

### Silent closure based pair verifier for fault pattern diagnosis of discrete event systems

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case study to illustrate the results is provided.

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#### 1 INTRODUCTION

Fault diagnosis in a discrete event system (DES) is a crucial and challenging task to ensure its reliability and safety [1], which generally involves two objectives: fault detection and fault diagnosability. The former aims at detecting faults from given observations and the latter focuses on deciding whether faults can be distinguished within a finite delay after their occurrences [2]. Experience with monitoring of dynamic systems shows that there is a large spectrum of faulty situations in practical systems [3], such as multiple faults, intermittent faults [4], and temporary faults [5] that are not consistent with a single event fault. A broader approach is required for such cases and fault pattern diagnosis, introduced in [6], provides a general framework to solve the diagnosis problems by capturing the occurrences of particular strings in a system. The problem of fault pattern diagnosis has received extensive attention [6-15].

In [14, 16], the authors provide methods based on normal behaviours that can also be used for the diagnosability verification of fault patterns. This idea allows the calculation of faulty behaviours obtained by subtracting the normal language from the whole language, or by defining the faulty language as the union of all the behaviours that do not belong to the normal lan-

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guage. In addition, the results in [14] and [16] can also be used to compute the detection delay. The method in [15] proposes a method that involves modelling normal behaviour and calculating faulty behaviours by the subtraction operation. The works in [17-22] work on the problem of diagnosis in continuous time systems.

Another class of methods is based on a systemic construction, namely a diagnoser [2], that is suitable to detect faults on-line and to verify off-line the necessary and sufficient conditions for diagnosability. Basically, such a diagnoser results from the determinisation, i.e. the observer, of a verification structure obtained from the system and pattern. In [23], the authors distinguish two types of pattern diagnosability, S-type and T-type, based on the diagnoser properties. Compared with [23], this work focuses on S-type patterns (but T-type patterns can be viewed as a particular subclass of S-type patterns), and the main difference is that the proposed method in this work has polynomial complexity, which is a significant improvement compared with the diagnoser structure with potentially exponential complexity presented in [23]. In [24], the authors address the problem of fault diagnosis in decentralized DESs by extending the diagnoser into local diagnosers with a coordinator. However, the state space of the corresponding diagnosers

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accepted language is the objective to be diagnosed, representing the occurrence of com-

plex or composite faults. A verifier for fault pattern detection based on the synchronous

product of a system and a fault pattern is proposed. By removing all silent events, a silent

closure is calculated based on the synchronous product, which offers computational advantages for systems that have a large number of silent events. An NSC/FSC pair verifier is

then computed by taking the product of a normal silent closure and an accepted silent

closure. By studying indeterminate cycles of the NSC/FSC pair verifier, necessary and suf-

ficient verification conditions are established, asserting that a system is diagnosable with respect to a fault pattern if and only if there is no indeterminate cycle in the NSC/FSC pair

verifier. It is shown that the proposed method requires polynomial time at most. Finally, a

### **ORIGINAL RESEARCH**



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Abstract

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are all in the worst case exponential with respect to the size of the system.

To overcome the potential state explosion problem, a pair verifier technique [25, 26] is introduced to offer a worst case polynomial test with respect to the number of system states for diagnosability. Several extensions have been developed [13, 27-36]. The pair verifier structures are mainly obtained from the self composition of the verifiers. In particular, an algorithm with linear complexity proposed in [31] converts the fault detection problem into state isolation to determine whether the observations allow us to isolate the states to be within a particular set of states that indicates the occurrence of failures. Especially, the authors in [32] propose two types of pair verifiers in a decentralized diagnosis framework with respect to different local decisions, and the authors in [33] address the modular diagnosability problem by computing a pair verifier. The authors in [34] construct a pair verifier to perform codiagnosability analysis. With structures that result from the composition of faulty and normal verifiers [37], additional gains in space complexity can be obtained.

Finally, model checking based approaches are also used for diagnosis purpose. The study in [9] touches upon the diagnosability analysis of DESs transforming the problem of pattern diagnosis into a model checking problem. In [7, 8], the authors introduce the methods of constructing local pattern diagnosers by using subsystems, which extends the pattern diagnosis problem from a monolithic model to a distributed framework. In [13], the authors review the main definitions of diagnosability with regard to intermittent faults, and discuss appropriate verification techniques. In [10], linear-time temporal logic formulas are used to specify failures in a system such that the problem of testing diagnosability is reduced to that of model checking.

This paper also focuses on fault pattern diagnosis of DESs, fault pattern detection, and diagnosability verification. Labelled finite automata are used as models of the system and pattern to be diagnosed. Improving the numerical complexity of the diagnosis approach is the main challenge of this contribution. In the perspective of the aforementioned contributions, we aim to propose verifiers of reduced size, in particular for systems including numerous silent events. Based on the synchronous product of a system and a fault pattern, we propose a silent closure by removing all silent events. Then, an NSC/FSC pair verifier (NSC/FSC PV) is constructed by taking the product of a normal silent closure and fault silent closure, both of which are obtained from the silent closure with respect to normal and faulty behaviors, respectively. The successive steps of our analysis are visualized in Figure 1.

The rest of the paper is organized as follows. Section 2 reviews finite state automata. Section 3 begins with the notions of fault patterns and then touches upon fault pattern diagnosis in DESs, including fault pattern detection and diagnosability. Sections 4. A and B provide the structures for fault pattern diagnosability based on a standard PV and an NSC/FSC PV respectively. Section 4.3 compares the space complexity of different approaches. Section 5 provides a case study to illustrate the results. Section 6 concludes this research.



FIGURE 1 Schematic of the methodology.

#### 2 | PRELIMINARIES

We use  $\mathbb{N}$  and  $\mathbb{N}_0$  to denote the sets of strictly positive integers and non-negative integers, respectively. Write  $\mathbb{N}_k = \{1, 2, \dots, k\}$ .

**Definition 1.** A deterministic finite automaton (DFA) is a fourtuple  $G_0 = (L, \Sigma, \delta, \ell_0)$ , where *L* is the set of states,  $\Sigma$  is the set of events,  $\ell_0$  is the initial state, and  $\delta : L \times \Sigma \to L$  is the partial transition function:  $l' = \delta(l, \sigma)$  means that there is a transition labelled with event  $\sigma \in \Sigma$  from the state *l* to state *l'*. Let  $\Sigma^*$  be the set of all finite strings defined over  $\Sigma$ , including the empty string  $\lambda$ . Transition function  $\delta$  can be extended to  $L \times \Sigma^* \to L$ in an usual way: given  $l \in L$ ,  $w \in \Sigma^*$ , and  $\sigma \in \Sigma$ ,  $\delta(l, \lambda) = l$ and  $\delta(l, w\sigma) = \delta((\delta(l, w)), \sigma)$ .

The set  $\Sigma$  can be partitioned into two disjoint subsets  $\Sigma = \Sigma_o \cup \Sigma_{no}$ , where  $\Sigma_o$  and  $\Sigma_{no}$  represent the sets of observable and unobservable events, respectively. The concatenation of two strings  $w', w'' \in \Sigma^*$  is the string  $w = w'w'' \in \Sigma^*$ . To be more general, we introduce the notion of output labels. Let  $E_{\varepsilon} = E \cup {\varepsilon}$  be the set of output labels, where *E* is the set of observable labels and  $\varepsilon$  is the empty label. A labelled finite automaton (LFA) can be defined as follows.

**Definition 2.** An LFA is a three-tuple  $G = (G_0, E_{\varepsilon}, Lab)$ , where  $G_0 = (L, \Sigma, \delta, l_0)$  is a DFA,  $E_{\varepsilon}$  is the set of output labels, and  $Lab : \Sigma \to E_{\varepsilon}$  is the labelling function, where  $Lab(\sigma) \in E$ if  $\sigma \in \Sigma_{a}$ , and  $Lab(\sigma) = \varepsilon$  if  $\sigma \in \Sigma_{uo}$ .

In an LFA, there exist transitions modelling the occurrences of silent events (i.e.  $\varepsilon$ -transitions), which cannot be observed. Moreover, two or more transitions outgoing from a given state could possibly produce the same label. In this case, one detects that an event has occurred but cannot determine exactly which transition has fired. The labelling function, or mask function, defines the observation generated by the occurrence of each event [14].

Given a state  $l \in L$  in an LFA, the set of active events at l is defined as  $\Lambda(l) = \{\sigma \in \Sigma | \exists l' \in L : l' = \delta(l, \sigma)\}$ . Given a string  $w \in \Sigma^*$ , its length is defined as the number of events in w, denoted by |w|. A string  $w' \in \Sigma^*$  is said to be a prefix



**FIGURE 2** (a) Labelled finite automaton (LFA)  $G_1$  and (b) fault pattern  $\Omega_1$ .

of  $w \in \Sigma^*$  if there exists  $w'' \in \Sigma^*$  such that w'w'' = w, denoted by  $w' \leq w$ . The language generated by the LFA *G* is defined as  $\mathcal{L}(G) = \{s \in \Sigma^* | \delta(l_0, s)!\}$ , where  $\delta(l_0, s)!$  means that " $\delta(l_0, s)$  is defined". Given string  $w \in \mathcal{L}(G), \mathcal{L}(G)/w$  denotes the post-language of  $\mathcal{L}(G)$  after  $w : \mathcal{L}(G)/w = \{w' \in \Sigma^* \mid ww' \in \mathcal{L}(G)\}$ . A run that begins with the initial state  $l_0$  has the form  $\rho: l_0 \xrightarrow{\sigma_0} l_1 \xrightarrow{\sigma_1} \dots l_n \xrightarrow{\sigma_n} l_{n+1}$ , where  $l_i, l_{i+1} \in L, \sigma_i \in \Sigma$ , and  $l_{i+1} = \delta(l_i, \sigma_i)$  for  $i \in \{0, 1, \dots, n\}$ . In this case, we say that the run  $\rho$  is associated with the string  $w = \sigma_0 \dots \sigma_n \in \Sigma^*$ , and use  $w(\rho)$  to denote the string associated to  $\rho$ . In a similar way, given a string w, we use  $\rho(w)$  to denote the run generated by w from the initial state  $l_0$ . A run is said to be a cycle if  $l_{n+1} = l_0$ .

Given an LFA G, a projection function  $\mathcal{P}: \Sigma^* \to E^*$  is defined as follows. For  $w \in \Sigma^*$  and  $\sigma \in \Sigma$ ,

$$\mathcal{P}(w\sigma) = \begin{cases} \mathcal{P}(w)e & \text{if } Lab(\sigma) = e \in E\\ \mathcal{P}(w) & \text{if } Lab(\sigma) = \varepsilon, \end{cases}$$

and for the empty string  $\lambda$ ,  $\mathcal{P}(\lambda) = \lambda$ .

We use  $\mathcal{L}_E(G)$  to denote the observed language of G, defined by  $\mathcal{L}_E(G) = \{\mathcal{P}(w) \in E^* | w \in \mathcal{L}(G)\}$ . Given an observation  $w_e$ , the inverse projection  $\mathcal{P}^{-1} : E^* \to \Sigma^*$  is defined by  $\mathcal{P}^{-1}(w_e) = \{w \in \mathcal{L}(G) | \mathcal{P}(w) = w_e\}$ . Given an LFA G, we use  $R_G(w_e)$  to denote the set of states resulting from the execution of an event sequence  $w \in \mathcal{P}^{-1}(w_e)$  from state  $l \in L$ , defined by  $R_G(w_e) = \{l \in L | \exists w \in \mathcal{P}^{-1}(w_e) : l = \delta(l_0, w)\}$ .

**Example 1.** Consider an LFA  $G_1$  shown in Figure 2(a), where  $L = \{0, 1, 2, 3, 4, 5, 6\}$ , with 0 being the initial state,  $\Sigma = \{a, b, f_1, f_2\}, \Sigma_{\theta} = \{a, b\}, \Sigma_{u0} = \{f_1, f_2\}, \text{ and } E = \{e\}$ . The labelling function Lab is defined as Lab(a) = Lab(b) = e, and  $Lab(f_1) = Lab(f_2) = \varepsilon$ . A possible run generated by system  $G_1$  from the initial state is  $\rho: 0 \xrightarrow{f_1} 1 \xrightarrow{a} 2 \xrightarrow{b} 0$ , where the associated string of  $\rho$  is  $w = f_1ab$ . The projection of w with respect to the set of observable labels is  $\mathcal{P}(w) = ee$ .

# 3 | FAULT PATTERN DIAGNOSIS OF AUTOMATA

A fault pattern, simply called a pattern in this research, is defined as a finite state automaton whose accepted language is the objective to be diagnosed, which represents the occurrence of complex or composite faults. .7518652, 0, Downloaded from https://ietresearch.c linelibrary.wiley.com/doi/10.1049/cth2.12593 by Université Du Havre Normandie, Wiley Online Library on [04/03/2024]. See the Terms and Conditions (https on Wiley Online Library for rules of use; OA articles are governed by the applicable Creative Commons License

**Definition 3.** A (fault) pattern of an LFA  $G = (G_0, E_{\varepsilon}, Lab)$ with  $G_0 = (L, \Sigma, \delta, I_0)$  is a DFA  $\Omega = (S, \Sigma, \delta_{\Omega}, s_0, s_{\Omega})$ , where S is the set of states,  $\Sigma$  is the set of events,  $s_0 \in S$  is the initial state,  $s_{\Omega} \in S$  is the single final, i.e. accepted state, and  $\delta_{\Omega} : S \times \Sigma \to S$  is the transition function. The fault pattern  $\Omega$  satisfies a complete condition, i.e. for all  $s \in S$ ,  $\Lambda(s) = \Sigma$  and the final state  $s_{\Omega}$  is stable, i.e. for all  $\sigma \in \Sigma$ ,  $\delta_{\Omega}(s_{\Omega}, \sigma) = s_{\Omega}$ .

The language of fault pattern  $\Omega$ , denoted by  $\mathcal{L}(\Omega)$ , satisfies  $\mathcal{L}(\Omega) = \Sigma^*$  due to its complete condition. We use  $\mathcal{L}_{\mathcal{A}}(\Omega)$  to denote the accepted language of  $\Omega$ , defined as  $\mathcal{L}_{\mathcal{A}}(\Omega) = \{w \in \mathcal{L}(\Omega) | \delta_{\Omega}(s_0, w) = s_{\Omega} \}$ , and define the target language of LFA *G* as  $\mathcal{L}_{\mathcal{A}}(G) = \mathcal{L}(G) \cap \mathcal{L}_{\mathcal{A}}(\Omega)$ .

**Example 2.** Consider an example of a fault pattern  $\Omega_1$ , shown in Figure 2(b), where the set of states is  $S = \{N, F\}$ , the set of the events is  $\Sigma$ , the final state is F, and the initial state is N. The fault pattern defines the occurrence of  $f_1$  or  $f_2$ ; its accepted language is  $\mathcal{L}_{\mathcal{A}}(\Omega_1) = \Sigma^* f_1 \Sigma^* \cup \Sigma^* f_2 \Sigma^*$ .

The definition of the detection function is given as follows.

**Definition 4.** A detection function  $Detect_{\Omega} : \mathcal{L}_{E}(G) \to \{Yes, No, Ambiguous\}$  is defined, for any  $w_{e} \in \mathcal{L}_{E}(G)$ , as

- $Detect_{\Omega}(w_{e}) = Yes \text{ if } \mathcal{P}^{-1}(w_{e}) \subseteq \mathcal{L}_{\mathcal{A}}(G),$
- $Detect_{\Omega}(w_{e}) = No \text{ if } \mathcal{P}^{-1}(w_{e}) \cap \mathcal{L}_{\mathcal{A}}(G) = \emptyset,$
- $Detect_{\Omega}(w_e) = Ambiguous$ , otherwise.

Given an observed sequence  $w_e$ , the output of the detection function in Definition 4 is Yes if all the strings generating  $w_e$  are contained in  $\mathcal{L}_{\mathcal{A}}(G)$ , i.e. the fault pattern has certainly occurred. The output is N<sub>0</sub> if no string w whose projection is  $w_e$  is in  $\mathcal{L}_{\mathcal{A}}(G)$ , indicating that the fault pattern has not occurred. Otherwise, the output is Ambiguous, i.e. it is uncertain whether the fault pattern has occurred or not.

**Definition 5.** Given an LFA G and a pattern  $\Omega$ , G is diagnosable with respect to pattern  $\Omega$  if

 $(\exists k \in \mathbb{N}) \ (\forall w \in \mathcal{L}_{\mathcal{A}}(G)) (\forall w' \in \mathcal{L}(G)/w) \ (|w'| \ge k) \Rightarrow \\ [\mathcal{P}^{-1}(\mathcal{P}(ww')) \subseteq \mathcal{L}_{\mathcal{A}}(G)].$ 

Note that in Definition 5, we are interested in the first occurrence of the pattern and diagnosability analysis captures only the strings accepted by the pattern. To verify fault pattern diagnosability, we make the following assumption:

(H) Given an LFA G, its observed language  $\mathcal{L}_E(G)$  is live, i.e. for all observations  $w_e \in \mathcal{L}_E(G)$ , there always exists a label  $e \in E$  such that  $w_e e \in \mathcal{L}_E(G)$ .

## 3.1 | Fault pattern detection based on synchronous product

Inspired by the notion of synchronous product of two automata, this section introduces a synchronous product verifier. Note that a state isolation based verifier can also be obtained for fault pattern detection [31, 38], whose structure is similar to the synchronous product verifier. For the sake of simplicity, the details are not pursued here.

**Definition 6.** Given an LFA  $G = (G_0, E_{\varepsilon}, Lab)$  with  $G_0 = (L, \Sigma, \delta, l_0)$ , and a pattern  $\Omega = (S, \Sigma, \delta_{\Omega}, s_0, s_{\Omega})$ , a synchronous product verifier  $G_{\Omega}$  of G with respect to  $\Omega$  is an LFA  $G_{\Omega} = (L_{G_{\Omega}}, \Sigma, \delta_{G_{\Omega}}, l_0^{G_{\Omega}}, L_F^{G_{\Omega}}, E_{\varepsilon}, Lab)$ , where  $L_{G_{\Omega}} \subseteq L \times S$  is the set of states,  $\Sigma$  is the set of events,  $l_0^{G_{\Omega}} = (l_0, s_0)$  is the initial state,  $L_F^{G_{\Omega}} = L \times \{s_{\Omega}\}$  is the set of final states, and  $\delta_{G_{\Omega}} : (L \times S) \times \Sigma \rightarrow (L \times S)$  is the transition function defined for  $\sigma \in \Sigma$ ,  $s, s' \in S$ ,  $l, l' \in L$ , by  $\delta_{G_{\Omega}}((l, s), \sigma) = (l', s')$ , if  $\delta(l, \sigma) = l'$  and  $\delta_{\Omega}(s, \sigma) = s'$ .

Since  $\Omega$  satisfies the complete condition,  $\mathcal{L}_{\mathcal{A}}(G_{\Omega}) = \mathcal{L}_{\mathcal{A}}(G)$ holds thanks to the definition of accepted language  $\mathcal{L}_{\mathcal{A}}(G_{\Omega})$ of  $G_{\Omega}$ . Let  $\mathcal{L}(G_{\Omega})$  be the generated language of  $G_{\Omega}$ . Given a state  $l_{G_{\Omega}}$  and an observed sequence  $w_e$ , we define  $R_{G_{\Omega}}(w_e) =$  $\{l_{G_{\Omega}} \in L_{G_{\Omega}} | \exists w \in P^{-1}(w_e) : l_{G_{\Omega}} = \delta_{G_{\Omega}}(l_0^{G_{\Omega}}, w)\}$ , where the transition function  $\delta_{G_{\Omega}}$  is extended from  $\Sigma$  to  $\Sigma^*$  in the usual way.

**Proposition 1.** Given an LFA G, a pattern  $\Omega$ , the synchronous product verifier  $G_{\Omega}$ , and an observation  $w_e \in \mathcal{L}_E(G)$ , the detection function Detect<sub> $\Omega$ </sub> satisfies

- (a) Detect<sub>Ω</sub>(w<sub>e</sub>) = Yes if and only if R<sub>GΩ</sub>(w<sub>e</sub>) ⊆ L<sub>F</sub><sup>GΩ</sup>,
  (b) Detect<sub>Ω</sub>(w<sub>e</sub>) = No if and only if R<sub>GΩ</sub>(w<sub>e</sub>) ∩ L<sub>F</sub><sup>GΩ</sup> = Ø,
- (c)  $Detect_{\Omega}(w_{*}) = Ambiguous, otherwise.$

*Proof.* Given an observed sequence  $w_e \in \mathcal{L}_E(G)$  and an event sequence  $w = \sigma_0 \dots \sigma_n \in \mathcal{P}^{-1}(w_e)$ , the runs in  $\Omega$  and  $G_\Omega$  that begin respectively from the initial states  $s_0$  and  $l_0^{G_\Omega}$ , associated with w, are  $\rho_\Omega: s(0) \xrightarrow{\sigma_0} s(1) \xrightarrow{\sigma_1} \dots s(n) \xrightarrow{\sigma_n} s(n+1)$  and  $\rho_{G_\Omega}:$  $l_{G_\Omega}(0) \xrightarrow{\sigma_0} l_{G_\Omega}(1) \xrightarrow{\sigma_1} \dots l_{G_\Omega}(n) \xrightarrow{\sigma_n} l_{G_\Omega}(n+1)$ .

To prove (only if) of case (a), assume that  $Deted_{\Omega}(w_{e}) = Yes$ . Then we have  $w \in \mathcal{L}_{\mathcal{A}}(G)$  and  $\delta_{\Omega}(s(0), w) = s_{\Omega}$ . By considering the run  $\rho_{\Omega}$ , there exists one or more indices  $n_{1}$ , ...,  $n_{k}$ , such that  $s(0) = \cdots = s(n_{1}) = s_{0}$ ,  $s(n_{b} + 1) = \cdots = s(n_{b+1})$ ,  $b \in \mathbb{N}_{k-1}$ , and  $s(n_{k} + 1) = \cdots = s(n + 1) = s_{\Omega}$ . Considering now the run  $\rho_{G_{\Omega}}$  and according to Definition 6, we have  $l_{G_{\Omega}}(0), \ldots, l_{G_{\Omega}}(n_{1}), l_{G_{\Omega}}(n_{b} + 1), \ldots, l_{G_{\Omega}}(n_{b+1}) \in L_{G_{\Omega}} \setminus L_{F}^{G_{\Omega}}$ ,  $b \in \mathbb{N}_{k-1}$ , and  $l_{G_{\Omega}}(n_{k} + 1), \ldots, l_{G_{\Omega}}(n + 1) \in L_{F}^{G_{\Omega}}$ . In particular, the last state of the run  $\rho_{G_{\Omega}}$  belongs to  $L_{F}^{G_{\Omega}}$ . Thus  $R_{G_{\Omega}}(w_{e}) \subseteq L_{F}^{G_{\Omega}}$ .

To prove (if) of case (a), assume that  $R_{G_{\Omega}}(w_e) \subseteq L_F^{G_{\Omega}}$ . For any w such that  $\mathcal{P}(w) = w_e$  there exist one or more indices  $n_1, \ldots, n_k$  and k-1 states  $s(n_{b+1}) \in S$ ,  $b \in \mathbb{N}_{k-1}$ , such that  $l_{G_{\Omega}}(0), \ldots, l_{G_{\Omega}}(n_1), l_{G_{\Omega}}(n_b+1), \ldots, l_{G_{\Omega}}(n_{b+1}) \in L_{G_{\Omega}} \setminus L_F^{G_{\Omega}}, b \in \mathbb{N}_{k-1}$ , and  $l_{G_{\Omega}}(n_k+1), \ldots, l_{G_{\Omega}}(n+1) \in L_F^{G_{\Omega}}$ . By Definition 6,  $s(0) = \cdots = s(n_1) = s_0$ ,  $s(n_b+1) = \cdots = s(n_{b+1})$  with  $b \in \mathbb{N}_{k-1}$  and  $s(n_k+1) = \cdots = s(n+1) = s_{\Omega}$ . Hence, the run  $\rho_{\Omega}$  ends in  $s_{\Omega}$  and  $w = \sigma_0 \sigma_1 \ldots \sigma_n \in \mathcal{L}_A(G)$ .



**FIGURE 3** Synchronous product verifier  $G_{1\Omega_1}$ .

By Definition 4, we have  $Detect_{\Omega}(w_e) = Yes$ . The proofs of cases (b) and (c) are similar to (a).

**Example 3.** Consider the fault pattern  $\Omega_1$  in Figure 2(b) and an LFA  $G_1$  in Figure 2(a). The synchronous product verifier  $G_{1\Omega_1}$  of  $G_1$  and  $\Omega_1$  is shown in Figure 3. Given, for example, an observation *ee*, by Proposition 1, the detection is *Ambigu*ous, since  $R_{G_{1\Omega_1}}(ee) = \{6N, 0F, 2F\}$ , where  $0F, 2F \in L_F^{G_\Omega}$  and  $6N \notin L_F^{G_\Omega}$ .

# 3.2 | Fault pattern diagnosability based on diagnoser

In this section, we provide a method for fault pattern diagnosability based on a diagnoser structure [2]. We define  $R_{G_{\Omega}}(l_{G_{\Omega}}, w) = \{l'_{G_{\Omega}} \in L_{G_{\Omega}} | \exists w \in \Sigma^* : l'_{G_{\Omega}} = \delta_{G_{\Omega}} \ (l_{G_{\Omega}}, w), \mathcal{P}(w) = w_e\}.$ 

**Definition 7.** Given the synchronous product  $G_{\Omega} = (L_{G_{\Omega}}, \Sigma, \delta_{G_{\Omega}}, l_0^{G_{\Omega}}, L_F^{G_{\Omega}}, E_{\varepsilon}, Lab)$  of system G and pattern  $\Omega$ , the diagnoser of G with respect to  $\Omega$  is defined as  $d_G(\Omega) = (L_d, \delta_d, l_0^d, E, Lab)$ , where  $L_d \subseteq 2^{L_{G_{\Omega}}}$  is the set of states,  $l_0^d = R_{G_{\Omega}}(\lambda)$  is the initial state, and  $\delta_d : L_d \times E \to L_d$  is the transition function satisfying  $l_d' = \delta_d(l_d, e)$  if there exist  $l_d, l_d' \in L_d, e \in E$  such that  $\bigcup_{l_{G_{\Omega}} \in l_d} R_{G_{\Omega}}(l_{G_{\Omega}}, e) = l_d'$ .

Definition 7 shows that the diagnoser is obtained by the determinisation of the synchronous product verifier with respect to the set of observable labels E. Diagnosers can be used to check diagnosability by introducing the notions of indeterminate states and cycles.

**Definition 8.** Given a system G, a pattern  $\Omega$ , and its diagnoser  $d_G(\Omega)$ , a state  $l_d \in L_d$  is said to be indeterminate if  $l_d \cap L_F^{G_\Omega} \neq \emptyset$  and  $l_d \cap (L_{G_\Omega} \setminus L_F^{G_\Omega}) \neq \emptyset$ . A cyclic run, for short a cycle, formed by states  $l_1^d$ ,  $l_2^d$ , ...,  $l_n^d \in L_d$ , is said to be an indeterminate cycle if

- 1) for all  $i \in \mathbb{N}_n$ , the state  $l_i^d$  is indeterminate,
- 2) for all  $i \in \mathbb{N}_n$ , there exist at least two states  $l_i^{G_\Omega}$ ,  $\tilde{l_i^{G_\Omega}} \in l_i^d$ with  $l_i^{G_\Omega} = (l_i, s_i)$ ,  $\tilde{l_i^{G_\Omega}} = (\tilde{l_i}, \tilde{s_i})$ ,  $l_i$ ,  $\tilde{l_i} \in L$ ,  $s_i = s_\Omega$ , and  $\tilde{s_i} \in S \setminus \{s_\Omega\}$  such that there exist at least two cycles in  $G_\Omega$ composed of the states  $l_1^{G_\Omega}$ , ...,  $l_n^{G_\Omega}$ , and  $\tilde{l_1^{G_\Omega}}$ , ...,  $\tilde{l_n^{G_\Omega}}$  with the



**FIGURE 4** Diagnoser  $d_{G_1}(\Omega_1)$ .

forms of  $\rho_{G_{\Omega}}$ :  $l_1^{G_{\Omega}} \to \cdots \to l_i^{G_{\Omega}} \to \cdots \to l_n^{G_{\Omega}}$ , and  $\widetilde{\rho_{G_{\Omega}}}$ :  $\widetilde{l_1^{G_{\Omega}}} \to \cdots \to \widetilde{l_i^{G_{\Omega}}} \to \cdots \to \widetilde{l_n^{G_{\Omega}}}$ , where w and w' are two strings associated with the cycles  $\rho_{G_{\Omega}}$  and  $\widetilde{\rho_{G_{\Omega}}}$ , respectively, with  $\mathcal{P}(w) = \mathcal{P}(w')$ .

A cycle in the diagnoser is an indeterminate cycle if it is composed of indeterminate states only, which corresponds to two cycles in the synchronous product verifier: one composed of non-final states only and the other composed of final states only, where both cycles have the same observation. Given an LFA *G* that satisfies Assumption H, *G* is diagnosable with respect to  $\Omega$  iff there is no indeterminate cycle in the diagnoser  $d_G(\Omega)$ . The proof of this result is similar to [2] and is not presented for economy of space.

**Example 4.** Consider an LFA  $G_1$  in Figure 2(a), the fault pattern  $\Omega_1$  in Figure2(b). The resulting diagnoser  $d_{G_1}(\Omega_1)$  is computed in Figure 4. The system  $G_1$  is not diagnosable with respect to pattern  $\Omega_1$  since there exists an indeterminate cycle  $l_4^d l_5^d l_6^d$  in  $d_{G_1}(\Omega_1)$ .

#### 4 | VERIFICATION OF FAULT PATTERN DIAGNOSABILITY

This section sums up the principle of a standard PV and provides an NSC/FSC PV structure to check fault pattern diagnosability. In particular, the NSC/FSC PV structure is constructed by removing all silent events of the system, taking advantage of systems with numerous silent events.

## 4.1 | Fault pattern diagnosability with standard PV

This section introduces a standard PV that can be used for fault pattern diagnosability, which is obtained by the self-product of  $G_{\Omega}$  [26].

**Definition 9.** Given the synchronous product verifier  $G_{\Omega}$  of G and  $\Omega$ , a standard PV  $P_G(\Omega)$  is defined as a nondeterministic automaton  $P_G(\Omega) = (L_P, \delta_P, l_0^P, E_{\varepsilon}, Lab)$ , where  $L_P \subseteq L_{G_{\Omega}} \times L_{G_{\Omega}}$  is the set of states,  $l_0^P = (l_0^{G_{\Omega}}, l_0^{G_{\Omega}})$  is the initial state, and  $\delta_P$  is the transition relation defined as follows. Let  $l_P = (l_{G_{\Omega}}^1, l_{\Omega})$ 



**FIGURE 5** (a) LFA  $G_2$  and (b) synchronous product verifier  $G_{2\Omega_1}$ .

- $l_{G_{\Omega}}^{2} ) \in L_{P}$ , and  $\sigma_{1}, \sigma_{2} \in \Sigma$  such that  $l_{G_{\Omega}}^{1'} = \delta_{G_{\Omega}}(l_{G_{\Omega}}^{1}, \sigma_{1})$  and  $l_{G_{\Omega}}^{2'} = \delta_{G_{\Omega}}(l_{G_{\Omega}}^{2}, \sigma_{2})$ . We have:
- 1. If  $Lab(\sigma_1) = Lab(\sigma_2) = e$ ,  $e \in E$ , then  $((l_{G_{\Omega}}^1, l_{G_{\Omega}}^2))$ , e,  $(l_{G_{\Omega}}^{1'}, l_{G_{\Omega}}^{2'})) \in \delta_P$ , 2. If  $Lab(\sigma_1) = Lab(\sigma_2) = \varepsilon$ , then

$$\begin{cases} \left( \left( l_{G_{\Omega}}^{1}, l_{G_{\Omega}}^{2} \right), \varepsilon, \left( l_{G_{\Omega}}^{1'}, l_{G_{\Omega}}^{2} \right) \right) \in \delta_{P} \\ \left( \left( l_{G_{\Omega}}^{1}, l_{G_{\Omega}}^{2} \right), \varepsilon, \left( l_{G_{\Omega}}^{1}, l_{G_{\Omega}}^{2'} \right) \right) \in \delta_{P} \\ \left( \left( l_{G_{\Omega}}^{1}, l_{G_{\Omega}}^{2} \right), \varepsilon, \left( l_{G_{\Omega}}^{1'}, l_{G_{\Omega}}^{2'} \right) \right) \in \delta_{P}. \end{cases}$$

By construction, the transition relation  $\delta_P$  tracks two strings in  $\mathcal{L}(G_{\Omega})$  which generate the same output from an observational point of view, while updating the failure information as the two strings evolve. The standard PV can be used to check diagnosability by considering indeterminate states and cycles of this structure.

**Definition 10.** Given the synchronous product verifier  $G_{\Omega}$  of a system G and a pattern  $\Omega$ , and the standard PV  $P_G(\Omega)$ , a state  $l_P = (l_{G_{\Omega}}^1, l_{G_{\Omega}}^2) \in L_P$  is said to be indeterminate if  $(l_{G_{\Omega}}^1, l_{G_{\Omega}}^2) = ((l^1, s^1), (l^2, s^2)), \ l^1, l^2 \in L, \ s^1 \in S \setminus \{s_{\Omega}\}, \ s^2 = s_{\Omega}$ , or vice verse. A cycle in  $P_G(\Omega)$  is said to be *indeterminate* if all states of the cycle are indeterminate.

Given an LFA *G* that satisfies Assumption H, *G* is diagnosable with respect to pattern  $\Omega$  iff there is no indeterminate cycle in  $P_G(\Omega)$ . The proof of this result is similar to [26] and is not presented for economy of space.

**Example 5.** Consider an LFA  $G_2$  in Figure 5(a) with  $\Sigma_{uo} = \{f_1\}$ ,  $\Sigma_o = \{a, b, c\}$ , and  $E = \{e, e'\}$ , where Lab(a) = Lab(b) = e, and Lab(c) = e', and the fault pattern  $\Omega_1$  in Figure 2(b). The synchronous product  $G_{2\Omega_1}$  and the standard PV  $P_{G_2}(\Omega_1)$  are shown in Figures 5(b) and 6, respectively. The system  $G_2$  is diagnosable with respect to  $\Omega_1$  since there do not exist indeterminate cycles in  $P_{G_2}(\Omega_1)$  (see Definition 10).

On the contrary, consider the LFA  $G_1$  in Figure 2(a) and the pattern  $\Omega_1$  in Figure 2(b) previously discussed. The standard PV  $P_{G_1}(\Omega_1)$  can be obtained in a similar way. Since there exists at least one indeterminate cycle in  $P_{G_1}(\Omega_1)$ ,  $G_1$  is not diagnosable with respect to the pattern  $\Omega_1$ . For the sake of simplicity, the details are not pursued here.



**FIGURE 6** Standard PV  $P_{G_2}(\Omega_1)$ .



**FIGURE 7** Silent closure  $G_{2S_1}$ .

## 4.2 | Fault pattern diagnosability with NSC/FSC PV

In contrast to the standard PV introduced above, the NSC/FSC PV is built on the basis of normal and fault silent closures, which are coaccessible parts of a silent closure respectively, especially offering an advantage for the systems with numerous silent events.

**Definition 11.** Given the synchronous product verifier  $G_{\Omega} = (L_{G_{\Omega}}, \Sigma, \delta_{G_{\Omega}}, l_{0}^{G_{\Omega}}, L_{F}^{G_{\Omega}}, E_{\varepsilon}, Lab)$ , the silent closure of  $G_{\Omega}$  is a four-tuple  $G_{S} = (L_{S}, E, \delta_{S}, l_{0}^{S})$ , where E is the set of observable labels,  $L_{S}$  is the set of states, defined as  $L_{S} = \{l'_{G_{\Omega}} \in L_{G_{\Omega}}, \sigma \in \Sigma_{o} : l'_{G_{\Omega}} = \delta_{G_{\Omega}}(l_{G_{\Omega}}, \sigma)\}, \ l_{0}^{S} = l_{0}^{G_{\Omega}}$  is the initial state, and  $\delta_{S} \subseteq L_{S} \times E \times L_{S}$  is the transition relation, defined as  $(l_{S}, e, l'_{S}) \in \delta_{S}$  if and only if there exists a run  $l_{S} \stackrel{\sigma_{1}}{\longrightarrow} l_{G_{\Omega}}^{1} \stackrel{\dots}{\longrightarrow} l_{G_{\Omega}}^{n} \stackrel{\sigma_{1}}{\longrightarrow} l'_{S}, n \geq 0, \ l_{S}, l'_{S} \in L_{S}$ , in  $G_{\Omega}$  such that for  $i = 1, 2, ..., n, l'_{G_{\Omega}} \in L_{G_{\Omega}}, Lab(\sigma_{i}) = \varepsilon$ , and  $Lab(\sigma) = e$ .

The transition relation  $\delta_s$  can be extended from E to  $E^*$  in the usual way.

**Example 6.** Consider an LFA  $G_2$  in Figure 5(a), the pattern  $\Omega_1$  in Figure 2(b), and their synchronous product verifier  $G_{2\Omega_1}$  in Figure 5(b). By Definition 11, the silent closure  $G_{2S_1}$  of  $G_{2\Omega_1}$  is obtained in Figure 7.

**Definition 12.** Given a silent closure  $G_S$ , the normal silent closure (NSC) of  $G_S$  is a four-tuple  $G_N = (L_N, E, \delta_N, l_0^N)$ , where  $L_N$  is the set of the states defined as  $L_N = \{l_S \in L_S | l_S \notin L_F^{G_\Omega}\}$ , E is the set of observable labels,  $\delta_N \subseteq L_N \times E \times L_N$  is the transition relation, defined by  $(l_N, e, l'_N) \in \delta_N$  for  $l_N, l'_N \in L_N$ , if there exists  $e \in E$  such that  $(l_N, e, l'_N) \in \delta_S$ , and  $l_0^N = l_0^S$  is the initial state.

From Definition 12, one can conclude that for any state  $l_N \in L_N$ , it holds  $l_N \notin L_F^{G_\Omega}$ , i.e. the NSC  $G_N$  is the coaccessible part of  $G_S$  with respect to  $L_{G_\Omega} \setminus L_F^{G_\Omega}$ .



**FIGURE 8** (a) Normal silent closure  $G_{2N_1}$  and (b) fault silent closure  $G_{2F_1}$ .

**Definition 13.** Given a silent closure  $G_S$ , the fault silent closure (FSC) of  $G_S$  is a four-tuple  $G_F = (L_F, E, \delta_F, l_0^F)$ , where  $L_F$  is the set of the states defined as  $L_F = \{l_S \in L_S | \exists l'_S \in L_S, \exists w_e \in E^* : (l_S, w_e, l'_S) \in \delta_S, l'_S \in L_F^{G_\Omega}\}$ , E is the set of observable labels,  $\delta_F \subseteq L_F \times E \times L_F$  is the transition relation, defined by  $(l_F, e, l'_F) \in \delta_F$  for  $l_F, l'_F \in L_F$ , if there exists  $e \in E$  such that  $(l_F, e, l'_E) \in \delta_S$ , and  $l_0^F = l_0^S$  is the initial state.

From Definition 13, the construction of the fault silent closure  $G_F$  is essentially the coaccessible part of  $G_S$  with respect to the states  $l_S \in L_S$  which satisfy also  $l_S \in L_E^{G_\Omega}$ .

**Example 7.** Consider the silent closure  $G_{2S_1}$  of  $G_{2\Omega_1}$  in Figure 7. By Definitions 12 and 13, the NSC  $G_{2N_1}$  and FSC  $G_{2F_1}$  are obtained in Figures 8(a) and 8(b), respectively.

The NSC/FSC PV is formally introduced as follows.

**Definition 14.** Given an NSC  $G_N$  and an FSC  $G_F$ , an NSC/FSC PV of G and  $\Omega$  is a four-tuple  $NFP_G(\Omega) = (L_{NFP}, E, \delta_{NFP}, l_0^{NFP})$ , where  $L_{NFP} \subseteq L_N \times L_F$  is the set of states, E is the set of observable labels,  $\delta_{NFP} \subseteq L_{NFP} \times E \times L_{NFP}$  is the transition relation, defined by  $(l_{NFP} e, l_{NFP}') \in \delta_{NFP}$  for  $l_{NFP} = (l_N, l_F), l_{NFP}' = (l_N', l_F') \in L_{NFP}$ , if there exist  $l_N, l_N' \in L_N, l_F, l_F' \in L_F, e \in E$  such that  $(l_N, e, l_N') \in \delta_N$  and  $(l_F, e, l_F') \in \delta_F$ , and  $l_0^{NFP} = (l_0^N, l_0^F)$  is the initial state.

By construction, the transition relation  $\delta_{NFP}$  tracks two strings of the NSC  $G_N$  and the FSC  $G_F$ , respectively, which generate the same output from an observational point of view, while updating the pattern information as the two strings evolve.

It follows from Definitions 12 and 13 that in  $G_N$ , all states  $l_N$  satisfy  $l_N \in L_{G_\Omega} \setminus L_F^{G_\Omega}$ , and in  $G_F$ , some states  $l_F$  satisfy  $l_F \in L_{G_\Omega} \setminus L_F^{G_\Omega}$  but others satisfy  $l_F \in L_F^{G_\Omega}$ . In other words, all the states in  $G_N$  contain only the non-faulty information, and in  $G_F$ , some states encode the non-faulty information, while others encode the faulty information. Since an NSC/FSC PV  $NFP_G(\Omega)$  is essentially the strict composition of an NSC  $G_N$  and an FSC  $G_F$ , picking any state  $l_{NFP} = (l_N, l_F)$  in  $NFP_G(\Omega)$ , its component  $l_N$  contains only non-faulty information, while the component  $l_F$  contains faulty and non-faulty information. Then, picking any cycle  $\rho_{NFP}$ :  $(l_N^1, l_F^1) \rightarrow ... \rightarrow (l_N^n, l_F^n) \rightarrow (l_N^1, l_F^1)$  of the  $NFP_G(\Omega)$ , its obvious that there exist only two forms of the cycle: one satisfies  $l_N^i \in L_{G_\Omega} \setminus L_F^{G_\Omega}$ , for i = 1, ..., n, and the other satisfies  $l_N^i \in L_{G_\Omega} \setminus L_F^G$ .

 $L_F^{G_{\Omega}}$  for i = 1, ..., n.  $NFP_G(\Omega)$ -indeterminate state and  $NFP_G(\Omega)$ -indeterminate cycle of the  $NFP_G(\Omega)$  are introduced that will be used later.

**Definition 15.** Given an NSC/FSC PV  $NFP_G(\Omega)$ , a state  $(l_N, l_F) \in L_{NFP}$  is said to be an  $NFP_G(\Omega)$ -indeterminate state if  $l_F \in L_E^{G_\Omega}$ .

Note that for an  $NFP_G(\Omega)$ , there exist only two types of cycles: (i) cycles composed by the states that are all  $NFP_G(\Omega)$ -indeterminate; and (ii) those composed by the states that are all not  $NFP_G(\Omega)$ -indeterminate. Given a cycle in  $NFP_G(\Omega)$ , if all states of the cycle are  $NFP_G(\Omega)$ -indeterminate, there necessarily exist two cycles in  $G_N$  and  $G_F$ , respectively, one including only the states  $l_N$  satisfying  $l_N \in L_{G_\Omega} \setminus L_F^{G_\Omega}$ , and the other including only the states  $l_F$  satisfying  $l_F \in L_{G_\Omega}^{G_\Omega}$ .

**Definition 16.** A cycle of  $NFP_G(\Omega)$  is  $NFP_G(\Omega)$ -indeterminate if all the states of the cycle are  $NFP_G(\Omega)$ -indeterminate.

**Proposition 2.** Let G be an LEA that satisfies Assumption H. It is diagnosable with respect to pattern  $\Omega$  iff there is no  $NFP_G(\Omega)$ -indeterminate cycle in  $NFP_G(\Omega)$ .

*Proof.* (only if) Assume that there exists an indeterminate cycle  $cl_{NFP} : l_m^{NFP} \rightarrow l_{m+1}^{NFP} \cdots l_n^{NFP} \rightarrow l_m^{NFP}$  in the  $NFP_G(\Omega)$ , for  $i = m, m + 1, ..., n, m, n \in \mathbb{N}$ ,  $l_i^{NFP} \in L_{NFP}$ . Let  $\rho_{NFP} : l_0^{NFP} \rightarrow \cdots l_m^{NFP} \cdots l_n^{NFP} \rightarrow l_m^{NFP}$  be a run in  $NFP_G(\Omega)$  and  $w_{NFP} = w(\rho_{NFP})$ .

From Definition 14, there necessarily exist two runs

$$\begin{split} \rho_N &: l_0^N \to \cdots l_{m'}^N \cdots l_{n'}^N \to l_{m'}^N, \\ \rho_F &: l_0^F \to \cdots l_{m''}^F \cdots l_{n''}^F \to l_{m''}^F, \end{split}$$

with  $w_N = w(\rho_N)$  and  $w_F = w(\rho_F)$  in  $G_N$  and  $G_F$  respectively, such that  $w_{NFP}$ ,  $w_N$  and  $w_F$  have the same observation. Observer that these two runs contain two cycles  $d_N$ :  $l_{m'}^N \cdots l_{n'}^N \rightarrow l_{m'}^N$  and  $d_F$ :  $l_{m''}^F \cdots l_{m''}^F \rightarrow l_{m''}^F$  such that  $l_{i'}^N \in L_{G_\Omega} \setminus L_F^{G_\Omega}$ , i' = m', ..., n', and  $l_{i''}^F \in L_F^{G_\Omega}$ , i'' = m'', ..., n''.

Then, there exist two prefixes  $w_N^{pr}$ ,  $w_F^{pr}$  of  $w_N$  and  $w_F$ respectively, with  $(l_0^N, w_N^{pr}, l_{m'}^N) \in \delta_N$  and  $(l_0^F, w_F^{pr}, l_{m'}^F) \in \delta_F$ such that  $(l_{m'}^N, l_{m''}^F) = l_m^{NFP}$ , and the two sequences  $w_N^{pr}$ ,  $w_F^{pr}$  have the same observation, where  $\delta_N$  (resp.  $\delta_F$ ) can be extended from E to  $E^*$  in the usual way. Also, there exist two strings  $w_N^{cl} = w(cl_N)$  and  $w_F^{cl} = w(cl_F)$  in  $G_N$  and  $G_F$ respectively, such that  $w_N = w_N^{pr} w_N^{cl}$  and  $w_F = w_F^{pr} w_F^{cl}$ .

Repeating the cycle  $d_{NFP}$  with any k times,  $k \in \mathbb{N}$ , there exist two sequences  $w_N^{pr}(w_N^d)^k$  and  $w_F^{pr}(w_F^d)^k$  in  $G_N$  and  $G_F$  having the same observation. By Definitions 12 and 13, there necessarily exist two runs in  $G_S$  corresponding to  $w_N^{pr}(w_N^d)^k$  and  $w_F^{pr}(w_F^d)^k$ , respectively, having the same observation. By Definition 11, there exist two strings in  $G_\Omega$  having the same observation: one accepted by  $\Omega$  while another is not. Thus, there exist two strings in G having the same observation:

$$\rightarrow 0N0N \xrightarrow{e} 3N2F \xrightarrow{e} 4N1F$$

**FIGURE 9** NSC/FSC PV  $NFP_{G_2}(\Omega_1)$ .

one accepted by  $\Omega$  while another is not, which completes the necessity.

(if) Suppose that G is not diagnosable with respect to  $\Omega$ , i.e. there exists  $w^{pr} \in \mathcal{L}_{\mathcal{A}}(G), w \in \mathcal{L}_{\mathcal{A}}(G)/w^{pr}$ , and  $w' \notin \mathcal{L}_{\mathcal{A}}(G)$  such that  $\mathcal{P}(w^{pr}w) = \mathcal{P}(w')$ , i.e. they have the same observation. By Definitions 6 and 11, there necessarily exist two runs in  $G_S$  corresponding to  $w^{pr}w$  and w', respectively, one reaching the final state and the other not. By Definitions 12 and 13, there exist two runs  $\rho_N = \rho(w_N)$  and  $\rho_F = \rho(w_F)$  with the forms of

$$\rho_N : l_0^N \xrightarrow{w_N} l^N,$$
  
 $\rho_F : l_0^F \xrightarrow{w_F} l^F,$ 

such that  $w_N$  and  $w_F$  have the same observation with  $w^{pr}w$ and  $w', l^N \in L_{G_{\Omega}} \setminus L_F^{G_{\Omega}}$ , and  $l^F \in L_F^{G_{\Omega}}$ . Moreover, there exist two runs  $\rho_N^{pr} = \rho(w_N^{pr})$  and  $\rho_F^{pr} =$ 

Moreover, there exist two runs  $\rho_N^{pr} = \rho(w_N^{pr})$  and  $\rho_F^{pr} = \rho(w_F^{pr})$  in  $G_N$  and  $G_F$  with the forms of

$$\rho_N^{pr}: l_0^N \xrightarrow{w_N^{pr}} l_{pr}^N,$$
$$\rho_F^{pr}: l_0^F \xrightarrow{w_F^{pr}} l_{pr}^F,$$

such that  $\boldsymbol{w}_{N}^{pr}$  and  $\boldsymbol{w}_{F}^{pr}$  have the same observation,  $l_{pr}^{N} \in L_{G_{\Omega}} \setminus L_{F}^{G_{\Omega}}$ , and  $l_{pr}^{F} \in L_{F}^{G_{\Omega}}$ , where  $\boldsymbol{w}_{N}^{pr}$  is the prefix of  $\boldsymbol{w}_{N}$ , and  $\boldsymbol{w}_{F}^{pr}$  is the prefix of  $\boldsymbol{w}_{F}$ . Then, according to Definition 14, there is a run in  $NFP_{G}(\Omega)$  of the form  $\boldsymbol{\rho}_{NFP} : l_{m}^{NFP} \to l_{n}^{NFP}$  such that  $l_{m}^{NFP} = (l_{pr}^{N}, l_{pr}^{F}), l_{n}^{NFP} = (l^{N}, l^{F}),$  and  $l_{pr}^{F}, l^{F} \in L_{F}^{G_{\Omega}}$ .

By considering string  $w^{pr}w$  of increasing symbol, the length of  $w_N$  and  $w_F$  also increases. Then, there eventually exist two states  $l_i^{NFP}$ ,  $l_j^{NFP}$ ,  $m \le i \le j \le n$  such that  $l_i^{NFP} = l_j^{NFP}$ , and the set of states  $\{l_r^{NFP}, r = i, i + 1, ..., j\}$  forms an indeterminate cycle. This ends the proof.

**Example 8.** Consider an LFA  $G_2$  in Figure 5(a) and the pattern  $\Omega_1$  in Figure 2(b). By Definition 14, the NSC/FSC PV  $NFP_{G_2}(\Omega_1)$  is obtained in Figure 9. Based on Proposition 2,  $G_2$  is diagnosable with respect to  $\Omega_1$  since there do not exist indeterminate cycles  $NFP_{G_1}(\Omega_1)$  (see Definition 16).

In addition, consider also the LFA  $G_1$  in Figure 2(a) and the pattern  $\Omega_1$  in Figure 2(b). By Definition 14, the NSC/FSC PV  $NFP_{G_1}(\Omega_1)$  can be obtained in a similar way. Since there exists at least one indeterminate cycle in  $NFP_{G_1}(\Omega_1)$ , by Proposition 2,  $G_1$  is not diagnosable with respect to the pattern  $\Omega_1$ . For the sake of simplicity, the details are not pursued here.

#### 4.3 | Discussion and comparison

It is worth noting that the methods in [14] and [16] can be used not only for single event fault scenarios but also for complex

**TABLE 1** Literature review for the diagnosability and detection delay problems.

Delay counting				
Objective	Event	Observable event		
Detection delay evolution	[14, 16, 39–42]	[43, 44]		
K-diagnosability	[16, 40, 42, 45–47]	[6, 43]		

TABLE 2 Space complexity comparison.

Structure	Complexity	Process
Synchronous product $G_{\Omega}$	$O(n \times m)$	$G \to G_{\Omega}$
Diagnoser $d_G(\Omega)$	$O(2^{n \times m})$	$G \to G_\Omega \to d_G(\Omega)$
Standard PV $P_G(\mathbf{\Omega})$	$O(n^2m^2)$	$G \to G_\Omega \to P_G(\Omega)$
FSC/NSC PV $NFP_G(\Omega)$	$O(n_O^2 m^2)$	$G \to G_{\Omega} \to G_{S} \to G_{N}, G_{F} \to NFP_{G}(\Omega)$

fault patterns diagnosability verification. Moreover, the proposed structures in [14] and [16] can be used for computing the detection delay. This notion has been adopted for DES by counting the number of events (Table 1). In our case, the detection delay can be counted in terms of the number of observable events based on the proposed NSC/FSC PV structure.

We compare the space complexity of the methods based on state isolation with its diagnoser, synchronous product with its diagnoser, and the standard PV, as shown in Table 2. Given an LFA G and a pattern  $\Omega$ , let |L| = n and |S| = m. Let us also introduce  $n_0$  as the number of system states that are reachable by at least one non silent event.

The number of reachable states of synchronous product verifier  $G_{\Omega}$  of G and  $\Omega$  is  $n \times m$  at most. Consequently, the number of reachable states of diagnoser  $d_G(\Omega)$  is  $2^{n \times m}$  at most (see Section 3.2) and the number of reachable states of standard PV  $P_G(\Omega)$  is  $(n \times m)^2$  at most (see Section 4.1). From the perspective of complexity, the advantage of considering verification structures based on the silent closure of the synchronous product  $G_{\Omega}$  is to remove all states that cannot be reached by an observable event. Consequently, depending on the number and location of the silent events in the system, the size of the final NSC/FSC PV can be highly reduced. According to Section 4.2, the number of states of silent closure  $G_S$  is  $(n_O + 1) \times m$  at most and the numbers of reachable states of NSC  $G_N$  and FSC  $G_F$ are  $(n_0 + 1) \times (m - 1)$  and  $(n_0 + 1) \times m$  at most, respectively. So, finally, the number of states of NSC/FSC PV  $NFP_G(\Omega)$  is  $(n_0 + 1)^2 \times m \times (m - 1)$  at most. The space complexity of all structures can be found in Table 2.

#### 5 | ILLUSTRATIVE EXAMPLE

#### 5.1 | Manufacturing cell model

This section presents an example of a flexible manufacturing system, which is a work cell composed of a robot that loads and



**FIGURE 10** (a) Robot work cell *G* and (b) fault pattern  $\Omega$ .

**TABLE 3** Meaning of the states in Figure 10(a).

State	Meaning of the state Robot stays in input buffer I	
Ι		
$M_1$	Robot stays in machine 1 $M_1$	
<i>M</i> <sub>2</sub>	Robot stays in machine 2 $M_2$	
0	Robot stays in output buffer $O$	
TR <sub>I</sub>	Robot stays in safety buffer $TR_I$	
TR <sub>1</sub>	Robot stays in safety buffer $TR_1$	
TR <sub>2</sub>	Robot stays in safety buffer $TR_2$	
TR <sub>O</sub>	Robot stays in safety buffer TR <sub>C</sub>	

unloads workpieces between input buffer I, output buffer O, and two machines  $M_1$  and  $M_2$  shown in Figure 10(a).  $TR_I$ ,  $TR_O$ ,  $TR_1$ , and  $TR_2$  represent different areas in the work cell, related to I, O,  $M_1$ , and  $M_2$ , where the robot can move.

The robot work cell is modelled as an LFA *G*, as shown in Figure 10(a), where the set of the states is  $L = \{I, O, M_1, M_2, TR_I, TR_O, TR_1, TR_2\}$ , the set of the events is  $\Sigma = \{a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, a_9, a_{10}, a_{11}, a_{12}, a_{13}, a_{14}\}$ . Specifically, Tables 3 and 4 describe the meanings of the states and the events in the work cell, respectively. Table 4 (Scenario 1) also outlines the labels that correspond to the events, defined as  $Lab(a_9) = m_1$ ,  $Lab(a_{10}) = m_2$ ,  $Lab(a_{11}) = i$ , and  $Lab(a_{12}) = o$ . It is worth noting that this work cell focuses on the behaviours of the robot. Therefore, each state and event described in Tables 3 and 4 characterizes the specific details of the robot.

### 5.2 | Fault pattern and diagnosability analysis

In the configuration of this work cell, it is not allowed for the robot to execute behaviours that move from areas  $TR_1$  to  $TR_2$  in the counter-clockwise direction, i.e. the fault pattern of interest is the occurrence of the event  $a_2$  followed by the occurrence of the event  $a_{12}$  and then by  $a_3$ , as shown in Figure 10(b).

In the following, we analyze the diagnosability of the work cell with respect to the fault pattern  $\Omega$ , according to the NSC/FSC PV approach proposed in Section 4.2. For this purpose, we compute first the silent closure  $G_S$ , then the faulty silent closure  $G_F$  (Figure 11), and the normal silent closure

TABLE 4 Meaning of the events in Figure 10(a) and the output labels.

Events	Meaning of the events	Labels in Scenario 1	Labels in Scenario 2
<i>a</i> <sub>1</sub>	Robot left $TR_I$ and arrived at $TR_1$	ε	ε
<i>a</i> <sub>2</sub>	Robot left $TR_1$ and arrived at $TR_O$ .	ε	ε
<i>a</i> <sub>3</sub>	Robot left $O$ and arrived at $TR_2$	ε	ε
$a_4$	Robot left $TR_2$ and arrived at $I$	ε	ε
<i>a</i> <sub>5</sub>	Robot left $TR_1$ and arrived at $I$	ε	ε
<i>a</i> <sub>6</sub>	Robot left $TR_1$ and arrived at $TR_2$	ε	e <sub>6</sub>
<i>a</i> <sub>7</sub>	Robot left $TR_2$ and arrived at $TR_O$	ε	e <sub>7</sub>
<i>a</i> <sub>8</sub>	Robot left $O$ and arrived at $TR_1$	ε	$e_8$
<i>a</i> 9	Robot arrived at $M_1$	<i>m</i> <sub>1</sub>	<i>m</i> <sub>1</sub>
$a_{10}$	Robot arrived at $M_2$	<i>m</i> <sub>2</sub>	<i>m</i> <sub>2</sub>
<i>a</i> <sub>11</sub>	Robot left $I$ and arrived at $TR_I$	i	i
<i>a</i> <sub>12</sub>	Robot left $TR_I$ and arrived at $O$	0	0
<i>a</i> <sub>13</sub>	Robot left $M_1$ and arrived at $TR_1$	ε	ε
<i>a</i> <sub>14</sub>	Robot left $M_2$ and arrived at $TR_2$	ε	ε



**FIGURE 11** Faulty silent closure  $G_F$ .

 $G_N$  (Figure 12). Finally, the NSC/FSC PV is constructed (Figure 13). It is obvious that there exists at least one indeterminate cycle  $(M_2, N_1), (M_2, F) \xrightarrow{m_2} (M_2, N_1), (M_2, F)$ . Consequently, by Proposition 2, we can conclude that the robot work cell G is not diagnosable with respect to the fault pattern  $\Omega$ .

Observe that by adding three more observable labels:  $Lab(a_6) = e_6$ ,  $Lab(a_7) = e_7$ ,  $Lab(a_8) = e_8$ ,  $Lab(a_9) = m_1$ ,  $Lab(a_{10}) = m_2$ ,  $Lab(a_{11}) = i$ , and  $Lab(a_{12}) = o$  (Scenario 2 in Table 4), the conclusion about diagnosability improves. The NSC/FSC PV of Scenario 2 is detailed in Figure 14: there is no indeterminate cycle and the robot work cell *G* is diagnosable with respect to the fault pattern  $\Omega$ .



**FIGURE 12** Normal silent closure  $G_N$ .



FIGURE 13 NSC/FSC PV of Scenario 1.



FIGURE 14 NSC/FSC PV of Scenario 2.

In order to discuss the complexity aspects, let us first mention that for both scenarios we have n = |L| = 8 and m = |S| = 3. Then for the first scenario,  $n_0 = 4$  whereas for the second scenario  $n_0 = 7$ . Then, Table 5 details the number of states and transitions of the main structures used for fault pattern diagnosability verification with the proposed approach and for the two scenarios of this case study. Let us first notice that the exact sizes of the resulting NSC/FSC PVs (resp. 13 for scenario 1 and 9 for scenario 2) are much lower than the worst case sizes (resp. 144 for scenario 1 and 441 for scenario 2) provided by the complexity analysis. These worst case complexities also improve the worst case complexity of the pair verifier (576

 TABLE 5
 Space complexity analysis (number of states × number of transitions).

Structure	Scenario 1	Scenario 2
Synchronous product $G_{\Omega}$	18 × 31	18 × 31
Silent closure $G_{\mathcal{S}}(\Omega)$	10 × 37	$16 \times 47$
Normal silent closure $G_N(\Omega)$	$6 \times 20$	9 × 23
Faulty silent closure $G_F(\Omega)$	10 × 37	$16 \times 47$
NSC/FSC PV NFP $_G(\Omega)$	$13 \times 57$	9 × 23

for both scenarios). Then, in Table 5, we observe also that the normal and fault silent closures for Scenario 2 are larger than those for Scenario 1. However, the size of the final NSC/FSC PV structure for Scenario 2 is smaller than that of Scenario 1. This indicates that even if  $n_O$  provides a first indication of the gain in complexity, it is not enough to evaluate the advantage of the proposed approach for a particular system and scenario.

#### 6 | CONCLUSION

This paper deals with the fault pattern diagnosis of discrete event systems, which includes fault pattern detection and diagnosability checking. The contribution has focused at first on the space complexity issues related to the construction of the related verification structures. For that purpose, we propose a synchronous product verifier, suitable to manipulate complex patterns in a systematic way. In order to verify the fault pattern diagnosability, an NSC/FSC PV is constructed based on the silent closure of the synchronous product verifier. The proposed method requires polynomial time at most, offering computational advantages for systems with numerous silent events. A case study of a flexible manufacturing system illustrates our approach and shows that it is suitable for real systems and practical situations.

However, we should mention some limitations that also open future studies. The main question is how to define exactly the class of the systems that will benefit at first from the proposed approach. The number but also the location of the silent events in the model play important roles. Providing some guidelines for practitioners belongs to our further works. Another limitation of our perspective is that it is based on an explicit model of the faulty behaviours. Such behaviours are often more difficult to characterize and one should discuss how faulty model based approaches can be combined with healthy model based approaches to improve efficiency. Our future work will also consider diagnosis issues of timed patterns characterized by a sequence of events, occurring in a given order at specific values of time or within specific time intervals.

#### AUTHOR CONTRIBUTIONS

Ye Liang: Conceptualization; data curation; formal analysis; investigation; methodology; software; validation; visualization; writing—original draft; writing—review and editing. Dimitri Lefebvre: Conceptualization; formal analysis; methodology; project administration; resources; supervision; validation; writing—review and editing. Zhiwu Li: Funding acquisition; project administration; supervision; writing—review and editing.

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#### CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest.

#### DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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