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1 **Title: DOCK11 deficiency in patients with X-linked actinopathy and autoimmunity**

2

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152 **ABSTRACT**

153

154 Deducator of cytokinesis (DOCK) proteins play a central role in actin cytoskeleton regulation.
155 This is highlighted by the DOCK2 and DOCK8 deficiencies leading to actinopathies and
156 immune deficiencies. DOCK8 and DOCK11 activate CDC42, a RHO-GTPase involved in actin
157 cytoskeleton dynamics, among many cellular functions. The role of DOCK11 in human
158 immune disease has been long suspected but has never been described so far. We studied eight
159 male patients, from seven unrelated families, with hemizygous *DOCK11* missense variants
160 leading to reduced DOCK11 expression. The patients were presenting with early-onset
161 autoimmunity, including cytopenia, systemic lupus erythematosus, skin, and digestive
162 manifestations. Patients' platelets exhibited abnormal ultrastructural morphology and spreading
163 as well as impaired CDC42 activity. *In vitro* activated T cells and B lymphoblastoid cell lines
164 (B-LCL) of patients exhibited aberrant protrusions and abnormal migration speed in confined
165 channels concomitant with altered actin polymerization during migration. A DOCK11 knock-
166 down recapitulated these abnormal cellular phenotypes in monocytes-derived dendritic cells
167 (MDDC) and primary activated T cells from healthy controls. Lastly, in line with the patients'
168 autoimmune manifestations, we also observed abnormal regulatory T cells (Tregs) phenotype
169 with profoundly reduced FOXP3 and IKZF2 expression. Moreover, we found a reduced T cell
170 proliferation and an impaired STAT5B phosphorylation upon IL2 stimulation of the patients'
171 lymphocytes. In conclusion, DOCK11 deficiency is a new X-linked immune-related
172 actinopathy leading to impaired CDC42 activity and STAT5 activation, and associated with
173 abnormal actin cytoskeleton remodeling as well as Tregs phenotype culminating in immune
174 dysregulation and severe early-onset autoimmunity.

175

176 **Running title:** DOCK11 actinopathy associated with autoimmunity

177

178 **Key points:**

- 179 • DOCK11 deficiency is a new X-linked immune-related actinopathy.
180
181 • DOCK11 deficiency leads to impaired CDC42 activity, abnormal actin cytoskeleton
182 remodeling, and immune dysregulation.
183

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185

186 **Introduction**

187 Immune-related actinopathies are inborn errors of immunity (IEIs) due to gene defects
188 impacting actin cytoskeleton remodeling. More than 20 entities are described so far¹. Actin
189 cytoskeleton remodeling is a dynamic and tightly regulated process crucial for cell shape
190 modifications, cell adhesion, motility, and other main cellular functions². Rho-GTPases, RAS-
191 related GTP-binding proteins, such as RAC, CDC42, and RHO, are key players in the
192 intracellular actin reorganization^{3,4}. The phenotypic spectrum of *RAC2* mutations ranges from
193 granulocytes deficiency (loss-of-function mutations) to SCID with bone-marrow hypoplasia
194 (gain-of-function mutations)⁵. *De novo* mutations in *CDC42* were recently found in patients

195 presenting with Takenouchi-Kosaki syndrome, associating features such as
196 macrothrombocytopenia and developmental abnormalities, or with neo-natal cytopenia with
197 dyshematopoiesis, autoinflammation, rash and hemophagocytic lymphohistiocytosis (HLH),
198 termed NORCAH syndrome⁶. Rho-GTPases are activated by Guanine Exchange Factors
199 (GEFs), such as dedicator of cytokinesis (DOCK) family members like DOCK2 and DOCK8⁷.
200 Biallelic mutations in *DOCK2* result in early-onset invasive bacterial and severe viral infections
201 and T cell lymphopenia⁸. *DOCK8* mutations also result in severe bacterial, viral, and fungal
202 infections, as well as eczema and severe environmental allergies⁹. These descriptions highlight
203 the predominant role of DOCK protein members in immune-related actinopathies¹⁰. A role of
204 DOCK11 in human immune disease has been long suspected but never described so far. Here
205 we identified seven hemizygous mutations in *DOCK11*, a member of the DOCK-D subfamily,
206 in eight patients with early-onset autoimmunity, including autoimmune cytopenia and systemic
207 lupus erythematosus (SLE).

208 **Methods**

209 This section briefly describes the methods; further details are provided in the supplemental
210 Appendix. Before the study, all patients signed informed consents approved by the CERAPH-
211 Centre (IRB: #00011928). The biological samples are part of Inserm UMR1163/Imagine
212 collection declared to the French Ministère de la recherche (CODECOH n° DC-2020-3994).

213

214 **Protein structure analysis**

215 3D structure prediction of DOCK11 protein was performed by combining information from
216 literature-described structures and AlphaFold2 predictions¹¹. The detailed method is described
217 in the supplemental appendix.

218

219 **Genetic and functional analysis**

220 Whole exome (WES) and Sanger sequencing, mass cytometry, ELISA and G-LISA, confocal
221 microscopy, migration assays, and *DOCK11* knock-down methods are detailed in the
222 supplemental appendix.

223

224 **Statistical analysis**

225 Statistical analyses were performed using GraphPad Prism6 (GraphPad, La Jolla, CA, USA).
226 Data were analyzed by one-way ANOVA followed by a post-hoc test, or unpaired non-
227 parametric Mann-Whitney tests or Kruskal-Wallis' tests as indicated in the figure legends.
228 Differences were considered significant when $p < 0.05$.

229

230 **Data sharing statement**

231 For original data, please contact frederic.rieux-laucat@inserm.fr.

232

233 **Results**

234

235 **Clinical and biological features of the cohort**

236 Eight male patients (7 to 29 years of age) from seven families of various ethnic origins were
237 studied. Clinical and biological data are summarized in Table 1, Table S1, and Fig. S1. Detailed
238 clinical cases are in the Supplemental appendix.

239 All patients presented early-onset autoimmunity at a median age of 5 years (range, 1 to 14
240 years). Five patients (A, C, E, F, G) had autoimmune cytopenia (Table 1). Twin patients (D1
241 and D2) had systemic lupus erythematosus (SLE). Patient B had a severe Rheumatoid Factor
242 (RF) positive polyarticular juvenile arthritis and recurrent hemolytic anemia with positive
243 Coombs tests. In addition, patient F had an auto-inflammatory syndrome with
244 lymphoproliferation (Suppl. Appendix).

245 Five patients (A, C, D1, D2, F) presented with various cutaneous manifestations (detailed in
246 Table1, Fig.1A, and S1), and four patients (A, B, D1, F) with digestive manifestations (Table 1
247 and Fig. S2). None of the patients presented with recurrent or severe infections, allergies nor
248 bleeding disorders.

249 Among the maternal carriers, patient B's mother had metastatic melanoma and has been
250 treated with immunotherapy; patient C's mother died of colorectal cancer at age 52.

251

252 **Hemizygous deleterious mutations in *DOCK11***

253 Seven different hemizygous missense variants of *DOCK11* were identified by Whole-exome
254 sequencing (WES) (Fig. 1B) in seven unrelated and non-consanguineous families. All six
255 mothers tested carried the gene variants (Fig. S3A). We assessed the expression of the mutant
256 and wild-type alleles in CD4⁺, and CD8⁺ T cells, B cells and monocytes in two mothers. The
257 expression of both *DOCK11* alleles was similar, indicating a global random X-inactivation in
258 T and B cells as well as in monocytes (Fig S3B). These mutations were predicted to be
259 deleterious *in silico*, and five are private variants (Fig. S3A). The mutated amino-acids are
260 located in distinct domains of the *DOCK11* protein (Fig 1B). Prediction of *DOCK11* protein
261 3D structure allowed to apprehend mutations' potential deleterious impact (Fig. 1C-D and Fig.
262 S4) and suggests that the variants may cause abnormal protein folding or directly impact
263 *DOCK11* interactions with its partners. Finally, *DOCK11* expression was variably reduced in
264 lysates from patients' activated T cells (Fig. 1E).

265

266 ***DOCK11* mutations impair platelets functions and morphology**

267 Platelets play a central role in ITP and participate in the immune dysregulation in SLE
268 pathogenesis¹². As four patients presented with immune thrombocytopenia (ITP) and two
269 patients with SLE, we investigated the impact of *DOCK11* mutations on platelet functions.

270 A significant decrease in the expression of *DOCK11* was observed in the patients' platelets
271 compared to healthy donors (Fig. 2A).

272 Patients' platelet counts were normal or subnormal, except for patients A and C, who presented
273 mild to severe thrombocytopenia (Fig. 2B) but without glycosylation profile defect (Fig. S5A).
274 Platelet size was also normal for the patients, except for patient A who exhibited macroplatelets
275 (Fig. 2C and Fig.S5B). Moreover, electron microscopy ultrastructure analysis revealed
276 abnormal morphology with elongated platelets in patients D1, D2, and G, contrasting with the
277 discoid shape of resting platelets in healthy donors (HDs) (Fig. 2D-F).

278 Five, out of six patients tested, exhibited a defect of α IIB β 3 integrin activation at low
279 concentrations of thrombin and convulxin (Fig. S5C), indicating a thrombopathy. Of note, the

280 expression of the main platelet receptors, glycoprotein (GP)Ib α , GPIIb/IIIa and α IIb β 3 integrin was
281 normal in patients, except for patient A whose α IIb β 3 level was reduced (Fig. S5D). Analysis
282 of platelet morphologies during platelet adhesion on various matrices (Fig. 2G and 2H and S5E)
283 identified discoid platelets (resting stage), platelets with filopodia (intermediate morphological
284 stage), and platelets with lamellipodia or full spreading (final morphological step)¹³. All patients
285 exhibited an abnormal frequency of platelets at the final stage, except for patient D2, who
286 exhibited more resting platelets. These results indicate that the patients' platelets exhibit
287 abnormal morphological modifications during platelet adhesion and spreading. Of note, platelet
288 functional assays were also performed for two mothers. A normal integrin α IIb β 3 activation
289 was observed after stimulation of platelets by thrombin or convulxin and normal platelet
290 morphologies distributions after adhesion (Figure S6A-B). Since DOCK11 is a GEF activating
291 CDC42¹⁴, CDC42 activation was evaluated by G-LISA in patients' platelets upon thrombin
292 activation (Fig. 2I). In all tested patients (A, D1, D2, F, and G), platelets exhibited impaired
293 CDC42 activity, providing a rationale for the lower frequency of filopodia stage upon adhesion
294 and suggesting that *DOCK11* mutations are loss-of-function. Predominant lamellipodia or full
295 spreading of patient platelet suggested an impact on others Rho-GTPases, such as RhoA¹⁵. In
296 two patients tested (A and F), the RhoA activity was increased (Fig. S5F). This was mimicked
297 by pharmacological treatment of healthy donors' platelets with Cdc42 inhibitor. In this
298 condition, the RhoA activity was also increased (Fig. S5F). Taken together, these results
299 indicate an imbalance in the CDC42/RHOA activity in the patients' cells.

300

301 ***DOCK11* mutated cells present abnormal morphological features**

302

303 TCR-activated T cells from patients or HDs were spread on coverslips previously coated with
304 poly-L-lysine (PLL), used as internal control, or with anti-CD28 and anti-CD3 antibodies at
305 different concentrations (Fig. 3A). On the antibodies-coated coverslips, activated T cells tended
306 to increase their spreading area along with the concentration of anti-CD3 antibody. At a mild
307 concentration (0.1 μ g/mL), patients' T cells showed reduced circularity and increased area,
308 probably as a consequence of higher numbers of protrusions formed by *DOCK11* mutated T
309 cells (Fig. S7A). At a higher anti-CD3 concentration (1 μ g/mL), mutated *DOCK11* T cells had
310 a larger spreading area than the healthy donors (Fig. 3A). Notably, pharmacological activation
311 of CDC42 restored cell spreading area to control levels (Fig. 3A). These results were confirmed
312 in activated T cells from HDs after *DOCK11* sh-RNA inactivation (Fig. S7B).

313 Then, we analyzed morphologies of B-lymphoblastoid cell lines (B-LCL) after spreading on
314 anti-CD44 coated coverslips (Fig. 3B). In these conditions, some B-LCL cells from patients
315 exhibited an abnormal morphology, with numerous fine and long protrusions (Fig. 3B).

316 Finally, *DOCK11* sh-RNA inactivation was also performed in monocyte-derived dendritic cells
317 (MDDC) from healthy donors. *DOCK11* expression was reduced by almost 80% at the mRNA
318 and protein levels (Fig. S7B). After activation and spreading, *DOCK11* inactivated MDDC had
319 larger and more prominent protrusions (Fig. S8A-B) and produced more IL-1 β cytokine (Fig.
320 S8C). Moreover, ruffles were aberrant and not comparable to the cells transfected with the
321 scramble sh-RNA (Fig. 3C).

322 Finally, primary skin-derived fibroblasts from patient A revealed abnormal protrusions
323 compared to healthy donor fibroblasts (Fig. S9).

324 Overall, our results suggest that DOCK11 deficiency impairs the morphology of several cell
325 lineages.

326

327

328 ***DOCK11* mutations impact leukocytes migration and polarization of actin cytoskeleton**
329 **under confinement.**

330 To confirm that *DOCK11* variants impair actin cytoskeleton dynamics in lymphocytes, we next
331 compared the spontaneous migratory capacity of activated T and B-LCL cells from HDs and
332 DOCK11 patients (A to G) under confinement (Fig. 4A).

333 As previously described¹⁶, human activated HD T cells presented constant trajectories with few
334 directional changes (Fig. 4B). In contrast, all patients' derived T cells were faster than their HD
335 counterpart (Fig. 4C). Of note, patient B's mother T cells had a speed migration similar to
336 healthy donors (Figure S6C). Similarly, patient-derived B-LCL had higher migration speeds
337 (Fig. S10A). To determine whether the increased speed of DOCK11-deficient cells correlated
338 with alterations in actin polymerization, we assessed the front-rear distribution of actin in T
339 cells fixed during migration in microchannels. In agreement with previous work¹⁶, HD cells
340 presented a bimodal actin-distribution, at the front and the rear of the cells (Fig. 4D). In contrast,
341 DOCK11-deficient cells accumulated more actin at the rear of the cell (i.e., reduced front/rear
342 actin ratio) in tested patients, consistent with the increased speed of these cells (Fig. 4C-D).

343 Mechanistically, the motility of activated T cells in microchannels depends on the balance in
344 actin polymerization at the front (reflecting CDC42 activity) and rear (reflecting RHOA
345 activity) of the cell¹⁷. These results were also observed in activated T cells from HDs after
346 *DOCK11* sh-RNA inactivation (Fig. S10C).

347 Considering that DOCK11 has been associated with CDC42 activation^{7,14}, we hypothesized
348 that the DOCK11 defects could reduce the activity of actin nucleators at the leading edge, which
349 would displace the balance of actin polymerization and increase polymerization at the rear of
350 the cells (thus increasing their speed). To test this hypothesis, HD T cells were treated with a
351 pharmacological RAC1/CDC42 inhibitor. This treatment increased the speed of control T cells
352 and impaired the front-rear ratio of actin polarization, recapitulating the alterations displayed
353 by the DOCK11-deficient cells (Fig. 4E, figure S10D). Altogether our results suggest that
354 DOCK11 is a critical regulator of the actin cytoskeleton dynamics and leukocytes migration
355 under confinement.

356

357 ***DOCK11* deficiency results in abnormal Tregs phenotype and STAT5B activation in**
358 **lymphocytes.**

359 We next analyzed the impact of DOCK11 deficiency on the immune phenotype and some
360 immune functions. Flow cytometry immunophenotyping revealed variable alterations of
361 circulating immunoglobulins and B cell subsets, especially increased frequencies of CD21_{low}
362 and transitional B cells (Table 1 and Sup. Table1). Elevated concentrations of APRIL and BAFF
363 were found in plasma patients (Fig. S11). Elevated plasma concentrations of inflammatory
364 cytokines were also observed in most patients (Fig. S11). Mass cytometry immunophenotyping
365 on whole blood did not reveal major alterations of cell subsets except for reduced proportions
366 of early and late NK cells, mucosal-associated invariant T (MAIT) cells, and memory B cells

367 (Fig.5). Of note, CD57 expression was significantly increased in CD4+ T cells and $\gamma\delta$ T cells
368 (Fig. S12).

369 Autoimmune manifestations were prominent in all patients. In some cases, severe autoimmune
370 enteropathy, reminiscent of the clinical presentation of FOXP3 deficient patients, suggested
371 possible Tregs dysfunctions¹⁸. Tregs lymphocytes, were first analyzed using the
372 CD4⁺CD25⁺CD127_{low} surface markers. Despite a slight decrease in patient F, the proportion of
373 this subset is comparable to the controls in the two other patients tested (Fig. 6A). Conversely,
374 we observed a substantial reduction in the expression of FOXP3, and to a lesser extent, of
375 HELIOS, by analyzing intracellular staining (Fig.6B). Therefore, the proportion of activated
376 Tregs defined as CD4⁺ FOXP3⁺ HELIOS⁺, was lower in these patients compared to controls
377 (Fig. 6B). These results suggested that DOCK11 could be involved in the regulation of FOXP3
378 in Tregs. Among multiple factors regulating the FOXP3 transcription, STAT5B activation has
379 been described as a main regulator of FOXP3¹⁹. Consistent with the reduced FOXP3 expression
380 in Tregs, we found a reduced activation of STAT5B in the patients' T cells compared to healthy
381 donors upon IL2 stimulation (Fig. 5C-D). In contrast we observed a normal or slightly reduced
382 IL6-dependent STAT3 activation in the patients' cells, suggesting a major impact of DOCK11
383 deficiency on the IL2-STAT5 pathway only (Fig S13C). A decreased IL2-induced STAT5B
384 activation is also observed in B lymphocytes and MAIT cells (Fig. 5C-D). More importantly,
385 we confirmed a decreased IL2-induced STAT5B activation in T cells from patients (Fig. S13A)
386 and from healthy donors, in which we induced a decrease in DOCK11 expression by knock-
387 down (Fig. S13B). Consistently, we also observed a variably reduced proliferation upon CD3
388 stimulation in activated T cells from five patients compared to HDs (Fig. S14). Overall, these
389 results suggest that the DOCK11 deficiency is associated with an impairment of the IL2-
390 STATB pathway in different patients' lymphocyte subsets as well as in DOCK11 knocked-
391 down T cells from healthy donors.

392

393 **Discussion:**

394

395 We identified seven private and rare hemizygous missense mutations of *DOCK11* leading to
396 early onset autoimmune disease. Human DOCK11 deficiency has never been described so far.
397 DOCK11 is a GEF protein of the DOCK-D family. Mostly expressed in hematopoietic cells,
398 DOCK11 is suspected to impact actin cytoskeleton remodeling through CDC42 activation, an
399 important Rho-GTPase^{8,16}.

400 All *DOCK11* mutations are predicted to be deleterious, and 3D modeling suggests that they
401 could impact the stability of the protein conformation or its interactions with putative partners.
402 Accordingly, reduced DOCK11 expression was observed in patients' platelets and
403 lymphocytes. The different variants, leading to a variable protein expression, may account for
404 the variable clinical phenotypes observed in patients. Nevertheless, all tested patients showed
405 reduced CDC42 activity in platelets, highlighting the abnormal function of the *DOCK11*
406 mutants. Decreased CDC42 activity in platelets was associated with impaired platelet
407 morphology, spreading, and activation. The spreading of platelets implicates Rho-GTPases
408 such as CDC42, RAC, and RHO via integrins activation^{13,15}. Some studies suggested that
409 CDC42 is involved in platelet filopodia formation and spreading on fibrinogen²⁰. RhoA activity,
410 implicated in lamellipodia and stress fiber formation of platelets, was increased in the patients

411 tested and increased after inhibition of Cdc42 in healthy donors' platelets. Stimulated platelets
412 from patients had a higher proportion of spread platelets, with deficient filopodia formation,
413 reflecting a potentially disrupted balance between the Rho-GTPases Cdc42 and RhoA.

414 Of note, reduced integrin α IIb β 3 activation was observed in patients' platelets upon stimulation
415 with an agonist at low concentration but not at high concentration. These results are consistent
416 with a partial impairment of CDC42 activation in patients' platelets²¹. Interestingly, circulating
417 anti- α IIb β 3 antibodies were detected in patient A, who developed severe ITP with highly
418 fluctuating platelet counts. The absence of significant bleeding disorder in DOCK11 patients,
419 with or without ITP, suggests that the hemostatic role of platelets is not impacted. Platelet
420 extensive exploration highlighted impaired actin cytoskeleton, abnormal activation, and
421 disrupted Rho-Gtpase balance in all patients tested, regardless of the presence or absence of
422 thrombocytopenia. Functional non-hemostatic thrombopathy may impair the
423 immunoregulation role of platelets as described in other autoimmune diseases^{12,22,23}.

424 T and B cell development and function can be disrupted in immune-related actinopathy, as
425 previously described in other DOCK deficiencies^{24,26}. Patients' T cells and *DOCK11* knock-
426 down activated T cells from healthy controls exhibited aberrant cytoskeleton rearrangement
427 with larger spreading areas and more protrusions, which could impair migration, sensing, or
428 interactions with other immune cells^{27,28}. Indeed, migration speed under confinement was
429 increased concomitantly with an abnormal actin polymerization at the rear during migration of
430 the patients' activated T cells and DOCK11 knock-down model, a finding consistent with an
431 impaired balance in the activity of Rho-GTPases²⁹. Moreover, the pharmacological inhibition
432 of RAC/CDC42 in healthy donor-derived T cells reproduced the aberrant spreading, faster
433 migration, and impaired actin polarization, as observed in patients-derived T cells. Conversely,
434 incubating the patients' T cells with a CDC42 activator restored normal spreading. Taken
435 together, these results support the conclusion that DOCK11 deficiency impairs the balance
436 between CDC42 and RhoA activity in activated T cells, as observed in platelets. Interestingly,
437 a faster migration was also observed in patients B-LCL, indicating that DOCK11 would be a
438 general regulator of lymphocyte migration. Lastly, we observed aberrant protrusions and ruffles
439 upon reduced protein expression by the *DOCK11* knock-down in MDDC from healthy donors.
440 This is reminiscent of the role of mouse Dock11 (Zizimin2) in the actin remodeling of bone
441 marrow-derived dendritic cells³⁰. The abnormal cell shape of DOCK11 inactivated MDDC, and
442 their increased production of IL-1 β suggest that the patients' dendritic could contribute to the
443 immune dysregulation observed in patients.

444 The patients' immunological phenotyping showed an abnormal distribution of the B cell subsets
445 with increased circulating BAFF and APRIL in the patients' plasma. Impaired balance of B cell
446 populations in favor of transitional and CD21_{low} B cells with elevated cytokine levels of the
447 BAFF complex may promote autoimmunity in patients, as already described in other immune
448 disorders³¹. The Dock11 deficient mouse model (known as the Zizimin 2 knock-out, the mouse
449 counterpart of DOCK11) showed disturbed B cell subsets distribution³². But surprisingly, these
450 mice did not develop autoimmune manifestations. However, many examples of genetic defects
451 lead to very different phenotypes in humans and mice. For example, *Lrba* knock-out in mice
452 has no impact on regulating the immune response, whereas LRBA-deficient patients present
453 with Primary immune deficiency and a broad spectrum of autoimmune manifestations^{33,34}.

454 T cell subsets' distribution was not overtly disturbed except for a reduced proportion of MAIT
455 cells. NK cell subsets were also low in patients but without obvious clinical consequences such
456 as viral infections or macrophage activation syndrome. Immunosenescence of T cells was
457 increased in patients, consistent with the immune-aging role of DOCK11 suggested by the
458 mouse models^{30,32}. Increased pro-inflammatory Th1 and Th17 cytokines in the patients'
459 plasma, without any documented infection, suggest a possible immune dysregulation that could
460 be the cause or the consequence of the patients' autoimmune and auto-inflammatory
461 phenotypes.

462 All patients presented with various clinical phenotypes, including severe autoimmune
463 cytopenia, SLE, auto-inflammatory syndrome, or lymphoproliferation. Most patients had
464 inflammatory bowel disease (IBD), and some had various immune-related skin manifestations.
465 Importantly, none of the patients had severe or recurrent infections, and vaccinal responses were
466 normal in the two tested patients indicating an overall normal humoral response.

467 The severe early-onset autoimmune enteropathy observed in some patients was reminiscent of
468 the prominent symptoms observed in FOXP3 deficient patients, suggesting a potential Tregs
469 defect in the DOCK11 patients¹⁸. Whereas we observed a mildly reduced proportion of Tregs
470 in one patient, we found the expected proportion of this subset in the other patients. However
471 more strikingly, we found a markedly decreased expression of FOXP3, the master Tregs
472 transcription factor, and HELIOS to a lesser extent. These two transcription factors are essential
473 to sustain the Tregs suppressive functions^{35,36}. Moreover, Tregs are highly dependent of IL2
474 and its downstream signaling pathway, as observed in IL2, IL2R, and STAT5B deficiencies^{37,38},
475 all characterized by impaired Tregs function and overt autoimmune manifestations.
476 Interestingly, we observed a reduced STAT5B activation in the patients' T and B cells upon
477 IL2 stimulation. This was corroborated by a slight but consistent reduction in T cell
478 proliferation. More importantly, the reduced IL2-induced STAT5B activation is observed in
479 DOCK11 knock-down T cells from healthy controls. All these results point to a global IL2-
480 STAT5 signaling impairment in the context of DOCK11 deficiency and provide a molecular
481 basis for the underlying mechanisms leading to autoimmunity in the patients.

482 One challenging observation is that DOCK11 patients develop overt autoimmune
483 manifestations but not viral, bacterial, or fungal infections, whereas DOCK8 patients
484 predominantly suffer from recurrent sinopulmonary and mucocutaneous infections, severe
485 allergy with elevated serum levels of IgE but mild or any autoimmune symptoms³⁹.
486 Nevertheless, both defects are leading to a reduced CDC42 activity⁴⁰, which therefore seems to
487 be the main cause of the loss of cell shape integrity and abnormal motility, and indicates that
488 both GEFs are non-redundant and possibly working in concert in this process. This also
489 indicates that the impaired CDC42 activation is not fully involved in the pathophysiological
490 mechanisms of each defect. The list of DOCK partners is probably far from being wholly
491 defined. Since DOCK8 and DOCK11 belong to different DOCK subfamilies, one can anticipate
492 that they may share common but also different partners. In addition, their respective expression
493 may differ according to immune cell subsets. In humans, it was reported that the DOCK8
494 deficiency is associated with a reduced STAT3 activation in various lymphocyte subsets,
495 consistent with the observation that DOCK8 deficient patients share common clinical and
496 biological features with STAT3 deficient ones such as hyper IgE, food allergy, and
497 mucocutaneous infections related to impaired Th17 function⁴¹. In this study, we uncovered an

498 IL2-STAT5 (but not an IL6-STAT3) signalization defect and an abnormal Tregs phenotype,
499 two findings consistent with the development of autoimmunity. Surprisingly a similar Tregs
500 dysfunction has also been described in DOCK8 deficient patients, despite the lack of prominent
501 autoimmunity, further blurring the understanding of the mechanisms involved⁴². Very
502 interestingly, whereas Dock8 deficient mice have impaired Tregs function but do not develop
503 autoimmunity, Treg-specific Dock8 deficient mice have lymphoproliferation, autoantibodies
504 production, and enteropathy⁴³, thus suggesting that DOCK8 deficiency in conventional T cells,
505 or maybe in other immune subsets, is protecting from the onset of autoimmunity in patients.
506 On the other hand, one might speculate that the impairment of some immune subsets, such as
507 the MAIT cells, for instance, or that the platelets abnormalities observed in the DOCK11
508 deficient patients could contribute to the development of autoimmunity. Lastly, the DOCK11
509 expression pattern is not restricted to the hematopoietic compartment but also allows its
510 expression in various skin and intestinal cells⁴⁴. Accordingly, we observed aberrant protrusions
511 of primary skin fibroblasts derived from patient A, suggesting an impact on the actin
512 cytoskeleton remodeling in non-hematopoietic cells. Therefore, it is not excluded that the
513 DOCK11 deficiency in non-hematopoietic cells contributes to tissue inflammation, possibly
514 driving the autoimmune response to these tissues.

515 Another sharp contrast with the DOCK8 deficiency is the lack of overt viral infection despite
516 the impact of the DOCK11 deficiency on T cell migration and possibly other immune cells,
517 suggesting that DOCK11 deficient T cells could convey a normal tissue surveillance, possibly
518 in line with a normal or only slightly reduced STAT3 activation. In addition to differential
519 expression in immune cells, this might also relate to DOCK11-specific functions. Recently, Ide
520 et al. identified DOCK11 as a potential therapeutic target to prevent persistent HBV
521 infection⁴⁵. In this work, the authors suggested that DOCK11 plays a role in the retrograde
522 trafficking in the HBV infection and contributes to maintaining cccDNA. If this mechanism
523 holds for other Herpes viruses, the DOCK11 deficiency might passively protect from those viral
524 infections.

525

526 In conclusion, this study describes a new X-linked immune-related actinopathy in eight patients
527 with severe early-onset autoimmunity, including SLE, caused by *DOCK11* hemizygous
528 mutations. Platelets, T, and B cells from DOCK11 deficient patients showed aberrant
529 cytoskeleton remodeling with impaired CDC42 activity. The regulation of Foxp3 in Tregs is
530 impaired, involving the IL2-STAT5B pathway. Our results underscore the crucial DOCK11
531 function in hematopoietic cells and provide a novel example of the implication of actin
532 cytoskeleton in human immune disorders. Further analyses are warranted to better understand
533 the complex interactions between DOCK11 with other DOCK molecules, notably DOCK8, as
534 well as to better characterize the molecular link with the IL2-STAT5B pathway. This can
535 provide key information to help clinicians to adopt the best therapeutic options. All patients
536 have been treated with different immunosuppressive drugs that variably controlled the
537 autoimmune manifestations. Considering that the DOCK11 deficiency is impacting the cell
538 shape, the mechanical migration or the adhesion of circulating cells, T regulators and possible
539 tissue-resident immune cells, allogenic hematopoietic stem cell (HSCs) transplantation could
540 be contemplated as a therapeutic option.

541 Limits of the study: all patients present with autoimmune features that may reflect biased
542 recruitment in our laboratory. Furthermore, the identified mutations seem to confer a partial
543 DOCK11 defect. Therefore, we do not exclude that *DOCK11* mutations could be associated
544 with a broader or more severe clinical phenotype, including autoinflammatory diseases^{40,41,42}
545 or combined immune deficiencies, notably in patients with a complete DOCK11 deficiency.
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547
548

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594 **Disclosure of Conflicts of Interest**

595 L.D. is a former employee of Sanofi, France and may hold shares and/or stock options in the
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597

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705

Table 1. Clinical and biological data of the cohort															
Patients	Patient A		Patient B		Patient C		Patient D1	Patient D2		Patient E		Patient F		Patient G	
AA change	p.L1298R		p.H1336R		p.T275S		p.D414Y	p.D414Y		p.L1706S		p.R1366Q		p.R1885C	
Age (year)	14		7		29		20	20		20		6.5		14	
Clinical manifestations															
Age at onset (year)	1.4		5		5		14	9		3		5		5	
Autoimmune manifestations	Severe ITP		RF Polyarticular JIA		Evans		SLE	SLE		Evans		AI neutropenia		Evans	
Skin manifestation	Sweet syndrome, panniculitis		-		Folliculitis		Oral discoid LE	Bullous cutaneous SLE		-		Ecthyma gangrenosum		-	
Digestive manifestation	Severe IBD (ileo-colitis)		Colon Cryptitis		-		Ileitis	-		-		Anal and oral ulcers Intermittent diarrhea		-	
Others	Non regenerative anemia		Hemolytic anemia		-		PID, Growth retardation	Growth retardation		-		Auto-inflammatory syndrome, Lymphoproliferation, underweight		-	
Therapies	Corticosteroids, IVIG, rituximab, azathioprine, everolimus, infliximab		Corticosteroids, methotrexate, adalimumab		Corticosteroids		Corticosteroid, MMF, HCQ, belimumab	Steroids, HCQ		IVIG, corticosteroids		Antibiotics		Corticosteroids, Rituximab, sirolimus	
Immunophenotype	Defect memory and transitional B cells		Normal B cells, T and NK lymphopenia, NC		Excess of CD21 _{low} B cells, B cell lymphopenia, diminished MZB and switched memory B cells		Excess CD21 _{low} and transitional B cells, diminished MZB	Excess CD21 _{low} and transitional B cells, NK lymphopenia		Normal, NC		Global lymphopenia, excess of CD21 _{low} and transitional B cells		Diminished switch memory B cells and excess of transitional B cells	
Immunoglobulin dosage	Value	Reference values	Value	Reference values	Value	Reference values	Value	Value	Reference values	Value	Reference values	Value	Reference values	Value	Reference values
gr/L	IgG 14 IgA 2.15 IgM 0.25	6.6-15.3 0.5-2.2 0.53-1.62	IgG N IgA N IgM N	-	IgG 1.24 IgA <0.05 IgM 0.46	6.6-15.3 0.5-2.2 0.53-1.62	γ 26.3 g/L	γ 17 gr/L	6.9-15	IgG 10.73 IgA 1.24 IgM 0.42	6.44-11.96 0.63-2.02 0.416-1.31	IgG 27 IgA 3 IgM 7	5.5-11.15 0.4-1.6 0.5-1.5	IgG 3.69 IgA 0.16 IgM 0.25	6.6-15.3 0.5-2.2 0.53-1.62
Autoantibodies	ANCA, anti-αIIbβ3, ANA, anti-TPO, anti-thyroglobulin		RF, +IgG Coombs		+ IgG Coombs		ANA, RF, anti-RNP, anti-Sm	ANA, RF, anti-RNP, anti-Sm		+IgG Coombs		weak + anti-CD16		+ IgG and complement Coombs	

706 **Table 1 – Clinical and biological phenotypes of *DOCK11* mutated patients**
707 Listed are reference ranges or laboratory values for the patients’ age groups. Therapy refers to
708 all therapies that patients received.
709 ITP: immune thrombocytopenia, IBD: inflammatory bowel disease, JIA: Juvenile Idiopathic
710 Arthritis, SLE: Systemic Lupus erythematosus, AI neutropenia: Autoimmune neutropenia, LE:
711 Lupus erythematosus, PID: pulmonary interstitial disease. IVIG: intravenous
712 immunoglobulins, MMF: mycophenolate mofetil, HCQ: hydroxychloroquine. NC: denotes for
713 Not complete B phenotype, MZB: marginal zone B cells ,N: normal values, γ : gamma-globulins
714 detected in serum protein electrophoresis, ANCA: anti-neutrophil cytoplasmic antibody , ANA:
715 antinuclear antibodies, Anti- α I**B** β 3: antibody against Integrin alpha-I**B**/beta-3, TPO: Thyroid
716 Peroxidase antibody, RF: Rheumatoid Factor, Anti-RNP: Anti-Ribonucleoprotein antibody,
717 Anti-Sm: Anti-smooth muscle antibody , + IgG Coombs: positive direct Coombs with detection
718 of IgG, + IgG and complement Coombs: positive direct Coombs with detection of IgG and C3.
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720

721 **Figure 1 - *DOCK11* hemizygous mutations: structural consequences and protein**
722 **expression:** Panel (A) shows dermatological clinical findings of *DOCK11* deficiency patients
723 (from left to right): lobular panniculitis on feet in Patient A, bullous cutaneous SLE in Patient
724 D2, non-infectious ecthyma gangrenosum on external lateral border of the right foot in Patient
725 F. (B) Schematic overview of *DOCK11* protein with the positions of patients' *DOCK11*
726 mutations. Molecular partners described to interact with *DOCK11* are mentioned below the
727 domain they bind. (C) 3D structure of human *DOCK11*, as predicted by AlphaFold2 (ribbon
728 representation, except for DRH2 which is shown as a blue surface). Confidence is high
729 (pLDDT>90) for the individual domain 3D structures, whereas the 3D structure of the N- and
730 C-terminal sequences, linkers between domains and large loops (often disordered) remain
731 elusive (low pLDDT values - asterisks). Two such very large loops are depicted with broken
732 lines, with the amino acid intervals. Positions of the domains relative to each other also remain
733 uncertain, except form the C2-DHR1 interface (very low PEA (predicted error alignment)
734 values). Mutated amino acids are depicted in magenta (Patients A, B, C, D and F). D414 is
735 predicted exposed at the surface of the C2 domain and likely to play a significant role in the
736 C2-DRH1 interface (Fig. S6A-C). L1298 is predicted with confidence as being buried with the
737 hydrophobic core of the ARM repeats, conserved in *DOCK-C* proteins (Fig. S6D). The region
738 including H1336 and R1366, more variable and predicted with a lower confidence, is located
739 at the concave surface of the ARM repeats, where interactions take place in complexes of other
740 *DOCK* proteins with partners. (D) 3D structure of DHR-2 domain of human *DOCK11*, as
741 predicted by AlphaFold2. The DHR-2 (in light blue) is represented interacting with CDC42 (in
742 gray), as deduced from the superimposition of *DOCK11* with the 3D structure of *DOCK9* in
743 complex with CDC42. Mutated amino acids are depicted in magenta and correspond to patients
744 E and G mutations. L1706 is in the hydrophobic core of DHR2 lobe A, important for
745 dimerization. R1885 is part of DHR2 lobe B, which consists of two sheets predicted in direct
746 contact with the switch 1 domain of CDC42. (E) *DOCK11* expression was evaluated in
747 activated T cells of healthy donors (HDs) and patients (A, B, C, D1, F and G) by Western
748 blotting. Dotted lines indicate that the samples were derived from the same gel but were non-
749 contiguous. The graph shows the relative expression of *DOCK11* versus HDs (set to 1) \pm SEM
750 after normalization against Ku-70 expression).

751
752

753 **Figure 2 - Study of platelets from *DOCK11* mutated patients:** (A) *DOCK11* expression was
754 evaluated in platelets of healthy donors (HDs) and patients (A, C, D1, D2, F and G) by Western
755 blotting. Dotted lines indicate that the samples were derived from the same gel but were non-
756 contiguous. The graph shows the mean of the relative expression of *DOCK11* versus HD (set
757 to 1) \pm SEM after normalization against β -actin expression from several independent
758 experiments (HDs, n = 69; A, n = 22; C, n = 14; G, n = 16; D1, D2, n = 8; F, n = 15). Statistical
759 difference was evaluated by one-way ANOVA with Dunnett's correction test for multiple
760 comparisons (* p < 0.05; *** p < 0.001). (B) Platelet count for each patient (A, C, D1, D2, F, G)
761 was determined by automated blood cell counter. Shaded area represents the normal range
762 between 150×10^9 and 400×10^9 platelets/L. Patients A and C were investigated for their
763 platelet count several times (2 and 3 times, respectively). (C) Platelet size was evaluated by
764 flow cytometry. Each dot represents the mean size measured by the mean forward scatter height
765 (FSC-H; a.u., arbitrary units) of washed platelets from healthy donors (HDs) and patients. The
766 box-and-whisker plot shows the normal range, determined from HDs. Whiskers represent the
767 5-95 percentiles, the box correspond to the interquartile range, the center line is the median and
768 the cross indicates the mean of 65 platelets. (D) Platelet ultrastructure which was analyzed once
769 for each patient using transmission electron microscopy (TEM). Scale bar represents 1 μ m. (E,
770 F) TEM analysis of platelet ultrastructure in each patient. Platelet surface (E) and platelet shape
771 (F) which is defined as the ratio between the largest diameter and the smallest diameter are
772 derived from the TEM images. Graphs represent the mean \pm SEM of at least 1100 HD's platelets
773 and 100 patient's platelets. Statistical significance was determined in a one-way ANOVA with
774 the Dunnett's post-test for multiple comparisons. (G) Graph represents the mean percentage \pm
775 SEM of discoid platelets (dark grey), platelet with filopodia (light grey) and platelets with
776 lamellipodia or full spreading (white) of at least 150 analyzed platelets from several
777 independent experiments (HDs, n=17; patient A, n=4; patient C, n=3). Statistical significance
778 was determined only for patients A and C compared to HDs in a one-way ANOVA with the
779 Dunnett's post-test for multiple comparisons (* p < 0.05; ** p < 0.01). Patients D1, D2, F and
780 G were not statistically analyzed since only one experiment was performed. Scale bar represents
781 10 μ m. (H) Spreading of HD and patients' platelets onto fibrinogen matrix for 30 minutes was
782 analyzed by epifluorescence microscopy in the presence of apyrase (5 U/mL) and indomethacin
783 (4.5 μ M). Platelet morphology was visualized using F-actin detection by fluorescently labeled-
784 phalloidin. (I) CDC42 activity evaluated in HDs' platelets and patients' platelets by G-LISA
785 after stimulation by 0.5 U/mL thrombin for 1 minute in unstirred conditions. Graph represents
786 the relative CDC42 activity of each patient compared to that of HD (set to 100%).

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789 **Figure 3 - *DOCK11* mutations lead to abnormal cell morphologies:** Spreading assays with
790 different lymphocytes subsets. (A) T cell morphologies after spreading. Blue color corresponds
791 to DAPI staining (nucleus). Red color corresponds to actin staining. Each patient is represented
792 by a different symbol: Patient A (*), patient B (●), patient C (■), patient D1 (×), patient D2 (★),
793 patient F (∇), patient G (◐). Coverslips were coated with either poly-L-lysine (i); poly-L-lysine,
794 anti-CD28 and anti-CD3 (0,1µg/mL) antibodies (ii); or poly-L-lysine, anti-CD28 and anti-CD3
795 (1µg/mL) antibodies (iii). Scale is set at 10µm. Cell area was measured with or without CN02
796 treatment (CDC42/RAC1 activator). Non-parametric Kruskal-Wallis' test was performed (**p*
797 < 0.05). (B) B-lymphoblastic cell lines morphologies after spreading. Several morphologies
798 were observed: cells with no protrusion, cells spread along one axis, cells with fine protrusions
799 (filopodia), cells with lamellipodia and cells presenting both lamellipodia and filopodia. Cells
800 patients presented abnormal protrusions. Repartition of each morphology types is shown. Scale
801 is set at 10µm. (C) Morphologies of mature monocyte-derived dendritic cells (MDDC) from
802 healthy donor, either using a scramble sh-RNA or a *DOCK11*-sh-RNA, after stimulation with
803 LPS 100ng/ml (Lipopolysaccharide) for 24 hours, were observed using scanning electron
804 microscopy (SEM). Scale is set at 5 µm.
805
806

807 **Figure 4 - DOCK11 is needed for human leukocytes migration under confinement:**
808 Analysis of human healthy donor (HD) and DOCK11-deficient T cell migration in fibronectin-
809 coated microchannels. Boxes include the 80% of the points and bars represent the higher and
810 lower 10% of points. **(A)** Representative montage of the change of position over time of human
811 T cell blast migrating inside microchannels of 4x 5µm with a timelapse of 2 min. **(B)**
812 Representative kymograph of T cell blast from Healthy Donors (HD, top panel) and DOCK11
813 patients (A – E, lower panels as indicated). **(C)** Mean instantaneous speed of HD and DOCK11
814 T cell blasts migrating in 4 x 5µm microchannels. Results from n=2 independent experiments
815 with each condition. Unpaired non-parametric Mann-Whitney test was used to evaluate
816 statistical significance between the mean speeds of controls and patients (* $p < 0.05$; ** $p <$
817 0.01 ; *** $p < 0.001$). **(D)** Density maps representing the enrichment of actin in HD and DOCK11
818 deficient T cells migrating in 8 x 5 µm microchannels. Cells were allowed to migrate and fixed
819 with 4% PFA to maintain the polarized morphology and stained with phalloidin to visualize F-
820 actin. The density maps were generated by averaging the signal from HD n= 85, DOCK11A
821 n=32, DOCK11D n=46 and DOCK11E n=63 cells. Quantification of the signal intensity on
822 density maps presented as front/rear ratio per cell. One-way ANOVA was used to evaluate
823 statistical significance. **(E)** Mean instantaneous speed of HD and DOCK11 T cell blasts (patient
824 G) migrating in 4 x 5µm microchannels, with or without RAC inhibitor treatment. Results from
825 n=2 independent experiment with each condition. One-way ANOVA test was used to evaluate
826 statistical significance. Unpaired non-parametric Mann-Whitney test was used to evaluate
827 statistical significance between the mean speeds of controls and treated controls, patient and
828 treated patient (* $p < 0.05$).
829
830

831 **Figure 5. Immune phenotype by mass cytometry**

832 (A) UMAP (Uniform Manifold Approximation and Projection) of immune cell subsets clusters
833 analyzed by mass cytometry on whole blood samples from DOCK11 patients (n= 7) and age-
834 matched healthy donors (n=6). Each cluster is color coded. (B) Cell cluster biases observed
835 between DOCK11 patients and healthy donors. DOCK11 patients have a significantly lower
836 percentage of CD56^{bright} NK, late NK cells, MAIT, and memory B cells. Two-tailed p-values
837 were determined with a nonparametric Mann-Whitney test. (*) *p*-value < 0.05; (**) *p*-value <
838 0.01; (***) *p*-value < 0.005.

839

840 **Figure 6. Treg phenotype and STAT5 activation**

841 (A) FoxP3 mean fluorescence intensity on Tregs from Healthy donors (HDs) and DOCK11
842 patients. Treg are defined by CD4⁺ CD25⁺ CD127^{low} as depicted in the graph on the right
843 side of the panel. (B) Representative dotplots of CD3⁺ CD4⁺ Foxp3⁺ Helios⁺ cells from
844 healthy donors (HDs) and two DOCK11 patients. (C) Representative histograms of phospho-
845 STAT5 in T, CD25⁺ T and B cells from healthy donors and DOCK11 patients. Cells were
846 stimulated for 60 min with IL2. Results for unstimulated (NS) cells are shown with the black
847 peak. (D) Kinetics of phospho-STAT5 expression upon IL2 stimulation: unstimulated (NS), 15
848 and 60 minutes. Mean fluorescence intensity (MFI) of phospho-STAT5 in T, CD25⁺ T, MAIT
849 and B cells from healthy donors (HDs) and DOCK11 patients.

850

Figure 1: DOCK11 mutations

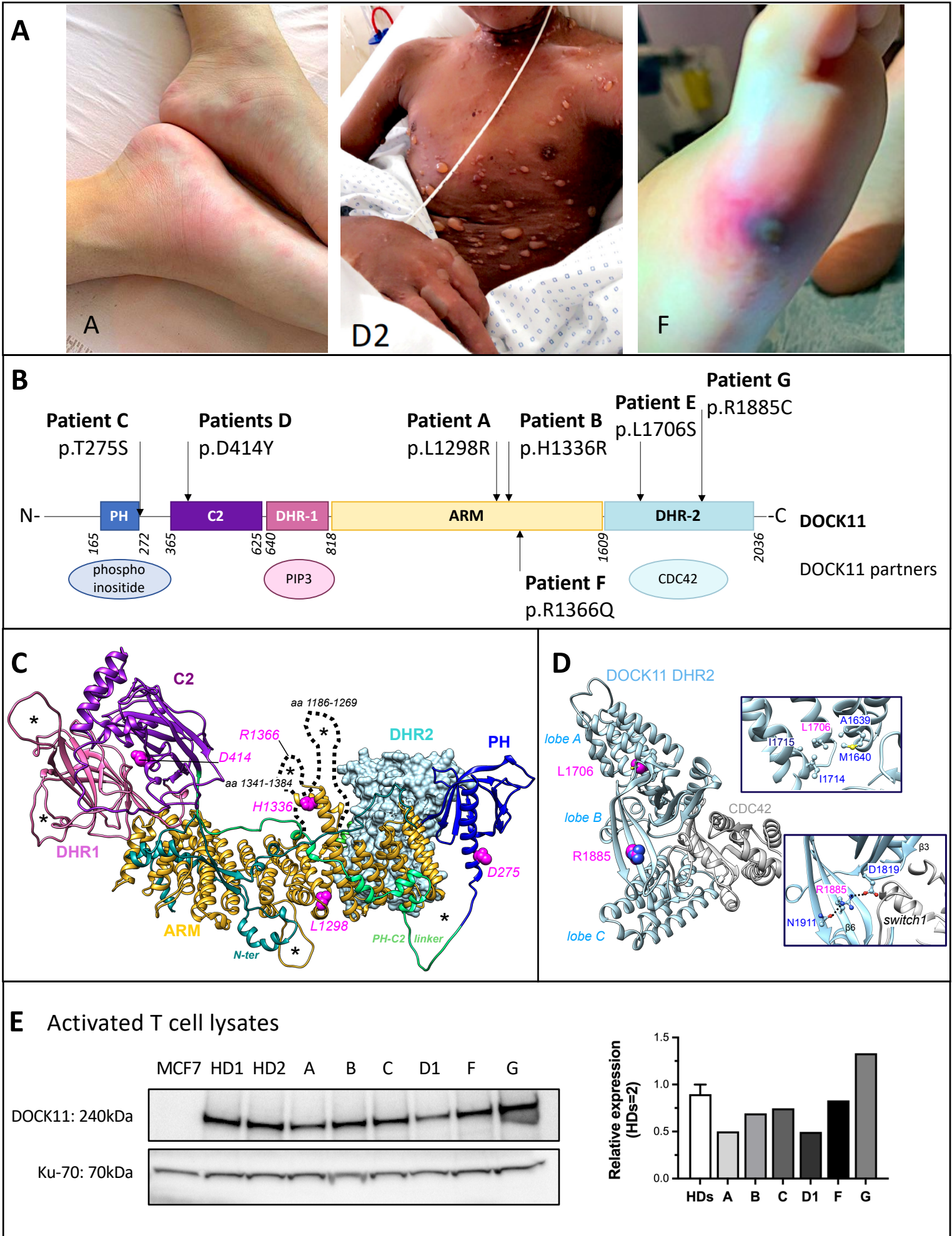


Figure 2: Platelets' study

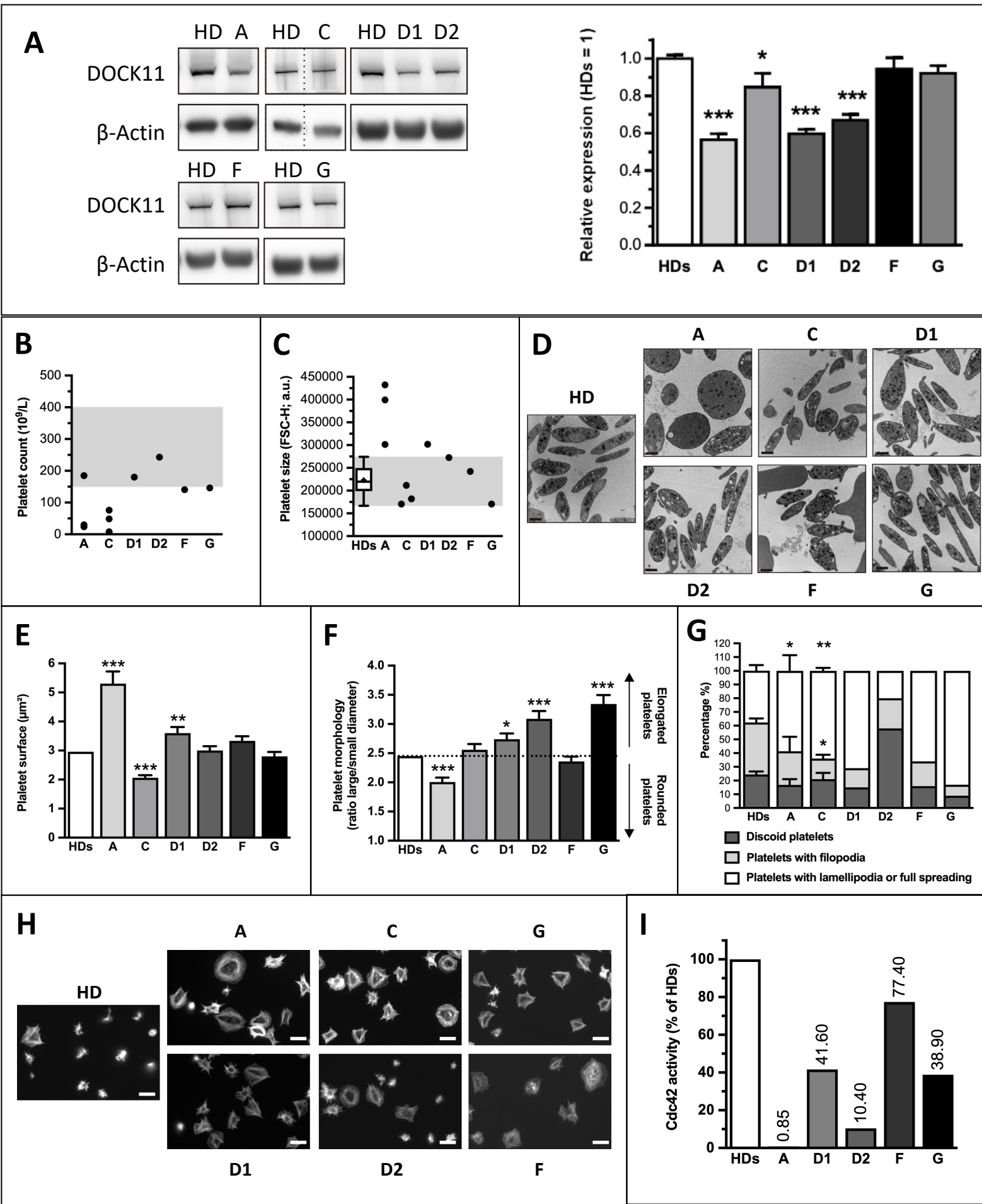


Figure 3: Morphological impairment after spreading

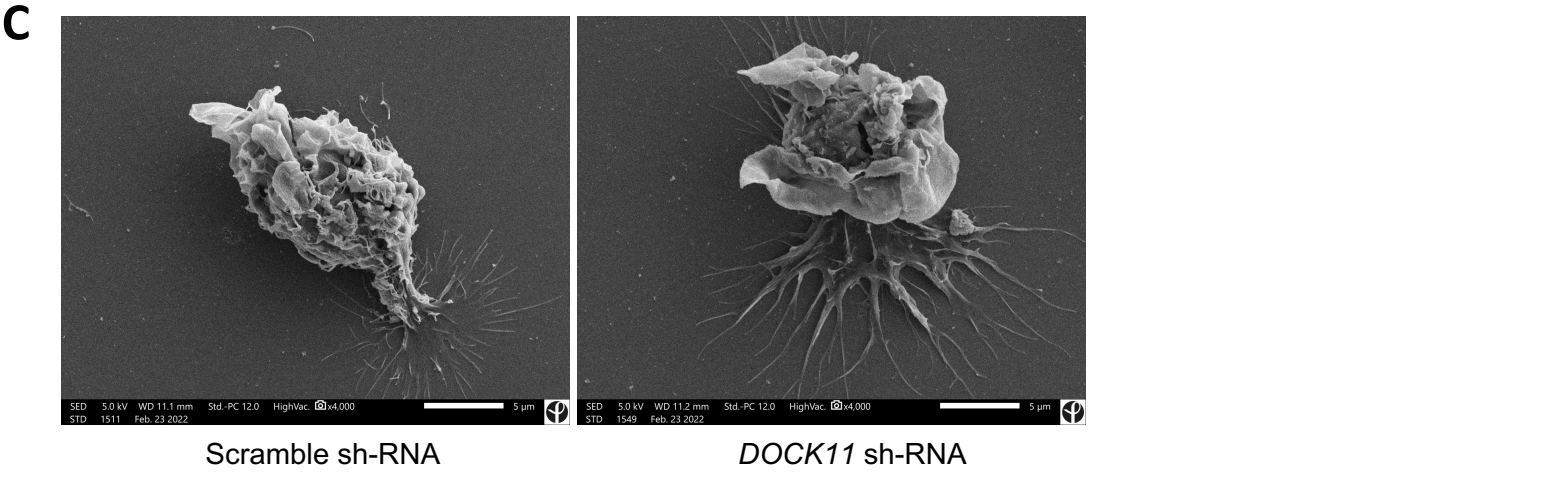
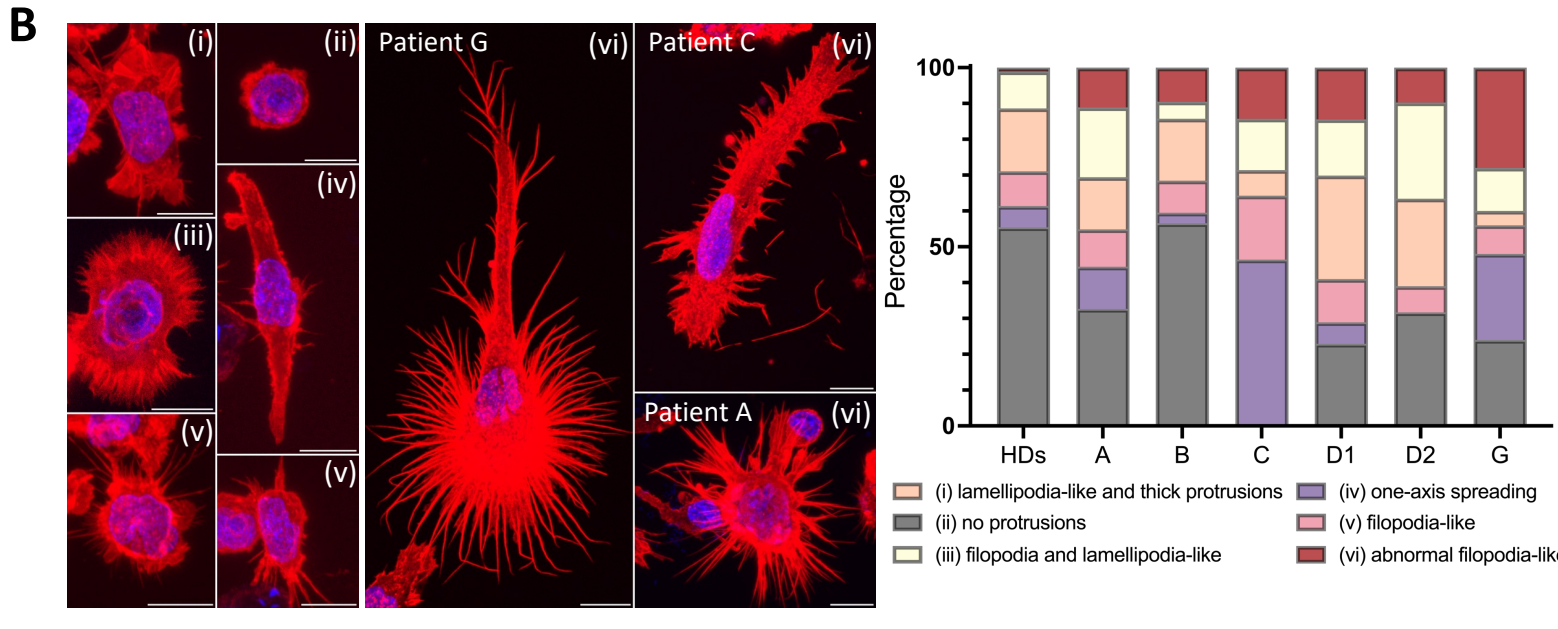
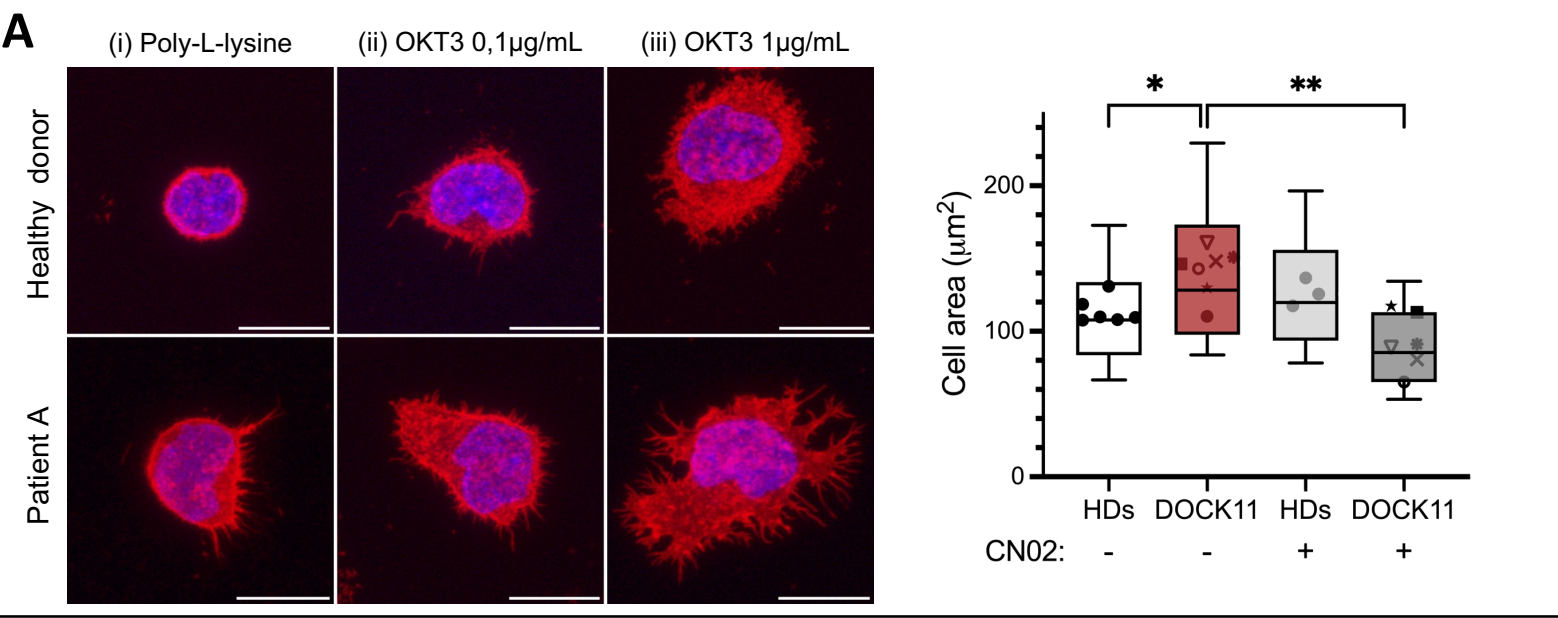


Figure 4: Migration assays – reflecting reduced CDC42 activity

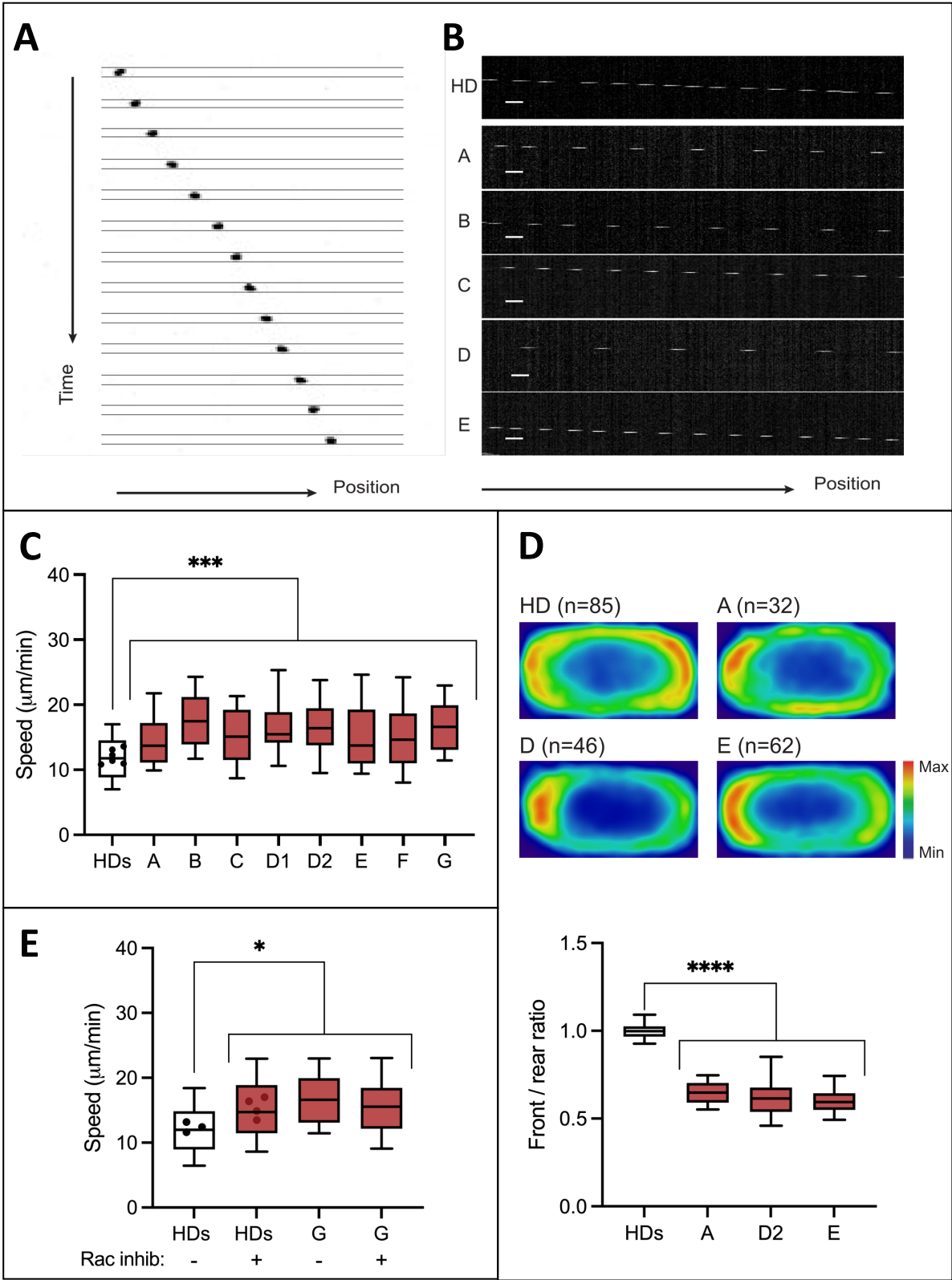


Figure 5: Immune phenotype by CyTOF

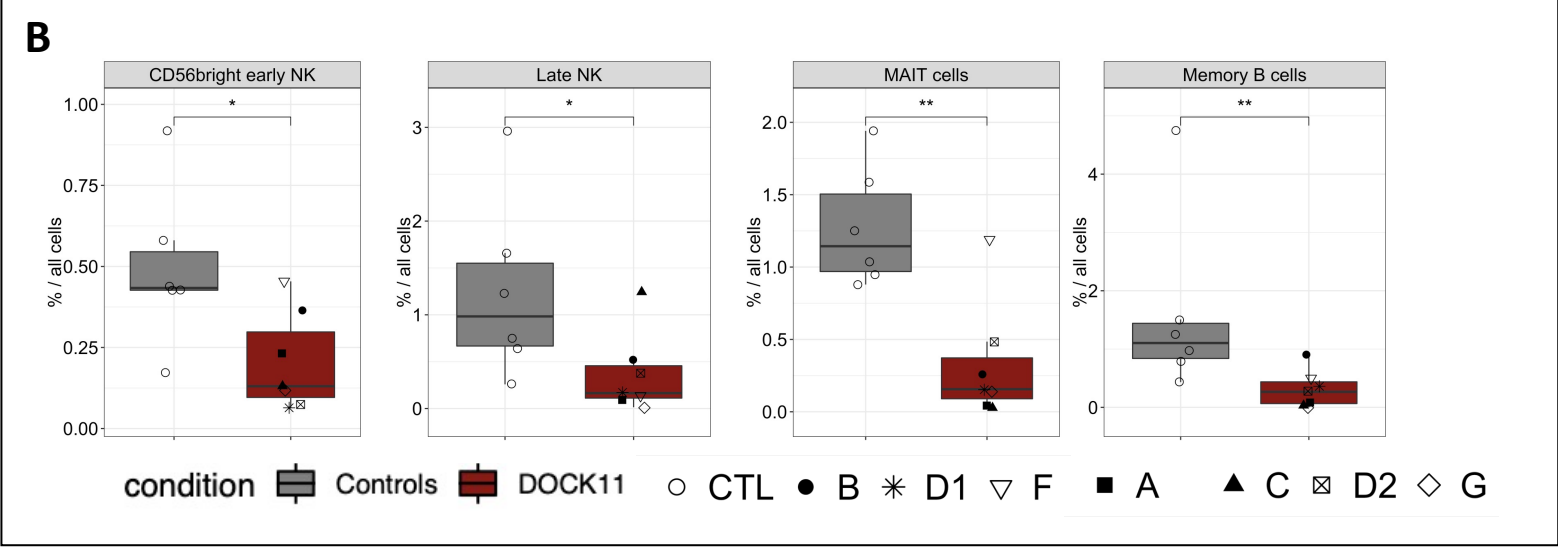
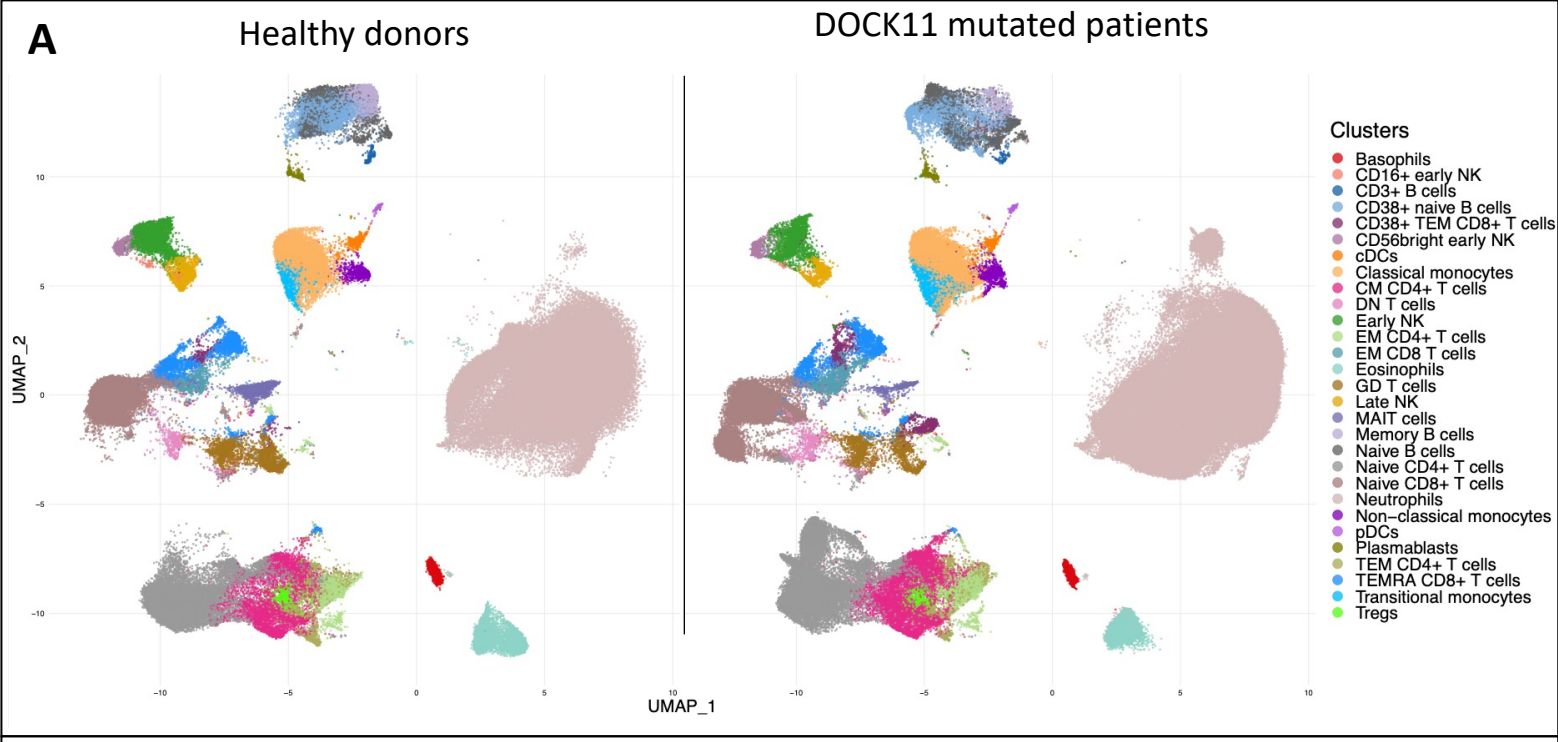


Figure 6: Treg phenotype and STAT5 activation

