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## **UFMylation: a ubiquitin-like modification**

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## **Abstract**

 Post-translational modifications (PTM) add a major degree of complexity to the proteome and are essential controllers of protein homeostasis. Amongst the hundreds of PTMs identified, ubiquitin and ubiquitin-like (UBL) modifications are recognised as key regulators of cellular processes through their ability to affect protein-protein interactions, protein stability, and thus the functions of their protein targets. Here, we focus on the most recently identified UBL, Ubiquitin-Fold Modifier 1 (UFM1), and the machinery responsible for its transfer to substrates (UFMylation) or its removal (deUFMylation). We first highlight the biochemical peculiarities of these processes, then we develop on how UFMylation and its machinery control various intertwined cellular processes and we highlight some of the outstanding research questions in this emerging field.

### **UFM1: an emerging type I ubiquitin like (UBL) protein**

 Ubiquitin and ubiquitin-like (UBL) modifications regulate a broad variety of cellular processes. UBL proteins, classified as type I or II, are characterised by the conserved presence of a "ubiquitin-fold" [1]. Further, type I UBLs, such as SUMO, ISG-15 and UFM1, are covalently conjugated to substrates, while type II UBLs are domains within multi- domains proteins whose functions are mostly related to the transfer or the removal of ubiquitin or type I UBL. **UFM1**, (for **Ubiquitin-Fold Modifier 1**, see **Glossary**), is the most recently identified type I UBL [1, 2]. **UFMylation**, the covalent attachment of UFM1 on target proteins, displays a peculiar biochemistry and has recently emerged as a critical regulator of multiple cellular processes. Herein, we describe the machinery involved in the addition and removal of UFM1 from target proteins and the known cellular roles of UFMylation. 

### **Components of the UFMylation/deUFMylation machinery**

### *The UFMylation/deUFMylation machinery: discovery and localisation*

 Similar to ubiquitin, UFM1 is covalently transferred to the primary amine of a lysine residue in target proteins via the coordinated action of three enzymes: a UFM1-activating E1, a UFM1-conjugating E2, and a UFM1-ligase E3, and several components of this UFMylation machinery were identified in 2004 [2]. Specifically, Komatsu *et al* discovered the UFM1- activating E1 enzyme, **UBA5**, in a yeast two-hybrid screen while searching for interactants of another UBL, GABARAPL2. UBA5 was not found to act as an E1 for GABARAPL2. Hence, the authors searched for UBA5 interacting partners, ultimately identifying UFM1 and also the UFM1-conjugating E2 enzyme 1, **UFC1**. They further demonstrated that UBA5 and UFC1 respectively function *in vitro* as E1 and E2 enzymes for UFM1 [2] (**Figure 1A, B**). Building on their previous work, the Komatsu laboratory identified **UFL1** as an E3 ligase for UFM1, and **DDRGK1** (also named UFBP1) as a substrate of UFL1 [3]. They also highlighted that DDRGK1 is UFMylated at K267, a modification which impacts UFMylation of some, but not all, substrates of UFL1. In addition, DDRGK1 regulates UFL1 localisation, favouring its anchoring to the Endoplasmic Reticulum (ER) [4] (**Box 2**), and is crucial for UFL1 activity [5]. Kwon *et al.* and Wu *et al.* identified a second partner of UFL1, **CDK5RAP3**, by tandem affinity purification followed by mass spectrometry (MS) sequencing [4] [6]. CDK5RAP3 acts as an accessory protein in UFMylation, controlling the activity of the UFM1-UFC1-UFL1- DDRGK1 complex towards specific substrates [5, 7]. In line with the involvement of UFMylation in several specialized but key cellular processes, UFL1, DDRGK1 and CDK5RAP3, which regulate each other's stability in multiple human cell types (e.g., [4, 6,

 7]) appear to be ubiquitously expressed [4]. Their genetic deletion in mice results in embryonic lethality [8-10] characterized by haematopoiesis defects similarly to the deletion of UBA5 (60). Beyond their role as core components of the E3 ligase for UFMylation, UFL1 and DDRGK1 have also co-evolved and are expressed in some species which do not express UFM1 and the rest of the UFMylation machinery, implying that they might possess UFMylation-independent roles [11].

 As with ubiquitin and several other UBL proteins [1], UFM1 is expressed as a pro- form which is matured through cleavage at its C-terminus [2] (**Figure 1B**). This is mediated by UFM1-Specific Proteases (UFSP), which are also responsible for **deUFMylation** of substrates [2] (**Box 1**). Maturation of pro-UFM1 exposes the conserved Gly83 residue which is essential for the covalent attachment of UFM1 via an isopeptide bond to lysines within targets [2]. Whether additional amino acids (*e.g.,* Ser, Thr, Cys), N-terminal of proteins or non-proteinaceous substrates are also UFMylated, as described for ubiquitin, still remains unknown. The number of UFMylation substrates validated in a cellular context is currently limited and includes the UFL1 partner DDRGK1 [3], the ribosomal proteins RPL26 [12-15], RPS3 [13, 14, 16], RPS20 [13, 14], RPL10 [13, 14] along with the translation initiation factors eIF6 [13], eIF4G1, eIF4H, eIF4E, eIF4A1, eIF4B, eIF3A and eIF2alpha [16], as well as the proteasomal protein PSMB5 [17], the oligosaccharyltransferase subunit RPN1 [18], the transcription coactivator ASC1 [19], histone H4 [20], the nuclease MRE11 [21, 22], the NADH-cytochrome B5 reductase 3 CYB5R3 [17, 23], and the cellular trafficking-related proteins RAB1A/B, RAB5C, ARF4, and clathrin [18], suggesting that UFMylation plays roles in various cellular processes, and most prominently **ER proteostasis**. UFM1 presents less 88 than 21% sequence identity with ubiquitin in human but displays a UBL fold comprising 4  $\beta$ -89 strands, one central  $\alpha$ -helix and a small  $\alpha$ -helix [2], and, along with the UFMylation machinery, is evolutionary conserved in most eukaryotes [11, 24] (**Box 2**). UFM1 possess 6 lysine residues and can itself be the substrate of UFMylation, forming poly-UFM1 chains. In cells, only poly-UFMylation linked through Lys69 of UFM1 has been reported [19], however a small proportion of K7- and K9-linked chains is also observed in cell-free reconstitution assays [5].

### *Peculiarities of UFMylation biochemistry*

 As described above, UFMylation requires a three-step enzymatic reaction [25]. Contrary to the ubiquitination process, which can be catalysed by hundreds of enzymes in human cells [26], UFMylation solely relies on one E1, one E2 and one E3 enzyme. UFMylation is

 reversible, through deUFMylation (**Box 2 and Figure I**). Thereafter, we focus on the most notable aspects of these steps (**Figure 1A-C**).

## Activation of UFM1

 E1 enzymes, classified as canonical or non-canonical, catalyse the activation of the Ub/UBL terminal carboxylate via consecutive adenylation and thio-esterification reactions. Canonical E1s display two **adenylation domains** (AD): an inactive and an active AD (IAD, AAD, respectively) and a separate, flexible relative to the AD, catalytic Cys domain [27, 28] . Unlike canonical E1s, the catalytic Cys of non-canonical E1s is close to, or embedded within their AD [27, 28].

 UBA5 displays an AD (aa 57-329) comprising the ATP-binding pocket and the catalytic Cys250 [2], and is therefore considered as a non-canonical E1 [29, 30]. However, with only 404 aa, UBA5 is a particularly small E1, and the way it activates UFM1 is a field of active research. The activation of UFM1 by UBA5 requires two steps, with the use of one ATP, generating first the adenylated UFM1 intermediate, which is non-covalently associated to UBA5, and second the UBA5-S∼UFM1 thioester formed through nucleophilic attack of the adenylated UFM1 intermediate by UBA5 Cys250 [31]. Consistent with the requirement of UFM1 C-terminal tail (aa 79-83) for its charging to UBA5 [32], the pathogenic mutation Arg81Cys of UFM1 limits UFMylation [33] (**Table 1**, **Figure 1D-E**).

 C-terminal to the AD, the **UFM1-interacting sequence (UIS)** (aa 334-346) of UBA5 is critical for UFM1 activation [34, 35]. Indeed, as for other non-canonical E1s [28], UBA5 forms homodimers via its AD [29], and this dimerization is key to the UFM1-activation step (**Figure 1C, (1)**). Specifically, one UBA5 non-covalently interacts with one UFM1 via the UIS, thereby placing UFM1 towards the AD, and therefore the catalytic Cys, of the opposing UBA5 of the dimer [32]. This trans-binding mechanism of UFM1 to UBA5, unique to UBA5 amongst E1 enzymes [32, 36], might have been favoured as it also contributes to stabilising the UBA5 dimer and to enhancing ATP binding [36-38]. In addition to the existing UBA5 inhibitors which target the ATP-binding pocket [39] or Cys 250 [40], this peculiar trans- binding mechanism might be pharmacologically targeted to regulate UBA5 activity. Of note, the C-terminal part of UBA5 is also able to interact with GABARAP proteins, and even though UBA5 does not activate these UBLs, such a binding contributes to UBA5 recruitment to the ER [41-43].

 The N-terminal part of UBA5, and in particular aa 37-56, is not essential for UFMylation but augments UBA5 ATP-binding affinity and thus UFM1 activation velocity [38].

 Accordingly, pathogenic mutations are described in UBA5 N-terminus (**Table 1**), *e.g.,* Arg55His, which reduce its activity [44]. Similar contributions to ATP-binding of N-terminal residues are also described in other E1s, such as Arg15 of APPB, part of the APPB1/UBA3 E1 complex for the UBL NEDD8 [45]. Since UBA5 can be found in two splicing isoforms in humans, differing by the 56 aa at the N-terminus [46], future studies may decipher whether alternative splicing of UBA5 is triggered in particular biological contexts to control UFMylation.

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### Conjugation of UFM1

 Following activation by the E1, the Ub/UBL is transferred from the catalytic Cys of the E1 activating enzyme to the catalytic Cys of the E2 conjugating enzyme through a trans-thio- esterification reaction [1]. Recruitment of the corresponding E2 to a canonical E1 is mainly mediated by the ubiquitin fold domain (UFD) at the C-terminal end of the AAD [1]. However, as a non-canonical E1, UBA5 does not possess such a UFD and instead interacts with UFC1 via a C-terminal UFC1-binding site (UBS) [29, 30, 41, 47]. UBA5 transfers UFM1 to UFC1 through a trans mode of transfer requiring UBA5 homodimerization [32]. Indeed, the Cys116 [48] of one UFC1 bound to one UBA5 subunit, accepts the UFM1 from the other UBA5 subunit [32] (**Figure 1C, (2)**). This mechanism is similar to the transfer of the UBL ATG8 from the homodimeric E1 enzyme ATG7 to the E2 enzyme ATG3 [49]. The linker region between the UIS and the UBS of UBA5 is also important for UFM1 conjugation [47]. In particular, the close proximity of the linker of UBA5 to Cys116 of UFC1 is required to enhance the nucleophilic activity of the catalytic exposed Cys116, a peculiar complementation feature unique to this E2/E3 pair [47]. Correspondingly, the pathogenic compound mutation (*i.e,* combined with a second UBA5 mutation) of UBA5 Ala371Thr, in the linker region, impairs the transfer of UFM1 from UBA5 to UFC1 [44] (**Table 1)**. In addition, the N-terminal part of UBA5 present in the long isoform also promotes UFM1 transfer from UBA5 to UFC1 in the presence of ATP [38].

161 On the UFC1 side, a hydrophobic pocket, comprising residues from  $\alpha$ -helix 1 and b-strand 1 and structurally opposite of the Cys116 interacts with the UBS [47]. This brings both UBA5 and UFC1 active cysteines in proximity, supporting therefore the trans-thio- esterification reaction and the transfer of UFM1 [47]. As this same binding pocket of UFC1 is suggested to mediate binding to the N-terminal UBS of UFL1 [7, 50], UFL1 likely outcompetes discharged UBA5 to bind to UFC1, a phenomenon also described for other E2 enzymes [51]. Canonical E2s display a His-Pro-Asn motif [52] in which the Asn is required

 for the transfer of the Ub/UBL1 to the Lys of the substrate [53] and His stabilizes the HPN motif; yet this motif is lacking in UFC1, another peculiarity of this E2. Instead, UFC1 presents a Thr-Ala-Lys motif (aa 106-108) which is required for aminolysis [5] as exemplified by the destabilizing effect on the TAK motif of the pathogenic mutation Thr106Ile and impaired UFM1 conjugation activity [33] (**Figure 1F, Table 1**).

## Ligation of UFM1 to its targets

 After Ub/UBL conjugation, the role of the E3 ligase enzyme is to cooperate with the E2 enzyme to transfer the Ub/UBL from the E2 enzyme to a lysine residue on the substrate [54] (**Figure 1B**).

178 It was long suggested that UFL1, which does not contain a catalytic Cys [5], is a **scaffold E3 ligase** [10, 19]. As demonstrated recently [5, 50], UFL1 forms an active complex with DDRGK1 and UFC1 [7, 50] (**Figure 1C, (3)**), interacting with these two proteins via its N-terminal part [3, 7, 19, 50]. This complex UFMylates ASC1 and RPL26 *in vitro* and catalyses mono- and K69-linked poly-UFMylation [5]. Importantly, the UFL1/DDRGK1 complex is required for UFC1 to discharge UFM1 on Lys residues through aminolysis *in vitro,* and also promotes UFC1 ability to form free K69-linked poly-UFM1 chains [5].

 Even though the structure of the full UFL1/UFC1/DDRGK1 complex has not been resolved experimentally, recent predictions using Alphafold highlight that the UFL1 N- terminal region may comprise five winged helix (WH) domains, a sixth partial WH (pWH) domain preceded by an N-terminal helix whilst DDRGK1 completes UFL1 pWH by a complementary C-terminal pWH and a full WH (WH1) [5, 7]. This structural complementarity explains both the ability of DDRGK1 to stabilize UFL1 and to promote its E3 ligase activity [5, 7]. In agreement with these discoveries, UFMylation of several other substrates relies on DDRGK1 too (*e.g.,* RAB1A/B, RAB5C, ARF4, clathrin [16, 18]). The minimal domains required to promote UFM1 discharge from UFC1 *in vitro* were mapped to region 207-314 of DDRGK1 (*i.e.,* its pWH and WH1), and 1-116 of UFL1 (*i.e.,* its pWH and WH3) [5]. However, depending on the substrate, additional parts of UFL1/DDRGK1 are required for proper substrate modification as demonstrated for RPL26, MRE11 and H4 [5]. As discussed in [5], WH domains are also known to bind nucleic acid [55], and might also thereby contribute to the UFMylation of ribosomal substrates or of substrates primarily localized in the nucleus like H4, ASC, or MRE11.

 Importantly, the addition of CDK5RAP3 to the DDRGK1/UFL1 complex modifies its substrate specificity [5, 7]. *In vitro*, CDK5RAP3 limits UFL1/DDRGK1 ability to promote

 the discharge of UFM1 from UFC1 [5]. Furthermore, CDK5RAP3 allows mono-UFMylation of RPL26 whilst it represses H4, MRE11 and ASC1 UFMylation [5]. At the ER, binding of CDK5RAP3 to UFL1, DDRGK1 and UFC1 is able to divert UFL1 from UFMylating DDRGK1 at K267, and on the contrary promotes RPL26 UFMylation [7]. Even though a UFL1/DDRGK1 purified from bacteria (and thus devoid of such PTM) is able to promote UFC1 discharge [5], mutation of K267 residue in cells limits ER-phagy [23]. The amount of CDK5RAP3-associated to UFL1/UFC1/UFM1/DDRGK1 complexes might therefore contribute to coordinate ER-bound ribosome quality control and ER-phagy. Overall, CDK5RAP3 might therefore restrict/determine substrate specificity of UFMylation in cells [5, 7, 10, 56], and the exact mechanisms involved for its impact on substrates UFMylation will need further exploration.

### **Cellular roles of the UFMylation machinery**

 UFMylation is involved in various inter-connected cellular processes (**Figure 2A**). Noteworthy, many of these pathways are linked to ER protein homeostasis (a.k.a. ER proteostasis) [57].

### *UFMylation in the maintenance of genome integrity and gene expression*

 UFMylation plays a role in genome integrity maintenance (**Box 3 and Figure II**) and controls transcription through various mechanisms. UFMylation has also been shown to control the 222 activity of transcription factors. For instance,  $ER\alpha$  (Estrogen receptor- $\alpha$ ) when activated (by 17 $\beta$ -estradiol), translocates to the nucleus where it recruits coactivators such as SRC1, p300 and ASC1 to activate target gene transcription (*e.g.,* cyclin D and c-Myc). ASC1 UFMylation 225 [19] enhances the recruitment of p300, SRC1 and ASC1 to the promoters of  $ER\alpha$ -target genes [19]. However, given that the ER-anchored DDRGK1 is required for ASC1 UFMylation [19], the mechanism by which the complex is formed (*e.g.,* cytoplasmic of ASC1 or formation of ER invaginations in the nucleoplasm) need to be documented. Contrary to its role in 229 promoting  $ER\alpha$ -target gene expression, UFMylation rather represses NF- $\kappa B$ -dependent gene expression [4, 6, 58]. Knockdown of CDK5RAP3 in U2OS or HeLa cells promotes 231 basal and TNF $\alpha$  or IL-1 $\alpha$ -induced NF- $\kappa$ B-dependent expression of IL-8, MMP-9, MCP-1, 232 Cox-2 and I $\kappa$ B $\alpha$  [58]. Conversely, CDK5RAP3 overexpression represses TNF $\alpha$ -induced NF-233 kB activity and sensitises cells to TNF $\alpha$ -induced loss of viability [59]. Mechanistically, CDK5RAP3 interacts with the p65 NF-kB subunit and limits its phosphorylation at S536 [58].

235 In addition, other studies did corroborate a role for UFL1 as a regulator of  $NF$ - $\kappa$ B-regulated gene expression [4, 6].

### *Roles of UFMylation in ER proteostasis*

 Conditional ablation of the components of the UFMylation machinery in various mouse tissues, including hematopoietic cells, pancreatic beta-cells, hepatocytes, intestinal cells, is accompanied by an increased expression of ER stress markers and of cell death, *e.g.,* [8- 10]. As such UFMylation controls ER proteostasis by several means.

Control of protein synthesis, ER protein translocation, quality control (QC) and ER-phagy

 Translation in eukaryotes includes multiple factors, promoting mRNA recruitment and scanning, initiator tRNA selection and the association with ribosomal subunits. UFMylation of ribosomal proteins was reported by several teams [12-16]. For instance, UFL1 was identified in the ribo-interactome using MS-based proteomics [13] and UFMylation occurs on the ribosomal proteins RPS3, RPS20, RPL10 and the translation initiation factor eIF6 [12-16]. The UFMylation and deUFMylation machineries were also identified in a CRISPR/Cas9 screen as factors promoting SYVN1-dependent ER-associated protein degradation (ERAD), yet their impact on this process turned out to be quite modest and cell- type specific [12]. However, UFMylation can control ER proteostasis independently of ERAD as well [12]. Indeed, UFMylation contributes to the elimination of translocon-engaged arrested nascent protein chains [12, 15, 60, 61] (**Figure 2B**). Specifically, RPL26 is UFMylated on K132 and K134, two residues nearby the interface between the nascent peptide's exit tunnel and the SEC61 translocon [12, 15]. This modification, which is induced upon ribosome stalling at the ER promotes the targeting of translocon-engaged arrested peptides to the lysosomes for degradation through a SAYSD1-dependent sensing mechanism [15, 61]. Recently, Scavone *et al.* reported that UFMylation promotes the handling of the translocon-engaged arrested proteins by the canonical ribosome-associated QC [60]. They described that the nascent stalled proteins could be CATylated (C-terminal addition of alanine and threonine) by NEMF, ubiquitinated by LTN1 before being extracted from the translocon by the AAA+ ATPase p97/VCP and degraded by the proteasome. UFMylation, potentially by weakening the interaction of the stalled ribosome with the SEC61 translocon could promote LTN1 access to the arrested peptide [60] (**Figure 2B**).

 UFMylation is also involved in ER-phagy. First, a CRISPRi screen identified the UFMylation machinery as regulating starvation-induced ER-phagy [18]. In this context,

 interaction of UFL1 with DDRGK1 and the anchoring of DDRGK1 to the ER were both required for their ER-phagy-promoting role. Interestingly, UFMylated proteins included Ribophorin1 (RPN1), a subunit of the oligosaccharyl transferase (OST) complex in proximity 272 to the translocon, and the ribosomal protein RPL26 and several studies have further implicated UFMylation in linking ER-phagy to resolving ribosome stalling [11, 56]. In this context, CDK5RAP3 binds to ATG8 (GABARAP isoform), allowing its recruitment to 275 autophagosomes to mediate ER-phagy, and also likely redirects the activity of the UFL1 E3 ligase complex towards RPL26 as mentioned earlier [11, 56] (**Figure 2B**). 

## Roles in the Unfolded Protein Response

 The Unfolded Protein Response is an adaptive pathway triggered to restore ER 280 proteostasis. It is transduced by three transmembrane ER-resident sensors, namely IRE1 $\alpha$ 281 (hereafter referred to as IRE1), PERK and ATF6 $\alpha$  [62]. Blunting UFMylation is accompanied by UPR activation in multiple cell types [8, 9, 63-65]. All the UFMylation machinery components are also targets of the IRE1/XBP1s arm of the UPR [57]. In addition, one study suggested that DDRGK1 promotes IRE1-XBP1s signalling by stabilizing IRE1 [66]. However, this is at odds with multiple other studies [8, 18, 63, 64] and the underlying mechanism remains unclear. Functional links between UFMylation, the UPR and ER-phagy were also described and could be activated in response to ER stress [4, 63]. In fact, depletion of UFL1, UFM1 or DDRGK1 leads to increased IRE1 and PERK expression as well as increased expression of CLIMP63, a marker of ER sheets [18]. At last, IRE1 depletion partially rescues the ER-phagy defect associated with DDRGK1 depletion [18]. However, the role of UFMylation versus individual components of its machinery in autophagy remains unclear [8, 9, 67].

## *Roles of UFMylation in other cellular processes*

## Cell cycle progression

 Cell cycle progression is controlled by checkpoints ensuring the coordination of key events (*e.g.,* spindle assembly) before the next stage of the cell cycle. These checkpoints involve cyclins and cyclin-dependent kinases (CDKs) and are modulated by UFMylation. Indeed, CDK5RAP3 regulates G2/M by controlling CDK1 phosphorylation [68, 69]. In addition, UFMylation-depleted RPE-1 cells present a delay in G1 as they fail to pass the restriction point [16]. Mechanistically, UFMylation promotes both transcription and translation of cyclin D1 which together with CDK4/6 is responsible for Rb phosphorylation preceding the one

 mediated by CDK2/cyclin E/A that drives restriction point. UFMylation might also either promote [70] or impair [9] the stability of p53, which might impact on cell cycle control [71] (**Figure 2C**).

### Cell death and differentiation

 UFMylation can also control cell death and differentiation during normal development and in cancer. For example, UFSP2, UFL1, UBA5 and UFC1 were identified amongst the top hits in a genome-wide CRISPR screen aiming at identifying regulators of glioblastoma stem cells (GSC) maintenance [72]. Individual KO of these genes, or inhibition of UBA5 with the pharmacological drug DKM 2-93 which covalently binds UBA5 Cys250 [40], lead to reduced GSC fitness [72] Interestingly, the same screen identified the ERAD factors SEL1L and HRD1 as crucial for GSC fitness [72], and both PERK and IRE1 have been suggested to control cell differentiation in glioblastoma [73, 74]. Thus, it is tempting to speculate that part of the effect of disrupting UFMylation on GSC fitness is linked to its roles in ER proteostasis. UBA5 KO mice die *in utero* between E12.5 and E13.5 days, from anaemia due to a mild defect of primitive and a pronounced defect of definitive erythropoiesis [75]. Moreover, a defect in the differentiation of common myeloid progenitors to megakaryocyte/erythroid progenitors and massive cell death of hepatic erythroid progenitors is observed in UBA5 KO embryos. In line with their essential roles in UFMylation, UFL1 and DDRGK1 knockout mice also display embryonic lethality and defective erythropoiesis [8, 9]. Yet, the bases for the roles of UFMylation in erythropoiesis and cell differentiation in general are however still elusive. Of note, disruption of ribosome homeostasis particularly impacts erythropoiesis [76, 77] and erythroid differentiation increases RPL26 UFMylation [15]. It is thus tempting to speculate that part of the phenotypes described above could be linked to the role of UFMylation in resolving ribosome stalling.

Cellular response to viral infection and immune receptor signalling

 Several virus types can also hijack and/or be controlled by the host UFMylation machinery. Indeed, UFL1 promotes hepatitis A virus (HAV) HM175/18f replication by enhancing viral RNA translation in a RPL26-dependent manner [65], and the Epstein Barr Virus protein BILF promotes MAVS UFMylation and lysosomal degradation to limit inflammasome activation and cell death [78]. In contrast, UFL1 can promote the anti-viral cellular response, with several potential mechanisms proposed, such as the stabilization of the proton channel STING in macrophages by UFL1 [79], or RIG-I/MAVS signalling [80, 81], which both result

 in enhanced type I interferon production. Beyond the response to viral infection, control of immune receptor signalling by UFMylation could also affect cancer cell cross-talk with their micro-environment. In line with this, a recent study suggests that UFL1 limits the stability of PD-L1 in various cancer cell lines [82]. Whether and how UFMylation might functionally link immune receptor signalling and control of proteostasis will also most likely be an exciting research direction.

## **Concluding remarks**

 Mutations or modulation of the expression of UFMylation components influence disease development in animal models and in a variety of human diseases, most prominently Central Nervous System-related diseases [33, 44, 83-86]. The biochemical mechanisms underlying UFMylation and deUFMylation are currently being uncovered, just as the cellular processes they control. Yet, many questions remain (see **outstanding questions**), pertaining to the fundamental peculiarities of UFMylation and deUFMylation but also to the impact of these processes on the interconnections of the cellular functions they control. In addition, several promising efforts are ongoing to identify modulators of the UFMylation and deUFMylation processes, for example [39, 40, 82]. Understanding how UFMylation impacts the cross-talk between different cell types/compartments will be key for deciphering the physiological importance of this PTM and for evaluating the potential of its pharmacological targeting.

## **Authors contributions**

 Conceptualization: EL and EC. Writing of original draft: EL and XZ. Revising the draft: EL, EC, XZ, MLG, SJM, LE. Molecular modelling: SJM and LE.

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## **Conflict of interest**

 EC is a founder of Thabor therapeutics (www.thabor-tx.com). LAE and SJM are co-founders of ANYO Labs [\(www.anyolabs.com\)](http://www.anyolabs.com/). LAE is co-founder of Cell Stress Discoveries Ltd [\(www.cellstressdiscoveries.com\)](http://www.cellstressdiscoveries.com/). No conflicts of interest.

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### **BOX 1 - Principles and peculiarities of deUFMylation**

 UFM1 can be removed from substrates through the action of UFM1-Specific Proteases (UFSPs) through their isopeptidase activity [87, 88]. UFSP1 and UFSP2 were first identified in mouse, encoded by two different genes [89]. Their activity, specific to UFM1, can be inhibited by NEM (N-ethylmaleimide) or mutation of their catalytic Cys residues [90, 91]. Whether human UFSP1 is actually catalytically active has long been debated since, contrary to human UFSP2 and to UFSP1 in other species, it displays a partial protease domain. However, in certain human cell types, KO of UFSP2 leads to increased global UFMylation, implying that at least another protease catalyses the maturation of pro-UFM1 [12, 65, 90]. This elusive enzyme was recently shown to be a long isoform of UFSP1 [90, 91], whose 664 translation is initiated at an upstream CUG codon instead of the annotated  $445$ AUG codon and displays 38% protein sequence identity with UFSP2 [90, 91]. *In vitro*, both UFSP1 and UFSP2 can reverse substrate UFMylation (*e.g.,* DDRGK1, ASC1) [3, 91] and catalyse the maturation of pro-UFM1 to UFM1 [90, 91]. UFSP1 and UFSP2 double KO cells are devoid of UFMylated proteins and lack maturation of pro-UFM to UFM1, strongly arguing for the existence of only two UFSPs [90, 91], at least in the cell types tested so far. Some differences can however be noted between these two UFSP. For instance, UFSP2 KO leads to a drastic accumulation of UFMylated proteins, which is much more modest in UFSP1 KO cells. UFSP2, but not UFSP1, efficiently removes UFMylation from RPL26, whereas UFSP1 is more efficient at maturing pro-UFM1 [90, 91]. Of note, UFSP1 is required to remove UFM1 from Lys122 of UFC1, a residue structurally close to UFC1 catalytic Cys116, and whose UFMylation might inhibit UFC1 activity [90]. Whether UFSP1 serves to alleviate this potential auto-inhibition is still unproven. Both UFSP present a conserved catalytic site comprising a Cys-Asp-His triad along with a Tyr residue [25, 87] common to other cysteine-based Ub/UBL proteases. However, these enzymes differ by several regulatory loops which, in addition to their distinct sub-cellular localisation and expression levels (see **Box 2**), may influence their substrate repertoire (see [25]). Of note, several pathogenic mutations residing in the catalytic triad of UFSP2 have been reported, such as Y290H, H428R, D426A, and the V115E mutation which is part of the ODR4-binding site (86) see **Figure I** and **Table 1** for structural and cellular consequences.

## **BOX 2 – Evolution, expression and localisation of the UFMylation/de-UFMylation components**

 UFM1 and the machinery of UFMylation/de-UFMylation is found in multiple eukaryotes, including *Homo sapiens* and several model organisms like *Caenorhabditis elegans*, *Mus musculus,* and *Arabidopsis thaliana.* Yet, its expression is not restricted to multicellular organisms [24], as it is for example expressed by *Chlamydomonas reinhardtii* [11]. UFM1, CDK5RAP3 and UFC1 seem to have largely co-evolved and are restricted to eukaryotes, whilst the rest of the UFMylation machinery is thought to have ancestral mosaic origins from both bacteria (for UBA5) and Asgard archae (for UFL1, DDRGK1) [11]. Since the UFMylation machinery has been completely or partially lost in fungi, in some algae and alveolate parasites [11, 24], these organisms must display alternative pathways for ER stress tolerance. Of note, the UFMylation machinery is encoded by the genome of some parasites such as *Leishmania donovani* and contribute to this parasites' proliferation in human macrophages as well as their virulence in mice [92].

 In line with their roles in key cellular processes, all components of the UFMylation and deUFMylation machinery seem to be ubiquitously expressed in murine and human tissues, albeit with variable abundance [2-4, 46, 90, 93]. UFSP1 protein expression is generally much lower than that of the other members of the whole UFMylation/de- UFMylation machinery, and UFM1 is on the contrary the most abundant [90]. The stoichiometry between the different components seems key in ensuring UFMylation. For instance, it was shown that overexpression of UBA5 induced a back-transfer of UFM1 from UFC1, which was reversed upon overexpression of UFM1 [94]. UFM1 is localised in the nucleus and diffusely in the cytoplasm [2]. UBA5 and UFL1 mainly localise to the cytoplasm [2, 46, 93], whereas UFC1 is predominantly nuclear with a small cytoplasmic fraction [2]. Sub-cellular localisation of CDK5RAP3 includes the nucleus, the nucleolus, the centrosome and the cytoplasm [68, 95, 96] whilst DDRGK1 is anchored to the ER membrane [4]. Most of the UFMylation substrates are ER-localized, but some are found in the nucleus, and it is puzzling how the whole UFMylation machinery access them. UFSP1 is mainly cytosolic whilst UFSP2 localizes to the ER membrane, due to its interaction with the ER-anchored ODR4 [90, 97]. Whether or not ODR4 also impacts on UFSP2 activity in addition to determining its localization and promoting its stability [90] is unknown. 

### **BOX 3 - UFMylation and the maintenance of genome integrity**

 Genomic instability can result from altered DNA damage repair and contribute to cancer development [98]. Among DNA damage, double-strand breaks (DSB) are the most deleterious. When DSB happen the MRE11-RAD50-NBS1 (MRN) complex can be recruited to the damage and triggers activation of the ATM kinase which orchestrates cell cycle progression and DNA repair through homologous recombination [99-101] Many PTMs, including ubiquitylation and UFMylation, regulate the DDR [20, 21, 102, 103]. Indeed, UFL1, through a mechanism which remain elusive, is rapidly recruited to DNA damage sites upon UV or X-ray treatment in U2OS cells and increases activation of ATM [101]. In overexpression systems, MRE11 was shown to be UFMylated at K282 [21] which has also been observed in several other studies (*e.g.,* [17, 22]). K282R MRE11 mutation limits MRN complex formation and may impact genome stability [21]. Activation of ATM also depends on the recruitment of the acetyltransferase Tip60 to Suv39h1-trimethylated histone H3Lys9 [104]. In turn, Tip60 acetylates ATM leading to its activation [105]. Irradiation-induced UFL1 nuclear localisation may prompt UFL1-mediated mono-UFMylation of histone H4 on K31 as well as Suv39h1-dependent ATM activation and homologous recombination [20, 103]. Consistently, UFL1-depleted cells display an increased sensitivity to irradiation [20]. However, since both MRE11 K282 [106] and histone H4 K31 [107] are ubiquitinated, one may wonder whether all the DDR-repressing effects associated with mutating these sites are solely due to defective UFMylation. In response to genotoxic stress, contradicting effects towards DSB repair and cell death were reported upon knockdown of UFMylation components, highlighting unexplained cell type differences in the signaling triggered by different DNA damage-inducers (e.g., [20, 68, 71]) (**Figure II**). UFMylation could also contribute to genome stability by promoting telomere maintenance. Indeed, UFM1- or UFL1- deficient zebrafish present telomere instability and zebrafish expressing a MRE11 which cannot be UFMylated phenocopies the loss of UFM1, with telomere shortening and decreased erythrocytes number [22]. Multiple links are described between activation of the UPR and the DDR. Thus, one may wonder whether the induction of expression of UFMylation components by the UPR might provide another mean to safeguard genome integrity [108].

### 747 **Table 1: Mutations of the UFMylation/deUFMylation machinery** 748







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### **Glossary**

 **Adenylation domain:** domain found in UBA5 which comprises in particular a catalytic cysteine and an ATP-binding pocket allowing it to catalyze the activation of UFM1, which is the first step of UFMylation (adenylation and thio-esterification of UFM1).

 **CDK5RAP3:** CDK5 Regulatory Subunit Associated Protein 3, is a direct binding partner of UFL1, which controls its E3 ligase activity.

 **DDRGK1:** DDRGK Domain-Containing Protein 1, also called UFBP1 (UFM1-Binding and PCI domain containing Protein 1), is a direct interacting partner of UFL1 which structurally complements UFL1 and promotes its stability and E3 ligase activity.

 **deUFMylation:** catalytic process by which UFM1 is cleaved from protein substrates, performed by the UFM1-specific proteases (deUFMylases) UFSP1 and UFSP2 in human cells.

 **ER proteostasis:** Protein homeostasis ensured by various ER-associated quality-control processes and by the unfolded protein response (UPR).

 **ER stress:** condition resulting from disruption of ER proteostasis, usually characterized by the accumulation of unfolded or misfolded proteins in the ER lumen and morphological changes of the ER. ER stress leads to the activation of an adaptive cellular program called the UPR.

 **Scaffold E3 ligase**: E3 ligase enzyme that bring the E2 activating enzyme and the substrate in close proximity for direct transfer of the Ub/UBL from the E2 enzyme to the substrate. In opposition to Cys-dependent E3 ligase enzymes, where the Ub/UBL is transferred from the Cys of the E3 enzyme to the substrate.

 **UBA5:** Ubiquitin-fold modifier activating enzyme 5, also called UBE1DC1, is the E1- activating enzyme which catalyses the first step of UFMylation (adenylation and thio-esterification reactions).

 **UFC1:** Ubiquitin fold-modifier conjugating enzyme 1, is the E2 conjugating enzyme which catalyses the second step of the UFMylation process (trans-thiolation reaction).

 **UFL1:** Ubiquitin fold-modifier specific ligase 1 is the E3 ligase enzyme catalysing the last step of UFMylation, bringing in close proximity the UFM1-charged UFC1 to transfer UFM1 on the substrate (aminolysis reaction).

 **UFMylation:** catalytic process, relying on the coordinated action of three enzymes (the E1 UFM1-activating UBA5, the E2 UFM1-conjugating UFC1 and the E3 UFM1-ligase UFL1), by which the C-terminal glycine of UFM1 is covalently linked to the primary amine of lysine residues on protein substrates, resulting in protein monoUFMylation or polyUFMylation.

 **UFM1:** Ubiquitin-Fold Modifier 1 is a 85-amino acid protein (83 in its mature form), structurally resembling ubiquitin, and therefore part of the ubiquitin-like (UBL) family of proteins.

 **UFM1-interacting sequence:** sequence in UBA5, outside its adenylation domain, and which mediates its non-covalent binding to UFM1.

 **UFSP (1 or 2):** UFM1 specific proteases, or deUFMylases, are cysteine proteases which catalyse the maturation of pro-UFM1 (peptidase activity) as well as the removal of UFM1 from substrates (isopeptidase activity).

## **Figure legends**

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 **Figure 1. Overview of the UFMylation/deUFMylation machinery and processes and impact of selected pathogenic mutations. A.** Key domains and residues of UFM1, UBA5, UFC1 and UFL1. **B.** Overview of the UFMylation and deUFMylation processes. UFMylation includes three steps: **the first step** corresponds to the activation of UFM1 (**1**); **the second step** corresponds to the conjugation of UFM1 (**2**) and **the final step** involves the ligation of UFM1 to its targets (**3**). UFM1 conjugation to the substrate is reversible through the action of the UFM1-specific cysteine proteases, UFSP1 and UFSP2. **C.** Schematic details of the three steps of UFMylation. **D.** Structure of human UBA5 (dimer) in complex with two UFM1 (from PDB ID: 5IAA). R81C UFM1 mutant impairs four hydrogen bonds and one salt bridge with the indicated residues of UBA5 (**Table 1**). **E.** Structure of human UBA5 (dimer) in complex with two UFM1 (from PDB ID: 6H77). R55H mutant of UBA5 impairs 2 hydrogen bonds and one salt bridge with ATP. Of note, the proximity of the M57 residue, which is pathogenic when mutated to valine (**Table 1**). **F.** Structure of human UFC1 (from PDB ID: 2Z6P). T106I mutant impairs two hydrogen bonds, one with the side chain of E149 and one with the backbone of A107 (**Table 1**). See also Figure I legend for molecular modelling methods.

 **Figure 2. Overview of the cellular functions controlled by UFMylation. A.** Cellular functions controlled by UFMylation. **B.** Impact of UFMylation on ER ribosome-associated quality control and ER-phagy. **C.** Impact of UFMylation on cell cycle control. Note that by regulating p53 levels, UFL1 likely regulates multiple check-point of the cell cycle. Parts of the figure were created using BioRender.com.

 **Figure I. Structure of human UFSP2 and impact of the indicated pathogenic mutations.** The structure was obtained from Alphafold. The V115E mutation destabilizes the local structure which is mainly composed of hydrophobic residues. The D426A mutation impairs 2 hydrogen bonds and one salt bridge interaction with residue H428. The H428R not only impairs its interactions with D426 but it also forms two extra hydrogen bonds with 823 the sidechain of Y290. The Y290H mutation results in the formation of two extra hydrogen bonds with the residue D297. For this figure (and figure 1), the indicated structures from the Protein Data Bank (PDB) were downloaded and prepared using the Schrödinger protein preparation wizard v2023-1 [116]. This included the incorporation of hydrogen atoms and

 the modelling of possible missing sidechains and loops using Prime [117, 118]. The hydrogen bonding network was optimized by adjusting the protonation and tautomeric states of all residues at pH = 7.4 using PROPKA [116]. Epik [118, 119] was used to assign the correct protonation states of the hetero entities at the same pH. Finally, the prepared structures were subjected to geometry refinement using the OPLS4 force field [120] in a restrained structural minimization. A local optimisation scheme was used to minimize the structural energy of the mutated residues and surrounding neighbours within 5Å distance. The graphics and interactions were visualized using Maestro GUI of Schrödinger v2023-1. 

 **Figure II. Reported modes of action for UFMylation-mediated control of DDR**. Parts of the figure were created using BioRender.com. At DSB sites, the MRE11-RAD50-NBS1 (MRN) complex is recruited to the site of damage and triggers the activation of ATM.UFL1 is proposed to be rapidly recruited to these DNA damage sites upon UV or X-ray treatment, where it is thought to UFMylate MRE11 and H4 and promotes ATM activation. However, how UFL1 accesses, and most importantly, can be active inside the nucleus when the essential partner of its E3 ligase activity DDRGK1 is ER-localised, is still puzzling.

## **Outstanding questions**



879 • Several somatic mutations of UFMylation/deUFMylation machinery are found in 880 tumours. Do, and how do, they contribute to cancer development and progression?

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## **Highlights**

- UFMylation is mediated by a simple cascade comprising solely one E1 (UBA5), one E2 (UFC1) and one E3 (UFL1), whilst deUFMylation solely involves two UFSPs
- (UFSP1 and 2).
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- UFMylation displays several biochemical peculiarities, such as a trans-binding mechanism required for both UFM1 activation by UBA5 and its conjugation by UFC1. 891 In addition, UFM1 ligation relies on the structural complementarity of UFL1 and DDRGK1.
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- 894 The UFMylation machinery is induced by various cellular stress conditions and controlled through multiple levels of regulation, such as alternative splicing and post-896 translational modifications.
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- 898 UFMylation controls interconnected cellular processes, such as ER-phagy, the unfolded protein response and the response to DNA damage.
- 901 Mutations in components of the UFMylation/deUFMylation machinery contribute to the development of diseases, principally related to the central nervous system.
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## **Figure 1**



**Figure 2**



## Accepted manuscript **Figure I**



## **Figure II**