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# On the robustness of discontinuous patterns in degenerate reaction-diffusion systems with perturbed hysteresis

Guillaume Cantin\*

January 17, 2024

## Abstract

In this paper, we study the dynamics of a morphogenesis model which reproduces the formation of discontinuous patterns observed in numerous biological or ecological systems. This model is determined by a degenerate reaction-diffusion system with hysteresis in the non-diffusive equation. We focus on the robustness of discontinuous patterns under the action of a perturbation of the hysteresis process. We analyze the bifurcations of homogeneous stationary solutions to this nonlinear model and prove that the trivial solution is the only one to resist to a perturbation of strong intensity. We then prove an original result on the structural transformation of discontinuous patterns, which are seen to react by acquiring a supplementary discontinuity jump under the effect of a perturbation of small intensity. We interpret the supplementary jump for ecological systems as the possible emergence of an invasive ecosystem. Numerical simulations and animations are finally provided to guide intuition on the complex morphogenesis process of this dynamical system.

*Key words.* Morphogenesis – Degenerate reaction-diffusion system – Discontinuous pattern – Hysteresis – Perturbation.

## 1 Introduction

The formation of patterns observed in numerous real-world biological and ecological systems, also known as *morphogenesis*, has become a very important research topic in mathematical modeling and in the study of nonlinear dynamical systems. Turing is now recognized as the first mathematician to propose a mechanistic model, determined by a system of reaction-diffusion equations, for reproducing morphogenesis in an activator-inhibitor chemical system [38]; the forms produced by his model are now well-known as *Turing patterns*. Nowadays, morphogenesis is a vibrant topic that continues to produce an active literature, in which reaction-diffusion systems play a central role. In these reaction-diffusion systems, Turing patterns have been intensely studied. The literature on this subject is so wide that it is impossible to cite every relevant paper. One can however refer to [21, 22, 24, 36], where Turing patterns are proved to emerge in vegetation models, or to [29], where they are observed in a neuron model. The case of reaction-diffusion systems with a discrete diffusion operator also reveals the formation of remarkable patterns, as proved in [15]. Other related works on this subject can be found in [19, 23, 30, 31, 33, 37, 39] and the references cited therein. In particular, it is well explained how Turing patterns are continuous in space and it is often observed that they can take various forms such as spots, spirals or labyrinths. Nonetheless, another type of patterns seems to have been less investigated, namely, *discontinuous patterns*.

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Indeed, discontinuous patterns have been identified in several real-world systems, notably in microbiology [9, 26, 27], in forest ecosystems models [3, 6, 18, 20] or in competitive species models [2, 28]. It turns out that the reaction-diffusion systems in which discontinuous patterns are proven to appear admit common properties. First, they are *degenerate* reaction-diffusion systems, that is, at least one equation of the model is non-diffusive. In other words, one species of the system is sedentary, such as trees in the forest model presented in [18] for instance, which are typically motionless individuals (it is interesting to note that the population of individuals of the sedentary species can paradoxically be capable of moving [10]). Furthermore, the non-diffusive equation admits a strong nonlinearity which is not invertible. This nonlinearity is often related to a *hysteresis* process that acts in the system (see for instance [1, 16, 17, 34]). Overall, discontinuous patterns seem to appear when the smoothing effect of the diffusion operator [7, 13] is perturbed by the combination of a degeneracy in the diffusion process and a non-invertible term. Note however that the case of non degenerate reaction-diffusion systems with discontinuous coefficients could also reveal discontinuous patterns. Although the existence of discontinuous patterns has been recently studied in the aforementioned papers [2, 4, 9, 17, 20, 26, 27, 28], their characteristic properties are far from being completely understood. Indeed, very recent results have been established on the asymptotic behavior of the trajectories determined by these degenerate reaction-diffusion systems. Notably, a result of non-existence of the global attractor for a degenerate reaction-diffusion system with hysteresis has been proved in [3]. Meanwhile, the weak convergence of the solutions to a forest model towards heterogeneous stationary solutions has been established in [14] using the Lojasiewicz-Simon gradient inequality, and the weak convergence of the solutions to a simplified model towards discontinuous patterns has been proved in [4] using a macroscopic mass effect under symmetry assumptions. In parallel, the local stability of the discontinuous patterns, that is, their behavior under a perturbation of the initial condition, has been studied in numerous papers (for instance in [9, 12, 17, 25]). But their *structural* stability, that is, their behavior under the effect of a perturbation of the nonlinear function involved in the system, has not been analyzed.

**Contribution.** Therefore, to the best of our knowledge, the structural stability of the discontinuous patterns emerging in degenerate reaction-diffusion systems with respect to a perturbation has never been analyzed. In this paper, it is precisely our aim to bring a new contribution to a better understanding of the robustness of discontinuous patterns in this type of systems. To that aim, we consider a generic model for the formation of discontinuous patterns and we focus on the structural response of its solutions under the action of a smooth perturbation. The model we consider is written

$$\frac{\partial u}{\partial t} = \alpha w - q_\mu(u), \quad \frac{\partial w}{\partial t} = \delta \Delta w - \beta w + \alpha u. \quad (1)$$

As will be detailed below, the latter system is defined on a bounded domain  $\Omega \subset \mathbb{R}^1$ ; it is supplemented with the Neumann boundary condition on  $\partial\Omega$  and with a given initial condition  $(u_0, w_0)$  defined in  $\Omega$ . The first equation in (1), describing the evolution of a sedentary species, is non-diffusive and contains a nonlinearity  $q_\mu(u)$  which is given by a non-invertible cubic function, perturbed by a smooth function parametrized by  $\mu$ . The second equation describes the evolution of a mobile species which interacts with the first species. The unperturbed model has been studied, as a simplification of the forest model presented in [18], in [3] where it has been proved that it cannot admit the global attractor, and in [4] where the weak convergence of a non trivial set of solutions towards discontinuous patterns has been established. Here, we focus on the effect of the perturbation on the stationary solutions to (1). We investigate the bifurcations of homogeneous stationary solutions and prove that the trivial solution is the only one to resist to a perturbation of strong intensity (Proposition 2). We then prove our main result, which states that the perturbation transforms discontinuous patterns, by adding a second discontinuity jump to the first discontinuity jump which is observed in the patterns of the unperturbed model (Theorems 4 and 5). Our analysis of the stationary solutions relies on geometric methods, with a careful analysis of a Hamiltonian system that admits a discontinuous right-hand side and is equivalent to the problem of finding heterogeneous equilibria of (1). Our new theoretical results are illustrated by numerical simulations and we provide additional animations along with this paper, so as to guide intuition on the behavior of the dynamical system under study.

**Outline.** Our paper is organized as follows. In Section 2, we present in detail the degenerate reaction-diffusion system we are studying and the basic assumptions on the perturbation introduced in the model. Local in time solutions and global solutions are then proven to exist. In Section 3, we analyze, by geometric methods, the distribution of homogeneous and heterogeneous stationary solutions to system (1) and their structural stability under the action of the perturbation. The emergence of two-jumps discontinuous patterns is interpreted for the case of a forest ecosystem. Finally, in Section 4, we show numerical experiments that support our theoretical statements.

## 2 Preliminaries and setting of the problem

In this section, we present the degenerate reaction-diffusion model under study, the assumptions on the perturbation introduced in the model, and basic results on the existence of local and global solutions.

**Notations.** Throughout this paper, the symbols  $k_i$ , with  $i \in \mathbb{N}$ , will denote positive constants. The space of continuous (respectively continuously differentiable) functions defined on an interval  $I \subset \mathbb{R}$  with values in a Banach space  $X$  will be denoted  $\mathcal{C}(I, X)$  (respectively  $\mathcal{C}^1(I, X)$ ). Let  $\Omega$  denote an open, connected and bounded subset of  $\mathbb{R}^n$ , where  $n$  is a positive integer; we will assume that its boundary is sufficiently regular. Lebesgue spaces on  $\Omega$  will be denoted  $L^p(\Omega)$  and Sobolev spaces on  $\Omega$  will be denoted  $W^{k,p}(\Omega)$ , with  $p \in [1, \infty]$  and  $k \in \mathbb{N}$ . Those functional spaces are Banach spaces whose norms will be denoted  $\|\cdot\|_{L^p(\Omega)}$  and  $\|\cdot\|_{W^{k,p}(\Omega)}$  respectively. For  $p = 2$ , we simply note  $H^k(\Omega) = W^{k,2}(\Omega)$ .

### 2.1 A generic model for the formation of discontinuous patterns

Various models reproducing the formation of discontinuous patterns in biological or ecological systems take the form of a degenerate reaction-diffusion system with hysteresis in the non-diffusive equation [18, 26, 28]. The following degenerate reaction-diffusion system unifies this variety of models:

$$\begin{cases} \frac{\partial u}{\partial t} = \alpha w - q_\mu(u), & (t, x) \in (0, +\infty) \times \Omega, \\ \frac{\partial w}{\partial t} = \delta \Delta w - \beta w + \alpha u, & (t, x) \in (0, +\infty) \times \Omega, \\ \frac{\partial w}{\partial \nu} = 0, & (t, x) \in (0, +\infty) \times \partial\Omega, \\ u(0, x) = u_0(x), w(0, x) = w_0(x), & x \in \Omega. \end{cases} \quad (2)$$

Here,  $\Omega$  is a one-dimensional bounded domain. The unknown functions  $u = u(t, x)$ ,  $w = w(t, x)$  are defined in  $(0, +\infty) \times \Omega$ , and the initial conditions  $u_0$ ,  $w_0$  are defined in  $\Omega$ .  $\Delta$  denotes the Laplace diffusion operator defined by  $\Delta w = \frac{\partial^2 w}{\partial x^2}$ . The outward normal vector to  $\partial\Omega$  at point  $x$  is denoted by  $\nu(x)$ . The parameters  $\alpha$ ,  $\beta$ ,  $\delta$  are positive coefficients, and  $q_\mu(u)$  is a smooth function defined by a perturbation of a cubic:

$$q_\mu(u) = q(u) + \mu p(u), \quad q(u) = u(u^2 - 1), \quad u \in \mathbb{R}, \quad \mu \geq 0. \quad (3)$$

The unknowns  $u(t, x)$  and  $w(t, x)$  correspond to densities of biological individuals;  $u(t, x)$  is a sedentary species (e.g. trees) and  $w(t, x)$  is a mobile species (e.g. seeds).

Next, we assume that the perturbation  $p(u)$  is continuously differentiable in  $\mathbb{R}$  and satisfies the following properties:

$$\forall s \in \mathbb{R}, \quad p(s) + p(-s) = 0, \quad (4)$$

$$\forall s \geq 0, \quad p(s) \geq 0, \quad (5)$$

$$\exists M_1 > 0, \quad \forall s \in \mathbb{R}, \quad |p(s)| + |p'(s)| \leq M_1. \quad (6)$$



We also assume that  $q'_\mu(0)$  increases when  $\mu$  increases in  $[0, +\infty)$ , is greater than  $\frac{\alpha}{\beta}$  for  $\mu$  sufficiently large, and that the function  $q_\mu(u)$  admits a unique minimum  $m_\mu$  on  $\hat{u}_\mu \in \mathbb{R}^+$ , such that

$$m_\mu \text{ increases when } \mu \text{ increases in } [0, +\infty), \quad (7)$$

$$m_\mu > \frac{\alpha^2}{\beta} \hat{u}_\mu \text{ for } \mu \text{ sufficiently large.} \quad (8)$$

Finally, we assume that there exists  $\mu_1 > 0$  such that  $q_\mu(u)$  presents three monotone branches for  $\mu < \mu_1$  and five monotone branches for  $\mu > \mu_1$ .

With these assumptions, we easily prove the following lemma.

**Lemma 1.** *For each  $\mu \geq 0$ , the function  $q_\mu(u)$  satisfies*

$$q_\mu(u) + q_\mu(-u) = 0, \quad (9)$$

for all  $u \in \mathbb{R}$ . Furthermore, there exist  $\mu_2, \mu_3, \mu_4$ , such that

$$0 < \mu_1 < \mu_2 < \mu_3 < \mu_4$$

and for which the following properties hold.

(i) If  $0 \leq \mu < \mu_1$ , then  $q_\mu(u)$  presents three monotone branches, admits three zeros and three intersection points with the line  $\frac{\alpha^2}{\beta}u$ .

(ii) If  $\mu_1 < \mu < \mu_2$ , then  $q_\mu(u)$  presents five monotone branches, admits five zeros but three intersection points with the line  $\frac{\alpha^2}{\beta}u$ .

(iii) If  $\mu_2 < \mu < \mu_3$ , then  $q_\mu(u)$  presents five monotone branches, admits five zeros and five intersection points with the line  $\frac{\alpha^2}{\beta}u$ .

(iv) If  $\mu_3 < \mu < \mu_4$ , then  $q_\mu(u)$  presents five monotone branches, admits a unique zero and five intersection points with the line  $\frac{\alpha^2}{\beta}u$ .

(v) If  $\mu_4 < \mu$ , then  $q_\mu(u)$  presents five monotone branches, admits a unique zero and a unique intersection point with the line  $\frac{\alpha^2}{\beta}u$ .

A basic example of a perturbation  $p(u)$  satisfying the above assumptions is given by

$$p(u) = \frac{20u}{(1 + 10u^2)^2}, \quad u \in \mathbb{R}. \quad (10)$$

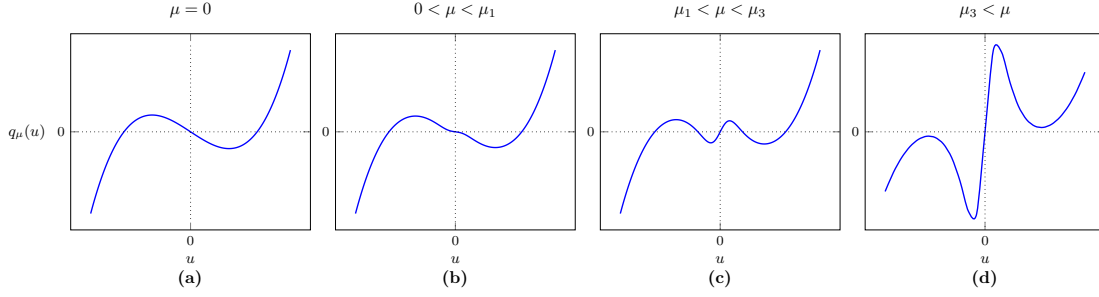
Note that all the numerical computations presented in this paper were produced with this example.

We emphasize that the function  $q_\mu(u)$  derives from a potential  $Q_\mu(u)$  which can be written

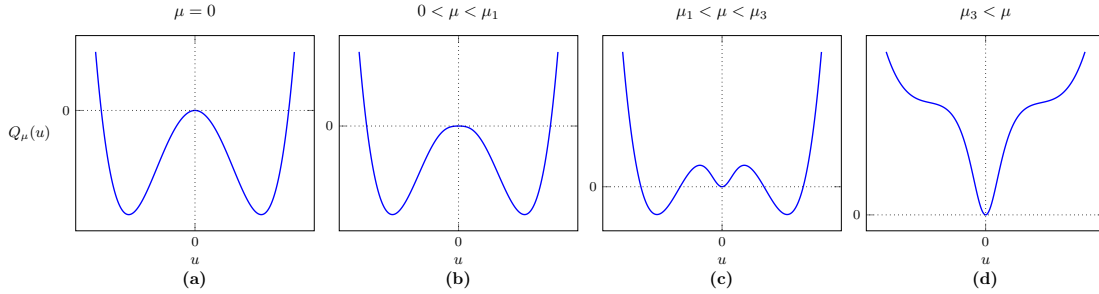
$$Q_\mu(u) = \frac{u^4}{4} - \frac{u^2}{2} + \mu \int_0^u p(s)ds, \quad u \in \mathbb{R}. \quad (11)$$

The number of zeros of the function  $q_\mu(u)$  obviously determines the number of local minima of the potential  $Q_\mu(u)$ . Hence, for  $0 \leq \mu < \mu_1$ ,  $Q_\mu(u)$  admits two local minima; for  $\mu_1 < \mu < \mu_3$ , it admits three local minima; for  $\mu_3 < \mu$ , it admits a unique global minimum. The effect of the perturbation parameter  $\mu$  on the shapes of the function  $q_\mu(u)$  and of the potential  $Q_\mu(u)$  is illustrated in Figures 1 and 2. We observe that the critical values  $\mu_1$  and  $\mu_3$  determine a structural modification of the potential  $Q_\mu(u)$ . We will see below that the critical values  $\mu_2$  and  $\mu_4$ , which alternate with  $\mu_1$  and  $\mu_3$ , determine a structural modification of the homogeneous stationary solutions of the degenerate reaction-diffusion system (2).

Now, our aim is to prove that the degenerate reaction-diffusion system (2) admits local solutions. To this end, we write it as a semi-linear equation in a proper Banach space.



**Figure 1:** Effect of the perturbation parameter  $\mu$  on the function  $q_\mu(u)$  defined by (3). **(a)-(b)** For  $0 \leq \mu < \mu_1$ ,  $q_\mu(u)$  presents three monotone branches and admits three zeros. **(c)** For  $\mu_1 < \mu < \mu_3$ ,  $q_\mu(u)$  presents five monotone branches and admits five zeros. **(d)** For  $\mu_3 < \mu$ ,  $q_\mu(u)$  presents five monotone branches and admits a unique zero.



**Figure 2:** Effect of the perturbation parameter  $\mu$  on the potential  $Q_\mu(u)$  defined by (11). **(a)-(b)** For  $0 \leq \mu < \mu_1$ ,  $Q_\mu(u)$  admits two local minima. **(c)** For  $\mu_1 < \mu < \mu_3$ , it admits three local minima. **(d)** For  $\mu_3 < \mu$ , it admits a unique global minimum.

## 2.2 Abstract formulation and local solutions

Following [40], we handle the degenerate reaction-diffusion system (2) in the Banach space  $X$  defined by

$$X = L^\infty(\Omega) \times L^2(\Omega), \quad (12)$$

equipped with the product norm

$$\|U\|_X = \|u\|_{L^\infty(\Omega)} + \|w\|_{L^2(\Omega)}, \quad U = (u, w) \in X.$$

We consider the differential operator  $\Lambda$  defined as the realization of  $-\delta\Delta + \beta$  in  $L^2(\Omega)$  with the Neumann boundary condition on  $\partial\Omega$ . It is known that  $\Lambda$  is a positive definite self-adjoint and sectorial operator, of angle strictly less than  $\frac{\pi}{2}$ , with domain

$$\mathcal{D}(\Lambda) = H_N^2(\Omega) = \left\{ w \in H^2(\Omega) ; \frac{\partial w}{\partial \nu} = 0 \text{ on } \partial\Omega \right\}.$$

Hence, the diagonal operator  $A = \text{diag}\{1, \Lambda\}$  is also a sectorial operator in  $X$ , with angle strictly less than  $\frac{\pi}{2}$ , and with domain  $\mathcal{D}(A) = L^\infty(\Omega) \times \mathcal{D}(\Lambda)$ . Here, we emphasize that the domain of  $A$  is *not* compactly embedded in  $X$ , although the domain of  $\Lambda$  is compactly embedded in  $L^2(\Omega)$ . This is due to the absence of diffusion in the first equation, and partly determines an original asymptotic behavior, as proved in [3].

Next, we consider an exponent  $\eta \in (\frac{3}{4}, 1)$ . The sectorial operator  $\Lambda$  admits a fractional power  $\Lambda^\eta$  whose domain is given by

$$\mathcal{D}(\Lambda^\eta) = H_N^{2\eta}(\Omega) = \left\{ w \in H^{2\eta}(\Omega) ; \frac{\partial w}{\partial \nu} = 0 \text{ on } \partial\Omega \right\},$$

where  $H^{2\eta}(\Omega)$  is the interpolation space  $W^{2\eta,2}(\Omega)$ . We have the continuous embeddings

$$H^{2\eta}(\Omega) \subset \mathcal{C}(\bar{\Omega}) \subset L^\infty(\Omega) \subset L^2(\Omega), \quad (13)$$

and the norms  $\|u\|_{\mathcal{D}(\Lambda^\eta)}$  and  $\|\Lambda^\eta u\|_{L^2(\Omega)}$  are equivalent. The diagonal operator  $A$  also admits a fractional power  $A^\eta$  and its domain is given by  $\mathcal{D}(A^\eta) = L^\infty(\Omega) \times H_N^{2\eta}(\Omega)$ .

In this way, the degenerate reaction-diffusion system (2) can be written in an abstract form

$$\frac{dU}{dt} + AU = F_\mu(U), \quad t > 0, \quad (14)$$

where  $F_\mu(U)$  is the nonlinear operator defined by

$$F_\mu(U) = \begin{pmatrix} \alpha w - q_\mu(u) + u \\ \alpha u \end{pmatrix}, \quad U = (u, w) \in \mathcal{D}(A^\eta). \quad (15)$$

Note that the domain of the nonlinear operator  $F_\mu$  is uniform with respect to the perturbation parameter  $\mu$ . Next, elementary computations show that  $F_\mu$  satisfies the estimation

$$\|F_\mu(U) - F_\mu(\tilde{U})\|_X \leq C_1 \left( 1 + \|U\|_X^2 + \|\tilde{U}\|_X^2 \right) \|A^\eta(U - \tilde{U})\|_X + \mu C_2 \|A^\eta(U - \tilde{U})\|_X, \quad (16)$$

for all  $\mu$  in  $\mathbb{R}^+$  and all  $U, \tilde{U}$  in  $\mathcal{D}(A^\eta)$ , with positive constants  $C_1, C_2$ . Therefore, by virtue of Theorem 4.1 in [40], we can state the existence of local in time solutions to the problem (14).

**Theorem 1** (Local solutions). *Let  $\mu \geq 0$ . For all  $U_0 \in X$ , the Cauchy problem defined by (14) and  $U(0) = U_0$  admits a unique local solution  $U_\mu = (u_\mu, w_\mu)$  defined on  $[0, T_{U_0}]$  with  $T_{U_0} > 0$  in the function space*

$$\begin{aligned} u_\mu &\in \mathcal{C}([0, T_{U_0}], L^\infty(\Omega)) \cap \mathcal{C}^1((0, T_{U_0}), L^\infty(\Omega)), \\ w_\mu &\in \mathcal{C}([0, T_{U_0}], H_N^2(\Omega)) \cap \mathcal{C}([0, T_{U_0}], L^2(\Omega)) \cap \mathcal{C}^1((0, T_{U_0}), L^2(\Omega)). \end{aligned}$$

### 2.3 Global solutions and continuous dynamical system

We continue by showing that local in time solutions to the degenerate reaction-diffusion system (2) are global and determine a continuous dynamical system. The following proposition establishes an *a priori* estimate for any local solution.

**Proposition 1** (Dissipative estimate). *Let  $\mu \geq 0$ . There exists a positive exponent  $\lambda$  and a positive constant  $C_3$  such that, for all  $U_0 \in X$ , the local in time solution  $U_\mu$  of the Cauchy problem defined by (14) and  $U(0) = U_0$ , defined on  $[0, T_{U_0}]$  with  $T_{U_0} > 0$ , satisfies*

$$\|U_\mu(t)\|_X \leq C_3 (e^{-\lambda t} \|U_0\|_X + 1), \quad (17)$$

for all  $t \in [0, T_{U_0}]$ .

*Proof.* Let  $U_0 \in X$ . We denote by  $U_\mu(t)$  the local in time solution to the degenerate reaction-diffusion system (2) stemming from  $U_0$ , defined on  $[0, T_{U_0}]$  with  $T_{U_0} > 0$ . We introduce the energy function  $L$  defined for  $t \geq 0$  by

$$L(t) = \frac{1}{2} \int_{\Omega} [u_\mu^2(t) + w_\mu^2(t)] dx.$$

The function  $L$  is continuously differentiable and we have

$$\begin{aligned}\dot{L}(t) &= \int_{\Omega} \frac{\partial u_{\mu}}{\partial t}(t) u_{\mu}(t) dx + \int_{\Omega} \frac{\partial w_{\mu}}{\partial t}(t) w_{\mu}(t) dx \\ &= \alpha \int_{\Omega} u_{\mu}(t) w_{\mu}(t) dx - \int_{\Omega} u_{\mu}(t) q_{\mu}(u_{\mu}(t)) dx \\ &\quad + \delta \int_{\Omega} w_{\mu}(t) \Delta w_{\mu}(t) dx + \alpha \int_{\Omega} u_{\mu}(t) w_{\mu}(t) dx - \beta \int_{\Omega} w_{\mu}^2(t) dx.\end{aligned}$$

We can apply the Green formula and the Neumann boundary condition to deduce that

$$\int_{\Omega} w_{\mu}(t) \Delta w_{\mu}(t) dx \leq - \int_{\Omega} |\nabla w_{\mu}(t)|^2 dx \leq 0.$$

Next, we easily prove that the following lower estimate holds for all  $s \in \mathbb{R}$ :

$$sq_{\mu}(s) \geq g_1 s^2 - g_2,$$

where  $g_1$  is an arbitrarily large coefficient, provided  $g_2$  is sufficiently large. Indeed, elementary computations lead to

$$\begin{aligned}sq_{\mu}(s) - (g_1 s^2 - g_2) &= s^4 - s^2 + \mu sp(s) - g_1 s^2 + g_2 \\ &= s^4 - (1 + g_1) s^2 + g_2 + \mu sp(s) \\ &\geq s^4 - (1 + g_1) s^2 + g_2 \\ &\geq \left( s^2 - \frac{1 + g_1}{2} \right)^2 + g_2 - \frac{(1 + g_1)^2}{4} \\ &\geq g_2 - \frac{(1 + g_1)^2}{4},\end{aligned}$$

where we have used assumptions (4) and (5) in order to minor  $\mu sp(s)$  by 0. Hence, if  $g_1$  is chosen arbitrarily large, it suffices to choose  $g_2$  such that  $g_2 - \frac{(1+g_1)^2}{4} \geq 0$ .

With this lower estimate, we deduce

$$\dot{L}(t) \leq 2\alpha \int_{\Omega} u_{\mu}(t) w_{\mu}(t) dx - g_1 \int_{\Omega} u_{\mu}^2(t) dx - \beta \int_{\Omega} w_{\mu}^2(t) dx + g_2 |\Omega|.$$

Now we apply the generalized Young inequality  $ab \leq \frac{1}{2\varepsilon} a^2 + \frac{\varepsilon}{2} b^2$ , which is valid of all  $a, b \in \mathbb{R}$  and all  $\varepsilon > 0$ , to write

$$\int_{\Omega} u_{\mu}(t) w_{\mu}(t) dx \leq \frac{1}{2\varepsilon} \int_{\Omega} u_{\mu}^2(t) dx + \frac{\varepsilon}{2} \int_{\Omega} w_{\mu}^2(t) dx, \quad (18)$$

for any  $\varepsilon > 0$ . We choose  $\varepsilon = \frac{\beta}{2\alpha}$ , which leads to

$$2\alpha \int_{\Omega} u_{\mu}(t) w_{\mu}(t) dx \leq \frac{2\alpha^2}{\beta} \int_{\Omega} u_{\mu}^2(t) dx + \frac{\beta}{2} \int_{\Omega} w_{\mu}^2(t) dx. \quad (19)$$

We obtain

$$\dot{L}(t) \leq - \left( g_1 - \frac{2\alpha^2}{\beta} \right) \int_{\Omega} u_{\mu}^2(t) dx - \frac{\beta}{2} \int_{\Omega} w_{\mu}^2(t) dx + g_2 |\Omega|.$$

Finally, we choose  $g_1$  so that  $g_1 > \frac{2\alpha^2}{\beta}$  and we denote

$$\lambda = \min \left( g_1 - \frac{2\alpha^2}{\beta}, \frac{\beta}{2} \right).$$

We obtain

$$\dot{L}(t) \leq -2\lambda L(t) + g_2|\Omega|.$$

Applying Gronwall lemma leads to

$$L(t) \leq L(0)e^{-2\lambda t} + \frac{g_2|\Omega|}{2},$$

which reduces to

$$\left( \int_{\Omega} [u_{\mu}^2(t) + w_{\mu}^2(t)] dx \right)^{1/2} \leq \left( \int_{\Omega} [u_0^2 + w_0^2] dx \right)^{1/2} e^{-\lambda t} + k_1,$$

which proves that

$$\|U_{\mu}(t)\|_{L^2(\Omega)^2} \leq k_2 \left( \|U_0\|_{L^2(\Omega)^2} e^{-\lambda t} + 1 \right). \quad (20)$$

Afterwards, it remains to show how the dissipative estimate (20), which holds in  $L^2(\Omega)^2$ , implies the stronger estimate (17) in  $X$ . Indeed, it suffices to apply similar arguments as in the proof of Proposition 11.1 in [40]. Hence, we can show that  $w$  and  $u$  satisfy respectively

$$\|w_{\mu}(t)\|_{L^{\infty}(\Omega)} \leq k_3 \left[ (1 + t^{-\eta}) e^{-k_4 t} \|U_0\|_{L^2(\Omega)^2} + 1 \right], \quad (21)$$

$$\|u_{\mu}(t)\|_{L^{\infty}(\Omega)} \leq k_5 \left( e^{-k_6 t} \|U_0\|_X + 1 \right), \quad (22)$$

for all  $t > 0$ .

Finally, we combine the dissipative estimates (20) and (22) to obtain (17), which completes the proof.  $\square$

With the dissipative estimate (17), we can directly state the existence of global solutions, that determine a continuous dynamical system.

**Theorem 2** (Global solutions and continuous dynamical system). *Let  $\mu \geq 0$ . For all  $U_0 \in X$ , the Cauchy problem defined by (14) and  $U(0) = U_0$  admits a unique global solution  $U_{\mu}(t, U_0) = (u_{\mu}, w_{\mu})$  defined on  $[0, +\infty)$  in the function space*

$$\begin{aligned} u_{\mu} &\in \mathcal{C}([0, +\infty), L^{\infty}(\Omega)) \cap \mathcal{C}^1((0, +\infty), L^{\infty}(\Omega)), \\ w_{\mu} &\in \mathcal{C}((0, +\infty), H_N^2(\Omega)) \cap \mathcal{C}([0, +\infty), L^2(\Omega)) \cap \mathcal{C}^1((0, +\infty), L^2(\Omega)). \end{aligned}$$

Furthermore, the degenerate reaction-diffusion system (2) determines a continuous dynamical system  $S_{\mu}(t)$  defined in  $X$  by

$$S_{\mu}(t)U_0 = U_{\mu}(t, U_0), \quad t \geq 0. \quad (23)$$

**Remark 1** (Lack of compactness). *Using the dissipative estimate (20), it can be shown that the continuous dynamical system  $S_{\mu}(t)$  admits an absorbing set  $\mathcal{B}_{\mu} \subset X$  which is bounded in  $\mathcal{D}(A)$  (see [40], Chapter 11, Section 4). However, we cannot show that the absorbing set  $\mathcal{B}_{\mu}$  is compact, hence it turns out that the study of the asymptotic behavior of the dynamical system  $S_{\mu}(t)$  cannot be described by means of the global attractor.*

## 2.4 Existence of a potential

To understand its dynamics, it is worth emphasizing that the behavior of the degenerate reaction-diffusion system (2) is partly governed by the potential  $H_{\mu}(u, w)$  defined by

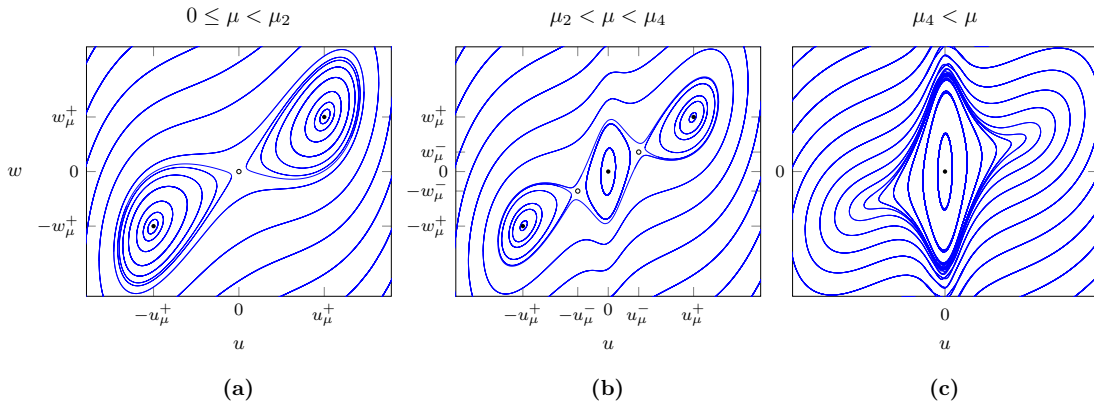
$$H_{\mu}(u, w) = \beta \frac{w^2}{2} - \alpha u w + Q_{\mu}(u), \quad u, w \in \mathbb{R},$$

so that system (2) can be written under the gradient form

$$\frac{\partial u}{\partial t} = -\frac{\partial H_\mu}{\partial u}, \quad \frac{\partial w}{\partial t} = \delta \Delta w - \frac{\partial H_\mu}{\partial w}.$$

If the diffusion coefficient  $\delta$  is null, then the degenerate reaction-diffusion system (2) reduces to a system of ordinary equations, whose orbits are attracted to the local minima of the potential  $H_\mu(u, w)$ . But when the diffusion coefficient  $\delta$  is positive, the dynamics is completely modified. In particular, the orbits can weakly converge towards discontinuous patterns, as will be analyzed in the sequel.

Next, it is easy to prove that  $H_\mu(u, w)$  admits two local minima  $(u_\mu^+, w_\mu^+)$ ,  $(-u_\mu^+, -w_\mu^+)$  and a unique saddle  $(0, 0)$  if  $0 \leq \mu < \mu_2$ , three local minima  $(u_\mu^+, w_\mu^+)$ ,  $(0, 0)$ ,  $(-u_\mu^+, -w_\mu^+)$  and two saddles  $(u_\mu^-, w_\mu^-)$ ,  $(-u_\mu^-, -w_\mu^-)$  if  $\mu_2 < \mu < \mu_4$  and a unique global minimum  $(0, 0)$  if  $\mu_4 < \mu$ . The effect of the perturbation parameter  $\mu$  on the energy levels of the potential  $H_\mu(u, w)$  is illustrated in Figure 3.



**Figure 3:** Effect of the perturbation parameter  $\mu$  on the potential  $H_\mu(u, w)$ . **(a)** For  $0 \leq \mu < \mu_2$ ,  $H_\mu(u, w)$  admits two local minima  $(u_\mu^+, w_\mu^+)$ ,  $(-u_\mu^+, -w_\mu^+)$  and a unique saddle  $(0, 0)$ . **(b)** For  $\mu_2 < \mu < \mu_4$ , it admits three local minima  $(u_\mu^+, w_\mu^+)$ ,  $(0, 0)$ ,  $(-u_\mu^+, -w_\mu^+)$  and two saddles  $(u_\mu^-, w_\mu^-)$ ,  $(-u_\mu^-, -w_\mu^-)$ . **(c)** For  $\mu_4 < \mu$ , it admits a unique global minimum  $(0, 0)$ .

We will show in Section 3 that the critical points of the potential  $H_\mu(u, w)$  correspond to the homogeneous stationary solutions of the degenerate reaction-diffusion system (2). Hence, when  $\mu$  varies and crosses the critical values  $\mu_2$  and  $\mu_4$ , a structural modification of the potential  $H_\mu(u, w)$  is observed. Note that this modification occurs after a delay in  $\mu$  compared with the critical values  $\mu_1$  and  $\mu_3$  that provoke the modifications of the potential  $Q_\mu(u)$ , as shown in Figure 2. In this paper, we focus on the effect of a perturbation of *small* intensity on the dynamics of the degenerate reaction-diffusion system (2), that is, a perturbation that generates a third local minimum in the potential  $H_\mu(u, w)$ . The case of a strong perturbation will be briefly treated and we will show that it leads to trivial dynamics. Therefore, the limiting value between the case of a small perturbation and that of a strong perturbation is  $\mu_4$ .

**Remark 2** (Lyapunov function). *Note that the potential  $H_\mu(u, w)$  can be used to construct a Lyapunov function  $\mathcal{L}_\mu(u, w)$ , defined as*

$$\mathcal{L}_\mu(u, w) = \int_\Omega \left[ \frac{\delta}{2} |\nabla w|^2 + H_\mu(u, w) \right] dx.$$

*The existence of a Lyapunov function highlights the dissipative nature of the degenerate reaction-diffusion system (2). In [14], such a Lyapunov function has been considered for proving the weak convergence of the orbits of a system similar to (2) towards stationary solutions.*

### 3 Analysis of homogeneous and heterogeneous stationary solutions

In this section, we focus on the effect of the perturbation parameter  $\mu$  on the stationary solutions of the degenerate reaction-diffusion system (2). First, we analyze the bifurcations of homogeneous solutions. We exhibit a pitchfork bifurcation and two saddle-node bifurcations. Then, we study the heterogeneous stationary solutions. We show that the degenerate reaction-diffusion system (2) admits non trivial discontinuous patterns and that a bifurcation of heteroclinic orbits tests the robustness of these patterns.

#### 3.1 Bifurcations of homogeneous stationary solutions

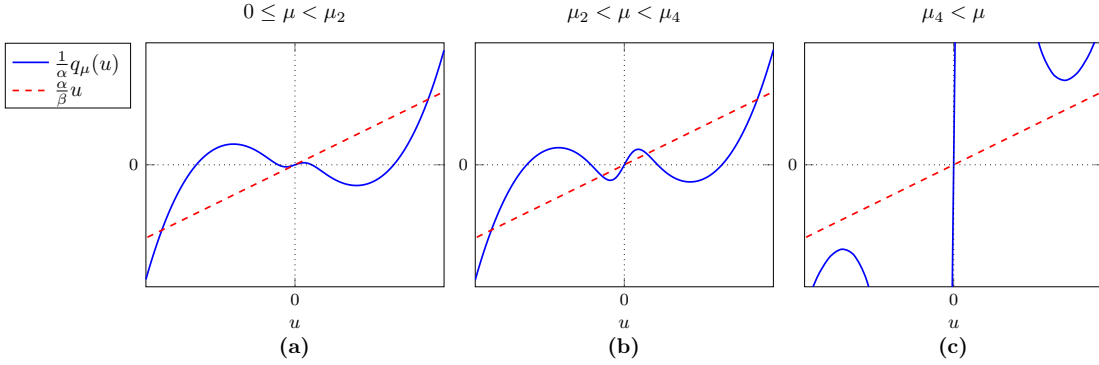
The homogeneous stationary solutions of the degenerate reaction-diffusion system (2) are the solutions of the following system

$$\alpha w - q_\mu(u) = 0, \quad -\beta w + \alpha u = 0, \quad (24)$$

which is equivalent to

$$w = \frac{\alpha}{\beta}u, \quad w = \frac{1}{\alpha}q_\mu(u).$$

The solutions of the latter system are obviously determined by the intersection points of the function  $q_\mu(u)$  with the line  $\frac{\alpha^2}{\beta}u$  (or equivalently between the function  $\frac{1}{\alpha}q_\mu(u)$  and the line  $\frac{\alpha}{\beta}u$ ). The effect of the perturbation parameter  $\mu$  on the number of these intersection points is illustrated in Figure 4.



**Figure 4:** The number of intersection points between the function  $q_\mu(u)$  and the line  $\frac{\alpha^2}{\beta}u$  (or equivalently between the function  $\frac{1}{\alpha}q_\mu(u)$  and the line  $\frac{\alpha}{\beta}u$ ) determines the number of stationary homogeneous solutions for the degenerate reaction-diffusion system (2). (a) For  $0 \leq \mu < \mu_2$ , the degenerate reaction-diffusion system (2) admits three homogeneous stationary solutions. (b) For  $\mu_2 \leq \mu < \mu_4$ , it admits five homogeneous stationary solutions. (c) For  $\mu_4 \leq \mu$ , it admits a unique homogeneous stationary solution.

The following proposition enumerates the stationary homogeneous solutions with respect to the perturbation parameter  $\mu$ .

**Proposition 2.** *The following assertions hold.*

(i) *If  $0 \leq \mu < \mu_2$ , then the degenerate reaction-diffusion system (2) admits three homogeneous stationary solutions  $O(0,0)$ ,  $U_\mu^+(u_\mu^+, w_\mu^+)$ ,  $-U_\mu^+(-u_\mu^+, -w_\mu^+)$ .*

(ii) *If  $\mu_2 < \mu < \mu_4$ , then it admits five homogeneous stationary solutions  $O(0,0)$ ,  $U_\mu^+(u_\mu^+, w_\mu^+)$ ,  $-U_\mu^+(-u_\mu^+, -w_\mu^+)$ ,  $U_\mu^-(u_\mu^-, w_\mu^-)$  and  $-U_\mu^-(-u_\mu^-, -w_\mu^-)$ .*

(iii) *If  $\mu > \mu_4$ , then it admits a unique homogeneous stationary solution  $O(0,0)$ .*

*Furthermore,  $U_\mu^+$  and  $-U_\mu^+$  are linearly stable for all  $\mu \in [0, \mu_4)$ , whereas  $U_\mu^-$  and  $-U_\mu^-$  are unstable for all  $\mu \in (\mu_2, \mu_4)$ ; the trivial solution  $O(0,0)$  is unstable if  $\mu < \mu_2$  and stable if  $\mu > \mu_2$ .*

*Proof.* First, assertions (i), (ii) and (iii) are direct consequences of Lemma 1.

Next, let  $\bar{U}$  denote a homogeneous stationary solution of the degenerate reaction-diffusion system (2). The stability of  $\bar{U}$  can be analyzed by considering the spectrum  $\sigma(\bar{A})$  of the operator  $\bar{A}$  defined by  $\bar{A} = A - F'(\bar{U})$ , where  $F'(\bar{U})$  denotes the Fréchet derivative of the function  $F$ . Indeed,  $\bar{U}$  is linearly stable if and only if

$$\sigma(\bar{A}) \subset \{z \in \mathbb{C} ; \Re z > 0\}. \quad (25)$$

Hence, we compute

$$\bar{A} = A - F'(\bar{U}) = \begin{pmatrix} q'_\mu(\bar{u}) & -\alpha \\ -\alpha & -\delta\Delta + \beta \end{pmatrix}.$$

We then consider the spectrum problem

$$(\lambda - \bar{A})U = P, \quad \lambda \in \mathbb{C}, \quad U \in \mathcal{D}(\bar{A}), \quad P \in X.$$

Denoting  $U = (u, w)^\top$  and  $P = (p_1, p_2)^\top$ , we obtain

$$\begin{cases} [\lambda - q'_\mu(\bar{u})]u + \alpha w = p_1, \\ \alpha u + [\lambda + \delta\Delta - \beta]w = p_2, \end{cases}$$

which leads to

$$[\alpha^2 - (\lambda - q'_\mu(\bar{u}))(\lambda + \delta\Delta - \beta)]w = \alpha p_1 - (\lambda - q'_\mu(\bar{u}))p_2. \quad (26)$$

If  $\lambda = q'_\mu(\bar{u})$ , then equation (26) reduces to

$$\alpha w = p_1.$$

Thus  $w$  does not belong to  $H_N^2(\Omega)$  for all  $p_1$  in  $L^\infty(\Omega)$ . We can deduce that  $q'_\mu(\bar{u}) \in \sigma(\bar{A})$ . As  $q'_\mu(u_\mu^-) < 0$  and  $q'_\mu(-u_\mu^-) < 0$ , we obtain that  $U_\mu^-$  and  $-U_\mu^-$  are unstable for all  $\mu \in (\mu_2, \mu_4)$ .

It remains to analyze the stability of  $O$ ,  $U_\mu^+$  and  $-U_\mu^+$ . Let us assume that  $\lambda \neq q'_\mu(\bar{u})$ . We denote by  $(\omega_n)_{n \geq 0}$  the sequence of eigenvalues of the Laplace operator  $-\Delta$  in  $L^2(\Omega)$  equipped with Neumann boundary condition on  $\partial\Omega$ ; this sequence is strictly increasing and its first element is null. With these notations,  $\lambda \in \sigma(\bar{A})$  if and only if  $\lambda$  is a solution to one of the following equations:

$$\alpha^2 - (\lambda - q'_\mu(\bar{u}))(\lambda - \delta\omega_n - \beta) = 0, \quad n \geq 0,$$

or equivalently

$$\lambda^2 - (q'_\mu(\bar{u}) + \delta\omega_n + \beta)\lambda + q'_\mu(\bar{u})(\delta\omega_n + \beta) - \alpha^2 = 0, \quad n \geq 0. \quad (27)$$

The discriminant  $D_n$  of the latter equation satisfies

$$D_n = (q'_\mu(\bar{u}) - (\delta\omega_n + \beta))^2 + 4\alpha^2 > 0,$$

which guarantees that (27) admits two real roots  $\lambda_1^n$  and  $\lambda_2^n$ , for all  $n \geq 0$ . These roots satisfy

$$\lambda_1^n + \lambda_2^n = q'_\mu(\bar{u}) + \delta\omega_n + \beta, \quad \lambda_1^n \times \lambda_2^n = q'_\mu(\bar{u})(\delta\omega_n + \beta) - \alpha^2.$$

If  $\bar{u}$  is equal to  $u_\mu^+$  or  $-u_\mu^+$ , then  $q'_\mu(\bar{u}) > 0$ . Hence,  $\lambda_1^n \times \lambda_2^n > 0$  if and only if

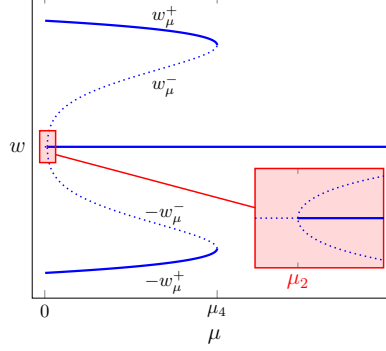
$$\omega_n < \frac{\beta}{\delta q'_\mu(\bar{u})} \times \left[ \frac{\alpha^2}{\beta} - q'_\mu(\bar{u}) \right]. \quad (28)$$

Furthermore, if  $\bar{u} = \pm u_\mu^+$ , then we have  $q'_\mu(\bar{u}) > \frac{\alpha^2}{\beta}$ . Therefore, condition (28) is never satisfied. It follows that  $\lambda_1^n > 0$  and  $\lambda_2^n > 0$  for all  $n \geq 0$ , which proves that  $U_\mu^+$  and  $-U_\mu^+$  are linearly stable.

Similarly, if  $\bar{u} = 0$ , we have  $q'_\mu(\bar{u}) > \frac{\alpha^2}{\beta}$  for  $\mu > \mu_2$  and  $q'_\mu(\bar{u}) < \frac{\alpha^2}{\beta}$  for  $\mu < \mu_2$ . We can deduce that  $O$  is unstable for  $\mu < \mu_2$  and linearly stable for  $\mu > \mu_2$ . The proof is complete.  $\square$



We emphasize that the homogeneous stationary solutions of the reaction-diffusion system (2) exactly coincide with the critical points of the potential  $H_\mu(u, w)$  defined by (2.4). More precisely, the local minima of the potential  $H_\mu(u, w)$  correspond to the homogeneous stationary solutions of (2) which are linearly stable, whereas the saddles of  $H_\mu(u, w)$  correspond to the homogeneous stationary solutions of (2) which are unstable. A bifurcation diagram showing the distribution and the stability of these homogeneous stationary solutions is depicted in Figure 5.



**Figure 5:** Bifurcation diagram showing the distribution and the stability of the homogeneous stationary solutions of the degenerate reaction-diffusion system (2). A pitchfork bifurcation occurs at  $\mu = \mu_2$ , where the trivial stationary solution  $O(0,0)$  becomes linearly stable, while the two unstable stationary solutions  $U_\mu^-(u_\mu^-, w_\mu^-)$ ,  $-U_\mu^-(u_\mu^-, w_\mu^-)$  appear. Two saddle-node bifurcations occur simultaneously at  $\mu = \mu_4$ , where the linearly stable solutions  $U_\mu^+(u_\mu^+, w_\mu^+)$ ,  $-U_\mu^+(u_\mu^+, w_\mu^+)$  merge with the unstable solutions  $U_\mu^-(u_\mu^-, w_\mu^-)$ ,  $-U_\mu^-(u_\mu^-, w_\mu^-)$ .

We observe that a pitchfork bifurcation occurs at  $\mu = \mu_2$ , where the trivial stationary solution  $O$  becomes linearly stable, while the two unstable stationary solutions  $U_\mu^-$ ,  $-U_\mu^-$  appear. Afterwards, two saddle-node bifurcations occur simultaneously at  $\mu = \mu_4$ , where the linearly stable solutions  $U_\mu^+$ ,  $-U_\mu^+$  merge with the unstable solutions  $U_\mu^-$ ,  $-U_\mu^-$ . Obviously, the pitchfork bifurcation and the saddle-node bifurcations occurring at  $\mu = \mu_2$  and  $\mu = \mu_4$  respectively are *local* bifurcations. It will turn out that two *global* bifurcations take place in background between  $\mu_2$  and  $\mu_4$ . Indeed, a bifurcation of heteroclinic orbits occurring at  $\bar{\mu} \in (\mu_2, \mu_4)$  shall be discovered by analyzing the structure of the heterogeneous stationary solutions of the degenerate reaction-diffusion system (2). Furthermore, we shall see that the bifurcation of heteroclinic orbits precedes the vanishing of particular patterns joining two opposite values  $-a$  and  $a$ , over a critical threshold  $\mu^*(a) \in (\mu_2, \bar{\mu})$ , depending on the amplitude between these opposite values.

### 3.2 Existence of heterogeneous stationary solutions

Heterogeneous stationary solutions  $(u(x), w(x))$  of the degenerate reaction-diffusion system (2) are the solutions of the following elliptic problem

$$\begin{cases} 0 = \alpha w(x) - q_\mu(u(x)), & x \in \Omega, \\ 0 = \delta \Delta w(x) - \beta w(x) + \alpha u(x), & x \in \Omega, \\ \frac{\partial w}{\partial \nu}(x) = 0, & x \in \partial\Omega. \end{cases} \quad (29)$$

Without loss of generality, we can write  $\Omega = (-\ell, \ell)$  with  $\ell > 0$ . It is convenient to write  $\Delta w = \dot{w}$  and to introduce the variable  $v = \dot{w}$ , so that system (29) can be rewritten

$$\begin{cases} 0 = \alpha w(x) - q_\mu(u(x)), & x \in \Omega, \\ \dot{v}(x) = \frac{\beta}{\delta} w(x) - \frac{\alpha}{\delta} u(x), & x \in \Omega, \\ \dot{w}(x) = v(x), & x \in \Omega, \\ v(-\ell) = v(\ell) = 0. \end{cases} \quad (30)$$

It would be easy to solve the latter system if the function  $q_\mu(u)$  was invertible. However, as shown in Lemma 1, the function  $q_\mu(u)$  is not monotone, hence it is *not* invertible. At the contrary, there exist infinitely many functions  $\psi$  defined in  $\mathbb{R}$ , which are inverses of  $q_\mu$  and thus satisfy

$$\psi \circ q_\mu(u) = q_\mu \circ \psi(u) = u,$$

for all  $u \in \mathbb{R}$ . Among these inverses  $\psi$ , none is continuous everywhere on  $\mathbb{R}$ ; some are piecewise continuous and monotone, whereas others admit an infinite number of discontinuity points and are not monotone. Now, let  $\psi$  denote one possible inverse of  $q_\mu$ . Assume that  $\psi$  is piecewise continuous on  $\mathbb{R}$  and consider the following system of ordinary differential equations:

$$\dot{v} = \frac{\beta}{\delta} w - \frac{\alpha}{\delta} \psi(\alpha w), \quad \dot{w} = v. \quad (31)$$

The latter system admits in its first equation a discontinuous right-hand side, thus should be solved by appropriate methods (see notably [11]). In particular, its orbits can admit singular points where they are continuous but not differentiable.

Obviously, if  $(v, w)$  is a solution of system (31) defined on the domain  $\Omega$ , which moreover satisfies the condition

$$v(-\ell) = v(\ell) = 0, \quad (32)$$

then it determines a solution  $(u, w)$  of system (30) by setting  $u = \psi(\alpha w)$ . As we shall see in the next section, the existence of such a solution depends on the perturbation parameter  $\mu$ . Since there is an infinite number of choices for the inverse  $\psi$ , we can obtain an infinite number of heterogeneous solutions  $(u, w)$  of the degenerate reaction-diffusion system (2). We will now focus on particular solutions, which are monotone and discontinuous with respect to the space variable  $x \in \Omega$ .

### 3.3 Existence and robustness of discontinuous patterns

Since the degenerate reaction-diffusion system (2) possibly admits infinitely many heterogeneous stationary solutions, we are interested in this section in finding those which are discontinuous. The following definition specifies what we call *discontinuous pattern*.

**Definition 1.** An heterogeneous stationary solution  $\{u(x), w(x)\}_{x \in \Omega}$  of the degenerate reaction-diffusion (2) such that  $u$  is discontinuous is called a discontinuous pattern.

To construct discontinuous patterns, we first observe that system (31) can be rewritten, for any inverse  $\psi_\mu$  of  $q_\mu$ , as a Hamiltonian system

$$\dot{v} = \frac{\partial K_\mu}{\partial w}, \quad \dot{w} = -\frac{\partial K_\mu}{\partial v}, \quad (33)$$

where  $K_\mu(v, w)$  is the potential defined by

$$K_\mu(v, w) = \frac{\beta}{2\delta} w^2 - \frac{1}{2} v^2 - \frac{\alpha}{\delta} \Psi_\mu(\alpha w), \quad (34)$$

with  $\Psi_\mu$  given by

$$\Psi_\mu(s) = \int_0^s \psi_\mu(\xi) d\xi. \quad (35)$$

For simplicity, we consider only those inverses  $\psi_\mu$  which are monotone and piecewise continuous and analyze three cases, distinguishing *very small* perturbations (obtained for  $0 \leq \mu < \mu_2$ ), *small* perturbations (obtained for  $\mu_2 < \mu < \mu_4$ ) and *strong* perturbations (obtained for  $\mu_4 < \mu$ ).

### 3.3.1 Case of a very small perturbation

We assume in this subsection that  $0 \leq \mu < \mu_2$ . Let  $m_\mu = q_\mu(\hat{u}_\mu)$  denote the minimum of  $q_\mu$  on  $\mathbb{R}^+$  (note that  $m_\mu < 0$ ). We have the following lemma.

**Lemma 2.** *For each  $\mu \in [0, \mu_2)$ , the function  $q_\mu$  possesses a one-parameter family*

$$\{\psi_\mu^\sigma\}_{-|m_\mu| \leq \sigma \leq |m_\mu|}$$

*of piecewise continuous and monotone inverses, admitting a unique discontinuity jump.*

*Furthermore, for each  $\varepsilon > 0$ , one can find  $\sigma > 0$  such that*

$$\|\psi_\mu^0 - \psi_\mu^\sigma\|_{L^\infty(\mathbb{R})} < \varepsilon. \quad (36)$$

*Proof.* Let  $\mu \in [0, \mu_2)$  and  $s \in \mathbb{R}$ . If  $|s| > |m_\mu|$ , there exists a unique  $u_0(\mu) \in \mathbb{R}$  such that  $q_\mu(u_0(\mu)) = s$ . Otherwise, if  $|s| \leq |m_\mu|$ , then there exist at least three values  $u_1(\mu), u_2(\mu), u_3(\mu) \in \mathbb{R}$  such that

$$\begin{aligned} u_1(\mu) &< u_2(\mu) < u_3(\mu), \\ q_\mu(u_1(\mu)) &= q_\mu(u_2(\mu)) = q_\mu(u_3(\mu)) = s, \\ u_1(\mu) &< \hat{u}_\mu, \quad u_3(\mu) > \hat{u}_\mu \end{aligned}$$

(see Figure 4(a), where the cubic function  $\frac{1}{\alpha}q_\mu(u)$  crosses the line  $\frac{\alpha}{\beta}u$  at three points). Now, for each  $\sigma \in [-|m_\mu|, |m_\mu|]$ , we set

$$\psi_\mu^\sigma(s) = \begin{cases} u_0(\mu) & \text{if } |s| > |m_\mu|, \\ u_1(\mu) & \text{if } -|m_\mu| \leq s < \sigma, \\ u_2(\mu) & \text{if } s = \sigma, \\ u_3(\mu) & \text{if } \sigma < s \leq |m_\mu|. \end{cases} \quad (37)$$

In this way,  $\psi_\mu^\sigma$  is monotone and piecewise continuous, with a unique discontinuity jump at  $s = \sigma$ .

Finally, it is seen that  $\psi_\mu^\sigma$  varies continuously with  $\sigma$ , which leads to (36).  $\square$

Two possible inverses  $\psi_\mu^0$  and  $\psi_\mu^\sigma$  with  $\sigma > 0$  are shown in Figure 6. Note that in the family  $\{\psi_\mu^\sigma\}$ ,  $\psi_\mu^0$  is the only inverse of  $q_\mu$  which is symmetric with respect to 0, that is,

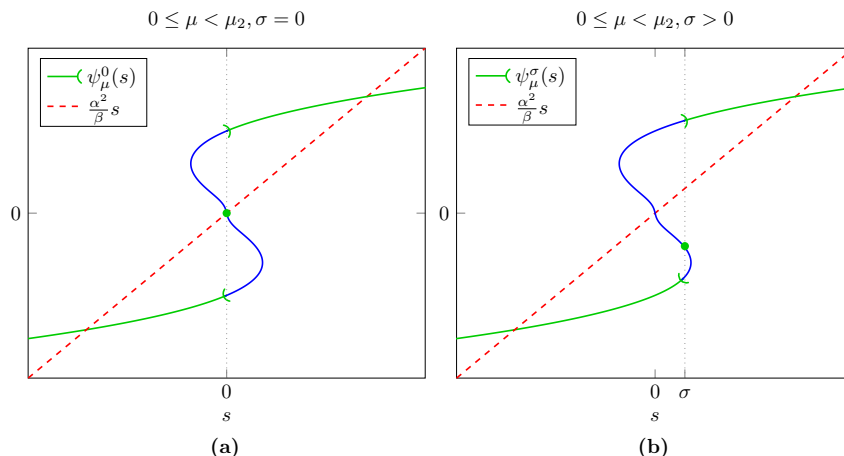
$$\psi_\mu^0(s) + \psi_\mu^0(-s) = 0,$$

for all  $s \in \mathbb{R}$ .

We can now begin our research of discontinuous patterns to the degenerate reaction-diffusion system (2), which are monotone and discontinuous. To that aim, we establish the phase portrait of the Hamiltonian system (33). Next, we look in this phase portrait for orbits which join the axis  $\{v = 0\}$ , to ensure that condition (32) is fulfilled.

We first analyze the orbits of the Hamiltonian system (33) obtained with the particular inverse  $\psi_\mu^0$  of  $q_\mu$ . For convenience, we denote this system by  $(\mathcal{K}_\mu^0)$ , that is

$$(\mathcal{K}_\mu^0) \Leftrightarrow (33) \text{ with the particular inverse } \psi_\mu^0 \text{ of } q_\mu. \quad (38)$$



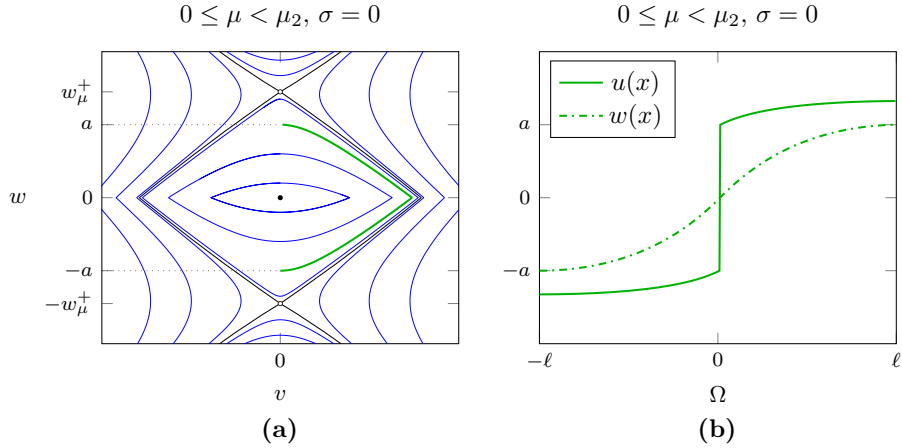
**Figure 6:** Two possible piecewise continuous and monotone inverses  $\psi_\mu^0$  and  $\psi_\mu^\sigma$  of  $q_\mu$  in the case  $0 \leq \mu < \mu_2$ . In the family  $\{\psi_\mu^\sigma\}$ ,  $\psi_\mu^0$  is the only inverse of  $q_\mu$  which is symmetric with respect to 0.

The phase portrait of the  $(\mathcal{K}_\mu^0)$  is shown in Figure 7(a). Recall that in this phase portrait, each orbit is parametrized by  $x \in \Omega = (-\ell, \ell)$ . By evaluating the energy levels of the potential (34), we easily prove that the Hamiltonian system  $(\mathcal{K}_\mu^0)$  admits three critical points:  $(0, 0)$  is a center encircled by periodic orbits, and  $(0, -w_\mu^+)$ ,  $(0, w_\mu^+)$  are saddles, connected by two heteroclinic orbits. To join the two saddles, an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  needs an infinite space  $\Omega$ , which is excluded by assumption. In the region delimited by the heteroclinic orbits, a continuous band of periodic orbits encircles the center  $(0, 0)$ . The space  $\Omega = (-\ell, \ell)$  necessary to join two successive points of the axis  $\{v = 0\}$  along one of these orbits varies increasingly from 0 (for the stationary point  $(0, 0)$ ) to  $+\infty$  (for the heteroclinic orbits). Hence, for each bounded domain  $\Omega = (-\ell, \ell)$ , a continuous orbit  $\{v(x), w(x)\}_{x \in \Omega}$  can always be found that fulfills condition (32). Moreover, for such an orbit, the component  $w(x)$  is always monotone with respect to  $x \in \Omega = (-\ell, \ell)$  and joins a negative value  $w(-\ell)$  to a positive value  $w(\ell)$ . Finally, by setting  $u(x) = \psi_\mu^0(\alpha w(x))$ , the pair  $\{v(x), w(x)\}_{x \in \Omega}$  determines a heterogeneous stationary solution  $\{u(x), w(x)\}_{x \in \Omega}$ . Since  $\psi_\mu^0$  admits a discontinuity jump at  $s = 0$ , the component  $u(x)$  also admits a discontinuity jump. We obtain the following proposition.

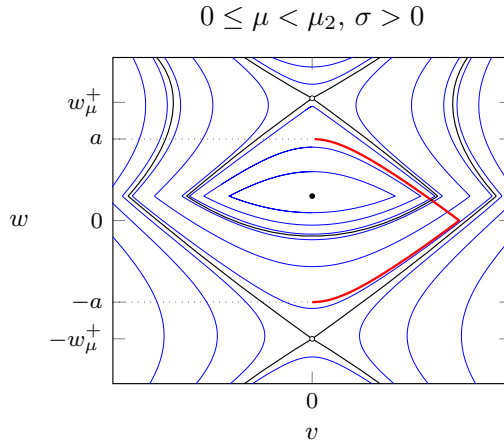
**Proposition 3.** *Let  $\mu \in [0, \mu_2)$  and  $a, b \in \mathbb{R}$  be such that  $w_\mu^+ < b < 0 < a < w_\mu^+$ . Then the degenerate reaction-diffusion system (2) admits a discontinuous pattern  $\{u(x), w(x)\}_{x \in \Omega}$  such that  $w$  is continuous and monotone on  $\Omega$ , satisfies  $w(-\ell) = b$ ,  $w(\ell) = a$  and  $u$  is piecewise continuous on  $\Omega$ , with a unique discontinuity jump.*

We show in Figure 7(b) a discontinuous pattern  $\{u(x), w(x)\}_{x \in \Omega}$  obtained for  $b = -a$  with  $0 < a < w_\mu^+$ : its component  $w(x)$  joins  $-a$  to  $a$  and its component  $u(x)$  admits a unique discontinuity jump at  $x = 0$ .

We emphasize that the discontinuous pattern  $\{u(x), w(x)\}_{x \in \Omega}$  whose existence is established by Proposition 3 has been obtained by choosing  $\psi_\mu^0$  as an inverse  $q_\mu$ . It is thus natural to ask how a choice of another inverse  $\psi_\mu^\sigma$  with  $\sigma \neq 0$  affects the construction of the discontinuous pattern. We show in Figure 8 the phase portrait of the Hamiltonian system (33) obtained for  $\psi_\mu^\sigma$  with  $\sigma > 0$ . We observe that the two saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$  are not connected by heteroclinic orbits as in the case  $\sigma = 0$ . Now, only one saddle admits a homoclinic orbit that encircles a continuous band of periodic orbits. In this continuous band of periodic orbits, we can as previously extract small orbits  $\{v(x), w(x)\}_{x \in \Omega}$  that join two successive points of the axis  $\{v = 0\}$ . But if  $\sigma$  is sufficiently far from 0, then the existence of an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  connecting  $b < 0$  to  $a > 0$  is compromised as soon as  $b$  and  $a$  are separated by the homoclinic orbit. For example, we have depicted in red in Figure 8 an orbit that joins  $-a$  to  $a$ , which exists for  $\sigma = 0$ , but not any longer for  $\sigma$  sufficiently far from 0.



**Figure 7:** (a) Phase portrait of the Hamiltonian system (33) obtained with  $\psi_\mu^0$ . In the region delimited by the heteroclinic orbits that join the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ , a continuous band of periodic orbits encircles the center  $(0, 0)$ . The space  $\Omega = (-\ell, \ell)$  necessary to join two successive points of the axis  $\{v = 0\}$  along one of these orbits varies increasingly from 0 (for the stationary point  $(0, 0)$ ) to  $+\infty$  (for the heteroclinic orbits). (b) By setting  $u(x) = \psi_\mu^0(\alpha w(x))$ , each solution  $\{v(x), w(x)\}_{x \in \Omega}$  of the Hamiltonian system (33) determines a heterogeneous stationary solution  $\{u(x), w(x)\}_{x \in \Omega}$  of the degenerate reaction-diffusion system (2), such that  $u$  is piecewise continuous on  $\Omega$ , with a unique discontinuity jump.



**Figure 8:** Phase portrait of the Hamiltonian system (33) obtained for  $\psi_\mu^\sigma$  with  $\sigma > 0$ . The two saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$  are not connected by heteroclinic orbits as in the case  $\sigma = 0$ . Now, only one saddle admits a homoclinic orbit that encircles a continuous band of periodic orbits. If  $\sigma$  is too large, then the existence of an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  connecting  $-a < 0$  to  $a > 0$  is compromised.

However, Lemma 2 guarantees that for  $\sigma > 0$  sufficiently small, an inverse  $\psi_\mu^\sigma$  can be chosen arbitrarily close to  $\psi_\mu^0$ . Hence, it is seen that two distinct discontinuous patterns  $\{u^0(x), w^0(x)\}_{x \in \Omega}$  and  $\{u^\sigma(x), w^\sigma(x)\}_{x \in \Omega}$  can be constructed and are arbitrarily close to each other. Therefore, we can conclude that the degenerate reaction-diffusion system (2) admits a continuum of discontinuous patterns. We obtain the following theorem.

**Theorem 3** (Continuum of discontinuous patterns). *For each  $\mu \in [0, \mu_2)$ , the degenerate reaction-diffusion system (2) admits an infinite number of discontinuous patterns with a unique discontinuity*

jump. Furthermore, for each  $\varepsilon > 0$ , one can find two distinct discontinuous patterns  $\{u^0(x), w^0(x)\}_{x \in \Omega}$ ,  $\{u^\sigma(x), w^\sigma(x)\}_{x \in \Omega}$  such that

$$\|u^0 - u^\sigma\|_{L^\infty(\mathbb{R})} + \|w^0 - w^\sigma\|_{L^\infty(\mathbb{R})} < \varepsilon.$$

### 3.3.2 Case of a small perturbation

We continue with the case of a perturbation of small intensity, by assuming that  $\mu_2 < \mu < \mu_4$ . We recall that in this case, the degenerate reaction-diffusion system (2) admits five homogeneous stationary solutions  $O$ ,  $-U_\mu^-$ ,  $U_\mu^-$ ,  $-U_\mu^+$ ,  $U_\mu^+$ . It turns out that this case exhibits rich dynamics.

As previously, we aim to solve the Hamiltonian system (33) and extract from its phase portrait orbits which satisfy condition (32). To this end, we identify again a one-parameter family  $\{\psi_\mu^\sigma\}$  of inverses of  $q_\mu$ , which now admit two discontinuity jumps.

**Lemma 3.** *For each  $\mu \in (\mu_2, \mu_4)$ , there exist  $\sigma_{\min} < \sigma_{\max}$  such that the function  $q_\mu$  possesses a one-parameter family*

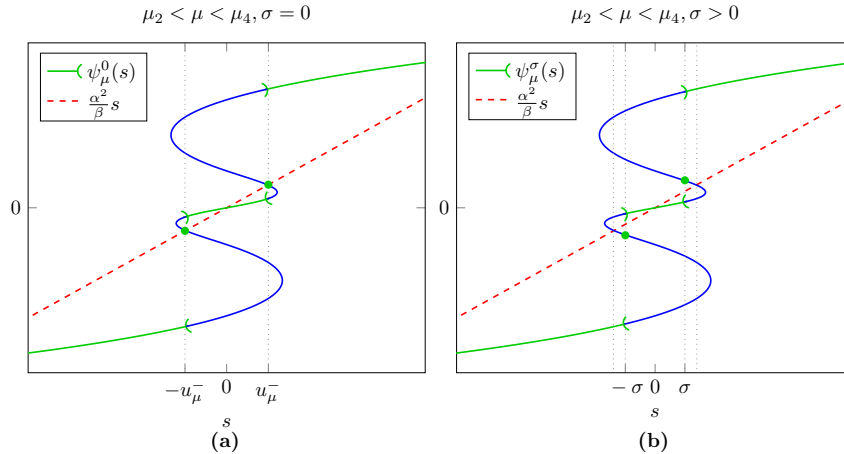
$$\{\psi_\mu^\sigma\}_{\sigma_{\min} \leq \sigma \leq \sigma_{\max}}$$

*of piecewise continuous and monotone inverses, admitting two discontinuity jumps.*

*Furthermore, for each  $\varepsilon > 0$ , one can find  $\sigma \in (\sigma_{\min}, \sigma_{\max})$  such that  $\sigma \neq 0$  and*

$$\|\psi_\mu^0 - \psi_\mu^\sigma\|_{L^\infty(\mathbb{R})} < \varepsilon. \quad (39)$$

We skip the proof of Lemma 3, since it is very similar to that of Lemma 2. We only indicate that the values  $\sigma_{\min}$  and  $\sigma_{\max}$  are determined by the components  $-u_\mu^-$ ,  $u_\mu^-$  of the homogeneous stationary solutions  $-U_\mu^-$ ,  $U_\mu^-$  respectively. We show in Figure 9 two inverses  $\psi_\mu^0$  and  $\psi_\mu^\sigma$  with  $\sigma > 0$ . Note that in the family  $\{\psi_\mu^\sigma\}$ ,  $\psi_\mu^0$  is the only inverse of  $q_\mu$  whose both discontinuity jumps coincide with  $-u_\mu^-$ ,  $u_\mu^-$ .

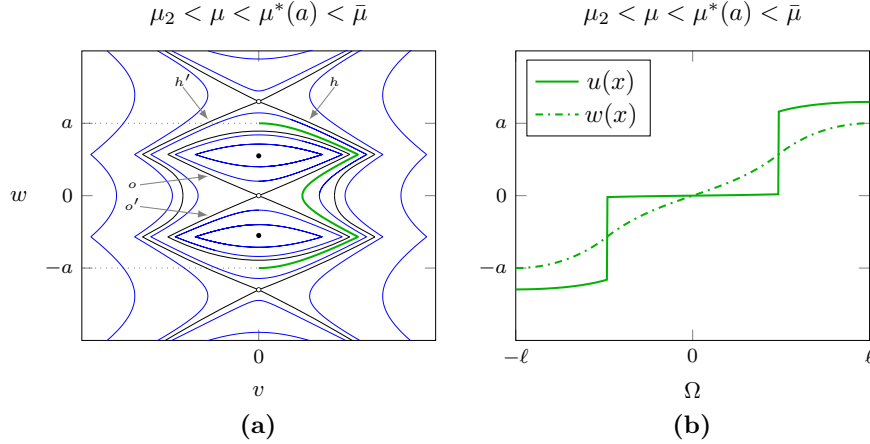


**Figure 9:** Two possible piecewise continuous and monotone inverses  $\psi_\mu^0$  and  $\psi_\mu^\sigma$  of  $q_\mu$  when  $\mu_2 < \mu < \mu_4$ . In the family  $\{\psi_\mu^\sigma\}$ ,  $\psi_\mu^0$  is the only inverse of  $q_\mu$  whose both discontinuity jumps coincide with the components  $-u_\mu^-$ ,  $u_\mu^-$  of the homogeneous stationary solutions  $-U_\mu^-$ ,  $U_\mu^-$  respectively.

We can now establish the phase portrait of the Hamiltonian system (33) obtained with the particular inverse  $\psi_\mu^0$  of  $q_\mu$ . As in (38), we denote again this system by  $(\mathcal{K}_\mu^0)$ . By evaluating the energy levels of the potential (34), we easily obtain its phase portrait, which is given in Figures 10(a), 11(a) and 11(b) for different values of  $\mu$ . We observe that it presents five critical points:  $(0, -w_\mu^-)$  and  $(0, w_\mu^-)$  are centers, encircled by continuous bands of periodic orbits;  $(0, -w_\mu^+)$ ,  $(0, 0)$  and  $(0, w_\mu^+)$  are saddles,

which appear under the effect of the pitchfork bifurcation described in Section 3.1. Now, it is seen that a bifurcation of heteroclinic orbits occurs as  $\mu$  increases from  $\mu_2$  to  $\mu_4$ . Indeed, if  $\mu$  is sufficiently near  $\mu_2$ , then the saddle  $(0, 0)$  admits two homoclinic orbits that encircle the centers  $(0, -w_\mu^-)$ ,  $(0, w_\mu^-)$ , as shown in Figures 10(a) and 11(a). When  $\mu$  increases, these homoclinic orbits grow, until  $\mu$  reaches a critical value  $\bar{\mu}$ , where they become heteroclinic orbits connecting the saddle  $(0, 0)$  to the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ . When  $\mu$  increases over  $\bar{\mu}$ , the heteroclinic orbits become homoclinic again, but now they are attached to the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ , as shown in Figure 11(b). This bifurcation of heteroclinic orbits is also schematized in Figure 13. We obtain the following proposition.

**Proposition 4** (Bifurcation of heteroclinic orbits). *There exists  $\bar{\mu} \in (\mu_2, \mu_4)$  such that the Hamiltonian system  $(\mathcal{K}_\mu^0)$  defined by (38) admits a bifurcation of heteroclinic orbits at  $\bar{\mu}$ . If  $\mu \in (\mu_2, \bar{\mu})$ , then the Hamiltonian system  $(\mathcal{K}_\mu^0)$  admits two homoclinic orbits attached to the saddle  $(0, 0)$ . If  $\mu \in (\bar{\mu}, \mu_4)$ , then the Hamiltonian system  $(\mathcal{K}_\mu^0)$  admits two homoclinic orbits attached to the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ .*



**Figure 10:** (a) Phase portrait of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  defined by (38), for  $\mu_2 < \mu < \mu^*(a)$ . The saddle  $(0, 0)$  admits two homoclinic orbits that encircle the centers  $(0, -w_\mu^-)$ ,  $(0, w_\mu^-)$ . If the domain  $\Omega$  is sufficiently large, then for each  $a \in (0, w_\mu^+)$ , an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  connecting  $(0, -a)$  and  $(0, a)$  exists. (b) Each orbit  $\{v(x), w(x)\}_{x \in \Omega}$  connecting  $(0, -a)$  and  $(0, a)$  determines a discontinuous pattern  $\{u(x), w(x)\}_{x \in \Omega}$  such that  $u(x)$  admits two discontinuity jumps.

Now that a bifurcation of heteroclinic orbits is identified to occur at  $\bar{\mu}$ , we aim to highlight another structural modification of the dynamics of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  that occurs between  $\mu_2$  and  $\bar{\mu}$ . Indeed, let  $a \in (0, w_\mu^+)$  and assume that we are looking again for an orbit of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  that connects the points  $(0, -a)$  and  $(0, a)$ . If  $\mu$  is sufficiently near  $\mu_2$  and if the domain  $\Omega$  is sufficiently large, then we can prove that such an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  exists.

**Theorem 4** (Existence of particular discontinuous patterns). *For each  $a \in (0, w_\mu^+)$ , there exists  $\mu^*(a) \in (\mu_2, \bar{\mu})$  such that, for each  $\mu \in (\mu_2, \mu^*(a))$ , the Hamiltonian system  $(\mathcal{K}_\mu^0)$  defined by (38) admits an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  connecting the points  $(0, -a)$  and  $(0, a)$ , provided  $\Omega$  is sufficiently large.*

*Furthermore, each orbit  $\{v(x), w(x)\}_{x \in \Omega}$  of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  connecting the points  $(0, -a)$  and  $(0, a)$  determines a discontinuous pattern  $\{u(x), w(x)\}_{x \in \Omega}$  such that  $u(x)$  admits two discontinuity jumps.*

*Proof.* Let  $\mu \in (\mu_2, \bar{\mu})$ . The Hamiltonian system  $(\mathcal{K}_\mu^0)$  admits two homoclinic orbits  $(o)$ ,  $(o')$  of small amplitude attached to the saddle  $(0, 0)$  (the homoclinic orbits  $(o)$  and  $(o')$  are shown in Figure

10(a)). These homoclinic orbits are encircled by two heteroclinic orbits ( $h$ ) and ( $h'$ ) of large amplitude that connect the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$  (the heteroclinic orbits ( $h$ ) and ( $h'$ ) are also shown in Figure 10(a)). Between the homoclinic orbits ( $o$ ), ( $o'$ ) and the heteroclinic orbits ( $h$ ), ( $h'$ ), there exists a continuous band of periodic orbits  $\{v(x), w(x)\}$ . Let us denote by  $\mathcal{S}(-a, a)$  the space which is necessary for such an orbit to connect two points  $(0, -a)$  to  $(0, a)$ . Obviously,  $\mathcal{S}(-a, a)$  varies continuously with  $a$ . Moreover, we have

$$\lim_{a \rightarrow w_\mu^+} \mathcal{S}(-a, a) = +\infty,$$

since if  $a = w_\mu^+$ , the orbit  $\{v(x), w(x)\}$  coincides with one of the large heteroclinic orbits that connect the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ . Now, we denote by  $h_\mu^+$  the maximum height of the small homoclinic orbit that is attached to the saddle  $(0, 0)$  in the half-plane  $\{v > 0\}$ . It is seen that  $h_\mu^+$  tends to 0 as  $\mu$  tends to  $\mu_2$ . Hence, one can find  $\mu$  sufficiently near  $\mu_2$  such that  $a > h_\mu^+$ . As before, we have

$$\lim_{a \rightarrow h_\mu^+} \mathcal{S}(-a, a) = +\infty.$$

Hence,  $\mathcal{S}(-a, a)$  is bounded by below between  $h_\mu^+$  and  $w_\mu^+$ . We introduce

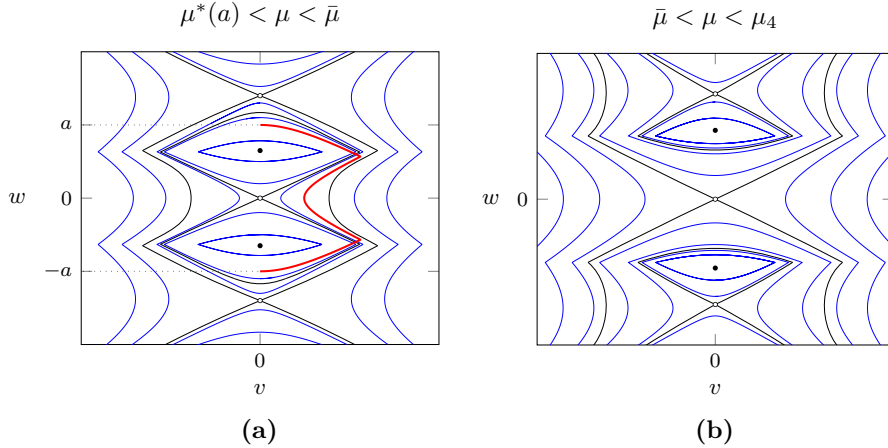
$$\mathcal{S}_{\min} = \min_{h_\mu^+ \leq a \leq w_\mu^+} \mathcal{S}(-a, a).$$

Next, assume that  $\Omega$  is sufficiently large, so that its length satisfies  $|\Omega| \geq \mathcal{S}_{\min}$ . Then an orbit  $\{v(x), w(x)\}_{x \in \Omega}$  exists that connects the points  $(0, -a)$  and  $(0, a)$ .

Finally, we set

$$u(x) = \psi_\mu^0(\alpha w(x)), \quad x \in \Omega.$$

Since  $\psi_\mu^0$  admits two discontinuity jumps, by virtue of Lemma 3, we can conclude that  $u(x)$  also admits two discontinuity jumps. The proof is complete.  $\square$



**Figure 11:** (a) Phase portrait of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  defined by (38), for  $\mu^*(a) < \mu < \bar{\mu}$ . The saddle  $(0, 0)$  admits two homoclinic orbits that encircle the centers  $(0, -w_\mu^-)$ ,  $(0, w_\mu^-)$ , but no orbit connects the points  $(0, -a)$  and  $(0, a)$ . (b) Phase portrait of the Hamiltonian system  $(\mathcal{K}_\mu^0)$  defined by (38), for  $\bar{\mu} < \mu < \mu_4$ . Two homoclinic orbits that encircle the centers  $(0, -w_\mu^-)$ ,  $(0, w_\mu^-)$  are now attached to the saddles  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ . No orbit connects the points  $(0, -a)$  and  $(0, a)$ .

The discontinuous patterns whose existence is established by Theorem 4 have been constructed with a particular choice for an inverse  $\psi_\mu^0$  of  $q_\mu$ . By choosing other inverses  $\psi_\mu^\sigma$  with  $\sigma \neq 0$ , arbitrarily close to  $\psi_\mu^0$ , we can prove, as in Theorem 3, the existence of a continuum of discontinuous patterns.



**Theorem 5.** *Assume that  $\mu$  is sufficiently near  $\mu_2$  and that  $\Omega$  is sufficiently large. Then for each  $\varepsilon > 0$ , one can find two distinct discontinuous patterns  $\{u^0(x), w^0(x)\}_{x \in \Omega}$ ,  $\{u^\sigma(x), w^\sigma(x)\}_{x \in \Omega}$  such that*

$$\|u^0 - u^\sigma\|_{L^\infty(\mathbb{R})} + \|w^0 - w^\sigma\|_{L^\infty(\mathbb{R})} < \varepsilon,$$

where  $u^0(x)$  and  $u^\sigma(x)$  admit two discontinuity jumps.

We skip the proof of the latter theorem, since it is very similar to that of Theorem 3.

Now, if  $\mu \in (\mu^*(a), \bar{\mu})$ , then the existence of discontinuous patterns  $\{u(x), w(x)\}_{x \in \Omega}$  such that  $w(x)$  connects  $(0, -a)$  to  $(0, a)$  and  $u(x)$  admits two discontinuity jumps is not guaranteed, even if  $\Omega$  is large (see Figure 11(a)). Finally, if  $\mu \in (\bar{\mu}, \mu_4)$ , then these discontinuous patterns cannot exist, whatever the value of  $a$  and the size of the domain  $\Omega$  are (see Figure 11(b)). Roughly speaking, if such an orbit would exist, then it should cross the homoclinic orbits that encircle the centers  $(0, -w_\mu^+)$  and  $(0, w_\mu^+)$ , which is excluded, since two orbits of an autonomous system cannot cross each other.

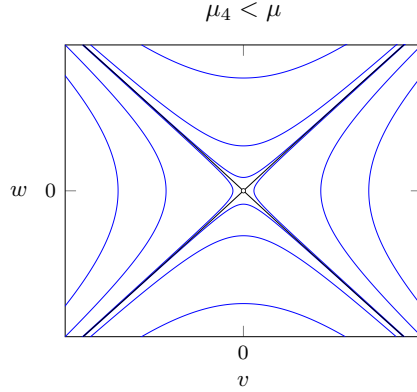
**Remark 3** (Interpretation in ecology). *We recall that the degenerate reaction-diffusion system (2) has been obtained as a simplification of the forest model presented in [18]. The convergence of its orbits towards a discontinuous pattern admitting a unique discontinuity jump has been interpreted in [18] as the formation of an ecotone, that is, the frontier between the forest and a neighbor ecosystem. In the simplified forest model,  $u(t, x)$  and  $w(t, x)$  correspond to the densities of trees and seeds respectively. Hence, the upper level of a one-jump discontinuous pattern corresponds to the area occupied by the forest, and the lower level corresponds to a neighbor ecosystem without trees. The existence of discontinuous patterns admitting two discontinuity jumps suggests that the perturbation of the dynamics of a forest ecosystem can lead to the emergence of an intermediate ecosystem between the forest and the neighbor ecosystem. Furthermore, if the intensity of the perturbation increases, then the intermediate ecosystem can spread over the forest ecosystem, which reproduces the invasion of the initial forest ecosystem by a new ecosystem. The invading ecosystem can take the form of a savanna-like degraded forest, as suggested in [5]. Numerical simulations shown in Section 4 illustrate this ecosystem invasion process.*

### 3.3.3 Case of a strong perturbation

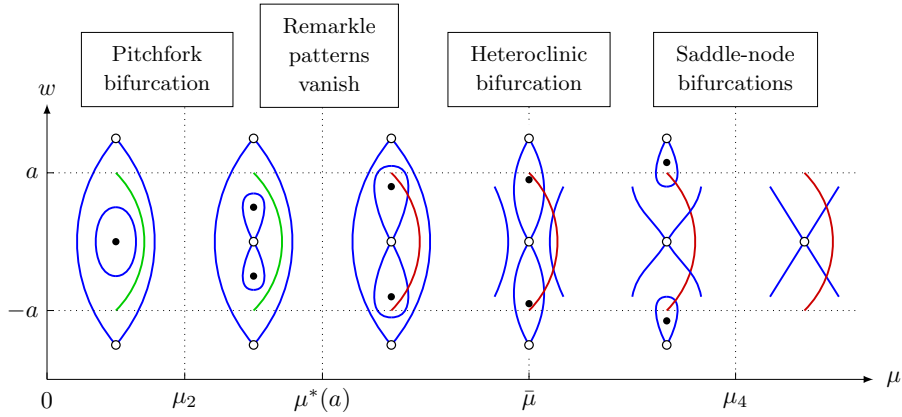
We end our research of discontinuous patterns with the case of a strong perturbation, for which we assume that  $\mu_4 < \mu$ . In this case, the degenerate reaction-diffusion system (2) admits  $(0, 0)$  as a unique homogeneous stationary solution. By a reasoning similar to the cases of small perturbations, we can establish the phase portrait of the Hamiltonian system (33), for each inverse  $\psi_\mu$  of  $q_\mu$ . If the inverse is chosen monotone and piecewise continuous, then it is easily seen that the Hamiltonian system (33) admits a saddle as unique critical point (see Figure 12). Therefore, no orbit connecting two points of the axis  $\{v = 0\}$  can be found, and no discontinuous pattern can be constructed.

Overall, the effect of a variation of the perturbation parameter  $\mu$  on the dynamics of the Hamiltonian system (33) obtained with a monotone and piecewise continuous inverse  $\psi_\mu$  of  $q_\mu$  is schematized as a bifurcation diagram in Figure 13 (where we abstract from the singular points of the orbits of system (33)). A pitchfork bifurcation occurs at  $\mu_2$ ; particular patterns vanish at  $\mu^*(a)$ ; a bifurcation of heteroclinic orbits occurs at  $\bar{\mu}$ ; finally, two simultaneous saddle-node bifurcations take place at  $\mu_4$ . Roughly speaking, discontinuous patterns admitting a unique discontinuity jump exist for a very small perturbation. If the intensity of the perturbation increases, then the discontinuous patterns survive and admit two discontinuity jumps. But if the intensity of the perturbation increases too much, these discontinuous patterns seem to vanish.

**Remark 4** (Restricted choice of inverses). *We emphasize that in this section, the research of heterogeneous solutions has been realized by analyzing the dynamics of the Hamiltonian system (33) with a restricted choice of an inverse  $\psi_\mu$  of  $q_\mu$ , since we have only considered monotone and piecewise continuous inverses. This restriction does not diminish the existence results of discontinuous patterns. However, an infinite number of other inverses  $\psi_\mu$  of  $q_\mu$  could be considered as well. For example,*



**Figure 12:** Phase portrait of the Hamiltonian system (33) obtained with a monotone and piecewise continuous inverse  $\psi_\mu$  of  $q_\mu$ . The only critical point is a saddle at  $(0,0)$ . No orbit connecting two points of the axis  $\{v = 0\}$  can be found, and no discontinuous pattern can be constructed.



**Figure 13:** Bifurcation diagram showing the dynamics of the Hamiltonian system (33) obtained with a monotone and piecewise continuous inverse  $\psi_\mu$  of  $q_\mu$ . A pitchfork bifurcation occurs at  $\mu_2$ ; particular discontinuous patterns connecting  $-a$  to  $a$  exist for  $\mu < \mu^*(a)$  but vanish for  $\mu > \mu^*(a)$  (hence they are depicted in green for  $\mu < \mu^*(a)$  and in red for  $\mu > \mu^*(a)$ ); a bifurcation of heteroclinic orbits occurs at  $\bar{\mu}$ ; finally, two simultaneous saddle-node bifurcations take place at  $\mu_4$ .

among these other inverses, completely discontinuous inverses could have been investigated. It is left as an open question for further research to determine if those inverses which have not been considered here could also lead to the discovery of discontinuous patterns.

## 4 Numerical simulations

In this section, our aim is to illustrate our theoretical results by numerical simulations. Animations of the degenerate reaction-diffusion system (2), in a one-dimensional or two-dimensional domain, are also provided on the website <https://pagesperso.ls2n.fr/~cantin-g/robustness.html>. We solve numerically the degenerate reaction-diffusion system (2) with four initial conditions and with various values of the perturbation parameter  $\mu$ , so as to show that the orbits are attracted to discontinuous patterns. As proved in Theorems 3 and 5, these discontinuous patterns admit a unique discontinuity

jump for very small values of  $\mu$ , and bifurcate to discontinuous patterns admitting two discontinuity jumps when  $\mu$  increases.

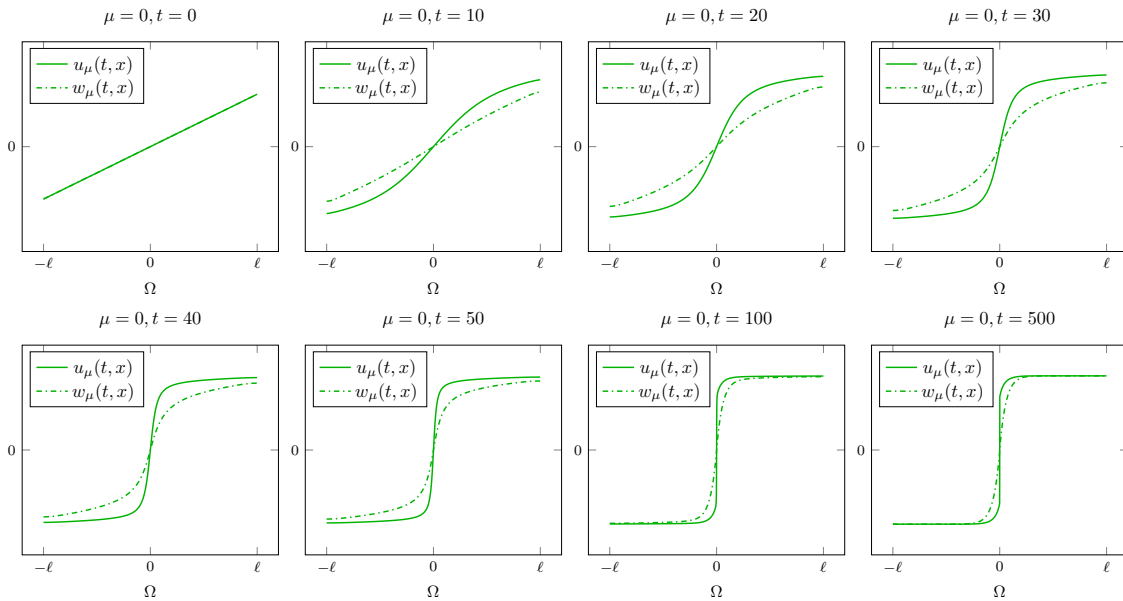
The numerical integration of the degenerate reaction-diffusion system (2) has been performed using a splitting numerical scheme of Strang type [35], with  $\alpha = 1$ ,  $\beta = 1$ ,  $\delta = 10$ ,  $\ell = 125$  and with the perturbation function given by (10).

#### 4.1 Linear initial condition

We begin with numerical simulations of the degenerate reaction-diffusion system (2) stemming from a simple linear initial condition  $(u_0, w_0)$  given by

$$u_0(x) = w_0(x) = \frac{2x}{\ell}, \quad -\ell < x < \ell. \quad (40)$$

We experiment several values of the perturbation parameter:  $\mu \in \{0, 0.5, 5\}$ . The results are depicted in Figures 14–16.



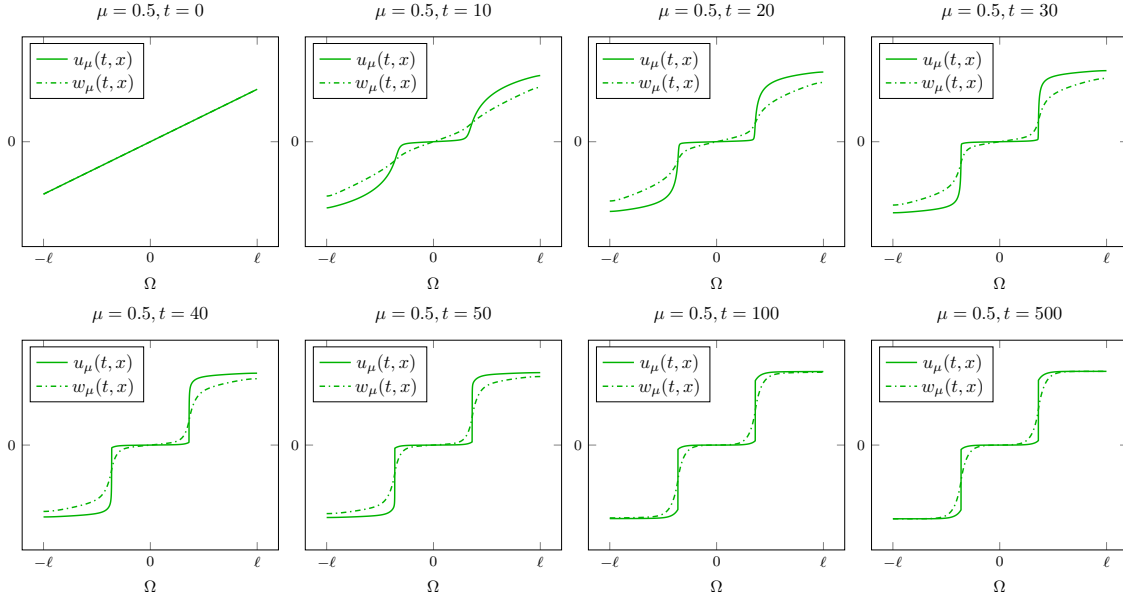
**Figure 14:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the linear initial condition (40), with  $\mu = 0$ . The orbit is attracted to a discontinuous pattern admitting a unique discontinuity jump.

For  $\mu = 0$ , we observe that the orbit is attracted to a discontinuous pattern admitting a unique discontinuity jump (Figure 14). For  $\mu = 0.5$ , the orbit is attracted to a discontinuous pattern admitting two discontinuity jumps (Figure 15). Finally, for  $\mu = 5$ , the discontinuous pattern vanishes and the orbit is attracted to the trivial equilibrium (Figure 16).

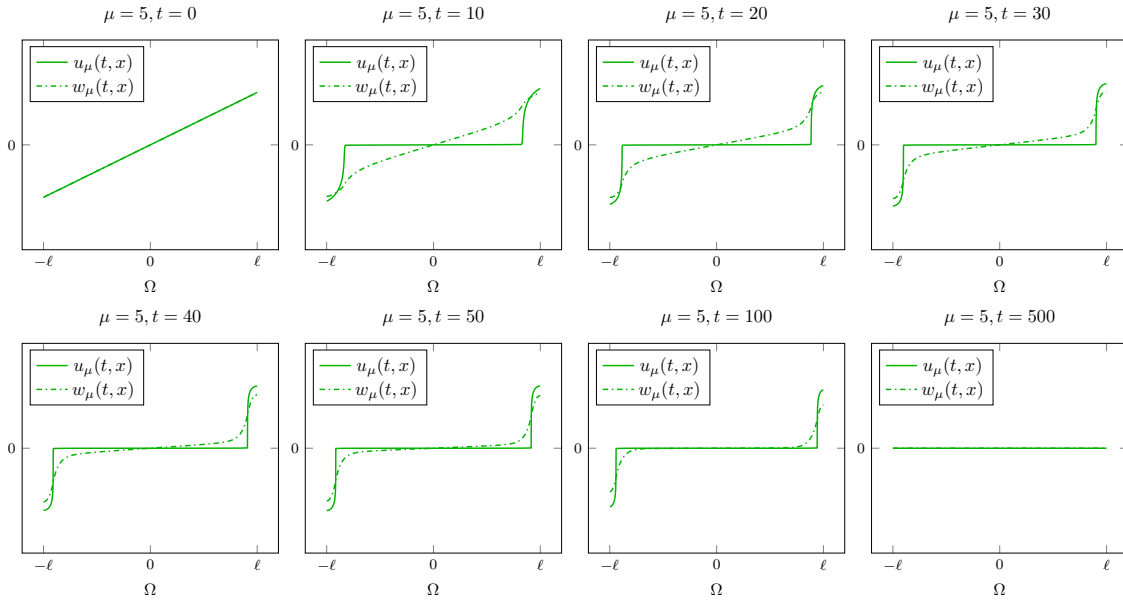
**Remark 5** (Invading ecosystem). *As discussed in Remark 3, these numerical simulations illustrate the possible spreading process of an invading ecosystem over an originally existing ecosystem. If the perturbation is small, both the initial and the new ecosystems coexist. But if the perturbation increases and overcomes a certain threshold, the invasion can be complete.*

#### 4.2 Periodic-linear initial condition

Oscillations in time and space are of particular interest for better understanding the dynamics of



**Figure 15:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the linear initial condition (40), with  $\mu = 0.5$ . The orbit is attracted to a discontinuous pattern admitting two discontinuity jumps.



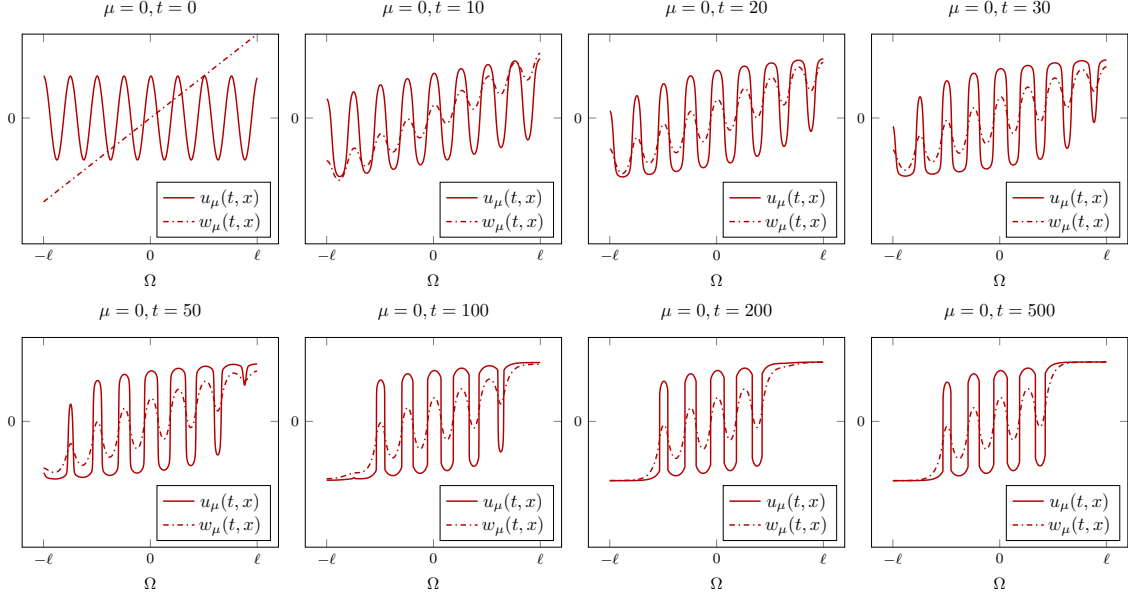
**Figure 16:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the linear initial condition (40), with  $\mu = 5$ . The orbit is attracted to the trivial equilibrium.

biological and ecological systems (see for instance [8], [32]). Hence, it is relevant to experiment the behavior of the degenerate reaction-diffusion system (2) with initial conditions admitting such oscillations. Here, we present numerical simulations of the degenerate reaction-diffusion system (2) stemming

from an initial condition  $(u_0, w_0)$  such that  $u_0$  is periodic and  $w_0$  is linear:

$$u_0(x) = \cos\left(\frac{\theta x}{\ell}\right), \quad w_0(x) = \frac{4x}{\ell}, \quad -\ell < x < \ell, \quad (41)$$

with  $\theta > 0$ . We test two values of the perturbation parameter:  $\mu \in \{0, 1\}$ . The results are shown in Figures 17, 18.



**Figure 17:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the periodic-linear initial condition (41), with  $\mu = 0$ . The initial oscillations of  $u_0$  are modified and the orbit converges to a form that admits several discontinuous patterns, each with a unique discontinuity jump.

For  $\mu = 0$ , we observe that the initial oscillations of  $u_0$  are modified. Several oscillations completely vanish, whereas others resist and progressively take the form of a discontinuous pattern (on a total of 8 initial oscillations, only 5 resist and become a discontinuous pattern). Therefore, the orbit converges to a form that admits several discontinuous patterns, each with a unique discontinuity jump (Figure 17). Next, for  $\mu = 1$ , the discontinuous patterns are perturbed. Several patterns now exhibit two discontinuity jumps (Figure 18).

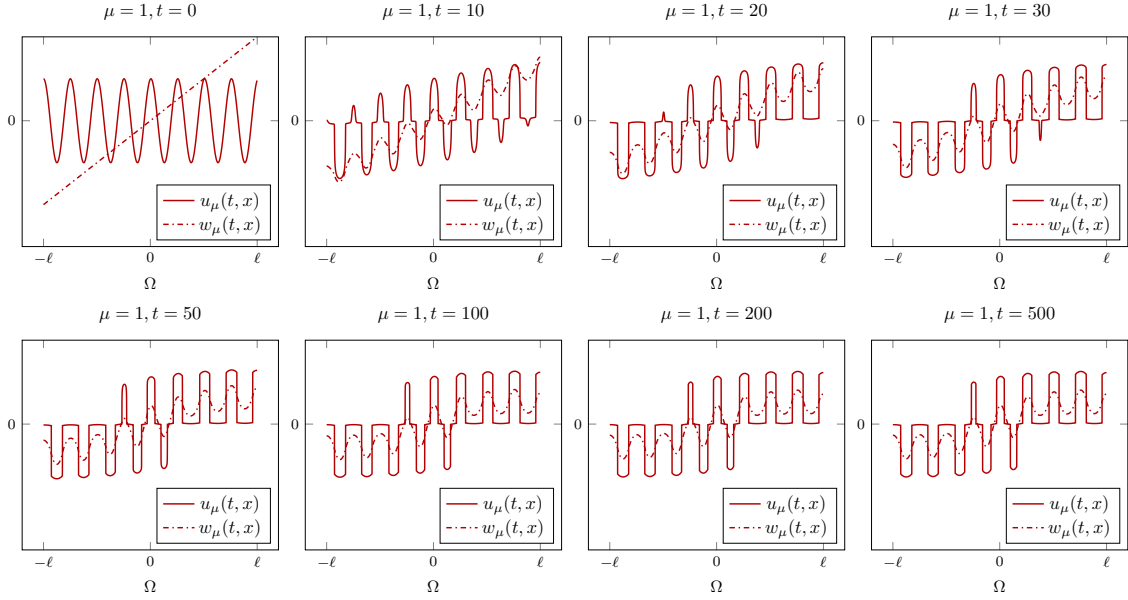
### 4.3 Periodic initial condition

Next, we present numerical simulations of the degenerate reaction-diffusion system (2) stemming from an initial condition  $(u_0, w_0)$  such that  $u_0$  and  $w_0$  are periodic:

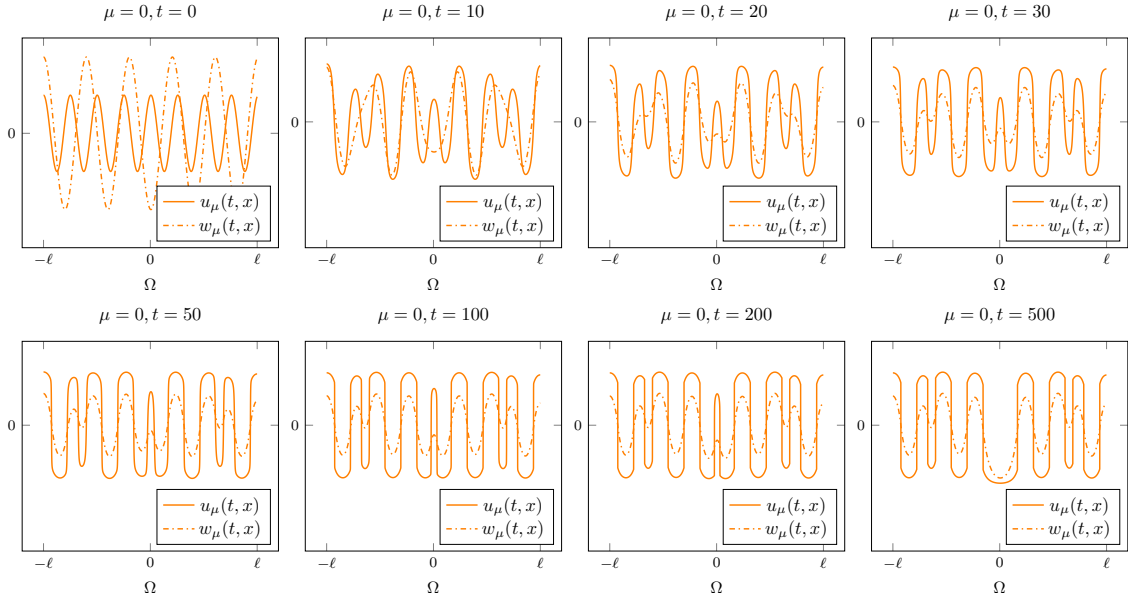
$$u_0(x) = \cos\left(\frac{\theta x}{\ell}\right), \quad w_0(x) = 2 \cos\left(\frac{\theta' x}{\ell}\right), \quad -\ell < x < \ell, \quad (42)$$

with  $\theta > 0$ ,  $\theta' > 0$  such that  $\theta \neq \theta'$ . In this way,  $u_0$  and  $w_0$  do not have the same phase nor the same amplitude. We experiment two values of the perturbation parameter:  $\mu \in \{0, 1\}$ . The results are shown in Figures 19, 20.

For  $\mu = 0$ , we observe that the orbit converges to a form that admits several discontinuous patterns with a unique discontinuity jump, in which  $u_\mu$  and  $w_\mu$  are still synchronized, although  $u_0$  and  $w_0$  do



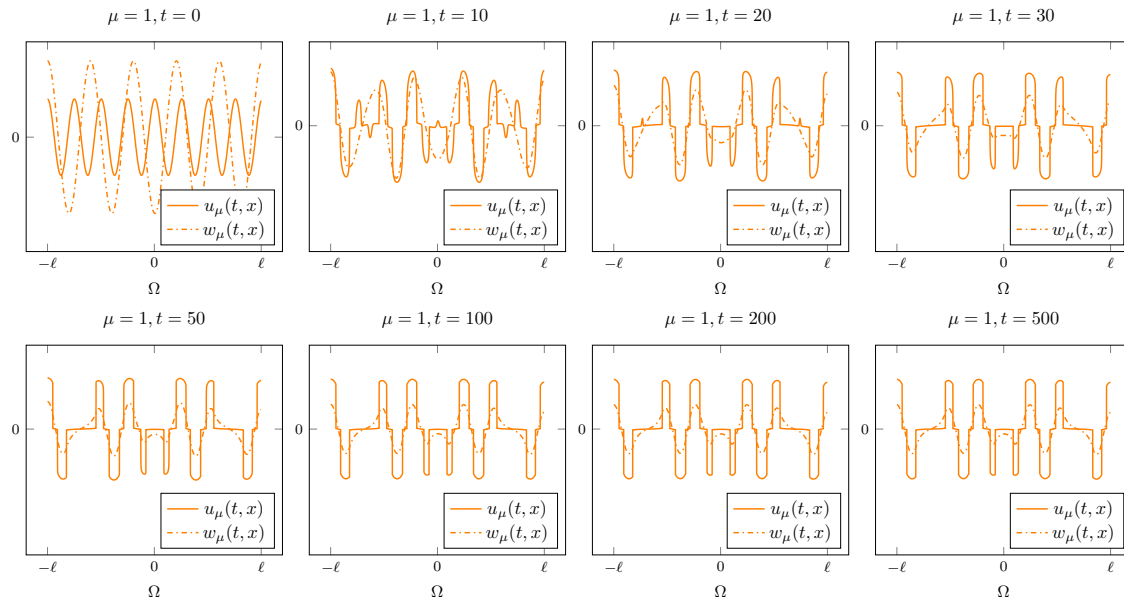
**Figure 18:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the periodic linear initial condition (41), with  $\mu = 1$ . The orbit converges to a form that admits several discontinuous patterns, some of them with one discontinuity jump, some others with two discontinuity jumps.



**Figure 19:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the periodic initial condition (42), with  $\mu = 0$ . Although  $u_0$  and  $w_0$  do not have the same phase, the orbit converges to a form that admits several discontinuous patterns with a unique discontinuity jump in which  $u_\mu$  and  $w_\mu$  are synchronized. A transient pattern is observed at the centre of the domain and vanishes after a long period, whereas other patterns are persistent.

not have the same phase. Next, for  $\mu = 1$ , the discontinuous patterns are still synchronized, but they now admit two discontinuity jumps.

The final number and location of these discontinuous patterns seem to be very difficult to predict, thus represents an interesting perspective for further research.



**Figure 20:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from the periodic initial condition (42), with  $\mu = 0$ . The orbit converges to a form that admits several discontinuous patterns with two discontinuity jumps in which  $u_\mu$  and  $w_\mu$  are still synchronized.

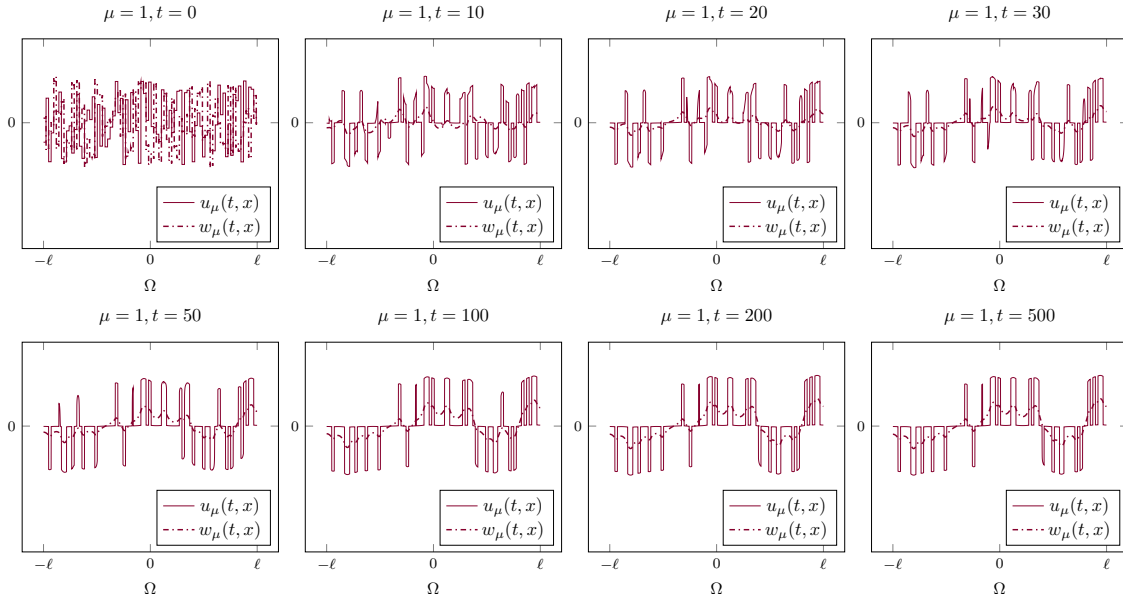
#### 4.4 Random initial condition

We end this section with a numerical simulation of the degenerate reaction-diffusion system (2) starting from a randomly generated initial condition, with  $\mu = 1$ . The results are depicted in Figure 21. We observe that discontinuous patterns admitting two discontinuity jumps appear at several places of the domain. The locations of these patterns seem to be very sensitive to the initial condition and very difficult to predict.

## 5 Conclusion

In this paper, we have investigated the dynamics of a degenerate reaction-diffusion system with hysteresis in its non-diffusive equation, perturbed by a smooth function. This degenerate reaction-diffusion system is a generic model for the formation of discontinuous patterns arising in biology or in ecology. We have analyzed by geometric methods the effect of the perturbation on the stationary solutions. Our main results are the following:

- we studied the bifurcations of homogeneous stationary solutions and proved that the trivial equilibrium is the only homogeneous stationary solution capable of withstanding a strong perturbation;
- we studied the effect of the perturbation on the heterogeneous stationary solutions and showed how discontinuous patterns with a single discontinuity jump are transformed into patterns with two discontinuity jumps;



**Figure 21:** Numerical simulation of the degenerate reaction-diffusion system (2) stemming from a random initial condition, with  $\mu = 1$ . The orbit converges to a form that admits several discontinuous patterns with two discontinuity jumps.

- we have proved that the discontinuous two-jumps patterns vanish when the perturbation exceeds a certain threshold.

Several numerical simulations and animations have been provided to support our theoretical results. However, these new results were obtained under a restrictive assumption. Indeed, the existence of discontinuous patterns with two discontinuity jumps, proved in this paper (Theorems 4 and 5), was established by considering a strict subset of the huge set of inverses of the hysteresis process. Hence, it remains many choices of inverses to explore. Therefore, we believe that the study of this morphogenesis model will produce in a near future a great number of original results and will further reveal rich dynamics.

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