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## Complementarity between the updated version of the front-of-pack nutrition label Nutri-Score and the food-processing NOVA classification

Barthélemy Sarda, Emmanuelle Kesse-Guyot, Valérie Deschamps, Pauline Ducrot, Pilar Galan, Serge Hercberg, Melanie Deschasaux-Tanguy, Bernard Srour, Leopold Fezeu, Mathilde Touvier, et al.

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1 Complementarity between the updated version of the front-of-pack nutrition label Nutri-Score and  
2 the food-processing NOVA classification

3 Barthélemy Sarda<sup>1</sup>, Emmanuelle Kesse-Guyot<sup>1</sup>, Valérie Deschamps<sup>2</sup>, Pauline Ducrot<sup>3</sup>, Pilar Galan<sup>1</sup>,  
4 Serge Hercberg<sup>1,4</sup>, Melanie Deschasaux-Tanguy<sup>1</sup>, Bernard Srour<sup>1</sup>, Leopold K. Fezeu<sup>1</sup>, Mathilde  
5 Touvier<sup>1</sup>, Chantal Julia<sup>1,4</sup>

6 Affiliations:

- 7 1. Université Sorbonne Paris Nord and Université Paris Cité, INSERM, INRAE, CNAM, Nutritional  
8 Epidemiology Research Team (EREN), Center of Research in Epidemiology and Statistics  
9 (CRESS), F-93017 Bobigny, France
- 10 2. Nutritional Epidemiology Surveillance Team (ESEN), Santé publique France, The French Public  
11 Health Agency, Bobigny, France.
- 12 3. Santé publique France, French national public health agency, F-94415, Saint- Maurice,  
13 France.
- 14 4. Public health Department, Hôpital Avicenne, Assistance Publique-Hôpitaux de Paris (AP-HP),  
15 Bobigny, France.

16 Corresponding author : Barthelemy Sarda, 74 rue Marcel Cachin, F-93017 Bobigny,  
17 [b.sarda@eren.smbh.univ-paris13.fr](mailto:b.sarda@eren.smbh.univ-paris13.fr)

18

19 Abstract

20 **Objective:** To compare the initial and the updated versions of the front-of-pack label Nutri-Score  
21 (related to the nutritional content) with the NOVA classification (related to the degree of food  
22 processing) at the food level.

23 **Design:** Using the OpenFoodFacts database -129,950 food products-, we assessed the  
24 complementarity between the Nutri-Score (initial and updated) with the NOVA classification through  
25 a correspondence analysis. Contingency tables between the two classification systems were used.

26 **Settings:** The food offer in France.

27 **Participants:** Not applicable

28 **Results:** With both versions (i.e. initial and updated) of the Nutri-Score, the majority of ultra-  
29 processed products received medium to poor Nutri-Score ratings (between 77.9% and 87.5% of ultra-  
30 processed products depending on the version of the algorithm). Overall, the update of the Nutri-  
31 Score algorithm led to a reduction in the number of products rated A and B and an increase in the  
32 number of products rated D or E for all NOVA categories, with unprocessed foods being the least  
33 impacted (-3.8 percentage points (-5.2%) rated A or B and +1.3 percentage points (+12.9%) rated D or  
34 E) and ultra-processed foods the most impacted (-9.8 percentage points (-43.4%) rated A or B and  
35 +7.8 percentage points (+14.1%) rated D or E). Among ultra-processed foods rated favourably with  
36 the initial Nutri-Score, artificially-sweetened beverages, sweetened plant-based drinks and bread  
37 products were the most penalized categories by the revision of Nutri-Score while low-sugar flavoured  
38 waters, fruit and legume preparations were the least affected.

39 **Conclusion:** These results indicate that the update of the Nutri-Score reinforces its coherence with  
40 the NOVA classification, even though both systems measure two distinct health dimensions at the  
41 food level.

42 **Keywords:** Nutri-Score, nutrient profiling system, NOVA, food processing, public health

43

#### 44 Background

45 The Nutri-Score is a summary, graded, color-coded front-of-pack nutrition label intended to inform  
46 consumers on the overall nutritional quality of foods, in an easy-to-understand format, to facilitate  
47 healthier choices during purchase. Based on a nutrient profiling system developed initially in the  
48 United Kingdom in 2005<sup>(1)</sup>, our research team as well as public health institutions designed jointly  
49 what became in 2017 the recommended front-of-pack nutrition labelling in France, that is, the Nutri-  
50 Score. Briefly, the evaluation provided by the Nutri-Score is based on the content per 100g of  
51 product of unfavourable elements or nutrients (energy, simple sugars, saturated fatty acids and salt)  
52 and of favourable elements (proteins, fibre and proportion of fruit and vegetables) from the  
53 nutritional composition of foods. In the following years, other European countries endorsed the  
54 scheme and recommended its implementation on food packaging. Yet, discussions on the Nutri-  
55 Score continue as the European Commission is proposing to implement a harmonized and mandatory  
56 front-of-pack labelling scheme in the European Union<sup>(2)</sup>.

57 Among criticisms levelled against Nutri-Score, the lack of consideration for the degree of processing  
58 has been an argument to dismiss the label, as it does not base its assessment on this criterion. The  
59 degree of processing of foods is often defined using the NOVA classification, initially proposed by a  
60 research team from the University of Sao Paulo<sup>(3)</sup>. The notion of “ultra-processed foods” originates  
61 from this system, and relates to foods that have undergone intense industrial physical, chemical or  
62 biological processes (e.g. hydrogenation, moulding, extruding, pre-processing by frying) and/or that  
63 generally contain industrial substances not usually found in domestic kitchens (e.g. maltodextrin,  
64 hydrogenated oils or modified starches, flavouring agents, cosmetic additives: dyes, emulsifiers, non-  
65 nutritive sweeteners...)<sup>(4)</sup>. Ultra-processed foods emerged in the 1950’s in a context of globalization  
66 of food systems, and since then have become a cornerstone of dietary patterns in industrialized  
67 countries, including Europe<sup>(5)</sup>. While their relative contribution in diets vary greatly among individuals  
68 and countries -reaching in some countries more than half of the energy contribution<sup>(6)</sup>-, their  
69 consumption has been on the rise globally, especially in low and middle-income countries<sup>(5)</sup>.  
70 However, in the last decade, growing concerns have emerged over the consumption of such foods as  
71 research has shown that higher consumption of ultra-processed foods was associated with higher  
72 health risks, such as obesity<sup>(7,8)</sup>, type-2 diabetes<sup>(9)</sup>, cancer<sup>(10)</sup> or all-cause mortality<sup>(11,12)</sup>. In light of this  
73 field of research, some countries started to recommend in their dietary guidelines to reduce the  
74 consumption of ultra-processed foods, including France.

75 On the other hand, front-of-pack nutrition labelling (FoPL) provides information to consumers as to  
76 the nutrient content of foods, as nutrient intakes have long been shown to impact long-term health  
77 <sup>(13)</sup>. Summary FoPLs use nutrient profiling to inform consumers on the overall nutritional quality of

78 foods in a simplified indicator. Assessment provided by front-of-pack nutrition label, including the  
79 Nutri-Score, in most cases rely on macronutrient and/or micronutrient data (or proxies of them), and  
80 do not integrate the degree of processing in their evaluations.

81 While nutritional quality of foods and degree of processing covers two different health dimensions of  
82 foods, they are inter-related: on average, ultra-processed foods tend to be of lower nutritional  
83 quality with higher levels of energy, salt, sugar or fats<sup>(14-16)</sup>. Nevertheless, they are not collinear and  
84 correspond to complementary concepts at the level of a food product<sup>(17)</sup>. Some reconstituted meat  
85 substitutes or diet sodas may have a rather favourable nutritional profile per se (none-to-low calories  
86 and sugar, high content in legumes and vegetables), but they are typically ultra-processed  
87 (containing non-nutritive sweeteners, dyes, emulsifiers, etc.). Conversely, a home-made chocolate  
88 cake or a 100% pure fruit juice are not ultra-processed but have a rather poor nutritional profile (high  
89 levels of saturated fats, sugar and/or energy). However, at the moment, only information on  
90 nutritional quality is directly available to consumers, through front-of-pack labelling, hence the  
91 importance of exploring the alignment between the two systems.

92 In 2023, an updated version of the nutrient profiling system used to compute the Nutri-Score was  
93 published<sup>(18,19)</sup>. The expert group in charge of the update proposed modifications to the calculation  
94 method with the aim of improving complementarity where necessary between Nutri-Score and food-  
95 based dietary guidelines<sup>(20)</sup>. The recent update of the system thus raises questions on the evolution  
96 of the classification of foods depending on their degree of processing considering that it has been a  
97 source of criticisms for the former version of the Nutri-Score. The aim of this study was to compare  
98 the classification of foods on French food market according to either NOVA classification and with  
99 both versions of the Nutri-Score (i.e. the initial and the updated versions).

## 100 Methods

### 101 Food composition data

102 Food composition from the French food market was based on the crowd-sourced food information  
103 database OpenFoodFacts<sup>(21)</sup>. The OpenFoodFacts project is an open-sourced and collaborative  
104 database, listing products sold worldwide. The database provides data regarding the nutritional  
105 content of foods (mostly prepacked), based on the nutritional declaration displayed on the back-of-  
106 pack, the ingredient list, and other information found on labels. Additionally, based on the list of  
107 ingredients, OpenFoodFacts provides the NOVA classification of the product. The analyses were  
108 conducted with data extracted in November 2021 and with products sold in France. The database  
109 cover extensively the French food market and includes 400,005 products.

110 Food categories not subject to display the Nutri-Score (e.g. alcoholic beverages, spices, dry tea,  
111 coffee or baby food) or foods with missing categorization in the OpenFoodFacts database were  
112 excluded. Missing values were treated as follows:

- 113 • In case of missing values or outlier values for a mandatory nutrient according to the EU  
114 n°1169/2011 Regulation or NOVA classification, products were excluded;
- 115 • In case of missing fibre values, for products belonging to a group with no fibre (e.g. fish and  
116 seafood, fats and oils) a value of 0g was imputed. For products belonging to groups with a  
117 significant amount of fibre (defined as a group for which at least 25% of products with fibre  
118 information contained more than 0.9g of fibre (the first threshold at which the Nutri-Score  
119 allocates points for fibre)), products were excluded;
- 120 • In case of missing values for the fruit and vegetable component, three possibilities were  
121 considered. First, if a product belonged to a group with no apparent element from fruit or  
122 vegetable component, 0% was imputed to all the products with missing values in that  
123 category (e.g. pasta, bread, rice, fish or meat). Then, for mono-ingredient products in  
124 categories belonging to the fruit and vegetable component (e.g. fruit, vegetables or fruit  
125 juices), 100% was imputed. Other products were excluded.

126 A flowchart corresponding to the data management is presented below (Figure 1).

127

128 A list of the food groups and sample size of the final sample is presented in Supplementary Material  
129 1.

130 Finally, NOVA classification was checked using the food categories, product names, ingredients lists  
131 as well as the list of additives to correct potential misclassification, according to published  
132 guidelines<sup>(4)</sup>. The list of additives was used to detect if products were inadequately classified as NOVA  
133 1 as in this category products may only contain some specific preservatives. The food categories (and  
134 if necessary product names and ingredient lists) were used to detect and to reclassify if there were  
135 any outliers (e.g. prepared dishes in the NOVA 1 category or 100% fruit juices in the NOVA 4  
136 categories).

#### 137 Nutri-Score computation

138 The Nutri-Score was computed using the guidelines provided by the French Public health Agency for  
139 the initial and updated version of the algorithm<sup>(22)</sup>.

140 To explain briefly, the initial score computation system bases its assessment on the nutritional  
141 composition of the food as sold per 100g (or 100ml for beverages): on one hand, points are allocated  
142 for the “negative” components (energy (kJ), saturated fat (g), sugar (g) and salt (g) or sodium(mg))  
143 and on the other hand points are allocated for the “favourable” components (protein (g), fibre (g),  
144 fruits/vegetables/pulses/nuts and oils (%)). Using the two subtotals, a final score is computed and a  
145 rating is then assigned based on the final score.

146 The updated version of the algorithm functions similarly to its predecessor. There have been  
147 adaptations such as increase of the maximum number of points for the sugar and salt components,  
148 which led to less favourable ratings for foods with high content in sugar or in salt. Then, points  
149 pertaining to the fibre component and the protein component were more strictly allocated, meaning  
150 that a greater content of fibre or protein was needed to receive points from the “favourable”  
151 component. Additionally, specific rules were added for red meat, which limited the maximum  
152 number of points red meat products could from the “favourable” component; In beverages, the  
153 presence of non-nutritive sweetener, was added as an additional “unfavourable” element. Finally,  
154 threshold to convert the final score into the rating were adapted to the new algorithm. Detailed  
155 description of the initial and updated version of the Nutri-Score can be found in Supplemental  
156 material 2.

#### 157 NOVA classification

158 The degree of processing was assessed using the NOVA classification, which consists of four groups.  
159 First, NOVA 1 group includes unprocessed or minimally processed foods (fresh, dried, grounded,

160 chilled, frozen, pasteurized or fermented staple foods such as fruits, vegetables, pulses, rice, pasta,  
161 eggs, meat, fish or milk). Then, NOVA 2 group includes processed culinary ingredients (salt, vegetable  
162 oils, butter, sugar and other substances extracted from foods and used in kitchens to transform  
163 unprocessed or minimally processed foods into culinary preparations) Then, NOVA 3 group includes  
164 processed foods (canned vegetables with added salt, sugar-coated dry fruits, meat products only  
165 preserved by salting, cheeses and freshly made unpackaged breads, and other products  
166 manufactured with the addition of salt, sugar or other substances of the “processed culinary  
167 ingredients” group). Finally, NOVA 4 group includes ultra-processed foods (i.e. products that undergo  
168 industrial processes that include for instance hydrogenation, hydrolysis, extruding, moulding,  
169 reshaping, and pre-processing by frying). Flavouring agents, colours, emulsifiers, humectants, non-  
170 nutritive sweeteners and other cosmetic additives are often added to NOVA 4 products to imitate  
171 sensorial properties of unprocessed or minimally processed foods and their culinary preparations.  
172 The ultra-processed foods group is defined by opposition to the three other NOVA groups.

173 The assessment of the NOVA classification was conducted by the OpenFoodFacts database based on  
174 the list of ingredients and food categorization, as explained the OpenFoodFacts website<sup>(23)</sup>. To  
175 explain briefly, all products are by default classified NOVA 1 and if products contain qualifying  
176 ingredients, their classification is changed. For example, food items containing ingredients exclusively  
177 found in NOVA 4 products (e.g. cosmetic additives (food dyes, emulsifiers, texture agents...),  
178 flavouring agents, hydrogenated oils) are automatically classified as ultra-processed. Products in the  
179 NOVA group 2, 3 and 4 tend to be accurately classified as qualifying ingredients were used to  
180 determine their classification. As a result, we considered that the main source of error would be in  
181 case of undetected ingredients and thus, the NOVA 1 group was the most likely to contain  
182 misclassified products. We controlled the quality of products classified as NOVA 1 based on the food  
183 categorization and the list of ingredients and manually reclassified errors in the adequate NOVA  
184 group (723 products (5% of NOVA 1 products) were reclassified following this procedure).

185

186 Statistical analysis

187 Results are presented as frequencies of products in each Nutri-Score group per NOVA group.  
188 Differences in the distribution across categories for each NOVA group were tested using Chi-Square  
189 tests.

190 While the Nutri-Score does not have the purpose to classify foods as healthy or unhealthy, but rather  
191 to indicate which products are of higher or lower nutritional quality in comparison with other  
192 products of the same category we investigated which food groups corresponded to less coherent

193 ratings (i.e. favourable (A and B) ratings for NOVA 4 products and unfavourable ratings (D and E) for  
194 NOVA 1) products.

195 All statistical analyses were performed with SAS software, version 9.4 (SAS Institute Inc., Cary,  
196 NC, USA).

197

198

## 199 Results

200

201 The final database included 129,950 products from the French food market, for which both versions  
202 of the Nutri-Score were computed. Among these products, NOVA 4 products were the most  
203 represented category (n=79,512; 61% of all products), followed by NOVA 3 (n =33,255; 26% of all  
204 products) and NOVA 1 products (n=14,073; 11% of all products), and finally NOVA 2 products  
205 (n=3,110; 2% of all products). Of note, the focus of the Open Food Facts database on prepacked  
206 foods explains the predominance of processed and ultra-processed foods.

207 Table 1 displays the distribution of the classification of different NOVA groups according to both  
208 versions of the Nutri-Score. With both versions of the Nutri-Score, NOVA 1 products were rated in  
209 majority A or B (initial algorithm: 72.5% and updated algorithm: 68.7%), and a limited proportion was  
210 rated D or E (initial algorithm: 9.9% and updated algorithm: 11.4%). The most represented Nutri-  
211 Score categories for NOVA 4 (resp. NOVA 3) products were the D or E categories (initial algorithm:  
212 55.4% and updated algorithm: 63.2% (resp. 48.1% and 53.9%)), and a smaller proportion was rated A  
213 or B (initial algorithm: 22.1% and updated algorithm: 12.5% (resp. 32.9% and 25.6%)). Overall, the  
214 update of the Nutri-Score algorithm led to a lower proportion of products in A and B and a higher  
215 proportion of products in D or E categories for all NOVA categories, with notable differences  
216 between groups (NOVA 1: -3.8 percentage points products rated A or B (-5.2%) and +1.3 percentage  
217 points (+12.9%) products rated D or E; NOVA 3: -7.3 percentage points (-22.2%) products rated A or  
218 B and +5.8 percentage points (+12.1%) products rated D or E ; NOVA 4:-9.6 percentage points (-  
219 43.4%) products rated A or B and +7.8 percentage points (+14.1%) products rated D or E). The  
220 relative increase in strictness (i.e. less product rated A or B and more rated D or E) was also observed  
221 across all the database: regardless of the food group, 29% of products in our database saw their  
222 rating being deteriorated, 6% of products in our database saw their rating being improved and 65%  
223 of products had the same rating.

224 In Table 2, NOVA 1 products with a D or E and NOVA 4 products with A or B, considered as less  
225 coherent, are presented for each version of the algorithm. Regardless of the version of the algorithm  
226 used, most of NOVA 1 products rated D or E, were fruit juices (86% with the initial algorithm and 79%  
227 with the updated algorithm). With the updated algorithm, the proportion of fruit juices rated D or E  
228 slightly increased (from 33.3% to 34.1%), while the number of dried fruits unfavourably rated was  
229 doubled-due to their higher content in sugar-, leading to 22.8% of dried fruits being rated D or E. For  
230 NOVA 4 products, ready-to-eat meals were the most represented category in A or B with the initial  
231 algorithm, followed by dairy products (i.e. yogurts or milk-based beverages), bread products (i.e.  
232 sandwich bread or rusks) and vegetable preparations (i.e. canned vegetables or salads with added  
233 additives). The impact of the update of the Nutri-Score algorithm varied greatly across categories.

234 The categories with the greatest decline in the proportion of NOVA 4 products in A or B categories  
235 between the initial and updated algorithm were the following: bread products (-70.3%), ready-to-eat  
236 dishes (-50.1%), milk and yogurts (-55.0%), plant-based beverages (-75.6%), breakfast cereals (-  
237 50.3%), and artificially-sweetened beverages (-93.9%). On the contrary, flavoured waters was the  
238 only category for which the number of NOVA 4 products rated A or B increased (+86.5%) and fruit  
239 products and prepared legumes (e.g. canned or plant-based meat alternatives with legumes) were  
240 the least negatively affected categories (resp. -7.1% and -7.0%).

241

## 242 Discussion

243 The present study shows for the first time the effect of the update of the Nutri-Score's algorithm on  
244 the alignment between the Nutri-Score and the NOVA classification. The results show that the initial  
245 Nutri-Score was overall aligned with the NOVA classification by rating favourably most unprocessed  
246 products and unfavourably the majority of ultra-processed products, even though some  
247 discrepancies were observable. The update of the Nutri-Score allowed to further align these two  
248 classifications given that ultra-processed products were the most penalized NOVA group by the  
249 update and unprocessed products were little affected.

250 To the authors' knowledge, few studies explored the alignment between the NOVA classification and  
251 the initial version of the Nutri-Score on large branded food composition databases <sup>(24,25)</sup>, even though  
252 other studies have investigated specific sectors <sup>(26-29)</sup> or a more limited selection of foods <sup>(30)</sup>. All  
253 studies that investigated the food offer cross-sectionally, including the present one, found that  
254 between 51.5% and 56.0% of NOVA 4 products were rated D or E, with the initial version of the Nutri-  
255 Score, showing that results were consistent across studies. Overall, a minority of ultra-processed  
256 products are rated A or B, which is unsurprising as ultra-processed products tend to have higher  
257 content in fat, simple sugars and/or salt <sup>(14-16)</sup>, and thus are poorly rated by the Nutri-Score.  
258 Interestingly, the study from Romero Ferreiro and al. <sup>(25)</sup> used the relative frequency of NOVA groups  
259 per Nutri-Score category as its main outcome. Given the clear imbalance in proportions on the food  
260 market between NOVA groups – 56% of products in the study from Romero Ferreiro were NOVA 4-,  
261 the results obtained by Romero Ferreiro and al. reflects the preponderance of ultra-processed  
262 products on the market rather than the actual ability of the Nutri-Score to discriminate products  
263 from different NOVA categories. Thus, in this study, the choice was made to use the relative  
264 frequency of Nutri-Score categories per NOVA groups.

265 Nonetheless, a significant proportion of NOVA 4 products was rated in the A and B categories. Indeed  
266 the Nutri-Score and the NOVA classification cover two distinct but complementary aspects of the

267 health dimensions of foods <sup>(24,25)</sup>. The Nutri-Score objective is to describe and summarize in a clear  
268 and understandable format the nutritional quality of foods. Some foods classified as NOVA 4 may  
269 have a favourable nutritional profile (e.g. unsweetened artificially-flavoured water, some canned  
270 legumes with added additives, or plant-based meat substitutes using emulsifiers and/or texturing  
271 agents). The Nutri-Score rated unfavourably the majority of ultra-processed foods in relation to their  
272 poor nutritional profile, without considering their degree of processing. Indeed, the Nutri-Score does  
273 not include other aspects that may also be relevant to evaluate the overall health effects of an  
274 individual food, such as degree of processing or formulation (i.e. presence of food additives),  
275 contamination by packaging, or pesticide content. However, given the current state of evidence, it  
276 has not been possible to elaborate a comprehensive indicator that would integrate all different  
277 health dimensions. As such, the Nutri-Score “only” provides information on nutritional quality, the  
278 importance of which for long-term health has been demonstrated by decades of research and is  
279 acknowledged by national and international health authorities<sup>(31,32)</sup>. Numerous studies have also  
280 demonstrated that diets composed of products with a more favourable rating by the initial version of  
281 the Nutri-Score was associated with a lesser risk of developing chronic diseases<sup>(33–36)</sup> and  
282 mortality<sup>(37,38)</sup> in various cohorts and contexts.

283 Overall, the update of the Nutri-Score algorithm led to a reduction in the number of products rated A  
284 and B and an increase in the number of products rated D or E for all NOVA categories, with  
285 unprocessed foods being the least impacted and ultra-processed foods the most impacted.  
286 Regarding unprocessed and minimally-processed products, the rather constant number of products  
287 rated D and E is mostly attributable to the stable number of fruit juices rated D or E, which represent  
288 the vast majority of NOVA 1 products rated D or E in our data (86% with the initial algorithm and 80%  
289 with updated algorithm). For ultra-processed products, the number of products rated A or B  
290 decreased for all categories except for flavoured waters. Most heavily impacted categories were  
291 those which benefited with the initial Nutri-Score from points compensation between the favourable  
292 and unfavourable component (i.e. bread products, ready-to-eat dishes, flavoured yogurts, breakfast  
293 cereals), mainly due to the relatively lenient thresholds for the fibre and protein component. Ready-  
294 to-eat dishes are typical of this phenomenon, as they are mix of different foods (usually a source of  
295 protein, vegetables and a cereal), they tend contain intermediary content of many nutrients/ or  
296 elements, which tend to be rated favourably with more lenient thresholds. The use of stricter  
297 thresholds in the favourable component impacted considerably their ratings. On the other hand,  
298 prepared vegetables, fruits or legumes -which were classified as ultra-processed because of added  
299 additives such as artificial flavours, texturing agents or emulsifiers- were the least affected categories  
300 as their favourable rating stemmed from their low content in “unfavourable” components (energy,

301 saturated fat, sugar or salt). For beverages, several modifications explain the results observed. First, a  
302 specific 'unfavourable' component has been implemented for the addition of non-nutritive  
303 sweeteners, which led to the almost absence of artificially-sweetened beverages in the B category.  
304 Then, change in calculation's modalities for dairy and plant-based beverages, deteriorated the rating  
305 of any product with added sugar (e.g. chocolate milk or sweetened almond drink). Finally, the  
306 classification became slightly more lenient for very-low-sugar beverages (less than 2g of sugar per  
307 100mL), hence the increase in the number of flavoured waters rated B.

308 In the last decade, the body of evidence regarding the degree of food processing increased  
309 dramatically, with links established between high consumption of ultra-processed foods and chronic  
310 diseases<sup>(9,10)</sup> and all-cause mortality<sup>(11,39-41)</sup>. However, the relative importance of nutrient intake vs.  
311 ultra-processed food consumption on health is still underexplored in the scientific literature. In a  
312 recent study from the NutriNet-Santé cohort, we showed that the overall quality of the diet at the  
313 individual level was attributable to ultra-processing and nutritional quality of foods in similar  
314 proportions (resp. 30% and 26%), but 44% of the effect was attributed to cross-effects between the  
315 two<sup>(42)</sup>. These first results support the hypothesis that nutritional quality and ultra-processing are  
316 indeed two complementary but distinct dimensions of diet quality, but such results need to be  
317 replicated in other contexts and mechanisms involved should be investigated.

318 At this point, it should be pointed out that most front-of-pack nutrition labelling systems  
319 implemented in the world inform on nutritional quality and none inform on the degree of processing.  
320 Systems, such as Multiple Traffic Lights, the Health Star rating or Nutr'Inform Battery, do not  
321 integrate degree of processing in their assessment. Additionally, though warning labels -  
322 implemented in various countries in South America- are only displayed on processed and ultra-  
323 processed foods, a study found that 33.2% of NOVA 4 products of the Chilean basic food basket  
324 would not display any warning<sup>(30)</sup>. Thus, most front-of-pack labels distinguish products from varying  
325 nutritional quality, even among ultra-processed foods. This relates to the availability from a  
326 regulatory perspective of data on the nutritional content of foods, through international guidelines  
327 from Codex Alimentarius, which support the implementation of FoPLs based on this information. For  
328 ultra-processed foods, no such regulatory definition yet exists, which may limit the ability of  
329 governments to draft legislation due to risks of challenges through the courts by manufacturers.  
330 While such a definition would be the basis to support regulation, pushing this issue on the agenda of  
331 the global governance on nutritional labelling (Codex Alimentarius) would require a modification of  
332 the power balance in this institution between public and private actors<sup>(43,44)</sup>. Finally, it should also be  
333 noted some label initiatives that aim to inform first and foremost on food processing (e.g. Siga

334 classification) have been developed as online information, but have been little investigated and  
335 validated.

336 Nevertheless, in a recent randomized control trial, we investigated the impact of adding a black  
337 banner on the Nutri-Score for ultra-processed foods on the objective understanding of French  
338 consumers and found that this combined graphical format allowed consumers to both identify  
339 products of better nutritional quality and ultra-processed foods<sup>(45)</sup>. However, little is still known on  
340 the relative health impact of each dimension. Thus, additional scientific knowledge is needed to  
341 better inform consumers on how to prioritize products in case of conflicting characteristics (e.g.  
342 (un)processed foods of poor nutritional quality vs. ultra-processed food of better nutritional quality)  
343 and how this arbitration may impact health in the long term. Another solution may be to integrate  
344 the degree of processing in the underlying nutrient profiling system, which would allow to keep the  
345 label easy to understand and would avoid information overload<sup>(46)</sup>. However, progress in our  
346 understanding of the interaction between nutritional quality and the degree of processing is required  
347 before being able to combine both dimensions in a single indicator.

348 The present work is the first one to report data on the recent update of the Nutri-Score and its  
349 impact on the correlation between the Nutri-Score and the NOVA classification. The use of the  
350 OpenFoodFacts database allowed us to have access to a wide range of prepacked products with  
351 129,950 products analysed, with their corresponding NOVA classification. However, it should be  
352 pointed out that the main strength of the study is also its main limitation: the use of the Open Food  
353 Facts database. Although the Open Food Facts database includes a large number of products  
354 available on the market, we have no information about the representativeness of the sample of  
355 foods retrieved, either in terms of number of products or market share. However, to our knowledge,  
356 such a comprehensive database, detailing food composition, NOVA and food group classification and  
357 sales volumes does not exist with a similar degree of coverage to that provided by the  
358 OpenFoodFacts database even after considering the number of products with missing data (32.5% of  
359 products had complete information for our study). Furthermore, as with any contributor-based  
360 database, some errors in food composition or classification could not be excluded. However, even if  
361 contributors are subject to errors, the errors are minimised by text and image recognition algorithms  
362 enabling automated checks. In addition, systematic control campaigns including random sampling  
363 and control of food products as well as updating of information are regularly carried out. Then, for  
364 the qualification of the degree of processing, we used the NOVA classification, which has been largely  
365 used to conduct research but has received criticisms for its lack of robustness and the lack of  
366 consideration for food science knowledge<sup>(47,48)</sup>. The attribution of NOVA group for each product was  
367 realized in the OpenFoodFacts database based on a textual analysis of the list of ingredients and the

368 food categorization, which may have led to inaccuracies even though manual checks were conducted  
369 to minimize errors. Then, the OpenFoodFacts database mainly contains pre-packed products sold in  
370 supermarkets, which means that products sold in bulk are under-represented. This phenomenon  
371 disproportionately affects NOVA 1 products - mainly fruit, vegetables, raw meat and fish - which may  
372 explain the high proportion of NOVA 3 and 4 products in the database. However, as we have seen in  
373 the present study, unprocessed products with no addition of fat, sugar and salt are rated favourably  
374 by the Nutri-Score, and thus we can hypothesize that the results would be similar if they were  
375 included. Finally, the approach with ultra-processed foods is binary (i.e. consumption of ultra-  
376 processed foods should be limited vs. foods from other NOVA categories which should be privileged  
377 over ultra-processed alternatives) whereas the Nutri-Score proposes a gradual scale, which required  
378 us to decide subjectively which categories of the Nutri-Score were considered aligned with the  
379 different NOVA categories. As there is no gold standard method for such analyses, we based our  
380 decision on the Nutri-Score's colour code and implicit meaning (i.e. A and B are dark and light green,  
381 signalling foods that should be privileged; D and E are light and dark orange, signalling foods that  
382 should be limited). While this decision could be discussed, to our knowledge, no data indicate which  
383 Nutri-Score category would be perceived as discordant by consumers for each respective NOVA  
384 categories.

385 To conclude, the updated version of the Nutri-Score appeared to be more aligned with the NOVA  
386 classification, with significantly less ultra-processed foods being rated favourably. The Nutri-Score  
387 and the NOVA classification cover complementary but distinct dimensions at the food level. Further  
388 research needs to identify the mechanisms by which ultra-processed foods affect health and how  
389 they interact with nutritional quality. Such information is required if we want in the future to further  
390 upgrade the algorithm of the Nutri-Score by integrating both dimensions. Meanwhile, health  
391 authorities should communicate on how to use the Nutri-Score adequately, while promoting the  
392 consumption of minimally processed foods.

393

394 Short title : Complementarity between the updated Nutri-Score and the NOVA classification

395

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397

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411

412 Data availability: The OpenFoodFacts data used in the study is available on their website (<https://world.openfoodfacts.org/>,  
413 accessed on November 2021) OpenFoodFacts is an open collaborative  
414 database of food products marketed worldwide, licensed under the Open Database License (ODBL).

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544 Figure 1: Flowchart of the products included in the study

545

546 Table 1: Cross-frequency table between Nutri-Score and NOVA classification.

547

Group	N		A	B	C	D	E	P-value <sup>1</sup>
NOVA 1 <sup>2</sup>	14,073	Initial	56.3%	16.2%	17.4%	4.9%	5.2%	<0.001
		Updated	50.1%	18.6%	19.9%	7.5%	3.9%	
NOVA 2	3,110	Initial	0.7%	3.1%	26.6%	38.4%	31.2%	<0.001
		Updated	2.7%	18.5%	15.7%	10.5%	52.6%	
NOVA 3	33,255	Initial	19.0%	13.9%	19.0%	33.8%	14.3%	<0.001
		Updated	16.2%	9.4%	20.5%	30.6%	23.3%	
NOVA 4	79,512	Initial	8.6%	13.5%	22.5%	31.8%	23.6%	<0.001
		Updated	5.3%	7.2%	24.3%	29.3%	33.9%	

548

549 <sup>1</sup>P-values correspond to Chi-Square tests between the initial and updated classification using the Nutri-Score. A  
550 test has been performed for each NOVA category. <sup>2</sup>Relative frequencies were calculated by rows.

551

552

553 Table 2: Description and evolution of NOVA 1 products rated D or E and NOVA 4 products rated A or B

NOVA 1		NOVA 1 products rated D or E					
Group	N	Indicative foods	Initial Nutri-Score		Updated Nutri-Score		Relative variation
			N	%	N	%	
Fruit juices <sup>1</sup>	3668	Fruit juices	1220	33.3%	1252	34.1%	+2.6%
Dried fruits	556	Dried coconut, goji berries...	60	10.8%	127	22.8%	+112%
Meat	607	Cuts of red meat high in saturated fat	57	10.3%	61	10.9%	+7.0%
Plant-based beverages	118	Coconut milk	49	41.5%	60	50.8%	+18.3%
NOVA 4		NOVA 4 products rated A or B					
Group	N	Indicative foods	Initial Nutri-Score		Updated Nutri-Score		Relative variation
			N	%	N	%	
Ready-to-eat dishes	6,828	All types of ready-to-eat dishes	4,533	66.4%	2260	33.1%	-50.1%
Vegetable-based or vegetarian dishes	3,174	Vegetable salads, sandwiches, soups...	1,983	63.5%	1,017	32.0%	-48.7%
Meat-based dishes	1,747	Cassoulet, sausage and lentils, shepherd's pie...	1,020	58.3%	350	20.0%	-65.7%
Poultry-based dishes	921	Curry, paella with chicken...	827	89.8%	476	51.7%	-42.4%
Fish-based dishes	986	Fish with rice, breaded fish...	703	71.3%	375	38.0%	-46.7%
Milk and yogurts	3,990	Flavoured yogurts, flavoured milks	1,813	45.4%	815	20.4%	-55.0%
Bread	2,232	Sandwich bread, rusks...	1,461	65.5%	434	19.4%	-70.3%
Vegetables	942	Prepared vegetables (canned or fresh)	845	89.7%	746	79.2%	-11.7%
Meat	2,666	Poultry preparations	722	27.1%	641	24.0%	-11.2%
Fish	1,567	Canned fish, breaded fish	669	42.7%	554	35.4%	-17.2%
Cereal products	1,257	Prepared pasta (plain or stuffed)	650	51.7%	353	28.1%	-45.7%
Artificially-sweetened beverages	1,889	Soft drinks sweetened with non-nutritive sweeteners	478	25.3%	29	1.5%	-93.9%
Flavoured waters	1,111	Flavoured waters unsweetened or lightly sweetened	342	30.8%	638	57.4%	86.5%
Plant-based beverages	727	Plant-based milks	569	78.3%	139	19.1%	-75.6%
Sauces, dressings and dips	4596	Tomato-based sauces, guacamole...	565	12.2%	374	8.1%	-33.8%
Sweets	10,430	Plant-based yogurts, jam low in sugar	531	5.1%	360	3.5%	-32.2%
Soups	728	Soups	526	72.3%	348	47.8%	-33.8%
Breakfast cereals	1,701	Muesli, whole-grain granola	441	25.9%	219	12.9%	-50.3%
Fruits	520	Compotes, fruit preparations	422	81.1%	392	75.4%	-7.1%
Processed meat	6,918	Ham	354	5.1%	229	3.3%	-35.3%
Legumes	345	Canned and/or prepared legumes	284	82.3%	264	76.5%	-7.0%

554 Categories representing less than 2% of the total number of NOVA 1 products rated D or E (resp. NOVA 4

555 products rated A or B) were not presented.

556 <sup>1</sup>The results should be read as followed: "Out of the 3668 products categorized as fruit juices and as NOVA 1  
557 products, 1220 products were rated D or E with the initial Nutri-Score and 1252 with the updated algorithm,  
558 representing a relative increase of 2.6%".