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## Frictional weakening leads to unconventional singularities during dynamic rupture propagation 2

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#### Abstract 4

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Earthquakes i.e. frictional ruptures, are commonly described by singular solutions of shear crack motions. These solutions assume a square root singular-6 ity order around the rupture tip and a constant shear stress value behind it, 7 implying scale-independent edge-localized energy. However, recent observations of large-scale thermal weakening accompanied by decreasing shear stress 9 potentially affecting the singularity order can challenge this assumption. In 10 this study, we replicate earthquakes in a laboratory setting by conducting 11 stick-slip experiments on PMMA samples under normal stress ranging from 12 1 to 4 MPa. Strain gauges rosettes, located near the frictional interface, 13 are used to analyze each rupture event, enabling the investigation of shear 14 stress evolution, slip velocity, and material displacement as a function of dis-15 tance from the rupture tip. Our analysis of the rupture dynamics provides 16 compelling experimental evidence of frictional rupture driven by enhanced 17 thermal weakening. The observed rupture fronts exhibit unconventional sin-18 gularity orders and display slip-dependent breakdown work (on-fault dissi-19 pated energy). Moreover, these findings elucidate the challenges associated 20

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with a priori estimating the energy budget controlling the velocity and final extent of a seismic rupture, when thermal weakening is activated during
seismic slip.

### 24 1. Introduction

Frictional rupture phenomena, including natural earthquakes, are often 25 described by singular solutions of shear crack motions (Freund, 1979; Palmer 26 and Rice, 1973; Rice, 1980). For such cracks, the stress field at the rupture 27 tip is described by a square root singularity ( $\xi = -0.5$ ), constant residual 28 stress is expected far behind the rupture tip, and the energy balance condi-29 tion equates the energy release rate G (i.e. rupture growth driving force) to 30 a constant value of fracture energy  $G_{\rm c}$  (i.e. resistance to rupture growth). 31 This was confirmed by experimental and numerical observations, where the 32 onset of frictional sliding, the evolution of the rupture speed, and the rupture 33 length were predicted by Linear Elastic Fracture Mechanics (LEFM) (Bayart 34 et al., 2016; Kammer et al., 2015; Kammer and McLaskey, 2019; Svetlizky 35 and Fineberg, 2014; Xu et al., 2019), and suggesting that the fracture energy 36 controlling the dynamics of the rupture tip might be an interface property. 37 Such an analysis often relies on the hypothesis of negligible frictional weak-38 ening far away from the rupture tip (i.e. outside of the cohesive zone). 39

However, it is widely recognized that fault shear stress is likely to evolve
during seismic slip due to (i) velocity and slip dependencies (Marone, 1998),
(ii) activation of thermal weakening processes (Di Toro et al., 2011; Hirose and Shimamoto, 2005; Rice, 2006), (iii) dilatancy inducing fluid pressure changes (Brantut, 2020; Rice and Rudnicki, 1979; Segall et al., 2010).

These changes in the residual stress behind the rupture tip could induce a 45 slip dependency of the apparent fracture energy (nowadays more commonly 46 called breakdown work (Tinti et al., 2005)) estimated for natural earthquakes 47 (Abercrombie and Rice, 2005; Lambert and Lapusta, 2020), in contrast to 48 the LEFM definition. The breakdown work  $W_{\rm bd}$  is a quantity commonly 49 used to study the energy balance of earthquakes and is defined as an energy 50 term including all on-fault dissipative processes  $W_{\rm bd} = \int_0^D \tau(D') - \tau_{\rm min} dD'$ , 51 with  $\tau$  the shear stress acting on the fault,  $\tau_{\min}$  the minimum shear stress 52 reached on-fault, and D the fault slip. It can be observed that, by defi-53 nition,  $W_{\rm bd}$  is a slip-dependent quantity. It is therefore important to be 54 aware of how possible stress weakening may affect rupture dynamics and 55 the energy release that controls it. Such weakening phenomenon has been 56 observed in high-velocity friction experiments (for a comprehensive review, 57 see (Di Toro et al., 2011)). Nonetheless, friction experiments seldom exhibit 58 elastodynamic rupture propagation due to the imposed fault slip by the ex-50 perimental procedure (Chen et al., 2021). Consequently, the experimental 60 documentation of the coupling between fault weakening and elastodynamic 61 rupture response remains limited (Rosakis et al., 2020; Paglialunga et al., 62 2022). 63

In these regards, our recent work highlighted that a long-tailed weakening can emerge after a first rapid weakening during frictional rupture experiments (Paglialunga et al., 2022), resulting in a slip-dependent breakdown work. Despite this observation, the rupture dynamics, analyzed through LEFM, showed to be controlled by a constant fracture energy  $G_c$ , in agreement with previous studies (Bayart et al., 2016; Kammer et al., 2015; Kammer and <sup>70</sup> McLaskey, 2019; Svetlizky and Fineberg, 2014; Xu et al., 2019). However, <sup>71</sup> analyzing such frictional ruptures in the framework of LEFM relies on the <sup>72</sup> assumption of constant residual stress behind the rupture tip. The observed <sup>73</sup> long-tailed weakening could call into question this assumption and limit the <sup>74</sup> framework's applicability to fully describe frictional ruptures, explaining the <sup>75</sup> observed mismatch between  $G_c$  and  $W_{bd}$  (Paglialunga et al., 2022).

Moreover, theoretical studies have shown that continuous stress weaken-76 ing can modify the singularity order controlling the stress and displacement 77 fields around the rupture tip, deviating from the square-root singularity com-78 monly adopted in LEFM, and leading to an unconventional singularity order 79  $(\xi \neq -0.5)$  (Garagash et al., 2011; Viesca and Garagash, 2015; Brantut 80 and Viesca, 2017; Brener and Bouchbinder, 2021b). In particular, when 81 frictional ruptures are described by  $\xi \neq -0.5$ , the stress  $\sigma_{ij}$  and displace-82 ment  $u_i$  fields obey respectively the following scaling relationships (Brener 83 and Bouchbinder, 2021a):  $\sigma_{ij} \propto K^{(\xi)} r^{\xi}$  and  $u_i \propto K^{(\xi)} r^{(\xi+1)} / \mu$ , with  $K^{(\xi)}$ 84 the  $\xi$ - generalized dynamic stress intensity factor,  $r = x - x_{tip}$  the distance 85 from the rupture tip of a point of observation located on the fault plane, 86 and  $\mu$  the dynamic shear modulus. These lead to the following relation: 87  $W_{\rm bd} \propto [K^{(\xi)}]^2 r^{(1+2\xi)}/\mu$ , valid for  $r > x_{\rm c}$ , with  $x_{\rm c}$  the cohesive zone size 88 (Eq. (5) from (Brener and Bouchbinder, 2021a)). From this relation, it can 89 be easily noticed that for  $\xi = -0.5$ , the  $W_{\rm bd}$  dependence on r completely 90 vanishes, making the breakdown work independent of the distance from the 91 rupture tip. This does not happen when  $\xi \neq -0.5$ , for which  $W_{\rm bd}$  has a 92 direct dependence on r. 93

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So far, the occurrence of such unconventional singularities during fric-

tional ruptures has not been measured at the laboratory scale. In this paper, we present new data analyzed in a recently-derived theoretical framework, demonstrating the first experimental evidence of strain and stress perturbation caused by unconventional singularities associated with velocitydependent frictional weakening. These experimental findings are supported by theoretical explanations about the emergence of unconventional singular fields during dynamic rupture.

### 102 2. Methods

We performed stick-slip experiments in a biaxial apparatus working in a 103 2D single shear configuration under an applied normal stress ranging from 104 1 to 4 MPa (Figure 1a). The experimental setup is the same one used and 105 described in (Paglialunga et al., 2022). The tested samples consist of two 106 polymethylmethacrylate (PMMA) blocks of dimensions (20x10x3) cm (top 107 block) and (50x10x3) cm (bottom block), generating, once put into contact, 108 an artificial fault of (20x3) cm. The external loading is imposed using two 100 hydraulic pumps. The normal load is applied to the top block and kept 110 constant while the shear load is manually increased and applied to the bottom 111 block inducing, once reached the fault strength, stick-slip events. Strain 112 gages rosettes (oriented along  $45^{\circ}$ ,  $90^{\circ}$ ,  $135^{\circ}$  to the fault plane), located 1 mm 113 away from the frictional interface, were used to compute the local strain and 114 stress tensors. Note that at such distance from the fault plane, the dynamic 115 propagation of the rupture will induce a stress perturbation (rise of stress 116 ahead of the rupture tip, followed by a stress drop behind it). Such a stress 117 perturbation will be maximum on the fault plane (y = 0+) and decrease 118

away from it (y > 0), as predicted by fracture mechanics. This feature is not observable through macroscopic measurements of strain or stress, hence the need for local ones. The strain tensor rotation was obtained through the conversion of  $\varepsilon_1, \varepsilon_2, \varepsilon_3$  into  $\varepsilon_{xx}, \varepsilon_{xy}, \varepsilon_{yy}$  following:

$$\varepsilon_{\rm xy} = \frac{\varepsilon_3 - \varepsilon_2}{2},\tag{1}$$

123

124

$$\varepsilon_{\rm yy} = \varepsilon_1,$$
 (2)

$$\varepsilon_{\rm xx} = \varepsilon_3 + \varepsilon_2 - \varepsilon_1 \tag{3}$$

The local strain temporal evolution shows clear perturbations concurrent 125 with stick-slips (Figure 1b). By zooming-in in time, details of the instability 126 can be caught (Figure 1c), showing a first (main) rupture front, followed by a 127 series of secondary fronts probably caused by rupture reflections at the fault 128 edges. To study the rupture dynamics, only the main front was considered, 129 selecting a time window around the first strain perturbation (Figure 1d-e). 130 Together with the vicinity of the measurement location to the fault, the 131 measuring interval is of paramount importance for properly addressing the 132 elastodynamics of the studied events. From here the need for a sufficiently 133 high recording frequency (2 MHz in this case) and a well-defined and short 134 time interval excluding possible subsequent events. Note that the following 135 analysis and discussions will exclusively focus on the dynamics of the main 136 rupture front for each stick-slip event. All the experimental curves that will 137 be shown will refer to a defined time window, systematically smaller than the 138 expected propagation time along the fault interface (for example, the rupture 139 showed in Figure 1e is described by a temporal window of  $\sim 45 \ \mu s$ ). The rup-140 ture propagation velocity  $C_{\rm f}$  was estimated by computing the ratio between 141

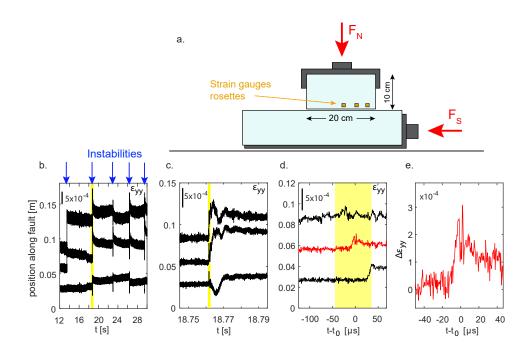


Figure 1: a. Experimental setup - Direct shear biaxial apparatus with PMMA samples generating an artificial fault. Strain gauges rosettes are located along the fault at a distance of 1-1.5 mm from the fault plane. b. Temporal evolution of vertical strain (obtained through high-frequency strain gauges acquisition system) at the three different locations along the fault. When the fault experiences instability, the shear rupture propagates along the interface and causes a strain perturbation concurrent with the passage of the front (indicated by the blue arrows). Yellow shaded areas indicate the time window selection shown in the following panel. c. Zoom-in of (b). d. Zoom-in of (.c) The red curve indicates the strain gauge location shown in panel (e). e. Vertical strain temporal evolution for the central location. Please note that the y-axis and x-axis limits change for each panel. t0 refers to the arrival time of the rupture for the central strain gauge.

the distance among the strain gauge locations and the rupture front travel 142 time from one location to the other. For each event, the particle velocity was 143 then computed through the strain component parallel to the slip direction 144 as  $\dot{u}_{\rm x} = -C_{\rm f} \varepsilon_{\rm xx}$ . This estimate has been shown to be comparable to distinct 145 measurements of slip motions associated with the propagation of the seis-146 mic rupture in previous experimental studies (Svetlizky and Fineberg, 2014; 147 Paglialunga et al., 2022). The fault slip velocity was considered equal to twice 148 the particle velocity measured through the strain gauges  $(V = 2\dot{u}_x)$ , assum-149 ing an anti-symmetrical distribution of slip and slip rate. This assumption 150 seems legitimate given that the two samples have comparable dimensions, 151 the same width, and are made of the same material. Integrating V during 152 the propagation time, local horizontal displacement  $u_{\rm x}$  could be estimated as 153 well. The slip displacement D of the fault is computed as twice (refer to the 154 assumption described just above) the horizontal displacement  $(D = 2u_x)$ , 155 assuming the material displacement measured through the strain gauge 1 156 mm away from the fault is comparable to the one occurring on-fault. The 157 acquired temporal evolutions of strain were converted into spatial evolutions 158 following the methods used in Svetlizky and Fineberg (2014), and by assum-159 ing that the rupture velocity obtained was locally constant during rupture 160 propagation. Moreover, by assuming plane stress conditions, the stress tensor 161 was computed through the elastic properties of PMMA. Given the viscoelas-162 tic nature of PMMA, dynamic values of its elastic modulus were used in this 163 study, E = 5.7 GPa, and a Poisson ratio  $\nu = 0.33$ , both obtained through 164 bench measurements of seismic velocities ( $C_{\rm P} = 2705$  m/s and  $C_{\rm S} = 1340$ 165 m/s). 166

### 167 3. Results

Each rupture event was studied through the evolution of shear stress, slip 168 velocity, and material displacement as a function of the distance from the 169 rupture tip (Fig. 2). In such spontaneous ruptures, the slip (or slip rate) 170 history results from the coupling of elastodynamics and the constitutive fric-171 tion law, rather than being prescribed. In all the studied events, local shear 172 stress evolution exhibited an increase ahead of the rupture tip followed by 173 a first significant decrease within the first micrometers of slip and a second 174 mild one within larger distances (Fig. 2a) as recently observed (Paglialunga 175 et al., 2022). A rapid increase of slip velocity was observed concurrent with 176 the passage of the rupture front, followed by a slow decay occurring with 177 distance from the rupture tip. The peak slip velocity  $V_{\text{max}}$  showed a clear 178 dependence with estimated rupture speed, with  $\sim 0.08$  m/s for  $C_{\rm f} \approx 220$ 179 m/s up to  $\sim 0.8$  m/s for  $C_{\rm f} \approx 840$  m/s (Fig. 2b). The evolution of horizon-180 tal displacement  $u_x$  presented values close to  $0\mu m$  ahead of the rupture tip 181 (values slightly deviate from 0 due to off-fault measurement) and a sharp in-182 crease behind it (Fig. 2c), with final displacements ranging between 3.9 and 183 28  $\mu m$ . Subsequently, the fault strength weakening was analyzed through 184 the evolution of the local shear stress  $\tau$  with the fault's slip displacement 185 D. The fault's weakening presents a sharp decrease of shear stress occur-186 ring within the first microns of slip, followed by a milder decrease occurring 187 within a larger amount of slip (Fig. 3a). The breakdown work evolution was 188 computed as 189

$$W_{\rm bd} = \int_{D((x-x_{\rm tip})=0)}^{D} (\tau - \tau(D)) \, dD \tag{4}$$

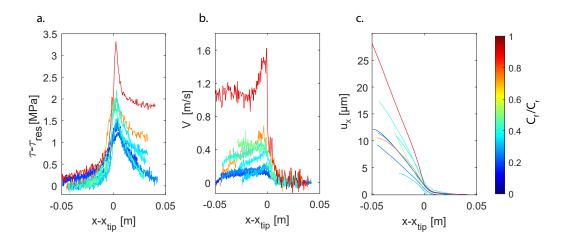


Figure 2: Elastic fields around the rupture tip. Evolution of a. shear stress computed from the measured shear strain  $\varepsilon_{xy}$ , b. slip velocity computed from the measured horizontal strain  $\varepsilon_{xx}$ , and c. material displacement computed from the estimated slip velocity for several events presenting different  $C_{\rm f}$  (colorbar).

where  $D((x - x_{tip}) = 0)$  is the displacement at the passage of the rupture tip. 190 Since no slip is expected to occur ahead of the rupture tip on the fault plane 191  $(C_{\rm f} = 0 \text{ when } (x - x_{\rm tip}) > 0)$ , the breakdown work evolution was computed 192 only from slip occurring after the passage of the rupture tip  $(x - x_{tip}) = 0$ , 193 neglecting fictitious contributions due to elastic strain of the bulk at the 194 measurement location. The evolution of  $W_{\rm bd}$  showed a first increase with 195 slip described by a slope close to 1:2 and a subsequent increase described 196 by a slope of  $\sim 1: 0.6(\pm 0.1)$  (Fig. 3b), suggesting the existence of anomalous 197 singularities ( $\xi \neq -0.5$ ). Given our interest in studying the second branch 198 of this evolution, the slope of it was measured. This was done by fitting 199 the evolution of  $W_{\rm bd}$  with D for  $D > D_{\rm c}$  with a first-degree polynomial in 200 log-log scale. Then,  $\xi$  was derived from estimates of the power law exponent 201

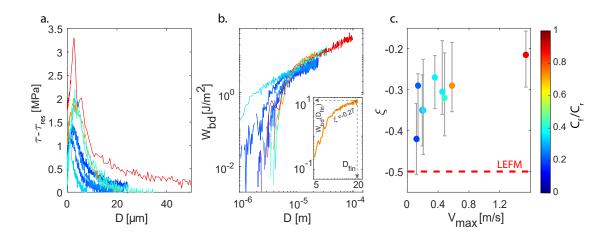


Figure 3: Slip-dependent breakdown work and the emergence of unconventional singularities. a. Evolution of  $(\tau - \tau_{\rm res})$  with D defining the fault's weakening for different events. The integration of these curves leads to the evolution of  $W_{\rm bd}$  with D for different  $C_{\rm f}$  (b). For each curve, the slope of the second branch of the evolution corresponds to a given value of singularity order. c. Evolution of estimated  $\xi$  values with peak slip velocity  $V_{\rm max}$ .

202 through:

$$W_{\rm bd}(D) = G_{\rm c} \left(\frac{D}{D_{\rm c}}\right)^{\left(\frac{1+2\xi}{1+\xi}\right)},\tag{5}$$

for large slip displacement  $D \gtrsim D_c$ . The values were found to range between -0.4 and -0.2 (Fig. 3c). Another possible route proposed by Brener and Bouchbinder (2021a) is to estimate the deviation  $\Delta \xi = \xi + 1/2$  of xi from the LEFM value -1/2 from the dependence of the breakdown energy in the distance  $r = x - x_{tip}$  from the crack tip. However, this requires prior knowledge of the fracture energy  $G_c$  and the process zone size  $x_c$ , which are difficult to constrain from experimental measurements.

# 4. Theoretical modeling of the kinematic fields around the rupture tip for unconventional singularity order

While the first increase of breakdown work with slip can be explained by 212 a slip-weakening behavior of the fault, the subsequent increase (power law of 213 1:0.6) is unexpected from the conventional theory of LEFM. If such a con-214 tinuous weakening stage controlled the dynamics of the rupture, stress fields 215 with a scaling  $\sigma \propto r^{\xi}$  should be observed behind the rupture tip, as expected 216 from theoretical studies (Brantut and Viesca, 2017; Brener and Bouchbinder, 217 2021b; Garagash et al., 2011; Viesca and Garagash, 2015), with the singular-218 ity order  $\xi$  different from the square root singularity. To further investigate 219 the dynamics of rupture, the temporal evolution of the strain perturbations 220 generated by the passage of the rupture front  $(\Delta \varepsilon_{xy}, \Delta \varepsilon_{xx})$  was compared 221 to the theoretical predictions obtained considering both a square root singu-222 larity (LEFM) and an unconventional singularity (Brener and Bouchbinder, 223 2021a). 224

For the LEFM theoretical prediction, the stress field perturbation around the rupture tip takes the following general form (for a detailed description please refer to Freund (1998); Anderson (2017)):

$$\Delta \sigma_{ij}(r,\theta) = \frac{K_{\rm II}}{\sqrt{2\pi r}} \Sigma_{\rm ij}^{II}(\theta, C_{\rm f}) \tag{6}$$

where  $K_{\text{II}}$  the stress intensity factor, and  $\Sigma_{\text{ij}}^{II}(\theta, C_{\text{f}})$  the angular variation function. Coordinates are expressed in the polar system with  $(r, \theta)$  respectively the distance from the crack tip and the angle to the crack's plane.

In the unconventional theory framework, the stress fields were derived from the elastodynamic equations assuming a steady-state rupture velocity. <sup>233</sup> The equations obtained present the following form:

$$\sigma_{\rm xx}(r,\theta) = \frac{4(\xi+1)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})} [\alpha_{\rm s}(1+2\alpha_{\rm d}^2-\alpha_{\rm s}^2)r_{\rm d}^{\xi}\sin(\xi\theta_{\rm d}) - \alpha_{\rm s}(1+\alpha_{\rm s}^2)r_{\rm s}^{\xi}\sin(\xi\theta_{\rm s})],\tag{7}$$

234

$$\tau(r,\theta) = \sigma_{\rm xy}(r,\theta) = \tau_{\rm min} + \frac{2(\xi+1)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})} [4\alpha_{\rm s}\alpha_{\rm d}r_{\rm d}^{\xi}\cos(\xi\theta_{\rm d}) - (1+\alpha_{\rm s}^2)^2 r_{\rm s}^{\xi}\cos(\xi\theta_{\rm s})]$$

$$\tag{8}$$

235

$$\sigma_{\rm yy}(r,\theta) = -\frac{4(\xi+1)\alpha_{\rm s}(1+\alpha_{\rm s}^2)K_{\rm II}^{(\xi)}}{\sqrt{2\pi}R(C_{\rm f})}[r_{\rm d}^{\xi}\sin(\xi\theta_{\rm d}) - r_{\rm s}^{\xi}\sin(\xi\theta_{\rm s})],\qquad(9)$$

where  $K_{\text{II}}^{(\xi)} = \lim_{r \to 0} \left( \frac{(2\sqrt{2\pi})}{(\xi+1)} r^{-\xi} \tau(r, 0^{+-}) \right)$  is the  $\xi$ -generalized stress intensity factor,  $\alpha_{\text{d}} = 1 - \left( \frac{C_{\text{f}}}{C_{\text{d}}} \right)^2$  and  $\alpha_{\text{s}} = 1 - \left( \frac{C_{\text{f}}}{C_{\text{s}}} \right)^2$ , where  $(C_{\text{d}}, C_{\text{s}})$  are respectively the P-wave and S-wave velocity, and  $R(C_{\text{f}}) = 4\alpha_{\text{d}}\alpha_{\text{s}} - (1 + \alpha_{\text{s}}^2)^2$  is the Rayleigh function.  $(r, \theta)$  are corrected for the distortion induced by the dynamic rupture velocity  $C_{\text{f}}$ , becoming  $\theta_{\text{d}} = \arctan(\alpha_{\text{d}}\tan(\theta))$ ,  $\theta_{\text{s}} = \arctan(\alpha_{\text{s}}\tan(\theta))$ and  $r_{\text{d}} = r\sqrt{1 - \left(\frac{C_{\text{f}}\sin(\theta)}{C_{\text{d}}}\right)^2}$ ,  $r_{\text{s}} = r\sqrt{1 - \left(\frac{C_{\text{f}}\sin(\theta)}{C_{\text{s}}}\right)^2}$ .

The displacement field related to the unconventional rupture phenomenon can be predicted by (Brener and Bouchbinder, 2021a):

$$u_{\rm x}(r,\theta) = \frac{2K_{\rm II}^{(\xi)}}{\mu\sqrt{2\pi}} \left[ 2\alpha_{\rm s}r_{\rm d}^{\xi+1}\sin[(\xi+1)\theta_{\rm d}] - \alpha_{\rm s}(1+\alpha_{\rm s}^2)r_{\rm s}^{\xi+1}\sin[(\xi+1)\theta_{\rm s}] \right]$$
(10)  
$$u_{\rm y}(r,\theta) = \frac{2K_{\rm II}^{(\xi)}}{\mu\sqrt{2\pi}} \left[ 2\alpha_{\rm s}\alpha_{\rm d}r_{\rm d}^{\xi+1}\cos[(\xi+1)\theta_{\rm d}] - (1+\alpha_{\rm s}^2)r_{\rm s}^{\xi+1}\cos[(\xi+1)\theta_{\rm s}] \right].$$
(11)

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The values of  $\xi$  used to describe the experimental curves were obtained through the measured evolution of  $W_{\rm bd}$  with D as discussed earlier (Brener and Bouchbinder, 2021a). The stress intensity factor was computed as (Eq. (5) from (Brener and Bouchbinder, 2021a)):  $K_{\rm II}^{(\xi)} = \frac{EW_{\rm bd}(D_{\rm fn})}{(1-\nu^2)f_{\rm II}(C_{\rm f})r_{\rm fn}^{(1+2\xi)}}$ , with  $E, \nu$ respectively the elastic modulus and Poisson's ratio, and  $f_{\rm II}(C_{\rm f}) = \frac{\alpha_{\rm s}}{(1-\nu)R(C_{\rm f})}\frac{C_{\rm f}^2}{C_{\rm s}^2}$ 

the universal function of rupture velocity. 250

### 5. Description of strain perturbations with theoretical predictions 251

We now compare the theoretical predictions to experimental strain and 252 displacement evolution of two different frictional ruptures presenting values 253 of  $\xi = -0.32, -0.27$ , and final values of  $W_{\rm bd}$  of 9.5 and 11 J/m<sup>2</sup>, respectively 254 (Fig. 3b). The values of singularity order were obtained as described in the 255 Results section, by computing the slope of the second branch of the  $W_{\rm bd}$  vs 256 D curve and using Eq. 5. 257

The above-mentioned comparison is presented in Fig. 4. Note that for both 258 models, i.e. LEFM and unconventional theory, the predictions of strain fail 259 ahead of the rupture tip. This is explained by the fact that the two models 260 assume a dynamic rupture driven along an infinite fault by a shear stress 261 equal to the residual stress. As such, they overlook any finite-size effects 262 emerging from the finiteness of the specimen size and the distance to the 263 applied boundary conditions. Moreover, please note that the measurement 264 location was chosen to be the closest possible to the fault plane (strain gauges 265 at  $\sim 1$  mm), to capture stress and displacement evolution close to the ones 266 occurring on-fault. However, this choice implies the likelihood of performing 267 measurements within the cohesive zone, expected to be for PMMA around 268 2-5 mm. The cohesive zone (indicated in Fig. 4a-d with the shaded grey area) 269 was excluded when performing the LEFM fits, given that this model assumes 270 conditions of small-scale yielding (dissipation zone small with respect to the 271 other length scales). 272



The experimental data were compared with the predictions of LEFM

 $(\xi = -0.5)$  inverting  $G_c$  from the best possible fit. The inversion and the minimization algorithm employed to obtain the best solution of  $G_c$  use simultaneously two strain components ( $\Delta \varepsilon_{xx}$ ,  $\Delta \varepsilon_{xy}$ ) following the method described in previous studies (Svetlizky and Fineberg, 2014) (Fig. 4).  $\Delta \varepsilon_{xx}$  and  $\Delta \varepsilon_{xy}$  are obtained by subtracting the initial strain from  $\varepsilon_{xx}$  and the residual strain from  $\varepsilon_{xy}$ .

The best fits output values of  $G_{\rm c}$  slightly different from the values of  $W_{\rm bd}$ 280 estimated through the integration of the slip stress curves. The LEFM pre-281 dictions do not deviate excessively from the experimental curves for either 282 event, showing an acceptable but not accurate description of the strain per-283 turbations for  $\Delta \varepsilon_{xx}$  and  $\Delta \varepsilon_{xy}$  (Fig. 4a-b). A stronger deviation is observed 284 for  $\Delta \varepsilon_{xy}$ , particularly in the case of  $\xi = -0.27$ , independently of the distance 285 from the rupture tip (Fig. 4b). In the second stage, predictions accounting for 286 the unconventional model were computed. The values of  $\xi$  and  $W_{\rm bd}$  measured 287 as described in the Results section were imposed. The unconventional model 288 returned, for the two events, satisfactory predictions of the evolution of  $\Delta \varepsilon_{xx}$ 280 and  $\Delta \varepsilon_{xy}$  (Fig. 4a,b). It can be noted that the greater the deviation from 290  $\xi = -0.5$ , the greater the disparities between LEFM and the unconventional 291 model (Fig. 4a,b). In addition, the prediction obtained for  $u_x$  (Fig. 4c,d) is 292 close to the experimental evolution in terms of magnitude. However, while 293  $u_{\rm x}$  evolution is similar within the first microns, the experimental data deviate 294 from the theoretical prediction far behind the rupture tip (Fig. 4c,d). The 295 model returned reasonable predictions of  $u_x$  for  $\xi=-0.32$ , and adequate ones 296 for  $\xi = -0.27$ . 297

298

Finally, we compare the experimental data to both models' theoretical

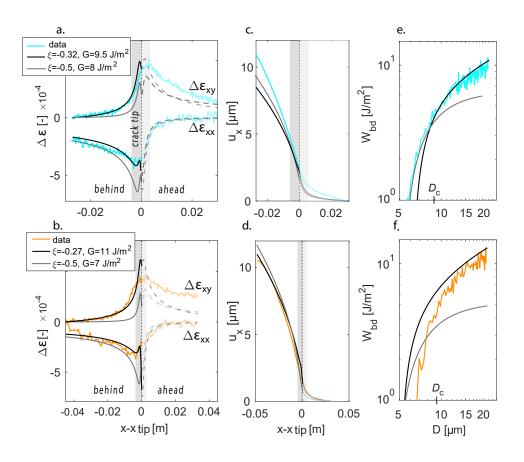


Figure 4: Strain and displacement field described by unconventional singularity for two different events (respectively top and bottom panels). a., b. Comparison of the measured strain perturbations  $\Delta \varepsilon_{xx}$  and  $\Delta \varepsilon_{xy}$  with the theoretical predictions considering: i) the estimated unconventional singularities respectively  $\xi$ =-0.32 (a) and  $\xi$ =-0.27 (b), and  $G = W_{bd}$  (in black) and ii) the LEFM conventional singularity  $\xi$ =-0.5 with  $G = G_c$  (the best fit)(in grey). c., d. Evolution of the material displacement  $u_x$  with predictions for unconventional and conventional singularity. e., f. Comparison of the experimental evolution of breakdown work with slip estimated at gauge location with theoretical predictions for unconventional theory (black solid line) and LEFM (grey solid line).

predictions of the evolution of breakdown work with slip behind the crack tip. 299 Starting from the stress evolution estimates computed for both LEFM and 300 unconventional model, the breakdown work was computed following Eq. 4. 301 Please note that neither of the two models is expected to describe the exper-302 imental evolution for small D, given that both of them rely on a small-scale 303 yielding condition. Concerning the overall evolution, LEFM predictions devi-304 ate in both quantity and temporal evolution from the experimental data. On 305 the contrary, the unconventional model provides a good prediction, partic-306 ularly for  $D > D_c$ , as expected from the unconventional theory (Fig. 4e-f). 307 These results highlight that while LEFM provides reasonable estimates of 308 fracture energy, the unconventional theory provides more coherent predic-309 tions of breakdown work evolution with slip when enhanced weakening is 310 observed. 311

### <sup>312</sup> 6. Flash heating as a possible weakening mechanism

These results provide the first complete evidence of unconventional stress 313 fields during the dynamic propagation of laboratory frictional rupture, caused 314 by continuous stress weakening behind the rupture tip. The observed un-315 conventional singularity orders could emerge, among others, from frictional 316 weakening mechanisms such as; thermal activation (Bar-sinai et al., 2014), 317 viscous friction (Brener and Marchenko, 2002), powder lubrication (Reches 318 and Lockner, 2010), flash heating (Molinari et al., 1999; Rice, 2006; Brantut 319 and Viesca, 2017), thermal pressurization (Rice, 2006; Viesca and Garagash, 320 2015). Among these, flash heating has been shown to be activated under 321 similar experimental conditions in Homalite (Rubino et al., 2017), and thus 322

could be the best candidate to explain the unconventional stress fields observed in our experiments. Moreover, the high slip rate measured near-fault promotes the activation of flash heating as previously shown (Molinari et al., 1999; Rice, 2006; Goldsby and Tullis, 2011). This agrees with the clear dependence of  $\xi$  values with maximum slip rate and rupture velocity observed in our events (Fig. 3c): higher  $V_{\text{max}}$  are associated with  $\xi$  values that deviate from the conventional value (-0.5).

Flash heating is activated when the fault slip velocity becomes higher 330 than a critical weakening slip velocity  $V_{\rm w}$ , causing mechanical degradation 331 of contact asperities during their lifetime (Rice, 2006; Goldsby and Tullis, 332 2011). The temperature reached at the asperities was computed trough 333  $T_{\rm asp} = T_{\rm amb} + \frac{1}{(\rho c_{\rm p} \sqrt{k\pi})} \tau_{\rm c} V \sqrt{t_{\rm c}}$  with  $T_{\rm amb}$  the ambient initial temperature, 334  $\tau_{\rm c}$  the stress acting on the single asperity,  $t_{\rm c}$  the lifetime of a contact,  $\rho$  the 335 bulk density,  $c_{\rm p}$  the bulk specific heat and **k** the thermal diffusivity. Under 336 our experimental conditions, the temperature increased with slip velocity, ex-337 ceeding the material's melting temperature  $(T_{\rm asp} > T_{\rm melting} = 160^{\circ})$  (Fig. 5a, 338 b), and indicating that melting of asperities probably occurred in our exper-339 iments (Rubino et al., 2017). We compared the evolution of  $W_{\rm bd}$  with D, 340 normalized respectively by  $G_{\rm c}$  and  $D_{\rm c}$ , with asymptotic solutions for flash 341 heating phenomena (Brantut and Viesca, 2017). 342

For  $D < D_c$  (small slip), the evolution of  $W_{bd}$  can be described by the asymptotic solution derived for adiabatic conditions (Brantut and Viesca, 2017):

$$W_{\rm bd} = \rho c (T_{\rm m} - T_{\rm amb}) w \sqrt{2\pi} \left(\frac{D}{V t_{\rm w}^A + D}\right)^2 \tag{12}$$

where  $t_{\rm w}^A = \rho c (T_{\rm m} - T_{\rm f}) / \tau_{\rm a} (\sqrt{2\pi}w) / V_{\rm w}$  (time required for a layer of thickness

 $\sqrt{2\pi}w$  to reach  $T_{\text{melting}}$ , w is the shear zone thickness (assumed here as 347 w = 4a with a the asperity size), and  $\tau_a$  is a normal stress dependent contact 348 shear stress at the origin of the change in temperature in the fault layer 349 (Fig. 5c). In presence of gouge along the interface,  $\tau_{\rm a}$  will correspond to 350 the macroscopic shear stress  $\tau_0$ . Along bare rock interfaces,  $\tau_a = \tau_c \frac{a}{\Delta L_{asp}}$ , 351 where  $\Delta L_{asp}$  is the average distance between two asperities (see Annex A for 352 details). Note that this model assumes a constant sliding velocity V. This 353 assumption looks fairly reasonable in our case, as the first part of the stress 354 weakening  $(D < D_c)$  occurs in a very short time window, during which V is 355 nearly constant. 356

For  $D > D_c$ , a second asymptotic solution considering the coupled elastodynamics and frictional motions of the propagating rupture can be used (Brantut and Viesca, 2017):

$$W_{\rm bd} = \tau_{\rm c} D_{\rm w}^{SP} \left(\frac{\mu V_{\rm w}}{3\pi \tau_{\rm a} C_{\rm f}}\right)^{(1/3)} \left(\frac{D}{D_{\rm w}^{SP}}\right)^{(2/3)} \tag{13}$$

where  $D_{\rm w}^{SP} = V_{\rm w} \alpha (\frac{\rho c (T_{\rm w} - T_{\rm f})}{\tau_{\rm a} V_{\rm w}})^2$  is a characteristic slip weakening distance. While this asymptotic solution is expected to describe the evolution of breakdown work at a larger seismic slip than the one observed in our experiments, this equation can still be used here because (i) heat diffusion at the scale of asperities is expected to control fault weakening when  $D > D_{\rm c}$  and (ii)  $\tau_{\rm a}$ increases with  $\tau_0$ , through the increase of  $\frac{a}{\Delta L_{\rm asp}}$  with  $\sigma_{\rm n}$ .

Assuming our experimental estimate of  $C_{\rm f}$ , this asymptote well describes the second branch of the evolution of  $W_{\rm bd}$  with D (power law with an exponent of 2/3, Fig. 5c). Such scaling is also observed at large slip for thermal pressurization in drained conditions, suggesting that this exponent is related to

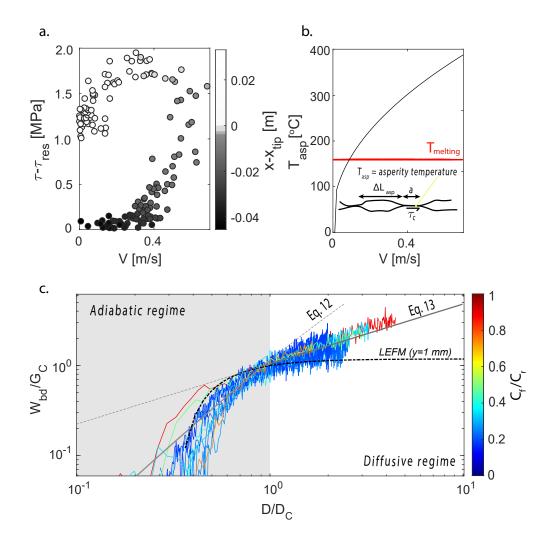


Figure 5: a. Evolution of local shear stress  $\tau$ , with slip velocity for one event. b. Temperature evolution with slip velocity at asperity scale compared with melting temperature of PMMA ( $T_{\rm m} = 160$ ). c. Slip dependence of breakdown work (curves are normalized respectively by  $G_{\rm c}$  and  $D_{\rm c}$ ).  $W_{\rm bd}$  evolution exhibits two power laws with exponents of 2 and 0.6. The experimental curves are all described by the asymptotic solutions related to an adiabatic regime for small D and a diffusive regime for large D (Brantut and Viesca, 2017). The dotted black line shows the expected evolution of  $W_{\rm bd}$  assuming LEFM at the strain gauges position.

diffusion mechanisms regulating the weakening of faulting during seismic slip
(Brantut and Viesca, 2017; Viesca and Garagash, 2015).

Importantly, an energy dissipation  $W_{\rm bd}$  greater than the fracture energy 372  $G_{\rm c}$  was already observed in Barras et al. (2020) for sliding interfaces whose 373 frictional behavior is described by a rate-and-state friction law. Despite this 374 excess, the rupture dynamics were well described by a conventional LEFM 375 analysis (with  $\xi = -0.5$ ). This was later justified by Brener and Bouchbinder 376 (2021b), who showed that ruptures along interfaces obeying rate-and-state 377 friction displayed a singularity  $\xi = -0.406 \simeq -0.5$ , which corresponds to the 378 lower end of our measurements. However, fault characteristics (e.g. rough-379 ness, fluid diffusivity, etc.) and external factors such as initial stress state 380 or on-fault temperature can alter the friction law that controls interface slip 381 (i.e. flash heating, thermal pressurization, and others) and change the sin-382 gularity observed near the rupture accordingly. In the case of flash heating, 383 the observed evolution of breakdown work with slip generates, for example, 384 a singularity order  $\xi = -0.25$  (Brantut and Viesca, 2017), which corresponds 385 to the higher-end exponents of Fig. 3. In our experiments, continuous val-386 ues of exponents  $\xi$  have been measured between  $\xi = -0.42$  (rate-and-state) 387 and  $\xi = -0.22$  (flash heating). This can be caused by the presence of a 388 population of contact asperities, each of which have a different size, expe-389 rience a different normal and shear stress, and reach thus a different value 390 of temperature and slip velocity during rupture (implying that not neces-391 sarily all contact asperities experience flash heating) resulting, on average, 392 in a smooth transition from rate and state frictional contact for the lower 393 slip velocities (nearly conventional,  $\xi = -0.5$ ) to flash heating for larger slip 394

velocities (unconventional,  $\xi = -0.25$ ).

### **7.** Implications and conclusions

These experimental results show that the continuous weakening activated 397 along the fault can modify the singularity order governing displacement and 398 stress fields around the rupture tip, inducing a slip and scale-dependent 399 breakdown work, rather than a constant one. Moreover, this work high-400 lights from an experimental point of view that frictional rupture analysis in 401 the linear elastic fracture framework might not always be sufficient when fric-402 tional weakening mechanisms occur away from the rupture tip. Importantly, 403 as long as the residual stress does not reach a steady-state value far from 404 the rupture tip, as happens for thermal weakening processes, the singular 405 fields will hardly recover the conventional square-root singularity, indepen-406 dently of the rupture size. One could nonetheless assess the dynamics of 407 such earthquakes, building on a Griffith criterion adapted to unconventional 408 singularities (see Eq. (7) (Brener and Bouchbinder, 2021a)). However, this 400 would involve both the fracture energy and the cohesive zone size that of-410 ten depends on the structural problem (loading conditions, fault geometry). 411 Furthermore, the activation of thermal mechanisms depends not only on the 412 rupture characteristics such as crack velocity but also on ambient conditions 413 (such as initial temperature) and possibly slip history controlling asperity 414 roughness and strength. As a result, both rupture dynamics and fault weak-415 ening are expected to be governed by fault geometry and rheology and may 416 vary depending on the natural environment. 417

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Our new results highlight the difficulty in *a priori* estimating the relevant

parameters governing the dynamics of the seismic rupture, expected to control the final rupture length (earthquake size). One may legitimately wonder
whether theoretical models will be able to capture these complex behaviors,
or whether numerical simulations, as proposed in recent studies, will be required instead (Lambert and Lapusta, 2020).

However, together with the recent development of the unconventional singularity theory (Brener and Bouchbinder, 2021a), our results open the door for
a better understanding of the rupture dynamics and energy budget of natural
earthquakes, through the possible evaluation of the equations of motions for
unconventional rupture phenomena.

### 429 Appendix A.

For the estimate of  $\Delta L_{\rm asp}$ , a simplified description of the interface roughness is used, considering only one population of asperities of typical size a and height h, separated by an average distance  $\Delta L_{\rm asp}$ . The number of asperities was computed considering the following relationship  $\frac{A_{\rm r}}{A_{\rm n}} = \frac{G_{\rm c}}{G_{\rm PMMA}}$ (values of  $G_{\rm PMMA}$  coming from Marshall et al. (1974)), which lead to  $N_{\rm 2D} =$  $\frac{G_{\rm c}}{G_{\rm PMMA}} \frac{A_{\rm n}}{\pi D_{\rm asp}^2/4}$ .

Assuming an equidistant spacing between the asperities in both direc-436 tions, the total number of asperities can be written as  $N_{2D} = N_x N_y$  with  $N_x$ 437 and  $N_y$  respectively the number of rows and columns of asperities located in 438 the x and y directions. The latter numbers are related to the interface dimen-439 sions through  $\frac{N_x}{N_y} = \frac{L_f}{W_f}$ , with  $L_f$  and  $W_f$  respectively the length and width 440 of the interface. Considering this as a 1-D problem, the number of asperities 441 along the interface in the slip direction reads  $N_{1D} = \sqrt{N_{2D} \frac{L_f}{W_f}}$ . The distance 442 between two asperities could then be estimated as  $\Delta L_{asp} = \frac{L_{f} - N_{1D} D_{asp}}{N_{1D} + 1}$ . The 443 contact stress at the origin of the change in temperature of asperities during 444 the seismic slip can be expressed as  $\tau_{\text{eff}} = \tau_{\text{c}} \frac{a}{\Delta L_{\text{asp}}}$ . 445

### 446 Appendix B.

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