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Influences of structural anisotropy and heterogeneity on three-dimensional strain fields and cracking patterns of a clay-rich rock

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Abstract

In this study, three-dimensional non-uniform strain fields and cracking patterns of a clay-rich rock are investigated. Uniaxial compression creep tests are performed on samples drilled in five different orientations with respect to bedding planes. A digital volume correlation method is applied to X-ray micro-tomographic images taken during the creep tests to calculate both full local strain fields and average global strains. Both instantaneous and time-dependent strains respectively induced by applied stress and creep mechanism are analysed. Strain heterogeneity inside samples is quantified by calculating strain concentration zones, which are correlated with the presence of stiff inclusions. Cracking patterns of samples are characterised through reconstructed X-ray micro-tomographic images. Correlations between major cracking patterns and strain concentration zones are investigated.

Keywords: Three-dimensional strain field, Cracking pattern, Structural anisotropy,

Heterogeneity, Clay-rich rocks, X-ray micro-tomography

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1. Introduction

Clay-rich rocks are widely encountered in many engineering practices, from classical civil constructions to geological disposal of radioactive waste (Armand et al., 2014, 2017). This class of materials general has multi-scale micro-structures and contains different types of heterogeneities such as stiff and soft inclusions and pores (Ulm and Abousleiman, 2006, Robinet et al., 2012, Bennett et al., 2015, King et al., 2015, Abedi et al., 2016). They are therefore typical heterogeneous materials. Without intending to give an exhaustive review, a number of previous studies have been so far reported on different types of compacted clays and clay-rich rocks, including mechanical properties (Zhang et al., 2012, Yang et al., 2013, Liu et al., 2015a, Togashi et al., 2017, Zhang et al., 2019), swelling properties (Wang et al., 2017, Zeng et al., 2019, Middelhoff et al., 2020), hydric and poromechanical properties (Cariou et al., 2012, Orucoglu et al., 2018).

The emphasis of this study is put on mechanical behaviours of hard clay-rich rocks, in particular instantaneous and creep deformations and failure modes. Among other specificities, the mechanical behaviours of most clay-rich rocks are intimately affected by mineralogical compositions and materials heterogeneities (Hu et al., 2014, Liu et al., 2018a), water content (Hu et al., 2014, Yang et al., 2013, Liu et al., 2018a) and temperature variation (Chen et al., 2014, Menaceur et al., 2015, Liu et al., 2019). Due to the presence of different types of heterogeneities, local strain fields are clearly non-uniform at the samples scales (mm to cm) (Bornert, 2010, Wang et al., 2015). At the same time, cracking is also an important process. On the other hand, most clay-rich rocks exhibit a significant time-dependent creep deformation (Liu et al., 2015a). The creep deformation can be coupled with the time-dependent failure modes generating variation of permeability (Liu

22 [et al., 2015b, 2016](#)). In most clay-rich rocks, the creep deformation mainly occurs inside clay con-
23 stituents. As another important character, most clay-rich rocks generally exhibit a clear structural
24 anisotropy with the presence of orientated bedding planes ([Amadei, 1996](#), [Niandou et al., 1997](#),
25 [Zhang et al., 2012](#), [Yang et al., 2013](#), [Liu et al., 2015a](#), [Togashi et al., 2017](#), [Zhang et al., 2019](#)).

26 In standard laboratory tests, strain gages and displacement transducers are used to measure
27 global or local (surface) deformation of samples. Further, when long-term creep tests are per-
28 formed, the reliability of such measuring methods becomes questionable. It is also delicate to use
29 those conventional methods for strongly anisotropic samples. In this study, based on high resolu-
30 tion of X-ray micro-tomographic images, a Digital Volume Correlation (DVC) is adopted to the
31 characterization of both full three-dimensional (3D) strain fields and average macroscopic strains
32 during creep tests of a hard clay-rich rock.

33 During the last decades, 3D X-ray micro-tomography has become one of the most widely
34 used imaging techniques. This technique has also been successfully applied to rock-like materials,
35 either for the post-mortem analysis of tested samples ([Chu et al., 1985](#), [Kawakata et al., 1999](#),
36 [Otani et al., 2000](#), [Bornert, 2010](#), [Chen et al., 2014](#), [Yang et al., 2016](#)) and for the real time mon-
37 itoring of *in situ* tests. Different kinds of experimental devices have been designed for the real
38 time micro-tomographic monitoring ([Viggiani et al., 2013](#), [Pardoen et al., 2020](#)). In some recent
39 studies, multi-modal X-ray and neutron tomography techniques were combined to investigate the
40 water absorption in hard clayey rocks ([Stavropoulou et al., 2020](#)). However, *in situ* creep tests
41 with X-ray micro-tomography monitoring have so far been rarely performed on rocks.

42 On the other hand, different types of mathematical morphology methods have been developed
43 for the treatment of digital images obtained from X-ray tomography. Among them, Digital Image

44 Correlation (DIC) and DVC are now the popular methods respectively for two-dimensional (2D)
45 and 3D images. Based on high resolution X-ray tomographic images, DVC or DIC based methods
46 has been applied to the characterization of displacement and strain fields in different kinds of
47 materials (Besnard et al., 2006, Stock, 2008, Bornert, 2010, Desbois et al., 2017). In particular,
48 these methods allowed the identification of strain localization and failure modes (Viggiani et al.,
49 2004, Bauer et al., 2006, Desrues et al., 2010, Lenoir et al., 2007, Mao et al., 2019).

50 The main objective of this study is to investigate the influences of structural anisotropy and
51 materials heterogeneities on the short and long term deformation behaviour and failure modes of
52 a hard clayey rock. For this purpose, uniaxial creep tests are performed on samples drilled in dif-
53 ferent orientations with respect to bedding planes. 3D X-ray micro-tomographic images are taken
54 during the creep tests. These images are treated by using a DVC based method. Both full strain
55 fields and average macroscopic strains are calculated. Induced cracks patterns leading to sample
56 failure are also identified. The influences of structural anisotropy and materials heterogeneities
57 on deformation behaviour and cracks patterns are analysed through the comparisons between the
58 samples with different loading orientations.

59 **2. Material and samples preparation**

60 In this study, the selected clay-rich rock is the Callovo-Oxfordian (called COx) claystone,
61 which is investigated in the context of geological disposal of radioactive waste in France (Armand
62 et al., 2014, 2017). According to previous micro-structural and mineralogical studies (Robinet,
63 2008, Robinet et al., 2012, Lenoir et al., 2007), this rock has complex and multi-scale micro-
64 structures. In view of the characterization of mechanical behaviour, the so-called mesoscopic scale

65 (approximately from 0.1 μm to 100 μm) appears a relevant one. At this scale, the COx claystone
66 is mainly composed of a clay matrix (illite, montmorillonite, kaolinite and a small amount of
67 chlorite), quartz and carbonate grains. The volume fraction of clay matrix is about 40-45%, that
68 of carbonate (mainly calcite) is about 25-35% and that of quartz is about 30%. Other minor
69 minerals, namely feldspar, mica and pyrite, can also be found. The size of quartz and calcite
70 grains is generally less than 100 μm . The majority of pores are found embedded inside the clay
71 matrix at a smaller scale. The emphasis here is put on the mesoscopic scale. The COx claystone
72 is obviously a heterogeneous material at this scale. Under the range of stresses concerned by
73 the geological disposal project (tens of MPa), the quartz and carbonate grains exhibit a linear
74 elastic behaviour. Inelastic deformation and cracking process mainly occur inside the clay matrix
75 (Bésuelle et al., 2006, Lenoir et al., 2007, Bornert et al., 2010, Wang et al., 2015). On the other
76 hand, due to tectonic sedimentation process, like most sedimentary rocks, the COx claystone is
77 also an anisotropic material. As a first approximation, a transversely isotropic representation can
78 be adopted with quasi-horizontal bedding (or stratification) planes (Armand et al., 2014, 2017).

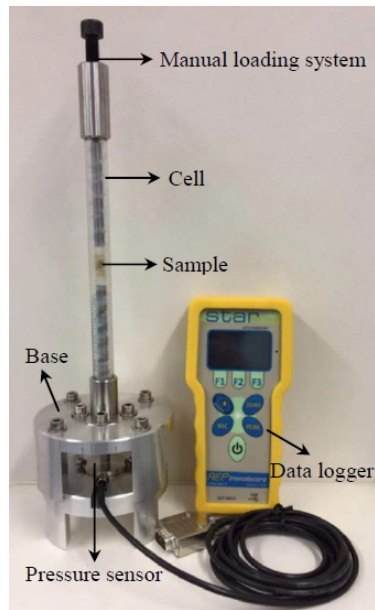
79 The main objective here is the characterization of non-uniform strain fields in the claystone due
80 to stress variation and creep deformation at the mesoscopic scale. Based on the resolution of X-
81 ray micro-tomography (presented below) and the size of main heterogeneities (quartz and calcite),
82 cylinder samples of small size (~ 5 mm in diameter and ~ 10 mm in height) are chosen. The
83 samples are drilled from big cores (about 79 mm in diameter and 300 mm in length), taken at the
84 Bure underground research laboratory (URL) of Andra (French National Agency for radioactive
85 waste management) around the depth of 500 m below the ground surface (Conil et al., 2018).
86 During all the operations, plastic films are used to prevent the loss of moisture in samples. In spite

87 of all those precautions, the moisture content of some samples was reduced from $\sim 7\%$ to around
88 4% (Shi et al., 2020b). In order to investigate the influences of structural anisotropy, samples were
89 drilled along five different orientations with respect to bedding planes. The drilling orientation is
90 defined by the angle θ between the drilling axis and bedding planes. The five selected values of θ
91 are $\theta = 0^\circ, 30^\circ, 45^\circ, 60^\circ$ and 90° respectively.

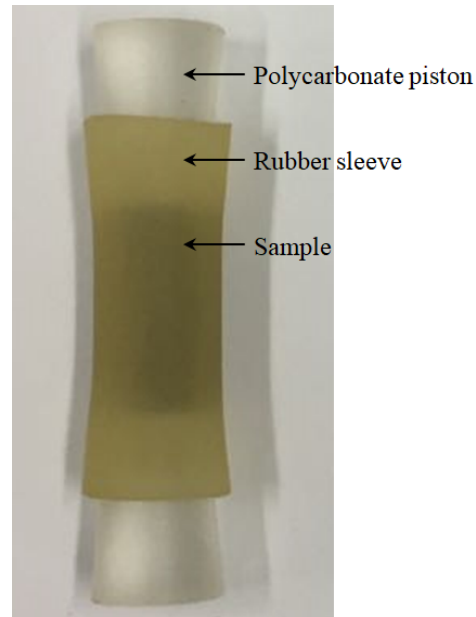
92 **3. Experimental methodology**

93 *3.1. Procedure of creep tests*

94 Uniaxial compression creep tests are performed. An especial experimental device was de-
95 signed, allowing applying and maintaining axial stress and taking X-ray micro-tomographic im-
96 ages during creep tests. The experimental device is composed of a manual loading frame, a long
97 transparent cell, a fixed base, a pressure sensor and a data logger, as shown in Figure 1(a). The
98 long transparent cell is made of polycarbonate with good X-ray transmissibility and mechanical
99 stability. The reason for such a long cell is to make the sample and the X-ray source as close as
100 possible, so as to obtain a higher resolution. The force sensor has a range of 100 kg and an accu-
101 racy of about 0.01 kg. For the size of samples tested in this study, a maximum axial stress of about
102 80 kg can be applied. A pair of transparent polycarbonate pistons, as shown in the Figure 1(b), are
103 placed at both ends of the sample, in order to reduce the friction on end surfaces of sample and
104 to obtain clear boundaries in X-ray scanning. The details of experimental device can be found in
105 (Shi et al., 2020a).



(a) *In situ* creep test system (Shi et al., 2020a,b)



(b) Sample with rubber sleeve and polycarbonate pistons

Figure 1: Physical image of the experimental device for creep tests

106 Five identical experimental devices are fabricated to perform creep tests on samples drilled in
 107 different orientations. Before starting tests, the 3D grey-scale image of each sample is obtained by
 108 X-ray micro-tomography in order to detect initial cracks or defects. Axial stress is increased with 3
 109 to 4 steps. For each loading step, 3D X-ray micro-tomographic images are taken at 3 to 5 different
 110 time instances, in order to determine variations of strains induced by applied stress and creep
 111 process. However, in order to conveniently choose axial stress values for different loading steps,
 112 preliminary uniaxial compression tests are first realized to get an estimation of peak stress. The
 113 obtained values of uniaxial compression strength, elastic properties of the five selected samples
 114 as well as loading steps for creep tests are presented in Table 1. It is worth noticing that the
 115 values of uniaxial compression strength obtained here are higher than the average values reported
 116 in previous works (Liu et al., 2018a,b, Zhang et al., 2019). The difference is probably due to the

117 fact that the water content of the small size samples used here is lower than that of the large size
 118 samples used in most previous studies.

Table 1: Elastic and strength properties of five samples with different orientations and stress steps for creep tests

Rock Core	Orientation	Diameter (mm)	Height (mm)	Peak stress q_{peak} /(MPa)	Creep stress steps q_c/q_{peak} (%)	Axial elastic modulus E/(GPa)	Poisson's Ratio ν
EST58125	0°	4.87	10.65	35.0	41, 60, 77, 94	~ 10.0	0.18 – 0.20
EST58125	30°	4.85	10.88	21.8	56, 77, 100	~ 8.5	0.20 – 0.26
EST58125	45°	4.84	10.88	27.7	40, 67, 90	~ 8.0	0.15 – 0.24
EST58125	60°	4.85	10.92	29.3	40, 57, 82, 100	~ 7.5	0.22 – 0.26
EST58125	90°	4.96	9.54	36.0	40, 60, 70, 90	~ 6.3	0.26 – 0.30

119 3.2. Strain characterization method

120 3D strain fields are calculated by using DVC method applied to X-ray micro-tomographic
 121 images of samples taken during creep tests. A detailed description of DVC method can be found
 122 in (Bay et al., 1999, Shi et al., 2020b). A recent review on DVC method can be found in (Buljac
 123 et al., 2018). The basic principle of DVC is to determine the 3D displacement vector of each
 124 individual volume element by comparing two 3D digital images before and after deformation of
 125 the same element. Each image is identified by its grey scale function. It is assumed that the grey
 126 scale variations are only due to the displacement of material points.

127 In this study, the DVC calculation is carried out with the YaDICs software (Seghir et al., 2014).
 128 A window size of $8 \times 8 \times 8$ pixels is chosen to ensure a good spatial resolution thanks to the good
 129 natural speckle pattern of the COx claystone in X-ray micro-tomography.

130 3D images of tested samples are taken by using the In Situ Innovative Set-ups under X-ray
 131 Micro-tomography platform (ISIS4D) (Limodin et al., 2013). Detailed descriptions of micro-

132 tomographic imaging technique can be found in (Kak and Slaney, 2001, Goldman, 2007). In this
133 study, the operation voltage is set at 100 kV and the filament current is set to 45 μA in order to
134 achieve a spatial resolution of $4.5 \times 4.5 \times 4.5 \mu\text{m}^3/\text{pixel}$. This resolution is required to capture
135 the microstructure evolution of the COx claystone during creep tests. Reconstruction of the to-
136 mographic data is performed with a filtered back projection algorithm using X-act software (Shi
137 et al., 2020b).

138 **4. Main results and discussions**

139 *4.1. Analysis of average strains and stresses*

140 Based on the strains of all elements calculated by using the DVC method, the average (or
141 global) strains of the tested samples are determined. In the context of uniaxial compression creep
142 tests, the axial and radial strains are considered. In Figure 2, one shows the evolutions of ac-
143 cumulated axial and radial strains with time for five samples drilled along different orientations
144 ($\theta = 0^\circ, 30^\circ, 45^\circ, 60^\circ$ and 90°). The sudden increases of strains in this Figure correspond to the
145 variations induced by the raise of axial stress. The variations with time between two succes-
146 sive loading steps are related to creep strains under constant axial stress. One can observe obvi-
147 ous differences between five samples. That clearly indicates that the COx claystone exhibits an
148 anisotropic deformation behaviour. More precisely, for the axial strain, the maximum value is
149 obtained for the sample with $\theta = 90^\circ$ while minimum is for $\theta = 0^\circ$. For the radial strain, it seems
150 that the maximum strain is observed on the sample with $\theta = 45^\circ$. These results are consistent
151 with the anisotropic micro-structure of material. Indeed, for the sample with $\theta = 90^\circ$, the axial
152 stress is perpendicular to bedding planes. Thus, the bedding planes are compacted by the axial

153 stress, enhancing the compressive axial strain. For the sample with $\theta = 45^\circ$, the bedding planes
 154 are subjected to the maximum shear stress and exhibit important sliding. This should enhance the
 155 evolution of extensive radial strain.

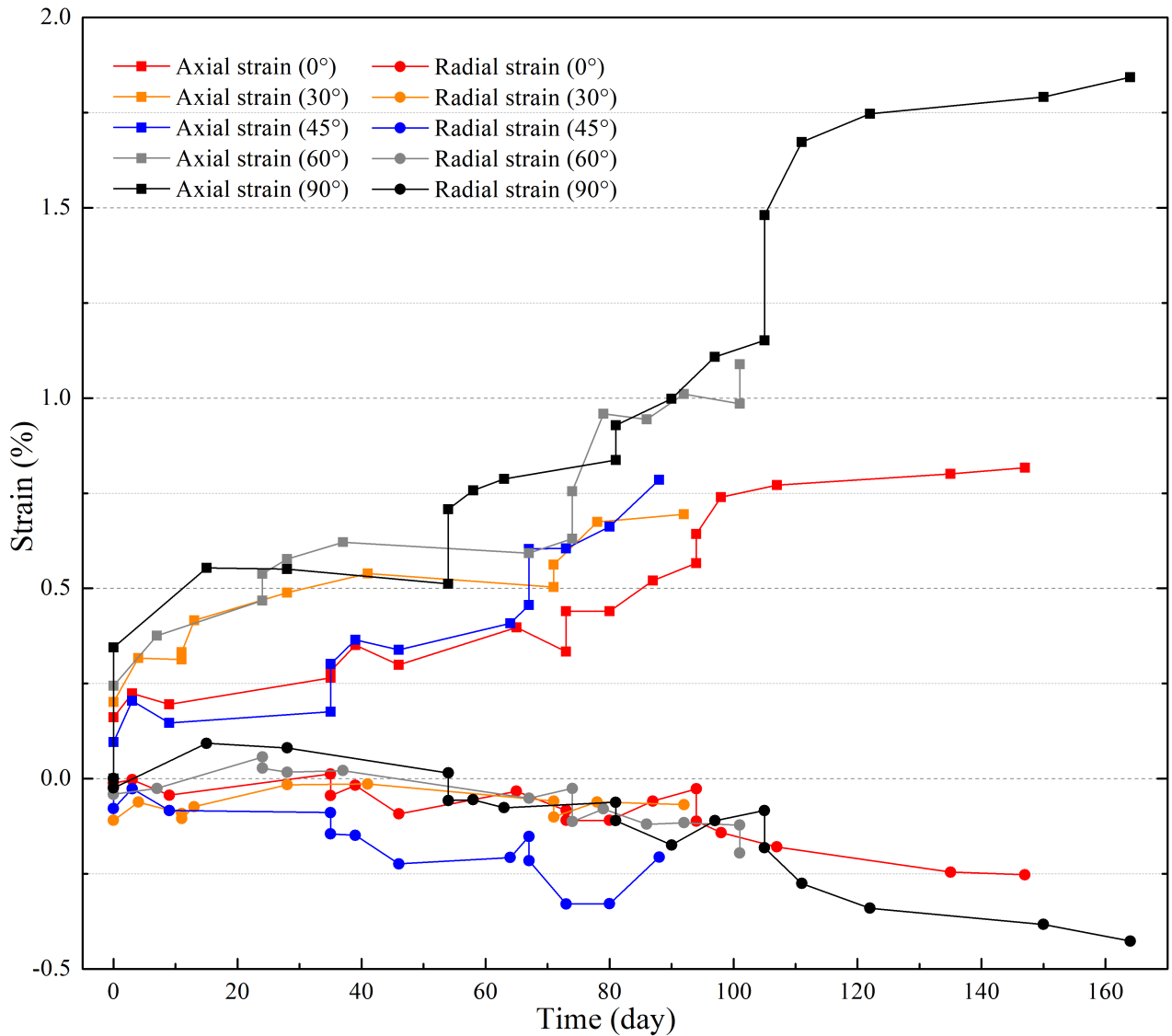


Figure 2: Evolutions of average axial and radial strains with time for five samples with different loading orientations during uniaxial compression creep tests

156 In order to quantify the strain heterogeneity of samples, the following simple heterogeneity

157 ratio parameter β_h is introduced here:

$$\beta_h = (\epsilon_\alpha^{max} - \epsilon_\alpha^{min}) / |\epsilon_\alpha^{min}|, \quad \alpha = axial, radial \quad (1)$$

158 where ϵ_α^{max} and ϵ_α^{min} are the maximum and minimum axial or radial strains of computing
159 elements (each element contains 512 pixels). In Figure 3, the variations of β_h with loading time
160 are given for five samples. It is very clear that the heterogeneity of radial strains is much more
161 significant than that of axial ones. Indeed, the value of β_h varies around 1 for the axial strain while
162 it can be higher than 6 for the radial one. Moreover, the strain heterogeneity is also influenced by
163 loading orientation. The maximum value of β_h is found on the radial strain for the sample with
164 $\theta = 45^\circ$. Finally, the value of β_h shows a significant increase with loading time. This means that
165 the local strain fields of five samples become more and more heterogeneous when the axial stress
166 is increased and creep deformation progresses.

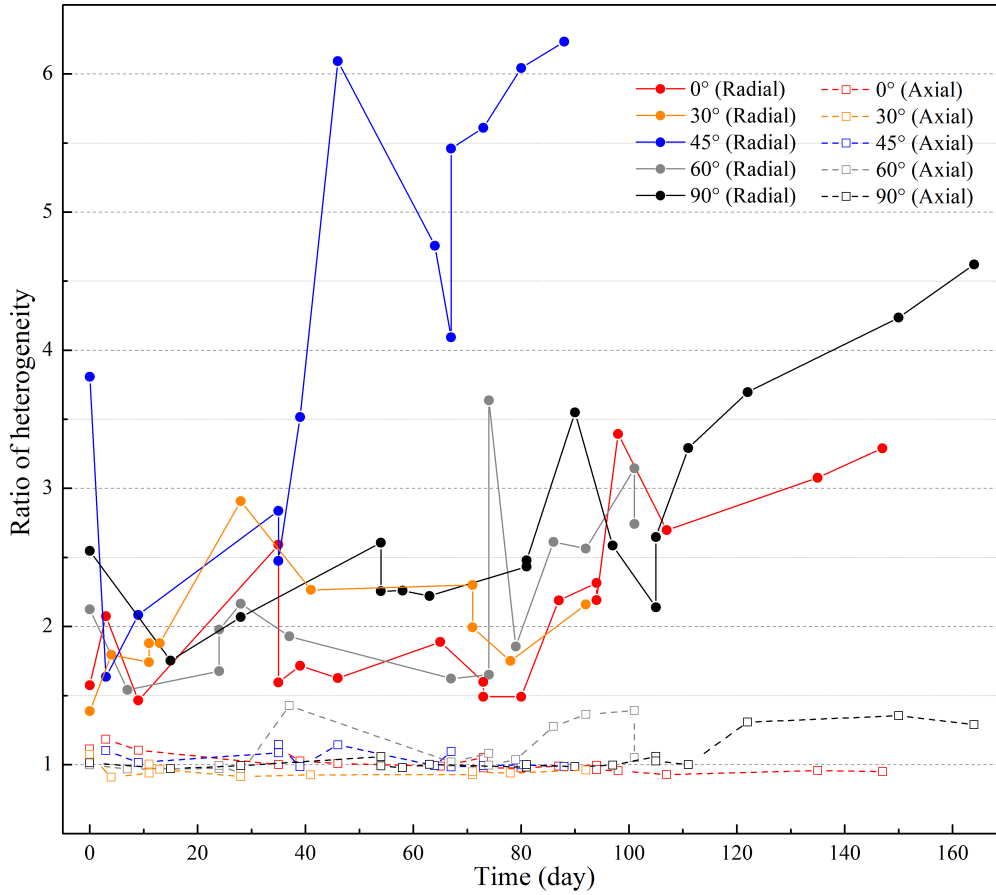


Figure 3: Variations of strain heterogeneity ratio β_h during creep tests for five samples with different loading orientations

167 In Figure 4, we show the variations of axial and radial strains calculated from the last scan
 168 images (the last points of strain curves in Figure 2) as functions of loading orientation. The values
 169 represent the strains just before the complete failure of samples. It can be seen that the axial
 170 strain exhibits a stronger anisotropy than the radial one. The axial strain is always maximal for the
 171 sample with $\theta = 90^\circ$ while it is nearly the same for the samples with $\theta = 0^\circ$, $\theta = 30^\circ$ and $\theta = 40^\circ$.
 172 On the same Figure, one can also see that the anisotropy of radial strain is less obvious and more
 173 difficult to interpret than the axial one. This may be due to the fact that the heterogeneity of radial
 174 strain fields is much stronger than that of the axial strain, as shown in Figure 3.

175 Further, the values of failure axial stress are also reported in Figure 4, as functions of loading
 176 orientation. It is clear that the uniaxial compression strength is strongly dependent on loading
 177 orientation. The maximum and minimum failure stresses are obtained respectively on the samples
 178 with $\theta = 90^\circ$ and $\theta = 30^\circ$. The failure strength of the sample with $\theta = 0^\circ$ (parallel to bedding
 179 planes) is quite close to that of the sample with $\theta = 90^\circ$ (perpendicular to bedding planes). How-
 180 ever, the failure stresses of the samples with a loading orientation between $\theta = 30^\circ$ and $\theta = 60^\circ$
 181 are significantly lower than those of two principal directions. This can be explained by the fact
 182 that the failure process in these inclined samples is enhanced by the sliding along bedding planes.
 183 These results are consist with those reported in previous works on clay-rich rocks (Amadei, 1996,
 184 Niandou et al., 1997, Zhang et al., 2012, Yang et al., 2013, Liu et al., 2015a, Togashi et al., 2017,
 185 Zhang et al., 2019).

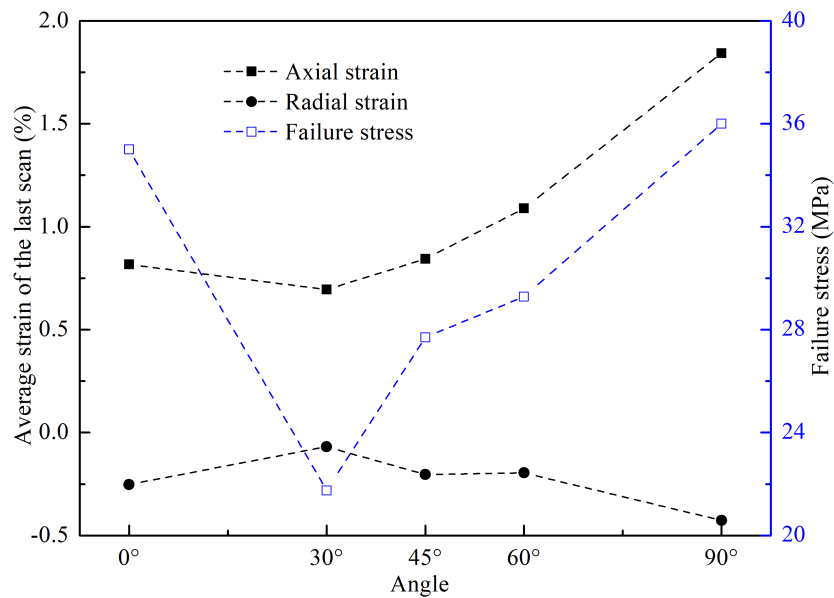


Figure 4: Failure stress of five samples with different loading orientations relative to the bedding planes

186 4.2. Analysis of full strain fields

187 With the help of DVC method, full 3D strain fields of the tested samples can be determined.
188 As examples, in Figure 5, one presents the distributions of accumulated axial strain of two samples
189 respectively with $\theta = 45^\circ$ and 90° , at different values of axial stress and creep time. It is interesting
190 to observe that the orientations of the strain concentration bands are clearly correlated to those of
191 bedding planes. It seems that the strain localization is also affected by the structural anisotropy
192 of materials. It should be reminded that Figure 5(a) and 5(d) are the grayscale maps of the initial
193 state of the two samples. It is difficult to directly observe the bedding planes by X-ray micro-
194 tomography.

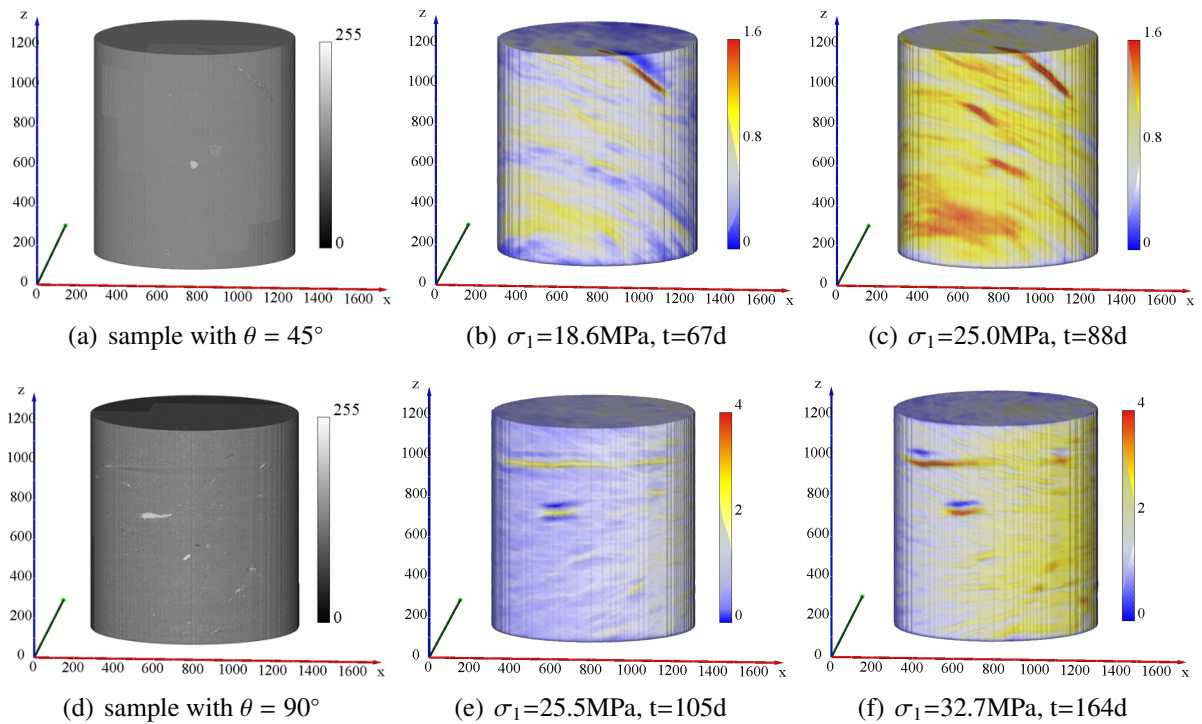


Figure 5: Distributions of accumulated axial strain (in %) in the samples with $\theta = 45^\circ$ and $\theta = 90^\circ$ at different axial stress levels and creep time: (a) and (d) are the grayscale maps of the initial state of the two samples; (b) and (c) are the axial strain distributions of the sample with $\theta = 45^\circ$; (e) and (f) are the axial strain distributions of the sample with $\theta = 90^\circ$

195 In order to get a detailed analysis of strain localization process, strain concentration zones
196 (SCZ) are here defined including all calculated elements whose strains are higher than twice the
197 average strain of sample. Two examples of SCZs are presented in Figure 6 for the samples with
198 $\theta = 45^\circ$ and $\theta = 90^\circ$ at a selected axial stress value and creep time.

199 For the sample with $\theta = 45^\circ$, the locations of axial SCZs basically coincide with those of
200 the radial ones. The extents of SCZs expand with axial stress level and creep time (not shown
201 in this Figure). One can also find that the SCZs are correlated with high-density zones of the
202 sample. These high-density zones are mainly metal oxide accumulation zones. In the process of
203 geological deposition, metal oxidation is accompanied by a large amount of hydrogen production,
204 which results in a large number of tiny pores (nano- to micro-) in the clay matrix surrounding the
205 metal oxide zones. Therefore, the strain concentrated is enhanced in these weak porous material
206 zones.

207 For the sample with $\theta = 90^\circ$, there is no obvious correlation between the axial and radial SCZs.
208 The axial SCZs have a correlation with the high-density zones, like the sample with $\theta = 45^\circ$.
209 However, the radial SCZs are quite diffuse and located in the right half part of sample. It seems
210 that the radial strain distribution is clearly dissymmetrical with respect to the sample axis. This can
211 be due to strong material heterogeneity or experimental artefact (for example, the applied stress is
212 not completely parallel to the sample axis).

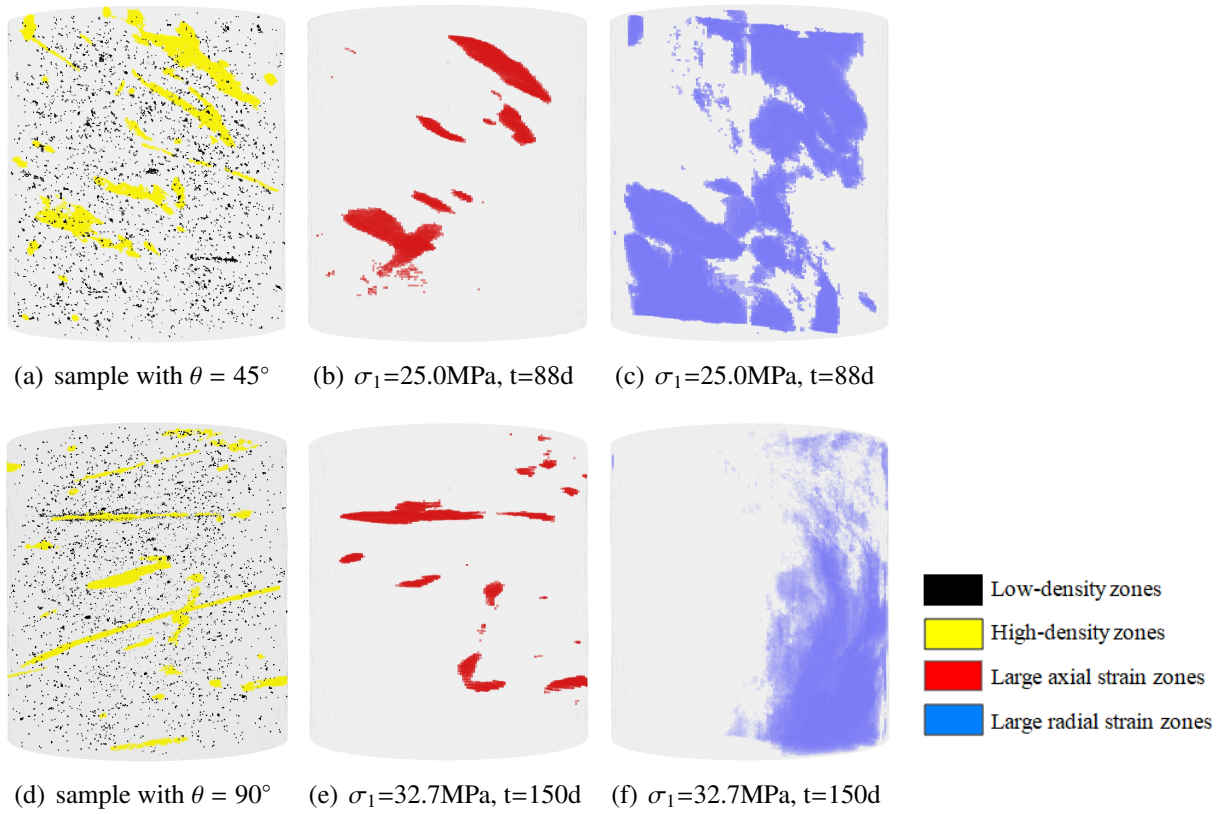


Figure 6: Locations of strain concentration zones (higher than twice the average strain) in two samples with $\theta = 45^\circ$ and $\theta = 90^\circ$ at selected axial stress values and creep time: (a) and (d) are the grayscale maps of the initial state of the two samples; (b) and (c) are the distributions of low-density and high-density zones of the sample with $\theta = 45^\circ$; (e) and (f) are the distributions of low-density and high-density zones of the sample with $\theta = 90^\circ$

213 4.3. Analysis of creep strain fields

214 After the discussion of accumulated strains, the emphasis is here put on the strain variations
 215 due to creep process of the COx claystone. For this purpose, strain increments during a selected
 216 constant stress level are analysed. The sample with $\theta = 90^\circ$ is taken as an example. More espe-
 217 cially, the creep step under the axial stress of 32.7 MPa is considered. Incremental variations of
 218 both axial and radial strains with time are calculated and their 3D distributions are presented in
 219 Figure 7 for different time instances. Main zones of large stiff inclusions inside the sample are also

220 indicated (zones ① ~ ④ marked in Figure 7(a)). It is clear that the creep strain fields are strongly
221 non-uniform at the sample scale. Like the accumulated axial strain, the concentration process of
222 axial creep strain is enhanced by the presence of stiffness inclusions. Compared with the results
223 shown in Figure 5, it seems that the evolutions of local creep strain around stiff inclusions are
224 more prominent than those of instantaneous strain induced by applied stress raise. Concerning
225 the radial creep deformation, both tensile and compressive strain zones are found. However, the
226 concentration of radial creep strain is not clearly influenced by the stiff inclusions, similarly to the
227 instantaneous radial strain. In Figure 8, the distributions of incremental axial creep strain on the
228 longitudinal section of sample are presented for two time instances. Again, one can observe the
229 correlation between the axial creep strain concentration and stiff inclusions zones. Further, it is
230 found that the influence of loading orientation on the creep strain variation is less significant than
231 that on the instantaneous strain induced by applied stress (not shown here).

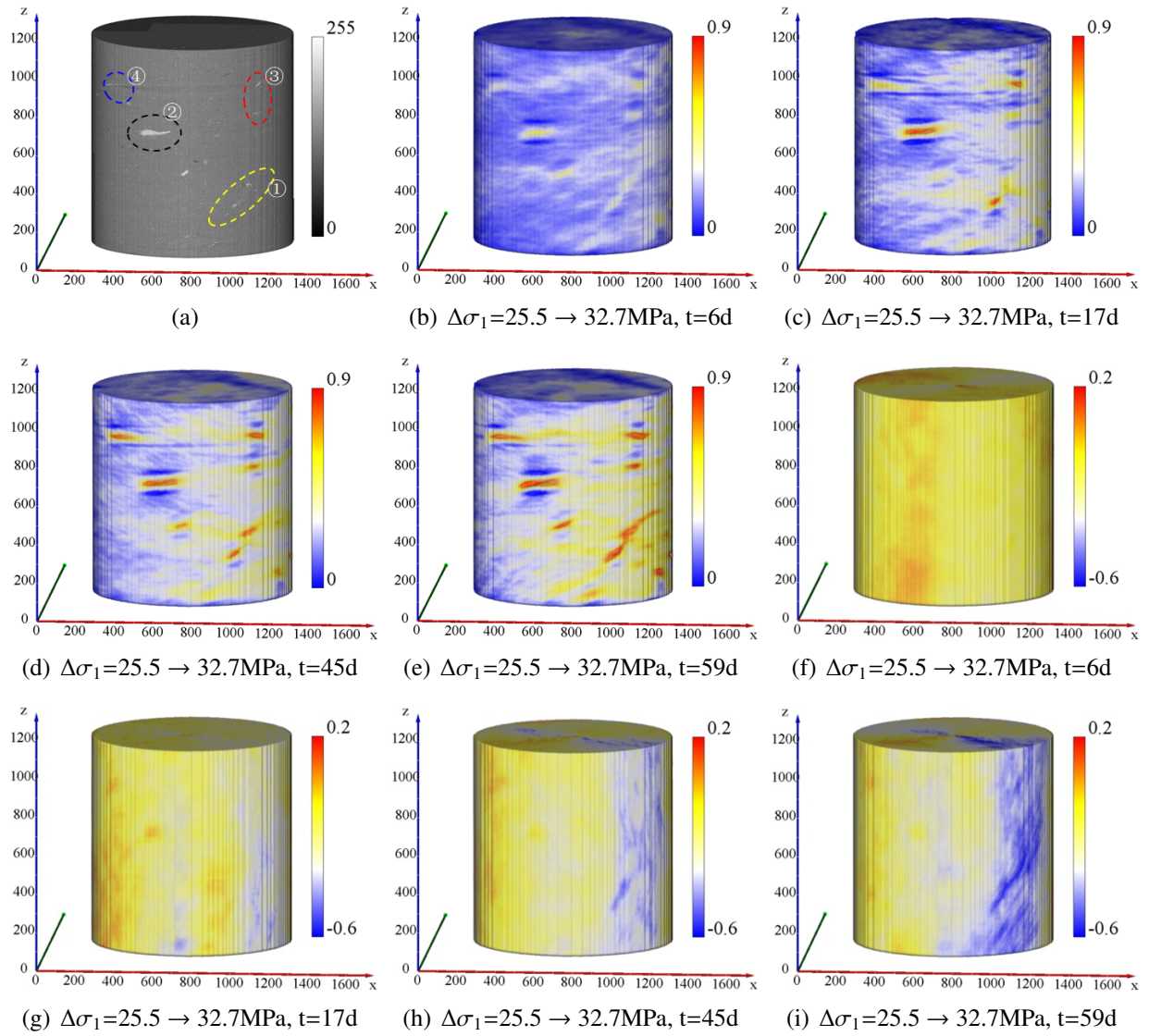


Figure 7: Distributions of incremental axial and radial creep strains (in %) in the sample with $\theta = 90^\circ$ at different time instances and under a constant stress of 32.7 MPa: (a) is the grayscale map of the initial state; (b) to (e) are the distributions of axial strains; (f) to (i) are the distributions of radial strains

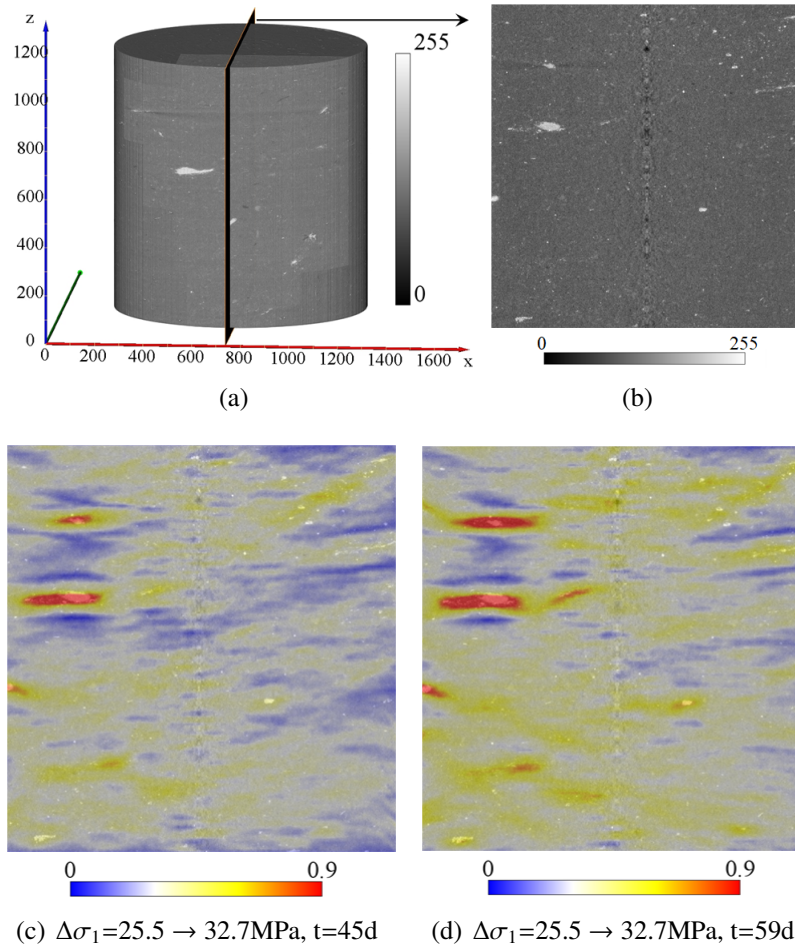


Figure 8: Distributions of incremental axial creep strain (in %) on the longitudinal section of sample with $\theta = 90^\circ$ at two time instances and under a constant stress of 32.7 MPa: (a) shows the position of the selected longitudinal section in the sample; (b) is the grayscale map of the selected longitudinal section

232 *4.4. Analysis of failure modes*

233 Thanks to the advanced computed tomography imaging technology, 3D cracks patterns can be
 234 reconstructed. In Figure 9, one shows the distributions of cracks in five samples with different
 235 loading orientation just after the final failure. It is seen that the cracking mode of the anisotropic
 236 COx claystone is clearly influenced by the loading orientation with respect to bedding planes. For
 237 the samples with small orientation angles, namely $\theta = 0^\circ$ and $\theta = 30^\circ$, multiple quasi vertical and

238 through cracks are observed. These cracks are generally driven by the tensile radial (or lateral)
239 strain and closely correlated with the burst (or opening) of bedding planes. For the sample with
240 $\theta = 45^\circ$, a single major inclined crack is obtained and nearly parallel to bedding planes. This is a
241 closed shear crack mainly driven by the sliding along bedding planes. For the samples with high
242 orientation angles, say $\theta = 60^\circ$ and $\theta = 90^\circ$, complex cracks patterns are observed. Large cracked
243 zones are generated in the samples. Although the cracking process cannot be captured, we infer
244 that there are some competitions between structural bedding planes and induced cracks. Further,
245 the cracked zones are localized near the up or bottom ends of samples. The failure modes in
246 these two samples is probably influenced by the boundary conditions such as the friction between
247 samples and bases. Nevertheless, in Figure 10, we present the superposition of cracking pattern
248 and creep strain distribution at a selected loading step for the sample with $\theta = 90^\circ$. It seems that
249 the occurrence and propagation of major cracks are correlated with the creep strain concentration
250 zones. Although many strain concentration zones (red zones) appear in the axial creep strain
251 distribution, most of the strain concentration zones are quasi-parallel to the bedding planes. Only
252 the strain concentration zone coinciding with the failure crack is inclined to the bedding planes.
253 In addition, the failure crack extends upward from the middle of the sample end. As discussed
254 previously, there may be some competitions between the structural bedding planes and the induced
255 cracks, and the failure crack may be influenced by the boundary conditions. For the radial creep
256 strain, the maximum strain concentration zone coincides well with the position of the failure crack.
257 More studies on cracking pattern and creep strain distribution will be continued in the future.

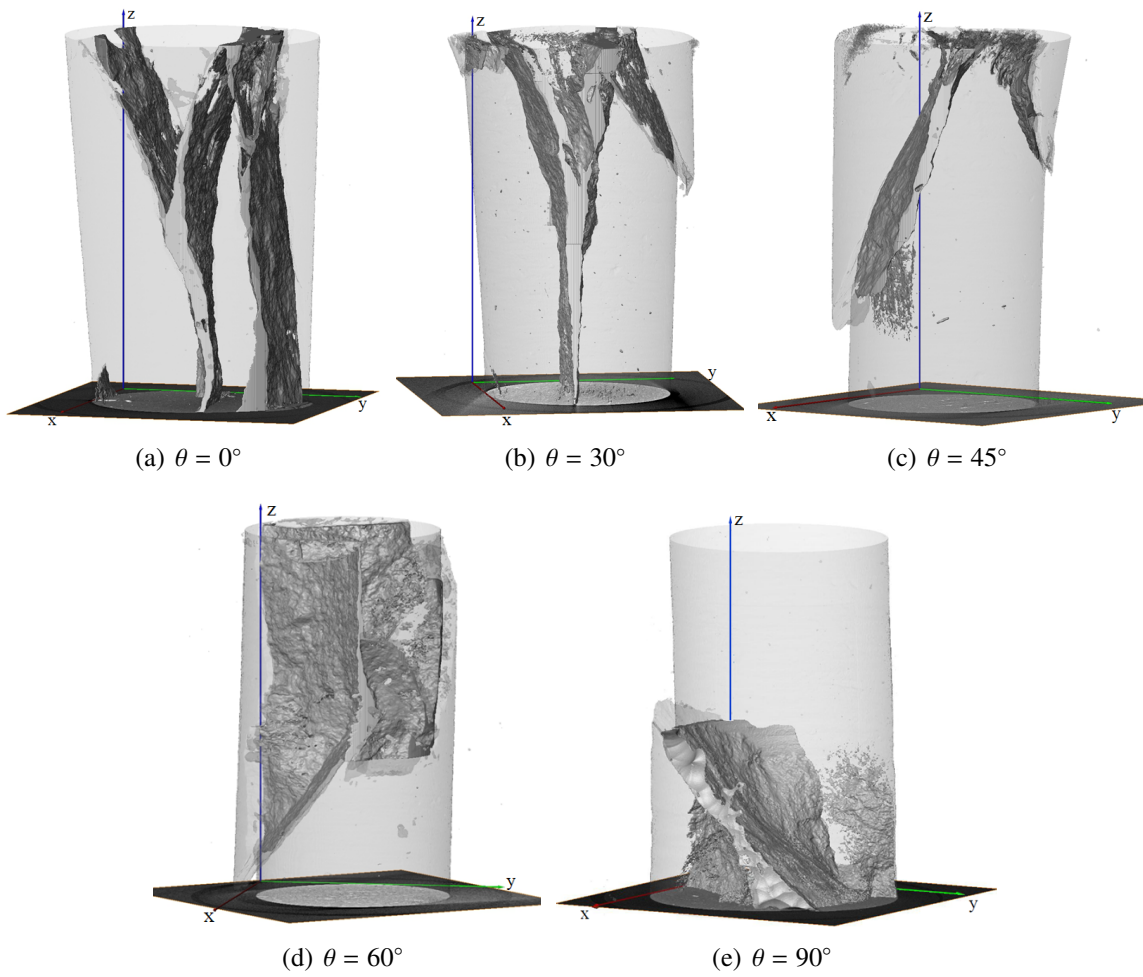


Figure 9: 3D reconstructed cracks in five samples with different loading orientations at the last scan time just before failure

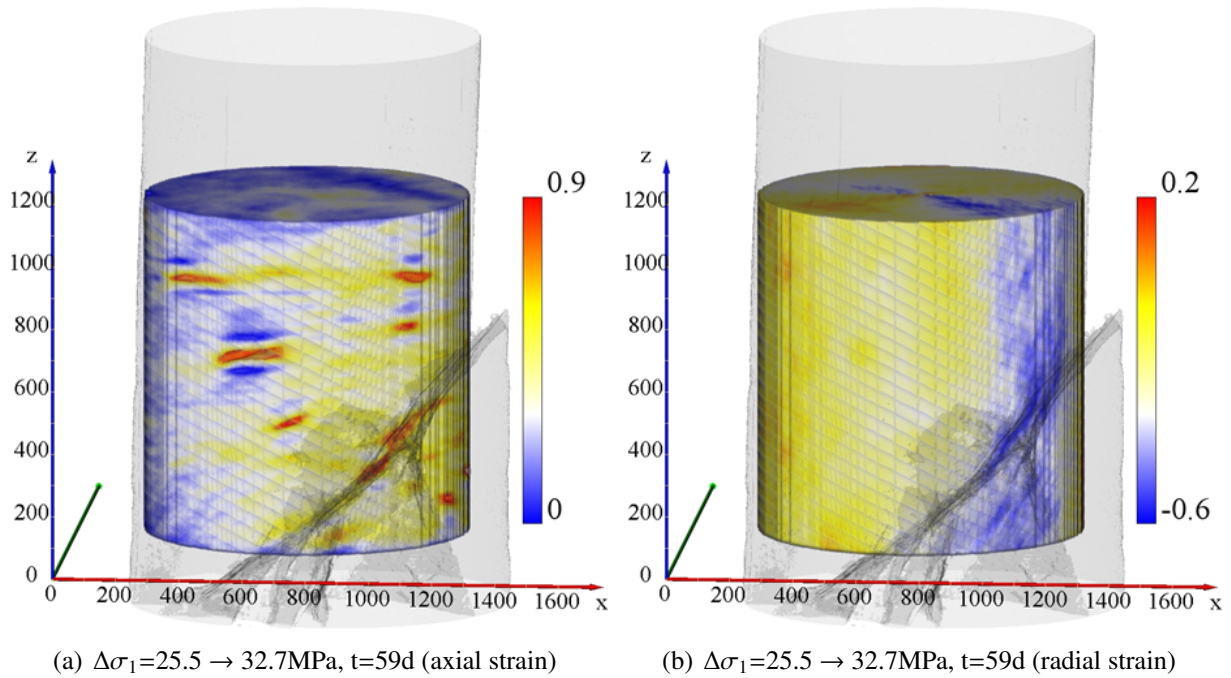


Figure 10: Correlation between creep strain concentration zones and generation of major cracks in the sample with $\theta = 90^\circ$

258 5. Conclusions

259 In this study, the digital volume correlation method was applied to X-ray micro-tomographic
 260 images to investigate the deformation behaviour and failure modes of a clay-rich rock, the Callovo-
 261 Oxfordian claystone. Both the instantaneous and time-dependent strains respectively induced by
 262 stress variation and creep mechanism were investigated. Full 3D strain fields and average macro-
 263 scopic strains have been calculated. 3D cracks patterns have also been reconstructed. Based on
 264 the obtained results, the following main remarks can be drawn.

265 Due to materials heterogeneities such as stiff inclusions and pores, the strain fields of the COx
 266 claystone are clearly non-uniform at the sample scale. Under uniaxial compression condition, the
 267 heterogeneity of radial strain is much more important than that of the axial one. Further, the strain

268 concentration (or localisation) is accentuated with the increase of applied stress. The deformation
269 behaviour of the clay-rich rock is also strongly anisotropic mainly due to the presence of bedding
270 planes. The local strain fields as well as the average macroscopic strains are clearly influenced by
271 loading orientation as the consequences of compaction (or opening) and sliding of bedding planes.

272 The COx claystone exhibits a significant creep process at the time scale considered here. The
273 local distributions of creep strains are more sensitive to the presence of materials heterogeneities
274 such as stiff inclusions than that of bedding planes.

275 The failure strength of the COx claystone is also strongly dependent on the loading orientation.
276 The minimum of strength is generally obtained when the maximum shear stress plane is quasi
277 parallel to bedding planes while the maximum one corresponds to the situations where the major
278 compressive stress is either perpendicular or parallel to bedding planes.

279 The cracking patterns of the COx claystone samples are clearly affected by the structural
280 anisotropy. Cracks of tensile splitting type are generated for small orientation angles (between the
281 major compressive stress and bedding planes). Closed shear cracks are observed when the maxi-
282 mum shear stress plane is quasi parallel to bedding planes. Complex cracked zones are formed the
283 major compressive stress is nearly perpendicular to bedding planes.

284 Only uniaxial compression tests are performed in the present study. In our ongoing studies,
285 triaxial compression paths are considered in order to investigate effects of confining stress on
286 deformation and failure behaviours of clay-rich rocks.

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