamples.

# 43 *4.1.3. Determination of the critical kink-pair extension*

51 length. The transition regime corresponds to  $L_d \approx L_{k'p'}^*$ The length-dependent regime corresponds to short 45 dislocation lengths  $L_d < L_k^*$  where kinks can reach the dislocation oxtramities before another kink pair is <sup>47</sup> the dislocation extremities before another kink-pair is<br>according to the dislocation of the dislocation length,<br>49 the dislocation velocity is then also proportional to the<br>50 the dislocation regional to the dislocat 52 where the probability to find two kink-pairs on the same<br>53 dislocation is no longer negligible (Fig. 7(b)). The avdislocation is no longer negligible (Fig. 7(b)). The av-54 erage kink-pair mean free path  $L_{k,p}^*$  is then equivalent 55 to the dislocation length *L*<sub>d</sub>. The screw dislocation re-<br>56 mains straight if the kinks of opposite signs can annihi-56 mains straight if the kinks of opposite signs can annihilate, namely if the two kink-pairs have been nucleated



Figure 6: Illustration of the dislocation configurations used to measure the local shear stress  $\tau_a$  and the friction stress  $\tau_f$ . a) Expansion of a screw dipole in a pure material with no friction stress, for instance in pure iron at low temperature. b) Dipole expansion with friction stress *τ*<sup>f</sup> (present case). c) Shrinking of a narrower dipole with the same friction stress.

in the same plane (case of strictly planar slip). However, in case of frequent cross-slip (wavy slip), kinks in different planes cannot annihilate, and a cross-kink is formed. This cross-kink pins the dislocation and eventually glides side-way and disappears at a dislocation extremity. Frequent observations of jogs or macrojogs (jogs of several atomic distances height) on straight screw dislocations are thus characteristic of the transition. Since the transition is expected to be progressive at increasing dislocation lengths, the first observed macrojogs are expected to correspond to the lower bound of the transition, and  $L_{k-p}^*$  is considered to be slightly larger than the measured distances between macro-jogs.

The kink-collision regime corresponds to large dislocation lengths,  $L_d > L_k^*_{p'}$ , where screw dislocations evolve towards the situation described in Fig. 7(c), because kinks accumulate at both dislocation extremities and tend to bend the dislocation. A steady state is reached for a central screw part of length  $L_{k}^*$  because a new kink-pair is nucleated on average each time the length of this central part increases above  $L_{k}^*$  Anchoring at jogs and macro-jogs can still be observed along the screw part.

Several methods can be thus used to estimate  $L_{k,p}^*$ :

- 1. Measurement of the screw dislocation velocity as a function of its length *L*<sub>d</sub>, and observation of a departure from linearity at a length slightly lower than  $L_{k_{p'}}^*$  taking into account a progressive transition between the two regimes,
- 2. Observation of rectilinear screw dislocations anchored at one or several macro-jogs.  $L_{k_{p}}$  is considered to be of the order of, or slightly larger, than the segment length between macro-jogs,
- 3. Observation of curved dislocations with a straight

- 62
- 63
- 64 65



1 2 3



 $\frac{13}{14}$  Figure 7: Schematic representation of the nucleation of kink-pairs on  $14$ screw segments at increasing dislocation length. a) length-dependent  $15$  regime, b) transition regime with kink collisions, c) steady state in the  $16$  kink-collision regime. kink-collision regime.

kp ∗ . screw part of more or less constant length equal to

21 In all cases, it is necessary to prove that kinks are nucle-<br>22 ated along the dislocation line not at a surface. This is 22 ated along the dislocation line, not at a surface. This is<br>23 the case for instance when surface nucleation of kinks is 23 the case for instance when surface nucleation of kinks is<br>24 ont favored by line tension effects i.e. when the screw 24 not favored by line tension effects, i.e. when the screw<br>25 direction is perpendicular to the intersection of the clin  $\frac{25}{26}$  direction is perpendicular to the intersection of the slip<br>26 main with the foil surface. Also small survatures of  $\frac{26}{27}$  plane with the foil surface. Also, small curvatures of  $\frac{27}{28}$  screw dislocations near the surfaces ensure that kinks are not nucleated at the surfaces.

# <sup>30</sup> *4.2. Dislocation velocity and local stress*

# 32 *4.2.1. Experiments at 523 K*

33 Fig. 8 shows an example of stress measurement. A<br>34 screw dislocation noted s1 is anchored at a macro-jog screw dislocation noted s1 is anchored at a macro-jog 35 and forms a loop in Fig. 8(a). The curved non-screw part 36 glides to the bottom, emerges at the lower surface and<br>37 forms a dinole of critical width d in Fig. 8(b) After an- $\frac{37}{100}$  forms a dipole of critical width *d* in Fig. 8(b). After ap-<br> $\frac{38}{100}$  propriate geometrical correction, this width *d* gives the 38 propriate geometrical correction, this width *d* gives the<br>39 **b** line tension stress  $\tau(d)$ . The remaining dipole of width line tension stress *τ*<sub>l</sub>(*d*). The remaining dipole of width  $\beta$  in Fig. 8(c) subsequently disappears at the surface  $\frac{41}{42}$  in Fig. 8(d). Having both an expanding and a shrink- $\frac{42}{43}$  ing dipole, we can estimate the applied shear stress and<br> $\frac{43}{43}$  in faisting stress as symbiand in Soction 4.1.2. After the friction stress, as explained in Section 4.1.2. After<br>further geometrical corrections, we find that the applied<br>46 shear stress is close to, or slightly below 140 MPa, and<br>the friction stress is close to, or slightly b

This result and others are gathered in Table 2. In agree-49 ment with the discussion of the method presented in<br>50 Section 4.1.2, the local stress strongly increases at de- $\frac{1}{50}$  Section 4.1.2, the local stress strongly increases at de-<br>51 creasing sample thickness, which illustrates the necescreasing sample thickness, which illustrates the neces-52 sity of carrying all measurements (stress and dislocation 53 velocity or kink-pair mean-free path) in the same small 54 area where the stress can be assumed uniform.

55 A few seconds later, another screw dislocation glides

56 in the same area (Fig. 9, where A is the same fixed point 57 as in Fig. 8). This dislocation is divided into two parts <sup>2</sup>A shift of 50 nm is applied to the rough measurement of dislo-



Figure 8: Local shear stress measurement at 523 K on a screw dislocation s1 moving to the right. (a) and (b) Expansion of a dipole of width *d*. (c) and (d) Shrinking of a dipole of width  $d$ . A is a fixed point. See corresponding video as Supplementary material.

~ 50 nm the uncertainty on the dislocation length meaafter its anchoring at a cross-kink forming a macro-jog in Fig. 9(a). The upper part s2 moves to the right and leaves a slip trace at the upper foil surface. It simultaneously increases its length until reaching a maximum length in Figs. 9(c) and 9(d). Then, a second macro-jog (noted MJ) is formed and the length of s2 decreases accordingly in Fig. 9(e). It is important to note that the slip trace is perpendicular to the screw direction, and that the screw dislocation is slightly bent at the surfaces, which ensures that kinks are not nucleated at the surfaces. It is thus possible to measure the velocity of s2 as a function of the length of its rectilinear (pure screw) part, in a case without surface kink nucleation. We estimate at surement associated with the difficulty to discriminate the dislocation rectilinear screw portion from its mixed bent extremities.

Velocities measured for this dislocation s2 are shown with red squares in Fig. 10. Experimental data points display a clear proportionality between the dislocation velocity and its length<sup>2</sup>, in agreement with the length-dependent regime of the kink-pair glide mech-

	values are given $\pm 25$ MPa in average.			- -				
$rac{6}{7}$			$\tau_1(d)$	$\tau_1(d)$	$\tau_{\rm f}$	$\tau_a$	Thickness	$\overline{L_{\bf k}^*}$
8		(K)	(MPa)	(MPa)	(MPa)	(MPa)	(nm)	(nm)
9		523	192	250	$\approx 80$	$\approx 270$	300	
10			107	135	$\approx 40$	$\approx 150$	425	
11			103	128	$\approx 40$	$\approx 140$	450	$600 \pm 100$
12			128	174	$\approx 60$	$\approx 186$	400	
13			114	127	$>$ 35 $a$	>149	400	
14								
15		573	250				226	
16			210				190	
17			110				445	
18						$\approx 140$	570	$400 + 100$
$\frac{19}{20}$								
		623				$\approx 200$	300	150 50
$\bigcap$ 1								

Table 2: Local shear stress values as a function of sample thickness and corresponding estimates of the kink-pair mean free path  $L_k^*$  deduced from<br>
TEM in-situ straining experiments. The values used in Fig. 13 are in it

<sup>*a*</sup>Dipole remains stable

28 imental data, we obtain  $v \approx 1.2 \, 10^{15}$  Hz (this value 25 anism. These velocity-length measurements at 523 K<br>26 and 140 MPa can be used to evaluate the attempt fre-26 and 140 MPa can be used to evaluate the attempt fre-<br>27 august 25 file mobility law. Fitting Eq. 11 to experi 27 quency *v*<sup> $27$ </sup> quency *v*<sup> $28$ </sup> in the mobility law. Fitting Eq. 11 to exper-29 will be discussed in detail later), leading to a very good  $\frac{30}{31}$  agreement between the theoretical and experimental ve- $\frac{31}{32}$  locities (see the red symbols and the red full line in Fig. 10). For this applied stress and this temperature, the mobility model predicts a critical kink-pair extension

 $^*_{^{\rm t,p}}$  = 800 nm. Dislocations with a length  $L_{^{\rm d}}$  greater for the blue and green sets respectively. The increase of 36 than this critical length should have a constant velocity, 37 as predicted by the kink-collision regime. We could not 38 observe long-enough dislocations to confirm this satura-39 tion of the dislocation velocity. Nevertheless, the exper-<br>40 imental observation of a macro-jog on a screw dislocaimental observation of a macro-jog on a screw disloca-41 tion of 600 nm length (Figs. 9(e) and 9(f)) indicates that 42 the critical kink-pair extension should be slightly larger 43 than 600 nm, thus corroborating the theoretical value.<br>44 One can also notice that the experimental measurements  $\frac{44}{15}$  One can also notice that the experimental measurements<br> $\frac{45}{15}$  for the two largest dislocation lengths around 550 nm  $\frac{45}{46}$  for the two largest dislocation lengths, around 550 nm,<br> $\frac{46}{46}$  lead to velocities slightly lower than predicted by the  $\frac{46}{47}$  lead to velocities slightly lower than predicted by the  $\frac{47}{47}$  $\frac{47}{100}$  linear relation, in agreement with a progressive transi- $\frac{48}{49}$  tion between the length-dependent and the kink colli- $\frac{49}{50}$  sion regimes at around 600 nm.

50 Two other velocity-length measurements correspond-<br>51  $52$  ing to shorter dislocations in thinner zones of the mi-<sup>52</sup> crosample were obtained at 523K. They are plotted in Fig. 10, using blue and green symbols. For both datasets, the velocity varies linearly with the dislocation length, without reaching the saturation corresponding to the kink-collision regime. It has not been possible to determine experimentally the applied stress in the same regions of the sample corresponding to these measurements. We use instead the now fully parameterized mobility law to find the stresses that best fit both velocity-length data-sets. We obtain *τ*<sup>a</sup> = 194 MPa and 245 MPa

the applied stress is consistent with the decreasing sample thickness. These values are in the same range as the values of the stress measured in other regions of the thin foil and reported in Table 2, thus illustrating the reliability of the analytical model to describe dislocation velocity. For these two applied stresses, the mobility law predicts a critical kink-pair extension *L*<sup>k</sup> ∗ p slightly larger than the lengths of the dislocations which could be observed in TEM experiments, still in agreement with the length-dependent regime seen in the experiments.

### *4.2.2. Experiments at 573 K*

The values of the line tension stress *τ*l(d) measured for expanding dipoles at 573 K in the thickest parts of microsamples (> 400 nm) are almost equal to those measured at 523 K in the same conditions (Table 2). Unfortunately, we have no estimate of the friction stress *τ*<sup>f</sup> at this temperature, and thus the applied stress *τ*<sup>a</sup> cannot be estimated unambiguously. Nevertheless, since *τ*<sup>f</sup> should be slightly lower at 573 K than at 523 K on account of the thermally activated diffusion of solutes, we

cation length to enforce a null velocity when the dislocation length 56 tends to zero. The same 50 nm shift is applied to other data appearing<br>57 in Fig. 10 and corresponding to different applied tresses in Fig. 10 and corresponding to different applied stresses.



Figure 9: Glide motion of a screw dislocation s2 of variable length at 35 523 K (same zone as in Fig. 8). See corresponding video as Supplementary material.

tween 130 MPa and 140  $_{\pm}$  25 MPa. We do not have can assume that *τ*<sup>a</sup> is also only slightly lower, say beany precise measurement of the dislocation velocity as  $\frac{42}{43}$  a function of length at 573 K.<br>43 At this temperature and approximate

At this temperature and applied stress, the theoretical 44 value of the kink-pair critical extension is in the range 45 between 370 and 500 nm. Fig. 11 shows a screw dislo-46 cation of true length 760 nm anchored at two macro-jogs<br>47 formed by a cross-kink mechanism Other dislocations 47 formed by a cross-kink mechanism. Other dislocations<br>48 ftrue length 400 nm to 750 nm exhibit single similar 48 of true length 400 nm to 750 nm exhibit single similar<br>49 macro-jog cusps. This indicates that the critical exten-49 macro-jog cusps. This indicates that the critical exten-<br>50 sion of a kink-pair  $I^*$  is probably close to 400 pm at 50 sion of a kink-pair  $L_{k,p}^*$  is probably close to 400 nm at 573 K for a stress of 130–140 MPa, thus in agreement 52 with the theoretical value.

# 55 *4.2.3. Experiments at 623 K*

We have neither stress measurement at this temper-57 ature, nor any determination of dislocation velocity as



applied stresses *τ*<sub>α</sub><sup>εχρ</sup>, the solid lines to the theoretical velocity for the applied stress *τ*<sup>th</sup> (Eq. 11) (linear part: length-dependent regime, sat-Figure 10: Experimental and theoretical screw dislocation velocities as a function of their lengths at 523 K. The symbols correspond to three sets of measurements in three different regions with different urated part: kink-collision regime). The lower experimental data in red are obtained from dislocation s2 in Fig. 9.



Figure 11: Moving screw dislocation with two macro-jogs indicated by arrows at 573 K. See corresponding video as Supplementary material.

of 200 $\pm$ 30 MPa. This will lead to a kink-pair critithat  $L_{k}^*$  is close to 150 field nm for a stress of the order a function of length. However, the shear stress in the thinner parts of the order of 300 nm should be lower than 270 MPa, the stress measured in such a similar thin part at 523 K (see Table 2), namely of the order cal extension between 160 and 200 nm. Fig. 12 shows dislocation structures similar to those schematised in Fig. 7(c), in a zone of 300 nm thickness. These dislocations are curved with a short segment in pure screw orientation of average length 150 nm, which indicates of 200 MPa, thus fully compatible with the theoretical value.



<sup>1</sup><br>
18 Figure 12: Difference images showing the glide motion of curved<br>
18 series of the glide motion takes place to the right and  $18$  screw dislocations at 623 K. The motion takes place to the right and the two images are separated by 0.48 s. The dislocations are pure 19 the two images are separated by 0.48 s. The dislocations are pure<br>20 screw (locally parallel to the dotted lines) over the arrowed length, as 20 screw (locally parallel to the dotted lines) over the arrowed length, as schematised in Fig. 7(c). schematised in Fig.  $7(c)$ .

#### <sup>23</sup> *4.2.4. Kink-pair critical extension* All stress measurements and corresponding estimates

 $\frac{38}{39}$  of 600  $\pm$  100 nm. But the difference remains accept-26 of the kink-pair mean free path  $L_{k,p}^*$  are summarized in perimental determination of the kink-pair critical exten-<br>27 Table 2. In Fig. 13, we plot the predicted *L*<sub>kp</sub> as a func- sion *L*<sup>∗</sup>, and also of the applied stress, experimental 27 Table 2. In Fig. 13, we plot the predicted  $L_{\text{I},\text{B}}$  as a func-<br>28 tion of applied stress at 523, 573 and 623 K, and com-29 pare them to the theoretical values given by Eq. 12 for 30 the three same temperatures using DFT data only.  $L_{k}^{*}$  p 31 depends strongly on the applied stress at the three tem-<br>32 neratures Experimental estimates fall very close to the  $\frac{32}{33}$  peratures. Experimental estimates fall very close to the sample in model predictions at 573 and 623 K  $\pm$  523 K the gan  $\frac{33}{34}$  model predictions at 573 and 623 K. At 523 K, the gap  $\frac{34}{34}$  $\frac{34}{35}$  between the measurements and the model predictions is<br>35 more propounced. At this temperature and 140 MPa  $\frac{35}{36}$  more pronounced. At this temperature and 140 MPa,<br>36 the theoretical value of the kink pair critical extension  $\frac{36}{37}$  the theoretical value of the kink-pair critical extension  $\frac{37}{37}$  $\frac{37}{38}$  is 800 nm, which is larger than the experimental value 39<br>
able given the simplifications used in the model and the<br>
uncertainties in the experimental measurements, which<br>
are based on a very few observations, as discussed in 42 are based on a very few observations, as discussed in the next section. Meanwhile, the experimental value

45 in the range 190 MPa to 270 MPa, which is consistent 46 with the experimental value of 140 MPa given its uncerwith the experimental value of 140 MPa given its uncer-47 tainties.

# 49 *4.3. Discussion*

51 This detailed comparison between the mobility law 52 parameterized on ab initio calculations and experimen-53 tal measurements obtained from in-situ TEM straining 54 experiments show the ability of the kinetic model to 55 describe dislocation velocity in this temperature range<br>56 where C atoms segregate in the core of screw disloca-56 where C atoms segregate in the core of screw disloca-<br>57 tions A similar conclusion was reached in bcc tungster tions. A similar conclusion was reached in bcc tungsten



Figure 13: Experimental (symbols) and theoretical values (full lines) of the mean free path of kink-pairs  $L_{k,p}^*$  as a function of applied stress for three different temperatures.

in presence of carbon [31], but the more detailed experimental data obtained here in iron allow us to show that this modeling approach is also quantitative.

In view of the uncertainties associated with the exvalues of  $L_{kp}^*$  agree reasonably well with the theoretical values deduced from the analytical mobility law. Eq. (12) shows that this critical extension  $L_{k}^*$  does not depend on migration energies of kinks and mainly depends on their formation energy. This good agreement gives confidence in the value of the kink-pair formation energy obtained from ab initio calculations. A less satisfying agreement is obtained for the lowest temperature, 523 K. This temperature corresponds to the beginning of the reappearance of the Peierls regime observed experimentally [1, 2]. It is therefore possible that C diffusion is still not fast enough at this temperature to lead to a full decoration of the reconstructed dislocation core with one carbon atom every other prismatic site, as pre- $L_{k,p}^* = 600 \pm 100$  nm corresponds to applied stresses dicted by thermodynamics [17]. It is also possible that other interstitial sites around the reconstructed dislocation core trap some C atoms, despite their lower segregation energy [9, 17].

> Previous in-situ straining experiments [1, 2] have shown that the end of dynamic strain ageing (DSA) in iron corresponds to the temperature where screw dislocations decorated by C atoms recover their mobility. Caillard and Bonneville [1, 2] thus concluded that the activation energy experimentally known at the end of DSA, between 1.3 and 1.7 eV, should correspond to the activation energy of the Peierls mechanism controlling glide of screw dislocations at these high temperatures. This perfectly agrees with our ab initio calculations. In

<sup>6</sup> ing that  $E_{\text{mig}} = \min \left( E_{\text{mig}}^{\text{K}^*} E_{\text{mig}}^{\text{K}^*} \right)$ . The values given by the length-dependent regime, the activation energy entering in the expression of the dislocation velocity (Eq. 11) is  $2E_f^{\infty}$  +  $E_{\text{mig}}$  = 1.74 eV, whereas in the kinkcollision regime it is  $E_f^{\infty}$  +  $E_{\text{mig}}$  = 1.32 eV, consider-9 ab initio calculations for both the kink-pair formation 10 and the kink migration energies appear therefore rele-11 vant when compared to experiments.

12 We note that the fitted frequency  $v^2 = 1.2 \times 10^{15}$  Hz 15 (*ν*<sub>D ≈</sub> 10<sup>13</sup> Hz in Fe). The contribution from entropy 20  $2E_f^{\infty}$  by the free energy,  $2E_f^{\infty}$  *TS* f and taking the at-<br>
22 tempt frequency equal to the Debye frequency, we find<br>
that 5 *k*B would be needed for the entropy contribution 13 is much larger than the Debye frequency *ν*<sub>D</sub> gener-<br>14 ally taken for the attempt frequency in the literature 14 ally taken for the attempt frequency in the literature<br>15  $\mu_{\text{D}} \approx 10^{13} \text{ Hz}$  in Fe). The contribution from entrony 16 terms to the total free activation energy can be invoked<br>17 to evoluin this discrepancy. A similar effect was re- $\frac{17}{18}$  to explain this discrepancy. A similar effect was re- $\frac{18}{19}$  cently reported in molecular dynamics simulations of <sup>19</sup> dislocation-carbon interactions in Fe [16]. Replacing  $\frac{20}{\pi}$ to the kink-pair formation.

## <sup>26</sup> **5. Conclusions**

An ab initio-informed mobility law for dislocation 30 glide in Fe-C proceeding through the formation and 31 propagation of kink-pairs is proposed in the temperature 32 range where carbon segregates on screw dislocations,<br>33 based on a quantitative comparison with experimental 33 based on a quantitative comparison with experimental<br>34 measurements. TEM in-situ straining experiments permeasurements. TEM in-situ straining experiments per-35 formed in Fe-C in the high-temperature regime allow to measure the dislocation velocities at different tem-

37 peratures and local shear stresses, as well as to esti-<br>38 per the transition is the critical kink-pair extension markmate the value of the critical kink-pair extension mark- $\frac{39}{10}$  ing the transition between the length-dependent and total total total to the collision regimes. These synonimental measure  $^{40}$  kink-collision regimes. These experimental measure-<br> $^{41}$  ments are very delicate and require to take all neces  $\frac{41}{42}$  ments are very delicate and require to take all neces- $\frac{42}{43}$  sary precautions. Meanwhile modelling at the atomic scale a kink-pair on a screw dislocation decorated with<br>
44<br>
45 one carbon atom every other prismatic site (as preone carbon atom every other prismatic site (as predicted by ab initio based-thermodynamics  $[17]$  in the  $\frac{46}{100}$  high-temperature regime) allows to compute its formation, migration and nucleation energies using ab initio calculations. The resulting analytical dislocation Force velocity, fully parameterized on the DFT values obtained for kink energies, is compared with the experitained for kink energies, is compared with the experi-52 mental measurements. A general good agreement is ob-53 tained between the experimental and theoretical veloci-54 ties given the uncertainties in the experimental measure-<br>55 ments. The present combined experimental and numer-55 ments. The present combined experimental and numer-<br>56 ical study provides an indirect confirmation that carbon 56 ical study provides an indirect confirmation that carbon<br>57 atoms can reconstruct the core of screw dislocations in atoms can reconstruct the core of screw dislocations in

bcc metals. It also shows that DFT can reliably predict the formation and migration energies of kinks on carbon-decorated dislocations. The mobility law determined here can be used in higher-scale models and in particular in dislocation dynamics simulations to study the plasticity in FeC alloys at elevated temperatures.

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## **Appendix A. Elastic interaction energy between kinks**

The total elastic correction, *W*<sup>tot</sup>, can be expressed +  $\frac{1}{2} \int_{n=-\infty}^{n=-\infty} W_{\text{int}} - w_{\text{kp}} + nh - \frac{1}{2} \int_{n=-\infty}^{+\infty}$ in terms of the interaction energy between two opposite<br>| kinks *W* | (*w* ) = – (*E*(0) + *E*J(0) int kp  $\frac{p}{2}$  $1 \times \frac{1}{w}$  1  $\times$  $W_{int}$  = 2  $_{n=-\infty}$ *W*<sub>int</sub> *w*<sub>kp</sub> + *nh* –  $\frac{1}{2}$ <sub>*n*=–∞, *n*≠0</sub> *W*int(*nh*)  $W_{\text{int}} -w_{\text{kp}} + nh - \frac{1}{2}$ <sup>+∞</sup>  $-\frac{2}{2}$ <br>*n*=−∞*, n*≠0 *W*int(*nh*)*,* (A.1)

where *h* is the cell size along the dislocation line, i.e. the distance along *b* between kinks of the same sign. The first (resp. third) term on the right-hand side of Eq. A.1 corresponds to the interaction between the positive (resp. negative) kink in the cell and all the negative (resp. positive) kinks (the one contained in the cell and its periodic images). The second (resp. fourth) term refers to the interaction between the positive (resp. negative) kink in the cell and all the other positive (resp. negative) kinks. The factor 1*/*2 comes from the fact that half of this interaction energy is devoted to each kink of

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1  $\frac{2}{3}$ 

 $\frac{4}{5}$ 

the different pairs. By rearranging the total interaction energy as the sum of the kink-pair interaction with its 5 periodic images (*W*<sup>PBC</sup>) and of the interaction between 6 int supercell 10.3390/met9020252.

 $7 \t\t the opposite kinks in the simulation cell ( $W_{int}$  ), we$ 8 obtain:

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W_{\text{int}}^{\text{supercell}} = W_{\text{int}}(w_{\text{kp}}). \tag{A.3}
$$

18 In the case of  $w_{kp} = h/2$ , the series converges towards <br>19 Eq. 2. Eq.  $2$ .

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 $\overset{\bullet}{=}$ 















Energ

y (eV)

1.6 − $-(-)$  $K$  $\Theta$ + K 1.2  $0.8$  Full **Half** occupation occupation 0.4 0.0 0.0 0.2 0.4 0.6 0.8 1.0 Reaction coordinate

Reaction coordinate 1.5 1.0 0.5 kp  $E^{\rm KP}_{\rm nucl}$ 0.0 0.0 0.2 0.4 0.6 0.8 1.0 Energy (eV)























Video of figure 8 (slowed down by a factor of 5)

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Video of figure 11 (slowed down by a factor of 5)

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Video of figure 9

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**Ab initio calculations + NEB** Formation and migration of kink-pairs

![](_page_39_Figure_2.jpeg)

```
Dislocation mobility law
Analytical model (CNT)
\rightarrow Dislocation velocity: \square_d(\tau_a, \square, \square_d)
```
# **In-situ TEM straining experiments** Fe  $+$  16 appm C 523 K < T < 623 K

![](_page_39_Figure_5.jpeg)

# **Experiment/theory comparison**

Dislocation velocity, local stress and kink-pair critical extension

![](_page_39_Figure_8.jpeg)

Declaration of Interest Statement