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- 1 Efficient PD-L1 imaging of murine glioblastoma with FUS-aided
- 2 immunoPET by leveraging FcRn-antibody interaction.

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13 ABSTRACT

14 Rationale: The passage of antibodies through the blood-brain barrier (BBB) and the blood-15 tumoral barrier (BTB) is determinant not only to increase the immune checkpoint inhibitors efficacy but 16 also to monitor prognostic and predictive biomarkers such as the programmed death ligand 1 (PD-L1) via 17 immunoPET. Although the involvement of neonatal Fc receptor (FcRn) in antibody distribution has been 18 demonstrated, its function at the BBB remains controversial, while it is unknown at the BTB. In this 19 context, we assessed FcRn's role by pharmacokinetic immunoPET imaging combined with focused 20 ultrasounds (FUS) using unmodified and FcRn low-affinity IgGs targeting PD-L1 in a preclinical 21 orthotopic glioblastoma model.

Methods: Transcranial FUS were applied over the whole brain in mice shortly before injecting the
 anti-PD-L1 IgG ⁸⁹Zr-DFO-C4 or its FcRn low-affinity mutant ⁸⁹Zr-DFO-C4^{Fc-MUT} in a syngeneic
 glioblastoma murine model (GL261-GFP). Brain uptake was measured from PET scans acquired up to 7
 days post-injection. Kinetic modeling was performed to compare the brain kinetics of both C4 formats.

Results: FUS efficiently enhanced the delivery of both C4 radioligands in the brain with high reproducibility. ⁸⁹Zr-DFO-C4^{Fc-MUT} mean concentrations in the brain reached a significant uptake of 3.75±0.41%ID/cc with FUS against 1.92 ± 0.45 %ID/cc without, at 1h post-injection. A substantial and similar entry of both C4 radioligands was observed at a rate of 0.163 ± 0.071 mL/h/g of tissue during 10.4 ± 4.6 min. The impaired interaction with FcRn of ⁸⁹Zr-DFO-C4^{Fc-MUT} significantly decreased the efflux constant from the healthy brain tissue to plasma compared with non-mutated IgG. Abolishing FcRn interaction allows determining the target engagement related to the specific binding as soon as 12h postinjection.

Conclusion: Abolishing Fc-FcRn interaction confers improved kinetic properties to ⁸⁹Zr-DFO-C4^{Fc-MUT} for immunoPET imaging. FUS-aided BBB/BTB disruption enables quantitative imaging of PD-L1
 expression by glioblastoma tumors within the brain.

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Keywords: ImmunoPET; therapeutic ultrasound; Fc receptor, neonatal; PD-L1, Immune Checkpoint
 Inhibitors; Glioblastoma.

40 Graphical abstract:





44 INTRODUCTION

45 Patients diagnosed with glioblastoma (GBM) have a harrowing overall survival of 13 to 16 months 46 following the standard-of-care treatments [1]. GBM is the most common primary brain tumor and is highly 47 aggressive. Immunotherapies have the possibility to be a keystone in eradicating GBM as their 48 microenvironment is immunosuppressive. Ex vivo staining of biopsies have shown that the immune 49 checkpoint programmed death ligand 1 (PD-L1) is expressed by most GBM neoplastic tissues, which 50 suggests a promising role for immune checkpoints inhibitors (ICI) such as anti-PD(L)1 antibodies [2]. 51 However, the efficacy of ICI in treating brain malignancies such as GBM has been proven insufficient to 52 improve patient overall survival [3–5]. Durations of response were nevertheless greater in patients treated 53 with the anti-PD1 antibody nivolumab compared to those treated with the standard-of-care in the 54 Checkmate 143 trial (NCT02017717) [4]. Clinical trials notably informed on the need for an effective 55 minimally-invasive method to select patients who will benefit from ICI and monitor the immune response 56 at the central nervous system (CNS) level.

57 PD-L1 expression by neoplastic tissues or its microenvironment is the most predictive biomarker of 58 anti-PD(L)1 therapy response in most tumors [6,7]. It has not been investigated in GBM as PD-L1 59 expression is usually evaluated by immunochemistry on tumor biopsies. Moreover, PD-L1 expression is 60 known to be spatially heterogeneous and to change over time with treatments [8]. Repeated biopsies over 61 the therapy course are neither always feasible nor acceptable, particularly for CNS localizations. In this 62 regard, non-invasive detection of biomarkers by immunoPET has emerged as a powerful tool to monitor 63 response to ICI therapy. ImmunoPET imaging using radiolabeled antibodies targeting PD-(L)1 allows 64 quantification and the in vivo assessment of the inter- and intratumoral heterogeneity of the biomarker expression [9]. However, immunoPET imaging within the CNS remains challenging due to the inability of 65 66 antibodies to cross the blood-brain barrier (BBB). Niemeijer et al. and Nienhuis and al. obtained uneven accumulation between lesions of the anti-PD1 antibody ⁸⁹Zr-nivolumab or ¹⁸F-adnectins targeting PD-L1 in 67 brain metastases of patients [9,10]. This uptake in some brain metastases but not all within a patient 68 69 probably reflects the tumor-induced loss in the integrity of the BBB rather than the local expression of 70 targeted biomarkers. It is therefore essential to propose strategies to overcome the BBB and improve the 71 brain kinetics of radiolabeled antibodies to enable quantitative estimation of immune biomarkers such as 72 PD-L1 in infiltrative brain tumors like GBM using immunoPET [11].

73 The engineering of antibodies is currently investigated to improve their brain delivery [12]. This 74 includes modulation of neonatal Fc receptor (FcRn) mediated transcytosis. Reducing the affinity of an 75 antibody for the FcRn has notably been proposed to optimize the peripheral and brain kinetics of 76 radiolabeled antibodies for immunoPET [13]. According to the tissue considered, FcRn is responsible for 77 the recycling and transcytosis of the Fc-containing proteins [14]. FcRn's physiological function is to bind 78 endogenous immunoglobulin G (IgG) and albumin at acidic pH to protect them from lysosomal 79 degradation, maintaining their serum homeostasis [15]. The loss of affinity for the FcRn obtained by 80 substituting two key amino acids of the Fc-domain of an IgG (H310A and H435Q) decreases the plasma 81 half-life of antibodies [16,17]. Besides the advantages for PET imaging, the affinity loss for the FcRn could 82 impact IgG brain distribution. FcRn is highly expressed by the CNS endothelium [18]. Although there has 83 yet to be a consensus regarding the role of FcRn in controlling IgG transport across brain endothelial cells. 84 Some studies on the brain distribution of IgG in FcRn knock-out mice led to the conclusion of an absence 85 of a FcRn mediated transcytosis at the BBB [19,20]. However, those studies are subject to discussion due 86 to potential compensatory mechanisms associated with FcRn depletion. Moreover, some evidence supports 87 a FcRn-mediated efflux of IgG from the brain to the circulation. After intracranial injection, brain clearance 88 of IgG with improved FcRn affinity was faster compared with IgG with reduced FcRn affinity [21]. This property was used effectively to promote IgG-mediated amyloid plaque removal in a mouse model of 89 90 Alzheimer's disease [22]. To our knowledge, the particular role of FcRn at the blood-tumoral barrier (BTB) 91 has never been investigated.

92 Transcranial application of low-intensity focused ultrasound (FUS) with the injection of microbubbles 93 (MB) was shown to enable the brain delivery of drugs by reversibly disrupting the BBB. Local mechanic 94 and shear stress induced by the oscillations of MB (alternation of expansion and compression of the 95 gaseous core of the MB) loosen the tight junctions and increase the pinocytic activity of endothelial cells 96 [23,24]. FUS are investigated in preclinical models and clinical trials to treat numerous CNS diseases, 97 notably GBM [25–27]. FUS-aided immunoPET was useful in demonstrating the increased brain delivery of

98 radiolabeled antibodies from a therapeutic perspective [28,29]. Meng et al. reported enhanced delivery of 99 trastuzumab across the BBB with magnetic resonance-guided FUS in patients with HER2-positive breast 100 cancer and brain metastases. In this study, the HER2-positive status of the brain metastases was determined 101 on surgical specimens previously collected at different temporality before treatments [30]. The 102 NCT05879120 clinical trial aims to estimate the median overall survival of patients with recurrent GBM 103 with FUS BBB opening and neo-adjuvant pembrolizumab [31]. The inclusion criteria of patients in this 104 study do not include the determination of the brain tumors' PD(L)1 status. FUS-aided immunoPET could 105 provide a companion theranostic approach to assess the likelihood of response of brain tumors to 106 immunotherapy.

Here, we aimed to investigate the potential of FUS-aided immunoPET to provide quantitative imaging
of PD-L1 expression by glioblastoma tumors within the brain. The human recombinant IgG1, C4, targeting
human and murine PD-L1 and its engineered low FcRn affinity (H310A/H435Q) mutant (C4^{Fc-MUT}) were
used to perform FUS-aided immunoPET in a syngeneic glioblastoma murine model (Scheme 1). Kinetic
modeling was performed to interpret brain PET data, estimate PD-L1 expression, and elucidate the
importance of FcRn function on the transport of the radiolabeled antibody across the BBB/BTB.

113 114 **METHODS**

Production of the C4 ligands. C4 is a human recombinant IgG1 cross-reacting with human and murine
PD-L1 [32]. The C4 ligands production has been performed as already described in Bouleau *et al.*[17].
Briefly, they were obtained by transient transfection of HEK293 FreestyleTM cells (Thermo-Fisher) with
AbVec2.0-IGHG1 and AbVec1.1-IGLC plasmids corresponding to the IgG C4 heavy and light chains,
respectively. After transient cell transfection, the supernatant was collected and purified using Lambda
FabSelect columns (GE Healthcare).

121

FcRn binding assay. IgG/human FcRn and IgG/murine FcRn affinity were measured using the Lumit[™]
 FcRn Binding Immunoassay kit (Promega) following the protocol described by Nath *et al.*[34]. To measure
 IgG/mFcRn interaction, hFcRn was substituted by recombinant mFcRn with terminus biotin
 (ACROBiosystems). The recombinant mFcRn was introduced at a concentration of 0.25 mg/mL.
 Experiments were run in triplicate. Normalized luminescence data were generated by assigning 100% to the
 maximum bioluminescent signal obtained in the absence of IgG.

128

129 Radiolabeling. The anti-PD-L1 C4 ligands were radiolabeled according to a previously published protocol 130 [17]. First, the p-isothiocyanatobenzyldesferrioxamine (p-NCS-Bz-DFO, Macrocyclics) was conjugated to the C4, and then the radiolabeling with ⁸⁹Zr-oxalate (PerkinElmer) was performed. After the purification of 131 the DFO-anti-PD-L1 ligands with a PD-10 column (GE Healthcare), DFO-anti-PD-L1 ligands were 132 incubated with [89Zr]Zr-oxalate for 1 h at 37°C. The 89Zr-labeled DFO-anti-PD-L1 ligand conjugates were 133 134 then purified with a PD-10 column and buffer exchanged in HEPES solution (Gibco) with a Vivaspin 135 centrifugal concentrator (Sartorius). Radiochemical purity was assessed by instant thin-layer 136 chromatography (iTLC) and high-performance liquid chromatography (HPLC) analyses (Figure S1).

137

Cell culture. Murine glioma's cell line GL261 transfected to produce Green Fluorescent Protein (GL261-GFP) were obtained from the Institute of Neurophysiopathology, Aix-Marseille University. Cells were cultured in Dulbecco's Modified Eagle Medium (Gibco) supplemented with 10 % heat-inactivated fetal bovine serum and 1% penicillin-streptomycin (Gibco) at 37 °C in a humidified atmosphere containing 95% air and 5% carbon dioxide.

143

Animals. Animal experiments were performed on six weeks old female C57BL/6 NRj mice (Janvier Labs).
Animal experiments were performed according to the European Directive 2010/63/EU and its transposition into French law (Decree No. 2013-118). The research project was conducted at the CEA-SHFJ imaging platform (authorization D91–471-105) and was approved by a local ethics committee (CETEA-CEA DSV IdF). Mice were housed in standard conditions (microisolator polycarbonate cages, aspen wood as bedding material, 5 mice in each cage, room temperature 22 °C, humidity 40%) under a regular 12-h dark/light cycle. Food and water were available ad libitum.

GL261 orthotopic model. 24 mice were orthotopically implanted with the syngeneic cell line GL261-GFP, 5.10⁴ cells in 1 μ L PBS into the striatum. With bregma as origin, implantations coordinates were X= 0mm, Y=+2mm, Z=-3mm. Mice were anesthetized with isoflurane (3% for induction and 2% for maintenance) in 100% O₂. 0.05 mg.kg⁻¹ of buprenorphine was subcutaneously administered at the end of the intervention to prolong analgesia.

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MRI. 14 days after GL261 implementation, anatomical T2-weighted and T1-weighted contrast-enhanced MRI were acquired with a 7T/90mm bore hole MRI scanner (Pharmascan scanner, Bruker). A Gadoliniumbased contrast agent (Dotarem[®], 1nm diameter, 100 µL by animal) was intravenously injected via a catheter. T1-weighted images were then acquired (MSME sequence, TE/TR = 8/340 ms, matrix = 256 × 256 × 64, resolution = $0.15 \times 0.15 \times 0.60 \text{ mm}^3$, 10 averages, acquisitions time = 6 min). T2-weighted images were acquired through a RARE sequence (TE/TR = 5/1800 ms, RARE factor = 16, matrix = 256 × 256 × 64, resolution = $0.12 \times 0.12 \times 0.12 \text{ mm}^3$).

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166 Blood-brain barrier disruption. A focused transducer (active diameter 25 mm, focal depth 20 mm, axial 167 resolution 5 mm, lateral resolution 1 mm, Imasonic) centered at 1.5 MHz was used to disrupt the BBB. The 168 transducer was connected to a single-channel programmable generator (Image Guided Therapy) and 169 mounted on a motorized XYZ-axis stage. and positioned above the mouse head maintained under 170 anesthesia with isoflurane (3% for induction and 1.5% for maintenance) in a 50:50 mixture of air-O₂. The 171 device was coupled to the mouse skull using a latex balloon (filled with deionized and degassed water) and 172 centrifugated coupling gel. The distance between the transducer and the skull was adjusted by the 173 displacement of the motorized axis (Z) and the filling of the balloon in order to get the center of the of the 174 brain, at the focal distance (*i.e.*, 20 mm). SonoVue® microbubbles (Bracco) were intravenously 175 administrated in the tail vein via a bolus (50 μ L) before the beginning of the FUS or sham sessions. The 176 FUS sequence was similar to the one described in Felix et al. and already validated for efficient and safe 177 BBB disruption on healthy mice [28,35]. Reversibility of the BBB opening within 24h after FUS was 178 confirmed in mice using [¹⁸F]2-fluoro-2-deoxy-sorbitol, a PET marker of BBB integrity (Figure S2). 179 Briefly, the FUS sequence is composed of quasi-continuous ultrasonic waves transmitted with duty cycle of 180 69% at a peak negative pressure of 420 kPa (considering a transmission through mouse's skull of 80% at 181 1.5 MHz). A raster scan (XY-axis) of 6 mm x 6 mm was synchronized to the generator output in order to 182 induce a whole brain BBB opening (Scheme S1). The sequence of 5.1s was repeated 25 times for a total 183 exposure of 126.75 s. 184

microPET/CT imaging. On day 15 post GL261-GFP implementation, a 60-min dynamic PET scan was performed concurrently with radioligand injections of ⁸⁹Zr-DFO-C4 (3.5 ± 0.3 MBq, 4.97 MBq/nmol, n=8) or ⁸⁹Zr-DFO-C4^{Fc-MUT} (3.5 ± 0.5 MBq, 9.89 MBq/nmol, n=16) under camera after the FUS protocol. The radioligand injection was performed quickly after the end (1.7 ± 0.2 min) of the FUS BBB opening protocol. 20-min static PET scans were subsequently acquired at selected times post-injection (5 h, 22 h, 46h, 70 h, and 7 days). A sham workflow (without emission of ultrasound waves) was applied on 6 tumorbearing animals before the injection of ⁸⁹Zr-DFO-C4^{Fc-MUT} and imaged accordingly.

192 PET emission scans were performed using an Inveon microPET scanner and an Inveon 193 microPET/CT scanner (Siemens). After each PET scan, a transmission scan or a CT scan were performed 194 for photon attenuation correction. PET images were reconstructed with the Inveon Acquisition Workspace 195 software (2.1) using a three-dimensional ordinary Poisson ordered-subset expectation maximization 196 followed by a maximum a posteriori algorithm (OP-OSEM3D-MAP). Normalization, as well as corrections 197 for dead-time, scatter, decay and attenuation, were applied to all PET data.

198Dynamic PET acquisitions were reconstructed in 24 frames averaging signal on the period from1990.5 to 5 min resulting in a sequence of images of 3x30, 5x60, 5x120, 3x180, 3x240, 4x300, and 1x150 s.

Image analysis was performed with the PMOD software (v3.9). A volume of interest (VOI) was defined in the left cardiac ventricle to obtain blood radioligand concentration. MRI and brain PET acquisitions were all repositioned with the T2w MRI as reference. VOI were defined in selected brain areas, defining the T1w contrast-enhanced volume, the PET contrast-enhanced volume, and the contralateral hemisphere. Concentrations in VOI are expressed as percentage of injected dose (%ID/cc = activity (Bq/cc) / injected dose (Bq)) and time activity curves (TACs) were extracted (Table S1-4). 206

207 Immunofluorescence. After the last imaging session, mice were sacrificed, and their brains were collected, 208 immersed in isopentane, and frozen in liquid nitrogen. A set of fixed frozen brain sections (10 µm) were 209 incubated with a rat anti-mouse PD-L1 primary antibody (1:500, clone 10F.9G2, Biolegend). The slides 210 were then incubated with an AF546-conjugated donkey anti-rat secondary antibody (1:1000, Jackson 211 Laboratories). Adjacent brain sections were incubated with an AF546-conjugated goat anti-human 212 secondary antibody (1:1000, Jackson Laboratories) to stain the injected C4. Adjacent slides were used for 213 hematoxylin/eosin (H&E) staining. Slides were fixed in neutral buffer formalin 10%, then stained with Harris hematoxylin (Sigma) and Eosin Y (Sigma) according to previously reported protocol [36]. 214 215 Immunofluorescent and H&E stained sections were scanned with a 20x objective using an AxiObserver Z1 216 microscope (Zeiss).

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Blood pharmacokinetic. Plasmatic concentrations were calculated from image-derived blood activity concentration drawn in the left cardiac ventricle. Considering that antibodies are restricted to the serum, a blood-to-plasma concentration ratio of 0.55 was used. A bicompartmental model with a first-order elimination function was individually fitted using Phoenix WinNonlin (v.8.3.1, Certara®). Parameters and equations of the model are detailed in supplemental materials. Parameters of the plasma kinetic were intra-individually fixed to apply the same input function to all brain VOI.

225 **Brain kinetics.** Brain kinetic parameters were obtained by fitting regional TACs to either a modified 1-226 tissue compartment model (m1TCM) or a modified 2-tissue compartment model (m2TCM) in Phoenix 227 WinNonlin (v.8.3.1, Certara®). These two models were modified to match the transient character of FUS-228 induced BBB disruption. Parameters and equations of the model are detailed in SI App. Goodness-to-fit of 229 models was determined by generating the Akaike Information Criterion, plots of residuals over time, and 230 plots of individual prediction versus observed concentration (Figure S3-6). The influence of C4 format on 231 kinetic parameters estimations was assessed with a two-factor ANOVA followed by pairwise comparison 232 of mean with Bonferroni's p-value adjustment in R v.4.0.2. Significance was set to 95%. 233

234 RESULTS

Characterization of the native and the mutant C4. First, we sought to verify that introducing the H310A and H435Q mutations in the Fc domain of the C4 anti-PD-L1 antibody $(C4^{Fc-MUT})$ led to significant differences in affinities for the FcRn. Affinities for the FcRn of both IgG were measured by a competition assay. Incubation with the native C4 led to a concentration-dependent decrease in bioluminescent signal signing the interaction with human (IC₅₀= 10.2 µg/mL) and murine FcRn (IC₅₀= 5.2 µg/mL). No signal inhibition was observed with the C4 ^{Fc-MUT}, which confirmed the abolition of interaction with the FcRn induced by the mutation (Figure 1A-B).

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235

Lifting Fc/FcRn interaction for brain PET imaging. We evaluated the potency of anti-PD-L1 IgGs with
 low affinity for the FcRn receptor for brain PET imaging by comparing the kinetics of ⁸⁹Zr-DFO-C4 and
 ⁸⁹Zr-DFO-C4^{Fc-MUT} in an orthotopic syngeneic mouse model of GBM. Post-contrast T1-weighted images
 depicted comparable tumor growth between the two mice groups (Figure S7).
 As expected, the plasma clearance of ⁸⁹Zr-DFO-C4^{Fc-MUT} was faster compared with ⁸⁹Zr-DFO-C4

248 (Figure 1E). A bicompartmental model with a first-order elimination function was individually fitted to 249 plasma kinetics. Parameters' estimations of the two C4 formats plasmatic kinetic are reported in Table 1. ⁸⁹Zr-DFO-C4^{Fc-MUT} is cleared from the central compartment promptly compared with ⁸⁹Zr-DFO-C4, 250 251 252 therefore associated with a shorter mean terminal half-life of 89.7 h versus 176.4 h for ⁸⁹Zr-DFO-C4 (pvalue = 0.009). ⁸⁹Zr-DFO-C4^{Fc-MUT} peripheral distribution volume is twice higher than ⁸⁹Zr-DFO-C4, and 253 254 its transfer rate from peripheral to the central compartment (k_{21}) is significantly lower than IgG C4's one 255 $(0.020\pm0.009 \text{ h}^{-1} \text{ versus } 0.055\pm0.032 \text{ h}^{-1})$. Those differences in kinetics are consistent with higher retention 256 of the low FcRn affinity antibody in the liver and the spleen (Figure S8).

FUS enabled the entry into the brain of both radiolabeled antibodies. At 1h post-injection, ⁸⁹Zr-DFO-C4 and ⁸⁹Zr-DFO-C4^{Fc-MUT} mean concentrations in the brain reached 3.64 ± 0.73 %ID/cc and 3.75 ± 0.41 %ID/cc, respectively. Reflecting its blood kinetic, the ⁸⁹Zr-DFO-C4^{Fc-MUT} concentrations in the

contralateral hemisphere decreased at a higher rate than the concentrations of ⁸⁹Zr-DFO-C4 (Figure 1F). 260 The tumor distribution of the two C4 formats differed heavily over time. After reaching the maximal 261 concentration of 5.1±1.5 %ID/cc, 89Zr-DFO-C4Fc-MUT concentration in the GBM tumor decreased at a 262 slower pace than in the contralateral hemisphere. It resulted in a significant difference of tissue to plasma 263 264 ratio between the tumor and the contralateral hemisphere from 5h p.i. (Figure 1F). Tumoral uptake of ⁸⁹Zr-DFO-C4, on the contrary, increased from 48h post-injection to reach a maximal uptake 7 days post-265 266 injection. Over time, those differences in brain distribution led to the highest PET contrast in the tumor observed at 22h post-injection for ⁸⁹Zr-DFO-C4^{Fc-MUT} versus 7 days post-injection for the ⁸⁹Zr-DFO-C4. 267

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FUS-aided immunoPET imaging of glioblastoma. To validate the benefits of applying FUS to target PD-269 L1 with ⁸⁹Zr-DFO-C4^{Fc-MUT}, immunoPET imaging was performed in a GBM model with (FUS group) and 270 271 without (sham group) FUS-induced BBB permeabilization before the injection. A similar imaging protocol 272 to the one described earlier was applied and we verified that tumor growth was similar between the sham 273 and the FUS group on post-contrast T1-weighted MRI (Figure S7). In addition to the brain, FUS protocol had a significant impact on the spleen uptake of the ⁸⁹Zr-DFO-C4^{Fc-MUT} (Figure S8). As expected, BBB permeabilization by FUS significantly enhanced the brain uptake of ⁸⁹Zr-DFO-C4^{Fc-MUT} (Figure 2A). One-hour post-injection, brain uptake of ⁸⁹Zr-DFO-C4^{Fc-MUT} reached $3.75\pm0.41\%$ ID/cc with FUS versus 274 275 276 277 1.92±0.45% ID/cc without FUS. The difference between the two groups remained significant up to 168h 278 post-injection, even in the contralateral hemisphere (Figure 2B). Immunostaining with a secondary antibody targeting the ⁸⁹Zr-DFO-C4^{Fc-MUT} confirmed the specificity of the signal observed (Figure 2C). The 279 280 injected antibody was only detectable in the tumor when FUS were applied, even though PD-L1 was 281 expressed. No evidence of brain damage was observed into the brain after FUS protocol on 282 hematoxylin/eosin staining (Figure S9).

283

Kinetic modeling of ⁸⁹Zr-labeled C4 brain PET data. To further decipher the role of FcRn at the BBB and characterize the impact of FUS on the entry of antibodies in the brain, compartmental modelling was performed (Figure 3A). As no specific binding is expected in the contralateral hemisphere, a 1-Tissue compartment model was chosen. For the tumoral volume, a 2-Tissue compartment model was fitted (Figure 3B). Mean predicted and observed data of ⁸⁹Zr-DFO-C4 and ⁸⁹Zr-DFO-C4^{Fe-MUT} versus time in each brain volume are displayed in figures 3C and 3D, respectively.

290Our structural model introduced two supplemental parameters, t_{FUS} which describes the time for291the BBB to recover integrity after FUS, and K_{FUS} , which describes the perfusion-dependent transfer rate of292IgG across the permeabilized BBB (Figure 3B). K_{FUS} and t_{FUS} estimates did not differ between the two 89 Zr-293DFO-C4 formats or across brain regions (Table 2). FUS enabled the entry of the two antibodies at a mean294rate of 0.163±0.071 mL/h/g of tissue for 12.1±4.6 minutes after the end of the FUS protocol.295The impaired interaction of 89 Zr-DFO-C4^{Fe-MUT} with FcRn significantly decreased the efflux rate

The impaired interaction of ⁸⁹Zr-DFO-C4^{Fc-*MUT*} with FcRn significantly decreased the efflux rate constant (k_2) from the healthy brain tissue to plasma (0.015±0.027 h⁻¹) compared with ⁸⁹Zr-DFO-C4 (0.300±0.218 h⁻¹, p-value = 0.002). It also impacted the influx rate constant (K_1) post-FUS, with a 10-fold decrease in the rate constant for transfer from plasma to tissue (Table 2). However, in the tumoral volumes, there was no significant difference between both ⁸⁹Zr-DFO-C4 formats' K_1 and k_2 estimates.

301 DISCUSSION

Focused ultrasound is an emerging technology that could change the paradigms of glioblastoma treatment. If associated with immunotherapy, there is a need for a companion methodology to select patients that will respond to such therapy. Improving the kinetic of antibodies in brain tumors is essential for therapy and imaging purposes. The difficulty in addressing personalized therapy for GBM prompted us to propose a theranostic approach based on quantifying PD-L1 expression in brain lesions.

Antibody design with low FcRn affinity has been described as a promising strategy to overcome some pharmacokinetic limitations of immunoPET imaging. Bouleau *et al.* demonstrated that using an H310A/H435Q mutant-IgG offers more advantages for immunoPET imaging than using smaller objects, which mainly aims to decrease the plasma half-life. They obtained significantly higher tumoral uptake of the ⁸⁹Zr-C4^{Fc-MUT} compared with the corresponding ⁸⁹Zr-Fab while benefiting from a fairly fast blood clearance resulting in high contrast PET images at only 24h post-injection [17]. Although human IgG1 has a stronger affinity for murine FcRn than for human FcRn, which could impact the prediction of a human antibody pharmacokinetic from mice models, the H310A/H435Q mutation has a translational value as it abolishes IgG1 interaction with both the human and the murine FcRn [14,16]. Moreover, the importance of FcRn functions on the transcytosis and recycling of IgGs is preserved across species [37].

FcRn functions on the transcytosis and recycling of IgGs is preserved across species [37]. The overall brain exposure of ⁸⁹Zr-DFO-C4^{Fc-MUT} was lower than that of ⁸⁹Zr-DFO-C4. This 317 decrease in brain disposition was similarly observed by Chang et al., who compared the brain kinetics of 318 319 the i.v administered human IgG1 trastuzumab and its (I253A/H310A/H435A) mutant, which is unable to 320 bind FcRn [38,39]. The retention of the mutated C4 due to the binding to PD-L1, enabled by FUS, did not compensate for the reduced brain disposition compared with the ⁸⁹Zr-DFO-C4. The dose-normalized 321 simulation of both C4 formats kinetic in the tumor considering a single intravenous injection and FUS-322 323 induced BBB disruption shows that tumor IgG exposure overtime was higher for the ⁸⁹Zr-DFO-C4 (AUC₀. 324 $_{168h, C4}$ =433 µg*h/mL) compared to the mutated IgG (AUC_{0-168h, C4(Fc-MUT)} =247 µg*h/mL) (Figure 4). Therefore, using IgG with a modified Fc to reduce their affinity for FcRn does not appear advantageous for 325 326 therapeutic purposes. These results are the consequence of the significantly reduced plasma half-life of 327 ⁸⁹Zr-DFO-C4^{Fc-MUT} due to the abolition of FcRn-mediated transcytosis and recycling. In fact, the high brain exposure of ⁸⁹Zr-DFO-C4 might result from the BBB disruption associated with GBM at an advanced stage 328 at 21 days post-implementation, in addition to the long plasma half-life of IgGs [40,41]. ⁸⁹Zr-DFO-C4^{Fc-MUT} 329 foremost benefits from improved kinetic properties for PET imaging of brain tumors expressing PD-L1 as 330 331 it generates an optimal contrast between the brain tumor and the contralateral hemisphere at 22h p.i. for the ⁸⁹Zr-DFO-C4^{Fc-MUT} against 168h for ⁸⁹Zr-DFO-C4. Kinetic modeling was used to decipher the non-specific 332 and the specific binding contribution to the observed PET signal. It enlightens the potency of ⁸⁹Zr-DFO-333 C4^{Fc-MUT} to measure target engagement with the specific binding being predominant as soon as 12h post-334 injection, versus 48h post-injection for the full IgG, ⁸⁹Zr-DFO-C4 (Figure 4). Moreover, the low disposition 335 of ⁸⁹Zr-DFO-C4^{Fc-MUT} in the brain without FUS-induced BBB disruption, associated with its fast plasma 336 337 clearance, implies that the PET image predominantly reflects PD-L1 expression at the time of injection. The same assumption cannot be made for the ⁸⁹Zr-DFO-C4 which uptake may depend on the growth and 338 339 evolution of the tumor between the time of injection and optimal time for PET acquisition.

FUS were necessary to image GBM with the ⁸⁹Zr-DFO-C4^{Fe-MUT}. Although BBB disruption was induced on both brain hemispheres, the binding of ⁸⁹Zr-DFO-C4^{Fe-MUT} was mostly observed in the GBM tumor volume, thus confirming the specificity of the PET signal for PD-L1 expression. The maximum concentration in the GBM tumor was attained at 5h post-injection versus 1h post-injection in the contralateral hemisphere. This delay reflects the time of association of ⁸⁹Zr-DFO-C4^{Fe-MUT} liberated in the interstitial fluid of the brain parenchyma to its target, PD-L1. Safety of the FUS protocol used was previously validated by contrast-enhanced T1w and T2w MRI in addition to histological analysis [28,42,43]. Concordantly, no structural impact of FUS was observed on the brain of mice on H&E staining.

348 Kinetic modeling of the impact of FUS on the brain kinetics of a radiolabeled compound was 349 previously described using a classic 1-tissue compartment model [44]. This model implies that the entry 350 rate of the radiotracer is constant throughout the observation period. In this study, we considered a 351 discontinuous entry function to match the transient character of FUS-induced BBB disruption. To this end, 352 we introduced two additional parameters related to the molecule uptake, K_{FUS} and t_{FUS}, that corresponds respectively to the clearance and to the time of BBB/BTB sealing after the transient disruption with FUS. 353 354 The similarity of these two parameters in all brain regions where FUS were applied reinforces the 355 hypothesis that they only are related to the dynamics of BBB permeation/recovery rather than the intrinsic 356 kinetic properties of tested antibodies. Considering all brain regions and for both C4 formats, the mean 357 K_{FUS} is 0.163±0.071 mL/h/g of tissue. The same order of magnitude with the uptake clearance calculated for ⁸⁹Zr-Cetuximab passage has been observed by Tran *et al.* ($CL_{up Cetuximab} = 0.78 \pm 0.36$ mL/h/g of tissue) 358 359 [28]. The mean t_{FUS} was determined to be 10.4±4.6 min, which is consistent with the theoretical closure 360 half-life of the BBB from Marty et al. [45]. Their estimation, based on semi-empirical observation with 361 MRI revealed a half-life of 17.8 min after FUS-enhanced delivery of particles with a hydrodynamic 362 diameter similar to a diameter of an antibody (≈ 10 nm). Additionally, in our case, implementing a 363 discontinuous permeability function improved the model's fit with the observed concentrations in the tumor 364 volume delineated by contrast-enhanced T1w-MRI. In this particular volume, the BBB is already disrupted 365 by the GBM presence, allowing diffusion of gadoteric acid in the tumor. This suggests that FUS transiently 366 induced a stronger disruption of the BTB than the one induced by this particular tumor model. Enhanced 367 BTB permeability induced by FUS remains to be further investigated in other preclinical models. Of note, this was not observed by Brighi *et al.*, who performed FUS-aided immunoPET imaging of a patient-derivedglioma model in mice [46].

The robustness of the estimation of K_{FUS} and t_{FUS} allowed the characterization of our two C4 370 formats brain kinetic to assess the influence of FcRn affinity quantitatively. In the contralateral hemisphere devoid of tumoral tissue, the ⁸⁹Zr-DFO-C4^{Fc-MUT} is associated with a lower efflux rate between the brain 371 372 and plasma. The influx rate constant was also lower when affinity with FcRn is lost. These results suggest 373 374 that antibody-FcRn interaction controls the mAbs transcytosis across the BBB in both directions. This 375 conclusion is consistent with the slower clearance from the brain of IgG with reduced affinity observed 376 when the IgG is intracranially injected [21,47]. In regions associated with GBM tumoral tissues, transfer rate constants of 89 Zr-DFO-C4^{Fc-MUT} were higher than those in the contralateral hemisphere and were 377 similar to that observed with the unmodified ⁸⁹Zr-DFO-C4. The absence of exchange constants difference 378 379 between the PET contrast-enhanced and the T1w MRI contrast enhanced volume indicates that mAbs 380 tumoral distribution does not relate to antibody-FcRn interaction or BBB integrity before FUS application 381 but mainly on the antigen presence.

Abolishing Fc-FcRn interaction confers improved kinetic properties to ⁸⁹Zr-DFO-C4^{*Fc-MUT*} for immunoPET imaging with a better contrast obtained sooner in brain tumoral tissues. FUS-aided BBB/BTB disruption enables quantitative imaging of PD-L1 expression by glioblastoma tumors within the brain and allowed us to study the effect of Fc-FcRn interaction on the brain distribution of antibodies.

This study demonstrates the potency of FUS-aided BBB disruption with the smart design ofradiolabeled antibodies to enable quantitative immunoPET imaging of PD-L1 within the brain..

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Abbreviations: BBB: blood-brain-barrier; BTB: blood-tumotal barrier; CNS: central nervous system;
 FcRn: neonatal Fc receptor; FUS: focused ultrasound; GBM: glioblastoma; HPLC: high-performance liquid
 chromatography; ICI: immune checkpoint inhibitor; iTLC: immunoglobuline G; MB: microbubble; MRI:
 magnetic resonance imaging; PD1: programmed cell death protein 1; PD-L1: programmed death ligand 1;
 PET: positron emission tomography.

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and, C.C., A.N., N.T., B.M., H.N., C.T. wrote the paper;

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- 405

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531 Tables

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				90	90	E. MUT
532	Table 1. Plasma	pharmacokinetic	parameters of the	[°] Zr-DFO-C4 and	the [®] Zr-DFO-	$\cdot C4^{rc-mult}$

	⁸⁹ Zr-DFO-C4		⁸⁹ Zr-DFO-C4 ^{Fc-MUT}		
Parameter	Mean	Sd	Mean	Sd	p-value
V _c (mL)	1.5	0.1	1.7	0.3	0.45
k ₁₀ (h-1)	0.014	0.004	0.076	0.011	<u>7.4E-06</u>
k ₁₂ (h-1)	0.119	0.058	0.094	0.047	0.48
k ₂₁ (h-1)	0.055	0.032	0.02	0.009	<u>0.03</u>
V_{p} (mL)	3.4	0.5	7.8	2.7	<u>0.01</u>
Initial $t_{1/2}(h)$	4.3	1.5	4.2	1.3	0.84
Terminal $t_{1/2}$ (h) AUC _{0a^{∞}} (µg.h.mL ⁻¹)	176.4 <mark>5954</mark>	50 <mark>1411</mark>	89.7 <mark>569</mark>	30.9 <mark>108</mark>	<u>0.009</u> <u>1.1E-05</u>

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535 Mean parameters estimates and associated standard errors obtained by individually fitting a 536 bicompartmental kinetic to plasmatic concentration of ⁸⁹Zr-DFO-C4 (n=6) and ⁸⁹Zr-DFO-C4^{Fc-MUT}(n=8)</sup>. p-537 value shown are results from Student comparison of mean. V_c : volume of the central compartment; k_{10} : 538 elimination constant from the central comportment; k_{12} : transfer constant from the central to the peripheral 539 compartment; k_{21} : transfer constant from peripheral to central compartment; Vp: volume of the peripheral 540 compartment; Initial $t_{1/2}$: initial half-life; Terminal $t_{1/2}$: terminal half-life.

		⁸⁹ Zr-DFO-C4		⁸⁹ Zr -DFO-C4 ^{Fc-MUT}				
		Mean		Mean				
Brain region	Parameter	Estimate	Sd	Estimate	Sd	p-value		
Contralateral								
	K _{FUS} (mL/h/g of tissue)	0.167	0.156	0.094	0.037	ns		
	t _{FUS} (h)	0.165	0.099	0.215	0.065	ns		
	K_1 (mL/h/g of tissue)	0.030	0.019	0.003	0.005	<u>0.005</u>		
	$k_2 (h^{-1})$	0.300	0.218	0.015	0.027	<u>0.002</u>		
	vB	0.064	0.006	0.077	0.014	ns		
T1w MRI CE								
	K _{FUS} (mL/h/g of tissue)	0.179	0.046	0.200	0.087	ns		
	t _{FUS} (h)	0.142	0.077	0.179	0.102	ns		
	K_1 (mL/h/g of tissue)	0.053	0.021	0.062	0.028	ns		
	$k_2 (h^{-1})$	0.566	0.188	0.601	0.308	ns		
	vB	0.052	0.013	0.053	0.009	ns		
	$k_3 (h^{-1})$	0.027	0.017	0.111	0.063	<u>0.031</u>		
	$k_4 (h^{-1})$	0.005	0.006	0.020	0.011	<u>0.039</u>		
PET CE (wo T1w MRI CE)								
	K _{FUS} (mL/h/g of tissue)	0.155	0.049	0.188	0.077	ns		
	t _{FUS} (h)	0.150	0.037	0.161	0.070	ns		
	K ₁ (mL/h/g of tissue)	0.069	0.033	0.051	0.026	ns		
	$k_2 (h^{-1})$	0.742	0.393	0.491	0.298	ns		
	vB	0.058	0.010	0.063	0.013	ns		
	$k_3 (h^{-1})$	0.017	0.013	0.077	0.047	<u>0.036</u>		
	$k_4 (h^{-1})$	0.000	0.000	0.015	0.007	<u>0.003</u>		

Table 2. Mean estimates and standard deviation of parameters of the different models applied to brain VOI data to characterize ⁸⁹Zr-DFO-C4 and ⁸⁹Zr-DFO-C4^{Fc-MUT} kinetic.

K_{FUS} and K1: perfusion dependent entry constant from plasma to free/non-specifically bound compartment.

t_{FUS}: time of significant closure of the BBB. k₂: transfer constant from free/non-specifically bound compartment to plasma. k₃ and k₄: transfer constant between free/non-specifically bound compartment and

specifically bound compartment. vB: fraction of blood in the tissue.

549 Figures



Scheme 1. Scheme describing the rational of focused ultrasound to deliver through the blood-brain barrier 552 a low FcRn affinity IgG in the brain parenchyma for immunoPET imaging. *The disruption of the tight junctions of endothelial cells allows the paracellular diffusion of antibodies to the brain parenchyma. The*

554 loss of affinity for the FcRn should modify the fate of the radiolabeled C4 antibody targeting PD-L1.



Figure 1. *In vitro* and *in vivo* characterization of the C4 formats for brain PET PD-L1 imaging. (A, B)
Dose-dependent inhibition curves of both C4 formats with human and murine FcRn. Data represent the
mean ± SD of triplicate readings. (C) Timeline of the MRI and PET imaging protocol. (D) Representative
brain PET- T1w MRI images of GL261-GFP bearing C57Bl/6 mice injected with ⁸⁹Zr-DFO-C4 (n=6) or
⁸⁹Zr-DFO-C4^{Fc-MUT} (n=8) at 22h and 168h p.i. Time activity curves in plasma (E) and in the brain (F) of the
two C4 formats. TACs in the tumor and contralateral hemisphere are differentiated. (F) Tissue to plasma
ratio of the two C4 radioligands in tumor and contralateral hemisphere.



Β. C. Injected IgG C4Fc-MUT PD-L1 SHAM Tumor Contralateral Hemisphere SHAM FUS Tumor %ID/cc Contralateral Hemisphere FUS 0 0 50 100 150 Time p.i. (h) 1.0 mm D-L1 Overla

Figure 2. Brain kinetic of ⁸⁹Zr-DFO-C4^{Fc-MUT} after FUS-induced BBB permeabilization. (A) 564 565 Representative PET images overlaid on post-contrast T1-weighted MRI from the same mouse at different time points (1h, 5h, 22h, 46h, 70h and 168h after injection) for the sham (*top*) and the FUS (*bottom*) groups. (B) Time activity curves of the ⁸⁹Zr-DFO-C4^{Fc-MUT} in the tumor enhanced on T1-weighted MRI and in the contralateral hemisphere in the sham (*red*, n=5) and the FUS (*blue*, n=8) groups. All data are 566 567 568 represented as mean \pm SD. (C) Immunofluorescence staining of brain sections of C4^{Fc-MUT} injected mice. 569 570 Adjacent 10µm cryo-sections were stained with either a rat anti-mouse-PD-L1 IgG and an AF546-goatanti-rat IgG (orange) or AF546-goat-anti-human IgG (red) to detect the injected antibody. 571 572 Immunofluorescence signal is overlaid on DAPI images (blue). Magnification of a cryo-section stained

- with rat anti-mouse-PD-L1 IgG and an AF546-goat-anti-rat IgG (orange) or AF546-goat-anti-human IgG (red) to detect the injected antibody. Immunofluorescence signal is overlaid on DAPI images (blue), GL261
- 576 cells express GFP (green). Supplementary immunofluorescence staining are shown in SI Appendix (Figure

S8).





582 (*markers*) and predicted (*solid lines*) brain concentration of ⁸⁹Zr-DFO-C4 (n=4) (C) and ⁸⁹Zr-DFO-C4^{Fc-MUT}

583 (n=7) (D) according to the different VOI. All data are represented as mean \pm SD. K_{FUS} and K1: perfusion

584 dependent entry constant from plasma to free/non-specifically bound compartment. t_{FUS} : time of significant 585 closure of the BBB. k2: transfer constant from free/non-specifically bound compartment to plasma. k3 and

585 closure of the BBB. k2. transfer constant from free/non-specifically bound compariment to plasma. k5 closure of the BBB. k2. transfer constant between free/non-specifically bound compartment and specifically bound

- 580 K4. Indisfer constant between freemon-specificatly bound compariment and specificat
 587 compartment. FUS +MB: Focused ultrasound on microbubbles.
- 588





590 Figure 4. Simulated concentrations of the two C4 formats in GBM tumor with a preserved BBB

integrity on T1w post-contrast MRI. Concentrations were normalized to an injected dose of 70µg of
 antibody. The PET signal simulated is the resultant of the sum of the non-specific and specific fixation.
 Parameters used for the simulation were the mean of individual estimated parameters.