#### Article



# Cardiac Pre-Ejection Period to Index Motivation and Effort Mobilization in Cognitive Studies

A Critical Narrative Review

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Abstract: This paper reviews studies on the cardiac pre-ejection period (PEP) in the field of cognitive psychophysiology. The main objective was to better understand the relationship between PEP and effort mobilization in cognitive functioning. We reported studies that have measured the PEP in various cognitive tasks and experimental paradigms and other additional works that have highlighted inter-individual variability affecting PEP during both resting and cognitive activities. The reported literature tends to confirm that PEP might be a useful tool to measure cardiac sympathetic control related to effort mobilization and task difficulty. Methodological aspects, influencing factors (importance of success, emotions, psychiatric condition...), and limitations of the PEP usefulness (e.g., high inter-individual variability, questionable relevance in within-subject design) are also emphasized. Finally, we raised some questions and offered directions for future research to further our understanding of PEP measures.

Keywords: pre-ejection period, cognition, effort mobilization, cardiac reactivity

The mental effort generated by an intellectual activity (e.g., mental calculation) is known to stimulate the sympathetic branch of the autonomic nervous system (ANS) (e.g., Obrist, 1976; Richter et al., 2008). Traditionally, cardiovascular measures such as heart rate (HR), HR variability (HRV), or blood pressure (BP) have been used as indexes of ANS variations related to mental effort (e.g., Mandrick et al., 2016). However, since the early 2000s, growing attention has been paid to the cardiac pre-ejection period (PEP), as an interesting and more direct indicator of mental effort because PEP is a measure of cardiac contractility that is regulated primarily by beta-adrenergic (sympathetic) influences on myocardial tissue. Indeed, under certain boundary conditions, PEP has been demonstrated to be a reliable estimate of the activity of the sympathetic nervous system (SNS) (Berntson et al., 1994; Cacioppo et al., 1994; Kelsey, 2012; Krohova et al., 2017; Mezzacappa et al., 1999). The rationale for linking, mental effort, motivation, and PEP came first from the work of Wright (1996). Motivation can be broadly defined as the process that determines the direction and energization of behavior (Elliot, 2006). Direction stands for "what people do", and energization stands for "how much effort people mobilize." Brehm's motivational intensity theory follows the principle of resource conservation (Brehm & Self, 1989). This theory predicts that effort investment will change with task difficulty as long as success is possible and worth the effort. When task difficulty rises, so does the effort invested in the task, but putting in effort needs to be justified. Minimizing the waste of energy is the principle of resource conservation. Integrating the work of Obrist (1976) on active coping and Brehm's motivational intensity theory, Wright (1996) developed a systematic research program proposing that the rise in effort mobilization can be observed through changes in cardiovascular responses influenced by the SNS. This served as the basis for the subsequent studies to use PEP as a marker of effort mobilization.

The recent growing number of studies measuring cardiac PEP in cognitive experiments motivated this review to examine the utility of the PEP as a physiological correlate of mental effort mobilization. In this paper, we first summarize the basic fundamental knowledge about ANS and cardiovascular activity. We then present the cardiovascular measures of autonomic activity, focusing on PEP and its estimation. Subsequently, we review the existing literature linking PEP to mental effort and motivation in cognitive studies, before discussing some important factors modulating or influencing PEP variability. Finally, we expose some

important methodological issues, recommendations, and we propose future research directions to better understand this metric.

# The Autonomic Nervous System and Cardiovascular Activity

The ANS is a component of the peripheral nervous system that regulates the "unconscious vegetative life". It is involved in the homeostasis of the whole body by regulating physiological processes such as HR, BP, or digestion. It is divided into three distinct systems: sympathetic, parasympathetic, and enteric. The SNS and the parasympathetic nervous system (PNS) jointly regulate cardiac activity (Karemaker, 2017) depending on the context (e.g., exercise, stress, work, rest) (Greenstein & Greenstein, 2000; Thomas, 2011). At rest, the heart is mainly controlled by the parasympathetic pathway, with a high vagal input discharge associated with a withdrawal of the sympathetic input, which reduces its rate to around 60–80 bpm (Jose & Collison, 1970; Martin et al., 1974, Thomas, 2011). During mental or physical activity, HR first rises following a withdrawal of parasympathetic input, and subsequently, the sympathetic input gradually takes over to further increase HR (Martin et al., 1974, Thomas, 2011). The interplay of these systems allows to rapidly adjust HR.

## Cardiovascular Measures of Autonomic Activity

Several cardiovascular measures are classically used in the literature to investigate ANS activity either invasively or non-invasively, by examining the electrical activity of the heart, cardiac imaging, or BP (Berntson et al., 2007). Beyond the recording of HR, measures of HRV have figured predominantly in cardiovascular psychophysiology. As stated above, both parasympathetic and sympathetic branches of the ANS influence HRV (Karemaker, 2017). High-frequency HRV, in the respiratory frequency range, has been shown to reflect variations in vagal sinoatrial control and can thus be seen as a selective index of PNS (Berntson et al., 1997, 2007; Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology, 1996). However, none of the HRV parameters reflects directly and selectively SNS activity (Kiyono et al., 2017). As such, HRV appears not well suited to directly examine the sympathetic activity associated with mental effort (Brehm & Self, 1989; Obrist, 1976; Richter et al., 2016).

#### Pre-Ejection Period (PEP)

The PEP corresponds to one systolic time interval; more precisely it is the time interval between ventricular depolarization on the electrocardiogram (ECG), corresponding to the start of the QRS complex (Q-wave, see Figure 1), and the opening of the aortic valve that provokes the blood ejection from the left ventricle (B point on the impedance cardiography: ICG, see Figure 1). There is an increase in left ventricular pressure during this period due to ventricular contraction triggered by ventricular depolarization. This ventricular contraction is isovolumic because all valves are closed, which means that ventricular blood volume does not change (Krohova et al., 2017). The PEP is followed by the left ventricular ejection time (LVET), and together, these two parameters (PEP and LVET) correspond to the electro-mechanical systole (EMS): the total time of the electrical and mechanical components of the systole (see Figure 1).

The PEP is primarily influenced by SNS activity, although muscarinic receptors on the atria can also change atrial contraction force and thus may influence the PEP period; this effect is generally minor. The PEP depends on the preload (initial stretching of the cardiac myocytes before contraction), the afterload (the pressure that the heart must work against to eject blood during systole), and the cardiac contractibility (Newlin & Levenson, 1979). Isovolumic contraction force depends on cardiac contractibility and preload, which influences the duration of the PEP. An increase in the preload increases the force of contraction via the Frank-Starling mechanism, leading to a decrease in PEP (Krohova et al., 2017; Newlin & Levenson, 1979). Thus, as discussed more in detail in Confounding Factors section, these factors may limit the interpretation of PEP and must be taken into account. Normal values of PEP in adults generally range between 90 and 130 ms (see Table 1), but these values differ markedly in the literature, most likely due to the large inter-individual variability of this biological mechanism, but also to discrepancies in the methodological aspect of its computation (see PEP Measurements Methods section). The left ventricle presents mainly β-adrenergic receptors for noradrenaline, a neurotransmitter used by the sympathetic system. It means that the stronger the sympathetic β-adrenergic stimulation on the myocardium, the stronger its contractility and the shorter the PEP (Berntson et al., 1994; Harris et al., 1967; Newlin & Levenson, 1979). In other words, PEP duration is inversely related to sympathetic activity.

In the literature, PEP reactivity is defined as the difference between PEP measured during the performance of a given task and PEP measured during baseline, in general during rest (PEP reactivity = task PEP  $-$  baseline PEP). A negative number indicates shortened PEP and increases SNS activity in response to the task. To prevent confusion,



Table 1. Mean PEP duration in ms for normal healthy adults (in a sitting position except for Houtveen et al. (2005) for which the position is specified in italics), as reported by each article cited in this review



in this article, the raw measure is referred to as "PEP" and the difference (task-rest) as "PEP reactivity." A "higher PEP reactivity" or a "stronger PEP reactivity", or in other words, a "more negative PEP reactivity," will indicate shorter PEP during a period of interest versus rest and increased sympathetic activity, for example, during a task compared to rest.

#### PEP Measurements Methods

Decades ago, a phonocardiogram, carotid blood pressure, and an ECG were the three sources used to quantify PEP. Currently, ECG and ICG are usually collected simultaneously to measure PEP and represent the most used method (see Figure 2).

ECG records the electrical activity of the muscles of the heart. It is generally recorded using electrodes with a conducting gel placed on the skin. While other configurations exist, the three-electrode arrangement is among the most widely used: one electrode is placed under each clavicle, and the third is placed at two fingers below the floating ribs on the left side (see green circles in Figure 2). The electrodes detect the electrical changes caused by cardiac muscle depolarization and repolarization during each cardiac cycle.

ICG is a technology that converts changes in thoracic impedance to volumetric changes that occur during the cardiac cycle. Thoracic impedance hinders the current flow carried by ions across the chest (Yu et al., 2005). It can be recorded for example by using four pairs of electrodes placed on the right and left side of the base of the participant's neck and on the left and right middle axillary line at the height of the xiphoid. Each pair consists of two



Figure 2. Representation of a possible configuration for the placement of ECG electrodes (green circles) and ICG electrodes (blue and red squares).

electrodes arranged in a vertical strip, four cm apart from each other. The electrodes located closest to the thorax, the voltage electrodes or the receiving electrodes (red squares in Figure 2), measure the surface potential proportional to the impedance in the chest cavity. The electrodes farther from the thorax, the current electrodes, or the sending electrodes (blue squares in Figure 2), transmit the current at high frequencies along the thorax (Kelsey & Guethlein, 1990; Lozano et al., 2007; Sherwood et al., 1990).

The ECG is used to identify the onset of the PEP, which corresponds to the lowest deflection of the Q-wave (the Q-point). As seen in Figure 1, the ICG is used to record the end of the PEP, the B point, which indicates the opening of the aortic valve. It is achieved by computing the first derivative of the change in thoracic impedance and thus finding the resulting  $dZ/dt$  signal. The  $dZ/dt$  signal corresponds to the velocity changes of the blood flow. The Z-point  $\left(\frac{dZ}{dt_{\text{max}}}\right)$  represents the maximal speed of the blood ejection, which is preceded by the B-point, since the opening of the valve results in a peak in aortic blood flow (Berntson et al., 2004; Lozano et al., 2007). Metshein et al. (2021) recently reported that while the ECG signal is reasonable for different electrode placements, the ICG signal has quite varying quality as a function of electrode placement, which can affect the positioning of the B point for example, among others. As such, careful positioning of the electrodes as well as their formal description in the manuscripts' methodological section is required.

Different automatic methods to detect the Q-point have been suggested, including identifying the onset of the Q wave, the peak of the Q wave, the onset of the R wave, or using a fixed constant (e.g., 48 ms before R) (Berntson

et al., 2004; Sherwood et al., 1990). Different methods have also been suggested to identify the B-point: using the first (dZ/dt), second (d<sup>2</sup>Z/dt<sup>2</sup>), or third derivatives  $(d^{3}Z/dt^{3})$  of the dZ/dt signal; the dZ/dt zero-crossing point; or the primary rapid-rise in dZ/dt (Berntson et al., 2004; Gurel et al., 2019; Sherwood et al., 1990; Zauner et al., 2020). The B-point can be the minimum of  $d^3Z/dt^3$  that occurs just before the maximum in  $dZ/dt$ . The interested reader can find a discussion on these aspects and a proposition for a new automatic algorithm in Forouzanfar et al.'s study (2018).

It has also been shown that PEP can be successfully approximated by the RZ interval (interval between R-peak and Z-point  $\frac{dZ}{dt}$ . R-peak and Z points are salient waveform points that are easily and reliably identified, contrary to the Q and B points. However, it's worth noting that this measure cues the PEP, without being a direct measure of the latter (Silvia et al., 2021). The use of RZ interval can be useful, for example, in single-trial studies. Indeed, Q and B points can be noisy and harder to pinpoint in comparison to a peak, such as R or Z. Without repetition, a good and reliable estimation of PEP can thus be complicated, and RZ interval could be a good alternative (Kuipers et al., 2017; Lozano et al., 2007; Sherwood et al., 1990; Silvia et al., 2021).

Nevertheless, PEP still has some longstanding assessment issues, and the signal has to be visually checked and corrected when necessary, as recommended by Sherwood et al. (1990). Indeed, as said above, both Q and B points are susceptible to distortion, noise, and factors that can degrade signals recorded through skin electrodes (Berntson et al., 2004; Lozano et al., 2007; Sherwood et al., 1990). Individual differences in cardiac waveforms

also affect point detection. For all these reasons, algorithms might not always be able to pinpoint them correctly and time-consuming manual inspections are sometimes required.

All these differences in data collection and processing may explain in part differences in signal quality and differences in absolute PEP values found in the literature.

# Review of Studies Using PEP to Index Motivation and Mental Effort Mobilization in Cognition

Although the present study is not a systematic review, we performed comprehensive research on the pertinent work measuring cardiac PEP in cognitive studies. The literature search was conducted on Pubmed, Web of Science, and Google Scholar databases, using different combinations of the following keywords: "preejection period", "pre-ejection period", "cogn\*", "motivation", and "effort". A further search of articles was done on the institutional and personal websites of known authors who published on PEP in the cognitive field. The included studies were published in peer-reviewed journals at the end of 2021 at the latest. A two-step screening of the titles and abstracts permitted to exclude articles that were irrelevant (clinical studies, not related to PEP or cognition, PEP not recorded during a cognitive task, PEP results not presented in the article, not written in English or French, review papers, of no interest for this article) or duplicate. Afterward, all the articles were read and we selected all the publications in which the measure of PEP was performed during a cognitive task with a clear focus on motivation and/or mental effort. The final number of publications selected for this review was 52 articles. They all are summarized in Table 2.

Brehm's theory on effort investment has been extensively studied in relation to different cardiovascular responses (see Gendolla & Wright, 2012; Richter et al., 2016 for detailed reviews). Table 2 reports the description and main results of the reviewed studies specifically on PEP and PEP reactivity across a great variety of experimental paradigms evaluating cognitive performance. In this section, we briefly review and discuss the most important results of these studies.

As a typical example of a study conducted in this domain, Richter et al. (2008) used a modified version of the Sternberg task: a memory task using a nonsense letter series in which participants had to report whether a single target letter was present in the previous series. The task had four different levels of difficulty, depending on the time of presentation of the nonsense letter series. The highest difficulty level was impossible because the presentation time was too short (15 ms) to consciously process the stimulus. In this between-subject study, each level of difficulty was performed by an independent group of participants. The results showed that PEP reactivity increased proportionally with task difficulty until the task became impossible (see Figure 3). PEP reactivity became more negative with increasing task difficulty. A task disengagement was then observed for the "Impossible" condition because the task became too difficult: the participants stopped putting effort into the task, and PEP reactivity became positive (task PEP was higher than baseline PEP in this condition). According to the authors, PEP duration is inversely proportional to an individual's task engagement, and energy mobilization is mediated by changes in β-adrenergic activity, supporting Brehm's theory.

Following this study, numerous works from this research group and others have examined more systematically the conditions under which such a relationship between PEP reactivity, indexing SNS control, and effort mobilization is demonstrated and the shape of this relationship.

In the same kind of task as Richter et al. (2008), it has been shown that using action primes instead of nonsense letters elicited a stronger effort-related cardiovascular response (higher PEP reactivity) than using inaction primes (Gendolla & Silvestrini, 2010; Silvestrini & Gendolla, 2013). However, the linear relationship between PEP reactivity and task difficulty was not always demonstrated (e.g., Silvestrini & Gendolla, 2013) and some studies failed to find a sudden disengagement when the study becomes too difficult. For instance, using a modified Fitts' task (speed-accuracy sensorimotor task) with five difficulty levels, Mallat et al. (2020) showed that the relation between PEP reactivity and task difficulty was curvilinear and thus more consistent with Hancock and Szalma's model (2006) that proposes a curvilinear effect of stress level or task difficulty on behavioral and physiological adaptability. When task difficulty increases to a point where it becomes too difficult for the individual, mental effort investment is reduced and not stopped, and the behavioral strategy changes.

Important factors related to the relationship between cardiac PEP reactivity and effort mobilization in cognitive tasks concern mood, emotion, and pain. For example, mood has been shown to affect mental effort intensity, as indexed by PEP reactivity, during task performance due to its effects on task appraisal (Silvestrini & Gendolla, 2011a). Similarly, research from this Geneva Motivation Lab reported that using affect primes (sadness, fear) during a cognitive task can influence perceived difficulty and thus effort mobilization as measured by PEP reactivity (Chatelain & Gendolla, 2015; Framorando & Gendolla, 2019b; Lasauskaite et al., 2013). Several studies also found that the emotional effect on PEP reactivity is not linear and











Table 2. (Continued) Table 2. (Continued)







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Figure 3. Reproduction of the results reported by Richter et al. (2008) for pre-ejection period (PEP) reactivity during the performance of a memory task across different levels of difficulty. Bars represent standard errors.

can be modulated by the objective difficulty of the parallel task (Chatelain et al., 2016; Framorando & Gendolla, 2018a, 2018b, 2019a; Freydefont & Gendolla, 2012; Freydefont et al, 2012; Gendolla & Silvestrini, 2011; Lasauskaite Schüpbach et al., 2014; Silvestrini & Gendolla, 2011b). More detailed reviews of the effect of affect primes on effort mobilization can be found in Gendolla and Wright (2012) and Silvestrini and Gendolla (2019). Finally, in addition to sadness and fear, pain was also shown to affect perceived task difficulty and the resulting cardiovascular reactivity to effort mobilization (Cancela & Silvestrini, 2021; Silvestrini, 2015, 2018).

Some studies directly related PEP reactivity to mental stress. Berntson et al. (1994), Kelsey et al. (2007), Mezzacappa et al. (2001), Montoya et al. (1997), and Rahman et al. (2018) showed that, except for the cold stressor, mental stress inductions by reaction time tasks, speech stress tasks, mental arithmetic tasks or video game, elicited significant changes in cardiovascular functioning, with a shortening of PEP. This PEP sensitivity to mental stress is most likely due to the stronger sympathetic activity responsible for fight or flight behaviors in response to danger and stressful environments/situations. Moreover, studies reported that an evaluative observation condition resulted in an increase of PEP reactivity, indicating a resurgence of β-adrenergic cardiac reactivity, but that repeated similar stress tasks resulted in attenuated PEP reactivity, showing a possible form of habituation (Kelsey et al., 2000, 2004).

Taken together, the results of the studies reviewed in this section and detailed in Table 2 reported that manipulations of task difficulty, mental stress, or incentive value, as well as mood and emotions, elicit direct and measurable changes in cardiac PEP and PEP reactivity. Although not a direct measure, PEP is currently accepted as the most reliable index of SNS activity in controlled conditions (Kelsey,

2012; Krohova et al., 2017; Mezzacappa et al., 1999; Richter et al., 2008), and as such can be an efficient physiological metric of mental effort mobilization. Nevertheless, the relationship between task difficulty and PEP reactivity was not always shown to be clearly linear and was also often curvilinear. Also, complete disengagement or sudden disengagement when the task became impossible was not systematically reported either. These discrepancies could be related to the definition and manipulation of task difficulty that can diverge between studies. They also could be explained by the numerous moderators involved in the task difficulty and PEP relationship. The physiological processes behind effort mobilization may thus need further investigation. As reported above, emotions and mood influence PEP, but other important factors also deserve future consideration. These factors are reviewed in the next sections.

## Inter-Individual Variability of PEP

Numerous factors independent of the experimental manipulation appear to influence PEP values in the literature. It is important to consider this variability when using PEP in psychophysiological and cognitive experimentations. The most important sources of inter-individual variability, described below, are age, sex, and psychological pathologies. Other sources of variability not reviewed here can be linked to diseases such as heart failure, aortic valve diseases, or chronic hypotension (see Duschek et al., 2017; Krohova et al., 2017; van Lien et al., 2013).

## Age

According to Quigley and Stifter (2006), the mean resting PEP is shorter in young children ( $M_{\text{age}} = 5$  years and

3 months) than in adults, with values ranging from 70 to 75. The authors also reported that children have a smaller sympathetic reactivity compared to adults. PEP reactivity was more important in adults than in children during four different tasks: watching a video of the Wizard of Oz, an interview, a go/no-go task, and a cold pressor task. However, in another study, Tenenbaum et al. (2019) reported a mean resting PEP ranging from 95 to 105 ms in 166 children aged 5–13 years, indicating that children may not always show a shorter PEP at rest compared to adults (see Table 1). The literature also investigated whether PEP differs in older adults compared to younger ones. Cybulski (1996) did not find any significant effect of age on the mean resting state PEP among adults aged 22–59 years. Steptoe et al. (2005) did not find a significant difference in mean resting PEP between adults (27–42 years) and older adults (65–80 years) but did find a stronger PEP reactivity in younger adults than in the older adult group in response to a cognitive task, as well as a better PEP recovery from the task. Gurel et al. (2019) showed a shorter mean resting PEP and a lower PEP reactivity in older participants (age =  $64.8$  years  $\pm$ 5.9 years; resting  $PEP = 80$  ms  $\pm$  4.3 ms; PEP reactivity =  $-6.4$  ms) than in healthy younger ones (age = 25.4 years  $\pm$  4.4 years; resting PEP = 109.3 ms  $\pm$  3.9 ms; PEP reactivity  $= -9.6$  ms) during a mental arithmetic task. However, in this study, older participants showed higher mean BP and lower mean HR compared to younger participants, which could explain the apparent lower mean resting PEP of the older participants. As mentioned above, an important inter-individual variability in the mean resting PEP was observed in adults (see Table 1). It is thus difficult to evaluate the actual effect of age on PEP. This needs to be further studied in conjunction with the confounding factors mentioned in this article (e.g., HR, BP, sex, etc.).

## Sex

Little research has examined the question of the potential moderating effect of sex. Among those studies, Rattel et al. (2020) used 15 neutral, threat, loss, achievement, and recreation-related movie clips to assess affective differences between men and women. Emotion response estimates were measured for each clip using a facial corrugator and zygomatic electromyography (EMG). EMG results were related to self-reported measures of emotional experiences taken at the end of each film. Arousal (excited/calm) and valence (pleasant/unpleasant) ratings were taken into account to characterize the emotional experience. The results showed that women have a more negative PEP reactivity compared to men and higher arousal and valence. Moreover, they also showed that women have higher concordance between arousal physiology and performance, as revealed by PEP reactivity.

## Pathologies

The effect of different pathologies on PEP has also been studied. Beauchaine et al. (2001) showed a blunted PEP reactivity in attention-deficit hyperactivity disorder (ADHD) children compared to control children in a repetitive motor task in which rewards were given or removed across trials. Tenenbaum et al. (2019) explored the effect of ADHD on PEP reactivity during a Go/No-Go task. This study showed that compared to the control group that demonstrated a negative PEP reactivity during the cognitive task, ADHD children showed a reduced and positive PEP reactivity, possibly because they mobilized proportionally less effort, or lacked the motivation to engage appropriately in the task.

Cellini et al. (2014) and Covassin et al. (2011) explored the effect of primary insomnia on cardiovascular changes and cognitive performance. These studies found sympathetic hyperarousal (e.g., HR increasing and PEP decreasing) at rest and during the tasks evaluating inhibition and working memory along with cognitive deficits in insomniacs compared to good sleepers. However, while evidence of both cognitive impairments and cardiovascular hyperarousal were shown in both studies, a clear relationship between the two phenomena is lacking. Indeed, no significant correlation was found between performance and physiological data, suggesting that different pathways might be at play.

Finally, several studies were interested in the effects of major depressive disorder (MDD) and dysphoria on sympathetic activity and cardiac reactivity (Ahles et al., 2017; Bair et al., 2021; Brinkmann & Franzen, 2013; Brinkmann et al., 2009, Franzen & Brinkmann, 2015, 2016a, 2016b; Franzen et al., 2019; Silvia et al., 2014). MDD patients showed dysfunctional motivation and affective dynamics associated with anhedonia. In this population, PEP reactivity was positively associated with task performance, with smaller PEP reactivity during cognitive tasks in depression compared to a healthy control group. Brinkmann and Franzen (2013), Brinkmann et al. (2009), and Franzen and Brinkmann (2015) showed that while for non-dysphoric adults there was a linear relation between PEP reactivity and reward, for dysphoric patients, the relation was not linear and PEP reactivity was blunted. In addition to reward, punishment also resulted in a reduced PEP reactivity, but while self-reported reward wanting (the motivation to obtain a reward) was blunted with reward (Franzen & Brinkmann, 2016a, 2016b; Franzen et al., 2019), it was normal with punishment (Franzen & Brinkmann, 2016b). Hence, it seems that these patients show a blunted effort-related sympathetic activity. Following Brehm's theory (Brehm & Self, 1989), depression could affect effort through changes in appraised task difficulty and/or changes in appraised goal importance.

All the studies reported in this section showed different sources of PEP inter-individual variability, such as ADHD and MDD which reduce effort-related sympathetic activity. Mental stress and insomnia were reported to be responsible for sympathetic and cardiovascular hyperarousal. Age and sex may also affect resting PEP and PEP reactivity. However, their actual and direct causal influences need to be carefully tested in controlled studies. All this variability in raw PEP and PEP reactivity values calls for carefully controlling studies' settings (e.g., same recording position, lighting of the room, see below) and participants' characteristics (e.g., age, sex, health condition, etc.).

Moreover, if inter-individual variability influences PEP value, between-subject designs might not be the best way to study PEP, especially if the groups of participants are heterogeneous in age, sex, physical and psychiatric condition, health history, and other variables. As such, the reliability of other designs examining variations of PEP as a function of effort mobilization, like the within-subject design, must be studied, as reviewed in PEP in Within-Subject Studies section.

## Methodological Limits

### Confounding Factors

Several physiological parameters that can affect PEP measures must be considered when preparing experiments. First, it has been shown that the dissipation of catecholamines concentration (adrenaline and noradrenaline) lasts for about 9 s, affecting β-adrenergic receptors during this period (Kuipers et al., 2017; Mokrane & Nadeau, 1998). As it has been said, PEP is largely influenced by sympathetic activity, acting via catecholamines and β-adrenergic receptors. The sympathetic reaction to an event could thus last up to 9 s. Therefore, to study the action of the sympathetic activity during a task, it might be preferable to use a long enough inter-trial interval to ensure that the influence of sympathetic activation on those receptors is over before the subsequent trial is administered. An inter-trial interval of at least approximately 10 s should thus be recommended when studying PEP during an event-related design.

Second, sympathetic activity is not the only parameter influencing the duration of the PEP. Indeed, as mentioned above, the Frank-Starling mechanism shows that HR changes can occur without sympathetic control, and this change in HR can modulate the PEP. A decrease in HR will increase the volume of blood that has more time to accumulate in the ventricle, which puts more pressure on the walls (preload). This pressure is likely to increase the contractility of the ventricle, decreasing the PEP (Krohova et al., 2017; Newlin & Levenson, 1979; Sherwood et al., 1990).

Similarly, decreasing diastolic blood pressure (DBP) reduces the ventricular pressure required to open the aortic valve (afterload). This results in the valve opening more quickly after depolarization, and thus PEP will decrease accordingly. It is thus highly recommended to record and report HR and BP during experimentation to verify that the effects observed on PEP are only due to sympathetic activity changes. PEP reflects increases in beta-adrenergic activity only when HR and BP are stable or increase (Krohova et al., 2017; Newlin & Levenson, 1979; Sherwood et al., 1990). Importantly, factors such as chronological age or pathology (e.g. Parkinson's disease, diabetes, Lyme disease) independently affect HR and BP and thus must be considered. Also, some behavioral or experimental factors could potentially affect HR and BP, and thus could indirectly impact PEP. For instance, at the start of an experiment, if a participant is strongly stressed about the experimentation, they could have a high HR, which will reduce during the experiment when they relaxes despite the cognitive stimulation and thus PEP could change not only because of mental effort but because of simple relaxation.

Third, body position significantly affects PEP values (Houtveen et al., 2005), with the largest PEP when standing, followed by sitting and subsequently lying down (see Table 1). Keeping the same position during the entire recording period is thus important to avoid confounding variations of PEP values coming from different positions and from the task. In the same vein, standing for a prolonged period of time could decrease DBP, and thus decrease PEP, which is a reason why sitting might be preferable when possible. Furthermore, daytime and lighting conditions also affect cardiovascular reactivity (Adan & Sánchez-Turet, 1996; Rüger et al., 2006) by affecting for example sleepiness and alertness, which can affect performance, concentration, or the amount of mental effort a participant invests into a task. For example, Zauner et al. (2020) showed the impact of these parameters on PEP reactivity, which was shown to be stronger during the late afternoon and with medium luminosity. Additionally, colder light resulted in less effort mobilization and a smaller sympathetic activation with the same performance. These parameters may thus need to be constant in experiments and be specified in the methods of published studies.

#### PEP in Within-Subject Studies

A lot of PEP studies in cognitive psychophysiology have used either a between-subject design or a single task (e.g., Rahman et al., 2018; Silvia et al., 2014) without repetition. This implies that the intra-individual evolution of PEP as a function of experimental conditions when conducting repeated measures of the same task is poorly known.

To use PEP as a physiological index of mental effort, it is important to know the reliability of this measure over time within the same subject. From an applied perspective, if one wants to use PEP and/or PEP reactivity to anticipate or prevent overload or incapacitation at work or in challenging situations, it is essential to disentangle the effects of time on task and the effects of task difficulty as well as the effects of recovery period within the same subject. For example, would the same results be observed in a study where PEP reactivity would be investigated at different levels of difficulty using a within-subject design (i.e., every participant would perform all levels in a raw) compared to a study using a between-subject design (i.e., every participant would perform only one particular level of difficulty)? Could there be a habituation effect on PEP after a while? Would there be a clear disengagement with sudden positive PEP reactivity when the task becomes impossible? After a thorough search of the existing literature, no definite answers to these questions were found, partly because of the lack of information in the published studies and because these questions were never directly addressed. Although some published articles have used PEP in a within-subject design (18 out of 52 articles cited in this review, see Table 2), most of these articles did not address these questions.

Some responses can be inferred by examining the existing literature. For example, Kelsey et al. (2007) studied the reliability of cardiovascular reactivity. Using three combinations of different stress-inducing tasks (and analyzing Cronbach's α coefficient of internal consistency), they found that there was a high within-task reliability for all tasks, but testretest and across tasks reliability was high only with mental arithmetic tasks. Indeed, reliability declined when video games and cold-pressure tasks were added to the task list. This suggests that across tasks consistency of cardiovascular reactivity could be limited.

Regarding possible habituation effects, some studies have started to tackle these questions such as the ones from Kelsey et al. (2000, 2004; see Review of Studies Using PEP to Index Motivation and Mental Effort Mobilization in Cognition section) that showed a habituation of PEP reactivity across repeated presentation of the same stress task. In addition, Plain et al. (2021) studied effort mobilization using a speech-in-noise task. Participants were asked to repeat a sentence they heard with lower and higher frequencies masking (from  $-1$  to  $-21$  dB) covering the speech, and with either a high or low reward (5€ vs. 0.20€). The signal-tonoise ratio (SNR) was manipulated with a within-subject design. The researchers found that effort investment during listening varied as a function of task demand and motivation (manipulated by reward). More precisely, the relationship between task demands during the speech-in-noise task and PEP reactivity was somewhat linear. PEP reactivity was the most negative at the lowest signal-to-noise ratio (21 dB), indicating greater effort investment compared to higher SNRs. The behavioral results, which showed that the percentage of correct responses was higher for the lower ratio of  $-1$  dB (91.6%) than for the higher ratio of 21 (2.7%), supported these physiological results. No effect of reward on PEP reactivity was observed. The results of Plain et al. showed no visible sign of habituation or physiological drift during the experiment (see Figure 4A) because the six ratio levels were presented in either descending or ascending order. However, only PEP reactivity, calculated as the difference between PEP during each speech-in-noise task condition and resting PEP just before the task, was reported in the results. In other words, the six experimental conditions were each preceded by a 5-min resting condition. Unfortunately, the study did not report the mean absolute PEP values for the experimental or resting conditions, preventing the possibility of examining the dynamic of raw PEP values. Interestingly, in addition to the classic blockwise analysis method to study PEP reactivity, this study used also an event-related analysis method. For this method, instead of averaging all the PEP data in a block, they selected the PEP that occurred only during the presentation of a target sentence and then averaged these specific PEP for each block (see Figure 4B). With this event-related method, as for the block-design one, no significant effect of reward was found, but a linear contrast revealed a significant effect of SNR, with a stronger PEP reactivity at the lower SNRs. This method also showed that while most of the PEP reactivity values found with the blockwise analysis were positive (indicating that PEP during task was actually longer than PEP during rest), in the event-related analysis, most of the PEP reactivity values were negative. These results, although showing a small effect size of task difficulty on PEP reactivity in a within-subject design, suggest that an event-related analysis of PEP might be possible and sensitive to task demand.

Another study that used a within-subject design is from Brenner et al. (2005). The authors used six blocks of a 2-min repetitive simple decision task, each separated by 2.5 min of rest. Reward and extinction of reward conditions were manipulated within and between blocks. Results showed that PEP reactivity was significant during reward but not during extinction, and a linear trend analysis indicated that there was a significant habituation effect on PEP reactivity across reward conditions. The first reward block had the strongest PEP reactivity and the last reward block had the weakest PEP reactivity (see Figure 5). Thus, contrary to Plain et al. (2021), this study showed a possible form of habituation of PEP reactivity across conditions in a within-subject design.

One aspect of the evolution of PEP as a function of timeon-task that has been studied in a within-subject design is the recovery time after a simulated rescue operation in



Figure 4. Reproduction of Plain et al.'s (2021) results for PEP reactivity (in ms) during a speech-in-noise task performed by two groups of participants (high reward vs. low reward). The authors performed a block-wise analysis (A) or a target stimuli (event-related) analysis method (B).



Figure 5. Reproduction of Brenner et al.'s (2005) results for PEP reactivity (in ms) during a repetitive response task across conditions of reward (R) or extinction of reward (E).

virtual reality. Czarnek et al. (2021) showed that it takes about 1–2 min for PEP to return to baseline after the task completion. Their findings suggest that task-related sympathetic activity dissipates rather quickly. An inter-task interval no shorter than 1 min is thus necessary to avoid the effect of the previous task on subsequent measurements. However, this study was conducted only on white-fit males of the same age. Replication studies using different cognitive/physical challenging tasks and populations would be necessary to increase the generalizability of these results.

Finally, some studies, like the one by Mezzacappa et al. (2001), measured PEP over time in the same participants during different tasks but did not report the PEP evolution over time. Such missing information prevents us from finding definite answers about the PEP evolution over time during cognitive tasks from the few within-subject studies literature. Moreover, the lack of information is critical for understanding how resting PEP and PEP reactivity are operationalized in within-subject studies. It is often not clear or not mentioned whether resting PEP is based on a single period at the start of the experiment or based on successive resting periods between the challenging tasks. Knowing the evolution of both resting PEP and task PEP as a function of time is critical in this context because the

results and their interpretation would potentially greatly differ. The articles reviewed here reported contradictory results on a possible habituation of PEP over time. Lastly, very recent studies reported that PEP reactivity appears stronger or significant only during the very first minute of task activity, going back to baseline level afterward, whatever it was demonstrated using a between-subject design (Framorando & Gendolla, 2018a; Framorando et al., 2023) or a within-subject design (Albinet et al., 2023). More work is needed using within-subject design to better understand these dynamics and how PEP reacts over time during and after a series of challenging tasks, task repetitions, or task difficulty levels.

Nevertheless, one must notice that the within-subject design is not without methodological limitations. Having the same participants administered with all task conditions significantly increases the length of the study time, not to mention the need to have individual baseline periods before each new task condition. Also, the benefit of lowering the number of participants (because they each perform all the task conditions) may be at the cost of an increased need for participants to counterbalance the condition order between participants. However, although requiring methodological assumptions, within-subjects design when correctly

controlled, offers greater statistical efficiency (Greenwald, 1976; Howell, 2012).

## Recommendations

Some of the articles cited in this review present some issues or lack information about the mentioned concerns. For example, several articles did not present raw data, such as mean resting PEP (e.g., Annis et al., 2001; Cellini et al., 2014; Montoya et al., 1997; Rattel et al., 2020; see Table 1). They only presented their results in the form of PEP reactivity, standard deviation, or log-transformed data. As discussed in the preceding section, the results presented in most of these articles did not specify how absolute PEP evolves as a function of experimental conditions or time. Knowing the mean resting PEP is important for understanding better the high variability of PEP values found in the literature. A careful look at the PEP measurement methods in the published papers does not show much difference in the literature that could explain this high variability. Research articles should describe their data collection method as clearly and precisely as possible to increase access to this valuable information.

Some factors that need to be controlled during an experiment and reported in the article include the homogeneity of participants (age, sex, existing condition, physical health), participants' position, lighting of the room, as well as the time of the day. Resting periods also need to be recorded, such as their length and when they are recorded during the experiment, as it is recommended to have a resting period between each task or block to allow PEP to return to baseline without influencing the subsequent task. Resting periods, inter-stimuli intervals, the method used to identify the Q and B points, and the placement of the electrodes on the body should also be reported. HR and DBP should be recorded and reported because of their potential confounding role in measuring PEP variations.

Moreover, while the mean PEP is important, so is PEP reactivity, as it shows the evolution of sympathetic activity between resting and task periods; thus, both need to be reported in articles. Finally, in within-subject designs, the important question of baseline or resting periods needs to be addressed. It is argued here that a resting period needs to be present between each task to calculate PEP reactivity from the resting period right before the task and not just from a delayed baseline measure. Indeed, although PEP recovery appears to be rather quick after one task (see Czarnek et al., 2021), little is known about its evolution on repeated measures. Comparing PEP values during a particular task with resting PEP values, taken just before that task, should allow us to measure PEP reactivity more accurately by assessing the exact effect of the task, and not the effect of accumulating time, when the resting is taken only once at the beginning of the experiment.

A final recommendation concerns the necessary reflection on the interpretation of the physiological measure of PEP as an indicator of effort in mental activities. As first introduced by Cacioppo and Tassinary (1990) and thoroughly discussed in the context of motivation, by Richter and Slade (2017), and in the context of mental workload by Backs (2000), the relationship between a psychological state and a physiological response is not always unique and specific (e.g., a one-to-one relation), nor context-free (i.e., generality). To be considered as a marker of an individual's effort mobilization, PEP must show that it has a direct oneto-one relation with the mental state; that is, it is affected only by this mental state and not by changes in any other psychological variable, within a given context or for a given category of individuals (Cacioppo & Tassinary, 1990). If this marker is general, that is, unspecific to a situation, it is called an invariant. As reviewed by Richter and Slade (2017), those conditions are very difficult to be fully studied and validated. However, elucidating whether or not PEP is a marker or an invariant of mental effort is of critical importance, particularly in the context of within-subject studies, where the researcher generally wants to demonstrate that changes in mental effort directly lead to changes in PEP value, or inversely, that modulation of PEP value directly predicts the level of mental effort once invests in a task. One must note that if this specificity is not demonstrated, the physiological measure can be described as an outcome (context-limited one-to-many relationship) or a concomitant (general one-to-many relationship) of the psychological phenomenon. This does not preclude the demonstration of a true valid relationship between the two phenomena, but this reduces the uniqueness of the relationship and hence the possible interpretation of the relationship. As such, other variables that may have a direct effect on PEP value (e.g., see Confounding Factors section) must be controlled for before a valid conclusion can be made.

## Conclusion

This article presented the cardiac PEP, its relationship with the ANS, the methods used to record it, and its utilization in past studies in the cognitive psychophysiology field. PEP is an indicator of myocardial contraction that is considered the most reliable noninvasive measure of β-adrenergic sympathetic activity in the heart. A decrease in PEP indicates an increase in sympathetic activity.

PEP responds somewhat proportionally to an individual's task engagement, meaning that a β-adrenergic effect on the heart mediates energy mobilization in active coping. This energy is thought to be proportional to difficulty as long as success is possible. When mental workload increases, behavioral and physiological adaptability increases to reduce the decrement in performance, but when the required effort exceeds the individual capacity (or when motivation disappears), there is a task disengagement, and PEP returns to baseline levels. Indeed, the principle of resource conservation moderates and limits automaticity effects on effort mobilization. Brehm's motivational intensity theory (Brehm & Self, 1989), which follows the principle of resource conservation, has been proposed as a good model for the physiological response involved in effort mobilization. Numerous findings support Brehm's theory; however, much work is still needed as other studies have reported results inconsistent with that theory (e.g., Mallat et al., 2020; Silvestrini & Gendolla, 2013). Furthermore, this theory deserves to be tested more fully using a withinsubject design.

Mental state, emotions, or even some psychological and psychiatric pathologies can influence PEP. Several confounding factors, such as HR, afterload, preload, cardiovascular issues, or BP, should also be considered and deserve future systematic examination in this field. If PEP becomes validated in within-subject studies, more applied research should then be conducted to examine the pertinence of this physiological index as an individual measure of mental workload, overload, and prevention of incapacitation in more ecological settings.

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