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Operational integration of time dependent toxicity impact category in dynamic LCA

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Abstract

Life Cycle Assessment (LCA) is the most widely used method for the environmental evaluation of an anthropogenic system and its capabilities no longer need to be proved. However, several limitations have been pointed out by LCA scholars, including the lack of a temporal dimension. The objective of this study is to develop a dynamic approach for calculating the time dependent impacts of human toxicity and ecotoxicity within LCA. A new framework is proposed, which includes dynamic inventory and dynamic impact assessment. This study focuses on the 18 dynamic fate model for substances in the environment, combined with the USE tox[®] model for toxicity assessment. The method takes into account the noisy and random nature of substance emissions in function of time, as in the real world, and uses a robust solver for the dynamic 21 fate model resolution. No characterization factors are calculated. Instead, a current toxicity is calculated as a function of time i.e. the damage produced per unit of time, together with a time dependent cumulated toxicity, i.e. the total damage produced from time zero to a given time 24 horizon. The latter can be compared with the results obtained by the conventional USEtox[®] method: their results converge for a very large time horizon (theoretically at infinity). Organic substances are found to disappear relatively rapidly from the environmental compartments (in the time period in which the emissions occur) while inorganic substances (i.e. metals) tend to persist far beyond the emission period.

1. Introduction

Life Cycle Assessment (LCA) is a method that calculates potential impacts associated with products, processes and services over their entire life cycle. ISO standards14040-14044 specify the guide for conducting a LCA study, i.e. the four operational steps: the definition of the goal and scope, the construction of the Life Cycle Inventory (LCI) based on mass and energy balances over the whole system life cycle, the Life Cycle Impact Assessment (LCIA) based on various impact calculation models, and the interpretation step (ISO, 2006a; ISO, 2006b). Currently LCA is the most widely used methodology for evaluating the environmental performance of any anthropogenic system. Its capabilities no longer need to be proved but several limitations have been pointed out by LCA scholars. Among them, the lack of a temporal dimension is intrinsically related to the LCA background. In a state of the art review, Finnvenden et al. (2009) argued that "the LCI results are also typically unaccompanied by information about the temporal course of the emission or the resulting concentrations in the receiving environment… The impacts, which can be calculated under such boundary conditions, thus represent the sum of impacts from emissions released years ago, from

emissions released today and from emissions released sometime in the future." Here, two levels can be distinguished, which are related to the LCI and LCIA calculation steps in LCA.

Another time dependent aspect concerns the prospective evolution of systems over time, e.g. changes at the level of technologies or economic sectors. Such issues are resolved either by considering different scenarios at different time periods or by a radically different methodology i.e. Consequential LCA. This aspect is beyond the scope of this work, which focuses on the time dependency of inventory and impacts in Attributional LCA. Including the time dimension in LCA models is a challenge that has been taken up only recently and very little research is currently in progress.

The time dimension in the LCI step has been studied by Beloin-Saint-Pierre et al. (2014). These authors developed an approach called Enhanced Structure Path Analysis, in which environmental interventions (elementary flows, i.e. emissions and natural resources consumed) are distributed over time by considering the convolution product between temporal distributions related to the processes flows and temporal distributions related to elementary flows. However, this method still lacks a full and complete relationship with an LCA database.

To the best of our knowledge, only Tiruta-Barna et al. (2016) have provided a dynamic method for LCI, dealing with the complex supply chain and processes presented in an LCA study and linking the method to traditional LCA tools (databases). It enables easier implementation of temporal characteristics by LCA practitioners. In a recent study, Shimako et al. (2016) applied this method to bioenergy production from microalgae by calculating temporal LCI and coupling them with a temporal model of climate change.

In fact most studies dealing with temporal aspects in LCA are dedicated to climate change impact. For example, Cherubini et al. (2011) performed a calculation considering dynamic carbon removal by the biomass, which is a step prior to the calculation of the climate change impact. However, dynamic results for midpoint or endpoint climate change impact are not given as the calculated results are integrated in a single unit-based index. Levasseur et al. (2010) and Kendall (2012) studied time dependency in climate change impact by calculating temporal characterization factors (CF) for substances and applying them to dynamic emissions. 82 Nonetheless, the authors focused on the LCIA step and modelled simple systems that did not present a complex network of processes (and emissions) as most LCA studies do. The fixed time step and simple input of data for the LCI did not allow the application of a more complex and complete dynamic LCI in their methods.

In traditional LCA, the mass of the emitted substance is proportionally linked to the impact by using characterization factors as proportionality constants, even though the fate of chemicals in the environment is determined by time-dependent processes such as mass transfer and chemical reactions, which produce non-linear distributions of remaining mass of substances in environment. An infinite time horizon is generally used for the calculation of CF for toxicity impact. This assumption is important for taking all long lasting impacts into account. However, predicting impacts for eternity is also illogical. Also, the consideration of an infinite time horizon may hide the potential impacts occurring over short periods of time in the assessment of a system, because of the different nature of substances considered in the assessment (Huijbregts et al., 2001). The evidence of such shortcomings determined LCA scholars to consider CFs for different time horizons. For toxicity calculations, Huijbregts et al. (2000a, 2000b, 2001) proposed characterization factors based on the USES-LCA model, which comprises fate, exposure and effect calculations. CFs for 20, 100 and 500 years were calculated to be in accordance with the horizon times used in global warming potentials as it was considered that they provided a useful interval for policy decisions.

Another method, proposed by Hellweg et al. (2003), tackles the lack of time influence by applying a discounting method, which considers that toxicity impact diminishes with time. Calculation of time dependent CFs was also the approach proposed by Lebailly et al. (2014) 104 by evaluating the dynamics of substance fate in the environment. They used the USE tox[®] model and calculated the dynamic behaviour of substances for an initial unit load of substance by solving the fate model for these particular conditions. These authors calculated characterization factors at different time steps (starting from the initial emission), and used them for a temporal evaluation of the freshwater ecotoxicity of metals. They applied this method to the use of zinc as a fertilizer in agriculture in order to assess the temporal behaviour of the impact. Although it implements dynamics in the fate calculation for metals, the study lacks information on organic substances and, also, it does not implement complex, temporal LCIs, which may present dynamic features related to unit processes and supply chains involved in the life cycle of processes.

In conventional LCA, several toxicity models have been developed and used over the years. The Life Cycle Initiative (http://www.lifecycleinitiative.org/) programme of the United Nations Environment Program (UNEP) and the Society for Environmental Toxicology and Chemistry 117 (SETAC) developed the USEtox® consensual toxicity model for LCA. USEtox® development was based on the comparison of several toxicity models and on experts' recommendations 119 (Jolliet et al. 2006; Ligthart et al. 2004; McKone et al. 2006). USEtox[®] provides toxicity characterization factors for human toxicity and freshwater ecotoxicity that are recommended by LCA scholars.

The objective of this study is to develop a dynamic approach for calculating time dependent toxicity and ecotoxicity impacts within LCA. The USEtox[®] model was chosen and adapted to include the time dimension. In the first part of the paper, the theoretical development is presented. Then, the method is applied to a testbed case, i.e. grape production, in order to emphasize the results of the proposed framework. This testbed case was chosen for a variety of reasons: i) agriculture employs potentially hazardous substances in the different production stages, so a temporal analysis of the LCI and environmental impacts is justified; ii) various substances are emitted into the environment by agricultural operations, i.e. metals and organic compounds with different types of harmful effects on humans and ecosystems.

2. Method

134 **2.1.** Toxicity Impact Assessment – USEtox[®] method

This subsection gives a brief presentation of the principles of the toxicity calculation methods 136 in LCA, particularly for the USEtox[®] method. The toxicity calculation methods usually follow the approach used in methods for assessing chemical risk to human and ecosystem health, based on three steps following the causal chain: i) evaluation of the fate of chemicals in the environment, which leads to different concentrations/quantities of substances in different environmental compartments; ii) evaluation of the exposure of humans or ecosystems to a given substance, and iii) the effects that exposure might have on human or ecosystem health (Hauschild et al., 2008). Specific modelling approaches characterize each step, and have given rise to commonly used LCIA methods like IMPACT 2002 (Jolliet et al., 2003; Pennington 144 et al., 2005), ReCiPe (Goedkoop et al., 2008) or USEtox[®] (Hauschild et al., 2008). All the methods cited are based on a similar framework consisting of the calculation of characterization factors for substances as the result of multiplying a fate factor, an exposure factor and an effect factor together, each of them being the result of one of the three corresponding modelling steps cited above (Huijbregts et al, 2005a and 2005b).

Rosenbaum et al. (2007) proposed a framework in which the characterization factor was calculated as the product of matrices representing fate, exposure and effect factors:

$$
_{151} \quad C
$$

CF^s EF^s XF^s FF^s (1)

152 where the index s represents the substance of concern, CF is the characterization factor vector 153 in which each row represents a compartment, FF is the fate factor matrix representing the environmental removal and transport processes of a certain substance in the different 155 environmental media, XF is the exposure factor matrix representing the increase in human consumption of a particular substance based on the increase of the substance concentration in a certain medium (for human toxicity) or the bioavailability of a certain substance (for 158 ecotoxicity), EF is the effect factor matrix, which express the effect on humans or ecosystems

159 per unit of concentration (Rosembaum et al., 2007). The USEtox[®] calculation tool (available at http://www.usetox.org/) is based on this flexible matrix algebra.

Finally, for toxicity impact calculations, the characterization factor is multiplied by the aggregated environmental intervention of certain substance over its entire life cycle:

$$
n_{s,i} = CF_{s,i} g_{s,i} \tag{2}
$$

where index i represents the emission compartment, g represents the environmental intervention (aggregated mass, which is the result of the LCI step) and n is the toxicity impact value for a certain substance s emitted in compartment i.

2.1.1. Fate modelling

Fate modelling uses a concept developed in the 1980s (described in many publications, e.g. Mackay, 2002; Van de Meent, 1993), which considers the environment as connected, well mixed, homogeneous boxes (named environmental media or compartments). The mass/concentration of a substance varies with time due to transport between compartments, reaction processes (e.g. degradation), and removal (immobilization in different media). In this model, the mass balance of a substance in the environment is described by a system of ordinary differential equations (ODE):

$$
\frac{\mathbf{dm}_s}{\mathbf{dt}} = \mathbf{K}_s \mathbf{m}_s + \mathbf{g}_s \tag{3}
$$

176 where **K** is the square matrix of rate constants (related to removal, degradation and transport 177 processes) in each compartment i (day⁻¹), **m** is the mass vector of substance s in the 178 respective environmental compartments (kg), g is the vector of emission flows in each 179 compartment (kg day⁻¹), t is time.

The nested multimedia fate concept is also used in LCIA methods but in the very simplified condition of equilibrium or steady state. Physically the fate factor (day) represents the

persistence of a chemical in the environment. It is mathematically defined as the resident mass 183 (kg) in a certain compartment per unit of constant flow (kg.day⁻¹) emitted into the environment. In terms of the ODE system (equation 3) that signifies no variation of the concentrations/masses, in all compartments:

$$
\frac{\mathrm{d}\mathbf{m}_s}{\mathrm{d}t} = 0 = \mathbf{K}_s \mathbf{m}_s + \mathbf{g}_s \quad \text{then} \quad \mathbf{F}\mathbf{F}_s = \frac{\mathbf{m}_s}{\mathbf{g}_s} = -\mathbf{K}_s^{-1} \tag{4}
$$

187 The USEtox[®] 2.0 model is based on the steady-state condition described by equation (4). The following scales and compartments are defined (Hauschild et al., 2008): global scale (air, freshwater, ocean, natural soil, agricultural soil), continental scale (rural air, freshwater, sea water, natural soil, agricultural soil), local scale (urban air, household indoor air and industrial indoor air).

192 **2.1.2.** Exposure modelling in USEtox[®]

The exposure factor represents the relationship between the quantity of substance present in a certain compartment and its intake by humans. It can be divided into direct (i.e. direct consumption of an environmental compartment) and indirect exposure (e.g. meat, dairy produce, vegetables, etc.), represented respectively by equations 5 and 6 (Rosembaum et al., 197 2007).

$$
XF_{s,xp,i}^{\text{direct}} = \frac{IR_{xp,i} \cdot P}{\rho_i \cdot V_i}
$$
 (5)

$$
XF_{s,xp,i}^{\text{indirect}} = \frac{BAF_{s,xp,i} \cdot IR_{xp} \cdot P}{\rho_i \cdot V_i}
$$
\n
$$
(6)
$$

200 where XF^{direct} (day⁻¹) represents the average direct intake rate of the polluted medium i via 201 direct ingestion. The parameter ρ is the bulk density of medium i (kg .m⁻³), V (m³) is the volume 202 of medium i related to the exposure pathway xp, IR (kg.day⁻¹) is the ingestion rate of medium 203 i via exposure pathway xp at population level and P is the population head count. For XF^{indirect} 204 (day⁻¹), IR (kg.day⁻¹) represents the individual intake rate of food substrate correlated to 205 pathway xp and BAF= C_{xo}/C_i (kg.kg⁻¹) is the bioaccumulation factor (the steady state 206 concentration ratio between food substrate corresponding to exposure pathway xp and 207 compartment i). For ecotoxicity, the exposure factor represents the relationship between the 208 quantity of the substance in environmental compartments and its available fraction (truly 209 dissolved fraction) (Rosenbaum et al., 2011).

2.1.3. Effect modelling in USEtox[®]

211 The effect factors represent the relationship between the intake quantity and the possible negative health effect of substances for living organisms. The effect factor for humans (cancer and non-cancer effects) can be calculated by equation 7. A linear dose-response function was assumed for each disease endpoint and intake route (Rosenbaum et al., 2011).

$$
E_8 = \frac{0.5}{ED50_s} \tag{7}
$$

216 where EF is the effect factor for humans (cases. kg^{-1}) for substance s, ED50 is the lifetime daily 217 dose resulting in a probability of effect of 0.5 (Henderson et al., 2011).

218 The effect factor for ecotoxicity (PAF.m³.kg⁻¹) represents the change in the Potentially Affected 219 Fraction (PAF) of species due to change in concentration:

$$
EF_{s} = \frac{0.5}{HCS0_{s,EC50_{s}}}
$$
 (8)

221 where HC50 is defined by the hazardous concentration at which half of the target population 222 is exposed above its EC50 (concentration for which 50% of the population is affected) 223 (Henderson et al., 2011).

2.2. Time consideration in toxicity impact assessment

Among the factors included in a toxicity calculation, the fate is the one for which the dynamic aspects are the most evident. It is well-known that the fate of substances in the environment depends on their nature. Organic substances can be degraded by the environment, which leads to their disappearance. On the other hand, inorganic substances (metals) tend to be persistent and stay in different compartments for a long time (Hauschild et al., 2008). It is important to account for the dynamic behaviour of substances in the environment by considering all the phenomena involved, such as mass transfer, degradation of substances, removal, etc., as described by the mass balance system of equations (equation 3). Therefore, considering the steady state condition, as is the case in conventional LCA, may lead to loss of consistency. The dynamic mass balance model provided, once adapted, can be resolved by 236 numerical methods. It should be noted that the environmental intervention g (equation 3) represents emission flows with complex temporal behaviour which requires a rigorous 238 resolution approach. The input data g can be defined as a time dependent function in an analytical manner or as numerical values at given times. Moreover, the rate-constants matrix 240 K contains values of different orders of magnitude (in day⁻¹) which signify the combination of slow and rapid kinetics, with very different slopes. This condition imposes the use of finite difference solvers with a very narrow discretization of the time dimension that has to be investigated, or solvers with variable, adaptive, time steps. In this work, the Python odeint integrator (SciPy Python library) was employed to solve initial value problems. It automatically switches between an Adams predictor-corrector method for non-stiff problems and a backward differentiation formula method for stiff problems. In this work, the mass balance model in 247 equation (3) was used with the specific data provided by the USE tox[®] model, i.e. kinetic constants, exposure and effect factors for the defined environmental scales and compartments.

The result of the ODE is a vector of 13 elements that represents the current masses of substance s in each compartment i at a specific time t. By the end of the calculation, a mass

252 vector (13 values corresponding to each compartment) is obtained for each discrete time value. 253 For the toxicity results, following the matrix approach proposed by Rosenbaum et al. (2007), 254 each mass vector is multiplied by the exposure matrix (XF) and effect matrix (EF):

$$
\mathbf{n}_{\text{human},s}(t) = \mathbf{m}_s(t) \times \mathbf{X} \mathbf{F}_{\text{human},s} \times \mathbf{E} \mathbf{F}_{\text{human},s}
$$
(9)

256 $n_{human}(t)$ is the vector that represents human toxicity (cancer and non-cancer, cases.day⁻¹) for 257 a certain substance s in different compartments, at a given moment t in time.

$$
\mathbf{n}_{\text{eco,s}}\left(t\right) = \mathbf{m}_{\text{s}}\left(t\right) \times \mathbf{X}\mathbf{F}_{\text{eco,s}} \times \mathbf{E}\mathbf{F}_{\text{eco,s}}\tag{10}
$$

259 **n**_{eco}(t) represents the ecotoxicity, (PAF.m³.day).day⁻¹, due to an emission into a specific 260 compartment for a certain substance s at a given moment in time t.

261 The result for the aggregation of all substances s and compartments i, for a given time t, is 262 given by equations (11) and (12) for human and eco-toxicity, respectively.

263
$$
n_{\text{human}}
$$
 (t) = $\sum_{s} \sum_{i} n_{\text{human}, s, i}$ (t) (11)

$$
n_{\rm eco} \t(t) = \sum_{s} \sum_{i} n_{\rm eco, s, i} \t(t)
$$
 (12)

265 The cumulated values, $n_{human, cumul}$ (cases) and $n_{eco, cumul}$ (PAF. m^3 .day), for human toxicity and 266 ecotoxicity can be calculated using equations (13) and (14) respectively.

$$
n_{\text{human, cumul}} = \int_{t=t_0}^{HT} n_{\text{human}}(t) dt
$$
\n(13)

$$
n_{\text{eco,cumul}} = \int_{t=t_0}^{HT} n_{\text{eco}}(t) dt
$$
\n(14)

269 where t_0 represents the time of the first emission released into the environment, HT is the time horizon for which the impact is investigated.

271 The objective of this work is the adaptation of USEtox[®] steady-state model for a dynamic 272 representation of the chemicals fate. The USE tox[®] 2.0 physical parameters were used without modifications. Nevertheless, the authors are aware about the limitations and simplifications in 274 the LCIA methods. The chemicals fate in environment reveals much more complexity than that described by the multimedia fate models used in LCIA. Examples of influence factors are: local characteristics of environmental media which depend, besides the geological parameters, on specific climate factors and biota (e.g. Hertwich, 2001a; Daly and Wania 2004), chemical speciation and its dependence on the above cited factors (Gandhi et al, 2011), and global modifications at long term of all these parameters. Obviously, a more accurate representation should consider time and region dependent transport and kinetic parameters in a more complex nested multimedia.

Dynamic aspects can also be found in exposure and effect factors. Exposure of organisms to a specific pollutant during a long time period may lead to high mortality and morbidity in the first years. However, it may also lead to a genetic adaptation of organisms or a structural change in the species composition of the ecosystem, decreasing mortality and morbidity (Heijungs et al., 2004). Temporal aspects may also occur in the indirect intake of pollutants via 287 the food chain, which normally delays the moment of pollutant intake with respect to the current pollution state. However, these processes are not considered in this study as the prediction of such behaviour is complex and uncertain, and remains beyond the scope of this work. Here, the conventional exposure model (equations 5, 6), and linear dose-response functions (equations 7, 8) are used.

2.3. Integration in a Dynamic LCA framework - DyPLCA

Introducing the time dimension into the LCIA step, in particular for toxicity calculation, is not sufficient to provide a relevant temporal assessment in LCA. A reliable time dependent LCI must be set up. Recently, a novel conceptual and computational framework for including time dependency in LCI was proposed by Tiruta-Barna et al. (2015). This method considers the temporal behaviour of the foreground processes and of the supply chain. It takes temporal characteristics such as residence time of processes, lifetime of infrastructures, delivery scheduling of products and latent time in the supply chain into account (more details in the Supplementary Information document SI-S6). Each process is characterized by a production flow function and an emission flow function. In order to distribute the environmental interventions over time, the life cycle network of processes is described as a graph in which the nodes represent the processes and the arcs the exchange of products. For the computation of the time-resolved environmental interventions, a tracking (graph search) algorithm is implemented in a Web software named DyPLCA (http://dyplca.univ-lehavre.fr/). In terms of results, the new approach provides time dependent LCI expressed as: i) a time function for individual emissions (or resource consumption) for individual processes, ii) an aggregated time function for a given environmental intervention. The results are obtained in the form of numerical data for discrete time values, over the time span of the life cycle under study (i.e. past activities in background, present and future activities for fore- and background processes, e.g. 100 years). The proposed approach and tool are generic and fully compatible with all dynamic LCIA methodologies and were recently used with a dynamic climate change approach by Shimako et al. (2016).

Three different tools were interconnected in order to obtain a modelling and computational 315 framework for dynamic toxicity in LCA (Figure 1). SimaPro[®] LCA software was used for the traditional LCI resolution. This software delivers the LCI results in matrix form: a technological matrix and an environmental intervention matrix (emissions by compartments and processes). Those results were then used in the DyPLCA platform together with all the temporal characteristics of processes and supply chains (defined by the practitioner prior to calculation). 320 This tool delivered a time vector and the associated emissions vector ($kg.day^{-1}$) in different environmental compartments, which were then used in the dynamic toxicity model proposed by this study. A Python program treats data interpolation, choice of time step for calculation, 323 and sends the data to the ODE solver together with all the necessary USEtox[®] parameters, then retrieves and treats the toxicity results. The complete dynamic framework was applied to a testbed case.

Figure 1- General framework for the calculation of a dynamic LCA

2.4. Practical application to a testbed case

The process of "grape production, GLO" in version 3.2 of the ecoinvent database was considered as testbed case in order to exemplify the dynamic evaluation of toxicity using 333 USEtox[®]. Conventional and dynamic LCA were performed and the results were compared.

2.4.1. Conventional Life Cycle Assessment of grape production

Goal and scope definition

From an LCA point of view, the objective of this study is to evaluate the toxicity impacts for the production of 1 kg of grapes (the functional unit). Cradle to gate boundaries are considered following the ecoinvent dataset "grape production, GLO" with attributional allocation.

This dataset (presented in SI-S3) covers the processes starting from the initial maintenance of the vineyard just after the previous crop, to the harvest and storage of the grapes produced, and includes fertilizer acquisition and application, pesticide acquisition, electricity use and irrigation. More details can be found in Stoessel et al. (2012).

Life cycle inventory

Global geography (GLO) is the only geography available in ecoinvent 3.2 and was chosen for this study. For this reason, several improvements were necessary to match agricultural practices better at regional scale. Regarding organic substance emissions, few substances could be found in the "grape production" dataset. The emissions were accounted for in the process "pesticides, unspecified" in the technosphere. However, some important pesticides currently used in agriculture were not considered. In Europe, France was the main agricultural pesticides producer in 2013. It ranked second for absolute sales of pesticides, with a share of almost 19% in 2014, and ranked third for grape production (Eurostat, 2016). In this sense, the French market was chosen to represent the quantities of pesticides to be taken into account. For this purpose, the dataset "pesticide, unspecified" and the emission "pesticide, unspecified" were modified to fit the values available for the French market (MEDDE, 2016 - French national sales data base - provides the French market distribution for pesticides). For this study, only 34 compounds were selected, corresponding to 55% of the French market in 2014 (Table 1).

Table 1 – Share of pesticides in French market - substances that contribute more than 0.5% to the market (classified by their abundance in 2014).

360 n.a. = not applied

361 LCIA

The application case focuses on human toxicity and ecotoxicity impacts generated by the so substances emitted in the life cycle. The USEtox® 2.0 method was used for the conventional LCIA. In conventional LCIA toxicity impacts are calculated in steady-state condition, i.e. for an infinite time horizon.

366 2.4.2. Dynamic toxicity assessment

367 Dynamic LCI

As noted in subsection 2.3, a certain number of temporal parameters are needed in order to compute dynamic LCI. The duration of one production cycle of grape is taken to be 12 months in permanent culture, the period defined as starting in January of each year. The lifetime of the production field is regarded as 25 years as recommended by Bordelon et al., 2000. The main application of pesticides takes place between April and July and they are applied every 7-14 days in general (Bordelon et al., 2000). For this study, an interval of 7 days was chosen. Direct 374 emissions (i.e. N_2O) of soil fertilisation to the air are considered to occur continuously during the production cycle. Fertilizers and pesticides are considered to be delivered to the process of grape production twice a year. The process related to the application of fertilizer, "fertilizing, by broadcaster", has the same temporal characteristics as the pesticides application. For this study, the harvest month was set as September, as it usually occurs between August and Octorber. The grape produced is stored for 2 months (Nemecek and Kägi, 2007) after the harvest and irrigation was considered to be continuous between April and October.

Based on this information, the temporal characteristics of processes involved in the life cycle of grape production were defined in the dynamic LCI method proposed by Tiruta-Barna et al. (2015) (listed in SI-S6). The inventory quantitative data from ecoinvent and the temporal parameters were used with the DyPLCA tool in order to build the temporal LCI.

Dynamic LCIA

Here only toxicity and ecotoxicity impacts were evaluated using the dynamic approach developed in section 2.2.

3. Results and Discussion

Tis section is structured in two sub-sections. In the first part the feasibility of the new method and the comparison with the conventional method is discussed through two simple examples. The second part presents and discuss the results for the testbed case.

3.1. Discussion of the dynamic toxicity approach

An organic substance (chloroform) and an inorganic one (mercury) were analysed here. For each substance, an emission was considered over 20 years in the agricultural soil 396 compartment, described by a simple step behaviour (Figure 2.A): 0.04 kg.year⁻¹ constant flow 397 during the first 10 years, then a constant flow of 0.06 kg.year¹ between years 10 and 20. The total mass of emission is 1kg (time integral of the emission flow).

Figure 2 – (A) Emission profile of chloroform and mercury into agricultural soil at continental scale. (B) Chloroform fate in environment over 100 years and (C) Mercury fate in environment over 500 years. Legend: home.airI = household indoor air, occ.airI = industrial indoor air, airU = urban air, airC = continental rural air, fr.waterC = continental freshwater, sea.waterC = continental sea water, nat.soilC = Continental natural soil, agr.soilC = Continental agricultural soil, airG = global air, fr.waterG = Global freshwater, oceanG = ocean, nat.soilG = Global natural soil, agr.soilG = Global agricultural soil.

In order to convert the dynamic emission into a dynamic toxicity calculation, the first step is to calculate the fate of the substance. Figures 2.B and 2.C show the current mass of chloroform and mercury in the environmental compartments, for a calculation time of 100 years and 500 years respectively. The very different behaviours found for the two substances depend on the 412 different mechanisms included in the USEtox[®] model. Accordingly, the remaining mass of chloroform drops considerably after the last emission around year 25. For non-persistent organic substances, kinetics of removal and degradation usually present a high order of magnitude, which explains the fast depletion of chloroform in the environment. The dynamics of mercury removal in the environment is still slow and the sum of the remaining mass of mercury in all compartments at year 500 represents 71% of the total emitted mass. It should be noted that, after an accumulation during the emission period, the mass decreases in the emission compartment (agricultural soil) while it increases in others, e.g. ocean. All transfer and removal processes are slow, explaining the slow, long-term evolution of mercury quantities.

422 Figure 3 shows the current dynamic toxicity impacts (n_{human} (t), $n_{eco}(t)$ – equations 11, 12), calculated for 100 years in case of chloroform and for 500 years in case of mercury.

Figure 3 - Current dynamic toxicity impacts calculated for 100 years in case of chloroform and 427 for 500 years in case of mercury. Ecotoxicity (n_{eco}) for chloroform (A) and mercury (B); Human 428 toxicity (n_{human}) for chloroform (C) and mercury (D).

For both substances, the shapes of ecotoxicity (Figures 3.A and 3.B) and human toxicity curves (Figures 3.C and 3.D) are similar to those obtained for the mass in the fate calculation (Figure 432 2), which is explained by the fact that the dynamic aspects are related only to the fate step. Exposure and effect steps, being considered independent of time, are characterized by constant values. In case of chloroform, the value of toxicity drops considerably after about 20 years from the first emission, leading to the conclusion that toxicity impact occurs for the time frame in which the emissions exist.

439 Figure 4 - Cumulated dynamic toxicity calculated over 500 years for chloroform emission (\bullet) 440 and mercury emission (\blacksquare) (mission during 20 years). Ecotoxicity $n_{\text{eco, cumul}} - A$; Human toxicity 441 $n_{human.cumul}$ - B

442

438

Figure 4 shows the values of cumulated toxicity (equations 13, 14), for chloroform and mercury, for a time horizon of 500 years. This kind of result should be interpreted as the total impact generated from time zero to a given time horizon HT, and, for sufficiently large HT, the results 446 should be similar to those calculated by the conventional method (USEtox®, infinite HT).

In case of chloroform (non-persistent substance) ecotoxicity and human toxicity stabilize after 448 the end of the emission period. In case of mercury, the cumulated toxicity is still increasing significantly as the substance remains in the environment for a long period. In conclusion, the dynamic method demonstrates that mercury generates toxicity in the long term as a consequence of its persistence in the environment, with a variable intensity culminating at the end of the emission period (maximum of mass accumulation in the emission compartment).

Table 2 shows the conventional toxicity results and the cumulated values (obtained for 100 and 500 years integration time) obtained from the dynamic toxicity model, for both analysed substances. In case of chloroform, the values found through the dynamic evaluation are similar to those obtained from the conventional (infinite time horizon) method, thus validating the structure of dynamic calculations for toxicity. In the case of mercury, the results for 500 year time horizon are lower than the conventional results. This can be explained by the fact that the chosen time horizon might not be sufficient to reach the complete removal of the substance. However, it can be noted that the value tends to the traditional toxicity value when HT is increased.

462

463 Table 2 – Toxicity results for the emission of chloroform and mercury.

464

As a general conclusion, the behaviour of different substances is determined by the transport and reaction processes in the fate step. The rate constants of these processes are gathered 469 in the constants matrix **K** (available with the USEtox[®] tool). All of them are compartment and substance sensitive. When steady state conditions are considered in the toxicity calculation, important information is lost or hidden. The equilibrium state in conventional LCA leads to overestimation of CFs for toxicity, especially for persistent substances. This aspect of the pollutant persistence and its associated toxicity is not visible in conventional LCIA methods, i.e. it is not possible to evaluate if toxicity acts for a short period affecting people for several years (as in the case of chloroform) or for a long period affecting several generations (example of mercury).

Lebailly et al. (2014) also proposed a dynamic freshwater ecotoxicity assessment for metals in LCA. After studying the behaviour of several metals, the authors reached the same conclusion as the present work: the integrated toxicity approaches the conventional value for large time spans, depending on the substance and compartment. However, in their method, the behaviour of non-persistent organic substances was not investigated. Concerning methodological aspects, their method is based on the time dependent calculation of CFs by solving equation (3) for an initial unit load of substance (i.e. a pulse input, g = 1kg at t =zero and g=0 for t>0). CFs (instantaneous and cumulated) were calculated with a 1-year time step. Then, ecotoxicity impact (instantaneous and cumulated) was calculated at a given HT using 486 the sum of the products g(t)*CF(HT-t). The method proposed by Lebailly et al. (2014) differs from our method by the mathematical approach chosen for resolving the dynamic fate model.

488 Given that g(t) is expected to be noisy with a complex shape representing the emission of a substance by many processes shifted in time, over a large time span covering the life cycle of a system, the most rigorous approach is to directly solve the ODE system, which was carried out in the present work.

The second solution could be to consider the mathematical properties of a linear system, i.e. the convolution product between an impulse response function of the system and the input perturbation signal. In our case, the system is the environment, the perturbation input signal is 495 a substance entering the environment (a temporal emission $g(t)$), and the impulse response function IRF is the response of the environment (all environmental mechanisms taken together) at a pulse unit input (g=unit at t=0, g=0 for t>0), i.e. a particular initial condition. This approach is similar to that of Lebailly et al. (2014): the CFs(t) represent IRFs, and the toxicity calculation procedure they propose corresponds to a convolution product. Well before Labailly et al, Hertwich (2001b) proposed, in a context different from LCA, to solve dynamic multimedia fate models by using the convolution approach, combined, in addition, with the Laplace transforms of equations and source function g(t) in order to avoid numerical integration 503 (possible only if $g(t)$ is analytically defined by a function).

The toxicity results obtained by the different mathematical methods presented (direct resolution of ODE and convolution approach) should be very similar, within the limit of inherent errors induced by the numerical resolution. However, given the behavioural complexity of the systems studied in the context of LCA, the convolution approach is more limiting while it requires specific mathematical conditions to be respected.

As regards the practicability of either of these approaches, again due to the complexity of the g function, the direct integration of dynamic mass balances is more practical and easier to handle in a modelling platform where dynamic LCI and dynamic impact calculations are coupled (as described in paragraph 2.3 and shown in Figure 1). In addition, if the toxicity model should evolve by adding more dynamic phenomena, variable parameters in time and space, or spatial dimension, the direct resolution of a complex system of differential equations remains the only solution. Moreover, the calculation of time dependent CFs becomes unnecessary since the large number of values (many thousands for a single substance, depending on the granulometry of the time scale) make it unfeasible to use them in the spirit of conventional LCA (where only a single CF characterizes a substance/compartment pair). CF was a concept introduced in LCA for the sake of simplicity, in order to gather, in a single constant, the contributions of many environmental mechanisms and phenomena involved in the impact/damage generation. Thus in the dynamic context, characterization factors lose their sense and utility. This is why they are not used in the present study.

3.2. Testbed case results

524 First of all, the "grape production" process was modelled in SimaPro[®] and the matrix of 525 environmental interventions was extracted. The data from SimaPro® was then used in DyPLCA together with the necessary temporal parameters, resulting in a table containing 742 columns representing substances and compartments, with, in rows, the discrete values of environmental interventions (LCI) for each time step. The time span covered the lifetime of the main process (grape production, 25 years) and 1 year before for background processes (year 530 -1). The time step in the DyPLCA inventory used for this project was 0.5 days.

531 Then, data harmonization between the ecoinvent and USEtox[®] databases was performed. Environmental compartments in ecoinvent are not defined in the same manner as in USEtox[®]. Thus, the adaptation of compartments led to a different number of combinations with the emitted substances (see SI - S1 and S2 for more details). Moreover, the name and chemical 535 speciation of substances are not the same in the ecoinvent and USEtox[®] databases, e.g. 536 metals do not have an oxidation number in ecoinvent but they do in USE tox[®]. Speciation is one of the most important items of information for toxicity assessment. Finally, a total of 671 substance/compartment combinations were considered in this testbed case, covering emissions over the whole life cycle. The results obtained from DyPLCA, with harmonized nomenclature, were then used for dynamic toxicity calculation. The same harmonization was 541 used for conventional LCI in order to calculate toxicity with steady-state USEtox[®].

For the sake of clarity, only the results for two selected substances are presented here together with results for organics and inorganics. All numerical results correspond to the functional unit, i.e. 1kg grape production. Figure 5.A presents the emission profile of one chosen substance, prochloraz, identified as one of the most impacting pesticide in this application, while figure 5.B shows its current mass (kg) in different compartments in function of time. The emission profile obtained after computing the dynamic LCI with DyPLCA tool, has peaks corresponding to the periods of application to the vines (see zoom side of the figure). These cycles can be well distinguished also on graph 5.B as the pesticide is relatively rapidly degraded in agricultural soil and no major accumulation takes place from one cycle to another.

Figure 5 – Case of the prochloraz – results for 1kg grape production. (A): Emission into agricultural soil at continental scale with zoom between years 0 and 2. (B): Mass distribution in different compartments with zoom between years 0 and 2. Legend: home.airI = household indoor air, occ.airI = industrial indoor air, airU = urban air, airC = continental rural air, fr.waterC = continental freshwater, sea.waterC = continental sea water, nat.soilC = Continental natural soil, agr.soilC = Continental agricultural soil, airG = global air, fr.waterG = Global freshwater, oceanG = ocean, nat.soilG = Global natural soil, agr.soilG = Global agricultural soil.

It should be noted that emissions could occur in the life cycle of the process under study before it started to produce. For example, the production of grapes requires chemicals that are 562 produced before the agricultural process. The start time of the grape production is noted t=0 but background processes had already been working before this time. Therefore, a negative part of the time axis was employed to point out the existence of background emissions in the past.

Figure 6 shows the emission profile (6.A) and the fate (6.B) of copper, another compound present in pesticide products. The remaining mass is predominantly in agricultural soil at continental scale due to the slow transfer towards the other compartments and the very slow removal. The mass in this compartment increases until the last emission and then decreases slowly. About 64% of the mass still remains in the environment by the year 100 and 9% by the year 500.

The observed behaviours of the two substances shown similarities to those discussed in section 3.1, i.e. non-persistent organic compounds and persistent metals. Calculated dynamic toxicities follow the fate profile as discussed in section 3.1 (toxicity results presented in SI-S7).

Figure 6 – Case of copper - results for 1kg grape production. (A): Emission profile into agricultural soil at continental scale with zoom between years 0 and 2. (B): Mass distribution 579 in different compartments with zoom between years 0 and 2. Legend: home.airl = household indoor air, occ.airI = industrial indoor air, airU = urban air, airC = continental rural air, fr.waterC = continental freshwater, sea.waterC = continental sea water, nat.soilC = Continental natural soil, agr.soilC = Continental agricultural soil, airG = global air, fr.waterG = Global freshwater, oceanG = ocean, nat.soilG = Global natural soil, agr.soilG = Global agricultural soil.

586 Figure 7 presents the results obtained for ecotoxicity in PAF.m³.day.day⁻¹ (fig 7.A) and human 587 toxicity, as cancer (fig 7.B) and non-cancer (fig 7.C) in cases.day⁻¹, in function of time, for all substances emitted by the life cycle system. A highly variable toxicity profile is observed in the first 25 years (which is the lifetime of the foreground process), as a cumulated effect of organics and inorganics. After the end of emissions, the toxicity values drop to those of the remaining metals, and then decrease slowly following the fate of the metals.

595 Figure 7– Current toxicity for the production of 1 kg of grapes. (A) Ecotoxicity $n_{\text{eco}}(t)$; (B) Cancer 596 and (C) Non-cancer Human toxicity $n_{human}(t)$.

Human toxicity and ecotoxicity were also calculated separately for organic and inorganic substances. Regarding the current ecotoxicity and human toxicity of organic substances (Figure 8 A, B and C), marked variations can be noted during the emission period as a result of the substance fate. As previously discussed and shown in the case of prochloraz, the fate of organic substances is driven not only by the emission profile but also by rapid removal and transfer processes. For the organic substances the impact is high during the emission period.

606 Figure 8 – Current Ecotoxicity $n_{\rm eco}(t)$ (A), Cancer (B) and Non-cancer (C) Human toxicity nhuman(t) of organic substances for the production of 1 kg of grapes

Regarding the human toxicity and the ecotoxicity of inorganic substances (Figure 9 A, B and C), a large increase can be noted throughout the lifetime of the vineyard, due to the accumulation of substances in agricultural soil as a result of their slow inter-compartment transfer and very slow removal (high persistence). After that period, toxicities slowly decrease asymptotically in time.

617 Figure 9 – Current Ecotoxicity $n_{\rm eco}(t)$ (A), Cancer (B) and Non-cancer (C) Human toxicity nhuman(t) of metals for the production of 1 kg of grapes

620 Figure 10 shows the cumulated ecotoxicity in PAF. m^3 .day (fig 10.A) and the cumulated human toxicity, as cancer and non-cancer in cases (fig 10.B), over the time horizon, for all substances considered together, for organics and inorganics. Cumulated ecotoxicity and non-cancer human toxicity increased progressively with time due to the higher contribution of persistent inorganics. Cancer human toxicity increased strongly during the emission of pollutants and more slowly in the long term. The explanation is the contribution of less persistent inorganics with a high toxicity potential, such as arsenic or mercury (see SI - S5).

631 Figure 10 – Cumulated dynamic ecotoxicity $n_{\text{eco, cumul}}$ (A) and human toxicity $n_{\text{human, cumul}}$ (B) of all environmental interventions (●), organic substances (■) and metals (▲) for the production of 1 kg of grapes.

Cumulated toxicity for organic substances reflects their fate well. The order of magnitude of the cumulated values for toxicity of organic substances is negligible compared to the cumulated values of toxicity for all substances considered in the testbed case. Toxicity results for inorganic substances show values very close to those obtained for all substances which highlights the importance of inorganic substances in the toxicity impact assessment for this testbed case.

640 Table 3 presents the cumulated values for human toxicity and ecotoxicity, calculated over the 641 time horizons of 100 and 500 years.

642

643 Table 3 –Conventional (infinite time horizon) and dynamic (time horizons of 100 and 500 years) 644 cumulated toxicity impacts for organic, inorganic and all substances emitted in the grape 645 production testbed case

| | Cumulated impact | Conventional | Dynamic 100 years | Dynamic 500 years |
|-------------------|-------------------------------|---------------------|-----------------------------|-----------------------------|
| Organic | Ecotoxicity (PAF. m^3 .day) | 4.8E-01 | 4.8E-01 | 4.8E-01 |
| | Cancer toxicity (cases) | $5.7E-11$ | 4.6E-11 | 4.6E-11 |
| | Non-cancer toxicity (cases) | 5.6E-11 | $5.2E - 11$ | $5.2E-11$ |
| Inorganic | Ecotoxicity (PAF. m^3 .day) | $1.8E + 02$ | $4.1E + 01$ | $9.9E + 01$ |
| | Cancer toxicity (cases) | $1.2E-07$ | 8.8E-09 | 1.0E-08 |
| | Non-cancer toxicity (cases) | 2.0E-07 | 2.8E-08 | 5.5E-08 |
| All substances | Ecotoxicity (PAF. m^3 .day) | $1.8E + 02$ | $4.1E + 01$ | $9.9E + 01$ |
| | Cancer toxicity (cases) | $1.2E-07$ | 8.8E-09 | 1.0E-08 |
| | Non-cancer toxicity (cases) | 2.0E-07 | 2.9E-08 | 5.5E-08 |

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When comparing the dynamic and conventional approaches for organic substances, a difference of about 20% can be observed in the case of human cancer toxicity. This difference may come from the inventory steps in conventional and dynamic LCI, which presented about 10% of difference. For metals, the gap between dynamic and conventional toxicity results 652 decreases as the time horizon increases. In this work USEtox[®] was chosen as the database because it is highly recommended by LCA scholars. However, it should be noted that several data used for inorganic substances are of poor relevance (named interim values) and the results should be interpreted with caution.

When only the organic substances were evaluated, the following individual contribution results have been found (Table 4, more results in SI-S7). The pesticide that had most influence for ecotoxicity was metam with a contribution of 32% in the dynamic and conventional methods; for dynamic human cancer toxicity, pronamide contributed with 12% in the dynamic method and 10% in the conventional method; for human non-cancer toxicity prochloraz contributed with 16% in the dynamic method and 15% in the conventional method.

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Table 4 – Contribution of distinct pesticides on dynamic (cumulated toxicity at 100 years) and conventional (infinite time horizon) toxicity impacts generated by all organic substances. Results for human toxicity (Cancer and Non-cancer) and ecotoxicity (Eco) impacts for the production of 1 kg of grape.

In this testbed case, cumulated toxicity and ecotoxicity are dominated by the inorganic persistent substances, for which conventional methods may give overestimated impact results, as also discussed in section 3.1. The more the HT tends to infinity the closer the value of cumulated toxicity comes to the values of a conventional LCA. In contrast, current toxicity diminishes with HT. In other words, the population and ecosystems are less affected by the residual pollution but they suffer the greatest damage in a restricted period corresponding to the end of lifetime of the system (about one generation is strongly affected). These results emphasize the importance of the current impact evaluation as a measure of the intensity of possible damage at a given time.

Another aspect concerns the distribution in time of the activity of different processes involved in the life cycle of grape production. The dynamic LCI-LCIA approach allows to observe that the main toxicity impact occurs in the present and future time. The explanation is that all chemicals and other commodities are produced and used during the lifetime of the foreground process and no important infrastructure was built in the past. The impact generated in the past by background processes, previously to vineyard activity, is very small when compared to the current impact (past impact is hardly visible on the negative time axis on all figures). However, inorganic substances emissions from the past activities generate persistent impact for the present and future time.

From a LCA – decision making point of view, dynamic evaluation provides complementary information helping at discriminating comparable scenarios and at proposing solutions for impact mitigation. For equal conventional impact results, time dependent behaviour of toxicity can be different for the compared scenarios. For example, scenario B has more metals contribution than A (all equal otherwise). Impact at the human lifetime scale could be lesser in case of B as the time horizon is a very important parameter for potential cumulated impact in case of persistent chemicals. Scenario B could be preferable for short durations like the vineyard lifetime. Solutions for changing the land destination could be envisioned in order to avoid a sensitive use (e.g. for food production) in the future.

The existence of variations with different amplitudes on the toxicity curves (figures 5-9) suggests that dynamic toxicity simulation can be used as a forecast tool for, e.g. identifying optimal situations where certain activities should be (temporarily) stopped or, at the contrary, launched, at regional level. For example, to not use a specific substance during the years with forecasted high toxicity pics, or to stop the sensitive use of a certain water resource for a given period, etc.

4. Conclusions

In this study, a mathematical implementation of dynamic toxicity assessment based on the 705 USEtox[®] model was presented. In addition, the study developed a dynamic LCA framework, combining dynamic LCI modelling and toxicity impact evaluation over time. The feasibility of such an approach was demonstrated via a testbed case on grape production.

The main features of the dynamic toxicity calculator can be summarized as follows. The 709 dynamic toxicity model is based on the resolution of the dynamic fate model in USEtox[®]. Exposure and effect factors are unchanged and considered constant in time. Characterization factors are no longer needed as current toxicity and cumulated toxicity are directly calculated in function of time.

Instead of using CFs, the dynamic mass balance equations were directly integrated, the behaviour of different substances being determined by the transport and reaction processes in the fate step. The computational method should consider the possibility of a noisy and random shape for the emission function. Therefore, the odeint integrator (Scipy Python), a robust method for ODE resolution that is compatible with stiff systems, was employed in the present work.

The toxicity calculator can be easily coupled with a dynamic LCI tool, in our case DyPLCA, thus providing a complete dynamic LCA framework.

Regarding the expected results of a dynamic approach, the following outcomes can be mentioned.

The current toxicity (cases.day⁻¹) allows the intensity of the impact at a given time to be evaluated and the critical periods with regard to the potential impact to be identified. The evolution of toxicity in time is similar to the substance fate profile (mass in compartments versus time).

The cumulated toxicity (cases) represents the total damage generated over a given time horizon. This result was compared with the conventional toxicity value. It should be noted that the cumulated toxicity reaches the conventional toxicity value for large time horizons (theoretically at infinite time).

Significantly different behaviours were observed when persistent and non-persistent substances were compared. Non-persistent (generally organic) substances deployed almost all their hazard potential during their emission period and disappeared more or less rapidly due to degradation or transfer to sink compartments (removal). In contrast, persistent substances accumulated in environmental compartments during the emission period and their toxicity potential remained high after the cessation of emission, affecting many human generations. However, a calculation of toxicity at equilibrium (infinite time in conventional method) overestimated the total impact at the time scale of a human life.

The results obtained by the conventional method - which fixes an arbitrary time horizon - made no distinction between persistent and non-persistent pollutants. In contrast, dynamic calculations of toxicity and generally dynamic LCA brought out important information about the period when the potential hazard becomes critical, allowing mitigation of pollution issues, which is not the case in conventional LCA. Dynamic LCI – LCIA can be used as a forecast tool by simulating different scenarios in function of time, identifying optimal situations and scheduling the activities, helping in this way decision making. Combination of both dynamic aspects, LCI (share of environmental interventions in time, i.e. the functioning of life cycle processes) and LCIA (environmental mechanisms and specificities of substances), allows thus to obtain detailed case specific information on potential hazard/environmental impact.

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