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# 1 Title

#### 2 When origin, reproduction ability, and diet define the role of birds in invasions

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# 8 Short running title

## 9 Bird traits differ in invasions

#### 10 Abstract

11 The ecological impacts of invasive alien species (IAS) are increasingly documented, however 12 they are usually studied through the lens of either the IAS or the affected species (IAS-13 threatened species). A clear understanding of how both protagonists of biological invasions 14 are characterized is still lacking. We investigated the morphology, life-history, and ecology of 15 birds involved in biological invasions. Evaluating the distribution of 450 IAS-threatened birds 16 and 400 alien birds in a functional space, we found that both groups retained various 17 strategies. Aliens had larger clutches and were more likely to be herbivores than IAS-18 threatened and worldwide birds, while IAS-threatened birds were more insular endemic from 19 Australia region than alien and worldwide birds. IAS-threatened species showed opposite 20 strategies to aliens regarding traits related to diet, origin, and reproduction. Further comparing 21 traits associated with impact magnitude, we found that even if aliens were mostly 22 herbivorous, those with high impact had more a generalist behavior and an animal-based diet 23 compared to aliens with low impact. By emphasizing differences relating to the distribution of

- 24 bird groups in a functional space, we opened new opportunities to identify the role of birds in
- 25 biological invasions.
- 26

# 27 Keywords

28 Aliens, birds, impact, traits, functional space, two-sides-of-the-same-coin hypothesis

# 29 Background

30

31 worldwide (1,2). Invasive alien species (IAS) have many ecological consequences on native 32 ecosystems, ranging from negligible species disturbance to population extirpation or species 33 extinction (3–5). Attempts to evaluate and classify IAS impacts have been widely developed, 34 resulting in protocols that vary according to the studied taxa, spatial scales, habitat types, or 35 objectives (6). Among these initiatives, the Environmental Impact Classification for Alien 36 Taxa (EICAT) classifies the ecological impacts of alien species in a standardized and 37 objective manner (7,8). This protocol has been applied to a growing list of alien taxa, 38 including birds (9), and was recently adopted by the International Union for Conservation of 39 Nature (IUCN) to develop a reproducible and global framework to evaluate IAS impacts (10). 40 The IUCN Red List Threat Classification Scheme also provides another point of view for 41 assessing the biodiversity impacted by IAS (11,12). When taken together, the EICAT protocol 42 and the IUCN Red List represent two complementary tools for studying the impacts of biological invasions at a global scale (13) from species that successfully become introduced 43 44 (alien species) to species threatened by biological invasions in general (IAS-threatened 45 species).

Biological invasions are responsible for major ecological and socioeconomic impacts

Understanding what makes alien species successful or, in contrast, what renders native 46 47 species vulnerable to biological invasions is at the core of many studies (14–17). Specifically, species traits have been identified as drivers of invasion success for alien species within a 48 49 variety of taxa, namely mammals (18), birds (19,20), amphibians and reptiles (21), fish (22), 50 and plants (23). For instance, the establishment success of alien birds is correlated with a high 51 body mass and strongly associated with a generalist profile in terms of diet and habitat (19). 52 Sol et al. (2012) showed that a low brood value and high relative brain size enhance also 53 establishment success of alien bird species. Species traits are also predictors of species'

54 vulnerability to global threats (24,25), and to biological invasions in particular (26,27). 55 Indeed, water-level foragers and large-sized species are overrepresented among IAS-56 threatened birds, as well as habitat specialist species' (26). Altogether, those results suggest 57 that ecological and reproductive capacities helping to cope rapidly with a new environment 58 favor alien species in biological invasions. By contrast, traits conferring a high ecological 59 specialization and a "slow" life history are more often associated with species threatened by 60 IAS (26,27). This corroborates the assumption that traits contributing to species imperilment 61 should be opposed to those promoting alien success, also known as the "two-sides-of-the-62 same-coin" hypothesis (28,29). Although validated for various taxonomic groups and scales 63 (vascular flora (28) and crayfishes (30) from north America; worldwide freshwater fish (15) 64 and legumes (29)), attempts to prove this hypothesis for terrestrial vertebrates such as birds or reptiles were inconclusive (31–33). Nevertheless, in all cases, the alien species were opposed 65 66 to species that were imperiled regardless of the identity of the threats, whereas the features 67 conferring vulnerability were in fact highly threat-dependent (25,27). Therefore, one could 68 expect that alien species and IAS-threatened birds in general lie at opposite extremes of trait 69 values, both diverging from intermediate values encompassed by worldwide birds, but it has 70 never been explicitly tested.

71 The objective of this paper is twofold. First, we aim to explore how traits disentangle the role 72 of birds involved in biological invasions as alien birds or IAS-threatened birds, according to 73 their impact. Second, we propose to revisit the "two-sides-of-the-same-coin" hypothesis for 74 birds at global scale by comparing the traits of alien species and those of IAS-threatened 75 species with a control group of worldwide birds. We described 11 morphological, life history, 76 and ecological traits for both alien and IAS-threatened birds. Our database benefits from the 77 recent publication of a global compilation of morphological traits, which offers new 78 perspectives for studies on bird functional diversity (34). We thus investigated the distribution

79 of c.a. 400 alien birds and 450 IAS-threatened birds in a global avian functional space, 80 comparing their density on the axes of the space. We then conducted a trait-by-trait analysis 81 to better describe the functional properties of both groups, and we compared them to a control 82 group of worldwide birds described for the 11 traits. Finally, taking advantage of the EICAT 83 and IUCN Red List tools, we classified the impacts of both groups regarding their magnitude 84 (ranging from low to high impact) and the main mechanism they are associated with (e.g., direct species effect, indirect species effect, and habitat effect). Ecological traits like habitat 85 86 and diet breadth are positively correlated with the strength of alien birds ecological impacts 87 (35–37). Thus, we expect a gradient of traits between species at the extreme sides of the 88 continuum of impact (i.e., highly impacted IAS-threatened birds and alien birds with high 89 impact) and species with more moderate responses in biological invasions (i.e., weakly 90 impacted IAS-threatened birds and alien birds with low impact, Figure 1(a)).

91

# 92 Methods

## 93 Data collection

# 94 Birds threatened by biological invasions

Birds at high risk of extinction because of biological invasions were selected from the IUCN
Red List (11). We identified species exposed to IAS using the IUCN Threats Classification
Scheme (version 3.2), which provides a coherent categorization of threats to biodiversity (38).
All species associated with the threats 8.1 Invasive non-native/alien species/diseases or 8.4 *Problematic species/diseases of unknown origin* (when we could determine the exotic origin
of the named problematic species) were regarded as exposed to IAS. We focused on the
subgroup of species at high risk of extinction from the vulnerable, endangered, and critically

102 endangered categories in the IUCN Red List. From this pool of species (i.e., at high risk of

103 extinction and threatened by IAS), we distinguished two magnitudes of impact. Birds with 104 more than 50% of their total population experiencing a significant decline (slow, rapid, or 105 very rapid) were classified as "highly impacted IAS-threatened birds," whereas other birds 106 (with less than 50% of their population experiencing fluctuations or no declines, as well as 107 birds with unknown magnitude) were classified as "weakly impacted IAS-threatened birds." 108 We further collected the mechanism related to how the IAS impacts each species in the IUCN 109 Stresses Classification Scheme (version 1.0). The mechanism was described using three 110 binary variables for each species (Table S1): ecosystem effect (set as 1 if the species is 111 stressed by an ecosystem conversion/degradation or an indirect ecosystem effect and as 0 if 112 not), direct species effect (set as 1 if the species is directly stressed by an effect on survival or 113 reproduction; e.g., predation, species disturbance or reduced reproductive success), and 114 indirect species effect (set as 1 if the species is stressed by competition, inbreeding, 115 hybridization, or skewed sex ratio). This resulted in a total of 462 birds at high risk of 116 extinction due to biological invasion threats. These birds were associated with two different 117 magnitudes as well as one, two, or three mechanisms of IAS impacts (Figure S1, (a)).

118

## 119 Alien birds

120 Alien bird species with self-sustaining populations were extracted from the EICAT 121 assessment results for alien birds (9). We used 119 species with information about the 122 mechanism and magnitude of their impact. As with IAS-threatened species, we reclassified 123 the mechanism of invasion using three binary variables (Table S1): ecosystem effect (set as 1 124 if the species impacts the ecosystem), direct species effect (set as 1 if the species directly 125 impacts the survival or reproduction of native species), and indirect species effect (set as 1 if 126 the species indirectly impacts native species). Alien birds were associated with one, two, or 127 three impact mechanisms (Figure S1, (b)). We further coded the magnitude of the alien

species impact based on the EICAT impact categories: species with minimal or minor impacts were classified as alien birds with low impact; and species with moderate, major, or massive impacts were classified as alien birds with high impact (10). Species that were data deficient for the mechanism and magnitude of the impact (n = 256) were stored in the final alien database as "DD aliens" (data deficient aliens) (Figure S1, (c)).

133

### 134 Species traits

135 We collected species-level traits for IAS-threatened and alien bird species (n = 863 unique 136 species), and for all birds in the world as a control group (n = 10,943). We collected five traits 137 related to morphology and life-history from the AVONET database (34): *tail length* (numeric) 138 and hand-wing index (i.e. a standardized biometric of wing) that are linked to locomotion, 139 dispersal abilities and territoriality (39), beak length and beak depth that are linked to resource 140 consumption and diet (40), and the body mass. We extracted clutch size (i.e. number of eggs 141 per clutch) for describing the reproductive rate of birds from the Amniote database (41). 142 Clutch size and body mass are linked to metabolic requirements, dispersal and reproductive 143 strategy of birds, which are key traits regarding vulnerability to invasions and invasion 144 success in other taxa (18,21,27). Finally, we combined five ecological traits. The habitat 145 *breadth* (i.e. the number of habitat types utilized by each species; 1, 2, 3, 4, 5+), the *insular* 146 *level*  $(1 = \text{mainland endemics}, 2 = \text{species occurring on both island and mainland, 3 = insular$ 147 endemics) and the region of origin (Africa, Australia, Eurasia, North America, South 148 America, and Multiple) came from the IUCN database. The *trophic level* (herbivore, 149 omnivore, carnivore, scavenger) and the *foraging niche* (aerial, terrestrial, insessorial [i.e., 150 perching on trees and vegetation], aquatic and generalist) came from AVONET. The habitat 151 breadth, trophic level and foraging niche are measures of bird ecological niche. The insular 152 level and region of origin depict different eco-evolutionary histories among birds. Overall,

these 11 traits relating to morphology, life history, lifestyle and evolutionary history were 153 154 shown to be important with regard to native species' vulnerability to biological invasions (26) 155 as well as invasion success and impacts for aliens (37). Traits were selected to be 156 complementary regarding bird ecology, with a good level of completeness and by keeping 157 variables that were not highly correlated with each other except for morphological ones (see 158 Appendix 1, Table S2 and Figure S1 for details on traits and their selection). We ensured that 159 the databases were merged correctly from different sources by verifying species synonyms. 160 The *rl\_synonyms* function from the *rredlist* package (42) and the *synonyms* function from the 161 taxise package (43) were used for searches in the IUCN database and Integrated Taxonomic 162 Information System, respectively. We removed 13 species from the database due to 163 inconsistencies in the taxonomy of the different databases.

164

# 165 Imputation of missing trait values using phylogeny

166 Functional space computation requires fully informed trait databases. Although traits from the 167 AVONET and IUCN databases were complete for the vast majority of the selected species, 168 clutch size contained 28.3% of missing values for IAS-threatened and alien birds (Table S2). 169 To avoid an important loss of information, we imputed missing trait values using phylogeny. 170 Closely related species tend to be more similar to each other, and thus by considering species 171 phylogenetic relations, the performance of data imputation improves (44). We summarized 172 the phylogenetic information using the first 10 phylogenetic eigenvectors calculated from 173 1,000 trees obtained from vertlife.org (45). Imputed values were generated with the mice() 174 function from the *mice* package (46) using the predictive mean matching method based on the traits and 10 phylogenetic eigenvectors. The imputation was performed on the 11 selected 175 176 traits for all worldwide bird species (n = 10,943). We then took as a complete dataset the 177 median value for the 1,000 imputed datasets obtained from the 1,000 trees. To ensure the

178 validity of data imputation, we performed data inference on 100 artificially incomplete 179 datasets with randomly simulated missing values. They were obtained by selecting all species 180 with complete trait values (n = 6,190) and randomly generating the percentage of missing 181 values that corresponded to each trait. We then evaluated the performance of data imputation 182 by calculating the average normalized root-mean-square error (NRMSE) for numeric traits 183 and the average sensitivity/specificity for binary traits on the 100 artificially drilled datasets 184 (Table S2). Average NRMSE was below 0.03 for all variables considered, thus indicating a 185 very low error rate in the imputed missing data. The final database for birds involved in 186 biological invasions contained 850 species with fully informed traits, including 456 IAS-187 threatened birds, 118 alien birds with information about their impact, and 290 DD aliens (note that 14 species were both alien and IAS-threatened species). 188

189

#### 190 Statistical analysis

#### 191 Axes simplification

192 Because the trait database contained different types of variables (e.g., numeric discrete, 193 numeric continuous, categorical), we computed a functional space based on the 194 transformation of the raw traits following Mouillot et al. (2021). First, pairwise functional 195 distances between the 850 bird species were calculated using the Gower dissimilarity index 196 (48) with the *daisy()* function from the *vegan* package (49). Second, principal coordinate 197 analysis (PCoA) was applied to the distance matrix using the *pcoa()* function from the *ape* 198 package (50). We computed all our analyses based on the first three dimensions of the PCoA. 199 As morphological traits scaled with body mass (Figure S2), we computed a corrected PCoA 200 (hereafter, PCoA<sub>c</sub>) with the same traits but all morphological measurements (i.e., hand-wing 201 index, tail length, beak depth and beak length) were corrected by the body mass (i.e., traits 202 were divided by the log-transformed body mass). Final results were similar to the PCoA (see

Figure S3), thus we only present in the main text the functional space with uncorrected traits,

that gave a simpler representation of bird morphology and ecological strategies. The results of

205 the PCoA<sub>c</sub> are further discussed in the Supplementary material (Appendix 2, Table S3).

206

# 207 Group dissimilarities in functional space

208 To understand which ecological strategies were associated with the first three axes of the 209 PCoA, we computed the correlation between the studied traits and the three PCoA axes. We 210 used the *rcorr()* function from the *Hmisc* package (51) after converting all the traits into 211 numeric variables (e.g. categorical traits were converted into binary traits). We then compared 212 the ecological strategies of birds according to their role in biological invasions and their 213 impact using raincloud plots (Allen et al., 2018). These plots enabled us to simultaneously 214 depict the density and basic statistics of species distribution along the first functional space 215 axes. For both alien and IAS-threatened birds, we first grouped species according to their 216 impact magnitude (low and high) as well as their mechanism of impact: direct species effect, 217 indirect species effect, and habitat effect (considering only species affected by one mechanism). We compared the distribution between groups along the axes using 218 219 Kolmogorov-Smirnov (KS) tests, which were run independently for both alien species and 220 IAS-threatened species. We also performed pairwise Wilcoxon tests (i.e. non-parametric t-221 tests) to compare differences in mean values of axis between the groups.

222

#### 223 Trait-by-trait analysis

Because some traits were correlated to each other, we conducted a trait-by-trait analysis to
compare the trait modalities or values associated with the different groups of impact
magnitudes and mechanisms, independently of each other. For each numeric and ordinal trait,

227 we compared the mean value of the different groups using ANOVA and post-hoc tests. As 228 morphological traits scaled with body size, all traits linked to body measurement were divided 229 by the log-transformed body mass. For categorical traits, we performed chi-squared tests of 230 independence. As we aim at exploring the "two-sides-of-the-same-coin" hypothesis, we also 231 compared the different groups with a control group of birds randomly picked among the 232 worldwide bird species. We created 100 control groups of 150 species, to keep a comparable 233 sample size in all groups, performed the tests for each group and summarized the statistics as 234 the mean estimate and the mean p-value obtained over the 100 control groups. For a 235 validation of the hypothesis sensu Blackburn & Jeschke (2009) (32), we expect the alien birds 236 to show an extreme value in a given trait, the IAS-T birds an opposite extreme value of this 237 trait, and the control group showing intermediate trait value, in average different from both 238 groups involved in biological invasions.

239

#### 240 Evaluation of trait usefulness to predict the impact status of data deficient aliens

241 Our goal was also to distinguish functional groups of birds based on their role in biological 242 invasions and their impact on native systems. We thus evaluated the opportunity to classify 243 data deficient (DD) species into alien species with high or low impact based on their position 244 in the functional space. To this aim, we computed seven metrics to describe the position of 245 each species in the functional space compared to the position of all species with an informed 246 status. Metrics were either a minimal distance (to a species or group of species with known 247 status) or a proportion of species within a buffer (Appendix 3, Table S4). Using each metric, 248 we determined the predicted status of the 574 species with known status and evaluated the 249 rate of predictions using contingency tables. We focused on evaluating the prediction for the 250 group of "alien species with high impact."

All analyses were performed with R software (version 4.1.0) (53). The data and the scriptsused for all the analyses are available online (54).

253

254 **Results** 

#### 255 Functional characterization of the impact of birds involved in biological invasions

256 The first three axes of the PCoA explained 62% of the total variance of the ecological traits of 257 all birds involved in biological invasions. The PC1 axis showed the major contribution of 258 insular endemism, life history traits (i.e., body mass and clutch size) and traits related to 259 resource consumption (i.e., beak depth, animal-based diet, insessorial foraging niche) (Table 260 1). The positive part of PC1 was dominated by insular endemic species with an insessorial 261 foraging niche, while the negative part was characterized by larger species - consequently 262 with a deeper and longer beak -, a higher dispersal ability, that occur in several habitats and 263 have mainland populations that originate from multiple regions. Note that the PCoA<sub>c</sub> showed 264 distinct associations between axes and morphological traits, with species with longer beaks 265 when controlled by body mass being on the positive part of PC1 (Appendix 2, Table S3, 266 Figure S3). The PC2 axis was mainly correlated with trophic level with carnivore species on 267 the negative side and herbivore species on the positive side of the axis. Finally, foraging niche 268 and morphology drove the PC3 axis, with the negative part associated with terrestrial species 269 with high morphological measurements (e.g. large and long beak, long tail and a high hand-270 wind index), and the positive part with insessorial strategy.

The distribution of alien birds (with high or low impact) significantly differed from the
distribution of IAS-threatened birds (highly or weakly impacted) from PC1 to PC3 (Figure
1(b) and Figure S3(b)). Intra-group comparisons for impact type showed that IAS-threatened
birds were rather similarly distributed regardless of their impact magnitude except with PC1

275 were they showed a slight difference in mean axis value but not in their density of distribution 276 (Wilcoxon test, W = 27,908, p = 0.049; KS test, D = 0.10, p = 0.23). By contrast, the 277 distribution of alien birds significantly differed in PC2 depending on their impact magnitude 278 (Wilcoxon test, W = 848, p < 0.001; KS-test, D = 0.41, p < 0.001), although their distributions 279 were similar on the other axes. Considering the density distributions in PC1 and the traits 280 correlated with this axis, highly impacted IAS-threatened birds were more insular endemic 281 and less mainland endemic than weakly impacted IAS-threatened birds (confirmed using the 282 single trait approach; Figure 2, (d)). On the opposite side of this axis, both alien groups were 283 more associated with a high number of used habitat, larger body mass, and thus higher 284 morphological measurements, but see results from Figure S3 and Table S3 when morphological measurements are controlled by body mass. 285

286 We also conducted a single trait analyses to compare the proportion of traits modalities within 287 the different groups. Morphological and life history traits did not allow us to disentangle the 288 impact magnitude within IAS-threatened species or alien species (Figure 3). However, 289 ecological traits showed intragroup differences for alien species: alien birds with a high 290 impact were more carnivorous and omnivorous, had a larger habitat breath (i.e., more 291 generalists) and originated more from multiple regions when comparing with aliens with a 292 low impact, that contained more mainland endemic species (Figure 2). Moreover, alien birds 293 and IAS-threatened birds harbored distinct functional strategies (Figures 2, 3) regardless of 294 their impact. Alien birds had a significantly longer tail length (controlled by body size) and 295 larger clutch size than IAS-threatened birds with the control group of worldwide birds 296 showing intermediate results (Figure 3, (b-f)).

297 Moreover, alien birds showed a larger body mass compared to highly impacted IAS-

threatened birds but both groups showed a significant larger body mass compared to the

299 control group of worldwide birds (Figure 3, (c)). Alien birds were also more generalist in

terms of habitat use and were at lower trophic levels compared to species threatened by
biological invasions and the control group of worldwide birds (Figure 2, (a-b)). Regarding the
region of origin, IAS-threatened birds originated more from Australia region, while alien
birds came more from Eurasia and multiple regions (Figure 2, (e)).

304 We pursued our analyses by disentangling the bird characteristics for each impact mechanism: 305 direct species effect, indirect species effect, and ecosystem effect (Figure 4). Based on our 306 previous results showing no major intragroup differences regarding impact magnitude, we 307 considered only two groups: all alien birds and all IAS-threatened birds. IAS-threatened birds 308 associated with a direct species effect diverged significantly from IAS-threatened birds 309 associated with a habitat effect in the second and third axes (Figure 4, upper panel). Single 310 trait analyses showed that species threatened by a direct effect were larger with a longer beak 311 and a higher proportion of aquatic foragers compared to species threatened by habitat effect, 312 as the latter were more insessorial. Moreover, directly impacted species had twice as many 313 endemic insular species as the group threatened by habitat effect. Alien birds with a direct 314 impact on species differed strongly from the other mechanisms in PC2 (Figure 4, lower 315 panel). They had a significantly higher trophic level and were more habitat generalists than 316 other alien groups.

# 317 Assessment of impact prediction for data deficient alien birds using traits

When evaluating the ability to disentangle alien species with a high or low impact among the alien species that were data deficient regarding their impact magnitude, we obtained a success rate ranging from 37.2% to 53.6% (Table S4). Focusing on the quality of prediction for the group "alien birds with high impact," the true negative rate was high (specificity > 0.75), although we observed very few true positives, thus leading to low sensitivity for all the tested methods (from 0.19 to 0.72; Table S4).

324

# 325 **Discussion**

#### 326 Diverging functional strategies between IAS-threatened and alien birds

Our results suggest that birds present diverging morphological, life history, and ecological
strategies depending on their role in biological invasions. Considering traits together in a
functional space and not only individually enabled a more complex and realistic view of
species' ecological strategies (55).

331 Diet and reproduction as intrinsic factors for distinguishing alien from IAS-threatened birds

332 Exploring traits of alien birds with impact and IAS-threatened birds, we found that aliens had 333 a larger proportion of herbivores, a larger clutch size, and a longer tail length, while they were 334 also more habitat generalist than IAS-threatened birds (and more generally, than worldwide 335 birds). Birds with a plant-based diet were indeed more introduced and more successful at 336 establishment in exotic places than carnivorous birds (56). Given the discrepancies between 337 the trophic strategies of aliens and IAS-threatened birds, both groups are unlikely to enter into 338 high competition for resource exploitation (17). As a consequence, the competition between 339 native and introduced birds is probably not as deleterious for native species as other 340 mechanisms due to IAS (e.g., predation, habitat degradation). Our result showing larger clutch 341 sizes for alien birds with impact is in discordance with previous works (20). Contrary to other 342 terrestrial vertebrates (18,21), the "slow" life history of birds (i.e., small clutches and 343 investment in future reproductive events) was known to favor the establishment of aliens (20). 344 Nevertheless, as establishment and impact are two separate stages of invasion, they are likely 345 to filter out species with different traits (57). Furthermore, introduced birds are a non-random sample of worldwide birds, with some families and characteristics like large body size (and 346 347 thus "slow" reproductive rates) being preferentially selected for hunting or pet trade (58,59).

348 Thus, despite the apparent negative correlation between establishment success and high 349 reproductive rate found in previous studies, the initial pool of transported birds might be 350 biased toward large clutches compared to the global pool. This leads to a generalist profile 351 and faster reproductive rate for alien birds compared to IAS-threatened and even worldwide 352 birds. Our results further demonstrated that alien birds with and without impact are also a 353 non-random sample of worldwide birds, with specific traits (e.g., having shorter beaks and 354 longer tails for larger body sizes, being more herbivore and habitat generalist than worldwide 355 birds; Figure 2, 3).

## 356 The importance of origin and eco-evolutionary history

357 We found that IAS-threatened birds were more often insular endemic compared to alien and 358 worldwide birds. Facilitated niche shifts during invasion due to high constraints in their native 359 range (60,61) was not an important factor here associated with insular endemic species that 360 become successful invaders. One exception in our database was the New Zealand weka 361 (Gallirallus australis), a flightless rail that has been introduced on several islands of New 362 Zealand were it predates on endemic threatened species, but which is also threatened in its 363 native island by invasive alien mammals (e.g. rats) (62). Moreover, IAS-threatened birds 364 present similar ecological strategies as documented extinct species (e.g., large, insular 365 endemic and flightless (63)). We also demonstrated that IAS-threatened birds originated more 366 from the Australian region while alien birds came more from Eurasia and multiple regions. The Australian region with surrounding islands like Indonesia, Papua New Guinea and New 367 368 Zealand is known for hosting a large portion of endemic insular threatened and extinct birds 369 globally (64). Regarding alien birds, their introduction history is strongly marked with the 370 spread of Eurasian species following the era of European colonization (65).

#### 371 *The "two-sides-of-the-same-coin" hypothesis*

372 Although we found diverging functional strategies for alien and IAS-threatened species, our 373 findings lend poor support to a revisited "two-sides-of-the-same-coin" hypothesis, positing 374 that the characteristics promoting alien success are at the extreme opposite to those that 375 promote vulnerability to IAS, as in previous studies on birds (32,33). Compared to worldwide 376 birds, alien and IAS-threatened species do not show significant deviations in terms of traits 377 except for insular level or region of origin. Moreover, alien birds also showed a significantly 378 longer tail length (controlled by body size) and larger clutch size than IAS-threatened birds. 379 However, the control group of worldwide birds showed intermediate results that were not 380 statistically different from one group or the other.

### **381** Functional characterization of impact magnitude and mechanism

#### 382 Impact magnitude

383 Density plot analysis and trait-by-trait analysis did not reveal strong differences among alien 384 neither IAS-threatened birds regarding impact magnitude (except for alien birds on PC2 in 385 Figures 1 and S3, Figure 2 (a,b,d). Specifically, both analyses revealed that trophic level and 386 habitat breadth significantly differed between low and high impact magnitudes for alien birds, 387 while insular level differed for highly or weakly impacted IAS-threatened birds. Alien birds 388 with high impact were more from multiple regions suggesting they might have a native range 389 with broader eco-evolutionary conditions compared with alien birds with low impact. Our 390 results are in accordance with previous studies that assert the dominance of habitat and diet 391 generalists within alien species with high impacts on native ecosystems (35,37,66). 392 Morphological traits did not explain the impact magnitude among groups of birds, neither the 393 bird dispersal ability, measured by HWI and tail length. 394 Concerning IAS-threatened species, their impact magnitude was not linked to species traits

395 except for insular level. This confirms previous studies that highlight the increased

396 vulnerability of insular birds and other taxa compared to those with mainland populations

397 regarding the biological invasion threat (14,67). Consequently, factors that induce significant 398 and major population declines because of biological invasions are likely to be extrinsic (e.g., 399 dependent on the invasion context, environment, alien characteristics, or the combination of 400 threats involved). Another possible explanation is that we did not take into account important 401 traits that may mediate the impact magnitude of IAS on native species. For instance, species 402 with small range sizes are generally at a higher extinction risk than those with larger ranges 403 (24). Because IUCN threat categories are partly based on species range sizes, using this trait 404 in our analysis could possibly lead to confounding effects (68).

405 Impact mechanism

406 In the second part of the analysis, we tried to disentangle the characteristics of alien and IAS-407 threatened birds depending on the mechanism that they impact or are impacted by. We 408 observed a difference in the profile of IAS-threatened birds that are directly impacted (e.g., 409 predation by IAS) and those that are impacted through a habitat effect (e.g., change in 410 vegetation or habitat degradation due to IAS). Compared to birds that are sensitive to habitat 411 disturbance by IAS, birds prone to predation were larger, frequently insular endemic and 412 terrestrial, thus being the ideal naïve prey for alien predators (69). For instance, the kiwi 413 (Apteryx spp.), which presents this very profile, is highly predated by introduced mammals in 414 New Zealand (70). However, having all these characteristics of vulnerability does not 415 necessarily mean that a native bird becomes prey. The large and flightless kagu (*Rhynochetos*) 416 *jubatus*), an endemic bird of New Caledonia, is not consumed by feral cats despite its clear 417 "victim" profile (71). This is possibly explained by its development of defensive behavior that 418 has proven to be effective against cats. The kagu may nevertheless be predated by larger 419 introduced mammals (e.g., feral dogs), which still make the species a prey threatened by 420 direct mechanism.

421 We expected that birds threatened by a habitat effect due to IAS would present characteristics 422 similar to species threatened by habitat degradation: species with an invertebrate-based diet 423 that require a large foraging space as well as habitat specialists that are more sensitive to 424 habitat fragmentation (72). Our results suggest that these birds, though more insessorial (i.e., 425 perching on trees and vegetation in general), are not more habitat specialist than species 426 directly impacted by IAS, and they did not present a specific dispersal ability. However, 427 insessorial life-style renders species sensitive to the removal of trees either by humans or by 428 non-native species. For instance, the Rufous Flycatcher (Myiarchus semirufus) is a tree-429 perching bird endemic of the Northern Peru preferring large trees for nesting, and is 430 threatened by the increasing presence of goats (*Capra hircus*) that prevent tree growth by 431 grazing (73).

432 Finally, our results confirmed that alien species that directly impact native species have an 433 animal-based diet compared to aliens with other mechanisms of impact (37). They also had a 434 wider habitat breadth, once again highlighting the threat posed by generalist species when 435 introduced into a new ecosystem (74). For both aliens and IAS-threatened species, we 436 characterized the impact type by pooling together the different mechanism categories (Table 437 S1). We assumed that species directly impacted by species mortality, species disturbance, or reduced reproductive success shared common characteristics, although they might also have 438 439 specific hidden traits associated with each of these mechanisms (this comment applies to the 440 three impact mechanisms and the two groups of birds). Such pooling was necessary to 441 perform density statistics along the functional space axes, the gain in statistical power 442 compensating for the loss in precision. However, the pooling may also explain why we mostly 443 detected ecological traits that differed between groups of different impact types as opposed to 444 life history or morphological features.

445 Using species traits to predict alien bird impact

446 Ultimately, the EICAT protocol is a powerful tool for assessing the impact of alien species. 447 However, a large proportion of birds lacks information regarding their ecological impact, 448 while others are assessed with high uncertainty (75), which is not synonymous with an 449 absence of impact (76). Impacts take time to be reported or even noticed, given the time lag 450 between the introduction of alien species, their spread, and their effect in new ecosystems 451 (77). We therefore evaluated the possibility of using species traits to infer the impact 452 magnitude of DD alien birds. The prediction methods computed to detect alien birds with high 453 impact did not perform well. All tested metrics had a high specificity but a low sensitivity. 454 This gap between sensitivity and specificity has already been observed in a method for 455 creating invasive species watch lists (78). The simplicity of our prediction protocol combined 456 with the low number of alien birds with high impact (n=39) in the functional space compared 457 to non-impacting species (n=535) can explain this poor sensitivity. This result also 458 emphasizes the importance of accounting for other factors that are crucial to invasion success 459 (e.g., local context, introduction event-related features, 79). Furthermore, the functional and 460 phylogenetic properties of the recipient community (e.g., ecological niche saturation, shared 461 evolutionary history with introduced alien species, relative brain size) are likely to mediate the success of alien species (80,81). 462

463

# 464 Conclusion

465 Compared to previous studies focusing on the characteristics of either alien species or IAS-466 threatened species (26,27,35–37), this study takes advantage of a new morphological database 467 (34) and approach (i.e., density distribution in a functional space) to evaluate the 468 morphological, ecological and evolutionary differences between birds involved in biological 469 invasions. Overall, morphological and life history traits allow us to distinguish the role of 470 birds in biological invasions as either alien or IAS-threatened, lending low support to the

- 471 "two-sides-of-the-same-coin" hypothesis. Conversely, ecological traits were better factors to
- 472 explain the type of impact associated with aliens and IAS-threatened species.

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# 689 **Table 1: Correlation between ecological traits and the first three axes of principal**

690 coordinate analysis containing all birds involved in biological invasions (n = 850 unique

691 species). Spearman coefficients and p-values are reported. Non-numeric traits (trophic level,

692 foraging niche, region of origin) were converted into binary variables to compute the

693 correlations. The strongest correlations (r < -0.4 or r > 0.4) and their associated significant p-

694 values are shown in bold.

695

	PC1 (25.1%)		PC2 (22.8%)		PC3 (14.6%)	
	r	Р	r	Р	r	Р
Morphological traits						
Hand-wing index	-0.43	<0.001	-0.30	< 0.001	-0.44	<0.001
Tail length	-0.38	< 0.001	-0.15	< 0.001	-0.39	< 0.001
Beak depth	-0.49	<0.001	-0.05	0.133	-0.56	<0.001
Beak length	-0.42	<0.001	-0.36	< 0.001	-0.45	<0.001
Life history traits						
Body mass	-0.61	<0.001	-0.28	< 0.001	-0.33	< 0.001
Clutch size	-0.39	< 0.001	0.36	< 0.001	0.11	0.001
Ecological traits						
Insular level	0.59	<0.001	-0.31	< 0.001	-0.18	< 0.001
Habitat breadth	-0.46	<0.001	-0.19	< 0.001	0.04	0.249
Trophic level						
Herbivore	-0.26	< 0.001	0.78	<0.001	-0.38	< 0.001
Omnivore	0.02	0.61	0.05	0.112	0.37	< 0.001
Carnivore	0.27	< 0.001	-0.82	<0.001	0.10	0.004
Scavenger	-0.12	0.001	-0.05	0.177	0.01	0.777
Foraging niche						
Aerial	-0.01	0.845	-0.31	< 0.001	-0.03	0.329
Aquatic	-0.22	< 0.001	-0.37	< 0.001	-0.27	< 0.001
Generalist	-0.04	0.206	-0.14	< 0.001	0.13	< 0.001
Insessorial	0.60	<0.001	0.31	< 0.001	-0.49	<0.001
Terrestrial	-0.44	<0.001	0.15	< 0.001	0.59	<0.001
<b>Region of origin</b>						
Africa	0.08	0.026	0.13	< 0.001	0.21	< 0.001
Australia	0.33	< 0.001	-0.08	0.028	-0.10	0.003
Eurasia	-0.13	< 0.001	0.17	< 0.001	0.12	< 0.001
North America	0.21	< 0.001	0.01	0.683	-0.08	0.014
South America	0.13	< 0.001	0.14	< 0.001	-0.05	0.166
Multiple	-0.50	<0.001	-0.34	< 0.001	-0.11	0.001



698 Figure 1. Functional characterization of birds and their impact in biological invasions. 699 (a) Schematic overview of the considered groups and (b) distribution density of the four 700 groups of birds involved in biological invasions (highly impacted IAS-threatened, weakly 701 impacted IAS-threatened, alien with low impact, alien with high impact) in the first three axes 702 of principal coordinate (PC) analysis. Boxplots are associated with the point distribution. 703 Significant differences in mean values of axis between the groups are depicted with stars on 704 both sides of the boxplots. At the top of each density plot, the Kolmogorov-Smirnov (KS) test in each axis compares the distributions 2-by-2 between groups (\*\*\* p < 0.001, \*\* p < 0.01, \* 705 706 p < 0.05, ns = non-significant difference).



708

- 709 Figure 2. Proportions of trait modalities for IAS-threatened birds and alien birds
- 710 according to their impact magnitude. X-axes represent the groups of birds along the
- 711 continuum of impact in biological invasions as well as worldwide birds; Y-axes represent the
- 712 proportion of each modality for each categorical trait.
- 713
- 714



716 Figure 3. Relationships between species traits and impact magnitude for IAS-threatened 717 birds and alien birds. X-axes represent the groups of birds along the continuum of impact in 718 biological invasions as well as worldwide birds; Y-axes represent the morphological and life 719 history numeric traits. Trait values were log-transformed for body mass, beak depth, beak 720 length, and clutch size. Note that all traits linked to body measurement (i.e. hand-wing index, 721 tail length, beak depth and, beak length) were corrected by body mass. Boxplots show the 722 median and first and third quartiles, with outliers plotted individually in bold. Blue dots are 723 raw values for unique species contained in each group. Pink diamonds represent the mean 724 value for each trait. Significant differences between mean values are indicated with letters.

725



727Figure 4. Distribution density of the impact mechanism groups for IAS-threatened birds728and alien birds in the first three axes of the PCoA. Significant differences in mean values729of axis between the groups are depicted with stars on the left of the boxplots. At the top of730each density plot, the Kolmogorov-Smirnov (KS) test in each axis compares the distributions7312-by-2 between groups (\*\*\* p < 0.001, \*\* p < 0.01, \* p < 0.05).</td>