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## CO<sub>2</sub>/CH<sub>4</sub> Glow Discharge Plasma. Part II: Study of Plasma Catalysis Interaction Mechanisms on CeO<sub>2</sub>

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# CO<sub>2</sub>/CH<sub>4</sub> glow discharge plasma. Part I: Experimental and numerical study of the reaction pathways

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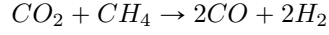
## Abstract

*A fundamental study of CO<sub>2</sub>/CH<sub>4</sub> plasma is performed in a glow discharge at a few Torr. Experimental and numerical results are compared to identify the main reaction pathways. OES-based techniques and FTIR (Fourier Transform Infrared) spectroscopy are used to determine molecules densities and gas temperature. Several conditions of pressure, initial mixture and residence time are measured. The main dissociation products are found to be CO and H<sub>2</sub>. The LoKI simulation tool was used to build a simplified kinetic scheme to limit the uncertainties on rate coefficients, but sufficient to reproduce the experimental data. To this aim, only molecules containing at most one carbon atom are considered based on the experimental observations. Obtaining a good match between the experimental data and the simulation requires the inclusion of reactions involving the excited state O(<sup>1</sup>D). The key role of CH<sub>3</sub> radical is also emphasized. The good match obtained between the experiment and the simulation allows to draw the main reaction pathways of the low-pressure CO<sub>2</sub>-CH<sub>4</sub> plasmas, in particular to identify the main back reaction mechanisms for CO<sub>2</sub>. The role of CH<sub>2</sub>O and H<sub>2</sub>O in the gas phase is also discussed in depth as they appear to play an important role on catalytic surface studied in the part II of this study.*

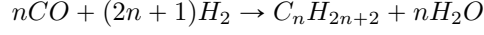
## 1 Introduction

One of the greatest challenge of the beginning of this century is the struggle against global warming. To limit the anthropogenic emissions which are the main causes of the climate change, it is necessary to reduce the

emission of greenhouse gases. The Dry Reforming of Methane (DRM) is a promising lead in this direction: it recycles  $\text{CO}_2$  and  $\text{CH}_4$ , the main greenhouse pollutants, into value added products:



Together, they can be used to provide a form of energy storage through their recombination into heavier hydrocarbons with the Fischer-Tropsch process:



Though DRM can be achieved chemically, using cold plasma represents another interesting path which could play on the non-equilibrium characteristics of these complex media to avoid heating of the mixture and subsequent energy waste ([1, 2, 3]). Extended literature on  $\text{CO}_2$  plasma is available ([4, 5, 6, 7]), as well as on  $\text{CH}_4$  ([8, 9, 10]). Despite this, the physical basis of  $\text{CO}_2$ - $\text{CH}_4$  plasmas are still uncharted. A recent effort has been undertaken on the investigation of the main mechanisms occurring in  $\text{CO}_2$ - $\text{CH}_4$  plasmas, both experimentally and computationally. On one hand, many different discharges were studied experimentally, including nanosecond discharges [11, 12], low pressure RF discharges [13], or high-pressure gliding arc discharges [14]. Finally, numerous studies have used dielectric barrier discharges (DBD) at atmospheric pressure ([15, 16]). However DBDs are often studied in the prospect of applications and therefore often with a catalyst, making the understanding of basic physical phenomena challenging. Indeed, the interactions between a plasma and a catalyst are multiple and are not limited to the mere supply of reactive species produced by the plasma on the surface of the catalyst [17, 18]. The complex materials used as catalysts also influence the plasma dynamics. Studying this interaction in packed-bed DBDs with no access for *in situ* measurements, and generating transient filamentary discharges, does not allow the identification of the different mechanisms really controlling the conversion of  $\text{CO}_2$  and  $\text{CH}_4$ .

On the other hand, a strong modelling effort has also been done for understanding  $\text{CO}_2/\text{CH}_4$  kinetics: the gliding arc discharge studied in [14] was presented with a complete model of the discharge combining a gas flow approach with a 3D plasma arc model, a particle tracing model and a quasi-1D kinetic model.  $\text{CO}_2$ - $\text{CH}_4$  DBD discharges at high pressure have been incrementally studied: first with a 1D fluid model of a  $\text{CO}_2$ - $\text{CH}_4$  plasma in [19] and in [20], whose kinetic scheme was updated for  $\text{H}_2\text{O}$  and  $\text{N}_2$  and used in a 0D global model in [21]. The  $\text{CO}_2$ - $\text{CH}_4$  nanosecond repetitive discharges (NRP) were modelled with 1D fluid model in [22], whose kinetic scheme was modified in [23] to take into account surface processes. Another 1D fluid model taking into account catalytic surfaces and spatial inhomogeneity has been developed in [24] to model a cylindrical packed-bed DBD reactor. Finally, [25] recently developed an neural network based model of a  $\text{CO}_2$ - $\text{CH}_4$  nanosecond pulsed dielectric barrier discharge to predict the conversion, energy efficiency and selectivity of the discharge, but does not relies on a chemistry set. This model does not offer insights on the  $\text{CO}_2$ - $\text{CH}_4$  plasmas, but rather predictions for optimizing DRM.

In these modelling works, a complex chemistry including  $\text{C}_2\text{H}_Y$  molecules (and sometimes  $\text{C}_3\text{H}_Y$  molecules) was used, despite these molecules being only minor products. Including these molecules leads to an exponential increase in the number of rate coefficients to include and therefore to a strong increase in the uncertainty of major rate coefficients.

In this work, we use a low pressure glow discharge to serve as basis for validation of a 0D kinetic model including only molecules with up to one carbon atom to bring insights on the key processes allowing conversion in a  $\text{CO}_2$ - $\text{CH}_4$  plasma. The procedure of comparison of the measurements in the glow discharge with 0D kinetic model coupling electron kinetics and chemistry is similar to what had previously been done for pure  $\text{CO}_2$  in [26]. The kinetic scheme developed in [26] was the starting point of this work. The glow discharge is chosen for its reproducibility and homogeneity, ideal for comparison with a 0D model, as well as for the easy experimental access to key quantities of the plasma (the electron density  $n_e$  and the reduced electric field  $E/N$ ). The goal of this study is to provide insights on the basic processes occurring in  $\text{CO}_2$ - $\text{CH}_4$  plasmas at low pressure by trying to keep the number of reactions relatively small in order to minimize the number of reactions with unreliable rate coefficients. The understanding of the gas-phase kinetics gained in this work will serve as a basis for the analysis of the results presented in Part II of this study [27]. Indeed, part II is devoted to the study of the species forming on the surface of a  $\text{CeO}_2$  pellet exposed to exactly the same plasma as the one studied here. The combined study of the plasma phase and the adsorbed phase in the same reactor is indeed essential to identify the mechanisms of plasma/catalyst interaction.

## 2 Experimental setup

The discharge used for this study is a glow discharge sustained at low pressure (between 1 and 7 Torr). The reactor is made of two identical pyrex cylinders, of inner radius of 1cm, attached together in a shape of an L, as

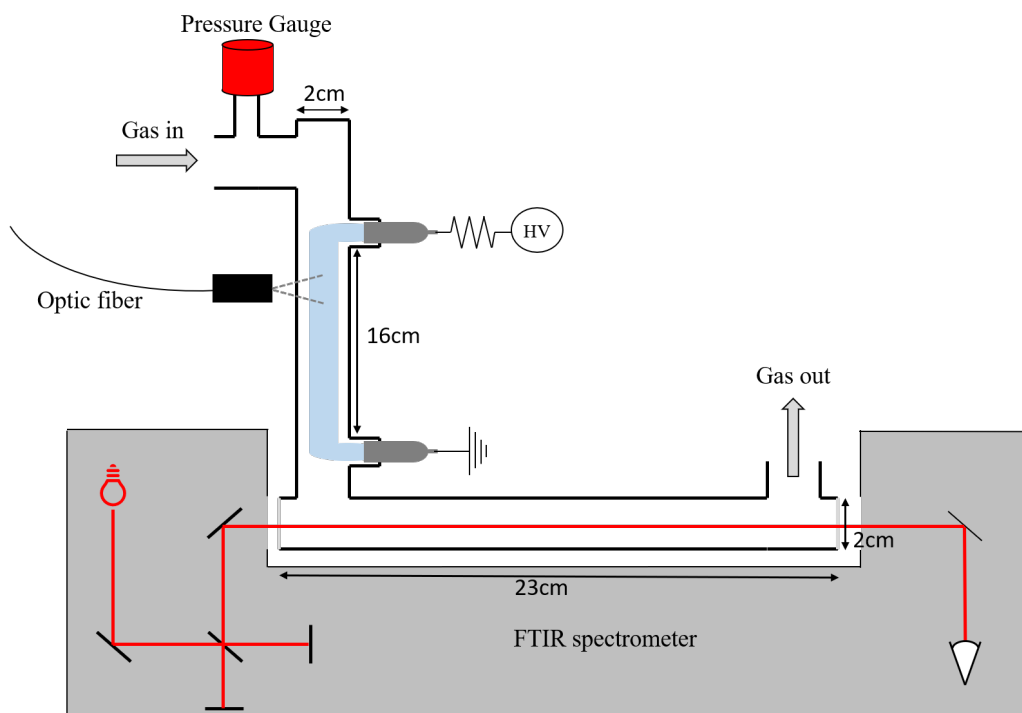


Figure 1: Experimental Setup

visible on fig 1. The L-shape configuration allows for direct measurement of the post-discharge area, assuring that the gas travels only a few centimeters (corresponding to a few seconds for the flows used) between the plasma area and the measurement area. The travelling time is much longer than the recombination processes of excited species ensuring that no reactive species (ions, excited states or radicals) reach the measurement cell. This also ensures that the gas is in contact with nothing else than pyrex between plasma and the measurement point (especially with no metal which could have a catalytic effect). The electrodes are hollow cathodes made mostly of iron and their top surface is protected by an alumina-based ceramic. Therefore, they contain no carbonated compound likely to influence the results of our measurement. Moreover a pure oxygen plasma is used prior to measurements to check that no carbon deposits possibly accumulated during previous experiments generate detectable levels of CO and/or CO<sub>2</sub>. Gas is flowed in the reactor with 3 Bronkhorst flowmeters (with an accuracy of 0.1sccm), with a total flowrate kept between 1.85 and 7.4sccm, and pumped by an Edwards XD10 pump. The pressure is measured at the entrance of the reactor by a Pfeiffer pressure gauge. A continuous plasma is turned on in the reactor with a FUG HP 350-6500 Power supply. The reactor is in plug flow configuration, meaning that the gas is continuously supplied and pumped but at a relatively low flow. The total gas flow determines the pseudo steady state (i.e a steady state where dissociation is compensated by gas renewal). The measurement area of the reactor is placed in the sample compartment of a Bruker Vertex 70 FTIR, where the IR spectra can be measured. Optical emission spectroscopy is performed simultaneously: a collimator is used to acquire light from the plasma and sends it through an optical fiber to a Ocean Optics Maya USB spectrometer. The USB spectrometer allow to obtain a spectrum between 250 and 900nm with a resolution of 0.5nm, allowing to clearly observe O and H atomic lines as well as molecular band such as the CO Angstrom band.

For each condition measured, the gas is supplied in the line for 5 minutes before turning on the plasma to ensure a good mixing of the different gases. A first FTIR measurement is taken before the plasma is turned on to check the ratio of initial gases. The initial mixture has been varied between 100:0 and 60:40 CO<sub>2</sub>-CH<sub>4</sub>, keeping the CH<sub>4</sub> initial fraction low enough to avoid significant carbon deposition on the walls. However, when the plasma is run for a long time (several hours) and for long residence time (5-7 seconds), some deposition is observed near the high voltage electrode. When carbon deposition is observed, the reactor is cleaned with an oxygen plasma until no CO or CO<sub>2</sub> can be measured with the FTIR in the measurement cell. Despite the small carbon deposition, it will be shown further that the carbon balance is nearly fulfilled in the chosen working conditions. Once the plasma is ON, the IR spectrum is measured after 8 minutes, corresponding to the stabilization time of CO<sub>2</sub>-CH<sub>4</sub> plasma in our conditions as seen on IR and OES spectra. For each conditions, a steady state of pressure and temperature in the plasma is assumed. Each conditions is measured 4 times in a row, each measurement is an average of 10 IR spectra. All the steps of the acquisition are automated. The list of measured conditions is given in table 1.

Pressure	[1;7] Torr
Current	40mA
Initial CO <sub>2</sub> -CH <sub>4</sub> fractions	60:40 / 70:30 / 80:20 / 90:10 / 95:5 / 100:0
Total flows	1.85 / 3.7 / 5.55 / 7.4 sccm

Table 1: Conditions used for the parametric study of chemical conversion in the CO<sub>2</sub>/CH<sub>4</sub> glow discharge

## Rotational temperature measurement by OES

The optical emission spectra are used to measure the temperature of the plasma through the fitting of the CO Angström band following the method described in [28]. Similarly to previous work dedicated to the study of CO<sub>2</sub> plasmas [29], the rotational temperature is assumed to be at equilibrium with the gas temperature. The accuracy of the temperature measurement is limited by the instrumental broadening of the USB spectrometer. Comparisons with the rotational temperature measured in pure CO<sub>2</sub> with FTIR spectroscopy (as described in [29]) showed a relatively good agreement of the two methods, with a discrepancy of only 60K.

## Densities measurement by FTIR absorption spectroscopy

An infrared absorption spectrum ranging is measured with the FTIR. The spectrum ranges from 500 cm<sup>-1</sup> to 5000 cm<sup>-1</sup>, allowing to observe the bands of all hydrocarbons of interest as well as the IR bands of CO<sub>2</sub>, CO and H<sub>2</sub>O. The FTIR measurement is done in conditions similar to the ones of [29]: the FTIR beam goes through a 23cm-long measurement cell which allow for a sensitivity limit in the order of 10<sup>20</sup> m<sup>-3</sup> for all molecules (which depends on the pressure and the molecule line strength). Because the travelling time between the plasma and the measurement (few s) cell is much longer than the rotational and vibrational relaxation time (a few ms in our conditions [29]), the temperature along the FTIR line-of-sight is assumed to be 300K and all vibrational excitation is assumed to have relaxed. The infrared spectra are fitted with a modified version of the algorithm presented in [29]. The algorithm was previously designed to fit the out of equilibrium vibrational and rotational temperatures and dissociation fraction in CO<sub>2</sub> plasmas. The algorithm is modified to fit individually each molecule in the IR spectrum at equilibrium and draw the density of each species (out of equilibrium data are not available for all species). The densities of CO<sub>2</sub>, CO, CH<sub>4</sub>, H<sub>2</sub>O, C<sub>2</sub>H<sub>6</sub>, C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>2</sub> can be measured with a sensitivity of 1% of the total density ( $\sim 10^{20} m^{-3}$ ). The algorithm has been tested in controlled mixture, i.e in a gas mixture without any plasma.

## Measurement of the electric field

The reduced electric field E/N, a key parameter for the understanding of the plasma behaviour has also been measured and was found to be typically around 70 Td. The electric field is measured in the same conditions in an identical reactor which integrates tungsten pins at floating potential in the plasma area. The measurement of the potential at the pins allows to determine the electric field assuming the homogeneity of the electric field across the positive column. Combined with the previous measurement of temperature, this yields the reduced electric field E/N.

# 3 Experimental Results

## 3.1 Evolution of the temperature with CH<sub>4</sub>

In this work, the influence of three different parameters on the final gas composition are investigated: the pressure, the total flow and the initial gas mixture. In order to represent the variation of measured quantities like gas temperature as a function of all three parameters, color maps are plotted. Figure 2 shows the gas temperature measured by OES with a color scale for different initial percentages of CO<sub>2</sub> in the mixture of CO<sub>2</sub>/CH<sub>4</sub> (noted  $f_{CO_2ini}$  on the X axis) and gas flow (Y axis) for each pressure studied (each subplot). For a given pressure and initial CO<sub>2</sub>-CH<sub>4</sub> mixture, the temperature appears to be independent from the flow. This was already observed in pure CO<sub>2</sub> and is due to the characteristic time of gas heating (i.e the time necessary for the plasma to reach a steady temperature by balancing electronic heating, VT processes and losses at the wall), which is much smaller ( $\sim$  few ms [29]) than the residence time of the gas in the plasma ( $\sim$  few s).

For a given pressure and flow, the temperature decreases with the increase of CH<sub>4</sub> percentage. In these measurements, the current is kept constant at 40mA and the power supply voltage varies by less than 5% over the whole initial mixture variation. This means that the power transferred is relatively constant for all gas mixtures studied. Therefore The temperature decrease is not due to lower heating and must be due to higher heat loss. The main cooling channel in our low-pressure glow discharge is the cooling by heat conduction to

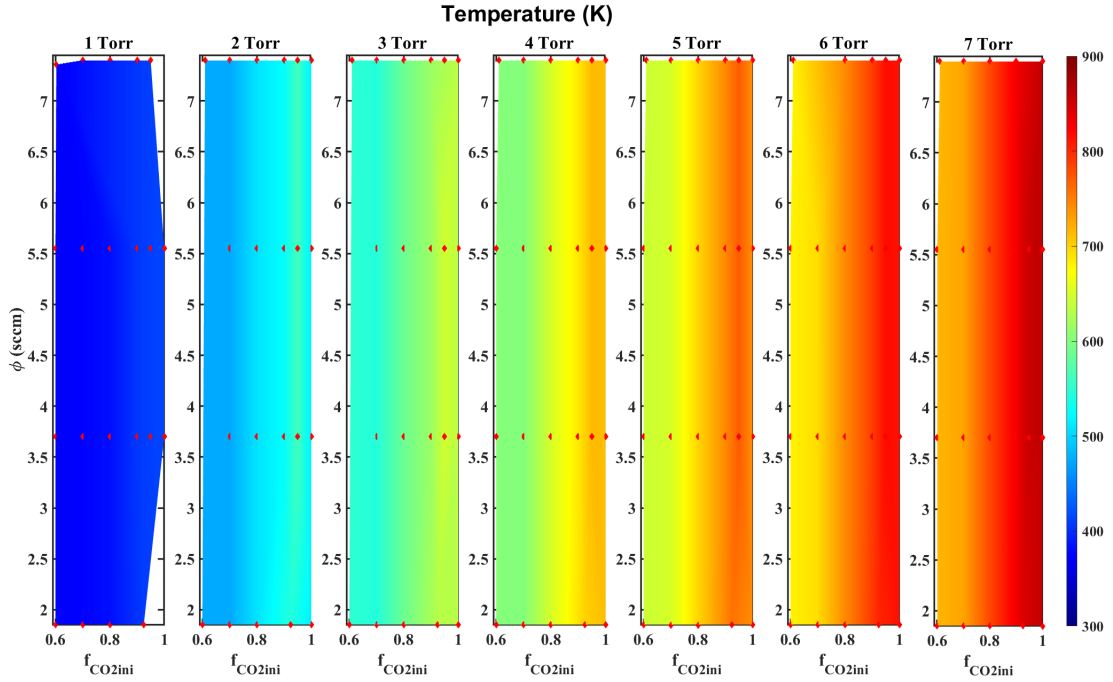


Figure 2: Evolution of the gas temperature obtained by OES in the measured conditions as a function of pressure, initial mixture and residence time. Each image shows for one pressure a colormap of the temperature as a function of the initial CO<sub>2</sub> percentage and of the residence time. The measured points are represented with red squares, while the rest is interpolated. All measurements are taken at 40mA.

the walls as shown in [30] in CO<sub>2</sub> and CO<sub>2</sub> – N<sub>2</sub> low-pressure glow discharges. CH<sub>4</sub> has a thermal conductivity twice as high as the CO<sub>2</sub>, and H<sub>2</sub> (dissociation product of CH<sub>4</sub>) tenfold the one of CO<sub>2</sub>. The temperature decrease is therefore attributed to the large thermal conductivity of CH<sub>4</sub> and its by-products like H<sub>2</sub> and the better heat conduction to the walls.

### 3.2 Evolution of the reduced Electric Field

The evolution of the electric field as a function of pressure measured from the voltage drop in the positive column of the glow is plotted in dashed lines on figure 3 for various CO<sub>2</sub>-CH<sub>4</sub> initial percentages. The E field is measured with the pins in the positive column and the temperature is measured with OES. Figure 3 presents the electric field for a flow of 3.7 sccm but the change of flow (and therefore of residence time) has a very small impact on E. The maximum increase when going from 7.4 to 3.7 sccm for a given mixture and pressure is observed at high pressure and is about +4% (from 51V/cm to 53V/cm at 7 Torr at 90:10 CO<sub>2</sub>:CH<sub>4</sub>). The same order of magnitude is seen at low pressure, with an increase from 25 to 26 V/cm at 2 Torr for the same mixture.

Starting from the pure CO<sub>2</sub> case, the electric field decreases upon addition of 5% of CH<sub>4</sub> in the initial mixture for a given pressure. Upon further addition of CH<sub>4</sub> in the initial mixture, the electric field increases.

The reduced electric field is shown in plain lines on figure 3 for several initial CO<sub>2</sub> percentages. Because it was seen that both the electric field and the temperature are very weakly impacted by the flow, the reduced electric field is also relatively stable with the flow. **Contrary** to the pure CO<sub>2</sub> case, the reduced electric field does not always decrease with pressure. For low initial CH<sub>4</sub> percentage (95:5 and 90:10 CO<sub>2</sub>:CH<sub>4</sub>), a decrease is observed with pressure, but the decrease of the 95:5 case (from 73 Td at 2 Torr to 61Td at 7 Torr) is more pronounced than the one of the 90:10 case (from 70Td at 2 Torr to 64Td at 7 Torr). For the 80:20, 70:30 and 60:40 CO<sub>2</sub>:CH<sub>4</sub>, no clear trend is exhibited and the reduced electric field seems flat with pressure. The trend of the reduced electric field with pressure for initial CH<sub>4</sub> content above 20% can be explained by a competition between two quantities. On one hand, the electric field increases with pressure. On the other hand, the high proportion of CH<sub>4</sub> and its dissociation products lead to better thermal conductivity and stronger heat loss, limiting the temperature increase with pressure. The density increase is therefore stronger than in pure CO<sub>2</sub>. The simultaneous increase of E and N with pressure lead to a flat E/N. Nevertheless, the **uncertainty** of the OES temperature measurement could also flatten the E/N curve with pressure represented on figure 3. Comparison

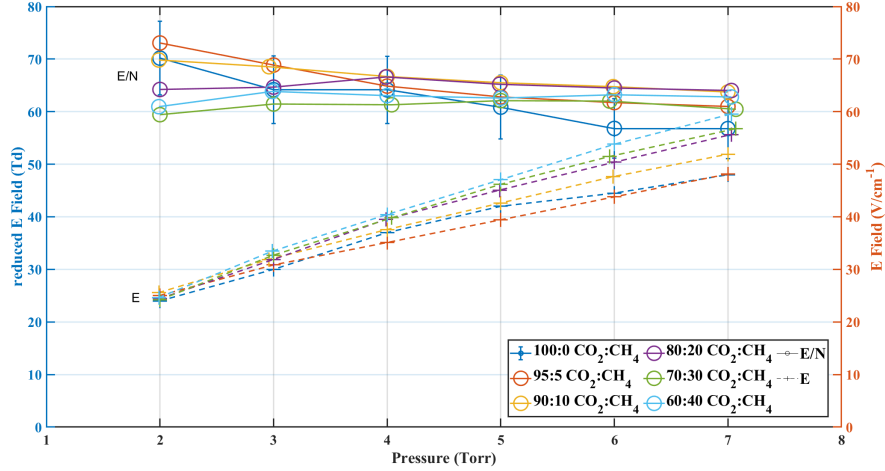


Figure 3: Evolution of the electric field (in dashed lines) and reduced electric field (plain lines) with pressure for various initial CO<sub>2</sub>%

between OES and FTIR in an additional series of measurement in pure CO<sub>2</sub>, where both temperature where temperatures are measured in-situ, showed that the OES tends to underestimate the temperature at low pressure (-20K) and to overestimate at high pressure (~60K) compared to the FTIR measurements, considered more reliable. If it is assumed that OES temperatures are off by the same amount in CO<sub>2</sub>-CH<sub>4</sub> (-20K at 2 Torr and +60 at 7 Torr), the E/N evolution with pressure is not flat anymore and a slightly decreasing trend (similar to CO<sub>2</sub>) is observed, though only a few Td between 2 and 7 Torr. The values measured and plotted on figure 3 are the ones kept for the rest of this work.

### 3.3 Evolution of the main species in the downstream gas mixture

The molecular fractions of several species in the gas (CO<sub>2</sub>, CO, H<sub>2</sub>, CH<sub>4</sub>) are compared here for different pressures. The densities are measured downstream of the reactor and not *in situ*. The densities downstream are comparable to the ones in the plasma because only minor recombination takes place in the post-discharge (as is shown further below). Additional composition measurements by mass spectrometry were carried out further down the gas line (in the far post-discharge, see part II of this work [27]) in the same setup for the same conditions and have shown good agreement with the FTIR measurements. This confirms that little chemistry happens in post-discharge on long time scale (between 2 and 10 seconds after the plasma).

Before detailing each species, a general overview of the plasma composition must be given. While CH<sub>4</sub> seems almost completely dissociated in our conditions, some CO<sub>2</sub> remains. The main products of the CO<sub>2</sub>-CH<sub>4</sub> low pressure glow discharge are CO and H<sub>2</sub>, as often reported for CO<sub>2</sub>-CH<sub>4</sub> discharges ([11] for NRP, [31] for atmospheric glow, or more recently in a GA discharge [14]). In this work, water is obtained as well (up to 15% of the gas density). C<sub>X</sub>H<sub>Y</sub> molecules are found only in traces. When heavier hydrocarbons are found here (by FTIR also confirmed by mass spectrometry), C<sub>2</sub>H<sub>6</sub> is the dominant one, whereas C<sub>2</sub>H<sub>2</sub> is usually reported as the main C<sub>X</sub>H<sub>Y</sub> molecule with X>1 in literature ([11, 14]). Little O<sub>2</sub> (deduced from O atom balance) is found downstream if the CH<sub>4</sub> percentage is above 10%: in a 90:10 mixture at 3 Torr, the O<sub>2</sub> fraction is typically around 5%, and drops below 1% in the 80:20. No O or H atoms are expected downstream because their characteristic recombination time at the wall is much shorter (respectively tens of ms and ms) than the time it takes for the gas to travel from the reactor to the measurement cell.

Similarly to the temperature maps, the fraction of the main species in the gas mixture downstream the plasma are plotted versus the initial % of CO<sub>2</sub> and versus the total gas flow for each pressure in the following figures (4,5,6 and 7). For more readability, an interpolated surface is plotted in colour, while the measurement points are plotted as red diamonds. The number of point for the interpolation is chosen so that the interpolant gives the measured values at the measured conditions.

The CO<sub>2</sub> fraction in the plasma is shown on figure 4. For all pressures and residence times, the CO<sub>2</sub> fraction strongly increases when changing from 100:0 to 95:5 CO<sub>2</sub>-CH<sub>4</sub>, before going back down when increasing the CH<sub>4</sub> content. This phenomenon, which translates by a red band very visible between 2 and 7 Torr, is less clear at 1 Torr. For a given pressure, the CO<sub>2</sub> fraction decreases with the residence time (as expected due to longer exposure to electron impact processes). Hence for a given pressure, CO<sub>2</sub> is always minimal at high CH<sub>4</sub> percentage and low flow (high residence time), while it is always maximum at 95:5 CO<sub>2</sub>-CH<sub>4</sub>. The value

of the minimum final fraction is however stable for all pressure, remaining at 15% of the total density, while the maximum fraction of CO<sub>2</sub> increases from 1 to 3 Torr before stabilizing at approximately 70% of the total plasma density.

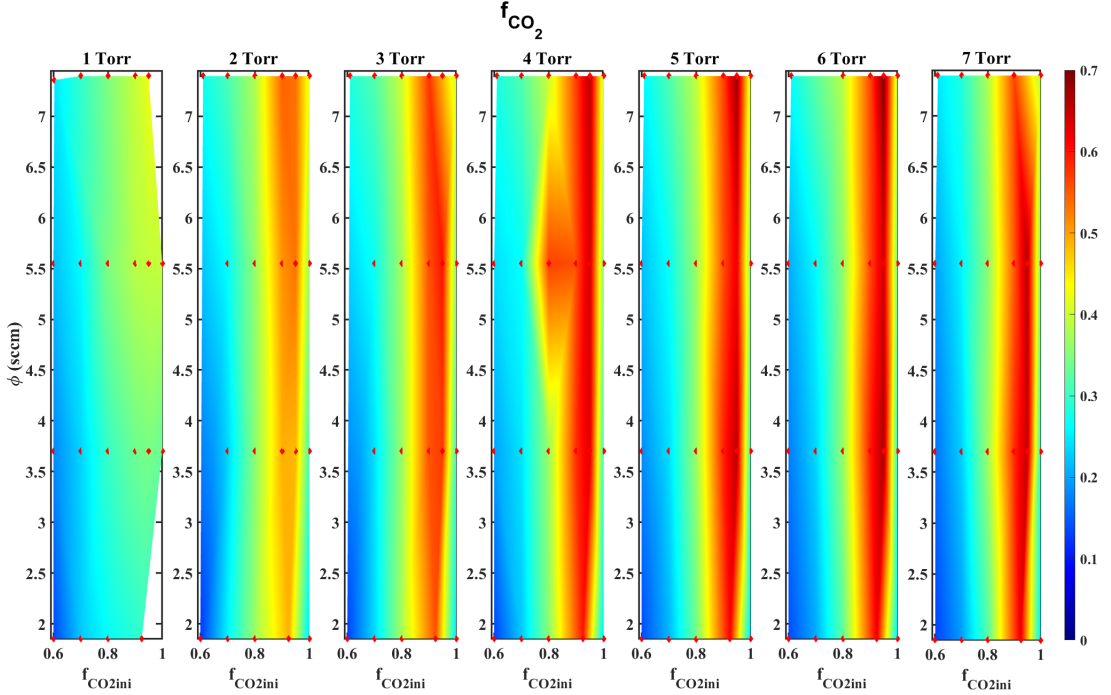


Figure 4: Evolution of the fraction of CO<sub>2</sub> in the measured conditions as a function of pressure, initial mixture and residence time. Each image shows for one pressure a map of the CO<sub>2</sub> final fraction as a function of the initial CO<sub>2</sub> percentage and of the residence time. The measured points are represented with red squares, while the rest is interpolated. All points are taken at 40mA

The CO fraction in the plasma is represented on figure 5. For a given pressure, the CO fraction is maximal for low flow (high residence time) and high initial CH<sub>4</sub> %. For pressures between 2 and 7 torr, the CO fraction shows a drop of almost a factor 2 when changing from 100:0 to 95:5 CO<sub>2</sub>-CH<sub>4</sub>, then goes back up upon addition of more methane in the initial mixture, mirroring the previously shown CO<sub>2</sub> fraction on figure 4. This is visible for all measured residence times. For a given pressure and initial CO<sub>2</sub>-CH<sub>4</sub> mixture, the final CO fraction increases with the residence time. The large fractions of CO observed ( $\sim 25\%$  at  $f_{CO_2ini}=0.6$  for instance) show that a large part of the CO comes from oxidation of CH<sub>4</sub> and not only from the dissociation of CO<sub>2</sub>.

CH<sub>4</sub> is efficiently converted, with little to no CH<sub>4</sub> remaining. The final CH<sub>4</sub> fractions, represented on figure 6, show a linear trend with the initial CH<sub>4</sub> fraction and with the residence time: CH<sub>4</sub> is fully dissociated at low initial CH<sub>4</sub> percentage and at low flow (high residence time). The behavior of CH<sub>4</sub> is similar for all pressures: around 10% remain in the case 60:40 CO<sub>2</sub>:CH<sub>4</sub> for all pressures and for high flows (7.4sccm, top right corner of the maps). Increasing the residence time in the same mixture leads to final CH<sub>4</sub> percentage close to 4%. For any other mixture, the final amount of CH<sub>4</sub> is decreased below 1% for all residence times.

H<sub>2</sub> is not directly measured by FTIR because it is not IR active. The fraction of H<sub>2</sub> in the plasma is therefore deduced from H atoms balance assuming that all non-detected H atoms are recombined into H<sub>2</sub>. The values found with this method are in good agreement with mass spectrometer measurements even though the error bars of MS for H<sub>2</sub> are rather large. The fraction of H<sub>2</sub> in the gas represented on fig 7 increases with the initial CH<sub>4</sub> percentage and with the residence time at a given pressure. The H<sub>2</sub> fraction is thus always maximum on left side of the maps for any pressure. The maximum fraction of H<sub>2</sub> is relatively stable with pressure, remaining in the range 32-45% of the total gas density, with a peak at 4 Torr.

The fraction of water (which is measured by FTIR but not represented here) has a limited range of variation. For 5% of initial CH<sub>4</sub>, approximately 5% of water is formed for all residence times and all pressures. This amount increases slightly when more CH<sub>4</sub> is added but remains between 8% (for low residence times) and 11% (for higher residence time).



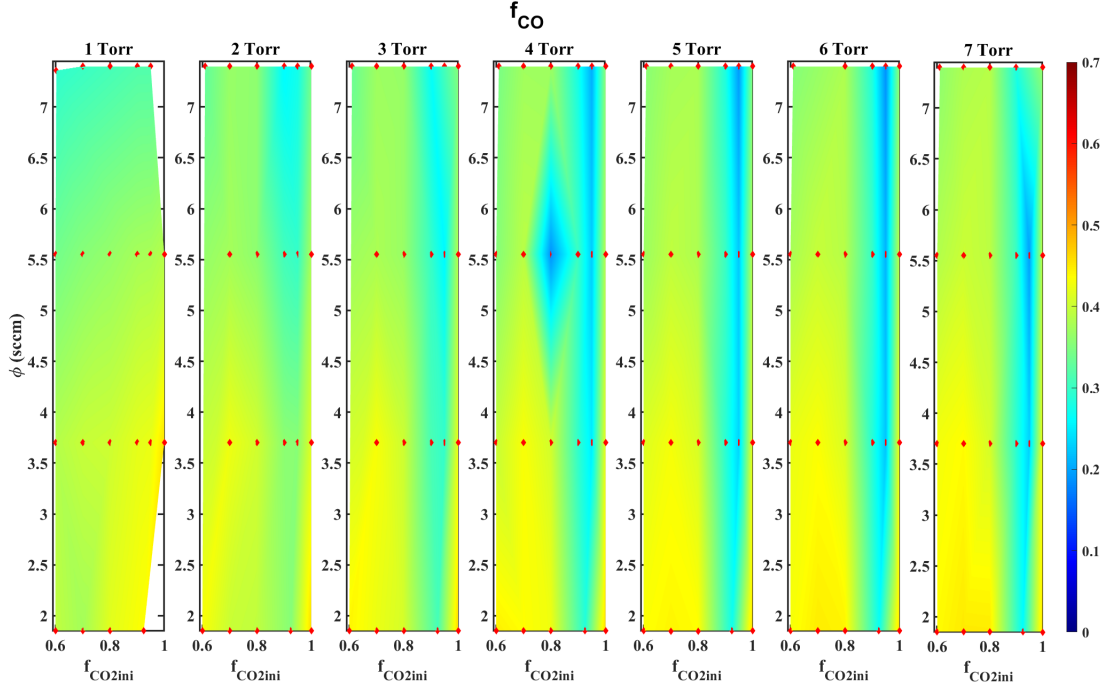


Figure 5: Evolution of the fraction of CO in the measured conditions as a function of pressure, initial mixture and residence time

The fraction of O<sub>2</sub> is not represented here as it falls to 0 when the initial CH<sub>4</sub> content is higher than 10%. Similarly to H<sub>2</sub>, O<sub>2</sub> is not measured directly but deduced from the O atom balance assuming that all O atoms missing from the balance are recombined into O<sub>2</sub>. Traces of C<sub>2</sub>H<sub>6</sub>, C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>2</sub> are found but are negligible (their sum account for less than one percent in the best case). Despite the weak densities, it is worth noting that C<sub>2</sub>H<sub>6</sub> peaks at 1 Torr, suggesting that surface processes could be at play here, while C<sub>2</sub>H<sub>4</sub> systematically peaks in the 60:40 CO<sub>2</sub>-CH<sub>4</sub> case and always at high flow. The high flow corresponding to a short residence time in the plasma, this suggested that C<sub>2</sub>H<sub>4</sub> could be an intermediate in the chemistry, destroyed on long time-scales.

The deposition of carbon on the walls of the reactor has been computed as well using the carbon balance and assuming the missing carbon was all deposited on the wall in the form of pure carbon. The result of this estimation is plotted on figure 8. The carbon deposition starts once CH<sub>4</sub> reaches 30% of the initial mixture and is stronger at lower flow. In the most critical case (60:40 CO<sub>2</sub>:CH<sub>4</sub> at 4 Torr), less than 15% of the total C atoms are lost. In most cases, the deposition remains around 10%. The percentage of C atom deposited being low enough, the deposition will be neglected for further analysis.

### 3.4 Estimation of the atomic densities by actinometry

The atomic O and H species have a strong influence on the chemistry and can thus play a key role in the plasma. An estimation of their densities is therefore crucial to understand the plasma mechanisms. The absolute densities of atomic H and O in the plasma are measured by actinometry, following the method presented in [32]. The atomic lines of oxygen atoms at 777 nm (written O777 in the following) and at 845 nm (O845) are used for the computation of O atom density. The H<sub>α</sub> line at 656 nm (H656) was used for H density. 5% of Ar was introduced in the gas flow (in a dedicated series of measurements) to serve as actinometer. The Ar750 line is used for the line ratio. The spectral sensitivity of the USB spectrometer was calibrated with a calibration lamp (Ocean optic DH3-Plus) over the whole visible range. The actinometry equations for O with Ar actinometer yield:

$$[O] = \frac{I_O}{I_{Ar}} * \frac{k_e^{Ar}}{k_e^O} * \frac{a_{Ar}}{a_O} * [Ar] \quad (1)$$

where  $I_X$  is the intensity of the line emitted by X,  $k_e^X$  the rate coefficient of electronic impact excitation of the species X radiating the line studied and  $a_X$  is the effective branching ratio of the studied transition. The O line intensity drops with small amount of CH<sub>4</sub>. Using eq 1, the fraction of O atoms is estimated to reach 15% in pure CO<sub>2</sub>. However it falls to 4% of the gas density in the 95:5 CO<sub>2</sub>:CH<sub>4</sub> plasma and 1 percent of the gas

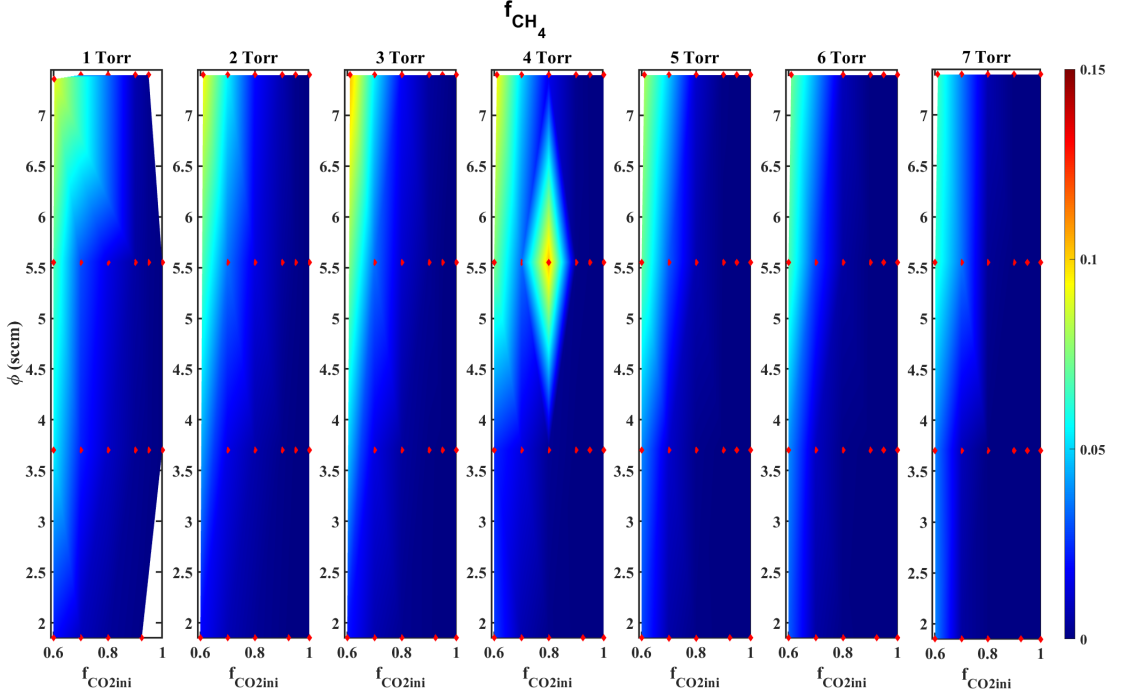


Figure 6: Evolution of the fraction of  $\text{CH}_4$  in the measured conditions as a function of pressure, initial mixture and residence time

density in the 90:10  $\text{CO}_2:\text{CH}_4$  case. When  $\text{CH}_4$  makes up for more than 10 % of the initial mixture, the O line is below noise level. Because of this, the ratio  $\frac{I_{\text{O}}}{I_{\text{Ar}}}$  is close to 0 for initial  $\text{CH}_4$  content above 10% and it is estimated that the atomic O makes up for less than 0.1% of the total mixture in these cases. **For initial  $\text{CH}_4$  content above 10%, the O atoms are not considered to be a major species.**

Though the H line is convoluted with a larger emission band from the CO Angström system **which can account for up to 20% of the intensity**, it is nonetheless possible to see the evolution of the line, whose intensity increases with the  $\text{CH}_4$  content. The H density is computed following the same method as for O densities. The role of dissociative excitation ( $e + \text{H}_2 \rightarrow e + \text{H} + \text{H}(n = 3)$ ) was found to be negligible. Indeed, Using the actinometry hypothesis, the intensity is indeed given by :

$$I_{H656} = C_{656} * h\nu_{ij}^H * (k_e^H * [\text{H}] + k_{DE} * [\text{H}_2]) * n_e * \frac{A_{ij}^H}{\sum A_i^H + \sum_Q k_Q^H [n_Q]} \quad (2)$$

$$= C_{656} * h\nu_{ij}^H * (k_e^H * [\text{H}] + k_{DE} * [\text{H}_2]) * n_e * a_{ij}^H \quad (3)$$

$$(4)$$

where  $C_{656}$  is a constant depending on the experimental setup,  $ij$  correspond to the upper and lower levels of the transition radiating the 656nm line,  $k_e^H$  the electronic excitation rate coefficient,  $k_{DE}$  the dissociative excitation rate coefficient,  $n_e$  the electron density,  $n_H$  the atomic hydrogen density and  $a_{ij}^H$  the efficient branching ratio of the transition. The ratio  $\frac{k_e^H}{k_{DE}^H}$  was computed for a 60:40  $\text{CO}_2:\text{CH}_4$  mixture at various pressures using the LoKI B solver ([Lisbon Kinetics solver](#),[33]) and the composition, temperature and reduced electric field measured above. The ratio was found to be close to  $4 \times 10^3$  in our conditions. Neglecting dissociative excitation, the H atom density was computed

$$[\text{H}] = \frac{I_H}{I_{\text{Ar}}} * \frac{k_e^{\text{Ar}}}{k_e^H} * \frac{a_{\text{Ar}}}{a_H} * [\text{Ar}] \quad (5)$$

Using the coefficients given in table 2, this estimation yields that for an initial  $\text{CH}_4$  content of 30%, the atomic H density should remain below 1% of the total mixture. Because  $k_e^H * [\text{H}] \gg k_{DE} * [\text{H}_2]$ , the hypothesis of neglecting the dissociative excitation is consistent. The atomic H density computed here is only an order of magnitude because the basis of the line is convoluted with the CO Angstrom band which prevents exact calculations.

In the following sections, a kinetic scheme is developed to propose a model that accounts for each of these experimental observations.

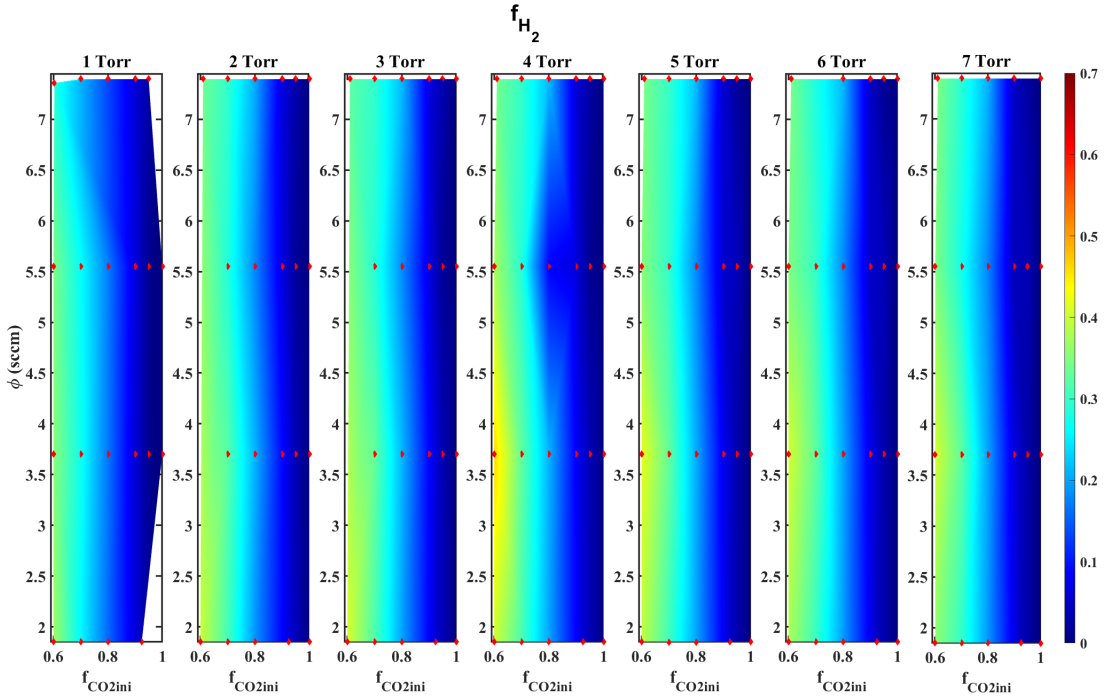


Figure 7: Evolution of the fraction of  $H_2$  in the measured conditions as a function of pressure, initial mixture and residence time

species	Wavelength (nm)	Emitting State	$A(s^{-1})$ [34]	$k_Q(m^3.s^{-1})$ averaged over all quenchers
Ar	750	2P1	$4.5 \times 10^7$	$7.6 \times 10^{-16}$ [32]
H	656	Alpha	$6.45 \times 10^7$	$2.5 \times 10^{-15}$ [35]
O	777	$^3P5P$	$3.69 \times 10^7$	$10.6 \times 10^{-16}$ [36]
O	844.6	$^3P3P$	$3.69 \times 10^7$	$9.4 \times 10^{-16}$ [37]

Table 2: Values of the coefficient used for actinometry calculations

## 4 Modelling

### 4.1 Overview of the LoKI simulation tool

Because the number of possible interactions in a  $CO_2-CH_4$  plasma is too large to draw a simple chemical scheme from the experimental results, the measurements done in the glow discharge are compared with a 0D kinetic model. The Lisbon Kinetic simulation tool (LoKI) is used both for solving the Boltzmann equation and for the 0D chemical solver [33, 38]. The solver takes as input an initial composition, a temperature, a pressure, an electron density and a guess value of the reduced electric field and computes a final composition and a reduced electric field. The functioning of this solver was previously detailed in [26] for similar work on pure  $CO_2$ . In a few words, after providing the working conditions of pressure and temperature (as well as a set of electron impact excitation cross-sections and chemistry rate coefficients), the EEDF is first computed by solving the Boltzmann equation using guess values of  $E/N$  and  $n_e$ . After, the rate balance equations are solved for all heavy species in the plasma:

$$\frac{\partial n_s}{\partial t} = \sum_i (C_{s,i} - D_{s,i})$$

where  $n_s$  is the density of the species  $s$ , and  $C_{s,i}$  and  $D_{s,i}$  are respectively the creation rate and destruction rate of species  $s$  in reaction  $i$ . The electron kinetics and chemistry solver are run iteratively so that the value of the  $E/N$  used ensures quasi-neutrality of the plasma. An additional loop ensures that the electron density provided matches the experimental current. At the end of the global cycles of LoKI, the current is recomputed from the electron density, the electron mobility (obtained from the Boltzmann solver) and the reduced electric field:

$$I = n_e * \pi r^2 * q * E/N * \mu_{red} \quad (6)$$

where  $I$  is the current,  $r$  the radius of the tube,  $q$  is the charge of the electron and  $\mu_{red}$  is the reduced mobility. In this work, a tolerance criterion of 1mA is chosen. If  $|I_{exp} - I_{sim}| > 1mA$ , the electron density is modified

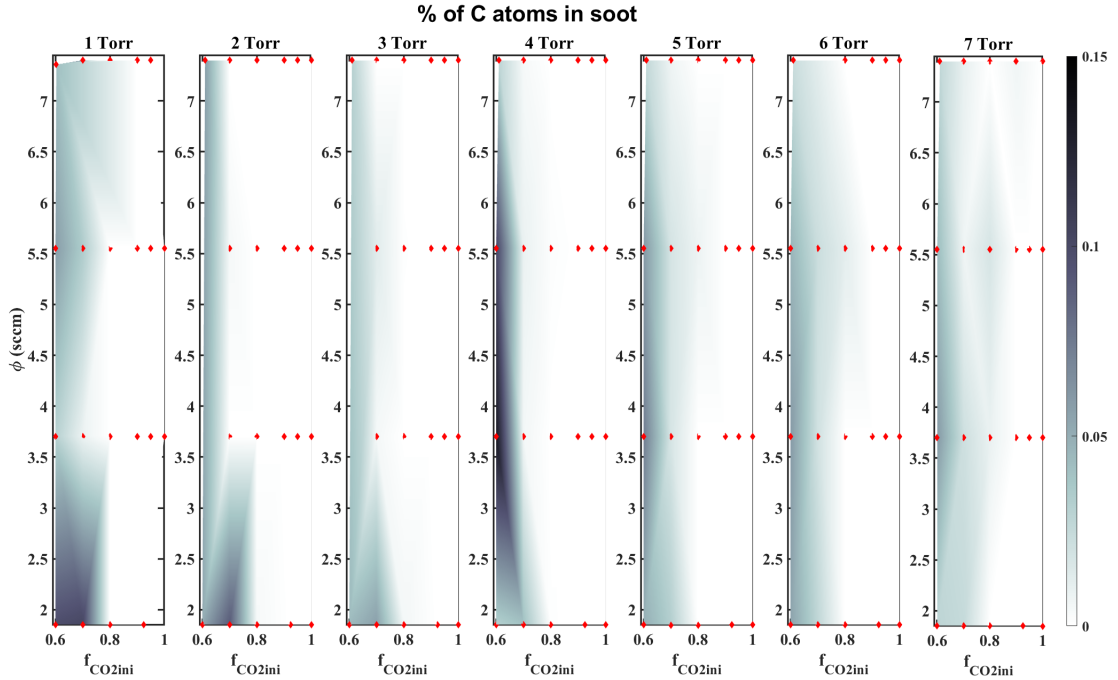


Figure 8: Fraction of C atoms lost in carbon deposition at the walls

and the whole process is run again. The simulation tools gives in the end a complete overview of the plasma parameters (EEDF, electron density, self-consistent reduced electric field and density of all the species included in the model) in the stationary state, as well as the evolution of densities between ignition of the plasma and steady state.

## 4.2 Resolution of the Boltzmann equation for the electron kinetics

The EEDF is computed by the Boltzmann solver of LoKI, which has already been extensively described ([33] and [38]). As  $\text{CO}_2$ ,  $\text{CO}$ ,  $\text{O}_2$ ,  $\text{CH}_4$ ,  $\text{H}_2$  and  $\text{H}_2\text{O}$  are the main products, it is assumed that the EEDF in the plasma can be well described by using only these molecules in the Boltzmann solver. It would not necessarily be an improvement to use more species, as they often do not have a set of complete and consistent cross-sections validated against the swarm parameters. Including other species in the EEDF would result in minimal change for the EEDF and would bring more uncertainty because of the validity of the sets employed.

The  $\text{CO}_2$ ,  $\text{CO}$ ,  $\text{O}_2$  and  $\text{O}$  sets of cross-sections were taken from [26], whose work in pure  $\text{CO}_2$  serves as basis for the kinetic scheme presented in this work. The cross-sections sets used in [26] were taken from the IST Lisbon database on LXCat. For vibrational processes, the molecules are assumed to follow a Boltzmann distribution at  $T_{\text{vib}}=T_{\text{gas}}$ . The various processes included in the sets on the IST-Lisbon LXCat sets were described in [39] for  $\text{CO}_2$ , in [40] and in [41] for  $\text{O}_2$  and  $\text{O}$  and in [42] for  $\text{CO}$ . For the computation of the EEDF, elastic collisions, electronic excitation, vibrational excitation and dissociation are included in the sets. The water cross-sections are taken from [43], where a set of complete and consistent  $\text{H}_2\text{O}$  cross-sections validated against the swarm parameters was made publicly available for the first time. The  $\text{CH}_4$  cross-section are taken from [44]. Two complete and consistent sets of cross-section validated against swarm parameters are available for  $\text{CH}_4$  to our knowledge: [45] (IST Lisbon database) and [44] (Community database). The choice of [44] was made for several reasons: first, this sets includes more dissociation cross-sections of  $\text{CH}_4$ , which in turn will give a better picture of the chemistry. Second, this set does not include any fitted cross-section (cross-section gathering several unknown processes fitted to match the swarm parameters). This avoids mixing two reactions in the same cross-section, making it easier to reuse the same set for the chemistry part.

## 4.3 Chemical kinetic scheme

The chemistry set is an input of the chemistry solver, independent of the input of the sets of cross-sections provided for the computation of the EEDF. It consists in a set of reactions along with their rate coefficients (which can be temperature dependent). Two types of reactions can be included: reactions involving electrons whose rate coefficient are calculated from the EEDF and provided cross-sections, and chemical reactions whose

	neutral species	ions	excited states
Pure CO <sub>2</sub> case (validated in [26])	CO <sub>2</sub> , CO, O <sub>2</sub> , O	CO <sub>2</sub> <sup>+</sup> , CO <sup>+</sup> , O <sub>2</sub> <sup>+</sup> , O <sup>+</sup> , O <sup>-</sup>	CO(a <sup>3</sup> P), O( <sup>1</sup> D), O2(b <sup>1</sup> S <sub>g</sub> <sup>+</sup> ), O2(a <sup>1</sup> D <sub>g</sub> )
Species added in the simplified CO <sub>2</sub> -CH <sub>4</sub>	CH <sub>4</sub> , CH <sub>3</sub> , CH <sub>2</sub> , CH, H <sub>2</sub> , H, OH, H <sub>2</sub> O, HCO, CH <sub>2</sub> O	CH <sub>4</sub> <sup>+</sup> , CH <sub>5</sub> <sup>+</sup> , CH <sub>3</sub> <sup>+</sup> , CH <sub>2</sub> <sup>+</sup> , CH <sup>+</sup> , H <sub>2</sub> <sup>+</sup> , H <sup>+</sup> , H <sub>3</sub> <sup>+</sup>	

Table 3: List of species included in the chemistry scheme of the model

rate coefficients must be provided.

The pure CO<sub>2</sub> part of the chemical kinetic scheme, which was previously developed in [26], was completed with CH<sub>4</sub> and by-products reactions. Because it was found experimentally that the molecules with two carbon atoms are only a minor product of the plasma, the C<sub>2</sub>H<sub>γ</sub> molecules were neglected to limit the complexity of the model and minimize the errors made by adding unessential reactions with uncertain rates. The list of species included in the model are presented in table 3. In [26], the vibrational chemistries of CO<sub>2</sub>, CO and O<sub>2</sub> were taken into account for the computation of the EEDF but not for the chemical part (the rates of vibrational excitation were simply not included in the chemistry solver), which still yielded good agreement between experimental dissociation and simulated one. The same choice is done in this work and is applied to CH<sub>4</sub> and water, whose vibrational excitation is removed from the chemistry set. Several reasons justify this choice. First, measurements of the vibrational excitation of CO<sub>2</sub> and CO in a CO<sub>2</sub>-CH<sub>4</sub> discharge show that CO and CO<sub>2</sub> vibrational excitations are very efficiently quenched by CH<sub>4</sub> and its by-products, leading to vibrational temperatures lower in CO<sub>2</sub>-CH<sub>4</sub> than in pure CO<sub>2</sub>. Second, recent studies on the vibrational relaxation of CH<sub>4</sub> have shown that the VT processes take place on a very short time-scale ([46]), leading to think that no vibrational excitation of CH<sub>4</sub> can build-up in our plasma and that the population of vibrationally excited CH<sub>4</sub> in the plasma is negligible. Third, the rates coefficients of VV and VT processes between vibrationally excited CO<sub>2</sub> or CO and the various by-products of CO<sub>2</sub>-CH<sub>4</sub> mixtures are very poorly known, with values in literature ranging over several orders of magnitude ([47, 48]), making it difficult to accurately simulate the populations of the various levels. Note that a model accounting for the vibrational kinetics of CO<sub>2</sub> and CO was recently presented in [49].

For the CO<sub>2</sub>-CH<sub>4</sub> chemistry including only single-carbon molecules, the added chemistry totalizes 140 neutral-neutral reactions, 40 electron impact reactions and 40 ion-neutral reactions. All the reaction rates coefficient with their sources are given in the supporting information. Most of the rate coefficients are taken from the NIST chemical kinetic database for the neutral species chemical reactions or from the UMIST database for the ionic reactions. When possible, rate coefficients whose original temperature range matched the present temperature range were privileged. However, because of unavailability of a rate coefficient in the correct temperature range, many reactions use rate coefficients out of the temperature ranges given in their original literature. Most of the ions were included because they could be obtained straight from electron impact on one of the major molecules of the plasma (CH<sub>4</sub>, H<sub>2</sub> or H<sub>2</sub>O). CH<sup>+</sup>, H<sup>3+</sup> and CH<sub>5</sub><sup>+</sup> were believed to play an important role in the plasma according to previous CO<sub>2</sub>-CH<sub>4</sub> modelling in literature ([22]). The kinetic scheme also includes inflow and outflow reaction as done is [26].

#### 4.4 Diffusion and Recombination of atomic species at the walls

In the model, the diffusion and recombination of atomic species at the wall is taken into account. The recombination of atomic O into O<sub>2</sub> and of atomic H into H<sub>2</sub> are considered using the reactions :



The characteristic loss frequency of a species  $\nu_s$  at the wall is given by

$$\nu_s = \frac{\Lambda^2}{D_s} + \frac{V}{A} * \frac{4 - 2\gamma_s}{\gamma_s v_{th_s}} \quad (9)$$

where V/A is the ratio of the reactor's volume over area,  $v_{th}$  the thermal velocity,  $\gamma_s$  the probability of loss,  $\Lambda$  the characteristic diffusion length and D the diffusion coefficient [50]. In a cylindrical reactor of length L and radius R,  $\Lambda$  is given by

$$\frac{1}{\Lambda^2} = \left(\frac{2.405}{R}\right)^2 + \left(\frac{\pi}{L}\right)^2 \quad (10)$$

$$\sim \left(\frac{2.405}{R}\right)^2 \text{ if } L \gg R \quad (11)$$

D is the diffusion coefficient computed according to [51]. One of the hypothesis of this model is the value of the atomic recombination probability. For Oxygen, the recombination has arbitrarily been chosen equal to the one in pure CO<sub>2</sub> at 2 Torr ( $\gamma_v=10^{-4}$ ), measured in [32]. However, the oxygen recombination probability has a limited impact in the calculation because the atomic oxygen density is expected to be negligible for cases with an initial CH<sub>4</sub> content higher than 10%. The recombination of H atoms at the wall is expected to be more important, though only a small amount of H is present in the plasma. Few works in literature are dedicated to the H recombination on a pyrex wall but the atomic H is expected to be very short-lived due to very fast recombination at the wall. In [52], the loss probability of atomic H in a quartz tube at pressures between 1 and 5 Torr is found to be one order of magnitude higher than the one for O measured in [32]. Previous calculations carried out in [53] support the hypothesis of a large recombination probability. The H recombination at the wall is therefore fixed one order of magnitude higher than the one of O<sub>2</sub>, with a  $\gamma_H$  at 0.002. The effect of the recombination probability is investigated further in section 5.5.

## 5 Comparison of modeling and experimental results

### 5.1 General Comparison

It is shown *a posteriori* by the model that the composition *in situ* is very close to the composition downstream due to limited reactions in the post-discharge. This is due to the low density of reactive species (such as radicals or excited states) in the plasma. We therefore compare *in situ* simulations and downstream measurements.

A set of reactions concerning all the species from table 3 was assembled and is given in the supplementary information. The model was first implemented using only rate coefficients from literature. The validation of the kinetic scheme proposed here is done through comparison of the measured quantities from the plasma (E/N and densities of the main species) with the simulated ones. The charged particles part of the schemes is assessed first through comparison of the measured E/N (with the electric field from the pins and the gas temperature from the OES) with the E/N calculated self-consistently (from the quasi-neutrality cycle) in LoKI. This comparison allows to validate the kinetics of charged particles as well as the transport theory used. The electron kinetics part of the scheme is assessed first through comparison of the measured E/N (with the electric field from the pins and the gas temperature from the OES) with the E/N calculated self-consistently (from the quasi-neutrality cycle) in LoKI. It is the most direct way to check the good description of the electron kinetics in our model.

The reduced electric field from LoKI is compared to the experimental one on the first graph of figure 9 for a pressure variation at a flow of 1.85 sccm and in a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture. The simulation clearly shows a trend not visible in the experiment: the simulated E/N increases with decreasing pressure while the experimental one stays steady. The experiment-simulation difference is about 8 Td at 7 Torr but it increases as the pressure decreases, reaching 17 Td at 2 Torr. The gap at 1 Torr is about 25 Td and the insights obtained from the model at this pressure should therefore be taken with caution. Several hypothesis could explain this inconsistency. For example, if the diffusion of the charges to the wall at low pressure is not well accounted for, the global charge could be ill-estimated. The choice of the diffusion scheme made for the charge diffusion to the wall (effective ambipolar diffusion as in [26]) could hence increase the electric field, which is likely to be the main reason of the difference. In order to improve the results related to the reduced electric field, future work can be dedicated to the study of different transport theories presented in [54]. However, surface phenomenon could also play a role in the inaccuracy of the E/N. Indeed, surface processes become very important at 1 Torr [32] and unaccounted processes could affect the chemistry. Because of the relatively large discrepancy in the computed reduced E field, the case of 1 Torr will not be discussed in the next section.

The second graph of figure 9 shows the electron density variation. The electron density increases with pressure but in a limited range. Finally, the 3rd graph of figure 9 shows the simulated ion densities normalized by the electron density. Over the whole pressure range, the main ion is CH<sub>4</sub><sup>+</sup>, as opposed to what can be found in literature (which is discussed in section 5.4), and accounts for almost 99% of the electron density. The O<sup>-</sup> ion, the only negative ion included in the model, only makes up for 0.1-0.5% of the electron density, which confirms that the plasma can be considered electropositive and that the effective ambipolar diffusion scheme can be applied [26]. The relatively good agreement between the experimental and simulated E/N above 1 Torr ensures a proper description of the electron kinetics in this range which gives confidence in looking now at the densities of the main neutral species.

The main species (CO<sub>2</sub>, CO, CH<sub>4</sub>, H<sub>2</sub> and H<sub>2</sub>O) are compared in the same condition (1.85 sccm and 5 Torr with a mixture variation) on figure 10. The first graph on the left shows the CO and CO<sub>2</sub> densities, simulated in dashed lines and experimental in plain lines. The two species are well predicted both in trends and value. On the second graph, there is an overall fair agreement between model and experiment for the densities of

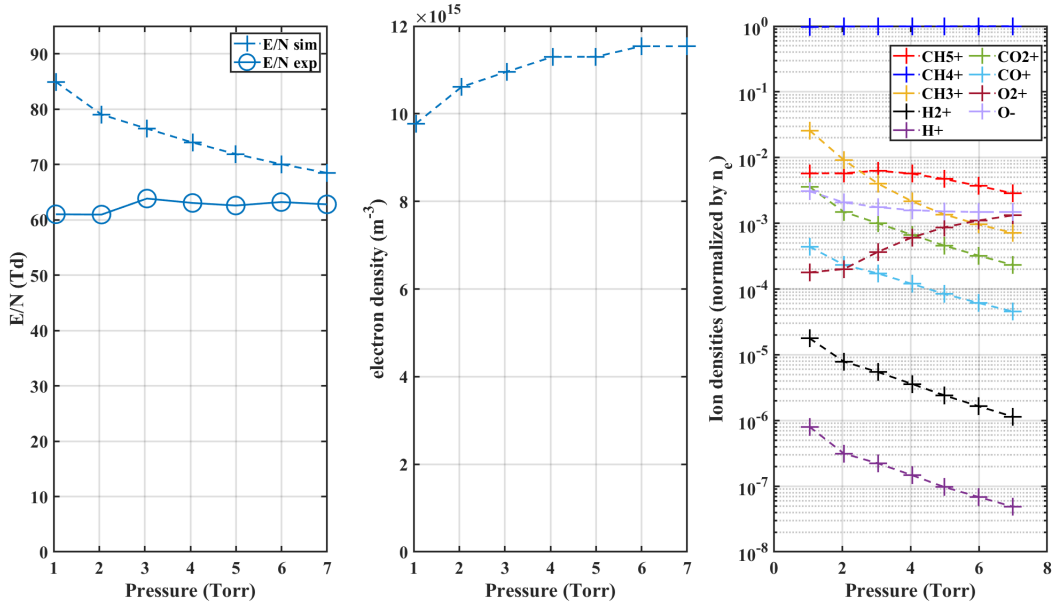


Figure 9: Electron kinetics for a pressure variation at 1.85 sccm in a 60:40  $\text{CO}_2:\text{CH}_4$  mixture. The simulated and experimental reduced electric field are compared on the first graph. The evolution of the electron density and ion densities are plotted next. The simulation is plotted in dashed lines and the experiment in plain lines

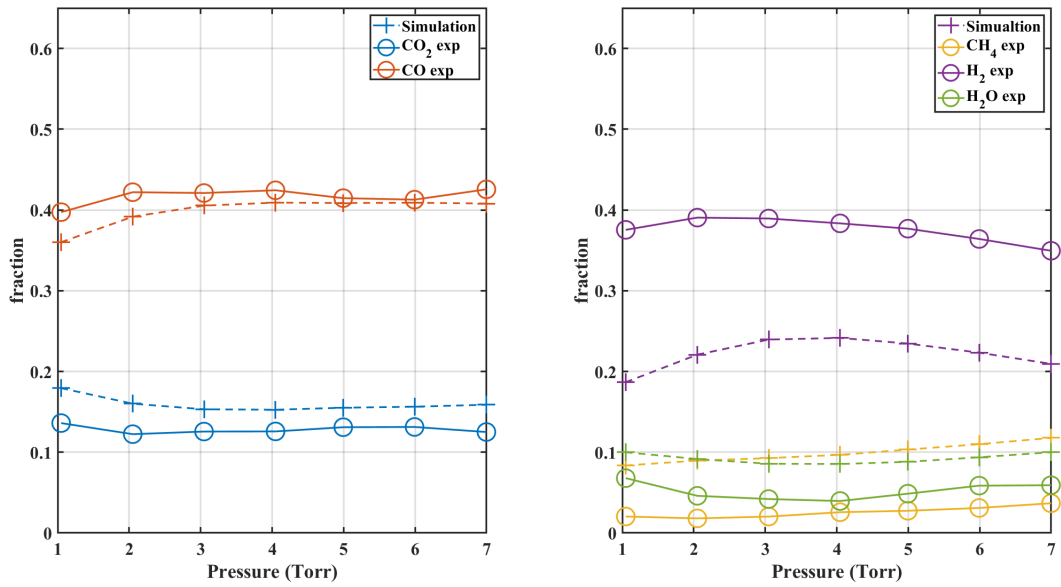


Figure 10: Comparison of the simulation and the experiment for a pressure variation at 1.85 sccm in a 60:40  $\text{CO}_2:\text{CH}_4$  mixture. The simulation is plotted in dashed lines and the experiment in plain lines

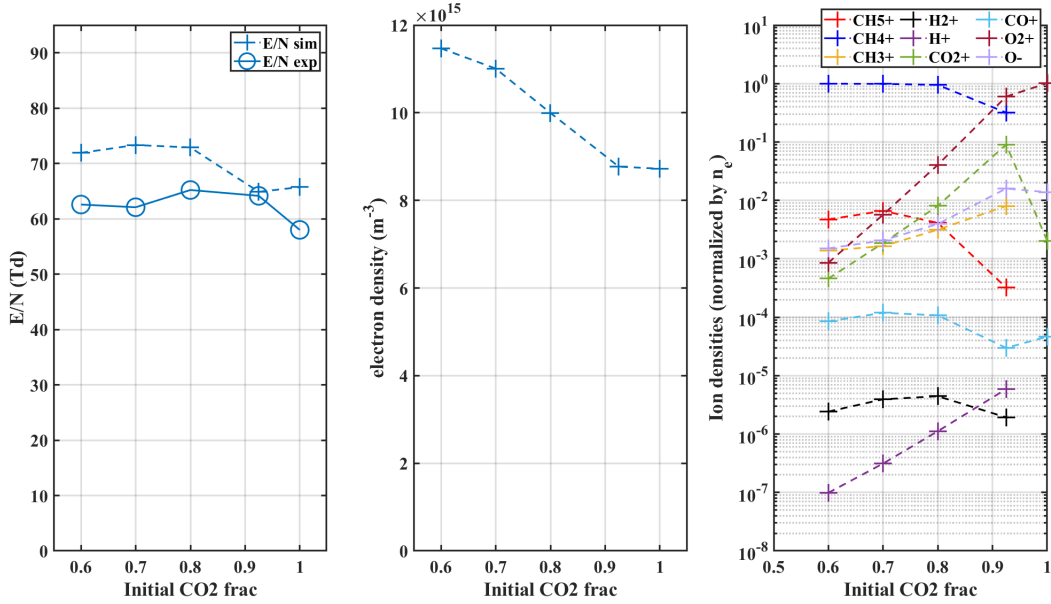


Figure 11: Electron kinetics for a mixture variation at 1.85 sccm and 5 Torr. The simulated and experimental reduced electric field are compared on the first graph. The evolution of the electron density and ion densities are plotted next. The simulation is plotted in dashed lines and the experiment in plain lines

the other species, but several discrepancies are worth pointing out. The calculated  $\text{CH}_4$  follows the same trend as the experimental  $\text{CH}_4$ , but is too high by a factor close to 3 on the whole pressure range. The  $\text{CH}_4$  dissociation is underestimated in the model. The  $\text{H}_2$  simulated density (in purple) shows a trend different from the experiment: though they both go through a maximum, it happens at 2 Torr in the experiment while it is shifted at 4 Torr in the simulation. The simulated values of  $\text{H}_2$  fraction are very far from the experimental ones, with the simulations being too low by a factor 1.5 (with a peak value at 24% versus 37% in the experiment). Finally the simulated water density (in green) shows a good trend but is overestimated by a factor 2. This is surprising as an under-dissociation of  $\text{CH}_4$  would predictably leave less hydrogen available to form water.

The following figure 11 shows the comparison between experiment and simulation for a mixture variation: the flow is kept at 1.85 sccm and the pressure is fixed at 5 Torr (where  $|E/N_{sim} - E/N_{exp}| \sim 8$  Td). This time, the agreement of the calculated self-consistent  $E/N$  compares well with the experimental one, keeping on the whole range of explored mixtures  $|E/N_{sim} - E/N_{exp}| < 12$  Td. The electron density is plotted on the second graph of figure 11 and increases with initial  $\text{CH}_4$  content. The electron density does not vary much between the 95:5 and the 100:0  $\text{CO}_2:\text{CH}_4$ , just like the simulated reduced electric field. The main ion,  $\text{O}_2^+$  in pure  $\text{CO}_2$ , quickly changes to  $\text{CH}_4^+$  upon admixture of  $\text{CH}_4$ : at 5% of  $\text{CH}_4$  in the initial mixture,  $\text{CH}_4^+$  already accounts for 30% of the total ion density, and rises to close to 100% in the 80:20 mixture. This is likely due to the difference in ionization energy of the molecules. The ionization energy of  $\text{CO}_2$ ,  $\text{CH}_4$  and  $\text{O}_2$  are respectively  $\epsilon_{ionization}(\text{CO}_2) = 13.77$  eV,  $\epsilon_{ionization}(\text{CH}_4) = 12.6$  eV and  $\epsilon_{ionization}(\text{O}_2) = 12.06$  eV. In pure  $\text{CO}_2$  plasmas,  $\text{O}_2$  is the easiest molecule to ionize. Its density however drops upon admixture of  $\text{CH}_4$  in the plasma, as seen in section 3.3.  $\text{CH}_4$  then becomes the easiest molecule to ionize, making  $\text{CH}_4^+$  the main ion.

The densities of the main species are shown on figure 12. Just like for the pressure variation, the  $\text{CO}_2$  and  $\text{CO}$  are well reproduced, both in trends and in values. The peak in  $\text{CO}_2$  (and the corresponding deep in  $\text{CO}$ ), visible on the  $\text{CO}_2$  maps 4, are also reproduced. Concerning the hydrogenated species, the same conclusions are drawn as in pressure variation:  $\text{CH}_4$  is under-dissociated, with a density overestimated on the whole range by a factor 3, leading in turn to an underestimated  $\text{H}_2$  fraction. The  $\text{H}_2$  fraction is underestimated by a factor 1.5. Once again, the water trend is reproduced, going through a maximum at 80:20  $\text{CO}_2:\text{CH}_4$  in both the simulation and the experiment, but the simulated values are overestimated.

The simulated  $\text{CO}$  and  $\text{CO}_2$  show relatively good agreement with the experimental values both in pressure and initial mixture variations, but the hydrogenated species are not well reproduced. The underdissociation of  $\text{CH}_4$  appears to be the reason of the underestimation of  $\text{H}_2$ . The possible causes of the under-dissociation of  $\text{CH}_4$  are investigated next in 5.2.



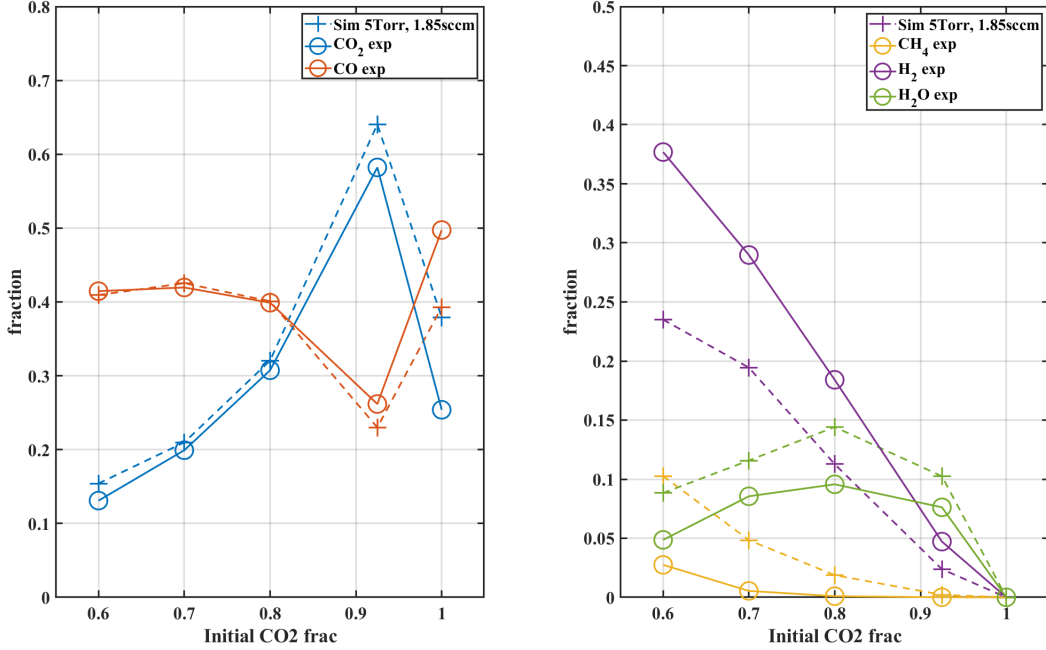


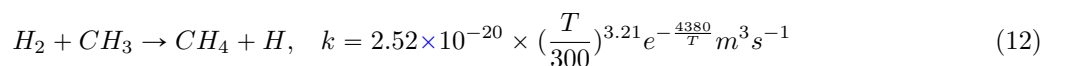
Figure 12: Comparison of the simulation and the experiment for a mixture variation at 1.85 sccm, 5 Torr. The simulation is plotted in dashed lines and the experiment in plain lines

## 5.2 Effect of CH<sub>4</sub> dissociation cross-section

As mentioned earlier, electron impact is one of the main dissociation process of CO<sub>2</sub> and CH<sub>4</sub> in our plasma. Because the simulated density of CH<sub>4</sub> is constantly overestimated, the hypothesis that the electron impact dissociation cross-section of CH<sub>4</sub> through the channels  $e + CH_4 \rightarrow e + CH_3 + H$  and  $e + CH_4 \rightarrow e + CH_2 + H_2$  could be underestimated arose. The dissociation cross-section for these two channels is taken from [44] where they are not measured directly but estimated from total dissociation cross-section and branching ratios. Though the cross-sections eventually chosen in [44] are the best fit for the validation against the swarm parameters, some experimental values of these cross-sections available in literature do show a factor of 2 compared to the one used in our range of interest ( $\epsilon < 15$  eV) [55]. The CH<sub>4</sub> dissociation cross-sections were therefore kept as such for the computation of the EEDF (to keep a set of cross-sections validated against swarm parameters) but the corresponding dissociation rate coefficients were multiplied by a factor 2 when used in the chemistry part. This of course introduces an inconsistency between the Boltzmann solver and the chemistry solver but this has already been proved to be a good solution in some cases, for example to describe CO<sub>2</sub> dissociation [39]. The effect of this change on the calculation of the E/N, not shown here, is very minor. The effect of the doubling of CH<sub>4</sub> dissociation rate coefficient on the chemistry is shown on figure 13. The CO<sub>2</sub> and CO are only minorly modified by this change. The trends of the hydrogenated species are still reproduced for all conditions and the values are slightly improved by the doubling of the cross-section: the CH<sub>4</sub> percentage, previously at 11% in the 60:40 CO<sub>2</sub>:CH<sub>4</sub> case, decreases to 9% (leaving still a factor  $\sim 3$  compared to the experimental value). In the same mixture, the H<sub>2</sub> goes from 23% without the doubling to 26% with, thus improving, while remaining far from the experimental 38%. Finally, still in the same mixture, the water density sees a minor improvement, going from 8.8% to 8%. The impact of doubling the CH<sub>4</sub> cross-section is therefore positive but still very limited. However, given the improvement and given that a factor 2 correspond to the upper values available in literature for CH<sub>4</sub> dissociation cross-sections, this change is kept in the model for the rest of this work.

## 5.3 Role of the O(<sup>1</sup>D) state in limiting CH<sub>4</sub> back reaction

The previous subsection proved that the overestimation of CH<sub>4</sub> and underestimation of H<sub>2</sub> were not explained only by an underestimation of the CH<sub>4</sub> electron impact dissociation. The problem can therefore be taken the other way around: the back-reaction of H<sub>2</sub> could reform CH<sub>4</sub>, lowering the H<sub>2</sub> density and increasing the CH<sub>4</sub> density. A back-reaction mechanism indeed exists and is taken into account in the model



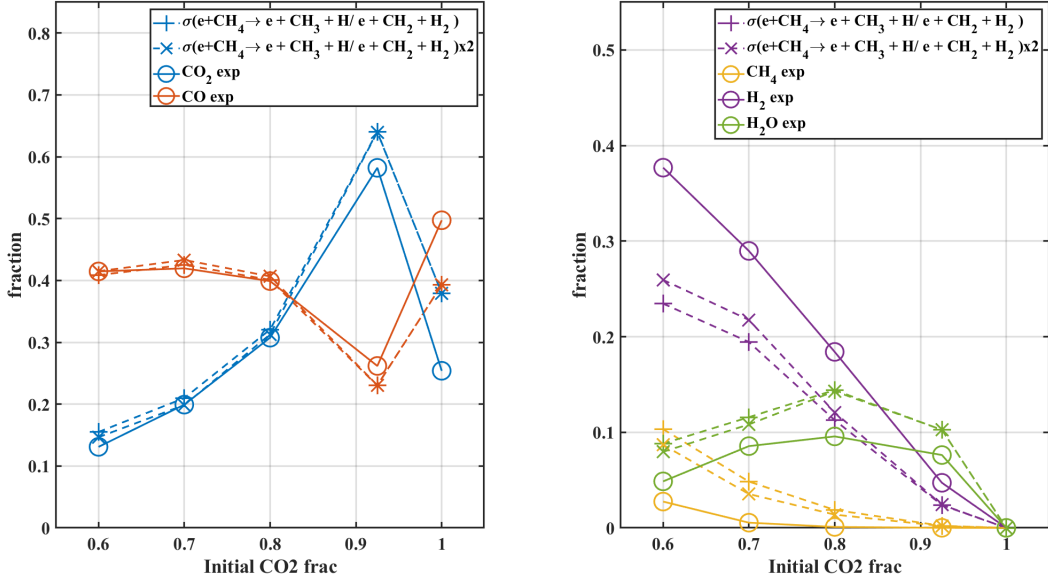


Figure 13: Study of the effect of the doubling of the  $\text{CH}_4$  dissociation cross-section (taken from [44]) on the main products fractions at 1.85 sccm, 5 Torr. The experiment is plotted in plain lines, the simulation using the cross-section from [44] is plotted in dashed lines with + markers and the simulation with the cross-section doubled in dashed lines with x markers.

In the current reaction scheme, this reaction 12 is the main cause of formation of  $\text{CH}_4$ . The value of the rate coefficient is taken from [56] (given for the 300-2500K range), but other values given in [57] or [58] are of the same order of magnitude. All the other values for this reaction available on the NIST kinetic database are higher, sometimes by a factor 100. The 3 values quoted here are then at the bottom of the range of available rate coefficients. It is therefore unlikely that the back-reaction rate is overestimated. This could mean the reactants should be consumed in another reaction at a higher rate (presumably  $\text{CH}_3$ , because  $\text{H}_2$  is underestimated in the model). The possibility of the reaction forming  $\text{C}_2\text{H}_6$  from  $\text{CH}_3$  ( $\text{CH}_3 + \text{CH}_3 \rightarrow \text{C}_2\text{H}_6$ ) arises. However, the density of  $\text{C}_2\text{H}_6$  that would have to be formed to obtain a good agreement on the  $\text{CH}_4$  would add up to about 2.5% of the gas density, which would be detected with the FTIR measurement and this is not the case. Though the formation of  $\text{C}_2\text{H}_6$  could contribute to a better agreement between model and experiment, it does not seem to be the mechanism involving  $\text{CH}_3$  that would prevent the back reaction.

It was noted that the  $\text{O}(^1\text{D})$  excited state of atomic oxygen plays an important role in the plasma via reactions of  $\text{O}(^1\text{D})$  with  $\text{CH}_4$ ,  $\text{H}_2$  and  $\text{H}_2\text{O}$  (which are discussed in section 6). For example, the production of  $\text{OH}$  is partly due to



The rate coefficients of these reactions are usually several orders of magnitude higher than the rate coefficients of the same processes with the  $\text{O}(^3\text{P})$  ground state (see table 4). We could not find in the literature any rate coefficient for the interaction of  $\text{CH}_3$  with  $\text{O}(^1\text{D})$  and this reaction was not included in our kinetic scheme so far. As a test to assess the sensitivity of the results to a possible stronger oxidation of  $\text{CH}_3$ , one process was added to the kinetic scheme:



Its counterpart exists for the ground state  $\text{O}(^3\text{P})$ . This process is very likely to occur in our plasma, because both  $\text{CH}_3$  and  $\text{O}(^1\text{D})$  are direct dissociation fragments of the two input gases:



These two channels are the most probable dissociation channels for  $\text{CO}_2$  and  $\text{CH}_4$  in our plasma. Additionally, the transition  $\text{O}(^1\text{D}) \rightarrow \text{O}(^3\text{P})$  is forbidden, making the  $\text{O}(^1\text{D})$  a metastable species. The reaction (14) could therefore very well happen, but to the best of our knowledge, its rate is unknown. The next figure 14 shows the influence of process (14) on the chemistry with different values of the rate coefficient, varied between  $k_{14}=1 \times 10^{-16} \text{ m}^3\text{s}^{-1}$  and  $k_{14}=1 \times 10^{-13} \text{ m}^3\text{s}^{-1}$ . The lower value  $k_{14}=1 \times 10^{-16} \text{ m}^3\text{s}^{-1}$  is the order of magnitude of the same process with  $\text{O}(^3\text{P})$  at 600K. Because of the electronic energy of the  $\text{O}(^1\text{D})$  state ( $\sim 1\text{eV}$ ), the rate

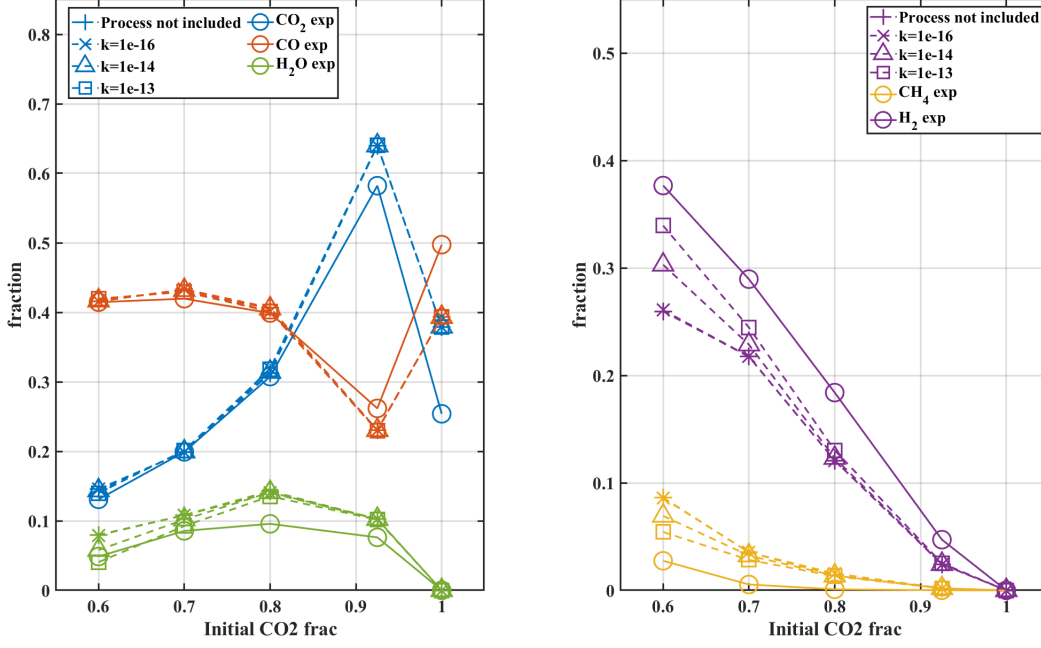


Figure 14: Study of the effect of the addition of process (14) on the main products fractions at 1.85 sccm, 5 Torr with different rate coefficient in  $\text{m}^3\text{s}^{-1}$ . The simulation is plotted in dashed lines and the experiment in plain lines

Process	Value with $\text{O}(^3\text{P})$	at 300K	at 600k	value with $\text{O}(^1\text{D})$
$\text{CH}_4 + \text{O} \rightarrow \text{CH}_3 + \text{OH}$	$2.26 \times 10^{-18} \times \left(\frac{T}{300}\right)^{2.2} \exp\left(\frac{-3819}{T}\right)$	$6.69 \times 10^{-24}$	$1.79 \times 10^{-20}$	$1.35 \times 10^{-16}$
$\text{H}_2 + \text{O} \rightarrow \text{OH} + \text{H}$	$3.4 \times 10^{-19} \times \left(\frac{T}{300}\right)^{2.67} \exp\left(\frac{-3159}{T}\right)$	$9.08 \times 10^{-24}$	$1.12 \times 10^{-20}$	$1.2 \times 10^{-16}$
$\text{H}_2\text{O} + \text{O} \rightarrow \text{OH} + \text{OH}$	$1.84 \times 10^{-17} \times \left(\frac{T}{300}\right)^{0.95} \exp\left(\frac{-8570}{T}\right)$	$7.25 \times 10^{-30}$	$2.23 \times 10^{-23}$	$2 \times 10^{-16}$

Table 4: Comparison of the rates coefficients (in  $\text{m}^3\text{s}^{-1}$ ) of the reaction involving  $\text{O}(^3\text{P})$  and  $\text{O}(^1\text{D})$  available in literature. The source of the rates coefficients are available in supplementary information

coefficient  $k_{14}$  is expected to be higher than the one for  $\text{O}(^3\text{P})$ . The various simulations are plotted in dashed lines and the experiment is in plain lines. In this plot, due to the great number of curves overlapping, the water fraction was plotted with the CO and  $\text{CO}_2$  fractions. First, the  $\text{CO}_2$  and CO remain unchanged by this new process, and both trends and values are well reproduced. Looking at the  $\text{CH}_4$  in yellow, the simulated fractions are significantly improved by the addition of the new process. In the case of the 60:40  $\text{CO}_2:\text{CH}_4$  mixture, a very minor difference is seen on the  $\text{CH}_4$  fraction (of the order of 0.04%, from 8.63% to 8.59%) for  $k=1 \times 10^{-16} \text{m}^3\text{s}^{-1}$ . In this same mixture, increasing  $k_{14}$  to  $10^{-14} \text{m}^3\text{s}^{-1}$  decreases the  $\text{CH}_4$  fraction to 6.9%. Finally, adding one extra orders of magnitude ( $k_{14} = 10^{-13} \text{m}^3\text{s}^{-1}$ ) bring the  $\text{CH}_4$  fraction down to 5.4%. This value is still far from the 2.8% of  $\text{CH}_4$  measured experimentally, but this process, depending on its rate, allows to greatly improve the predicted  $\text{CH}_4$  fraction. The amelioration can be seen for all mixtures but with a lesser importance. Similarly, in a 60:40  $\text{CO}_2:\text{CH}_4$  mixture, the  $\text{H}_2$  fraction is also increased with the rate of 14: the value without process 14 (26%) is raised to 30% with  $k_{14} = 10^{-14} \text{m}^3\text{s}^{-1}$  and to 34% with  $k_{14} = 10^{-13} \text{m}^3\text{s}^{-1}$ , not so far from the experimental 37%. The amelioration is also here seen for all percentages. Finally, looking at the water fraction at high  $\text{CH}_4$  initial percentage (60:40  $\text{CO}_2:\text{CH}_4$ ), the simulated initial value (7.9%) is improved with  $k_{14} = 10^{-14} \text{m}^3\text{s}^{-1}$  down to 5.7%, close to the experimental 4.8%. However when  $k$  is increased above  $10^{-14}$ , the water fraction is underestimated, dropping below the experimental value (with 4% for  $k_{14} = 10^{-13} \text{m}^3\text{s}^{-1}$ ). The 60:40 mixture is the only case where this is observed; in all other mixtures, increasing  $k$  brings experiment and simulation closer. It therefore appears that a high value of the rate coefficient is largely beneficial for the agreement of  $\text{CH}_4$  and  $\text{H}_2$ , and for the one of  $\text{H}_2\text{O}$  until a certain point, showing the interest of adding that process to the model. However, a reasonable value of the rate coefficient must be chosen. To this aim, the rate coefficients available in literature for reactions with  $\text{O}(^1\text{D})$  and  $\text{O}(^3\text{P})$  are compared in table 4. It appears that the rate coefficients of reactions involving  $\text{O}(^1\text{D})$  can be 2 to 4 orders of magnitude larger than the rate coefficients of the same reaction involving  $\text{O}(^3\text{P})$ . In our conditions, the gas kinetic rate coefficient for O and  $\text{CH}_3$  is of the order of  $10^{-15} \text{m}^3\text{s}^{-1}$  and should be the upper limit of the rate coefficient. However, because the

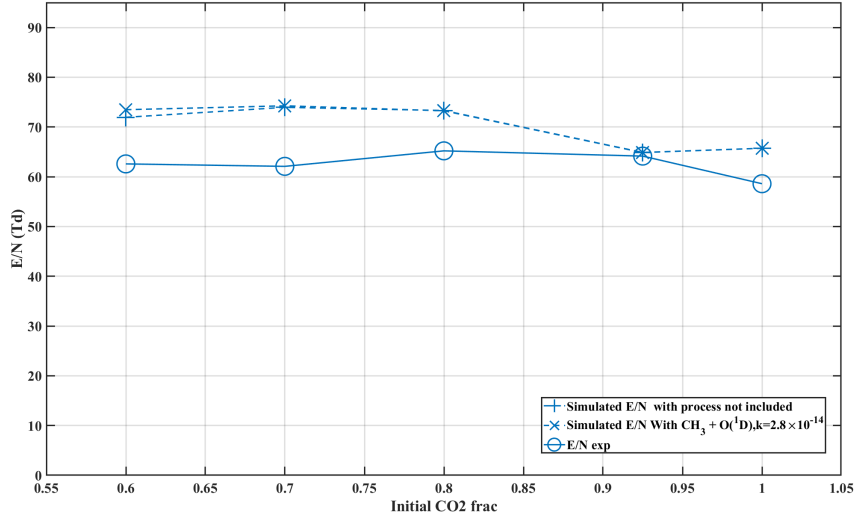
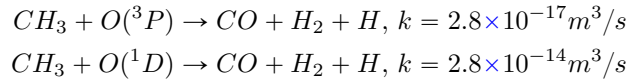


Figure 15: Comparison of the experimental and simulated reduced electric field (after inclusion of process 14 with the chosen rate coefficient) for an initial mixture variation at 1.85 sccm, 5 Torr. The experiment is in plain line and the simulation in dashed line

overall best agreement between modelling and experiment is obtained with a rate coefficient of  $10^{-14} \text{ m}^3\text{s}^{-1}$ , this value will be kept in the model despite being one order of magnitude higher than the gas kinetic rate. The high rate coefficient needed could suggest that the reaction (14) is an effective process, i.e a reaction grouping several processes. These other processes could involve other excited states like higher electronically excited O states or excited OH radicals. Process (14) is nevertheless kept in the model with the following rate:



This remains of course a rough approximation and further work is needed to determine the rate of this particular process and investigate the actual pathways of  $\text{CH}_3$  destruction.

This illustration of the possible importance of the  $\text{O}(^1D)$  state highlights the lack of data (even the absence!) for processes involving other electronically excited states.  $\text{O}(^1D)$  is one of the very few excited states for which rate coefficients can be found for the interaction with hydrogenated species. No rate coefficient can be found for instance for the reaction  $\text{C}_X\text{H}_Y + \text{CO}(a^3\Pi) \forall X\&Y$  despite the crucial role of  $\text{CO}(a^3\Pi)$  in pure  $\text{CO}_2$  plasmas put forward in [26]. The other excited states might also improve the model if properly taken into account.

It was verified that the addition of reaction (14) does not change the charge creation and the self-consistent E/N obtained in the simulations. The self-consistent reduced electric field at 1.85 sccm, 5 Torr is shown below on figure 15 along with the experimental reduced electric field. The agreement found earlier is maintained with the same gap:  $|E/N_{sim} - E/N_{exp}| < 12 \text{ Td}$ . The trend with pressure must also be verified. The following figure 16 shows the comparison of the main species fraction and the reduced electric field for experiment and simulation. First, looking at the third graph of figure 16 (showing the reduced electric field), it appears that the addition of process (14) and the doubling of the  $\text{CH}_4$  cross-section has only a minor impact on the electric field, which conserves a similar agreement as before. The divergence between experiment and simulation is still seen at low pressure. The 2 Torr measurement, which previously showed  $|E/N_{sim} - E/N_{exp}| \sim 20 \text{ Td}$  now shows a difference of 21 Td, showing the little impact overall. The fractions of  $\text{CO}_2$  and  $\text{CO}$ , shown on the first graph of figure 16 are in very good agreement, both in trend and values. The  $\text{CH}_4$  fraction is still overestimated by a factor slightly smaller than 2, but the trend is well reproduced and the gap only correspond to a few percent of the total gas density. The  $\text{H}_2$  results are now in much better agreement. The maximum, previously shifted to 4 Torr in the simulation is now at the same pressure as the experimental maximum, though the simulated values are still a bit lower than the experiment.

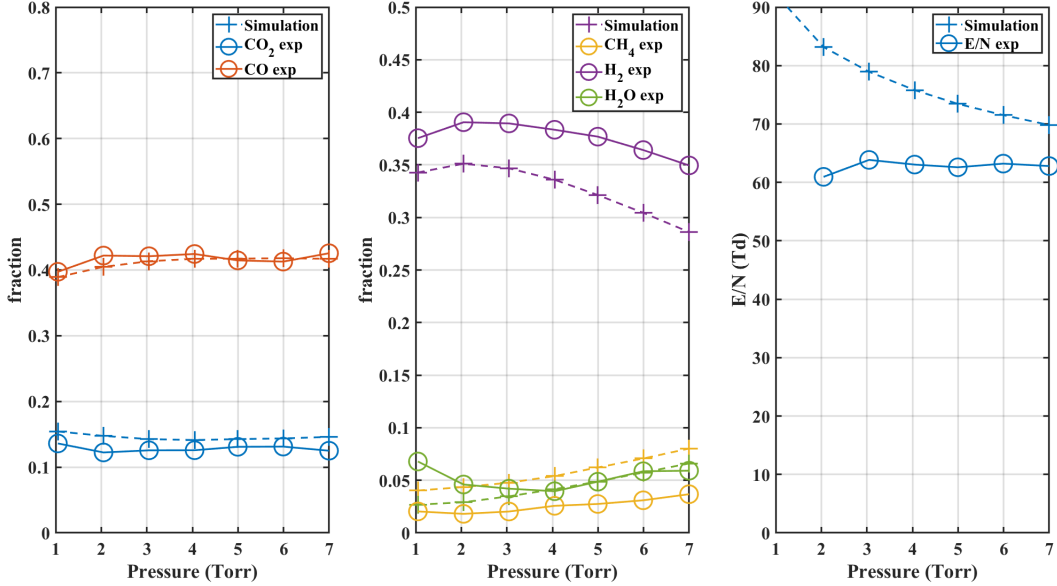


Figure 16: Comparison of experiment and simulation for the E/N and the main products densities (after inclusion of process14 with the chosen rate) for an pressure variation at 1.85 sccm in a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture. The experiment is in plain line and the simulation in dashed line

Reaction	Rate coefficient (m <sup>3</sup> s <sup>-1</sup> )	Reference
$CH_4^+ + CH_4 \rightarrow CH_5^+ + CH_3$	$1.5 \times 10^{-15}$	[59]
$CH_4^+ + H_2 \rightarrow CH_5^+ + H$	$4.89 \times 10^{-17} \times \frac{T}{300}^{-0.14} \times \exp(-36.1/T)$	[59]

Table 5: CH<sub>5</sub><sup>+</sup> main creation mechanism

## 5.4 Effect of the CH<sub>5</sub><sup>+</sup> ion

In the model presented here, CH<sub>4</sub><sup>+</sup> is the main ion when the initial proportion of CH<sub>4</sub> is higher than 10%. It is usually found in literature that CH<sub>5</sub><sup>+</sup> is the main ion, as shown in the simulations from both [20] and [22], where it is the most abundant ion in two different types of discharge. The main reaction forming CH<sub>5</sub><sup>+</sup> in these discharges are listed in the table 5 with their rate coefficients and were not included in the model so far. The effect of their addition is discussed here. The rate coefficients are taken from the UMIST database (like in [20] and [22]). The effect of the two reactions on the simulation with the present kinetic scheme has been tested and is shown on figure 17. Only a minor impact is seen on the plasma chemistry, not shown here: the fractions are shifted by 1%, in the right direction for CH<sub>4</sub> and H<sub>2</sub> but in the wrong direction for CO<sub>2</sub> and CO. They have however a strong effect on both the reduced electric field and the ion densities, which are shown on figure 17. On the first graph of figure 17, the experimental reduced electric field is plotted in plain line and the simulated one is in dashed line. The case where the two reactions of table 5 are included is shown with x marker, the case without is plotted with + markers. A significant improvement is seen when the reactions are removed, with an improvement of about 8~9 Td, reducing the gap between experiment and simulation to 11 Td in the 60:40 CO<sub>2</sub>:CH<sub>4</sub> (vs 20 Td when the reactions are included). The reason of this improvement probably lies in the very high value of the rate coefficients of these CH<sub>5</sub><sup>+</sup> production reactions. The rate coefficients for these reaction are much larger than the typical rate coefficients of reactions forming the CH<sub>5</sub><sup>+</sup> ion ([60, 61]), leading to a change of the main ion when they are added to the model, as visible on the second graph on figure 17: when the reactions are added (with x markers), CH<sub>5</sub><sup>+</sup> (in red) is the main ion whereas it only accounts for 1% of the ion density when the reactions are not here (with + markers). The CH<sub>4</sub> is first ionized forming CH<sub>4</sub><sup>+</sup>, followed by an efficient production of CH<sub>5</sub><sup>+</sup> (due to the high rate coefficient). However, CH<sub>5</sub><sup>+</sup> is much more easily destroyed than CH<sub>4</sub><sup>+</sup>. First the reduced mobility of the CH<sub>5</sub><sup>+</sup> ion is about 1.5 times higher than the one of CH<sub>4</sub><sup>+</sup> ([62]), leading to higher diffusion and loss to the wall. Moreover, the main CH<sub>5</sub><sup>+</sup> recombination reaction is



The rate coefficient of this reaction is one order of magnitude higher than the rate coefficient of any CH<sub>4</sub><sup>+</sup> recombination reaction. When the reactions of table 5 are included, charges are quickly transferred to CH<sub>5</sub><sup>+</sup> and lost. In turn, the reduced electric fields increases to ionize more CH<sub>4</sub> and compensate for the loss of CH<sub>5</sub><sup>+</sup>.

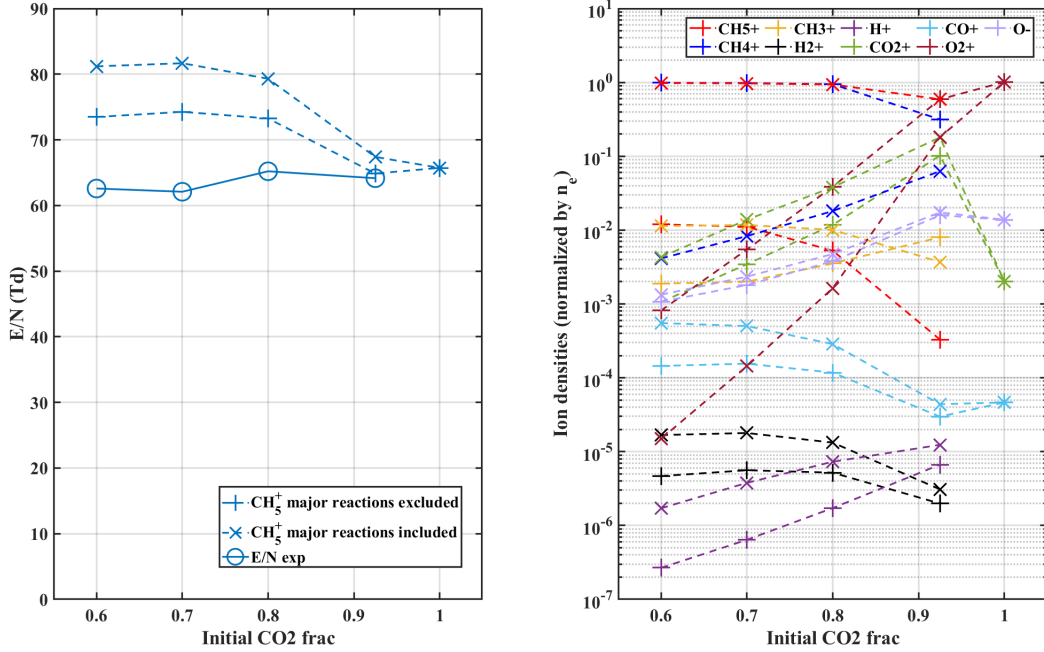


Figure 17: Effect of the  $\text{CH}_5^+$  production reactions of table 5 on the electron kinetics in the case of a initial mixture variation at 1.85 sccm and 5 Torr. The case where the reactions are included are plotted with x markers, the case where they are not included are plotted with + markers

The improvement of  $E/N$  when the reactions of table 5 are removed points toward an overestimation of the rates of creation of  $\text{CH}_5^+$ . The rates used here were originally determined in [59] by mass spectrometry. Several other measurements are available in literature, like an experimental determination, again by mass spectrometry, from [63] which gives a rate coefficient of approximately  $4 \times 10^{-16} \text{ m}^3 \text{ s}^{-1}$  for the first channel in our conditions (versus  $1.5 \times 10^{-15} \text{ m}^3 \text{ s}^{-1}$  in [59]). In [64], the rate of reaction  $\text{CH}_4^+ + \text{CD}_4$  was investigated by mass spectrometry between 80 and 300K and was found to be close to the value proposed by [59]. Because of the spread of the values and their impact on the reduced electric field and the dominant ion, it was decided not to include these reactions in the model. Though this choice has a limited impact on the plasma chemistry in our condition, it could be more important in other discharges where the ion have a stronger influence on the chemistry. For example, the reaction  $\text{CH}_5^+ + \text{C}_2\text{H}_6 \rightarrow \text{CH}_4 + \text{H}_2 + \text{C}_2\text{H}_5^+$  accounts for approximately 10% of the  $\text{CH}_4$  in the DBD of [20]. Further investigation is required to clear this particular point, such as measurements of the ion densities in the  $\text{CO}_2\text{-CH}_4$  discharge by mass spectroscopy.

## 5.5 Effect of H recombination

The H atoms in the plasma play an important role in the overall kinetics. Along with  $\text{CH}_3$ , they are the main dissociation product of  $\text{CH}_4$  and are therefore involved in many reactions. It is essential that they are correctly simulated. One of the main losses of H atom is the recombination of H into  $\text{H}_2$  at the walls. As mentioned in section 4.4, the wall recombination of H atoms in the plasma is estimated based on literature measurements. The H atom recombination is expected to be faster than the O atom recombination, giving an indication of the minimum value expected for the H recombination probability. The real value however remains uncertain. A study of the influence of the recombination probability of H atoms at the wall,  $\gamma_H$ , is presented on figure 18 as a function of pressure in 60:40  $\text{CO}_2\text{:CH}_4$  mixture at 1.85 sccm. The influence of  $\gamma_H$  on the reduced electric field and main products fractions is presented for  $\gamma_H$  ranging from  $10^{-4}$  (order of magnitude of the value chosen for O in pure  $\text{CO}_2$  plasmas) to  $10^{-1}$ . The influence on the reduced electric field is shown on the third plot of figure 18. The gap between experiment and simulation for  $E/N$  is increased with the value of the recombination probability. The difference between model and experiment however varies with pressure. The largest increase is seen at 4 Torr, where the value jumps from 71.5 Td for  $\gamma_H=10^{-4}$  to 76.5 Td for  $\gamma_H=0.1$ , farther from the experimental 63 Td. At other pressures, the increase in  $E/N$  is closer to 2~3 Td, always increasing the difference between experiment and simulation. As for the chemistry, presented on the first two graphs of figure 18, the effect of the increasing  $\gamma_H$  is non monotonous and depends very much on the pressure. For  $\text{H}_2$  between 2 and 7 Torr, using  $\gamma_H=10^{-2}$  always gives the highest  $\text{H}_2$  density, with the best agreement

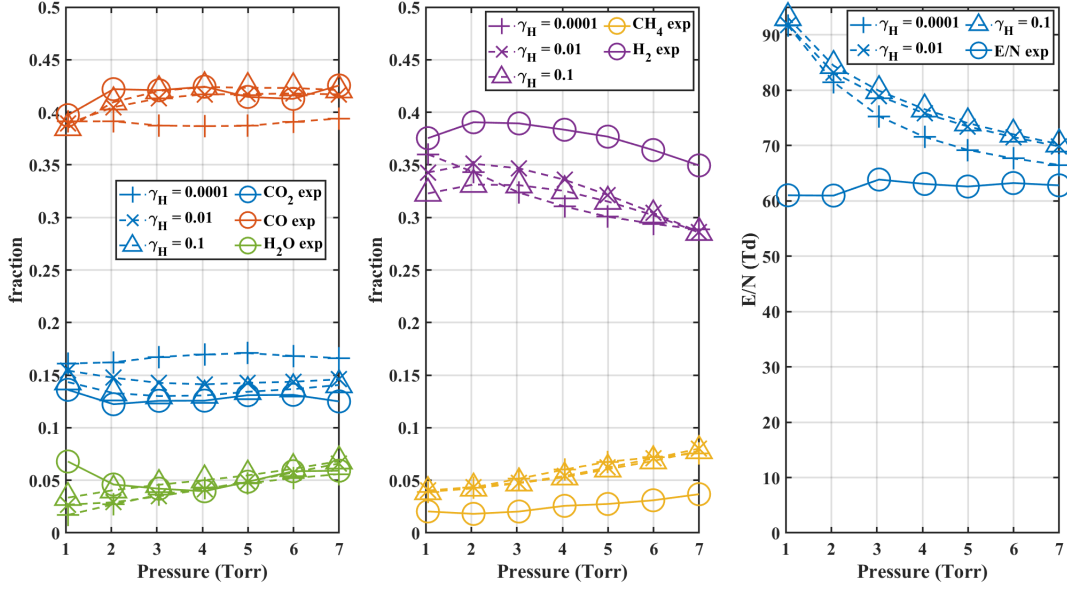


Figure 18: Study of the influence of the H wall recombination probability  $\gamma_H$  as a function of pressure in a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture at 1.85 sccm. The measurement are shown in plain line, the simulation is plotted in dash lines

with experimental H<sub>2</sub>. The ordering of the H<sub>2</sub> densities obtained with  $\gamma_H=10^{-1}$  is not very clear and changes with pressure. This is probably due to a balance between electron impact dissociation processes producing atomic H and the back-reaction mechanism (12) ( $CH_3 + H_2 \rightarrow CH_4 + H$ ). The increase of  $\gamma_H$  indeed increases the reduced electric field, in turn increasing electron impact dissociation of CH<sub>4</sub> and formation of atomic H through 15. H<sub>2</sub> formed by recombination of atomic H can react with CH<sub>3</sub> to reform CH<sub>4</sub>. A balance of these processes probably explains the observed evolution of the H<sub>2</sub> density with  $\gamma_H$ . It must also be noted that the experimental trend of H<sub>2</sub> (with a maximum at 2 Torr) is only reproduced for  $\gamma_H = 10^{-2}$  and  $\gamma_H = 10^{-1}$ . No clear trend can be established for the effect of the increase of  $\gamma_H$ , but it can be concluded that for all species showed here, the variation of  $\gamma_H$  over 4 orders of magnitude can change the fractions by  $\pm 4\%$ . The expected value of the recombination probability for Hydrogen is  $10^{-3}$ , value proposed in [52] for low pressure hydrogen microwave discharge, or  $10^{-2}$ , 10 to 100 times higher than O from values drawn from [32] for O.  $\gamma_H=10^{-2}$ , which allows capturing the maximum of H<sub>2</sub> at 2 Torr, is kept for the rest of this work. It must also be well noted that the wall recombination probability is expected to vary with pressure, as shown for O atoms in [32] where the  $\gamma_O$ , the recombination probability of atomic O in a O<sub>2</sub> plasma can vary by a factor 4.5 between 2 and 5 Torr. Keeping a constant value of  $\gamma_H$  with pressure is an approximation, which should be refined in further work.

## 5.6 Effect of the flow

So far, only the pressure and gas mixture variation have been investigated. The validity of the model for different flows must also be tested. The effect of the flow variation is shown on figure 19, where the flow is varied from 1.85 to 7.4 sccm at 5 Torr in a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture. Decreasing the flow increases the residence time of the gas in the plasma and therefore increases the energy input in the gas via electron impact reactions. It is therefore expected that decreasing the flow increases the dissociation for both CO<sub>2</sub> and CH<sub>4</sub>. This is observed on figure 19, where the fractions of CO<sub>2</sub> and CO show an almost linear trend with the flow. The evolution of the main hydrogenated species CH<sub>4</sub>, H<sub>2</sub> and H<sub>2</sub>O in the simulation generally reproduce the trends observed experimentally for all gas flows. The simulated values show however the same overestimation of CH<sub>4</sub> and underestimation of H<sub>2</sub>O as discussed before (up to a factor 2 for the CH<sub>4</sub> at low flow, as presented in the previous section). The lower flows emphasize more the role of the chemistry because the proportion of gas being renewed by incoming flow is lower. The rest of the discussion will therefore focus on the case with a total flow of 1.85 sccm only.

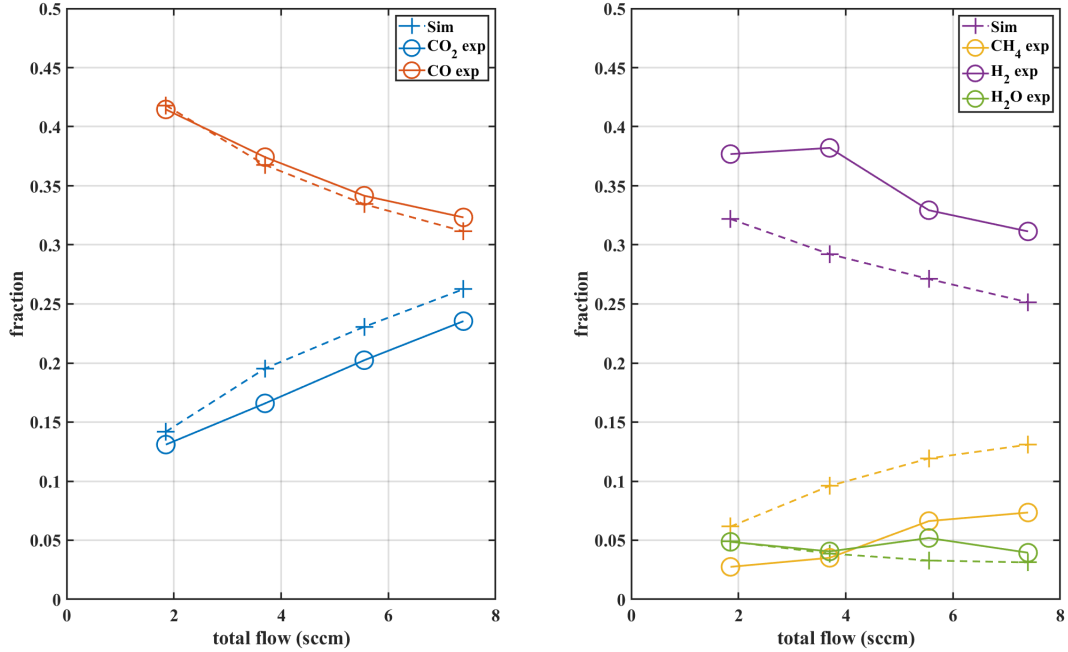


Figure 19: Comparison of the simulated and experimental fractions of the main species as a function of the flow for a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture at 5 Torr

## 5.7 Conclusions on the presented model

Overall, the model provides a good agreement between experiment and simulation for the whole range of parameters explored (pressure from 1 to 7 Torr, from pure CO<sub>2</sub> to 60:40 CO<sub>2</sub>:CH<sub>4</sub> and for flows going from 7.4 sccm to 1.85 sccm). The main trends of the evolution of the densities of the different species with these parameters are correctly described, while the quantitative agreement remains always within a factor 2. The simulated points at low pressure show a discrepancy in the reduced electric field, indicating that the charges creation and loss processes are not all well accounted for at low pressure, likely because of the diffusion scheme of the ions used (see section 5.1). Other diffusion schemes tested could however not improve these results. Therefore, the insights from the model on the behaviour of the plasma at low pressure (1 Torr or below) should be taken with caution.

The results suggest that the electronically excited state O(<sup>1</sup>D) plays an important role in the kinetics of hydrogenated species. In fact, although some discrepancies remain, the addition of process (14) of oxidation of CH<sub>3</sub> by O(<sup>1</sup>D) significantly improves the agreement between experiment and simulation on the concentrations of these species. This finding may affect the influence ascribed to C<sub>2</sub>H<sub>Y</sub> molecules on the overall chemistry by models including only a simplified kinetics of O(<sup>1</sup>D) that misses reaction (14) or simply do not consider this species [22, 20]. In particular, if the electronic states are properly described, the role of C<sub>2</sub>H<sub>Y</sub> molecules might be much less prominent than often predicted. Note, however, that in our experiments C<sub>2</sub>H<sub>4</sub> is detected at high flow, *i.e.*, low residence time, pointing towards a role of this species as an intermediate to further chemistry. Evidently, it is expected that when operating at higher pressure O(<sup>1</sup>D) is quenched by collision very quickly (which also happens here, see section 6) and its density is lower than in the present conditions. Nevertheless, it is still important to take it into account in the models, due to its much stronger reactivity than O(<sup>3</sup>P) in many reactions. Moreover, it has been shown that when computing the gas temperature self-consistently the conversion of electronic excitation to translational energy must be taken into account [65]. To clearly determine the relative role of O(<sup>1</sup>D) and the one of large molecules, future work may focus on the improvement of the kinetic scheme by including the chemistry of C<sub>2</sub>H<sub>Y</sub> molecules

## 6 Discussion of the reaction pathways

Now that the validity of the model has been verified by comparison with the experiment, it is used to understand more in details the processes of formation of the main species, as well as the role of the minor and/or unmeasured species such as the OH radicals, the CH<sub>2</sub>O or the excited state O(<sup>1</sup>D), whose role was highlighted in the previous section. This discussion will also be useful in understanding some of the results



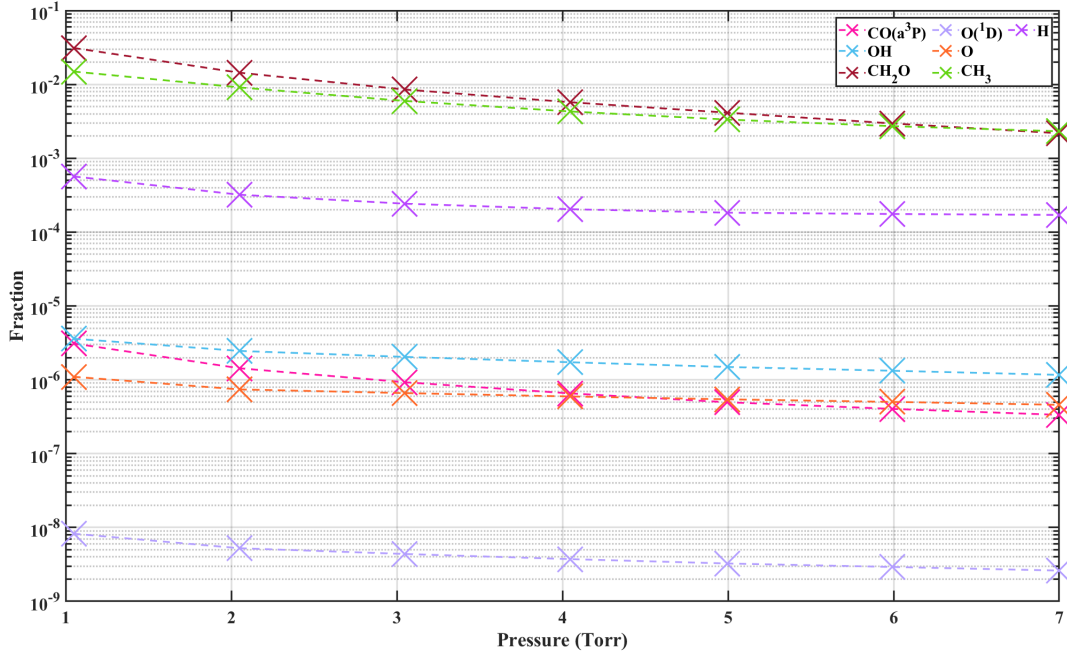


Figure 20: Evolution of the simulated fractions of minor species with pressure in a 60:40 CO<sub>2</sub>:CH<sub>4</sub> mixture at 1.85 sccm.

observed on CeO<sub>2</sub> exposed to the same plasma in Part II of this article [27]. The mechanisms driving the chemical paths depend on the parameters varied (pressure and gas mixture), therefore the influence of each parameter is discussed separately.

## 6.1 Effect of pressure on CO<sub>2</sub>-CH<sub>4</sub> plasmas main products

Varying the pressure in our glow discharge changes mostly the gas temperature from 300 K to ~700K at 7 Torr. As seen previously, the reduced electric field remains stable with pressure when the initial CH<sub>4</sub> percentage is above 10%. For most of the major species, the densities evolve in a limited range with pressure, as visible on figure 16. For all pressures, CO<sub>2</sub>, H<sub>2</sub>O and CH<sub>4</sub> remain respectively around 12, 5 and 7%, while CO evolves in a larger yet still narrow range between 36 and 44% of the total plasma density. The experimental variation of H<sub>2</sub> is also restrained in the range 35-40% of the plasma density. This limited evolution with a strongly increasing temperature (from 400K to 700K) proves already that the thermal dissociation of CH<sub>4</sub>, which starts above 500K [9], is not a major contribution in the dissociation observed here. This illustrates the interest of cold plasmas to achieve DRM.

Before discussing the reaction pathways explaining the major species densities, the fractions of minor species which are often the most reactive ones must be known. The figure 20 shows the evolution of the minor species with pressure in a 60:40 CO<sub>2</sub>-CH<sub>4</sub> mixture at 1.85 sccm computed by the model. The plot includes atomic O and H, the formaldehyde (CH<sub>2</sub>O), the excited state O(<sup>1</sup>D) (whose role was highlighted above), and the radicals OH and CH<sub>3</sub>. For clarity, the other CH radicals (CH<sub>2</sub>, CH and C) were not plotted due to low densities but are computed in the model.

Unlike the major species, the fractions of the minor species are all decaying with pressure. CH<sub>2</sub>O varies by an order of magnitude between 2 and 7 Torr (from 1.4% at 2 Torr to 0.2% at 7 Torr), like CH<sub>3</sub>. This is similar to [20] where the CH<sub>2</sub>O was also the highest 'minor species', representing ~0.1% of the density. In [20] where conditions with up to 30% of initial CH<sub>4</sub> were modelled, the CH<sub>2</sub>O density was close to the CH<sub>3</sub>OH density, not included in the present model. These values seem however to be overestimated. The CH<sub>2</sub>O is an IR active molecule and can therefore be detected with the FTIR with a sensitivity limit of 10<sup>20</sup> m<sup>-3</sup> in our experimental setup, which corresponds to approximately 0.3% of the mixture at 2Torr. The values predicted by the model at pressure should therefore be observed by the FTIR, which is not the case. It can be assumed that the values predicted by the model are overestimated by at least a factor 4 at 2Torr. The formation mechanisms of CH<sub>2</sub>O are discussed further in section 6.2 to identify the origin of this discrepancy. The least varying species is the OH, decreasing only by a factor 3 from 1 to 7 Torr. The decrease of the radical density with pressure is expected due

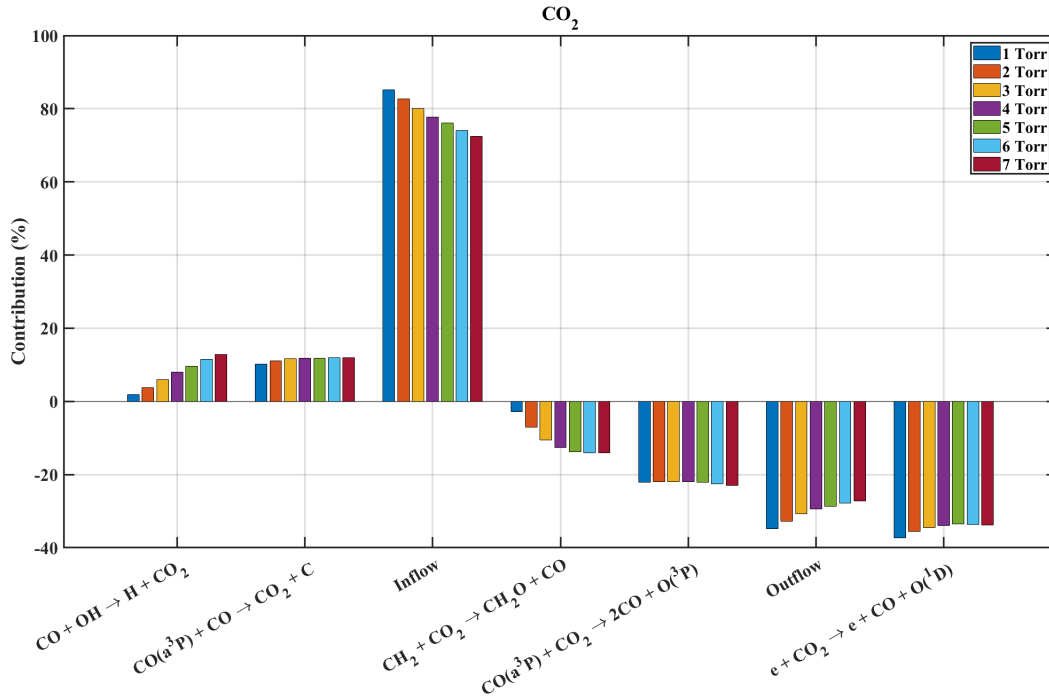


Figure 21: Evolution with pressure of the contribution of the main creation and destruction channels of  $CO_2$  in a 60:40  $CO_2:CH_4$  plasma at 1.85 sccm. The positive contribution represent the creation, the negative the destruction processes. Each pressure is plotted in a different color

to both the increase of the gas temperature (which increases the rate coefficients of recombination reactions) and the increasing collision frequency (and therefore recombination reactions) with pressure. The simulated O fraction is about  $1 \times 10^{-6}$ . This is very low but possible as O is below our actinometry detection level in the 60:40  $CO_2:CH_4$  condition studied here. The H fraction varies between 0.05 and 0.01%, one order of magnitude lower than actinometry estimation, which could be due to the wall recombination probability (whose order of magnitude is unknown).

The aim of this section is to understand the evolution of the main processes driving conversion in  $CO_2-CH_4$  plasmas as a function of the pressure.

#### Creation and loss processes of $CO_2$

The evolution of the processes creating and destroying  $CO_2$  with pressure is shown on figure 21 in a 60:40  $CO_2:CH_4$  plasma at 1.85 sccm. The processes are represented in a form of a bar graph, with the contribution of each process (in %) at steady state. The positive contributions represent the creation, the negative the destruction processes. Each pressure is plotted in a different color. In this condition (60:40  $CO_2:CH_4$  at 1.85 sccm),  $CO_2$  is destroyed through 3 main channels independently of pressure: the outflow accounts for about as much as the electron impact dissociation forming CO and  $O(^1D)$  (reaction 16). The third important destruction channel of  $CO_2$  involves  $CO(a^3\Pi)$  as described in [26] and [66]:



The main source of  $CO_2$  is the inflow, showing that the state reached in the reactor is only a pseudo-steady state where the dissociation is compensated by the renewal of the gas. The creation of  $CO_2$  is also steadily assured by recombination of  $CO(a^3\Pi)$  through:



This process is critical in pure  $CO_2$  (as shown in [26] or in [66]) and seems to play here an important role as well but is usually not mentioned in other  $CO_2-CH_4$  plasma models from literature. In pure  $CO_2$  plasmas, the  $CO(a^3\Pi)$  also strongly contributes to the reformation of  $CO_2$  with the back-reaction



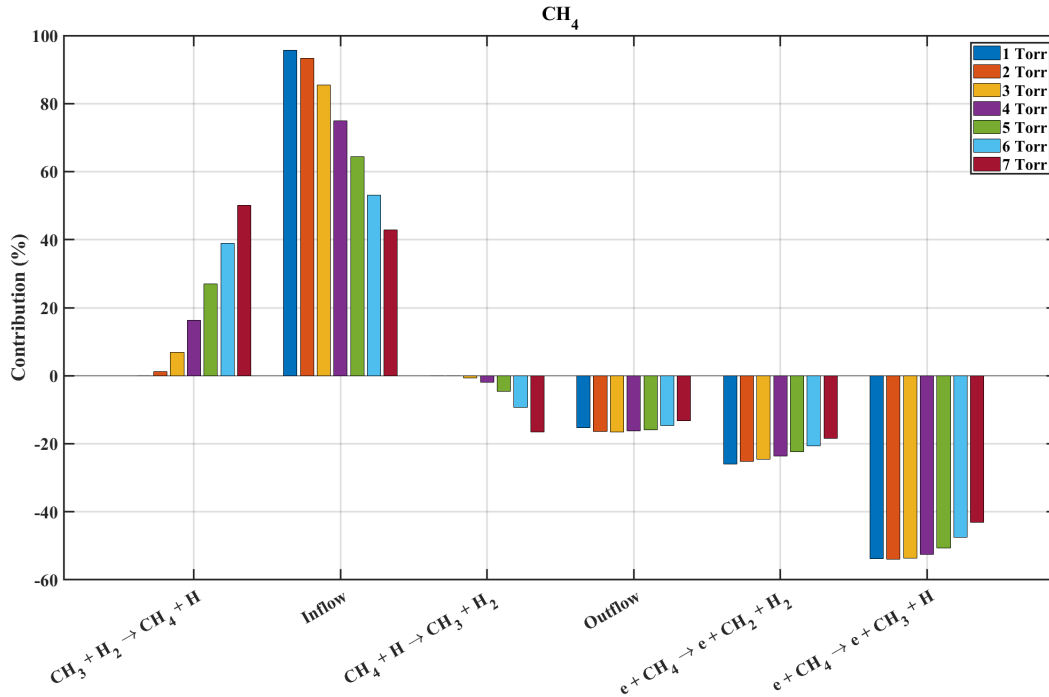


Figure 22: Evolution with pressure of the contribution of the main creation and destruction of  $\text{CH}_4$  in a 60:40  $\text{CO}_2:\text{CH}_4$  plasma at 1.85 sccm. The positive contribution represent the creation, the negative the destruction processes. Each pressure is plotted in a different color

This reaction is negligible in the 60:40  $\text{CO}_2:\text{CH}_4$  plasma because of the very low density of  $\text{O}_2$ . However, the interaction of  $\text{CO}(a^3\Pi)$  is in this work only taken into account with  $\text{CO}_2$  and  $\text{CO}$  and  $\text{O}_2$ . This once again points toward the importance of excited species and the necessity to obtain rate coefficients  $s$  in particular for reactions of  $\text{CO}(a^3\Pi)$  with hydrogenated species which to the best of our knowledge are not reported. With rising pressure, the contribution of the back-reaction :



largely increases with pressure. This back-reaction mechanism (representing approximately 10% of  $\text{CO}_2$  creation) was identified in [20] in a DBD with a contribution of 9%, close to the one computed here. The reaction was not identified in nanosecond discharges in [22], but [25] (also in NRP) claimed that the inverse process ( $\text{CO}_2 + \text{H}$ ) helped the dissociation. However, the model in [25] does not focus on the reaction pathways (no reaction rate is given) and process (21) is just a lead to explain the results. In [67], where DRM is studied in a gliding arc plasmatron, the inverse process ( $\text{CO}_2 + \text{H} \rightarrow \text{CO} + \text{OH}$ ) is the main dissociation process of  $\text{CO}_2$ . Similar results for a gliding arc were found in [68], where up to 90% of the dissociation was attributed to  $\text{CO}_2 + \text{H}$  at high initial  $\text{CH}_4$  content. This could be due to the higher temperature in the GA (>2500K) compared to the glow (<1000K). The comparison of the rate coefficient of  $\text{CO} + \text{OH}$  (taken from [69] in our work) with the rate coefficient of  $\text{CO}_2 + \text{H}$  (taken from [56] in our work) shows that the first one is several orders of magnitude higher than the second at temperatures below 1000K, but that the rate coefficient of  $\text{CO}_2 + \text{H}$  becomes higher than the one of  $\text{CO} + \text{OH}$  at temperature above 2400K. This explains why the reaction reforms  $\text{CO}_2$  in our discharge but dissociates it in the GA. Despite the numerous processes at play, the destruction and production of  $\text{CO}_2$  are relatively constant for all pressures studied.

#### Creation and loss processes of $\text{CH}_4$

The destruction of  $\text{CH}_4$  is also quite stable with pressure as visible on figure 22.

For all pressures,  $\text{CH}_4$  at pseudo-steady state is lost mostly through outflow and electron impact dissociation:



with the first one being twice as important as the second one.  $\text{CO}_2$  main loss was the outflow, before electron impact dissociation but it is the opposite for  $\text{CH}_4$ , for which electron impact dissociation is dominant, with

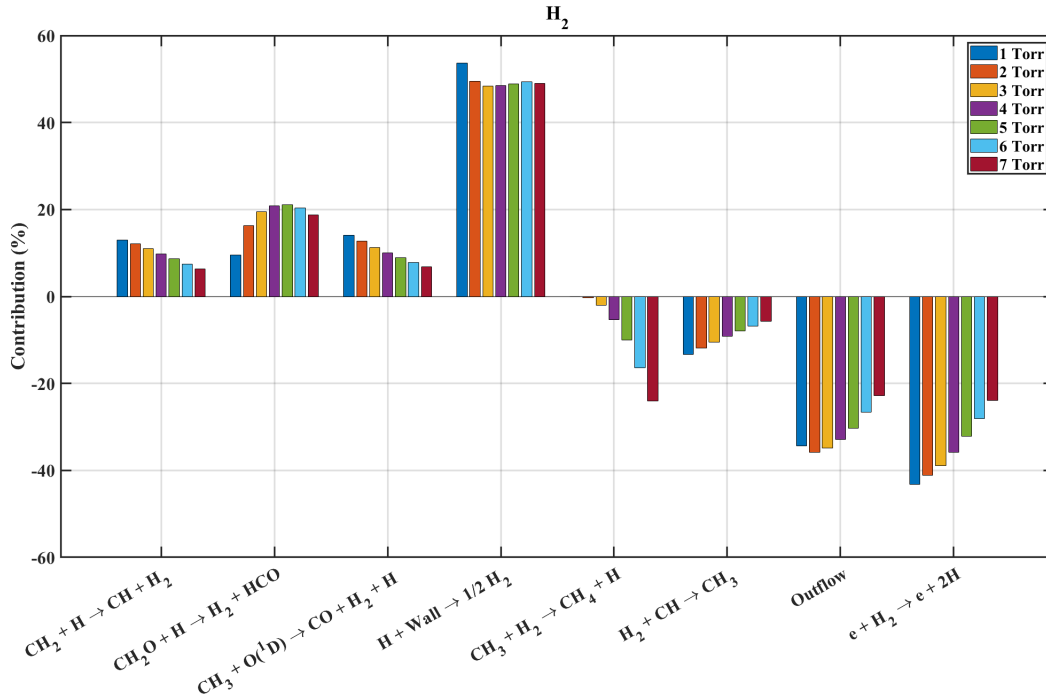


Figure 23: Evolution with pressure of the contribution of the main creation and destruction of  $\text{H}_2$  in a 60:40  $\text{CO}_2:\text{CH}_4$  plasma at 1.85 sccm. The positive contribution represent the creation, the negative the destruction processes. Each pressure is plotted in a different color

approximately 60 to 70% of  $\text{CH}_4$  lost by electron impact. The two species have very close dissociation thresholds (around 7.5eV) and the difference is therefore explained by the greater amplitude of the  $\text{CH}_4$  dissociation cross-section. In [20], the electron impact yielding  $\text{CH}_3$  and  $\text{H}$  (process 15) contributes to 33% of  $\text{CH}_4$  destruction (not too far from the 40-50% simulated in our conditions) whereas the channel yielding  $\text{CH}_2$  accounts for only 6% (a factor 4 lower, compared to a factor 2 for us). This likely comes from the  $\text{CH}_4$  dissociation cross-section: [70] was used in [20] whereas [44] was chosen in our case. As discussed in section 5.2, the more recent source [44], is a set of cross-sections validated against the swarm parameters and based on experimental measurements. The cross-sections proposed in [70] is based on calculations and analytical fitting of experimental ionization cross-sections. Both sources yield different branching for  $\text{CH}_4$  cross-section. The cross-sections from [44] were chosen but the chemistry with the branching ratio proposed in [70] should also be tested in future work. Dissociation of  $\text{CH}_4$  by electron impact was also the main dissociation process in the ns discharges of [22], as expected with the very high electric field of the nanosecond discharge. The loss of  $\text{CH}_4$  via ion recombination is very weak in our model, as opposed to [20], where 18% of the loss of  $\text{CH}_4$  is attributed to the production of  $\text{CH}_5^+$ :  $\text{CH}_4^+ + \text{CH}_4 \rightarrow \text{CH}_3 + \text{CH}_5^+$ . This reaction is very weak in our work due to the low production of  $\text{CH}_5^+$  as discussed in section 5.4. A last main loss process of  $\text{CH}_4$  is the direct reaction:



However, the contribution to  $\text{CH}_4$  formation by the inverse reaction is higher. Overall the net balance of these two processes lead to formation of  $\text{CH}_4$ . The contribution of this process (12) strongly increases with pressure, becoming the dominant  $\text{CH}_4$  creation channel at 7 Torr. This could change with the value of the  $\text{H}$  atoms recombination probability  $\gamma_H$  chosen. The other creation channel of  $\text{CH}_4$  in our plasma, is the inflow. In [20] (a plug flow configuration as well), 30% of  $\text{CH}_4$  production is attributed to electron impact dissociation of  $\text{C}_3\text{H}_8$ , 27% to dissociation of  $\text{C}_3\text{H}_6$  and 16% to dissociation of  $\text{C}_2\text{H}_6$ , underlining the importance that these species can have if the plasma description relies on  $\text{C}_X\text{H}_Y$ .

#### Creation and loss processes of $\text{H}_2$

The processes forming and destroying  $\text{H}_2$  vary significantly with pressure, as shown on figure 23. One thing draw the attention: at all pressures, almost 50% of  $\text{H}_2$  is produced by wall recombination, which is understandably not described in the other  $\text{CO}_2\text{-CH}_4$  plasma models available that are describing plasmas at atmospheric pressure. In our condition the contribution of the wall to  $\text{H}_2$  production decreases when the pressure increases (like for  $\text{O}$  atoms recombination at similar pressures in [32]). In the 60:40  $\text{CO}_2:\text{CH}_4$  mixture shown on figure 23,

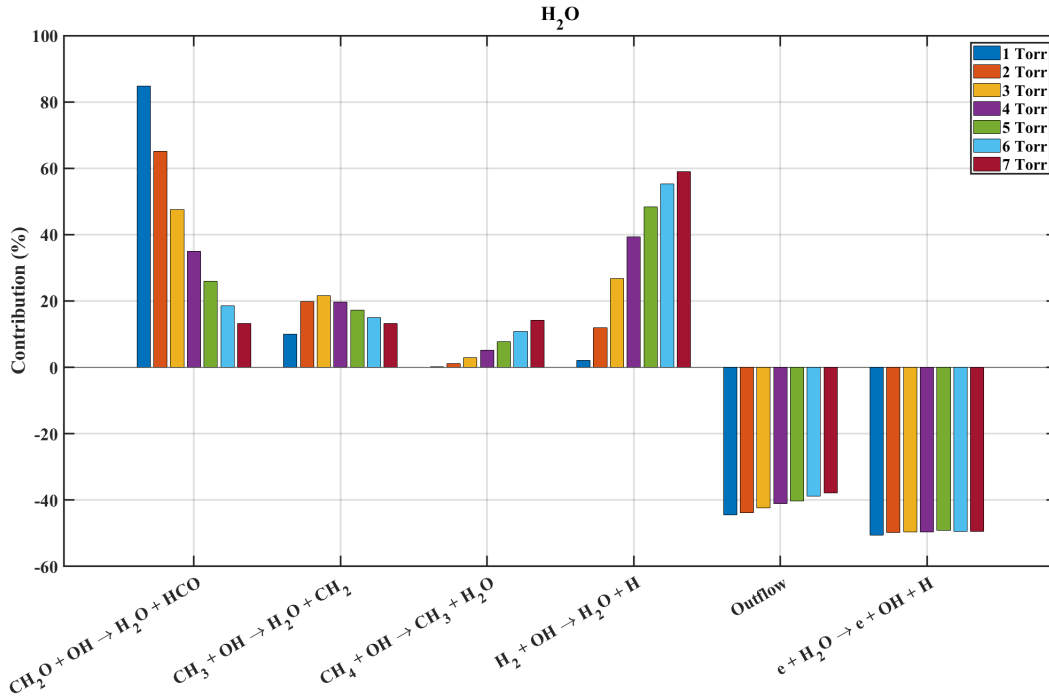


Figure 24: Evolution with pressure of the contribution of the main creation and destruction processes of  $\text{H}_2\text{O}$  in a 60:40  $\text{CO}_2:\text{CH}_4$  plasma at 1.85 sccm. The positive contribution represent the creation, the negative the destruction processes. Each pressure is plotted in a different color

the other  $\text{H}_2$  formation channels are of course the process (14) (discussed in depth before), and 2 recombination channels of H with  $\text{CH}_2$  or  $\text{CH}_2\text{O}$ . These 3 channels each account for 10 to 20% of the formed  $\text{H}_2$ . In [20],  $\text{H}_2$  formation is attributed to electron impact of  $\text{C}_2\text{H}_Y$  and  $\text{C}_3\text{H}_Y$  molecules, totaling 60% of the  $\text{H}_2$  production and highlighting the role attributed to large  $\text{C}_2\text{H}_Y$  molecules. This points once again toward the uncertain role of these large molecules in the kinetic scheme. In [22],  $\text{H}_2$  formation is mostly attributed to  $\text{CH}_4$  electron impact dissociation. This difference could find its root in the very high electric field applied in the NRP. With such an electric field, the electron impact dissociation would be greatly enhanced, explaining the importance of this channel. For all pressures, the outflow and the electron impact dissociation of  $\text{H}_2$  are the major channels in the 60:40  $\text{CO}_2:\text{CH}_4$  mixture. In [20], a plug flow configuration, the in- and outflows are not taken into account and the electron impact dissociation is responsible for 90% of the  $\text{H}_2$  loss. In our case, it is responsible for only half of the losses. However, if we do not include the outflow, electron impact of  $\text{H}_2$  is indeed 90% of the losses. At high pressure, the back-reaction to  $\text{CH}_4$  becomes as important as the two other destruction channels. Destruction channels of  $\text{H}_2$  are not mentioned in [22].

#### Creation and loss processes of $\text{H}_2\text{O}$

The evolution with pressure of the creation and loss processes of water are presented on figure 24. The creation of  $\text{H}_2\text{O}$  is far from being constant with pressure: the most important process at 1 Torr ( $\text{CH}_2\text{O} + \text{OH} \rightarrow \text{H}_2\text{O} + \text{HCO}$ ) is completely negligible at 7 Torr (following the evolution of  $\text{CH}_2\text{O}$  which is lowest at 7 Torr). At 7 Torr,  $\text{H}_2 + \text{OH} \rightarrow \text{H}_2\text{O} + \text{H}$  is the main process. It is interesting to note that all the processes leading to formation of water involve OH. The water losses in the 60:40  $\text{CO}_2:\text{CH}_4$  plasma are however very steady with pressure: electron impact accounts for half of the loss, while the other half is carried away by the outflow. The electron impact dissociation leads to OH formation, thus establishing an equilibrium between OH and  $\text{H}_2\text{O}$  in the steady state. Because water is not identified as an important product in the other models, its main production or loss processes are usually not described. In [20], the measurements supporting the model seem to indicate that the water fraction is close to  $1 \times 10^{-4}$ , far from our 10%. This could be linked to the high pressure, which will efficiently quench the excited state necessary to form water (see next section).

Varying the pressure do not affect strongly the fractions of all the main species, despite the fact that some reactive minor species are varying with pressure. The fraction of minor species tend to decrease with pressure as expected with faster recombination/reactivity at higher collision frequency. The fractions of major species are relatively stable in the explored range of pressures (1-7 Torr). In our conditions the balance of the main species

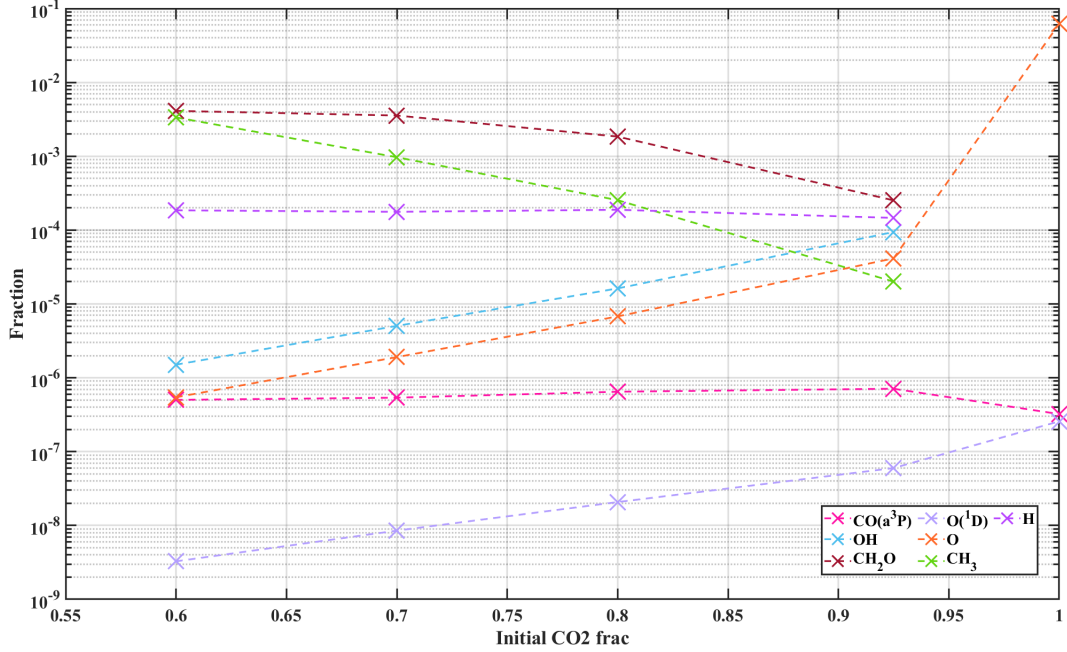


Figure 25: Evolution of the simulated fractions of minor species with initial CO<sub>2</sub>:CH<sub>4</sub> ratio at 1.85 sccm and 5 Torr.

is controlled only by a few processes which could help future efforts of kinetic scheme reduction, but the role of electronically excited states (in particular CO(*a*<sup>3</sup>Π) and O(<sup>1</sup>D)) should probably be more studied. In the part II of this paper [27], the species forming at the surface of CeO<sub>2</sub> exposed to the same plasma as described here will be studied. Formaldehyde (CH<sub>2</sub>O) as well as water (and OH radicals) will be shown to have an important role on the surface kinetics on CeO<sub>2</sub>. The strong change observed here for these species between 1 and 5 Torr (CH<sub>2</sub>O fraction decreases almost by a factor 10 in this range of pressure) will be a valuable information for the understanding of the surface mechanisms in part II.

Knowing that the dominant processes remain the same with pressure, the effect of the CH<sub>4</sub> percentage in the initial mixture is studied at 5 Torr in the next section.

## 6.2 Effect of the initial mixture

As expected, varying the gas mixture from pure CO<sub>2</sub> plasma to a 60:40 CO<sub>2</sub>:CH<sub>4</sub> plasma has a strong influence on the chemistry induced. In pure CO<sub>2</sub> plasmas, the main species are CO<sub>2</sub>, CO, O<sub>2</sub> and atomic O. When a small fraction of CH<sub>4</sub> is introduced in the gas mixture, H<sub>2</sub>, CH<sub>4</sub> and H<sub>2</sub>O start appearing at levels higher than O<sub>2</sub> and O.

The evolution of the simulated fractions of minor species with the initial CH<sub>4</sub> content are shown on figure 25. The CH<sub>3</sub> and CH<sub>2</sub>O fractions increase with admixture of CH<sub>4</sub> in the initial mixture as expected. The H atoms remain stable with the increase of initial CH<sub>4</sub> content because the loss of H is dominated by the very strong H recombination at the wall. The density of atomic H is then controlled by the diffusion of H to the walls. The OH density decreases with admixture of CH<sub>4</sub>. However, surprisingly the peak of H<sub>2</sub>O is reached at 80:20 despite the fact that OH and H<sub>2</sub>O are related through several processes as seen in previous section. It can be noticed that OH and O densities have similar behaviours, both decreasing with increasing initial CH<sub>4</sub> content. The decrease of the O density matches the experimental actinometry results. The O(<sup>1</sup>D) decreases with the increasing CH<sub>4</sub> similarly to O atoms, due to both the decreasing fraction of CO<sub>2</sub> and to the high rate coefficient chosen for reaction (14). Finally, the CO(*a*<sup>3</sup>Π) slightly increases when going from pure CO<sub>2</sub> to low initial CH<sub>4</sub> content. The CO(*a*<sup>3</sup>Π) density remains stable upon further admixture of initial (7 × 10<sup>-5</sup>% in the 92:8 CO<sub>2</sub>:CH<sub>4</sub> condition, 5.5 × 10<sup>-5</sup>% at 60:40 CO<sub>2</sub>:CH<sub>4</sub>). The increase of the CO(*a*<sup>3</sup>Π) density when going from pure CO<sub>2</sub> to 95:5 CO<sub>2</sub>:CH<sub>4</sub> is likely due to the drop of the O atoms density that are the main quenchers of CO(*a*<sup>3</sup>Π).

Because the densities of the main species are not monotonous with the increase of the initial CH<sub>4</sub> content (deep in CO<sub>2</sub> conversion at CH<sub>4</sub> percentage, peak in water fraction...), the processes controlling the plasma

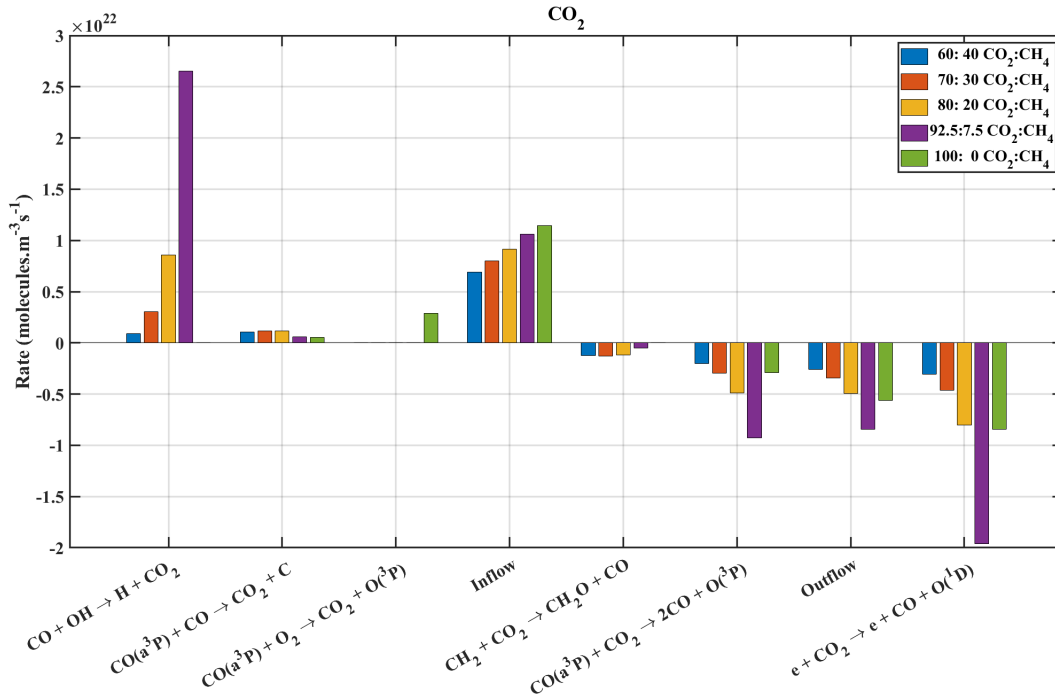


Figure 26: Evolution with initial mixture of the reaction rates of the main creation and destruction processes of  $\text{CO}_2$  at pseudo-steady state at 1.85 sccm, 5 Torr. The positive rates represent the creation, the negative the destruction processes. Each initial mixture is plotted in a different color.

composition are expected to be quite different at low or high initial  $\text{CH}_4$ . One of the most noticeable behaviours is the peak in  $\text{CO}_2$  fraction observed on figure 4 in the 95:5  $\text{CO}_2:\text{CH}_4$  mixtures for all pressures and all flows. To shed light on the processes driving  $\text{CO}_2$  dissociation as a function of the initial  $\text{CH}_4$ , the rates of the main  $\text{CO}_2$  loss and creation processes are plotted on figure 26. Each initial mixture is plotted with a different color. The positive reaction rates represent creation processes, the negative are loss processes. One difference is to note with the previous section (for pressure variation): while the contribution in % was plotted in the previous section because the rates scale with pressure, it is now the rates that are plotted because the pressure is kept constant at 5 Torr. The rates are plotted for a total flow of 1.85 sccm.

For each process (each x-axis tick), the rate of the process in the 60:40 mixture is plotted first on the left, followed by the 70:30, 80:20, 92:8 and finally the 100:0 mixtures.

#### Creation and loss processes of $\text{CO}_2$

Mainly four processes destroy  $\text{CO}_2$ , independently of the initial gas mixture. The three main ones (electron impact on  $\text{CO}_2$  16, outflow and dissociation by  $\text{CO}(a^3\Pi)$  20). The rates of these 3 processes follow the evolution of the  $\text{CO}_2$  density (which peaks in the 92:8 mixture). The fourth process is



The rate of process (24) increases with the initial amount of  $\text{CH}_4$  because the limiting reactant in this process is the  $\text{CH}_2$ , a direct dissociation product of  $\text{CH}_4$ .

The rate of dissociation by  $\text{CO}(a^3\Pi)$  (process 18) can be compared to the rate of creation of  $\text{CO}_2$  by  $\text{CO}(a^3\Pi)$  (process 20). The process (20), negligible for most conditions, accounts for 10% of the  $\text{CO}_2$  production at 60:40  $\text{CO}_2:\text{CH}_4$ . In this condition,  $\text{CO}(a^3\Pi)$  dissociates twice as much  $\text{CO}_2$  as it produces it (the reaction rate of (20) is  $1 \times 10^{21} \text{ cm}^{-3}\text{s}^{-1}$  versus  $2 \times 10^{21} \text{ cm}^{-3}\text{s}^{-1}$  for (18) at 60:40). In the other gas mixtures, the rate of (18) is always higher than the one of (20), meaning that in all the mixture  $\text{CO}(a^3\Pi)$  is beneficial for  $\text{CO}_2$  dissociation. Two processes stand out for the formation of  $\text{CO}_2$ : the inflow and the back-reaction (21) previously identified. The latter shows a very strong rate in the 92:8 mixture and is the main source of formation of  $\text{CO}_2$  in this condition. This means that the back-reaction (21) ( $\text{CO} + \text{OH} \rightarrow \text{CO}_2 + \text{H}$ ) is responsible for the peak in  $\text{CO}_2$  fraction observed in this condition on figure 4, which is consistent with the  $\text{OH}$  density being maximum in the 92:8  $\text{CO}_2:\text{CH}_4$  condition.

#### Creation and loss processes of $\text{CH}_4$

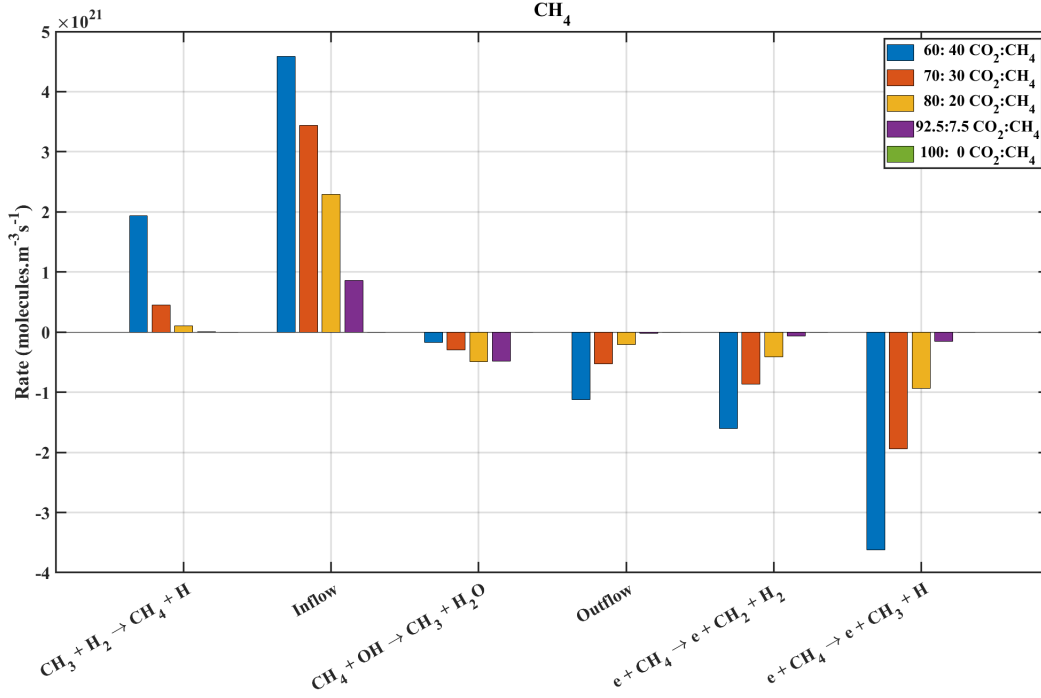


Figure 27: Evolution with initial mixture of the reaction rates of the main creation and destruction processes of  $CH_4$  at pseudo-steady state in a 60:40  $CO_2:CH_4$  plasma at 1.85 sccm, 5 Torr. The positive rates represent the creation, the negative the destruction processes. Each initial mixture is plotted in a different color.

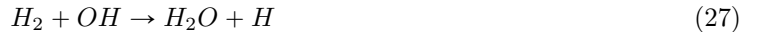
The processes creating and destroying  $CH_4$  are simpler. A plot showing the reaction rates of the main  $CH_4$  processes as a function of the initial mixture (at 5 Torr, 1.85 sccm) is shown on figure 27. Independently of the initial mixture,  $CH_4$  is created by two processes: the inflow, and the back-reaction mechanism (12) ( $CH_3 + H_2 \rightarrow CH_4 + H$ ). This process logically increases with  $CH_4$  initial %, because more  $CH_3$  and  $H_2$  are available. The loss of  $CH_4$  happens mostly through 4 mechanisms: outflow, the two electron impact dissociation processes and the reaction (25).



This last process is the only direct oxidation process of  $CH_4$ . It is also the only one that is not linear with  $CH_4$  initial %. This process producing water is also maximum in the 80:20  $CO_2:CH_4$  mixtures (where the water fraction is maximum). It would however be too simplistic to attribute the peak of  $H_2O$  solely to process (25).

#### Creation and loss processes of $H_2O$ and $OH$

The most important processes for  $H_2O$  production are presented on figure 28. Water is created through 3 main processes in the steady state:



Out of these 3 processes, the last one is the only one being maximum for 80:20  $CO_2:CH_4$  mixture. The others are linear with the  $CH_4$  admixture. The process (28) peaks at 80:20 because it is where the product of the density of  $OH$  times the density of  $CH_2O$  is maximum ( $OH$  decreases with increasing  $CH_4$  percentage while  $CH_2O$  increases). Figure 29 shows the temporal evolution of the reactions leading to the equilibrium reached for  $OH$  and  $CH_2O$  in the 80:20  $CO_2:CH_4$  mixture (the figure describes the evolution of the rates in the reactor over time, from the plasma breakdown to the pseudo-steady state at the exit of the plasma). For each species, the top graph shows the evolution of the density over time. The bottom graph shows the reaction rates of the main reactions. The creation processes are plotted with plain lines and the destruction processes are plotted with dashed lines. The density of  $OH$ , plotted in the top left graph goes through a maximum (at 1ms) before oscillating toward its final values (i.e it is possible to tune the products by changing the plasma duration).  $OH$  is initially produced through:





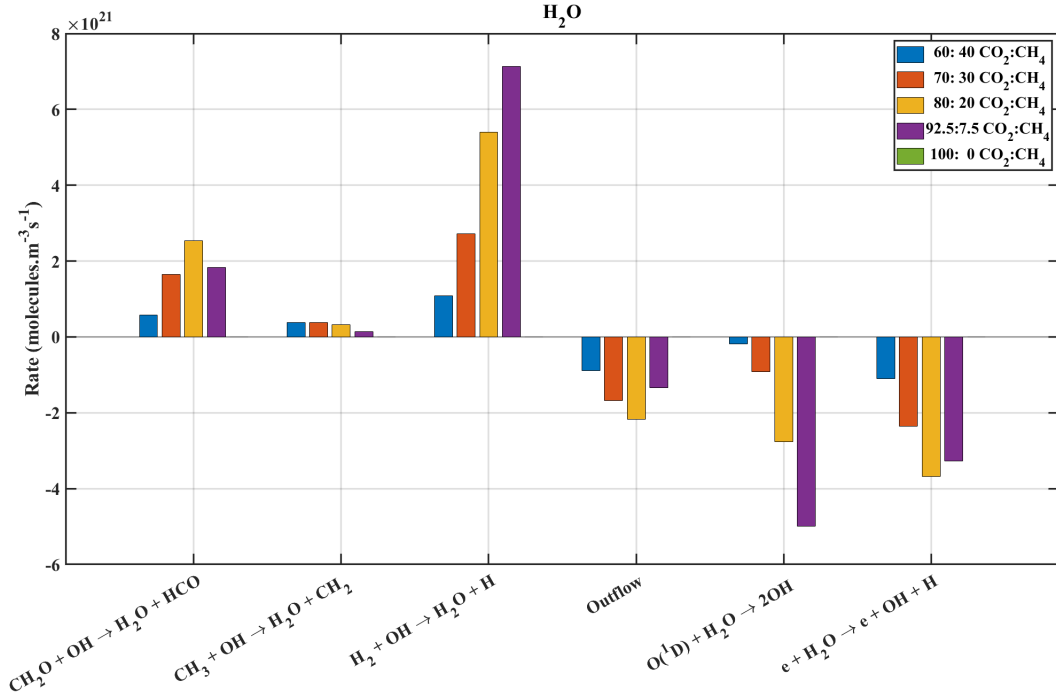


Figure 28: Evolution with initial mixture of the reaction rates of the main creation and destruction processes of  $\text{H}_2\text{O}$  at pseudo-steady state at 1.85 sccm, 5 Torr. The positive rates represent the creation, the negative the destruction processes. Each initial mixture is plotted in a different color.

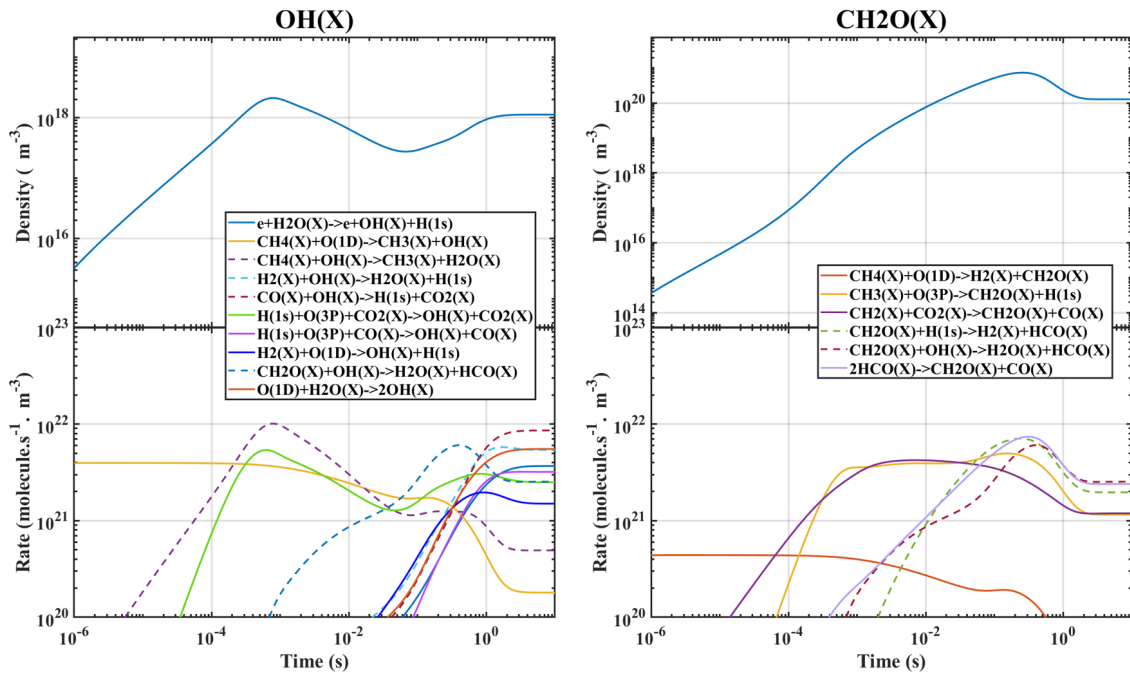


Figure 29: Top: Temporal evolution of the densities of OH (Left) and  $\text{CH}_2\text{O}$  (right) in a 80:20  $\text{CO}_2:\text{CH}_4$  mixture at 5 Torr and 1.85 sccm.  $t=0$  is the break-down of the plasma. A pseudo-steady state is reached when the dissociation is compensated by the gas renewal. Bottom: Temporal evolution of the reaction rates of processes involving OH (left) and  $\text{CH}_2\text{O}$  (right). The creation processes of the concerned species are plotted in plain line, the loss processes are plotted in dashed line.  $t=0$  correspond to the plasma break-down.

The rate coefficient of this reaction is taken from [71]. To the best of our knowledge, this production channel was not identified in any CO<sub>2</sub>-CH<sub>4</sub> plasma model, but was put forward in [72] (which compared experiment and simulation for CH<sub>4</sub>-O<sub>2</sub> mixtures in nanosecond discharges). In [72], process (13) was the most important loss channel of CH<sub>4</sub> (accounting for 40% of the loss), above electron impact dissociation (all electron impact channels sum up to 38%) despite the very high electric field and electron density of nanosecond discharges. The electron impact dissociation cross-section used in [72] is the one taken from [70], lower than the one used in this work, which could partly explain the difference with CH<sub>4</sub> dissociation in our work. The reaction of O(<sup>1</sup>D) with CH<sub>3</sub> (process (14)) was not taken into account in [72], which ultimately increased the amount of O(<sup>1</sup>D) available to react with CH<sub>4</sub>, also explaining the difference. This however shows in another way the importance of the O(<sup>1</sup>D) state. Without it, the initial building up of OH and eventually the peak in the water fraction is difficult to explain. Process (13) builds up the OH density until 1ms. Between 1ms and 1s, the OH density is reduced because OH reacts with CH<sub>2</sub>O and produces water (explaining the peak of water in the 80:20 CO<sub>2</sub>:CH<sub>4</sub> mixture). Once enough water is accumulated, water and OH reach an equilibrium through 6 processes, 3 of them forming OH, the other 3 destroying it



The most important ones are found on figure 28. This is only possible because enough CH<sub>2</sub>O is available in the plasma.

#### *Creation and loss processes of CH<sub>2</sub>O*

Similarly to OH, the density of CH<sub>2</sub>O (plotted on the top right graph of figure 29), goes through a maximum around 0.1s. The density of CH<sub>2</sub>O is initially built up by the reaction:



This reaction was also identified in [72] and had a reaction rate about 10 times lower than the other channel involving CH<sub>4</sub> and O(<sup>1</sup>D) (13), which is similar to our observations. When CH<sub>4</sub> starts being dissociated enough (around 10<sup>-4</sup>s), the CH<sub>2</sub>O production is assured by :



These reactions later balance with water production (28), as seen above. Because these reactions are the one that build up the CH<sub>2</sub>O density, their rate coefficients could be overestimated, explaining why the simulated CH<sub>2</sub>O is overestimated compared to experimental observations. The rate coefficient of process (24) was taken from [56], but the rate coefficient proposed in [73] is 3 times lower. The rate coefficient used for reaction (33) is taken from [69] and is in good agreement with numerous other values available in literature. Finally, the rate coefficient of reaction(34) is taken from [56] and is the lowest value of the rate available in literature. The reaction (24) seems to be the only one whose rate coefficient would indeed be overestimated. Using a smaller rate coefficient would not lower the CH<sub>2</sub>O density by a factor 4, this should be investigated in future work.

#### *Creation and loss processes of H<sub>2</sub>*

The evolution of the main processes involving H<sub>2</sub> as a function of the initial mixture at 5 Torr, 1.85 sccm, are shown on figure 30. The majority of H<sub>2</sub> is produced by wall recombination of hydrogen atoms, highlighting the critical dependence of the model to the recombination probability  $\gamma_H$ . The rest of the hydrogen is mostly produced by



underlining the important role of CH<sub>2</sub>O in our plasma. At low CH<sub>4</sub> percentage mixtures, the 3-body process H + OH + M is also a source of H<sub>2</sub>. In these mixtures, the production of water through



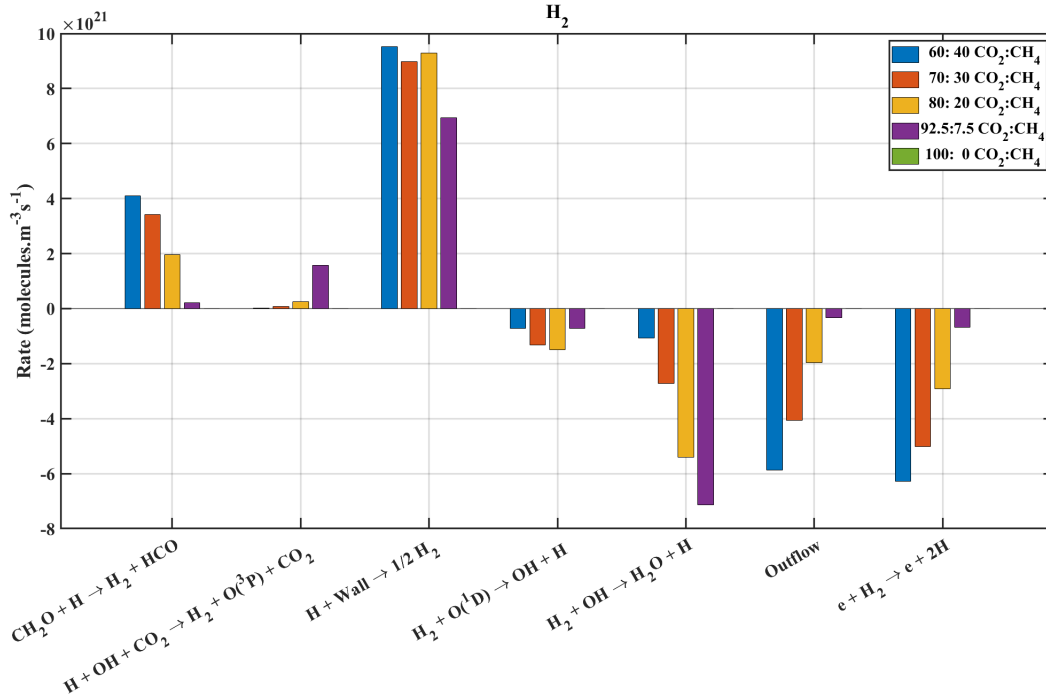


Figure 30: Evolution with initial mixture of the reaction rates of the main creation and destruction processes of  $H_2$  at pseudo-steady state at 1.85 sccm, 5 Torr. The positive rates represent the creation, the negative the destruction processes. Each initial mixture is plotted in a different color.

is the main destruction channel of  $H_2$ . At high initial  $CH_4$  percentages mixtures,  $H_2$  is destroyed by outflow and electron impact. Finally, in all the conditions, the dissociation of  $H_2$  through collision with  $O(^1D)$  is non-negligible, representing about 10% of  $H_2$  loss.

#### Creation and loss processes of $O(^1D)$

Throughout all the discussions before,  $O(^1D)$  appeared to be a key species for the whole kinetic scheme. Though processes involving  $O(^1D)$  are rarely the most important ones, processes involving  $O(^1D)$  are found to significantly impact the final balances and densities for all mixtures and all pressures. It could be that  $H_2O$  does not appear important in other models simply because  $O(^1D)$  reactions are not including preventing the formation of  $H_2O$ . The question of the production and loss of  $O(^1D)$  must be addressed. Figure 31 shows the evolution of the reaction rates of processes involving  $O(^1D)$  as a function of the initial mixture at 5 Torr, 1.85 sccm. In pure  $CO_2$  plasmas,  $O(^1D)$  is produced not only through electron impact dissociation of  $CO_2$ , but also through electron impact dissociation of  $O_2$  and through electronic excitation of  $O(^3P)$ . Because of the very low level of atomic O and  $O_2$  in  $CO_2$ - $CH_4$  plasmas, these last two channels are negligible in  $CO_2$ - $CH_4$  plasmas and  $O(^1D)$  is produced only by electron impact dissociation of  $CO_2$ . In pure  $CO_2$  plasmas,  $O(^1D)$  is mainly lost by collisional quenching with  $CO_2$ . This channel is not the dominant loss of  $O(^1D)$  anymore when the initial  $CH_4$  percentage is higher than 10. Apart from simple quenching,  $O(^1D)$  is lost through 3 main channels:



with the first one being probably an effective process, i.e grouping several processes. The branching between these channels depends on the rate coefficient chosen for the first one which is unknown and therefore just an assumption in this work. With the rate coefficient chosen here, 1/3rd of the  $O(^1D)$  at 20% initial  $CH_4$  is lost in ( $O(^1D) + H_2O$ ), 1/3 by collisional quenching and the other third is split between  $H_2 + O(^1D)$  and  $CH_3 + O(^1D)$ . When increasing the initial  $CH_4$  percentage to 30%, the loss of  $O(^1D)$  is more or less equally parted between the 4 channels. At 40 % initial  $CH_4$ , 2/3rd of the  $O(^1D)$  is lost through process (14), highlighting the critical stake of obtaining through other methods a value of this rate to clarify the importance of the interaction between  $CH_3$  and  $O(^1D)$  and therefore obtain a better picture of a  $CO_2:CH_4$  plasma.

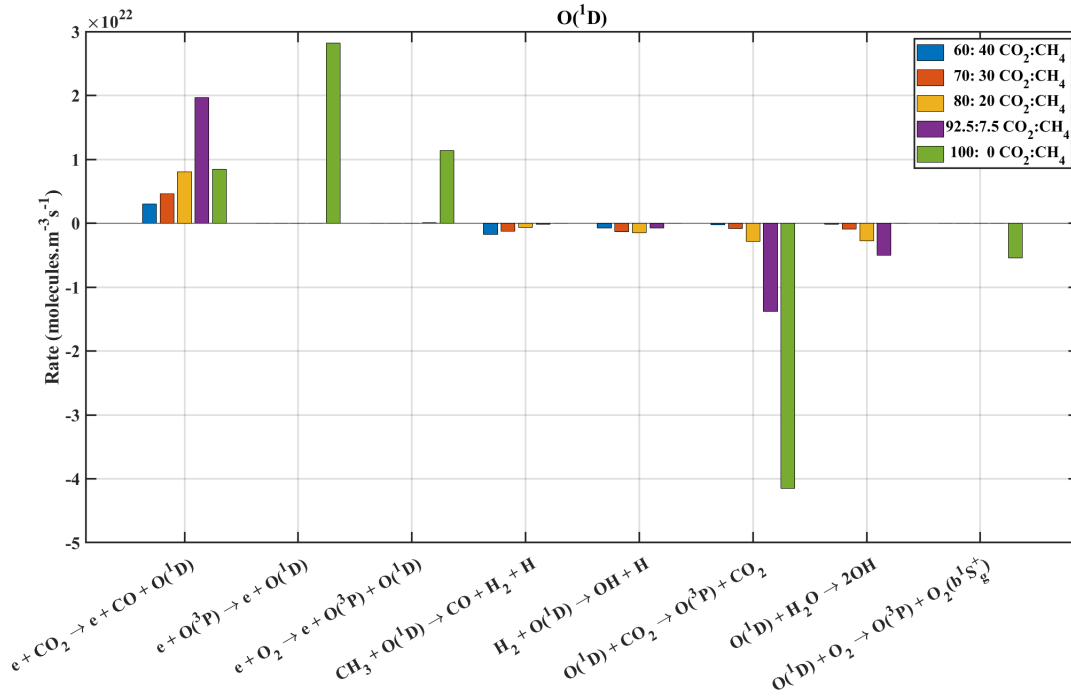
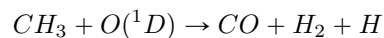


Figure 31: Evolution with initial mixture of the reaction rates of the main creation and destruction processes of  $\text{O}({}^1\text{D})$  at pseudo-steady state at 1.85 sccm, 5 Torr. The positive rates represent the creation, the negative the destruction processes. Each initial mixture is plotted in a different color.

## 7 Conclusions

A low pressure glow discharge has been set up and used to validate a 0D kinetic model for  $\text{CO}_2\text{-CH}_4$  plasmas, using the LoKI simulation tool. The model takes into account a relatively simple chemistry including only molecules with at most one carbon atom, as well as  $\text{CH}_4$  and its derivatives. A rather good agreement has been found between the model predictions. The simulations capture accurately the main features and trends observed experimentally, allowing to use the model to gain insights on the key processes of  $\text{CO}_2\text{-CH}_4$  plasmas. It has been shown that in our conditions, electronic impact dissociation of  $\text{CO}_2$  and  $\text{CH}_4$  are the main channels to produce the major products,  $\text{CO}$  and  $\text{H}_2$ , but that some highly reactive species also play an important role. The back-reaction combining  $\text{CO}$  and  $\text{OH}$  to form  $\text{CO}_2$  and atomic  $\text{H}$  seems to be the reason behind the limited conversion of  $\text{CO}_2$  at low  $\text{CH}_4$  percentages in the initial mixtures.  $\text{O}({}^1\text{D})$  and  $\text{OH}$  oxidation of  $\text{CH}_4$  have shown to be important loss mechanisms of  $\text{CH}_4$ , emphasizing the role of excited states in the chemistry of  $\text{CO}_2\text{-CH}_4$  plasma. The parametric study performed here strongly suggest that the interaction between  $\text{O}({}^1\text{D})$  and  $\text{CH}_3$  through



is necessary to explain the observed level of conversion of  $\text{CH}_4$  and the production of  $\text{H}_2$ . The high rate coefficient used in this work however suggest that this reaction is an effective way of describing a more complex sequence of elementary processes. **It would, however, be important to succeed in directly measuring the densities of  $\text{CH}_3$  or  $\text{O}({}^1\text{D})$  in order to provide further clues as to the mechanisms potentially hidden by this actual process.** It would be also important to quantify the reaction rates of the  $\text{CO}(\text{a}3\Pi)$  state (critical in pure  $\text{CO}_2$  plasmas) with hydrogenated species. The comparison of the experimental and simulated reduced electric field sheds light on the charge creation in the  $\text{CO}_2\text{-CH}_4$  plasma and on the dependency of the main ion densities to the single charge transfer reaction  $\text{CH}_4 + \text{CH}_4^+ \rightarrow \text{CH}_5^+ + \text{CH}_3$ . In our model, excluding this single reaction from the scheme leads to  $\text{CH}_4^+$  being the main ion and to a significant improvement of the self-consistent calculated  $\text{E}/\text{N}$ . However, further experimental investigation are needed to determine the main ion in the  $\text{CO}_2\text{-CH}_4$  discharge. For DRM purposes, it would be useful to have a better understanding of the processes taking place on the surfaces because as shown, they could be of interest also to produce value-added chemicals such as  $\text{C}_2\text{H}_6$ . In part II of this paper [27], a  $\text{CeO}_2$  pellet is exposed to the plasma studied here.  $\text{CeO}_2$  is a common support for active phase of catalyst for DRM as well as for methanation. The detailed description of the gas phase as it has been achieved in this Part I of the paper provides all useful parameters ( $\text{E}/\text{N}$ , gas temperature, densities of all main species, etc...) to analyse the species forming onto the surface of  $\text{CeO}_2$ . The good agreement between simulation and experiments shown here still leaves room for improvements. Firstly, the vibrational excitation

of CO<sub>2</sub> and CO could be taken into account in the chemistry part. Secondly, though it seems that they are not essential for CO<sub>2</sub>-CH<sub>4</sub> chemistry in our conditions, the larger hydrocarbons species may be taken into account in the model as it is not so clear whether their influence on the chemistry is comparable to the one of excited states or not. The quantitative comparison of experiment and model appears to be crucial to bring insights about a chemistry as complex as the one of CO<sub>2</sub>-CH<sub>4</sub> plasmas.

## Statements and Declarations

All authors certify that they have no affiliations with or involvement in any organization or entity with any financial interest or non-financial interest in the subject matter or materials discussed in this manuscript

**Ethical Approval** (applicable for both human and/ or animal studies. Ethical committees, Internal Review Boards and guidelines followed must be named. When applicable, additional headings with statements on consent to participate and consent to publish are also required)  
this declaration is not applicable for the work proposed in this paper.

**Competing interests** (always applicable and includes interests of a financial or personal nature)  
No, I declare that the authors have no competing interests as defined by Springer, or other interests that might be perceived to influence the results and/or discussion reported in this paper.

**Authors' contributions** (applicable for submissions with multiple authors)  
E.B. did the measurement, developed the kinetic scheme and wrote the paper  
C.A.G.S. contributed to experiment  
T.S. and V.G. developed the code  
V.P. discussed the chemistry  
O.G. supervised the work  
All authors reviewed the manuscript

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**Availability of data and materials** (a statement on how any datasets used can be accessed)  
All data presented in this work will be made available upon request to the corresponding author.

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