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1 **Biallelic variants in the ER quality control mannosidase gene *EDEM3* cause a**
2 **congenital disorder of glycosylation comprising non-specific intellectual disability**

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60 **Abstract**

61 *EDEM3* encodes a protein that converts Man₈GlcNAc₂ isomer B to Man₇₋₅GlcNAc₂. It
62 is involved in the endoplasmic reticulum-associated degradation pathway, responsible for the
63 recognition of misfolded proteins that will be targeted and translocated to the cytosol and
64 degraded by the proteasome. In this study, through a combination of exome sequencing and
65 gene matching, we have identified 11 individuals from six independent families with biallelic
66 protein truncating variants and one family with a compound heterozygous missense variant
67 in *EDEM3*. The affected individuals present with a novel inherited congenital disorder of
68 glycosylation (CDG) consisting of neurodevelopmental delay and variable facial
69 dysmorphisms. Experiments in human fibroblast cell lines, human plasma, and mouse
70 plasma and brain tissue, demonstrated decreased trimming of Man₈GlcNAc₂ isomer B to
71 Man₇GlcNAc₂, consistent with loss of *EDEM3* enzymatic activity. In human cells,
72 Man₅GlcNAc₂ to Man₄GlcNAc₂ conversion is also diminished with an increase of
73 Glc₁Man₅GlcNAc₂. Furthermore, analysis of the unfolded protein response showed a reduced
74 increase in *PERK* expression upon stimulation with tunicamycin as compared to controls,
75 suggesting an impaired unfolded protein response. The aberrant plasma N-glycan profile in
76 patients provides a quick, clinically available test for validating variants of uncertain
77 significance that may be identified by molecular genetic testing. We propose to call this
78 deficiency *EDEM3*-CDG.

79

80

81 **Main Text**

82 The endoplasmic reticulum-associated degradation (ERAD) pathway recognizes
83 misfolded proteins that will be targeted and translocated to the cytosol and degraded by the
84 proteasome¹⁻⁴. The best characterized system is dedicated to N-linked glycoproteins, the
85 glycoprotein ERAD (gpERAD) pathway. Proteins that fail to fold or assemble properly are
86 subjected to degradation via specific trimming of high-mannose-type glycans in the lumen of
87 the ER^{5,6}. In mammals, EDEM2 and ERman1 are known to primarily catalyze the first step,
88 conversion of Man₉GlcNAc₂ (M9) to Man₈GlcNAc₂ isomer B (M8B)¹⁻³. EDEM3 and EDEM1
89 are further involved in catalyzing the second step, the conversion of M8B to Man₇GlcNAc₂
90 (M7)⁷⁻¹². These products are then recognized by lectin OS-9 in the ER lumen and targeted
91 for degradation in the cytosol¹³.

92 Cells can degrade misfolded proteins from the secretory pathway using both the
93 glycan-dependent and glycan-independent ERAD pathways¹⁴⁻¹⁶. The presence of misfolded
94 ER proteins is harmful to cells and is involved in several congenital disorders of glycosylation
95 (CDG)¹⁷⁻²⁰, such as PMM2-CDG (OMIM 212065), MPI-CDG (OMIM 602579), ALG6-CDG
96 (OMIM 603147), DPM1-CDG (OMIM 608799), ALG12-CDG (OMIM 607143), and DPAGT1-
97 CDG (OMIM 608093). If this degradative process fails to effectively remove misfolded and
98 abnormal proteins from the ER, then the unfolded protein response (UPR) is initiated¹⁻⁴.
99 UPR is critical to maintain cellular homeostasis. In mammals, three classical ER stress
100 markers are known to reflect induction of the UPR: protein kinase RNA-like endoplasmic
101 reticulum kinase (PERK), activating transcription factor 6 (ATF6) and inositol requiring
102 enzyme 1 (IRE1)^{21,22}. Upon protein overload in the ER, UPR signaling via the PERK, ATF6,
103 and IRE1 pathways is activated to increase ER capacity, inhibit translation, and stimulate the
104 removal of excess and misfolded proteins²³⁻²⁶. Persistent activation of UPR can lead to
105 apoptosis²⁷⁻²⁹.

106 Here, we describe 11 individuals from six independent families with biallelic protein
107 truncating variants and one additional individual with a compound heterozygous missense
108 variant in *EDEM3* (OMIM 610214) identified by exome sequencing (**Fig. 1**) and collected

109 through GeneMatcher³⁰. The parents or legal guardians provided written informed consent.
110 All relevant approvals from the institutional ethics committees of the participating institutions
111 were obtained. In patients from families 1 and 2, a biallelic frameshift deletion, c.1859del,
112 p.(Ile620Thrfs*7), was identified in *EDEM3* (GenBank: NM_025191.3; **Fig. 1**). Additionally,
113 two frameshift variants, c.2001dupA, p.(Ala668Serfs*9) and c.1369delA, p.(Arg457Glufs*28),
114 were identified in family 3. In family 4, a biallelic nonsense variant, c.940A>T, p.(Arg314*),
115 was found, resulting from maternal uniparental isodisomy of chromosome 1. In family 5, a
116 canonical splice site donor variant c.853+1G>T and a nonsense variant c.1407 T>A,
117 p.(Tyr469*) was identified and, in family 6, a biallelic frameshift deletion c.1382_1385del,
118 p.(Phe461Serfs*23). Finally, in family 7, the compound heterozygous changes c.182A>G,
119 p.(Asp61Gly), and c.1366G>A, p.(Asp456Asn), were identified. Sanger sequencing showed
120 that the patients were either homozygous or compound heterozygous for the identified
121 *EDEM3* variants. The unaffected parents were all heterozygous carriers. The p.(Asp61Gly)
122 missense variant was found in six heterozygous individuals, out of 218,508 alleles, in
123 GnomAD (<https://gnomad.broadinstitute.org>; rs777353823) and predicted to be 'disease-
124 causing' by Mutation Taster³¹, but 'tolerated' by SIFT³². The p.(Asp456Asn) missense variant
125 was not present in GnomAD and predicted to be 'disease-causing' by both Mutation Taster
126 and SIFT. Both are present in the so-called 'Glyco_Hydro_47 domain', which is essential for
127 the α -mannosidase activity of the protein.

128 Affected individuals from family 1 and 2 have the identical c.1859del
129 (p.(Ile620Thrfs*7)) *EDEM3* variant, but there are no records of inter-familial consanguinity in
130 these families. Comparison of the exome data from two affected individual from family 1 and
131 from one affected individual from family 2, indicated that these three individuals carried a
132 common identical region of homozygosity of 3.16 Mb (Chr1: 182993025-186157274, Hg19)
133 surrounding *EDEM3*. In addition, this variant was looked for in 96 healthy controls in the
134 Romani population in Portugal, among whom one heterozygous allele was detected. Since
135 families 1 and 2 are of Portuguese Romani origin, this suggests a possible founder effect.

136 All affected individuals presented with developmental delay and/or intellectual
137 disability (ID) and speech delay (**Supplemental Table S1**). Hypotonia was present in six out
138 of 12 patients. Dysmorphic facial features, such as narrow palpebral fissures (6/12),
139 epicanthal folds (6/12), increased nasal height (8/12), bulbous nasal tip (6/12), hypoplastic
140 alae nasi (9/12), short philtrum (6/12), thin upper lip (9/12) and retrognathia (6/12) were also
141 found in half or more of the patients. Additionally, gastro-esophageal reflux was observed in
142 three patients, and two individuals had early feeding difficulties, requiring a nasogastric tube
143 and of these one individual needed a percutaneous endoscopic gastrostomy placement.
144 Brain magnetic resonance imaging (MRI) of affected individuals from families 2, 3, and 5 did
145 not detect structural abnormalities or myelination defects.

146 The protein truncating variants identified in this study are expected to result in
147 reduced protein expression, due to degradation of mRNA via nonsense mediated decay
148 (NMD), and in a truncated protein^{33,34}. Accordingly, quantitative real time PCR (qPCR)
149 analysis showed that *EDEM3* mRNA levels in EBV-LCLs from patients in family 1 were
150 significantly decreased to 17% as compared to healthy controls ($P=0.0078$; **Fig. 2A**) and
151 could be rescued with cycloheximide (CHX), an inhibitor of NMD, suggesting that the biallelic
152 c.1859del frameshift variant triggers NMD. We repeated these experiments in fibroblasts
153 from patients in families 1 and 3 and confirmed that *EDEM3* is degraded to 18% of normal
154 levels by NMD ($P=0.0015$; **Fig. 2B**). As the function of *EDEM3* could theoretically be
155 compensated by *EDEM1*³⁵, we quantified *EDEM1* (OMIM 607673) RNA levels in patient and
156 control fibroblast cell lines. *EDEM1* levels were at 97% of normal levels ($P=0.9373$) in
157 fibroblast patient cell lines (**Fig. 2C**). The comparative levels of *EDEM1* in patient and control
158 fibroblast cell lines seems to suggest that *EDEM1* expression does not compensate for the
159 reduction of *EDEM3* in these cells.

160 Previous studies have shown that *EDEM3* belongs to a group of proteins that
161 accelerate the degradation of misfolded glycoproteins in the ER. It is one of the ER 1,2-alpha
162 mannosidases, and specifically trims M8B to M7 (**Fig. 3A**), which is then recognized by lectin
163 OS-9 and targeted for degradation^{7,8,10,11}. We hypothesized that loss of M8B to M7 trimming

164 activity would result in accumulation of abnormal N-glycans. Therefore, we determined the N-
165 glycan profiles of total cellular glycoproteins prepared from a control and a patient-derived
166 fibroblast cell line. Total N-glycan analysis using mass spectrometry in the patient's fibroblast
167 cell line showed accumulation of M9 and M8B and reduced M7 isomer A and Man₆GlcNAc₂
168 (M6) levels (**Fig. S1**). To study more precisely the impact of EDEM3 deficiency, we also
169 analyzed the N-glycan profile after a pulse-chase experiment using [2-3H] mannose as
170 precursor. We tested whether the variants in *EDEM3* affect the level of glycoproteins in
171 fibroblast cell lines of individuals from families 1 and 3. In control fibroblast cells, we
172 observed the anticipated levels of M8, M9 and Glc₁Man₉GlcNAc₂ (G1M9; **Fig. 3B**). EDEM3
173 deficient patient cells from patients of family 1 and 3 exhibited a similar pattern of N-glycan
174 structures. However, after 2h of chase, the peaks for M7 and M6 did not appear in the
175 patient's cells (**Fig. 3B**), indicating that the 1,2-alpha mannose residues were not removed
176 from the M8B N-glycans during the chase consistent with absence of the physiological
177 function of EDEM3 (**Fig. 3A**). In addition, appearance of smaller structures Glc₁Man₅GlcNAc₂
178 (G1M5) and Man₅GlcNAc₂ (M5) was observed in EDEM3 deficient patients cell lines. The
179 structural characterization of these two species have been checked by using either saitoi or
180 jack bean alpha-mannosidase treatments (see Supplemental data S2a-b). We speculate that
181 M5 is also transferred onto newly synthesized proteins and would be reglucosylated by the
182 UDP-Glc : glycoprotein glucosyltransferase during calnexin cycle (**Fig. 3A**). (Ref 39)

183 To evaluate the N-glycosylation of secreted glycoproteins, we studied the N-glycan
184 profile of total plasma glycoproteins from all patients including the one with the compound
185 heterozygous missense variants, using our recently described and clinically validated semi-
186 quantitative N-glycan assay³⁶. The N-glycan profiles from patients showed decreased levels
187 of low mannose N-glycan species M3-M7 (**Fig. 3C**) with preserved normal or sometimes
188 mildly increased abundance of M8 and M9 as compared to control subjects. Ratios of the N-
189 glycan abundances were also explored and patients had prominently decreased ratios of
190 M5/M9, M6/M9, and M7/M9 as well as decreased M3/M4 (**Fig. 3D; Supplemental Table S2**).
191 M6/M9 ratio provided the highest discrimination between tested obligate heterozygotes

192 (parents, n=4) and affected individuals (n=12; **Supplemental Table S3**). In theory, M3 can
193 be produced by multiple pathways with or without EDEM3, thus we used M9/M3 ratio to
194 normalize M9 abundance. M9/M3 was increased in all 12 patients. Stepwise ratio analysis
195 for plasma N-linked polymannose species showed a reduction of M7/M8 that is significant in
196 patients, consistent with EDEM3 being the key enzyme in trimming M8B to M7
197 (**Supplemental Table S3**)^{7,11}. Of note, human transferrin was normally glycosylated in the
198 common clinical screening test for CDG in the three patients from family 3.

199 N-glycan profiles were also obtained from *Edem3* knockout (KO) mice (**Fig. S3**).
200 Although *Edem3* knockout mice did not present with any obvious phenotype, subtle changes
201 have been noted, such as reduced weight of brains and body, and largely skewed ratios of
202 homozygous knockout pups versus heterozygous and wild type pups. The profiles were
203 acquired using a previously described method³⁶. Similar to human patients, plasma from
204 mice showed decreased ratios of M5/M9, M6/M9, and M7/M9 ($P<0.02$; **Fig. S4B**). In
205 addition, mouse plasma proteins had significantly increased abundance of M8 and M9
206 ($P<0.01$; **Fig. S4A**). We also assayed mouse brain lysate for N-glycan profiles (**Fig. S4C**)
207 and ratios (**Fig. S4D**). While plasma N-glycans reflect glycosylation of mature secreted
208 glycoproteins and glycopeptides, N-glycan profiling of mouse tissue also includes cellular
209 glycoproteins. Similar to mouse plasma N-glycan profiling, mouse brain showed increased
210 high-mannose M8 and M9 N-glycan species (**Fig. S4C**) and decreased ratios of M5/M9 and
211 M6/M9 (**Fig. S4D**).

212 N-glycan analysis of both *Edem3* KO mouse brain and plasma showed significant
213 increases of the abundance M8 and M9. In human, not all the EDEM3 patients have
214 increased M8 or M9 in the plasma. Instead, M9/M3 ratio is increased in all 12 patients. Thus,
215 the known function of EDEM3 in trimming M8B is likely shared by both human and mice. The
216 M9/M3 ratio serves a more sensitive diagnostic biomarker than M8 or M9 abundance in
217 plasma. N-linked M3 and M4 are newly discovered small high-mannose species with low
218 abundance on normal plasma glycoproteins including transferrin³⁶. Increases of M3 and M4
219 abundances have been reported as important diagnostic biomarkers for type I CDG subtypes

220 including PMM2-CDG, MPI-CDG, ALG3-CDG and ALG9-CDG ^{36–38}. Significantly reduced
221 abundance of N-linked M3 with a decreased M3/M4 ratio ($P<0.0001$), however, has not been
222 reported before. The reduction of M3 in all the patients suggests that a large portion of N-
223 linked M3 in the normal population is probably derived from glycan trimming involving human
224 EDEM3 (**Fig. 3A**). In the plasma from *Edem3* KO mouse, M3/M4 ratio is not increased (**Fig.**
225 **S4B,D**). Instead of increased M7/M8 ratio in human, the increased M6/M7 ratio is the most
226 significant, which may reflect a difference in overall substrate specificity between human and
227 mouse EDEM3. The glycosylation abnormalities in both cellular or tissue proteins and
228 secreted mature proteins indicate a global impact on protein N-glycosylation in EDEM3
229 deficiency. Consistent with our findings, it was previously demonstrated that EDEM3
230 participates in mannose trimming from total glycoproteins and not just misfolded proteins for
231 ERAD ⁷. Therefore, we propose this deficiency to be a novel CDG, EDEM3-CDG.

232 The finding of abnormal N-glycan profiling pattern with reduced M3/M4, M5/M9,
233 M6/M9 and M7/M9 ratios, and increased M9/M3 among EDEM3-CDG patients, provides
234 additional diagnostic biomarkers for validating variants of uncertain significance, *i.e.*
235 missense variants in *EDEM3*. One of the 12 EDEM3-CDG patients showed normal plasma of
236 M5/M9 and M6/M9 ratios, but also showed the lowest plasma N-linked M3/M4 ratio at 0.27
237 (normal 0.39-0.56) and a significantly increased M9/M3 ratio at 3.30 (normal 1.16-2.92) in
238 this cohort. Therefore, the combination of high M9/M3 and low M3/M4 ratios might also
239 provide diagnostic clues for EDEM3-CDG when M5/M9 and M6/M9 ratios are normal.

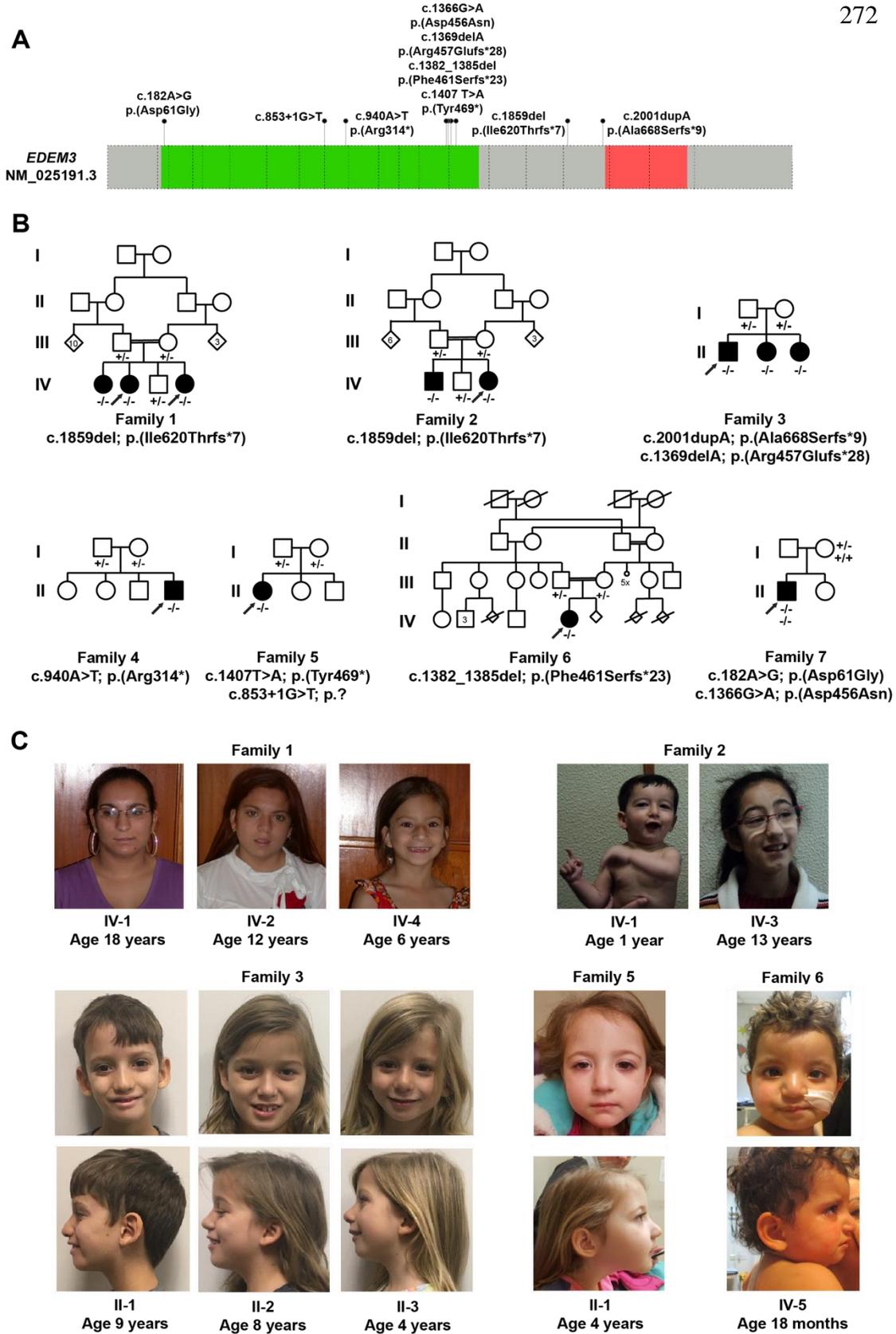
240 G1M5 accumulation is a marker for impaired UPR ³⁹. Therefore, we tested whether
241 UPR, a key quality-control machinery in the cell, which is activated in response to the
242 accumulation of misfolded proteins ²², is affected in EDEM3-CDG. qPCR analysis of total
243 mRNA from EBV-LCLs from patients showed decreased stimulation of *ERN1* (*IRE1*; OMIM
244 604033) and *IEF2AK3* (*PERK*; OMIM 604032) when cells were treated with tunicamycin, a
245 stress-inducing compound, as compared to controls (**Fig. 2D**). *ATF6* (OMIM 605537)
246 expression levels were similar. The difference in *IRE1* gene expression levels was not
247 significant ($P=0.091$), whereas the decreased stimulation of *PERK* expression levels in

248 patient cell lines was significant ($P=0.020$; **Fig. 2E**), suggesting that UPR is affected in
249 EDEM3-CDG or that patient cell lines have an increased capacity to eliminate misfolded
250 proteins.

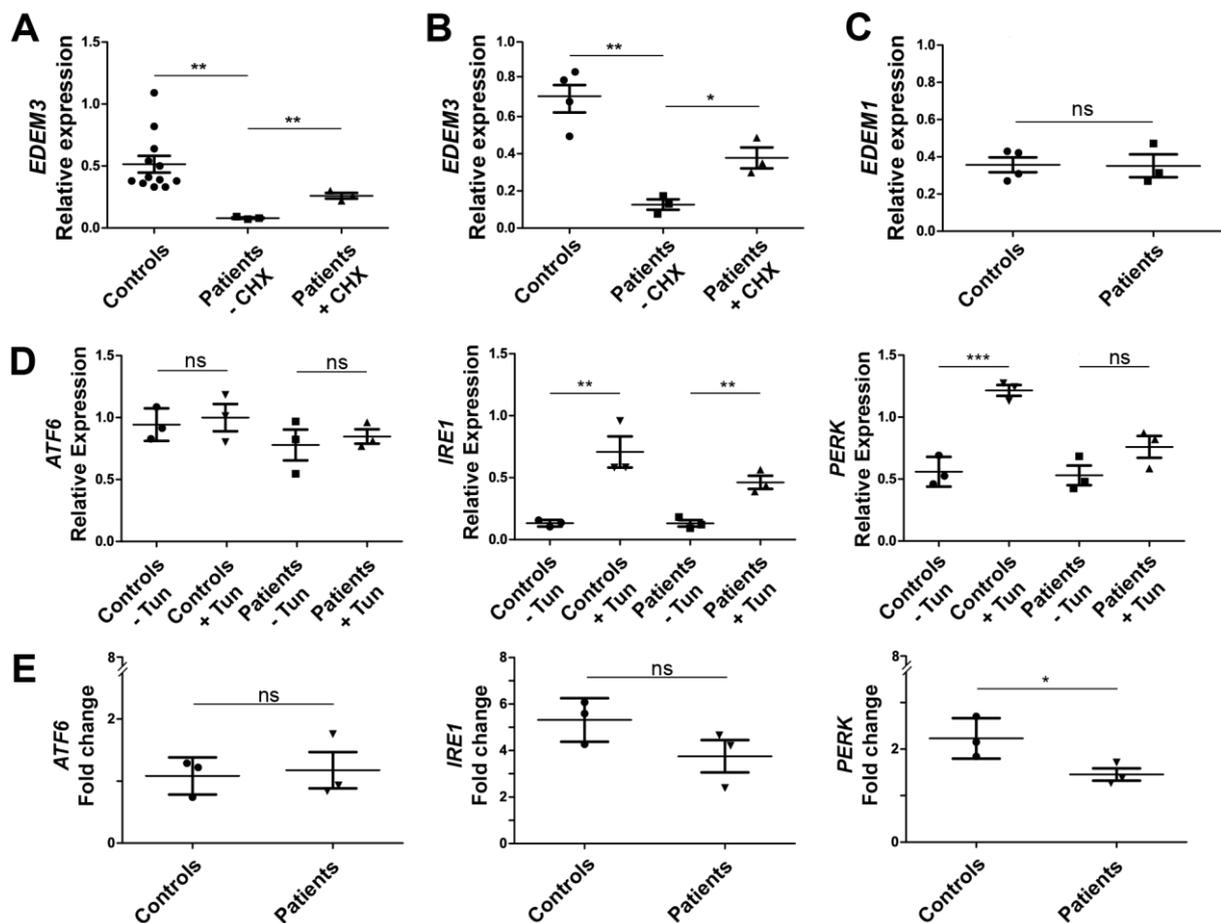
251 In conclusion, we show that biallelic *EDEM3* variants cause EDEM3-CDG, a novel
252 CDG with non-specific developmental delay and/or intellectual disability. Several patients
253 also have mild facial dysmorphisms. Given the increased accessibility of blood for clinical
254 testing, quantitative N-glycan analysis provides additional diagnostic biomarkers for
255 validating variants of uncertain significance that may be identified on molecular genetic
256 testing. Further functional studies are necessary to determine the precise pathophysiological
257 mechanism of this novel CDG.

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274 **Fig. 1. Biallelic variants in *EDEM3* lead to developmental delay or intellectual**
275 **disabilities and dysmorphic features** (A) Schematic representation of human EDEM3
276 including the positions and the predicted effect on protein level of the seven identified
277 variants in this study. Green box represents the Glyco_Hydro_47 domain and the red box
278 represents the PA domain. Dashed boxes represent exons. (B) Pedigrees of EDEM3-CDG
279 families including the presence of the mutation. -: mutation present; +: wild type. (C)
280 Craniofacial features of affected individuals including narrow palpebral fissures, epicanthal
281 folds, increased nasal height, bulbous nasal tip, hypoplastic alae nasi, short philtrum, thin
282 upper lip, and retrognathia.

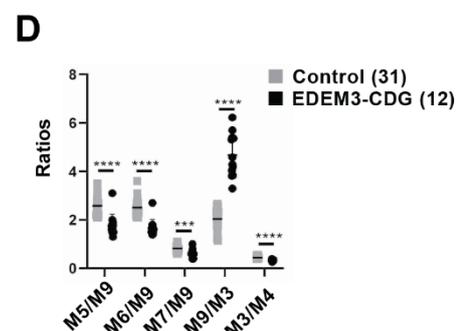
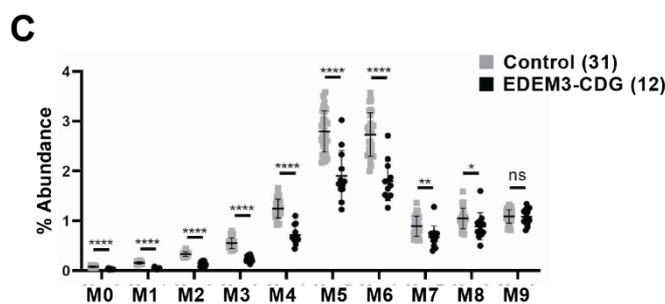
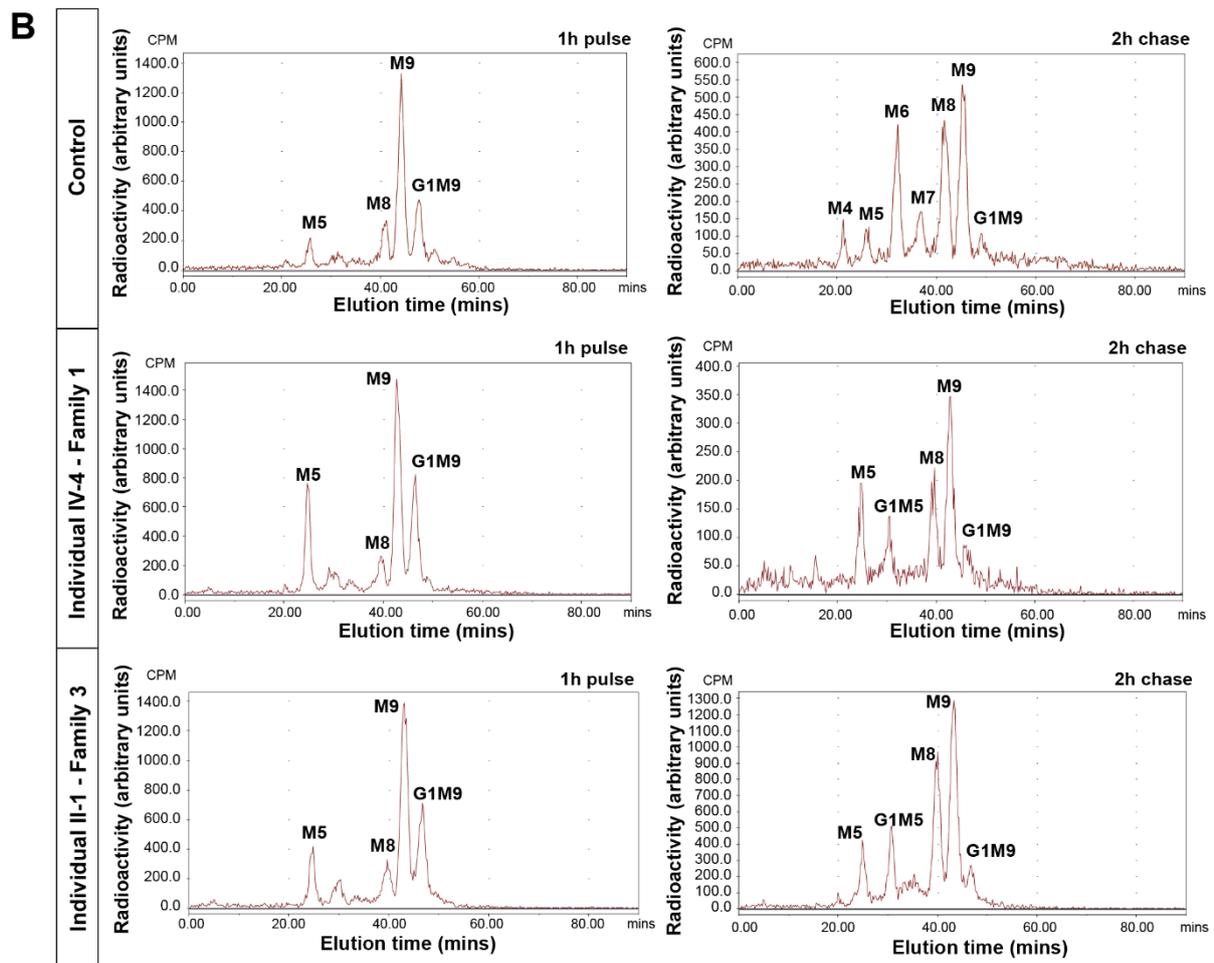
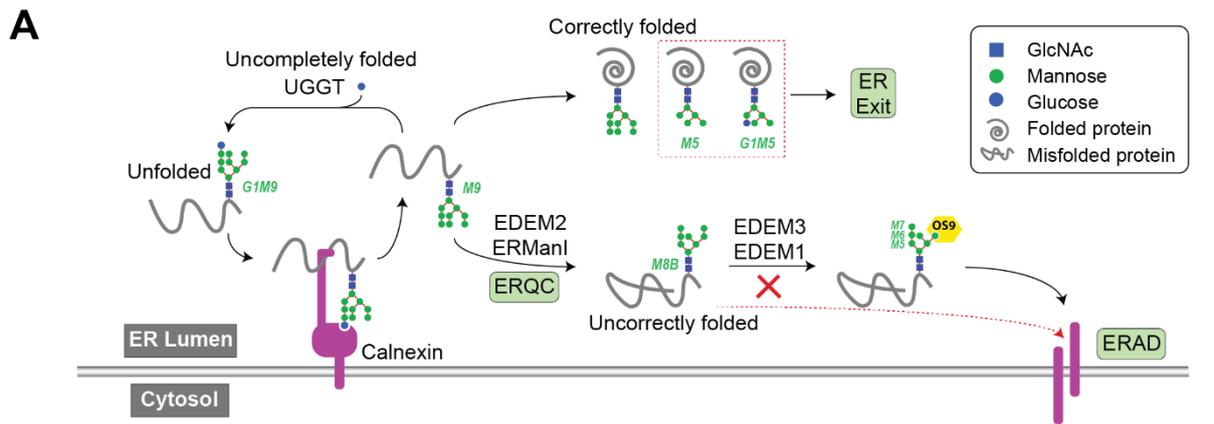


284

285 **Fig. 2. Relative expression of *EDEM3* mRNA and expression analysis of UPR markers.**

286 (A) A significant decrease of mRNA levels is seen between relative expressions of *EDEM3* in
 287 EBV-LCL from three affected individuals of family 1 with the biallelic c.1859del frameshift
 288 variant (n=3) compared to healthy controls (n=12; $P=0.0078$) and samples treated with
 289 cycloheximide (CHX; $P=0.0016$; n=3), normalized according to three housekeeping genes.
 290 (B) *EDEM3* expression in fibroblast cell lines from family 1 (individual IV-4) and family 3
 291 (individuals II-1 and II-2) confirming these results ($P=0.0015$ and $P=0.0161$ for CHX. Four
 292 controls were used. (C) *EDEM1* expression in fibroblast cell lines from family 1 (individual
 293 IV-4) and family 3 (individuals II-1 and II-2) showing normal expression levels ($P=0.9373$).
 294 Four controls were used. (D) Analysis of total mRNA from EBV-LCL cell lines from controls
 295 revealed increased mRNA expression after treatment with tunicamycin (Tun) of *IRE1* and
 296 *PERK* but not of *ATF6*. (E) Affected individuals' mRNA from family 1 showed significantly
 297 decreased induction of *PERK* expression when compared to controls ($P=0.020$), whereas
 298 *ATF6* and *IRE1* did not change significantly ($P=0.423$ and $P=0.091$, respectively).
 299 Experiments D and E were performed with three control cell lines and three patients cell
 300 lines. ns= not significant; * = $P<0.05$; ** $P<0.01$; *** $P<0.001$.

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Fig. 3. N-glycosylation pathway and N-glycan analysis of fibroblasts and plasma of human subjects. (A) N-glycosylation pathway and the role of EDEM proteins in the mannose

305 trimming and targeting for protein degradation in the cytosol. Red dashed lines show the
306 accumulation of N-glycans in EDEM-CDG individuals. (B) Accumulation of G1M5 and M5 on
307 newly synthesized N-glycoproteins in two EDEM3-CDG patient fibroblast cell lines. Patient
308 fibroblasts from family 1 (individual IV-4) and family 3 (individual II-1) and one control were
309 incubated with [2-3H] mannose for 1h and chased during 2h. (C) Percent abundance of N-
310 glycan species obtained from EDEM3-CDG patients and controls. (D) Plot of ratio of N-
311 glycan species. Bars indicate mean values. Error bars represent standard deviation.
312 Indicated P-values from Student's T-test, ns = not significant; * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$;
313 **** $P < 0.0001$.
314
315

316

317 **Supplemental data**

318

319 **Fig. S1. N-glycan analysis of fibroblasts.**

320 **Fig. S2. HPLC analysis of EDEM3 patient fibroblast N-glycans after jack bean α -**

321 **mannosidase treatment.**

322 **Fig. S3. Deletion of 47bp in mouse Edem3 exon 3 results in a protein null.**

323 **Fig. S4. N-glycan analysis of Edem3 KO and WT mice.**

324 **Supplemental Table S1. Clinical data of EDEM3-CDG patients.**

325 **Supplemental Table S2. The abundance of plasma N-glycans in EDEM3-CDG patients.**

326 **Supplemental Table S3. Summary of high mannose changes in EDEM3-CDG patients.**

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328 **References**

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