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# Plasmon mediated energy transfer between two systems out of equilibrium

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3 Abstract

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The fabrication of nanostructured samples assembling organic, inorganic emitters and plasmons has been widely explored in the last decades in the context of energy harvesting applications or for the design of optoelectronic devices. However, understanding the interaction between each component in such a complex scenario is a challenge. Here we report on the energy transfer between ensembles of inorganic quantum dots and organic J-aggregates mediated by surface plasmon polaritons. The two emitters' species

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are spatially separated on the surface of a continuous silver film in an optically structured sample in order to measure the impact of their relative separation on the energy transfer. We introduce a theoretical model of the photoluminescence of the system to quantify the energy transfer between the two species through the chemical potential of photons.

# 15 Keywords

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Surface plasmons - energy transfer - strong coupling - Local Kirchhoff's law - organicinorganic emitters - photoluminescence - Brendel Borman model

#### 18 Introduction

Coupling organic and inorganic materials has brought a lot of attention in the last decades for various purposes such as energy harvesting in solar cells, 1 hot electron transfer, 2 pho-20 tocatalysis<sup>3</sup> and optoelectronic devices. <sup>4</sup> Hybrid devices of these materials are appealing to 21 overcome their limitations when used separately.<sup>5</sup> On one hand, organic materials, such as 22 J-aggregated molecules, show a large absorption cross section, high oscillator strength and 23 thus strong light-matter interaction. On the other hand, inorganic materials, such as quantum dots (QDs), are photostable, have enhanced optical non-linearity and high electrical 25 conductivity. In the case of quantum dots, the emission spectrum is rather narrow band and the maximum emission wavelength is directly related to the QDs' diameter, which makes 27 them very versatile fluorescent nano-objects. Enabling energy transfer between organic and inorganic materials merges together the best of both worlds, as it has been shown in the recent literature. 6 One approach to increase the efficiency as well as the range of the energy transfer is to shape a favouring electromagnetic environment of the materials e.g. embedding 31 the materials in a microcavity, 7-10 creating electromagnetic hotspots with metallic nanoparticles 11,12 or surface plasmon polaritons. 13,14 However, understanding the role played by the different components is a hard task, due to the formation of hybrid modes, and to the spatial and spectral overlap between emitters' ensembles and plasmonic modes. Note also that ensembles of emitters cannot be considered as a mere superposition of independent single emitters, and an appropriate theoretical model has to be used. 15

In this paper, we explore a multilayer system in which organic (J-aggregated TDBC) and 38 inorganic (QDs) ensembles of fluorescent emitters interact with a surface plasmon polariton 39 (SPP) propagating over a distance of a few microns. The interaction of SPPs' confined 40 field and large oscillator strength of organic molecules results in the formation of hybrid 41 extended modes and the observation of light-matter strong coupling. 16,17 We take advantage 42 of the micrometric propagation length of the SPP to spatially separate regions in which 43 the inorganic material interacts with the SPP to regions in which it interacts with strongly coupled hybrid modes. This modulates the energy transfer between the inorganic material 45 and the organic material via surface polaritons modes. Numerical simulations based on the local Kirchhoff's law to model light emission by an ensemble of thermalized emitters  $^{18}$ enable to quantify the energy transfer between the two ensembles of fluorescent emitters 48 through SPP coupling. More precisely, we introduce a chemical potential to characterize quantitatively the energy transfer to the molecules. Simulations are in very good agreement with the experiments.

# 52 Description of the sample and the experimental method

The sample under study is sketched in Fig.1a and its fabrication is detailed in the Methods section. It consists of a  $t_{\text{Jagg}}=17$  nm thick layer of J-aggregated TDBC deposited on top of a  $t_{\text{Ag}}=50$  nm thick silver film laying on a glass coverslip. Then, we deposited on top a  $t_{\text{QD}}=15$  nm thick layer of QDs embedded in a polymer matrix of PMMA. The thicknesses above are measured with an Atomic Force Microscope (AFM). We measure the photoluminescence (PL) of the system in the reciprocal space to visualize the available

<sup>59</sup> electromagnetic modes through their dispersion relation.

The spectral properties of each ensemble of emitters on glass are presented in Fig.1b. 60 The absorption and PL spectra of the J-aggregates are narrow and their maxima are cen-61 tered at 585 nm and 595 nm respectively. The QDs absorption spectrum is characterized by 62 a large band increasing towards short wavelengths and an exciton resonance at 526 nm while 63 the QDs PL spectrum has a maximum at  $\lambda_{\rm QDs} = 576\,\mathrm{nm}$  and partially overlaps the absorp-64 tion spectrum of the J-aggregates making our system suitable for observing energy transfer 65 effects. The presence of the silver layer modifies the spectra with respect to the spectra ob-66 tained on glass. The experimental reflectance of the complete sample, which is reported in 67 Fig. 1c, shows the presence of the so-called lower polariton (LP) and upper polariton (UP) branches that anticross indicating a strongly coupled system. <sup>19</sup> The anticrossing occurs at 69 the resonance between the J-aggregates exciton (at 2.1 eV) and the SPPs propagating at the 70 interface between the silver and the two dielectric layers, with a corresponding Rabi splitting 71 of  $153 \,\mathrm{meV}$ .

In such a multilayer sample, energy transfer between the different layers influences the 73 optical properties of the entire system. Understanding the role played by each layer in the definition of the optical properties and in the energy transfer is a complex task. To disentangle the contribution of the different components, we study a sample where the J-aggregated TDBC is prepared in a non-absorbing state. By comparing the optical properties obtained 77 when the excitation laser illuminates a region in which J-aggregates are photobleached with the optical properties obtained in a region in which they are not, energy transfer can be 79 studied. Moreover, we study samples shown in Fig. 2a. consisting in a disk with photo-80 bleached TDBC molecules surrounded by the system with non photobleached molecules. We 81 excite the QDs at the center of the disk so that the energy transfer between the QDs and 82 the TDBC molecules can be studied as a function of the radius of the disk. This study can 83 assess the possibility of surface plasmon mediated energy transfer. 84

Locally photobleached J-aggregates areas are obtained by shining a high intensity UV

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irradiation laser writer  $(\lambda = 365 \text{ nm})^{20}$  on the J-aggregated TDBC layer before the deposition of the QDs. Photobleached J-aggregates have been shown to have the same refractive index 87 as the active dye film, except in the spectral region around 2.1 eV where the absorption peak 88 is removed.<sup>17</sup> An optical microscopy image of the sample after irradiation is shown in Fig. 89 2a. The circular photobleached areas (CPAs) have a diameter ranging from 1 to 10  $\mu$ m by 90 steps of  $1 \mu m$  and two larger diameters of 20 and  $40 \mu m$ . As  $40 \mu m$  is significantly larger than 91 the SPP propagation length (set to 2.21  $\mu$ m at 570 nm, defined as 1/(2k''), see Methods), 92 the central area of the later sample can be taken as a reference medium whose properties 93 are not influenced by the J-aggregated TDBC. 94

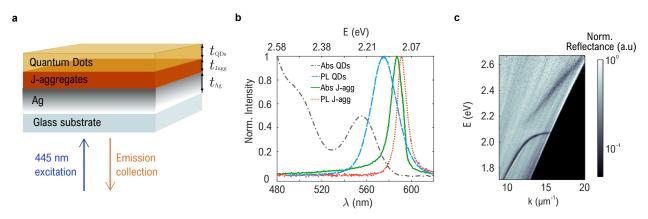


Figure 1: a) Sketch of the multilayered sample consisting of a silver film of  $t_{\rm Ag} = 50\,\rm nm$ , a J-aggregates layer of  $t_{\rm Jagg} = 17\,\rm nm$ , and a QDs/PMMA layer of  $t_{\rm QDs} = 15\,\rm nm$ . Such values are measured with an Atomic Force Microscope (AFM) on the sample. We excite the sample with a 445 nm laser excitation through the silver film and we collect the resulting fluorescence from the same side. The excitation wavelength is chosen in a spectral region in which the absorption cross-section of the QDs is high while the one of the J-aggregated TDBC is low. b) Absorption and PL spectra of thin films of QDs and J-aggregated TDBC deposited on glass. c) Reflectometry measurement (in logarithmic scale) of the sample showing the strongly coupled polaritons with a Rabi splitting of 153 meV.

We investigate the system through the measurements of PL spectra resolved in the sample in-plane wavevector k. For this purpose, the sample sits on an inverted microscope and the patterned multilayer is excited by a pulsed laser beam ( $\lambda = 445 \,\mathrm{nm}$ ) focused on it through the glass coverslip. The laser is focused on a diffraction limited spot through a high numerical aperture oil immersion objective (NA=1.5) and the radiation leaking from

the excited propagating modes is collected through the same objective. The excitation 100 wavelength is chosen in a spectral region in which the absorption cross-section of the QDs is 101 high while the one of the J-aggregated TDBC is low. We collect the TM-polarized emission 102 over a field of view of  $\sim 20 \,\mu\mathrm{m}$ , and image the back focal plane of the microscope objective 103 onto the slit of a spectrometer coupled to an EMCCD. This image is dispersed by the 104 spectrometer's diffraction grating to get the spectrum as a function of the wave-vector. The 105 diffraction limited laser spot enables to locally excite the center of each CPA and to study 106 the modification of the energy transfer between the QDs and the J-aggregated TDBC when 107 the CPA radius increases. Figure 2b shows the situation when the center of a CPA is excited. 108 In the limiting cases with  $\Phi_{CPA} = 40 \ \mu m$  and  $\Phi_{CPA} = 0 \ \mu m$ , the electromagnetic modes are 109 either SPPs or strongly coupled polaritons respectively. 110

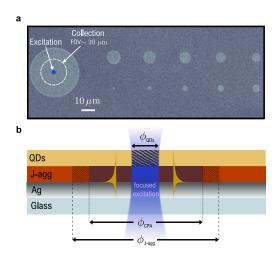


Figure 2: a) Dark-field microscopy image of a lithographed zone of the J-aggregates film deposited on silver. The design of the pattern contains circular photobleached areas (CPAs) with diameters ranging from 1 to  $40\,\mu\mathrm{m}$ . The smallest separation distance between adjacent bleached regions is  $20\,\mu\mathrm{m}$  to isolate them from each other. The pattern was repeated tens of times within the sample to test the repeatability of the experimental results. In the  $40\,\mu\mathrm{m}$  CPA, we represented the laser excitation and the detection field of view. b) Sketch of a CPA being illuminated at its center by the focused laser excitation. Following laser excitation, QDs decay by exciting modes propagating in the sample plane. The hatched areas correspond to the volume of excited emitters in which we set a non-zero chemical potential for simulations.

#### 111 Results and Discussion

Experimental results are reported on Fig. 3a-f. Let us first consider the case in which we 112 excite an unbleached homogeneous region containing active J-aggregates topped with the 113 layer of QDs. The corresponding PL emission spectra as a function of the wavevector is 114 shown in the E(k) map of Fig. 3a. In such region, the electromagnetic environment is 115 shaped by the strong light-matter coupling between the J-aggregates and the SPPs, with a 116 shift to higher wavevectors due to the layer of QDs/PMMA. The anticrossing between the 117 LP and UP is clearly visible. Interestingly, light is emitted through the UP although it is not observable in PL measurements when only a J-aggregates layer is present as the active 119 material on the top of a silver film.  $^{19,21}$  In the case of the sample studied in this paper, since 120 the QDs' energy spectrum overlaps the UP mode, QDs couple to it. The upper polariton 121 then leaks into a photon. This emitter-photon interaction mediated by the polariton was 122 first described by Hopfield.<sup>22</sup> The LP is also observed. In this case, two mechanisms are 123 possible: direct emission by the QDs mediated by the LP or energy transfer from the QDs 124 to the TDBC molecules which then emit through the LP. In what follows, we will introduce 125 a theoretical model to analyse the data and identify the mechanism at play. 126

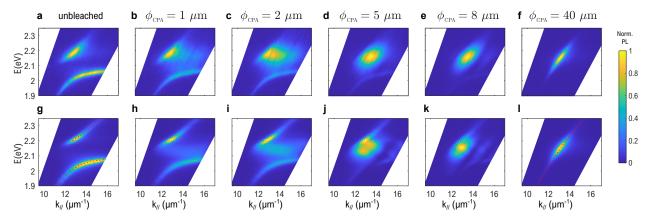


Figure 3: a)-f) Experimental and g)-l) simulated photoluminescence E(k) maps for different regions in the sample. In a) and g) the laser is focused in a region where there is no lithography, i.e the J-aggregates are active. For the other subfigures, the diameter of the CPA is indicated on top of each pair of experimental and simulated results. The experiments are performed with the laser focused at the center of the CPA. The dispersion relations of the polaritons and SPPs are added in red dotted lines in g) and l) respectively.

For  $\Phi_{\text{CPA}} = 40~\mu\text{m}$ , the measured PL spectrum versus k is shown in Fig. 3f. The pattern in the plane  $(k_{\parallel}, E)$  indicates an emission mediated by the plasmon propagating in the system with photobleached molecules. In other words, the measurement shows no influence of the TDBC molecules indicating that there is no energy transfer between the QDs and the molecules located 20  $\mu$ m apart. Given that the decay length of the SPP intensity is 2.21  $\mu$ m at 570 nm, this behaviour is consistent with a QD-molecule interaction mediated by SPP.

We now turn to the intermediate cases with a CPA diameter varying between 1 and 8  $\mu m$ 133 (Fig. 3b-e). As sketched in Fig. 2b, the Gaussian laser beam excites the QDs at the center 134 of the disk with the photobleached J-aggregates. The QDs subsequently relax through the 135 available propagating modes. Assuming that the interaction between the QDs and TDBC 136 molecules is mediated by surface plasmon, the distance between the excitation spot and the 137 active J-aggregates is thus a leading feature of the system, as it rules the interaction between 138 the QDs and the strongly coupled modes. In Figures 3b-e, light is unexpectedly detected for 139 values of energy and k vectors at which light emission is not due to SPP, UP or LP. This is 140 evident when comparing these data with the results obtained in the situation without CPA 141 (Fig. 3a, f). A modification of the intensity distribution depending on  $\Phi_{CPA}$  can also be 142 noted. As the CPA radius gets larger, the J-aggregates have a smaller influence in the shape of the modes and the intensity of the LP fades.

Since the QDs emission spectrum overlaps the UP and its lower tail overlaps the LP, associating the PL at a given E(k) with the emission of QDs or TDBC molecules is not straightforward. Here again, we need a theoretical model to analyse these data and identify the mechanisms responsible for the emission. We now describe the theoretical framework that enables us to analyse the data and identify the emission mechanisms. As explained in the next paragraph, a numerical simulation based on the local Kirchhoff's law enables to explain the physical processes that contribute to the detected PL.

# Description of the theoretical model and comparison with the experiments

The overall PL observed in Fig. 3 a-f results from the coupling of the fluorescent emitters (both QDs and TDBC molecules) to the electromagnetic modes (SPPs, UP, LP). It also depends on the possible energy transfer between QDs and molecules. Models of light emission must account for this interplay in order to accurately predict the spectrum and directions of emission. Here, we use the local form of Kirchhoff's law which enables to model light emission by nonequilibrium systems under some conditions. <sup>15</sup>

#### Local form of Kirchhoff's law

This law states that the power  $dP_{\rm e}^{(l)}(\mathbf{u},\lambda)$  emitted by an ensemble of thermalized emitters at a wavelength  $\lambda$ , in the solid angle  $d\Omega$  around the direction given by the unit vector  $\mathbf{u}$  and for a given linear polarization state l can be cast in the form:

$$dP_{\rm e}^{(l)}(\mathbf{u},\lambda) = d\lambda d\Omega \int_{\rm V} d^3 \mathbf{r}' \alpha^{(l)}(-\mathbf{u}, \mathbf{r}', \lambda) \frac{hc^2}{\lambda^5} \frac{1}{\exp(\frac{hc}{\lambda k_B T} - \frac{\mu(\mathbf{r}')}{k_B T}) - 1},\tag{1}$$

where  $\alpha^{(l)}(-\mathbf{u},\mathbf{r}',\lambda)\varphi_{\text{inc}}d^3\mathbf{r}'$  is the absorption in a volume element  $d^3\mathbf{r}'$  of the emitting 164 material (QDs or TDBC molecules) illuminated by an incident plane wave coming from the 165 reciprocal direction  $-\mathbf{u}$  with a given linear polarization state l and a power flux per unit area 166  $\varphi_{\text{inc}}$ . T is the temperature and  $\mu(\mathbf{r}')$  is the photon chemical potential. This quantity has 167 been introduced in the context of semiconductor electroluminescence. <sup>23</sup> The photon chemical 168 potential is then equal to the difference of the quasi Fermi levels in the valence band and the 169 conduction band. In other words, the photon chemical potential is a characteristic of the strength of the pumping mechanism. It can be defined both for the QDs  $(\mu_{\text{QDs}})$  and for the molecules ( $\mu_{\text{Jagg}}$ ). It describes the number of excited emitters. It is thus a key quantity to study energy transfer between QDs and molecules. As it is shown in the Methods section, 173 Eq. 1 can be cast in a simpler way, as a function of  $\Delta \mu = \mu_{QDs} - \mu_{Jagg}$ .

Eq. 1 shows that light emission  $dP_{\rm e}^{(l)}(\mathbf{u},\lambda)$  is important when the absorptivity in the reciprocal picture  $\alpha^{(l)}(-\mathbf{u},\mathbf{r}',\lambda)$  is also significant. As a consequence, some of the spectral and spatial features observed in absorption measurements can be observed in light emission measurements. In particular, the influence of a SPP or a strongly coupled mode in the emission process is accounted for by this term.

The minima of reflectivity in Fig. 1c, are a clear signature of an increased absorptivity 180 caused by the resonant excitation of the UP and LP. It directly matches the maxima of 181 emission in Fig. 3a. Indeed, in the reciprocal picture, the system under pumping contains 182 molecules or quantum dots that decay by emitting light through the same surface UP and 183 LP. Similarly, Fig. 3f shows the same emission mediated by surface plasmons. It is seen 184 that the formula accounts for both emission mechanisms mentioned above. On one hand, 185 an excited QD has an emission tail at low frequencies that can excite either the LP or 186 the SPP depending on its environment. This results in a significant emission due to the 187 leakage radiation of the surface waves which is proportional to  $\exp(\frac{\mu_{\text{QDs}}}{k_B T})$ . On the other 188 hand, the same QDs can transfer energy to the TDBC molecules. Then, a molecule emission proportional to  $\exp(\frac{\mu_{\text{Jagg}}}{k_BT})$  mediated by leakage of the LP or SPP can be observed. 190

#### The $\Delta\mu$ highlights the energy transfer between the two types of emitters

Once the values for the refractive indexes of J-aggregated TDBC, bleached J-aggregated TDBC and QDs were extracted separately from the optimization procedure described in the Methods section, we computed the photoluminescence produced by the whole system (Fig. 1a, 2a). We can then compare Fig. 3a-f to numerical simulations (Fig. 3g-l).

It was necessary to adjust  $\Delta\mu$  for each CPA to fit the relative intensities between the UP and LP branches. The data of  $\Delta\mu$  are presented in table 1.

Table 1: Fitted values of  $\Delta \mu = \mu_{QDs} - \mu_{Jagg}$ 

	unbleached	$\Phi_{\mathrm{CPA}} = 1 \mu \mathrm{m}$	$\Phi_{\mathrm{CPA}} = 2\mu\mathrm{m}$	$\Phi_{\mathrm{CPA}} \geq 5\mu\mathrm{m}$
$\Delta\mu \; (\text{meV})$	270	291	295	$\mu_{ ext{QDs}}$

Since the QDs do not undergo any absorption-reemission process (See Methods section), 198 we consider the laser spot as the only excitation source. Thus, the number of excited QDs, 199 and hence  $\mu_{\rm QDs}$ , is the same for all CPA configurations. Consequently, a variation of  $\Delta\mu$  can 200 only be due to a variation of  $\mu_{\text{Jagg}}$ , i.e. a variation of the number of excited J-aggregated 201 molecules. The observed increase of  $\Delta\mu$  when  $\Phi_{\text{CPA}}$  is increased indicates a smaller number 202 of excited TDBC molecules (i.e. a smaller  $\mu_{\text{Jagg}}$ ). These measurements are compatible with 203 the picture of an energy transfer between the QDs and the J-aggregated molecules mediated 204 by the SPPs propagating in the CPA. This process is suppressed if the SPP decay length is 205 smaller than the CPA radius. Here, we find that for  $\Phi_{\text{CPA}} \geqslant 5 \,\mu\text{m}$ , the energy transfer is not 206 measured. We evaluate the SPP intensity decay length to be around 2  $\mu$ m (see Methods), a 207 value consistent with the measurements. In this latter case, only the QDs contribute to the 208 photoluminescent emission. 209

Interestingly, we also observe light emitted along the dispersion relation of the UP. This is not observed without QDs, as already reported in the literature. <sup>19</sup> Here, the emission through the UP is due to the direct excitation of the UP electromagnetic mode by the QDs for the small values of  $\Phi_{\text{CPA}}$  (see Fig. 3(a-b)). When  $\Phi_{\text{CPA}}$  increases (see Fig. 3(e-f)), QDs emission is mediated by the SPP.

#### 215 PL emission out of the SPP, UP and LP modes

Finally, we discuss the broad dispersion in  $k_{//}$  of the emission seen in Fig. 3 b-e. It cannot be explained by invoking the sole dispersion relation of the polariton or the plasmons. The numerical simulations of Fig. 3 h-k show that the model is able to reproduce the experimental data so that this angular dependence is well captured by the sample absorptivity.

Here, we consider a particular pair  $(E, k_{//})$  outside the upper polariton's dispersion relation in Fig. 4a), such as E = 2.15 eV and  $k_{//} = 14 \ \mu \text{m}^{-1}$ . A physical explanation of the broad dispersion in k can be provided by analysing the effect of the presence of a CPA in real space. According to Kirchhoff's law, the emitted light is coming from regions where the

absorption proportional to  $\text{Im}(\epsilon)|E|^2$  is large. We thus plot the field  $|\vec{E}_z|$  in the structure when illuminated by an incident plane wave from the glass side towards the sample, with 225 this specific energy and wave vector (corresponding to an angle of 58°, and a free-space 226 wavelength  $\lambda = 576.7$  nm) in Fig. 4. We investigate the field for the two cases in which 227 a CPA of diameter  $\Phi_{CPA}=1~\mu{\rm m}$  is present (Fig. 4b)) or absent (Fig. 4a)). We observe 228 that when the J-aggregates are active everywhere, there is no coupling between the plane 229 wave and any evanescent mode. In contrast, in the presence of a CPA of  $\Phi_{\text{CPA}} = 1 \ \mu\text{m}$  the 230 field is greatly enhanced. The CPA thus acts as an antenna, allowing to couple light in the 231 structure for a wide range of excitation wavevectors. By reciprocity, the QDs excited in this 232 spatial area where the field is non-zero are able to relax in the same range of wavevectors, 233 as it is observed in the PL map of Fig. 3b. Physically these new wavewavectors originate 234 from the diffraction of surface plasmon polaritons on the edge of the bleached TDBC area. 235

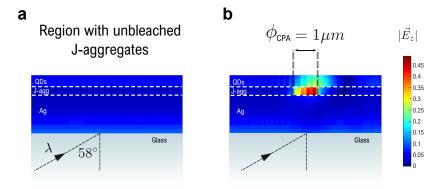


Figure 4: Maps of the field component  $\vec{E}_z$  norm produced by the incidence of a TM-polarized plane wave that illuminates the sample from the glass side, with a parallel wavevector  $k_{//} = 14 \ \mu \text{m}^{-1}$  at 2.15 eV (i.e. at  $\lambda = 576.7 \, \text{nm}$  and for 58°). In a), a homogeneous region (i.e far from a CPA) is illuminated and there are no excited modes which explains that the field is zero. In b), the presence of the CPA enables the coupling of the plane wave producing an intense field in its vicinity.

# 236 Conclusion

In summary, we present an approach to characterize the PL and energy transfer in systems with complex interactions between organic (J-aggregated TDBC) and inorganic (QDs)

emitters determined by light-matter coupling. By taking advantage of the microstructuration of the organic layer, the electromagnetic environment created by the hybridization of 240 J-aggregates' emission and the SPP mode was probed with QDs as a function of the dis-241 tance between emitters' ensembles. Microstructuration enables to target the QDs separately 242 while controlling the amount of active J-aggregates in the surroundings. This results in a 243 progressive tuning of both the shape of the propagating modes and the distribution of the 244 intensity among them. Surprisingly, we detect light emission in the UP mode contrarily 245 to what is normally observed in the absence of the QDs layer and also for large k-vectors 246 for which light emission is usually forbidden. Experimental observation are thoroughly un-247 derstood thanks to a theoretical model involving a local form of the Kirchhoff's law that 248 introduces a spatial dependence of the photon chemical potential accounting for the pump-249 ing of each active layer. Numerical simulations show the occurrence of a plasmon mediated 250 energy transfer over distances of several  $\mu$ m between spatially separated inorganic emitters' 251 ensembles and organic emitters' ensembles. The excellent agreement between model and 252 experiments, for any size of the CPA, shows that this comprehensive method enables the 253 interpretation of systems in which thermalized materials with different chemical potential 254 couple within complex structures. Our findings pave the way towards a thorough investiga-255 tion of the interactions, mediated by the electromagnetic environment, between materials out of thermodynamic equilibrium. This will open new avenues for the design and fabrication of 257 new materials bringing together the best of organic and inorganic worlds. 258

# <sup>259</sup> Methods

# 260 Sample preparation

The sample is fabricated as follows. First, a continuous 50 nm thick silver film is evaporated onto a glass coverslip. Then, a layer of J-aggregates is deposited by spin-coating a solution of 5,6-Dichloro-2-[[5,6-dichoro-1-ethyl-3-(4-sulfobutyl)-benzimi-dazol-2-ylidene]-propenyl]-1-

ethyl-3-(4-sulfobutyl)-benzimidazolium hydroxide, inner salt, sodium salt (TDBC) in distilled water with a concentration of 8.6 mM. At this stage, we performed the UV-lithography 265 to obtain circularly photobleached areas. Finally, we deposited on top a layer contain-266 ing CdSe core CdS/CdZnS/ZnS multishell QDs embedded in a transparent Poly(methyl 267 methacrylate) (PMMA) matrix. For this layer, we prepare a solution of the QDs in toluene 268 with a concentration of  $\sim 1 \,\mu\mathrm{M}$  in which transparent PMMA was added to the solution at a 269 mass concentration of 0.5%. The solution was homogeneously deposited by spin coating and 270 the QDs concentration was established so that the emitters are homogeneously dispersed in 271 the layer. Note that the solvents are different for the J-aggregated TDBC and the QDs in 272 order to avoid dissolving the first layer when the second layer is spin-coated. The thicknesses 273 of the layers were determined by AFM topography measurements resulting in 17 nm for the 274 TDBC J-aggregates and 15 nm for the QDs/PMMA layer. 275

#### 276 Theoretical model and simulations

#### 277 Brendel-Bormann model for refractive indexes of fluorophores

In order to describe the flurophores' layers and compute the absorbed power and thus the photoluminescent emission (1), it is necessary to have a permittivity model for each layer of emitters that accounts for the inhomogeneous broadening of the transitions for each layer of emitters (see a discussion in ref<sup>24</sup>). We use Brendel-Bormann models<sup>25–27</sup> for each layer and adjust the parameters of the models by using the same procedure as the one described in ref.<sup>24</sup> The Brendel-Bormann expression is reminded below:

$$\epsilon(\omega) = \epsilon_{\text{bg}} + \sum_{k=1}^{m} X_{k}(\omega),$$
 (2)

where  $X_k$  is an infinite sum of Lorentz oscillators whose amplitudes are given by a Gaussian function:

$$X_{\mathbf{k}}(\omega) = \frac{1}{\sqrt{2\pi}\sigma_{\mathbf{k}}} \int_{-\infty}^{+\infty} dx \exp\left(-\frac{(x - \nu_{0\mathbf{k}})^2}{2\sigma_{\mathbf{k}}^2}\right) \frac{\nu_{\mathbf{pk}}^2}{x^2 - \omega^2 - i\nu_{\tau\mathbf{k}}\omega}.$$
 (3)

To find the parameters of the J-aggregated TDBC model of permittivity, we use the photoluminescent map from the system made of the silver and molecules layers, before the bleaching and before the QDs' deposition. The experimental data as well as the parameters of the model are identical to the parameters used in ref. <sup>24</sup> The TDBC layer displays some anisotropy, so that we introduce the refractive index of the molecules in the perpendicular direction of the layer (denoted  $n_{\perp}$ ) as an additional constant parameter of the cost function  $f_{\text{cost}}(\epsilon)$  (see ref. <sup>24</sup>).

We model the CPA by a medium of homogeneous refractive index within the bleached region. The index model is chosen as dispersion free, and does not display any excitonic transition. The permittivity model of both CPA and QDs are determined at the same time by an optimization process based on the fit of the photoluminescent map given in Fig. 3f, where the QDs are deposited on an infinite layer of bleached J-aggregated TDBC.

Before performing the optimization procedure, we first filter some noise by convoluting by a rectangular window function in the  $(\lambda,\theta)$  plane whose widths are 6.2 nm and 2°. The values of the parameters are gathered in table 2.

Parameters bleached J-aggregates QDs J-aggregates k=1k=2k=2k=3k=12.22  $\nu_{0k}$  (eV) 2.07 2.14 2.17 2.76  $\nu_{\tau k}$  (eV) 1.14e-091.46e-132.10e-063.83e-123.72e-11 $\nu_{\rm pk} \ (eV)$ 0.250.013 0.83 0.101.44 0.042 $\sigma_{\rm k} \; ({\rm eV})$ 0.0250.0250.0700.132.13 2.83 3.18  $\epsilon_{
m bg}$ 

Table 2: Brendel-Bormann model parameter values

#### Approximations in the local Kirchhoff's law

 $n_{\perp}$ 

2.82

Two approximations of Eq.1 have been made to compute the PL of Fig. 3 g-l. Firstly,  $\mu(\mathbf{r}')$  was considered to be a non-zero constant in the volume of excited emitters and zero everywhere else, as can be shown in Fig. 2b, where the hatched areas correspond to the volumes

of excited emitters.

Let us first discuss the volume of emitting QDs. Experimentally, the excitation laser fre-306 quency was selected to pump preferentially the QDs. The volume of excited QDs is thus 307 defined by the laser spot size, here a disk of diameter  $\Phi_{QDs} = 500 \,\mathrm{nm}$ . We verified that the 308 quantum dots cannot be re-excited by the surface plasmon launched by the QDs at 570 nm 309 (the QDs' emission wavelength). To do that, we consider the system described in Fig. 1a 310 and 3f, when the TDBC layer is entirely bleached. We compare the intensity decay length 311 of the plasmon (defined as 1/(2k'')) with and without the QDs. If the decay length does not 312 change significantly, most of the absorption is due to the metal so that multiple absorption-313 emission processes cannot take place. In other words, we compute the decay length of the 314 surface plasmon when the QDs' layer is described by a BB refractive index described in 315 Table 2. We obtain  $\delta_1 = 2.21 \ \mu \text{m}$ . We then discard the resonance of the QDs by replacing 316 its refractive index by its background contribution only i.e.  $n_{\text{BQbg}} = 1.78$ . The decay length 317 slightly increases to  $\delta_2 = 2.36 \ \mu \mathrm{m}$  without the absorption of the QDs. This tiny increase 318 of the decay length points out that the absorption by the QDs is too low to display any 319 absorption - reemission process. The QDs are thus only excited in the volume defined by 320 the laser spot size. 321

Regarding the volume of excited J-aggregated TDBC molecules, it was defined following 322 observations and interpretations from the experimental data from Fig 3b-f. In these cases, 323 the molecules are photobleached over areas larger than  $\Phi_{\rm QDs}$  (except for Fig 3a and 3g), 324 and can be excited directly neither by the QDs nor by the excitation laser. However, it was 325 necessary to include a nonzero chemical potential for the molecules to reproduce theoretically 326 the experimental data of light emission in Fig. 3g-i. We interpret this observation by consid-327 ering that the J-aggregated molecules are excited by the QDs through the surface plasmons. 328 In a rigorous manner, we should take into account all the J-aggregated molecules outside 329 the bleached area. However, for numerical computation, we had to take into account a finite 330 volume of diameter  $\Phi_{\text{Jagg}}$  of excited molecules, where we consider that all the molecules are 331

excited inside and none outside ( $\mu_{Jagg}(\rho)=0$  for  $\rho>\Phi_{Jagg}/2$ , Fig. 2b). We identify 3 different cases :

- 1. The case where the QDs are deposited on top of an infinite layer of active J-aggregated molecules (Fig. 3a). In that case,  $\Phi_{\text{Jagg}} = 2\delta_a$ , where  $\delta_a = 1.21~\mu\text{m}$  is the intensity decay length of the upper polariton at 570 nm.
- 2. The case where the molecules are photobleached over areas larger than  $\Phi_{\rm QDs}$ , with  $\Phi_{\rm CPA} < 2\delta_1$  (Fig 3 b-c), with  $\delta_1 = 2.21~\mu{\rm m}$  defined earlier as the decay length of the surface plasmon at 570 nm, launched by the QDs on top of a bleached area of molecules. In that case,  $\Phi_{\rm Jagg} = 2(R_{\rm CPA} + \delta_a)$ .
- 3. For configurations where the CPA is larger than  $2\delta_1$  (Fig 3 d-f with  $\Phi_{\text{CPA}} = 5$ , 8 and 40  $\mu$ m, respectively), we could recover the experimental data without including a contribution of the molecules so that  $\Phi_{\text{Jagg}} = 0$ .
- This choice of effective volume with a constant value of  $\mu_{\text{Jagg}}$  is equivalent to the computation of the absorption over the entire volume when assuming that the number of excited molecules decays exponentially as  $\frac{1}{r} \exp(-r/\delta_a)$ .
- The values of the diameter  $\Phi_{\mathrm{Jagg}}$  of the volume of excited TDBC are presented in table 3.

Table 3: Values of the effective diameters  $\Phi_{\text{Jagg}}$ 

- Finally, the Wien's approximation is valid at 300K and states that  $\exp(\frac{\hbar\omega \mu(\mathbf{r}')}{k_BT}) >> 1$ and the medium is considered homogeneous over the entire volumes of the different layers, and defined by its temperature T.
- With these assumptions, Eq. (1) can be simplified and rearranged as the sum of the two contributions due to the QDs and the molecules. We remind that the molecules are placed

between the silver layer and the QDs layer. We obtain:

$$dP_{\rm e}^{(l)}(\mathbf{u},\lambda) = d\lambda d\Omega \left[dP_{\rm abs}^{\rm Jagg}(-\mathbf{u},\lambda)I_{\rm BB}(\lambda)e^{\frac{\mu_{\rm Jagg}}{k_BT}} + dP_{\rm abs}^{\rm QDs}(-\mathbf{u},\lambda)I_{\rm BB}(\lambda)e^{\frac{\mu_{\rm QDs}}{k_BT}}\right],\tag{4}$$

with

$$dP_{\text{abs}}^{i}(-\mathbf{u},\lambda) = \varphi_{\text{inc}} \int_{V_{i}} d^{3}\mathbf{r}' \alpha^{(l)}(-\mathbf{u},\mathbf{r}',\lambda), \tag{5}$$

355 and

$$I_{BB}(\lambda) = \frac{1}{\lambda^5} \frac{1}{\exp(\frac{hc}{\lambda k_B T})}.$$
 (6)

After factorisation and normalization by the maximum emitted power at  $\lambda_0$  and  $\mathbf{u_0}$ , Eq. 4 becomes:

$$\frac{dP_{e}^{(l)}(\mathbf{u},\lambda)}{dP_{e}^{(l)}(\mathbf{u}_{\mathbf{0}},\lambda_{0})} = \frac{I_{BB}(\lambda)}{I_{BB}(\lambda_{0})} \frac{dP_{abs}^{Jagg}(-\mathbf{u},\lambda) + dP_{abs}^{QDs}(-\mathbf{u},\lambda)e^{\frac{\Delta\mu}{k_{B}T}}}{dP_{abs}^{Jagg}(-\mathbf{u}_{\mathbf{0}},\lambda_{0}) + dP_{abs}^{QDs}(-\mathbf{u}_{\mathbf{0}},\lambda_{0})e^{\frac{\Delta\mu}{k_{B}T}}},$$
(7)

with  $\Delta \mu = \mu_{\rm QDs} - \mu_{\rm Jagg}$ . This factor  $\Delta \mu$  is adjusted to fit the experimental emission.

For  $\Phi_{\text{CPA}} > 2\delta_1$ ,  $\Delta \mu = \mu_{\text{QDs}}$  and  $dP_{\text{abs}}^{\text{Jagg}}(-\mathbf{u}, \lambda)$  is null. Only the QDs contribute to the photoluminescent emission in this latter case.

#### 361 Thicknesses identification

Once refractive indexes for J-aggregated TDBC, bleached J-aggregated TDBC and QDs are
extracted from the optimization procedure for each type of emitters separately, it is possible
to compute the emission of the whole system composed of QDs on active and bleached
J-aggregated TDBC, on top of the silver layer.

We used the system without bleached molecules (Fig. 3g) to adjust the thickness of the

We used the system without bleached molecules (Fig. 3g) to adjust the thickness of the different layers to fit the experimental branches positions. We found  $t_{\text{Jagg}}^{th} = 11 \text{ nm}$  and  $t_{\text{QDs}}^{th} = 15 \text{ nm}$ , which is consistent with the experimental atomic force microscopy measurements  $t_{\text{Jagg}} = 17 \text{ nm}$  and  $t_{\text{QD}} = 15 \text{ nm}$ . These values were kept the same for all CPA configurations

370 (Fig 3h-l, matching Fig 3b-f).

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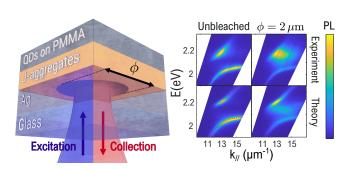
# 460 TOC Graphic

For Table of Contents Use Only

Title: "Plasmon mediated energy transfer between two systems out of equilibrium"

Authors: Camilo R. Pérez de la Vega, Elise Bailly, Kévin Chevrier, Benjamin Vest, Jean-Paul Hugonin, Antoine Bard, Alban Gassenq, Clémentine Symonds, Jean-Michel Benoit, Joel Bellessa, Jean-Jacques Greffet, Yannick De Wilde, Valentina Krachmalnicoff.

Synopsis: This graphic depicts a multi-layered sample composed of a glass substrate with a silver film, a layer of J-aggregates, and a layer of quantum dots deposited on it. When a blue laser is directed through the substrate towards a region of the sample where the J-aggregates have undergone photobleaching, the quantum dots layer is excited. Energy transfer between quantum dots and J-aggregates mediated by surface plasmon polaritons can be studied by taking advantage of the microstructuration of the multilayered sample.



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