

Towards a New Sociotechnical Regime with Indian Farmers? Natural Farming Promises and Controversies Bruno Dorin

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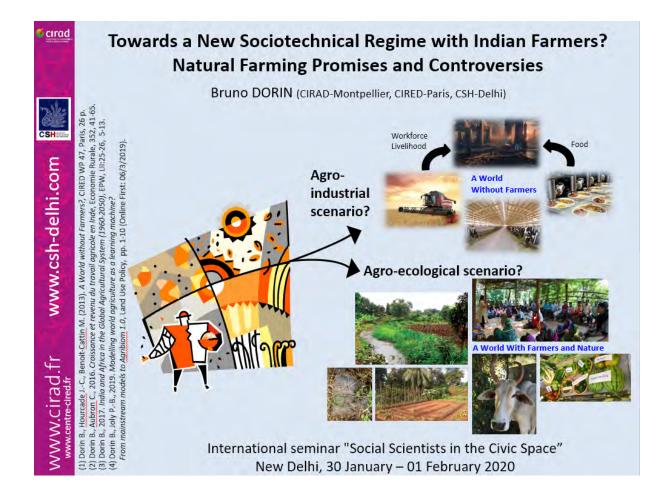
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Towards a New Sociotechnical Regime with Indian Farmers? Natural Farming Promises and Controversies

International seminar "Social Scientists in the Civic Space" India International Centre, New Delhi, 30 January – 01 February 2020

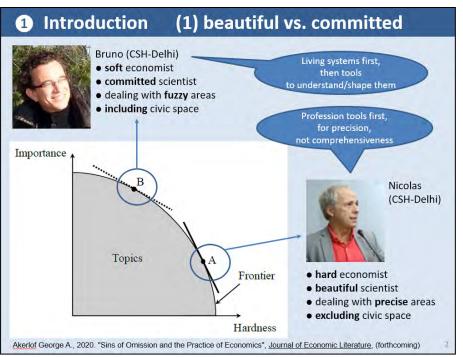
Bruno Dorin



The place of scientists in the civic space deeply questioned me from the beginning of my professional life, and after 30 years of experience as an economist trying as much as possible to interact and work with other colleagues in social sciences, I have come to classify social scientists into two broad categories, two archetypes, two caricatures.

The first, whether economists,

anthropologists or historians, are those who are particularly averse to risk and to anv form of engagement in the civic space. Thev claim to produce "independent knowledge", "independent science", whether or not the latter serves the societies which produce them and pay them. They are



usually very specialized in their discipline, strongly focussed on analytical tools and take often pleasure in using the most recondite language of their world to prove their knowledge and science, which is only accessible to a few initiates, or a few specialized journals, generally top-ranked in the discipline. I call this first archetype the "Beautiful" scientists. But we could also called them the "Hard" ones as Akerlof does for economists, because they have a "hardness bias" for precise specialized work with powerful analytical tools. Tools are first, and as Akerlof writes too, this leads them to ignore "Important" topics and problems when they are difficult to approach in a "Hard" way, and as it is usually the case. Their demand for precision, for Hardness, impedes the introduction of new ideas, as Akerlof concludes. All in all, in the name of a so-called "objective science", these Beautiful scientists usually claim they are outside the politics of the civic space. They work for the beauty of science and human knowledge, whether or not this science leads us, directly or indirectly, to massive civic unrests, terrorism, wars or the extinction of mankind.

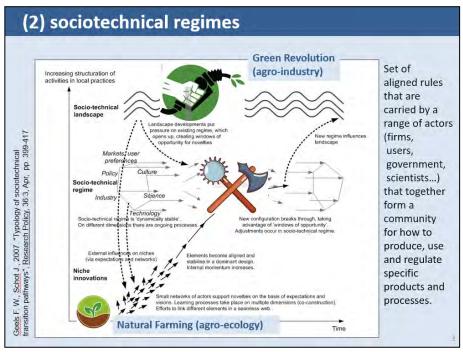
My second archetype are the "committed scientists", where I position myself unlike Nicolas. These scientists are passionate about knowledge and science like the previous ones, but seek above all to put science at the service of societies, their major problems or challenges, whether social, political, economic or environmental. These scientists are generally less recognized in their discipline because, as writes Akerlof, "Importance is fuzzy", not precise. They take professional risks also because they have to open up as much to other science fields as to major societal challenges in order to gain a little in systemic holism. They have to get out of their comfort zone to jump into the increasing complexity of the Anthropocene, into its continuous growth of orders and disorders or, as the French philosopher of science Edgar Morin would say to characterize what complexity is, the growth and cooperation of negentropy and entropy. A growing emancipatory complexity which requires ever more solidarity between peoples and scientific fields as Morin also writes, on pain of the complete collapse of humanity. Hence these "committed scientists" are clearly within the civic space. Some of them even argue that science and society are one, because the latter shape the former, from scientific questions to scientific tools or metrics, and vice-versa, in a tangled dance that science should try to understand much better than today.

I think that we need both Beautiful scientists and Committed scientists, in a balance way as at CSH, but unlike today more generally in France and India, where the moto of "scientific excellence" and it measurement reinforce the specialisation of scientific disciplines instead of a dialogue between them and with the civic space.

Thus I am a scientist Dedicated to the great problems of this world, a scientist who claims that science is not only a tool of evidence, hence a "truth machine" as the Beautiful scientists believe it, but also a tool of government of both science and the civic space. This mutual specification of science and civic space has become for me a growing subject of interest and research, as in the *Science and Technology Studies* or STS, and it led me to develop a model for long-term economic planning that Berkout and his colleagues would call a "learning machine", since it leaves room for a variety of scientific and stakeholder knowledge as well as public debate.

The purpose of my talk is not to present you today this "learning machine" called "Agribiom", since I published on it last year in the journal *Land Use Policy*. My objective today is to illustrate and develop my points above on the basis of two materials: (1) the first is my analysis of the Indian economy and its agriculture through the eyes of this model, (2) the second being a controversy that has arisen recently in India between what looks like Beautiful and Dedicated scientists.

However, before I dive into my story and questions, Т must conclude this introduction long with a few words on Geels what and Schot call a "sociotechnical regime". I like really this concept because it shows very well how societies and technologies are bind together, like this "tangled in dance" mentioned earlier.



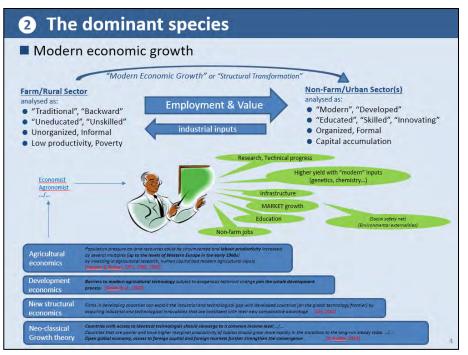
According to Geels and Schot, a regime consists of a set of aligned rules that are carried by a range of actors that together form a community. These actors are typically firms, users, governments, and scientists. They share engineering search heuristics, ways of defining problems, user preferences, expectations, product characteristics, skills, standards and regulatory frameworks. And the nature of their binding is not based on direct interaction but on the participation in the production and reproduction of the sociotechnical regime.

Hence a sociotechnical regime carries and stores the rules for how to produce, use and regulate specific products and processes. They perform the task of genes and define the boundary between technological species.

I can now briefly introduce you to two technological species, and narrate you what happens when a niche species – Natural Farming in Andhra Pradesh – challenges the current dominant sociotechnical regime of the Green Revolution.

Let's start with the dominant species, the socio-technical regime of industrial food and agriculture. We all that this imagine regime has been shaped by great advances in the exact sciences, such as thermodynamic, chemistry,

hydrology or genetics. But the social sciences have also shaped it, especially

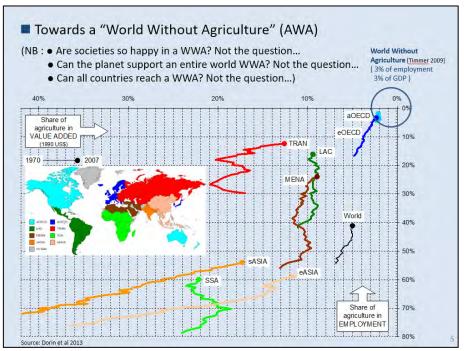


economics. It all started with Adam Smith, who showed very well how task specialization can dramatically improve the productivity of the labour force. The nail was driven a century and a half later, after the Second World War, by a vast literature on what we called in economics "modern growth" and "structural transformation".

In very very short, this literature teach you that with free-market economies, countries can access identical technologies, hence converge to a common income level. These technologies are in fact those of the industrial revolution, and the development model behind this is of course that of today's rich industrialized countries, where agriculture provided cheap labour to propel the process of industrialization and urbanization, which in turn delivered technology and increasingly cheaper industrial inputs that increased agricultural yields and allowed people to be fed at ever lower costs. Hence, in this process, the share of household expenditure on food staples declines (Engel's law) while outlay on manufactured food, other industrial goods and services rises, until reaching the stage of a

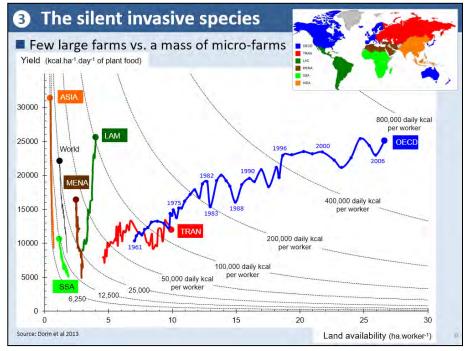
"World Without Agriculture" to quote the title of the 2009 book of Peter Timmer (Timmer, 2009). In the now-rich OECD countries, the share of agriculture in both total employment and value added is indeed and now 2-3%, while labour productivity across the farm and non-farm sectors has converged: historical rural mass poverty has been eradicated, this is a great achievement!

This process is evidence-based as you can see on this slide, and this model seems to be followed by all the countries of the world, as you can see on this slide too, since all the regions of the world see the share of agriculture decreasing in employment and even more in GDP.



So let us open these poor countries to Western "modern agricultural technology" so that they can finally develop and get out of poverty, to paraphrase economists like Gollin or Matura (Gollin *et al.*, 2002, Murata, 2002). Let us continue the history of wealth, all things being equal.

The problem is that all things are not equal, and as I try to show in works undertaken almost ten years ago now, we can hardly reproduce history, or at a much higher cost. Moreover I know that in a country like India, there are still many poor farmers despite wide access to modern agriculture technologies, and even an overuse of chemical fertilizers, pesticides, irrigation, etc. since the Green Revolution and its inputs subsidies. This is not the place to present these works here, but I need to show you three slides before I present a niche species which challenges this dominant sociotechnical regime of industrial agriculture. This first slide shows you that unlike the land-abundant regions of Europe and the Americas, there has been elsewhere а shrinking land availability per farmers, notably in Asia and sub-Saharan Africa, as shown with the X axis. In other words, there is an invasion micro-farms of in most parts of the world.



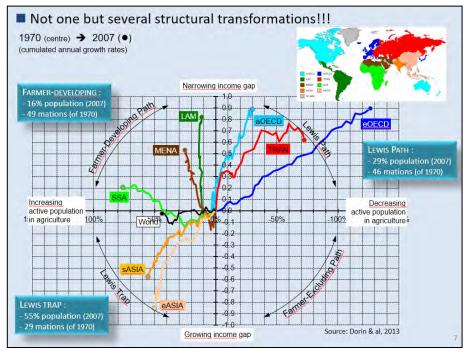
And these micro-farms are far from being low-yielding, particularly in the Asian countries of Green Revolution, where they now produce on average more kilocalories per hectare than in OECD countries, as shown with the Y axis. This leads me to a key point that is shown with the isocurbes, which represent the labour productivity in terms of kilocalories per farmer. We see that for OECD countries, the farm labour productivity has increased dramatically from about 35,000 kcal/day/worker in 1961 to about almost 800,000 in 2007, above all because of a dramatic increase in farm size and the mechanization of the latter. In Asia on the contrary, the average availability of land per farmer has decreased to 1 ha or less. And when your farm size shrinks from a generation to the next, the only way to improve or at least maintain the productivity of your work, assuming that prices do not fall, or that the cost of your inputs do not increase, it is to increase your yield. This is a challenging situation, especially if your land productivity relies on an intensive use of inputs with high financial and environmental costs, like chemical fertilisers, pesticides or fossil energy for irrigation. Despite input subsidies, you sink into over-indebtedness and your natural capital (water, soil, and biodiversity) declines faster than elsewhere. Moreover, and as observed in the next slide, the labour productivity gap between farm and non-farm workers is enlarging instead of converging as has happened in OECD countries which have followed the canonical model of structural transformation or modern growth.

As shown on this figure that I do not have time to explain you, there is in fact not а single movement towards AWA as evidences of my slide 5 made us believe, but at least three types of structural transformation which occur in the world, with two opposites I called, on

the one hand the

text-book canonical

"Lewis path" of the



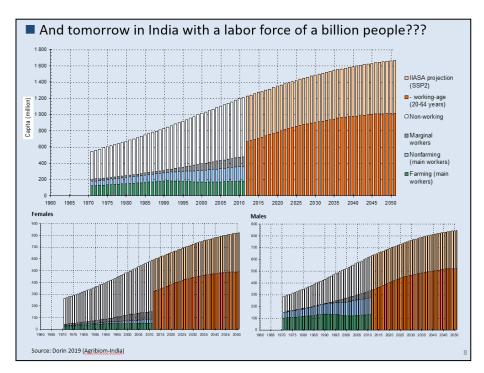
OECD countries, and, on the other hand, the "Lewis trap" of the Asian countries, especially India.

In India, farmers are dangerously trapped in a low profit business and would like to escape it, but unfortunately, they are also trapped in world history:

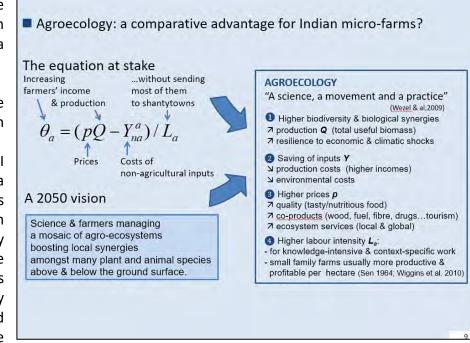
• Firstly, they can no more emigrate massively to land-abundant regions, as did the Western Europeans to the New World from 1850 to WWI and even after;

• Secondly, they have to compete a lot to find a job in nonfarm sectors since due to automation, these industries are much less labour intensive than they used to be in the past.

And tomorrow, what will be the situation when the workforce of India will reach a billion people according to the latest IIASA projections?



All of this brings me the conclusion to that we need a different development paradigm than the we find one in economics textbooks. And Τ believe that а sustainable path lies in а high-tech agriculture of a very different nature from that which has strongly been encouraged and subsidised over the



last half-century, in India, in France and everywhere else in the world. Because India today faces all the economic, social, nutritional, financial and ecological burdens of conventional intensive agriculture, and because it is also the biggest world democracy with dynamic farmers and a large scientific community, I am convinced that this country could lead a much more sustainable and inclusive agricultural model. The technical and institutional challenges are vast and complex. However, we are not starting from nothing. In recent years, there has been more and more international debates and literature about "agroecology", and here are the seeds for a paradigm shift in our thinking of agriculture, especially smallholder agriculture that will continue to remain dominant in the world.

Agroecology embraces many definitions. In my opinion, agroecology does not completely ban the use of industrial inputs like in organic farming or permaculture. But in agroecology, increase in agricultural productivity does not rest on few large-scale monocultures and an intensive use of water, fossil fuels and agrochemical inputs, but rather on context-specific agro-ecosystems boosting biological synergies below and above ground, amongst numerous plant and animal species, from soil fungi to trees, from soil bacteria or worms to buffalos, etc.

According to me, boosting biodiversity and ecological functions in each unique agroecosystem is highly complex and requires marrying the best science with traditional indigenous knowledge. But compared to current techno-centric modern agriculture, this agroecology is likely to be in the long run:

(a) more productive per unit of land,

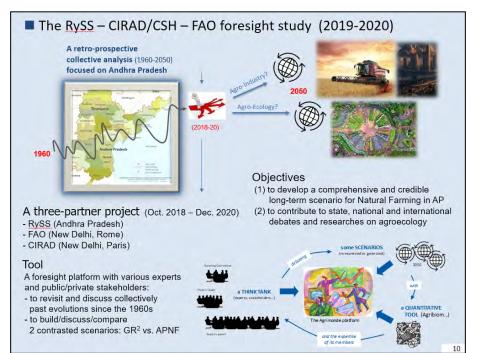
(b) more resilient to climatic or economic shocks,

(c) more labour-intensive than capital-intensive,

(d) more profitable for farmers if commodities of higher quality (diversified tasty nutritious food) and

(e) ecosystem services of local and global importance are equitably priced on local and international markets, such as safe water, biodiversity pools, soil fertility, nutrient recycling, pollination, disease and flood control, climate mitigation and adaptation, etc.

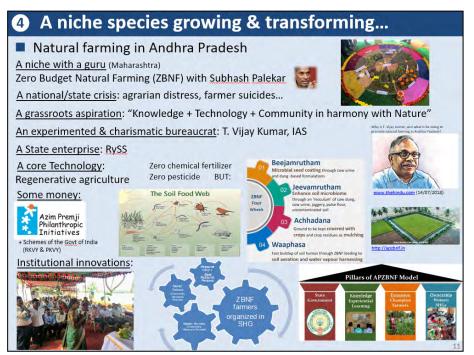
What would a world agro-ecology with and a large number of farmers look like compared to a world of agro-industry and almost no farmers? Can this world feed the population? Will it lead to income convergence? Under conditions? what Will we have to replace the current massive inputs and food subsidies with PES? It is precisely to try to answer these



kinds of questions that we launched a 2-year foresight project, which will adapt and use my Agribiom model built for collective future studies, and which will be based on a form of agroecology which is developing in Andhra Pradesh under the name ZBNF.

So what is Natural farming in Andhra Pradesh?

Since 2014, the vision government of this southern State of India is to enhance both short term and long term farmer's welfare, particularly small and marginal farmers, through climate change resilient. low cost 'zero budget' natural farming (ZBNF). Its

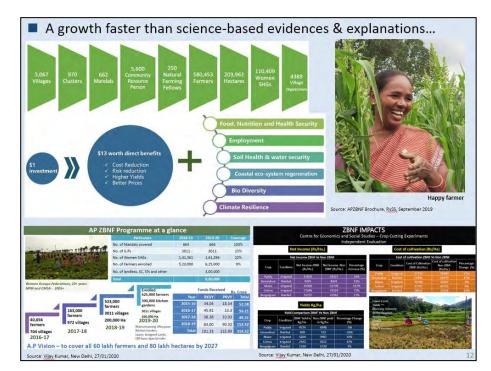


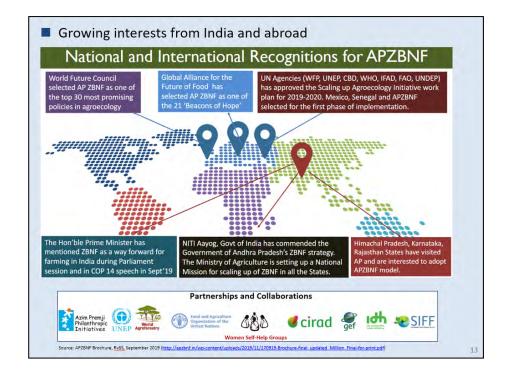
core technology is "Regenerative Agriculture", a holistic land management practice that leverages the power of photosynthesis in plants to close the carbon cycle, and build soil health, crop resilience and nutrient density. ZBNF reduces farmer's costs through

eliminating external synthetic inputs and utilising in-situ biological resources to rejuvenate the soil, whilst simultaneously increasing yields, restoring ecosystem health and climate resilience through diverse cropping systems

A growth faster than science-based evidences & explanations...

.../...





Growing interests from India and abroad

.../...

First studies or scientific articles



First studies or scientific articles



...until a frontal crash with the dominant species

.../...

... until a frontal crash with the dominant species 5

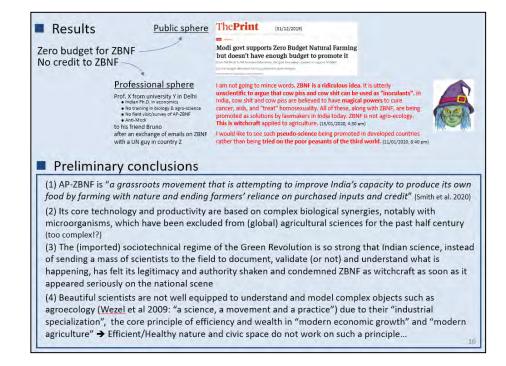
We have to fulfil PM's promise of doubling farmers income.

Short narrative

May 2019	Dr. Rajiv Kumar, Vice-Chairm Chancellor of the <u>Gokhale</u> Institute		Agriculture needs reducing the cost of production and for new model that we are examining very carefully is the so- zero budget natural farming model which eliminates the u chemicals in agriculture (Economic Times, 20/05/2019)	alled
July 2019	Finance Minister Nirmala <u>Sitharaman</u> when presenting the national budget		ZBNF can help in doubling our farmers' income in time for our 75 th year of independence	
Sept. 2019	Prime Minister Narendra Modi when addressing the 14 th Conference of Parties (COP14) to the UNCCD (United Nations Convention to Combat Desertification)		India is focusing on Zero Budget Natural Farming (ZBNF)	
	National Academy of Agricultu after a "full day Brain Storming with "about 70 experts repress disciplines and organisations, p (fertilizer, chemicals and pestic Departments, NGOs, Farmers of	Session" on ZBNF nting scientists across olicy makers, industrialists ide, seeds), Govt.	The Government of India should not needlessly invest capit efforts, time and human resources towards promoting the on the graunds of technical infeasibility for India to explore unproven and unscientifically proposed technology	ZBNF
Nov. 2019	Yearon Budget Natural Familing - 30 A Myth or Reality?	It is the firm opinion of the	A condemne that accompting	
Nov. 2019	TALLS A REAL TO THE REAL	technologies which essenti have inherently low yieldin	Academia francoing ally rely on corp varieties which g potential would be detrimental to ng the Sustainable Development	The past of the

Results and conclusion

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Post-scriptum

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I keep asking myself the question, for more a de how to reconcile the "beautiful scientist" with the "techno- <u>productivist</u> " wit the "wizard" with the "prophe for the greatness of science a	the "dedicated scientist" h the "socio-ecologist" et"
Why I am a Prophet and not a Wizard Linked in Published on 16th February 2018	Bruno Dorin Head "Economics and Development" at CSH (Deitil), Senior Researcher (Economics) at Cirad (Montpellier) and Cired (Parts)
Some year ago, in the aftermath of an international seminar Cerisy-la-Salle (a well-known place in French Normandy for i with Michel Petit (ex. World Bank) and Jean-Luc François (AF entitled "Agriculture, food and globalization: paradoxes and highlighted two visions of the future of agriculture and food the main current controversies and the urgency to overcome	ntellectual meetings and debates of any kind), I published D, French Development Agency) a paper in French <u>controversies</u> " (Dorin et al 2013). In this paper, we in the world, two contrasting visions which give rise to
A few years later, the controversies did not stop at all betwee "socio-ecologists". But Charles C. Mann has now just publish ours, "Can Planet Earth Feed 10 Billion People? Humanity ha recent book "The Wizard and the Prophet". This paper is def and economic battles that have implications down to the far and well documented.	ned in <i>The Atlantic</i> a much better (and longer) piece than <u>as 30 years to find out</u> " (Mann 2018), based on his most initely worth reading. It illuminates the political, scientifi