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# 1 The defensome of complex bacterial communities

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### 1 Abstract

2 Bacteria have developed various defense mechanisms to avoid infection and killing in 3 response to the fast evolution and turnover of viruses and other genetic parasites. Such pan-4 immune system (or *defensome*) encompasses a growing number of defense lines that include 5 well-studied innate and adaptive systems such as restriction-modification, CRISPR-Cas and 6 abortive infection, but also newly found ones whose mechanisms are still poorly understood. 7 While the abundance and distribution of defense systems is well-known in complete and 8 culturable genomes, there is a void in our understanding of their diversity and richness in 9 complex microbial communities. Here we performed a large-scale in-depth analysis of the 10 defensomes of 7,759 high-quality bacterial population genomes reconstructed from soil, 11 marine, and human gut environments. We observed a wide variation in the frequency and 12 nature of the defensome among large phyla, which correlated with lifestyle, genome size, 13 habitat, and geographic background. The defensome's genetic mobility, its clustering in 14 defense islands, and genetic variability was found to be system-specific and shaped by the 15 bacterial environment. Hence, our results provide a detailed picture of the multiple immune 16 barriers present in environmentally distinct bacterial communities and set the stage for 17 subsequent identification of novel and ingenious strategies of diversification among 18 uncultivated microbes.

### 1 Introduction

2 Bacteria are under constant threat of infection by a variety of genetic parasites such as 3 bacteriophages (henceforth called phages)<sup>1</sup>. As a result of this strong selective pressure, they 4 have evolved multiple sophisticated defense mechanisms capable of regulating the flux of 5 genetic information spread by mobile genetic elements (MGEs) via horizontal gene transfer (HGT)<sup>2-4</sup>. The complete set of a bacterial defense systems' repertoire can be designated as 6 7 their defensome. Several bacterial defense systems have been discovered and extensively 8 discussed in the literature, revealing two major groupings based on their components and 9 modes of action: innate (non-specific) and adaptive immune systems<sup>5,6</sup>. Typical examples of 10 innate immunity include prevention of phage adsorption<sup>7</sup>, restriction-modification (R–M) systems that use methylation to recognize self from non-self-DNA<sup>8</sup>, and abortive infection 11 12 (Abi), in which the infected cell commits suicide before the invading phage can complete its replication cycle<sup>9</sup>. Recent efforts to *de-novo* identify microbial defense systems resulted in the 13 14 discovery of several additional innate immune mechanisms with a wide range of genetic architectures<sup>3,4</sup>, highlighting the strong selective pressure imposed by genetic parasites on 15 16 microbial communities. Adaptive immune systems, on the other hand, are so far exclusively 17 represented by clustered, regularly interspaced short palindromic repeats (CRISPR)-Cas, a 18 family of defense systems that provides acquired immunity through the acquisition of short DNA sequences from MGEs that are incorporated into the host genome as spacers<sup>10</sup>. Large-19 20 scale efforts for defense system mapping have been recently propelled by the development 21 of bioinformatic tools such as DefenseFinder<sup>11</sup> and PADLOC<sup>12</sup> that rely on a profuse collection 22 of HMM profiles and specific decision rules for each known defense system. Such mapping 23 has been mainly conducted in bacterial species from reference genome databases (e.g., NCBI 24 RefSeq) that are known to overrepresent acute / common human pathogens and organisms 25 that can largely be cultivated in laboratory<sup>11–13</sup>. While extremely insightful, such studies provide 26 a limited snapshot of the bacterial defensome, as they miss the uncharted fraction of 27 environmental microbial diversity that remains uncultured.

The current global Earth microbiome has been estimated at approximately 5×10<sup>30</sup> prokaryotic 1 2 cells<sup>14</sup> scattered throughout a wide range of environments, including deep oceanic and 3 continental subsurfaces, upper oceanic sediment, soil, and oceans as the most densely 4 populated cases. In many environments, 99% of microbes are yet uncultured<sup>15</sup>, while cultured 5 representatives belong overwhelmingly to the phyla Actinobacteria, Bacteroidetes, Firmicutes, 6 and Proteobacteria. For nearly 4 billion years, bacteriophages have co-evolved with bacteria, 7 with recent estimates pointing to the presence of  $\sim 10^{31}$  viral particles in the biosphere<sup>16</sup>, and up to 10<sup>23</sup> infection events per second taking place just in the global ocean<sup>17</sup>. 8

9 During the last decade, extensive progress in high-throughput sequencing technologies and computational methods enabled culture-independent genome-resolved metagenomics to 10 recover draft or complete metagenome-assembled genomes (MAGs)<sup>18-20</sup>. The latter have 11 12 advanced our understanding on the diversity, abundance, and functional potential of 13 microbiota and phageome composition and corresponding ratios across different environments. A healthy adult human gut for example, is a reservoir for  $\sim 4 \times 10^{13}$  bacterial 14 cells (mostly Firmicutes and Bacteroidetes)<sup>21</sup>, and low (10<sup>-3</sup>-1) virus-to-prokaryote ratios 15 (VPRs)<sup>22</sup>. In contrast, marine ecosystems typically show larger VPRs (between 8 x 10<sup>-3</sup>-2.15 16  $x 10^3$ , mean of 21.9), followed by soil environments which show the largest ratios (between 2) 17 x  $10^{-3}$  - 8.2 x  $10^{3}$ , mean of 704) (reviewed in <sup>23</sup>). We hypothesize that the strong VPR dynamics 18 19 across temporal and spatial scales is likely to profoundly shape the defensome arsenal across 20 biomes.

21 In this study, we conducted a large-scale in-depth investigation on the abundance, distribution, 22 and diversity of the defensome in complex bacterial communities from three key 23 environments: soil, marine, and the human gut. We tested the association between 24 defensome and different mechanisms of genetic mobility, the former's colocalization in 25 defense islands, and assessed the mutational landscape of high-frequency single nucleotide 26 polymorphisms (SNPs) and insertion-deletions (indels) across defense gene families. These 27 results provide a unique view of the interplay between microbial communities and their phage 28 invaders, and will pave the way to the identification of hitherto unknown defense systems and

- 1 / or other phage-resistance mechanisms across the enormous diversity of yet-uncultivated
- 2 microbial populations.

## 1 Results

### 2 Abundance and distribution of defensomes in bacterial MAGs

We performed a defensome mapping across a large dataset of 7,759 high-quality (≥ 90% completeness, ≤ 5% contamination/redundancy, see Methods) soil, marine, and human gut MAGs<sup>24–26</sup> (Fig. 1a, Supplementary Tables 1-4, Supplementary Fig. 1). For this purpose, we used the DefenseFinder pipeline<sup>11</sup>, which relies on a comprehensive collection of hidden Markov models (HMM) protein families and genetic organization rules targeting all major defense system families described in the literature (Fig. 1b).



1 Fig. 1. Defensome analysis. (a) Our analyses focused on 7,759 high-quality near-complete MAGs recovered from 2 three distinct ecosystems: soil, marine, and human gut<sup>24-26</sup>. The geographical distribution of soil and marine sample 3 collection sites is shown in the world map, as well as the percentage of human gut samples recovered from each 4 country (shown as colored heatmap). Our dataset includes at least 385 Genera (corresponding to a total of 7,593 5 MAGs) and 25 Classes (corresponding to a total of 93 MAGs) not previously covered in a recent study focusing on 6 the defensome of the NCBI RefSeq prokaryotic database<sup>11</sup>. (b) A collection of 1,024 HMM profiles targeting 127 7 families of anti-MGE defense systems from DefenseFinder, was used to query the entire MAG dataset. Briefly, this 8 was performed by means of genetic organization rules allowing for two types of genetic components: "mandatory" 9 and "accessory" (as described previously<sup>11</sup>). Given the wide diversity of genetic organization of anti-MGE systems, 10 rules were written differently for different types of systems. Shown is an example of a genomic region containing 11 three defense systems (DS1-DS3), respectively characterized by a total sum of genes (SG), a maximum distance 12 between defense genes (dmax), and a given number of mandatory genes (MG), which will allow disentangling 13 between complete or incomplete defense systems based on established thresholds ( $\alpha$ ,  $\beta$ ,  $\delta$ ).

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15 Throughout this manuscript we will refer to complete anti-MGE defense systems as those 16 whose currently described genetic organization has been experimentally shown to confer anti-17 MGE activity. Such concept of defense system completeness is expected to evolve in the 18 future (in particular for the recently described cryptic large multigenic systems), as more 19 details will emerge regarding their functional intra-operability. In the case of defense genes, 20 they can either belong to complete defense systems, or classify as *solitary*, i.e., those often 21 shuttled by HGT or arising from genetic erosion of complete defense systems. Of note, the 22 solitary nature of defense genes does not necessarily preclude its functional activity or even 23 implication in anti-MGE defense roles, as it has been previously shown for solitary bacterial 24 methyltransferases (MTases)<sup>27</sup>.

25 In this study we found 43,263 defense systems and 764,507 defense genes pertaining to a 26 total of 70 defense families across our full MAG dataset (Supplementary Tables 3, 4). The 27 relative distribution of defense systems differed considerably across environments, with R-M, 28 CRISPR-Cas and the SoFIC AMPylase being the most predominant (Fig. 2a). When the 29 distribution of total defense genes was represented instead, we observed multiple solitary 30 genes / incomplete systems (e.g., Gabija, Gao Qat / Gao Mza, or Dodola) consistently 31 present across most MAGs (Supplementary Fig. 2). The latter suggests either non-defensive 32 roles or genetic erosion of complete systems similarly to previous observations in complete 33 genomes<sup>13,27</sup>. While defense system distribution across soil and human gut MAGs followed a

typical binomial distribution (with most genomes encoding between 3-4 defense systems), that observed in genomes from marine environments was geometric-like, with most MAGs (~65%) showing a limited defensome (**Fig. 2b**). Such observations are in agreement with recent observations describing a 10<sup>3</sup> times lower effective rate of HGT in marine bacteria compared with gut bacteria, with soil bacteria occupying an intermediate position between the former two<sup>28</sup>.





Fig. 2. Abundance and distribution of defense systems in MAGs. (a) Percentage of soil, marine and human gut
 MAGs harboring each family of defense system. (b) Distribution of number of defense systems (DSs, per MAG)
 across environments. (c) Variation of number and density (per MAG and per kb) of defense systems (DSs) with
 MAG size (Mb) for each biome. Error bars represent standard deviations of the mean. (d) Phylogenetic

1representation of 373 soil MAGs, their corresponding phyla, density (per kb) of defense systems (DSs, purple),2defense genes (DGs, red), MGEs (green), and number of defense islands (DIs, yellow). Distribution of MAG sizes3(Mb) are shown as outer layer barplots. All data corresponds to analyses performed in assemblies with values of4 $N_{50} \ge 100 \text{ kb}.$ 

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Similarly to what has been described for R–M systems<sup>13</sup>, we observed positive correlations 6 7 between the total number of defense systems and MAG size and concomitant negative 8 correlations between the density of defense systems and size (Fig. 2c). Such trends can be 9 explained by the fact that bacteria with larger genomes typically engage in more HGT<sup>13,2</sup>, thus 10 requiring a more abundant and diverse defensive arsenal. No qualitative differences were 11 observed when the analyses shown in Figs. 2a-c were performed using MAG assemblies 12 having values of  $N_{50} \ge 200$  and 300 kb to control for the effect of contiguity (**Supplementary** Fig. 3). 13

14 The density of defense systems (per MAG and per kb) differed widely among clades, from none (largely in intracellular bacteria and obligatory endosymbionts) to more than  $8 \times 10^{-3}$  in 15 Phascolarctobacterium (human gut) and  $\sim 1.5 \times 10^{-2}$  in Elsteraceae (soil) and UBA9040 16 17 (marine) environments (Fig. 2d, Supplementary Fig. 4a, Supplementary Tables 3, 5). No 18 MAG was entirely devoid of defense genes, with maximum densities (per MAG and per kb)  $\sim 8.5 \times 10^{-2}$  across the different biomes (Fig. 2d, Supplementary Fig. 4a, Supplementary 19 20 **Table 3**). When defense systems were split according to its mechanism of action. R–M. Abi. 21 and potential Abi systems were the most prevalent across biomes (Supplementary Fig. 4b, Supplementary Table 6), similarly to recent observations<sup>29</sup>. 22

Apart from MAG size, the abundance of defense genes was expected to depend on phylogenetic depth, as deeper lineages accumulate more events of HGT exchanges presumably leading to defensome buildup. We ran stepwise linear regression analyses to assess the role of these variables in explaining the variance of the defensome (**Supplementary Table 7**). These showed that MAG size had the strongest direct effect on defensome abundance, and that phylogenetic depth had a significant but less important explanatory role.

1 We found in our dataset multiple occurrences of ligand binding WYL domains and protein 2 interaction CARD-like domains (Supplementary Figs. 4c-e), with previously demonstrated 3 regulatory activity of phage defense systems, namely BREX, CRISPR-Cas, CBASS and 4 aasdermins<sup>30-33</sup>. Interestingly, we found here a significant colocalization between these 5 domains and multiple defense genes belonging to additional families involved in regulated cell 6 death, such as Lamassu, RosmerTA, and Rst PARIS. Very few WYL and CARD-like domains 7 were found in genes from marine MAGs (< 0.75% of the dataset), in agreement with the latter's 8 more limited defensome. The patterns of colocalization differed across genomes recovered 9 from the soil and human gut environments (Supplementary Figs. 4d-e). For example, WYL 10 preferentially colocalized with CBASS and RosmerTA, respectively in soil and human gut 11 environments. We also found in the Bacteroidetes bacterium UBA1952, instances of an 12 operon with some similarity to the recently described Pedobacter rhizosphaerae CARDencoding defense system<sup>33</sup>. In particular, UBA1952 codes for a VapC-like nuclease of the PIN 13 14 domain superfamily presumably operating as effector, and the SMC-like RecN with ATPase 15 function (Supplementary Fig. 4c).

Hence, bacterial MAGs possess a diverse repertoire of defense systems (being defense genes essentially ubiquitous), and the patterns of their distribution are very diverse and dependent on genome size and taxonomy. Moreover, defense genes pertaining to systems typically implicated in regulated cell death mechanisms preferentially colocalize with WYL and CARD-like domains and change according to environment.

21

#### 22 The interplay between defensome repertoire and bacterial biogeography

Fluctuations in microbial community composition are a function of a large ensemble of diverse biotic and abiotic drivers. Factors such as pH (and other physicochemical parameters), temperature, nutrient availability, or pollution can fundamentally reshape the spatiotemporal dynamics of soil / marine bacterial and viral communities<sup>34–37</sup>. In parallel, multiple variables such as host lifestyle, nutritional needs, genetics, age, medication, urbanization, and the

1 impact of westernization are known to significantly impact the human gut microbiome and 2 virome<sup>38,39</sup>. Concurrent with this dynamic interplay between environmental filtering and phage-3 bacteria antagonistic and/or mutualistic coevolutionary interactions, one expects concomitant 4 changes in defensome composition. This prompted us to examine how the defensome's 5 abundance and diversity correlated with bacterial biogeography. The top five most 6 represented Classes in our dataset for each environment are Gammaproteobacteria (soil, 7 marine, human gut), Alphaproteobacteria (soil, marine), Dehalococcoidia (marine), 8 Bacteroidia (soil, human gut), and Clostridia (human gut) (Supplementary Table 2). Such 9 different patterns in species richness, and relative phylogenetic diversity across environments, 10 are expected to impact genetic flux and concomitantly, defensome profiles.



Image: Fig. 3. Defensome variation across different ecological and geographical backgrounds. Defense system (DS)density (per MAG per kb) across distinct ecological (soil, marine) (a, b) and geographical (human gut) (c) contexts.Error bars represent standard deviations and Mann–Whitney–Wilcoxon test P values are indicated. \*P < 0.05;\*\* $P < 10^{-2}$ ; \*\*\* $P < 10^{-3}$ . Number of MAGs analyzed are shown in parentheses.

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6 In soil environments, the highest and lowest densities of defense systems were respectively 7 observed in MAGs recovered from serpentine-hosted ecosystems and contaminated or 8 regular soils (Fig. 3a). These observations are consistent with the fact that serpentine 9 environments are among the most challenging niches on Earth, characterized by low cellular abundances, limited microbial diversity, high VPRs<sup>40,41</sup>, and consequently, the likely need for 10 11 additional anti-MGE systems. Conversely, contaminated and regular surface soils impose a 12 type of environmental stress (namely chemical and UV radiation) that is expected to push phage-bacterium interaction from parasitism to mutualism<sup>42-44</sup>. The latter should provide 13 14 bacterial hosts with diversified competitiveness and environmental adaptability while allowing 15 prophages to avoid direct exposure to the stressor. Interestingly, while R-M, CRISPR-Cas 16 and SoFIC were prevalent in MAGs recovered from almost all types of soil, arctic peat (richer 17 in Bacteroidales) stands out as an outlier with a high abundance ( $\geq$  50%) of AbiEii and BREX 18 (Supplementary Fig. 5a, Supplementary Table 8). While it remains unclear which processes 19 drive the overrepresentation of these particular defense families in MAGs recovered from 20 arctic peat, the latter could be explained by the cell's need for a second layer of resistance 21 under conditions of high VPRs (see below), or eventually to enforce cooperation between individuals, or even with MGEs<sup>45,46</sup>. 22

In marine MAGs we observed prevalence of R–Ms, but also of the abortive infection system CBASS and the less-known standalone SoFIC. The highest defense system densities were found in MAGs originating from the arctic ocean (**Fig. 3b**). Such increased defensive repertoire fits previous observations describing high VPRs and virus-to-bacteria contact rates in sea ice brine compared to seawater<sup>47,48</sup>. Following our observations for ice peat soil, we also found a particularly high abundance (~28%) of the AbiEii system in arctic ocean MAGs (**Supplementary Fig. 5b**, **Supplementary Table 8**). The overall low defensome abundance

and diversity in the Mediterranean Sea can be due to the latter's conditions of seasonal
 oligotrophic conditions, higher temperature (>13°C), and lower concentrations of inorganic
 nutrients N and P compared to waters of similar depth in open oceans, leading to very low
 VPRs<sup>49</sup>.

5 To what concerns human gut MAGs, the difference in amplitude in defense system densities 6 across different countries is more subtle (albeit often significant) and harder-to-interpret 7 compared to other environments. While there is a strong trend in the literature supporting a 8 gradual reduction in microbial diversity (and subsequent disruption of metavirome profiles) 9 concomitant with westernization<sup>50</sup>, the latter did not translate into a clear cut geographical 10 trend in regards to the defensome (**Fig. 3c**, **Supplementary Fig. 5c**).

When defense systems were split according to its mechanism of action, their variation in density across distinct ecological and geographical backgrounds was kept qualitatively the same, at least for the most abundant mechanisms (R–M, Abi, and potential Abi systems)

14 (Supplementary Fig. 6).

Hence, not only the microbiome but also its defensome is dramatically shaped by different ecological and geographical constraints. Higher densities of defense systems were found in MAGs recovered from particularly challenging biomes such as serpentine soils or the arctic itself, in line with the high VPRs described in such environments.

19

#### 20 The genetic mobility of bacterial MAG defensomes

21 Cellular defense genes typically propagate by HGT, in a process frequently mediated by 22 MGEs. Physical colocalization between defense genes and MGEs allows for an efficient 23 strategy to modulate and / or resolve potential conflicts in the interactions between the host 24 and the MGE itself. In this context, a growing number of MGE-encoded defense systems or 25 defense genes have been described in several bacteria, particularly involving the most well 26 studied ones (R–Ms, Abi, CRISPR-Cas) and major families of MGEs (phages, plasmids, 27 integrons, ICEs / IMEs)<sup>13,51,52</sup>. Yet, there is a paucity of data on the genetic mobility of the

1 defensome in complex bacterial environmental communities. We consistently observed more 2 defense genes in MGEs than in chromosomes (excluding MGEs), irrespective of the 3 environment (Fig. 4a, Supplementary Fig. 7a, Supplementary Tables 9, 10). This is in line 4 with current evidence that MGE-encoded defense systems protect their host cells as a sideeffect of their action to protect the MGE from other MGEs<sup>51</sup>. When MGEs were split according 5 to family (excluding integrons which are rare in the human gut microbiota<sup>53</sup>), there was a slight 6 7 trend for higher colocalization of defense genes with ICEs / IMEs irrespective of the 8 environment (Fig. 4b, Methods), in agreement with recent observations<sup>52</sup>. When integrons 9 were included for comparison, they showed the highest colocalization densities with defense 10 genes in the human gut (Supplementary Fig. 7b), a result that should be taken cautiously 11 given its low statistical power.

12 A further split of defense genes according to their corresponding family, allowed us to evaluate 13 the former's over- or underrepresentation across MGE classes (Fig. 4c, Supplementary Fig. 14 7c). The results put into evidence a few curious aspects of defensome mobility. The first is 15 that irrespectively of the environment, plasmids generally carry a higher than expected by 16 random chance number of defense genes across a large breadth of defense families when 17 compared to other MGE classes. This observation aligns with the fact that plasmids typically 18 allow for a high genetic plasticity and can sustain large gene exchange networks throughout phylogenetically diverse communities<sup>54</sup>. 19







9

The second aspect relates to the highly heterogeneous landscape of combinations defense family / MGE class across multiple environments. This reflects the dynamic interplay between a multitude of parameters, including the density and phylogenetic composition of host cells and MGEs present in the community, habitat structure, and environmental pressures. These results also suggest that certain defense genes / systems favor different classes of MGEs for

their shuttling, in a likely dynamic and multilayered interplay with shifting allegiances. Overall,
these data shows that a wide range of defense families is carried by MGEs presumably
favoring their selfish spread, and that different associations defense family / MGE class are
favored across distinct biomes.

5

#### 6 Encoded functional potential of defense islands and defensome colocalization

7 Defense genes are typically carried in MGEs by HGT. The former may allow the MGE to be 8 kept in the host by promoting addiction, but on the reverse side of the coin, may carry 9 beneficial traits capable of positive epistatic interactions with the resident host functions. To 10 conciliate these two scenarios, defense genes tend to cluster in so-called defense islands, 11 i.e., high-turnover sinks of genetic diversity, that may serve as catalysts of novel defensive 12 strategies. Therefore, we gueried the abundance of such islands and their content. We found 13 12,890 defense islands in 6,217 MAGs (Supplementary Fig. 8a, Supplementary Table 11a, 14 **Methods**), with a similar size distribution across environments (median ~ 17 genes) (**Fig. 5a**). 15 suggesting that there is an optimal size range for these defense sinks. Defense gene density 16 in defense islands was significantly lower in marine environments, followed by soil and human 17 gut (Fig. 5b). The latter is in line with the above observations on a limited defensome in marine 18 MAGs when compared with other environments. Defense islands' anti-MGE content was very 19 diverse (Fig. 5c), with several defense families being overrepresented compared to regions 20 outside defense islands (e.g., Hachiman, R-M, Thoeris) while others being underrepresented 21 (e.g., PsyrTA, ShosTA, Zorya) (Supplementary Fig. 8b).





2 Fig. 5. The MAG defense island repertoire. (a) Defense island (DI) length distribution (given in genes) in soil, 3 marine, and human gut MAGs. (b) Density distribution of defense genes (DGs) (per MAG per kb) across each 4 environment. (c) Pie-plots of the relative abundance (%) of gene content in defense islands. Colored slices 5 correspond to defense genes (those with a relative abundance < 1% were merged as 'Other'), and gray slices (NA) 6 correspond to genes not classified as defensive by DefenseFinder. The outer layer corresponds to the skew ratio 7 between genes belonging to complete and incomplete systems given by #genes belonging to complete systems – #genes belonging to incomplete systems. (d) Defense families' odds ratio (OR) of 8 #genes belonging to complete systems + #genes belonging to incomplete systems 9 colocalization in defense islands (bottom heatmaps) and associated Fisher's exact test P value (upper heatmaps) 10 for the three environments. To eliminate the confounding (inflating) effect of colocalized genes pertaining to the 11 same system, we only considered solitary genes or those pertaining to independent defense systems distanced of 12 5 genes or less.

13

14 This bias for certain defense families to locate in defense islands, suggests either positive 15 epistatic interactions with vicinal genes, or a preferential shuttling by a particular family of 16 MGEs. Despite its diversity, defense families are largely similar across environments and

1 skewed towards incomplete systems, pointing as expected, towards a high gene turnover at 2 defense islands (Fig. 5c). Interestingly, the large majority (~63%) of defense islands' gene 3 content was not predicted to have a defensive role. A COG classification of such 'non-4 defensive' genes revealed a high prevalence of functions linked to replication / recombination 5 / repair and transcription (Supplementary Fig. 8c). The latter can be at least partially 6 explained by the fact that defense genes are often shuttled by MGEs, which rely on such 7 functions for target selectivity, insertion, and excision. The above COG categories and the 8 most abundant defense families (R–M and CRISPR-Cas for soil / human gut; R–M, CBASS 9 and RosmerTA for marine biomes) remained unchanged even when considering defense 10 systems (instead of genes) as the main counting unit in the definition of defense islands (see

11 Methods) (Supplementary Fig. 8d, Supplementary Table 11b).

12 Since MGEs have different distribution patterns, we quantified the frequency of colocalization 13 of defensome families ( $\leq$  5 genes apart) in defense islands compared to regions outside the 14 latter (Fig. 5d, Supplementary Table 12, Methods). In line with their abundance, frequent 15 shuttling by MGEs and defensive role, R-Ms significantly colocalized with most other defense 16 families in defense islands irrespectively of the environment. Inversely, R-Ms showed a 17 preference to colocalize with genes pertaining to Menshen, Shango and Dodola families 18 outside defense islands. Interestingly, and despite their general underrepresentation in 19 defense islands (Supplementary Fig. 8b), genes pertaining to families such as PsyrTA and 20 Zorya showed significant colocalization with other defense families inside defense islands. 21 Conversely, defense families significantly overrepresented in defense islands (e.g., 22 Hachiman) (Supplementary Fig. 8b), rarely colocalized with other families. Upon splitting our 23 dataset according to biogeographical zones, and despite the subsequent decrease in 24 statistical power, the colocalization trends of the most abundant defense families still hold 25 qualitatively (Supplementary Fig. 9, Supplementary Table 13). These observations point for 26 the possibility of previously unappreciated epistatic interactions between selected families of 27 defense genes / systems in defense islands.

Hence, we found approximately 11% of the defensome concentrated in defense islands, an
environment-dependent highly heterogeneous distribution of defense families in such regions,
a large proportion of 'non-defensive' functions, and a significant colocalization of a subset of
families of defense.

5

### 6 The genetic variability of the defensome

7 The coevolutionary dynamics between defenses and counter-defenses contributes to an 8 endless process of genetic diversification and evolution of sequence specificity, that can take 9 place through point mutations, recombination, gene duplications, replication slippage, or 10 transposition<sup>55</sup>. Such panoply of diversification processes has been particularly studied in well-11 described innate immune systems like R-Ms, and can take the form of, for example, target 12 recognition domain swapping in Type I hsdS subunits, or phase variability of Type III modH 13 genes. However, there is a void in our current understanding on the extent to which differences 14 in selection strength act across distinct defensome gene families. To this end, we performed 15 metagenome read recruitment over defensome genes, assessed the frequency and type of 16 short variants found, and used this information to pinpoint consistently fast or slow evolving 17 genes across environments (see Methods for further details).

18 We observed multiple defense gene families with higher-than-expected values of SNP + indel 19 density across multiple biomes (Fig. 6a, only defense families for which at least one defense 20 gene showing a O / E ratio  $\geq$  1.5 per environment are shown, **Supplementary Table 14**). 21 Genes such as *dolB*, *mzaA*, and *sspH* were among this 'high-mutation frequency' subset 22 irrespective of the environment, while others like druA, zorA, or letA were environment-23 specific. The results were qualitatively similar when all defense families were included 24 (Supplementary Fig. 10). The range of SNP + indel densities differed considerably across 25 defense gene families (Fig. 6b). Mutation types were also profoundly affected by the 26 environment (and thus by population structure). For example, indels and non-synonymous 27 SNPs were consistently more abundant in marine than in soil MAGs, even when comparing

1 across same defense families (e.g., doIB, mzaA or sspH) (Fig. 6b). While most variants found 2 was intragenic, sspH and particularly zorA had as much as 25% of variants located in the first 3 200 bp upstream the annotated start codons, suggesting potential regulatory effects. The rapid 4 turnover of defense gene repertoires in bacteria, many of which in MGEs, can be followed by 5 selection for the former's conservation or loss in a cell. To investigate the action of natural 6 selection on the defensome gene families showing the highest frequency of variants, we 7 computed the ratio of nonsynonymous over synonymous substitution rates (dN/dS) for the 8 pools of orthologous defense genes within our MAG dataset. Similar to previous observations 9 for CRISPR-Cas and R-M gene families<sup>56,13</sup>, all defense genes analyzed were found to be 10 under strong purifying selection (dN/dS<<1; Supplementary Fig. 11a, Supplementary Table 11 **15**). The preferential purge of nonsynonymous mutations by natural selection contributes to 12 maintain the defensive functions of these genes and can be reconciled with a scenario of high 13 turnover, if the selection pressure on the system fluctuates in time, i.e., if these genes alternate 14 periods of strong purifying selection and periods of relaxed selection (e.g., as a result of 15 competition with other defense systems, or during strong selection for HGT). Interestingly, 16 despite their overall negative selection, we observed relatively high levels of divergence and 17 positive selection in certain portions of their sequences (Supplementary Fig. 11b). The latter 18 matched, for example, PFAM domains with predicted AAA+ ATPase activity (PF07724 / 19 PF10431 in DoIB, and to a less extent PF00004 / PF17862 in letA), an ftsH-like extracellular 20 domain (PF06480 in letA), and a Sigma70-like non-essential domain (PF04546 in MzaA).

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2 Fig. 6. The genetic variability of the defensome. (a) 90 metagenomes (30 for each environment) having a broad 3 representativity in terms of sampling sites (soil and marine) and countries (human gut), as well as in terms of 4 presence of most defense families previously identified by DefenseFinder were selected. Shown in circles are the 5 observed / expected (O / E) ratios of number of defense gene families harboring high-frequency SNPs + indels 6 (≥25% at the variant position) in their gene body (including 200 bp upstream the start codon). Expected values 7 were obtained by multiplying the total number of genes pertaining to a given defense family by the fraction of 8 defense genes of that family harboring high-frequency alleles. Circle radius corresponds to the total number of 9 defense genes analyzed per family. To ease visualization, we limited the figure to only defense families for which 10 at least one defense gene showed an O / E ratio ≥ 1.5 per environment. The complete representation is shown in 11 Supplementary Fig. 10. \*P < 0.05; \*\* $P < 10^{-2}$ ; \*\*\* $P < 10^{-3}$ ,  $\chi^2$ -test. NS: not significant. (*b*) Density distribution of 12 SNPs + indels for a selection of defense gene families showing the highest O / E values in (a). Information on 13 mutation type, location and phylum are indicated in pie-plots. The number of genes analyzed is shown in 14 parentheses.

### 1 Discussion

2 In this study, we present a large-scale analysis of the abundance and diversity of defensomes 3 of genomes/species from complex microbial communities and three representative biomes: 4 soil, marine and human gut. Our results on the guantification of the defensome in marine 5 MAGs lend support to a scenario of a limited defense arsenal in this environment (Fig. 2b). 6 The latter can be accounted by a variety of potential explanations namely: i) the fact that 7 oligotrophic open oceans typically show an overrepresentation of clades characterized by heavily streamlined genomes<sup>57</sup> (e.g., Dadabacteria, Chloroflexota) (**Supplementary Fig 1**, 8 9 Supplementary Table 2), and thus, more likely to opt for more transient defense systems and 10 little metabolic plasticity to better cope with the limiting environment of the surface ocean; ii) 11 the dominantly planktonic lifestyle and low cell-density in the marine environment (at least for 12 the free-living fractions accounted for in our MAG dataset) which may in itself, or through a 13 reduced frequency of HGT, contribute to a more limited anti-MGE arsenal; iii) the fact that the 14 large majority of HMMs currently available to detect defense systems were initially developed 15 on the basis of genetic data that overrepresents not only cultivable bacteria, but also lineages 16 (e.g., Escherichia, Bacillus, Pseudomonas) that are more distantly related to those that make 17 up the global ocean microbiome (Supplementary Table 3). On a broader view, our results 18 gualitatively match those recently obtained for RefSeq genomes in terms of the most abundant 19 systems (R–Ms, CRISPR-Cas) and overall diversity of families identified<sup>11</sup>. The enhanced 20 granularity offered by our cross-environment comparison revealed a few curious differences 21 at the level of preferential 'second line' defense families. One of such differences concerns 22 SoFIC and CBASS which are present in roughly 20% of soil and marine MAGs (mainly in 23 Chitinophagales and Caulobacterales), but considerably less predominant (~8%) in human 24 gut MAGs (mainly in Verrucomicrobiales, Enterobacterales, and Bacteroidales) (Fig. 2a). 25 Inversely, the abortive infection system Rst PARIS is present in 20% of human gut MAGs 26 (mainly in Bacteroidales) but is virtually absent in soil or marine environments (Fig. 2a). While 27 R-Ms (and to a lesser extent CRISPR-Cas) are largely ubiquitous, our results are supportive

1 of a 'second line' of defense systems (SoFIC, CBASS, Rst Paris, etc) that is also mostly non-2 species-specific, differentially favored across distinct environments, and privileged by 3 distinctive strategies of genetic mobility (Fig. 4c, see below). As we move down the ladder of 4 defense system abundance, we face an increasing variety of cryptic, presumably highly 5 specialized, and more species / population-specific systems. By further splitting our dataset 6 into sub-environments or by geographic location, we observed significant differences in 7 defense system abundance (Fig. 3). And while the increased densities observed at serpentine 8 systems and across the arctic ocean can be explained by the extreme conditions experienced 9 at such environments and a subsequent phage-bacteria imbalance, the more subtle variations 10 in defense system density in human gut MAGs across multiple countries and the panoply of 11 confounding variables associated, preclude the identification of more explanatory scenarios.

12 Higher densities of defense genes were consistently observed in (or in the close vicinity) of 13 MGEs compared to those found in the chromosome (excluding MGEs) (Fig. 4a). Such 14 colocalization facilitates the rapid acquisition and / or diversification of the defensome to 15 provide resistance against multiple other MGEs. It was recently suggested that the carrying of 16 certain defense systems by MGEs by a given bacterial host, may not always relate with the 17 latter's need for protection, but instead on the best interest of the MGE itself in order to 18 overcome or displace antagonistic MGEs<sup>51</sup>. Our observation of a complex and heterogeneous 19 distribution of defense gene families across different classes of MGEs supports such 20 hypothesis and suggests an exploitation of MGEs by defense genes / systems for purposes 21 other than host defense. It ultimately highlights the need to better understand the molecular, 22 and evolutionary interactions between the threesome host-phage-mobilome.

Genes acquired by HGT, and MGEs in particular, tend to integrate in a small number of chromosome hotspots to decrease the fitness cost of their integration. Successive rounds of integration / excision / partial deletion of MGEs when accompanied by the co-option of defense genes / systems may result in the formation of defense islands. While initially thought that the latter were merely "genomic junkyards" in which the defense genes that are frequently acquired via HGT accumulate because insertion in these regions is unlikely to be deleterious,

1 it has now become clear that there is a specific selective advantage in such clustering of 2 genes, such as functional cooperation between different defensive modules and generation 3 of novel functions. When compared across environments, defense islands did not show 4 significant differences in terms of size, relative abundances of major defense families, or at 5 the topmost abundant COG functional categories for genes classified as 'non-defensive' (Fig. 6 5a, c, Supplementary Fig. 8c). While many of these genes seem to encode factors involved 7 in genetic mobility, others have hitherto unknown functions. In this line, an interesting next 8 step would be to build upon our precise delimitation of defense islands in such a large and 9 phylogenetically diverse MAG dataset and use a previously developed colocalization framework<sup>3</sup> to leverage the identification of novel defense systems. A significant 10 11 overrepresentation of several defense families (e.g., Hachiman, R–M, Thoeris) was observed 12 in defense islands (compared to non-island regions). Yet, for certain of these families, such 13 overabundance was not synonymous of a higher likelihood of colocalization with the 14 remainder of the defensome (and vice-versa). These observations point for the possibility of 15 previously unappreciated epistatic interactions or increased probability of functional 16 diversification for a selected subset of families of defense genes / systems in defense islands. 17 In this regard, the extent to which non-canonical HGT mechanisms (e.g., gene transfer agents, 18 nanotubes, membrane vesicles) and MGE-independent mechanisms of diversification (e.g., 19 homologous recombination) respectively shape the movement of defense genes and the 20 evolution of defense islands remains unclear.

21 Under the Red Queen evolutionary dynamics, the coevolution between opposing hosts and 22 parasites portrays evolution as a never-ending evolutionary arms-race between defense and 23 counter-defense strategies. Such antagonistic coevolution pervades evolutionary change 24 through multiple ingenious strategies, including: *i*) point mutations in phage DNA recognition 25 sites to reduce the likelihood of restriction by R–M systems<sup>58</sup>; *ii*) phase-variation / inversions / 26 point mutations in MTases, REases or S modules leading to altered R-M systems' 27 specificity<sup>55,59</sup>; *iii*) ON/OFF switch in CRISPR immunity through mutations in *cas* genes<sup>60</sup>; 28 among others. Thus, not only turnover and recombination, but also rapid sequence evolution

1 of certain defense genes / systems through mutation are key factors shaping the host-parasite 2 evolutionary trajectory. Such diversification strategies are a function of the size and the 3 diversity of the defensome gene pool in a bacterial population, and will shape how the latter 4 remains evolutionarily responsive to temporally or spatially variable selection imposed by 5 phages. Different defense genes are expected to evolve at different rates. For example, 6 significant differences in purifying selection have been described across different Types of R-7 M REases and MTases, highlighting distinct signatures of adaptive evolution<sup>13</sup>. To gain a 8 birds-eye-view on potentially coexisting subpopulations bearing substantial defense gene-9 level diversity, we built upon a metagenome read recruitment approach. This allowed us to 10 identify a subset of defense genes having a higher-than-expected frequency of SNPs + indels. 11 globally evolving under strong purifying selection, and a heterogeneous landscape of mutation 12 types profoundly affected by the environment (and thus by population structure). Whereas for 13 some of these genes we can point out determinants capable of explaining such observations 14 - namely the presence of domains known for their predisposition to genetic variation (e.g., the motility-associated motA domain<sup>61</sup> in zorA, or the *ftsk* translocase domain<sup>62</sup> in *sspH*) –, the 15 16 lack of substantial functional and mechanistic insights on the remaining ones (and on their 17 systems) precludes further meaningful ascertainments.

18 It is important to appreciate that our computational analysis is challenged by a few difficult-to-19 control confounding variables and limitations that are worth discussing. The first, concerns the 20 imbalance in our dataset between the number of samples recovered from each biome, as well 21 as their geographic distribution. While the number of soil and marine MAGs analyzed was 22 essentially the same, human gut MAGs were roughly one order of magnitude greater. From 23 the geographic standpoint, marine samples have a global representation, but soil and human 24 gut microbiome data are greatly biased towards the US and China. These observations 25 highlight a critical need for thorough geographic sampling, more global representation of 26 participants in microbiome studies, and a fairer access to genomics resources especially in 27 resource-poor countries. A second confounding variable, likely more relevant, concerns the 28 fact that MAG binning methods using short reads tend to miss certain low-abundance or

1 difficult to resolve MGE families. The fact that defense genes are often carried or colocalize 2 with MGEs, necessarily indicates that our results *i*) may have a bias in the ratio of defense 3 genes inside versus outside the mobilome, and *ii*) are most likely a partial underestimated 4 picture of the real defensome abundance. Future inclusion of long-read data will enable 5 reference-quality genome reconstruction from metagenomes, and further improve our 6 observations. Third, our observations are not representative of all bacterial communities and 7 are likely influenced by characteristics of the sampled environments. Still, the stringent dataset 8 filtering used in our study in terms of MAG completeness and N50 (with associated controls 9 shown in **Supplementary Fig. 1**), together with previous demonstration on the accuracy of 10 MAG size estimates (that are part of our dataset) compared with reference genomes<sup>26</sup>, makes 11 us have good reasons to think that our analyses constitute a reasonable proxy of the defense 12 landscape diversity carried by such populations, and of the complex interplay underlying their 13 interactions at the intra- and inter-environment level. Lastly, while this study provides novel 14 and intriguing insights into the defensome co-localization, it does not address the specific 15 mechanisms and interactions between different systems, nor the interplay with phage counter-16 defense strategies<sup>63,64</sup>.

The efforts recently undertaken to identify novel defense mechanisms in typically easily cultivable bacteria must now be followed by initiatives to expand the search to uncultivated microbes in complex microbial communities, to understand how such mechanisms collaborate or antagonize with one another, how they co-opt or are co-opted by MGEs, and how they are shaped by the surrounding environment. Our work provides a first steppingstone in such a direction.

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### 1 Methods

#### 2 **Data**

3 In this study we built upon a large dataset of 7,759 high-quality soil, marine, and human gut 4 MAGs<sup>24–26</sup> (**Supplementary Table 1**). These MAGs were filtered on the basis of the Minimum 5 Information about a Metagenome Assembled Genome (MIMAG) standard (≥ 90% 6 completeness,  $\leq$  5% contamination/redundancy,  $\geq$  18 tRNA genes and presence of at least 7 one class of 5S, 16S and 23S rRNA genes). When not clearly stated in the original study, we 8 performed identification of rRNA genes using both Infernal<sup>65</sup> v1.1.4 (options: -Z 1000 -hmmonly --cut ga --noali --tblout) and RNAmmer<sup>66</sup> v1.2 (options: -S bac -m tsu,ssu,lsu -h -f -9 10 gff) (Supplementary Table 3). Since defense systems are often *i*) multigenic and *ii*) clustered 11 in defense islands, we further selected for highly contiguous MAGs to more accurately reflect 12 the defensome abundance and distribution. In particular, we selected assemblies having 13 values of  $N_{50} \ge 100$  kb (corresponding to at least the top 99.5 % best assemblies), and 14 repeated the analyses for  $N_{50} \ge 200$  and 300 kb (chosen upon visual inspection of the density 15 distribution) (Supplementary Fig. 1) to account for the effect of contiguity in our observations. MAG annotation was performed with PROKKA<sup>67</sup> v1.14.5 (default parameters). 16

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#### 18 Identification of anti-MGE defense genes, systems and islands

19 MAGs were queried for anti-MGE defense genes / systems using DefenseFinder<sup>11</sup> v1.0.8 20 (option: --preserve-raw). The current version of this tool allows for the screening of 1,024 21 genes pertaining to 127 families of anti-MGE defense systems. Defense islands were defined 22 as arrays of defense genes (or defense systems) separated from one another by 10 genes or 23 less and with a minimum of 5 genes pertaining to at least 3 different defense families. Functional annotation of 'non-defensive' genes was performed with eggNOG-mapper<sup>68</sup> v.2.1.9 24 25 (default parameters). To test for colocalization of defense families in defense islands, we 26 computed their odds ratio and associated Fisher's exact test P value. For this purpose, we 27 considered all colocalized defense genes distanced of 5 genes or less both inside and outside

defense islands. Genes belonging to the same defense system are necessarily colocalized,
so we deliberately eliminated such hits to avoid inflating same system colocalization
frequencies. To determine the presence of putative defense system regulators harboring WYL
or CAspase Recruitement Domains (CARD), all MAG proteomes were scanned against the
Pfam-HMMs PF13280 (WYL) and PF00619 (CARD) using HMMER3<sup>69</sup> and a cut-off *e*-value
of 0.01.

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### 8 Identification of mobile genetic elements

9 Classification of contigs as belonging to chromosomes or plasmids was performed using 10 PlasClass<sup>70</sup> v.0.1.1 and PlasFlow<sup>71</sup> v.1.1 (both with default parameters). Plasmid hits were 11 selected as those with a score greater than or equal to 0.7. Integrons were identified using IntegronFinder<sup>72</sup> v.2.0.1 (option --local max). Prophages were detected with Virsorter2<sup>73</sup> 12 13 v.2.2.3 (options --include-groups dsDNAphage,ssDNA --min-length 5000 --min-score 0.5). 14 Despite recent evidence for phage satellites carrying defense systems<sup>74</sup>, we deliberately 15 excluded them from our analyses, mainly due to the very few examples of experimentally 16 validated satellites (particularly in non-cultivable bacteria), which precludes the development 17 of robust detection tools and an accurate evaluation of their classification. Integrative 18 Conjugative Elements (ICEs) and Integrative Mobilizable Elements (IMEs) were detected with 19 ICEfinder<sup>75</sup> v.2.6.32-696.10.2.el6.x86 64 (default parameters). All MGE hits matching multiple 20 families were not considered in the analyses (~2.8% of the total MGE dataset detected). While 21 MGE carriage by other MGEs (e.g., integrons by plasmids) is indeed expected, we deliberately 22 eliminated such hits to avoid the confounding effects of their co-occurrence on the defensome 23 analyses.

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#### 25 Phylogenetic analyses

For phylogenetic tree construction we took for each MAG a concatenate of 15 ribosomal proteins (L2, L3, L4, L5, L6, L14, L16, L18, L22, L24, S3, S8, S10, S17, S19), aligned them

with MAFFT<sup>76</sup> v7.490 (options: --maxiterate 1000 -globalpair) (soil, marine) or Muscle<sup>77</sup> v.5.1 1 2 (option: -super5) (human gut), and trimmed poorly aligned regions with BMGE<sup>78</sup> v2.0 (option: 3 -t AA). To avoid plotting poorly supported branches, MAGs harboring less than 50% of the 4 abovementioned ribosomal list were omitted from the phylogenetic representations (> 95 % 5 had the expected number of proteins across the three environments). The trees were computed by maximum likelihood with RaxML<sup>79</sup> v8.2.12 (options: raxmlHPC-PTHREADS-6 7 AVX -f a -m PROTGAMMAAUTO -N autoMRE -p 12345 -x 12345) (soil, marine) or igtree2<sup>80</sup> 8 v2.2.6 (options: -nt 56 -cmax 15 -bb 1000 -alrt 1000 -m TESTNEW -safe) (human gut) 9 (Supplementary Table 5). The phylogenetic depth was defined as the average root-to-tip 10 distance, and was computed as the diagonal mean of the phylogenetic variance-covariance 11 matrix of each tree, using the vcv.phylo function in the R package "ape".

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#### 13 Variant analysis of the defensome

14 To evaluate which defense gene families are preferential targets for increased genetic 15 diversity (SNPs + indels), we selected 90 metagenomes (30 for each environment with similar 16 sequencing depth) having a broad representativity in terms of sampling sites (soil and marine) 17 and countries (human gut), as well as in terms of presence of most defense families that were 18 characteristic to each environment. Fragment recruitment was performed by mapping 19 metagenomic reads from each sample against the ensemble of defense genes (including 200 20 bp upstream of the start codon) pertaining to the previously selected 90 metagenomes using 21 BWA-MEM<sup>81</sup> v.0.7.17 (default parameters). Genetic variants were identified from aligned reads with FreeBayes<sup>82</sup> v1.1.0 (options: freebayes-parallel -p 1 -P 0 -C 1 -F 0.025 --min-22 23 repeat-entropy 1.0 -q 13 -m 60 --strict-vcf --f) and a subsequent filtering step was performed 24 to select only genes (including upstream regions) containing variants having a minimum 25 frequency of 25% supported by at least 10 reads. A minimum of 10 genes per defense family 26 per environment was considered in the analysis. Alignments were visualized using IGV v.2.14.1. Finally, SNPGenie<sup>83</sup> v1.0 (options: --vcfformat=4 --snpreport --fastafile --gtffile --27

outdir) was used for variant classification. For each environment, we computed the observed
/ expected (O / E) ratio of defense genes harboring high-frequency alleles across all defense
families. Expected values were obtained by multiplying the total number of genes pertaining
to a given defense family by the fraction of defense genes of that family harboring highfrequency alleles.

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#### 7 Analysis of substitution rates

8 All-against-all BLASTP searches were performed on the sets of defense genes scanned in the genomes (default settings, e-value  $<10^{-3}$ ). Clustering was performed using the SILIX 9 10 package<sup>84</sup> v.1.3.0 using a minimum identity threshold of 80% and default values for the 11 remaining parameters. Singletons were eliminated from our data set. The remaining protein 12 sequences (putative orthologs) were reverse-translated to the corresponding DNA sequences 13 using PAL2NAL<sup>85</sup> v14. Pairwise rates of non-synonymous substitutions (dN), synonymous 14 substitutions (dS) and  $\omega$  (dN/dS) were computed using the KaKs Calculator<sup>86</sup> v.2.0 implementing the Yang-Nielsen<sup>87</sup> and Nei-Gojobori<sup>88</sup> methods. Estimations yielding dS > 115 16 (corresponding to situations of substitution saturation and representing 0.2 % of the total data) 17 were discarded to improve the quality of estimation of  $\omega$ .

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#### 19 Statistical and graphical analyses of data

All statistical and graphical analyses were conducted using R v.4.3.1. Geographical representation of metagenome sampling locations was generated using the *mapdata* package. Visualization of genomic contexts was performed with the package *gggenes*. Colocalization heatmaps were created using the ComplexHeatmap package. Stepwise linear regression analyses were performed by using the *step* function from the *stats* package.

25

### 1 Data availability

- 2 All data supporting the findings of this study are available within the article and its
- 3 supplementary files. Source data are provided with this paper.
- 4

### 5 Code availability

- 6 Wrapper scripts supporting all key analyses of this work are publicly available
- 7 at <u>https://github.com/oliveira-lab/Defensome</u>.

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#### 12 Author Contributions

P.H.O supervised the project. A.B. and P.H.O. designed the computational methods. A.B. and
A.L. performed most of the computational analyses and developed most of the scripts that
support the analyses. A.B., A.L., N.W., J.P., T.O.D., L.P., P.W. and P.H.O. analysed the data.
A.B. and P.H.O. wrote the manuscript with additional information inputs from other co-authors.

#### 18 Competing interests

19 The authors declare no competing interests.

#### **Supplementary Figures**



![](_page_36_Figure_3.jpeg)

![](_page_37_Figure_1.jpeg)

![](_page_37_Figure_2.jpeg)

3 Supplementary Fig. 2. Percentage of soil, marine and human gut MAGs harboring each family of defense genes.

4 Shown are assemblies with values of  $N_{50} \ge 100,\,200,\,and\,300$  kb.

![](_page_38_Figure_1.jpeg)

![](_page_38_Figure_2.jpeg)

![](_page_38_Figure_3.jpeg)

- 7 assemblies with values of  $N_{50} \ge 200$  and 300 kb and for each environment. Error bars represent standard
- 8 deviations.

![](_page_39_Figure_1.jpeg)

2

1 Supplementary Fig. 4. Phylogenetic distribution of the defensome and association with types of defense

- mechanism and CARD / WYL domains. (a) Phylogenetic representation of 386 marine and 6,369 human gut MAGs,
   their corresponding phyla, density (per kb) of defense systems (DSs, purple), defense genes (DGs, red), MGEs
- their corresponding phyla, density (per kb) of defense systems (DSs, purple), defense genes (DGs, red), MGEs
   (green), and number of defense islands (DIs, vellow). Distribution of MAG sizes (Mb) are shown as outer layer
- 4 (green), and number of defense islands (DIs, yellow). Distribution of MAG sizes (Mb) are shown as outer layer 5 barplots. (*b*) Defense system (DS) density (per MAG per kb) split per biome and underlying defense mechanism
- 6 (R–M, Abi, potential Abi, CRISPR-Cas, and other (non-Abi)). Error bars represent standard deviations and Mann–
- 7 Whitney–Wilcoxon test *P* values are indicated. \*P < 0.05; \*\* $P < 10^{-2}$ ; \*\*\* $P < 10^{-3}$ . (c) Representative instances of
- 8 colocalized (less than 10 genes apart) WYL and CARD domain-containing genes with defense genes in the human
- 9 MAGs MGYG000217834 and MGYG000013880. Also shown is a chromosomal region of the Bacteroidetes
- 10 bacterium UBA1952 sharing similarity with a recently described *Pedobacter rhizosphaerae* CARD-encoding
- 11 defense system<sup>33</sup>. (*d*) Stacked barplots showing the relative abundance of families of defense genes that colocalize
- 12 with WYL- and CARD-like domains. (e) Heatmap of observed / expected (O / E) ratios of colocalization between
- 13 genes belonging to distinct defense families and WYL and CARD-like domains. Expected values were obtained by
- 14 multiplying the total number of genes pertaining to a given defense family by the fraction of defense genes of that
- 15 family colocalized with a given domain. P values correspond to the  $\chi^2$ -test. To avoid performing analyses with weak
- 16 statistical power, we omitted the marine MAG dataset due to their low WYL and CARD domain abundance
- 17 (detected in as much 0.75% of the dataset).

![](_page_41_Figure_2.jpeg)

![](_page_41_Figure_3.jpeg)

![](_page_42_Figure_1.jpeg)

Supplementary Fig. 5. Percentage of (a) soil, (b) marine and (c) human gut MAGs harboring each family of
 defense system across different ecological and geographical backgrounds.

![](_page_43_Figure_1.jpeg)

![](_page_44_Figure_1.jpeg)

**Supplementary Fig. 6.** Defense system (DS) density (per MAG per kb) split according to defense mechanism (R– M, Abi, potential Abi, CRISPR-Cas, and other (non-Abi)), and different ecological (soil, marine) (*a*, *b*) and geographical (human gut) (*c*) contexts. Error bars represent standard deviations and Mann–Whitney–Wilcoxon test P values are indicated. \*P < 0.05; \*\* $P < 10^{-2}$ ; \*\*\* $P < 10^{-3}$ .

![](_page_45_Figure_1.jpeg)

1

2 **Supplementary Fig. 7**. The genetic mobility of the defensome. Reproduction of **Fig. 4** of the manuscript with the 3 inclusion of integrons. \*P < 0.05; \*\* $P < 10^{-2}$ ; \*\*\* $P < 10^{-3}$ ,  $\chi^2$ -test.

![](_page_46_Figure_1.jpeg)

1

Supplementary Fig. 8. The MAG defense island repertoire. (a) Representative examples of defense islands for
 each environment, illustrating the high diversity of defense families present. (b) Heatmap of observed/expected (O
 / E) ratios of colocalization between genes belonging to distinct defense families and defense islands. Expected

- 1 values were obtained by multiplying the total number of genes pertaining to a given defense family by the fraction
- 2 of defense genes of that family assigned to a defense island. P values correspond to the  $\chi^2$ -test. (c) COG functional
- 3 annotation assessed by Eggnog-mapper of the ensemble of non-defensive genes in defense islands. (d) COG
- 4 functional annotation of the ensemble of non-defensive genes in defense islands when complete defense systems
- 5 were used as counting units for the classification of defense islands (see Methods).

![](_page_48_Figure_1.jpeg)

![](_page_49_Figure_1.jpeg)

2 3

![](_page_50_Figure_1.jpeg)

1 2 3

Supplementary Fig. 9. Defense families' odds ratio (OR) of colocalization in defense islands (bottom heatmaps)
 and associated Fisher's exact test *P* value (upper heatmaps) for different ecological (soil, marine) (*a*, *b*) and
 geographical (human gut) (*c*) contexts.

![](_page_51_Figure_1.jpeg)

![](_page_51_Figure_2.jpeg)

3 Supplementary Fig. 10. The genetic variability of the defensome. (a) 90 metagenomes (30 for each environment) 4 having a broad representativity in terms of sampling sites (soil and marine) and countries (human gut), as well as 5 in terms of presence of most defense families previously identified by DefenseFinder were selected. Shown in 6 circles are the observed / expected (O / E) ratios of number of defense gene families harboring high-frequency (≥ 7 25% of coverage at the variant position) SNPs + indels positions in their gene body (including 200 bp upstream the 8 start codon). No thresholds on O / E ratio were introduced. Expected values were obtained by multiplying the total 9 number of genes pertaining to a given defense family by the fraction of defense genes of that family harboring high-10 frequency alleles. Circle radius corresponds to the total number of defense genes analyzed per family. All defense 11 families are represented irrespectively of their O / E ratio span.

![](_page_52_Figure_1.jpeg)

b) SOIL

![](_page_52_Figure_3.jpeg)

Supplementary Fig. 11. Evolution of defense genes. (a) Variation in global dN/dS given by the Nei-Gojobori (NG) and Yang-Nielson (NY) methods for a selection of defense genes shown to harbor a significantly higher frequency of SNPs + Indels. (b) Across gene profiles of dN/dS given by the Maximum Likelihood (Akaike Information Criterium), Nei-Gojobori (NG), and Yang-Nielson (NY) methods for a selection of three defense genes simultaneously present in soil and marine environments. PFAM domains are shown as colored rectangles.