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ZAGIER-HOFFMAN'S CONJECTURES IN POSITIVE CHARACTERISTIC II

BO-HAE IM, HOJIN KIM, KHAC NHUAN LE, TUAN NGO DAC, AND LAN HUONG PHAM

ABSTRACT. Zagier-Hoffman's conjectures predict the dimension and a basis for the \mathbb{Q} -vector spaces spanned by N th cyclotomic multiple zeta values (MZV's) of fixed weight where N is a natural number.

For $N = 1$ (MZV's case), half of these conjectures have been solved by the work of Terasoma, Deligne-Goncharov and Brown with the help of Zagier's identity. The other half are completely open. For $N = 2$ (alternating MZV's case) and $N = 3, 4, 8$, Deligne-Goncharov and Deligne solved the same half of these conjectures for N th-cyclotomic MZV's. For other values of N , no sharp upper bound on the dimension is known.

In this paper we completely establish, for all N , Zagier-Hoffman's conjectures for N th cyclotomic multiple zeta values in positive characteristic. By working with the tower of all cyclotomic extensions, we present a proof that is uniform on N and give an effective algorithm to express any cyclotomic multiple zeta value in the chosen basis. This generalizes all previous work on these conjectures for MZV's and alternating MZV's in positive characteristic.

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INTRODUCTION

0.1. Classical multiple zeta values.

Let $\mathbb{N} = \{1, 2, \dots\}$ be the set of positive integers. Multiple zeta values (MZV's for short) are the convergent series given by

$$\zeta(n_1, \dots, n_r) = \sum_{0 < k_1 < \dots < k_r} \frac{1}{k_1^{n_1} k_2^{n_2} \dots k_r^{n_r}},$$

for $n_i \in \mathbb{N}$ with $n_r \geq 2$. The MZV's of depth 1 and 2 were first studied by Euler [28] in the 18th century and then neglected for more than two centuries. In the nineties of

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the last century, Zagier [68] rediscovered the general MZV's and initiated intensive work related to various fields of mathematics including arithmetic geometry, number theory, K -theory and knot theory (see for example [14, 26, 27, 29, 39, 48, 49, 41, 42, 43, 51, 59, 60]). At the same time, MZV's were suddenly appeared in various branches of physics, such as in the theory of quantum field theory and deformation quantization (see e.g., [10, 13, 12, 48, 49]).

There exist more general objects called cyclotomic multiple zeta values (cyclotomic MZV's for short). Letting $N \in \mathbb{N}$ be a positive integer and Γ_N be the group of N th roots of unity, we define cyclotomic multiple zeta values by

$$\zeta \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_r \\ n_1 & \cdots & n_r \end{pmatrix} = \sum_{0 < k_1 < \cdots < k_r} \frac{\epsilon_1^{k_1} \cdots \epsilon_r^{k_r}}{k_1^{n_1} \cdots k_r^{n_r}}$$

for $\epsilon_i \in \Gamma_N$ and $n_i \in \mathbb{N}$ with $(n_r, \epsilon_r) \neq (1, 1)$ for convergence. These objects were first studied systematically by Arakawa, Deligne, Goncharov, Kaneko and Racinet (see [5, 6, 24, 26, 31, 33, 34, 57]). Since then they have been also studied by a lot of mathematicians and physicists, including Broadhurst, Hoffman, Kreimer, Kaneko, Tsumura [10, 12, 40, 47] in various fields of mathematics and physics. For $N = 1$ we recover MZV's. For $N = 2$ the cyclotomic multiple zeta values are also known as alternating multiple zeta values or Euler sums.

Significant progress has been made, but fundamental questions remain and cyclotomic multiple zeta values are still an active fast-moving field (see for example [7, 18, 52, 54]).

As explained in [17, 25, 68], the main goal of the theory of cyclotomic multiple zeta values is to find all linear relations among cyclotomic MZV's over \mathbb{Q} . It led to several important conjectures formulated by Ihara-Kaneko-Zagier [41], Zagier [68] and Hoffman [39]. In this paper we work only with Zagier and Hoffman's conjectures which predict the dimension and a basis for the span of cyclotomic MZV's of fixed weight. We refer the reader to the excellent articles [16, 17, 25, 60] for more details on Ihara-Kaneko-Zagier's, Zagier-Hoffman's conjectures and related topics. We also refer the reader to the articles of Broadhurst and his colleagues [10, 11, 9] for a data mine of cyclotomic MZV's and further references.

0.1.1. $N = 1$ (the case of MZV's).

We work with the case $N = 1$, or equivalently with MZV's. Letting \mathcal{Z}_k be the \mathbb{Q} -vector space spanned by MZV's of weight k , we consider a Fibonacci-like sequence of integers d_k as follows. Letting $d_0 = 1$, $d_1 = 0$ and $d_2 = 1$ we define $d_k = d_{k-2} + d_{k-3}$ for $k \geq 3$. Using numerical evidence Zagier [68] formulated the following conjecture:

Conjecture 0.1 (Zagier's conjecture). *For $k \in \mathbb{N}$ we have $\dim_{\mathbb{Q}} \mathcal{Z}_k = d_k$.*

Hoffman [39] went further and suggested a refinement of Zagier's conjecture.

Conjecture 0.2 (Hoffman's conjecture). *The \mathbb{Q} -vector space \mathcal{Z}_k is spanned by the basis consisting of MZV's of weight k of the form $\zeta(n_1, \dots, n_r)$ with $n_i \in \{2, 3\}$.*

In the last two decades one "half" of these conjectures (called the algebraic part in [55]) has been completely solved by the seminal works of Brown [14], Deligne-Goncharov [26] and Terasoma [59]. Although Zagier-Hoffman's conjectures are easily stated, the proofs of Brown, Deligne-Goncharov and Terasoma use the theory of mixed Tate motives and also an intriguing identity due to Zagier [69].

Theorem 0.3 (Deligne-Goncharov, Terasoma). *For $k \in \mathbb{N}$ we have $\dim_{\mathbb{Q}} \mathcal{Z}_k \leq d_k$.*

Theorem 0.4 (Brown). *For all $k \in \mathbb{N}$, the \mathbb{Q} -vector space \mathcal{Z}_k is spanned by MZV's of weight k of the form $\zeta(n_1, \dots, n_r)$ with $n_i \in \{2, 3\}$.*

We mention that the second half of these conjectures called the transcendental part in [55] is completely out of reach: we do not know any $k \in \mathbb{N}$ such that $\dim_{\mathbb{Q}} \mathcal{Z}_k > 1$.

0.1.2. $N = 2$ (the case of alternating MZV's) and $N = 3, 4, 8$.

In the seminal paper [24] Deligne succeeded in proving the same half of Zagier-Hoffman's conjectures for cyclotomic MZV's for $N = 2, 3, 4, 8$ (see [24, Théorèmes 6.1 and 7.2]). For these few exceptional values, he was able to use the theory of mixed Tate motives and obtained a generating set for the \mathbb{Q} -span of N th cyclotomic MZV's of fixed weight. Thus the upper bound obtained in [26] for $N = 2, 3, 4, 8$ is sharp. As mentioned in *loc. cit.* Deligne's result was inspired by numerical computations due to Broadhurst [10] in 1996 related to computations of Feynman integrals. Later Glanois [30] gave another proof of Deligne's result.

A technical but crucial point that we want to emphasize is that Deligne used the depth filtration for $N = 2, 3, 4, 8$ while Brown used the level filtration for $N = 1$. It has already been noted that the depth is pathological for $N = 1$ (see [24, page 102, lines 23-25]).

0.1.3. *Other values of N .*

For other values of N Deligne and Goncharov [26] proved an upper bound on the dimension of the span of N th cyclotomic MZV's. Unfortunately, for many N Goncharov [32] showed that these bounds are not sharp. To our knowledge, no sharp upper bound on the dimensions is known. We mention that for $N = 6$ partial results have been obtained by Deligne [24, Théorème 8.9] and also by Glanois [30].

0.2. Multiple zeta values in positive characteristic.

By a well-known analogy between number fields and function fields (see for example [46, 53, 66]), we switch to the setting of function fields in positive characteristic. Let $A = \mathbb{F}_q[\theta]$ be the polynomial ring in the variable θ over a finite field $k := \mathbb{F}_q$ of q elements of characteristic $p > 0$. We denote by A_+ the set of monic polynomials in A . Let $K = \mathbb{F}_q(\theta)$ be the fraction field of A equipped with the rational point ∞ . Let $K_\infty = k((1/\theta))$ be the completion of K at ∞ and \mathbb{C}_∞ be the completion of a fixed algebraic closure \bar{K} of K at ∞ .

We fix $N \in \mathbb{N}$ and denote by $k_N \subset \bar{k}$ the cyclotomic field over k generated by a primitive N th root ζ_N of unity. The group of N th roots of unity is denoted by Γ_N whose cardinality is denoted by γ_N . We put $A_N = k_N[\theta]$, $K_N = k_N(\theta)$ and $K_{N,\infty} = k_N((1/\theta))$.

Based on the pioneering work of Carlitz [19] and Thakur [61], Harada [36, 37] introduced the notion of N th cyclotomic multiple zeta values in positive characteristic. Letting $\mathfrak{s} = (s_1, \dots, s_r) \in \mathbb{N}^r$ and $\epsilon = (\epsilon_1, \dots, \epsilon_r) \in (\Gamma_N)^r$ we introduce

$$\zeta_A \left(\begin{matrix} \epsilon \\ \mathfrak{s} \end{matrix} \right) = \sum \frac{\epsilon_1^{\deg a_1} \cdots \epsilon_r^{\deg a_r}}{a_1^{s_1} \cdots a_r^{s_r}} \in K_{N,\infty},$$

where the sum is over all tuples $(a_1, \dots, a_r) \in A_+^r$ with $\deg(a_1) > \cdots > \deg(a_r)$.

We define the depth and weight of $\zeta_A \left(\begin{matrix} \epsilon \\ \mathfrak{s} \end{matrix} \right)$ in a similar way, that is, r is the depth and $w(\mathfrak{s}) = s_1 + \cdots + s_r$ is the weight. When $N = 1$ (resp. $N = 1$ and $r = 1$) we

recover the notion of MZV's defined by Thakur (resp. zeta values introduced by Carlitz). For further references on the MZV's in positive characteristic, see [3, 4, 35, 58, 61, 62, 63, 64, 67].

In *loc. cit.*, Harada also showed some fundamental properties of cyclotomic MZV's including non-vanishing, sum-shuffle relations, period interpretation and linear independence.

As in the classical setting the main goal of the theory of cyclotomic MZV's in positive characteristic is to comprehend all of their \overline{K} -linear relations. Harada [37] showed that it is equivalent to understand all K_N -linear relations among cyclotomic MZV's of the same weight. Letting $w \in \mathbb{N}$ we denote by $\mathcal{CZ}_{N,w}$ the K_N -span of N th cyclotomic MZV's of weight w . Then the positive characteristic version of Zagier-Hoffman's conjectures for cyclotomic MZV's consist in determining the dimension and finding an explicit basis of $\mathcal{CZ}_{N,w}$.

0.3. Statement of the main theorems.

0.3.1. Main results.

In this paper we prove, for all N , Zagier-Hoffman's conjectures in positive characteristic for N th cyclotomic MZV's. This could be seen as the final part of the series of work previously done by the fourth author in [55] and the authors in [44].

The main result reads as follows:

Theorem A (Hoffman's conjecture in positive characteristic). *Let $N \in \mathbb{N}$. For $w \in \mathbb{N}$ we recall that $\mathcal{CZ}_{N,w}$ denotes the K_N -span of N th cyclotomic MZV's of weight w . Let $\mathcal{CS}_{N,w}$ be the set of Carlitz multiple polylogarithms at N th roots of unity $\text{Li} \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}$ such that $q \nmid s_i$ and $\epsilon_i \in \Gamma_N$ for all i (see §1.3 for more details). Then the set $\mathcal{CS}_{N,w}$ forms a basis for $\mathcal{CZ}_{N,w}$.*

As a direct consequence, we obtain

Theorem B (Zagier's conjecture in positive characteristic). *We keep the above notation. We recall that $\gamma_N = |\Gamma_N|$ and define a Fibonacci-like sequence $d_N(w)$ as follows. We put*

$$d_N(w) = \begin{cases} 1 & \text{if } w = 0, \\ \gamma_N(\gamma_N + 1)^{w-1} & \text{if } 1 \leq w < q, \\ \gamma_N((\gamma_N + 1)^{w-1} - 1) & \text{if } w = q, \end{cases}$$

and for $w > q$, $d_N(w) = \gamma_N \sum_{i=1}^{q-1} d_N(w-i) + d_N(w-q)$. Then

$$\dim_{K_N} \mathcal{CZ}_{N,w} = d_N(w).$$

Surprisingly, our proof of Theorem A is uniform on N in contrast to the classical setting, where the proofs of known results differ on N as we have explained before (see for example §0.1.2 and [14, 16, 24] for more details). To do this we have to work with the whole tower of cyclotomic extensions of the function field K .

Perhaps more importantly, our proof is constructive. The proof gives an effective algorithm for expressing any cyclotomic MZV in the basis $\mathcal{CS}_{N,w}$ as opposed to the classical setting (see [15, 24, 25] for more explanations).

Our main result generalizes several previous works of the authors in [44, 55]. However, compared to [55] we still have to overcome several notable challenges,

which we will highlight. First, the naive extension of [55, §2–3] does not give a sharp upper bound due to the presence of non-trivial characters. Thus, we must develop a refinement of [55, §2–3] that takes the characters into account. Second, the transcendental techniques developed in [55, §4–6] deal only with Anderson t -motives of level 1 and do not work for arbitrary N . We use Harada’s construction of higher level Anderson t -motives connected to cyclotomic MZV’s, where the level is equal to the degree of the N th cyclotomic extension of \mathbb{F}_q . Thus we have to develop a higher level transcendental theory that generalizes [55, §4–6]. Finally, another original ingredient is that we use a descent argument on the tower of all cyclotomic extensions of K (compared to [24, 30] in the classical setting).

0.3.2. *Known results.*

Below we present the list of all known cases where Zagier-Hoffman’s conjecture in positive characteristic for N th cyclotomic MZV’s. As noted above, it suffices to prove Theorem A as Theorem A implies Theorem B.

$N = 1$ (the case of MZV’s).

- Theorem B (resp. a variant of Theorem A) was formulated by Todd [65] (resp. Thakur [64]).
- In [55, Theorem A] the fourth author proved an analogue of Brown’s theorem and solved one half (the algebraic part) of these conjectures. He also proved the second half of Theorem A for small weights $w \leq 2q - 2$ (see [55, Theorem D]). Consequently, it remains to prove the second half of Theorem A to get the complete theorem A as well as Thakur’s variant.
- In [44] the authors proved the other half (the transcendental part) of Theorem A for all weights w (see [44, Theorem B]). In [21] the other half of Theorem A was proved using the same method, objects and proof strategy but their presentation is different.

$N = q - 1$ (the case of alternating MZV’s).

- In [44] the authors proved Theorem A for $N = q - 1$ and all weights w (see [44, Theorem A]).

0.4. Outline of the proof and plan of the paper.

Similar to the classical setting, the proof is divided into two parts with completely different flavours.

0.4.1. *Part A: an analogue of Brown-Deligne’s theorems.*

We want to prove an analogue of Brown-Deligne’s theorems (Brown [14] for $N = 1$ and Deligne [24] for $N = 2, 3, 4, 8$) in our context. It says that the set $\mathcal{CS}_{N,w}$ spans the vector space $\mathcal{CZ}_{N,w}$. The techniques are a refinement of those developed in [55, §2–3]. The proof is divided into three steps:

- (A1) First we briefly review the techniques introduced in [55, §2 and §3] and show that the vector space $\mathcal{CZ}_{N,w}$ is spanned by another set of $\mathcal{CJ}_{N,w}$ of larger cardinality consisting of cyclotomic MZV’s $\zeta_A \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}$ such that $s_i \leq q$, $\epsilon_i \in \Gamma_N$ for all i and $s_n < q$. Note, however, that $|\mathcal{CJ}_{N,w}|$ is generally larger than $|\mathcal{CS}_{N,w}|$. So we have to do extra work to get the sharp upper bound.

- (A2) Second we again extend the techniques mentioned above and prove a similar result for the vector space $\mathcal{CL}_{N,w}$ spanned by Carlitz multiple polylogarithms (CMPL's for short) at roots of unity. Importantly, we use the key fact that the MZV's in $\mathcal{CT}_{N,w}$ given in Step (A1) are CMPL's at roots of unity. Thus we discover a connection between cyclotomic MZV's and CMPL's at roots of unity in Theorem 1.9 which extends [44, Proposition 1.9].
- (A3) Last we exploit the fact that the product for CMPL's at roots of unity is "simple" and similar to the stuffle product for classical cyclotomic MZV's. Thus we are able to "reduce" the generating set from $\mathcal{CT}_{N,w}$ to the desired set $\mathcal{CS}_{N,w}$ and prove the desired analogue of Brown-Deligne's theorems in Theorem 1.11.

0.4.2. *Part B: dual t -motives connected to CMPL's at roots of unity.*

We want to prove (see Theorem 2.7) that the elements in $\mathcal{CS}_{N,w}$ are linearly independent over K_N . We follow the strategy developed in [55, §4–6] and [44, §2–3] which are based on the theory of Anderson t -motives introduced by Anderson [1] and the fruitful transcendental tool called the Anderson-Brownawell-Papanikolas criterion devised in [2]. However, carrying out this strategy for arbitrary N turns out to be highly non-trivial due to the fact that the extension of k_N/k is non-trivial in general.

Below we outline the steps of the proof, explain the problems that we have to overcome and present our solutions. Recall that R denotes the degree of the cyclotomic extension k_N over k .

- (B1) First we construct t -motives connected to CMPL's at N th roots of unity (resp. cyclotomic MZV's).
 - When $N = 1$ in [55] and $N = q - 1$ in [44], the cyclotomic field k_N is k , hence $R = 1$. The construction of dual t -motives connected to CMPL's at N th roots of unity (or N th cyclotomic MZV's) is due to Anderson-Thakur in [4], Chang [20] and Harada [36] using the Anderson-Thakur polynomials in [3] and twisted L -series in [4, 20].

For arbitrary N , the previous construction does not work. Harada [37] solved this problem by considering an untwisted L -series (see §2.2). In *loc. cit.* he then constructed dual t -motives of level R connected to CMPL's at N th roots of unity (resp. N th cyclotomic MZV's). The trade-off is that we have to deal with higher level and that the associated matrices are more complicated.
- (B2) Second, we must develop a higher transcendental theory that generalizes that of level 1 in [55, §4–6] and [44, §2–3]. Then using Harada's idea, we succeed in constructing dual t motives of level R which lift linear relations among CMPL's at roots of unity in $\mathcal{CS}_{N,w}$ and the appropriate of Carlitz's period.
- (B3) Last we apply the Anderson-Brownawell-Papanikolas criterion to reduce the proof to the task of finding solutions to a system of σ -linear equations of order R (higher order), which turns out to be the hardest task. Our solution is more complicated than the one in [55, 44], which explains the long section 3.

Roughly speaking, one original ingredient is that we use the descent on the tower of all cyclotomic extensions of K (compared to [24, 30] in the

classical setting). Another key point (see Proposition 3.5) is to show that the system of σ -linear equations of order R for which we want to find solutions in $k_N[t]$ has at most one solution up to a constant in $k_N(t)$. Furthermore, if they admit one solution, then there exists a solution belonging to $k[t]$ and satisfying a system of σ -linear equations of order 1. Finally, the latter system can be solved as in our previous work [44] and we are done. We note that in the last step we have to use the analogue of Brown-Deligne's theorems for CMPL's proved in §0.4.1.

0.4.3. *Plan of the paper.* We will briefly explain the organization of the manuscript.

- In §1 we introduce the notation, recall the definition and basic properties of cyclotomic multiple zeta values and Carlitz multiple polylogarithms at roots of unity. We then extend the algebraic tools given in [55, §2–3] for these objects and obtain an analogue of Brown-Deligne's theorems in Theorem 1.11. Steps (A1) and (A2–A3) are carried out in §1.2 and §1.4, respectively.
- In §2 and §3 we recall Harada's construction of dual t -motives of higher level connected to CMPL's at roots of unity. We then construct dual t -motives (also of higher level) related to linear combinations of such objects and Carlitz's period. We then state the linear independence of the chosen set in Theorem 2.7, the proof of which is given in §3. Steps (B1), (B2) and (B3) are carried out in §2.2, §2.3 and §3, respectively.
- Finally, in §4 we prove the main results, i.e., Zagier-Hoffman's conjectures in positive characteristic for N th cyclotomic multiple zeta values for all N .

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1. CYCLOTOMIC MZV'S AND CMPL'S AT ROOTS OF UNITY

In this section we introduce the notion of MZV's and that of CMPL's at roots of unity. We then develop a refinement of [55, §2–3] that takes into account the N th cyclotomic character. The presentation closely follows that in [44] where the case $N = q - 1$ was treated.

1.1. **Notation.** We recall some notation used in [44, 55].

1.1.1. We recall the notation given in the Introduction. Let q be a power of prime p and $k = \mathbb{F}_q$ be a finite field of order q . We denote by $\bar{k} := \overline{\mathbb{F}_q}$ an algebraic closure of \mathbb{F}_q . We recall that $A = \mathbb{F}_q[\theta]$ denotes the polynomial ring in θ over \mathbb{F}_q and A_+ denotes the set of monic polynomials in A . Then $K = \mathbb{F}_q(\theta)$ is the fraction field of A equipped with the rational point ∞ . Let $K_\infty = k((1/\theta))$ be the completion of K at ∞ and \mathbb{C}_∞ be the completion of a fixed algebraic closure \bar{K} of K at ∞ . Further, let v_∞ be the discrete valuation on K associated to the place ∞ normalized as $v_\infty(\theta) = -1$ and $|\cdot|_\infty = q^{-v_\infty(\cdot)}$ be the corresponding norm on K .

The following constant will play an important role in this paper:

$$(1.1) \quad D_1 := \theta^q - \theta \in A.$$

1.1.2. Letting t be another independent variable, we denote by \mathbb{T} the Tate algebra in the variable t with coefficients in \mathbb{C}_∞ equipped with the Gauss norm $\|\cdot\|_\infty$, and by \mathbb{L} the fraction field of \mathbb{T} .

We denote \mathcal{E} the ring of series $\sum_{n \geq 0} a_n t^n \in \overline{K}[[t]]$ such that $\lim_{n \rightarrow +\infty} \sqrt[n]{|a_n|_\infty} = 0$ and $[K_\infty(a_0, a_1, \dots) : K_\infty] < \infty$. Then any $f \in \mathcal{E}$ is an entire function.

We denote by $\tilde{\pi}$ the Carlitz period which is a fundamental period of the Carlitz module. We fix a choice of $(q-1)$ st root of $(-\theta)$ and set

$$\Omega(t) := (-\theta)^{-q/(q-1)} \prod_{i \geq 1} \left(1 - \frac{t}{\theta^{q^i}}\right) \in \mathbb{T}^\times$$

so that

$$\Omega^{(-1)} = (t - \theta)\Omega \quad \text{and} \quad \frac{1}{\Omega(\theta)} = \tilde{\pi}.$$

1.1.3. Throughout this paper, we fix $N \in \mathbb{N}$. We denote by $k_N \subset \bar{k}$ the cyclotomic extension of $k = \mathbb{F}_q$ generated by a primitive N th root ζ_N of unity and put $R = [k_N : k]$. The group of N th roots of unity is denoted by Γ_N . We set $\gamma_N := |\Gamma_N| = N'$ where $N = p^k N'$ with $k \geq 0$ and N' prime to p . We put $A_N = k_N[\theta]$, $K_N = k_N(\theta)$ and $K_{N,\infty} := k_N((1/\theta))$.

1.1.4. Let $\mathfrak{s} = (s_1, \dots, s_n)$ be a tuple of positive integers. We put $w(\mathfrak{s}) = s_1 + \dots + s_n$ and call it the weight of \mathfrak{s} . The number n is called the depth of \mathfrak{s} .

Let $\mathfrak{s} = (s_1, \dots, s_r)$ and $\mathfrak{t} = (t_1, \dots, t_k)$ be tuples of positive integers. We say that $\mathfrak{s} \leq \mathfrak{t}$ if the following assertions hold:

- For all $i \in \mathbb{N}$ we have $s_1 + \dots + s_i \leq t_1 + \dots + t_i$ where we recall $s_i = 0$ (resp. $t_i = 0$) for i bigger than the depth of \mathfrak{s} (resp. \mathfrak{t}).
- \mathfrak{s} and \mathfrak{t} have the same weight.

Letting $\mathfrak{s} = (s_1, s_2, \dots, s_r) \in \mathbb{N}^r$ we set $\mathfrak{s}_- := (s_2, \dots, s_r)$. For $i \in \mathbb{N}$ we define $T_i(\mathfrak{s})$ to be the tuple $(s_1 + \dots + s_i, s_{i+1}, \dots, s_r)$. Note that $T_1(\mathfrak{s}) = \mathfrak{s}$. Further, for tuples of positive integers $\mathfrak{s}, \mathfrak{t}$ and for $i \in \mathbb{N}$, if $T_i(\mathfrak{s}) \leq T_i(\mathfrak{t})$, then $T_k(\mathfrak{s}) \leq T_k(\mathfrak{t})$ for all $k \geq i$.

Let $\mathfrak{s} = (s_1, \dots, s_n)$ be a tuple of positive integers. We denote by $0 \leq i \leq n$ the biggest integer such that $s_j \leq q$ for all $1 \leq j \leq i$ and define the initial tuple $\text{Init}(\mathfrak{s})$ of \mathfrak{s} to be the tuple

$$\text{Init}(\mathfrak{s}) := (s_1, \dots, s_i).$$

In particular, if $s_1 > q$, then $i = 0$ and $\text{Init}(\mathfrak{s})$ is the empty tuple.

For two different tuples \mathfrak{s} and \mathfrak{t} , we consider the lexicographical order for initial tuples and write $\text{Init}(\mathfrak{t}) \preceq \text{Init}(\mathfrak{s})$ (resp. $\text{Init}(\mathfrak{t}) \prec \text{Init}(\mathfrak{s})$, $\text{Init}(\mathfrak{t}) \succeq \text{Init}(\mathfrak{s})$ and $\text{Init}(\mathfrak{t}) \succ \text{Init}(\mathfrak{s})$).

1.1.5. Letting $\mathfrak{s} = (s_1, \dots, s_n) \in \mathbb{N}^n$ and $\boldsymbol{\epsilon} = (\epsilon_1, \dots, \epsilon_n) \in (\Gamma_N)^n$, we set $\mathfrak{s}_- := (s_2, \dots, s_n)$ and $\boldsymbol{\epsilon}_- := (\epsilon_2, \dots, \epsilon_n)$. A positive array $\begin{pmatrix} \boldsymbol{\epsilon} \\ \mathfrak{s} \end{pmatrix}$ is an array of the form

$$\begin{pmatrix} \boldsymbol{\epsilon} \\ \mathfrak{s} \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}.$$

We set $s_i = 0$ and $\epsilon_i = 1$ for all $i > \text{depth}(\mathfrak{s})$. Further we recall $w(\mathfrak{s}) = s_1 + \cdots + s_n$ and put $\chi(\epsilon) = \epsilon_1 \cdots \epsilon_n$.

Let $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}, \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ be two positive arrays. We define $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} := \begin{pmatrix} \epsilon\epsilon \\ \mathfrak{s} + \mathfrak{t} \end{pmatrix}$. We say that $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ if the following conditions are satisfied:

- $w(\mathfrak{s}) = w(\mathfrak{t})$,
- $s_1 + \cdots + s_i \leq t_1 + \cdots + t_i$ for all $i \in \mathbb{N}$,
- $\chi(\epsilon) = \chi(\epsilon)$.

1.2. Multiple zeta values in positive characteristic.

For $d \in \mathbb{Z}$ and for $\mathfrak{s} = (s_1, \dots, s_n) \in \mathbb{N}^n$, we recall that $S_d(\mathfrak{s})$ and $S_{<d}(\mathfrak{s})$ are given by

$$S_d(\mathfrak{s}) = \sum_{\substack{a_1, \dots, a_n \in A_+ \\ d = \deg a_1 > \cdots > \deg a_n \geq 0}} \frac{1}{a_1^{s_1} \cdots a_n^{s_n}} \in K,$$

$$S_{<d}(\mathfrak{s}) = \sum_{\substack{a_1, \dots, a_n \in A_+ \\ d > \deg a_1 > \cdots > \deg a_n \geq 0}} \frac{1}{a_1^{s_1} \cdots a_n^{s_n}} \in K.$$

Further letting $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}$ be a positive array, we put

$$S_d \left(\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \right) = \sum_{\substack{a_1, \dots, a_n \in A_+ \\ d = \deg a_1 > \cdots > \deg a_n \geq 0}} \frac{\epsilon_1^{\deg a_1} \cdots \epsilon_n^{\deg a_n}}{a_1^{s_1} \cdots a_n^{s_n}} \in K_N,$$

$$S_{<d} \left(\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \right) = \sum_{\substack{a_1, \dots, a_n \in A_+ \\ d > \deg a_1 > \cdots > \deg a_n \geq 0}} \frac{\epsilon_1^{\deg a_1} \cdots \epsilon_n^{\deg a_n}}{a_1^{s_1} \cdots a_n^{s_n}} \in K_N.$$

Following Harada [37], we define the cyclotomic MZV by

$$\zeta_A \left(\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \right) = \sum_{d \geq 0} S_d \left(\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \right) = \sum_{\substack{a_1, \dots, a_n \in A_+ \\ \deg a_1 > \cdots > \deg a_n \geq 0}} \frac{\epsilon_1^{\deg a_1} \cdots \epsilon_n^{\deg a_n}}{a_1^{s_1} \cdots a_n^{s_n}} \in K_{N, \infty}.$$

Remark 1.1. In [37] these objects are called colored multiple zeta values. We note that in the classical setting similar objects have several names in the literature: cyclotomic multiple zeta values by Brown in [16], multiple zeta values relative to μ_N by Deligne in [24], or colored multiple zeta values by Zhao in [70].

Using Chen's formula in [23], Harada [37] proved that for $s, t \in \mathbb{N}$ and $\epsilon, \epsilon \in \Gamma_N$,

$$(1.2) \quad S_d \left(\begin{pmatrix} \epsilon \\ s \end{pmatrix} \right) S_d \left(\begin{pmatrix} \epsilon \\ t \end{pmatrix} \right) = S_d \left(\begin{pmatrix} \epsilon\epsilon \\ s+t \end{pmatrix} \right) + \sum_i \Delta_{s,t}^i S_d \left(\begin{pmatrix} \epsilon\epsilon & 1 \\ s+t-i & i \end{pmatrix} \right),$$

where

$$\Delta_{s,t}^i = \begin{cases} (-1)^{s-1} \binom{i-1}{s-1} + (-1)^{t-1} \binom{i-1}{t-1} & \text{if } q-1 \mid i \text{ and } 0 < i < s+t, \\ 0 & \text{otherwise.} \end{cases}$$

We mention that when $s+t \leq q$, all the coefficients $\Delta_{s,t}^i$ are zero.

Harada then proved similar results for products of cyclotomic MZV's (see [37]):

Proposition 1.2. Let $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}, \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ be two positive arrays. Then

1) There exist $f_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix}$ with $\begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that

$$S_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} S_d \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f_i S_d \begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

2) There exist $f'_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix}$ with $\begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}'_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that

$$S_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} S_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f'_i S_{<d} \begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

3) There exist $f''_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix}$ with $\begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}''_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that

$$S_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} S_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f''_i S_d \begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

We denote by \mathcal{CZ}_N the K_N -vector space spanned by the cyclotomic MZV's and $\mathcal{CZ}_{N,w}$ the K_N -vector space spanned by the cyclotomic MZV's of weight w . When $N = 1$ we use \mathcal{Z} (resp. \mathcal{Z}_w) instead of \mathcal{CZ}_1 (resp. $\mathcal{CZ}_{1,w}$).

Proposition 1.2 easily implies that \mathcal{CZ}_N is a commutative algebra over K_N . However, we mention that the associativity of \mathcal{Z} was not known in [55] (see Remark 2.2 of *loc. cit.*). It was recently proved in [45, Theorem A]. As a direct consequence, we see that \mathcal{CZ}_N is also associative for all N .

We extend without difficulty the algebraic theory developed in [55, §2 and §3] to the setting of cyclotomic MZV's to obtain a weak analogue of Brown-Deligne's theorems for cyclotomic MZV's (see Theorem 1.3). We refer the reader to §1.3 where we give more details of this theory in a similar setting.

Theorem 1.3. We denote by $\mathcal{CT}_{N,w}$ the set of all cyclotomic MZV's $\zeta_A \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}$

of weight w such that $s_1, \dots, s_{n-1} \leq q$ and $s_n < q$. Then

1) For all positive arrays $\begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ of weight w , $\zeta_A \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ can be expressed as an A_N -linear combination of $\zeta_A \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$'s in $\mathcal{CT}_{N,w}$.

2) The set of all elements $\zeta_A \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ such that $\zeta_A \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \in \mathcal{CT}_{N,w}$ forms a set of generators for $\mathcal{CZ}_{N,w}$.

Remark 1.4. 1) Theorem 1.3, Part 2 can be seen as an analogue (weak version) of a theorem for cyclotomic MZV's proved by Brown [14] for $N = 1$ and Deligne [24] for $N = 2, 3, 4, 8$ (see also [30]). More specifically,

- Brown [14] proved that the \mathbb{Q} -vector space spanned by classical MZV's of fixed weight is spanned by Hoffman's basis consisting of $\zeta(n_1, \dots, n_r)$ of the same weight with $n_i \in \{2, 3\}$. As a corollary, he recovered the sharp upper

bound on the dimension of this vector space proved by Terasoma [59] and Deligne-Goncharov [26]. Brown used the theory of mixed Tate motives and the motivic version of MZV's. The proof is by induction on the level, i.e., the number of 3's in Hoffman's basis, and based on an identity among MZV's due to Zagier [69].

- Deligne [24] gave a generating set for the \mathbb{Q} -vector space spanned by cyclotomic MZV's for $N = 2, 3, 4, 8$ (Deligne used the terminology MZV's relative to μ_N). He also used the theory of mixed Tate motives and the motivic version of MZV's. But the proof is by induction on the depth. It is already noted that the depth is pathological for $N = 1$ (see [24, page 102, lines 23-25]).
- We refer the reader to the articles [16, 25] for more details.

2) Theorem 1.3, Part 1 states that $\mathcal{CT}_{N,w}$ is an integral generating set of $\mathcal{CZ}_{N,w}$. Furthermore, it gives an effective algorithm to express any cyclotomic MZV's as an A_N -linear combination of cyclotomic MZV's in $\mathcal{CT}_{N,w}$. In the classical setting, these results are not known as discussed in [25, page 2, the discussion after Theorem 0.2] and [24, page 103, lines 8–10] (see also [15]).

1.3. Multiple polylogarithms in positive characteristic.

We now review the notion of multiple polylogarithms (or Carlitz multiple polylogarithms) in positive characteristic. We put $\ell_0 := 1$ and $\ell_d := \prod_{i=1}^d (\theta - \theta^{q^i})$ for all $d \in \mathbb{N}$. Letting $\mathfrak{s} = (s_1, \dots, s_n) \in \mathbb{N}^n$, for $d \in \mathbb{Z}$, we define

$$\begin{aligned} \text{Si}_d(\mathfrak{s}) &= \sum_{d=d_1 > \dots > d_n \geq 0} \frac{1}{\ell_{d_1}^{s_1} \dots \ell_{d_n}^{s_n}} \in K, \\ \text{Si}_{<d}(\mathfrak{s}) &= \sum_{d > d_1 > \dots > d_n \geq 0} \frac{1}{\ell_{d_1}^{s_1} \dots \ell_{d_n}^{s_n}} \in K. \end{aligned}$$

Let $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \dots & \epsilon_n \\ s_1 & \dots & s_n \end{pmatrix}$ be a positive array. For $d \in \mathbb{Z}$, we put

$$\begin{aligned} \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} &= \sum_{d=d_1 > \dots > d_n \geq 0} \frac{\epsilon_1^{d_1} \dots \epsilon_n^{d_n}}{\ell_{d_1}^{s_1} \dots \ell_{d_n}^{s_n}} \in K_N, \\ \text{Si}_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} &= \sum_{d > d_1 > \dots > d_n \geq 0} \frac{\epsilon_1^{d_1} \dots \epsilon_n^{d_n}}{\ell_{d_1}^{s_1} \dots \ell_{d_n}^{s_n}} \in K_N. \end{aligned}$$

Then we introduce the Carlitz multiple polylogarithm at roots of unity (CMPL at roots of unity for short) as follows:

$$\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \sum_{d \geq 0} \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \sum_{d_1 > \dots > d_n \geq 0} \frac{\epsilon_1^{d_1} \dots \epsilon_n^{d_n}}{\ell_{d_1}^{s_1} \dots \ell_{d_n}^{s_n}} \in K_{N,\infty}.$$

The connection between cyclotomic MZV's and CMPL's at roots of unity is encoded in the following lemma which turns out to be crucial in the sequel (see Theorem 1.9).

Lemma 1.5. *For all $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ as above such that $s_i \leq q$ for all i , we have*

$$S_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

Therefore,

$$\zeta_A \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}.$$

Proof. See for example [62]. \square

We now equip an algebra structure for CMPL's at roots of unity. We observe

$$(1.3) \quad \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \text{Si}_d \begin{pmatrix} \epsilon\epsilon \\ \mathfrak{s} + \mathfrak{t} \end{pmatrix},$$

hence, for $\mathfrak{t} = (t_1, \dots, t_n)$,

$$(1.4) \quad \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \text{Si}_d \begin{pmatrix} \epsilon\epsilon_1 & \epsilon_- \\ \mathfrak{s} + t_1 & \mathfrak{t}_- \end{pmatrix}.$$

We emphasize that one advantage of working with CMPL's at roots of unity is that the product of these objects as in Eq. (1.3) is much simpler than that of cyclotomic MZV's in Eq. (1.2). We will use this fact to obtain an analogue of Brown-Deligne's theorems for CMPL's at roots of unity (see Theorem 1.10).

Using Eqs. (1.3) and (1.4) we obtain

Proposition 1.6. *Let $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}, \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ be two positive arrays. Then*

1) *There exist $f_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix}$ with $\begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that*

$$\text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f_i \text{Si}_d \begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

2) *There exist $f'_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix}$ with $\begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}'_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that*

$$\text{Si}_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \text{Si}_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f'_i \text{Si}_{<d} \begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

3) *There exist $f''_i \in \mathbb{F}_q$ and positive arrays $\begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix}$ with $\begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix} \leq \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} + \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ and $\text{depth}(\mathfrak{u}''_i) \leq \text{depth}(\mathfrak{s}) + \text{depth}(\mathfrak{t})$ for all i such that*

$$\text{Si}_d \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \text{Si}_{<d} \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \sum_i f''_i \text{Si}_d \begin{pmatrix} \mu''_i \\ \mathfrak{u}''_i \end{pmatrix} \quad \text{for all } d \in \mathbb{Z}.$$

Proof. The proof follows the same line as in [55, Proposition 2.1]. We omit the details. \square

We denote by \mathcal{CL}_N the K_N -vector space spanned by the CMPL's at roots of unity and by $\mathcal{CL}_{N,w}$ the K_N -vector space spanned by the CMPL's at roots of unity of weight w . Proposition 1.6 implies that \mathcal{CL}_N is a K_N -algebra which is commutative and associative.

1.4. Brown-Deligne's theorems for CMPL's at roots of unity.

This section is devoted to the development of the so-called algebraic theory as in [55, §2 and §3] to the setting of CMPL's at roots of unity. We adopt the operators \mathcal{B}^* and \mathcal{C} of Todd [65] and the operator \mathcal{BC} of Ngo Dac [55] to this setting. We then derive an analogue of Brown-Deligne's theorems for CMPL's at roots of unity (see Theorem 1.8). As a consequence, we prove that for a fixed weight the vector space spanned by cyclotomic MZV's and that spanned by CMPL's at roots of unity are the same (see Theorem 1.9). Finally, we exploit the fact that the product formula for CMPL's at roots of unity is "simple" and prove a strong version of Brown-Deligne's theorems for CMPL's at roots of unity (see Theorem 1.10).

When $N = q-1$ (i.e., the case of alternating CMPL's), the theory that we develop in this section was presented in detail in our previous paper [44]. Our presentation follows the same line as in *loc. cit.* We write down some details for the convenience of the reader.

1.4.1. *Binary relations.* A binary relation is a K_N -linear combination of the form

$$\sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_{d+1} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} = 0 \quad \text{for all } d \in \mathbb{Z},$$

where $a_i, b_i \in K_N$ and $\begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix}, \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix}$ are positive arrays of the same weight.

We denote by \mathfrak{BR}_w the set of all binary relations of weight w . Then \mathfrak{BR}_w is a K_N -vector space. From the fundamental relation in [62, §3.4.6] follows an important family of binary relations

$$(1.5) \quad R_\epsilon: \quad \text{Si}_d \begin{pmatrix} \epsilon \\ q \end{pmatrix} + \epsilon^{-1} D_1 \text{Si}_{d+1} \begin{pmatrix} \epsilon & 1 \\ 1 & q-1 \end{pmatrix} = 0.$$

Here $D_1 = \theta^q - \theta$ given in Eq. (1.1) and $\epsilon \in \Gamma_N$.

For the rest of this section, let $R \in \mathfrak{BR}_w$ be a binary relation of the form

$$(1.6) \quad R(d): \quad \sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_{d+1} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} = 0,$$

where $a_i, b_i \in K_N$ and $\begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix}, \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix}$ are positive arrays of the same weight. We now define some operators on K_N -vector spaces of binary relations.

1.4.2. *Operators \mathcal{B}^* .* Let $\begin{pmatrix} \sigma \\ v \end{pmatrix}$ be a positive array of depth 1. We define an operator $\mathcal{B}_{\sigma,v}^*: \mathfrak{BR}_w \rightarrow \mathfrak{BR}_{w+v}$ as follows: for each $R \in \mathfrak{BR}_w$, the image $\mathcal{B}_{\sigma,v}^*(R) = \text{Si}_d \begin{pmatrix} \sigma \\ v \end{pmatrix} \sum_{j < d} R(j)$ is a binary relation of the form

$$\begin{aligned} 0 &= \text{Si}_d \begin{pmatrix} \sigma \\ v \end{pmatrix} \left(\sum_i a_i \text{Si}_{<d} \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_{<d+1} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} \right) \\ &= \sum_i a_i \text{Si}_d \begin{pmatrix} \sigma \\ v \end{pmatrix} \text{Si}_{<d} \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_d \begin{pmatrix} \sigma \\ v \end{pmatrix} \text{Si}_{<d} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} + \sum_i b_i \text{Si}_d \begin{pmatrix} \sigma \\ v \end{pmatrix} \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} \\ &= \sum_i a_i \text{Si}_d \begin{pmatrix} \sigma & \epsilon_i \\ v & \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_d \begin{pmatrix} \sigma & \epsilon_i \\ v & \mathfrak{t}_i \end{pmatrix} + \sum_i b_i \text{Si}_d \begin{pmatrix} \sigma \epsilon_{i1} & \epsilon_{i-} \\ v + t_{i1} & \mathfrak{t}_{i-} \end{pmatrix}. \end{aligned}$$

The last equality follows from the explicit formula (1.4).

Let $\begin{pmatrix} \Sigma \\ V \end{pmatrix} = \begin{pmatrix} \sigma_1 & \cdots & \sigma_n \\ v_1 & \cdots & v_n \end{pmatrix}$ be a positive array. We define $\mathcal{B}_{\Sigma, V}^*(R)$ by $\mathcal{B}_{\Sigma, V}^*(R) = \mathcal{B}_{\sigma_1, v_1}^* \circ \cdots \circ \mathcal{B}_{\sigma_n, v_n}^*(R)$.

1.4.3. *Operators \mathcal{C} .* Let $\begin{pmatrix} \Sigma \\ V \end{pmatrix}$ be a positive array of weight v . We define an operator $\mathcal{C}_{\Sigma, V}(R): \mathfrak{B}\mathfrak{R}_w \rightarrow \mathfrak{B}\mathfrak{R}_{w+v}$ as follows: for each $R \in \mathfrak{B}\mathfrak{R}_w$, the image $\mathcal{C}_{\Sigma, V}(R) = R(d) \text{Si}_{<d+1} \begin{pmatrix} \Sigma \\ V \end{pmatrix}$ is a binary relation of the form

$$\begin{aligned} 0 &= \left(\sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} + \sum_i b_i \text{Si}_{d+1} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} \right) \text{Si}_{<d+1} \begin{pmatrix} \Sigma \\ V \end{pmatrix} \\ &= \sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} \text{Si}_d \begin{pmatrix} \Sigma \\ V \end{pmatrix} + \sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} \text{Si}_{<d} \begin{pmatrix} \Sigma \\ V \end{pmatrix} + \sum_i b_i \text{Si}_{d+1} \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix} \text{Si}_{<d+1} \begin{pmatrix} \Sigma \\ V \end{pmatrix} \\ &= \sum_i f_i \text{Si}_d \begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix} + \sum_i f'_i \text{Si}_{d+1} \begin{pmatrix} \mu'_i \\ \mathfrak{u}'_i \end{pmatrix}. \end{aligned}$$

The last equality follows from Proposition 1.6.

1.4.4. *Operators \mathcal{BC} .* Let $\epsilon \in \Gamma_N$. We define an operator $\mathcal{BC}_{\epsilon, q}: \mathfrak{B}\mathfrak{R}_w \rightarrow \mathfrak{B}\mathfrak{R}_{w+q}$ as follows: for $R \in \mathfrak{B}\mathfrak{R}_w$ as in Eq. (1.6), the image $\mathcal{BC}_{\epsilon, q}(R)$ is a binary relation given by

$$\mathcal{BC}_{\epsilon, q}(R) = \mathcal{B}_{\epsilon, q}^*(R) - \sum_i b_i \mathcal{C}_{\epsilon_i, \mathfrak{t}_i}(R_\epsilon).$$

By direct calculations, we see that $\mathcal{BC}_{\epsilon, q}(R)$ is of the form

$$\sum_i a_i \text{Si}_d \begin{pmatrix} \epsilon & \epsilon_i \\ q & \mathfrak{s}_i \end{pmatrix} + \sum_{i, j} b_{ij} \text{Si}_{d+1} \begin{pmatrix} \epsilon & \epsilon_{ij} \\ 1 & \mathfrak{t}_{ij} \end{pmatrix} = 0,$$

where $b_{ij} \in K_N$ and $\begin{pmatrix} \epsilon_{ij} \\ \mathfrak{t}_{ij} \end{pmatrix}$ are positive arrays satisfying $\begin{pmatrix} \epsilon_{ij} \\ \mathfrak{t}_{ij} \end{pmatrix} \leq \begin{pmatrix} 1 \\ q-1 \end{pmatrix} + \begin{pmatrix} \epsilon_i \\ \mathfrak{t}_i \end{pmatrix}$ for all j .

1.4.5. *A key expression.* We recall the following result which is crucial to obtain the strong version of Brown-Deligne's theorems in our setting (see [44, Proposition 1.6]). The key fact is that we exploit the simple product formula for CMPL's at roots of unity given by Eq. (1.2) to obtain a simple and explicit expression of terms of type 1.

Proposition 1.7. *We recall that $A_N = k_N[\theta]$.*

1) Let $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \cdots & \epsilon_n \\ s_1 & \cdots & s_n \end{pmatrix}$ be a positive array such that $\text{Init}(\mathfrak{s}) = (s_1, \dots, s_{k-1})$

(see §1.1) for some $1 \leq k \leq n$, and let ϵ be an element in Γ_N . Then $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ can be decomposed as follows

$$\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \underbrace{-\text{Li} \begin{pmatrix} \epsilon' \\ \mathfrak{s}' \end{pmatrix}}_{\text{type 1}} + \underbrace{\sum_i b_i \text{Li} \begin{pmatrix} \epsilon'_i \\ \mathfrak{t}'_i \end{pmatrix}}_{\text{type 2}} + \underbrace{\sum_i c_i \text{Li} \begin{pmatrix} \mu_i \\ \mathfrak{u}_i \end{pmatrix}}_{\text{type 3}},$$

where $b_i, c_i \in A_N$ are divisible by D_1 given as in Eq. (1.1) such that for all i , the following properties are satisfied:

- For all positive arrays $\begin{pmatrix} \epsilon \\ \mathbf{t} \end{pmatrix}$ appearing on the right-hand side, $\text{depth}(\mathbf{t}) \geq \text{depth}(\mathbf{s})$ and $T_k(\mathbf{t}) \leq T_k(\mathbf{s})$.
- For the positive array $\begin{pmatrix} \epsilon' \\ \mathbf{s}' \end{pmatrix}$ of type 1 with respect to $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}$, we have

$$\begin{pmatrix} \epsilon' \\ \mathbf{s}' \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \dots & \epsilon_{k-1} & \epsilon & \epsilon^{-1}\epsilon_k & \epsilon_{k+1} & \dots & \epsilon_n \\ s_1 & \dots & s_{k-1} & q & s_k - q & s_{k+1} & \dots & s_n \end{pmatrix}.$$

Moreover, for all $k \leq \ell \leq n$,

$$s'_1 + \dots + s'_\ell < s_1 + \dots + s_\ell.$$

- For the positive array $\begin{pmatrix} \epsilon' \\ \mathbf{t}' \end{pmatrix}$ of type 2 with respect to $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}$, for all $k \leq \ell \leq n$,

$$t'_1 + \dots + t'_\ell < s_1 + \dots + s_\ell.$$

- For the positive array $\begin{pmatrix} \mu \\ \mathbf{u} \end{pmatrix}$ of type 3 with respect to $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}$, we have $\text{Init}(\mathbf{s}) \prec \text{Init}(\mathbf{u})$.

2) Let $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \dots & \epsilon_k \\ s_1 & \dots & s_k \end{pmatrix}$ be a positive array such that $\text{Init}(\mathbf{s}) = \mathbf{s}$ and $s_k = q$.

Then $\text{Li}\left(\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}\right)$ can be decomposed as follows:

$$\text{Li}\left(\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}\right) = \underbrace{\sum_i b_i \text{Li}\left(\begin{pmatrix} \epsilon'_i \\ \mathbf{t}'_i \end{pmatrix}\right)}_{\text{type 2}} + \underbrace{\sum_i c_i \text{Li}\left(\begin{pmatrix} \mu_i \\ \mathbf{u}_i \end{pmatrix}\right)}_{\text{type 3}},$$

where $b_i, c_i \in A_N$ divisible by D_1 such that for all i , the following properties are satisfied:

- For all positive arrays $\begin{pmatrix} \epsilon \\ \mathbf{t} \end{pmatrix}$ appearing on the right-hand side, $\text{depth}(\mathbf{t}) \geq \text{depth}(\mathbf{s})$ and $T_k(\mathbf{t}) \leq T_k(\mathbf{s})$.
- For the positive array $\begin{pmatrix} \epsilon' \\ \mathbf{t}' \end{pmatrix}$ of type 2 with respect to $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}$,

$$t'_1 + \dots + t'_k < s_1 + \dots + s_k.$$

- For the positive array $\begin{pmatrix} \mu \\ \mathbf{u} \end{pmatrix}$ of type 3 with respect to $\begin{pmatrix} \epsilon \\ \mathbf{s} \end{pmatrix}$, we have $\text{Init}(\mathbf{s}) \prec \text{Init}(\mathbf{u})$.

Proof. For the convenience of the reader we outline how to obtain the decompositions. For $m \in \mathbb{N}$, we denote by $q^{\{m\}}$ the sequence of length m with all terms equal to q . We agree by convention that $q^{\{0\}}$ is the empty sequence. Setting $s_0 = 0$, we can assume that there exists a maximal index j with $0 \leq j \leq k-1$ such that $s_j < q$, hence $\text{Init}(\mathbf{s}) = (s_1, \dots, s_j, q^{\{k-j-1\}})$. We set $\begin{pmatrix} \Sigma' \\ V' \end{pmatrix} = \begin{pmatrix} \epsilon_1 & \dots & \epsilon_j \\ s_1 & \dots & s_j \end{pmatrix}$

For Part 1, since $\text{Init}(\mathfrak{s}) = (s_1, \dots, s_{k-1})$, we get $s_k > q$. We put $\binom{\Sigma}{V} = \begin{pmatrix} \varepsilon^{-1}\varepsilon_k & \varepsilon_{k+1} & \cdots & \varepsilon_n \\ s_k - q & s_{k+1} & \cdots & s_n \end{pmatrix}$. Then the desired decomposition is obtained from the binary relation

$$\mathcal{B}_{\Sigma', V'}^* \circ \mathcal{BC}_{\varepsilon_{j+1}, q} \circ \cdots \circ \mathcal{BC}_{\varepsilon_{k-1}, q} \circ \mathcal{C}_{\Sigma, V}(R_\varepsilon).$$

Here we recall that R_ε is the binary relation given in Eq. (1.5).

For Part 2, the desired decomposition is obtained from the binary relation

$$\mathcal{B}_{\Sigma', V'}^* \circ \mathcal{BC}_{\varepsilon_{j+1}, q} \circ \cdots \circ \mathcal{BC}_{\varepsilon_{k-1}, q}(R_1).$$

Again R_1 is the binary relation given in Eq. (1.5) for $\varepsilon = 1$ (known as the fundamental relation in [55]). \square

1.4.6. *A weak version of Brown-Deligne's theorems for CMPL's at roots of unity.* We recall (see Theorem 1.3) that $\mathcal{CT}_{N, w}$ denotes the set of all cyclotomic MZV's $\zeta_A \binom{\varepsilon}{\mathfrak{s}}$ of weight w such that $s_1, \dots, s_{n-1} \leq q$ and $s_n < q$. By Lemma 1.5, we know that $\zeta_A \binom{\varepsilon}{\mathfrak{s}} = \text{Li} \binom{\varepsilon}{\mathfrak{s}}$. Thus $\mathcal{CT}_{N, w}$ equals the set of all CMPL's at roots of unity $\text{Li} \binom{\varepsilon}{\mathfrak{s}}$ of weight w such that $s_1, \dots, s_{n-1} \leq q$ and $s_n < q$.

Using Proposition 1.7 we obtain a weak version of Brown-Deligne's theorems for CMPL's at roots of unity whose proof follows the same line as that in [55, §3] (see also [44, §1]):

Theorem 1.8. 1) For all positive arrays $\binom{\varepsilon}{\mathfrak{t}}$ of weight w , $\text{Li} \binom{\varepsilon}{\mathfrak{t}}$ can be expressed as an A_N -linear combination of $\text{Li} \binom{\varepsilon}{\mathfrak{s}}$'s in $\mathcal{CT}_{N, w}$.

2) The K_N -vector space $\mathcal{CL}_{N, w}$ spanned by all CMPL's at roots of unity of weight w is spanned by the set $\mathcal{CT}_{N, w}$.

Combining Theorems 1.3 and 1.8 allows us to identify the two vector spaces of cyclotomic MZV's and CMPL's at roots of unity.

Theorem 1.9. The K_N -vector space $\mathcal{CZ}_{N, w}$ of cyclotomic MZV's of weight w and the K_N -vector space $\mathcal{CL}_{N, w}$ of CMPL's at roots of unity of weight w are the same.

1.4.7. *An analogue of Brown-Deligne's theorems for CMPL's at roots of unity.* Following [44] we consider the set \mathcal{T}_w consisting of positive tuples $\mathfrak{s} = (s_1, \dots, s_n)$ of weight w such that $s_1, \dots, s_{n-1} \leq q$ and $s_n < q$, together with the set \mathcal{S}_w consisting of positive tuples $\mathfrak{s} = (s_1, \dots, s_n)$ of weight w such that $q \nmid s_i$ for all i . We define a map

$$\iota: \mathcal{S}_w \longrightarrow \mathcal{T}_w$$

as follows: letting $\mathfrak{s} = (s_1, \dots, s_n) \in \mathcal{S}_w$, we express $s_i = h_i q + r_i$ where $0 < r_i < q$ and $h_i \in \mathbb{Z}^{\geq 0}$ and put

$$\iota(\mathfrak{s}) = (\underbrace{q, \dots, q}_{h_1 \text{ times}}, r_1, \dots, \underbrace{q, \dots, q}_{h_n \text{ times}}, r_n).$$

It is clear that this map is a bijection.

Let $\mathcal{CS}_{N,w}$ denote the set of CMPL's at roots of unity $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ such that $\mathfrak{s} = (s_1, \dots, s_n) \in \mathcal{S}_w$ and $\epsilon = (\epsilon_1, \dots, \epsilon_n) \in (\Gamma_N)^n$. We note that $\mathcal{CS}_{N,w}$ is smaller than $\mathcal{CT}_{N,w}$.

Theorem 1.10. *The set $\mathcal{CS}_{N,w}$ forms a set of generators for $\mathcal{CL}_{N,w}$.*

Proof. Letting $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \in \mathcal{CT}_{N,w}$, we know that $\mathfrak{s} \in \mathcal{T}_w$. Thus there exists a unique tuple $\mathfrak{s}' \in \mathcal{S}_w$ such that $\mathfrak{s} = \iota(\mathfrak{s}')$ as ι is a bijection. By Proposition 1.7 and Theorem 1.8, it follows that there exists a tuple $\epsilon' \in (\Gamma_N)^{\text{depth}(\mathfrak{s}')}$ such that $\text{Li} \begin{pmatrix} \epsilon' \\ \mathfrak{s}' \end{pmatrix}$ can be expressed as follows:

$$\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} = \sum a_{(\epsilon', \mathfrak{s}'), (\epsilon, \mathfrak{t})} \text{Li} \begin{pmatrix} \epsilon' \\ \mathfrak{t} \end{pmatrix},$$

where $\begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix}$ ranges over all elements of $\mathcal{CT}_{N,w}$ and $a_{(\epsilon', \mathfrak{s}'), (\epsilon, \mathfrak{t})} \in A_N$ satisfying

$$a_{(\epsilon', \mathfrak{s}'), (\epsilon, \mathfrak{t})} \equiv \begin{cases} \pm 1 \pmod{D_1} & \text{if } \begin{pmatrix} \epsilon \\ \mathfrak{t} \end{pmatrix} = \begin{pmatrix} \epsilon' \\ \mathfrak{s}' \end{pmatrix}, \\ 0 \pmod{D_1} & \text{otherwise.} \end{cases}$$

We observe that $\text{Li} \begin{pmatrix} \epsilon' \\ \mathfrak{s}' \end{pmatrix} \in \mathcal{CS}_{N,w}$.

It implies immediately that the transition matrix from the set consisting of such $\text{Li} \begin{pmatrix} \epsilon' \\ \mathfrak{s}' \end{pmatrix}$ as above to the set consisting of $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ with $\begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \in \mathcal{CT}_{N,w}$ is invertible. The theorem follows. \square

Combining Theorems 1.9 and 1.8 yields

Theorem 1.11. *Let $\mathcal{CS}_{N,w}$ denote the set of CMPL's at roots of unity $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix}$ such that $\mathfrak{s} \in \mathcal{S}_w$. Then the set $\mathcal{CS}_{N,w}$ forms a set of generators for $\mathcal{CZ}_{N,w}$.*

We easily see that one has an effective algorithm for expressing any cyclotomic multiple zeta value as a linear combination of $\mathcal{CZ}_{N,w}$ as opposed to the classical setting (see [15, 24, 25] for more explanations).

2. DUAL t -MOTIVES CONNECTED TO CMPL'S AT ROOTS OF UNITY

2.1. Dual t -motives.

We recall the notion of dual t -motives due to Anderson and refer the reader to [1] for the related notion of t -motives. For $i \in \mathbb{Z}$ we consider the i -fold twisting of $\mathbb{C}_\infty((t))$ defined by

$$\begin{aligned} \mathbb{C}_\infty((t)) &\rightarrow \mathbb{C}_\infty((t)) \\ f = \sum_j a_j t^j &\mapsto f^{(i)} := \sum_j a_j^{q^i} t^j. \end{aligned}$$

We extend i -fold twisting to matrices with entries in $\mathbb{C}_\infty((t))$ by twisting entry-wise.

Let $\overline{K}[t, \sigma]$ be the non-commutative $\overline{K}[t]$ -algebra generated by the new variable σ subject to the relation $\sigma f = f^{(-1)} \sigma$ for all $f \in \overline{K}[t]$.

Definition 2.1. An effective dual t -motive is a $\overline{K}[t, \sigma]$ -module \mathcal{M}' which is free and finitely generated over $\overline{K}[t]$ such that for $\ell \gg 0$ we have

$$(t - \theta)^\ell (\mathcal{M}' / \sigma \mathcal{M}') = \{0\}.$$

We mention that effective dual t -motives are called Frobenius modules in [22, 36, 50] (see also [38, §4] for a more general notion of dual t -motives). Throughout this paper we will always work with effective dual t -motives. Therefore, we will sometimes drop the word “effective” where there is no confusion.

Letting \mathcal{M} be a dual t -motive, we say that it is represented by a matrix $\Phi \in \text{Mat}_r(\overline{K}[t])$ if \mathcal{M} is a $\overline{K}[t]$ -module free of rank r and the action of σ is represented by the matrix Φ on a given $\overline{K}[t]$ -basis for \mathcal{M} . We say that \mathcal{M} is uniformizable or rigid analytically trivial if there exists a matrix $\Psi \in \text{GL}_r(\mathbb{T})$ satisfying $\Psi^{(-1)} = \Phi \Psi$. The matrix Ψ is called a rigid analytic trivialization of \mathcal{M} .

We now recall the Anderson-Brownawell-Papanikolas criterion which is crucial in the sequel (see [2, Theorem 3.1.1]). The curious reader might compare it with Beukers’ result [8] in the classical setting.

Theorem 2.2 (Anderson-Brownawell-Papanikolas). *Let $\Phi \in \text{Mat}_\ell(\overline{K}[t])$ be a matrix such that $\det \Phi = c(t - \theta)^s$ for some $c \in \overline{K}$ and $s \in \mathbb{Z}^{\geq 0}$. Let $\psi \in \text{Mat}_{\ell \times 1}(\mathcal{E})$ (see §1.1 for \mathcal{E}) be a vector satisfying $\psi^{(-1)} = \Phi \psi$ and $\rho \in \text{Mat}_{1 \times \ell}(\overline{K})$ such that $\rho \psi(\theta) = 0$. Then there exists a vector $P \in \text{Mat}_{1 \times \ell}(\overline{K}[t])$ such that*

$$P\psi = 0 \quad \text{and} \quad P(\theta) = \rho.$$

To end this section we recall $R = [k_N : k]$ and mention that by replacing \mathbb{F}_q (resp. σ) by \mathbb{F}_{q^R} (resp. σ^R) one gets a theory of dual t -motives of level R (see [22, 37] for more details).

2.2. Dual t -motives connected to CMPL’s at roots of unity.

We recall that as in §1.1, $\tilde{\pi}$ denotes the Carlitz period which is a fundamental period of the Carlitz module (see [35, 61]) and Ω denotes the Anderson-Thakur function introduced in [3].

Let $\mathfrak{s} = (s_1, \dots, s_r) \in \mathbb{N}^r$ be a tuple and $\epsilon = (\epsilon_1, \dots, \epsilon_r) \in (\Gamma_N)^r$. For all $1 \leq i \leq r$ we fix a $(q^R - 1)$ th root μ_i of $\epsilon_i^R \in k_N^\times$. Following Harada [37] we consider the untwisted L -series

$$(2.1) \quad \mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon) := \sum_{i_1 > \dots > i_r \geq 0} \epsilon_1^{i_1} (\Omega^{s_1})^{(i_1)} \dots \epsilon_r^{i_r} (\Omega^{s_r})^{(i_r)}.$$

Remark 2.3. We mention that these series are different from those that appeared in our previous works on MZV’s and AMZV’s [55, 44] which are twisted series defined by Chang [20].

It can be proved that $\mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon) \in \mathcal{E}$ (the proof follows the same line as in [37, Lemma 4.2]). In the sequel, we will use the following property of this series (see [37, Lemma 4.4]): for all $j \in \mathbb{Z}^{\geq 0}$ divisible by R , we have

$$(2.2) \quad \mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon)(\theta) = \frac{\text{Li} \left(\begin{matrix} \epsilon \\ \mathfrak{s} \end{matrix} \right)}{\tilde{\pi}^{w(\mathfrak{s})}},$$

$$(2.3) \quad \mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon)(\theta^{q^j}) = (\epsilon_1 \dots \epsilon_r)^j (\mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon)(\theta))^{q^j}.$$

We note that in the proof of [37, Lemma 4.4], we need the equalities $\epsilon_i^{(j)} = \epsilon_i$ which follow from the fact that j is divisible by R .

Further,

$$\begin{aligned} \mathfrak{L}^{\text{un}}(s_1, \dots, s_r; \epsilon_1, \dots, \epsilon_r)^{(-1)} &= (\epsilon_1 \dots \epsilon_r)^{(-1)} \mathfrak{L}^{\text{un}}(s_1, \dots, s_r; \epsilon_1^{(-1)}, \dots, \epsilon_r^{(-1)}) \\ &\quad + (\epsilon_1 \dots \epsilon_{r-1})^{(-1)} (t - \theta)^{s_r} \Omega^{s_r} \mathfrak{L}^{\text{un}}(s_1, \dots, s_{r-1}; \epsilon_1^{(-1)}, \dots, \epsilon_{r-1}^{(-1)}). \end{aligned}$$

As in [37, Lemma 3.3], it follows that for all $j \in \mathbb{N}$,

$$\begin{aligned} \mathfrak{L}^{\text{un}}(s_1, \dots, s_r; \epsilon_1, \dots, \epsilon_r)^{(-j)} &= ((\epsilon_1 \dots \epsilon_r)^j)^{(-j)} \sum_{i=0}^r \Omega^{s_{i+1} + \dots + s_r} \\ &\quad \times \sum_{0 < j_{i+1} < \dots < j_r \leq j} T_{s_{i+1}, j_{i+1}}((\epsilon_{i+1})^{(-j)}) \dots T_{s_r, j_r}((\epsilon_r)^{(-j)}) \mathfrak{L}^{\text{un}}(s_1, \dots, s_i; \epsilon_1^{(-j)}, \dots, \epsilon_i^{(-j)}). \end{aligned}$$

Here for all $s \in \mathbb{N}$, $k \in \mathbb{N}$ and $\epsilon \in \Gamma_N$, we put

$$(2.4) \quad \begin{aligned} T_{s,k} &:= (t - \theta)^s ((t - \theta)^s)^{(-1)} \dots ((t - \theta)^s)^{(-(k-1))}, \\ T_{s,k}(\epsilon) &:= \epsilon^{-k} T_{s,k} = \epsilon^{-k} (t - \theta)^s ((t - \theta)^s)^{(-1)} \dots ((t - \theta)^s)^{(-(k-1))}. \end{aligned}$$

In particular, when $j = R$, since $\epsilon_i^{(-R)} = \epsilon_i$, we obtain

$$\begin{aligned} \mathfrak{L}^{\text{un}}(s_1, \dots, s_r; \epsilon_1, \dots, \epsilon_r)^{(-R)} &= (\epsilon_1 \dots \epsilon_r)^R \sum_{i=0}^r \Omega^{s_{i+1} + \dots + s_r} \\ &\quad \times \sum_{0 < j_{i+1} < \dots < j_r \leq R} T_{s_{i+1}, j_{i+1}}(\epsilon_{i+1}) \dots T_{s_r, j_r}(\epsilon_r) \mathfrak{L}^{\text{un}}(s_1, \dots, s_i; \epsilon_1, \dots, \epsilon_i). \end{aligned}$$

We consider the dual t -motives $\mathcal{M}_{\mathfrak{s}, \epsilon}$ and $\mathcal{M}'_{\mathfrak{s}, \epsilon}$ attached to (\mathfrak{s}, ϵ) given by the matrices

$$\begin{aligned} &\Phi_{\mathfrak{s}, \epsilon} \\ &= \begin{pmatrix} T_{s_1 + \dots + s_r, R} & 0 & 0 & \dots & 0 \\ \mu_1 T_{s_2 + \dots + s_r, R} \sum_{j_1=1}^R T_{s_1, j_1}(\epsilon_1) & T_{s_2 + \dots + s_r, R} & 0 & \dots & 0 \\ \vdots & \mu_2 T_{s_3 + \dots + s_r, R} \sum_{j_2=1}^R T_{s_2, j_2}(\epsilon_2) & \ddots & \dots & \vdots \\ \vdots & \vdots & \ddots & T_{s_r, R} & 0 \\ \mu_1 \dots \mu_r \sum_{0 < j_1 < \dots < j_r \leq R} T_{s_1, j_1}(\epsilon_1) \dots T_{s_r, j_r}(\epsilon_r) & \dots & \dots & \mu_r \sum_{j_r=1}^R T_{s_r, j_r}(\epsilon_r) & 1 \end{pmatrix} \\ &\in \text{Mat}_{r+1}(\overline{K}[t]), \end{aligned}$$

and $\Phi'_{\mathfrak{s}, \epsilon} \in \text{Mat}_r(\overline{K}[t])$ which is the upper left $r \times r$ sub-matrix of $\Phi_{\mathfrak{s}, \epsilon}$. More precisely, the i th row of $\Phi_{\mathfrak{s}, \epsilon}$ is $(\Phi_{i,1}, \dots, \Phi_{i,i}, 0, \dots, 0)$ where for $1 \leq k \leq i$,

$$\Phi_{i,k} = \mu_k \dots \mu_{i-1} T_{s_i + \dots + s_r, R} \sum_{0 < j_k < \dots < j_{i-1} \leq R} T_{s_k, j_k}(\epsilon_k) \dots T_{s_{i-1}, j_{i-1}}(\epsilon_{i-1}).$$

From now on, we write

$$(2.5) \quad L(\mathfrak{s}; \epsilon) := \mu(\mathfrak{s}; \epsilon) \mathfrak{L}^{\text{un}}(\mathfrak{s}; \epsilon)$$

$a_i(t) := a_i|_{\theta=t} \in k_N[t]$. We write $\mathfrak{s}_i = (s_{i1}, \dots, s_{i\ell_i}) \in \mathbb{N}^{\ell_i}$ and $\epsilon_i = (\epsilon_{i1}, \dots, \epsilon_{i\ell_i}) \in (\Gamma_N)^{\ell_i}$ so that $s_{i1} + \dots + s_{i\ell_i} = w$. We introduce the set of tuples

$$I(\mathfrak{s}_i; \epsilon_i) := \{\emptyset, (s_{i1}; \epsilon_{i1}), \dots, (s_{i1}, \dots, s_{i(\ell_i-1)}; \epsilon_{i1}, \dots, \epsilon_{i(\ell_i-1)})\},$$

and set

$$I := \cup_i I(\mathfrak{s}_i; \epsilon_i).$$

For all $(\mathfrak{t}; \epsilon) \in I$, we set

$$(2.8) \quad f_{\mathfrak{t}, \epsilon} := \sum_i a_i(t) L(s_{i(k+1)}, \dots, s_{i\ell_i}; \epsilon_{i(k+1)}, \dots, \epsilon_{i\ell_i}),$$

where the sum runs through the set of indices i such that $(\mathfrak{t}; \epsilon) = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik})$ for some $0 \leq k \leq \ell_i - 1$. In particular, $f_{\emptyset} = \sum_i a_i(t) L(\mathfrak{s}_i; \epsilon_i)$.

We now generalize some results of [55, §4 and §5] and also [44, Theorem 2.4] to study the linear dependence of CMPL's at roots of unity. The key point is a construction of dual t -motives connected to linear combinations of CMPL's at roots of unity. The proof follows the same line as the above. We write it down for completeness.

Theorem 2.5. *We keep the above notation. We suppose further that $\{(\mathfrak{s}_i; \epsilon_i)\}_{1 \leq i \leq n}$ satisfies the following conditions:*

- (LW) (for Lower Weights) *For any weight $w' < w$, the values $L(\mathfrak{t}; \epsilon)(\theta)$ with $(\mathfrak{t}; \epsilon) \in I$ and $w(\mathfrak{t}) = w'$ are all K_N -linearly independent. In particular, $L(\mathfrak{t}; \epsilon)(\theta)$ is always nonzero.*
- (LD) (for Linear Dependence) *There exist $a \in A_N$ and $a_i \in A_N$ for $1 \leq i \leq n$ which are not all zero such that*

$$a + \sum_{i=1}^n a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0.$$

Then for all $(\mathfrak{t}; \epsilon) \in I$, $f_{\mathfrak{t}, \epsilon}(\theta)$ belongs to K_N where $f_{\mathfrak{t}, \epsilon}$ is given as in Eq. (2.8).

Proof. The proof will be divided into two steps.

Step 1. We first construct a dual t -motive connected to linear combinations of CMPL's at roots of unity.

For each pair $(\mathfrak{s}_i; \epsilon_i)$ we have attached to it a matrix $\Phi_{\mathfrak{s}_i, \epsilon_i}$. For $\mathfrak{s}_i = (s_{i1}, \dots, s_{i\ell_i}) \in \mathbb{N}^{\ell_i}$ and $\epsilon_i = (\epsilon_{i1}, \dots, \epsilon_{i\ell_i}) \in (\Gamma_N)^{\ell_i}$ we recall

$$I(\mathfrak{s}_i; \epsilon_i) = \{\emptyset, (s_{i1}; \epsilon_{i1}), \dots, (s_{i1}, \dots, s_{i(\ell_i-1)}; \epsilon_{i1}, \dots, \epsilon_{i(\ell_i-1)})\},$$

and $I := \cup_i I(\mathfrak{s}_i; \epsilon_i)$.

We now construct a new matrix Φ' by merging the same rows of $\Phi'_{\mathfrak{s}_1, \epsilon_1}, \dots, \Phi'_{\mathfrak{s}_n, \epsilon_n}$ as follows. The matrix Φ' will be a matrix indexed by elements of I , say $\Phi' = \left(\Phi'_{(\mathfrak{t}; \epsilon), (\mathfrak{t}'; \epsilon')} \right)_{(\mathfrak{t}; \epsilon), (\mathfrak{t}'; \epsilon') \in I} \in \text{Mat}_{|I|}(\overline{K}[t])$. For the row which corresponds to the empty pair \emptyset we put

$$\Phi'_{\emptyset, (\mathfrak{t}'; \epsilon')} = \begin{cases} T_{w, R} & \text{if } (\mathfrak{t}'; \epsilon') = \emptyset, \\ 0 & \text{otherwise.} \end{cases}$$

For the row indexed by $(\mathfrak{t}; \epsilon) = (s_{i1}, \dots, s_{ij}; \epsilon_{i1}, \dots, \epsilon_{ij})$ for some i and $1 \leq j \leq \ell_i - 1$ we put

$$\Phi'_{(\mathfrak{t}; \epsilon), (\mathfrak{t}'; \epsilon')}$$

$$= \begin{cases} T_{w-w(t'),R} & \text{if } (t'; \epsilon') = (t; \epsilon), \\ \mu_{i(k+1)} \cdots \mu_{ij} T_{w-w(t),R} \sum^* T_{s_{i(k+1)}, m_{i(k+1)}}(\epsilon_{i(k+1)}) \cdots T_{s_{ij}, m_{ij}}(\epsilon_{ij}) & \text{if } (t'; \epsilon') = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik}), \\ 0 & \text{otherwise.} \end{cases}$$

Here $\sum^* = \sum_{0 < m_{i(k+1)} < \cdots < m_{ij} \leq R}$. Note that $\Phi'_{\mathfrak{s}_i, \epsilon_i} = \left(\Phi'_{(t; \epsilon), (t'; \epsilon')} \right)_{(t; \epsilon), (t'; \epsilon') \in I(\mathfrak{s}_i; \epsilon_i)}$ for all i .

We define $\Phi \in \text{Mat}_{|I|+1}(\overline{K}[t])$ by

$$\Phi = \begin{pmatrix} \Phi' & 0 \\ \mathbf{v} & 1 \end{pmatrix} \in \text{Mat}_{|I|+1}(\overline{K}[t]), \quad \mathbf{v} = (v_{t, \epsilon})_{(t; \epsilon) \in I} \in \text{Mat}_{1 \times |I|}(\overline{K}[t]),$$

where

$$v_{t, \epsilon} = \sum_i a_i(t) \mu_{i(k+1)} \cdots \mu_{i\ell_i} \sum_{0 < m_{i(k+1)} < \cdots < m_{i\ell_i} \leq R} T_{s_{i(k+1)}, m_{i(k+1)}}(\epsilon_{i(k+1)}) \cdots T_{s_{i\ell_i}, m_{i\ell_i}}(\epsilon_{i\ell_i}).$$

Here the first sum runs through the set of indices i such that $(t; \epsilon) = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik})$ for some $0 \leq k \leq \ell_i - 1$.

We now introduce a rigid analytic trivialization matrix Ψ for Φ . We define $\Psi' = \left(\Psi'_{(t; \epsilon), (t'; \epsilon')} \right)_{(t; \epsilon), (t'; \epsilon') \in I} \in \text{GL}_{|I|}(\mathbb{T})$ as follows. For the row which corresponds to the empty pair \emptyset we define

$$\Psi'_{\emptyset, (t'; \epsilon')} = \begin{cases} \Omega^w & \text{if } (t'; \epsilon') = \emptyset, \\ 0 & \text{otherwise.} \end{cases}$$

For the row indexed by $(t; \epsilon) = (s_{i1}, \dots, s_{ij}; \epsilon_{i1}, \dots, \epsilon_{ij})$ for some i and $1 \leq j \leq \ell_i - 1$ we put

$$\Psi'_{(t; \epsilon), (t'; \epsilon')} = \begin{cases} L(t; \epsilon) \Omega^{w-w(t)} & \text{if } (t'; \epsilon') = \emptyset, \\ L(s_{i(k+1)}, \dots, s_{ij}; \epsilon_{i(k+1)}, \dots, \epsilon_{ij}) \Omega^{w-w(t)} & \text{if } (t'; \epsilon') = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik}) \text{ for some } 1 \leq k \leq j, \\ 0 & \text{otherwise.} \end{cases}$$

Note that $\Psi'_{\mathfrak{s}_i, \epsilon_i} = \left(\Psi'_{(t; \epsilon), (t'; \epsilon')} \right)_{(t; \epsilon), (t'; \epsilon') \in I(\mathfrak{s}_i; \epsilon_i)}$ for all i .

We define $\Psi \in \text{GL}_{|I|+1}(\mathbb{T})$ by

$$\Psi = \begin{pmatrix} \Psi' & 0 \\ \mathbf{f} & 1 \end{pmatrix} \in \text{GL}_{|I|+1}(\mathbb{T}), \quad \mathbf{f} = (f_{t, \epsilon})_{t \in I} \in \text{Mat}_{1 \times |I|}(\mathbb{T}).$$

Here we recall (see Eq. (2.8))

$$f_{t, \epsilon} = \sum_i a_i(t) L(s_{i(k+1)}, \dots, s_{i\ell_i}; \epsilon_{i(k+1)}, \dots, \epsilon_{i\ell_i})$$

where the sum runs through the set of indices i such that $(t; \epsilon) = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik})$ for some $0 \leq k \leq \ell_i - 1$. In particular, $f_\emptyset = \sum_i a_i(t) L(\mathfrak{s}_i; \epsilon_i)$.

By construction and by §2.2, we get $\Psi^{(-R)} = \Phi \Psi$.

Step 2. We apply the Anderson-Brownawell-Papanikolas criterion (see Theorem 2.2) to the previous construction.

In fact, we define

$$\tilde{\Phi} = \begin{pmatrix} 1 & 0 \\ 0 & \Phi \end{pmatrix} \in \text{Mat}_{|I|+2}(\overline{K}[t])$$

and consider the vector constructed from the first column vector of Ψ

$$\tilde{\psi} = \begin{pmatrix} 1 \\ \Psi'_{(\mathbf{t}; \boldsymbol{\epsilon}), \emptyset} \\ f_{\emptyset} \end{pmatrix}_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I}.$$

Then we have $\tilde{\psi}^{(-R)} = \tilde{\Phi} \tilde{\psi}$.

We also observe that for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ we have $\Psi'_{(\mathbf{t}; \boldsymbol{\epsilon}), \emptyset} = L(\mathbf{t}; \boldsymbol{\epsilon}) \Omega^{w-w(\mathbf{t})}$. Further,

$$a + f_{\emptyset}(\theta) = a + \sum_i a_i L(\mathbf{s}_i; \boldsymbol{\epsilon}_i)(\theta) = 0.$$

By Theorem 2.2 with $\rho = (a, 0, \dots, 0, 1)$ we deduce that there exists $\mathbf{h} = (g_0, g_{\mathbf{t}, \boldsymbol{\epsilon}}, g) \in \text{Mat}_{1 \times (|I|+2)}(\overline{K}[t])$ such that $\mathbf{h}\psi = 0$, and that $g_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta) = 0$ for $(\mathbf{t}, \boldsymbol{\epsilon}) \in I$, $g_0(\theta) = a$ and $g(\theta) = 1 \neq 0$. If we put $\mathbf{g} := (1/g)\mathbf{h} \in \text{Mat}_{1 \times (|I|+2)}(\overline{K}(t))$, then all the entries of \mathbf{g} are regular at $t = \theta$.

Now we have

$$(2.9) \quad (\mathbf{g} - \mathbf{g}^{(-R)} \tilde{\Phi}) \tilde{\psi} = \mathbf{g} \tilde{\psi} - (\mathbf{g} \tilde{\psi})^{(-R)} = 0.$$

We write $\mathbf{g} - \mathbf{g}^{(-R)} \tilde{\Phi} = (B_0, B_{\mathbf{t}}, 0)_{\mathbf{t} \in I}$. We claim that $B_0 = 0$ and $B_{\mathbf{t}, \boldsymbol{\epsilon}} = 0$ for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$. In fact, expanding (2.9) we obtain

$$(2.10) \quad B_0 + \sum_{\mathbf{t} \in I} B_{\mathbf{t}, \boldsymbol{\epsilon}} L(\mathbf{t}; \boldsymbol{\epsilon}) \Omega^{w-w(\mathbf{t})} = 0.$$

By (2.7) we see that for $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ and $j \in \mathbb{N}$ divisible by R ,

$$L(\mathbf{t}; \boldsymbol{\epsilon})(\theta^{q^j}) = (L(\mathbf{t}; \boldsymbol{\epsilon})(\theta))^{q^j}$$

which is nonzero by Condition (LW).

First, as the function Ω has a simple zero at $t = \theta^{q^k}$ for $k \in \mathbb{N}$, specializing (2.10) at $t = \theta^{q^j}$ yields $B_0(\theta^{q^j}) = 0$ for $j \geq 1$ divisible by R . Since B_0 belongs to $\overline{K}(t)$, it follows that $B_0 = 0$.

Next, we put $w_0 := \max_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I} w(\mathbf{t})$ and denote by $I(w_0)$ the set of $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ such that $w(\mathbf{t}) = w_0$. Then dividing (2.10) by Ω^{w-w_0} yields

$$(2.11) \quad \sum_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I} B_{\mathbf{t}, \boldsymbol{\epsilon}} L(\mathbf{t}; \boldsymbol{\epsilon}) \Omega^{w_0-w(\mathbf{t})} = \sum_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)} B_{\mathbf{t}, \boldsymbol{\epsilon}} L(\mathbf{t}; \boldsymbol{\epsilon}) + \sum_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I \setminus I(w_0)} B_{\mathbf{t}, \boldsymbol{\epsilon}} L(\mathbf{t}; \boldsymbol{\epsilon}) \Omega^{w_0-w(\mathbf{t})} = 0.$$

Since each $B_{\mathbf{t}, \boldsymbol{\epsilon}}$ belongs to $\overline{K}(t)$, they are defined at $t = \theta^{q^j}$ for $j \gg 1$. Note that the function Ω has a simple zero at $t = \theta^{q^k}$ for $k \in \mathbb{N}$. Specializing (2.11) at $t = \theta^{q^j}$ and using (2.7) yields

$$\sum_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)} B_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta^{q^j}) (L(\mathbf{t}; \boldsymbol{\epsilon})(\theta))^{q^j} = 0$$

for $j \gg 1$ divisible by R .

We claim that $B_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta^{q^j}) = 0$ for $j \gg 1$ divisible by R and for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)$. Otherwise, we get a non-trivial \overline{K} -linear relation among $L(\mathbf{t}; \boldsymbol{\epsilon})(\theta)$ with $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ of weight w_0 . By Proposition 2.4 we deduce a non-trivial K_N -linear relation among $L(\mathbf{t}; \boldsymbol{\epsilon})(\theta)$ with $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)$, which contradicts with Condition (LW). Now we know that $B_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta^{q^j}) = 0$ for $j \gg 1$ divisible by R and for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)$. Since each $B_{\mathbf{t}, \boldsymbol{\epsilon}}$ belongs to $\overline{K}(t)$, it follows that $B_{\mathbf{t}, \boldsymbol{\epsilon}} = 0$ for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_0)$.

Next, we put $w_1 := \max_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I \setminus I(w_0)} w(\mathbf{t})$ and denote by $I(w_1)$ the set of $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ such that $w(\mathbf{t}) = w_1$. Dividing (2.10) by Ω^{w-w_1} and specializing at $t = \theta^{q^j}$ yields

$$\sum_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_1)} B_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta^{q^j})(L(\mathbf{t}; \boldsymbol{\epsilon})(\theta))^{q^j} = 0$$

for $j \gg 1$. Since $w_1 < w$, by Proposition 2.4 and Condition (LW) again we deduce that $B_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta^{q^j}) = 0$ for $j \gg 1$ divisible by R and for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_1)$. Since each $B_{\mathbf{t}, \boldsymbol{\epsilon}}$ belongs to $\overline{K}(t)$, it follows that $B_{\mathbf{t}, \boldsymbol{\epsilon}} = 0$ for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I(w_1)$. Repeating the previous arguments we deduce that $B_{\mathbf{t}, \boldsymbol{\epsilon}} = 0$ for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ as required.

We have proved that $\mathbf{g} - \mathbf{g}^{(-R)}\tilde{\Phi} = 0$. Thus

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \text{Id} & 0 \\ g_0/g & (g_{\mathbf{t}, \boldsymbol{\epsilon}}/g)_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I} & 1 \end{pmatrix}^{(-R)} \begin{pmatrix} 1 & 0 \\ 0 & \Phi \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \Phi' & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \text{Id} & 0 \\ g_0/g & (g_{\mathbf{t}, \boldsymbol{\epsilon}}/g)_{(\mathbf{t}; \boldsymbol{\epsilon}) \in I} & 1 \end{pmatrix}.$$

By [22, Prop. 2.2.1] we see that the common denominator b of g_0/g and $g_{\mathbf{t}, \boldsymbol{\epsilon}}/g$ for $(\mathbf{t}, \boldsymbol{\epsilon}) \in I$ belongs to $k_N[t] \setminus \{0\}$. If we put $\delta_0 = bg_0/g$ and $\delta_{\mathbf{t}, \boldsymbol{\epsilon}} = bg_{\mathbf{t}, \boldsymbol{\epsilon}}/g$ for $(\mathbf{t}, \boldsymbol{\epsilon}) \in I$ which belong to $\overline{K}[t]$ and $\delta := (\delta_{\mathbf{t}, \boldsymbol{\epsilon}})_{\mathbf{t} \in I} \in \text{Mat}_{1 \times |I|}(\overline{K}[t])$, then $\delta_0^{(-R)} = \delta_0$ and

$$(2.12) \quad \begin{pmatrix} \text{Id} & 0 \\ \delta & 1 \end{pmatrix}^{(-R)} \begin{pmatrix} \Phi' & 0 \\ b\mathbf{v} & 1 \end{pmatrix} = \begin{pmatrix} \Phi' & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \text{Id} & 0 \\ \delta & 1 \end{pmatrix}.$$

If we put $X := \begin{pmatrix} \text{Id} & 0 \\ \delta & 1 \end{pmatrix} \begin{pmatrix} \Psi' & 0 \\ b\mathbf{f} & 1 \end{pmatrix}$, then $X^{(-R)} = \begin{pmatrix} \Phi' & 0 \\ 0 & 1 \end{pmatrix} X$. By [56, §4.1.6] there exist $\nu_{\mathbf{t}, \boldsymbol{\epsilon}} \in k_N(t)$ for $(\mathbf{t}, \boldsymbol{\epsilon}) \in I$ such that if we set $\nu = (\nu_{\mathbf{t}, \boldsymbol{\epsilon}})_{(\mathbf{t}, \boldsymbol{\epsilon}) \in I} \in \text{Mat}_{1 \times |I|}(k_N(t))$,

$$X = \begin{pmatrix} \Psi' & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \text{Id} & 0 \\ \nu & 1 \end{pmatrix}.$$

Thus the equation $\begin{pmatrix} \text{Id} & 0 \\ \delta & 1 \end{pmatrix} \begin{pmatrix} \Psi' & 0 \\ b\mathbf{f} & 1 \end{pmatrix} = \begin{pmatrix} \Psi' & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \text{Id} & 0 \\ \nu & 1 \end{pmatrix}$ implies

$$(2.13) \quad \delta\Psi' + b\mathbf{f} = \nu.$$

The left-hand side belongs to \mathbb{T} , so does the right-hand side. Thus $\nu = (\nu_{\mathbf{t}, \boldsymbol{\epsilon}})_{(\mathbf{t}, \boldsymbol{\epsilon}) \in I} \in \text{Mat}_{1 \times |I|}(k_N[t])$. For any $j \in \mathbb{N}$, by specializing Eq. (2.13) at $t = \theta^{q^j}$ for $j \in \mathbb{N}$ divisible by R and using Eq. (2.7) and the fact that Ω has a simple zero at $t = \theta^{q^j}$ we deduce that

$$\mathbf{f}(\theta) = \nu(\theta)/b(\theta).$$

Thus for all $(\mathbf{t}, \boldsymbol{\epsilon}) \in I$, $f_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta)$ given as in Eq. (2.8) belongs to K_N . The proof is complete. \square

2.4. Linear relations among CMPL's at roots of unity and Carlitz's period.

The main result for linear relations among CMPL's roots of unity reads as follows:

Theorem 2.6. *Let $w \in \mathbb{N}$. We recall that \mathcal{S}_w denotes the set of positive tuples $\mathfrak{s} = (s_1, \dots, s_n)$ of weight w such that $q \nmid s_i$ for all i and $\mathcal{CS}_{N,w}$ denotes the set of CMPL's at roots of unity $\text{Li} \begin{pmatrix} \boldsymbol{\epsilon} \\ \mathfrak{s} \end{pmatrix}$ such that $\mathfrak{s} = (s_1, \dots, s_n) \in \mathcal{S}_w$ and $\boldsymbol{\epsilon} = (\epsilon_1, \dots, \epsilon_n) \in (\Gamma_N)^n$ (see §1.4.7).*

1) Suppose that we have a non-trivial relation denoted by $R(N, w)$:

$$a + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0, \quad \text{for some } a, a_i \in \overline{\mathbb{F}}_q(\theta).$$

Then $q-1 \mid w$, $a \neq 0$ and $\epsilon_i = (1, \dots, 1)$ for all i .

2) Further, if $q-1 \mid w$, then there is a unique relation

$$1 + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; 1)(\theta) = 0, \quad \text{for } a_i \in K.$$

We postpone the proof of Theorem 2.6 to the next section. We derive an immediate consequence of Theorem 2.6:

Theorem 2.7. *The CMPL's at roots of unity in $\mathcal{CS}_{N,w}$ are linearly independent over K_N .*

3. CMPL'S AT ROOTS OF UNITY AND CARLITZ'S PERIOD

This section is devoted to proving Theorem 2.6. We keep the notation of the previous section and recall $R := [k_N : k] < N$.

3.1. Auxiliary lemmas.

We begin this section by recalling several lemmas in [44].

Lemma 3.1. *Let $\epsilon_i \in \Gamma_N$ be different elements such that the values ϵ_i^R are pairwise distinct. We denote by $\mu_i \in \overline{\mathbb{F}}_q$ a $(q^R - 1)$ root of ϵ_i^R . Then μ_i are all k_N -linearly independent.*

Proof. See [44, Lemma 3.1]. □

Lemma 3.2. *Let $\text{Li} \begin{pmatrix} \epsilon_i \\ \mathfrak{s}_i \end{pmatrix} \in \mathcal{CS}_{N,w}$ and $a_i \in K_N$ satisfying*

$$\sum_i a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0.$$

For $\epsilon \in \Gamma_N$ we denote by $I(\epsilon^R) = \{i : \chi(\epsilon_i)^R = \epsilon^R\}$ the set of pairs such that the R th power of the corresponding character equals ϵ^R . Then for all $\epsilon \in \Gamma_N$,

$$\sum_{i \in I(\epsilon^R)} a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0.$$

Proof. See [44, Lemma 3.2]. □

Lemma 3.3. *Let $m \in \mathbb{N}$, $\epsilon \in \Gamma_N$, $\delta \in \overline{K}[t]$ and $F(t, \theta) \in \overline{\mathbb{F}}_q[t, \theta]$ (resp. $F(t, \theta) \in k_N[t, \theta]$) satisfying*

$$\epsilon \delta = \delta^{(-R)} T_{m,R} + F^{(-R)}(t, \theta).$$

Then $\delta \in \overline{\mathbb{F}}_q[t, \theta]$ (resp. $\delta \in k_N[t, \theta]$) and

$$\deg_{\theta} \delta \leq \max \left\{ \frac{qm}{q-1}, \frac{\deg_{\theta} F(t, \theta)}{q^R} \right\}.$$

Proof. This lemma is a slight generalization of [50, Theorem 2], which proved the case $N = 1$ (hence $R = 1$ and $T_{m,R} = (t - \theta)^m$).

By twisting R times the equality $\epsilon \delta = \delta^{(-R)} T_{m,R} + F^{(-R)}(t, \theta)$ and the fact that $\epsilon^{(R)} = \epsilon$, we get

$$\epsilon \delta^{(R)} = \delta (T_{m,R})^{(R)} + F(t, \theta).$$

We put $n = \deg_t \delta$ and express $\delta = a_n t^n + \cdots + a_1 t + a_0 \in \overline{K}[t]$ with $a_0, \dots, a_n \in \overline{K}$. For $i < 0$ we put $a_i = 0$.

Recall that $T_{m,R} = (t - \theta)^m ((t - \theta)^m)^{(-1)} \cdots ((t - \theta)^m)^{(-(R-1))}$, thus

$$\begin{aligned} T_{m,R}^{(R)} &= ((t - \theta)^m)^{(R)} ((t - \theta)^m)^{(R-1)} \cdots ((t - \theta)^m)^{(1)} \\ &= (t - \theta^{q^R})^m \cdots (t - \theta^q)^m \\ &= t^{mR} + c_{mR-1} t^{mR-1} + \cdots + c_1 t + c_0, \end{aligned}$$

where $c_i \in \mathbb{F}_q[\theta]$. We put $c_{mR} = 1$ and $c_i = 0$ for $i < 0$.

Since

$$\deg_t \delta^{(R)} = \deg_t \delta = n < \deg_t (\delta(T_{m,R})^{(R)}) = n + mR,$$

it follows that $\deg_t F(t, \theta) = n + mR$. Thus we write $F(t, \theta) = b_{n+mR} t^{n+mR} + \cdots + b_1 t + b_0$ with $b_0, \dots, b_{n+mR} \in \overline{\mathbb{F}_q}[\theta]$. Plugging into the previous equation, we obtain

$$\begin{aligned} &\epsilon(a_n^{q^R} t^n + \cdots + a_0^{q^R}) \\ &= (a_n t^n + \cdots + a_0)(T_{m,R})^{(R)} + b_{n+mR} t^{n+mR} + \cdots + b_0 \\ &= (a_n t^n + \cdots + a_0)(t^{mR} + c_{mR-1} t^{mR-1} + \cdots + c_0) + b_{n+mR} t^{n+mR} + \cdots + b_0. \end{aligned}$$

Comparing the coefficients t^j for $n+1 \leq j \leq n+mR$ yields

$$a_{j-mR} + \sum_{i=j-mR+1}^n a_i c_{j-i} + b_j = 0.$$

Since $b_j \in \overline{\mathbb{F}_q}[\theta]$ for all $n+1 \leq j \leq n+mR$, we can show by descending induction that $a_j \in \overline{\mathbb{F}_q}[\theta]$ for all $n+1-mR \leq j \leq n$.

If $n+1-mR \leq 0$, then we are done. Otherwise, comparing the coefficients t^j for $mR \leq j \leq n$ yields

$$a_{j-mR} + \sum_{i=j-mR+1}^n a_i c_{j-i} + b_j - \epsilon a_j^{q^R} = 0.$$

Since $b_j \in \overline{\mathbb{F}_q}[\theta]$ for all $mR \leq j \leq n$ and $a_j \in \overline{\mathbb{F}_q}[\theta]$ for all $n+1-mR \leq j \leq n$, we can show by descending induction that $a_j \in \overline{\mathbb{F}_q}[\theta]$ for all $0 \leq j \leq n-mR$. We conclude that $\delta \in \overline{\mathbb{F}_q}[t, \theta]$.

We now show that $\deg_\theta \delta \leq \max\{\frac{qm}{q-1}, \frac{\deg_\theta F(t, \theta)}{q^R}\}$. Otherwise, suppose that $\deg_\theta \delta > \max\{\frac{qm}{q-1}, \frac{\deg_\theta F(t, \theta)}{q^R}\}$. Then $\deg_\theta \delta^{(R)} = q^R \deg_\theta \delta$. It implies that $\deg_\theta \delta^{(R)} > \deg_\theta (\delta(T_{m,R})^{(R)}) = \deg_\theta \delta + m \frac{q^{R+1}-q}{q-1}$ and $\deg_\theta \delta^{(R)} > \deg_\theta F(t, \theta)$. Hence we get

$$\deg_\theta (\epsilon \delta^{(R)}) = \deg_\theta \delta^{(R)} > \deg_\theta (T_{m,R})^{(R)} + F(t, \theta),$$

which is a contradiction. \square

Lemma 3.4. *Recall that the set \mathcal{S}_w consists of positive tuples $\mathfrak{s} = (s_1, \dots, s_n)$ of weight w such that $q \nmid s_i$ for all i as in §1.4.7. Let $w \in \mathbb{N}$ such that $q-1 \mid w$. Then there exists a linear relation*

$$1 + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; 1)(\theta) = 0, \quad \text{with } a_i \in K.$$

Proof. Since $q-1 \mid w$, it follows that $\zeta_A(w)/\tilde{\pi}^w$ belongs to K^\times . Theorems 1.9 and 1.10 applied to $N = 1$ imply immediately the desired assertion. \square

The rest of this section presents a proof of Theorem 2.6.

3.2. Setup.

The proof of Theorem 2.6 is by induction on $N + w$.

If $N + w = 2$, then $N = w = 1$. Thus we have only one tuple $(\mathfrak{s}_1, \epsilon_1) = (1, 1)$. Suppose that we have a non-trivial relation

$$a + a_1 L(1; 1) = 0, \quad \text{for } a, a_1 \in \overline{\mathbb{F}}_q(\theta).$$

Then $a \neq 0$ and it follows that $q - 1 \mid 1$. Hence $q = 2$ and Theorem 2.6 follows as $L(1; 1)$ belongs to K .

Let M be a positive integer with $M > 2$. Suppose that

$$H(N, w): \quad \text{Theorem 2.6 holds for all pairs } (N, w) \text{ such that } N + w < M.$$

We claim that Theorem 2.6 holds for all pairs (N, w) such that $N + w = M$. In what follows, we fix such a pair (N, w) . We recall $R = [k_N : k] < N$.

Suppose that we have a non-trivial relation

$$(3.1) \quad R(N, w): \quad a + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0, \quad \text{for } a, a_i \in \overline{\mathbb{F}}_q(\theta).$$

We claim that we can suppose $a, a_i \in K_N$. In fact, if $a = 0$, then the claim follows from Proposition 2.4. If $q - 1 \nmid w$, then by Eq. (2.6) any linear relation

$$a + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0$$

with $a, a_i \in \overline{\mathbb{F}}_q(\theta)$ implies that $a = 0$. By the previous discussion, we are done. Otherwise, we have $q - 1 \mid w$ and $a \neq 0$. By Lemma 3.4, there exists a linear relation

$$1 + \sum_{\mathfrak{t}_i \in \mathcal{S}_w} b_i L(\mathfrak{t}_i; 1)(\theta) = 0$$

with $b_i \in K$. Thus

$$-a \sum_{\mathfrak{t}_i \in \mathcal{S}_w} b_i L(\mathfrak{t}_i; 1)(\theta) + \sum_{\mathfrak{s}_i \in \mathcal{S}_w} a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0.$$

By Proposition 2.4 again, we can suppose that $a, a_i \in K_N$.

Further, by Lemma 3.2 we can suppose further that ϵ_i^R has the same character, i.e., there exists $\epsilon \in \Gamma_N$ such that for all i ,

$$(3.2) \quad \chi(\epsilon_i)^R = (\epsilon_{i1} \dots \epsilon_{i\ell_i})^R = \epsilon^R.$$

3.3. Trivial characters.

We now apply Theorem 2.5 to our setting of CMPL's at roots of unity. We know that the hypotheses are verified:

- (LW) By the induction hypothesis, for any weight $w' < w$, the values $L(\mathfrak{t}; \epsilon)(\theta)$ with $(\mathfrak{t}; \epsilon) \in I$ and $w(\mathfrak{t}) = w'$ are all K_N -linearly independent.
- (LD) By Eq. (3.1), there exist $a \in A_N$ and $a_i \in A_N$ for $1 \leq i \leq n$ which are not all zero such that

$$a + \sum_{i=1}^n a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0.$$

Thus Theorem 2.5 implies that for all $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$, $f_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta)$ belongs to K_N where $f_{\mathbf{t}, \boldsymbol{\epsilon}}$ is given by

$$f_{\mathbf{t}, \boldsymbol{\epsilon}} := \sum_i a_i(\mathbf{t}) L(s_{i(k+1)}, \dots, s_{i\ell_i}; \epsilon_{i(k+1)}, \dots, \epsilon_{i\ell_i}).$$

Here, the sum runs through the set of i such that $(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik})$ for some $0 \leq k \leq \ell_i - 1$.

We derive a direct consequence of this result. Let $(\mathbf{t}; \boldsymbol{\epsilon}) \in I$ and $\mathbf{t} \neq \emptyset$. Then $(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, \dots, \epsilon_{ik})$ for some i and $1 \leq k \leq \ell_i - 1$. We denote by $J(\mathbf{t}; \boldsymbol{\epsilon})$ the set of all such i . We know that there exists $b \in K_N$ such that

$$b + f_{\mathbf{t}, \boldsymbol{\epsilon}}(\theta) = 0,$$

or equivalently,

$$b + \sum_{i \in J(\mathbf{t}; \boldsymbol{\epsilon})} a_i L(s_{i(k+1)}, \dots, s_{i\ell_i}; \epsilon_{i(k+1)}, \dots, \epsilon_{i\ell_i})(\theta) = 0.$$

The CMPL's at roots of unity appearing in the above equality belong to $\mathcal{CS}_{N, w-w(\mathbf{t})}$. By the induction hypothesis applied to the pair $(N, w - w(\mathbf{t}))$, we deduce that $\epsilon_{i(k+1)} = \dots = \epsilon_{i\ell_i} = 1$. Further, if $q - 1 \nmid w - w(\mathbf{t})$, then $a_i = 0$ for all $i \in J(\mathbf{t}; \boldsymbol{\epsilon})$. Therefore, letting $(\mathfrak{s}_i, \boldsymbol{\epsilon}_i) = (s_{i1}, \dots, s_{i\ell_i}; \epsilon_{i1}, \dots, \epsilon_{i\ell_i})$ we can suppose that $s_{i2}, \dots, s_{i\ell_i}$ are all divisible by $q - 1$ and $\epsilon_{i2} = \dots = \epsilon_{i\ell_i} = 1$. In particular, for all i ,

$$\epsilon_{i1}^R = \chi(\boldsymbol{\epsilon}_i)^R = \epsilon^R.$$

3.4. σ -linear equations of higher order.

Now we want to solve Eq. (2.12) and we can assume that $b = 1$. We define

$$J := I \cup \{(\mathfrak{s}_i; \boldsymbol{\epsilon}_i)\}$$

For $(\mathbf{t}; \boldsymbol{\epsilon}) \in J$,

- if $(\mathbf{t}; \boldsymbol{\epsilon}) \neq (\emptyset, \emptyset)$, then we put

$$m_{\mathbf{t}} := \frac{w - w(\mathbf{t})}{q - 1} \in \mathbb{Z}^{\geq 0}.$$

- we denote by $J_1(\mathbf{t}; \boldsymbol{\epsilon})$ consisting of $(\mathbf{t}'; \boldsymbol{\epsilon}')$ $\in J$ such that there exist i and $0 \leq j < \ell_i$ so that $(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, s_{i2}, \dots, s_{ij}; \epsilon_{i1}, 1, \dots, 1)$ and $(\mathbf{t}', \boldsymbol{\epsilon}') = (s_{i1}, s_{i2}, \dots, s_{i(j+1)}; \epsilon_{i1}, 1, \dots, 1)$;
- we denote by $J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$ consisting of $(\mathbf{t}'; \boldsymbol{\epsilon}')$ $\in J$ such that there exist i and $0 \leq j < k \leq \ell_i$ so that $(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, s_{i2}, \dots, s_{ij}; \epsilon_{i1}, 1, \dots, 1)$ and $(\mathbf{t}', \boldsymbol{\epsilon}') = (s_{i1}, s_{i2}, \dots, s_{ik}; \epsilon_{i1}, 1, \dots, 1)$.

Thus $J_1(\mathbf{t}; \boldsymbol{\epsilon}) \subset J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$. Further, for $(\mathbf{t}; \boldsymbol{\epsilon}) = (\mathfrak{s}_i; \boldsymbol{\epsilon}_i)$, both $J_1(\mathbf{t}; \boldsymbol{\epsilon})$ and $J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$ are the empty set.

Here letting $(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, s_{i2}, \dots, s_{ij}; \epsilon_{i1}, 1, \dots, 1)$ for some i and $0 \leq j < \ell_i$ and $(\mathbf{t}'; \boldsymbol{\epsilon}') = (s_{i1}, s_{i2}, \dots, s_{ik}; \epsilon_{i1}, 1, \dots, 1) \in J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$ with $j < k \leq \ell_i$, we recall (see the proof of Theorem 2.5)

- $\Phi'_{(\mathbf{t}; \boldsymbol{\epsilon}), (\mathbf{t}'; \boldsymbol{\epsilon}')} = T_{w-w(\mathbf{t}), R}$.
- If $j = 0$ that means $(\mathbf{t}; \boldsymbol{\epsilon}) = \emptyset$, then

$$\Phi'_{(\mathbf{t}'; \boldsymbol{\epsilon}'), \emptyset} = \mu_{i1} T_{w-w(\mathbf{t}'), R} \sum_{0 < m_{i1} < \dots < m_{ik} \leq R} \epsilon_{i1}^{-m_{i1}} T_{s_{i1}, m_{i1}} \dots T_{s_{ik}, m_{ik}}.$$

- If $j > 0$ that means $(\mathbf{t}; \epsilon) \neq \emptyset$, then

$$\Phi'_{(\mathbf{t}'; \epsilon'), (\mathbf{t}; \epsilon)} = T_{w-w(\mathbf{t}'), R} \sum_{0 < m_{i(j+1)} < \dots < m_{ik} \leq R} T_{s_{i(j+1)}, m_{i(j+1)}} \dots T_{s_{ik}, m_{ik}}.$$

It is clear that (2.12) is equivalent finding $(\delta_{\mathbf{t}, \epsilon})_{(\mathbf{t}; \epsilon) \in J} \in \text{Mat}_{1 \times |J|}(\overline{K}[t])$ such that

$$(3.3) \quad \delta_{\mathbf{t}, \epsilon} = \delta_{\mathbf{t}, \epsilon}^{(-R)} T_{w-w(\mathbf{t}), R} + \sum_{(\mathbf{t}', \epsilon') \in J_\infty(\mathbf{t}, \epsilon)} \delta_{\mathbf{t}', \epsilon'}^{(-R)} \Phi'_{(\mathbf{t}', \epsilon'), (\mathbf{t}; \epsilon)}, \quad \text{for all } (\mathbf{t}, \epsilon) \in J \setminus \emptyset,$$

and

$$(3.4) \quad \delta_\emptyset = \delta_\emptyset^{(-R)} T_{w-w(\emptyset), R} + \sum_{(\mathbf{t}', \epsilon') \in J_\infty(\emptyset)} \delta_{\mathbf{t}', \epsilon'}^{(-R)} \Phi'_{(\mathbf{t}', \epsilon'), \emptyset}, \quad \text{for } (\mathbf{t}, \epsilon) = \emptyset.$$

In fact, for $(\mathbf{t}, \epsilon) = (\mathbf{s}_i, \epsilon_i)$, the corresponding equation becomes $\delta_{\mathbf{s}_i, \epsilon_i} = \delta_{\mathbf{s}_i, \epsilon_i}^{(-R)}$. Thus $\delta_{\mathbf{s}_i, \epsilon_i} = a_i(t) \in k_N[t]$.

3.5. Solving Eqs. (3.3): statement of the result.

Letting y be a variable, we denote by v_y the valuation associated to the place y of the field $\mathbb{F}_q(y)$. We put

$$(3.5) \quad T := t - t^q, \quad X := t^q - \theta^q.$$

We are ready to solve Eqs. (3.3):

Proposition 3.5. *We keep the above notation. Then*

1) *For all $(\mathbf{t}, \epsilon) \in J \setminus \emptyset$, the polynomial $\delta_{\mathbf{t}, \epsilon}$ is of the form*

$$\delta_{\mathbf{t}, \epsilon} = f_{\mathbf{t}, \epsilon} \left(X^{m_{\mathbf{t}}} + \sum_{i=0}^{m_{\mathbf{t}}-1} P_{(\mathbf{t}, \epsilon), i}(T) X^i \right)$$

where

- $f_{\mathbf{t}, \epsilon} \in k_N[t]$,
- for all $0 \leq i \leq m_{\mathbf{t}} - 1$, $P_{(\mathbf{t}, \epsilon), i}(y)$ belongs to $\mathbb{F}_q(y)$ with $v_y(P_{(\mathbf{t}, \epsilon), i}) \geq 1$.

2) *For all $(\mathbf{t}, \epsilon) \in J \setminus \emptyset$ and all $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}, \epsilon)$, there exists $F_{(\mathbf{t}, \epsilon), (\mathbf{t}', \epsilon')} \in \mathbb{F}_q(y)$ such that*

$$f_{\mathbf{t}', \epsilon'} = f_{\mathbf{t}, \epsilon} F_{(\mathbf{t}, \epsilon), (\mathbf{t}', \epsilon')}(T).$$

In particular, if $f_{\mathbf{t}, \epsilon} = 0$, then $f_{\mathbf{t}', \epsilon'} = 0$.

3) *For all $(\mathbf{t}, \epsilon) \in J \setminus \emptyset$, if for all $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}, \epsilon)$, we set*

$$\xi_{\mathbf{t}, \epsilon} = X^{m_{\mathbf{t}}} + \sum_{i=0}^{m_{\mathbf{t}}-1} P_{(\mathbf{t}, \epsilon), i}(T) X^i,$$

$$\xi_{\mathbf{t}', \epsilon'} = F_{(\mathbf{t}, \epsilon), (\mathbf{t}', \epsilon')}(T) \left(X^{m_{\mathbf{t}'}} + \sum_{i=0}^{m_{\mathbf{t}'}-1} P_{(\mathbf{t}', \epsilon'), i}(T) X^i \right).$$

then

$$\xi_{\mathbf{t}, \epsilon} = \xi_{\mathbf{t}, \epsilon}^{(-1)} (t - \theta)^{w-w(\mathbf{t})} + \sum_{(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}, \epsilon)} \xi_{\mathbf{t}', \epsilon'}^{(-1)} (t - \theta)^{w-w(\mathbf{t})}.$$

This proposition states that there is a unique solution $\delta_{\mathbf{t}, \epsilon} \in k_N[t, \theta]$ for $(\mathbf{t}, \epsilon) \in J \setminus \emptyset$ of Eqs. (3.3) up to a constant in $k_N(t)$. Further they admit a solution all belonging to $k[t, \theta]$ which satisfies σ -linear equations of order 1.

3.6. Solving Eqs. (3.3): proof of the result.

In this section we present a proof of Proposition 3.5.

3.6.1. *Induction.* The proof of Proposition 3.5 is by induction on $m_{\mathbf{t}}$. We start with $m_{\mathbf{t}} = 0$. Then $\mathbf{t} = \mathbf{s}_i$ and $\boldsymbol{\epsilon} = \boldsymbol{\epsilon}_i$ for some i . Since $\delta_{\mathbf{s}_i, \boldsymbol{\epsilon}_i} = \delta_{\mathbf{s}_i, \boldsymbol{\epsilon}_i}^{(-R)}$, we have observed that $\delta_{\mathbf{s}_i, \boldsymbol{\epsilon}_i} = a_i(t) \in k_N[t]$. Thus $\xi_{\mathbf{s}_i, \boldsymbol{\epsilon}_i} = 1$ and we are done.

Suppose that Proposition 3.5 holds for all $(\mathbf{t}, \boldsymbol{\epsilon}) \in J \setminus \emptyset$ with $m_{\mathbf{t}} < m$ for some $m \in \mathbb{N}$. We now prove the claim for all $(\mathbf{t}, \boldsymbol{\epsilon}) \in J \setminus \emptyset$ with $m_{\mathbf{t}} = m$. In fact, we fix a pair $(\mathbf{t}, \boldsymbol{\epsilon}) \in J \setminus \emptyset$ with $m_{\mathbf{t}} = m$ and want to find $\delta_{\mathbf{t}, \boldsymbol{\epsilon}} \in \overline{K}[t]$ such that Eq. (3.3) for $(\mathbf{t}, \boldsymbol{\epsilon})$ is satisfied:

$$(3.6) \quad \delta_{\mathbf{t}, \boldsymbol{\epsilon}} = \delta_{\mathbf{t}, \boldsymbol{\epsilon}}^{(-R)} T_{w-w(\mathbf{t}), R} + \sum_{(\mathbf{t}', \boldsymbol{\epsilon}') \in J_{\infty}(\mathbf{t}, \boldsymbol{\epsilon})} \delta_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-R)} \Phi'_{(\mathbf{t}', \boldsymbol{\epsilon}'), (\mathbf{t}, \boldsymbol{\epsilon})}.$$

The induction hypothesis implies

- 1) For all $(\mathbf{t}', \boldsymbol{\epsilon}') \in J_{\infty}(\mathbf{t}, \boldsymbol{\epsilon})$, we know that

$$\delta_{\mathbf{t}', \boldsymbol{\epsilon}'} = f_{\mathbf{t}', \boldsymbol{\epsilon}'} \left(X^{m_{\mathbf{t}'}} + \sum_{i=0}^{m_{\mathbf{t}'}-1} P_{(\mathbf{t}', \boldsymbol{\epsilon}'), i}(T) X^i \right)$$

where

- $f_{\mathbf{t}', \boldsymbol{\epsilon}'} \in k_N[t]$,
- for all $0 \leq i \leq m_{\mathbf{t}'} - 1$, $P_{(\mathbf{t}', \boldsymbol{\epsilon}'), i}(y) \in \mathbb{F}_q(y)$ with $v_y(P_{(\mathbf{t}, \boldsymbol{\epsilon}), i}) \geq 1$.

- 2) For all $(\mathbf{t}', \boldsymbol{\epsilon}') \in J_{\infty}(\mathbf{t}, \boldsymbol{\epsilon})$ and all $(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}', \boldsymbol{\epsilon}')$, there exists $F_{(\mathbf{t}', \boldsymbol{\epsilon}'), (\mathbf{t}'', \boldsymbol{\epsilon}'')} \in \mathbb{F}_q(y)$ such that

$$f_{\mathbf{t}'', \boldsymbol{\epsilon}''} = f_{\mathbf{t}', \boldsymbol{\epsilon}'} F_{(\mathbf{t}', \boldsymbol{\epsilon}'), (\mathbf{t}'', \boldsymbol{\epsilon}'')} (T).$$

- 3) For all $(\mathbf{t}', \boldsymbol{\epsilon}') \in J_{\infty}(\mathbf{t}, \boldsymbol{\epsilon})$ and all $(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}', \boldsymbol{\epsilon}')$, if we put

$$\xi_{\mathbf{t}', \boldsymbol{\epsilon}'} = X^{m_{\mathbf{t}'}} + \sum_{i=0}^{m_{\mathbf{t}'}-1} P_{(\mathbf{t}', \boldsymbol{\epsilon}'), i}(T) X^i,$$

$$\xi_{\mathbf{t}'', \boldsymbol{\epsilon}''} = F_{(\mathbf{t}', \boldsymbol{\epsilon}'), (\mathbf{t}'', \boldsymbol{\epsilon}'')} (T) \left(X^{m_{\mathbf{t}''}} + \sum_{i=0}^{m_{\mathbf{t}''}-1} P_{\mathbf{t}'', i}(T) X^i \right),$$

then $\delta_{\mathbf{t}', \boldsymbol{\epsilon}'} = f_{\mathbf{t}', \boldsymbol{\epsilon}'} \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}$, $\delta_{\mathbf{t}'', \boldsymbol{\epsilon}''} = f_{\mathbf{t}', \boldsymbol{\epsilon}'} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}$ and

$$(3.7) \quad \xi_{\mathbf{t}', \boldsymbol{\epsilon}'} = \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-1)} (t - \theta)^{w-w(\mathbf{t}')} + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}', \boldsymbol{\epsilon}')} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-1)} (t - \theta)^{w-w(\mathbf{t}')}.$$

Hence we are reduced to solve Eq. (3.6), i.e., to find $\delta_{\mathbf{t}, \boldsymbol{\epsilon}} \in \overline{K}[t]$ with the unknown parameters $f_{\mathbf{t}', \boldsymbol{\epsilon}'} \in k_N[t]$ where $(\mathbf{t}', \boldsymbol{\epsilon}')$ runs through the set $J_1(\mathbf{t}, \boldsymbol{\epsilon})$.

3.6.2. *Proof of Proposition 3.5 for $(\mathbf{t}, \boldsymbol{\epsilon})$: first part.* In this section we derive several useful formulas, in particular a simpler expression for the right-hand side of Eq. (3.6) as a consequence of the induction hypothesis.

We write

$$(\mathbf{t}; \boldsymbol{\epsilon}) = (s_{i1}, \dots, s_{ij}; \epsilon_{i1}, 1, \dots, 1)$$

for some i and $1 \leq j < \ell_i$. If $(\mathbf{t}'; \boldsymbol{\epsilon}') = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, 1, \dots, 1) \in J_{\infty}(\mathbf{t}; \boldsymbol{\epsilon})$ with $j < k \leq \ell_i$, we recall

$$\Phi'_{(\mathbf{t}', \boldsymbol{\epsilon}'), (\mathbf{t}, \boldsymbol{\epsilon})} = T_{w-w(\mathbf{t}'), R} \sum_{0 < m_{i(j+1)} < \dots < m_{ik} \leq R} T_{s_{i(j+1)}, m_{i(j+1)}} \cdots T_{s_{ik}, m_{ik}}.$$

If $(\mathbf{t}', \boldsymbol{\epsilon}') \in J_\infty(\mathbf{t}, \boldsymbol{\epsilon}) \setminus J_1(\mathbf{t}, \boldsymbol{\epsilon})$, then we put $(\mathbf{t}_0; \boldsymbol{\epsilon}_0) = (s_{i_1}, \dots, s_{i_{j+1}}; \epsilon_{i_1}, 1, \dots, 1)$. Thus $(\mathbf{t}_0; \boldsymbol{\epsilon}_0) \in J_1(\mathbf{t}; \boldsymbol{\epsilon})$ and $(\mathbf{t}', \boldsymbol{\epsilon}') \in J_\infty(\mathbf{t}_0, \boldsymbol{\epsilon}_0)$.

Lemma 3.6. *Let $(\mathbf{t}'; \boldsymbol{\epsilon}')$ $\in J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$. Then for all $n \in \mathbb{N}$, we have*

$$(3.8) \quad \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n)} T_{w-w(\mathbf{t}'), n} + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \sum_{\ell=1}^n \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-\ell)} T_{w-w(\mathbf{t}''), \ell}.$$

Proof. The proof is by induction on n . For $n = 1$, Eq. (3.8) is exactly Eq. (3.7) and we are done. Suppose that Eq. (3.8) holds for some $n \in \mathbb{N}$. We prove that it still holds for $n+1$. Twisting Eq. (3.8) once and putting this equality into Eq. (3.7) gets

$$\begin{aligned} \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-1)} (t - \theta)^{w-w(\mathbf{t}')} + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-1)} (t - \theta)^{w-w(\mathbf{t}'')} \\ &= (t - \theta)^{w-w(\mathbf{t}')} \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n-1)} T_{w-w(\mathbf{t}'), n}^{(-1)} + (t - \theta)^{w-w(\mathbf{t}')} \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \sum_{\ell=1}^n \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-\ell-1)} T_{w-w(\mathbf{t}''), \ell}^{(-1)} \\ &\quad + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-1)} (t - \theta)^{w-w(\mathbf{t}'')} \\ &= T_{w-w(\mathbf{t}'), n+1} \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n-1)} + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \sum_{\ell=1}^n \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-\ell-1)} T_{w-w(\mathbf{t}''), \ell+1} \\ &\quad + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-1)} (t - \theta)^{w-w(\mathbf{t}'')} \\ &= T_{w-w(\mathbf{t}'), n+1} \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n-1)} + \sum_{(\mathbf{t}'', \boldsymbol{\epsilon}'') \in J_1(\mathbf{t}'; \boldsymbol{\epsilon}')} \sum_{\ell=1}^{n+1} \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-\ell-1)} T_{w-w(\mathbf{t}''), \ell+1}. \end{aligned}$$

The proof is complete. \square

Lemma 3.7. *We keep the above notation. Let $(\mathbf{t}'; \boldsymbol{\epsilon}') = (s_{i_1}, \dots, s_{i_k}; \epsilon_{i_1}, 1, \dots, 1) \in J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$ with $j < k \leq \ell_i$. Then for all $n \in \mathbb{N}$, we have*

$$\begin{aligned} \sum \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-n)} T_{w-w(\mathbf{t}''), n} \sum_{0 < m_{i(k+1)} < \dots < m_{i\ell} \leq n} T_{s_{i(k+1)}, m_{i(k+1)}} \cdots T_{s_{i\ell}, m_{i\ell}} \\ &= \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n)} T_{w-w(\mathbf{t}'), n} \end{aligned}$$

where the first sum runs through the set of all $(\mathbf{t}'', \boldsymbol{\epsilon}'') = (s_{i_1}, \dots, s_{i_\ell}; \epsilon_{i_1}, 1, \dots, 1) \in J_\infty(\mathbf{t}', \boldsymbol{\epsilon}')$.

Proof. Letting $n \in \mathbb{N}$ and $(\mathbf{t}'; \boldsymbol{\epsilon}') = (s_{i_1}, \dots, s_{i_k}; \epsilon_{i_1}, 1, \dots, 1) \in J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$ with $j < k \leq \ell_i$, we put

$$\mathcal{S}(\mathbf{t}', \boldsymbol{\epsilon}', n) := \sum \xi_{\mathbf{t}'', \boldsymbol{\epsilon}''}^{(-n)} T_{w-w(\mathbf{t}''), n} \sum_{0 < m_{i(k+1)} < \dots < m_{i\ell} \leq n} T_{s_{i(k+1)}, m_{i(k+1)}} \cdots T_{s_{i\ell}, m_{i\ell}}$$

where the first sum runs through the set of all $(\mathbf{t}'', \boldsymbol{\epsilon}'') = (s_{i_1}, \dots, s_{i_\ell}; \epsilon_{i_1}, 1, \dots, 1) \in J_\infty(\mathbf{t}', \boldsymbol{\epsilon}')$.

We want to show that for all $n \in \mathbb{N}$ and all $(\mathbf{t}'; \boldsymbol{\epsilon}') \in J_\infty(\mathbf{t}; \boldsymbol{\epsilon})$,

$$(3.9) \quad \mathcal{S}(\mathbf{t}', \boldsymbol{\epsilon}', n) = \xi_{\mathbf{t}', \boldsymbol{\epsilon}'}^{(-n)} T_{w-w(\mathbf{t}'), n}.$$

The proof is by induction on $n \in \mathbb{N}$. For $n = 1$ we are done by Eq. (3.7) applied to $(t'; \epsilon') \in J_\infty(t; \epsilon)$. Let $n \in \mathbb{N}$. Suppose that for all $r < n$ and all $(t'; \epsilon') \in J_\infty(t; \epsilon)$, Eq. (3.9) holds. We claim that for all $(t'; \epsilon') \in J_\infty(t; \epsilon)$,

$$\mathcal{S}(t', \epsilon', n) = \xi_{t', \epsilon'} - \xi_{t', \epsilon'}^{(-n)} T_{w-w(t'), n}.$$

In fact, let $(t'; \epsilon') = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, 1, \dots, 1) \in J_\infty(t; \epsilon)$ with $j < k \leq \ell_i$. We consider the following partition of $J_\infty(t', \epsilon')$

$$J_\infty(t', \epsilon') = J_1(t', \epsilon') \cup \bigcup_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} J_\infty(t_0, \epsilon_0).$$

and express $\mathcal{S}(t', \epsilon', n)$ as a sum of terms induced by this partition:

$$\mathcal{S}(t', \epsilon', n) = \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} + \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)}.$$

In the following we fix $(t_0, \epsilon_0) = (s_{i1}, \dots, s_{i(k+1)}; \epsilon_{i1}, 1, \dots, 1) \in J_1(t', \epsilon')$ and consider the sums running through the set of all $(t'', \epsilon'') = (s_{i1}, \dots, s_{i\ell}; \epsilon_{i1}, 1, \dots, 1) \in J_\infty(t_0, \epsilon_0)$:

$$\begin{aligned} & \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \xi_{t'', \epsilon''}^{(-n)} T_{w-w(t''), n} \sum_{0 < m_{i(k+1)} < \dots < m_{i\ell} \leq n} T_{s_{i(k+1)}, m_{i(k+1)}} \dots T_{s_{i\ell}, m_{i\ell}} \\ &= \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \sum_{0 < m_{i(k+1)} < n} \xi_{t'', \epsilon''}^{(-n)} T_{w-w(t''), n} T_{s_{i(k+1)}, m_{i(k+1)}} \sum_{m_{i(k+1)} < \dots < m_{i\ell} \leq n} T_{s_{i(k+2)}, m_{i(k+2)}} \dots T_{s_{i\ell}, m_{i\ell}} \\ &= \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \sum_{0 < m_{i(k+1)} < n} \xi_{t'', \epsilon''}^{(-n)} T_{s_{i(k+1)}, m_{i(k+1)}} (T_{w-w(t''), m_{i(k+1)}} (T_{w-w(t''), n-m_{i(k+1)}})^{(-m_{i(k+1)})}) \\ & \quad \times \sum_{m_{i(k+1)} < \dots < m_{i\ell} \leq n} (T_{s_{i(k+2)}, m_{i(k+2)}} (T_{s_{i(k+2)}, m_{i(k+2)}-m_{i(k+1)}})^{(-m_{i(k+1)})}) \dots (T_{s_{i\ell}, m_{i\ell}} (T_{s_{i\ell}, m_{i\ell}-m_{i(k+1)}})^{(-m_{i(k+1)})}) \\ &= \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \sum_{0 < m_{i(k+1)} < n} \xi_{t'', \epsilon''}^{(-n)} T_{w-w(t''), m_{i(k+1)}} (T_{w-w(t''), n-m_{i(k+1)}})^{(-m_{i(k+1)})} \\ & \quad \times \sum_{0 < m''_{i(k+2)} < \dots < m''_{i\ell} \leq n-m_{i(k+1)}} (T_{s_{i(k+2)}, m''_{i(k+2)}})^{(-m_{i(k+1)})} \dots (T_{s_{i\ell}, m''_{i\ell}})^{(-m_{i(k+1)})} \\ &= \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \sum_{0 < m_{i(k+1)} < n} T_{w-w(t''), m_{i(k+1)}} \\ & \quad \times \left(\xi_{t'', \epsilon''}^{(-(n-m_{i(k+1)}))} T_{w-w(t''), n-m_{i(k+1)}} \sum_{0 < m''_{i(k+2)} < \dots < m''_{i\ell} \leq n-m_{i(k+1)}} T_{s_{i(k+2)}, m''_{i(k+2)}} \dots T_{s_{i\ell}, m''_{i\ell}} \right)^{(-m_{i(k+1)})} \\ &= \sum_{0 < m_{i(k+1)} < n} T_{w-w(t''), m_{i(k+1)}} \mathcal{S}(t_0, \epsilon_0, n-m_{i(k+1)})^{(-m_{i(k+1)})}. \end{aligned}$$

The induction hypothesis implies

$$\begin{aligned} & \sum_{(t'', \epsilon'') \in J_\infty(t_0, \epsilon_0)} \xi_{t'', \epsilon''}^{(-n)} T_{w-w(t''), n} \sum_{0 < m_{i(k+1)} < \dots < m_{i\ell} \leq n} T_{s_{i(k+1)}, m_{i(k+1)}} \dots T_{s_{i\ell}, m_{i\ell}} \\ &= \sum_{0 < m < n} T_{w-w(t''), m} \mathcal{S}(t_0, \epsilon_0, n-m)^{(-m)} \\ &= \sum_{0 < m < n} T_{w-w(t''), m} (\xi_{t_0, \epsilon_0} - \xi_{t_0, \epsilon_0}^{(-m)} T_{w-w(t_0), n-m})^{(-m)} \end{aligned}$$

$$= \sum_{0 < m < n} \left(T_{w-w(t'), m} \xi_{t_0, \epsilon_0}^{(-m)} - T_{s_{i(k+1)}, m} T_{w-w(t_0), n} \xi_{t_0, \epsilon_0}^{(-n)} \right).$$

Putting altogether, we obtain

$$\begin{aligned} \mathfrak{S}(t', \epsilon', n) &= \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \xi_{t_0, \epsilon_0}^{(-n)} T_{w-w(t_0), n} \sum_{0 < m \leq n} T_{s_{i(k+1)}, m} \\ &\quad + \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \sum_{0 < m < n} \left(T_{w-w(t'), m} \xi_{t_0, \epsilon_0}^{(-m)} - T_{s_{i(k+1)}, m} T_{w-w(t_0), n} \xi_{t_0, \epsilon_0}^{(-n)} \right) \\ &= \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \xi_{t_0, \epsilon_0}^{(-n)} T_{w-w(t_0), n} \sum_{0 < m \leq n} T_{s_{i(k+1)}, m} \\ &\quad + \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \sum_{0 < m < n} \left(T_{w-w(t'), m} \xi_{t_0, \epsilon_0}^{(-m)} - T_{s_{i(k+1)}, m} T_{w-w(t_0), n} \xi_{t_0, \epsilon_0}^{(-n)} \right) \\ &= \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \sum_{m=1}^n \xi_{t_0, \epsilon_0}^{(-m)} T_{w-w(t'), m}. \end{aligned}$$

By Lemma 3.6 we get the desired equality

$$\mathfrak{S}(t', \epsilon', n) = \sum_{(t_0, \epsilon_0) \in J_1(t', \epsilon')} \sum_{m=1}^n \xi_{t_0, \epsilon_0}^{(-m)} T_{w-w(t'), m} = \xi_{t', \epsilon'} - \xi_{t', \epsilon'}^{(-n)} T_{w-w(t'), n}.$$

□

Lemma 3.8. *We keep the above notation. Letting $(t_0; \epsilon_0) \in J_1(t; \epsilon)$ we put*

$$S(t_0, \epsilon_0) := \sum_{(t', \epsilon') \in \{(t_0; \epsilon_0)\} \cup J_\infty(t_0, \epsilon_0)} \xi_{t', \epsilon'}^{(-R)} \Phi'_{(t', \epsilon'), (t; \epsilon)}.$$

Then

$$S(t_0, \epsilon_0) = \sum_{m=1}^R \xi_{t_0, \epsilon_0}^{(-m)} T_{w-w(t), m}.$$

Proof. We have

$$\begin{aligned} S(t_0, \epsilon_0) &= \sum_{(t', \epsilon') \in \{(t_0; \epsilon_0)\} \cup J_\infty(t_0, \epsilon_0)} \xi_{t', \epsilon'}^{(-R)} \Phi'_{(t', \epsilon'), (t; \epsilon)} \\ &= \sum_{(t', \epsilon') \in \{(t_0; \epsilon_0)\} \cup J_\infty(t_0, \epsilon_0)} \xi_{t', \epsilon'}^{(-R)} T_{w-w(t'), R} \sum_{0 < m_{i(j+1)} < \dots < m_{ik} \leq R} T_{s_{i(j+1)}, m_{i(j+1)}} \dots T_{s_{ik}, m_{ik}}. \end{aligned}$$

By direct calculations as in the proof of Lemma 3.7 we get

$$\begin{aligned} S(t_0, \epsilon_0) &= \sum_{(t', \epsilon') \in \{(t_0; \epsilon_0)\} \cup J_\infty(t_0, \epsilon_0)} \sum_{0 < m_{i(j+1)} < R} T_{w-w(t), m_{i(j+1)}} \\ &\quad \times \left(\xi_{t', \epsilon'}^{(-(R-m_{i(j+1)}))} T_{w-w(t'), R-m_{i(j+1)}} \sum_{0 < m'_{i(j+2)} < \dots < m'_{ik} \leq R-m_{i(j+1)}} T_{s_{i(j+2)}, m'_{i(j+2)}} \dots T_{s_{ik}, m'_{ik}} \right)^{(-m_{i(j+1)})}. \end{aligned}$$

We use the decomposition

$$\sum_{(t', \epsilon') \in \{(t_0; \epsilon_0)\} \cup J_\infty(t_0, \epsilon_0)} = \sum_{(t', \epsilon') = (t_0, \epsilon_0)} + \sum_{(t', \epsilon') \in J_\infty(t_0, \epsilon_0)}$$

to express

$$S(\mathbf{t}_0, \epsilon_0) = S_1(\mathbf{t}_0, \epsilon_0) + S_2(\mathbf{t}_0, \epsilon_0).$$

We analyze each term of the previous sum. For the first term, we get

$$\begin{aligned} S_1(\mathbf{t}_0, \epsilon_0) &= \xi_{\mathbf{t}_0, \epsilon_0}^{(-R)} T_{w-w(\mathbf{t}_0), R} \sum_{0 < m_{i(j+1)} \leq R} T_{s_{i(j+1)}, m_{i(j+1)}} \\ &= \sum_{0 < m_{i(j+1)} < R} \xi_{\mathbf{t}_0, \epsilon_0}^{(-R)} T_{w-w(\mathbf{t}_0), R} T_{s_{i(j+1)}, m_{i(j+1)}} + \xi_{\mathbf{t}_0, \epsilon_0}^{(-R)} T_{w-w(\mathbf{t}), R}. \end{aligned}$$

For the second term,

$$\begin{aligned} S_2(\mathbf{t}_0, \epsilon_0) &= \sum_{(\mathbf{t}', \epsilon') \in J_\infty(\mathbf{t}_0, \epsilon_0)} \sum_{0 < m_{i(j+1)} < R} T_{w-w(\mathbf{t}), m_{i(j+1)}} \\ &\quad \times \left(\xi_{\mathbf{t}', \epsilon'}^{(-(R-m_{i(j+1)}))} T_{w-w(\mathbf{t}'), R-m_{i(j+1)}} \sum_{0 < m'_{i(j+2)} < \dots < m'_{ik} \leq R-m_{i(j+1)}} T_{s_{i(j+2)}, m'_{i(j+2)}} \dots T_{s_{ik}, m_{ik}} \right)^{(-m_{i(j+1)})} \\ &= \sum_{0 < m_{i(j+1)} < R} T_{w-w(\mathbf{t}), m_{i(j+1)}} \\ &\quad \times \left(\sum_{(\mathbf{t}', \epsilon') \in J_\infty(\mathbf{t}_0, \epsilon_0)} \xi_{\mathbf{t}', \epsilon'}^{(-(R-m_{i(j+1)}))} T_{w-w(\mathbf{t}'), R-m_{i(j+1)}} \sum_{0 < m'_{i(j+2)} < \dots < m'_{ik} \leq R-m_{i(j+1)}} T_{s_{i(j+2)}, m'_{i(j+2)}} \dots T_{s_{ik}, m_{ik}} \right)^{(-m_{i(j+1)})}. \end{aligned}$$

By Lemma 3.7 we get

$$\begin{aligned} S_2(\mathbf{t}_0, \epsilon_0) &= \sum_{0 < m_{i(j+1)} < R} T_{w-w(\mathbf{t}), m_{i(j+1)}} \left(\xi_{\mathbf{t}_0, \epsilon_0} - \xi_{\mathbf{t}_0, \epsilon_0}^{(-(R-m_{i(j+1)}))} T_{w-w(\mathbf{t}_0), R-m_{i(j+1)}} \right)^{(-m_{i(j+1)})} \\ &= \sum_{0 < m_{i(j+1)} < R} \left(T_{w-w(\mathbf{t}), m_{i(j+1)}} \xi_{\mathbf{t}_0, \epsilon_0}^{(-m_{i(j+1)})} - T_{s_{i(j+1)}, m_{i(j+1)}} T_{w-w(\mathbf{t}_0), R} \xi_{\mathbf{t}_0, \epsilon_0}^{(-R)} \right). \end{aligned}$$

Putting altogether, we obtain the desired equality:

$$\begin{aligned} S(\mathbf{t}_0, \epsilon_0) &= S_1(\mathbf{t}_0, \epsilon_0) + S_2(\mathbf{t}_0, \epsilon_0) \\ &= T_{w-w(\mathbf{t}), R} \xi_{\mathbf{t}_0, \epsilon_0}^{(-R)} + \sum_{0 < m_{i(j+1)} < R} T_{w-w(\mathbf{t}), m_{i(j+1)}} \xi_{\mathbf{t}_0, \epsilon_0}^{(-m_{i(j+1)})} \\ &= \sum_{m=1}^R T_{w-w(\mathbf{t}), m} \xi_{\mathbf{t}_0, \epsilon_0}^{(-m)}. \end{aligned}$$

□

3.6.3. *Proof of Proposition 3.5 for (\mathbf{t}, ϵ) : second part.* We are ready to prove the following result:

Proposition 3.9. *We keep the above notation.*

a) *If $\delta_{\mathbf{t}, \epsilon} \in \overline{K}[t]$ is a solution of Eq. (3.6), then*

1) *$\delta_{\mathbf{t}, \epsilon}$ is of the following form*

$$\delta_{\mathbf{t}, \epsilon} = f_{\mathbf{t}, \epsilon} \left(X^{m_{\mathbf{t}}} + \sum_{i=0}^{m_{\mathbf{t}}-1} P_{(\mathbf{t}, \epsilon), i}(T) X^i \right)$$

where

- $f_{\mathbf{t}, \epsilon} \in k_N[t]$,
 - for all $0 \leq i \leq m_{\mathbf{t}} - 1$, $P_{(\mathbf{t}, \epsilon), i}(y) \in \mathbb{F}_q(y)$ with $v_y(P_{(\mathbf{t}, \epsilon), i}) \geq 1$.
- 2) For all $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}, \epsilon)$, there exists $F_{(\mathbf{t}', \epsilon'), (\mathbf{t}', \epsilon'')} \in \mathbb{F}_q(y)$ such that

$$f_{\mathbf{t}', \epsilon'} = f_{\mathbf{t}, \epsilon} F_{(\mathbf{t}, \epsilon), (\mathbf{t}', \epsilon')}(T).$$

b) Further, if $R = 1$, then there exists a solution $\delta_{\mathbf{t}, \epsilon} \in \overline{K}[t]$ of Eq. (3.6).

Proof. For Part a), we recall $T = t - t^q$ and $X = t^q - \theta^q$ given as in Eq. (3.5). For $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}; \epsilon)$, we write $\mathbf{t}' = (\mathbf{t}, (m-k)(q-1))$ with $0 \leq k < m$ and $k \not\equiv m \pmod{q}$, in particular $m_{\mathbf{t}'} = k$. We note that ϵ' is uniquely determined and equals $(\epsilon, 1)$. We put $f_k = f_{\mathbf{t}', \epsilon'} \in k_N[t]$ and $P_{(\mathbf{t}', \epsilon'), j} = P_{k, j} \in \mathbb{F}_q(t)$ so that

$$(3.10) \quad \delta_{\mathbf{t}', \epsilon'} = f_k \left(X^k + \sum_{j=0}^{k-1} P_{k, j}(T) X^j \right) \in k_N[t, \theta^q].$$

We recall

$$\xi_{\mathbf{t}', \epsilon'} = X^k + \sum_{j=0}^{k-1} P_{k, j}(T) X^j \in \mathbb{F}_q[\theta^q](t).$$

By Lemma 3.8 we are reduced to find $\delta_{\mathbf{t}, \epsilon} \in \overline{K}[t]$ and $f_{\mathbf{t}', \epsilon'} \in k_N[t]$ for $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}; \epsilon)$ such that

$$(3.11) \quad \delta_{\mathbf{t}, \epsilon} = \delta_{\mathbf{t}, \epsilon}^{(-R)} T_{w-w(\mathbf{t}), R} + \sum_{(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}; \epsilon)} f_{\mathbf{t}', \epsilon'} \sum_{\ell=1}^R \xi_{\mathbf{t}', \epsilon'}^{(-\ell)} T_{w-w(\mathbf{t}), \ell}.$$

By Lemma 3.3, $\delta_{\mathbf{t}, \epsilon}$ belongs to $K_N[t]$, and $\deg_{\theta} \delta_{\mathbf{t}, \epsilon} \leq m q$. Further, since $\delta_{\mathbf{t}, \epsilon}$ is divisible by $(t - \theta)^{m(q-1)}$, we write $\delta_{\mathbf{t}, \epsilon} = F(t - \theta)^{m(q-1)}$ with $F \in K_N[t]$ and $\deg_{\theta} F \leq m$. Dividing Eq. (3.11) by $(t - \theta)^{m(q-1)}$ and twisting R times yields

(3.12)

$$\begin{aligned} F^{(R)} &= F(t - \theta)^{m(q-1)} ((t - \theta)^{m(q-1)})^{(R-1)} \dots ((t - \theta)^{m(q-1)})^{(1)} \\ &+ \sum_{(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}; \epsilon)} f_{\mathbf{t}', \epsilon'} \sum_{\ell=1}^R \xi_{\mathbf{t}', \epsilon'}^{(R-\ell)} ((t - \theta)^{m(q-1)})^{(R-1)} \dots ((t - \theta)^{m(q-1)})^{(R-\ell+1)}. \end{aligned}$$

As $\xi_{\mathbf{t}', \epsilon'} \in k_N[\theta^q](t)$ for all $(\mathbf{t}', \epsilon') \in J_1(\mathbf{t}; \epsilon)$, it follows that $F(t - \theta)^{m(q-1)} \in k_N[t, \theta^q]$. As $\deg_{\theta} F \leq m$, we get

$$F = \sum_{0 \leq i \leq m/q} f_{m-iq} (t - \theta)^{m-iq}, \quad \text{with } f_{m-iq} \in k_N[t].$$

Thus

$$\begin{aligned} F(t - \theta)^{m(q-1)} &= \sum_{0 \leq i \leq m/q} f_{m-iq} (t - \theta)^{m q - iq} = \sum_{0 \leq i \leq m/q} f_{m-iq} X^{m-i}, \\ F^{(R)} &= \sum_{0 \leq i \leq m/q} f_{m-iq} (t - \theta^{q^R})^{m-iq} = \sum_{0 \leq i \leq m/q} f_{m-iq} (T + T^q + \dots + T^{q^{R-1}} + X^{q^{R-1}})^{m-iq}. \end{aligned}$$

For all $j \geq 1$, we write

$$(t - \theta)^{(j)} = t - \theta^{q^j} = T + T^q + \dots + T^{q^{j-1}} + X^{q^{j-1}},$$

$$X^{(j-1)} = t^q - \theta^{q^j} = T^q + \dots + T^{q^{j-1}} + X^{q^{j-1}}.$$

Putting these equalities and Eq. (3.10) into Eq. (3.12) gets

(3.13)

$$\begin{aligned} & \sum_{0 \leq i \leq m/q} f_{m-iq} (T + T^q + \dots + T^{q^{R-1}} + X^{q^{R-1}})^{m-iq} \\ &= \sum_{0 \leq i \leq m/q} f_{m-iq} X^{m-i} \prod_{j=1}^{R-1} (T + T^q + \dots + T^{q^{j-1}} + X^{q^{j-1}})^{m(q-1)} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} f_k \sum_{\ell=1}^R \left(X^k + \sum_{j=0}^{k-1} P_{k,j}(T) X^j \right)^{(R-\ell)} \prod_{j=R-\ell+1}^{R-1} (T + T^q + \dots + T^{q^{j-1}} + X^{q^{j-1}})^{m(q-1)}. \end{aligned}$$

Reducing both sides (mod T) yields

$$\begin{aligned} \sum_{0 < i \leq m/q} f_{m-iq} X^{(m-iq)q^{R-1}} &= \sum_{0 < i \leq m/q} f_{m-iq} X^{m-i} \prod_{j=1}^{R-1} X^{m(q-1)q^{j-1}} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} f_k \sum_{\ell=1}^R X^{kq^{R-\ell}} \prod_{j=R-\ell+1}^{R-1} X^{m(q-1)q^{j-1}} \pmod{T}, \end{aligned}$$

or equivalently

$$\begin{aligned} \sum_{0 < i \leq m/q} f_{m-iq} X^{(m-iq)q^{R-1}} &= \sum_{0 < i \leq m/q} f_{m-iq} X^{mq^{R-1}-i} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} f_k \sum_{\ell=1}^R X^{kq^{R-\ell} + mq^{R-1} - mq^{R-\ell}} \pmod{T}, \end{aligned}$$

Comparing the coefficients of powers of $X^{q^{R-1}}$ of Eq. (3.13) yields the following linear system in the variables f_0, \dots, f_{m-1} :

$$(3.14) \quad B|_{y=T} \begin{pmatrix} f_{m-1} \\ \vdots \\ f_0 \end{pmatrix} = f_m \begin{pmatrix} Q_{m-1} \\ \vdots \\ Q_0 \end{pmatrix} \Big|_{y=T}.$$

Here for $0 \leq i \leq m-1$, $Q_i \in y\mathbb{F}_q[y]$ and $B = (B_{ij})_{0 \leq i, j \leq m-1} \in \text{Mat}_m(\mathbb{F}_q(y))$ such that

- $v_y(B_{ij}) \geq 1$ if $i > j$,
- $v_y(B_{ij}) \geq 0$ if $i < j$,
- $v_y(B_{ii}) = 0$ as $B_{ii} = \pm 1$.

The above properties follow from the fact that $P_{k,i} \in \mathbb{F}_q(y)$ and $v_y(P_{k,i}) \geq 1$. Thus $v_y(\det B) = 0$ so that $\det B \neq 0$. It follows that for all $0 \leq i \leq m-1$, $f_i = f_m P_i(T)$ with $P_i \in \mathbb{F}_q(y)$ and $v_y(P_i) \geq 1$. Thus Part a) is proved.

To conclude, we prove Part b). We want to show that if $R = 1$, then there exists a solution $\delta_{t,\epsilon} \in \overline{K}[t]$ of Eq. (3.6). In fact, since $R = 1$, we see that Eqs. (3.12) and (3.14) are equivalent and we are done. \square

3.6.4. *Proof of Proposition 3.5 for (t, ϵ) : last part.* We continue the proof of Proposition 3.5 for (t, ϵ) . Recall that we want to solve Eq. (3.6), i.e., to find $\delta_{t, \epsilon} \in \overline{K}[t]$ with the unknown parameters $f_{t', \epsilon'} \in k_N[t]$ where (t', ϵ') runs through the set $J_1(t, \epsilon)$. By Proposition 3.9 there exists at most one solution up to a scalar in $k_N(t)$. Further, if $R = 1$, then we get a stronger statement, i.e., there exists a unique solution up to a scalar in $k(t)$.

To finish the proof of Proposition 3.5 for (t, ϵ) , it suffices to exhibit a solution of Eq. (3.6), or equivalently Eq. (3.11), such that

- $\delta_{t, \epsilon} \in K[t]$ and $f_{t', \epsilon'} \in \mathbb{F}_q[t]$ where (t', ϵ') runs through the set $J_1(t, \epsilon)$,
- The following σ -equation of order 1 holds

$$(3.15) \quad \delta_{t, \epsilon} = \delta_{t, \epsilon}^{(-1)}(t - \theta)^{w-w(t)} + \sum_{(t', \epsilon') \in J_1(t, \epsilon)} \delta_{t', \epsilon'}^{(-1)}(t - \theta)^{w-w(t')}.$$

In fact, by Proposition 3.9, Part b), there exist $\delta_{t, \epsilon} \in K[t]$ and $f_{t', \epsilon'} \in \mathbb{F}_q[t]$ where (t', ϵ') runs through the set $J_1(t, \epsilon)$ such that Eq. (3.15) holds. We claim that these elements $\delta_{t, \epsilon} \in K[t]$ and $f_{t', \epsilon'} \in \mathbb{F}_q[t]$ for $(t', \epsilon') \in J_1(t, \epsilon)$ verify Eq. (3.11). By similar arguments as those given in the proof of Lemma 3.6 and the fact that $f_{t', \epsilon'}^{(-1)} = f_{t', \epsilon'}$ as $f_{t', \epsilon'} \in \mathbb{F}_q[t]$ for all $(t', \epsilon') \in J_1(t, \epsilon)$, we show by induction on $n \in \mathbb{N}$ that

$$(3.16) \quad \delta_{t, \epsilon} = \delta_{t, \epsilon}^{(-n)} T_{w-w(t), n} + \sum_{(t', \epsilon') \in J_1(t, \epsilon)} f_{t', \epsilon'} \sum_{\ell=1}^n \xi_{t', \epsilon'}^{(-\ell)} T_{w-w(t'), \ell}.$$

We conclude by applying Eq. (3.16) for $n = R$.

3.7. Solving Eq. (3.4).

Our last task is to solve Eq. (3.4). We have some extra work as we may have some pairs $(t', \epsilon') \in J_1(\emptyset)$ with the same tuple t' . Further, there are a factor $\mu^{(-R)}$ and some powers of ϵ in the terms $\Phi'_{(t', \epsilon'), \emptyset}$ on the right-hand side of Eq. (3.4).

As there exists $\epsilon \in \Gamma_N$ such that $\epsilon_{i1}^R = \epsilon^R$ for all i , there exists $\mu \in \overline{\mathbb{F}}_q$ such that $\mu^{(R)} = \mu \epsilon^R$ and $\mu_{i1} = \mu$ for all i . We use $\mu^{(-R)} = \mu / \epsilon^R$ and put $\delta := \delta_{\emptyset, \emptyset} / \mu \in \overline{K}[t]$. Then we have to solve

$$\begin{aligned} \epsilon^R \delta &= \delta^{(-R)} T_{w, R} + \epsilon^R \sum_{(t', \epsilon') = (s_{i1}, \dots, s_{ik}; \epsilon_{i1}, 1, \dots, 1) \in J_\infty(\emptyset)} \delta_{t', \epsilon'}^{(-R)} T_{w-w(t'), R} \times \\ &\quad \times \sum_{0 < m_{i1} < \dots < m_{ik} \leq R} \epsilon_{i1}^{-m_{i1}} T_{s_{i1}, m_{i1}} \dots T_{s_{ik}, m_{ik}}. \end{aligned}$$

By Lemma 3.8 and using the fact that $\epsilon_{i1}^R = \epsilon^R$ for all i we are reduced to solve

$$(3.17) \quad \epsilon^R \delta = \delta^{(-R)} T_{w, R} + \sum_{(t', \epsilon') = (s_{i1}, \epsilon_{i1}) \in J_1(\emptyset)} f_{t', \epsilon'} \sum_{\ell=1}^R \xi_{t', \epsilon'}^{(-\ell)} \epsilon_{i1}^{R-\ell} T_{w, \ell}.$$

We distinguish two cases.

3.7.1. Case 1: $q - 1 \nmid w$ and we write $w = m(q - 1) + r$ with $0 < r < q - 1$.

We denote by $I(\epsilon, R)$ the set of $\epsilon_i \in \Gamma_N$ such that $\epsilon_i^R = \epsilon^R$.

We know that for all $(t', \epsilon') \in J_1(\emptyset)$, says $t' = ((m - k)(q - 1) + r)$ with $0 \leq k \leq m$ and $k \not\equiv m - r \pmod{q}$, and $\epsilon' = \epsilon_i$ for some $\epsilon_i \in I(\epsilon, R)$. We write (k, ϵ_i) instead

of $(t', \epsilon') = ((m-k)(q-1) + r, \epsilon_i)$. We know

$$(3.18) \quad \delta_{k, \epsilon_i} = \delta_{t', \epsilon'} = f_{k, \epsilon_i} \left(X^k + \sum_{j=0}^{k-1} P_{k,j}(T) X^j \right) \in k_N[t, \theta^q]$$

where

- $f_{k, \epsilon_i} \in k_N[t]$,
- for all $0 \leq j \leq k-1$, $P_{k,j}(y)$ belongs to $\mathbb{F}_q(y)$ with $v_y(P_{k,j}) \geq 1$.

We put

$$\xi_{k, \epsilon_i} = X^k + \sum_{j=0}^{k-1} P_{k,j}(T) X^j \in \mathbb{F}_q[t, \theta^q].$$

We mention that the coefficients $P_{k,j}(T)$ do not depend on the character ϵ_i .

By Lemma 3.3, δ belongs to $K_N[t]$. We claim that $\deg_\theta \delta \leq mq$. Otherwise, we have $\deg_\theta \delta_\emptyset > mq$. Twisting Eq. (3.17) R times gets

$$\epsilon^R \delta^{(R)} = \delta T_{w,R}^{(R)} + \sum_{(k, \epsilon_i) \in J_1(\emptyset)} f_{k, \epsilon_i} \sum_{\ell=1}^R \xi_{k, \epsilon_i}^{(R-\ell)} \epsilon_i^{-\ell} T_{w,\ell}^{(R)}.$$

As $\deg_\theta \delta > mq$, we compare the degrees of θ on both sides and obtain

$$q \deg_\theta \delta = \deg_\theta \delta + wq.$$

Thus $q-1 \mid w$, which is a contradiction. We conclude that $\deg_\theta \delta \leq mq$.

From Eq. (3.17) we see that δ is divisible by $(t-\theta)^w$. Thus we write $\delta = F(t-\theta)^w$ with $F \in K_N[t]$ and $\deg_\theta F \leq mq - w = m - r$. Dividing Eq. (3.17) by $(t-\theta)^w$ and twisting R times yields

(3.19)

$$\begin{aligned} \epsilon^R F^{(R)} &= F(t-\theta)^w ((t-\theta)^w)^{(R-1)} \dots ((t-\theta)^w)^{(1)} \\ &+ \sum_{(k, \epsilon_i) \in J_1(\emptyset)} f_{k, \epsilon_i} \sum_{\ell=1}^R \xi_{k, \epsilon_i}^{(R-\ell)} \epsilon_i^{R-\ell} ((t-\theta)^w)^{(R-1)} \dots ((t-\theta)^w)^{(R-\ell+1)}. \end{aligned}$$

Since $\xi_{k, \epsilon_i} \in \mathbb{F}_q[t, \theta^q]$ for all $(k, \epsilon_i) \in J_1(\emptyset)$, it follows that $F(t-\theta)^w \in k_N[t, \theta^q]$. As $\deg_\theta F \leq m - r$, we write

$$F = \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} (t-\theta)^{m-r-iq}, \quad \text{for } f_{m-r-iq} \in k_N[t].$$

It follows that

$$\begin{aligned} F(t-\theta)^w &= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} (t-\theta)^{mq-iq} = \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{m-i}, \\ F^{(R)} &= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} (t-\theta^{q^R})^{m-r-iq} = \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} (T + T^q + \dots + T^{q^{R-1}} + X^{q^{R-1}})^{m-r-iq}. \end{aligned}$$

Combining the previous equations with Eq. (3.18) into Eq. (3.19) implies

$$\epsilon^R \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} (T + T^q + \dots + T^{q^{R-1}} + X^{q^{R-1}})^{m-r-iq}$$

$$\begin{aligned}
&= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{m-i} \prod_{j=1}^{R-1} (T + T^q + \cdots + T^{q^{j-1}} + X^{q^{j-1}})^{m(q-1)+r} \\
&+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m-r \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R \left(X^k + \sum_{j=0}^{k-1} P_{k,j}(T) X^j \right)^{(R-\ell)} \epsilon_i^{R-\ell} \times \\
&\times \prod_{j=R-\ell+1}^{R-1} (T + T^q + \cdots + T^{q^{j-1}} + X^{q^{j-1}})^{m(q-1)+r}.
\end{aligned}$$

Taking (mod T) yields

(3.20)

$$\begin{aligned}
&\epsilon^R \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{q^{R-1}(m-r-iq)} \\
&= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{m-i} \prod_{j=1}^{R-1} X^{q^{j-1}(m(q-1)+r)} \\
&+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m-r \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R X^{kq^{R-\ell}} \epsilon_i^{R-\ell} \prod_{j=R-\ell+1}^{R-1} X^{q^{j-1}(m(q-1)+r)} \\
&= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{mq^{R-1}-i+r \frac{q^{R-1}-1}{q-1}} \\
&+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m-r \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R \epsilon_i^{R-\ell} X^{mq^{R-1}-mq^{R-\ell}+kq^{R-\ell}+r \frac{q^{R-1}-q^{R-\ell}}{q-1}}.
\end{aligned}$$

We set $R_0 := |I(\epsilon, R)| \leq R$. We consider the following sets

$$\begin{aligned}
\mathcal{S}_1 &= \{X^{q^{R-1}(m-r-iq)} : 0 \leq i \leq (m-r)/q\}, \\
\mathcal{S}_2 &= \{X^{mq^{R-1}-mq^{R-\ell}+kq^{R-\ell}+r \frac{q^{R-1}-q^{R-\ell}}{q-1}} : 0 \leq k < m, k \not\equiv m-r \pmod{q}; 1 \leq \ell \leq R\} \\
\mathcal{S}_{2, R_0} &= \{X^{mq^{R-1}-mq^{R-\ell}+kq^{R-\ell}+r \frac{q^{R-1}-q^{R-\ell}}{q-1}} : 0 \leq k < m, k \not\equiv m-r \pmod{q}; 1 \leq \ell \leq R_0\} \\
\mathcal{S} &= \mathcal{S}_1 \cup \mathcal{S}_{2, R_0}.
\end{aligned}$$

Then the elements in $\mathcal{S}_1 \cup \mathcal{S}_2$ are pairwise distinct. Thus the cardinality of the set \mathcal{S} equals the number of variables

$$\begin{aligned}
\mathcal{V} &= \{f_{m-r-iq} : 0 \leq i \leq (m-r)/q\} \\
&\cup \{f_{k, \epsilon_i} : 0 \leq k < m, k \not\equiv m-r \pmod{q}; \epsilon_i \in I(\epsilon, R)\}.
\end{aligned}$$

Comparing the coefficients of X^k with $X^k \in \mathcal{S}$ yields the following linear system in the variables $f_* \in \mathcal{V}$:

$$B|_{y=T}(f_*)|_{\mathcal{V}| \times 1} = 0.$$

Here $B = (B_{ij})_{0 \leq i, j \leq m} \in \text{Mat}_{|\mathcal{V}|}(k_N(y))$.

We claim that $B \pmod{T}$ is invertible. In fact, it suffices to prove the following statement: suppose that

$$\begin{aligned} \epsilon^R \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{q^{R-1}(m-r-iq)} &= \sum_{0 \leq i \leq (m-r)/q} f_{m-r-iq} X^{mq^{R-1-i+r} \frac{q^{R-1}-1}{q-1}} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m-r \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^{R_0} \epsilon_i^{R-\ell} X^{mq^{R-1-mq^{R-\ell}+kq^{R-\ell}+r} \frac{q^{R-1}-q^{R-\ell}}{q-1}}. \end{aligned}$$

Then we want to prove that $f_* = 0$ for all $f_* \in \mathcal{V}$. In fact, since the elements in $\mathcal{S}_1 \cup \mathcal{S}_2$ are pairwise distinct, by descending induction on i we show that $f_{m-r-iq} = 0$ for all $0 \leq i \leq (m-r)/q$. Therefore,

$$0 = \sum_{\substack{0 \leq k < m \\ k \not\equiv m-r \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^{R_0} \epsilon_i^{R-\ell} X^{mq^{R-1-mq^{R-\ell}+kq^{R-\ell}+r} \frac{q^{R-1}-q^{R-\ell}}{q-1}}.$$

Again, by the fact that the elements in \mathcal{S}_2 are pairwise distinct and using a variant of Vandermonde's matrix, we conclude that $f_{k, \epsilon_i} = 0$ for all pairs (k, ϵ_i) .

As $B \pmod{T}$ is invertible, B is invertible and $f_* = 0$ for all $f_* \in \mathcal{V}$. It follows that $\delta_{\emptyset; \emptyset} = 0$ and $\delta_{t'; \epsilon'} = 0$ for all $(t'; \epsilon') \in J_1(\emptyset)$. We conclude that $\delta_{t; \epsilon} = 0$ for all $(t, \epsilon) \in J$. In particular, for all i , $a_i(t) = \delta_{s_i, \epsilon_i} = 0$, which is a contradiction. Thus this case can never happen.

3.7.2. *Case 2: $q-1 \mid w$, says $w = m(q-1)$.*

By similar arguments as above, we show that $\delta = F(t-\theta)^{m(q-1)}$ with $F \in K_N[t]$ of the form

$$F = \sum_{0 \leq i \leq m/q} f_{m-iq} (t-\theta)^{m-iq}, \quad \text{for } f_{m-iq} \in k_N[t].$$

Thus

$$\begin{aligned} F(t-\theta)^{m(q-1)} &= \sum_{0 \leq i \leq m/q} f_{m-iq} (t-\theta)^{mq-iq} = \sum_{0 \leq i \leq m/q} f_{m-iq} X^{m-i}, \\ F^{(R)} &= \sum_{0 \leq i \leq m/q} f_{m-iq} (t-\theta^{q^R})^{m-iq} = \sum_{0 \leq i \leq m/q} f_{m-iq} (T+T^q+\dots+T^{q^{R-1}}+X^{q^{R-1}})^{m-iq}. \end{aligned}$$

Putting these and Eq. (3.10) into Eq. (3.17) gets

$$\begin{aligned} (3.21) \quad \epsilon^R \sum_{0 \leq i \leq m/q} f_{m-iq} (T+T^q+\dots+T^{q^{R-1}}+X^{q^{R-1}})^{m-iq} \\ &= \sum_{0 \leq i \leq m/q} f_{m-iq} X^{m-i} \prod_{j=1}^{R-1} (T+T^q+\dots+T^{q^{j-1}}+X^{q^{j-1}})^{m(q-1)} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R \left(X^k + \sum_{j=0}^{k-1} P_{k,j}(T) X^j \right)^{(R-\ell)} \epsilon_i^{R-\ell} \\ &\times \prod_{j=R-\ell+1}^{R-1} (T+T^q+\dots+T^{q^{j-1}}+X^{q^{j-1}})^{m(q-1)}. \end{aligned}$$

Taking $(\text{mod } T)$ yields

$$\begin{aligned} (\epsilon^R - 1)f_m X^m + \sum_{0 < i \leq m/q} f_{m-iq} X^{(m-iq)q^{R-1}} &= \sum_{0 < i \leq m/q} f_{m-iq} X^{m-i} \prod_{j=1}^{R-1} X^{m(q-1)q^{j-1}} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R X^{kq^{R-\ell}} \epsilon_i^{R-\ell} \prod_{j=R-\ell+1}^{R-1} X^{m(q-1)q^{j-1}} \pmod{T}, \end{aligned}$$

or equivalently

$$\begin{aligned} (\epsilon^R - 1)f_m X^m + \sum_{0 < i \leq m/q} f_{m-iq} X^{(m-iq)q^{R-1}} &= \sum_{0 < i \leq m/q} f_{m-iq} X^{mq^{R-1}-i} \\ &+ \sum_{\substack{0 \leq k < m \\ k \not\equiv m \pmod{q}}} \sum_{\epsilon_i \in I(\epsilon, R)} f_{k, \epsilon_i} \sum_{\ell=1}^R \epsilon_i^{R-\ell} X^{kq^{R-\ell} + mq^{R-1} - mq^{R-\ell}} \pmod{T}. \end{aligned}$$

We set

$$\mathcal{V} = \{f_{m-iq} : 0 < i \leq m/q\} \cup \{f_{k, \epsilon_i} : 0 \leq k < m, k \not\equiv m \pmod{q}; \epsilon_i \in I(\epsilon, R)\},$$

By similar arguments as in the case $q-1 \nmid w$, comparing the coefficients of powers of X in Eq. (3.21) yields

$$\epsilon^R f_m = f_m$$

and the following linear system in the variables in \mathcal{V} :

$$B|_{y=T} (f_*)|_{\mathcal{V} \times 1} = f_m ((Q_*)|_{\mathcal{V} \times 1})|_{y=T}.$$

Here all $Q_* \in y\mathbb{F}_q[y]$ and $B = (B_{ij}) \in \text{Mat}_{|\mathcal{V}|}(k_N(y))$. We can show that $B \pmod{T}$ is invertible, hence B is invertible.

We distinguish two subcases.

Subcase 1: $\epsilon^R \neq 1$.

It follows that $f_m = 0$. Then $f_* = 0$ for all $f_* \in \mathcal{V}$. Thus $\delta_{t, \epsilon} = 0$ for all $(t, \epsilon) \in J$. In particular, for all i , $a_i(t) = \delta_{\mathfrak{s}_i, \epsilon_i} = 0$. This is a contradiction and we conclude that this case can never happen.

Subcase 2: $\epsilon^R = 1$.

From the induction hypothesis for (R, w) with $R + w < N + w$, it follows that $f_{k, \epsilon_i} = 0$ whenever $\epsilon_i \neq 1$. We are reduced to solve a linear system in the variables

$$\mathcal{V}' = \{f_{m-iq} : 0 < i \leq m/q\} \cup \{f_{k, 1} : 0 \leq k < m, k \not\equiv m \pmod{q}\}$$

given by

$$B|_{y=T} (f_*)|_{\mathcal{V}' \times 1} = f_m ((Q_*)|_{\mathcal{V}' \times 1})|_{y=T}.$$

Here $f_m \in k_N[t]$, all $Q_* \in y\mathbb{F}_q[y]$ and $B = (B_{ij}) \in \text{Mat}_{|\mathcal{V}'|}(\mathbb{F}_q(y))$. We note that the coefficients of B belong to $\mathbb{F}_q(y)$ since the characters are all trivial. We have already know that B is invertible. Hence we have shown that there exists at most one solution of Eqs. (3.3) and (3.4) up to a factor in $k_N(t)$. Recall that for all i , $a_i(t) = \delta_{\mathfrak{s}_i, \epsilon_i}$. Therefore, up to a scalar in K_N^\times , there exists at most one non-trivial relation

$$a\tilde{\pi}^w + \sum_i a_i L(\mathfrak{s}_i; \epsilon_i)(\theta) = 0$$

with $a, a_i \in K_N$ and $\text{Li} \begin{pmatrix} \epsilon \\ \mathfrak{s} \end{pmatrix} \in \mathcal{CS}_{N,w}$. Further, we must have $\epsilon_i = (1, \dots, 1)$ for all i . Hence we have proved Theorem 2.6, Part 1.

By Lemma 3.4, there exists such a linear relation with $a \neq 0$. Combining this with Theorem 2.6, Part 1, we obtain Theorem 2.6, Part 2. Hence the proof of Theorem 2.6 is finished.

4. PROOF OF THE MAIN THEOREMS

We now prove Theorems A and B.

Proof of Theorem A. By Theorem 2.7 the CMPL's at roots of unity in $\mathcal{CS}_{N,w}$ are all linearly independent over K_N . Then by Theorem 1.11 we deduce that the set $\mathcal{CS}_{N,w}$ form a basis for $\mathcal{CL}_{N,w}$. \square

Proof of Theorem B. We recall

$$d_N(w) = \begin{cases} 1 & \text{if } w = 0, \\ \gamma_N(\gamma_N + 1)^{w-1} & \text{if } 1 \leq w < q, \\ \gamma_N((\gamma_N + 1)^{w-1} - 1) & \text{if } w = q, \end{cases}$$

and for $w > q$, $d_N(w) = \gamma_N \sum_{i=1}^{q-1} d_N(w-i) + d_N(w-q)$. Then we want to show that

$$\dim_{K_N} \mathcal{CZ}_{N,w} = d_N(w).$$

We note that if we set $d_N(w) = 0$ for $w < 0$, then the equality $d_N(w) = \gamma_N \sum_{i=1}^{q-1} d_N(w-i) + d_N(w-q)$ holds for every integer $w \neq 0, q$.

For $w \in \mathbb{N}$ we put $d'_N(w) = |\mathcal{CS}_{N,w}|$. We also set $d'_N(w) = 1$ for $w = 0$ and $d'_N(w) = 0$ for $w < 0$. Then the equality $d'_N(w) = \gamma_N \sum_{i=1}^{q-1} d'_N(w-i) + d'_N(w-q)$ holds

for all $w \in \mathbb{N}$ and $w \neq q$. Furthermore, for $w = q$ we have $d'_N(q) = \gamma_N \sum_{i=1}^{q-1} d'_N(q-i)$.

Hence it follows that for all $w \in \mathbb{N}$, $d'_N(w) = d_N(w)$. We combine this equality with Theorem A to obtain Theorem B. \square

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