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# DYNAMICAL NUMBER OF BASE-POINTS OF NON BASE-WANDERING JONQUIÈRES TWISTS

## JULIE DÉSERTI

ABSTRACT. We give some properties of the dynamical number of base-points of birational self-maps of the complex projective plane.

In particular we give a formula to determine the dynamical number of base-points of non base-wandering Jonquières twists.

### 1. Introduction

The *plane Cremona group*  $\operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})$  is the group of birational maps of the complex projective plane  $\mathbb{P}^2_{\mathbb{C}}$ . It is isomorphic to the group of  $\mathbb{C}$ -algebra automorphisms of  $\mathbb{C}(X,Y)$ , the function field of  $\mathbb{P}^2_{\mathbb{C}}$ . Using a system of homogeneous coordinates (x:y:z) a birational map  $f\in\operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})$  can be written as

$$(x:y:z) \longrightarrow (P_0(x,y,z):P_1(x,y,z):P_2(x,y,z))$$

where  $P_0$ ,  $P_1$  and  $P_2$  are homogeneous polynomials of the same degree without common factor. This degree does not depend on the system of homogeneous coordinates. We call it the *degree* of f and denote it by  $\deg(f)$ . Geometrically it is the degree of the pull-back by f of a general projective line. Birational maps of degree 1 are homographies and form the group  $\operatorname{Aut}(\mathbb{P}^2_{\mathbb{C}}) = \operatorname{PGL}(3,\mathbb{C})$  of automorphisms of the projective plane.

# **⋄** Four types of elements.

The elements  $f \in Bir(\mathbb{P}^2_{\mathbb{C}})$  can be classified into exactly one of the four following types according to the growth of the sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  (see [DF01, BD15]):

- (1) The sequence  $(\deg(f^k))_{k\in\mathbb{N}}$  is bounded, f is either of finite order or conjugate to an automorphism of  $\mathbb{P}^2_{\mathbb{C}}$ ; we say that f is an *elliptic element*.
- (2) The sequence  $(\deg(f^k))_{k\in\mathbb{N}}$  grows linearly, f preserves a unique pencil of rational curves and f is not conjugate to an automorphism of any rational projective surface; we call f a *Jonquières twist*.
- (3) The sequence  $(\deg(f^k))_{k\in\mathbb{N}}$  grows quadratically, f is conjugate to an automorphism of a rational projective surface preserving a unique elliptic fibration; we call f a Halphen twist
- (4) The sequence  $(\deg(f^k))_{k\in\mathbb{N}}$  grows exponentially and we say that f is hyperbolic.

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## **⋄** The Jonquières group.

Let us fix an affine chart of  $\mathbb{P}^2_{\mathbb{C}}$  with coordinates (x,y). The *Jonquières group* J is the subgroup of the Cremona group of all maps of the form

$$(x,y) \longrightarrow \left(\frac{A(y)x + B(y)}{C(y)x + D(y)}, \frac{ay + b}{cy + d}\right)$$

$$(1.1)$$

where  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{PGL}(2,\mathbb{C})$  and  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \operatorname{PGL}(2,\mathbb{C}(y))$ . The group J is the group of all birational maps of  $\mathbb{P}^1_{\mathbb{C}} \times \mathbb{P}^1_{\mathbb{C}}$  permuting the fibers of the projection onto the second factor; it is isomorphic to the semi-direct product  $\operatorname{PGL}(2,\mathbb{C}(y)) \rtimes \operatorname{PGL}(2,\mathbb{C})$ .

We can check with (1.1) that if f belongs to J, then  $(\deg(f^k))_{k\in\mathbb{N}}$  grows at most linearly; elements of J are either elliptic or Jonquières twists. Let us denote by  $\mathcal{I}$  the set of Jonquières twist:

$$\mathcal{I} = \{ f \in \operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}}) \mid f \text{ Jonquières twist } \}.$$

A Jonquières twist is called a *base-wandering Jonquières twist* if its action on the basis of the rational fibration has infinite order. Let us denote by  $J_0$  the normal subgroup of J that preserves fiberwise the rational fibration, that is the subgroup of those maps of the form

$$(x,y) \longrightarrow \left(\frac{A(y)x + B(y)}{C(y)x + D(y)}, y\right).$$

The group  $J_0$  is isomorphic to  $PGL(2, \mathbb{C}(y))$ . The group  $J_0$  has three maximal (for the inclusion) uncountable abelian subgroups

$$J_a = \{(x + a(y), y) | a \in \mathbb{C}(y)\},$$
  $J_m = \{(b(y)x, y) | b \in \mathbb{C}(y)^*\}$ 

and

$$\mathbf{J}_F = \left\{ (x, y), \left( \frac{c(y)x + F(y)}{x + c(y)}, y \right) \mid c \in \mathbb{C}[y] \right\}$$

where F denotes an element of  $\mathbb{C}[y]$  that is not a square ([D06]).

Let us associate to 
$$f = \left(\frac{A(y)x + B(y)}{C(y)x + D(y)}, y\right) \in J_0$$
 the matrix  $M_f = \left(\begin{array}{cc} A & B \\ C & D \end{array}\right)$ . The Baum Bott

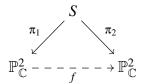
index of f is  $BB(f) = \frac{\left(Tr(M_f)\right)^2}{\det(M_f)}$  (by analogy with the Baum Bott index of a foliation) which is well defined in PGL and is invariant by conjugation. This invariant BB indicates the degree growth:

**Proposition 1.1** ([CD12]). Let f be a Jonquières twist that preserves fiberwise the rational fibration. The rational function BB(f) is constant if and only if f is an elliptic element.

A direct consequence is the following:

**Corollary 1.2.** Let f be a non-base wandering Jonquières twist; the rational function BB(f) is constant if and only if f is an elliptic element.

Every  $f \in Bir(\mathbb{P}^2_{\mathbb{C}})$  admits a resolution



where  $\pi_1$ ,  $\pi_2$  are sequences of blow-ups. The resolution is *minimal* if and only if no (-1)-curve of S are contracted by both  $\pi_1$  and  $\pi_2$ . Assume that the resolution is minimal; the base-points of f are the points blown-up by  $\pi_1$ , which can be points of S or infinitely near points. If f belongs to J, then f has one base-point  $p_0$  of multiplicity d-1 and 2d-2 base-points  $p_1, p_2, \ldots, p_{2d-2}$  of multiplicity 1. Similarly the map  $f^{-1}$  has one base-point  $q_0$  of multiplicity d-1 and 2d-2 base-points  $q_1, q_2, \ldots, q_{2d-2}$  of multiplicity 1. Let us denote by  $f_\sharp$  the action of f on the Picard-Manin space of  $\mathbb{P}^2_{\mathbb{C}}$ , by  $\mathbf{e}_m \in \mathrm{NS}(S)$  the Néron-Severi class of the total transform of m under  $\pi_j$  (for  $1 \leq j \leq 2$ ), and by  $\ell$  the class of a line in  $\mathbb{P}^2_{\mathbb{C}}$ . The action of f on  $\ell$  and the classes  $(\mathbf{e}_{p_j})_{0 \leq j \leq 2d-2}$  is given by:

$$\begin{cases} f_{\sharp}(\ell) = d\ell - (d-1)\mathbf{e}_{q_0} - \sum_{i=1}^{2d-2} \mathbf{e}_{q_i} \\ f_{\sharp}(\mathbf{e}_{p_0}) = (d-1)\ell - (d-2)\mathbf{e}_{q_0} - \sum_{i=1}^{2d-2} \mathbf{e}_{q_i} \\ f_{\sharp}(\mathbf{e}_{p_i}) = \ell - \mathbf{e}_{q_0} - \mathbf{e}_{q_i} \quad \forall 1 \le i \le 2d-2 \end{cases}$$

# **⋄** Dynamical degree.

Given a birational self-map  $f: S \dashrightarrow S$  of a complex projective surface, its dynamical degree  $\lambda(f)$  is a positive real number that measures the complexity of the dynamics of f. Indeed  $\log(\lambda(f))$  provides an upper bound for the topological entropy of f and is equal to it under natural assumptions (see [BD05, DS05]). The dynamical degree is invariant under conjugacy; as shown in [BC16] precise knowledge on  $\lambda(f)$  provides useful information on the conjugacy class of f. By definition a Pisot number is an algebraic integer  $\lambda \in ]1, +\infty[$  whose other Galois conjugates lie in the open unit disk; Pisot numbers include integers  $d \ge 2$  as well as reciprocal quadratic integers  $\lambda > 1$ . A Salem number is an algebraic integer  $\lambda \in ]1, +\infty[$  whose other Galois conjugates are in the closed unit disk, with at least one on the boundary. Diller and Favre proved the following statement:

**Theorem 1.3** ([DF01]). Let f be a birational self-map of a complex projective surface. If  $\lambda(f)$  is different from 1, then  $\lambda(f)$  is a Pisot number or a Salem number.

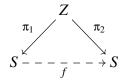
One of the goal of [BC16] is the study of the structure of the set of all dynamical degrees  $\lambda(f)$  where f runs over the group of birational maps Bir(S) and S over the collection of all projective surfaces. In particular they get:

**Theorem 1.4** ([BC16]). Let  $\Lambda$  be the set of all dynamical degrees of birational maps of complex projective surfaces. Then

- $\diamond \Lambda$  is a well ordered subset of  $\mathbb{R}_+$ ;
- $\diamond$  if  $\lambda$  is an element of  $\Lambda$ , there is a real number  $\varepsilon > 0$  such that  $]\lambda, \lambda + \varepsilon]$  does not intersect  $\Lambda$ :
- $\diamond$  there is a non-empty interval  $]\lambda_G, \lambda_G + \varepsilon]$ , with  $\varepsilon > 0$ , on the right of the golden mean that contains infinitely many Pisot and Salem numbers, but does not contain any dynamical degree.

# ♦ **Dynamical number of base-points** ([BD15]).

If *S* is a projective smooth surface, every  $f \in Bir(S)$  admits a resolution



where  $\pi_1$ ,  $\pi_2$  are sequences of blow-ups. The resolution is *minimal* if and only if no (-1)-curve of Z are contracted by both  $\pi_1$  and  $\pi_2$ . Assume that the resolution is minimal; the base-points of f are the points blown-up by  $\pi_1$ , which can be points of f or infinitely near points. We denote by f0 the number of such points, which is also equal to the difference of the ranks of f1 and f2, and f3, and thus equal to f3.

Let us define the dynamical number of base-points of f by

$$\mu(f) = \lim_{k \to +\infty} \frac{\mathfrak{b}(f^k)}{k}.$$

Since  $\mathfrak{b}(f \circ \varphi) \leq \mathfrak{b}(f) + \mathfrak{b}(\varphi)$  for any f,  $\varphi \in \operatorname{Bir}(S)$  we see that  $\mu(f)$  is a non-negative real number. Moreover,  $\mathfrak{b}(f^{-1})$  and  $\mathfrak{b}(f)$  being equal we get  $\mu(f^k) = |k\mu(f)|$  for any  $k \in \mathbb{Z}$ . Furthermore, the dynamical number of base-points is an invariant of conjugation: if  $\psi \colon S \dashrightarrow Z$  is a birational map between smooth projective surfaces and if f belongs to  $\operatorname{Bir}(S)$ , then  $\mu(f) = \mu(\psi \circ f \circ \psi^{-1})$ . In particular if f is conjugate to an automorphism of a smooth projective surface, then  $\mu(f) = 0$ . The converse holds, *i.e.*  $f \in \operatorname{Bir}(S)$  is conjugate to an automorphism of a smooth projective surface if and only if  $\mu(f) = 0$  ([BD15, Proposition 3.5]). This follows from the geometric interpretation of  $\mu$  we will recall now. If  $f \in \operatorname{Bir}(S)$  is a birational map, a (possibly infinitely near) base-point p of p is a persistent base-point of p if there exists an integer p such that p is a base-point of p for any p but is not a base-point of p for any p but an equivalence relation on the set of points that belongs to p or are

infinitely near: take a minimal resolution of f

where  $\pi_1$ ,  $\pi_2$  are sequences of blow-ups; the point p is equivalent to q if there exists an integer k such that  $(\pi_2 \circ \pi_1^{-1})^k(p) = q$ . Denote by  $\nu$  the number of equivalence classes of persistent base-points of f; then the set

$$\{\mathfrak{b}(f^k) - \mathsf{v}k \mid k \geq 0\} \subset \mathbb{Z}$$

is bounded. In particular,  $\mu(f)$  is an integer, equal to v (*see* [BD15, Proposition 3.4]). This gives a bound for  $\mu(f)$ ; indeed, if  $f \in Bir(\mathbb{P}^2_{\mathbb{C}})$  is a map whose base-points have multiplicities  $m_1 \ge m_2 \ge ... \ge m_r$  then (*see for instance* [ACn02, §2.5] and [ACn02, Corollary 2.6.7])

$$\begin{cases} \sum_{i=1}^{r} m_i = 3(\deg(f) - 1) \\ \sum_{i=1}^{r} m_i^2 = \deg(f)^2 - 1 \\ m_1 + m_2 + m_3 \ge \deg(f) + 1 \end{cases}$$

in particular,  $r \le 2 \deg(f) - 1$  so  $v \le 2 \deg(f) - 1$  and  $\mu(f) \le 2 \deg(f) - 1$ .

If  $f \in Bir(\mathbb{P}^2_{\mathbb{C}})$  is a Jonquières twist, then there exists an integer  $a \in \mathbb{N}$  such that

$$\lim_{k \to +\infty} \frac{\deg(f^k)}{k} = a^2 \frac{\mu(f)}{2};$$

moreover, a is the degree of the curves of the unique pencil of rational curves invariant by f (see [BD15, Proposition 4.5]). In particular, a=1 if and only if f preserves a pencil of lines. On the one hand  $\{\mu(f) \mid f \in \operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})\} \subseteq \mathbb{N}$  and on the other hand if f belongs to  $\mathcal{I}$ , then  $\mu(f) > 0$ ; as a result

$$\{\mu(f) | f \in \mathcal{I}\} \subseteq \mathbb{N} \setminus \{0\}.$$

Let us recall that if  $f_{\alpha,\beta}=\left(\frac{\alpha x+y}{x+1},\beta y\right)$  then  $\mu(f_{\alpha,\beta})=1$ . Indeed, by induction one can prove that  $f_{\alpha,\beta}^{2n}=\left(\frac{P_n(x,y)}{Q_n(x,y)},\beta^{2n}y\right)$  with

$$P_n(x,y) = \sum_{0 \le i+j \le n+1} a_{ij} x^i y^j \qquad Q_n(x,y) = \sum_{0 \le i+j \le n} b_{ij} x^i y^j$$

and  $a_{ij} \geq 0$ ,  $b_{ij} \geq 0$  for any  $n \geq 0$ , so that  $\deg f_{\alpha,\beta}^{2n} = n+1$  for any  $n \geq 0$ ; we conclude using the fact that  $\mu(f) = 2 \lim_{k \to +\infty} \frac{\deg(f^k)}{k}$ . Furthermore,  $\mu(f_{\alpha,\beta}^k) = |k\mu(f_{\alpha,\beta})| = |k|$  for any  $k \in \mathbb{Z}$ . Hence

$$\{\mu(f) | f \in \mathcal{I}\} = \mathbb{N} \setminus \{0\}$$

and

$$\{\mu(f) | f \in \operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})\} = \mathbb{N}.$$

As we have seen if f belongs to  $\mathcal{I}$ , then  $\mu(f) = 2\lim_{k \to +\infty} \frac{\deg(f^k)}{k}$ . Can we express  $\mu(f)$  in a simpliest way? We will see that if f is a non base-wandering Jonquières twist, the answer is yes.

### ♦ Results.

The dynamical number of base-points of birational self maps of the complex projective plane satisfies the following properties:

**Theorem A.** 1. If f is a birational self-map from  $\mathbb{P}^2_{\mathbb{C}}$  into itself, then its dynamical number of base-points is bounded: if  $f \in \operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})$ , then  $\mu(f) \leq 2\deg(f) - 1$ .

2. We can precise the set of all dynamical numbers of base-points of birational maps of  $\mathbb{P}^2_{\mathbb{C}}$  (resp. of Jonquières maps of  $\mathbb{P}^2_{\mathbb{C}}$ ):

$$\left\{\mu(f)\,|\,f\in \mathrm{Bir}(\mathbb{P}^2_\mathbb{C})\right\}=\mathbb{N}\qquad \qquad and\qquad \qquad \left\{\mu(f)\,|\,f\in\mathcal{I}\right\}=\mathbb{N}\smallsetminus\{0\}.$$

- 3. There exist sequences  $(f_n)_n$  of birational self-maps of  $\mathbb{P}^2_{\mathbb{C}}$  such that
  - $\diamond \mu(f_n) > 0$  for any  $n \in \mathbb{N}$ ;

$$\diamond \mu \Big( \lim_{n \to +\infty} f_n \Big) = 0.$$

- 4. There exist sequences  $(f_n)_n$  of birational self-maps of  $\mathbb{P}^2_{\mathbb{C}}$  such that
  - $\diamond \mu(f_n) = 0$  for any  $n \in \mathbb{N}$ ;

$$\diamond \mu \Big( \lim_{n \to +\infty} f_n \Big) > 0.$$

Let us now give a formula to determine the dynamical number of base-points of Jonquières twists that preserves fiberwise the fibration.

**Theorem B.** Let  $f = \left(\frac{A(y)x + B(y)}{C(y)x + D(y)}, y\right)$  be a Jonquières twist that preserves fiberwise the fibration, and let  $M_f$  be its associated matrix. Denote by  $\text{Tr}(M_f)$  the trace  $M_f$ , by  $\chi_f$  the characteristic polynomial of  $M_f$ , and by  $\Delta_f$  the discriminant of  $\chi_f$ . Then exactly one of the following holds:

1. If  $\chi_f$  has two distinct roots in  $\mathbb{C}[y]$ , then f is conjugate to  $g = \left(\frac{\operatorname{Tr}(M_f) + \delta_f}{\operatorname{Tr}(M_f) - \delta_f}x, y\right)$ , where  $\delta_f^2 = \Delta_f$ , and

$$\mu(f) = \mu(g) = 2(\deg(g) - 1).$$

2. If  $\chi_f$  has no root in  $\mathbb{C}[y]$ , set

$$\Omega_f = \gcd\left(\frac{\operatorname{Tr}(M_f)}{2}, \left(\frac{\operatorname{Tr}(M_f)}{2}\right)^2 - \det(M_f)\right)$$

and let us define  $P_f$  and  $S_f$  as

$$\frac{\mathrm{Tr}(M_f)}{2} = P_f \Omega_f, \qquad \qquad \left(\frac{\mathrm{Tr}(M_f)}{2}\right)^2 - \det(M_f) = S_f \Omega_f.$$

- 2.a. If  $gcd(\Omega_f, S_f) = 1$ , then
  - $\Leftrightarrow if \deg(S_f) \leq \deg(\Omega_f) + 2\deg(P_f), then \mu(f) = \deg(\Omega_f) + 2\deg(P_f);$
  - $\diamond$  otherwise  $\mu(f) = \deg(S_f)$ .
- 2.b. If  $S_f = \Omega_f^p T_f$  with  $p \ge 1$  and  $\gcd(T_f, \Omega_f) = 1$ , then  $\Rightarrow if \deg(S_f) \le \deg(\Omega_f) + 2\deg(P_f)$ , then  $\mu(f) = 2\deg(P_f)$ ;

  - $\diamond$  otherwise  $\mu(f) = \deg(S_f) \deg(\Omega_f)$ .
- 2.c. If  $\Omega_f = S_f^p T_f$  with  $p \ge 1$  and  $\gcd(T_f, S_f) = 1$ , then  $\mu(f) = 2\deg(P_f) + \deg(\Omega_f) 2\deg(P_f)$  $\deg(S_f)$ .

As a consequence we are able to determine the dynamical number of base-points of non base-wandering Jonquières twists:

**Corollary C.** Let  $f = (f_1, f_2)$  be a non base-wandering Jonquières twist. If  $\ell$  is the order of  $f_2$ , then  $\mu(f) = \frac{\mu(f^{\ell})}{\ell}$  where  $\mu(f^{\ell})$  is given by Theorem B.

Combining the inequalities obtained in Theorem A and Theorem B we get the following statement (we use the notations introduced in Theorem B):

**Corollary D.** Let f be a Jonquières twist that preserves fiberwise the fibration. Assume that  $\chi_f$ *has two distinct roots in*  $\mathbb{C}[y]$ *.* 

Then there exists a conjugate g of f such that g belongs to  $J_m$  and  $deg(g) \leq deg(f)$ . For instance  $g = \left(\frac{\operatorname{Tr}(M_f) + \delta_f}{\operatorname{Tr}(M_f) - \delta_f} x, y\right)$  suits.

2. DYNAMICAL NUMBER OF BASE-POINTS OF JONQUIÈRES TWISTS

In this section we will prove Theorem B.

Let f be an element of  $J_0$ ; write f as  $\left(\frac{A(y)x+B(y)}{C(y)x+D(y)},y\right)$  with  $A, B, C, D \in \mathbb{C}[y]$ . The characteristic polynomial of  $M_f = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  is  $\chi_f(X) = X^2 - \text{Tr}(M_f)X + \det(M_f)$ . There are three possibilities:

- (1)  $\chi_f$  has one root of multiplicity 2 in  $\mathbb{C}[y]$ ;
- (2)  $\chi_f$  has two distinct roots in  $\mathbb{C}[y]$ ;
- (3)  $\chi_f$  has no root in  $\mathbb{C}[y]$ .

Let us consider these three possibilities.

(1) If  $\chi_f$  has one root of multiplicity 2 in  $\mathbb{C}[y]$ , then f is conjugate to the elliptic birational map (x+a(y),y) of  $J_a$ . In particular f does not belong to  $\mathcal{I}$ .

(2) Assume that  $\chi_f$  has two distinct roots. The discriminant of  $\chi_f$  is

$$\Delta_f = (\operatorname{Tr}(M_f))^2 - 4\det(M_f) = \delta_f^2$$

and the roots of  $\chi_f$  are

$$\frac{\operatorname{Tr}(M_f) + \delta_f}{2}$$
 and  $\frac{\operatorname{Tr}(M_f) - \delta_f}{2}$ .

Furthermore,  $M_f$  is conjugate to  $\begin{pmatrix} \frac{\operatorname{Tr}(M_f)+\delta_f}{2} & 0 \\ 0 & \frac{\operatorname{Tr}(M_f)-\delta_f}{2} \end{pmatrix}$ , *i.e.* f is conjugate to  $g=(a(y)x,y)\in \mathbb{R}$ 

 $J_m$  with  $a(y) = \frac{\operatorname{Tr}(M_f) + \delta_f}{\operatorname{Tr}(M_f) - \delta_f}$ . Let us first express  $\mu(g)$  thanks to  $\deg(g)$ . Remark that  $g^k = (a(y)^k x, y)$ . Write  $a(y)^j$  as  $\frac{P_j(y)}{Q_j(y)}$  where  $P_j$ ,  $Q_j \in \mathbb{C}[y]$ ,  $\gcd(P_j, Q_j) = 1$ , then  $\deg(g^j) = \max(\deg(P_j), \deg(Q_j)) + 1$ . But  $\deg(P_j) = j \deg(P)$  and  $\deg(Q_j) = j \deg(Q)$  so

$$\deg(g^k) = \max(k \deg(P_f), k \deg(Q_1)) + 1 = k \underbrace{\max(\deg(P_f), \deg(Q_1))}_{\deg(g) - 1} + 1.$$

As a consequence  $\deg(g^k) = k \deg(g) - k + 1$ . According to  $\mu(g) = 2 \lim_{k \to +\infty} \frac{\deg(g^k)}{k}$  we get

$$\mu(g) = 2\lim_{k \to +\infty} \left( \deg(g) - 1 + \frac{1}{k} \right) = 2(\deg(g) - 1).$$

Let us now express  $\mu(f)$  thanks to f. Since f and g are conjugate  $\mu(f) = \mu(g)$  hence  $\mu(f) = 2(\deg(g) - 1)$ . But  $g = \left(\frac{\operatorname{Tr}(M_f) + \delta_f}{\operatorname{Tr}(M_f) - \delta_f}x, y\right)$ ; in particular

$$\deg(g) \leq 1 + \max\left(\deg(\mathrm{Tr}(M_f) + \delta_f), \deg(\mathrm{Tr}(M_f) - \delta_f)\right)$$

and  $\mu(f) \le 2 \max (\deg(\operatorname{Tr}(M_f) + \delta_f), \deg(\operatorname{Tr}(M_f) - \delta_f)).$ 

(3) Suppose that  $\chi_f$  has no root in  $\mathbb{C}[y]$ . This means that  $(\operatorname{Tr}(M_f))^2 - 4\det(M_f)$  is not a square in  $\mathbb{C}[y]$  (hence  $BC \neq 0$ ). Note that

$$\left(\begin{array}{cc} C & \frac{D-A}{2} \\ 0 & 1 \end{array}\right) \left(\begin{array}{cc} A & B \\ C & D \end{array}\right) \left(\begin{array}{cc} C & \frac{D-A}{2} \\ 0 & 1 \end{array}\right)^{-1} = \left(\begin{array}{cc} \frac{\operatorname{Tr}(M_f)}{2} & \left(\frac{\operatorname{Tr}(M_f)}{2}\right)^2 - \det(M_f) \\ 1 & \frac{\operatorname{Tr}(M_f)}{2} \end{array}\right).$$

In other words f is conjugate to

$$g = \left(\frac{\frac{\operatorname{Tr}(M_f)}{2}x + \left(\frac{\operatorname{Tr}(M_f)}{2}\right)^2 - \det(M_f)}{x + \frac{\operatorname{Tr}(M_f)}{2}}, y\right) \in J_{\frac{\operatorname{Tr}(M_f)}{2}}.$$

Set  $P(y) = \frac{\operatorname{Tr}(M_f)}{2} \in \mathbb{C}[y]$  and  $F(y) = \left(\frac{\operatorname{Tr}(M_f)}{2}\right)^2 - \det(M_f) \in \mathbb{C}[y]$ , *i.e.* f is conjugate to  $g = \left(\frac{P(y)x + F(y)}{x + P(y)}, y\right)$  with  $P, F \in \mathbb{C}[y]$ . Denote by  $d_P$  (resp.  $d_F$ ) the degree of P (resp. F). Remark that  $\deg(g) = \max(d_P + 1, d_F, 2)$ .

Let us now express  $deg(g^k)$ . Consider  $M_g = \begin{pmatrix} P & F \\ 1 & P \end{pmatrix}$  and set

$$Q = \begin{pmatrix} \sqrt{F} & -\sqrt{F} \\ 1 & 1 \end{pmatrix}$$
 and  $D = \begin{pmatrix} P + \sqrt{F} & 0 \\ 0 & P - \sqrt{F} \end{pmatrix}$ 

Then  $M_g^k = QD^kQ^{-1}$  hence

$$M_g^k = \begin{pmatrix} \sqrt{F} \frac{(P + \sqrt{F})^k + (P - \sqrt{F})^k}{(P + \sqrt{F})^k - (P - \sqrt{F})^k} & F \\ 1 & \sqrt{F} \frac{(P + \sqrt{F})^k + (P - \sqrt{F})^k}{(P + \sqrt{F})^k - (P - \sqrt{F})^k} \end{pmatrix}$$

Let us set

$$\Upsilon_k = \sqrt{F} \frac{(P + \sqrt{F})^k + (P - \sqrt{F})^k}{(P + \sqrt{F})^k - (P - \sqrt{F})^k}$$

and let us denote by  $D_k$  (resp.  $N_k$ ) the denominator (resp. numerator) of  $\Upsilon_k$ .

**Lemma 2.1.** Let  $\Omega_f = \gcd(P, F)$  and write P (resp. F) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Assume  $\gcd(S_f, \Omega_f) = 1$ . Then

- $\diamond if d_{S_f} \leq d_{\Omega_f} + 2d_{P_f}, then \ \mu(g) = d_{\Omega_f} + 2d_{P_f};$
- $\diamond$  otherwise  $\mu(g) = d_{S_f}$ .

*Proof.* (a) Assume k even, write k as  $2\ell$ . A straightforward computation yields to

$$\Upsilon_{2\ell} = rac{\displaystyle \sum_{j=0}^{\ell} inom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \displaystyle \sum_{j=0}^{\ell-1} inom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j}$$

Recall that  $gcd(\Omega_f, S_f) = 1$  by assumption and  $gcd(\Omega_f, P_f) = 1$  by construction. On the one hand

$$\deg(N_{2\ell}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell}) = \begin{cases} d_{P_f} + (\ell - 1) \left( d_{\Omega_f} + 2d_{P_f} \right) & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ d_{P_f} + (\ell - 1) d_{S_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell}) = \begin{cases} \max\left(\ell(d_{\Omega_f} + 2d_{P_f}) + 1, d_{S_f} + \ell d_{\Omega_f} + (2\ell - 1)d_{P_f}, (\ell - 1)d_{\Omega_f} + (2\ell - 1)d_{P_f} + 2\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} + 1, d_{\Omega_f} + d_{P_f} + \ell d_{S_f}, d_{P_f} + (\ell - 1)d_{S_f} + 2\right) & \text{otherwise} \end{cases}$$

(b) Suppose k odd, write k as  $2\ell + 1$ . A straightforward computation yields to

$$\Upsilon_{2\ell+1} = P_f \Omega_f \; rac{\displaystyle \sum_{j=0}^{\ell} inom{2\ell+1}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{\displaystyle \sum_{i=0}^{\ell} inom{2\ell+1}{2j+1} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}$$

Let us recall that  $gcd(\Omega_f, S_f) = 1$  by assumption and  $gcd(\Omega_f, P_f) = 1$  by construction. On the one hand

$$\deg(N_{2\ell+1}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) + d_{\Omega_f} + d_{P_f} & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} + d_{P_f} + d_{\Omega_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell+1}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell+1}) = \begin{cases} \max\left((\ell+1)d_{\Omega_f} + (2\ell+1)d_{P_f} + 1, (\ell+1)d_{\Omega_f} + 2\ell d_{P_f} + d_{S_f}, \ell(d_{\Omega_f} + 2d_{P_f}) + 2\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} + d_{P_f} + d_{\Omega_f} + 1, (\ell+1)d_{S_f} + d_{\Omega_f}, \ell d_{S_f} + 2\right) & \text{otherwise} \end{cases}$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \to +\infty} \frac{\deg(g^k)}{k}$ .

**Lemma 2.2.** Let  $\Omega_f = \gcd(P, F)$  and write P (resp. F) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Suppose that  $S_f = \Omega_f^p T_f$  with  $p \ge 1$  and  $\gcd(T_f, \Omega_f) = 1$ . Then

- $\diamond$  if  $d_{S_f} \leq d_{\Omega_f} + 2d_{P_f}$ , then  $\mu(g) = 2d_{P_f}$ ;
- $\diamond$  otherwise  $\mu(g) = d_{S_f} d_{\Omega_f}$ .

*Proof.* (a) Assume k even, write k as  $2\ell$ . We get

$$\Upsilon_{2\ell} = \frac{\sum\limits_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \sum\limits_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j} = \frac{\Omega_f \sum\limits_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}{P_f \sum\limits_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{(p-1)j} P_f^{2(\ell-1-j)} T_f^j}$$

Recall that  $gcd(\Omega_f, T_f) = 1$  and that  $d_{S_f} = pd_{\Omega_f} + d_{T_f}$ , *i.e.*  $d_{T_f} = d_{S_f} - pd_{\Omega_f}$ . On the one hand

$$\deg(N_{2\ell}) = \begin{cases} 2\ell d_{P_f} + d_{\Omega_f} & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} + (1 - \ell)d_{\Omega_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell}) = \left\{ \begin{array}{l} (2\ell - 1)d_{P_f} & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ (\ell - 1)(d_{S_f} - d_{\Omega_f}) + d_{P_f} & \text{otherwise} \end{array} \right.$$

Finally

$$\deg(g^{2\ell}) = \begin{cases} \max\left(2\ell d_{P_f} + d_{\Omega_f} + 1, (2\ell - 1)d_{P_f} + d_{\Omega_f} + d_{S_f}, (2\ell - 1)d_{P_f} + 1\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} - (\ell - 1)d_{\Omega_f} + 1, \ell d_{S_f} + (2 - \ell)d_{\Omega_f} + d_{P_f}, (\ell - 1)(d_{S_f} - d_{\Omega_f}) + d_{P_f} + 1\right) & \text{otherwise} \end{cases}$$

(b) Suppose k odd, write k as  $2\ell + 1$ . We get

$$\Upsilon_{2\ell+1} = \frac{P_f \Omega_f \sum_{j=0}^{\ell} \binom{2\ell+1}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j} = \frac{P_f \Omega_f \sum_{j=0}^{\ell} \binom{2\ell+1}{2j} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}$$

On the one hand

$$\deg(N_{2\ell+1}) = \begin{cases} (2\ell+1)d_{P_f} + d_{\Omega_f} & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} - (\ell-1)d_{\Omega_f} + d_{P_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell+1}) = \begin{cases} 2\ell d_{P_f} & \text{if } d_{S_f} \le d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} - \ell d_{\Omega_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell+1}) = \begin{cases} \max\Big((2\ell+1)d_{P_f} + d_{\Omega_f} + 1, 2\ell d_{P_f} + d_{\Omega_f} + d_{S_f}, 2\ell d_{P_f} + 1\Big) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\Big(\ell d_{S_f} - (\ell-1)d_{\Omega_f} + d_{P_f} + 1, (\ell+1)d_{S_f} - (\ell-1)d_{\Omega_f}, \ell d_{S_f} - \ell d_{\Omega_f} + 1\Big) & \text{otherwise} \end{cases}$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \to +\infty} \frac{\deg(g^k)}{k}$ .

**Lemma 2.3.** Let  $\Omega_f = \gcd(P, F)$  and write P (resp. F) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Suppose that  $\Omega_f = S_f^p T_f$  with  $p \ge 1$  and  $\gcd(T_f, S_f) = 1$ . Then

$$\mu(g) = 2d_{P_f} + d_{\Omega_f} - d_{S_f}.$$

*Proof.* (a) Assume k even, write k as  $2\ell$ . We obtain

$$\Upsilon_{2\ell} = \frac{\sum\limits_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \sum\limits_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j} = \frac{S_f \sum\limits_{j=0}^{\ell} \binom{2\ell}{2j} S_f^{j(p-1)} P_f^{2j} T_f^j}{P_f \sum\limits_{j=0}^{\ell-1} \binom{2\ell}{2j+1} S_f^{j(p-1)} P_f^{2j} T_f^j}$$

Recall that  $gcd(S_f, T_f) = 1$ ; one has

$$\deg(N_{2\ell}) = (p\ell - \ell + 1)d_{S_f} + 2\ell d_{P_f} + \ell d_{T_f}$$

and

$$\deg(D_{2\ell}) = (\ell - 1)(p - 1)d_{S_f} + (2\ell - 1)d_{P_f} + (\ell - 1)d_{T_f}$$

Finally

$$\deg(g^{2\ell}) = \max\left((p\ell - \ell + 1)d_{S_f} + 2\ell d_{P_f} + \ell d_{T_f} + 1, \\ (\ell(p-1) + 2)d_{S_f} + (2\ell - 1)d_{P_f} + \ell d_{T_f}, \\ (\ell - 1)(p-1)d_{S_f} + (2\ell - 1)d_{P_f} + (\ell - 1)d_{T_f} + 2\right)$$

(b) Suppose k odd, write k as  $2\ell + 1$ . We get

$$\Upsilon_{2\ell+1} = \frac{\sum\limits_{j=0}^{\ell} \binom{2\ell+1}{2j} P^{2\ell+1-2j} F^j}{\sum\limits_{j=0}^{\ell} \binom{2\ell+1}{2j+1} P^{2\ell-2j} F^j} = \frac{S_f^p T_f P_f \sum\limits_{j=0}^{\ell} \binom{2\ell+1}{2(\ell-j)} S_f^{j(p-1)} T_f^j P_f^{2j}}{\sum\limits_{j=0}^{\ell} \binom{2\ell+1}{2j} S_f^{j(p-1)} T_f^j P_f^{2j}}.$$

On the one hand

$$\deg(N_{2\ell+1}) = (p + \ell(p-1))d_{S_f} + (\ell+1)d_{T_f} + (2\ell+1)d_{P_f},$$

and on the other hand

$$\deg(D_{2\ell+1}) = 2\ell d_{P_f} + \ell d_{T_f} + \ell(p-1)d_{S_f}.$$

Finally

$$\deg(g^{2\ell+1}) = \max\left((p+\ell(p-1))d_{S_f} + (\ell+1)d_{T_f} + (2\ell+1)d_{P_f} + 1, (p+1+\ell(p-1))d_{S_f} + 2\ell d_{P_f} + (\ell+1)d_{T_f}, 2\ell d_{P_f} + \ell d_{T_f} + \ell(p-1)d_{S_f} + 2\right)$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \to +\infty} \frac{\deg(g^k)}{k}$ .

## 3. Examples

In this section we will give examples that illustrate Theorem B; more precisely §3.1 (resp. §3.2) illustrates Theorem B.1. (resp. Theorem B.2.)

# 3.1. Example that illustrates Theorem B.1.

3.1.1. First example. Consider the birational map of J given in the affine chart x = 1 by f = (y, (1 - y)yz). The matrix associated to f is

$$M_f = \left(\begin{array}{cc} (1-y)y & 0\\ 0 & 1 \end{array}\right),$$

and the Baum Bott index BB(f) of f is  $\frac{\left((1-y)y+1\right)^2}{(1-y)y}$ ; in particular f belongs to  $\mathcal{I}$  (Proposition 1.1). The characteristic polynomial of  $M_f$  is

$$\chi_f(X) = (X - (1 - y)y)(X - 1).$$

According to Theorem B.1. one has  $\mu(f) = 4 \le 2 \max (\deg(2), \deg(2(1-y)y)) = 4$ .

We can see it another way: [CD12] asserts that  $\deg(f^k) = k \deg(f) - k + 1 = 3k - k + 1 = 2k + 1$ . Consequently  $\mu(f) = 2 \lim_{k \to +\infty} \frac{\deg(f^k)}{k} = 2 \lim_{k \to +\infty} \frac{2k+1}{k} = 4$ .

A third way to see this is to look at the configuration of the exceptional divisors. For any  $k \ge 1$  one has  $f^k = (x^{2k+1} : x^{2k}y : (x-y)^k y^k z)$ . The configuration of the exceptional divisors of  $f^k$  is

$$E_{2k-1}$$
  $E_2$   $E_3$   $E_1$ 

$$F_{2}$$
  $F_{2k-1}$   $F_{2k+1}$   $F_{2k+1}$   $F_{2k+1}$   $F_{2k+1}$ 

where

- two curves are related by an edge if their intersection is positive;
- the self-intersections correspond to the shape of the vertices;
- $\diamond$  the point means self-intersection -1, the rectangle means self-intersection -2k.

In particular the number of base-points of  $f^k$  is 2k + 2k + 1 = 4k + 1 and

$$\mu(f) = \lim_{k \to +\infty} \frac{\#\mathfrak{b}(f^k)}{k} = 4.$$

3.1.2. *Second example*. Consider the birational map of J given in the affine chart z = 1 by f = (x, xy + x(x-1)). The matrix associated to f is

$$M_f = \left(\begin{array}{cc} x & x(x-1) \\ 0 & 1 \end{array}\right);$$

according to Proposition 1.1 the map f is a Jonquières twist (indeed  $BB(f) = \frac{(1+x)^2}{x} \in \mathbb{C}(x) \setminus \mathbb{C}$ ). The characteristic polynomial of  $M_f$  is  $\chi_f(X) = (X-x)(X-1)$ . and f is conjugate to g = (x,xy). According to Theorem B.1. one has

$$\mu(f) = \mu(g) = 2(\deg(g) - 1) = 2 \le 2\max(\deg(2), \deg(2(1 - y)y) = 2.$$

We can see it another way: for any  $k \ge 1$  one has  $f^k = \left(x, x^k y + x^{k+1} - x\right)$  and thus  $\deg(f^k) = k+1$ . As a result  $\mu(f) = 2\lim_{k \to +\infty} \frac{\deg(f^k)}{k} = 2 \times 1 = 2$ .

# 3.2. Examples that illustrate Theorem B.2.

3.2.1. First example. Consider the map of J given in the affine chart y = 1 by

$$f = \left(x, \frac{x(1-xz)}{z}\right).$$

The matrix associated to f is

$$M_f = \left(\begin{array}{cc} -x^2 & x \\ 1 & 0 \end{array}\right),$$

the Baum Bott index BB(f) of f is  $-x^3$  and f belongs to  $\mathcal{I}$  (Proposition 1.1).

Theorem B.2.a. asserts that  $\mu(f)=3$ . We can see it another way: a computation gives  $\deg(f^{2k})=3k+1$  and  $\deg(f^{2k+1})=3(k+1)$  for any  $k\geq 0$ . Since  $\mu(f)=2\lim_{k\to +\infty}\frac{\deg(f^k)}{k}$  one gets  $\mu(f)=3$ .

3.2.2. Second example. Consider the map f of J associated to the matrix

$$M_f = \left( \begin{array}{cc} y & 2y^8 \\ y & 1 \end{array} \right).$$

The Baum Bott index BB(f) of f is  $\frac{(y+1)^2}{y(1-2y^8)}$  and f belongs to  $\mathcal{I}$  (Proposition 1.1). Theorem B.2.a. asserts that  $\mu(f)=9$ . We can see it another way: a computation gives  $\deg(f^{2k})=9k+1$  and  $\deg(f^{2k+1})=9k+8$  for any  $k\geq 0$ . Since  $2\lim_{k\to +\infty}\frac{\deg(f^k)}{k}=\mu(f)$  one gets  $\mu(f)=9$ .

3.2.3. *Third example*. Let us consider the Jonquières map of  $\mathbb{P}^2_{\mathbb{C}}$  given in the affine chart z=1 by

$$f = \left(\frac{y(y+2)x + y^5}{x + y(y+2)}, y\right).$$

The matrix associated to f is

$$M_f = \left(\begin{array}{cc} y(y+2) & y^5 \\ 1 & y(y+2) \end{array}\right)$$

and the Baum Bott index BB(f) of f is  $\frac{4(y+2)^2}{(y+2)^2-y^5}$ . In particular f is a Jonquières twist (Proposition 1.1).

According to Theorem B.2.b. one has  $\mu(f) = 3$ . An other way to see that is to compute deg  $f^k$  for any k: for any  $\ell > 1$  one has

$$\deg(f^{2\ell}) = 3(\ell+1),$$
  $\deg(f^{2\ell+1}) = 3\ell+5.$ 

Then we find again  $\mu(f) = 2 \lim_{k \to +\infty} \frac{\deg(f^k)}{k} = 3$ .

3.2.4. Fourth example. Consider the map f of J associated to the matrix

$$M_f = \begin{pmatrix} y(y+2)^8 & y^5 \\ 1 & y(y+2)^8 \end{pmatrix}.$$

The Baum Bott index BB(f) of f is  $\frac{4(y+2)^{16}}{(y+2)^{16}-y^3}$  and f belongs to  $\mathcal{I}$  (Proposition 1.1). According to Theorem B.2.b. one has  $\mu(f)=16$ . An other way to see that is to compute  $\deg f^k$  for any k: for any  $k\geq 1$  one has  $\deg f^k=8k+2$ . Then we find again  $\mu(f)=2\lim_{k\to +\infty}\frac{\deg(f^k)}{k}=2\times 8=16$ .

3.2.5. Fifth example. Let us consider the Jonquières map of  $\mathbb{P}^2_{\mathbb{C}}$  given in the affine chart z=1 by

$$f = \left(\frac{y(y+1)(y+2)x + y^2}{(y+2)x + y(y+1)(y+2)}, y\right).$$

The matrix associated to f is

$$M_f = \begin{pmatrix} y(y+1)(y+2) & y^2 \\ y+2 & y(y+1)(y+2) \end{pmatrix}$$

and the Baum-Bott index BB(f) of f is  $\frac{4(y+1)^2(y+2)}{(y+1)^2(y+2)-1}$ ; in particular f is a Jonquières twist (Proposition 1.1).

Theorem B.2.c. asserts that  $\mu(f) = 3$ . An other way to see that is to compute deg  $f^k$  for any k: for any k > 1

$$\deg(f^{2k}) = 3k + 2 \qquad \qquad \deg(f^{2k+1}) = 3k + 4$$

so  $2\lim_{k\to +\infty}\frac{\deg(f^k)}{k}=3$  and we find again  $\mu(f)=3$ .

## 3.3. Families.

3.3.1. First family. Let us consider the family  $(f_t)_t$  of elements of J given by  $f_t = (x+t, y\frac{x}{x+1})$ . A straightforward computation yields to

$$f_t^n = \left(x + nt, y \frac{x}{x+1} \frac{x+t}{x+t+1} \dots \frac{x+(n-1)t}{x+(n-1)t+1}\right)$$

The birational map  $f_t$  belongs to  $\mathcal{I}$  if some multiple of t is equal to 1, and to  $J \setminus \mathcal{I}$  otherwise. Furthermore,

- $\diamond$  if no multiple of t is equal to 1, then  $\mu(f_t) = 2$  (because  $\lim_{k \to +\infty} \frac{\deg f_t^k}{k} = 1$ );  $\diamond$  otherwise  $\mu(f_t) = 0$ .
- 3.3.2. Second family, illustration of Theorem A.3. Let us recall a result of [CDX21]: let f be any element of  $\operatorname{PGL}_3(\mathbb{C})$ , or any elliptic element of  $\operatorname{Bir}(\mathbb{P}^2_{\mathbb{C}})$  of infinite order; then f is a limit of pairwise conjugate loxodromic elements (resp. Jonquières twists) in the Cremona group. Hence there exist families  $(f_n)_n$  of birational self-maps of the complex projective plane such that

$$\phi \mu(f_n) > 0 \text{ for any } n \in \mathbb{N};$$

$$\phi \mu(\lim_{n \to +\infty} f_n) = 0.$$

3.3.3. Third family, illustration of Theorem A.4. Let us recall a construction given in [CDX21]. Consider a pencil of cubic curves with nine distinct base points  $p_i$  in  $\mathbb{P}^2_{\mathbb{C}}$ . Given a point m in  $\mathbb{P}^2_{\mathbb{C}}$ , draw the line  $(p_1m)$  and denote by m' the third intersection point of this line with the cubic of our pencil that contains m: the map  $m \mapsto \sigma_1(m) = m'$  is a birational involution. Replacing  $p_1$  by  $p_2$ , we get a second involution and, for a very general pencil,  $\sigma_1 \circ \sigma_2$  is a Halphen twist that preserves our cubic pencil. At the opposite range, consider the degenerate cubic pencil, the members of which are the union of a line through the origin and the circle  $C = \{x^2 + y^2 = z^2\}$ . Choose  $p_1 = (1:0:1)$  and  $p_2 = (0:1:1)$  as our distinguished base points. Then,  $\sigma_1 \circ \sigma_2$  is a Jonquières twist preserving the pencil of lines through the origin; if the plane is parameterized by  $(s,t) \mapsto (st,t)$ , this Jonquières twist is conjugate to  $(s,t) \mapsto \left(s, \frac{(s-1)t+1}{(s^2+1)t+s-1}\right)$ . Now, if we consider a family of general cubic pencils converging towards this degenerate pencil, we obtain a sequence of Halphen twists converging to a Jonquières twist. So there exists a sequence  $(f_n)_n$  of birational self-maps of  $\mathbb{P}^2_{\mathbb{C}}$  whose limit is also a birational self-map of  $\mathbb{P}^2_{\mathbb{C}}$  and such that

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