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Understanding Delays in AF_XDP-based Applications

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Abstract—Packet processing on Linux can be slow due to its complex network stack. To solve this problem, there are two main solutions: eXpress Data Path (XDP) and Data Plane Development Kit (DPDK). XDP and the AF_XDP socket offer full interoperability with the legacy system and is being adopted by major internet players like Open vSwitch or Facebook. While the performance evaluation of AF_XDP against the legacy protocol stack in the kernel or against DPDK has been studied in the literature, the impact of the multiple socket parameters and the system configuration on its latency has been left aside. To address this, we conduct an experimental study to understand the XDP/AF_XDP ecosystem and detect microseconds delays to better architect future latency-sensitive applications. Since the performance of AF_XDP depends on multiple parameters found in different layers, finding the configuration minimizing its latency is a challenging task. We rely on a classification algorithm to group the performance results, allowing us to easily identify parameters with the biggest impact on performance at different loads. Last, but not least, we show that some configurations can significantly decrease the benefits of AF_XDP, leading to undesirable behaviors, while other configurations are able to reduce such round trip delays to an impressive value of 6.5 μ s in the best case, including the tracing overhead. In summary, AF_XDP is a promising solution, and careful selection of both application and socket parameters can significantly improve performance.

Index Terms—Latency, XDP, AF_XDP, High Performance, Linux, Network Drivers

I. INTRODUCTION

Nowadays, cloud applications are deployed as chains of functions that need to communicate between each other to provide full services [1]. While the replacement of a monolithic architecture for the adoption of a microservice one (where several functions interconnect) eases development and deployment, it might also bring several challenges that need to be addressed in order to preserve the Quality of Experience required by the customers.

Hence, latency-sensitive applications, which are increasingly popular, such as live streaming, live gaming, and data query just to mention a few [2], [1], call for optimizations at several layers of the service architecture. The final objective being to achieve fast communication between functions to satisfy the customers' Quality of Experience requirements. For instance, one single search at Google leads to thousands of RPCs (Remote Procedure Calls)[3].

At the network layer, microservices can be smartly placed to bring optimized network overlays where communicating functions are close to each other on the Data Center fabric. Thus, network processing and latency is reduced while avoiding bottlenecks [2].

To increase the benefits from this smart microservice placement, one can deploy fast network packet processing solutions on the servers. Note that overlay networks are frequently composed of both hardware and software networking devices. While the former already provides the fastest packet processing, servers where software networking devices live offer by default only slow packet processing capabilities [4], [5].

Two main different solutions can be adopted to speed up the processing of network packets: DPDK (Data Plane Development Kit) [6] and XDP (eXpress Data Path) [7]. Both solutions offer fast packet processing capabilities by sending, as fast as possible, network packets to the user space of a system, where applications are expected to live, and where parallelism and complex operations are easy to implement. Indeed, the packet processing in the network protocol stack implementation of the kernel system might be very slow due to the complexity of the current code [5], [4]. The slow packet processing can moreover be exacerbated when overlay technologies are deployed, such as VxLAN [8] as packets can be processed multiple times by the entire network stack implementation [5].

DPDK provides a set of libraries to create a direct path between the network interface card (NIC) and the user space programs. By doing so, DPDK completely *steals* the packet from the kernel, with the side effect of standard Linux networking tools not working anymore [9].

XDP, with the help of the associated AF_XDP socket, can be seen as an intermediary solution between DPDK and the default network stack implementation of current systems. AF_XDP relies on the XDP hook which is implemented at the NIC driver level in Linux systems to create a short path between the NIC and user space (see Figure 1). A detailed view of the AF_XDP architecture is provided in §II. Since AF_XDP has full kernel support, usual networking tools (`ip`, `ping`, ...) employed in Linux systems are fully compatible with AF_XDP.

Understanding every factor potentially impacting the packet processing delay is important to chase out microsecond delays

in microservice-based architectures[3]. We present in this paper an in depth study about the cost of packet processing by AF_XDP in a testbed described in §IV, using two different network drivers, Mellanox mlx5 and Intel i40e. To do this, we generated close to 400 different configurations from which we analysed the results using a classification algorithm to determine what parameters lead to the best and the worst latencies (§VI). All these aspects have not been or only partially covered by the current state of the art (§VII).

Our results, available in §VI, show that:

- energy saving mechanism have little to no impact on the latency with AF_XDP socket with the correct parameters.
- with the best combination of parameters, the round-trip latency between two servers can reach 6.5µs, which includes an approximate 5-10µs overhead due to our performance tracing technique.

II. ARCHITECTURE OF XDP AND AF_XDP

A. XDP

eBPF (*extended Berkeley Packet Filter*) is a solution to extend the capabilities of the Linux kernel, without the need to modify or write new kernel modules. eBPF allows running sandboxed program inside hooks points located at several places of the kernel architecture. One of those hooks is called XDP (*eXpress Data Path*) and is used to install an eBPF program at the earliest stage of the networking stack, inside the network device driver

By leveraging the XDP capabilities, firewalls and load-balancers providing very fast packet processing have been deployed on servers [10].

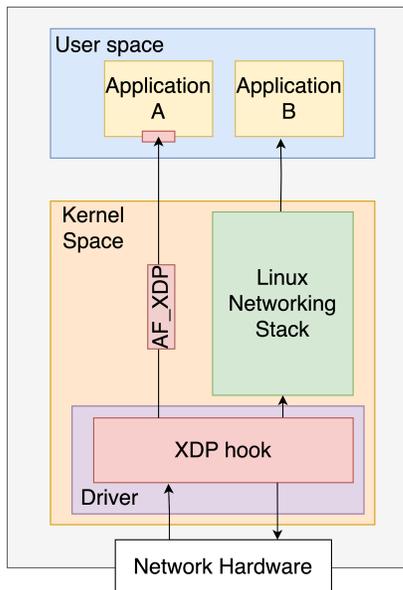


Fig. 1: XDP and AF_XDP in the Linux networking stack.

B. AF_XDP

AF_XDP [11], [12] is a new socket address family which leverages XDP to receive and send packets directly between

a queue of the NIC and some user space process. It was proposed as a way to easily bypass the legacy network protocol stack implementation, as shown in Figure 1.

A memory area, called a UMEM, is shared by both the application and the driver. It is divided into equally sized frames which can be owned by only one of them at a time.

The UMEM relies on two memory *rings*, *Fill* (FILL) and *Completion* (COMP), which are single consumer / single producer. They are used to transfer ownership of the UMEM frames between user space and kernel space. The socket itself has two more rings, *RX* and *TX*, used for the actual exchange of the packets. The rings do not contain the actual packets but the addresses of the frames, as well as the length of the data for the last two rings.

Finally, the socket has two more rings, *RX* and *TX*, used for the actual exchange of the packets.

As the Linux networking stack is bypassed, most of the processing is done either in user-space by the application or in the driver of the NIC. Therefore, in a simple application, most of the possible performance improvement will be obtained by tweaking NIC hardware and driver settings as well as AF_XDP socket parameters.

III. OPTIMIZATION PARAMETERS

As the Linux networking stack is out of the way when using AF_XDP, the surface area for optimizations is further reduced to hardware and driver settings and the parameters of the AF_XDP socket itself.

A. Hardware and driver settings

Many of the optimizations brought to the networking hardware and drivers are set with the goal to achieve higher rates, in terms of bits per second (bps) or packets per second (pps). However, our goal is to identify the different components impacting latency and its stability (jitter) independently of the throughput of the application. We found that when optimizing for throughput, one may induce higher latency especially at lower packet rates.

1) *Interrupt delaying*: The NIC historically used to send an interrupt to the kernel for each received packet, but this approach doesn't work well with high packet rates [13]. There are two solutions: interrupt coalescing by NAPI or by the NIC itself. NAPI (*New API*) is a subsystem of the Linux Kernel that improves high-speed networking by mitigating interrupts [14]. Instead of sending an interrupt for each packet, NAPI triggers one interrupt to put the driver in *poll* mode. In this mode, the driver can be later polled by the kernel to process the packets received since the last interrupt, saving CPU time. On the hardware side, *coalescing* delays triggering an interrupt when receiving a packet by a certain amount of time or frames, reducing interrupt overhead. Both mechanisms involve waiting before processing a packet, which may have negligible overhead at high packet rates but can be significant at lower rates [15].

2) *Energy saving mechanisms*: Modern CPUs come with energy saving mechanisms, notably *C-States*, which allow a CPU to enter a lower power state and disable some parts of itself when idle. This can lead to higher latency and jitter as the CPU may be in a energy saving mode when receiving a packet, especially at lower rates.

B. AF_XDP socket related parameters

The socket itself and the associated UMEM are also configurable. For the UMEM and the rings, the number of frames as well as their size can be configured. However, more options are available for the socket:

1) *Zero-Copy*: By default, sending data from user space involves copying at least once the packet between a memory region owned by the application and one owned by the kernel for it to format the data correctly before sending it on the network. However, network drivers supporting XDP can also add support for sending and receiving packets without copying intermediate buffers into the NIC. Furthermore, zero-copy in AF_XDP works both on the receiving and sending sides allowing to save latency both ways [16].

2) *XDP_USE_NEED_WAKEUP*: By setting the `XDP_USE_NEED_WAKEUP` option, the application enables the `need_wakeup` flag on the TX and FILL ring. This flag is set by the driver and when false, enables the application to write and read from the rings directly without sending a syscall to the driver beforehand. When the flag is true, the application runs in the usual mode.

3) *Busy Polling*: Typically, to signal an application that packets are available, the underlying network driver will send IRQs (interrupts). However, this uses CPU and can downgrade application performance if it runs on the same core as the one sending the IRQs due to context switches. With busy polling, the application has to wake up the driver so it can start processing packets both on the rx and tx sides. This enables all processing to be performed on one core and therefore prevent core-to-core cache transfers which may slow down performances [17].

C. Application parameters

1) *Polling*: What we call *polling* is the use of the system call `poll()` to check for any IO activity. When we enable this option on the application, it will call `poll` regularly to process IO events.

IV. NETWORK TESTBED

Our testbed consists of three Dell PowerEdge R520 servers with two Intel Xeon E5-2420 v2 CPUs at 2.20GHz, and 32 GB of 1600MHz DDR3. Our servers feature the following NIC models:

- Mellanox MT2892 ConnectX-6 100Gbps with 2 ports
- Intel X710 10Gbps with 4 ports

Those NIC are shared among the three servers (A,B,C), A with Mellanox only, C Intel only and B having both.

All servers are running Ubuntu 23.04 on kernel 6.2, the driver for the Mellanox NICs is `mlx5` and `i40e` for the Intel

ones. Mellanox OFED version 23.07 has been set up on relevant servers.

For our benchmarks, servers A and C will behave as traffic generators and receivers, while server B will only behave as a forwarder.

The servers interconnection is shown in Fig. 2. Servers are connected by a DAC cable, the queues (`q0` and `q1`) being on the same port (ie. NIC interface).

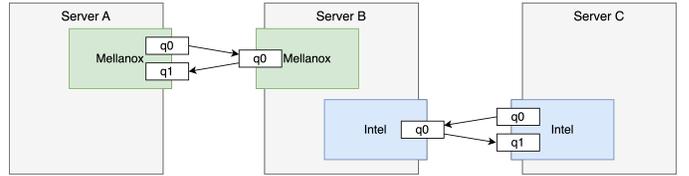


Fig. 2: Network testbed. Server A and C are traffic generators and receivers. Server B forwards only traffic back to the traffic generator. `q0` and `q1` refer to queues.

We estimated the latency difference due to the speed difference in both NIC models to be in the order of nanoseconds in our setup, which is negligible compared to our results. To start off, using the system’s ping utility we had $191\ \mu\text{s}$ between Mellanox hosts and $211\ \mu\text{s}$ between Intel ones.

V. METHODOLOGY

To measure latency, we have deployed the `xdpsock` program[18] on every server which is the default application provided in Linux for testing AF_XDP to generate, receive or forward network packets (called *pings* in this article). To gather delay-related statistics of our network, we added USDT (Userland Statically Defined Tracing) probes [19] to `xdpsock`. Using `perf` [20], we obtain the time when batch of packets is sent and the time of its reply. As sending and receiving is done on the same server, we avoid clock synchronization issues when gathering timestamps.

1) *Ping parameters*: `xdpsock` generates batches of packets at fixed intervals. In our experiments we chose to use batches of 1 packet of 64 bytes length, with a 1 second inter-batch interval. Those parameters are known to be the most challenging at higher rates [9], [21], [22], which will be addressed later in our experiments.

2) *Tracing overhead*: We chose `perf` and USDT probes as they enable us to add our own probes to `xdpsock`, while having a limited impact on the performance. Event tracing is only performed on the sender / receiver. To estimate our tracing overhead, we ran experiments with and without activating tracing on the forwarder and computed the time difference between the two versions. Depending on the inter-batch interval, the typical tracing overhead was found to be between $5\ \mu\text{s}$ and $10\ \mu\text{s}$.

3) *Hosts configuration and run execution*: We used Ansible [23] to configure the servers before each run as well as to run the applications and retrieve the results. This allowed us to run all the configurations from a definition file and ensure

that the system would be set up using the correct parameters before each run:

- correct number of queues set on the NIC
- flow steering on the NIC to the queue on which the application was listening
- rx and tx coalescing on the NIC
- selected busy polling values set in the system files
- setting the correct C-States level

Each benchmark is run alone, during 30 seconds and at least 3 times to ensure consistency between runs. When running with busy polling enabled, we force the application to run on the same core as the driver to benefit from the better cache locality.

VI. RESULTS

In this section we investigate the impact of the various optimization parameters on the latency, for both Intel and Mellanox cards.

To do so, we generated benchmark configurations for every combination of the following parameters: zero-copy, busy poll, need wakeup, C-States level, rx and tx coalescing. This produced around 100 different configurations.

In our first set of experiments, and to avoid any interference from any kind of bottleneck, we decided to send traffic with a 1 second inter-batch interval and batch sizes of 1 packet of 64 bytes as mentioned in §V.

1) *Measurements analysis*: During our experiments, we noticed that some configurations with zero-copy and no polling mechanism led to very undesirable behaviors on the Intel driver, with latencies similar to the inter-batch intervals. Therefore, we decided to consider those configurations as outliers in our future analysis, while taking them into considerations for our conclusion. To further clean up our traces, we decided also to not consider configurations leading to latencies higher than the one observed with system’s pings utility which was around 200 μ s in our testbed.

With the remaining configurations, 69 on Mellanox and 40 on Intel, we computed general latency statistics (e.g. mean, median, standard deviations, quartiles, minimum and maximum) for every result and averaged them out per configuration for each NIC vendor (Intel and Mellanox). When analyzing the results, we found that some configurations lead to similar latency, despite having different parameters.

This suggested that one or more parameters coupled together lead to those particular results. However, identifying those clusters by hand is still challenging. Thus we decided to use rely on the k-means clustering algorithm to classify the configuration into multiple groups.

At first, we feed the algorithm with all the metrics that we had computed: mean, median, standard deviation, first and third quartiles, minimum and maximum. However, the resulting clustering failed to classify the traces under good performances and bad performance in a clear manner.

However, feeding K-means with all statistics, except the minimum and maximum observed latencies successfully grab the performance profile. To find the number of clusters, we

wanted to obtain a good clustering based on the following criteria: low standard deviation among clusters, small numbers of clusters and significant statistical differences between them. This gave us a number of 4 clusters on Intel and 6 on Mellanox. To visualize the latency distribution of each configuration we decided to use Kernel Density Estimate (KDE) plots, which uses a continuous probability density curve and can be compared to a histogram but easier to read [24]. As we can see in Fig. 3 where we plot the KDE of our traces assigning them to its respective cluster, it is easy to identify each cluster on the x-axis, which represents the average latency for a specific configuration.

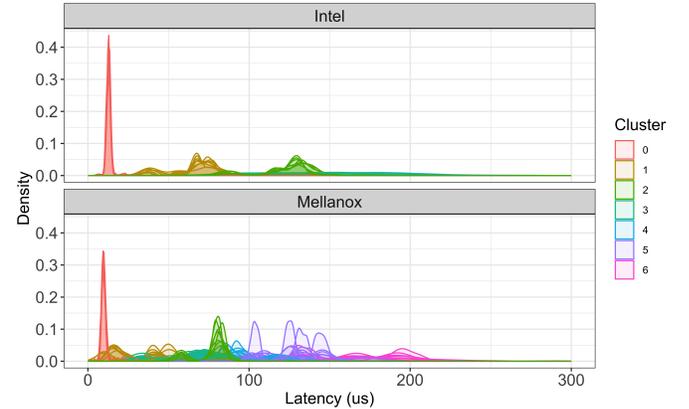


Fig. 3: Kernel density estimate plot of the top 50 configurations for both vendors after k-means clustering. Clusters are ordered from the lowest mean latency to highest.

According to our cluster analysis, C-States levels as well as the NIC coalescing did not have a significant impact on the results. However, enabling polling on the receiver always lead to worse results for both drivers.

Tables I and II summarize the obtained clusters, ordered from the best configuration (cluster 0) to the worst (cluster 5), along with the observed mean latency, standard deviation and relevant (i.e. always present) parameters.

2) *Impact of load on best and worse configuration*: Finally, we wanted to test if the best and worst configurations would be impacted by the packet rate, especially when close to the maximum line rate.

We then selected 2 configurations per cluster and re-run the tests with an inter-packet interval close to the line rate. It was computed from minimum latency previously obtained per vendor with equation (1), where B is the NIC bandwidth in bits/s, l_{min} the minimal latency and P is the size of a packet in bytes, which we set to 64.

$$\text{Packets per second} = \frac{B \times l_{min}}{8 \times P} \quad (1)$$

This gave us a result of around 200 pps for Intel and 1750 pps for Mellanox, which we rounded up to an inter-batch interval of 5 ms for Intel and 0.6 ms for Mellanox. This led to lower latencies across all clusters, down to a mean latency of

Cluster	Mean	Std	Relevant parameters
0	10.06 μ s	1.85 μ s	Busy polling enabled, polling and need wakeup disabled
1	30.51 μ s	5.16 μ s	Need wakeup disabled and either one of busy polling or polling on the forwarder enabled
2	64.57 μ s	10.09 μ s	Busy polling and need wakeup enabled
3	76.93 μ s	3.18 μ s	Polling, busy polling and need wakeup disabled
4	89.69 μ s	7.56 μ s	Polling on the forwarder enabled, busy polling disabled, and either one of need wakeup and polling on the receiver enabled
5	127.41 μ s	6.66 μ s	Busy polling disabled, either one of need wakeup and polling on the receiver enabled
6	167.25 μ s	17.274 μ s	Busy polling and polling on the receiver enabled

TABLE I: Clusters, statistics and relevant parameters for Mellanox at 1 packet per second. A parameter not mentioned means that it can be either enabled or disabled.

Cluster	Mean	Std	Relevant parameters
0	13.36 μ s	1.90 μ s	Busy polling enabled, need wakeup set to false
1	70.00 μ s	6.17 μ s	Busy polling and need wakeup enabled
2	124.57 μ s	8.29 μ s	Busy polling and zero-copy disabled
3	139.86 μ s	36.91 μ s	Need wakeup enabled, Polling enabled on the forwarder and busy polling disabled
4	153.45 μ s	38.09 μ s	Polling enabled on both forwarder and receiver, busy polling and need wakeup disabled

TABLE II: Clusters, statistics and relevant parameters for Intel at 1 packet per second. A parameter not mentioned means that it can be either enabled or disabled.

6.5 μ s on Mellanox and 9.7 μ s on Intel with the best cluster, and the different identified clusters stayed relevant.

We also tested running the application without an inter-batch interval. To do so we added a subsampling argument to our tracing function to not overload `perf` which could alter our application’s performance. This led to higher latencies for Mellanox for the previously best results as we started to have some buffering. On Intel however, this crashed the sender’s network driver at least for the NIC on which the program was running, preventing us from obtaining any result at this rate.

A. Conclusion

We ran multiple experiments to find what would be the best parameters for the AF_XDP sockets and the related application’s datapath to lower the end-to-end latency. We identified clusters of configurations giving similar latencies with a 1 second inter batch interval and found that those combinations stayed relevant at higher packet rates. We finally found minimal latencies of 6.5 μ s for Mellanox and 9.7 μ s for

Intel as well as the corresponding configurations. All these measurements include a measurement overhead estimated at ~ 5 μ s.

VII. RELATED WORK

The impact of the kernel protocol stack implementation on the packet processing delay has been analyzed in details [25], and it has been shown that the usual packet processing pipeline can be slow, especially for packets needing several rounds of processing, such as packets in a VxLAN tunnel [5]. To alleviate the problem, different strategies has been proposed, such as custom overlay networks aiming at bypassing the network stack [5], [26].

One widely accepted solution to the slow packet processing at the OS kernel is, with support of the Network Interface Cards, to take a packet at the earliest stage (basically, after reception of a packet by a NIC port) and send it immediately to the user space of a system. To do so, two different solutions exist today: the Data Plane Development Kit and the eXpress Data Path.

To assess the benefits of DPDK and XDP, the networking community has carried out extensive experimental studies to compare the performance of DPDK vs the Linux kernel [11], [9], XDP vs the Linux kernel [27], [21] and DPDK vs XDP [27], [21]. Those papers report that XDP can achieve higher throughput than DPDK on a multi-core setting as well as providing more flexibility to integrate into an application on Linux.

A deep understanding of the packet processing path is important to chase out microseconds delays able to impact current latency sensitive cloud applications, frequently deployed in the form of chains of microservices [3], [1]. Consequently, in this paper we go one step further and carry out an experimental study to understand the nature of latency added at every stage of the AF_XDP architecture by exploring multiple parameters related to the socket, the NIC driver and some system parameters. As far as we know, this kind of study has not been reported yet in the literature.

VIII. CONCLUSIONS

The eXpress Data Path and AF_XDP are a promising solution to the slow packet processing problem in Linux’s kernel space. In this paper, we presented a comprehensive study on the performance of AF_XDP from the latency point of view, as we believe, it is a key point to chase out microsecond delays and preserve the Quality of Service of latency sensitive applications. Employing `xdpsock`, the standard AF_XDP testing program, we conducted a set of experiments to analyze every optimization parameters of the AF_XDP socket, which allows to easily exchange data packet between a user space program and the driver NIC.

We have run a configuration for every combination of parameters available with the AF_XDP socket and the sample AF_XDP application. We then identified different clusters of configurations leading to similar results and summarized what parameters lead to those different clusters and what where the

latencies we obtained with them. We have also shown that we can achieve below 10 μ s latency using a basic AF_XDP application when there is no buffering involved.

The results shown here are part of an ongoing project to understand the internals of AF_XDP. As a next step, we plan to evaluate the impact of AF_XDP on the energy consumption of servers, before and after the multiple optimizations, and evaluate the impact of the parameter optimization on real applications deployed as a chain of microservices, especially for data streaming.

IX. ACKNOWLEDGEMENTS

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