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Definite associative anaphora in informal spoken Czech: A corpus-based study

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The present study, couched within the framework of Löbner's Concept Types and Determination theory (CTD) and relying both on corpus data and the questionnaire method, attempts to provide some evidence for the claim that there is a growing tendency in contemporary informal spoken Czech to use the emerging definite article *ten* with definite associative anaphora (DAA). Just like its Western Slavic cognates, the distance-neutral demonstrative *ten* appears to manifest characteristics typical of definite articles across languages (cf. Ortmann, 2014; Czardybon, 2017; Dvořák, 2020). One of these characteristics is the spreading of *ten* to contexts situated between pragmatic and semantic definiteness on Löbner's definiteness scale (Löbner, 1985; 2011). DAA is part of these contexts. However, as the present study shows, marked differences exist between the three sub-types of DAA as defined by Löbner with regards to their willingness to accept *ten*. These are, respectively, the "part-whole," the "relational" and the "situational" sub-type. Other factors must also be taken into account, such as the speaker's emotional involvement and competing interpretations of the occurrence of *ten*.

Key words: corpus linguistics, definite associative anaphora, emerging definite article, grammaticalization, informal spoken Czech, *ten*

Klíčová slova: gramatikalizace, korpusová lingvistika, neformální mluvená čeština, ten, určitá asociační anafora, vznikající určitý člen

1 Theoretical background²

The Concept Types and Determination theory (CTD), formulated by Löbner (1985; 2011), is a semantic approach to definiteness. In this theoretical framework, definiteness is considered a semantic property of certain, namely individual and functional, nouns. There exist two other types – sortal and relational nouns. These display an a priori indefinite functioning. In addition to the definite/indefinite distinction, another distinction is crucial to CTD – the one between relationality and non-relationality. Thus, relational and functional nouns, contrary to sortal and individual nouns,

I would like to thank here Michal Křen from the Institute of the Czech National Corpus for kindly providing me with the transcriptions of the texts of ORTOFON v1, as well as Matthieu Quignard from the CACTUS research group at the ENS de Lyon for helping me to convert the texts into the textometric software TXM. My thanks also go to my ten consultants for Czech.

This section is, to a large extent, taken and adapted from Dvořák (2020, pp. 297–299).

contain an inherent feature of relationality [+R]. Yet there is a substantial difference between relational and functional nouns. Functional nouns are nouns "[...] involving one argument: the possessor. The value for a given argument, in a given context of utterance, constitutes the uniquely determined referent of the noun" (Löbner, 2011, p. 282). Terms like author and perpetrator are good representatives of this class. Typical examples of relational nouns are the kinship terms *uncle* and *cousin*. For functional nouns, the possessor (anchor) suffices to trigger denotative uniqueness. As for relational nouns, uniqueness does not rely solely on the possessor but also on contextual information. Many nouns can give rise to more than one concept type: the noun church, for example, gives rise to a functional concept if we talk about the church of a particular place and if the place takes the form of an explicit or implicit possessive argument (cf. the church of my neighbourhood). But it can also yield an individual concept if no argument is present (cf. saying The church was closed vesterday when talking about the church of one's neighbourhood, identifiable by the hearer independently of any associative link).3 Switching between such meaning variants permanently established within the language system is referred to as level 0 shifts by Löbner (2011).4 Finally, the noun can also yield a sortal concept, as in Lyon boasts many splendid churches.⁵ In this case, we are dealing with phenomena called level 1 shifts by Löbner (2011), whereby semantic variants are regularly derived from lexicalized meanings but are not lexicalized since they are too volatile.

Functional and individual nouns constitute semantic definiteness. Inherently indefinite nouns (sortal and relational) can also lend themselves to definite uses when directly drawing on the context. This phenomenon is referred to as pragmatic definiteness. In their definite functioning, sortal and relational nouns have undergone a level 2 shift. This can be seen in the case of *my cousin*, roughly paraphrasable as *the cousin of mine I told you about*. The progression from pragmatic to semantic definiteness can be captured on a scale. This scale contains a buffer zone corresponding to the phenomena at the interface of both types of definiteness. Definite associative anaphora (DAA) is part of these phenomena. The scale below was proposed in Dvořák (2020).

The borderline cases between pragmatic and semantic definiteness (in bold in the scale) include 1) sortal and relational nouns switched into a definite use via the presence of a clause or a prepositional phrase, 2) the different types of DAA and

Individual nouns "assign a unique referent to every appropriate context of utterance" (Löbner, 2011, p. 281).

⁴ These variants are, in fact, regarded as separate lexicalized meanings of the noun by Löbner.

Sortal nouns make up sortal concepts. "In a given context of utterance, there may be zero, one or more entities the noun denotes." (ibid., p. 280)

deictic sortal nouns (Can you see that car?) < anaphoric sortal nouns (A man came in. This man looked familiar to me) < sortal nouns with complements establishing uniqueness (the woman whom I married) < situational DAAs (Yesterday, I went to the cinema but the film was a flop) < relational DAAs (This novel is great. I know the author personally) < complex individual concepts (the biggest rainforest in the world) < part-whole DAAs (I have bought a new car recently. The engine is already broken) < lexical individual and functional nouns (the Prime Minister, my mother) < proper names (Jack) < personal pronouns (I, me)

Figure 1: Definiteness scale based on Czardybon's 2017 model and reflecting work with Czech data in Dvořák (2020, p. 299).

3) sortal and relational nouns made definite via the presence of modifiers such as ordinals and superlatives. As frequently pointed out in the literature (cf. Himmelmann, 1997; Löbner, 2011; Carlier – De Mulder, 2011; Hofherr – Zribi-Hertz, 2014), the contexts belonging to the buffer zone are particularly relevant to the assessment of the grammaticalization process in which adnominal demonstratives turn into definite articles. Indeed, it is generally assumed that emerging definite articles spread from the domain of pragmatic definiteness (to the left of the buffer zone) to the domain of semantic definiteness (to the right of the buffer zone), and that the grammaticalization process is considered accomplished once the former demonstrative systematically appears in contexts of semantic definiteness.⁶ Yet entering the contexts situated between the two types of definiteness and becoming common in these contexts are key moments in the process, and a demonstrative which has reached this stage can be regarded as advanced on its way to becoming a definite article. The reason for this is the fact that genuine demonstratives are banished from these contexts.

Dvořák (2020) provided some additional evidence for the generally shared assumption that informal (spoken) Czech is developing a definite article from the adnominal *ten* (Mathesius, 1926; Vey, 1946; Krámský, 1972; Meyerstein, 1972; Orlandini, 1981; Adamec, 1983; Berger, 1993; Trovesi, 2002; Souček, 2011; Machač – Zíková, 2014; Czardybon, 2017; Zíková, 2017). The present study, using the same corpus data, intends to delve further into the topic by focusing on the presence of *ten* with DAA.

The scale also reflects the tendency of the represented expressions to combine with definite articles. Thus, almost all languages stop using definite articles from a certain point in cases of semantic definiteness, where uniqueness is so obvious that its marking appears as redundant (with proper nouns, typically).

2 Definite associative anaphora

In the case of DAA, the definiteness of the head noun is triggered by "a hidden link or anchor which has to be introduced earlier" (Löbner, 1998, p. 1). Given the fact that the anchor needs to be retrieved from the context, Löbner (2011) makes the case that the head noun is subject to a level 2 semantic shift. DAA is situated between pragmatic and semantic definiteness, since it combines properties of both. This hybrid status is summed up by the following quote from Ortmann (2014, p. 309):

DAAs combine properties of pragmatic uniqueness (by virtue of anaphoricity) and semantic uniqueness (by virtue of involving an FN [= a functional noun]). It is therefore natural for there to be considerable variation in the use of articles.

Schwarz (2009), who uses Clark's term "bridging" (1975), distinguishes two types of DAA, one based on a part-whole link and the other based on a simple relationship between the head noun and the anchor, as in (2). In the part-whole type, the entity denoted by the head noun is part of the entity denoted by the anchor, as in (1):

- (1) We arrived in the village. *The church* was a sumptuous baroque edifice. (invented example)
- (2) When I got on the bus, the driver didn't even say hello to me. (invented example)

Löbner then adds to this distinction a third type, relying on a situational link between the head noun and the anchor. This last – situational – type is not explicitly mentioned in Löbner's paper on DAA (1998), but Czardybon (2017, p. 66) reports that its existence was communicated to him by the author personally. As an example of situational DAA, see the sentence in (3), taken from Czardybon (2017, p. 71):

(3) After the cinema they carried on talking about the film.

One substantial property differentiates the first two types from the third one. While the head of a part-whole or a relational DAA is an inherently relational functional noun, the head of a situational DAA is a non-relational noun shifted into a relational concept. Thus, the noun *film* in (3) does not contain an inherent seme of relationality [+R], but only acquires it as the result of a level 1 semantic shift:

Both types of DAAs have in common that the head of the DAA is represented by an inherently relational noun. According to Löbner (p.c.), there are DAAs with a [+R] noun as head [...] and 'situational DAAs' with a [-R] noun as head. (Czardybon, 2017, p. 66)

The fact that the three sub-types of DAA tend to differ with regard to definiteness marking has been commented on both by Schwarz and by Czardybon. Schwarz points out that in German, where the definite article *der* can be contracted with certain prepositions, the contracted form is preferred (if not regarded as the only correct one) in the realm of part-whole DAAs. This contrasts with the relational type, with which the non-contracted form is preferred (Schwarz, 2009, pp. 34–40). This supports the claim that the part-whole type is closer to pure semantic definiteness than

the relational type. Concerning the situational type, data suggest it is even further away from the pole of semantic definiteness than the relational type. In his comparative overview of definiteness marking in Slavic languages, Czardybon makes the case that in Czech, only the situational DAA allows for the presence of *ten*:

The Czech data shows that situational DAAs can be considered as a separate class of DAAs since only here do we find the possibility of the presence of *ten* in contrast to part-whole and relational DAAs with which *ten* is absent in Czech. (Czardybon, 2017, p. 90)

I believe to have already refuted – at least partly – this claim in Dvořák (2020, p. 315), where I concluded that the analyzed data contained 14 occurrences of *ten* of the relational type. In the present study, even more evidence will be provided for the assertion that the article-like *ten* can appear with the other types of DAA as well, even though the status of *ten* is rather difficult to evaluate when it comes to partwhole DAAs

3 Corpus study

Dvořák (2020) used ORTOFON v1, a balanced corpus of informal spoken Czech, as the major source of data. The assumption underlying both studies is that the spoken variety of Czech is the one where the grammaticalization process of *ten* reaches its highest stages. This assumption is supported by empirical data: in their frequency dictionary of Czech, Čermák and Křen (2004) write that *ten* (including its adnominal as well as pronominal uses) is the 6th most frequent lemma in a corpus consisting of written Czech only; in 2011, the same authors published another frequency dictionary (Čermák – Křen, 2011), where informal spoken language was taken into account (the spoken sub-corpus made up one fourth of the data). As a direct consequence of this, the lemma *ten* got to the position of the 3rd most frequent lemma. In the meantime, Frekvenční slovník mluvené češtiny (The Frequency Dictionary of Spoken Czech) was published by a team of authors led by Čermák (2007). This dictionary relies exclusively on informal and semi-formal spoken language data collected in Prague. Its conclusion is striking, as *ten* appears in the 1st position.

The corpus was created by the Institute of the Czech National Corpus in Prague and issued in 2017. The data was collected in the period stretching from 2012 to 2017. It contains a total of 1,236,508 positions (1,014,786 orthographic words) from 624 different speakers from all regions of the Czech Republic in 332 recordings. The corpus web page reads: "ORTOFON is also the first corpus to be fully balanced regarding all the basic sociolinguistic speaker categories (gender, age group, level of education and region of childhood residence). The corpus is lemmatized and morphologically tagged in the same manner as the ORAL corpus, the transcription is linked to the corresponding audio track." (Kopřivová et al., 2017)

In the period between 1988 and 1996. The ranking of the lemmas followed absolute frequency alone. Both adnominal and pronominal occurrences were taken into account.

3.1 Method

The corpus data were annotated in the TXM software (Heiden, 2010). The following query, formulated by Dvořák (2020), was used:

```
[pos="PRO\.DEM" & lemme!= "takov.* "][pos!= "VER|CON|PRE|NOM|PCT"] \{0,3\}[pos="N.* " & word!= "vole"]^9
```

"For the annotation and analysis, a sample was created by keeping every tenth occurrence of the initial concordance list. Of this sample, the first 1,000 occurrences of the adnominal *ten* were annotated and analyzed. A system of predefined categories was used, distinguishing between the type and the subtype of the use of *ten* [...]" (Dvořák, 2020, p. 303). Attributing a particular value in the annotation process quite often turned out more complex and delicate than expected, as part of the occurrences of *ten* could be accounted for in two different ways. When this happened, I always tried to retain the more plausible interpretation. Regardless of this, 8.1% of the 1,000-occurrence sample were marked as "ambiguous" (Dvořák, 2021b). Unless stated otherwise, all the examples mentioned in this study are taken from ORTOFON v1.

3.2 Results

The sample contained 54 occurrences of DAA. This number is higher than the one given in Dvořák (2020), where it only amounted to 47. To justify this rectification, suffice it to say that the way in which the corpus data was analyzed has evolved to some extent since the publication of the previous study. However, as discussed below, regarding some of the occurrences as cases of DAA is potentially controversial. Among the 54 occurrences, 34 seem to belong to the situational sub-type (33 in Dvořák (2020)), while 18 seem to belong to the relational sub-type (14 in Dvořák (2020); see Table 1 below). Only 2 occurrences may, under certain conditions, be associated with the part-whole type, even though this type was not identified at all in Dvořák (2020).

Even though these results must be considered with caution, the situational type turns out to be the most represented. The difference is statistically significant according to the log-likelihood test. The frequencies of the situational and the relational type and those of the situational and the part-whole type were compared. The module "2 words in 1 corpus" of the Corpus Calculator on the Czech National Corpus

Other definite demonstratives were also included in the query so that the relative frequency of all demonstratives could be compared to the relative frequency of *ten* alone. Furthermore, measures had to be taken to eliminate false positives such as the indefinite demonstrative form *takový* ('such') and the exclamation *ty vole* ('dude, man'), where *ty* ('you') is a personal pronoun.

DAA sub-type	No. of occurrences
Situational	34
Relational	18
Part-whole	2
Total	54

Table 1: Numbers of occurrences of *ten* analyzed as cases of the three sub-types of DAA in the 1,000-occurrence sample from ORTOFON v1.

website was used. In both cases, the two frequencies were related to the whole of the 1,000 occurrences. The score 5.1353 (p=0.02344) was obtained for the first difference and the score 34.9797 (p<0.001) was obtained for the second difference. ¹⁰ Moreover, the general picture is only partly in compliance with Czardybon's (2017, p. 90) statement, according to which the situational type alone can appear in colloquial Czech.

3.3 Discussion

The sentences (4), (5) and (6) are of the situational type:

- (4) S1: jak. vlastně. děl-á-š aby do-PRS-2SG that.N.ACC.SG how MOD that.CONJ+AUX.COND ses REFL+AUX.PST.2SG vlastně . děl-á-š? uzdraven-í. jak process.NOM.SG healing-GEN.SG how that.N.ACC.SG MOD do-PRS-2SG zakukl-í-m ček-á-m MOD pupate-FUT-1SG REFL and wait-PRS-1SG until from ten.F.GEN.SG kukl-v .. se vylíhn-e zdrav-ý člověk pupa-GEN.SG REFL emerge-FUT.3SG healthy-M.NOM.SG person.NOM.SG
 - 'S1: how do... like how do you do that to... the healing process... how do you actually do that?'
 - 'S2: well, I pupate and then I wait until a healthy person emerges from the pupa'
- (5) pak jsme byl-i ještě jed-nom výlet-u MOD and then AUX be.PST-1PL.M still one-M.LOC.SG trip-LOC.SG on džíp-ama šílen-á no to isme ie-l-i naprosto MOD AUX go-PST-1PL.M jeep-INS.PL crazy-F.NOM.SG completely

¹⁰ See https://www.korpus.cz/calc/. The difference is statistically significant on the 0.05 level of significance.

cest-a teda von ieště *ten* Maročan still ten.M.NOM.SG road-NOM.SG MOD and he.SG Moroccan-NOM.SG schválně prostě najíždě-l písečn-v on purpose MOD drive-PST.3SG.M on ten.F.ACC.PL sand-F.ACC.PL dune-ACC.PL 'and then we went on a trip... well, we went there in jeeps; a totally crazy road; plus the Moroccan guy would... he would really like drive with us on the sand dunes on purpose'

- (6) S1: nahoře nad tím byl-y <u>tak obrovitánsk-ý</u> upstairs above that.N.INS.SG be.PST-3PL.F so gigantic-F.NOM.PL pavučin-y cobweb-NOM.PL
 - S2: jako že ne-vysáva-l-o jo? se rok-y tam MOD REFL year-ACC.PL NEG-vacuum-PST-3SG.N there MOD a) ne ten týpek říka-1 že on he.NOM ten.M.NOM.SG guy.NOM.SG say-PST.3SG.M that no uděla-l-i ti pavouc-i spider-NOM.PL that.N.ACC.SG make-PST-3PL.M ten.M.NOM.PL za hodin-u in hour-ACC.SG
 - b) ne ten týpek říka-l že on he.NOM ten.M.NOM.SG guy.NOM.SG say-PST.3SG.M that no pavouc-i uděla-1-i za hodin-u spider-NOM.PL that.N.ACC.SG make-PST-3PL.M in hour-ACC.SG

(Dvořák, 2020, pp. 313–314)

When focusing on the situational type, one phenomenon struck me as interesting. Unlike within the other two types, where the anchor establishing the uniqueness of the head noun could be pinpointed as a particular NP, within certain cases of the situational type, the argument took the form of the afore-mentioned situation in its totality. Therefore, retrieving the argument by the receiver of the utterance often relies here on a discourse deixis mechanism (Himmelmann, 1996, p. 224), as can be seen in (3):

(3) After the cinema they carried on talking about the film. They liked the actors.
after the cinema (= after going to the cinema) → the film = the film of the situation of going to the cinema

This analysis also works for (4): 'the pupa of the pupating situation/process.' In (6), more interpretation schemes seem possible: 'the spiders of the particular cobwebs / the spiders of the described situation.' In (5), the NPs the Moroccan guy and the sand dunes can be interpreted as the Moroccan guy and the sand dunes of the afore-men-

^{&#}x27;S1: there were such gigantic cobwebs upstairs'

^{&#}x27;S2: like nobody had vacuum-cleaned there for years, right?'

^{&#}x27;S1: no the guy said the spiders had made that within a single hour'

tioned trip. None of the head nouns in (3) to (6) are inherently functional concepts; the [+R] trait thus results from a level 1 semantic shift.

As regards the relational type, Dvořák (2020) comments on the following example:

(7) já jsem jí říka-l-a že už to I.NOM AUX her.DAT tell-PST-1SG.F that that.N.ACC.SG already pů-jd-e m-á úplně sam-é když have-PRS.3SG completely ten.N.ACC.SG same-N.ACC.SG if FUT-go-3SG studovat bakalář-e bude to mít study.INF bachelor's-ACC.SG and AUX that.N.NOM.SG have.INF takov-ou větš-í váh-u než such-F.ACC.SG bigger-F.ACC.SG weight-ACC.SG than ten.F.NOM.SG přitom [...] náročnost vocational school-NOM.SG ten.F.NOM.SG difficulty.NOM.SG yet je stejn-á si mysl-í-m jakoby be.PRS.3SG same-F.NOM.SG think-PRS-1SG MOD

'so I told her that in the end it'll be the same thing if she does <u>a bachelor's degree</u> [...] it will sort of have more weight than the vocational school while **the demands** are the same I think hour'

The noun *náročnost* ('difficulty') is an inherently relational-functional noun since it calls for an argument triggering its denotative uniqueness (*the difficulty of something*). Let us now consider these two examples:

- můj .. telefon v chodb-ě ... (8) ale iá m-á-m but I.NOM have-PRS-1SG my.M.ACC.SG phone-ACC.SG in corridor-LOC.SG tak na tu kdvbvste pevn-ou link-u .. MOD fixed-F.ACC.SG line-ACC.SG so on this.F.ACC.SG if.CONJ+AUX.COND.2PL vám řek-n-u call-PTC.SG.M this.N.ACC.SG you.DAT tell-FUT-1SG ten.N.ACC.SG number-ACC.SG 'but I have my phone in the corridor... I mean my landline phone... so if you could call me there? I'll tell you the number'
- modřin-a je [...] konečně si all-F.NOM.SG bruise-NOM.SG be.PRS.3SG there finally REFL read-FUT.3SG že je [...] těch lék-ů \mathbf{Z} that that.N.NOM.SG be.PRS.3SG from ten.M.GEN.PL drug-GEN.PL S2: no to vždycky MOD I.NOM REFL always read-PRS.1SG ten.M.ACC.PL nežádouc-í účink-v effect-ACC.PL side-M.ACC.PL

'S1: she's all covered in bruises [...] there, she'll finally read that it's because of the drugs' 'S2: yeah, well, I always read the side effects'

The situation of (8) is analogous to that of (7) – to \check{c} islo is construed as the number of the previously mentioned landline telephone. In (9), the interpretation is a bit more complex as it relies on a series of inferences. Indeed, the lexical compound side effects constitutes a functional concept. However, the argument to be retrieved does not correspond exactly to the noun complex těch léků ('of the drugs'), mentioned in the text. This interpretation is excluded by the presence of the adjunct *vždycky* ('always'). In the paradigm of generative grammar, the term "quantifier scope/domain" is frequently used for cases like this (cf. Fodor – Sag, 1982; Schwarz, 2009). Here, the domain of the adjunct extends to all the situations under its scope, i.e., those where the speaker is about to start a drug therapy: every time, before starting to take a drug, I become acquainted with the side effects of this drug. Therefore, tv nežádoucí *účinky* ('the side effects') is subject to a distributive reading where its reference is fixed separately for each situation within the quantifier scope. I make the case here that the DAA reading of the relational type is the only possible one. Nevertheless, in order for the way in which language users interpret the utterance to become obvious, spelling out the inferences is required:

The drugs that X takes have side effects. Yet all drugs have side effects. Consequently, every time, before starting to take a drug, I read the side effects of that drug. 11

With a couple of occurrences, I hesitated between the relational and situational type:

(10)	on he.NOM	totiž since	uděla-l make-PST.	3SG.M	obrovs	k-ou ous-F.A0	CC.SG	dír ho	-u le-ACC.SC	do into
	svět-a world-ACC	C.SG	právě precisely	tím that.N.I	NS.SG	že that	do into	<u>těc</u> ten	<u>h</u> i.F.GEN.PI	
	rozvojov-ý developing		<u>zem-í</u> PL count	ry-GEN.F	1	odáva-l l-PST.35	SG.M		n-ý eap-F.ACC	.PL
	bot-y shoe-ACC.	typ- PL type	-u e-GEN.SG	těch ten.M.Gl		dřevák-ů clog-GE		a and	nejak-ých some-M.C	
	takov-ých such-M.GF		jednoduch- simple-M.C	,	sandál- sandal-	ů GEN.PL	kter- whice	_	.ACC.PL	si REFL
	ty ten.M.NON	M.PL	<i>lid-i</i> people-NO		h-l-i -PST-3P	L.M	dovolit afford.			
'he actually put a dent in the universe precisely by selling cheap shoes <u>into the developing</u> countries, cogs, that kind of simple sandals and such like, that the people could afford'										

(11) já m-á-m.. nedůvěr-u.. v cel-ou <u>naš-í</u>
I.NOM have-PRS-1SG distrust-ACC.SG in entire-F.ACC.SG our-ACC.SG

_

One of the reviewers also observed that the presence of ten in (8) and (9) is supported by the fact that the NP appears in a right dislocation. I thank them for this very relevant observation.

```
politik-u
                   ale
                          hlavně
                                    možná
                                               ani ne tak
                                                              v tv
politics-ACC.SG
                   but
                          mainly
                                    perhaps
                                               not so much
                                                              in ten.M.ACC.PL
                             to
people-ACC
              be.PRS.3SG
                             that.N.NOM.SG
                                               that.N.INS.SG
                                                               what.ACC
mně
          podáv-a-jí
                             médi-a
          present-PRS-3PL
me.DAT
                             média-NOM.PL
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Both in (10) and in (11), the DAA noun is *lidi* ('people'). Given its general meaning and its vague extension, this noun easily adapts to the context where it is used. However, is it possible to regard this noun as inherently relational or, put in other terms, does a relational reading count among its permanent "meaning variants" (Löbner, 2011, p. 310)? Such a reading seems more or less acceptable in (10), where the noun *lidé* ('people') lends itself to the interpretation of *obyvatelé* ('inhabitants') – *the people of the developing countries*. On the other hand, it does not seem possible in (11), where *lidé* is understood as *the actors of the political system*. Unlike the former variant, the latter is entirely dependent on discourse and is not inscribed within the system. Therefore, (10) was considered a relational DAA, whereas (11) was assigned to the situational type.

The corpus data also contain one occurrence which can be analysed as a case of relational DAA, even though it is, strictly speaking, cataphoric (the endophoric expression precedes the anchor). Indeed, the inherently relational semantics of *rozdíl* ('difference') usually calls for a prepositional argument introduced by *mezi* ('between'):

(12) ježiš uč-í-š studuj-e-š češtin-u tak mus-í-š vidět MOD teach-PRS-2SG study-PRS-2SG Czech-ACC.SG so must-PRS-2SG see.INF rozdíl . kysel-ý. navinul-ý ten ten.M.ACC.SG difference.ACC.SG sour-M.NOM.SG tangy-M.NOM.SG and 'for God's sake, you teach you study Czech, so you must feel the difference: sour and tangy'

The following two examples may be analysed as representing the part-whole subtype of DAA, even though this analysis is somewhat controversial:

(13) S1: nech-á-š bejt .. zrcátk-o leave-FUT-2SG that.N.ACC.SG be.INF ten.N.ACC.SG rear-view mirror-ACC.SG S2: [v] ponděl-í vyměn-í ten Monday-ACC.SG REFL change-FUT.3SG ten.M.NOM.SG kryt bud-e vyřízen-ý AUX.FUT-3SG that.N.NOM.SG solved-N.NOM.SG cover-NOM.SG and

^{&#}x27;I distrust our <u>entire political representation</u> but, most importantly, not so much **the people** as such, it's [more] about what the media present to me'

'S1: you'll leave the rear-view mirror the way it is?'

'S2: on Monday, I'll get the cover changed and the thing will be solved'

(14) já isem byl-a totiž podívat I.NOM AUX.PST.1SG REFL be.PTCP-SG.F actually see.INF even úplně náhodou tom byt-ě .. tom ten.M.LOC.SG flat-LOC.SG completely by accident ten.M.LOC.SG in jejich nov-ým theirs-M.LOC.SG new-M.LOC.SG jakože .. parádn-í .. no m-a-i to have-PRS-3PL that.N.ACC.SG fabulous-N.ACC.SG MOD MOD jakože uzpůsoben-í těch pokoj-ů todle MOD lavout-ACC.SG ten.M.GEN.PL room-GEN.PL MOD and 'cause I went to see the flat completely by accident, I mean their new flat

well, it's really like... fabulous like the layout of the rooms and stuff'

In (13), kryt ('cover') is an inherently relational noun. Moreover, a cover is by definition part of the object it covers. Thus, if (13) is a case of DAA, the only acceptable interpretation appears to be one of the part-whole type. In the light of Czardybon's (2017, p. 90) conclusion, I first tried to exclude this hypothesis. It would be possible to postulate an emotional reading for (13)¹² either if the referent were first introduced without ten and then taken up by an expression with ten, or if the entire sentence were emotionally marked as in (14). This would correspond to Šimík's (2015)¹³ "pragmatic discourse anaphora," as the NP would belong to pure semantic definiteness. Yet this reading does not hold here because the NP occurs only once in the conversation. Furthermore, it seems that a recognitional reading cannot be completely ruled out: 'the cover we talked about the other day / the type of covers you find on rear-view mirrors.' In this scenario, kryt ('cover') would be a sortal noun and its reference would be a matter of pure pragmatic definiteness. Consequently, it would not at all rely on the associative link between the mirror and the cover. I presume that one of the reasons why the recognitional reading is possible is that the associative link is not very solid in the speakers' universe of discourse. Needless to say, the link is more difficult to circumvent when it is more firmly established in speakers' minds (cf. the one between a car and its engine). In (13), a recognitional reading is all

Emotional (affective) readings are those where the demonstrative does not (directly) participate in the identification of the referent, since it does not modify the extension of the head noun (Mathesius, 1926; Adamec, 1983; Czardybon, 2017; Dvořák, 2020).

¹³ See also Adamec (1983).

the more plausible as speaker 1 reminds speaker 2 of a recent incident when the car of the latter was vandalized. Despite this alternative interpretation, a part-whole DAA reading seems perfectly conceivable.

The same conclusion can be made for (14). The noun *mistnosti* ('rooms') indeed has a relational functional meaning among its semantic variants (cf. *the rooms of my flat*). At the same time, its referent has not been introduced into the conversation earlier and the interlocutor is not in a position where he could identify it via shared knowledge. However, given the generally emotional and colloquial tone of the utterance – cf. the two occurrences of the colloquial disjunct *jakože*, the subjective and colloquial adjective *parádní* ('fabulous'), the exclamative sentence modality – *ten* could also mark affectivity, hence representing an emotional use.

4 Questionnaire study

Regardless of its countless advantages, working with language corpora also has its limits. These limits lie, first and foremost, in the very nature of corpus data, the originators of which cannot be reached and questioned about their choices. Furthermore, it is not possible to conclude that a language system does not contain a particular phenomenon by relying solely on the absence of this phenomenon within a particular data set. Last but not least, given the size of the corpus material, it was not conceivable to include in this study all cases of DAA, i.e., also those without *ten*. For all these reasons, I found it judicious to complete the corpus data with a questionnaire distributed to ten native speakers of Czech.

4.1 Participants, material and procedure

The group of consultants consisted of five women and five men. Most of them were born at the beginning of the 1990s, and all except for one are university graduates (of translation studies, history, and sociology). Table 2 is a summary of the consultants' information:

Consultant	Sex	Date of birth
1	F	1992
2	F	1992
3	F	1991
4	F	1991
5	F	1990

Consultant	Sex	Date of birth
6	M	1991
7	M	1991
8	M	1990
9	M	1972
10	M	1992

Table 2: Native Czech consultants.

Although the questionnaire had been designed in such a way as to cover a whole range of contexts where ten can appear as an emerging definite article, ¹⁴ I will limit myself here to the examples related to DAA. The questionnaire comprised an introduction outlining the research objectives and providing the necessary instructions. It particularly emphasized the informal and colloquial character of the context where the sentences appeared, and it explicitly invited the consultants to pick the variant they were likely to use in an everyday informal conversation with friends. The informal character was conveyed by the presence of morphological, syntactic and lexical features typical of colloquial language. For each of the five sentences, the consultants were asked to choose either the variant with ten or without ten. They were encouraged to leave me any comments they deemed useful:

- (15) Po kin-ě ieště bavi-l-i tom film-u. after cinema-LOC.SG REFL still talk-PST-3PL.M about ten.M.LOC.SG film-LOC.SG Po kin-ě ještě bavi-l-i se film-u. after cinema-LOC.SG REFL still talk-PST-3PL.M about film-LOC.SG 'After the cinema they talked about **the film**.' (Czardybon, 2017, p. 90)
- (16) Když jsem veše-l do toho krám-u, AUX.PST.1SG enter-PTCP-SG.M into when ten.M.GEN.SG shop-GEN.SG
 - mě prodavačk-a hned REFL me.ACC shop assistent-F.NOM.SG immediately pta-l-a. chc-i. ask-PST-3SG.F what.ACC want-PRS-1SG
 - hned prodavačk-a REFL me.ACC ten.F.NOM.SG shop assistent-F.NOM.SG immediately pta-l-a. chc-i. ask-PST-3SG.F what.ACC want-PRS-1SG

'When I entered the shop, the shop assistant immediately asked me what I wanted.' (invented example)

(17) S1: nahoře tak obrovitánsk-ý nad tím byl-y that.N.INS.SG be.PST-3PL.F so gigantic-F.NOM.PL upstairs above pavučin-y cobweb-NOM.PL

S2: iako že tam rok-v ne-vysáva-l-o io? MOD REFL there year-ACC.PL NEG-vacuum-PST-3SG.N MOD a) ne týpek říka-1 že he.NOM guy.NOM.SG say-PST.3SG.M

14 The questionnaire was designed as part of the research project of my PhD dissertation.

ten.M.NOM.SG

no

that

tipavou-citouděla-1-iten.M.NOM.PLspider-NOM.PLthat.N.ACC.SGmake-PST-3PL.Mza hodin-uin hour-ACC.SG

říka-l že ne on ten týpek he.NOM ten.M.NOM.SG guy.NOM.SG say-PST.3SG.M that no uděla-l-i za hodin-u pavou-ci spider-NOM.PL that.N.ACC.SG make-PST-3PL.M in hour-ACC.SG

'S1: there were such gigantic cobwebs upstairs'

'S2: like nobody had vacuum-cleaned there for years, right?'

'S1: no the guy said the spiders had made that within a single hour'

(Dvořák, 2020, pp. 313–314)

- (18) Když jsem posledně nastoupi-l v Praz-e when AUX.PST.1SG last time get on-PTCP.SG.M in Prague-LOC.SG
 - do <u>autobus-u</u>, into bus-GEN.SG
 - a) tak mě *ten řidič* ani ne-pozdravi-l. so me.ACC ten.M.NOM.SG driver.NOM.SG even NEG-salute-PST.3SG
 - b) tak mě *řidič* ani ne-pozdravi-l. so me.ACC driver.NOM.SG even NEG-salute-PST.3SG

'Last time I got on <u>a bus</u> in Prague, **the driver** didn't say hello to me.' (invented example)

- (19) Petr si koupi-l <u>nov-ý aut-o.</u> Peter.NOM.SG REFL buy-PST.3SG.M new-N.ACC.SG car-ACC.SG
 - a) Hned druh-ej den se mu immediately second-M.ACC.SG day.ACC.SG REFL him.DAT rozbi-l *ten motor*. break down-PST.3SG.M ten.M.NOM.SG engine.NOM.SG
 - b) Hned druh-ej den se mu immediately second-M.ACC.SG day.ACC.SG REFL him.DAT rozbi-l motor. break down-PST.3SG.M engine.NOM.SG

'Peter bought a new car. On the very next day, the engine broke down.'

(invented example).

4.2 Results and discussion

Sentences (15), (16) and (17) belong to the situational type. In (15) and (17), 8 and 9 consultants respectively chose the option with *ten* (see Table 3 below). On the other hand, only one consultant used *ten* with *prodavačka* ('shop assistant') in (16). A possible explanation might reside in the fact that the term *prodavačka* may lend itself to an indefinite reading – there can indeed be several shop assistants in a shop.

One consultant even told me that to her, the presence of *ten* necessarily entails a recognitional reading (Himmelmann, 1996), that is, a reading independent of the discourse context – *the shop assistant, you know which one, the one I told you about.* In (18), where *řidič* ('driver') is the driver of the particular bus on which the speaker got to Prague, only two consultants opted for *ten řidič*, which is in compliance with the hypothesis that speakers are more reluctant to use *ten* with the relational type.¹⁵

Example	Number of consultants having chosen ten
(15) the cinema – the film	8
(16) the shop – the shop assistant	1
(17) such gigantic cobwebs – the spiders	9
(18) a bus – the driver	2
(19) a new car – the engine	0

Table 3: Numbers of consultants who chose the option with *ten* in examples (15)–(19).

None of the consultants chose the variant with *ten* in (19), which represents the part-whole type. This was in line with my expectations, as the presence of *ten* seems very unnatural here. Yet what could possibly account for the fact that *ten* is acceptable in (13) and (14) above but not in (19)? Unfortunately, I am, as of now, unable to provide a satisfactory answer to this question, except for the afore-mentioned hypothesis that neither (13) nor (14) are actually cases of (pure) DAA. I would like to thank Olga Nádvorníková, who shared with me the following consideration: should the sentence in (19) be transformed in such a way as to be overtly emotional, *ten* may become acceptable:

(20) Si představ, že koupi-l si REFL imagine.IMP.2SG that Peter.NOM.SG **REFL** buy-PST.3SG.M bourák. ale hned nov-ei druh-ej den second-M.ACC.SG new-M.ACC.SG whip-ACC.SG but immediately day.ACC.SG rozbi-l motor! ton REFL. him.DAT break down-PST.3SG.M ten.M.NOM.SG engine.NOM.SG 'imagine! Peter bought himself a new whip, but on the very next day, the engine broke down!' (invented example)

Even though both a shop assistant and a driver practice their profession within a particular stereotypical framework, the noun *driver* is inherently relational since *the driver of the bus* is perfectly natural, contrary to *the shop assistant of the shop*, at least according to my judgement. This notwithstanding, I cannot exclude a possible inherently relational meaning for *shop assistant* either. I also have to admit that this reading could better explain why, in both sentences, *ten* is not chosen by most of the consultants.

In (20), *ten* combines DAA marking with emotional marking, analogously to what happens in certain contexts with "complex individual concepts," that is, sortal or relational nouns made definite through the presence of a particular class of modifiers (Czardybon, 2017):

```
(21) všichn-i
                                  takov-ý
                                                   obř-í
                                                                     plnovous-v
     all-NOM.PL have-PRS-3PL
                                  such-M.ACC.PL
                                                   giant-M.ACC.PL
                                                                     beard-ACC.PL
                                                   je
     že io
             chod-í
                             v maskáč-ích
     MOD
             walk-PRS-3PL in camo suit-LOC.PL
                                                   be.PRS.3SG
                                                                  that.N.NOM.SG
     fakt
             zuby
                             ne-m-a-ií
                                                   fakt
     really
             tooth-ACC.PL
                             NEG-have-PRS-3PL
                                                   really
                                                            ten.M.NOM.PL
     nei-větš-í
                             buran-i ...
     SUP-bigger-M.NOM.PL
                             redneck-M.NOM.PL
     'they all have sort of giant beards, you see, they wear camo suits, it's really...
     they have no teeth, really the worst rednecks'
```

Dvořák (2020; 2021a) made the case that in view of its abundant presence in colloquial Czech, the emotional value may act as an encouraging factor in the transformation of *ten* into a full-fledged definite article.¹⁶

Unfortunately, the different degree of acceptability of *ten* with respect to factors such as emotionality, the fact that the questionnaire only focused on two options (the presence or the absence of *ten*) as well as the rather homogeneous composition of the group of consultants also make the questionnaire results rather inconclusive. Indeed, all of these factors seem too important to allow for any general statements.

5 Definite associative deixis?

In addition to the three sub-types of DAA, the existence of another phenomenon can be mentioned here, which I venture to call "definite associative deixis," by analogy with the previously discussed examples. This phenomenon, exemplified by (22), has been theorized about extensively in the literature. It is referred to as "larger situation use based on general knowledge" by Hawkins (1978).

(22) A person enters a supermarket and asks a member of the staff the following question: Where's *the frozen food aisle*, please?

Contrary to DAA, where the anchor of the functional concept is provided in the previous discourse, in (22), it is provided by the situational context. Therefore, regard-

For the importance of subjectivity and pragmatic factors at the beginning of the process whereby demonstratives are grammaticalized into definite articles, see for example Epstein (1995), Traugott and Hopper (2003/1993), and Carlier and De Mulder (2011).

less of the impossibility for the speaker to perceive and to identify a particular referent (they cannot see the aisle, hence their question), the NP is definite because of the conventional link between supermarkets and their aisles (there exists a frozen food aisle in every supermarket) – in other words, between a permanently established cognitive frame and its elements. It may strike us as odd that in today's colloquial Czech, the noun is always bare when sentences like (22) are uttered in an emotionally neutral context.¹⁷ This can undoubtedly be explained by the fact that, in comparison to DAA, the retrieval of the anchor relies more on world knowledge (and, consequently, on inferences). Thus, the anchor is even more implicit than in DAA, where it does appear in the discourse. Clearly, this absence of discourse-anchoring brings cases like (22) even closer to the pole of pure semantic definiteness, even though – as has been seen above – implicitness and inferences can also be (largely) at stake in DAA. Therefore, we can make the prediction that *ten* will only become possible in examples like (22) once it has become more or less systematic in all contexts of DAA.

6 Conclusion and perspectives

In none of the examples of DAA sentences commented on in this study is the presence of *ten* strictly speaking obligatory, even though it is clearly preferred in certain contexts of the situational sub-type. ¹⁸ It may also look quite surprising that within the very same domain of situational DAA, there is a marked proclivity to use *ten* only with some examples, whereas it is almost unanimously avoided in other examples (cf. the very different feedback from my consultants for (15) and (17) on the one hand and for (16) on the other). There is no doubt that *ten* is possible with at least certain cases of the relational sub-type, as attested by the corpus examples (7), (8) and (9). However, both the data and the consultants' feedback suggest that the reluctance to use *ten* is stronger here than in the situational sub-type – 34 and 18 occurrences of the data sample seem to belong to situational DAA and relational DAA respectively¹⁹ (the difference is statistically significant according to the log-likelihood test), and

I presented the consultants with a Czech translation of (22). None of them chose ten. Similarly to (20), the presence of ten cannot but place the sentence in an emotionally marked context (e.g., one where the speaker is irritated by having to repeat their question after obtaining no answer from the staff member).

One of the reviewers objected that in certain cases, the absence of ten would make the sentence sound unnatural or would even change its interpretation. We agree with this objection.

Unfortunately, the size of the corpus sample prevented me from taking into account DAA occurrences without ten in order to establish the proportion of bare NPs and NPs with ten within each sub-category. The questionnaire was an attempt to partly remedy this shortcoming. In my future research, I intend to analyze both phenomena in a corpus of smaller size.

only two consultants chose ten with řidič ('driver') in (18). As far as the part-whole sub-type is concerned, ten seems to be ruled out in many (most?) cases – see the unanimous rejection of ten by my consultants in (19). This outcome is in line with predictions based on the CTD approach, which situates the part-whole sub-type closest to the pole of semantic definiteness. The only two occurrences labelled as possible part-whole DAAs in the sample turned out rather controversial in terms of their analysis. We could indeed see that in neither case is the DAA reading the only possible one – while the referent of (13) might be identified independently of its associative link with the rear-view mirror, ten in (14) allows for a reading where DAA and emotionality are conflated. The latter statement has been confirmed via (20), overtly displaying the speaker's emotional involvement. At last, I tried to show how a parallel can be drawn between DAA and Hawkins's "larger situation uses based on general knowledge," since in both cases, we have a functional concept in need of being saturated by a contextual argument for its definiteness. However, what I therefore decided to call "definite associative deixis" is even closer to pure semantic definiteness than part-whole DAA, since the argument is never mentioned and must be retrieved from the situation itself.

As regards inferences, I stressed their importance for the entire category of DAA. In general, I am inclined to conclude that inferences tend to play a more crucial role within the situational sub-type, since, as can be notably observed in (4), (6) and (15), the hearer often has to infer here that the argument takes the form of the situation described in its totality. On the other hand, we saw in (9) that some kind of inferring is necessary in certain non-situational DAAs as well. In fact, we can easily conclude that the notion of inference is vital for all the DAAs whose argument is not made explicit in the text:

(23) Tomorrow, <u>I will ask him what he thinks about that</u>. As soon as I get **the answer**, I'll let you know.

In (23), *the answer* is interpreted as 'the answer to the question I will pose to him when asking him what he thinks about that.' Thus, the argument (*the question*) is implicit and has to be retrieved from the meaning of the sequence *I will ask him what he thinks about that*. While the question-answer link belongs to the relational sub-type, the one between the process of asking and the question is of the situational sub-type.

In spite of the general statement that the situational type shows the highest affinity for definiteness marking and in spite of what I proposed in order to account for some of the discrepancies in the data - e.g., the possibility of an indefinite reading for prodavačka ('shop assistant') in (16), which might partly explain why so few consultants chose ten -, I have to admit my current incapacity to fully elucidate the factors underlying the use of ten with DAA. As has been stressed in this study, at least

two factors seem to play an important role. On the one hand, it is emotionality, as manifested in (14) and (20). On the other hand, it is the tightness of the associative link between the head noun and the anchor in speakers' universe of discourse, as manifested by the comparison between (13) and (19).²⁰ This is why, in my future research, I would like to investigate these two factors more thoroughly.

I also have to stress here the limits stemming from the restricted number of the occurrences analyzed. The relatively low presence of DAA within the corpus sample may be related, among other things, to an over-representation of other types of occurrences, in particular those representing emotional uses and recognitional uses (see Dvořák, 2020; 2021b). Having said this, the percentage of DAA featured in the sample (5.4% of all the occurrences) still seems to constitute quite satisfactory evidence of definiteness-marking in the realm of DAA in informal spoken Czech in light of the fact that Czech continues to be regarded as an articleless language (cf. Dryer et al., 2013). In comparison, consider Poesio and Vieira's (1997) analysis of English definite descriptions in a written corpus of newspaper articles. The authors' sample consisted of 1,412 occurrences, and the percentage of those analyzed as DAA varied from 14.42% to 18.55%.²¹ No matter how striking the difference, it actually seems quite logical with regards to the fact that English has a full-fledged definite article.

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When comparing (13) to (19), it seems quite clear to me that the "obviousness" of the link is not grounded in language itself but in speakers' extralinguistic experience. From a strictly semantic point of view, it seems as natural for rear-view mirrors to have covers as it is for cars to have engines: what makes a substantial difference here is the degree of speakers' familiarity with both facts.

²¹ The result variation was due to the presence of three different annotators.

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Určitá asociační anafora v neformální mluvené češtině: korpusová studie

Studie vychází z rámce "Concept Types and Determination theory" (CTD) S. Löbnera a opírá se jak o korpusová data, tak o metodu dotazníkového šetření. Snaží se ověřit tvrzení o rostoucím užívání vznikajícího určitého členu ten v rámci určité asociační anafory (DAA) v současné neformální mluvené češtině. Demonstrativum ten, jež je neutrální z hlediska vyjadřování vzdálenosti, vykazuje stejně jako jeho kognáty v jiných západoslovanských jazycích vlastnosti, které jsou pro určité členy

typické napříč jazyky (srov. Ortmann, 2014; Czardybon, 2017; Dvořák, 2020). Jedna z těchto vlastností je pronikání výrazu *ten* do kontextů nacházejících se na pomezí pragmatické a sémantické určitosti na Löbnerově škále určitosti (Löbner, 1985; 2011). DAA je součástí těchto kontextů. Studie však zároveň ukazuje, že s ohledem na ochotu akceptovat demonstrativum *ten* existují mezi třemi podtypy DAA značné rozdíly. Jedná se o podtyp "část–celek" a relační a situační podtyp/podtypy. Studie rovněž dokládá, že je nutné zohlednit i další faktory, např. citové zaujetí mluvčího, a více možností, jak interpretovat daný výskyt výrazu *ten*.

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