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Marie Legrand, Baptiste Bérenguier, Thomas Campos, Daniel Ory, Jean-François Guillemoles. Investigations on photovoltaic material absorptivity using hyperspectral photoluminescence excitation imaging. EPJ Photovoltaics, 2023, 14, 10.1051/epjpv/2023031. hal-04386584

HAL Id: hal-04386584 https://hal.science/hal-04386584

Submitted on 10 Jan2024

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Available online at: www.epj-pv.org

Special Issue on 'Recent Advances in Spectroscopy and Microscopy of Thin-films Materials, Interfaces, and Solar Cells 2021', edited by A. Vossier, M. Gueunier-Farret, J.-P. Kleider and D. Mencaraglia

ORIGINAL ARTICLE

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Investigations on photovoltaic material absorptivity using hyperspectral photoluminescence excitation imaging

Marie Legrand^{1,2,*}, Baptiste Bérenguier^{2,3}, Thomas Campos^{2,4}, Daniel Ory^{1,2}, and Jean-François Guillemoles^{2,3}

¹ EDF R&D, 91120 Palaiseau, France

² Institut Photovoltaïque d'Ile de France (IPVF), 91120 Palaiseau, France

³ UMR IPVF 9006, CNRS, Ecole Polytechnique Institut Polytechnique de Paris, PSL Chimie ParisTech, IPVF SAS, 91120 Palaiseau, France

⁴ Lumière, Matière et Interfaces (LuMIn) Laboratory, Université Paris-Saclay, ENS Paris-Saclay, CNRS, CentraleSupelec,
 91190 Gif-sur-Yvette, France

Received: 29 June 2022 / Accepted: 16 November 2023

Abstract. Photoluminescence imaging has become a standard method to characterize solar cells. However, performing some quantitative analysis of this technique requires the assumption of uniform local absorptivity, which cannot be directly measured using traditional methods. This study presents a novel approach to measure the local relative absorptivity over a broad spectral range for a perovskite absorber deposited on a charge extraction layer and an electrode. By analyzing the photoluminescence intensity as a function of the incident photon energy, we were able to determine the relative absorptivity of the incident light above the bandgap energy. Additionally, luminescence spectra allow us to accurately assess the absorptivity near the bandgap energy from the reciprocity between absorption and emission. Reflectivity measurements were also performed to further understand the possible limitations of our experiment and to discuss our results. Finally, this method was able to distinguish between variations in the photoluminescence response caused by slight differences in the local film thickness and changes in the local carrier lifetime.

Keywords: Hyperspectral imaging / photoluminescence excitation spectroscopy / absorptivity / reflectance

1 Introduction

The maximum energy conversion efficiency of a solar cell is 31 32 determined by the absorptivity of its absorber, which 33 quantifies the generation of electron-hole pairs in the 34 device. It is thus a key parameter to assess the optical 35 optimization of the different layers of the solar cell. Various optical models [1,2] help estimate the absorption coefficient 36 from this quantity. This material property determines the 37 38 depth-dependent electronic generation and is necessary to 39 thoroughly understand the cell optoelectronic character-40 istics. Through its dependence on the electronic density of states, the absorption coefficient reflects not only the 41 42 chemical composition of the material but also offers valuable information on its sub-bandgap properties such 43 as Urbach energy, which influence the material optoelec-44 tronic performance by increasing the probability of non-45 46 radiative recombination. Especially relevant for the case of 47 thin film absorbers, the sub-bandgap absorption is known

to reflect at least the structural and thermal disorder at the48lattice scale and is involved in performance losses of the49material. Sub-bandgap absorptivity might also be influ-50enced by shallow trap-state density depending on its51energy distribution [3].52

The absorption properties also have an impact on photoluminescence (PL) imaging and their variation must be assessed to achieve an accurate interpretation of PL images. Inhomogeneous PL images raise questions about the relationship between the brightness of certain areas and the device properties. Is the brightness due to higher absorption, resulting in a higher concentration of generated carriers, or is it due to a superior coefficient for radiative emission? Alternatively, could it be a result of lower nonradiative recombination? In such a case, it is necessary to discuss the traditional assumption of perfect incident light absorption. A precise estimation of the absorption coefficient is essential for determining material properties such as diffusion coefficient, carrier lifetime, or surface recombination velocity as long as all these properties significantly influence the carrier density profile in timeresolved or steady-state experiments.

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^{*} e-mail: mlegrand@g.ecc.u-tokyo.ac.jp

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Accurately measuring the absorption properties presents numerous challenges. In particular, the exponential increase of the absorption coefficient in the near vicinity of the bandgap energy requires the precise measurement of ultralow coefficients. In addition to this, classical techniques, such as reflectance and transmittance measurements, are sensitive to parasitic absorption by all layers of the stack. As an alternative, ellipsometry allows for the determination of the complex refraction index but needs oscillator models and partially void layers to reflect the material surface imperfection. Despite of great interest, these methods provide data averaged on large surfaces. Getting access to spatially resolved absorption properties, which is important for very inhomogeneous materials such as perovskite thin films, requires new methods. Furthermore, absorption properties vary with charge carriers' densities [4] or temperature, and thus with the experimental conditions. Directly accessing the absorptivity through luminescence for luminescence images interpretation guarantees the same environment and offers many advantages in addressing these challenges.

91 The PL emission, due to the exponential blackbody prefactor leveling the absorption drop around bandgap 92 93 energy, has been used successfully to determine ultralow 94 absorption coefficient values in the vicinity of the bandgap energy [5–7]. Otherwise, photoluminescence excitation 95 96 (PLE) spectroscopy offers insight into the sample absorptivity by analyzing the intensity emitted with varied 97 incident photon energies [8,9], within a complementary 98 spectral range. In the case of PLE experiments, the 99 photoluminescence emission allows for probing the absorp-100 101 tivity, and therefore absorption coefficient, in specific emitting layers. This is of interest for quantum structures 102 103 whose absorption properties of the different layers can be 104 explored without any structural modification, as pointed 105 out by Jimenez [10]. It may be useful in case of low 106 absorption for which transmission measurements cannot distinguish the materials. However, PL may still be 107 108 affected by parasitic absorption, such as the one from 109 the layers above the emitter [11]. Finally, PL imaging 110 techniques are available, providing a means to assess spatial inhomogeneities and determine the local absorptivity of 111 photovoltaic materials [12,13]. 112

In the present work, PL hyperspectral acquisitions are 113 performed with different excitation energies to obtain the 114 local emission spectra. This data allows us to assess the 115 shape of the local absorptivity close to the bandgap energy 116 117 based on absorption-emission reciprocity. Complementarily, the variation in intensity with the excitation energy 118 provides information on the absorptivity of the illumina-119 tion, at energies higher than the bandgap. Firstly, the 120 121 theoretical framework of this study is set, and the link 122 between the PLE and absorptivity is derived for limiting 123 cases. In the second part, the experimental methodology is 124 detailed and our physical model is further supported by drift-diffusion simulations. The results of PL fit and PLE 125 are compared, and their ability to assess absorptivity and 126 lifetime images is discussed. 127

2 Theoretical background

During PL experiments, the sample undergoes excitation 129 by a monochromatic light flux, indicated as $\boldsymbol{\Phi}_{\mathrm{exc}}$. The PLE 130 spectra are defined by the proportion of externally emitted photon flux, denoted as $\Phi_{\rm em}$, to the incident one, for 132 varying excitation energy $E_{\rm exc}$, reading:

$$PLE(E_{\rm exc}) = \frac{\Phi_{\rm em}(E_{\rm exc})}{\Phi_{\rm exc}}.$$
 (1)

It is worth noting that this quantity is influenced by the 136 absorptivity of the top layers in the incident light 137 bandwidth, in the same way as the absorptivity of the emitter layer. Additionally, it is affected in the PL emission spectral range, resulting in an extra transmission coefficient.

As shown in Figure 1, PLE has to be distinguished from the spectrally resolved PL emission. Indeed, the 142 PLE absolute value can be obtained by integrating the entire spectral emission. Under the assumption of a PL spectrum spectrally stable with the excitation energy sometimes implied in the literature [10], PLE relative value can be measured by optically filtering a specified spectral range of PL emission and monitoring its 148 intensity.

The higher the absorptivity at the excitation energy in 150 the absorber layer $A(E_{\text{exc}}) = A_{\text{exc}}$, the more PL is emitted. 151 As such, they are very similar to External Quantum 152 Efficiency (EQE) measurements that also assess the 153 response of the device for different illumination energies, 154 yet through the generated current. If the latter depends on 155 the collection of the carriers, the former relies on the ability 156 of the device to convert the generated carriers into photons 157 through photoluminescence. Indeed, when operating in a 158 low injection regime where photoluminescence is directly 159 proportional to the density of minority carriers, and 160 assuming that all spontaneously emitted photons contrib-161 ute to the overall externally emitted photon flux (thus 162 neglecting any optical losses), PLE spectra can be 163 expressed as follows [9]: 164

$$PLE(E_{\rm exc}) = A_{\rm exc} \gamma_{\rm int}, \qquad (2)$$

where the γ_{int} denotes the internal PL quantum efficiency. As Berdebes et al. highlight [9], this quantity is generally 167 constant with the excitation energy above the bandgap 168 one. Yet, it might vary with a high surface recombination 169 velocity. In such cases, generating carriers close to the 170 surface favors their non-radiative recombination and 171 decreases the γ_{int} . For known absorptivity, *PLE* then 172 offers a way to characterize surface recombination velocity 173 and bulk Shockley-Read-Hall lifetime [14,15]. When 174 employing PLE for absorptivity estimation, it is commonly 175 utilized in a qualitative manner aimed at identifying the 176 absorption peaks of nanostructures [16] or measuring the 177 Stoke shift [17,18]. 178

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Fig. 1. Calculation of PLE spectra from spectrally resolved PL assuming a constant incident photon flux. (a) The PL spectra are measured for different excitation energies $E_{\text{exc},1} \dots E_{\text{exc},m}$ represented by the various line colors. They are integrated and normalized to the excitation photon flux to provide the PLE spectrum shown in (b).

179 In this section, we derive a simple physical model to link the PLE spectra to the absorptivity under high and low 180 injection conditions, for a slab of thickness d. Charge 181 carrier densities can be deduced from detailed balance and 182 183 rate equations describing their evolution depending on the 184 transitions involved and their population-depopulation 185 rates. In the depth of the sample, the illumination photon flux Φ_{exc} creates electron-hole pairs at the generation rate $G[m^{-3}s^{-1}]$, leading to the following balance: 186 187

$$A_{\rm exc}\Phi_{\rm exc} = \int_{0}^{d} G(z)dz \;. \tag{3}$$

190 A homogeneous charge carrier profile is further 191 assumed, corresponding to devices with negligible surface 192 recombination and sufficient diffusion length compared to the thickness. By considering that monomolecular recom-193 bination prevails, the local recombination rate R_{eff} can be 194 195 written as a function of the excess carrier density Δn , constant in this model, and an effective minority carrier 196 197 lifetime τ_{eff} :

$$\int_{0}^{d} R_{\rm eff} dz = \frac{\Delta n}{\tau_{\rm eff}} d \ . \tag{4}$$

In a steady-state regime, this quantity is equal to the
generation rate integrated over the volume. Thus, the
equality of equations (3) and (4) provide an expression of
the excess carrier density as a function of the absorptivity
at the excitation energy:

$$\Delta n = \frac{\tau_{\rm eff}}{d} A_{\rm exc} \Phi_{\rm exc} \tag{5}$$

Furthermore, under this approximation of constant207carrier concentration, the spectral photon flux emitted by208photoluminescence at photon energy E, $\varphi_{em}(E)$, can be209obtained from the Generalized Planck's law, reading in the210Boltzmann approximation:211

$$\varphi_{\rm em}(E) = A(E)\varphi_{\rm BB}(E)e^{\Delta\mu/kT}, \qquad (6)$$
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where $\varphi_{\rm BB}(E) = \frac{2\pi}{\hbar_{s}^{3}c^{2}}E^{2}e^{-E/kT}$ is the blackbody radiation as emitted photon flux per energy interval. The letter k 214 further denotes the Boltzmann constant, c the speed of light, and T the temperature. $\Delta\mu$ represents the quasi-Fermi level splitting, linked to the charge carrier densities 217 n,p, and n_{i} as follows: 218

$$np = n_i^2 e^{\Delta \mu/kT}.$$
 (7)

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From equations (6) and (7), the emitted photon flux 221 obtained from the spectral integration of φ_{em} reads: 222

$$\Phi_{\rm em} = \frac{1}{n_i^2} \int A(E) \varphi_{\rm BB}(E) dEnp.$$
(8)

We write β the term $\frac{1}{n^2} \int A(E) \varphi_{BB}(E) dE$. We assume a slightly *p*-doped material with an acceptor density N_A so that $p = \Delta n + N_A$ and $n = \Delta n$. This framework is quite general and allows the calculation of limit cases. By reinjecting the expression of Δ_n given by equation (5) into equation (8) with a product of charge carrier densities of $\Delta n (\Delta n + N_A)$, it reads: 226 227 228 228 229 229 231

$$\Phi_{\rm em} = \beta (\tau_{\rm eff} A_{\rm exc} \Phi_{\rm exc}/d)^2 + \beta N_A (\tau_{\rm eff} A_{\rm exc} \Phi_{\rm exc}/d).$$
(9)
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Region $\#$	1	2	3	4	5
$E_{\rm g}({ m ev})$	1.63	1.63	1.62	1.62	1.61
$\gamma({ m meV})$	36	36	35	34	33
$a_0 d$	29.1	27.3	22.7	16.9	11.7

Table 1. Katahara fit results in the different areas.

Leading to the expression of PLE for high and low 234 injection conditions, respectively: 235

$$n \sim p \gg N_A, PLE = \beta \tau_{\text{eff}}^2 A_{\text{exc}}^2 \Phi_{\text{exc}}/d^2 .$$

$$n \ll p \sim N_A, PLE = \beta N_A \tau_{\text{eff}} A_{\text{exc}}/d .$$
(10a)

238 Thus, in the case of an intrinsic semiconductor such as perovskite, the PLE spectra depend on the squared 239 240 absorptivity of the excitation energy, while the EQE still 241 depends linearly on it. Obtaining the absorption coefficient 242 of the layer of interest α for different photon energy E from PLE requires an optical model of the sample. For this proof 243 of concept, we will employ a basic one that neglects 244 245 parasitic absorption. In this model, our sample can be viewed as a homogeneous slab with a thickness d, a top 246 surface reflectivity R, and an absorptivity based on the 247 Beer-Lambert equation. Thus: 248

$$A(E) = (1 - R)(1 - e^{-\alpha d}).$$
(11)

251 In this work, a perovskite absorber with a charge extraction layer and electrode is characterized by combined 252 253 PLE imaging and PL fit. The following section describes 254 the sample structure and the experimental setup. As an intrinsic semiconductor, it is expected to follow the 255 256 behavior described by equation (10a). One can note that these considerations are based on steady-state models, 257 258 whereas the experiments we conduct are led with a pulsed laser. We will evaluate if this model is still applicable using 259 drift-diffusion simulation. 260

3 Methodology 261

3.1 Sample preparation 262

263 The sample under study is described in Figure 2. and fabricated as follows. FTO-coated glass substrates are 264 cleaned, and a compact TiO_2 electron transport layer 265 (ETL) is synthesized onto it by atomic layer deposition 266 (ALD). Mesoporous TiO₂ is then spin-coated and annealed 267 on top of the c- TiO_2 layer before the Glass/FTO/TiO₂ 268 substrates are treated with UV-Ozone. The triple-cation 269 270 perovskite solution consists of a mixture of a solution of CsI 271 in DMSO and another solution of MABr, PbBr₂, FAI, and 272 PbI_2 of a DMF and DMSO mix. It is deposited onto the resulting 273 substrates by spin coating in \mathbf{a} $Cs_{0.05}(MA_{0.17}FA_{0.83})_{0.95}Pb(I_{0.83}Br_{0.17})_3$ thin film that is annealed, with an expected bandgap about 1.62eV [19]. 274 Finally, a 4-FPEAI solution dissolved in IPA at a 275 concentration of 2.5mg.mL⁻¹ was then spin-coated onto 276

the 3D perovskite and annealed. A reference absorptivity 277 spectrum is measured based on reflection and transmission 278 spectra obtained with an Agilent Cary 5000 spectrometer. 279

3.2 Experimental setup

The sample is illuminated with a supercontinuum pulsed 281 laser NKT SuperK with an 80MHz repetition rate and 282 spectrally filtered by a monochromator. The laser is further 283 filtered with Thorlabs FESH800 and FESH850 hard-284 coated short-pass filters with cut-off wavelengths at 1.55 285 and 1.46 eV to ensure that no second order is present. The 286 emitted photoluminescence is studied by a hyperspectral imager [20]. To be able to excite the sample with photon energies close to the PL emission, the laser light is filtered 289 out by linear polarization. The laser is homogenized through a square-core optical fiber and is concentrated by the x10 objective onto a 400-micrometer side square, with a 292 constant fluence of $6 * 10^{15}$ photon/cm²/s. Eight different 293 excitation energies between 1.59 and 2.07 eV were used. 294 The experiments are performed by decreasing energy, and 295 one more acquisition is taken at 2.07 eV at the end of the 296 experiment to assess the repeatability of the experiment and monitor the potential effect of light soaking. The sample is placed in a cryostat at -10° C in nitrogen atmosphere and illuminated from the perovskite side. This 300 temperature was chosen to increase the PL signal while 301 remaining close to the room temperature device operation 302 conditions. The measurement was performed after stabilization of the PL spectrum which features a redshift from 1.61 to $1.6 \,\mathrm{eV}$ during $15 \,\mathrm{min}$. 305

The data collected are spatial images of PL spectra for 306 different excitation energies $I_{PL}(x, y, \lambda, \lambda_{em})$ from which we 307 obtain the local $PLE(x, y, \lambda_{exc})$. This information is 308 completed by estimating the local reflectivity from the 309 hyperspectral images of white light reflection on the sample 310 - denoted $I_{\text{sample}}(x, y, \lambda)$ - and on a mirror - designated by 311 $I_{\text{mirror}}(x, y, \lambda)$: 312

$$R \sim R_{\text{meas}} = \frac{I_{\text{sample}}(x, y, \lambda)}{I_{\text{mirror}}(x, y, \lambda)} r_{\text{mirror}}(\lambda) , \qquad (12)$$

where the reflectivity of the mirror $r_{\rm mirror}(\lambda)$ provided by the supplier Thorlabs is taken into account. One can note 315 that the obtained reflectivity value is sensitive to the focus 316 (chromatic aberration) and the angle of the mirror 317 compared to the optical axis of the setup. In addition, 318 due to the collection cone of the objective, it is at best the 319 specular reflectance that is measured, and part of the 320 diffuse light is lost. It is thus assumed that the obtained 321

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Fig. 2. (a) Structure of the perovskite stack under study, which is illuminated from the perovskite side. (b) Image of reflection of white light illumination onto the sample at 1.53 eV. (c) Areas of interest determined by clustering from the reflection.

322 curve is an approximation of the relative reflectivity, and a323 correction factor would be required for correcting the324 optical losses.

325 For the sake of readability, we further reduced the dimensions to $I_{PL}(i, \lambda, \lambda_{em})_{1 \le i \le 5}$ by clustering the pixels of similar intensities, where *i* refers to the index of the group 326 327 of pixels assigned by increasing PL photon flux. The image 328 of reflection at 1.53 eV, shown in Figure 2b, was chosen as a 329 330 reference for its high contrast and spatial features similar to PL intensity. It is segmented with the K-means algorithm 331 332 [21]. It allows us to distinguish the five areas of interest 333 displayed in Figure 2c in which the spectra are averaged 334 spatially. This protocol reduces the computing time associated with data fitting while increasing the signal 335 336 quality but does not refer to a limitation in spatial 337 resolution, estimated in our case to $\sim 1 \,\mu\text{m}$.

338 **3.3 Simulation of the transient regime**

339 As the PLE experiments are performed with a pulsed laser, a challenge arises for their interpretation: does the 340 approximation of a continuous regime still hold? Indeed, 341 342 the steady-state hypothesis is at the core of the theoretical framework previously described. It is supported by the 343 344 short duty cycle (12.5 ns and 80 ps pulses) compared to the 345 charge carrier lifetime expected for perovskite (around 120 ns for a comparable composition [22], and reported in 346 347 the $40 \text{ ns}-40 \mu \text{s}$ range for others [23]). A transient model is used to show that under reasonable assumptions the carrier 348 349 profile is sufficiently flat, and that the pulsed illumination 350 does not modify the strategy of data analysis.

Drift-diffusion simulations of the PLE experiments are 351 352 conducted in a transient regime with a one-dimensional 353 homemade code [24]. It calculates the emitted PL from the steps described in Figure 4: for each excitation energy, the 354 0 generated carriers' profiles are calculated, their transport is 355 356 obtained by drift-diffusion, and the emitted PL is 357 calculated in-depth and propagated by taking into account 358 reabsorption. For the sake of simplicity, the perovskite 359 sample is modeled as a homogeneous 400 nm-thick slab.



Fig. 3. Scheme of the experimental setup. The illumination is filtered by a linear polarizer (LP) before impinging on the sample, forming a homogeneous square with a side length of $400 \,\mu\text{m}$. The emitted PL is collected through the objective and further filtered by the second linear polarizer to attenuate the laser reflection and analyzed by a hyperspectral imager.

First, the generation profile is modeled from the Beer-Lambert law for an excitation fluence corresponding to the 360 experimental one. The absorption coefficient is estimated 361 from the absorptivity UV-visible spectrometer measure-362 ment prolonged below the bandgap energy with a straight 363 exponential tail with an Urbach energy of 30 meV to allow 364 for PL modeling. This value corresponds to the inverse of 365 the logarithmic slope fitted at low energies and is slightly 366 higher than the literature's typical 15-20 meV [25–27]. 367 Second, the drift-diffusion of the generated carriers is 368 computed based on continuity equations for both 369 electrons and holes. They provide the variation of carrier 370 density n in-depth due to transport (drift due to the 371 electric field E and diffusion of coefficient D_n , generation, 372



Fig. 4. Principle of the drift-diffusion model: generation from Beer-Lambert law, drift-diffusion of the carriers, and PL model taking into account reabsorption.

and recombination rates (resp. G and R_{eff}). For electrons, it reads as:

$$\frac{\partial n}{\partial t} = \frac{1}{q} div(J_n) + G - R_{\text{eff}},$$
 (13a)

$$J_n = D_n grad(n) + \mu_n n E_n \,. \tag{13b}$$

These are completed by the Poisson equation and 379 boundary conditions. We set the front recombination 380 velocity at $S_{\text{front}} = 100 \text{ cm/s}$ and the back one at $S_{\text{rear}} = 10$ 381 cm/s. This assumption is motivated by the presence of 2D 382 383 perovskite on top that passivates the sample, and the 384 interface perovskite-TiO₂ can be considered well passivated and inducing a low surface recombination velocity. Electrons 385 386 and holes mobility of $1 \text{ cm}^2/(\text{V.s})$ were assumed, together 387 with a carrier lifetime of 100ns. At last, the spectral photon 388 flux emitted by PL is calculated by integrating along the 389 depth z Van Roosbroeck-Shockley equation [28], and 390 assuming that reabsorption is described by a term exp 391 $(-\alpha(E) z)$, reading:

$$\varphi_{\rm em}(E) \propto \int_{0}^{d} \alpha(E) E^2 e^{-\frac{E}{kT}} e^{-\alpha(E)z} n(z) p(z) dz \cdot$$
(14)

394 Figure 5a shows the carrier concentration profile for a 1.77eV pulsed excitation as a function of time and depth. 395 396 This quantity varies only a little during the decay: at the front interface, where its variation is maximal, its amount 397 decreases by 15% before the following pulse. Moreover, the 398 carrier profile is stable with the depth: the variation 399 400 between the front and rear surfaces is less than 5% for each 401 delay. The situation is then close to the constant profile 402 assumption made to obtain equation (3). For an intrinsic 403 semiconductor, and as stated by equation (10a), PLE 404 intensity is expected to be proportional to the squared density of generated carriers. That is confirmed by the 405 result in Figure 5b. For excitation energies above 1.55 eV, 406 the absorptivity and the square root of PLE differ by less 407 than 2% when scaled at $1.77 \, \text{eV}$. This difference is about the 408 409 setup measurement uncertainty. One possible reason for the mild deviation observed at high excitation wavelengths 410 could be that the density of generated carriers is lower than 411 that at low excitation wavelengths, resulting in an 412 increased role of monomolecular recombination. For 413

instance, at $1.38 \,\mathrm{eV}$, the generated carrier density is of the order of $10^9/\mathrm{cm}^3$, and the assumed intrinsic carrier concentration of $7*10^7/\mathrm{cm}^3$.

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Hence, the simulation suggests that the framework leading to a PLE scaling with the squared absorptivity is still valid in our experimental conditions. Yet, a high surface recombination or background doping might cause a difference between the absorptivity obtained by PLE and PL fit.

4.1 Luminescence spectral analysis: near gap absorptivity

For a model without interferences and considering constant 426 carrier concentration across the depth. PL emission spectra 427 can be modeled with the generalized Planck's law [29] given 428 in equation (6). It states that the externally emitted 429 spectral photoluminescence photon flux emitted is the 430 product of the absorptivity of the device under test and the 431 blackbody radiation multiplied by carriers' densities. 432 Hence, dividing photoluminescence spectra by the black-433 body radiation directly provides relative absorptivity. 434 When normalizing to the photoluminescence photon flux 435 emitted at an arbitrary energy E_0 , it reads: 436

$$\frac{A(E)}{A(E_0)} = \frac{\varphi_{\rm em}(E)}{\varphi_{\rm em}(E_0)} \frac{E_0^2}{\frac{E_0}{{\rm e}kT}} \frac{e^{\frac{E}{kT}}}{E^2}.$$
 (15)

One can note that the use of this model is based on strong physical assumptions. Indeed, stating that PL spectra can reflect the absorptivity of the sample implies that [5,6]:

- The carrier density profile is approximately uniform (or in the case of negligible reabsorption)

- Parasitic absorption is negligible.

Indeed, condition 1 is necessary because a depth dependent carrier profile associated with reabsorption would violate the assumption of formula 6 ($\varphi_{\rm em}(E)$) proportional to A(E). Condition 2 establishes that the absorptivity calculated in this way is that of the absorber layer. Yet, this measured absorptivity depends on the experimental conditions. As emphasized by P. Fassl et al. 439 451 452 452 453 454 455

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Fig. 5. Drift diffusion results. (a) Electron densities for different depths and times after the pulse. (b) Comparison between the square root of PL intensity averaged on the decay and the input absorptivity. The latter is obtained based on transmission and reflection measurements, prolongated with an exponential tail. The ordinates values are scaled to fit at 1.77 eV.

457 [30], the PL spectra can be greatly distorted by the escape
of photons in total internal reflection due to the roughness
of the material. These have lower energies and redshift the
measured spectrum, and their share in the collected signal
varies depending on the collection optics. With a confocal
microscope, their contribution is negligible whereas it is
maximum with an integrating sphere.

464 In addition, the absorptivity obtained locally is affected 465 by the optical properties of neighboring areas. Photons escape laterally away from their emission location [12], 466 which is referred to as photon smearing. As a consequence, 467 468 it is challenging to retrieve the local absorption coefficient 469 from absorptivity maps. The lateral smearing can be considered negligible in our case, as it occurs on a small 470 length compared to optical resolution and magnification. 471 472 This mechanism is typically five times the sample thickness 473 $(2 \,\mu m)$, which is far smaller than the illumination width 474 $(400 \,\mu m)$. If electronic diffusion restricts the spatial 475 resolution of the absorptivity extracted by PLE, which 476 relies on the analysis of charge carrier concentration, it is not 477 detrimental to the absorptivity obtained from equation (14). 478 Instead, it promotes uniformity in carriers, which is advantageous for our model as it helps satisfy condition 1. 479

The relative absorptivity curves deduced from 480 equation (14) are presented in Figure 6 in different areas 481 of the sample. In this case, the relative absorptivity is 482 normalized by the PL intensity at $E_0 = 1.68$ eV and scaled 483 to the value $1 - R(E_0) - T(E_0) \sim 0.64$ obtained with the 484 spectrometer. As the reference is measured with an 485 486 integrating sphere, diffuse reflectance is taken into account. At E_0 , the absorption length is considered small compared 487 to the thickness of the material and thus the absorptivity is 488 489 saturating and assumed to be laterally homogeneous. This 490 hypothesis is supported by the uniformity of reflectivity 491 image 1.68V that owes a standard deviation of 0.0156 and is 492 further supported by the PL image at high energy shown in 493 Figure 7aa, which is highly homogeneous. Indeed, the standard deviation of the PL emitted at 1.68ev in the 5 494 clustering areas of only 1% of the mean intensity. As a 495 consequence of equation (6) considered at high photon 496 energies, this homogeneity suggests a uniform charge 497 carrier density, and thus a uniform $(\tau_{\rm eff} A_{\rm exc} \Phi_{\rm exc}/d)$. 498 Together with the homogenous reflectance in this energy 499 range and the evaluation of thickness from reflectance in 500 the sub-bandgap range- as presented in the last section-501 this PL uniformity suggests that τ_{eff} A_{exc} and d do not 502 vary much across the sample. By comparison, the 503 normalized standard deviation of PL emission reaches 504 5% at 1.61eV, close to the peak energy. The corresponding 505 spatial variations can be seen in Figure 7b, which features a 506 wave-like pattern comparable to the reflectivity. 507

Figure 7c depicts the ratio of the absorptivity at low energies to the one at higher energies. As the absorptivity is expected to be close to saturation in the high energy range, this image corresponds to a first approximation of the local absorptivity in the [1.55,1.61] eV spectral range. It shows the inhomogeneity of this property below the gap and implies that β varies with the position.

4.2 PLE: above-gap absorptivity

The hyperspectral measurements were absolutely calibrat-516 ed, it is therefore possible to obtain the number of photons 517 emitted locally by integrating the signal spectrally on the 518 whole emission range (mainly contained between 1.44 and 519 $1.75 \,\mathrm{eV}$). For excitation energies below $1.84 \,\mathrm{eV}$, the 520 reflection of the laser is visible on the spectra at up to 521 10 nm above the center excitation wavelength. To ensure 522 that this parasitic signal is not taken into account in such 523 cases, the PL spectra are integrated between 1.49 and 524 1.55 eV. As the PL shape does not change with the 525 excitation energy, they are further rescaled to consider the 526 whole emission spectrum. The corresponding correction 527 factor is estimated with the measurement performed with 528

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Fig. 6. Absorptivity scaled at 1.68 eV in five sample areas which are determined from pixel clustering on the reflection image at 1.53 eV and shown in the inset. They are numbered by increasing intensities – the dark green one has the lowest PL photon flux.



Fig. 7. (a)–(b) PL images emitted at high and low energies, averaged in the [1.63,1.68] eV and the [1.55,1.61] eV ranges, respectively, and normalized with respect to their spatial average. They are obtained with the excitation at 2.07 eV. (c) Ratio of the absorptivity image in the low energy range to the one in the high energy range, corresponding in first approximation to the absorptivity between [1.55,1.61] eV.

an excitation energy of 2.06 eV. The obtained result
presented in Figure 8. shows an increase in PLE with the
excitation energy in all regions of interest, as expected as a
result of the growth of the absorptivity.

533 The intensity of PLE varies depending on the region of 534 interest, which does not necessarily indicate a local change 535 in absorptivity at the excitation energy. Indeed, equations 536 (10a) and (10b) suggest that the PLE scales with $\beta \tau_{\text{eff}}^2 A(E_{\text{exc}})/d^2$. Thus, the differences in areas could arise from variations in thickness, effective lifetime, or absorp-537 tivity (taken either at excitation energy or averaged over 538 the emission spectral range). Additionally, considering 539 only the photons emitted at higher energy in the 540 calculation would lead to a much more homogeneous 541 PLE as can be inferred from Figure 7a. Therefore, 542 variations in PLE intensity from one region to another 543 are dominated by the changes in absorptivity at sub-544 bandgap energies through β . 545



Fig. 8. PLE spectra for the different areas of the sample (the color code is similar to previous figures).

546 **5 Discussion**

547 5.1 Comparing PLE spectroscopy to PL fit

Figure 9. compares the absorptivity values obtained in the 548 two spectral ranges scaled at 1.63 eV and the reference 549 transmission and reflection measurement. The absorptivi-550 551 ty obtained by the PL fit and the square root of the PLE 552 both feature a rise close to $1.6 \,\mathrm{eV}$. Yet, the three squared PLE measurement points in the PL fit energy range do not 553 554 scale with it. The 10 nm laser spectral width employed in the experiment corresponds to a minimum horizontal error 555 bar of 20 meV, which could greatly contribute to this 556 misalignment as highlighted by the cross marks indicating 557 this spectral width. However, when taking the rolling 558 559 average to quickly evaluate the impact of a convolution with the laser spectral width, a window of 30 nm needs to be 560 taken to obtain parallel curves close to the bandgap energy. 561 A change in the injection regime could also contribute to 562 this difference: as shown by equation (9), at low enough 563 564 absorption, the natural approximation is $n \ll N_A$. The 565 PLE might scale linearly with the absorptivity in this 566 particular spectral region.

The steep Increase in the absorptivity assessed by PLE 567 happens at energies lower than the one in the reference 568 curve. This is consistent with the $\sim 0.01 V$ redshift observed 569 570 between the initial spectrum and the spectrum taken at the end of the measurement in the same conditions. It 571 572 highlights the dependence of absorptivity on experimental 573 conditions and the need for its measurement simultaneously with the PL experiment. Moreover, the slope of PLE is 574 575 steeper than the one of absorptivity. It might be linked to a 576 change in absorptivity between the two measurements 577 induced by material modification under illumination.

Indeed, Merdasa et al. [8] have observed a notable impact 578 of excess PbI_2 on the slope of both the absorptivity and 579 PLE curves, at comparable energies. This steeper absorp-580 tivity might otherwise suggest a reduction in the internal 581 PL quantum yield upon excitation at lower energies. In $_{02}$ 582 other words, generating photons further away from the top 583 surface of the sample results in greater non-radiative 584 recombination, which could potentially be attributed to a 585 superior front interface quality as opposed to the rear one. 586 This finding is consistent with the inverse results reported 587 by Bhosale et al. [14], who observed a decrease in the PLE 588 spectrum at higher energies due to increased recombination 589 at the top surface. 590

5.2 Absorptivity from PL fit – an outlook through reflection measurements

Fitting the absorptivity from the PL requires a model for the absorption coefficient and for its link to the absorptivity. It offers a possible way to retrieve the absorption coefficient multiplied by the absorber thickness and separate these quantities from the charge carrier density. In this paragraph, such a strategy is employed with our data in order to scale the absorptivity and get closer to the determination of the absorption coefficient.

It Is assumed that the absorptivity of a thick sample is given by the Beer-Lambert law and can be written as $A(\lambda) = 1 - \exp(-\alpha(\lambda) d)$. The reflection is neglected to assess what can be obtained from PL itself. We further fit the absorption coefficient with Katahara model [31], which expresses α as a function of a scaling constant α_0 , the bandgap energy E_g , a characteristic tail energy γ , and an exponent θ : an ideal absorption coefficient expressed as 607

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Fig. 9. Combination of PL fit and PLE. The dashed lines at high energies represent the curves obtained by PLE. The cross markers associated with them correspond to the spectral width of the excitation laser and provide an idea of the horizontal error bars induced by it. Additional $\pm 2\%$ vertical error bars correspond to the measurement uncertainty from the incident fluence measurement. PL fit is the solid lines and allows for the estimation of absorptivity below 1.7 eV. The scales are adjusted so that the relative absorption averaged on the different areas matches the averaged squared PLE at 1.63 eV. The sky-blue line displays the squared absorptivity obtained from the reference transmission and reflection measurement.



Fig. 10. Fit of the absorptivity normalized at peak energy (dashed lines) with the Katahara model (solid lines) in the regions of interest.



Fig. 11. Comparison between (a) the PL spectra for a 600 nm excitation and (b) Reflectivity of the sample in the different areas assessed using white light reflection through equation (15).

 $\alpha_0 \sqrt{E - E_g}$ is convoluted to the following sub-bandgap tail states density $T(E) = N \exp\left(-\left|\frac{E-E_g}{\gamma}\right|^{\theta}\right)$ where N is a 608 normalization factor. Spectral fit is performed with the 609 610 laser excitation energy set at 2.07 eV to ensure the absence of a parasitic peak on the spectrum coming from the 611 612 illumination. The results are presented in Figure 10 in 613 different areas of the sample. Our data reasonably fit 614 with $\theta = 1.5$. The fitted band tails of the different areas of 615 the sample are very similar and suggest that the absorption coefficient of the material is homogeneous for energies 616 below the gap. Yet, this approach still strongly relies on the 617 optical model and cannot disentangle the absorption 618 scaling factor α_0 from the thickness of the sample. We 619 explore the data treatment of white light reflection to fit 620 this second quantity. 621

The results of the reflectivity assessment presented in 622 Figure 11b show a similar trend for all areas: the reflectivity 623 624 is stable above 1.64 eV, whereas for energies below the 625 absorption threshold of perovskite, interferences can be 626 seen. The reflectivity at high energy is quite uniform, with a 627 relative variation of 14% yet resulting in changes of 1-R of less than 2%, which supports the above scaling of the 628 absorptivity at 1.68 eV. The reference reflectivity at this 629 energy is 0.17, of the same order of magnitude. By 630 comparison with the PL spectra in the corresponding areas 631 shown in Figure 11aa, it can be inferred that the variation 632 633 in the reflectivity is the main reason for the variation of the PL spectrum. This calls into question the results of the 634 635 absorptivity fit, as the photoluminescence shape is greatly

impacted by the reflectivity in the sub-bandgap range. It is likely that $\alpha_0 d$ does not change so significantly and that the sample presents only minor topological changes.

Additionally, the interference patterns suggest that Region 1 is thinner than Region 5: the peak energy of the reflectivity shifts corresponds to 42 nm towards the higher wavelengths. The change in thickness compatible with this shift and the known optical index of perovskites is in the range of dozens of nanometers. This observation goes against the trend observed for the above fit of $\alpha_0 d$ in the Section 4.2 model that does not include interferences. This observation emphasizes the need for better optical models compared to the ones typically used for fitting the photoluminescence spectra. It also stresses the importance of considering local reflectivity rather than using spatially averaged values, as the latter approach is unlikely to provide a meaningful fit for the absorption edge. Both considerations are necessary for a robust quantitative absorption coefficient and effective lifetime mapping.

6 Conclusion

We have presented a proof of principle of a local absorptivity measurement resolved spatially at the micrometer scale through hyperspectral photoluminescence excitation imaging. We confirmed by simulation that the use of a pulsed laser should not hinder the extraction of absorptivity from PLE in our case. The relative absorptivity was obtained close to the bandgap energy by analyzing 662

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663 the emission spectrum and in a complementary range, at 664 energies above the gap, by PLE, therefore enabling to cover 665 of all the absorption ranges relevant to solar cells. As the 666 charge carrier densities scale with both the absorbed photon flux and an effective lifetime, calibrated PLE on its 667 668 own cannot completely disentangle the contribution from local absorptivity and local recombination properties. A 669 further quantitative measurement is necessary to scale the 670 671 measured absorptivity locally, such as UV-visible spec-672 troscopy. Nevertheless, the analysis of the PL emission ratio at different wavelengths suggests that the variations 673 674 of PL intensity observed for our sample mainly come from 675 inhomogeneity in the sub-bandgap absorptivity and that electronic properties are rather uniform. Furthermore, 676 completing the PLE by a spatial reflection measurement 677 provides insight into the optical and structural properties 678 679 of the device, and can help improve the optical model to be 680 used. Notably, it was found that the spatial variations of the absorptivity below the gap are most likely caused by 681 682 the non-uniformity of the absorber thickness. The study also stressed that reflectivity can significantly impact the 683 results of the absorption coefficient fit, also on a local scale, 684 and the optical model needs to be improved to obtain an 685 686 accurate quantitative assessment of the absorber properties.

687 We thank Daniel Micha for the scientific discussion around this688 topic and Emilie Raoult for providing the optical indices used in689 this study.

690 Author contribution statement

Marie Legrand performed the data acquisition and
treatment and wrote the manuscript. Baptiste Bérenguier
created the simulation tool. Baptiste Bérenguier and Marie
Legrand carried out the calculations. Thomas Campos
synthesized the perovskite sample. Daniel Ory and JeanFrançois Guillemoles provided critical feedback and
contributed to shaping this research work.

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