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1 Influence of small inertia on Jeffery orbits

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We experimentally investigate the rotational dynamics of neutrally-buoyant axisymmetric 8 particles in a simple shear flow. A custom-built shearing cell and a multi-view shape-9 reconstruction method are used to obtain direct measurements of the orientation and period 10 of rotation of particles having oblate and prolate shapes (such as spheroids and cylinders) of 11 varying aspect ratios. By systematically changing the viscosity of the fluid, we examine the 12 effect of inertia (which may be originated from either phase) on the dynamical behaviour of 13 these suspended particles up to particle Reynolds number of approximately one. While no 14 significant effect on the period of rotation is found in this small-inertia regime, a systematic 15 drift among several rotations toward limiting stable orbits is observed. Prolate particles are 16 seen to drift towards the tumbling orbit in the plane of shear, whereas oblate particles are 17 driven either to the tumbling or to the vorticity-aligned spinning orbits, depending on their 18 initial orientation. These results are compared to recent small-inertia asymptotic theories, 19 assessing their range of validity, as well as to numerical simulations in the small-inertia 20 regime for both prolate and oblate particles. 21

22 Key words: Particle/fluid flow, Suspensions, Slender-body theory

23 MSC Codes (Optional) 76-05, 76T99

24 **1. Introduction**

25 Suspensions of axisymmetrical (or quasi-axisymmetrical) particles are ubiquitous in natural

and industrial applications, including plankton dynamics in the ocean (Marchioli *et al.* 2019;

- 27 Guasto et al. 2012), micro-plastic sea-water contamination (Ross et al. 2021), red-blood cells
- dynamics (Goldsmith 1996), paper production processes (Lundell *et al.* 2011), drag reduction
- 29 in pipelines and naval applications (Hoyt 1972), particle-reinforced composites (Bao et al.
- ³⁰ 1991). A description of these systems is particularly challenging, as their rheology strongly
- 31 depends on the relative orientation of the particles within the flow. Nevertheless, as long as

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Figure 1: Representation of five different Jeffery orbits for an spheroid of aspect ratio r = 10. The azimuthal and polar angles, ϕ and θ , are displayed as yellow dashed lines. The trajectories followed by one of the spheroid extremities are obtained by integrating equation 1.1 in time and displayed as dotted lines on the surface of a sphere of radius equal to the particle half-length ℓ . The orbit constant *C* increases across the represented orbits following the dashed arrow, from C = 0 in the *z* axis-aligned log-rolling orbit to $C = \infty$ in the tumbling orbit in the *x*, *z* plane. See Supplementary Materials for animations.

32 these particles are shorter than the smallest relevant flow scale, the theory of Jeffery (1922)

³³ represents the most common approach to their modelling (Paschkewitz *et al.* 2004; Voth &

34 Soldati 2017; Gustavsson *et al.* 2017).

In the absence of inertial and Brownian forces, Jeffery (1922) found that the axis of revolution of an axisymmetric rigid particle suspended in a simple shear flow rotates along one of an infinite one-parameter family of closed periodic orbits, known as Jeffery orbits, depicted in figure 1. The time change in orientation is given by the equation for the unit vector **n** parallel to the axis of revolution:

$$\dot{\mathbf{n}} = \mathbf{\Omega} \cdot \mathbf{n} + \frac{r^2 - 1}{r^2 + 1} \left[\mathbf{E} \cdot \mathbf{n} - \mathbf{n} \left(\mathbf{n} \cdot \mathbf{E} \cdot \mathbf{n} \right) \right], \tag{1.1}$$

where $r = \ell/a$ is the particle aspect ratio with ℓ the particle half-length and *a* its radius. The orientation changes fully with the rate of rotation of the flow, Ω , and only by a fraction $(r^2 - 1)/(r^2 + 1)$ of the rate of strain of the flow, **E**. Note that the second term within square parentheses on the right hand side of equation (1.1) is just meant to keep $|\mathbf{n}| = 1$. Considering the uniform shearing motion defined by $(\dot{\gamma}y, 0, 0)$ where $\dot{\gamma}$ is the flow shear rate, the orientation of the particle axis of revolution is described by the azimuthal and polar 47 angle, ϕ and θ , shown in figure 1. The Jeffery orbits are of the form (with t = 0 when $\phi = 0$):

$$\tan\phi = \frac{1}{r}\tan\left[\frac{\dot{\gamma}t}{r+(1/r)}\right],\tag{1.2}$$

49

$$\tan \theta = \frac{Cr}{(\cos^2 \phi + r^2 \sin^2 \phi)^{1/2}},$$
(1.3)

where the constant of integration *C* is known as the orbit constant. The period of rotation is $T_J = 2\pi (r + 1/r)/\dot{\gamma}$. The rotational motions consist of infinitely many possible spherical ellipses, limited by a tumbling orbit in the (x, y) plane on the equator of the sphere $(C = \infty)$ and a spinning orbit aligned with the vorticity *z*-axis on the pole of the sphere (C = 0).

Since their formulation, many experimental studies have reported Jeffery orbits for 54 55 spheroids as well as for other axisymmetrical particles such as fibres having r >> 1and disks having $r \ll 1$ (see e.g. Taylor 1923; Binder 1939; Forgacs & Mason 1959; 56 Goldsmith & Mason 1962a; Karnis et al. 1966; Moses et al. 2001; Einarsson et al. 2016). 57 Interestingly, fibres were observed to rotate with a period comparable to that of spheroids with 58 59 a lower particle aspect ratio (Trevelyan & Mason 1951). Subsequent experiments with disks (Goldsmith & Mason 1962b) and high-aspect ratio fibres (Anczurowski & Mason 1968) 60 highlighted the shape equivalence existing between spheroidal and cylindrical particles, 61 which could be estimated precisely, moving from a simple linear proportionality (Burgers 62 1938; Trevelyan & Mason 1951) to a semi-empirical asymptotic theory (Cox 1971), and 63 finally to a data-driven formula (Harris & Pittman 1975). Note that also fore-aft symmetrical 64 particles that possess a discrete rotation symmetry and certain mirror symmetries but do 65 66 not have a continuous rotation symmetry obey Jeffery's theory, just with different shape parameters, as shown in the seminal paper by Bretherton (1962) and more recently by Fries 67 68 et al. (2017).

In contrast to the case of a suspension of spheres (Einstein 1906, 1911), the first 69 modification to the viscosity of a suspension of spheroids is indeterminate in the limit 70 of the derivation of Jeffery (1922), as the particles exist in a dynamical state depending only 71 on their initial orientation and without steady-state preferential orientation. To solve this 72 indeterminacy, Jeffery himself was the first to suggest that spheroids would eventually align 73 with the local vorticity, driven by the terms neglected in his calculations, namely flow and 74 particle inertia. Taylor (1923) experimentally confirmed this conjecture, whereas different 75 conclusions were later reached by Saffman (1956), who concluded that small particle inertia 76 breaks the Jeffery orbits for nearly spherical particles, showing that log-rolling is stable 77 for nearly spherical prolate particles and unstable for nearly spherical oblate particles. In 78 the following years, few experiments explored the influence of inertia on Jeffery orbits. 79 Preliminary efforts characterised the phenomenon in terms of a slow variation of orbit 80 81 constant C among consecutive rotations for negligible particle Reynolds number (Goldsmith & Mason 1962b; Stover & Cohen 1990). Karnis et al. (1963) found that fibres and disks 82 suspended in circular tubes at particle Reynolds numbers $Re_p \sim 10^{-4}$ would eventually 83 rotate in the tumbling $(C = \infty)$ and spinning (C = 0) orbits respectively. Yet, to the best 84 of our knowledge, no experimental study has produced a rigorous characterisation of the 85 influence of inertia on the Jeffery orbits. 86

Instead, weak inertial effects have been thoroughly addressed in a number of theoretical works, from Subramanian & Koch (2005, 2006) and Einarsson *et al.* (2015*a,b*) to Dabade *et al.* (2016) and Marath & Subramanian (2017, 2018). In particular, Subramanian & Koch (2005) examined the inertial effects on fibre motion in simple shear flow, focusing on the slender-body limit (infinite aspect ratio). In this limit, they were able to derive the fibre orbit equations up to O(Re) where Re is the Reynolds number based on the fibre length. Their

93 findings were later reproduced both by Einarsson et al. (2015a,b) who exploited asymptotic 94 perturbation theory to extend to small shear Reynolds numbers the range of validity of the equation originally proposed by Saffman for spheroidal particles with arbitrary aspect ratio 95 and later by Dabade et al. (2016) who also analysed inertial effects in terms of modifications 96 97 to the Jeffery orbit constant. A common conclusion reached by these independent studies is that the most striking effect of fluid and/or particle inertia is to lift the degeneracy of 98 99 the infinitely many stable Jeffery orbits. This leads to a drift in the trajectory followed by the particles, which are driven towards a limiting stable orbit through consecutive rotations. 100 Prolate spheroids are only pushed towards the tumbling orbit ($C = \infty$), whereas the situation 101 is more complex for oblate spheroids which are attracted to either the sole spinning orbit 102 (C = 0) or both the vorticity-aligned (C = 0) and the tumbling $(C = \infty)$ orbits, depending 103 104 on whether their aspect ratio is larger or smaller than a critical value of approximately 0.14 (Einarsson et al. 2015b; Dabade et al. 2016). Interestingly, Marath & Subramanian (2017) 105 also suggested a second-order effect of inertia on the period of rotation, while Rosén et al. 106 (2015) discussed the influence of flow confinement over the stability of the tumbling and 107 108 spinning orbits.

The problem has been also tackled numerically. Several studies based on the Lattice-109 Boltzmann method have considered prolate and oblate spheroids in the near-sphere limit (Qi 110 & Luo 2003; Huang et al. 2012; Mao & Alexeev 2014). Simulations offer the advantage of 111 easily separating between fluid and particle inertia, characterised by the particle Reynolds 112 number $Re_p = \rho_f \ell^2 \dot{\gamma} / \mu$ and the Stokes number $St = \rho_p \ell^2 \dot{\gamma} / \mu = Re_p \rho_p / \rho_f$, respectively, where ρ_p is the density of the particle, ρ_f that of the fluid, and μ the dynamical viscosity. 113 114 By exploring a wide parameter space ($0 < Re_p < 300$ and 0 < St < 1200) for spheroids 115 with moderate aspect ratio $2 \le r \le 6$, these authors found a good agreement with the 116 theoretical findings of Dabade et al. (2016) and Einarsson et al. (2015a) at $Re_p \sim 10$, 117 but also the emerging of other stable rotation states (spinning, inclined spinning, inclined 118 tumbling, or kayaking) for different combinations of increasing particle and flow inertia 119 120 (Rosén et al. 2015). Interestingly, these simulations and previous experiments by Zettner & Yoda (2001) reported an increase of the period of rotation with flow inertia, proportional to 121 $(Re_{p,cr} - Re_p)^{-0.5}$, where $Re_{p,cr}$ is a critical particle Reynolds number above which the considered particles were observed to stop rotating. 122 123

In this manuscript, we present the results of an experimental investigation on the rotational 124 dynamics of neutrally-buoyant spheroidal and cylindrical particles (both prolate and oblate) 125 subjected to simple shearing flows in the small-inertia regime ($Re_p = St \leq 1$). Since particles 126 and fluid have the same density, we cannot distinguish between specific particle inertia effects 127 128 and fluid inertia effects. As we will show, however, our findings are valid regardless of the specific phase that is responsible for the observed inertial bias. The experimental methods 129 130 are described in § 2. The particles and fluids used are described in § 2.1. The custom-built shearing cell is presented in § 2.2. The multi-view shape-reconstruction method used to 131 132 obtain direct measurements of the particle orientation is introduced in § 2.3 with details of the data processing in $\S 2.4$ and analysis in $\S 2.5$. The experimental results are presented in 133 §3. Typical evolution of the orbits for both oblate and prolate particles are shown in §3.1. 134 The period of rotation is examined in $\S 3.2$ where a thorough analysis of the equivalence 135 in shape between spheroids and cylinders is proposed. The drift through successive orbits 136 owing to the effect of inertia is analysed in ^{3.3} and compared to the asymptotic theories: 137 first in terms of the time-variation of the particle orientation vector, n, (Einarsson et al. 138 139 2015b) and then as the discrete variation of orbit constant, ΔC , against C (Dabade *et al.* 2016). Concluding remarks are drawn in §4. 140

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Figure 2: Some typical particles used in the experiments: (a) top view of the disk 'CYL005' cut by laser cutter; (b) side view of the spheroidal particle 'ELL3'; (c) side view of the spheroidal particle 'ELL06'; (d) side view of the fibre 'CYL20' manually cut from fishing line. The yellow dashed curves in panels (a), (b) and (c) represents the circularity of the given ideal shapes.

141 2. Experimental methods

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2.1. Particles and fluids

Some typical cylindrical and spheroidal particles considered in this study are displayed in 143 figure 2. Their shape is determined by taking multiple pictures with a Hirox RH-2000 digital 144 microscope with a resolution of 221 pixels per mm. Then, 10 measurements are manually 145 made using the software ImageJ to produce a statistical characterisation of their length, 2ℓ , 146 and diameter, 2a, and consequently of their aspect ratio, r, as reported in table 1 where the 147 characteristics of all the particles used are listed. Particles are separated in three different 148 batches according to their production method. The first batch (batch I) comprises two low 149 aspect-ratio fibres (CYL2, CYL10) and all the (oblate and prolate) spheroids. These particles 150 are produced out of UV-sensitive resin using a stereolithography-3D printer with a resolution 151 of 25 μ m and have an estimated density of 1200 kg/m³. The second batch (batch II) includes 152 two fibres cut from a fishing line and having an estimated density of 1160 kg/m^3 . Finally, the 153 last batch (batch III) contains all the disks obtained by laser cutting of rigid Plexiglas sheets 154 having a density of 1180 kg/m^3 . Cylinders and spheroids coming from batches I and III are 155 further polished using sandpaper after production: for the former, this is done manually to 156 preserve their sharp edges; for the latter, this is undertaken inside a custom sand-paper box 157 shaken at 1000 rpm by a (Hauschild DAC 150.1 FVZ) speed mixer. Since the typical values 158 of the Young modulus E of the three materials is of the order of few gigaPascals, the ratio 159 between viscous and elastic forces $\mathbb{B} = 8\pi\mu\dot{\gamma}\ell^4/(Ea^4\pi/4)$ is vanishingly small ($\mathbb{B} \sim 10^{-5}$) 160 and the particles do not deform within the flow (Du Roure et al. 2019). 161

The fluids used in the experiments are prepared by mixing pure water and citric acid until the solution meets the estimated density of the selected particle. The density of the fluid ρ_f is sampled by means of a highly accurate (Antoon Par) densimeter with an estimated uncertainty of 4 kg/m^3 . Experiments are performed at imposed shear rate $\dot{\gamma}$ and the fluid

Name	Shape	r	<i>l</i> (mm)	a (mm)	К	Batch
ELL02	Oblate spheroid	0.20 ± 0.01	0.58 ± 0.02	2.91 ± 0.01	0.22 ± 0.01	Ι
ELL06	Oblate spheroid	0.56 ± 0.01	1.27 ± 0.01	2.28 ± 0.01	0.17 ± 0.01	Ι
ELL2	Prolate spheroid	1.72 ± 0.02	1.78 ± 0.01	1.04 ± 0.01	0.13 ± 0.01	Ι
ELL3	Prolate spheroid	2.67 ± 0.06	2.53 ± 0.01	0.95 ± 0.02	0.19 ± 0.01	Ι
ELL5	Prolate spheroid	5.1 ± 0.1	2.64 ± 0.01	0.52 ± 0.01	0.20 ± 0.01	Ι
ELL9	Prolate spheroid	9.0 ± 0.1	2.62 ± 0.01	0.29 ± 0.01	0.19 ± 0.01	Ι
ELL13	Prolate spheroid	13 ± 1	4.7 ± 0.2	0.36 ± 0.02	0.35 ± 0.02	Ι
CYL005	Disk	0.05 ± 0.01	0.14 ± 0.01	2.58 ± 0.06	0.19 ± 0.09	III
CYL009	Disk	0.10 ± 0.01	0.15 ± 0.02	1.51 ± 0.01	0.11 ± 0.01	III
CYL01	Disk	0.11 ± 0.01	0.31 ± 0.01	2.90 ± 0.04	0.21 ± 0.03	III
CYL02	Disk	0.20 ± 0.01	0.31 ± 0.01	1.56 ± 0.02	0.12 ± 0.01	III
CYL06	Disk	0.56 ± 0.01	1.03 ± 0.01	1.85 ± 0.02	0.14 ± 0.01	III
CYL2	Fibre	1.33 ± 0.02	1.78 ± 0.02	1.34 ± 0.01	0.13 ± 0.01	Ι
CYL10	Fibre	9.0 ± 0.2	2.59 ± 0.01	0.29 ± 0.01	0.19 ± 0.01	Ι
CYL15	Fibre	15.4 ± 0.2	3.48 ± 0.01	0.23 ± 0.01	0.26 ± 0.01	II
CYL20	Fibre	20.5 ± 0.7	4.54 ± 0.01	0.22 ± 0.01	0.34 ± 0.01	II

Table 1: Characteristics of all the particles used in the experiments. Columns from left to right: code name, shape, mean aspect ratio *r*, half-length ℓ , radius *a*, confinement ratio κ , and identification of the production method.

density is constrained to match that of the particle ($\rho_p = \rho_f$), yielding $Re_p = St$, with *Re*_p and *St* defined in § 1. This implies that inertia is mainly controlled by tuning the fluid viscosity μ . This is achieved by adding a certain percentage of Ucon oilTM to the solution, which increases its viscosity from that of pure water up to ~ 1*Pa* s proportionally to its concentration in our experiments, while also slightly decreasing its density.

2.2. Shearing cell

The shearing cell apparatus is displayed in panel (a) of figure 3. It is located in a room at 172 a controlled temperature of $23 \pm 1^{\circ}C$ and consists of a small tank (500 mm long, 40 mm 173 wide, and 90 mm deep) with 10 mm thick transparent walls on the long sides which has 174 been adapted from the previous work of Metzger & Butler (2012). Two metallic cylinders of 175 diameter 20 mm hang from the lid of the tank and are equipped with polylactic acid (PLA) 176 cylindrical supports that increase their diameter to $L_y = 27$ mm. One is free to rotate and 177 the other is coupled to a transmission shaft through a rolling bearing. A transparent belt is 178 kept under tension between the two cylinders similarly to the photographic roll used in film 179 cameras. It is cut into a rectangular sheet from a 0.1 mm thick flexible MylarTM film and 180 has its extremities kept together by metal staplers. Laser-cut holes in its upper edge enable a 181 gear wheel glued to the rotating cylinder to grip the belt. A rotating motor powered by a DC 182 power supply is connected to the transmission shaft through a drive belt to rotate the gear 183 wheel and drive the transparent belt inside the cell in an infinite loop at constant velocity. 184 The belt is 70 mm tall, extending from nearly the bottom of the shear cell to approximately 185 15 mm above the free surface. 186

The fluid within the two parallel sides of the belt is submitted to a confined linear shear where the neutral zero-velocity line lies between the two moving walls. The absolute system of reference is defined as x being the flow direction, y the gradient direction, and z the vorticity direction, parallel to the gravity. In our experiments, the origin is always set at the initial position of the particle placed by hand at the centre of the shear flow. The confinement ratio of the particles is defined as the ratio between the particle length and the distance between the two sides of the transparent belt as $\kappa = 2\ell/L_{\nu}$ (Zettner & Yoda 2001). Mean

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Figure 3: (a) Picture of the experimental setup, where the tank filled with fluid between the two sides of the transparent plastic belt is visible (1), together with the rotating motor and the motion transmission system (2), and the two cameras, looking at the (x, y)flow-gradient plane (3) and at the (x, z) flow-vorticity plane (4). (b) Sketch of the output given by the dual-camera video-recording system. The reference frame is defined at the centre of the particle. The azimuthal and polar angles, ϕ and θ , as well as the projected angle, λ , and the three components of the Axes-Aligned Bounding Box, **B**, are also represented. The two recorded frames are displayed on the corresponding flow-gradient and flow-vorticity planes, to appreciate the contrast between the particle projections and the background as well as the detected particle contours and Axes-Aligned Bounding Boxes.

 $\begin{array}{ll} \mu \ (Pa \ s) & \dot{\gamma} \ (s^{-1}) \\ 0.054 \pm 0.001 & 3.16 \pm 0.03 \\ 0.400 \pm 0.001 & 3.64 \pm 0.05 \end{array}$

Table 2: Shear rate measurements for two low and high values of viscosity. Mean values and uncertainties are calculated over 500 velocity fields obtained by Particle Image Velocimetry. The effective shear rates for the other fluids used are obtained by linear interpolation once the fluid characteristics have been finely tuned to match the density of the particles. It is possible to appreciate the small increase of the shear rate $\dot{\gamma}$ with increasing viscosity μ .

values of the confinement ratio are reported in table 1. These values are similar to that considered in the simulations by Rosén *et al.* (2015), namely $\kappa = 0.2$. Based on the results of these simulations, a limited confinement effect on some statistical observables may be expected. This is discussed in more detail in § 3.3 and in §4.

The shearing flow is examined by shedding light onto three different (x, y) planes at different depths and using Particle Image Velocimetry (PIV). These sections are chosen at least 10 mm below the free surface and above the small unconfined fluid layer laying at the bottom of the cell to characterise a confined region of ~ 30 mm depth where to operate the experiments. The viscosity of the fluid μ is characterised by accurate rheological measurements with an error of 0.001 Pa s on the measurements fully taking into account the real uncertainties. PIV measurements of the shear rate are performed only for two low and

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high values of viscosity, reported in table 2. Then, the effective shear rate for each experiment 205 is estimated by linear interpolation between these two measurements, once the fluid properties 206 have been fixed and its density matched to that of the given particle. Secondary circulations 207 are naturally present in such confined flow and can be characterised by finely tuning the 208 parameters of the PIV. They appear to be insignificant when compared to the mean shear. 209 Also, no significant variation of the shear rate is observed across the depth of the region of 210 interest in the shear cell. To summarise, we observe a linear shear rate in a three-dimensional 211 region the sizes of which are determined by the width of the camera field in the flow direction 212 $(L_x = 140 \text{ mm})$, by the distance between the two inner sides of the transparent plastic belt in 213 the gradient direction ($L_v = 27$ mm) and by the depth of the different PIV measurements in 214 the vorticity direction ($L_z = 30$ mm). 215

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2.3. Measurements

A multi-view video recording system is deployed in the experiments, as shown in panel (b) 217 of figure 3. Jeffery orbits are three-dimensional, but given the axisymmetric nature of the 218 particles, the number of variables that specify the orientation reduces to two. Therefore, 219 measurements of the particle spin were not performed. The objective of this multi-view 220 system is to provide a three dimensional reconstruction of the orientation of each particle 221 given by its direction vector **n**. Whereas three or more particle projections would permit 222 223 a direct resolution (Eberly 1999), we are limited to two complementary images of the axisymmetric particle which are post-processed to reconstruct the orientation as explained 224 in § 2.4. 225

The cameras are two Allied Prosilica GX1910 cameras, with a resolution of 1920×1080 226 pixels. Both cameras are equipped with a Nikon Micro-Nikkor 55 mm f2.8 objective, imaging 227 the shear cell from a distance of approximately half a meter with a resolution of 20 pixels 228 per mm. As they have their axes perpendicular, these cameras provide two complementary 229 images: one of the flow-vorticity plane and the other of the flow-gradient plane, as seen in 230 figure 3(a). Considering that the depth of field is enhanced to an estimated value of 3 cm, we 231 verified that distortion and diffraction phenomena are negligible by measuring a check-board 232 patterned object in the flow volume of interest inside the shear cell. The two cameras are 233 controlled by an in-house developed Matlab script. 234

Experiments are performed as follows. After having filled the cell with the density matched 235 fluid and started shearing, a single particle is manually positioned at the centre of the camera 236 fields, as close as possible to the neutral plane in the middle of the shearing flow. The particle 237 is pre-sheared for a short time to avoid any influence of the positioning operation on its 238 dynamics. Note that, when repeated, this positioning method results in randomly-varying 239 initial orientations despite all the care that can be taken. Then, the recording of the two 240 videos is started and the cameras are synchronised by a manually activated light signal. The 241 recording is stopped before the particle leaves the camera fields. Experiments are typically 242 repeated between 5 and 10 times for a given particle at each particle Reynolds number, as 243 reported in table 3. Typically, at least two complete periods of rotation must be observed in 244 order to validate the run. This requirement happened to be rather challenging for the most 245 slender particles. 246

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2.4. Image processing

Each recorded video is processed by an in-house developed Python script based on the Canny method (Canny 1986), implemented using the OpenCV library (Bradski 2000). The script performs a simple detection routine based on the contrast between the background and the object to be detected. After having reduced the camera field to a small square section of size

Particle	$Re_p, n_{runs}, \overline{\Delta t_{run}}/T$									
ELL02	0.040, 5, 6.06	0.05, 5, 2.77								
ELL06	0.010, 5, 8.40	0.02, 5, 6.90	0.03, 5, 4.62	0.44, 11, 6.46	1.04, 6, 9.71					
ELL2	0.003, 5, 5.49 0.120, 10, 8.65	0.006, 5, 6.39 0.132, 10, 3.22	0.023, 5, 3.09 0.329, 10, 5.75	0.038, 5, 16.17 0.375, 4, 11.07	0.042, 5, 4.06	0.073, 10, 3.37	0.085, 8, 13.28			
ELL3	0.544, 7, 7.20	1.300, 6, 5.31								
ELL5	0.008, 5, 1.68	0.014, 5, 2.63	0.051, 5, 2.69	0.092, 5, 2.04	0.161, 10, 1.74	0.291, 10, 1.67				
ELL9	0.007, 5, 2.63	0.010, 5, 2.07	0.048, 10, 2.16	0.088, 10, 1.70	0.158, 6, 1.73	0.286, 5, 2.03	0.642, 9, 3,63			
ELL13	0.180, 5, 2.00									
CYL005	0.032, 5, 2.15	0.100, 10, 2.65	0.236, 10, 2.20	0.247, 10, 2.72	0.347, 11, 2.53	0.778, 10, 2.44				
CYL009	0.011, 5, 2.54	0.088, 10, 5.12	0.195, 11, 2.84	0.344, 10, 3.40						
CYL01	0.042, 5, 3.57	0.074, 5, 3.22	0.336, 10, 4.99	1.318, 10, 3.42						
CYL02	0.005, 5, 3.73	0.009, 5, 6.16								
CYL06	0.039, 10, 8.27	0.246, 10, 12.85								
CYL2	0.002, 5, 5.51	0.005, 10, 6.48	0.033, 10, 4.23	0.059, 10, 6.98	0.187, 10, 4.31	0.336, 5, 7.57				
CYL9	0.007, 5, 2.51 0.180, 13, 3.24 0.790, 10, 3.53	0.013, 5, 2.28 0.230, 5, 1.39 0.986, 14, 2.85	0.047, 5, 2.03 0.250, 10, 2.52	0.080, 10, 5.33 0.260, 11, 2.82	0.085, 5, 2.12 0.410, 10, 1.73	0.100, 10, 3.98 0.480, 10, 3.78	0.150, 10, 4.39 0.510, 15, 3.03			
CYL15	0.059, 5, 2.08									
CYL20	0.100, 5, 1.89									

Table 3: For each particle type used in the experiments (first column), the particle Reynolds number, Re_p , the number of runs, n_{runs} , and the mean duration of the shearing normalized by the (experimentally measured) mean period of rotation, $\Delta t_{run}/T$, are provided.

252 $4 \max(\ell, a) \times 4 \max(\ell, a)$ around the particle, a Gaussian blur and manual threshold filters are applied to reduce the noise and improve the contrast by smoothing out possible defects 253 of the transparent plastic belt. At this point, there is a strong intensity gradient between the 254 particle and the background. Identifying this gradient using the Canny edge filter provides 255 the closed contour of the given particle. A least-squared optimisation method yields the 256 orientation angle (fitEllipse function of the OpenCv library), while the extent of the contour 257 in the aligned directions leads to the estimation of its minimum two-dimensional Axis-258 Aligned Bounding Box (boundingRect function of the OpenCv library). By Axis-Aligned 259 Bounding Box (AABB hereinafter), we mean the smallest rectangle (parallelepiped when 260 generalising to three dimensions) that is tangent to the particle projection (particle in three 261 dimensions). The three measurements are stored and the script analyses the videos frame by 262 263 frame. The accuracy of the proposed particle detection method has been evaluated against 8100 virtual images of randomly oriented disks and fibres, with a resolution of 7 pixels over 264



Figure 4: Power spectra of the two projected angles (a) ϕ and (b) λ , for 10 different experimental runs for the fibre CYL10 of aspect ratio r = 9 at $Re_p = 0.08$. The insets in each panel show the maximum peak frequency versus the considered runs.

the smallest particle dimension. We found that the Euclidean norm error between theoretical and measured AABBs is below 4 pixels, while the absolute error on the measured angle rarely reached a value above 2° .

Two lists of positions, orientations, and AABB components of the particle projections 268 onto the flow-gradient and in the flow-vorticity planes in time are the result of the post-269 processing of each experiment made by the Python script. Then, by a suitable re-scaling, 270 it is possible to combine the information collected by the two cameras and produce three-271 272 dimensional measurements of the translation and rotation of the particles. The procedure is non-trivial for the estimation of the orientation of each particle because, as displayed in 273 figure 3, while the particle projection in the (x, y) plane provides the azimuthal angle ϕ , 274 the projection in the (x, z) plane determines the angle λ , which only corresponds to θ in 275 the flow-aligned positions. Therefore, given the possibility of producing long lists of three-276 277 dimensional AABBs by knowing the particle orientation vector, we choose a data-driven method to regress the orientation of the particle by a two-camera view system. As detailed in 278 Appendix A, we deploy a simple Deep Learning model, implemented using Tensorflow and 279 trained over synthetic data, to perform a three-variable regression and estimate the particle 280 orientation vector **n** from the experimentally measured three-dimensional AABBs. 281

282

2.5. Data analysis

The data processing of § 2.4 provides the orientation of the axisymmetric particle. This is the key information needed when constructing the Jeffery orbits, discussed in § 3.1, and obtaining the time evolution of the three components of **n**, discussed in § 3.3. There are two other important quantities: The period of rotation and the orbit constant, described below and used in § 3.2 and § 3.3, respectively.

Since experimental runs can last up to several particle rotations, the measured projected angles, ϕ and λ , can be interpreted as time series and characterised by Fourier analysis. The Fourier transform of the angular signals can be computed. As a typical example, the

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Figure 5: Time-evolution of the reconstructed angle $\theta = \arccos n_3$ (black circles). The fitted forms of equation (1.3) are also plotted as solid lines. The different colours correspond to the estimated values of the orbit constant C reported in the legend. The quantity ΔC represents the variation of the orbit constant in each of the measurements.

291 power spectra of ten experimental runs are plotted against the frequency in figure 4 for the fibre CYL10. This figure shows that the signal power is coherently resonating around 292 a characteristic frequency, calculated as the frequency f_{max} of the peak of each power 293 spectrum. The period of rotation of the given particle, T, is then estimated as the inverse 294 of this frequency. Measurements are then collected over several runs for a sound statistical 295 characterisation, choosing between the angles ϕ and λ according to a minimum squared error 296 criterion. Averages are computed and uncertainties are estimated as standard deviations over 297 all the experimental runs at a given Re_p . 298

The components of the reconstructed particle orientation vector **n** can provide the azimuthal and polar angles, defined as:

301
$$n_1 = \sin(\phi)\sin(\theta)$$
, (2.1)

$$n_2 = \cos\left(\phi\right)\sin\left(\theta\right), \tag{2.2}$$

$$n_3 = \cos\left(\theta\right). \tag{2.3}$$

Equation (1.3) can then be fitted over the reconstructed values of ϕ and θ to estimate the orbit 304 constant C of the given Jeffery orbits, as displayed in figure 5. This is done by a non-linear 305 least squares minimisation (curvefit function of the Scipy Python library) over an observation 306 window manually centred around each flow-aligned position and with total width comparable 307 to half the period of rotation, producing two separate orbit constant measurements for each 308 Jeffery orbit. Orbit constant variations ΔC are then calculated as discrete differences over 309 one period of rotation. This choice is motivated by a classical approach to the analysis of 310 periodic dynamical systems (Glendinning 1994), according to which the flow of the orbit 311 constant C is obtained by its discrete variations between flow-aligned positions over one 312 period T. Recalling the orbit coordinates τ and C introduced by Leal & Hinch (1971), this 313 314 is equivalent to dropping the temporal dependency of the system (τ) and focusing only on the evolution of its phase (C), similarly to what done in Dabade et al. (2016). Therefore, the 315

intensity of the orbit variation ΔC has a sign indicating the direction of the orbit constant motion, i.e. positive when towards the tumbling orbit ($C = \infty$) and negative when towards the spinning orbit (C = 0), and a value the magnitude of which quantifies the stability of a given Jeffery orbit (more unstable orbits will experience stronger variations).

320 3. Experimental results

3.1. Jeffery orbits

Typical experimental Jeffery orbits for a fibre of aspect ratio r = 9, for an oblate spheroid 322 323 of aspect ratio r = 0.6, and for the disk of aspect ratio r = 0.1 are shown in figure 6 (a),(b) and (c),(d) and (e),(f) respectively. The coloured dots represent the intersection of the axis 324 given by the orientation vector **n** with the half sphere of radius ℓ for the prolate particles 325 and a for the oblate particles, respectively. The location of the intersection was captured 326 during three different experimental runs for each panel and reconstructed as detailed in § 2.4. 327 The corresponding Jeffery orbits at zero inertia are also displayed as solid black lines. They 328 were obtained by integration of equation (1.1) using the first flow-aligned orientation of each 329 experimental run as initial condition. 330

At low inertia, i.e. for the fibre of panel (a) at $Re_p = 0.08$, the spheroid of panel (c) at $Re_p = 0.02$, and the disk of panel (e) at $Re_p = 0.05$, there is no significant change between the successive rotations for runs with different initial conditions, i.e. different orbit constants. The experimental orbits are in good agreement with the theoretical Jeffery orbits, represented

335 by the black spherical ellipses.

As inertia becomes finite, i.e. for the fibre of panel (b) at $Re_p = 1$, the spheroid of panel (d) at $Re_p = 0.43$, and the disk of panel (f) at $Re_p = 1.32$, the picture slightly changes. The experimental orbits are still spherical ellipses, but they depart from the zero-inertia orbits as their shapes evolve between successive rotations.

For the fibre of panel (b), the orbits are expanding towards the tumbling orbit ($C = \infty$) on the equator of the sphere, i.e. in the (x, y) flow-gradient plane. It is worth mentioning that the orbits are not equally unstable as the fibre experiences a stronger drift when describing intermediate orbits (run 13) than when close to the rolling (run 14) and tumbling (run 3) cycles.

The oblate particles are also drifting through consecutive orbits, but their behaviour is 345 more complex as two limiting stable orbits exist. As shown in panel (d), the spheroid can 346 either drift to a tumbling orbit ($C = \infty$) in the plane of shear (run 10) or to a spinning orbit 347 (C = 0), i.e. aligning its orientation vector with the direction of vorticity, z (runs 5 and 8). 348 It is interesting to note that the two orbits closer to the pole of the half sphere (runs 5 and 349 8) are attracted toward the spinning orbit (C = 0) while the other orbit, which is starting 350 with a much larger orbit constant (run 10), is drawn toward the tumbling orbit ($C = \infty$) on 351 352 the equator of the half sphere. A similar description holds for the disk of panel (f), which exhibits both consecutive rotations in the tumbling orbit ($C = \infty$, run 2) and a systematic 353 drift towards the spinning orbit (C = 0, runs 1 and 9). 354

A comment is in order regarding the separation between consecutive rotations, which appears larger for the more slender particles, i.e. the fibre with r = 9 of panel (b) and the disk with r = 0.1 of panel (f), than in the case of the spheroid with r = 0.6 of panel (d).

358

3.2. Period of rotation

The dimensionless period of rotation, $T\dot{\gamma}/2\pi$, of the axisymmetric particles is displayed

against particle aspect ratio, *r*, in figure 7. The main panel (a) shows all the results obtained for both prolate and oblate particles, while the two smaller panels distinguish between (b)



Figure 6: Experimental Jeffery orbits at two Reynolds numbers for the fibre CYL10 (top-row panels), the spheroid ELL06 (middle-row panels) and the disk CYL01 (bottom-row panels): (a) Fibre, r = 9.0, $Re_p = 0.08$; (b) Fibre, r = 9.0, $Re_p = 1.0$; (c) Spheroid, r = 0.6, $Re_p = 0.02$; (d) Spheroid, r = 0.6, $Re_p = 0.43$; (e) Disk, r = 0.1, $Re_p = 0.05$; (f) Disk, r = 0.1, $Re_p = 1.32$. The particles considered in this figure are shown in the vorticity-aligned position with their orientation vector **n** highlighted in cyan. The coloured dots represent the intersections of the axis given by the orientation vector **n** with the half sphere of radius ℓ for the prolate particles and *a* for the oblate particles, respectively. The corresponding Jeffery orbits are also displayed as solid black lines and were obtained by integrating equation (1.1) from an initial condition given by the first flow-aligned orientation of each experiment. See Supplementary Materials for animations.



Figure 7: Period of rotation, *T*, of the axisymmetrical particles against the particle aspect ratio *r*. The period is made dimensionless using the shear rate $\dot{\gamma}$ and normalised by a factor 2π . Panels: (a) both prolate and oblate, (b) prolate, and (c) oblate particles. The experimental values are displayed as coloured rectangles (cylindrical particles) and circles (spheroidal particles). Each point is the average over all the available experiments for all particle Reynolds numbers ($Re_p \leq 1$). The theories of Jeffery (1922) and Burgers (1938), the semi-empirical correlation of Cox (1971), and the empirical expression of Harris & Pittman (1975) are displayed as a solid black line, a dotted cyan line, a dashed blue line and a dash-dotted pink line, respectively. The experiments of Anczurowski & Mason (1968) are displayed as empty grey diamonds (cylinders) and one solid x (spheroid), while the data of Harris & Pittman (1975) correspond to empty brown plus symbols. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.

prolate and (c) oblate shapes and focus on the asymptotic limits. The data are obtained by 362 averaging over all the available experiments for all particle Reynolds number ($Re_n \leq 1$), 363 meaning that we choose in these plots not to take into account any possible influence of 364 inertia on the period of rotation. Such influence will be addressed later at the end of this 365 section. The data are displayed as empty rectangles for cylindrical particles and circles for 366 spheroidal particles and explore a moderate range of aspect ratios (0.05 $\leq r \leq 21$). They 367 complement the previous experimental results of Anczurowski & Mason (1968) for disks 368 and fibres (empty grey diamonds) as well as one prolate spheroid (solid grey x), and those 369 of Harris & Pittman (1975) for fibres with higher aspect ratio (empty brown pluses), also 370 reported in the figure. 371

The present measurements for the period of spheroidal particles span over two decades of 372 r around r = 1, extending over the experimental dataset of Anczurowski & Mason (1968). 373 Their agreement with the theory of Jeffery (1922), $T_I \dot{\gamma} = 2\pi (r+1/r)$, displayed as a solid 374 black curve, is excellent. We remark here that the expression just given can be written as 375 $2\pi/T_J = (\dot{\gamma}/2)\sqrt{1-\Lambda^2}$ if the Bretherton constant, or shape parameter, $\Lambda = (r^2 - 1)/(r^2 + 1)$ 376 is used. In contrast, the measured period of cylindrical particles systematically lies below 377 the Jeffery curve, meaning that the period of a cylinder is always smaller than that of the 378 corresponding spheroid at the same r. This difference is minimal around r = 1 and increases 379



Figure 8: Period of rotation, *T*, of the axisymmetrical particles against the particle Reynolds number, Re_p for: (a) fibres and prolate spheroids and (b) disks and oblate spheroids. The period is now normalised by the Jeffery period, $2\pi(r_{eq} + 1/r_{eq})/\dot{\gamma}$. The dotted black line corresponds thus to the Jeffery period within this normalisation. The experiments are displayed as coloured rectangles (cylindrical particles) and circles (spheroidal particles) with the same colour code for the aspect ratios as in figure 7. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.

with increasing slenderness or flatness but not in the same manner. Interestingly, a shorter period of rotation is measured for the disks than for the fibres, as it is clearly evidenced by comparing the prolate CYL20 (r = 20.5) and the oblate CYL005 (r = 0.05). These data are in good agreement with those available in the literature (Anczurowski & Mason 1968; Harris & Pittman 1975).

Since the pioneering work of Trevelyan & Mason (1951), it has been suggested that an 385 equivalent aspect ratio, r_{eq} , can be found for cylindrical particles to recover the Jeffery period. 386 In particular, r_{eq} can be computed from $T\dot{\gamma} = 2\pi(r_{eq} + 1/r_{eq})$ using the measured period of 387 rotation for T. Different expressions have been proposed for r_{eq} . In an earlier work for the 388 case of fibres, Burgers (1938) showed that the disturbance caused by a cylinder of axis ratio 389 r will be reproduced by an spheroid of $r_{eq} = 0.74 r$, an expression which would eventually 390 diverge at high r as well as underestimate the period of rotation in the near-sphere limit. Our 391 measurements for $10 \leq r \leq 20$ show good agreement with this proposed equivalence. Later 392 on, by fitting his asymptotic theory to the measurements of Anczurowski & Mason (1968), 393 Cox (1971) provided an expression for slender cylinders as $r_{eq} = 1.24 r / \sqrt{\log r}$. Our data 394 are in good agreement with this formula for r > 5. Then, Harris & Pittman (1975) proposed 395 an unweighted least square log-log fit over their measurements for slender rods leading to 396 $r_{eq} = 1.14 r/r^{0.156}$. They found that Cox's semi-empirical prediction was an overestimate in 397 the asymptotic limit and their power-law function of r showed an excellent agreement with all 398 the considered experimental measurements available at that time. This empirical correlation 399 provides an excellent match with our present data for both prolate and oblate cylinders. It is 400 even accurately predicting the additional measurements with the thinnest disk (CYL005, red 401 rectangle in figure 7). 402

To conclude this section, we move to the influence of inertia on the period of rotation. Figure 8 shows the period of rotation, *T*, normalised by the Jeffery period, $2\pi(r_{eq}+1/r_{eq})/\dot{\gamma}$, against the particle Reynolds number, Re_p . The data are now averaged over experimental runs at the same Re_p for each particle. While r_{eq} is equal to the aspect ratio *r* for the spheroidal

particles, its value for the cylindrical particles is determined by solving $T\dot{\gamma} = 2\pi (r_{eq} + 1/r_{eq})$ 407 using their measured period of rotation, T, at each Re_p . For both prolate and oblate particles, 408 there is a good collapse of the data around unity, corresponding to the Jeffery period. The 409 data are scattered within $\pm 20\%$ but do not indicate any systematic trend with increasing 410 inertia. We can conclude that inertia does not affect significantly the period of rotation, T, for 411 412 $Re_p \leq 1$, at least within the range of aspect ratios considered in our study. For much longer 413 (very slender) fibres, some alignment might be observed in the presence of small inertia, as 414 the findings of Subramanian & Koch (2005) seem to suggest, the same being possible for very thin disks, as suggested by the results of Rosén et al. (2015). 415

416

3.3. Drift

Having previously looked at the general behaviours of the Jeffery orbits in figure 6, we now 417 examine in detail the influence of inertia on the time evolution of each component of the 418 419 orientation vector **n**. Figures 9, 10 and 11 display the three components of **n** in the flow (n_1) , gradient (n_2) , and vorticity (n_3) directions against the dimensionless time, $t\dot{\gamma}$. For clarity of 420 presentation, we have chosen to focus the discussion on a subset of three runs for a typical 421 422 fibre and a disk at a small but finite Re_p and at a larger Re_p as well as for an oblate spheroid at a sole moderate Re_p . We also compare our results with the asymptotic theory of Einarsson 423 et al. (2015a) as detailed in § B.1. It is important to stress that, while the theory considers an 424 unbounded system, there is some degree of confinement in the experiments ($\kappa \approx 0.2$) which 425 may affect the stability of the orbits (Rosén et al. 2015). Confinement effects are further 426 427 discussed in §4.

Let us first examine the influence of inertia on the dynamics of the fibre with aspect 428 ratio r = 9 in figure 9. The general tendency is that the fibre drifts out of the vorticity axis 429 towards the tumbling orbit in the plane of shear as evidenced by the systematic decrease of 430 n_3 with successive oscillations. This effect is stronger for intermediate particle orientations, 431 see runs 5 and 8 of panel (a) and runs 13 of panel (b), whereas it may appear somehow 432 irregular for vorticity aligned orbits, see runs 2 of panel (a) and run 14 of panel (b). At small 433 $Re_p = 0.15$, there is a good agreement between the theory and the experiments, see figure 434 9 (a), suggesting that the range of application of the asymptotic theory of Einarsson et al. 435 (2015*a*) can be extended to finite values of $Re_p \sim O(10^{-1})$ in the case of the fibres. The agreement is inevitably lost at larger values, e.g. $Re_p \sim O(1)$. At such Re_p , a faster drift 436 437 438 toward the tumbling orbit is systematically obtained as compared to the experiments, as can be seen from figure 9 (b) in particular for the case of run 13. 439

The discussion is now repeated for the disk with aspect ratio r = 0.05 in figure 10. Most of 440 the runs show a tendency for the disk to drift towards the vorticity axis, i.e. to move towards 441 the spinning orbit where the particle lays flat in the flow-gradient plane. However, a few runs 442 443 (with carefully-tuned initial conditions) show disks that are just tumbling with their axis in the plane of shear. This confirms that, unlike prolate particles (which are always driven towards 444 the sole limiting tumbling orbit), oblate particles can drift towards two different orbits, the 445 spinning or the tumbling orbits, depending upon their initial orientations. Whereas the theory 446 of Einarsson et al. (2015a) successfully predicts the existence of two limiting orbits, it gives a 447 448 much stronger overestimation of the drift through consecutive orbits for disks than for fibres, even for the smallest values of Re_p studied. This seems to imply that the range of application 449 of the asymptotic theory is more limited in the oblate case than in the prolate case. This is 450 clear in figure 10 (a) for $Re_p = 0.24$, but becomes even more evident in figure 10 (b) for 451 $Re_p = 0.80$. At this larger Re_p , the theory predicts a rapid shift towards aligned positions 452 while the experiments still show rotational behaviours. 453

Finally, we consider the oblate spheroid ELL06 with r = 0.6 at moderate $Re_p = 0.43$ in figure 11. At first glance, no remarkable difference emerges with regards to the slender disk



Figure 9: Evolution of the components of the orientation vector **n**, displayed as vertically aligned panels for 3 typical runs against the dimensionless time $t\dot{\gamma}$, for the fibre CYL10 with aspect ratio r = 9 and confinement ratio $\kappa = 0.19$: (a) $Re_p = 0.15$; (b) $Re_p = 1.0$. Comparison with the theory of Einarsson *et al.* (2015*a*), presented in § **B**.1 is also given as black dashed lines. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.



Figure 10: Evolution of the components of the orientation vector **n**, displayed as vertically aligned panels for 3 typical runs against the dimensionless time $t\dot{\gamma}$, for the disk CYL005 with aspect ratio r = 0.05 and confinement ratio $\kappa = 0.19$: (a) $Re_p = 0.24$; (b) $Re_p = 0.8$. Comparison with the theory of Einarsson *et al.* (2015*a*), presented in § **B.1** is also given as black dashed lines. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.



Figure 11: Evolution of the components of the orientation vector **n**, displayed as vertically aligned panels for 3 typical runs against the dimensionless time $t\dot{\gamma}$, for the oblate spheroid ELL06 with aspect ratio r = 0.6 and confinement ratio $\kappa = 0.17$ at particle Reynolds number $Re_p = 0.43$. Comparison with the theory of Einarsson *et al.* (2015*a*), presented in § B.1 is also given as black dashed lines. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.

456 CYL005, displayed in figure 10. This spheroid both tumbles in the plane of shear (run 10) or 457 slowly drifts to the spinning orbit (runs 8 and 5). However, in addition to the already discussed 458 discrepancy of the drift, there is a notable difference between the theory of Einarsson *et al.* 459 (2015*a*) and the experiments regarding the dynamics of this nearly-spherical oblate spheroid. 460 For this type of particle, the asymptotic theory only predicts the drift to an attracting spinning 461 orbit at $Re_p \ll 1$. This is further discussed in connection to confinement effects in §4.

As previously mentioned in §1, the separation between the two limiting orbits for the 462 oblate particles is predicted to depend on their initial orientations but also on their aspect 463 ratio (Einarsson et al. 2015b; Dabade et al. 2016). To examine this difference in drift more 464 closely and avoid the ambiguity of an arbitrary initial condition, we calculate the change in 465 the orbit constant in a single Jeffery period as done in Dabade et al. (2016). As detailed in 466 § 2.5, this implies the fitting of our experimental measurements to estimate the local values 467 of the orbit constant C before taking discrete differences ΔC over each period of rotation. 468 The results are shown in figure 12, where the normalised change in the orbit constant in a 469 single period, $Re_p^{-1}\Delta C/(C^2+1)$, is plotted against the normalized orbit constant C/(C+1) for all the experiments. Measurements referring to different values of Re_p are identified by 470 471 472 different colours. The values C/(C + 1) = 0 and C/(C + 1) = 1 correspond to the spinning and tumbling modes, respectively. Following Dabade et al. (2016), to keep the drift finite in 473 the near-sphere limit, the normalisation for ΔC also uses a factor depending on the particle 474 eccentricity: $\xi_0 = \sqrt{1/(1-1/r^2)}$ for the prolate particles and $\xi_0 = \sqrt{1/(1-r^2)}$ for the oblate 475 particles. 476



Figure 12: Discrete variation of the orbit constant over one period, ΔC , against the orbit constant *C*. Results refer to the following particle types (characterised by different colours for the varying Re_p): (a) disk CYL005 (r = 0.05 and $\kappa = 0.19$); (b) disk CYL009 (r = 0.1 and $\kappa = 0.11$); (c) disk CYL01, (r = 0.11 and $\kappa = 0.21$); (d) spheroid ELL06, r = 0.56 and $\kappa = 0.17$); (e) spheroid ELL3, (r = 2.67 and $\kappa = 0.19$); (f) fibre CYL10, (r = 9.01 and

 $\kappa = 0.19$). The drift is normalised to keep its value finite in the near-sphere limit as in Dabade *et al.* (2016), thus yielding a better comparison with their theory (black dashed line and black solid line in the slender limit). The predicted critical orbit constant $C^* = \sqrt{35}$, i.e. $C^*/(C^* + 1) \simeq 0.86$, separating the basins of attracting in the slender disk limit is also displayed as a dash-dotted grey line (Dabade *et al.* 2016). Data are collected over all the available experiments at a given Re_p (up to 10 runs), measuring the orbit constant and its variation as described in § 2.5. See Supplementary Materials for the directory of the figure including the data and the Jupyter notebook.

Figure 12 displays results for the following cases: the thinnest disk with r = 0.05 in panel (a), two disks having similar r but two different confinement ratios in panels (b) ($r = 0.1, \kappa = 0.1$) and (c) ($r = 0.11, \kappa = 0.2$), a thicker oblate spheroid with r = 0.56 in panel (d). Also included are the results for two prolate particles: a prolate spheroid with r = 2.7 in panel (e) and a slender fibre with r = 9 in panel (f). These data are compared to the theoretical prediction of Dabade *et al.* (2016) considering both particle and fluid inertia ($St = Re_p$ in the present neutrally-buoyant case) as detailed in § B.2.

Despite the rather large scatter of the data, there are some clear trends and qualitative agreements with theoretical predictions. Prolate particles experience positive increments of the orbit constant, which drive them towards tumbling motion ($C = \infty$, namely C/(C+1) =1), while oblate particles experience negative variations as they are mostly attracted to the vorticity-aligned orbit (C = 0, namely C/(C+1) = 0). Moreover, as revealed from the scales of the ordinate axes, the drift intensity ΔC increases with the aspect ratio *r*, as more slender objects experience more unstable Jeffery orbits.

The oblate particles not only drift towards the spinning orbit but also to the tumbling orbit as evidenced by the clustering of points around zero and slightly above for orbit constants $C \ge \sqrt{35}$, namely $C/(C+1) \ge 0.86$, as computed by Dabade *et al.* (2016), see panels (a) to (c). This is in stark contrast with the strong incline taken by the fibre of aspect ratio r = 9 in panel (f) when leaving the spinning orbit (C/(C+1) = 0) which is unstable for this prolate particle.

An interesting behaviour is observed in panel (d) for the oblate spheroid with r = 0.6, 497 a value well above the predicted critical aspect ratio of approximately 0.14 for which only 498 drift toward the spinning motion is anticipated. As evidenced in figure 11, this particle is 499 still experiencing tumbling and spinning orbits, in contradiction with theoretical predictions. 500 The critical orbit constant seems also to have moved as positive increments of orbit constant 501 are observed at $C \leq \sqrt{35}$, i.e. $C/(C+1) \leq 0.86$, in particular for the higher Re_p . Similar 502 behaviour is observed for the disk with $r_{eq} = 0.18$ in panels (b) and (c), which correspond to 503 504 different confinements. This may suggest a wider region of attraction for the tumbling mode. The influence of confinement is clearly visible between panels (b) and (c), where are shown 505 two disks at a comparable $r \approx 0.1$ but differing confinement ratios, $\kappa = 0.11$ and $\kappa = 0.21$. 506 The smaller disk is in better agreement with the theory than the large disk having a twofold 507 increase of confinement ratio, where the drift is less intense than that predicted by Dabade 508 et al. (2016) for an unconfined viscous shear flow. 509

Finally, we address the scaling of the drift intensity with the particle Reynolds number. Clearly, experiments at higher particle Reynolds number ($Re_p \approx 1$) do not collapse with those at smaller Re_p . Despite the large scatters, this is particularly evidenced in panels (a),(c),(d) for oblate objects, where the intensity of the drift is weaker for the larger Re_p . This suggests a saturation effect above a certain inertial threshold.

515 4. Concluding remarks

We have examined the rotation of axisymmetrical particles suspended in a simple shear flow when inertia is progressively increased up to particle Reynolds number $Re_p \simeq 1$. A custombuilt shearing cell and a multi-view reconstruction method have been used to obtain direct measurements of the orientation and period of rotation of ideal bodies such as spheroids but also bodies of practical interest such as cylinders with different aspect ratios. This system is rather flexible and is amenable to study the alignment of small bodies with different shapes in simple shearing flows.

523 The first important result is that the axisymmetric particles still rotate with the Jeffery

524 period in this small-inertia regime. Our results also complement the data available in the literature (Anczurowski & Mason 1968; Harris & Pittman 1975) and provide a connection 525 with those of Zettner & Yoda (2001) at higher Re_p , showing that the period of rotation 526 of a cylinder is smaller than that of an spheroid with the same aspect ratio. An equivalent 527 spheroidal aspect ratio can be inferred to recover the Jeffery period in the case of cylinders. 528 Our results show that the empirical expression of Harris & Pittman (1975) still remains 529 530 the best prediction for this equivalent aspect ratio, which we were able to validate over one further decade of slender oblate particles compared to available literature results. 531

The second major output is that we observe an irreversible drift across Jeffery orbits towards attracting limiting cycles. This drift is due to weak inertial effects, and its occurrence confirms, at least qualitatively, the asymptotic theories of Einarsson *et al.* (2015*b*) and Dabade *et al.* (2016). It is important to stress before proceeding to any comparison below that these theories are meant to be valid for $Re_p \ll 1$ as well as at infinite distance from walls. Clearly, some deviations between their predictions and experimental results begin to appear as one moves away from their range of validity as discussed below.

Our measurements indicate that prolate particles are uniquely driven towards a tumbling 539 motion in the flow-gradient plane regardless of their initial orientation and aspect ratio. 540 For small but finite Re_n , i.e. typically up to $O(10^{-1})$ in our experiments, there is a good 541 quantitative agreement with the theories. For larger Re_p , i.e. of O(1) in our experiments, a 542 saturation of the phenomenon is observed and the asymptotic theories predict a faster drift 543 toward the tumbling orbit. This is expected since these theories are strictly valid only for 544 $Re_p \ll 1$ and cannot be expected to describe the dynamics at Re_p of order unity or larger, 545 as also discussed in Rosén et al. (2015). 546

In stark contrast to prolate particles, which only possess a single attracting orbit, oblate 547 particles are observed to drift towards two different orbits, the spinning orbit or the tumbling 548 orbit, depending upon their initial orientation. Whereas the theories of Einarsson et al. 549 (2015b) and Dabade et al. (2016) do predict the existence of two limiting orbits, their 550 overestimation of the drift through consecutive orbits is more severe and even seen for small 551 Re_p of $O(10^{-1})$ as also discussed in Rosén *et al.* (2015) when the theory is compared to DNS 552 results. This may suggest that the predictive capabilities of the theories in the small-inertia 553 regime are more limited for oblate particles and that their range of application at finite Re_p is 554 narrower than for prolate particles. We remark here that part of the observed overestimation 555 556 may be ascribed to unavoidable confinement effects (Rosén et al. 2015), which tend to lower the drift intensity and thus introduce a small bias in the experimental measurements. Again, 557 it seems that these effects do affect more the oblate particles. 558

There is a notable discrepancy between the experiments and the predictions of Einarsson 559 et al. (2015b) and Dabade et al. (2016) regarding the stability of the tumbling orbit for oblate 560 561 particles. A bifurcation toward a single stable spinning orbit above a critical aspect ratio of approximately 0.14 is not observed, suggesting a wider basin of attraction for the tumbling 562 mode in the experiments for small Re_p of $O(10^{-1})$ up to O(1). The time-resolved simulations 563 of Rosén et al. (2015) having a confinement of $\kappa = 0.2$ have shown a bifurcation between 564 stable and unstable tumbling for a critical aspect ratio of approximately 0.13 at $Re_n = 1$, 565 in fair agreement with the prediction 0.14 of the asymptotic theories. Rosén et al. (2015) 566 even tracked the bifurcation for larger Re_p , showing that it can survive up to $Re_p = 5$, see 567 the bifurcation diagram of their figure 4. Our experimental results for similar confinement 568 $(\kappa = 0.2)$ and even those with smaller confinement $(\kappa = 0.1)$ still exhibit stable tumbling 569 modes. While this finding is intriguing, it seems reasonable to assume that it cannot be 570 attributed to confinement. 571

572 Overall, our results indicate that inertia plays a significant role in breaking the indetermi-573 nacy of the Jeffery orbits for the prolate particles, while we still observe the existence of two 574 limiting orbits for the oblate particles. This finding has deep consequences for the steady-state rheology of suspensions of axisymmetrical particles in a viscous dilute regime, i.e. when 575 particle-particle interactions are negligible. Indeed, prolate particles will eventually drift 576 toward the tumbling orbit, aligning asymptotically with the mean flow direction. Conversely, 577 the effective viscosity of a suspension of oblate particles will always depend on their initial 578 orientation and regardless of their aspect ratio, i.e. not only in the lower near-sphere limit 579 580 (0.14 < r < 1) as predicted by Dabade *et al.* (2016). Of course, hydrodynamic and direct particle-particle interactions come into play and change the picture as soon as semi-dilute 581 and concentrated suspensions are considered (Butler & Snook 2018). 582

A final comment is in order about the importance of including the effect of both the fluid 583 and particle inertia in the numerical calculation of the rotational dynamics of axisymmetric 584 particles suspended in complex flows, e.g. turbulent flows. In a turbulent flow, the modified 585 rotation rate (see equation B 1) might affect the distribution of particle orientations (Sheikh 586 et al. 2020). In homogeneous isotropic turbulence, axisymmetric prolate (resp. oblate) 587 particles were seen to align with (resp. perpendicular to) the local vorticity vector, following 588 the local flow stretching in a mostly spinning (*resp.* tumbling) rotational state (Ni *et al.* 2015; 589 Byron et al. 2015). Accounting for the inertial torques would strengthen the influence of the 590 local stretching, even if one might argue under which conditions the inertial contribution 591 becomes relevant given the intermittent nature of the flow. One may indeed need to compare 592 the drift time (of typical order of a few periods of rotation) with the typical time of the flow 593 velocity fluctuations. The picture is even more complex in bounded turbulence, where the 594 strong near-wall shear causes axisymmetric particles to follow the local flow stretching but 595 alignes the vorticity vector in the spanwise direction (Zhao & Andersson 2016). In this case, 596 inertial torques could especially modify the orientation statistics of oblate particles, which 597 tend to align normal to the wall, with possible consequences for drag reduction (Wang et al. 598 2021). 599

- 600 Supplementary data. Supplementary material and movies are available at
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614 Appendix A. Three-dimensional particle orientation estimation

A.1. Three-dimensional Axis-Aligned Bounding Box (AABBB) calculation for cylinders and spheroids

617 In this section we briefly describe the geometrical relations that provide the AABB B for

- 618 cylindrical and spheroidal particles, having imposed their orientation vector **n**. The relation
- 619 is straightforward for cylinders, whose projection corresponds to the sum of a line and two

621

capping circles (Quilez 2016). The eccentricity e of these two circles is defined as:

$$e = a \cdot \sqrt{1 - \mathbf{n} \cdot \mathbf{n}} \,. \tag{A1}$$

Given the centre points of the capping circles, \mathbf{p}_a and \mathbf{p}_b , **B** is obtained by maximising the only possible orientation extension:

624
$$\mathbf{B} = \max((\mathbf{p}_{\mathbf{a}} + e), (\mathbf{p}_{\mathbf{b}} + e)) - \min((\mathbf{p}_{\mathbf{a}} - e), (\mathbf{p}_{\mathbf{b}} - e)) .$$
(A2)

Performing the same calculation for spheroidal particles is slightly more complex, in view of the lack of sharp edges. A generic spheroid that can only rotate but not translate may be represented as a diagonal matrix. If the spheroid is initially aligned with the z axis such that its orientation vector is $\mathbf{n} = (0, 0, 1)$, then the matrix reads as follows:

629
$$\overline{\mathbf{O}} = \begin{bmatrix} a^{-2} & 0 & 0\\ 0 & a^{-2} & 0\\ 0 & 0 & (a \cdot r)^{-2} \end{bmatrix} .$$
(A3)

г <u>э</u>

Neglecting the spin, any generic orientation attained by the spheroid can be determined by a combination of the two polar angles ϕ and θ :

632
$$\mathbf{n} = (\sin\phi\sin\theta, \cos\phi\sin\theta, \cos\theta)$$
. (A4)

635 will also be rotated according to the new orientation as follows:

$$\overline{\mathbf{Q}} = \overline{\mathbf{R}} \cdot \overline{\mathbf{O}} \cdot \overline{\mathbf{R}}^{T} .$$

Given the matrix
$$\overline{\mathbf{Q}}$$
, in Appendix A.3 we demonstrate that taking the square root of the diagonal components of its inverse yields the halved sides of the AABB:

(A 5)

$$\mathbf{B}_i = 2 \cdot \sqrt{\overline{\mathbf{Q}}_{i,i}}^{-1} . \tag{A 6}$$

The procedure just described is repeated over $360 \times 360 = 129600$ possible combinations of values of ϕ and θ , in order to discretise any possible orientation in the first quadrant of the three-dimensional Cartesian system. This results in a resolution of 0.25° for each angle. Calculations are scripted in Python to generate extended data sets of AABBs and their corresponding orientation vectors. The corresponding scripts are provided in the Supplementary Materials.

646

A.2. Orientation reconstruction by multi-variable regression

The relation between the Axis-Aligned Bounding Box **B** and the particle orientation vector **n** is non-linear and known in a closed form only for the inverse transformation. Therefore, to solve the direct transformation, we choose a data-driven approach.

A Deep Learning model is trained using synthetic data generated geometrically as 650 described in the previous section. The model is implemented as a neural network in 651 TensorFlow (Abadi 2015), and performs a multi-variable regression, where the input is 652 an experimentally measured AABB and the output is the corresponding orientation vector **n**. 653 Hidden dense layers are made of 256 fully connected weights, introducing non-linearity 654 through the *relu* activation function. Typically, one hidden layer would be sufficient for the 655 regression of the most slender shapes, however, three layers seem preferable to be able to 656 deal also with the nearly spherical objects, e.g. the spheroid ELL06. Normalization of the 657 Euclidean norm of the output orientation vector \mathbf{n} is finally ensured by a dedicated Lambda 658



Predicted values

Figure 13: Predicted values against true values of the orientation vector **n** obtained applying the Deep Learning model described in Appendix A.2 to a fibre with aspect ratio r = 9.01 (CYL10): (a) n_1 along x, (b) n_2 along y, (c) n_3 along z.

layer. The model is compiled and normally trained over at least 20 epochs by minimising a
Custom Loss Function (CLF), which calculates the Euclidean norm of the difference between
the true and predicted values of the orientation vector **n**:

662
$$CLF = \sqrt{\sum_{i=1}^{3} \left(\mathbf{n}_{true,i} - \mathbf{n}_{pred,i} \right)^2} .$$
(A7)

In addition, the custom loss function strongly penalises any prediction yielding non-physical, 663 lower-than-zero values for the orientation vector components. The chosen optimisation 664 method is Adam, which is a randomized batched Gradient Descent method. A random 665 20% splitting of the data set between training and testing samples is selected for the training. 666 As displayed in figure 13, the regression for a fibre of aspect ratio r = 9.01 (CYL10) is highly 667 reliable and yields precise estimations of the three components of the orientation vector **n**. It 668 is important to stress that the lack of availability of previously measured similar experiments 669 prevents us from further testing the model. The Python script used to estimate the particle 670 orientation vector **n** from its AABBs is provided in the Supplementary Materials. 671

A.3. Relation between the Axes-Aligned Bounding Box of an spheroid and the principal diagonal terms of its matrix of coefficients.

674 Given a generic *n*-dimensional hyper-spheroid \mathcal{E} :

675

$$\mathcal{E} = \{ x \in \mathbb{R}^n | (x - c)^T Q^{-1} (x - c) \leq 1 \},$$
 (A 8)

where $c \in \mathbb{R}^n$ is the vector defining the centre of the spheroid and Q is a positive definite matrix of coefficients, and writing $g(x) = (x - c)^T Q^{-1}(x - c)$, the vector field orthogonal to the shell of the spheroid is:

679

$$\nabla g(x) = 2Q^{-1}(x-c)$$
 (A9)

680 Considering the i^{th} axis and the corresponding projection matrix $P_i = e_i e_i^T$, the orthogonal 681 vector field $\nabla g(x)$ and its projection $P_i \nabla g(x)$ will satisfy the condition:

682
$$\nabla g(x) = P_i \nabla g(x) , \qquad (A \, 10)$$

at the two points where the spheroid \mathcal{E} touches the (smallest) bounding box, namely the smallest AABB. The above condition is equivalent to:

685
$$(I_n - P_i) \underbrace{Q^{-1}(x - c)}_{-} = 0_n ,$$
 (A 11)

where the entry y_i will be the only non-zero value, i.e. $y = te_i$, or $x = c + tQe_i$. Intersecting this line with the boundaries of the spheroid, *t* can be obtained as follows:

688
$$t^{2} = (e_{i}^{T}Qe_{i})^{-1} = q_{ii}^{-1}, \qquad (A 12)$$

where q_{ii} is the i^{th} entry of the inverse of the matrix Q. Therefore, the shell of the i^{th} projection of the spheroid will touch the smallest AABB at the following two points:

691
$$x_i = c_i \pm \frac{1}{\sqrt{q_{ii}}} e_i^T Q e_i = c_i \pm \frac{q_{ii}}{\sqrt{q_{ii}}} = c_i \pm \sqrt{q_{ii}} .$$
(A13)

692 Appendix B. Comparison with small-inertia theories

...2

B.1. *Model of Einarsson* et al. (2015a)

Einarsson *et al.* (2015*a*) characterised the influence of inertia on Jeffery orbits as additional terms to equation (1.1), which then reads as:

697

707

$$\dot{\mathbf{n}} = \mathbf{\Omega} \cdot \mathbf{n} + \frac{r^2 - 1}{r^2 + 1} \left[\mathbf{E} \cdot \mathbf{n} - \mathbf{n} \left(\mathbf{n} \cdot \mathbf{E} \cdot \mathbf{n} \right) \right] + \beta_1 \left(\mathbf{n} \cdot \mathbf{E} \cdot \mathbf{n} \right) \mathbb{P}(\mathbf{E} \cdot \mathbf{n}) + \beta_2 \left(\mathbf{n} \cdot \mathbf{E} \cdot \mathbf{n} \right) \mathbf{\Omega} \cdot \mathbf{n} + \beta_3 \mathbb{P}(\mathbf{\Omega} \cdot \mathbf{E} \cdot \mathbf{n}) + \beta_4 \mathbb{P}(\mathbf{E} \cdot \mathbf{E} \cdot \mathbf{n}) , \qquad (B1)$$

where $\mathbb{P}(\mathbf{x}) = \mathbf{x} - (\mathbf{n} \cdot \mathbf{x}) \mathbf{n}$ is an operator that projects components in the **n**-direction. The 698 first two terms on right-end side of equation (B 1) correspond to the original Jeffery equation 699 while the last four terms, each containing a scalar coefficient β_i , are the inertia-related terms. 700 The coefficients β_i are functions of the particle aspect ratio, provided graphically in figure 2 701 of Einarsson *et al.* (2015*b*) for $Re_p = St$ and reported here in figure 14 for the CYL10 case, 702 using the equivalent aspect ratio for cylinders. Equation (B 1) is integrated in time using the 703 odeint function of the Scipy Python library. The initial conditions are provided by the first 704 flow-aligned orientation measured in the CYL10 experimental run. The Python script used 705 to solve for equation (B1) is provided in the Supplementary Materials. 706

B.2. Model of Dabade et al. (2016)

Dabade et al. (2016) characterize the inertia-driven drift from the Jeffery orbits through 708 consecutive rotations by means of discrete variations of the orbit constant ΔC in a single 709 Jeffery period. For particle inertia, this is given in their equation (5.19) in terms of the 710 eccentricity: $\xi_0 = \sqrt{1/(1-1/r^2)}$ for the prolate and $\xi_0 = \sqrt{1/(1-r^2)}$ for the oblate particles. 711 The eccentricity parameter ξ_0 is calculated using the physical particle aspect ratio, r, for the 712 spheroids and the equivalent particle aspect ratio, r_{eq} , for the cylinders, obtained by averaging 713 over all the available experiments. Then, the integrals I_i , J_i are provided in Appendix C, while 714 the prolate F_i^p , G_i^p coefficients come from their equations (5.7-5.12). Following Dabade *et al.* (2016) to obtain the oblate coefficients, one needs first to multiply the prolate coefficients by 715 716 the squared length-scale to obtain their dimensional form. Then the transformation prolate-to-717 oblate must be applied $(\xi_0 < -> i (\xi_0^2 - 1)^{0.5})$, before returning to dimensionless variables by dividing by the squared length-scale, as described below equation (5.12) of Dabade *et al.* 718 719 (2016). The fluid-inertia drift is still obtained from equation (5.19), where St is replaced by 720 Re_p and the F_i^f , G_i^f coefficients are given in equations (6.1-6.8). The Python script used to calculate the discrete orbit variation ΔC as in Dabade *et al.* (2016) is provided in the 721 722 723 Supplementary Materials.

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Figure 14: β_i coefficients of equation B 1 as given in Figure 2 of Einarsson *et al.* (2015*b*). As an example, for the fibre CYL10, the coefficients β_i are chosen at the intersection between the dotted vertical line at $r_{eq} = 7.4$ with the respective curves.

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