

Suppressing surface and bulk effect enables high efficiency solution-processed kesterite solar cells

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Yunhai Zhao, Jun Zhao, Xingye Chen, Michel Cathelinaud, Shuo Chen, et al.. Suppressing surface and bulk effect enables high efficiency solution-processed kesterite solar cells. Chemical Engineering Journal, 2023, Chemical Engineering Journal, pp.147739. 10.1016/j.cej.2023.147739. hal-04327518

HAL Id: hal-04327518 https://hal.science/hal-04327518v1

Submitted on 11 Sep 2024

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1	Suppressing Surface and Bulk Effect enables High Efficiency
2	Solution-Processed Kesterite Solar Cells
3	
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16 Abstract

Kesterite Cu₂ZnSn(S,Se)₄ (CZTSSe) is a promising photovoltaic material due to its 17 environmentally friendly composition and excellent photovoltaic performance. 18 Unfortunately, open-circuit voltage (Voc) deficit and insufficient fill factor (FF) due to 19 the interface and bulk carrier recombination have become major obstacle to further 20 improve the device performance. A new effective strategy is proposed to 21 simultaneously improve the front interface and suppress the CZTSSe absorber defects 22 by introducing a thin ammonium sulfide layer on the absorber surface by a pre-soaking 23 24 and spin-coating-annealing method. Through this strategy, the absorber surface is optimized by adding S and removing the secondary phase. At the same time, the 25 diffusion of S into the bulk transforms the dominant deep V_{Se} defect into shallower 26 27 Cu_{Zn} defect, which effectively reduces carrier recombination in the CZTSSe bulk and at the CZTSSe/CdS interface, prolongs minority carrier lifetime, facilitates carrier 28 collection, and reduces charge loss. The optimized sulfurization treatment significantly 29 improves V_{OC} and FF to the point that device efficiency increases from 11.26% to 30 13.19%. These findings provide new insights into achieving higher efficiency in 31 32 CZTSSe-based thin film solar cells by simultaneously optimizing the interface and bulk. **Keywords:** 33

34 CZTSSe, solar cell, surface sulfurization, carrier transport enhancement, defects35 passivation

36

37 Introduction

38	The Earth's abundant reserves of kesterite $Cu_2ZnSn(S,Se)_4$ (CZTSSe) thin film has
39	attracted extensive research interest in the last decade for the green non-toxicity and
40	high-efficiency solar cells due to the high light absorption coefficient, tunable direct
41	bandgap (E_g) and high quantum efficiency[1, 2]. However, as the most promising
42	alternative to Cu(In,Ga)Se ₂ (CIGS) solar cells, the power conversion efficiency (PCE)
43	of CZTSSe (14.9%) is still far below the highest performance of CIGS solar cells
44	(23.6%)[3]. Among the many factors constraining the improvement of device efficiency,
45	carrier recombination at the heterojunction interface and the absorber bulk should be
46	the key limiting factor. Interface and bulk defects leads to increased Shockley-Reed-
47	Hall recombination (i.e., trap-assisted recombination), which inevitably results in larger
48	open-circuit voltage deficit (V_{OC-def})[4]. Meanwhile, a series of secondary phases are
49	inevitably generated during the selenization process, which affects the attachment
50	between the buffer layer and absorber and reduce the filling factor (FF)[5, 6]. Besides,
51	the Sn(S,Se) is commonly used to suppress Sn loss at selenization progress, excess
52	Sn(S,Se) may condense on absorber surface when it was cooling[7, 8]. The deep level
53	defects in the absorber can be effectively passivated by cation (Ag, Cd, Ge, et al.)
54	doping, and changing the selenization process to control the chemical environment
55	during the crystallization process can also obtain absorber with lower defect density[9-
56	11]. However, it is very difficult to improve the absorber bulk as well as the
57	heterojunction interface properties by one strategy at the same time. It is necessary to
58	find a way to solve both the defect and the interface problem to further increase the $V_{\rm OC}$
59	and FF. Electroactive defect reduction and secondary phase elimination at the

60 CZTSSe/CdS interface by surface treatment is an effective way to improve the quality61 of the heterojunction interface[6, 12].

62 Among the various guiding interface treatment strategy, sulfurization of the CZTSSe absorber has proven to be a simple and effective method [13, 14]. It consists of two main 63 approaches: sulfur-containing vapor treatment and solution treatment. Post-annealing 64 treatment at elevated temperatures under H_2S gas or S vapor atmosphere can effectively 65 increase the V_{OC} , and the increase is usually attributed to the activation energy transfer 66 of the interface state at the CZTSSe/CdS interface[15, 16]. In this case, the electron 67 68 transport barrier formed on the surface of the S-rich component deteriorates the device efficiency, so it is essential to control the additional sulfur content introduced by the 69 sulfurization treatment[17]. However, it is very difficult to accurately regulate sulfur 70 71 doping in CZTSSe film during sulfurization treatment because of the high activity of sulfur at high temperature. Besides, due to the decomposition of kesterite film at high 72 temperatures, elements such as Sn are easily lost. Sulfur-containing solution soaking, 73 74 such as (NH₄)₂S and Na₂S treatments could take out the secondary phase on the 75 absorber surface and improve the surface wettability of absorber [7, 18]. However, the appropriate treatment time is crucial for the solution sulfurization treatment, and the 76 absorber needs to be washed immediately after the treatment. The sulfurization activity 77 78 is closely related to the reaction temperature, which makes it difficult to achieve the passivation of defects by this method. In order to achieve an effective sulfurization 79 80 effect and at the same time a good control of the degree of sulfurization, a new sulfurization process needs to be explored. 81

In the present contribution, we report on an effective and simple solution treatment method that can be applied on the surface of sulfur-based thin films and achieve vapor sulfurization, being able to adjust simultaneously the surface property as well as the internal defect of the absorber. This method is based on the use of vapor from ammonium sulphide ((NH₄)₂S, abbreviated here AS) as a sulfur source. AS is a salt of a weak alkali and a weak acid and thermally decomposes at 280 °C to release H₂S as follows[19, 20],

89 $(NH_4)_2S \Leftrightarrow NH_3+H_2S$

compare with the solution soaking sulfurization process alone, the H₂S released at 280 $\$ is expected to obtain higher reactivity, while the benign treatment temperature of 280 $\$ promotes the diffusion of S elements, which can effectively passivate the interface defects as well as bulk defects. Therefore, AS sulfurization treatment is expected to suppress interface and bulk recombination synergistically and well control the elemental distribution, thus further improving the heterojunction quality and device performance.

97 Here, we provide an effective surface sulfurization method to optimize CZTSSe surface 98 and passivate interface and bulk defect. The AS solution was coated on the CZTSSe 99 absorber and stayed for 2 min, then spinning was performed to obtain thin AS layer on 100 the absorber surface, finally sintered at 280 °C under inert atmosphere. The synergistic 101 effect of surface etching and surface sulfurization can be obtained simultaneously by 102 this method. After the surface sulfurization treatment, the sulfur content of absorber 103 was slightly increased, carrier separation efficiency was enhanced and the carrier recombination was suppressed. This combined factors of enhanced charge transport capacity, reduced carrier recombination and extended carrier lifetime resulted in a significant increase in V_{OC} and FF and a device efficiency of 13.19% (the total-area efficiency is 12.71%).

108

109 Experiment

Preparation of CZTS precursor solution: The molar ratio of Cu/(Zn+Sn) and Zn/Sn were 0.775 and 1.2, respectively, and the solutions were prepared in air. Sn^{4+} solution was obtained by dissolving CuCl, Zn(CH₃COO)₂ 2H₂O, SnCl₄ 5H₂O and SC(NH₂)₂ in 2-Methoxyethanol. Sn²⁺ solution was prepared by dissolving Cu(CH₃COO)₂ H₂O, ZnCl₂, SnCl₂ 2H₂O and SC(NH₂)₂ in 2-Methoxyethanol. The Sn⁴⁺ and Sn²⁺ solution were mixed homogeneously in the same volume and then diluted to half of the original concentration to obtain the CZTS precursor solution.

Preparation of CZTSSe absorber: The CZTS precursor solution was spin-coated Mo substrate. The rotational speed was 3000 rmp and the film was annealed in a hot plate at 280 $^{\circ}$ after spin-coating. This spin-coating and annealing cycle was repeated 10 times. Finally, the prepared CZTS precursor film were seleniated in a rapid thermal processing (RTP) furnace at 555 $^{\circ}$ C, 900 s, and under argon flow to obtain CZTSSe absorber.

Sulfurization treatment: Method A: Soak the CZTSSe absorber in ammonium sulphide solution, use a stopwatch to accurately calculate the soaking time, and rinse the film with deionised water immediately after the soaking. The rinsed film was dried in an

oven at 60 °C. Method B: Place the CZTSSe absorber in the spin coater machine and 126 use a dropper to draw ammonium sulphide solution onto the absorber surface so that 127 128 the surface is completely covered by the ammonium sulphide solution. The infiltration time was accurately calculated using a stopwatch, and the spin coater machine was 129 started immediately after the timing to rotate the film. The CZTSSe absorber covered 130 with AS layer were then annealed on a hot plate at 280 °C. The same spin-coating 131 parameters were used for the CTZS film and the AS layer. The solution was spin-coated 132 with 3000 rpm for 20 s. The whole process was carried out in a glove box under nitrogen 133 134 atmosphere. Device preparation: The CdS buffer layer was prepared by chemical bath deposition on 135 absorber. The ITO window layer was sputtered by magnetron sputtering on the buffer 136 137 layer. Ag electrodes were deposited by thermal evaporation. Characterizations: The X-ray diffraction (XRD) pattern was measured by Bruker AXS 138 (D8 Advance). The surface topography and Mapping of the films were obtained using 139 scanning electron microscopy (BRUKER QUANTAX 200). The current density-140 voltage (J-V) characteristics were represented by a Keithley 2400 multi-meter. The 141 external quantum efficiency (EQE) spectrum were collected by Zolix SCS101 system 142 and Keithley 2400 source meter. Ultraviolet photoelectron spectroscopy (UPS) were 143 obtained by PHI 5000 VersaProbe III with He I source (21.22 eV). The X-ray 144 photoelectron spectroscopy (XPS) was measured by PHI 5000 VersaProbe III with a 145 monochromatic Al Ka X-ray source. The topography and surface potential were 146 measured by Kelvin Electrostatic Force Microscopy (KPFM, Dimension Icon, Bruker). 147

Electrochemistry impedance spectroscopy (EIS) was measured by frequency response 148 analysis (FRA) equipped PGSTAT-30 from Autolab (AUT302N). Temperature-149 dependent dark J-V (J-V-T) measurement were carried out using a Lakeshore 325 150 temperature controller. The transient photovoltage (TPV) spectra were collected by 151 Agilent B1500A semiconductor characterization system. Admittance spectral 152 measurement was collected through Lakeshore 325 temperature controller and the 153 devices were mounted inside a Janis VPF-100 cryostat and the system was cooled with 154 liquid nitrogen. Capacitance-voltage (CV) and drive-level capacitance spectroscopy 155 156 (DLCP) were analysed by Keithley 4200A-SCS system with JANIS cryogenic platform. The device was put into a SEM vacuum chamber to implement EBIC measurements 157 (Gatan, Inc.). 158

159

160 **Results and Discussion.**

Figure 1a demonstrates the preparation of CZTSSe thin films treated by AS solution 161 sulfurization. The conventional AS solution sulfurization method usually selects a 162 suitable soaking time to soak the CZTSSe absorber in AS solution at room temperature, 163 and then the film are placed in deionized water, and finally dried it to obtain the treated 164 film. The film prepared by the traditional method is called as sample A. The soaking 165 time of AS solution corresponding to sample A1 and A2 is 1 and 2 min, respectively. 166 Such a treatment removes secondary phase and passivates the defect of the absorber 167 168 surface to some extent. Overall, this method is convenient but cannot improve the internal property (e.g., bulk defect) of the absorber, and thus the improvement of PCE 169

is limited. Therefore, we chose a new treatment method: firstly, drop the AS solution 170 on the CZTSSe absorber surface, so that the AS solution completely covers the whole 171 172 film surface, stay for a few minutes to remove the secondary phase of the surface. Then turn on the spin coater machine, high-speed rotation makes the AS solution transformed 173 174 into a thin AS layer[6, 21, 22]. Finally the sample are placed on a hot plate at 280 °C for annealing, the whole process was carried out in a glove box. The samples prepared 175 using this method are called sample B, and the AS solution infiltration time of sample 176 B1, B2 and B3 correspond to 1, 2 and 3 min, respectively. The H₂S released from the 177 178 decomposition of AS at 280 °C has higher reactivity, and the favorable sulfurization temperature of 280 °C is conducive to promoting the diffusion of S into the interior of 179 the absorber, which can effectively passivate the defect on the surface as well as in the 180 181 bulk.

The XRD spectra and partial magnification of the reference sample and samples A, B 182 are shown in Figure 1b and c, respectively. The XRD spectra indicate that the crystal 183 184 structure of the samples is kesterite CZTSSe, and the bulge located on the right side of the (112) peak is assigned to the secondary phase Sn(S, Se)[7, 23]. The Sn(S, Se) peak 185 intensity of the thin film treated with AS solution was significantly weakened than 186 reference (Figure 1c), indicating that the secondary phase in the absorber was 187 effectively removed. Moreover, the Sn(S,Se) peak intensity of A2 sample is lower than 188 that of A1, and the Sn(S,Se) peak intensity of B1, B2 and B3 are weakened in turn, 189 190 which means that the longer the AS solution stays on the absorber, the better the remove effect of the secondary phase is. 191

To investigate the effect of AS solution treatment on the device efficiency, the PCE of 192 the reference sample and sample A, B are demonstrated in Table 1. The results show a 193 194 negligible slight enhancement in short-circuit current density (J_{SC}) and V_{OC} and a small increase in FF, hence a slight enhancement in efficiency for the sample treated by 195 method A. AS solution soaking removes the secondary phase of absorber surface, 196 which favors better heterojunction quality, and therefore the FF is enhanced. The 197 increase in V_{OC} and FF in the samples treated with method B was quite significant. 198 Residence of the AS solution on the surface of the absorber dissolves the secondary 199 200 phase, and subsequent rotation of the spin coater machine removes the secondary phase dissolved in the AS solution. Annealing at 280 °C in an inert gas atmosphere enhances 201 the reactivity of the sulfurization and promotes elemental diffusion, and the AS 202 203 decomposes into NH₃ and H₂S, which effectively passivates the electroactive defects on the surface and in the bulk of the absorber. The improvement of the heterojunction 204 quality and the reduction of the defect lead to the enhancement of the FF and the V_{OC} , 205 which resulted in an efficiency of 13.19%. The 2 minutes residence time of AS solution 206 207 gives the best result.

In the following, the reference device and the optimal device B2 will be referred to as W/O AS and With AS respectively for ease of expression. **Figure 2**a illustrates the box statistics of the PV parameters, where the average efficiency of the With AS device increases from 11.15% to 12.76%. The enhancement of J_{SC} may originate from the reduction of recombination loss at the heterojunction interface and the enhancement of charge carrier collection[24]. SEM mapping test were performed on the surface of W/O AS and With AS absorber to investigate the effect of AS treatment on the elemental content of the absorber surface, and the result is displayed in Figure 2b, c. The S content of With AS absorber surface increases obviously, the Se content changes insignificantly, and the Sn content decreases slightly, which coincides with the result that the Sn-related secondary phases are removed after the sulfurization treatment.

The EDS elemental line scan shows a slight increase of S content in the near-surface 219 region (400 nm) of the absorber after sulfurization treatment (Figure 3a). Figure 3b 220 demonstrates the changes of S/Se in the films before and after the sulfurization 221 222 treatment and the corresponding bandgap changes, and the calculation of the bandgap based on S/Se is shown in the Supporting Information (Note1). With AS films 223 possessing a larger value of S/Se near the surface, the surface bandgap is larger than 224 225 that of the W/O AS films. The V_{OC} is proportional to the bandgap, and a slightly larger bandgap at the surface favors the enhancement of the V_{OC} . XPS is very sensitive to the 226 elemental component of the sample surface, and the surface composition of the W/O 227 228 AS and With AS CZTSSe absorber was investigated using XPS. Figure 3c illustrates the S 2p peak of both sample. Four peaks were observed in the S 2p spectra, the peaks 229 at 161.0 and 162.2 eV belonged to the S 2p core, consistent with the sulphide state S 230 located at 160-164 eV, while the peak at 159.7 and 165.4 eV belonged to the Se 3p 231 232 core[25, 26]. The sulfurization treatment greatly affects the surface S peak intensity, the S peak intensity of the treated film surface is significantly increased compared to W/O 233 234 AS film, which implied that the surface of the treated film had a higher S content. It is in agreement with the results of SEM-Mapping. Figure 3d shows the J-V curves of the 235

W/O AS and With AS device. The V_{OC} and FF of the With AS device demonstrates a 236 significant enhancement, which may originate from the passivation of defects and the 237 238 enhancement of bandgap. Figure S1 shows the J-V curves for the light and dark states of the W/O AS and With AS device, and the series resistance (R_s), shunt resistance (R_{sh}), 239 ideality factor (A), and reverse saturation current (J_0) calculated from the J-V curves 240 are summarized in Table 2[27, 28]. The diode parameters (Figure S2 and Figure S3) 241 of the solar cell help us to analyze the performance loss mechanism of the device. With 242 AS device have smaller R_s and larger R_{sh} , which is beneficial to enhance the FF. The A 243 and J_0 of With AS devices are 1.5 and 1.57×10^{-5} mA cm⁻², respectively, which are 244 smaller than that of the W/O AS devices [29, 30]. It implies that there is a significant 245 reduction of carrier recombination in the With AS devices, which could be due to the 246 247 sulfurization treatment passivation defects thereby reducing the number of carrier recombination centers. 248

The EQE curve are shown in Figure 3e. Consistent with J_{SC} , the AS sulfurization 249 250 treatment slightly improves the EQE in the broad spectral range of 400-1000 nm, presumably due to the sulfurization treatment passivating the recombination center in 251 the absorber and enhancing the carrier collection efficiency[12, 31]. Notably, the EQE 252 response of the treated devices starts to decrease around 1000 nm, which is related to 253 the decrease in long-wavelength absorption due to the S content increase in the absorber 254 of the processed device [32]. The bandgap (E_g) was determined from EQE curve by 255 linear extrapolation of $(\ln(1-EQE))^2$ versus wavelength (Figure S4)[33]. The E_g of the 256 W/O AS and With AS device are 1.14 eV and 1.15 eV, respectively, and the E_g of the 257

treated device increases slightly due to the increase in S content[34]. The integral 258 current density calculated from the EQE spectral response is displayed in Figure S5. 259 260 The integral current density of the W/O AS and With AS devices are 34.68 and 34.95 mA cm⁻², respectively, which are in good agreement with the J_{SC} in the J-V curves. The 261 262 UPS analysis can obtain the work function and valence band of the semiconductor materials, which can be combined with the bandgap values to obtain the band alignment 263 of the heterojunctions[35]. The UPS curves of CdS, W/O AS film and With AS film are 264 shown in Figures S5 and S6, respectively, and the calculation process of the work 265 266 function is shown in the supporting information (Note2). The calculation results show that the conduction band of CdS is higher than that of W/O AS film and With AS film 267 (the band alignment schematic is shown in Figure 3f), which proves that the p-n 268 269 junctions of both devices are spike structures that are favorable for carrier transport (Supporting information Note3). The conduction band offset (CBO) of the 270 heterojunction is 0.04 eV for the W/O AS device and 0.16 eV for the With AS device. 271 The slightly increased CBO of the With AS device is more conducive to the reduction 272 of interface recombination and does not affect the electron tunneling, which improves 273 the performance of the device. 274

AFM and KPFM measurements are widely used in solar cells to analysis the spatial morphology and the potential distribution around the grain boundary (GB) of the samples. The 2D morphology and surface potential maps of the CZTSSe absorber are shown in **Figure 4**. The surface morphology of the two samples is in good agreement with the SEM images. The surface potentials of the W/O AS and With AS sample are

shown in Figure 4b and e. The brightness of the grain boundary of the two samples is 280 significantly higher than that of the grain interior (GI), which means that the contact 281 282 potential difference (CPD) of the grain boundary are higher than grain interior. Higher CPDs at grain boundary lead to downward bending of the bands, which attracts 283 284 electrons and repels holes [12, 36]. This downward bending phenomenon can promote the separation of electrons and holes, leading to a reduction in carrier recombination 285 and an enhancement of carrier separation. In addition, the average potential difference 286 of W/O AS and With As samples between the GB and GI is 26 and 73 mV, respectively 287 288 (Figure 4g and Figure 4h, Supporting information Note4). It suggests that the AS sulfurization treatment increases the band bending at the grain boundary[37]. Larger 289 band bending facilitates enhanced carrier separation and collection at grain boundary, 290 291 suggesting that the higher carrier separation efficiency after surface sulfurization treatment is one of the reasons for the increase in V_{OC} . 292

The PCE of kesterite devices is limited by the level of deep traps at the interface and in 293 the absorber bulk. Since annealing of the treated devices at 280 °C leads to ion exchange 294 between the CZTSSe and AS layer, the defect property of the absorber may change 295 accordingly. In order to gain insight into the correlation between interdiffusion and 296 deep-trap states, we performed admittance spectral measurements on W/O AS devices 297 298 and With AS devices. The admittance spectral results are shown in Figure 5a, c, the capacitance of the W/O AS device varies greatly with frequency, while the capacitance 299 of the With AS content device varies less between high and low frequency. The 300 significant frequency dependence of the capacitance in the W/O AS device suggests 301

302	that there are greater density of traps in the absorber[32, 38]. Figure 5b and e shows the
303	Arrhenius plots of the W/O AS and With AS device, the activation energy (E_a)
304	determined from the Arrhenius plots approximates the difference between the defect
305	level and the edge of the valence band (Supporting information Note5 and Figure
306	S8)[39, 40]. The E_a for the W/O AS and the With AS device is about 308 meV and 147
307	meV, respectively. A larger E_a value usually indicates a slower hole emission rate, so
308	defects with larger activation energy are more likely to become more efficient
309	recombination centers[32]. In the CZTSSe, the deeper energy level that are located at
310	about 300 meV above the valence band defects include the acceptor defect Cu_{Sn} and the
311	donor defect V_{Se} , while another defect level 150 meV away from the valence band can
312	be attributed to the Cu_{zn} defect[5]. For the With AS devices, the annealing at 280 °C
313	promotes ion exchange, and the S elements in the AS layer can diffuse into the absorber
314	to a greater extent, which can passivate the V_{Se} defect on the absorber surface as well
315	as in the bulk at the same time[6, 41]. Therefore, the dominant deep-energy level defects
316	in the With AS device are converted from V_{Se} to Cu_{Zn} , which is the main reason for the
317	V_{OC} enhancement in the With AS device. In contrast to V_{Se} , which deteriorates the
318	device performance by trapping carriers as a deep energy level recombination center,
319	ionization of the acceptor defect Cu_{Zn} generates a large number of hole carriers and
320	leads to a good p-type conductivity[42].
321	Temperature-dependent J - V tests (J - V - T) are widely used to analyze carrier transport

mechanism in thin-film solar cells. **Figure S9** shows the *J*-*V*-*T* curves of W/O AS and With AS devices from 120 k to 300 k under dark conditions. The diode-like behavior

of the W/O AS device is largely suppressed at 220 k, whereas the J-V curves of the 324 With AS device show good diode characteristics even when the temperature drops to 325 326 160 K, proving that the With AS device has a low transport barrier [43]. The reverse saturation current (J_0) and ideal factor (A) were extracted from the J-V curves. The A 327 of the With AS device showed lower temperature dependence, indicating the 328 dominance of Shockley-Read-Hall recombination in the depletion region (Figure 6a). 329 The A of the W/O AS device was much larger and strongly correlated with the 330 temperature, suggesting that unfavorable tunneling-enhanced recombination was 331 332 dominant[44]. The dominant recombination paths can be found by plotting $Aln(J_0)$ against 1/kT and calculating the slope E_A (Interface recombination activation energy, 333 Figure 6b). E_A close to E_g indicates that bulk recombination dominates; whereas E_A less 334 335 than E_g implies that interface recombination is dominant[44, 45]. The E_A of the W/O AS and With AS devices are 0.79 eV and 0.95 eV, respectively. The *E*_A values of With 336 AS devices are close to the bandgap, indicating that the AS sulfurization treatment 337 338 significantly passivates the interface recombination.

The carrier concentration of absorber and recombination property of heterojunction were investigated by *CV* and DLCP. Figure 6c is the *CV* and DLCP data for the W/O AS and the With AS device. The carrier density (N_{CV} amd N_{DL}) and the width of depletion region (W_d) derived from the DLCP data are shown in **Table 3**. The W_d is similar for both samples, but that the carrier density (zero bias) is larger for the With AS device. The carrier density of With AS device increases from 5.40×10^{16} to $6.66 \times$ 10^{16} cm³, which is attributed to the transformation of the dominant defect from V_{Se} to

346	Cu_{Zn} . In general, DLCP was only includes bulk defects, the CV was also includes
347	interface defects[29, 46]. Therefore, the interface defect density (N_{IT}) can be obtained
348	by comparing N_{CV} - N_{DLCP} . The N _{IT} value estimated for the W/O AS and With AS device
349	were 3.34 $\times 10^{16}$ and 4.70 $\times 10^{15}$ cm ⁻³ , respectively. The interface defects were reduced
350	by an order of magnitude after the sulfurization treatment. Such a considerable decrease
351	in $N_{\rm IT}$ strongly confirms the superiority of the heterojunction interface of With AS
352	devices, which reduces the carrier recombination of heterojunction and accelerates the
353	carrier transport at the interface[39, 47]. The carrier transport characteristics of the
354	devices were analyzed by EIS, and the correlation curves and fitting results are
355	displayed in Figure S10 and Table 3. The inset of Figure S10 shows the equivalent
356	circuit diagram of the CZTSSe solar cell, and the EIS curves were fitted to obtain the
357	series resistance (R_{s}^{*}), recombination resistance (R_{rec}), and chemical capacitance (C_{rec}).
358	The smaller R_{s}^{*} and larger R_{rec} of the AS devices imply that carrier recombination in
359	the devices is effectively suppressed[48, 49]. According to the diffusion-recombination
360	model, the minority carrier lifetimes (τ) of 0.59 and 2.33 microseconds for the two
361	devices, indicating that the sulfurization treatment significantly improves the carrier
362	transport dynamics of the devices.

To further evaluate the carrier lifetime in fully working devices, the W/O AS and With AS device were tested for TPV, where photocarriers are generated under pulsed light irradiation, free electrons and holes are produced and a photovoltage is generated. Once the incident light is switched off, the voltage starts to decay due to transient nonradiative recombination of electrons and holes at possible defect locations[2, 50].

Figure 6d shows the TPV results for the W/O AS and the With AS device. Based on the 368 results of the single-exponential model fitting, it is found that the TPV lifetime (τ_{TPV}) 369 of the With AS device increases from 10.38 µs to 12.89 µs. The longer decay time 370 provides more opportunity for carriers to be extracted from the absorber to the 371 372 electrodes, which greatly reduces the carrier recombination. We know that a relatively long decay time means fewer non-radiative recombination sites in the intact device. 373 Therefore, the AS sulfurization treatment reduces the defects in the CZTSSe, which 374 reduces the recombination of free carriers and facilitates carrier collection and cell 375 376 performance.

The improvement in charge transport induced by sulfurization-enhanced carrier 377 collection and separation at grain boundary may be the direct cause of the enhanced 378 379 electrical property of the devices. EBIC can help us to further investigate the transport of electrons across grain boundary at microscopic level. The SEM cross-section, EBIC 380 mapping is shown in Figure 6e, f, Figure 6g shows the normalized EBIC signals of the 381 W/O AS and With AS device along the grey dashed line. Bright regions in the EBIC 382 image imply high carrier collection efficiency, and the depths represent minority carrier 383 diffusion lengths[51, 52]. Near the CdS/CZTSSe interface, both samples show strong 384 carrier collection, and the reason for the strong EBIC signal near the interface is the 385 strong electric field in the region. It indicates high carrier collection efficiency near the 386 heterojunction. The EBIC signal is significantly weaker away from the p-n junction, 387 which was limited by the possible presence of significant recombination and/or carrier 388 transport barriers. Compared to W/O AS device. With AS device have stronger EBIC 389

signal at the heterojunction interface (Figure S11, non-normalized EBIC line scan), implying that With AS device have stronger built-in electric field, as reflected in the KPFM data[53, 54]. Meanwhile, the EBIC signal of the With AS device decreases slower and has higher intensity near the back interface (Figure 6g, normalized EBIC line scan), indicating that the AS sulfurization treatment effectively improves the carrier collection capability, the carrier diffusion length is extended and the charge collection process is improved.

397 Conclusion

398 This manuscript proposes a simple and effective method to simultaneously treat surface and bulk of CZTSSe device by a pre-soaking and spin-coating-annealing sulfurization 399 for the first time. The champion CZTSSe device based on the optimal AS solution 400 401 sulfurization treatment duration obtain PCE of 13.19%. This strategy adds an annealing step to the surface sulfurization process, which promotes elemental diffusion and 402 passivates surface and bulk defects of CZTSSe devices. The removal of secondary 403 404 phase from the absorber surface facilitates better p-n junction quality and reduces interface carrier recombination. Further study shows that the band bending at grain 405 boundary of the treated samples is larger, which is more favorable for the carrier 406 separation and collection ability. The conversion of the dominant deep energy level 407 defect from Vse to Cuzn reduces the recombination of free carriers. Stronger EBIC 408 signals and slower decay rates indicate that the AS sulfurization treatment effectively 409 410 improves the carrier collection, extends the carrier diffusion length and improves the charge collection process. The present work provides a new idea and easy-to-handle 411

- 412 method for removing the bulk defects and the front interface of the secondary phase-
- time-modified high-efficiency kesterite solar cells on the absorber layer surface.

415 **Declaration of Competing Interest**

- 416 The authors declare no conflict of interest.
- 417

418 Acknowledgments

419 This work was supported by the National Natural Science Foundation of China (No.

420 62074102), Guangdong Basic and Applied Basic Research Foundation
421 (2022A1515010979) China, Science and Technology plan project of Shenzhen
422 (20220808165025003) China.

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J _{SC} (mA/cm ²)	37.27	37.82	37.54	37.34	37.47	37.35
Voc (V)	0.494	0.493	0.495	0.510	0.531	0.514
FF (%)	63.10	63.29	63.74	64.37	66.30	65.55
PCE (%)	11.26	11.80	11.84	11.98	13.19	12.32

Table 1 Photovoltaic parameters of reference, A and B solar cells.

Table 2 Diode parameters, bandgap, defect recombination activation energy and deep

647	defect dens	ty for W/O	AS and	With AS devices
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	$R_{s}\left(\Omega ight)$	$R_{ m sh}\left(\Omega ight)$	А	J ₀ (mA cm ⁻²)	Eg(eV)	E _a (meV)	<i>N</i> _T (cm ⁻³)
W/O AS	1.41	383	1.64	2.70×10-5	1.14	308	3.10×10 ¹⁶
With AS	0.71	441	1.50	1.57×10-5	1.15	147	1.10×10 ¹⁶

Table 3 Calculated results of *CV*, DLCP, EIS and TPV analysis.

	N _{CV} (cm ⁻³)	N _{DL} (cm ⁻³)	W _d (μm)	<i>N</i> _{IT} (cm ⁻³)	$R_{ m rec}\left(\Omega ight)$	$R_{s}^{*}(\Omega)$	Crec (nF)	τ (µs)	$ au_{\mathrm{TPV}}(\mu\mathrm{s})$
W/O AS	8.74×10 ¹⁶	5.40×10 ¹⁶	0.191	3.34×10 ¹⁶	30.36	25.14	19.43	0.59	10.38
With AS	7.13×10 ¹⁶	6.66×10 ¹⁶	0.190	4.70×10 ¹⁵	46.85	71.33	49.73	2.33	12.89





Figure 1 (a) Illustration of different AS sulfurization treatment processes, (b) XRD
patterns of the reference sample, sample A and sample B, (c) The enlarged characteristic
of (112) peak.



Figure 2 (a) Statistical boxplot of J_{SC} , V_{OC} , FF and PCE for W/O AS and With AS device, (b) SEM image and EDS mapping of the W/O AS film, (c) SEM image and EDS mapping of the With AS film.



Figure 3 (a) S and Se elemental line scans of W/O AS and With AS films, (b) S/Se profiles and bandgap profiles of W/O AS and With AS films, (c) XPS analysis of S 3p for the W/O AS and With AS film, (d) *J-V* characteristics of CZTSSe device W/O AS and With AS, (e) EQE of W/O AS and With AS device, (f) heterojunction band alignment schematic for W/O AS and With AS devices.



Figure 4 AFM and KPFM of the (a, b) W/O AS film and (d, e) With AS film. Schematic
of the band diagram and CPD near grain boundary of (c) W/O AS film and (f) With AS

673 film. The potential variation of (g) W/O AS film and (h) With AS film.



Figure 5 Admittance spectral results for (a) W/O AS, (d) With AS, from the inflection

points of the *C-f-T* results, the Arrhenius plots of (b) W/O AS, (e) With AS, the defect

density of states for (c) W/O AS, (f) With AS.



Figure 6 (a) Temperature dependence of ideal factor of W/O AS and With AS device,
(b) Aln(J0) versus 1/kT plot of W/O AS and With AS device, (c) The plot of *CV* and
DLCP for devices W/O AS and With AS, (d) TPV spectra of W/O AS and With AS
device, cross-sectional SEM and EBIC images for (e) W/O AS device and (f) With AS
device, (g) the intensity profiling of the dashed line in EBIC images.

688 Supporting information

689 Suppressing Surface and Bulk Effect enables High Efficiency

690 Solution-Processed Kesterite Solar Cells

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703 Supplementary Notes

- 704 Supplementary Note1 Bandgap calculation based on S/Se
- The bandgap values of $Cu_2ZnSn(S_{1-x}Se_x)_4$ for different Se contents can be calculated
- vising the sophisticated hybrid functional HSE (Heyd-Scuseria-Ernzerhof) reported by
- 707 Chen et al. with the following formula:

708 $E_g(x) = (1-x)E_g(CZTS) + xE_g(CZTSe) - bx(1-x)$ (S1)

- where Se content is x, S content is 1-x, $E_g(CZTS)$ is 1.5 eV, $E_g(CZTSe)$ is 0.96 eV, and
- b denotes bandgap bending with a value of 0.07 eV[1].

712 Supplementary Note2 UPS analysis

713 The work function (φ) is obtained from the following equation:

714 $\varphi = hv - E_{\text{cut off}}$ (S2)

where hv is 21.22 eV (He I source) and $E_{\text{cut off}}$ is based on the extrapolation of the linear

- region of high binding energy[2].
- 717

718 Supplementary Note3 Calculation of CBO

The band alignment at the heterojunction contains both spike-type and cilff-type. When 719 720 the electron affinity energy of the buffer layer is larger than that of the absorber, the CBO at the heterojunction is negative, and the band alignment at this time is cilff-type. 721 The cilff-type band alignment will strongly increase the interface recombination and 722 decrease the V_{OC}. And when the electron affinity energy of the buffer layer is smaller 723 than that of the absorber, the CBO at the heterojunction is positive, and the band 724 alignment is spike-type in this case. Spike-type band alignment is favorable to prevent 725 electron return and reduce interface recombination, but excessive spike (too large CBO) 726 affects the electron tunneling effect at the interface thus deteriorating the device 727 performance. Relevant literatures show that CBO is optimal between 0-0.4 eV, in which 728 729 the larger CBO is more favorable to reduce interface recombination. In this work, the CBO at the heterojunction of the With AS device is slightly increased due to the 730

731	sulfurization treatment that improves the S/Se content in the near-surface region of the
732	absorber layer, favoring the reduction of heterojunction interface recombination[3].
733	

734 Supplementary Note4 KPFM analysis

KPFM is used to determine the electrostatic potential change between the probe and
the sample due to the localized surface potential change. The brightness of the grain
boundary (GB) of both samples is significantly higher than that of the grain interior
(GI), and the potential difference between GI and GB can be expressed by the following
equation:

740
$$\triangle \text{CPD} = \varphi_{\text{GI}} - \varphi_{\text{GB}} = eV_{\text{CPD}(\text{GB})} - eV_{\text{CPD}(\text{GI})}$$
 (S3)

where φ_{GI} and φ_{GB} are the potentials at the GI and GB, respectively[4].

742

743 Supplementary Note5 Admittance spectra analysis

Admittance spectral measurements are used to estimate the defect level within the bandgap. The inflection frequency ω_0 of each admittance spectral curve is determined by the corner frequency point at the maximum of the $-\omega dC/d\omega$ plot (Figure S9). The fitting equation for the Arrhenius plot is[5]:

748
$$\omega_0 = 2\pi v_0 T^2 e^{-\frac{E_a}{kT}}$$
 (S4)

where ω_0 is the inflection frequency, E_a is the depth of the defect relative to the edge of the valence band, and v_0 is the exponential prefactor. In this case, the activation energy E_a determined from the Arrhenius diagram is approximated as the energy difference between the defect energy level and the valence band edge. The defectdensity obtained from the *C*-*f* scan is calculated as follows:

754
$$E_a = kT ln(\frac{2\pi v_0 T^2}{\omega})$$
(S5)

755
$$N_T = -\frac{V_{bi}}{W_d} \cdot \frac{dC}{d\omega} \cdot \frac{\omega}{kT}$$
 (S6)

where $N_{\rm T}$ is the defect density, $W_{\rm d}$ is depletion width and $V_{\rm bi}$ is the built-in voltage[6].

758 **Supplementary Note6** EIS analysis

EIS can be used to determine minority carrier (electron) recombination to characterize 759 carrier transport behavior. The EIS data were fitted using an equivalent circuit model, 760 761 which consists of a chemical capacitor (C_{rec}) connected by a shunt composite resistor $(R_{\rm rec})$ and a series resistor $(R_{\rm s}^*)$, and the measured carrier lifetimes corresponded to the 762 763 carrier transport behavior within the absorber layer close to the CdS/CZTSSe interface. According to the diffusion-recombination model, the minority carrier lifetime can be 764 calculated according to the following equation[7]: 765 766 $\tau = R_{\rm rec} \times C_{\rm rec}$ (S7)

767

768 **Supplementary Note7** *CV* and DLCP analysis

CV and DLCP are often used to analyze carrier concentration and depletion width inthin film solar cells. The formulas for the calculations are as follows[8]:

771
$$N_{CV} = \frac{C^3}{qA^2\varepsilon_0\varepsilon} \left(\frac{dC}{dV}\right)^{-1}$$
 (S8)

772
$$W_{d-CV} = \frac{\varepsilon_0 \varepsilon A}{c}$$
(S9)

773
$$N_{DL} = -\frac{C_0^3}{2q\varepsilon_0\varepsilon A^2C_1}$$
(S10)
774
$$W_{d-DL} = \frac{\varepsilon_0\varepsilon A}{C_0}$$
(S11)

Where ε and ε_0 stand for the dielectric constant of the CZTSSe and vacuum dielectric constant, respectively; *C*, A and q is the measured capacitance, the active area of the cell, and elementary charge, C_0 and C_1 are two quadratic fitting parameters derived from the DLCP. Besides, The *CV* measurement is more sensitive to the interface state $(N_{\rm IT})$ than the DLCP, so the interface state density can be obtained from the difference between the *CV* and the DLCP:

781 $N_{\rm IT} = N_{\rm CV} - N_{\rm DL}$ (S12)

783 Supplementary Figures



784

Figure S1 Light-state and dark-state *J-V* curves for W/O AS and With AS devices.



Figure S2 Series resistance (a, b) and parallel resistance (c, d) for W/O AS and With
AS devices. (a) and (c) correspond to W/O AS device, (b) and (d) correspond to With

AS device.

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787



Figure S3 Diode ideal factor (a, b) and reverse saturation current (c, d) for W/O AS

and With AS devices. (a) and (c) correspond to W/O AS devices, (b) and (d)

correspond to With AS devices.





Figure S4 Bandgap of W/O AS and With AS devices calculated from EQE curves.





Figure S5 Integral current density of W/O AS (a) and With AS (b) devices calculated

from EQE curve.



Figure S6 UPS curve for CdS. (a) correspond to low binding energy, (b) correspond

to high binding energy.

808



Figure S7 UPS curves for W/O AS (a, b) and With AS films (c, d). (a) and (c)

- correspond to low binding energy, (c) and (d) correspond to high binding energy.
- 812



Figure S8 Plots of $-\omega dC/d\omega$ vs. frequency at different temperature for W/O AS (a)

815 and With AS devices (b).

816





Figure S9 Temperature-dependent dark J-V curves of W/O AS device (a) and With AS

819 device (b)





Figure S10 The EIS curves for the W/O AS and With AS devices, inset shows the

823 equivalent circuit diagram.





Figure S11 W/O AS and With AS device non-normalized EBIC signal line scanning.

825

828

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