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## 4D Printed Composite Actuators

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## 11 Abstract

This chapter presents a literature review on 4D Printed Composite Actuators for engi-12 neering applications. The recent integration of particle and fibre reinforcements into liquid 13 crystal elastomers, hydrogels, and shape memory polymers enables the development of next-14 generation actuators with advanced functionalities and significantly enhanced load-carrying 15 capacity. Proofs-of-concepts considering the nature of the stimuli-responsive material are 16 categorised, and the advantages of the particle and fibre reinforced devices are presented. 17 The approaches are illustrated with examples, exposing their capabilities and limitations. 18 Advanced functionalities and modelling techniques are summarised. The main challenges 19 associated with implementing 4D printing technologies in two key engineering applications: 20 soft robotics and morphing structures are discussed. 21

<sup>22</sup> Keywords: Additive Manufacturing, Actuators, Shape Memory Polymer, Modelling

## 23 1. Introduction

4D printed devices are Additive Manufactured components able to transform energy into mechanical motion in response to specific external stimuli [1]. The fourth dimension addresses the change in the shape of the 3D printed component as a function of time. The baseline technology consists of a 3D printed stimulus-responsive smart material that can shape-shift between two different stable stress states when the appropriate stimuli are applied *Preprint submitted to Additive Manufacturing of Polymer-based Composite Materials* September 20, 2023 <sup>29</sup> [2]. 4D printed actuators are lightweight, low-cost and relatively simple in comparison
to conventional hydraulic, pneumatic, electro-mechanical or electro-magnetic actuators [3].
<sup>31</sup> These characteristics allow them to perform a range of unique tasks, such as self-assembling,
<sup>32</sup> self-repairing, and bioprinting, not achievable with conventional actuation technologies. This
<sup>33</sup> enables the development of novel technologies for a large variety of applications, including
<sup>34</sup> autonomous sensing soft robots or morphing structures.

Although many review articles have been published in 4D printing to date, the rapidly 35 changing nature of this research field requires the publication of new reviews formulating the 36 current open research questions in the field. The addition of particle and fibre reinforcements 37 in conventional liquid crystal elastomers, hydrogels and shape memory polymers enables 38 the creation of multifunctional materials with photothermal, magneto-thermal and electro 39 thermal properties, facilitating the triggering of the actuation. Furthermore, the addition 40 of a stiffer constituent increases the load-carrying capacity of the actuators, enabling the 41 creation of next-generation actuators with superior load-carrying capacity. 42

This chapter book presents a literature review of different 4D printed composite proof-43 of-concept actuators. The different approaches are categorised considering the nature of 44 their stimuli-responsive materials and are illustrated with different examples in the litera-45 ture. Novel original experimental and numerical demonstrators created by the authors have 46 been included. The chapter exposes the disruptive capacity of each technology while ad-47 dressing the current challenges and limitations for their widespread adoption in engineering 48 applications. The potential of advanced functionalities enabled by the reinforcement is also 49 summarised. Finally, the future trends in two key engineering applications: soft robotics 50 and morphing structures are discussed. 51

#### <sup>52</sup> 2. 4D printing definition and approaches

Different methods are available to create 4D printed objects using a variety of 3D printing techniques [1] but the most common widespread technique combines different smart materials in the three dimensional space resulting in at least two metastable states in a 4D printed structure: the temporary shape, and the original shape that is recovered thanks to



Figure 1: Summary of the different manufacturing techniques, materials and stimuli used in 4D printing.

an appropriate stimulus [2]. A large amount of 3D printing technologies are available in 57 the market compatible with 4D printing, summarised in Fig. 1. This includes Inkjet print-58 ing, Fused Deposition Modeling (FDM), Stereolithography (SLA), Selective Laser Sintering 59 (SLS), Direct Ink Writing (DIW) and Digital Light Processing (DLP) [4, 5, 6, 7]. They have 60 been combined with different types of stimuli, such as water [8, 9, 10, 11], heat [12, 13, 14, 15] 61 or light [16, 2] to trigger the transformation. They can present multiple functionalities such 62 as self-sensing (the capacity to perceive information without relying on external sensors), re-63 sponsiveness (the capacity to react in response to external stimuli), shape memory recovery 64 (the capacity to recover its original shape), self-adaptability (the capacity to autonomously 65 adapt its behaviour, configuration or performance in response to changing conditions) and 66 self-repair (the capacity to detect, diagnose and repair failure autonomously) [17, 18, 19]. 67

The most critical component of a 4D printed structure is the stimulus-responsive material used. This section summarises the main approaches available in the literature, classified considering the different combinations of smart materials in one device and their nature. It presents the advantages of particle and fibre-reinforced materials over their non-reinforced counterparts. Emphasis is given to thermal-responsive approaches [20].

#### 73 2.1. Shape Memory Polymers

Shape Memory Polymers (SMPs) are smart materials with the ability to change their shape in response to an external stimulus. This property is known as the Shape Memory Effect. The Shape Memory Effect is programmed by inducing internal residual stresses that result in a metastable temporary shape. Afterwards, the SMP is subjected to an external stimulus that allows the device to release the residual stresses and recover its original shape [10].

A series of programming steps lock the temporary shape to constrain the devices and 80 induce residual stresses. As an illustrative example, in the case of thermo-responsive SMPs, 81 the temporary shape is acquired due to the differences in material behaviour at the molecu-82 lar level when exposed o high temperatures. Semicrystalline and amorphous polymers have 83 a characteristic glass transition temperature  $T_g$ , which divides the response of the materials, 84 from the original stiff response at low temperature, into a rubbery state [21]. The program-85 ming procedure takes advantage of these fundamental physics to introduce internal stresses 86 in four consecutive steps illustrated in Fig. 2: (1) heating the structure above the glass tran-87 sition temperature  $(T_g)$ , (2) deforming the object into a new shape (applying external load) 88 while the temperature is kept above  $T_g$ , (3) cooling the structure below  $T_g$  while holding the 89 external load and finally (4) releasing the structure to acquire a "temporary" shape that 90 can recover its initial shape when (5) the structure is heated above the  $T_g$  again. In some 91 cases, the programming steps are not mandatory and further details will be explained in the 92 following sections. 93

A large range of stimuli is available in the literature to trigger the shape change in shape memory polymers:

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• Temperature: Thermally responsive SMPs are the most conventional in literature. Their main physics have been explained in the previous paragraph, and will be further explored in this section.

• Light: UV radiation is generally employed to achieve shape fixation after deformation, photo-inducing the formation of new chemical bonds [22]. This mechanism can be



Figure 2: Programming steps and actuation of a conceptual bending actuator.

eventually combined with temperature-responsive motion mechanisms to achieve a reversible trigger [23].

Magnetic Fields: Magnetic nanoparticles can induce the shape change of a soft poly mer by particle realignment in the presence of a magnetic field [24]. This approach
 produces patterned magnetic polarity devices compatible with soft elastomers. This
 method does not incur in an increment of temperature and therefore is suitable for
 bioengineering applications.

Solvents and pH: Some SMPs respond to changes in solvent composition. This includes water-soluble SMPs with a relatively low critical solution temperature which defines the point at which the polymer changes from hydrophilic to hydrophobic behaviour, inducing substantial changes in mechanical properties, transparency and shape [25, 26].
 These are usually coupled to pH-sensitive shape change mechanisms, when subjected to different acidity or alkalinity conditions. They have potential applications in drug delivery systems, such as encapsulators of metallodrugs [27, 28].

<sup>115</sup> For the particular case of temperature-responsive SMPs, two kinds of heating stimuli are

available; (i) the classical external sources of heat such as an oven, hot water or radiation 116 [29, 30], and (ii) the indirect sources of heat such as the photothermal effect [31, 32, 33], 117 the induction heating effect [34] and Joule's effect [35]. The following paragraphs describe 118 the underlying physics of the different indirect heating triggering methods, illustrating them 119 with different 4D printing examples and analysing the main limitations of each technology. 120 Photothermal responsive SMPs are based on the phenomenon of transforming the elec-121 tromagnetic radiation of light into thermal energy. To provide photothermal properties to 122 polymers, previous authors have employed SMPs reinforced with carbon nanotubes (CNTs), 123 black ink, gold nanoparticles or nanorods [36]. These nanoparticles have a strong absorbance 124 in the near-infrared region, high photothermal conversion efficiency and are low cost in the 125 case of carbon nanoparticles (CNTs, graphene or carbon quantum dots). Photothermal re-126 sponsive SMPs have been successfully manufactured by Ge et al. [7] that used Digital Light 127 Processing and a methacrylate-based resin to create a shape-shifting object such as an Eiffel 128 tower able to recover from a temporary bent shape, and different objects including a grip, 129 see Fig. 3. One of the main limitations of this technology is the ability to impose high strains 130 above the  $T_g$  due to the brittle nature of these polymer blends. This is crucial to achieve 131 large changes in shape and allow the implementation of technologies where large rotation 132 angles or extensions are required. Such limitations have been found in the literature when 133 using stereolithography or Digital Light Processing manufacturing methods since they are 134 using photopolymers that are not very stretchable due to their high cross-linking density, 135 which stands for the number of cross-link molecular bonds in the polymer. 136

Temperature-responsive SMPs can also be triggered by the induction heating effect, 137 which appears when a polymer reinforced with ferromagnetic nanoparticles such as  $Fe_3O_4$ 138 is exposed to an alternating magnetic field. The rapidly alternated magnetic field goes 139 through the object and generates an electrical current, the so-called eddy current, that flows 140 through the material to heat it by the Joule effect, shifting to the second metastable shape. 141 One remarkable example of this technology includes the concepts developed by Wei et al. 142 [6] who produced a thermally and remotely actuated shape-changing SMP by direct ink 143 writing, printing ultraviolet cross-linking Polylactic acid/ $Fe_3O_4$  composite inks, see Fig. 4. 144



Figure 3: 4D printed photothermal responsive Eiffel tower that recovers from the temporary bent shape (left side) and the snapshots of a grabber that picks a screw (right side) [7]. Creative Commons CC BY license.



Figure 4: (a) Demonstration of the remotely-actuated shape-changing performance of a 4D printed nanocomposite cylinder in a 30 kHz alternating magnetic field. Reproduced with permission from [6].

This actuator has potential biomedical applications such as intravascular stents, as it is magnetically guided and remotely triggered. However, the stent requires substantial heat to be triggered and can cause unpleasant side effects in patients, which currently limits its implementation.

In 2018, Kim et al. [24] created fast-transforming 3D printed soft materials actuated by magnetic fields. The nozzle was modified to apply a magnetic field during the 3D printing process of an elastomer composite containing ferromagnetic microparticles allowing the reorientation of the particles along the applied field to create a patterned magnetic polarity device. This method does not require raising the temperature of the structure to trigger the actuation, but can be only applied to soft materials with limited load-carrying capacity.

Very recently, few publications have reported investigations on Joule's heating [37]. This approach relies on a multifunctional SMP able to change the temperature when subjected to



Figure 5: Electro-thermo-mechanical actuator. Conceptual design.

<sup>157</sup> a potential difference. The concept is illustrated in Fig. 5. When a large potential difference <sup>158</sup> is induced, and the structure is self-heated over the  $T_g$ , the stiffness drastically drops such <sup>159</sup> that it can be deformed into a temporary new shape that can be locked once the electrical <sup>160</sup> generator is switched off and the structure cools down below the  $T_g$ .

The multifunctional SMPs are reinforced with conductive fillers such as metal nanopar-161 ticles, carbon black, graphene or carbon nanotubes [38, 39, 40, 41, 42] to form a segregated 162 conductive network and acquire their electrical properties. The physical principle relies on 163 the distribution of the conductive particles to drive electricity from one pole to another, 164 this is called percolation [43]. Electrical conductivity develops through two mechanisms in 165 conductive polymers [44]: (i) electron tunnelling, referring to the "jump" of electrons from 166 one particle to the next one across distances through the polymer matrix and/or (ii) direct 167 contact of conductive particles, referring to the electron transfer via conductive filler path-168 way. The Percolation theory establishes there is a minimum volume fraction of conductive 169 filler required for the composite to transition from insulation to conductive nature, which is 170 known as the percolation threshold. This threshold depends on many factors, including the 171 chemical structure of the matrix, and the shape, size, distribution, and conductive properties 172 of the filler [45, 46, 47, 48, 49, 50]. 173

The electrical properties of a polymer composite can be defined by its resistivity, the fundamental physical property that measures the inherent resistance of the material to the



Figure 6: Snap shots of an electro-thermo-mechanical multifunctional 3D printed actuator with folding capabilities recovering its initial flat shape. Representative thermal camera temperature measurements during actuation.

flow of an electric current. When the electric current flows through a conductor with re-176 sistance, the collisions between the moving charges and the atoms in the conductor cause 177 the electric energy to be converted into heat. This phenomenon is known as Joule heating. 178 These physical principles have been experimentally exploited recently to program polylactic 179 acid (PLA) 3D printed devices reinforced with CNTs and carbon black particles, increasing 180 the temperature above the  $T_g$  indirectly with an electric generator [51, 37, 52]. The studies 181 demonstrate the feasibility of the technology to unfold layers and recover their original flat 182 shape. Fig. 6 shows an electro-thermo-mechanical 3D printed folding actuator manufactured 183 in carbon black PLA able to recover its initial flat shape after being heated by an electric 184 current. The main current limitation of this approach is the difficulty to control the temper-185 ature gradients and avoid irreversible damage and degradation of the electrical properties 186 when overheated. 187

Further efforts in the systematic characterisation of thermo-electro-mechanical proper-188 ties are crucial to ensure the successful integration of self-heated particle reinforced SMPs in 189 engineering applications and control the motion of the devices during actuation with accu-190 rate spatial and time resolutions. As reported in the literature, the resistivity of a polymer 191 increases with the temperature, showing drastic changes in trends above the  $T_g$  [53, 54]. 192 Furthermore, the response of conventional actuation technologies can vary with the number 193 of applied cycles [55]. This progressive deviation hinders the development of a robust actu-194 ation technology. The performance of the actuator may degrade over time or with repeated 195

use, which can affect its functionality and long-term reliability [51]. Addressing these issues
and improving the durability of 4D printing is crucial for advancing the field and achieving
reliable actuation technologies.

#### 199 2.2. Liquid Crystal Elastomers

Liquid Crystal Elastomers (LCEs) are soft materials that combine the elastic properties of rubbers with the anisotropic properties of liquid crystals [56]. The physical principle behind the reversible shape variation of LCEs relies on the differences in stiffness between molecular chains along different preferential directions. Side-chain or main-chain LCEs with liquid crystal mesogenic molecules (or mesogens) can undergo a large contraction along the direction of the mesogens under external stimulus [57, 58], resulting in a prescribed deformation and motion.

The most conventional stimuli employed to trigger the shape-shifting functionality of LCEs are heat, organic solvents or light [59]. The exposure to such stimuli induces phase transitions of the molecular chains, aligning the molecules to modify the stiffness of the material. The main drawback to implementing this technology in engineering applications relies on the impracticality of applying the external stimuli (e.g., heating the surrounding air, immersion in hot water or exposure to infrared light) to induce the actuation process.

To overcome such limitations, current research efforts in LCE 4D printing are focused 213 on the development of technologies with integrated self-triggering systems. For instance, 214 Urayama et al. [60] developed a novel magnetic device able to align the polymer chains 215 when subjected to an electric field. This approach led to a variable stiffness as a function 216 of the particle orientation, and different electrooptical and electromechanical effects as a 217 function of the cross-linking density. However, this technology currently presents a very 218 low energy transformation efficiency, way lower than the conventional 40% ratio, since the 219 energy applied with the magnetic field is much larger than the mechanical energy produced 220 during the deformation of the device. 221

Alternatively, temperature gradients can be controlled by electrical circuits that can provide local heating to the structure. Yuan et al. [61] demonstrated the potential of LCEs



Figure 7: Thermo responsive fibre reinforced LCE actuator [66]. (a) and (b) recovery of the original shape from different twisting angles. (c) Combination of the previous actuators in a proof-of-concept grip holding a foam. Reproduced with permission from Elsevier.

in 4D printing to achieve reversible actuation with an integrated electric-control system. In 224 their work, LCE films were pre-fabricated [62, 63] and manually installed on 3D printed 225 objects [64, 65]. To achieve thermal actuation, conductive silver nanoparticle ink wires were 226 also printed at the locations where the LCEs would be installed. After inducing an electrical 227 current, the printed circuit produced Joule heating, increasing the temperature of the LCE 228 strip and resulting in an overall bending deformation of the 3D printed structure. When the 229 current was switched off, natural convection gradually cooled the actuator, leading to the 230 recovery of the original flat shape. 231

Recently, Xia et al. [66] developed fibre-reinforced LCE composites to eliminate the need for secondary conductive wires, developing temperature-responsive grippers with modest load carrying capacity, see Fig. 7. This multifunctional composite actuator has a simpler design in comparison to previous approaches, showing the potential of composite LCEs over conventional LCEs to facilitate actuation. The reliability of actuation after cyclic eletrothermal loading is a current open research question.

All the previous LCE approaches demonstrated reversible actuation, being a promising solution to fully develop the field of soft robotics. However, the technology still presents several limitations that hinder its implementation. First, the actuation speed which is very slow and limits its use for dynamic responsive applications. Second, the low TRL of the composite actuators, and the additional complexity of the self-triggered systems which rely on secondary elements such as metallic wires that can be damaged after multiple cycles. Finally, due to the rubbery nature of LCEs, their load-carrying capacity is limited, resulting in very compliant hinges not suitable for applications with large stress solicitations such as heavy-duty robots or morphing of primary structural components.

### 247 2.3. 4D printed shape-shifting multimaterials and hydrogels

The possibility to print objects with more than one material has allowed huge progress in 4D printing. This technology induces local strain gradients when combining materials with different mechanical properties, localising the change of shape on a specific area [67]. Nowadays a large number of commercial 3D printing systems enable multi-material printing including composite hydrogels (water-responsive [8, 68] or thermal-responsive [69, 70]), SMP composites (fibre-reinforced active composites [71, 72] or bilayer SMP composites [73, 74]), multi-material SMP [14, 75] and desolvation-induced multi-materials [76, 77].

Hydrogels are hydrophilic materials that can be used in combination with other polymers to create an actuator. The main physical principle relies on their ability to imbibe large amounts of water making them swell. They have been used by Tibbits and coworkers [8] to create multi-material 3D printed structures composed of rigid polymer beams and hydrophilic rubber hinges that swelled when exposed to water. The hydrogel expansion created when drawn into water triggered the movement of the hinge that caused the joints to fold.

Hydrogels have also been used by Mao et al. [68] to create a reversible shape-shifting structure, see figure 8. Their technology combines hydrogels and SMPs in a multilayered structure, composed of an SMP top layer, an elastomer layer at the bottom, and the hydrogel layer in the middle. This hydrogel layer is 3D printed with holes to let the water go through and trigger the shape transformation. When the structure is drawn into cold water, the stiffness of the SMP is high enough to constrain the deformation induced by the swelling of



Figure 8: The reversible actuation component where the hydrogel is confined by the SMP and the elastomer layers. Schematic plots for a typical two-way actuation: In S1, the sample is immersed in water at low temperature; in S2, it is brought to a high-temperature environment and bends; in S3, it is cooled down to a low temperature; in S4, it is allowed to dry; in S5, it is heated to recover the original printed shape [68]. Creative Commons Attribution 4.0 International License.

the hydrogel, however, when drawn in hot water the SMP's stiffness drops drastically and 268 releases the hydrogel stresses, inducing the bending of the structure. As soon as the structure 269 is subsequently cooled and dried, it is locked in a temporary shape that is triggered with a 270 second heating cycle that makes it recover its initial shape, resulting in two different shapes 271 that can be inverted without the help of any mechanical forces. Similar methods have been 272 used with thermal-responsive hydrogels to create 4D printed structures [69, 70, 78]. The 273 main disadvantage of hydrogels consists of the reliance on water immersion to acquire the 274 new shape, which drastically limits the application of the technology for real world devices. 275 Unlike hydrogels, multilateral SMP actuators need to be programmed [79, 71]. Ge et al. 276 [12] printed a composite based on a rubbery polymer (TangoBlack, an acrylic-based material 277 created by Stratasys) with embedded rigid polymer fibres (veroWhite, another acrylic-based 278 resin created by Stratasys) using a polyjet 3D printer. With a glass transition temperature 279 of around 60°C, the fibres were used as SMPs and programmed to a temporary shape. Thus, 280 depending on the fibres distribution/fraction/orientation, complex movements were obtained 281



Figure 9: SMP composite actuator (a) CAD design. (b,c) The deformation behaviour of the active insect when put in hot water with temperatures of 30°C. (d) Rotated view of the structure in hot water. (e) Recovered shape in 60 °C water [15]. Creative Commons CC BY 4.0 License.

such as bending and twisting, to acquire coiled and folded final shapes. This concept has 282 been further investigated by Wu et al. [15] where different combinations of rigid and rubbery 283 polymers were employed to change the glass transition temperature and stiffness, resulting 284 in a laminated composite structure capable of achieving multiple shape changes at different 285 temperatures. Figure 9 shows a structure able to mimic an insect thanks to the combination 286 of SMPs with different glass transition temperatures. The main drawback of this technology 287 is the reliance on an external heat source such as hot water, which can limit its applicability 288 in various engineering applications. 289

### <sup>290</sup> 3. Advanced functionalities

4D printing refers not only to shape-shifting but also to changes in material properties or functionality over time. For example, 4D printing has transformed electronic devices into shape-shifting functional devices [80, 77], produced devices with optical or electrical shapedependent properties [81], and allowed the development of devices with time-dependent functional properties such as tissue maturation [82], biodegradability [83, 84], self-healing [85, 86, 87] or colour shifting [88]. This section summarises a variety of advanced functionalities.

#### <sup>297</sup> 3.1. Programmable free, shape-shifting devices

Despite all the advantages reported for SMPs, thermomechanical programming can be restrictive, so removing these programming steps by incorporating the internal stresses di-



Figure 10: (a) The direct 4D printing approach exploits the ability to print multimaterial composites with internal stresses [89]. Creative Commons BY-NC 4.0 License. (b) Temporary shape of the folded 2D lattice taken out from 70°C water, then recover the original shape of the 2D lattice when plunged into 70°C water again, exhibiting a shape-memory effect [90]. Creative Commons Attribution 4.0 International License.

rectly during 3D printing presents a clear breakthrough in the development of autonomous 300 4D printing. Ding et al. [89] used this process to create a bilayer laminated strip com-301 bining a soft elastomer and a variable stiffness SMP. Internal stresses were controlled with 302 the printing parameters and were released as soon as the structure was heated above  $T_q$ , 303 changing its shape into a new permanent position, see figure 10(a). An et al. [74] printed a 304 so-called thermorph, a bilaver actuator composed of a PLA SMP active layer and a thermo-305 plastic polyurethane constraining layer. The composite was printed flat and was triggered 306 to self-fold into complex 3D geometries (up to 70 faces) such as a chair. 307

Other techniques that do not require programming steps employ the heat-shrinkable properties of SMPs. Indeed, when heated above the glass transition temperature, internal stresses induced during the 3D printing process are released, triggering the change in shape [91]. Zhang et al. [90] used FDM to print PLA 2D lattice structures to fold/unfold and trigger the pattern transformation in a controllable way, see figure 10(b). The main disad-



Figure 11: Triple-SME in the printed SMP box. Snapshots during the shape memory cycle, where  $K_1$  denotes the curvature of the two horizontal sides, and  $K_2$  denotes the curvature of the two vertical sides [92]. Reproduced with permission from Elsevier.

vantages of these techniques are the possibility to trigger the actuator only once. They are
also very sensitive to manufacturing parameters, making them barely reproducible.

Multi-shape actuators usually rely on a variety of transition temperatures (e.g., glass, 315 melting) or a broad transition range. Yu et al. [92] introduced a new method that did not 316 require a programming protocol, resulting in automatic shape change to multiple metastable 317 positions. They used an epoxy-based photocurable resin with a broad temperature range 318 of glass transition events around its  $T_q$  (approx 40 °C). The range of glass transition tem-319 perature was used to create two temporary shapes, hence creating a multi-SME according 320 to the temperature [93, 94, 95, 96]. A triple shape memory square is shown in Figure 11. 321 Upscaling this technique is a current open research question due to the technical difficulties 322 to 3D print this polymer blend. 323

#### 324 3.2. Reversible actuation

Most of the reversible actuation technologies available rely on Liquid Crystal Elastomers 325 (LCEs, see section 2.2). They present a reliable solution for reversible actuation but with 326 limited load-carrying capacity [59]. Very few examples of reversible SMP actuators have been 327 reported in the literature. Wang and Li [97] combine the active SMP layer with a rubbery 328 polymer to obtain a reversible actuation. They took advantage of the internal stresses 329 induced by the 3D printing process when printing at high speeds (60 mm/s) to obtain a 330 temporary bent SMP, avoiding the initial programming steps, able to shift to a flat shape 331 at high temperatures when heated in an oven. The actuator included a passive confinement 332 rubbery layer to induce internal stresses after cooling due to the different thermal expansion 333 coefficients between the materials. As a result, the actuator recovered the post-printing bent 334 shape, and the actuation could be triggered multiple times. The main disadvantage of this 335 technology is the poor reproducibility of the residual stresses induced by the 3D printer, and 336 the loose of functionality after several actuation cycles due to the progressive relaxation of 337 the viscoplastic materials [98]. 338

Reversible actuation can also be achieved by combining two SMPs with different glass 339 transition temperatures and thermal expansion coefficients. Figure 12 (a) shows an example 340 of a morphing structure 3D printed in conductive carbon black PLA and Acrylonitrile buta-341 diene styrene (ABS). The structure was composed of three beams which can be programmed 342 at different bending angles. Figures 12 (b), (c) and (d) show the performance of a beam 343 initially programmed by Joule's heating at 80°C, above the  $T_q$  of the PLA, but below the  $T_q$ 344 of the ABS polymer. Afterwards, it was subjected to three consecutive warming and cool-345 ing cycles at 100°C, the beginning of the ABS  $T_q$ . Figure 12(e) shows the evolution of the 346 bending angle as a function of the time and the temperature. The first motion mechanism 347 registered during actuation was the shape memory effect of the PLA, which straightened the 348 beam from the temporary 130° bent angle, see Fig. 12(b) to 162°, see Fig. 12(c). Afterwards, 349 during cooling, the difference in thermal expansion coefficient produced a second bending of 350 the beam, generating a new temporary position, see Fig. 12(d). Consecutive cycles triggered 351 reversible bending with changes of 8° in bending angle. 352



Figure 12: (a) Morphing structure prototype. (b), (c) and (d) response of the bipolymer beam at different time frames during the actuation stage. (e) Evolution of the bending angle as a function of temperature.

## 353 3.3. 4D bioprinting

The term 4D bioprinting refers to the 3D printing of biocompatible materials or living 354 cellular structures that evolve over time after being printed [99]. This includes both shape-355 shifting biomaterials and the maturation of engineered tissues [82]. Kuribayashi-Shigetomi 356 et al. [100] developed a self-folding origami cell formed by 3D cell-laden microstructures 357 thanks to the cell traction force. Using microplates without a flexible joint, hollow mi-358 crostructures with relatively low bending stiffness can be self-folded into shapes such as a 359 cube, see figure 13(a). This process requires advanced 3D printers capable of manufacturing 360 microarchitectures with resolutions of 3 to 4  $\mu$ m and must be totally bacterial free according 361 to bioprinting standards. 362

<sup>363</sup> 3D printing of porous bioscaffolds shows tremendous potential for soft tissue reconstruc-<sup>364</sup> tion [101, 102, 103]. Kang et al. [104] reported an integrated tissue–organ printer that can <sup>365</sup> fabricate stable, human-scale tissue constructs of any shape, such as in vivo bioprinted ear



Figure 13: (a) Regular tetragon, regular dodecahedron and cylindrical tube with self-folding capabilities [100]. Creative Commons CC BY 4.0 License.

constructs. However, in addition to the previous limitations, this method has limitations in
the size and stability of the printed organs, and it is currently unaffordable in comparison
to conventional prosthetics.

## 369 3.4. 4D self-healing

In order to extend the lifetime of material systems, self-healing materials have been fabricated to enable structural restoration and function recovery [105, 106]. Healing occurs at molecular level due to dynamic bond exchange reactions. These are typically achieved by using different types of dynamic covalent bonds, such as the Diels–Alder (DA) reaction [107, 108], transesterification [109, 110], Schiff base chemistry [111, 112] and disulfide metathesis [113, 114].

Recently, Kuang et al. [87] developed UV-assisted direct ink writing (DIW) printing to fabricate a semi-interpenetrating elastomer network with a complex structure, high strain shape memory effect, and repeated self-healing capability. The diffusion and re-entanglement of linear polycaprolactone (PCL) chains in crack interfaces upon heating led to repeated crack healing, see figure 14(a). In addition, the effect of high strain shape memory could help heal large cracks in Vitrimers or covalent adaptive networks, changing their network topology through bond exchange reactions [115].

Yang et al. [117] developed a reversibly cross-linked thermoset based on furan-maleimide DA links for FDM printing. DA thermosets could be de-cross-linked and melt during printing at elevated temperatures (>90 °C) and re-cross-linked at lower temperatures due to their entropically favourable state [118, 107]. Appuhamillage et al [86] blended a DA polymer with commercially available PLA to achieve remarkable mechanical properties by using the reversible DA reaction to improve the interfacial bonding properties after printing.

More recently, Zhang et al. [116] used a two-step polymerization strategy to develop 3D printed reprocessable thermosets that impart reshapeability, repairability, and recyclability into 3D printed structures see figure 14 (b). These recyclable and healable 3D printing methods contribute to alleviating environmental challenges associated with the continuous increase in consumption of 3D printing material.



Figure 14: (a) Self-healing process for printed Archimedean spiral structure. Reprinted with permission from [87]. Copyright 2018 American Chemical Society. (b) Demonstration of the ability of the material to repair flawed printed structures: surgery on a damaged rabbit [116]. Creative Commons Attribution 4.0 International License.

To date, the development of composite actuators with self-healing capabilities has been 394 poorly reported in the literature. Delbart et al. [53] investigated the self-healing capacity 395 of a multifunctional carbon black-reinforced PLA composite subjected to Joule heating, see 396 Fig. 15. The evolution of void content was analysed by tomography, showing a progressive 397 reduction in void content when increasing the temperature of the device above the  $T_q$  due 398 to the resin flow. Reductions of 63 % in void/material pixel ratios were registered after one 399 Joule heating cycle, with the possibility to reduce up to 96 % of void content for annealing 400 processes. Despite the potential, the use of the previous technology to remove and heal 401 larger cracks is a current open research question, but it could be employed to repair these 402 actuators, for instance, after low-velocity impacts. 403

#### 404 4. Modelling

Considering the complexity of the couple electro/magnetic/photo/thermal-mechanical response of these novel actuators, modelling can play a crucial role to gain deeper insight into



Figure 15: Representative tomographies showing the progressive reduction of void content in a carbon black PLA 3D printed component subjected to successive Joule heating cycles.



Figure 16: Numerical simulation of the hydrogel actuator [119]. Creative Commons CC-BY-NC-ND license.

the underlying physics involved in the mechanical response during the different programming
 steps and actuation.

Sosson et al [119] developed a modelling framework for simulating smart materials con-409 sidering a voxel basis. The modelled smart materials were limited to nonprogrammable 410 shape-shifting SMs, including piezoelectric materials, electro-/magneto-/photostrictive ma-411 terials, and hydrogels. They used the material properties characterised by Westbrook and Qi 412 [120] to predict hydrogel shrinking and imposed bending. This motion was created by pat-413 terning the hydrogel (red) into another non responsive hydrogel (blue) as shown in Fig. 16. 414 For instance, this framework has been employed to optimise the final shape of a plane wing 415 [121], see figure 17. 416

Other approaches based on the Finite Element Method (FEM) focused on the devel-417 opment of actuators for soft robotics [122, 123] or morphing structures [124], providing an 418 efficient method to optimise the topology and the motion of the devices. The FE mod-419 els provide insights into the actuator behaviour under different inputs such as pressures 420 [122] or temperature [125]. The bending angles and output forces of the actuator can be 421 characterised experimentally and used to validate the models. In addition, FEM can pre-422 dict the force distribution for different sets of design parameters, considering geometrical 423 characteristics such as wall thicknesses and cross-sectional widths [122]. 424

The level of complexity in the modelling approaches found in the literature is directly linked to the complexity of the physical system being studied. Therefore, technologies that



Figure 17: Wing twist problem formulation [121]. Creative Commons CC-BY license.

involve internal self-triggering mechanisms and couple the thermo-mechanical behaviour 427 with electro/photo or magnetic properties pose greater technical challenges and demand 428 additional efforts to achieve the necessary level of accuracy for engineering predictive tools. 429 For example, one action to improve the accuracy of the prediction of temperature-430 responsive SMPs is to populate the existing experimental database of material properties, 431 with a focus on the plastic response of polymers across a large temperature range. This is 432 challenging experimentally, in particular above the  $T_g$  since the equipment for mechanical 433 polymer testing does not conventionally adapt to the rubbery response on that range of 434 temperatures. Most of the authors usually employ Dynamic Mechanical Analysis to charac-435 terise the storage modulus of the polymer, and afterwards obtain indirectly the equivalent 436 Young's Modulus [97, 126], but that methodology does not provide information on the plas-437 tic response of the polymer, which is crucial in 4D printing applications undergoing large 438 deformations. Conducting additional experiments and measurements to gather data points 439 that accurately represent the material's behaviour under different temperature conditions 440 is therefore necessary for the implementation of temperature-dependent constitutive models 441 with improved predictive capabilities. 442

Another limitation to model 4D printing relies on the development of robust multiscale 443 modelling approaches. The development of mesoscale models that discretise the individ-444 ual filaments and their interaction with the adjacent ones is crucial to predict the internal 445 stresses obtained during the 3D printing process which influences the behaviour of the sam-446 ple during actuation [127, 128]. However, the computational cost of such models is currently 447 prohibitive, thus homogenised macromechanical approaches are used instead, losing resolu-448 tion of the physics occurring at microscale level [129, 130]. Furthermore, microscale models 449 [131] that can account for the stochastic distribution of voids and defects in 3D printed 450 samples are key to unravelling the role of each filament in the overall motion of the actua-451 tor, providing crucial information to optimise the internal architecture and design actuators 452 with enhanced reliability. 453

#### 454 5. Engineering applications and future trends

4D printed actuators offer several advantages over conventional hydraulic, pneumatic, 455 electromechanical, or electro-magnetic actuators [3]. They are lightweight and compact, 456 enabling the implementation of morphing technologies not compatible with complex me-457 chanical systems. Compared to traditional actuators, 4D printed actuators are low-cost 458 and require fewer parts, resulting in reduced material and energy costs. Their simplified 459 design and construction also leads to shorter assembly times and lower maintenance re-460 quirements. Potential engineering applications may include the deployment of origami solar 461 arrays [132, 133], soft robotics [134, 123], intravascular and trachea stents [135, 24, 136], 462 reflector antennas [137, 138] or tissue engineering [139, 140]. 463

Despite the previous advantages, it is important to note that the current capabilities of 4D printing do not match the performance level of conventional actuators [141]. While smart materials can adapt to the environment, their functionality is limited compared to the capabilities of mechanical actuators, which offer a reliable and adaptable motion for various applications. 4D printed actuators require careful design and customization for specific applications, and their versatility is limited compared to the wide range of applications that can be achieved by adjusting operational parameters of off-the-shelf mechanical actuators. For example, mechanical actuators can vary rotational speed simply by adjusting intensity, and pneumatic actuators provide a holding force by adjusting the pressure. The following sections will outline the main challenges associated with implementing 4D printing in two key engineering applications: soft robotics and morphing structures, and how 4D printed composites can contribute to advance the field.

#### 476 5.1. Soft robotics

The development of advanced autonomous soft robots requires the integration of a sensing control system that can dynamically adapt the response to different robotic operations such as gripping or human-machine interaction [142]. Conventional approaches in the literature incorporate electromechanical servos [143] or pneumatic actuators [144] into the 3D printed structure, however, the integration of these actuators results in complex components with relatively higher manufacturing, assembly, and maintenance costs than the counterpart emerging 4D printing technology.

The field of soft robotics can be potentially transformed by disruptive 4D printing tech-484 nologies. Soft smart materials possess an adaptive shaping capacity, which is particularly 485 advantageous for mimicking biological behaviours [145, 146]. To date, most of the con-486 cepts for soft robotics incorporate LCEs to create sensing robots that can shape-shift when 487 subjected to external stimuli such as light [145] or heat [147, 61]. Despite the potential, 488 LCEs on their own are not compatible with conventional control systems used in robotics. 489 Considering the previous, composite LCEs with multifunctional characteristics can enable 490 the implementation of closed-loop control technologies [66], without the need to rely on 491 secondary heating circuits based on copper or silver to warm the structure and trigger the 492 actuation [147, 61]. 493

The development of alternative 4D printing technologies based on composite LCEs and SMPs integrated within an electrical circuit is crucial to exploit the future abilities of soft robots. 3D printing allows the implementation of electrical circuits [39, 148, 149, 150] and integrated sensors [151, 152, 153] that can be employed to control the actuators and provide autonomy to the devices through an integrated control system [134]. Current efforts to



Figure 18: Design of a 4D printed finger. (a) Example of phalanxes rotations. (b) 4D printed finger concept.(c) Numerical simulation of the mechanical response during a bending cycle, showing a good correlation with the physiological response.

replace these conventional actuators are focused on the development of 4D printed joints using multifunctional SMPs [154]. Fig. 18 shows the conceptual design of a simplified 4D printed finger with two phalanxes composed of a rubbery matrix and SMP fibres. The virtual component is able to mimic the rotations of the phalanxes, showing a bending cycle during actuation. This technology can be potentially manufactured with multifunctional temperature-responsive composites to allow controlling the movement and rotations as a function of an applied voltage.

Despite the previous evidence of disruptiveness, further efforts are needed to ensure these technologies are (i) reliable when subjected to continuous cycles, (ii) fully reversible without the need for additional programming steps and (iii) responsive at given rates with improved actuation speed to adapt to dynamic robotic operations.

#### 510 5.2. Morphing structures

Morphing structures refer to systems that can autonomously alter their shape, mimicking organisms in nature [155]. They can undergo controlled transformations, enabling them to adapt and optimise their performance to changing environmental conditions or user requirements. The change in shape can be triggered by external stimuli or internal control mechanisms that will induce either a tensile or flexural deformation of the material, or



Figure 19: Triangular deployable trusses. (a) Conceptual design. (b) Sketched of the hinge smart joints. (c) Load carrying capacity of the deployed structure. (d) Snap-shots of the deployment process [160]. Creative Commons Attribution-NonCommercial-ShareALike 4.0 International License.

<sup>516</sup> folding at creases [141].

The development of 4D printing plays a crucial role in providing the actuation capability necessary for hierarchical motion in a variety of applications such as variable aerodynamic drag [156, 157], variable stiffness [158] and deployable structures [159, 160], see Fig.19. However, there are several challenges to address before 4D printing is implemented into those real-world applications. This section focuses on load-carrying capacity, recovery of folded creases and simultaneous actuation for large structures.

One of the main limitations of 4D printing is its overall poor load-carrying capacity. This limitation arises from the inherent trade-off between compliance and stiffness in traditional structural materials. Shape-changing objects require a certain level of compliance to undergo large rotations and deformations, which is often at odds with the requirements for structural integrity and load-bearing capabilities. The majority of morphing structures found in the literature employ either soft polymers [124], or Kirigami principles [161, 162]. Incorporating soft polymers or adding cuts and holes in stiff layers allow for increased compliance of



Figure 20: Example of mimetic morphing structures with energy absorption capacity based on dome-shaped creatures. (a) mushrooms and (b) sea urchins. (c) The recovery process of the deformed sample when the temperature is increased [165]. Reproduced with permission from Elsevier.

hinges, enabling significant deformation and rotations in the morphing structure. However,
these approaches may not meet the loading requirements of heavy-duty robots or structural
applications.

To address this limitation, innovative approaches have been developed to combine the 533 benefits of shape-changing materials with structural materials such as metals or composites. 534 This includes the integration of hybrid materials, such as Shape Memory Alloys [163] or 535 composites [164] that incorporate both compliant and stiff elements. Dezaki et al. [163] 536 found a balance between load-carrying capacity and shape-changing capabilities to manu-537 facture a gripper with improved load-carrying capacity able to hold a weight up to 300 gr. 538 Biomimetic morphing structures have also been developed to improve the impact resistance 539 [165]. Shape-morphing domes with variable mechanical properties have been produced and 540 evaluated, finding a correlation between the number of circular units and the energy absorp-541 tion capacity, see Fig. 20. 542

Aiming at the same objective but focusing on a different approach, certain authors are developing smart actuators that do not rely on the Shape Memory effect. Their rationale is based on the idea that by avoiding operational temperatures above the glass transition



Figure 21: Bending actuator presenting a bending cycle. Figures (a), (b) and (c) at temperatures below the  $T_g$ , exhibit a larger bending due to the differences in thermal expansion coefficients. Figures (d), (e), and (f) at temperatures above  $T_g$ , show the recovery of the original flat shape due to the shape memory effect.

temperature  $(T_q)$ , actuation can still be achieved while preserving the mechanical proper-546 ties of the active polymer layer. Recent investigations focus on the difference in thermal 547 expansion coefficient between adjacent materials that could produce a significant motion 548 below the  $T_q$ . Compared with the Shape Memory Effect, this mechanism is reversible and 549 quite repeatable, since it limits the degradation of the materials [37]. This principle has 550 been employed by Zhang et al. [166] to create a self-folding lattice structure with variable 551 global stiffness, and by Shao et al. [167] to manufacture grippers. The main disadvantage 552 of this approach is the scalability of the bi-polymer layered structure since it usually relies 553 on a passive rubbery layer that is difficult to produce. Fig. 21 shows a bilayer TPU/PLA 554 bending actuator that presents both motion mechanisms. For temperatures below  $T_q$ , a 555 larger bending is induced due to differences in the expansion coefficient. Above the  ${\cal T}_g$  the 556 actuator recovers each original printed flat shape. 557

<sup>558</sup> 4D printing technologies also present limitations on folding and recovery capabilities, <sup>559</sup> which is crucial for the manufacturing of autonomous self-deployable origami structures <sup>560</sup> [168]. Origami allows folding truss or membrane structures in a relatively flat volume fol-<sup>561</sup> lowing a corrugation pattern, so they can be confined in small spaces. Some examples include <sup>562</sup> car airbags [169], paper shopping bags or sophisticated solar panel arrays [170].

The term rigid origami applies to structures with non-negligible thickness and consists 563 of rigid panels divided by creases that act as hinges [171]. The stress-state on a crease is not 564 uniform and varies along its entire length. The magnitude of the strain gradient for a given 565 material depends on the crease geometry in terms of angle and radius, which defines the 566 sharpness of the fold. The main implications for 4D printing consist of the generation of large 567 plastic irrecoverable deformations at the creases due to the large strain gradients when the 568 structure is folded. This problem is worsened for thermo-responsive devices programmed at 569 temperatures above the  $T_g$  since the behaviour of the material is dominated by a viscoplastic 570 response at that stage. 571

This plastic deformation avoids the full recovery of the original 4D printed shape. The 572 recovery ratio is a trade-off between the compliance of the hinge and the curvature of the 573 fold. For rigid SMP layers, full recovery for 4D printed layers is reported in the literature for 574 very large curvature radii [172], and a progressive loss in the recovery function is reported 575 as the curvature decreases [51]. This issue can be alleviated by lowering the programming 576 temperatures, ensuring the plastic deformation imposed in the fold is minimised, but still 577 allowing the development of the Shape Memory effect [37]. The optimisation of the program-578 ming temperatures to increase the sharpness of the fold and implement origami solutions 579 with rigid 4D printed hinges is a current open research question. 580

Finally, the simultaneous triggering of multiple actuators in large self-deployed struc-581 tures is also a field that requires further research efforts. Most of the proof-of-concepts 582 in the literature focus on small devices that cannot be scaled to large structures such as 583 solar origami arrays. For instance, it might be difficult to operate simultaneously thermal-584 responsive actuators distributed along large deployed structures due to the presence of sub-585 stantial temperature gradients [8]. To conceive feasible 4D printing technologies for large 586 morphing structures it is needed to further develop control systems that integrate actuators, 587 sensors and control algorithms to actively drive and regulate the morphing process, ensuring 588 autonomous, precise and reliable transformations. 589

#### 590 6. Conclusions

The field of actuator technologies has seen significant advancements with the emer-591 gence of 4D printing and the utilisation of smart materials. 4D printed actuators offer 592 lightweight, compact, and cost-effective solutions compared to conventional hydraulic, pneu-593 matic, electro-mechanical, or electro-magnetic actuators. They provide the ability to achieve 594 complex motions, shape changes, and adaptability in response to stimuli. However, the cur-595 rent capabilities of 4D printing and smart materials still fall short of the performance level 596 offered by conventional actuators. In particular, they lack the versatility, accuracy, reliabil-597 ity, functionality and load-carrying capacity of conventional actuators. 598

Composite 4D printing has the capacity to alleviate some of the previous issues. Com-599 posite 4D printing refers to actuators reinforced with particles or fibres, or hybrid structures 600 composed of more than one material. The incorporation of conductive and magnetic fillers 601 into conventional soft materials, such as shape memory polymers, liquid crystal elastomers, 602 or hydrogels, offers the potential to acquire multifunctional properties. These fillers enable 603 self-triggering capabilities, eliminating the need for external stimuli or secondary triggering 604 systems to activate the shape memory effect. In the case of thermal-responsive devices, 605 this enables self-induced shape changes due to the photothermal, induction, or Joule effect. 606 Electro-thermo-mechanical devices are of particular interest due to their possibility to be 607 controlled by an electrical circuit compatible with the close-loop technologies developed for 608 autonomous robots. 609

Hybridisation with a second constituent (responsive or not) with different physical properties has been employed to incorporate additional motion mechanisms. This includes differences in thermal or swelling expansion coefficients. Those motion mechanisms can be combined with the shape memory effect to create multi-shape actuators with advanced functionalities, such as programmable free, multi-shape and reversible actuators. Furthermore, soft materials have been hybridised with stiffer constituents such as carbon fibres to increase the load-carrying capacity, at the expense of limiting the motion of the devices.

<sup>617</sup> Despite the previous significant developments, the current capabilities of 4D printing

still do not match the performance of conventional actuators, and further research efforts 618 are necessary to mature 4D printing technologies and implement them in fields such as soft 619 robotics and morphing structures. Several open research questions still exist in the field, 620 primarily focused on three key areas: the development of new materials, virtual optimisation 621 and design exploration and integration with sensing and control systems. Additional ther-622 moplastic polymers, including unidirectional reinforced composites, should be considered in 623 future stages. Reinforcing with continuous fibres would increase the loading capacity, how-624 ever, the continuous fibres will need to be strategically placed to maximise the motion of the 625 actuator. The reinforcement would also influence the electrical conductivity of the printed 626 actuator, therefore it needs to be carefully selected to ensure a low electrical resistance while 627 being compatible with the glass transition temperature of the polymer matrix. Numerical 628 approaches should focus on the development of high-fidelity element models. Multiscale sim-629 ulation frameworks with temperature-dependent constitutive models need to be developed 630 to enhance the accuracy and predictive capabilities of actuator designs, and provide valu-631 able insights into the behaviour and performance of these systems. Finally, multifunctional 632 4D printed composites could integrate 3D printed sensors to monitor the temperatures and 633 deformations during the programming and triggering stages. Future investigations can focus 634 on the development of a closed-loop control and autonomous operation, able to self-regulate 635 the applied voltages to achieve a desired thermo-response and motion. This technology 636 should enhance the actuator's responsiveness and precision. 637

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