

Crossmodal pitch-luminance correspondence in human and non-human primates: a failed replication of Ludwig et al. (2011) in baboons (Papio papio)

Konstantina Margiotoudi, Joel Fagot, Adrien Meguerditchian, Isabelle

Dautriche

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9	Crossmodal pitch-luminance correspondence in human and non-human primates: a
10	failed replication of Ludwig et al. (2011) in baboons (<i>Papio papio</i>)
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12	Konstantina Margiotoudi ^{1,2} , Joel Fagot ^{1,2} , Adrien Meguerditchian ^{1,2} and Isabelle
13	Dautriche ^{1*}
14	
15	¹ Laboratoire de Psychologie Cognitive, UMR7290, LPC, CNRS, Aix-Marseille
16	Université, Marseille, France
17	² Station de Primatologie-Celphedia UAR846, CNRS, Rousset, France
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19	* corresponding author: isabelle.dautriche@cnrs.fr
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36 Abstract

Humans spontaneously and consistently map information coming from different sensory modalities. Surprisingly, the phylogenetic origin of such cross-modal correspondences has been under-investigated. A notable exception is the study of Ludwig et al (2011) which reports that both humans and chimpanzees spontaneously map high-pitched sounds to bright objects and low-pitched sounds to dark objects. Our pre-registered study aimed to directly replicate this research on both humans and baboons (Papio papio), an old world monkey which is more phylogenetically distant from humans than chimpanzees. Following Ludwig et al., participants were presented with a visual classification task where they had to sort black and white square (low and high luminance), while background sounds (low or high-pitched tones) were playing. Whereas we replicated the finding that humans' performance on the visual task was affected by congruency between sound and luminance of the target, we did not find any of those effects on baboons' performance. These results question the presence of a shared iconic pitch-luminance mapping in other non-human primates. Keywords: crossmodal correspondence; comparative cognition; iconicity

69 Crossmodal pitch-luminance correspondence in human and non-human primates: a
 70 failed replication of Ludwig et al. (2011) in baboons (*Papio papio*)

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Humans tend to form automatically cross-modal correspondences, i.e., associations 72 73 between modality-specific features [1]. For instance, they associate certain sound 74 frequency to shape properties: a high-pitched tone will be preferably associated to 75 objects that are small, spiky and bright, whereas a low-pitched tone would be generally associated to objects that are big, round, and dark [2]. Such cross-modal biases have 76 77 been demonstrated experimentally across a wide range of modalities: between odors and visual stimuli [3], sound and taste [4], and taste and touch [5], among many others 78 79 [6].

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Cross-modal biases have been proposed as a key mechanism underlying 81 multisensory integration and language evolution. In perception, cross-modal 82 correspondences may help to constrain the cross-modal binding problem (i.e., the 83 84 problem of knowing which of many perceptual information should be bound together) In language: cross-modal biases to associate sounds with other sensory 85 [7]. 86 modalities may have triggered the evolution of spoken language by facilitating the expression of sensory experiences through a unique auditory channel [8,9]. 87 88 Surprisingly though, despite the potential evolutionary importance of cross-modal 89 correspondences, the investigation of their phylogenetic origins remains very limited.

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91 One possibility is that cross-modal correspondences may be limited in non-human 92 animals because they are rooted in linguistic experience. For instance, the pitch-93 luminance mapping [10] -where higher frequencies are associated to bright stimuli -94 might derive from the usage in English of the same adjectives to refer to luminance and pitch, namely 'high' and 'low'. Alternatively, because non-human species would 95 similarly benefit from cross-modal correspondences to facilitate the integration of 96 multisensory inputs coming from their environment [11], these proclivities may be the 97 result of a natural, structural, feature of our perceptual system that may be shared 98 99 across species. For example, for the pitch-luminance mapping, both visual high luminance and acoustic high frequency have a shared increase in stimulus intensity 100 101 which is suggested to be encoded by the same brain structure dedicated to magnitude 102 [12].

Comparative studies provide an immediate way to tease apart these different 104 105 possibilities. To our knowledge, only few studies tested the existence of these mappings in other animals, and report evidence for a pitch-elevation mapping [13] -106 107 where higher frequencies are associated to high spatial positions--, and a pitch-size 108 mapping in domestic dogs [14], a space-luminance mapping [15] -where right 109 positions are associated to brighter stimuli-- but not a pitch-luminance mapping in 110 chicks [16], and finally a pitch-luminance mapping in chimpanzee [10]. Some of these 111 correspondences could be learn from their co-occuring regularities in the environment. The pitch-elevation correspondence might be explained by environmental statistics of 112 auditory scenes as higher frequency sounds originate from elevated sources [5]. 113 Similarly the pitch-size correspondence might arise from the observation that large 114 objects tend to produce low frequency sounds (e.g., when dropped) while small 115 116 objects produce high-pitched sounds [17]. Yet, evidence from the pitch-luminance and 117 the space-luminance mappings in non-human animals would suggest that some of 118 these mappings have a non-linguistic, structural origin.

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120 Of special interest is pitch-luminance correspondence, which is well established in 121 humans [18-20], that triggered different findings in chicks and chimpanzees. Critically, 122 this is the only study reporting the existence of a cross-modal correspondence that 123 cannot be extracted statistically from the environment, in a non-human primate 124 species. In that study, 6 chimpanzees and 33 human participants were trained in a visual speeded classification task on a touch screen, where they had to match a 125 126 sample square (black or white) to the matching stimulus (i.e., identical black or white 127 square). During testing, both species performed the same task while passively 128 listening to background sounds (i.e., high and low-pitched tones), which were crossmodally either congruent or incongruent to the luminance of the sample square. 129 Both species showed better performance when the frequency of the sound matched 130 the luminance of the sample square – white square congruent with the high-pitched 131 132 tone and black square with the low-pitched tone.

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Other attempts on testing sound-shape mappings (i.e., mapping the 'round' vs 'sharp' sounding nonwords, such as 'bouba' and 'kiki', to a curved and 'sharp' shapes, respectively [21, 22]) in great apes (i.e., gorillas, chimpanzees and bonobos) failed to

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137 show any cross-modal effects in these species. Based on the weak experimental evidence on cross-modal mapping in non-human primates, in the current pre-138 registered study, we aimed to carry out a direct replication of Ludwig et al. (2011)'s 139 study on the pitch-luminance correspondence, by testing a group of humans and 140 baboons (Papio papio) following the original methods and analyses as closely as 141 possible. Baboons and humans were trained on a visual speeded classification task, 142 143 where they had to classify squares based on their luminance. During the testing phase, both species repeated the same speeded classification task, while background sounds 144 145 (e.g., low vs high pitched tones) were presented. We examined whether sound congruency, following a pitch-luminance mapping, would affect the performance of 146 147 both species on the visual classification task.

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149 Methods

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151 The methods, design and analysis were pre-registered at: <u>https://osf.io/vsnp4</u>.

152 All the data and stimulus material are openly available at: 153 <u>https://osf.io/ng6y3/?view_only=b3797de197744bb79a1bcc544f0cf69a</u>.

All methods for the human study were approved by the Ethics Committee of Aix-Marseille University and for the baboon study received approval from the French Ministère de l'Education Nationale (approval no. APAFIS-2717-2015111708173794-V3).

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Human testing: Twenty-seven, right-handed human adults (21 females, age M=22.70 159 160 ± 5.55) participated in the study. The task was a visual speeded classification task 161 involving the matching of a sample square (black or white) to one of the two 162 alternatives which is identical to the sample (identity matching-to-sample procedure). During the test trials, a tone, either high or low-pitched, was played while participants 163 were performing the same task. The design was identical to the one described in 164 Ludwig et al. (2011) [10], with the sole difference on the feedback screens (see 165 supplementary Figure S1.b). In the present study, we used visual feedback, instead 166 of audio feedback as in Ludwig et al., 2011, since that group of baboons was already 167 used to be trained with visual feedback when performing touch-screen tasks [22]. After 168 169 a correct response, a black screen appeared for 3s combined with food reward only for the baboons, whereas after an incorrect response a green screen appeared for 3s plus a black screen of 3s.

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Before starting with the task, participants received written instructions and were asked 173 to be as fast and accurate as possible in performing the visual speeded classification 174 175 task. The experiment was divided into two phases, a training and a testing phase. 176 During the training, participants performed 16 matching-to-sample trials without any 177 background sound (for details see supplementary material). By the end of this phase, 178 participants had to reach a performance of 100%, in order to continue to the testing phase. These 16 trials were repeated once in case of mistakes. Two participants did 179 180 not reach a 100% performance at the first training and repeated the procedure. Once the training was completed, the testing phase followed. Participants received written 181 instructions to focus on the visual speeded classification task, even though sounds 182 183 would be played in the background. The testing phase started with 4 trials including sounds, which were excluded from the analysis. Then, two blocks of 80 trials each 184 185 (160 trials in total) followed, with a break in-between. Humans were tested in one single session of 30 minutes. 186

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Baboon testing: Fifteen Guinea baboons (Papio papio), (12 females, age M=11.4 ± 188 189 5.64) completed the study. Baboons were tested at the CNRS Primatology center in 190 Rousset-sur-Arc, France where they live in two social groups and had ad libitum 191 access to 13 ALDMs (Automated Computer Learning devices) [23]. Each device has 192 a food dispenser, a touchscreen and two speakers. The speakers are facing down 193 inside each test chamber. A radio-frequency identification of the subjects by the 194 system facilitated testing, as it doesn't require capturing of the subjects [24]. The 195 baboons have voluntary access to all the devices. Baboons performed the same visual speeded classification task as humans, and were exposed to the same training 196 procedure (for details on the training see supplementary material). All baboons 197 198 completed the testing in three consecutive days. Each day comprised a testing session. During a session, the monkeys completed a block of 16 trials without any 199 200 background noise, where they had to achieve a performance of at least 80% in both categories (i.e., black/white) (White: M=0.80%; SE=0.02%, Black: M=0.88%; 201 202 SE=0.02). The block was repeated until the criterion was reached (number of averaged training blocks =2.71; min=1; max=11; SE=0.31). After that, baboons 203

performed 4 practice trials with background sounds. Then, a block of 160 testing trials
followed. In total, baboons completed 480 testing trials. All trials and conditions
between samples and sounds were equally counterbalanced, following the description
by [10] (for details on the training see supplementary material).

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209 Results

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- We conducted the analysis described by Ludwig et al., (2011) [10]. We first looked at the mean median RT and the error rates for congruent and incongruent trials in both species. Our results confirm the findings of Ludwig et al. (2011) [10] on humans but not on non-human primates. Specifically, in the original study, chimpanzees made more errors in incongruent than in congruent trials, while, in baboons, no differences were observed on the mean error rates between congruent (*M*= 10.58%, SD=
- 3.16%) and incongruent trials (M = 10.58%, SD= 4.16%). Yet, similarly to the original
- study, there was also no difference on the mean median RTs between congruent (*M*
- 219 = 653ms, SD = 97.6ms) and incongruent trials (M = 657ms, SD = 102.4ms; t(14)=-
- 220 1.31, p=0.20, *r* = 0.33).
- Humans had no significant difference in the mean error rates between congruent (M = 0.18%, SD = 0.04%) and incongruent trials (M = 0.46%, SD = 0.06%; t(26)=-0.94, p=0.35, r = 0.18). Yet, they were faster for congruent trials (M = 544ms; SD = 71.8 ms) than for incongruent trials (M = 560ms, SD = 69.8ms; t(26)=-4.88, p<0.001, r = 0.69). These findings parallel the results reported in humans by Ludwig et al. (2011).
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227 Following Ludwig et al., we then calculated the speed-accuracy trade-off for congruent 228 and incongruent trials in both species, by calculating the Inverse Efficiency score (IE) 229 [25]. To calculate the IE scores, for each participant we divided the median RT by the proportion correct, for the two conditions. A paired sample t-test showed that humans 230 had a significantly lower IE score (i.e., indicating better performance) (t(26)=-4.15, 231 232 p < 0.001; r = 0.69) for congruent (IE = 545, SD = 71.2) than for incongruent trials (IE = 564, SD = 75.5;). In contrast to the findings of Ludwig et al. (2011) on chimpanzees, 233 234 the results on the baboons revealed no significant difference (t(14)=-0.53, p>0.10) on the IE score between congruent (mean IE: 734, SD = 128) and incongruent trials 235 236 (mean IE: 738, SD = 130) (see Fig.1). Based on these findings, we replicated the findings of Ludwig et al. (2011) [10] in humans on pitch-luminance congruency 237

crossmodal effects, but not in baboons (for details see results in supplementarymaterial).

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Figure 1. Mean IE scores for congruent and incongruent trials in a) humans and b) baboons. Blue circles and errors bars represent the means and standard errors for each condition (SE). Asterisks indicate significant differences between the two conditions (p<0.001).

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249 Discussion

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Following the protocol of Ludwig et al. (2011) [10], we tested the pitch-luminance 251 crossmodal correspondence in a group of humans and baboons. In the original study, 252 humans showed longer reaction times when the sound was incongruent to the 253 254 luminance of the sample stimulus, whereas in chimpanzees an interference effect was observed only on the proportion of error rates. Chimpanzees did more errors for 255 256 incongruent than congruent trials. In the present replication study, although we replicated the human results, our results did not show any evidence of sound 257 interference effects on the visual speeded classification task in baboons. 258

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How to account for the difference between chimpanzees [10] and the current baboons result? Certainly, primate species differences might suggest that the mapping of pitchto-luminance is unique to chimpanzees and humans, but does not extend to more phylogenetically distant relatives such as the Old World monkeys, including the 264 baboons. According to this hypothesis, such a cross-modal correspondence might thus have emerged and be inherited from the last common ancestor of the Hominini 265 tribe. Yet, another possibility is that the absence of any systemic pitch-to-luminance 266 correspondence in baboons, questions the existence of this mapping not only in this 267 268 species but also in any other nonhuman species. There are several reasons to doubt on the robustness of the chimpanzee's result. First, while the study of Ludwig et al. 269 270 (2011) showed a pitch interference effect in a visual speeded classification task in chimpanzees, this effect was based on a 2.7% difference between mean error rates 271 272 for congruent and incongruent trials but was not visible on median response times, contrary to human participants. Second, the size of the chimpanzee sample was 273 274 relatively low (N=6) compared to the present baboon study (N=15). Third, whether the chimpanzees tested in the Ludwig et al., (2011) [10] had previous experiences on 275 276 auditory discrimination between high- and low-pitched tones, is not specified in the 277 original study. Any such previous experience could have increased sensitivity to the 278 auditory stimuli of the present paradigm. This is of particular importance as testing 279 non-human primates on auditory paradigms is not a trivial task [26, 27].

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281 Our results are consistent with previous results showing no evidence of crossmodal 282 mappings in non-human primates [21,22] or in other animals such as chicks [16]. This 283 suggests that some crossmodal correspondences might not be shared with other 284 nonhuman species. As we noted, the absence of any crossmodal effects in these 285 species could have plausibly resulted from attention or discriminatory troubles on 286 auditory stimuli. In addition, factors such as previous habituation to visual tasks, 287 training history, protocol design, or age of the individuals appear to play an important 288 role in testing the performance of nonhuman primates on tasks including auditory 289 stimuli [28].

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To conclude, evidence of the presence of a pitch-luminance mapping in other nonhuman species appears to be weak, suggesting that language, culture or humanspecific neuro-anatomical features may be fundamental for the emergence of this nonstatistically learned mapping. Future studies will be necessary to investigate the reliability of this hypothesis.

296

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