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Prosociality and reciprocity in capybaras (Hydrochoerus hydrochaeris) in a non-reproductive context.

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18 Abstract

19 Prosocial behaviours (providing benefits to a recipient with or without cost for the donor) have been found to be highly influenced by sex and by hierarchy. Rodents, in 20 particular, are good model for studying prosocial responses, as they were found to exhibit 21 22 intentional prosocial behaviours to reward a conspecific, and are very sensitive to reciprocity. 23 In our study, we conducted a Prosocial Choice Test (PCT) in which four capybaras (Hydrochoerus hydrochaeris) living in a social group could choose between three tokens: 24 choosing the prosocial token rewarded simultaneously the subject and a recipient, while 25 choosing the selfish token only rewarded the subject; and choosing the null token provided no 26 reward to anyone. Dominance within each dyad was also studied, both before and during the 27 PCT experiment. Our results showed an influence of hierarchy: subjects were more prosocial 28 towards the recipient when it was a subordinate than when it was a dominant individual. 29 These results could be interpreted as a desire of strengthening a hierarchical rank regarding 30 the subordinate, of punishing aggressive conspecifics (usually the subject's direct dominant), 31 32 and of weakening dominant individuals in order to modify the pre-existing hierarchy. 33 Additionally, our results highlighted a direct reciprocity phenomenon, a subject being more likely to be prosocial towards a prosocial recipient. All these findings suggest that prosociality 34 35 could be well developed in other taxa than Primates and that, in long enough PCT experiments, subtle rules could influence individual prosocial strategies. 36

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Keywords: Prosociality – Sex – Dominance – Reciprocity – Capybaras – Hydrochoerus
hydrochaeris

42 Introduction

Recently, prosocial behaviours, defined as behaviours providing benefits to a recipient 43 with or without cost for the donor (Jensen et al., 2014) have been proven to exist outside 44 primates. Rodents, in particular, were found to be prosocial in experiments using paradigms 45 comparable to those used with primates. Studying this behaviours and determining whether it 46 47 can be exhibited by other taxa than primates is particularly important and would support the Social Brain Hypothesis. Dunbar (1998) proposed the Social Brain Hypothesis as an 48 explanation for brain evolution. According to this concept, social complexity, not only the 49 size of a social group (Dunbar, 2009) but also the importance and flexibility of social 50 relationships, like fission-fusion society or monogamous bond (Shultz & Dunbar, 2007), 51 would be the main constraint to shape evolution of the size of the brain in vertebrate taxa. 52 Furthermore, finding prosociality outside primates would help to dissociate what is 53 specifically human (or purely exhibited by primate) and what is not. 54

Because of their developed sociality, rodents are considered as good candidates to 55 study prosociality. Many studies highlighted their skills in social behaviour and 56 communication (Decety & Svetlova, 2012; Mogil, 2012; Panksepp & Panksepp, 2013; 57 Preston, 2013), in emotional contagion (Paré & Glavin, 1986; Glavin et al., 1994; Langford et 58 al., 2006; Chen et al., 2009; Jeon et al., 2010; Romero et al., 2010; Knapska et al., 2010; Mohr 59 et al., 2010; Panksepp & Lahvis, 2011; Atsak et al., 2011; Akyazi & Eraslan, 2014), in 60 cooperation (Rutte & Taborsky, 2007; Viana et al., 2010; Tsoory et al., 2012), and in helping 61 behaviours (Church, 1959; Rice & Gainer, 1962; Ben-Ami Bartal et al., 2014), the latter being 62 a type of prosocial behaviours. 63

64 Most of studies about prosocial abilities in non-human subjects used Giving Assistance Tests (GAT) or Prosocial Choice Tests (PCT). In GAT procedures, a recipient 65 individual needs the subject to give him a token or a tool to access a food source, and the 66 subject can choose either to do this action or not (Yamamoto et al., 2009, 2012). In PCT 67 procedures, subject could choose between several tokens associated with different outcomes, 68 usually a prosocial one and a selfish one (Stevens, 2010; Cronin et al., 2010). Choosing the 69 70 prosocial token induce to simultaneously reward the subject and a recipient individual (1/1 option), while choosing the selfish token only rewards the subject (1/0 option). 71

Several experiments highlighted the existence of prosocial abilities in rodents using 72 GAT or PCT procedures. For instance, Hernandez-Lallement and colleagues (2015) used a 73 spatial PCT (in a T-maze setup) and showed that rats preferred the prosocial option. They also 74 75 showed that rats became increasingly prosocial over sessions. Ben-Ami Bartal and colleagues (2011) reported that rats behave prosocially when they perceive a conspecific experiencing 76 non-painful restraint stress: rats opened the door to free their cagemate but did not open the 77 door when the tube was empty or when the cagemate was replaced by an object. Sato and 78 colleagues (2015) also found prosociality in rats, subjects being more prompt to free a 79 80 conspecific in distress (forced to swim in a pool) than a non distressed one. Moreover, rats that had previously experienced a forced swimming were quicker to help a cagemate than 81 82 those that had never been in this situation. In these two studies (Ben-Ami Bartal et al., 2011; Sato et al., 2015), when given the choice to get a food reward or to help a distressed 83 84 cagemate, rats preferred the later.

Several studies also suggested that rodents' prosocial responses could be modulated by 85 the same factors that affect primates' prosociality, *i.e.*: subject's and recipient's sex and 86 87 dominance rank, as well as reciprocal pattern between them (Rutte & Taborsky, 2007, 2008; Ben-Ami Bartal et al., 2011, 2014; Sato et al., 2015; Dolivo & Taborsky, 2015). Most of the 88 89 previously mentioned studies on rodents' prosocial abilities only involved females and used species in which only the female invests in parental care. Besides, numerous studies involving 90 mammals of both sexes reported a difference between males and females, suggesting that 91 females would act more prosocially (in vampire bats: Wilkinson, 1984; in macaques: Massen 92 et al., 2010). 93

Prosociality might also be highly influenced by hierarchy (see Cronin, 2012 for a 94 95 review). Asymmetrical relationships hierarchies are thought to be a factor decreasing the 96 likelihood for prosociality to occur (Connor & Norris, 1982). In most species, dominance hierarchies dictate priority of access to resources such as food, and subordinate individuals 97 98 may concede resources to more dominant individuals to avoid aggression (Preuschoft & van Schaik, 2000). Then, if resource transfer in artificial tasks reflected the patterns of resource 99 allocation in the wild, one would predict that prosociality would be more likely to occur in 100 favour of dominant recipients rather than subordinate ones. However, in studies using a PCT 101 procedure in primates, more prosocial choices were performed by dominant individuals 102 towards subordinate ones (Colman et al., 1969; Lakshminarayanan & Santos, 2008; Takimoto 103 104 et al., 2010; Massen et al., 2010; Horner et al., 2011; Chang et al., 2011; Massen et al., 2011).

In contrast, female rats provide more food to heavy (dominant) conspecifics than to lighter
rats if the subjects were not hungry (Schneeberger et al. 2012).

While studies have reported reciprocity in primates (Hauser et al., 2003; Dufour et al., 107 2009; Jaeggi et al., 2010; Jaeggi et al., 2013), some recent findings highlighted that rodents 108 could constitute a good model for studying reciprocity in their prosocial tendencies. Rutte & 109 110 Taborsky (2007) trained female Norway rats (Rattus norvegicus) in an instrumental prosocial task in which subjects could pull a stick to provide food for a partner, and showed that 111 prosocial behaviour of rats was influenced by prior receipt of help, irrespective of the identity 112 of the partner. Rats acted according to a generalised reciprocity mechanism (defined as a 113 mechanism in which an individual A rewarded an individual B because an unknown 114 115 individual rewarded A before). In another study, Rutte & Taborsky (2008) showed that rats could also act according to a direct reciprocity mechanism (defined as a mechanism in which 116 an individual A rewarded an individual B because B rewarded A before), and that direct 117 reciprocity generated a higher prosocial propensity than generalised reciprocity did. Rats' 118 prosocial responses were thus influenced by partner-specific information. 119

120 Therefore, we chose to study prosocial abilities in a highly sociable rodent species: capybaras (Hydrochoerus hydrochaeris). They are the largest rodent species in the world and 121 122 present a developed and complex sociality (Burton & Burton, 2002). These rodents live in stable groups of around 10 adults of both sexes; they are polygynous and breed cooperatively, 123 124 as it is not rare to see females suckling other pups than their own (Courchamp et al., 1999; Herrera et al., 2011). Capybaras could be considered as a suitable species to study the 125 126 influence of sex and hierarchy on prosociality. According to Decety & Cowell (2014), 127 differences between sexes in prosociality appear because empathy has evolved in the context 128 of parental care and group living. In species presenting mostly maternal care, females would 129 be more often prosocial than male. Female capybaras being cooperative breeders, we could expect that female subjects would be more prosocial than males. However, because our 130 experiment was conducted in a non-reproductive context, we did not assume that females 131 would be widely more prosocial than males. A strict linear social dominance hierarchy 132 enforced by chasing and, rarely, fights has been described in male capybaras (Herrera & 133 Macdonald, 1989, 1993; Maldonado-Chaparro & Blumstein, 2008), and more recently in 134 female capybaras (Nogueira-Filho et al., 2017). Considering the stable and linear hierarchy 135 within our subjects (both males and females), subordinates might be more prosocial towards 136 dominants to avoid punishment. However, as PCT procedures have been shown to elicit more 137

prosociality from dominant towards subordinate in numerous studies, we assumed that each 138 individual would be more prosocial towards subordinate than towards dominant. Capybaras 139 fulfil the conditions proposed by Trivers (1971) to be likely to favour reciprocity. Indeed, they 140 present a long lifespan and a low dispersal rate in adults; their society is relatively stable over 141 time; group membership changes rarely and a territory can be maintained by one group for 142 over three years (Burton & Burton, 2002). This social context allows many repeated 143 interactions. Because we conducted a long experiment relying on repeated tests, a reciprocal 144 pattern was likely to settle. 145

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148 Materials and Methods

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150 Subjects & housing

This experiment involved four capybaras (Hydrochoerus hydrochaeris): two males 151 (three and seven years old) and two females (three and five years old), all born in captivity 152 153 and not castrated. They were all sexually mature and their social group was stable: all the subjects were housed together for more than two years before the experiment. Our subjects 154 had no experience in cognitive or behavioural testing before the present study. They were 155 housed outdoors, in a 600 m² enclosure in the Paris' Ménagerie of Jardin des Plantes. 156 Capybaras being a semi-aquatic species, especially regarding reproductive behaviours, as 157 copulation usually occurs in the water (Burton & Burton, 2002; Wolff & Sherman, 2007), 158 their enclosure presented a 10 m² x 30 cm deep pool. A food ration composed of fruits and 159 vegetables (apples, carrots, celery, broccoli, and zucchini), bread, and pellets (Mazuri® Zoo 160 A) was distributed daily in the morning. During the experiment, portions of fruits and 161 vegetables from this ration were used as food rewards (the rest of it being distributed after the 162 testing session). 163

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165 Measuring hierarchy

We assessed the hierarchical rank of each individual before and during the experiment, measuring the rate of won attacks (aggressive behaviour that made the conspecific to flee; *e.g.*, chasing, threatening display) in each dyad and using David's score (David, 1987, 1988), considered as the most appropriate dominance ranking method for this social group structure (Gammell et al., 2003; Bang et al., 2010). Dominance asymmetry was also calculated for each dyad, by subtracting the score of the subordinate individual to the score of the dominant individual.

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174 Apparatus

At the beginning of each session, the apparatus was placed in the capybaras' 175 enclosure. This apparatus was made of a 200 x 50 cm wooden board, crossed by three wooden 176 levers. On each lever, a token was fixed; the three tokens had an equal area but differed in 177 shape and colour (and colour contrast) to be easily distinguishable by capybaras (see Figure 178 1). Each lever was retained by a spring attached to the back of the board; therefore, after a 179 180 capybara's push down on the token, the lever would get back up to its previous position. The board was set against the grid of the capybaras' enclosure. The experimenter, from behind the 181 182 grid outside the enclosure, distributed food rewards (portion of fruits and vegetables) according to the capybara's choice, through one of three pipes placed behind the board and 183 reaching an opening below each item. A fourth pipe was placed at two metres from the board, 184 always at the same spot, and was used to provide food to the recipient individual in case of 185 prosocial choice of the subject. 186

187 [Figure 1 about here]

At each phase of this experiment (familiarisation, training, and test phases), the relative location of the tokens on the levers was randomised between sessions. Rewards were always the same (same fruit or vegetable, same volume of reward) for a subject and a recipient, to avoid any inequity aversion effect. As the type of reward given was randomized across the trials, it was not possible for the individuals to associate any type of reward with a particular token or situation.

194

195 Familiarisation phase

A familiarisation phase was conducted before the training phase, to let capybaras 196 197 explore the apparatus and learn to push the lever. The aim of this phase was also to teach our subjects to push the lever thoroughly, to ensure that choosing involves an effort. All tokens 198 provided a food reward. We conducted 30 sessions of 20 minutes to let each capybaras the 199 200 time to learn to approach the apparatus in less than 5 seconds and to push the lever frankly and explicitly to receive food. At their first sessions, it took them 7 minutes on average. 201 Subjects needed 6 to 15 sessions to begin to approach and properly use the apparatus in less 202 203 than 5 seconds.

204

205 **Training and Test phases**

During the training and the test phases, each individual could either be subject or be recipient. The subject had the possibility to choose a token among the three on the apparatus, while the recipient just had to wait for a possible reward (according to the token chosen by the subject) at a specific location.

During the training phase, different consequences were associated to each token (see Table 1). The subject could then choose between the "prosocial" token (a blue square), which induced two similar rewards distributed simultaneously (one to the subject and one to the recipient individuals, 1/1 income), the "selfish" token (a red triangle), which involved a reward distributed only to the subject (1/0 income), and the "null" one (a yellow disk), which provided no food to anyone (0/0 income).

216 [Table 1 about here]

An individual was considered as a recipient when his head was less than 50 cm from the bottom of the "recipient pipe" (located at two meters from the apparatus, presented on the left side in Figure 1). Individuals having free access to the apparatus, it happened that no individual was at the recipient area (66 times out of 334), this condition allowed us to study subjects' choices when no recipient were around.

We conducted one session of 20 minutes per day, five days per week, each day at 10:00 am, which was capybaras' usual feeding time. In each session, the identity of both subject and recipient, the token chosen by the subject and the attacks and other behaviours of the subject and the recipient both before and during the tests were reported. Training phase and test phase only differed for the analyses. Each individual was considered in test phase as
soon as it passed and maintained the criterion of less than 10% of null choices throughout the
rest of the experience (within and between the sessions).

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230 Ethical note

The capybaras were not food deprived during this experiment. This study complies with French and European legislation for animal care and was approved by the Committee on the Ethics of Animal Experimentation N° 5 Charles Darwin (authorization number Ce5/2012/089).

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236 Statistical analysis

237 Statistical analyses were performed using the program R, version 3.1.0 (R Development Core Team 2014), with the lme4 package (Bates et al., 2014), and were 238 conducted, for each subject, on the data in which they have passed and maintained the 239 criterion (choosing the "null" dish less than 10% of the trials in each session) through the 240 whole experiment. For a better visibility and understanding, results were reported in all 241 figures as means of the percentage of prosocial choices (i.e., (number of prosocial choices / 242 (number of prosocial choices + number of selfish choices))*100) + S.E.M., while GLMM 243 studied proportion of prosocial choices (i.e., number of prosocial choices / (number of 244 prosocial choices + number of selfish choices)). Statistical analyses were considered 245 significant at P < 0.05. Data were non-parametric, repeated and the number of sessions was 246 different from one subject to another. Therefore, for each test session, the proportion of 247 prosocial choices (among prosocial and selfish choices only) was studied using Generalized 248 249 Linear Mixed Models (GLMM).

For each session, the proportion of prosocial choices (among prosocial and selfishchoices only) was studied using Generalized Linear Mixed Models (GLMM).

First, we analysed data in which there was only one recipient. The fitted model included proportion of prosocial choices according to subject sex (male versus female) and recipient hierarchical rank regarding the subject (dominant versus subordinate). We weighted

the animals' responses by their number of trials in the model and used subjects' identity as 255 random factor. Example of syntax: "model1 = glmer(cbind(NbProsocial/NbTrial) ~ 256 Tested_Sex * Recipient_Status + (1|Tested), weights = NbTrial, family = 'binomial', data = 257 data1)". We studied the possibility of the existence of prosocial tendencies in our subjects 258 (compared to random pattern) by conducting Z test. We also verified the possible existence of 259 a location bias or any preference for a particular token conducting analyses on the subjects' 260 proportion of choices for each token in familiarisation phase (when all token induced the 261 same consequence, *i.e.*, food reward), and in analysing their proportion of choices according 262 to the location of the token on the board. We also estimated subjects' reciprocal patterns. 263 Within each session the percentages of prosocial choices between each dyad were calculated 264 (A towards B, B towards A, A towards C, C towards A, etc.). Reciprocity was measured using 265 Spearman correlations between both individual percentages of prosocial choices in each dyad. 266 267 We analysed separately the few data in which they were no recipient, 2 recipient or even 3 recipients. The fitted model included the number of recipients. We weighted the animals' 268 269 responses by their number of trials in the model and used subjects' identity as random factor. Regarding GLMM significant results, χ^2 value, z value, P value, fixed-effect estimates, 95% 270 271 confidence intervals (C.I.) were reported.

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274 **Results**

The subjects' choices during the 30 sessions of the familiarisation phase confirmed the absence of any location bias ($\chi^2 = 2.83$, P = 0.243), as well as any preferences for a particular token ($\chi^2 = 0.59$, P = 0.744). After four months of training, all our subjects succeeded to pass and maintain our criterion (*i.e.*, less than 10% of null choices). Our test data represented 55 test-sessions (including 110 trials per session on average and 6040 trials in total).

280

Considering GLMM analysis, the model that presented the best quality, *i.e.* with the lowest Akaike information criterion (AIC) and Bayesian information criterion (BIC), included proportion of prosocial choices according to subject sex (male versus female) and recipient hierarchical rank regarding the subject (dominant versus subordinate). 285

The sex of the subject seemed to influenced the response, females being more prosocial than males ($\chi^2 = 12.32$, z = -3.511, P < 0.001, *Estimate* = -0.7511, *C.I.* = [-1.247; -0.210]). Females chose the prosocial token significantly more often than expected if (|Z| = 2.50, P < 0.05). On the other hand, males were neither particularly prosocial nor selfish (|Z| = 1.35, P > 0.05).

291

Capybaras' hierarchical ranks were linear and highly constant over sessions, as 292 subject's rank did not change between sessions and as won attacks and chasing rates were 293 stable over sessions. Therefore, we calculated an individuals' David's scores representing 294 295 interactions of the whole experiment (reported in the Table 2). Hierarchy seemed to influence individuals' prosociality (see Figure 2). Subjects were more prosocial towards subordinates 296 than towards dominants ($\chi^2 = 24.62$, z = 4.961, P < 0.001, *Estimate* = 1.291, *C.I.* = [0.822; 297 1.823]). Comparing their percentages of prosocial responses to those expected if capybaras 298 299 chose randomly, we found that subjects' choices did not differ from chance towards dominant recipient (W = -87.00, P = 0.699), while they were significantly prosocial towards subordinate 300 301 recipients (W = -148.00, P = 0.038).

302 [Table 2 about here]

303 [Figure 2 about here]

304

The capybaras were more aggressive towards subordinates than towards dominants (χ^2 = 712.90, *z* = 26.700, *P* < 0.001, *Estimate* = 85.663, *C.I.* = [80.832; 92.499]). Dominance asymmetry (which is the difference between the subject's rank and the recipient's rank) was, as well, related to the aggressions rate within the dyad (which is the percentage of the aggressions in the dyad, initiated by the subject): the greater the asymmetry, the greater the aggression rate within the dyad (Spearman's r_s = 0.944, *P* < 0.001, *N* = 12).

Capybaras chose according to a direct reciprocity phenomenon. Subjects were more likely to reciprocate a prosocial choice if the recipient was prosocial as well during the session (Spearman's $r_s = 0.534$, P = 0.009, N = 30).

We verified if this result could be related to a stimulus enhancement effect (*i.e.*, choosing the same token by mere imitation) by analysing the training data. Training data did not correlate between each subject's prosociality towards each recipient (A = subject, B = recipient) and the recipient's prosociality when become subject (B = subject, A = recipient), suggesting this phenomenon would not be due to imitation (Spearman's r_s = 0.170, *P* = 0.365, N = 30).

321

While all the previous analyses focused on data in which there was only one recipient 322 individual, it happened sometimes that an individual chose a token when there was no 323 324 recipient (66 times) or several individuals (two individuals 53 times and even the three individuals 5 times) at the recipient area. When we analysed all these data together, we found 325 a positive effect of the number of recipients individuals on subject prosociality (see Figure 3): 326 the more recipient individuals there were, the more the subject was prosocial ($\chi^2 = 128.3, P \leq$ 327 0.001). Subjects were more prosocial when there was one recipient than when there was none 328 $(\chi^2 = 73.63, z = 8.581, P < 0.001, Estimate = 0.8717, C.I. = [0.674; 1.072])$, and were more 329 prosocial when there was two or three recipients than when there was only one ($\chi^2 = 36.97$, z 330 = 6.080, P < 0.001, Estimate = 0.7742, C.I. = [0.527; 1.027]).331

- 332 [Figure 3 about here]
- 333
- 334

335 **Discussion**

Since only four capybaras were involved in our experiment, we have to be careful with our findings. Despite null model was not the fittest one, we should consider that each effect discussed bellow could also be impacted by inter-individual differences. Our subjects were highly familiar with each other and their relationships were different from one dyad to another. Results could be explained by their relationship specificities as well. However, when a result appeared in several dyads sharing different relationships but being consistent with
other variables (sex of the subject, status of the recipient, presence of a witness), we allowed
ourselves to look for wider explanations and interpretations of their behaviours. Our
discussion should be regarded only as suggestions of possible explanations if we found them
at a larger scale.

346 Our results suggest that our experimental design succeeded to elicit prosocial behaviours in capybaras. In our experiment, we used the null token as a control, allowing the 347 experimenter to follow individuals learning progress, as stopping choosing it suggested that 348 the subject might begin to distinguish the three tokens and to associate them different 349 consequences. All our subjects succeeded to choose it less than 10% of the time, after four 350 months of training. We designed our task in order to avoid complex apparatus, eliminate 351 352 location biases, avoid competitive behaviours (the food reward not visible before the subject's choice, a feature well known to inhibit prosociality), and make the choice and consumption of 353 reward visible and audible for other individuals; not controlling these factors having been 354 proved to cause negative results in many studies (Warneken & Tomasello, 2006, 2009; 355 356 Horner et al., 2011; Melis et al., 2011; Silk & House, 2011; House et al., 2014).

Our experiment was also designed to be as naturalistic as possible, capybaras being 357 358 tested with unconstrained access to their social group, having free access to the apparatus and having the possibility to participate in the test or not. Some other studies tested animals in 359 360 their social group (Chalmeau, 1994; Chalmeau & Gallo, 1996; Cronin et al., 2014), and it was often reported that letting the subject choose their partner was important for these kinds of 361 362 tasks (Nishida & Turner, 1996; de Waal, 1997; Mitani, 2006; Melis et al., 2006; Boesch et al., 363 2006; Langergraber et al., 2007; Mitani, 2009). Whereas more controlled conditions are a 364 better way of separating variables, free access represents a more ecologically relevant setting 365 in which individuals can choose by themselves with whom they want to cooperate.

However, this free access design let to the subjects the possibility to steal the subject's reward in a case of selfish choice. We observed a few instances of food stealing (in 28 out of our 6040 trials, representing 0.46% of the trials). Nevertheless, these behaviours were quite passive (grabbing the food when the subject did not find it soon enough) and did not induce any decrease of participation in the task in any individual. In contrast, Massen and colleagues (2015) reported that ravens became less likely to participate in a cooperation task after being cheated on (cheaters displacing their partner to grab both rewards).

Data seemed to suggest that female capybaras could exhibit more prosociality than 374 males. None of the female was the mother of any member of the group; thus, it is unlikely that 375 kinship explain this result. Wilkinson (1984), as well, reported that female vampire bats 376 shared food more often than males and explained this difference arguing that female bats were 377 378 more stable in their relationships. Other studies found that male vampire bats rarely shared food in the wild, where their social relationships are transient (Wilkinson, 1985), but shared 379 food in captivity (DeNault & McFarlane, 1995), where male associations are more stable. In 380 addition, Massen and colleagues (2010) found that female long-tailed macaques were more 381 prosocial than males. In this species, females remain in the same group for their whole life 382 (van Noordwijk & van Schaik, 1987), and have "family ranks", since they inherit their rank 383 384 from their mother (Hill & Okayasu, 1996), in contrast to males. Females would thus be more prosocial to sustain their hierarchical rank, in case of family turnover (Chance et al., 1977). 385

If the effect of sex was confirmed by more studies using a greater sample size, it could be explained by the difference in both sexes' tendency to disperse. When capybaras are in high density groups, males disperse more and females become philopatric (Herrera et al., 2011). Therefore, it would be possible that female capybaras tend to build stronger bonds, like in long-tailed macaques (Massen et al., 2010). Of course, this hypothesis would need further studies in the wild to conclude.

Another explanation could be that differences between males and females prosociality 392 393 would be due to females' prevalence in mammals to be in charge of parental care. Indeed, according to many researchers, (Eibl-Eibesfeldt, 1973; MacLean, 1985; Decety & Cowell, 394 395 2014), this difference between sexes might occur because "empathy" evolved in a context of 396 parental care. The selection pressures to emotionally connect with the young, would have shaped the caregivers' prosocial and empathic abilities (Bowlby, 1958; Acebo & Thoman, 397 1995), the indifferent ones being more likely to see their reproductive success decrease if the 398 399 young needs to be fed, cleaned and warmed to survive. This hypothesis could be tested by studying capybaras prosocial tendencies in various conditions, comparing, for instance, our 400 401 data with their prosociality in reproductive context, with and without young, as it was done in Guinea pigs, another rodent species from the Caviidae Family. Indeed, Guinea pigs showed 402 prosociality towards their mate and their young, as well as a selfish pattern towards 403 404 competitors, during the reproductive period but not during the non-reproductive period (Lalot et al., *submitted*). Another study shown that Guinea pigs stress level decreased when they
were with a mate during a reproductive period (Kaiser et al., 2003).

407

As expected, the capybaras we tested were prosocial towards subordinates. This result is also consistent with those we found studying Guinea pigs (Lalot et al., *submitted*), in which we reported the same pattern of choice. The effect of hierarchical rank we found in capybaras is not likely to be linked to a predisposal from the dominant subjects to approach the apparatus (by being less anxious for instance) because all individuals interacted with the apparatus with no significantly different latencies (being all ready and in the testing area every morning, even before the apparatus was set up).

Wild capybaras are known for their very stable and strictly linear hierarchy, which settles through stereotyped agonistic interactions (Herrera & Macdonald, 1993; Salas, 1999; Herrera et al., 2011; Nogueira-Filho et al., 2017). Our capybaras were no exception, their hierarchy being highly consistent over the seven months we studied them. Therefore, we were not surprised to see that these features modulated their prosociality.

Despite the variability of definitions of dominance, most focus on easily observable 420 behaviours such as aggression. Drews (1993) defined dominance as "an attribute of the 421 pattern of repeated, agonistic interactions between two individuals, characterized by a 422 423 consistent outcome in favour of the same dyad member and a default yielding response of its opponent rather than escalation; the status of the consistent winner is dominant and that of the 424 loser subordinate." We ourselves used the measures of aggressions and threats to estimate the 425 426 hierarchy of our subjects. However, although agonistic behaviours (highly stereotyped non-427 openly violent behaviours as well as aggressions) have long been considered to be the main way to achieve and maintain dominance, this position has recently been questioned by some 428 429 researchers, especially those studying prosocial behaviours.

In literature, two possible ways for dominance to affect prosociality were reported. Either the subordinate individuals were particularly prosocial towards dominants, in which case their behaviour was interpreted as a seeking of dominance tolerance or future support (Seyfarth, 1977; Noë et al., 1991), or the dominant individuals were especially prosocial towards subordinates, in which case their prosociality was interpreted as a strategy to enhance and maintain their status (Moore, 1984; de Waal, 1989). The latter strategy is the most
observed in PCT experiment.

In PCT experiments, humans (Dovidio & Gaertner, 1981; Mast & Bischof, 1999), 437 chimpanzees (Horner et al., 2011), long-tailed macaques (Massen et al., 2010), and capuchins 438 monkeys (Lakshminarayanan & Santos, 2008; Takimoto et al., 2010) were more prosocial 439 440 towards subordinate than towards dominant recipient. Authors suggested that dominant individuals could use prosocial behaviours to emphasise their hierarchical position. 441 Prosociality was interpreted as a strategy by the dominants to enhance and/or maintain a 442 status (Moore, 1984; de Waal, 1989), as well as to advertise their dominance to others, 443 probably to convince subordinates to accept their high-ranking situation and inhibiting their 444 possible rebellions (Zahavi, 1977, 2004). 445

Other findings in rats also suggested that they would be more prosocial towards 446 subordinate than towards dominant conspecifics in PCT procedures. Hernandez-Lallement 447 and colleagues (2015) and Schneeberger and colleagues (2012) showed that rats were more 448 449 prosocial when the recipient was lighter. Considering that the heavier a rat is, the more 450 dominant it is supposed to be (Smith et al., 1994), it is probable that rats would have been 451 more prosocial towards subordinate recipients than towards dominant ones. Note that the 452 results of Schneeberger and colleagues (2012) depended on the need of the partner: if the rats were satiated, focal individuals provided more food to dominant (heavier) than to subordinate 453 454 (lighter) rats. Unfortunately, we did not measure our subjects' weight.

The hypothesis that dominance could be maintained, not only by exhibiting agonistic 455 behaviours, but also by being prosocial when having the opportunity is well described by 456 457 Massen and colleagues (2010), who considered their macaques acting as "benevolent leaders 458 rather than despots" ruling by fear. The fact that the prosocial way is, for now, less described than the aggressive way might be due to two factors: first, prosociality studies are way more 459 460 recent than studies about mere hierarchy, second, levels of aggressions are easier and faster to 461 assess than prosociality in a PCT procedure. Therefore, estimating which one of the two ways is the most important in an animal society would require numerous further studies. 462

The constraints perceived by the group (limited resources, frustrations) could also affect individuals' strategies. Indeed, studying long-tailed macaques in captivity (not food deprived), Schaub (1996) found that dominants were more prosocial than subordinates, letting them access a food source longer. Rank influenced prosociality whereas kinship did not. In 467 PCT experiments, dominants were, as well, more prosocial than subordinate. However, 468 studies using a GAT (Giving Assistant Test) procedure showed that benefits were transferred 469 "up" the hierarchy, subordinates being more prosocial than dominants, in chimpanzees 470 (Yamamoto et al., 2009; Melis et al., 2011) as in bird species like keas (Tebbich et al., 1996). 471 GAT induces constraints, needs and frustrations that might not be induced by PCT. It would 472 be interesting to study the influence of dominance in prosociality according to different level 473 of constraint/effort/cost for the donor.

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Capybaras exhibited a direct reciprocal pattern in their prosocial responses, regardless of the sex and dominance effect. This is a phenomenon known to take some time to settle (Dufour et al., 2009; Gomes & Boesch, 2009; Gomes et al., 2009; Gilby et al., 2010). Since no reciprocity appeared during the training phase, it is unlikely that this result could be due to an imitation strategy (choosing the same token just because it rewarded the subject right before). This direct reciprocity did not seem to be linked to neither the subject's sex nor the recipient's sex.

While some studies suggested that some levels of prosociality, reciprocity and 482 cooperation should appear in more socially complex species (Brosnan et al., 2010), others 483 argued that taking account of the emotional results of previous encounter (i.e., "emotional 484 bookkeeping") might be sufficient to elicit and maintain stable cooperation, prosociality and 485 reciprocity without complex cognition (Evers et al., 2015). Repeated social interactions with a 486 conspecific would lead to associate an emotional state with this conspecific, allowing long-487 term tracking of prosocial interactions for instance. According to Rutte & Taborsky (2007), 488 reciprocity would be the main factor that promotes the evolution of prosociality among 489 490 unfamiliar nonrelatives.

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For some trials, the number of recipients was different from one: there was no recipient or, in contrast, two or three individuals at the recipient area. We analysed these data according to the number of recipients and found that subjects chose more often the item associated with a prosocial consequence when there was one or several recipients compared to none, and that they were even more prosocial when there were two or more "recipients" (compared to one and to none).

The data in which no recipient was present informed us about subject tendencies when 498 499 their choice did not have any consequence for a conspecific. These data could be related to those obtained in the non-social condition (also called the empty-cage condition) that 500 501 occurred in some PCT studies conducted in primates (Silk et al., 2005; Horner et al., 2011). 502 Our capybaras chose the prosocial option significantly more often when there was a recipient. In our experiment, as in Horner and colleagues' (2011), subjects were less prosocial when no 503 one was at the recipient area, suggesting that subject chose prosocially in order to reward the 504 505 recipient individual rather than to go take the reward for itself.

The fact that our subjects were increasingly prosocial as the number of individual at the recipient area increased could be interpreted as a prosocial enhancement due to greater stakes and social constraints. They might have more to lose not showing prosociality to several members of their social group. Moreover, a greater number of "recipients" enhanced the likelihood for one of them to elicit prosociality from the subject (being a subordinate) or to elicit reciprocal prosocial response (in having been prosocial before).

512 This result could be compared with those found on audience effect. Audience effect 513 has been shown to increase some prosocial tendencies. In vervet monkeys, males were less aggressive and more affiliative towards young when their mothers were in sight (Hector et al., 514 515 1989). Audience effect also appeared to modulate helping behaviours in cooperative breeding species (McDonald et al., 2008). Indeed, in sociable weaver, individuals helping parents to 516 517 take care of the young helped them longer and more often when an audience was present (Doutrelant & Covas, 2007). In cleaner fishes, individuals behave more cooperatively when 518 519 observed (Bshary & Grutter, 2006) and were less likely to cheat (Pinto et al., 2011).

The fact that the mere presence of an audience could increase subjects' prosociality has often been interpreted as an interest in their reputation and a desire of reciprocity (Bshary & Grutter, 2006 ; Chevallier et al., 2012 ; Engelmann et al., 2012 ; Leimgruber et al., 2012). For instance, in chimpanzees, individuals signalling to the whole social group their generosity each time they shared food rose more easily in the hierarchy (de Waal, 1982).

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527 Our experiment suggested that, like primates and rats, capybaras seem able to show 528 some prosociality and to modulate their prosocial responses according to the identity, status

and previous behaviour of the recipients. As Macdonald and colleagues (2013) highlighted, 529 capybaras present elements in their social system that reflect those of other mammals, while 530 being unusual amongst rodents, and some aspects of their social behavior, like their 531 behavioural flexibility are even unique (Macdonald et al., 2007). Capybara is considered as 532 533 "an excellent model for the study of variation in mammalian social systems and its adaptive significance" (Macdonald et al., 2013). Obviously, the size of our sample does not allow us to 534 draw firm conclusions about the influence of sex and rank on Capybaras' prosocial tendencies 535 (which could be due to individual traits). Further studies are needed to confirm each effect we 536 537 suggested.

Nonetheless, the fact that prosociality and reciprocity could be found outside primates 538 supports the idea that social relationships could be a more important factor than mere 539 540 phylogenetic proximity from humans to exhibit these behaviours. According to Dunbar's (1998, 2009) Social Brain Hypothesis, bonded relationships would be cognitively demanding 541 enough to modulate the evolution towards a bigger brain (especially a bigger neocortex). 542 Considering the scale of an individual's lifespan rather than evolution of species, Dale and 543 544 colleagues (2020) highlighted the importance of social relationship over cognition for prosociality and cooperation tasks. They tested wolves in three experimental tasks: 545 prosociality, cooperation, and inequity aversion task. They showed that social bonds between 546 the subject and the recipient influenced subjects' performances in all tasks, while non-social 547 factors they measured did not. More and more studies show us that most of what were thought 548 to be human specificities (or primate specificities) may not be. Convergent evolution, driven 549 by social cognition, incites us to search those "specificities" outside primates. 550

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558 **References**

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861 Supporting Information

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- 863 Fig. 1. Apparatus to test Capybaras' prosociality.
- **Table 1. Consequences attributed to each lever during the prosociality experiment.**
- Table 2. Capybaras' identity, hierarchical rank, and level of participation in the experiment.
- Fig. 2. Capybaras' mean percentage of prosocial choices according to the hierarchical
 status of the recipient individual.
- Fig. 3. Capybaras' mean percentage of prosocial choices according to the number of
 recipient individuals.

872 Figures



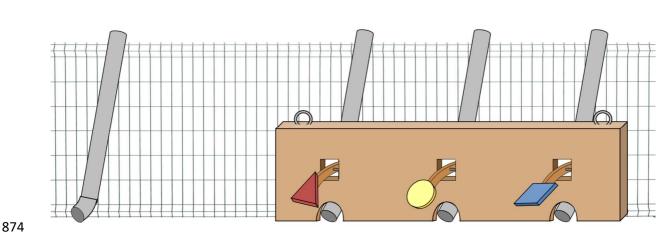


Figure 1: Apparatus to test Capybaras' prosociality. The wooden board offered three options: pushing the blue square (prosocial item), pushing the red triangle (selfish item), or pushing the yellow disk (null item). The food reward was distributed through the corresponding pipe, which came out under the chosen item (except when the null item was chosen). When the subject chose to press the prosocial lever, the same (in amount and in nature) food reward was delivered to the subject and to the recipient (through the "recipient pipe") simultaneously.

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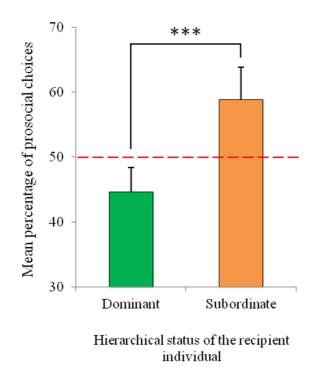
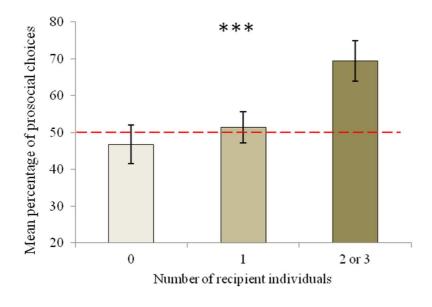


Figure 2: Capybaras' mean percentage of prosocial choices according to the hierarchical
status of the recipient individual. Error bars represent one standard error of the mean
(S.E.M.). (* p < 0.05, ** p < 0.01, *** p < 0.001)





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Figure 3: Capybaras' mean percentage of prosocial choices according to the number of
recipient individuals. Error bars represent one standard error of the mean (S.E.M.). (* p <
0.05, ** p < 0.01, *** p < 0.001)

		Food reward (portion of fresh fruit)		
Token value		Tested individual	Recipient individual	
	Null	0	0	
	Selfish	1	0	
Prosocial		1	1	

896 Table 1. Consequences attributed to each lever during the prosociality experiment

Individual	Sex	Age	David's Score	Number of Sessions as Subject	Number of Sessions as Recipient
MA6175	Male	7 years old	5.25	51	55
MA7234	Female	5 years old	2.19	53	55
MB0047	Female	3.5 years old	-2.29	38	55
MA9156	Male	3 years old	-5.15	50	55

Table 2. Capybaras' identity, hierarchical rank (represented by individual's David's
score), and level of participation in the experiment.