

# Poor online information on European marine protected areas impairs public participation under the Aarhus Convention

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- 1 Poor online information on European marine protected areas impairs public participation under the
- 2 Aarhus Convention
- 3
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- 48
- 49 **Highlights**
- 50 Aarhus Convention's pillars are the mainstay of democratic environmental governance •
- 51 • European MPAs websites were surveyed to check for the application of the Convention

- A minor part of European MPAs websites comply with the Convention's principles
  - MPAs partly fail to use ICT tools to ensure public participation in MPA management

#### 55 Abstract

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56 The Aarhus Convention is a globally recognized benchmark for democratic environmental governance. However, no assessment exists on whether European MPAs comply with the legal 57 58 standards set out by the Convention. Here, we focus on public authorities' websites on MPAs as tools 59 for promoting transparency, public involvement, and democratic processes. We assessed the websites 60 of 61 European MPAs in thirteen countries using a survey structured by the three pillars of the 61 Convention: access to information, participation in decision-making, and access to justice. We show that while most websites are used to disseminate information, they do not serve yet as participatory 62 63 instruments. Very few have an area dedicated to public participation in decision-making and, when 64 available, they provide scarce information on the outcomes of public involvement. Most websites 65 provide general information on the MPA conservation objectives, but less than half provide access to reports on the results of management. Few websites provide information on available means to 66 challenge unlawful acts. Websites' potential as one of the most widely used, easily accessible, cost-67 68 effective sources of information and means for interaction with the general public should be better 69 exploited. Increasing and facilitating the ability of the public to participate in MPA processes is key 70 to ensure MPA success and environmental justice.

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Keywords: democratic governance, information and communication technologies, environmental
 justice, legal standards, European legislation

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# 76 **1. Introduction**77

78 Over the past few decades, public participation in government decisions that may have a significant 79 effect on the environment has emerged as an essential element of a new model of environmental 80 governance (Bennett & Satterfield, 2018; Bennett et al., 2019; Bodin, 2017; Cumming et al., 2020). 81 Public participation is intended to foster sustainability of development policies, thus promoting economic efficiency, environmental effectiveness, equity, and political legitimacy (Luyet et al., 2012; 82 Jentoft & McCay, 1995; Gray, 2005). Often using overlapping terms, such as public participation, 83 84 environmental democracy, and civic engagement, the underlying idea is that people have the right to 85 influence decisions that are likely to affect their environment and, ultimately, their wellbeing.

86 The international community has acknowledged the importance of public participation in 87 environmental matters in a variety of instruments, first and foremost in Principle 10 of the UN 88 Declaration on Environment and Development (Rio Declaration)<sup>1</sup>, which identified for the first time 89 the three pillars of public participation: access to information, participation in decision-making 90 processes and access to judicial and administrative proceedings (UNGA, 1992). Accordingly, in the 91 present paper the expression 'public participation' is used to indicate such three components. This 92 approach has been reflected in acts, policies, regulations and judicial decisions adopted in several 93 international fora (Birnie et al., 2009; Richardson & Razzaque, 2006), including environmental fora 94 (UNEP, 2010), organizations for development (WB, 2013; OECD, 2022), and human rights 95 mechanisms and courts (Boyle, 2012; UNGA, 2018). In this process, a key role has been played by 96 the Convention on Access to Information, Public Participation in Decision-Making and Access to Justice in Environmental Matters<sup>2</sup>, known as the Aarhus Convention (hereafter also the Convention), 97

<sup>&</sup>lt;sup>1</sup> UN Doc. A/CONF.151/26 (1992):

https://www.un.org/en/development/desa/population/migration/generalassembly/docs/globalcompact/A\_CONF.151\_26 \_\_Vol.I\_Declaration.pdf

<sup>&</sup>lt;sup>2</sup> <u>https://unece.org/DAM/env/pp/documents/cep43e.pdf</u>

98 adopted in the context of the United Nations Economic Commission for Europe (UNECE, 1998). For 99 a long time, the Convention has been the only legally binding instrument putting into practice 100 Principle 10 of the Rio Declaration with a comprehensive and structured approach. It focuses on the decision-making process as the key legal element in sustainable development (Birnie et al., 2009), 101 and is based on the premise that public participation is an indispensable means for more equitable, 102 103 legitimate and effective decisions, resulting in enhanced implementation and reduced conflicts 104 (Richardson & Razzaque, 2006; Adger et al., 2003). The Aarhus Convention is globally recognised as a benchmark for democratic environmental governance due to the ground-breaking character of 105 the treaty, which goes to the heart of the relationship between governments and society in the 106 environmental sector. The Convention combines notions and approaches from the environmental and 107 human rights law and imposes obligations on State Parties, focusing on the interactions between 108 109 States' public authorities and the public (individuals or legal persons and their groups or associations), with a right-based approach. Further, traditional means of control and enforcement have proved to be 110 111 scarcely effective in ensuring effectiveness of international environmental law (Hoffman et al., 2022; 112 Treves et al., 2009). This is due to the fact that traditional means of international dispute settlement 113 are not well suited for public interest environmental disputes resolution, because of legal and technical issues, i.e. evidence of the causal link. The Aarhus Convention, similarly to other multilateral 114 115 environmental agreements, provides for a regime-specific system to control and assist 116 implementation and compliance, modelled in part on those existing in human rights systems. Based on Article 15 of the Convention, in October 2002, the Meeting of the Parties (MOP) has established 117 the Aarhus Convention Compliance Committee (UNECE, 2002). The Committee represents a 118 119 relevant innovation: coherently with the human right based approach of the Convention, this 120 international compliance mechanism may be triggered not only by State parties but also by members of the public, entitled to submit communications to the Committee concerning a Party's compliance 121 122 with the Convention (Tanzi & Pitea, 2009). As explained in detail later in this article, the Compliance Committee is showing how important it is to have an independent international body to review 123 124 compliance with environmental law by state Parties and to open this body to communication by non-125 State actors. Finally, despite regional in scope, the Convention was designated as a global instrument: 126 it is opened to accession by any UN Member. To date, it has been ratified by 47 Parties, including the 127 EU and all its Member States. The European Union (EU) has adopted Directives 2003/4/EC and 128 2003/35/EC to ensure the uniform implementation of the first two pillars by member States, and has issued an ad hoc regulation (Reg. 1367/2006) concerning its implementation by EU institutions and 129 bodies. This means that the Convention provisions have been transposed in EU law and are subject 130 131 to judicial (and very effective) control of the EU Court of Justice (EUCJ) (see, ex multis, Case C-243/15, Judgment 8 November 2016). 132

The governance approach of the Convention is reaffirmed in Sustainable Development Goal 16 of 133 134 the Agenda  $2030^3$  on peace, justice and strong institutions, which sets out for the first time targets 135 concerning the governance component of sustainability and embraces transparency, public involvement and access to information and justice (UNGA, 2015). The international community has 136 thus endorsed public participation as a crucial element of democratic governance that, according to 137 the United Nations Development Programme, is based on the essential characteristics of good 138 139 governance (IFAD, 1999) but must also be concerned with institutions' accountability and human 140 rights matters (UNDP, 2008).

This governance approach based on public participation is particularly relevant in nature conservation
(Lockwood et al., 2010). Biodiversity is a public good that provides an array of ecosystem services
(Mace et al., 2012), and its regulation requires instruments and approaches adequate to address its

144 complex distributive and procedural justice implications. In this regard, public participation has been

145 recognized as an important tool to foster a fair balance between all competing interests and to help

146 ensure the understanding and articulation of alternative and universal perspectives (Redgwell, 2002).

<sup>&</sup>lt;sup>3</sup> UN Doc. A/RES/70/1: <u>https://documents-dds-ny.un.org/doc/UNDOC/GEN/N15/291/89/PDF/N1529189.pdf</u>

147 In the specific context of Protected Areas (PAs), the cornerstones of biodiversity conservation on land and at sea, it has long been suggested that disregard for justice implications is one important 148 149 reason for the experienced conflicts and the lack of regulatory effectiveness (Paavola, 2004). The growing attention on the procedural justice dimension of PAs governance is reflected in recent 150 practice of the bodies of the Convention on Biological Diversity (CBD)<sup>4</sup>, with substantive and 151 152 procedural equity aspects becoming a central issue during the Conferences of the Parties<sup>5</sup>. Analysing progress towards Aichi Target 11<sup>6</sup> on effectively and equitably managed PAs, the Subsidiary Body 153 on Scientific, Technical and Technological Advice of the CBD examined the procedural component 154 of this Target and pointed out the need to evaluate this element effectively and broadly, in particular 155 through site-level assessment (Franks et al., 2018; Schreckenberg et al., 2016; Zafra-Calvo et al., 156 2017; CBD-SBSTTA, 2018a, 2018b). 157

158 The importance of public participation is especially critical in the marine environment, where boundaries are often unclear, and interactions and tensions between concurrent and new interests, 159 coupled with the increasing accessibility of marine space and resources, are challenging the existing 160 regulatory regimes (Rahman et. al, 2022). An example of implementation of such approach is the EU 161 International Ocean Governance Forum (IOG Forum)<sup>7</sup>, a platform for online engagement of ocean 162 experts and stakeholders, from Europe and beyond, that identified the key international ocean 163 governance 'Actions' to be implemented by the EU to help set the course for a sustainable blue planet. 164 165 One of the priority Actions identified is to support the systematic and expanded application of 166 Environmental Impact Assessments (EIA) and Strategic Environmental Assessments (SEA) across all marine spaces. Enhanced transparency in how assessment results are used to support decisions is 167 168 also deemed necessary for these decisions to gain wider social acceptability. In this perspective, one of the recommendations proposes to make the results of these assessments publicly available and 169 easily accessible through a dedicated portal/web page (e.g. dedicated page of the European Maritime 170 171 Forum), in relation to the ecological implications of all investments supported by EU funds (EU IOG Forum, 2021). This was also confirmed during the recent intergovernmental conference on an 172 173 international legally binding instrument under the United Nations Convention on the Law of the Sea<sup>8</sup> 174 on the conservation and sustainable use of marine biological diversity of areas beyond national 175 jurisdiction. The implementing BBNJ (Biodiversity Beyond National Jurisdiction) Agreement 176 officially adopted on 19 June 2023, (UNGA, 2023), in its Part III on "Measures such as area-based 177 management tools, including marine protected areas", devotes an article to consultations on and assessment of proposals regarding the establishment of marine protected areas (MPAs) in areas 178 beyond national jurisdiction. The article provides for consultations on proposals that "shall be 179 180 inclusive, transparent and open to all relevant stakeholders [...] as well as civil society, the scientific 181 community, Indigenous Peoples and local communities".

<sup>&</sup>lt;sup>4</sup> <u>https://www.cbd.int/doc/legal/cbd-en.pdf</u>

<sup>&</sup>lt;sup>5</sup> CBD, COP 10, Decision X/31 on Protected Areas (UNEP/CBD/COP/DEC/X/31) 29 October 2010; CBD, COP 11, Decision XI/24 on Protected Areas, (UNEP/CBD/COP/DEC/XI/24), 5 December 2012; CBD, COP 13, Decision on Progress towards the achievement of Aichi Biodiversity Targets 11 and 12, (UNEP/CBD/COP/DEC/13/2), 12 December 2016; CBD, COP 14, Decision XIV/1 on Updated Assessment on Progress Towards selected Aichi Biodiversity Targets and options to accelerate progress, (UNEP/CBD/COP/DEC/14/1) 30 November 2018; CDB, COP, Decision XIV/16 Methodological Guidance concerning contribution of indigenous peoples and local communities, (UNEP/CBD/COP/DEC/14/16), 30 November 2018; CBD, SBSTTA, Note by the Secretariat. Voluntary Guidelines on effective governance models for management of protected areas, including equity, (CBD/SBSTTA/22/6 Annex II), 22 March 2018.

<sup>&</sup>lt;sup>6</sup> <u>https://www.cbd.int/aichi-targets/target/11</u>

<sup>&</sup>lt;sup>7</sup> The EU International Ocean Governance Forum, https://maritime-forum.ec.europa.eu/theme/governance/ocean-governance-forum\_en

<sup>&</sup>lt;sup>8</sup> <u>https://www.un.org/depts/los/convention\_agreements/texts/unclos/unclos\_e.pdf</u>

182 Although the need to set up an inclusive and transparent process for the designation, management and enforcement of PAs has been constantly emphasized, international and national practice on these 183 184 issues appears fragmented and highly heterogeneous (Bennett & Satterfield, 2018; Barnhart & Ferse, 185 2023). Public participation is a complex and dynamic concept that evolves following political, social and technological developments, and can be achieved through different rules, procedures and 186 187 implementing instruments (Arnstein, 1969). A good example of a very structured public participation 188 process is the extensive stakeholder engagement in the planning and implementation of the MPA network along the California coast that followed the California Marine Life Protection Act Initiative 189 190 (Sayce et al., 2013). However, participatory processes are often implemented in flexible ways and 191 through open informal experiences that are not sufficient to sustain the desired political and social 192 transformation in PA governance (Jones, 2009).

193 The role that the Aarhus Convention may play in fostering and enhancing public participation in the specific context of PAs is recognised by CBD's bodies as well as in several documents adopted by 194 195 the United Nations Environment Programme, the Food and Agriculture Organization and the 196 International Union for Conservation of Nature. The fact that all EU Member States and the EU itself 197 are parties to the Convention adds further value: its provisions represent common binding minimum 198 standards for public participation in environmental matters in the EU. As a matter of fact, all EU 199 Member States are legally bound to put its provisions into practice in the environmental domain, 200 including the specific case of PAs management.

201 In this context, an important role has been gained by information and communications technologies (ICTs), increasingly recognized as efficient and effective tools to enhance and support the 202 implementation of the Convention standards (UNECE, 2005) and more generally, to promote 203 democratic environmental governance in initiatives of nature conservation. This is highlighted in 204 several documents adopted by the Aarhus Convention bodies, such as the Recommendation on 205 Electronic Information Tools (REIT)<sup>9</sup> to provide public access to environmental information 206 (UNECE, 2005), as well as in reports and recommendations of the Task Forces on Electronic 207 208 Information Tools, on Public Participation in Decision-making and on Access to Information. 209 Similarly, in recent years, EU environmental regulations have constantly stressed the crucial role of 210 ICTs in the implementation of environmental standards, also in the specific frame of nature 211 conservation, as for instance in the Action Plan for nature, people and the economy (EC, 2017a; Peters 212 et al., 2014).

213 The present paper is based on the internationally agreed legal standards on public participation in environmental matters, as provided by the Aarhus Convention<sup>10</sup>, focusing on one of the instruments 214 available to that purpose i.e., MPAs' public authorities' websites. In fact, websites are among the 215 216 most widely used and easily accessible sources of information and means for interaction for the 217 general public (EC, 2017a; Peters et al., 2014). According to several documents adopted by the 218 Aarhus Convention bodies (see infra), many instruments can be used, such as government 219 publications, radio and tv broadcasts, newspapers, environmental information centres with accessible 220 catalogues and databases. However, all these instruments need to be used together, in a systematic

and coherent way, playing each one its own role; and this is especially true for on-line instruments,

<sup>10</sup> Pursuant article 10 of the Aarhus Convention, the Parties keep under continuous review the implementation of the Convention on the basis of regular reporting. National reports are available at <a href="https://unece.org/environment-policy/public-participation/reporting-mechanism-1">https://unece.org/environment-policy/public-participation/reporting-mechanism-1</a>. Further, reports providing an overview of the implementation of the Convention are prepared by its bodies for the periodical Meeting of the Parties; they are available at <a href="https://unece.org/env/pp/aarhus-convention/mop-introductory-page">https://unece.org/env/pp/aarhus-convention/mop-introductory-page</a>. For further information, see also the website of the Aarhus Clearinghouse, which provides information relevant to the implementation of Principle 10 of the Rio Declaration at the global, regional and national levels: <a href="https://aarhusclearinghouse.unece.org">https://aarhusclearinghouse.unece.org</a>

<sup>&</sup>lt;sup>9</sup> Un Doc. ECE/MP.PP/2005/2/Add.4:

https://unece.org/DAM/env/documents/2005/pp/ece/ece.mp.pp.2005.2.add.4.e.pdf An updated version of the Recommendation was adopted in 2021, UN Doc. ECE/MP.PP/2021/2/Add.2: <u>https://unece.org/sites/default/files/2022-08/ECE\_MP.PP\_2021\_2\_Add.2\_E\_0.pdf</u>

like websites. The main objective of this study is to investigate to what extent such instruments, widely recognized as potentially highly valuable and cost-effective tools, are actually used to implement the Aarhus Convention participatory standards in the specific case of MPAs in EU seas. In other words, based on the legal standards of the Convention, the paper aims at evaluating the role played by public authorities' websites in conveying ecological, administrative, and legal information regarding MPAs, and in fostering public participation in decision making and access to environmental justice in the context of MPAs in European seas.

#### 230 **2. Methods**

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In line with the definition of "public authority" adopted by the Aarhus Convention, which is based 232 233 on a functional approach, the concept of public authorities' websites embraces websites (including pages/sections inside broader-focused websites) published and managed by a national or local 234 235 government body or by a private entity performing public administrative functions (e.g. NGO, 236 institution or consortium) that is responsible for the MPA management. When there was more than 237 one website for a single MPA, the one providing the most detailed information has been chosen for 238 inclusion in the study. This was for example the common case in Italy, where the web portal dedicated 239 to national MPAs by the competent ministry delivered very poor information while the websites run 240 by the MPA management bodies were always much more informative.

- The MPAs included in the study are all located in European seas, which means that they are subject to the same common binding (minimum) standards for public participation in environmental matters set by the Aarhus Convention and transposed in EU law. In order to obtain a homogeneous sample among the wide variety of MPA typologies, the MPAs included in the study were only those designated under the national legislation of an EU member State (Fraschetti et al., 2018) that corresponded to (or included) a Natura 2000 site according to the EC Habitat Directive<sup>11</sup>.
- A total of sixty-one websites one for each selected MPA from thirteen countries was analysed. Coauthors selected a minimum of one and a maximum of three MPAs from their own country, with the aim of including all the regional seas around Europe as defined by the EC Marine Strategy Framework Directive<sup>12</sup>. The full list of selected MPAs is provided in the supplementary material (Table SM1).
- 251 The analysis of public authorities' websites was conducted through a questionnaire (supplementary 252 material, Table SM2) that was filled between April and October 2018 by all co-authors after careful 253 inspection of the websites. The questionnaire was based on the three pillars of the Aarhus Convention: (1) access to information, (2) participation in decision-making, and (3) access to justice, and 254 255 comprised five questions each with a number of information parameters. Each parameter was allowed either a "1" (when the required parameter was directly or indirectly - i.e., through a link to an external 256 website - present in the MPA website) or a "0" score. The sum of scores for each information 257 258 parameter was used to calculate the percent frequency of occurrence for each question across the 61 259 websites. The five questions were elaborated starting from the Convention principles and provisions, 260 as clarified and developed in the practice of the Convention bodies; such principles and provisions were adapted to the specific context of MPAs, in order to translate them into concise information 261 262 parameters.
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### 264 **3. Results and discussion**

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The results are divided into three sections corresponding to the three pillars of the Convention. Within each section, summary tables provide an overview of the availability of the different types of information found on MPAs' websites.

https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:31992L0043&from=EN

<sup>&</sup>lt;sup>11</sup> Council Directive 92/43/EEC:

<sup>&</sup>lt;sup>12</sup> Directive 2008/56/EC: <u>https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32008L0056&from=EN</u>

#### 270 3.1. Access to environmental information

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3.1. Access to environmental information

272 According to the Aarhus Convention, environmental information has to be made available to the 273 public with two complementary approaches: in response to a request (passive approach) and through 274 active dissemination (proactive approach). The object of these obligations i.e., "environmental information", is defined in very broad terms (art. 2): it embraces information on the state of elements 275 of the environment, which explicitly include biological diversity, but also information on factors, 276 activities and measures (e.g., legislation, policies and plans) suitable to affect the environment as well 277 278 as cost/benefit and other economic analyses and assumptions used in environmental decision making. 279 Our study shows that MPA management authorities commonly use ICT tools to provide a range of 280 information on MPAs, suggesting that such authorities are increasingly aware that public participation is a well-established principle of democratic governance and that websites are crucial in this 281 perspective. However, the results obtained from our survey show that MPAs' websites are 282 283 predominantly used to disseminate limited typologies of information; further, they show that the information they provide is often inconsistent and incomplete in terms of the standards set out by the 284 285 Convention bodies.

287 3.1.1. Environmental information available on the websites

The first question is articulated in parameters corresponding to different typologies of environmental information, taking into account the priority categories of information to be made progressively publicly accessible through the internet, according to the REIT (UNECE, 2005), and bearing in mind the specificities of MPAs (Table 1).

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Q1. What ENVIRONMENTAL INFORMATION is publicly available on the website?	% occurrence
1.1 Generic information on the state of the environment in the MPA	75.4
1.2 Detailed/ analytical information on the state of the environment in the MPA	42.6
1.3 Text of environmental legislation, regulations and policies	83.6
1.4 Act of formal designation of the MPA	80.3
1.5 Information on the MPA conservation objectives	91.8
1.6 MPA Management plan	52.5
1.7 MPA zoning and activities prohibited /restricted in each zone	73.8
1.8 MPA educational activities and awareness campaigns/events for the public	62.3
1.9 MPA management bodies 1.10 Proposed and existing activities and plans that may significantly affect the	82.0
environment in the MPA 1.11 Progress in the achievement of nature conservation objectives and/or on	36.1
implementation of the Management plan	45.9
1.12 Processes, methods and standards of environmental data collection	34.4
1.13 Type and scope of environmental information accessible to the public 1.14 Procedures and conditions to request environmental information not	39.3
available on the website 1.15 Contact details to whom the public can ask further information and	26.2
clarifications	80.3
Total of Environmental Information	60.4

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Table 1. Information parameters from Question 1 of the questionnaire. % occurrence refers to the number of websites where the parameter was directly or indirectly available among the 61 European

297 MPAs websites analysed.

298 299 The analysis of the websites has shown that only a few typologies of environmental information are 300 widely available, either directly or indirectly. This is especially true for the information on the 301 environmental legislation, on formal designation, on conservation objectives, on management bodies, and on contact details (parameters 1.3, 1.4, 1.5, 1.9, 1.15). Notably, the available information on the 302 303 legislative and institutional framework occurs in general with the highest frequencies and covers 304 environmental legislation, regulations and policies (including the act of formal MPA designation), MPA's management bodies, zoning and prohibited or restricted activities and contact details. Much 305 less common is the information on management plans, which are the necessary tool to manage MPAs 306 307 and to allow the fulfilment of conservation objectives. The available information also decreases 308 noticeably with respect to other categories of information such as, detailed information on the state 309 of the ecosystem (e.g., reports or environmental monitoring data) and information on the progress in the achievement of nature conservation objectives and/or on the implementation of the management 310 311 plan. Further, the information became very limited when dealing with relevant and sensitive issues 312 such as proposed or existing activities and plans that may affect the environment in the MPA. Yet, 313 the Convention principles and provisions are intended to promote environmental awareness and information, in order to allow the public to exercise a widespread control over the activities of public 314 315 authorities. It aims to create the conditions to enable every person to play an active role in the 316 achievement of environmental objectives and to defend their right to live in an environment adequate 317 to human health and wellbeing. From this perspective, the Convention stipulates that each State Party shall provide information on the performance of public functions relating to the environment by 318 319 governments at all levels (art. 5.7). In particular, active dissemination of plans and progress reports 320 on their implementation is expressly provided for (art. 5.5.a). Further, in order to ensure that the environmental information is effectively accessible, the Convention emphasizes the importance of 321 the type and scope of environmental information publicly accessible and of that concerning 322 procedures and conditions to request the information that is not available on the website. 323

324 Finally, an important topic is the availability of information on methods and standards of data 325 collection (parameter 1.12). This typology of information is crucial to make data comparable for 326 future data users, whether they are citizens, researchers or policy makers. Lack of access to this 327 information means that the assets created and held by public authorities with public money, cannot 328 produce all the economic, social and environmental benefits they could (and should) generate for the 329 benefit of the society. This is in contrast with the principle that public authorities hold environmental 330 information not for themselves but in the public interest (preamble 17 of the Convention), and with 331 the final aim of fostering public active engagement in MPA management.

332 Our results show that accessible environmental information is still incomplete and scattered to a large 333 extent. We acknowledge that widespread recognition of transparency as a fundamental element of 334 democratic governance and rapid development of ICT has radically changed the way public 335 authorities communicate with the public. Biodiversity conservation is no longer only a matter for specialists or an attraction for tourists; public authorities widely use websites to disseminate 336 information on MPAs to the public as regards their institutional role and MPA objectives and 337 organization. Nonetheless, only limited information is available on activities, projects and results of 338 339 MPAs' management i.e., the sort of information that would allow the public to evaluate the performance of environment-related public functions. In particular, the lack of results from 340 341 monitoring activities normally included in the management plans prevent the public to evaluate the 342 MPA efficiency and to contribute to possible adjustments. In most cases, with only a few exceptions, 343 it seems that websites are still considered an instrument that MPA management authorities are 344 required to adopt and that, behind institutional information, are used as showcases to publish only the 345 information they decide to make available, such as public events or educational activities.

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347 3.1.2. Sources of the information available on the websites

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349 The second question is articulated in parameters corresponding to different typologies of information

350 sources identified as useful and relevant in international practice (Table 2).

351

Q2. What INFORMATION SOURCES are available on the website?	% occurrence
2.1 Official documents (legislation, plans, manuals, reports, studies)	91.8
2.2 Instruments for dissemination of information (e.g., press releases, non-technical summaries)	77.0
2.3 Regular information or reporting instruments (newsletters, journals, periodical reports)	41.0
2.4 Link to pages/profiles on social networks (e.g., Twitter, Facebook, Google plus)	41.0
2.5 Instruments providing spatial attributes of information (e.g., geo-referenced	
data, interactive maps)	52.5
Total of Information Sources	60.7

352

Table 2. Information parameters from Question 2 of the questionnaire. % occurrence refers to the number of websites where the parameter was directly or indirectly available among the 61 European

355 MPAs websites analysed.

356

357 Besides official documents and the instruments for dissemination of information of occasional nature 358 (parameters 2.1, 2.2), which are widely available, regular information or reporting instruments (periodical reports, newsletters) are quite limited as well as the instruments providing spatial 359 attributes of information (e.g., interactive maps on the distribution of habitats and human uses). Still 360 361 the importance of periodical reporting instruments is stressed in several international guidelines in order to allow the general public and the relevant stakeholders to monitor the achievement of 362 363 environmental objectives and evaluate policies and actions put in place. Even the use of social 364 networks, which have a huge diffusion among the global population is limited, although they could be a useful and inexpensive tool to establish a dialogue with potentially interested stakeholders. Points 365 366 2.3 to 2.5 need dedicated staff with specific expertise and relevant funds (more so for point 2.5) to be 367 implemented, which can surely be a critical issue for many MPA management bodies.

368

369 3.1.3. Quality and effective accessibility of information available on the websites

According to the Convention, environmental information made available to the public has to comply
with a few fundamental requirements: it has to be updated (art. 5.1, 5.4), comprehensible (art. 5.7(b,
6.6(d), and effectively accessible (art. 4.1(b, 4.8, 5.2) (UNECE, 2014; Andrusevych & Kern, 2016).

- Table 3 deals with these requirements.
- 375 376

Q3. What is the QUALITY and EFFECTIVE ACCESSIBILITY of the information available on the website?	% occurrence
3.1 The last update is less than 90 days old (since the date of access to the website)	39.3
3.2 Basic information available in English	50.8
3.3 Availability of data, information and documents provided in an easy-transferable format (e.g., word, PDF)	82.0
3.4 Availability of a "search" option to search for specific information	62.3
3.5 Availability of instruments to make the website user friendly (e.g., FAQ, glossary, site map)	47.5
3.6 Opportunity to request access to information not available on the website by electronic means (e.g., emails addresses, online format)	73.8
3.7 Availability of mechanisms for the assessment of user-needs (e.g., opportunity to provide feedback, surveys, number of accesses)	16.4
Total of Quality and Accessibility of Information	53.2

377

Table 3. Information parameters from Question 3 of the questionnaire. % occurrence refers to the
number of websites where the parameter was directly or indirectly available among the 61 European
MPAs websites analysed.

381

382 Our survey has shown that the information available is often out-of-date (parameter 3.1). This could 383 be due to several reasons, including the lack of relevant environmental information to communicate (e.g., no new monitoring data, events, licensing, etc.), of funding, of staff, of human capacity or 384 385 expertise in website management, or simply lack of interest in providing information. However, it is 386 important to point out that according to the Convention (article 5.1.a), public authorities are required to possess and update environmental information which is relevant to their functions, and the 387 388 Convention Implementation Guide urges Parties to establish systems that ensure a regular flow of information from operators, monitoring systems, researchers and others to the public authorities in 389 390 charge (UNECE, 2014). In brief, public authorities cannot simply abstain from collecting and 391 providing to the public information that could turn out to be thorny.

392 From the same perspective, the Convention bodies have emphasized that environment-related 393 information should be made available in an easily accessible (UNECE, 2014, Implementation Guide 394 p. 81 and 105) and user-friendly manner (*ibidem*, p. 63 and 65). Actually, such tools as documents in easy-transferable format and 'search' options (parameters 3.3, 3.4) are often available, whereas basic 395 information in English and other user-friendly instruments are available to a rather limited extent. 396 397 Nonetheless, these tools are expressly recommended by the REIT (UNECE, 2005) and by the 398 Convention Implementation Guide (UNECE, 2014). Further, the number of websites that contained 399 mechanisms for the assessment of user needs (like the possibility to provide feedback, surveys on 400 information demand, and number of accesses to pages or content: parameter 3.7) is extremely small, 401 although such mechanisms are crucial to identify and meet the demand of information requested by 402 the public and to improve the provision of information over time.

403

404 3.2. Participation in decision-making405

406 The participation of the public concerned in environmental decision-making is regulated in the 407 Convention, which provides different levels of public involvement for different kinds of environmentrelated decisions, such as authorization of specific activities, preparation of plans, programmes and 408 409 policies, preparation of executive regulations and legally binding normative instruments. According 410 to the Convention, public participation in environmental matters must be informed, early (i.e., when all options are still open) and effective. Less than half of analysed MPAs use the websites as an 411 412 instrument for the involvement of the public. On the basis of the degrees of participation identified 413 by the Organisation for Economic Co-Operation and Development, the analysed websites are 414 currently instruments for 'dissemination of information' but for the most part, not yet for 'consultation', which implies the opportunity to provide feedback on analysis, alternatives or 415 decisions, nor are they instruments for 'active participation' in decisions, which would require 416 participation in development of alternatives and identification of possible solutions (OECD, 2001). 417 418

410

Q4. Does the website provide information on MODALITIES OF PUBLIC PARTICIPATION in the decision-making processes affecting the MPA (e.g., projects authorization, approval of plans, licensing,) and opportunities and/or instruments for effective participation?	% occurrence
4.1 Specific entry/area dedicated to public participation in decision-making	16.4
4.2 Information concerning specific decisions to be adopted (e.g., approval of	16.4
projects, adoption of plans, licensing)	50.8

4.3 Information on environmental impacts of proposed projects/activities or draft	
plans/policies/regulations	24.6
4.4 Alerts to the public about opportunities for participation in decisions on	
proposed projects, plans, or regulations	24.6
4.5 Information on public hearings, roundtable discussions, stakeholder	
committees, aimed at public participation in decisions concerning projects, plans	
or regulations	31.1
4.6 Opportunity to provide feedback on proposed projects, plans or regulations	
that affect / are suitable to affect the MPA	24.6
4.7 Information on the outcomes of public participation	18.0
4.8 Information on the final decision	44.3
Total of Information/Opportunities/Instruments for Public Participation	29.3

420

Table 4. Information parameters from Question 4 of the questionnaire. % occurrence refers to the
number of websites where the parameter was directly or indirectly available among the 61 European
MPAs websites analysed.

424

425 Table 4 shows that very few websites have a specific area dedicated to public participation in decision-making processes (parameter 4.1) and only half of them provide information concerning 426 specific decisions that affect or are likely to affect the MPA (parameter 4.2). The number of websites 427 428 providing information on the environmental impacts of proposed projects and activities or draft plans, 429 policies and regulations (i.e., environmental impact assessments, strategic impact assessments, habitat appropriate assessment documentation, and the like: parameter 4.3) is even lower, as is the 430 431 percentage of websites providing notice to the public about opportunities for participation in decisions (parameter 4.4). These results are not in line with the Convention, which states that "the necessary 432 information" must be provided to the public and, with reference to some types of decision, it identifies 433 434 in detail such information. Effective involvement in the decision-making processes concerning the 435 MPA obviously requires that the information needed to evaluate the possible effects of the decision itself is made available to the public. The Convention Implementation Guide (UNECE, 2005) clarifies 436 437 that the word "necessary" should be understood in the frame of effective participation, in keeping 438 with the objective of the Convention to encourage widespread public awareness and to ensure public 439 participation in environment-related decisions.

440 Information concerning public hearings, roundtable discussions, stakeholders' committees aimed at public involvement in decisions concerning the MPA (parameter 4.5) while still with a low 441 percentage, is ranked relatively high if compared with other parameters under Q4. This suggests that 442 443 public participation is still based on non-structured processes, often of occasional nature. Importantly, 444 such information is only sparingly accompanied by opportunities to provide feedback by electronic means (e.g., with e-mails, online forms, or surveys: parameter 4.6). Yet it is widely recognized and 445 446 stressed by the Implementation Guide (UNECE, 2005), that one of the functions of public 447 participation is to assist public authorities in gathering high-quality information and to widen the range of choices considered. Critics of stakeholder participation have argued that it can hamper 448 449 governance and prolong planning processes, as well as make them more cumbersome and expensive 450 (Kusters et al., 2018). Additionally, in cases where experts are consulted, the information provided by stakeholders and the general public may be seen by some as less valuable, with experts perceived 451 as more objective than stakeholders, the latter by definition non-objective (Gleason et al, 2010; 452 453 Gopnik et al., 2012; Olsen et al., 2014). Nevertheless, while scientists supply a large amount of data 454 and knowledge, they may lack the access stakeholders have to the local situation (Bingham et al., 2005). Moreover, stakeholder participation increases chances that plans and policies will be accepted 455 456 and upheld by them, as long as they feel that their feedback is taken into consideration (Arnstein, 457 1969; Aronson, 1994; Barbaro & Bagajewicz, 2004; Yates, 2018). In this perspective, it is worth pointing out that information on the outcomes of public participation is extremely scarce in websites. 458 459 Even the information on the final decision (the plan adopted or the project approved: parameter 4.8)

460 is available in only 44.3% of cases. Such small numbers are indicators of lack of effectiveness of 461 public involvement in decision making. However, the Convention provisions require public 462 authorities to disseminate the information concerning the outcomes of the participatory process and 463 the final decisions, in order to enable the public involved to assess if the outcomes of the process have 464 been taken into due consideration. The final aim is clearly to call public authorities to account for 465 their decisions and to discourage purely formal participation.

466

468

467 3.3. Access to justice

The third pillar of the Aarhus Convention is access to justice, which is also supposed to ensure the proper functioning of the previous two pillars. As shown in Table 5, the websites devote very little attention to this topic.

472

Q5. Is information on PUBLIC ACCESS TO JUSTICE in environmental matters available on the website?	% occurrence
5.1 Information on appeal procedures against refusal of access to information	18.0
5.2 Information on means to challenge project level decisions requiring public participation	13.1
5.3 Information on procedures available to the public to challenge unlawful acts and omissions prejudicial to the objectives of the MPA	18.0
5.4 Information on ongoing and/or past legal proceedings involving the MPA	23.0
Total of Information on Access to Justice	18.0

473

Table 5. Information parameters from Question 5 of the questionnaire. % occurrence refers to the number of websites where the parameter was directly or indirectly available among the 61 European

476 MPAs websites analysed.

477 According to the Convention, Parties are required to ensure access to different kinds of review procedures, such as appeal procedures relating to information requests, and should enable the public 478 479 to challenge the legality of project-level decisions requiring public participation as well as the general 480 violations of national environmental laws. To make these appeal instruments effective it is crucial 481 that the public is informed about the means at its disposal to challenge public authorities' decisions. 482 Our findings indicate that the information concerning review procedures was very rarely available 483 for all of the three components of access to justice (parameters 5.1 to 5.3). Only 18% of the analysed 484 websites provide information on available means to challenge unlawful acts and omissions that may be prejudicial to the objectives of the MPA, whereas this could be a powerful instrument to scrutinize 485 486 the exercise of public functions. Even the information on ongoing and/or past legal proceedings involving the MPA is extremely scarce. The results show that provisions on information concerning 487 of MPAs are rarely implemented. It is worth noting that this is the only 488 access to justice in the case 489 pillar of the Convention for which the EU has not adopted a directive to support uniform implementation in member States, because these are very reluctant to accept a European regulation 490 491 that would directly affect their judicial systems (EC, 2017b). 492

#### 493 **4. Conclusions**

494

The Aarhus Convention has triggered a global process of change towards environmental democratic governance which includes biodiversity protection and MPA management. In this specific context, the Convention is widely recognized as the reference legal standard for public participation and environmental justice in many international regulations and guidelines. The main purpose of the Convention was to oppose the top-down approach adopted in most environmental decisions. Encouraging public participation in environmental decisions and processes - like the creation of a protected area - was a step forward towards people's awareness, but also a way to have their say on 502 the creation and management of MPAs. The fact that in most of the MPA websites examined, the 503 Aarhus Convention is only partially - sometimes very poorly - implemented should push politicians, 504 government institutions, research organizations and the media to promote the Convention and 505 encourage MPA management bodies to implement it.

The present study shows that there is still a long path to travel. Specifically, as regards MPA 506 507 management, the implementation of the Convention principles and rules seems to be lagging behind 508 when it comes to the recommended use of ICTs - e.g., MPAs official websites - as crucial tools to enhance public awareness and public involvement in decisions that may affect the environment. ICTs 509 510 have dramatically simplified the availability of information and the involvement of the public, and in 511 modern society, the digital connection between people and nature should become an integral part of 512 improving ecosystem management and promoting participation (Ives et al., 2017). Our analysis 513 clearly shows some positive results in terms of meeting the Convention standards in this respect, yet

- 514 there are still a number of critical issues.
- 515 Overall, it appears that websites are still conceived as a legal obligation and not yet as effective 516 participatory instruments. In other words, their potential as one of the most widely used, easily 517 accessible, cost-effective sources of information and means for interaction for the general public is 518 far from being fully exploited. The limited availability of information does not allow the public to 519 exert a widespread control over the activities of public authorities, and makes it very difficult to 520 establish a dialogue with stakeholders that are directly involved in the MPA. Mechanisms for 521 participation are crucial to identify and meet stakeholder demands in terms of information, although
- deficiencies in the effectiveness of this process may be a symptom of major problems in MPA
   management related to funding, staff, managerial capacity and expertise. Against this background,
   the legal standards provided by the Aarhus Convention can play a decisive role.
- 525 In our opinion, two complementary perspectives need to be considered. Firstly, it is crucial to promote 526 public authorities' awareness that making information on MPA management available to the public, 527 and actively disseminating it, is a legal obligation. In addition, it is crucial to enhance public 528 awareness of 'environmental procedural rights'.
- It is widely recognized that public participation in biodiversity conservation must go beyond local communities and vulnerable groups, such as indigenous peoples. True participation requires input from industry and other stakeholder groups, individuals and civil society organisations. Each of these sectors are entitled to the protection and sustainable use of biodiversity which should be considered as a universal public good, relevant for all humankind, regardless of where it is endangered or damaged (Díaz-Reviriego et al., 2019).
- 535 The 'environmental procedural rights' provided by the Convention enable individuals, sectors, and communities to play an active role in the pursuit of the objectives of nature conservation as set by the 536 537 international community. A confirmation of the role that non-State actors have gained thanks to the Aarhus Convention in environmental protection, is the practice of the Compliance Committee 538 established by the Convention. This is confirmed by data released by the Convention bodies: as of 539 October 2021, out of 193 cases received by the Committee, 188 (97%) were Communications 540 submitted by members of the public, in most cases environmental NGOs (States are very reluctant to 541 542 point the finger against each other). Further, 67% of the submission were admissible or preliminarily 543 admissible. In such cases, the Committee adopts findings and, if non-compliance is found, it may 544 make recommendations either to the MOP or, with the Party's agreement, directly to the Party concerned. As of October 2021, 98% of the findings and recommendations were issued in relation to 545 communications from the public<sup>13</sup>. The effectiveness of the mechanism has increased its success: the 546 547 number of communications received between each MOP has continuously increased. Unfortunately,
- 548 nature conservation and biodiversity are not included in the main sectors addressed by the

<sup>&</sup>lt;sup>13</sup> <u>https://unece.org/env/pp/cc/communications-from-the-public</u>

549 communications, confirming that the rights base approach, the environmental procedural rights and the existing instruments are not yet sufficiently known and exploited in this domain. As regards 550 official websites, they are often mentioned by the Committee among the instruments to be used to 551 552 inform and involve the public. As an example, in its Findings and Recommendations adopted on 23 553 July 2021, the Committee dealing with a case of public participation in a transboundary EIA, stated that the notification of a hearing to the public concerned (only) on a governmental website, may be 554 sufficient in some contexts to respect the requirement of "an adequate, timely and effective notice" 555 (art. 6.2) but it may not in other contexts (para 62)<sup>14</sup> (UNECE, CC, 2021). In addition, the relevance 556 of websites, and electronic tools in general, has gained great attention during the pandemic. In such 557 558 context, as regards carrying out public participation procedures, the Committee has highlighted several matters as worthy of particular attention (UNECE, CC, 2020), making reference to "the 559 websites of the relevant public authorities, radio, television and social media", as well as to the "good 560 561 practice" to establish user-friendly online portals where the public concerned can easily access all the relevant information<sup>15</sup> (paras. 22 - 69). 562

563 This means that non-State actors, being allowed to bring their public authorities before an 564 international compliance mechanism, are playing a crucial role in monitoring and promoting the Convention implementation. In the EU, this role has been enhanced by the direct applicability, and 565 566 justiciability by the EU Court of Justice (EUCJ), of the provisions of the Convention that have become 567 an integral part of the EU legal order. While, on the one hand, this has facilitated (and still is enhancing) the Convention implementation, on the other hand, in a game of reciprocal influences, it 568 569 has pushed the EUCJ to broaden the criteria for the legal standing of NGOs according to the 570 Convention requirements (Pallemaerts, 2011). It is clear by now that the recognition of these rights, 571 that enable and protect an informed and effective participation in decision-making processes at all 572 government levels, allows individuals, NGOs, sectors and communities to exert an element of control 573 over the implementation of environmental regulations by the national authorities. Thus, making these 574 authorities not only responsible for the protection of the general interest to nature conservation but 575 also to the citizens they serve.

#### 576

#### 577 CRediT authorship contribution statement

578

V. Rossi: conceptualization, writing - original draft. C. Pita: questionnaire design. F. Badalamenti, G.
D'Anna, C. Pita: data curation, formal analysis. J.E. Argente-Garcia, J. Claudet, G. D'Anna, D.
Goldsborough, P. Mackelworth, C. Pipitone, C. Pita, V. Rossi, M. Semitiel Garcia, Y. Teff-Seker K.
L. Yates: writing - review & editing. All co-authors: data collection from case studies, final draft acceptance.

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- 589

## 590 **Competing interests**

- 591
- 592 The authors declare no competing interests.
- 593

# 594 **Declaration of interest**

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<sup>&</sup>lt;sup>15</sup> ECE/MP.PP/C.1/2021/6

- 595
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- 597
- 598 References599
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