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# 1 Interpreting fossilized nervous tissues

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22	Abstract
23	Paleoneuranatomy is an emerging subfield of paleontological research with great potential
24	for the study of evolution. However, the interpretation of fossilized nervous tissues is a
25	difficult task and presently lacks a rigorous methodology. We critically review here cases
26	of neural tissue preservation reported in Cambrian arthropods, following a set of
27	fundamental paleontological criteria for their recognition. These criteria are based on a
28	variety of taphonomic parameters and account for anatomical complexity. Application of
29	these criteria snows that firm evidence for fossilized nervous tissues is less abundant and detailed then previously reported, and we surthesize here evidence that has strong support
30 21	We argue that the vaccular system, and in particular its lacunce in likely control to the
51 22	understanding of many of the fossilized peri intestinal features known across Cambrian
22	arthropods. In conclusion, our results suggest the need for caution in the interpretation of
37	evidence for fossilized neural tissue which will increase the accuracy of evolutionary
35	scenarios
36	
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39	Keywords
40	Paleoneuroanatomy, Cambrian, Burgess Shale-type, Taphonomy, Arthropoda,
41	Lagerstätten, Morphoanatomy

# 44 1. INTRODUCTION: EVIDENCE FOR FOSSILIZED BRAINS IN CAMBRIAN 45 ARTHROPODS

46 In the past decade, there has been a surge of studies presenting evidence of fossilized nervous tissues, mainly in fossil arthropods from Cambrian Konservat-Lagerstätten<sup>[1–10]</sup>, 47 but also, more rarely, from more recent deposits<sup>[11]</sup>. The question of whether such labile 48 49 tissues—that is, prone to rapid decay—as ganglia and nerves can be preserved in settings of BST deposits has been discussed at length before<sup>[12–14]</sup>. The possibility of direct 50 fossilization of nervous tissues (as opposed to, e.g., skull endocasts<sup>[15]</sup>) rests on three key 51 observations: (1) the experimental demonstration that nervous tissues in invertebrates can 52 be persistent to decay, all other conditions being  $equal^{[14]}$ , (2) the demonstration that the 53 timing of decay observed under controlled experimentation does not correspond to the 54 range of preservation seen in fossils<sup>[12]</sup>, particularly in BST deposits<sup>[16]</sup>, and (3) the well-55 established presence in these fossils of other labile tissues, such as muscles, digestive tracts, 56 and even eggs<sup>[17]</sup>. 57

Some paleoneurological evidence has also been criticized on interpretative and 58 taphonomic grounds<sup>[18–20]</sup>. Criticism has stemmed largely from inconsistency of either the 59 60 fossil structure with its expected morphology or with the characteristics of preservation of compression fossils, or both. Insufficient consideration of such complexities in some 61 62 studies has led to the reconstruction of the CNS in numerous Cambrian arthropods, which 63 when integrated into synthetic reconstructions and evolutionary scenarios<sup>[3]</sup>, must remain 64 open to question. Despite efforts to disambiguate observable tissues, fundamental aspects of BST preservation and of the internal morphoanatomy of arthropods have been 65 overlooked. 66

Considering morphoanatomical features surrounding the gut is crucial because they 67 are closely associated with neural tissues. Some common and long-known structures, such 68 as "tonguelets"<sup>[2,21,22]</sup>, defined as sub-intestinal triangular projections, are particularly 69 important in this context, but have rarely been considered when studying the CNS, 70 specifically, the ventral nerve cord (VNC) that runs along the trunk. For this reason, it is 71 important to reassess the peri-intestinal anatomy of Cambrian arthropods<sup>[19]</sup> based on 72 robust criteria and clear methodology. Although fossilized nervous tissues have been 73 discussed in a few non-arthropod BST fossils<sup>[23,24]</sup>, here we focus specifically on 74 75 morphoanatomy and paleoneuroanatomical evidence in fossil arthropods. Our conclusions 76 and methodological criteria, however, apply to all preserved nervous tissues.

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#### 78 2. BURGESS-SHALE TYPE PRESERVATION AND TAPHONOMY

Implications of the fossilization process. The leading question in the identification of 79 80 fossilized CNS, as for other tissues, should be: What unambiguously constrains the identity 81 a feature of interest in its specific taphonomic context? Below, we discuss the nature of 82 BST preservation and caveats related to compression that must be accounted for when 83 making interpretations. It should also be emphasized that a definitive interpretation is not always possible. In epistemological terms, uncertainty is a positive criterion. To consider 84 85 uncertainty as valid information given the data is not trivial: it was historically important during the redescription of Burgess Shale fossils led by Harry Whittington<sup>[25,26]</sup>, and 86 87 different conceptual views in more recent years—translating uncertainty into absence— 88 have strongly impacted our view of early arthropod evolution<sup>[27]</sup>.

The taphonomy of exceptional preservation is governed by specific spatial and 89 temporal parameters<sup>[28–30]</sup>. The defining characteristic of BST deposits is that soft-bodied 90 organisms are preserved primarily as organic remains<sup>[31,32]</sup>. Fossils are strongly 91 compressed parallel to bedding, however preparation and uneven splitting<sup>[e.g., 33]</sup> as well as 92 tomographic techniques<sup>[e.g., 34]</sup>, where possible, reveal that a slight three dimensional 93 94 expression of organic features persists. Over geologic time, organic matter comprising BST fossils is transformed into kerogen<sup>[32]</sup>. As a consequence, most individual tissues become 95 indistinguishable compositionally and visually, although, under certain conditions, they 96 97 can still be differentiated topologically (see also Supplementary Discussion). In unweathered BST fossils, original morphoanatomical features rich in carbon typically 98 appear as reflective, compositionally homogeneous patches (Figs 1-6). 99

Although organic tissues are primarily preserved as carbon films, mineralization 100 may also play a role, either as infill of anatomical cavities, as primary replacements of soft 101 102 tissue during fossilization or as secondary products related to subsequent geologic history, specifically metamorphism and weathering. These phenomena have led to the precipitation 103 of aluminosilicate minerals across organic remains of fossils from the Burgess Shale<sup>[31]</sup> 104 and Sirius Passet<sup>[41]</sup>, and to the deposition of iron oxides within Chengjiang fossils<sup>[80]</sup>. We 105 discuss these aspects in more detail in Supplementary Discussion, but, in the context of 106 107 this study, it is particularly important to emphasize that elements of the arthropod digestive 108 system, including the intestine, are often preserved as a combination of phases, with carbon 109 representing the gut wall surrounding a mineralized fluorapatite filling of the intestinal cavity. Thus, the intestine of a BST fossil visible after a perfect coronal split of the 110 specimen would display a central phosphatic tract bordered by two parallel carbon lines. 111

Typically, however, the split occurs along the surface of the relief of the gut infill. In addition, in a majority of cases, the carbonaceous gut wall is not distinct because it is included in a broader peri-intestinal film. In deposit feeders, the formation of intestinal apatite is prevented when the gut is filled, yielding instead a composition close to that of the matrix (see e.g., *Burgessia*<sup>[2]</sup>); heavy weathering, as in the case of early Cambrian BST deposits of China, is also likely responsible for the replacement of fluorapatite permineralization by aluminosilicates[35].

Tonguelets commonly occur in a variety of BST arthropods and are dominantly preserved as carbon, although in the Burgess Shale aluminosilicate films occur in association. In certain groups, such as leanchoiliids, tonguelets co-occur with distinct midgut glands<sup>[21,35]</sup>. This evidence speaks against a digestive identity of these features. The vascular morphology of tonguelets in certain taxa<sup>[22]</sup> and their broad association with a circum-intestinal carbon film<sup>[2,21]</sup> suggest that these features are derived instead from hemocoelic cavities that played a role in gas and nutrient distribution.

126 It has been proposed that serial peri-intestinal ramifications, including tonguelets, could be formed as artefacts of bacterial activity during early stages of decay<sup>[36,18]</sup>. Limited 127 128 autolysis under reducing conditions would favour colonization of tissues by invading bacteria and it is possible that microbial biofilms could also be preserved as organic carbon 129 130 remains. The documented microbial colonization of the peri-intestinal cavity during early 131 stages of decay<sup>[36]</sup> highlights the role that bacteria may play in the taphonomy of peri-132 intestinal tissues, specifically in the formation of various artefacts such as blackened 133 cuticular linings and stains (Figs 1, 2). However, patterns of microbial replication require 134 an original biological substrate, which is why it is important to stress that microbial

proliferation cannot be used to explain the entirety of internal features preserved in BST fossils as artefacts<sup>[18]</sup>. Fossils have been used to show that a wide range of symmetrical patterns indeed may be attributable to decay<sup>[18]</sup>, but such decay merely tends to transform original symmetrical biological features into irregular shapes with indistinct boundaries. In the specific case of *Fuxianhuia*, decay is clearly visible in imperfectly preserved tissues associated with the circum-digestive area and the masticatory elements of mandibles.

One critical implication of these observations for BST fossils is that there is, as of 141 yet, no established tissue-specific pathway for mineralized preservation outside of the 142 digestive system and muscles. Tonguelets and perhaps other structures (gills, see below) 143 can potentially also be identified via their 3D replication in phosphate, but a better 144 disambiguation is required. The identification of all other tissues, including the CNS, 145 depends almost exclusively on visual separation, topological (physical) separation and 146 morphological consistency with the interpreted structure (Figs 1, 2). Given that BST fossils 147 148 are strongly compressed, the difficulty lies in demonstrating such isolation, after considering the presence of all other fossilized morphological features and their 149 taphonomic variations. 150

*Contextual taphonomy*. Taphonomy is also determined by parameters related to entombment and splitting. When reconstructing the morphoanatomy of BST animals, the main contextual aspects that must be considered are: orientation of the fossil relative to bedding, superimposition of features, artefacts related to compression and/or to the mudstone matrix (such as slickenides), degree of decay and incomplete preservation of features, unevenness in the plane of splitting that passes through fossils and may create positive and negative features between part and counterpart, and the layered, three-

dimensional distribution of features intersected by the plane of splitting<sup>[26,37,38]</sup>. In the latter
case, we call three-dimensionality the degree of vertical distribution of fossil features
across the matrix, perpendicular to the plane of splitting.

Although there are exceptions, these parameters imply that our ability to constrain 161 feature identity or morphology ideally necessitates a sample of multiple specimens 162 163 covering a range of orientations and taphonomic variations. This is also true for fully threedimensional, shelly fossils, which are rarely complete and unaltered. A good understanding 164 of taphonomic parameters for a particular species, in addition to the context of the entire 165 deposit, is crucial to constructing a methodology of identification, because it informs signal 166 versus possible noise (i.e., artefacts) in fossils. As a general rule, the more that fossils are 167 altered, the more parameters must be considered to constrain the identity of internal 168 features observed. 169

Obviously, accurate fossil interpretation also requires a coherent understanding of 170 171 morphoanatomy between extant and fossil taxa. The distinction and reconstruction of 172 internal features in BST material is a particularly complex task owing to (1) the preservation of most tissues as carbonaceous remains, (2) the merging of various tissues 173 174 into a single kerogen film, and (3) the complexity and diversity of arthropod internal 175 morphoanatomy. This is particularly evident in the head and peri-intestinal regions where 176 the overlap of the eyes, brain tissues, esophagus, gut and gut glands, hemolymph cavities 177 as well as limbs, segments and other cuticular outlines (Fig. 3A-C) may create an entangled 178 assemblage of traces that are difficult to discriminate. In the cephalic region of extant arthropods, the CNS consists of three main units (protocerebrum, deutocerebrum, 179 180 tritocerebrum) that have extensions into various sensory organs and head appendages.

Posteriorly, the body is innervated by the ventral nerve cord (VNC) and its numerous extensions (towards trunk organs and abdominal appendages), in close association with other organs systems, typically the circulatory system. Overlap patterns of these tissues when compressed, combined with potential decay prior to the stabilization of organic tissues, can lead to a disparity of shapes that do not accurately reflect the original biological configuration and could potentially yield incorrect interpretations (Fig. 2).

A prominent issue affecting research in systematic paleontology is also that fossil 187 documentation in the literature is severely biased. Most of the time, only the best specimens 188 of a given taxon are illustrated, which makes learning to interpret fossils based only on 189 literature very arduous, if not impossible. Eye training is a long and demanding process 190 191 which is critical to direct fossil observation but also to the interpretation of modern imagery 192 techniques. Without background training providing knowledge of artefact ranges and taphonomic variations, or when interpretations are not critical enough, exceptional fossils 193 194 can easily be overinterpreted.

Three fundamental criteria and redundancy. In consideration of the above, we propose to 195 use three fundamental criteria for the identification and interpretation of fossilized nervous 196 197 tissues. The first is topological disambiguation, the ability to visually distinguish the structure of interest and constrain it as biological in origin. The second is an *explicit* 198 199 taphonomic context, whereby the conditions surrounding fossilization, including 200 compaction, are known and favorable to a positive identification of the structure of interest. 201 The third is *morphoanatomical consistency*, requiring that the identified feature be 202 consistent in shape and location with the overall morphoanatomy of the fossil species and 203 its group. Additionally, owing to the fact that taphonomic variations condition our 204 understanding of fossils, an interpretation will be stronger when there are replicates of the

205 evidence in other specimens—which we call here *redundancy* of the evidence.

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#### 207 **3. CASE ANALYSES**

#### 208 **3.1.** "Gilled lobopodians" and radiodontans

Radiodontans, iconic early Paleozoic predators, are terminologically regarded as the 209 earliest arthropods<sup>[27]</sup> or as early stem euarthropods<sup>[39]</sup>. Nomenclatural differences 210 notwithstanding, the phylogenetic placement of radiodontans within Panarthropoda is 211 consensual<sup>[40]</sup>. Their prominent frontalmost, arthrodized appendages been compared to and 212 213 considered homologous with the antennae of onychophorans (velvet worms), which are, with tardigrades (water bears), the only extant sister groups to arthropods. Owing to the 214 215 protocerebral innervation of antennae in onychophorans, some authors have concluded that the frontalmost appendages of radiodontans may not be homologous with the topologically 216 equivalent limbs—chelicerae or antennulae—of true arthropods<sup>[39]</sup>, because the 217 frontalmost appendages arguably connect to the deutocerebrum<sup>[41]</sup>. This hypothesis 218 219 conflicts, however, with existing fossil and phylogenetic evidence suggesting structural homology between the frontalmost appendages of radiodontans and euarthropods<sup>[19]</sup> 220 termed the cheirae<sup>[27]</sup>—, and lacks support from direct fossil evidence. 221

In 2012, *Lyrarapax unguispinus*, from the Chengjiang Lagerstätte, China, was presented as preserving brain tissues demonstrating the protocerebral nature of radiodontan cheirae. There have been concerns<sup>[19,20]</sup>, however, that the key specimen *Lyrarapax unguispinus* YKLP13305 had been misinterpreted<sup>[9]</sup>. Originally, the authors described a large central brain mass from which two frontal lobes protruded, purportedly innerving the cheirae. The neural identification of the tissue is notably supported by the quality of the specimen, isolation of the relevant carbon trace from other remains (mainly gut and appendages), morphology, and consistent counterpart. The frontal lobes have clear margins, are likely part of the CNS by exclusion (but see section 4 below) and connect to the central mass lying on the same plane, supporting interpretation as a brain, and, in the specific case of the frontal lobes, the protocerebrum (Fig. 4F).

233 However, the location, connection and identity of these features conflict with other aspects of the morphoanatomy of the fossil, which is particularly clear in YKLP13305. As 234 confirmed by all other specimens of Lyrarapax unguispinus, the eye stalks in this animal 235 are long, bent, and insert very fronto-laterally<sup>[9,42]</sup> (Fig. 4F). The frontal, arguably 236 237 protocerebral lobes overlap the base of the eye stalks (as opposed to the misinterpreted base of the cheirae) and partially follow their bending. Lateral nerves posterior to these lobes 238 and projecting from the central brain mass were originally interpreted to innerve the eyes. 239 However, this is anatomically impossible, because these lateral nerves do not connect with 240 241 the eye stalks, but instead project towards the lateral margins of the head, clearly delimited by cracks in the sediment caused by relief of lateral head margins (Fig. 4F). If indeed they 242 243 are nerves, these extensions would be bound to the deutocerebral neuropil, and would 244 connect to the bases of the cheirae, which in YKLP13305 are most likely located below 245 them.

This reexamination aligns with the recent description of similar structures in another radiodontan, *Stanleycaris hirpex*<sup>[43]</sup>. This taxon satisfies the best scenario criteria for the identification of a fossilized brain for similar reasons as *Lyrarapax unguispinus* does, with the help of multiple, particularly well-preserved specimens. Although it is not entirely clear whether part of the foregut or esophagus could be associated with the remains

described as the CNS, the structures identified as brain, optic tracts and appendicular nerves form a coherent ensemble characterized by dense kerogen remains. Importantly, *Stanleycaris* also offers exceptional redundancy of evidence compared to other cases of fossilized CNS: many of the specimens taken alone would be insufficient to determine the morphology of these internal organs, and the wide range of variations in preservation illustrates the impact of taphonomy on the interpretation of such features.

A crucial aspect of taphonomy in this case is three-dimensionality, in the context 257 of strong compression. In Lyrarapax and other paleoneuroanatomical examples (see 258 259 below), anatomical interpretations are made almost always based on the information visible 260 on the plane of splitting. Irrespective of whether the fossil itself retains some threedimensional relief, original three-dimensionality strongly affects fossil structure—and, in 261 mature living arthropods, brains have generally pronounced three-dimensional 262 expression<sup>[44]</sup>. When strictly comparing with extant arthropods, a frontal, appendicular 263 264 nerve inserted on the ventral side of the brain would identify it as deutocerebral, rather than protocerebral<sup>[43]</sup>; any other, plesiomorphic configuration would otherwise have to be 265 justified. This is why a robust consideration of original three-dimensionality is generally 266 267 critical to the accurate interpretation of head organs and resolving this type of detail is only possible with the highest fidelity of preservation, allowing for clear feature isolation. 268

The "gilled lobopodian" *Kerygmachela kierkegaardi* from the early Cambrian Sirius Passet Lagerstätte of Greenland was described with head and CNS entombed parallel to the sediment and showing, by contrast, limited relief. The "gilled radiodontans" are stem arthropods that lack arthrodization and resolve more basally than radiodontans, implying that their brain configuration might be both different and simpler. *Kerygmachela* MGUH

274 32049<sup>[10]</sup> (Fig. 5C, D) likewise presents a strong case for nervous tissue preservation: the size and shape of the anterior neuropil and its nerves are consistent with that of 275 276 panarthropods in dorsal view and, importantly, are visually and compositionally distinct from the underlying pharynx, which shows relief and preservation in phosphate. In this 277 278 instance, frontal appendages are spread out fronto-laterally and do not cause ambiguity 279 with respect to the nerve extensions through them. Problematically, however, insertions of these nerves at the front of the putative brain can be interpreted as protocerebral, conflicting 280 with the radiodontan evidence discussed above, becasue these enlarged frontal appendages 281 282 are likely homologous between these groups. Implications are discussed further in section 5. 283

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#### 285 **3.2. Megacheirans**

Three-dimensionality and topological consistency are also crucial factors for 286 interpretations of putative CNS in megacheirans, mostly leanchoiliids. Leanchoiliid 287 megacheirans are early euarthropods, possibly stem chelicerates<sup>[27]</sup>, characterized by 288 flagellate and multi-chelate cheirae. It has been well documented that, in megacheirans, 289 cheirae insert very anteriorly, close to the insertion of the eyes<sup>[19,21,45]</sup>. The eyes of certain 290 leanchoiliid specimens, preserved in dorso-ventral compression, can appear to be ventral, 291 292 but accounting for the much larger sample of specimens preserved in a variety of different 293 positions, the eyes are more accurately fronto-lateral with the great appendages inserted almost underneath them [21,46]. 294

In several recent anatomical reconstructions based on remains interpreted as CNS<sup>[3,4,6]</sup>, cheirae are associated with a deutocerebral nerve but are placed in a more

297 posterior location. In these reconstructions, the designated "protocerebrum" lies at the level 298 of the known frontalmost appendage insertion, while the "deutocerebrum" encompasses 299 two post-frontal cephalic limbs (Figs 3D, E, 4B, D). The reconstructions are further 300 complicated by the fact that the purported paleoneuroanatomical evidence itself in 301 leanchoiliids does not account for critical taphonomic criteria.

302 Lan and colleagues<sup>[3]</sup> recently presented a detailed reconstruction of leanchoiliid brains based on two specimens of a Leanchoilia-like taxon from the middle Cambrian 303 (Wuliuan stage) Kaili biota, arguing that a separate, asegmental part of the forebrain of 304 arthropods called the prosocerebrum was expressed in these taxa. Relative to other BST 305 306 fossil specimens for which CNS remains have been inferred, both Kaili leanchoiliid specimens are significantly altered by weathering and/or original decay, affecting 307 308 observable features. Significant alteration complicates the interpretation of unconstrained patterns. The absence of counterparts further complicates the possibility of a strong 309 310 interpretation. Suggested nervous tissues are not explicitly discriminated from surrounding tissues, perhaps because this is not possible given preservation quality, or perhaps because 311 312 the distinction is based on morphoanatomical misinterpretation. Critically, the three-313 dimensionality, orientation and convex boundaries of purported nerves in GRCP15007 are 314 consistent in size and shape with underlying basipods (Fig. 3D), opposing mesially, as 315 previously documented in dorsally-preserved specimens of Leanchoilia and similar 316 arthropods<sup>[47]</sup>. A pair of swellings located just behind the eyes (Fig. 3D) matches with the expected location of the insertion of cheirae<sup>[21]</sup> (Fig. 3A, B, E-F), often expressed in dorsal 317 318 view by folds, markings and outlines associated with the base of the appendages<sup>[48, pl. 13,49,</sup> <sup>fig. 3B]</sup>. Impressions of frontal appendage insertions are known in *Leanchoilia*<sup>[47]</sup> and a 319

variety of other BST arthropods<sup>[50]</sup>, and in specimens in which these appendages do not
project anteriad, they are likely folded under the body<sup>[21]</sup>, thus potentially leaving paired
imprints in the post-buccal area (Fig. 3).

The eye tracts illustrated in detail in GRCP 15007 are not visible on the higher-323 resolution image in (Fig. 3D, E). A small inter-ocular swelling, in the same specimen, 324 325 might correspond to the location of the leanchoiliid labrum (putative in adults), but may also represent another feature located at the junction of all optic tracts. Consequently, the 326 trapezoidal outline situated behind the insertion of the frontalmost appendages in GRCP 327 15007 (Fig. 3E) appears consistent with the shape of the mouth in these taxa<sup>[51]</sup>. There is a 328 half-ringed area encompassing the space behind the eyes and overlapping the insertion of 329 the frontalmost appendages (Figs 3D-F, 4A) that has been documented before<sup>[47]</sup> (plate 1, 330 fig. 1, 3). The well-defined boundaries of this seemingly internal structure suggest it is also 331 cuticular, rather than neural, and therefore could represent a large apodeme. 332

Elemental mapping data<sup>[3, fig. 2]</sup> show that the axial area in leanchoiliid sp. GRCP 333 15007 and 15009 is comprised of a polymorph of calcium phosphate (most likely 334 fluorapatite as in other BST fossils) surrounded by carbon, even if large central chunks of 335 336 intestinal apatite are missing in GRCP 15009. This is what is expected from the preservation of the internal aspect of the gut by mineralized infill and of the gut epithelium 337 338 as kerogen (Figs 1, 2): the plane of splitting cuts through the gut, in cross-section showing 339 the interior of the gut tube bound by two parallel lines. GRCP 15009 is severely altered, 340 and the central lacuna of apatite was most likely created by loss at the time of splitting. 341 Nodes and tracts preserved in carbon anterior to the remains of the gut tract repeat the serial 342 pattern of latero-ventral tonguelets. Given these considerations, it appears likely that only

the anteriormost section at the level of eye insertion could represent parts of the CNS, butsuch an interpretation is speculative (see below).

345 ROMIP 57655 is comparable to GRCP 15007 in its angle of burial and in the features visible, though it is much more complete and detailed (Fig. 4A). This specimen 346 likewise displays the eyes without any tract, as well as the underlying half-ringed structure 347 348 underneath them, which seems to be internal. ROMIP 57655 also documents more clearly the complex peri-intestinal and ramifying kerogen film, showing how various remains of 349 this film overlap in the medial area of GRCP 15007. Peri-intestinal ramifications mostly 350 351 represent sub-intestinal tonguelets, but they can appear cut, flared out, filamentous or they can be fused altogether with the cuticular margins of limbs as a result of differences in the 352 plane of splitting through specimens and in the extent of partial decay. ROMIP 57655 thus 353 354 represents a typical general example of internal, peri-intestinal tissue preservation in BST fossils, and shows how variations on these patterns could recreate the appearance of a CNS 355 356 (Fig. 6). More generally, patterns of folding in compressed cuticle or other carbon-rich tissues can easily produce structural shapes that are not readily interpretable. In Actaeus 357 358 *armatus*, for instance, the manipulation of certain brightness and contrast settings can help 359 isolate elements resembling the brain (Fig. 4G), but the distribution of carbon in the head 360 of this very well-preserved specimen shows much greater complexity (Fig. 4H), notably 361 cuticular collapse and folding. Manipulating these light settings can change the visible 362 amount of carbon (or carbon-coated) film and therefore can reproduce lesser preservation 363 or a different plane of splitting. Cheirae in this specimen could be easily interpreted as protocerebral if one were to disregard other fossil structures, or if the specimen was more 364 poorly preserved. 365

To investigate Alalcomenaeus YKLP 11075—another leanchoiliid—, Tanaka and 366 colleagues interestingly combined two X-ray-based techniques: one based on X-ray 367 fluorescence and used for elemental analysis, here of Fe, the other being tomographic-368 MicroCT scanning<sup>[4]</sup>. Comparing different sources of visualization could be a way to help 369 370 disambiguate different structures in fossils. However, the authors interpreted overlapping 371 signals from both techniques as remains of the CNS, without providing a taphonomic rationale as to why this should be the case. As explained earlier, there is, as of yet, no 372 established tissue-specific mineralization pathway outside of some phosphate-based cases. 373 374 A tomographic investigation sensitive to Fe will provide an incomplete tridimensional map of both internal and external remains, as is now shown for several Chengjiang taxa<sup>[51,52]</sup>, 375 whereas elemental mapping of Fe will reveal a partly similar pattern, depending on 376 differences in sensitivity. Should the CNS be preserved or secondarily coated in part by 377 Fe-compounds, this approach is insufficient to discriminate it from all other existing 378 internal and external structures. In addition, original imagery in Tanaka et al.<sup>[4]</sup> shows that 379 both techniques largely recover 1) incompletely overlapping regions of the same structures, 380 implying that the overlapping signal from both methods is an incomplete representation of 381 382 the same feature, and 2) structures that are clearly separate; for instance, guts and appendage traces in both cases are confounded with tergo-pleural boundaries, thus ruling 383 384 out the isolation of a discrete CNS. The patterns shown for Alalcomenaeus YKLP 11075 385 therefore correspond to an overlap of the digestive system, the peri-intestinal cavity, 386 appendages, and segment boundaries, with deformation resulting from partial decay and 387 compaction of soft tissues against the cuticle. The "vertebrae"-like central structure in 388 particular, resembling sternites, is likely the result of the impression of the gut superimposed upon, and emphasizing segment boundaries. Interestingly, the features interpreted as neural in *Alalcomenaeus* were considered chelicerate-like in this study, but were instead presented as consistent with an ancestral brain configuration<sup>[3]</sup>: this emphasizes the uncertainty of interpretations based on insufficiently clear fossilized biological patterns.

394 A lack of feature discrimination also impacts interpretations of Alalcomenaeus MCZ IP-197956 and KUMIP 204782<sup>[6]</sup>. MCZ IP-197956 is poorly preserved, but with a 395 general pattern commonly observed in megacheiran specimens<sup>[47,46,45,21]</sup>, namely a likely 396 397 phosphatic gut trace surrounded by an originally carbon-rich peri-intestinal trace with 398 ventral lobations and inconsistent filamentous remains—not limited to the ventral side and not consistently projecting into limbs, for instance as in *Surusicaris*<sup>[22]</sup>—which are signs 399 of loss to decay. In KUMIP 204782, which is also poorly preserved, filamentous traces are 400 serially repeated, but they are again similar to common linear artefacts in BST arthropods 401 402 (Fig. 6E-H), and it is not possible to disambiguate them from tonguelets and cuticular lines, especially segment boundaries and appendage outlines, or, considering the quality of the 403 specimen, even possible deformation of tissue margins through decay<sup>[36,18]</sup>. The anterior 404 405 part of the head shows the impression of cheirae, and the "hypostome" is misinterpreted as 406 a displaced part of the foregut (Fig. 4D).

407 Sub-intestinal tonguelets, or taphonomically-separated distal tips of them, were 408 also annotated as nerves in the basal megacheiran-like *Kylinxia*<sup>[53]</sup>, without argument for 409 this interpretation.

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#### 411 **3.3. Extant lineages**

#### 412 **3.3.1. Mandibulata**

fuxianhuiids Among other euarthropods, have been а central focus of 413 paleoneuroanatomical studies<sup>[5,8,13]</sup>—a conjunction of specimen availability, evidence and 414 a long-standing view that these animals represent an ancestral stem euarthropod body 415 plans<sup>[5,54]</sup>, even though there is evidence that they are in fact mandibulates<sup>[55]</sup>. The two 416 417 cases are the description of optic neuropils and brain in Fuxianhuia on the one hand, and that of a VNC in *Chengjiangocaris* on the other. 418

Despite peculiar taphonomic conditions, under which no other soft internal tissue 419 is preserved, the VNC of *Chengjiangocaris* YKLP 12026 benefits from a clear topological 420 isolation-the split of the fossil passes through the nerve cord-and yields fine 421 morphological details. Notwithstanding evolutionary implications for the number of 422 interganglionic peripheral nerves<sup>[5,55]</sup>, YKLP 12026 satisfies the conditions of topological 423 disambiguation and explicit taphonomic context described above, with each putative 424 425 ganglion corresponding to a somite on the polypodous body (in which tergites correspond to the dorsal fusion of several segments). Nevertheless, YKLP 12324, preserved in dorsal 426 aspect, and also interpreted to display a VNC, calls for caution. At cursory inspection, the 427 428 chain-like medial structure is similar, but each successive node is in strong relief, suggesting greater sclerotization, each forms a single unit incompatible with paired ganglia, 429 430 and, most importantly, each connects rigorously with the base of each adjoining limb. 431 Shape and three-dimensionality would therefore be more consistent with a series of sternites (see, e.g., ref.<sup>[33]</sup>). This would not be exclusive of the presence of a VNC per se, 432 433 because the carbonaceous trace observed on fossils and radiating filaments could 434 correspond to the nerve cord overprinted onto the sternites. The morphology of the VNC

in YKLP 12026 suggests that taphonomic conditions leading to the preservation of this
feature preserve an aspect close to the original VNC. The recognition that the VNC could
preserve as poorly-defined trace under the best conditions (see also, e.g., ref.<sup>[23]</sup>) can
facilitate disambiguation of the VNC from other structures<sup>[14]</sup>.

In *Fuxianhuia* YKLP 15006<sup>[8]</sup> and similar specimens<sup>[13]</sup>, optic neuropils are clearly 439 440 laid out within the eye stalks, and are present in similar shapes on the counterpart. Thus, the evidence is redundant as well<sup>[13]</sup>. However, the post-ocular neural morphology of 441 *Fuxianhuia* can only be partially disambiguated from the rest of the morphoanatomy, 442 because the key specimens presented may have other internal tissues or appendages in the 443 same area that are not distinguishable. For example, in YKLP 15006<sup>[13]</sup>, there is a broad 444 darkening of the antennules clearly representing more than a single nerve outline, which 445 points to a more complex taphonomic history and possibly the overlap of different tissues 446 in the compression fossil. 447

448 The brain morphoanatomy of *Fuxianhuia* may be better constrained by looking at another early mandibulate, *Waptia*<sup>[2]</sup> (Fig. 5G). ROMIP 64293 and USNM 138231 show 449 the same configurations of branching kerogen tracts, which are comparable to those 450 451 documented in Fuxianhuia, and are of brain-like morphology: an anterior bridge connecting with optic tracts is also connected by lateral tracts to a posterior transversal 452 453 trace, forming a roughly trapezoidal fenestra which, according to the known anatomy of 454 the head, is located above the mouth. This opening is therefore a strong candidate for the stomodaeum<sup>[2]</sup>. As in *Fuxianhuia*, multiple overlapping elements indicate that other 455 tissues—mostly the gut and appendages—are preserved together with the putative neural 456 457 tissues, but the latter are distinct, consistent with expected morphoanatomy, and the fidelity of preservation is relatively good, although redundancy proves to be crucial here (ROMIP 64293 is in poor condition and would only be inconclusive on its own). Although we consider the evidence ambiguous in this specimen, our own tracing of GRCP15009 (Fig. 5H, I) suggests that a comparable very anterior stomodaeum might be present in leanchoiliids, which would also conform to their morphoanatomy, in particular the insertion of the cheirae.

Ma and colleagues revised their interpretation of the morphoanatomy of 464 Fuxianhuia's brain based on the counterpart of YKLP 15006 and two additional 465 specimens<sup>[13]</sup>, preferring to consider the central "fenestrum" as a part of the brain that was 466 taphonomically removed. Based on the configuration in Waptia, and the well-defined 467 anterior margins of the deutocerebrum in YKLP 15006 (labelled "PRO" in ref.<sup>[13]</sup>), the 468 fenestrum interpretation is well-grounded and the observed non-lacunar pattern YKLP 469 11357 corresponds in fact to a more ventral layer, below the stomodaeal aperture, where 470 471 the brain forms a compact mass. It is also possible that the fenestrum is an artefact caused by the compression of a thick protocerebrum with any frontalmost organs (see, e.g., 472 combined patterns of protocerebrum and naupliar ocellus in Artemia<sup>[56]</sup>), and therefore that 473 474 the stomodaeum is more posterior, closer to the brain configuration of extant crustaceans, but this would make the location of the deutocerebrum and the innervation of frontalmost 475 476 appendages less plausible.

In a way similar to some examples cited above, *Waptia fieldensis* ROMIP 64295 also exhibits a cephalothoracic peri-intestinal tonguelet pattern that could, without context, be mistaken for a diagnostic chelicerate-like condensed CNS<sup>[57]</sup>, and other specimens (e.g., ROMIP 64287<sup>[2]</sup>) show intestinal features that mimic ganglia and nerves (Fig. 5A, B).

Additional specimens (such as USNM 114259, see<sup>[2, SEM S17]</sup>) confirm the occasional rosary
aspect of the gut based on location and calcium phosphate infill. This illustrates how a
proper taphonomic sampling is essential to an accurate interpretation.

484

#### 485 **3.3.2. Chelicerata**

The middle Cambrian euarthropod *Mollisonia*, recently reinterpreted as the earliest bona fide chelicerate<sup>[1]</sup>, was presented with three pairs of optic neuropils—a surprisingly "derived" condition suggesting in fact that a complex neuropil arrangement was ancestral in euarthropods, and that many extant lineages experienced secondary simplifications. Similar to *Fuxianhuia*, the outline of these neuropils is distinct, and their location makes an overlap with non-neural tissues unlikely, although the full evidence is provided by only a single specimen.

Two *Mollisonia* specimens were later reexamined in that context, with description 493 of nervous tissues<sup>[7]</sup>. The interpretations of *Mollisonia* MCZ 1811 and USNM 305093<sup>[7]</sup> 494 exhibit issues similar to leanchoiliid examples discussed before. USNM 305093 is a poorly 495 preserved specimen with generic patterns of preservation of internal tissues. Only a central 496 497 internal tube is visible with reflective ventral ramifications as well as segment boundaries. 498 This central tube is the gut, surrounded by the typical carbon-rich peri-intestinal cavity 499 (Fig. 4E), whose impression connects with the generally carbon-rich eyes, and ventral 500 ramifications. The ventral ramifications correspond to the dorsal margin of the two main 501 diverging rami of the limbs, as evidenced for instance by ROMIP 65015, showing likely 502 further decay (Fig. 6G, H), and in other taxa. MCZ 1811 is of better quality, but the 503 specimen contains only indistinct carbon traces anteriorly and a typical circum-intestinal 504 carbon patch. Features interpreted as a "segmental nerve" and "gut diverticula" are 505 identified by elemental mapping where C, P and Ca overlap, although neither of these 506 features can be discriminated or confirmed by the rest of the fossil evidence<sup>[1]</sup>. Consistent 507 with the antero-posterior configuration, the "gut diverticulum" in dorsal view is a tonguelet 508 that the authors interpret as nerve in lateral view.

509 Interpretative consistency is also paramount, even when known morphoanatomy is thoroughly considered, especially in the case of well-studied taxa. For instance, ROMIP 510 511 57655 illustrates that the alleged esophageal foramen in *Alalcomenaeus* sp. YKLP 11075<sup>[4]</sup> 512 (Fig. 4B) can preserve as reflective patch (Fig. 4A), a fenestra (Fig. 4B) or a three-513 dimensional gut tract swelling (Fig. 4E). This is incompatible with an interpretation of all the reflective traces as neural<sup>[3,4]</sup>, in which case the foramen itself would not be reflective 514 or swollen, but instead is consistent with an hemocoelo-digestive hypothesis. Given the 515 evidence, this area more likely represents a differentiated foregut, perhaps a stomach. 516

517

#### 518 **3.4. Other panarthropods**

Recent claims were made about the discovery and identification of a brain in the 519 lobopodian *Cardiodictyon*, presented as a tripartite protocerebrum, as well as a VNC<sup>[58]</sup>. 520 Lobopodians being the paraphyletic sister lineage to arthropods, the presence of a tripartite 521 522 protocerebrum in these animals would have important implications for head evolution in 523 the entire group<sup>[59]</sup>. Here again, however, we find nothing convincing in terms of 524 taphonomy, morphoanatomical consistency, or disambiguation power. Taphonomically, 525 the magenta-to-purple Fe-oxide stains on which the argument is based are well known to 526 be present throughout Chengjiang fossils, with no demonstrated organ affinity (see, e.g.,

their fig. S1). They represent Fe-stains derived from oxidation of original pyrite, however, 527 it is not clear that these stains track the original distribution of pyrite, or that pyrite 528 originally replicated the structures in question. In the absence of crystal molds or 529 pseudomorphs that provide evidence for the original distribution of pyrite, as conclusively 530 demonstrated before<sup>[14,60]</sup>, the colored patches may be entirely secondary in origin, in 531 532 which case their morphoanatomical significance would be non-specific—as suggested by their uneven distribution and overlap with other oxidized parts of the fossil (Supp. Fig. 3). 533 In general, the distinctly colored phases picked out by chromatic filtering represent the 534 complex post-Cambrian weathering history of Chengjiang fossils<sup>[61]</sup>. A careful taphonomic 535 536 study and model are required to establish the structures as biological in origin.

Correlatively, the colored patches isolate neither brain nor nerve cord specifically. We 537 conducted a similar "chromatic isolation" (consisting in selective isolation of color ranges 538 from an image) on the main specimen presented with nerve tissues (YKLP11422) as well 539 540 as YKLP11418 and YKLP11419 (Supp. Fig. 3). Coloration occurs clearly over the entire surface of the fossil, and patterns-especially in the head-are influenced by cracks or 541 fractures in the matrix (Supp. Fig. 3). Density of this particular stain increases over limbs 542 543 and in the sclerotic interspace, as well as on the contour of the head. There is neither tripartite consistency nor clear internal topology that would identify any part of these traces 544 as a separate CNS. Fig. S5<sup>[58]</sup> in the original publication shows the extent of image 545 546 manipulation required, including deletion of all surrounding elements, to produce a vague 547 tripartite patch of color. A thorough investigation of these fossils is warranted.

548

# 4. NATURE AND DISPARITY OF LATERO-VENTRAL 'TONGUELETS,' AND OTHER POSSIBLE MANIFESTATIONS OF THE LACUNAR CIRCULATORY SYSTEM

As we have shown (Figs 1, 2, 4A-E, 5A, B, H, I, 6), latero-ventral tonguelets are of central importance in the disambiguation of the CNS in BST arthropods. The term 'tonguelet' itself is assigned broadly to all latero-ventral extensions of the peri-intestinal area, encompassing a variety of shapes and possibly tissular identity. As primary distinctions, tonguelets occur with well-defined boundaries as single lobes (Figs 5A, B, 6D) or ramifying structures<sup>[22]</sup>, but also as variations of those types with more diffuse boundaries (Figs 4A-E, G, H, 5A, 6A, E, G).

The ramification of tonguelets into two or three branches is common in BST 559 arthropods (Figs 4A, C, D, 6E-G). Based on taphonomic processes discussed above and a 560 possible hemocoelic affinity advocated previously<sup>[2,22,62,63]</sup>, such ramifications arguably 561 562 replicate an original subdivision of the cavity when present across somites in wellpreserved specimens. In *Surusicaris*<sup>[22]</sup>, where tonguelets are arguably consistent with an 563 original biological feature, branching of the carbon film is specifically associated with the 564 565 exopods. In Opabinia, tonguelets often connect with the base of gill blades, and they are 566 also particularly well-developed inside the shaft of respiratory appendages of *Waptia* (Fig. 567 5A, B). This evidence points to a relationship of these tonguelets with a respiratory and 568 more generally gas and nutrient-exchange function<sup>[2,21]</sup>. In the context of the peri-intestinal 569 hemocoel model, tonguelets would therefore specifically represent cavities connecting 570 permeable organs with the main hemolymphatic system—the ventral hemolymph 571 reservoirs of the circulatory lacunar system (Supp. Fig. 1).

In Alalcomenaeus sp. ROMIP 62968 from the Marble Canyon locality (Fig. 6B-D), 572 typical tonguelets expand into the proximalmost bundle of short lamellae. This 573 configuration is consistent with recent tomographic information from Chengjiang fossils 574 demonstrating the presence of proximal lamellate features in arachnomorphs<sup>[52]</sup>. This 575 additional evidence further suggests that latero-ventral tonguelets are hemocoelic 576 577 connections between the peri-intestinal cavity and respiratory appendages, and, occasionally, the gills themselves. The exact correspondence between the circulatory 578 system and tonguelets is beyond the scope of this study but is currently under investigation 579 by the authors. 580

In any case, the similarity in topology and morphology with the ventral lacunar 581 system<sup>[64]</sup>, as well as the body of evidence showing that ramifications are tightly associated 582 with gills and other gas exchange areas, constrains the identity of sub-intestinal tonguelets 583 as hemocoelic cavities. Not only does this have clear consequences for the interpretation 584 585 of all comparable tonguelet elements across BST arthropods, but this also calls into question the accuracy of reconstructed brains. If, as tonguelets currently suggest, the 586 587 lacunar circulatory system is preserved, then this system would also potentially preserve in 588 the cephalic region. In certain cases, such as in horseshoe crabs, the enclosing lacunar circulatory system can replicate the gross morphology of the brain, while the vascular 589 system, closely surrounding the prosonal synganglion, is virtually identical in shape<sup>[65]</sup>. 590 591 The recently documented syncerebron of *Euproops*<sup>[11]</sup> shows clearly that there was no 592 original mineralization of nervous tissues, but instead a mold of a central cavity which, in 593 xiphosurans, is indistinguishable from the cavity of the circulatory system tightly enclosing 594 the brain<sup>[65]</sup>. However, cephalic lacunar systems are poorly known in general, in part due to technical difficulties in keeping them intact experimentally. Derived pancrustaceans
have shown so far a great complexity of cavities connected to cephalic organs, as well as
eye stalks (Torben Göpel, pers. comm.), which could create patterns interpretable as
neuropils and commissures that do not accurately replicate the CNS.

599 Therefore, the preservation of a cardiovascular system in *Fuxianhuia protensa* 600 YKLP 11336 would represent preservation of a unique type of tissue among BST fossils. Similar to our assessments of putative neural remains, it should be noted that the specimen 601 is poorly preserved, (1) not allowing for clear disambiguation with other tissues, and (2) 602 603 increasing the likelihood of deformation via decay. Darkening of the intermandibular area is quite common<sup>[18,55]</sup>, whereas serial lobate patterns could correspond to outlines of the 604 limb bases, peculiarly-preserved tonguelets, or a combination of both. Based on the same 605 validation criteria we put forward for brain tissues (see below), the evidence shown in 606 YKLP 11336 should be considered incomplete. 607

Broader support for the identification of peri-intestinal traces as hemocoelic 608 cavities also comes from additional evidence often overlooked in this context. This 609 evidence is comprised of traces of biological origin contrasting body cavity with cuticular 610 611 margins and in particular doublures, and of long traces inside tailpieces or other extended 612 cuticular features. Interpretations of the thin reflective filament throughout the long telsons 613 of Burgessia or Molaria (Fig. 6F) have only been tentative, yet this trace is equivalent in 614 size, extent and location to the xiphosuran caudal artery<sup>[65]</sup>. This correspondence also led 615 some authors, almost two decades ago, to interpret the peri-intestinal trace and its long ramifications into the cephalic spines of *Marrella* as remains of the circulatory system<sup>[62]</sup>. 616 617 Distinctly, but complementarily, broad internal patterns single out the extent of the body doublure, for instance in naraoiids<sup>[63, fig. 4]</sup>, or the doublure of the tailpiece, as in  $Waptia^{[2, 4]}$ 

619 <sup>fig. 18]</sup>.

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### **5. WHAT DO WE REALLY KNOW ABOUT NERVOUS SYSTEMS IN EARLY**

622 **ARTHROPODS**?

623

624

Genus	Specimen	TD	MAC	ETC	R
Alalcomenaeus	MCZ IP-197956	Ν	Ν	Ν	NA
	MCZ IP-197956	Ν	Y	Ν	NA
	YKLP 11075	Ν	Ν	Ν	NA
Chengjiangocaris	YKLP 12024, 12026	Y	Р	Y	?
Fuxianhuia	YKLP 15006, YKLP 11357, YKLP 11358	Р	Y	Р	Y (3)
Kerygmachela	MGUH 32048- 57	Y	Y	Y	Y (10)
Kylinxia	NIGP 171304	Ν	Y	Ν	NA
Leanchoiliid indet.	GRCP15007	Ν	Р	Ν	NA
	GRCP15009	Ν	Р	Ν	NA
Lyrarapax	YKLP13304-6	Y	Р	Y	Р
Cardiodictyon	YKLP11434	Ν	Ν	Ν	NA
Mollisonia	MCZ 1811	Ν	Р	Ν	NA
	USNM 305093	Ν	Р	Ν	NA
	ROMIP 62978	Y	Y	Y	P (3)
Stanleycaris	ROMIP 65674, 65756-59, 65761, 65763, 65777	Р	Y	Y	Y (8)
Waptia	USNM 138231, ROMIP 64293	Y	Y	Y	Y (2)
Yawunik	ROMIP 63067	Y	Р	Р	Р

625

Table 1. Assessment of key specimens discussed in this study and used as
paleoneuroanatomical references, based on our methodological criteria. These criteria

are assessed relative to the evidence at hand and the interpretation put forward: in some
cases, they evaluate the entire brain or CNS, in others, only parts of it. Numbers under the
Redundancy column indicate the total number of specimens replicating the relevant
evidence. Abbreviations: ETC, Explicit taphonomic context; MAC, Morphoanatomical
consistency; N, no; NA, non-applicable (whenever one or more criteria are not fulfilled);
P, partial; R, redundancy of evidence (that is, replication in other specimens); TD,
topological disambiguation; Y, yes; See also main text and Fig. 7.

635

In light of these reassessments, we used the three criteria explicated earlier in this specific context (Fig. 7), as well as redundancy of evidence, to evaluate the robustness of the arthropod paleoneuroanatomical evidence published thus far from Cambrian fossils (Table 1, Supplementary Discussion). Additionally, the outcome of our revision is summarized diagrammatically in Fig. 8, using a phylogenetic framework recovered from recent cladistic analyses<sup>[1,55,66]</sup>.

When a more rigorous approach to tissue interpretation is applied and various extrapolations are subtracted, paleoneurological evidence provides a far less complete scenario than depicted by a number of recent studies, especially regarding the arachnomorph CNS<sup>[3,6,7]</sup>. In addition, we present here ideal situations where cephalic lacunae were not misinterpreted as nervous tissues, but this possibility should be considered as well.

648 *Stanleycaris* together with a reexamined *Lyrarapax* provide some reliable anterior 649 CNS morphological evidence in radiodontans (Table 1) that is important both in 650 documenting brain organization before the rise of euarthropods, and in connecting with the

condition of *Kerygmachela*. The simple brain of *Kerygmachela*, bearing similarities with the dorsal commissure and possibly the frontal nerve ring of tardigrades as seen from dorsal view<sup>[67]</sup> (but see [72]), contrasts with the antero-posterior differentiation of the putative radiodontan brains, which appear to have a separate deutocerebrum and well-defined stomodaeum. This lends support to the view that the pre-radiodontan brain was a metaneuropil that later subdivided<sup>[27,53]</sup>, as the separate identity of pre-gnathal segments from posterior ones would suggest<sup>[68]</sup>.

By contrast, any brain morphoanatomies remain to be clearly demonstrated in both 658 leanchoiliids and mollisoniids (Table 1), outside of the optic neuropils in Mollisonia 659 plenovenatrix<sup>[1]</sup>. Yawunik kootenayi ROMIP 63067<sup>[21]</sup> (Fig. 5E, F) distinctly shows all four 660 eyes with optic tracts connecting to a central rectangular structure at the very front of the 661 animal, without overlap with the gut, circum-digestive structures or appendages. The 662 central rectangular element could be part of the protocerebrum, but it is also very similar 663 664 to the inter-ocular element in relief in GRCP15007 that we compared topologically with the labrum (Fig. 3D, E). Hence, at this time, the identity of this feature remains uncertain. 665

666 Within total-group Mandibulata, optic neuropils and possible brain 667 morphoanatomies would also benefit from more robust evidence. Apart from the arguable nerve cord documented in *Chengjiangocaris*, the post-frontal CNS remains elusive, and 668 669 additional evidence based on the methodological criteria developed above will be needed 670 to make firm interpretations.

It is also important to reexamine existing interpretations in light of the total evidence. In *Fuxianhuia* YKLP 11358<sup>[13]</sup>, for instance, the proximal part of the eye connectives, including the first pair of optic neuropils, appears short and round (without

more distal neuropils) because of the angle of splitting, and yields a morphology very 674 similar to the frontal lobes of Lyrarapax (Fig. 4F), which also insert into the base of the 675 eye stalks. This would therefore support an optic neuropil identity for the radiodontan 676 'frontal lobes,' with the base and first neuropil possibly lying on a slightly different plane 677 than the distal neuropils. Based on Stanleycaris, it was recently proposed that these lobes 678 could be related to a large ancestral median eye<sup>[43]</sup>, but the connection between the median 679 eye and the rest of the protocerebrum in this taxon is not clearly preserved as it is in the 680 anterior lobes of Lyrarapax or the proximal optic neuropils of Fuxianhuia. 681

One of the strongest outcomes of arthropod paleoneuroanatomy is therefore that 682 complex visual systems based on three separate nested optic neuropils either convergently 683 evolved in mollisoniids and fuxianhuiids, or, more likely, were present at the origin of 684 Euarthropoda<sup>[1,27]</sup>—and perhaps even Arthropoda<sup>[69]</sup>. Our reinterpretations also suggest 685 that a deutocerebrum was already present in radiodontans, in line with recent evidence<sup>[43]</sup>. 686 687 There is also evidence for a very anterior stomodaeum in early mandibulates, and a more three-dimensionally shaped brain accommodating a more ventral deutocerebrum, but this 688 689 was possibly already the case in radiodontans. The location and shape of the stomodaeum 690 in leanchoiliids might be similar to what is observed in early mandibulates (Fig. 5H, I), but this is conjectural. Based on the condition seen in *Kerygmachela*, it appears nonetheless 691 692 that the origin of Arthropoda involved a significant structural change cumulating in the 693 arthrodization of the frontalmost appendages, the sclerotization of the mouth, the 694 development of compound eyes and, as perhaps would be expected, the physical expansion 695 of the brain.

#### 697 6. CONCLUSIONS

Although considerable effort and progress have been made to consolidate the scientific 698 basis of paleoneuroanatomy<sup>[14]</sup>, a careful approach to such evidence, more inclusive of the 699 diversity of preservation states and taphonomic variations, and subject to specific criteria 700 of validation, is essential. A critical analysis of the existing evidence in this area and the 701 702 contribution of additional material constrains a less detailed but more robust basis for understanding paleoneuroanatomical evidence in early arthropods. The evolutionary 703 704 aspects that we consider here to be well documented are: the structural development of the 705 brain, possibly associated with an early ventralization of the deutocerebrum at the origin of Arthropoda, and the likely presence of a complex visual system involving three distinct 706 707 optic neuropils occurring with the rise of euarthropods, and perhaps even closer to the origin of compound eyes itself. 708

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Institutional abbreviations. BST, Burgess Shale-type; CNS, central nervous system;
GRCP, Museum of Guizhou Research Center for Palaeobiology; ROMIP, Royal Ontario
Museum Invertebrate Palaeontology; VNC, ventral nerve cord; YKLP, Yunnan Key
Laboratory for Palaeobiology.

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720 **Data availability.** All data used in this study are provided upon publication.

Author contributions. CA designed the study, wrote the first draft of the manuscript and

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made the figures; all authors contributed to the critical discussion and to the final version 723 724 of the manuscript. 725 References 726 727 1. Aria, C., & Caron, J. B. (2019). A middle Cambrian arthropod with chelicerae and proto-book 728 gills. Nature, 573, 586–589. 729 2. Vannier, J., Aria, C., Taylor, R. S., & Caron, J.-B. (2018). Waptia fieldensis Walcott, a 730 mandibulate arthropod from the middle Cambrian Burgess Shale. Royal Society Open Science, 5, 172206. 731 3. Lan, T., Zhao, Y., Zhao, F., He, Y., Martinez, P., & Strausfeld, N. J. (2021). Leanchoiliidae reveals 732 733 the ancestral organization of the stem euarthropod brain. *Current Biology*, S0960982221010381. 734 735 4. Tanaka, G., Hou, X., Ma, X., Edgecombe, G. D., & Strausfeld, N. J. (2013). Chelicerate neural 736 ground pattern in a Cambrian great appendage arthropod. Nature, 502(7471), 364–367. 737 5. Yang, J., Ortega-Hernandez, J., Butterfield, N. J., Liu, Y., Boyan, G. S., Hou, J., Lan, T., & Zhang, X. (2016). Fuxianhuiid ventral nerve cord and early nervous system evolution in 738 739 Panarthropoda. Proceedings of the National Academy of Sciences of the United States of America, 113(11), 2988-2993. 740 741 6. Ortega-Hernández, J., Lerosey-Aubril, R., & Pates, S. (2019). Proclivity of nervous system 742 preservation in Cambrian Burgess Shale-type deposits. Proceedings of the Royal Society B: Biological Sciences, 286(1917), 20192370. 743

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#### 917 Figure captions

Figure 1. Explanatory diagrams for peri-intestinal preservation in Burgess-Shale type 918 919 arthropods. Top: Transverse cross-sections representing different preservation types and 920 two different original conditions for the ventral lacunar system (left and right). Bottom: 921 Coronal sections in dorsal view corresponding to Top diagrams. A. Specimen close to time 922 of entombment, unflattened, with phosphate-filled gut and distinct internal features, 923 including heart (he), gut (gt), gut epithelium (ge), ventro-lateral hemocoelic cavities, part of the lacunar circulatory system (tg for "tonguelets"), ventral nerve cord (vnc) and ventral 924 vascular system (vvs). Contrary to the vascular network, the lacunar circulatory system is 925 926 not bounded by membranes and is shown in dotted lines. On the right side, intra-927 appendicular channels (tg) are not well developed; on the left, hemolymph channels branch 928 out from the main lacunar cavity into endopod and exopod rami, and a third, additional 929 cavity projects into a separate gill. On the dorsal view (bottom), depending on orientation 930 and plane of observation, 1, 2 or 3 tonguelets might be visible. In this same view, the ventral

nerve cord is here represented by transparency, but not expected to be visible. B. Specimen 931 with limited deformation and minimal to no decay. Membranes and cavities now uniformly 932 933 preserved as kerogen films, mediated by limited microbial activity. Theoretically, in some conditions, remains of the ventral nerve cord may remain isolated from the peri-intestinal 934 935 carbon film (right side), although the evidence for this is debatable in fossils. Phosphate 936 formation sometimes invades tonguelets (right side, asterisk). C. Specimen with significant decay and diagenetic alterations. The combination of these alterations produces a variety 937 of peri-intestinal shapes (right side) that can secondarily mimic genuine branching of the 938 939 hemolymph system (left side), or, to an extent, ganglia and nerves.

940

941 Figure 2. Explanatory diagrams for general preservation in Burgess-Shale type arthropods. Top: Sagittal sections representing different preservation types of a fictional 942 Fortiforceps-like megacheiran. Bottom: Coronal sections in dorsal view corresponding to 943 944 Top diagrams. A. Specimen close to time of entombment, with phosphate-filled gut and 945 distinct internal features shown as outlines. Nervous tissues in green, apatite in blue, cuticular and gut boundaries in black, sub-intestinal tonguelets in orange. Phosphate 946 947 formation sometimes invades tonguelets. B. Specimen with limited deformation and minimal to no decay. Membranes and cavities now uniformly preserved as kerogen films, 948 949 mediated by limited microbial activity. C. Specimen with significant decay and diagenetic alterations. Note merging of carbon films from anterior cephalic area posteriad. Disparity 950 951 of tonguelet shapes is exaggerated to show range of possible shapes, a number of which is illustrated in this study: BR, branching type (here, secondary); DI, diffuse type; MCa, 952 merged cuticular, appendicular type (peri-intestinal trace merged with cuticular outlines at 953

base of limbs); MCt, merged cuticular, tergo-pleural type (peri-intestinal trace merged with
cuticular outlines of tergo-pleurae); SP, split type. Early taphonomic phases are also
commonly associated with a ventralization of the gut tract, not shown here. See Figure 1
for abbreviations; other abbreviations: ey, eye; fa, frontal appendages; mo, mouth; os,
ocular sclerite.

959

Figure 3. Leanchoiliid taphonomy and morphoanatomy. A-C, Diagrammatic cross-960 sections of a generalized leanchoiliid; nervous system in green, eves in dark blue, 961 simplified peri-intestinal lacunar vascular system in light blue, digestive system in red, 962 963 heart in purple. A, Section at the front of great appendage insertion, showing main brain mass and optic tracts; shape of protocerebrum follows P-S; dotted line represents possible 964 protocerebral fenestra, see text for explanations. B, Section at the back of great appendage 965 insertion, showing looping foregut and mouth opening. C, Section through trunk, with large 966 967 ventral hemocoelic system connecting to gills and exopods. D, E, Leanchoilia sp. GRCP15007, close-up of cephalic area (see Lan et al.<sup>[3]</sup> fig. 1A, B). Underlying horseshoe 968 structure as in E in dashed line; circular swellings marking likely frontalmost appendage 969 970 insertion in dotted lines. F, L. superlata ROMIP 57655, close-up of cephalic area, grayscale and inverted lightness. Post-ocular semi-circular structure as in D, E. Double arrow indicate 971 972 width of branching peri-intestinal carbon pattern. See also Fig. 3A for full specimen. 973 Picture courtesy of Jean-Bernard Caron. G, H, Leanchoilia sp. GRCP15009. G, Picture provided from Supplementary Material in Lan et al.<sup>[3]</sup> H, Tracing of main carbon linings 974 975 in G superimposed onto the main feature signal presented in the specimen in Lan et al. fig. 976 2A. Arrowheads mark in: D, E, pyritised cuticular outlines; sides of the post-ocular 977 structure overlapping frontalmost appendage insertion. Asterisks mark in: D, E, small swelling of interocular, pre-oral structure, possibly labral; F, G, areas in relief from each 978 979 side of the buccal region, possibly related to frontalmost appendages folded under the body. Colour coding: In A-C, nervous system in green, eyes in dark blue, dorsal artery in purple, 980 lacunar circulatory system and peri-intestinal hemocoelic cavity in light blue, digestive 981 982 system in red; in H, morphological feature lining in red, cuticular folds in red dashed line, cracks in sediment layer in yellow dashed line, oesophagal/post-oesophagal area in purple. 983 Abbreviations: ba, basipod; fai, insertion of frontal appendage; fg, foregut; mo, mouth. 984 985 Scale bars: 2 mm.

986

Figure 4. Taphonomic variations in the preservation of internal structures among 987 Cambrian arthropods. A, L. superlata ROMIP 57655, photo courtesy of Jean-Bernard 988 Caron. Full specimen, wet, polarized light. The branching reflective pattern (typically 989 990 highly carbonaceous) surrounds the entire digestive tract, including midgut glands and is not limited to ventral pairs of closely associated swellings, like ganglia. 991 B, C, Alalcomenaeus sp. YKLP 11075. B, Cephalic area from Tanaka et al.<sup>[4]</sup> Extended Data fig. 992 993 1, with expected location of appendages based on leanchoiliid literature. C, Elemental and tomographic imagery methods capture parts of the same peri-intestinal system, which 994 995 largely overlap, but do not isolate any structure identifiable with a VNC. D, Alalcomenaeus 996 sp. KUMIP 204782 cephalic area from Ortega-Hernández et al.<sup>[6]</sup> fig. 2a, showing expected 997 insertion of anterior appendages. Anterior hexagonal piece is a displaced fragment of the 998 foregut. E, Alalcomenaeus sp. MCZ IP-197956 trunk section from Ortega-Hernández et 999 al.<sup>[6]</sup> fig. 1a. Gut tract likely apatitic with broad peri-intestinal patch and subtriangular

1000 extensions ("tonguelets"). F, Lyrarapax unguispinus YKLP13305 anteriormost head 1001 region from Cong et al.<sup>[9]</sup> fig. 2h. Internal frontal lobes lie on top of the base of the eye 1002 stalks, and lateral extensions of putative brain are not optical nerve tracts. Double red lines indicate connection of the eyes with the eye stalks. G, H, Actaeus armatus holotype USNM 1003 155597. G, Anteriormost portion of the cephalon, wet specimen with cross-polarized 1004 1005 lighting. Note cuticular collapse in right frontalmost appendage and widespread fold lines. H, Same as P with low brightness and enhanced contrast. Arrowheads mark in: A, peri-1006 1007 intestinal tonguelet traces with various shapes; E, probable patches of apatite; G, cuticular 1008 collapse. Asterisks mark in: E, circum-intestinal carbon trace. Abbreviations: cn, cephalic appendage *n*; es, eye stalk; fai, insertion of frontal appendage; fl, frontal lobe; gt, gut tract; 1009 le, lateral eye; PC, protocerebrum; tg, sub-intestinal tonguelet; vr, various remains. Scale 1010 1011 bars: 2 mm.

1012

# Figure 5. Disambiguation between latero-ventral tonguelets and putative nervous remains.

A, B, G, Waptia fieldensis. A, ROMIP 64287, latero-oblique view, close-up of 1015 1016 cephalothoracic area. B, ROMIP 64295, dorsal view, close-up of thoracic area. C, D, Kerygmachela kirkegaardi, from the lower Cambrian Sirius Passet, frontal area showing 1017 1018 phosphatized muscular pharynx and distinct nervous tissues. C, MGUH 32048, high-angle 1019 polarized lighting. D, MGUH 32049, wavelength dispersive X-ray elemental map of 1020 carbon. E, F, Yawunik kootenayi ROMIP 63067. E, Close-up of cephalic area, inverted 1021 brightness. F, Inset in R. G, USNM 138231, close-up of frontal area, with putative brain 1022 remains. H, Tracing of main carbon linings in the frontal area of GRCP15009

1023 superimposed onto the main feature signal presented in the specimen in Lan et al. fig. 2A 1024 <sup>[3]</sup> I, Separate interpretative drawing, showing conjectural neural configuration. Line colour 1025 coding: In H, morphological feature lining in red, cuticular folds in red dashed line, cracks in sediment layer in yellow dashed line, oesophagal/post-oesophagal area in purple; in I, 1026 1027 digestive tract in blue, gut epithelium in magenta, speculative neural tissues in green, with 1028 denser carbon masses as disks or ellipses, and tonguelet/lacunar system in orange, cuticular folds in grey. Arrowheads mark in: A, filaments projecting from an intestinal swelling; F, 1029 1030 putative optical nerve tracts; G, H, separate rami of the appendages. Asterisks mark in: G, 1031 I, stomodaeum or protocerebral foramen. Abbreviations: DC, deutocerebrum; fo, frontal organ; gt, gut; le, lateral eye; me, median eye; nv, nerve; PC; ph, pharynx; oe, oesophagus; 1032 1033 tg, sub-intestinal tonguelet; vs, visual surface. Scale bars: A, B, 1 mm; C, 5 mm; D-H, 2 1034 mm.

1035

1036 Figure 6. Circum-digestive preservation and anatomical identity. A, E, Alalcomenaeus cambricus. A, ROMIP 45613, Close-up of middle section of trunk, showing circum-1037 intestinal black pattern with dorsal limb extensions. B-D, Alalcomenaeus sp. ROMIP 1038 1039 62968. B, D, Close-ups of middle section of trunk with parallel (B) and perpendicular (D) polarization, showing carbonaceous preservation of proximal gill bundles (white reflective 1040 1041 areas in D). The gut tract is preserved as apatite (black in D, tridimensional), and additional 1042 apatitic subintestinal elements may represent distal extensions of the hemocoelic cavities 1043 invading the exopods. C, Close-up of gill bundle in B. E, ROMIP 45616, photograph with 1044 inverted brightness, showing web pattern of denser kerogen or kerogen-coated features, 1045 including eyes, gut outline, possible gill bundles, tergite boundaries, and long, ramified

1046 filamentous elements invading the endopods. Arrows point to diffuse reflective areas inside 1047 endopods. Asterisk indicates endopod whose reflective lining clearly represents cuticular boundaries. F, Molaria spinifera USNM 57688, photographed with perpendicular 1048 polarization, showing patterns similar to E. Here, an outstanding carbonaceous trace also 1049 runs through the long telson, and ends relatively widely. G, H, Mollisonia plenovenatrix 1050 1051 ROMIP 65015, wet specimen; see Aria and Caron<sup>[1]</sup> for details of the counterpart. G, Crosspolarized light, showing broad peri-intestinal reflective area with bifurcating ventral 1052 1053 tonguelets. H, Direct light. Arrowheads point to in: A, tonguelet extensions on the dorsal 1054 margin of exopods; B, D, apatitic fragments, likely from distal portions of tonguelets; C, 1055 finger-shaped gill bundle elements; E, F, various carbonaceous linings, G, H, tonguelet bifurcations corresponding to split appendicular lobes. Abbreviations: gt; gut tract; pic, 1056 peri-intestinal cavity; tg, tonguelet; xpl, primary lobe of exopod; xtl, tertiary lobe of 1057 exopod. Scale bars: A, G, H, 2.5 mm; B, D, 1 mm; C, 0.25 mm; E, F, 5 mm. 1058

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Figure 7. Synthetic diagram of proposed methodological criteria for fossilized CNS
 disambiguation. Abbreviations: IDed, identified.

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Figure 8. Revised summary of arguable paleoneurological evidence among early arthropods. An expanded brain appears to emerge with Arthropoda. A complex visual system involving three optic neuropils could be present at least in the common ancestor of euarthropods. The identity of the main brain mass in *Kerygmachela* relative to more derived taxa is uncertain, as it may correspond to a "metaprotocerebrum"<sup>[70,27,68]</sup>. Phylogenetic relationships based on ref.<sup>[55]</sup>, nomenclature based on ref.<sup>[71]</sup>. On brain

1069 configuration diagrams (top): protocerebral structures in light green, deutocerebral 1070 structures in dark green, stomodaeum in blue and magenta. On fossil diagrams (middle): 1071 Nervous tissues in green, digestive tract in blue-grey, appendicular outlines in grey, all other cuticular outlines in black; numbers represent optic neuropils. Curved arrows denote 1072 cases where frontal appendage innervation is likely affected by topology and runs beneath 1073 1074 the rest of the brain, potentially creating compression artefacts. See Supplementary Figure 2 for enlarged diagrams. Abbreviations: APc, anterior protocerebrum; da, deutocerebral 1075 1076 appendage; Dc, deutocerebrum; n, optic neuropil; pc, main protocerebral commissure; 1077 MPc, median protocerebrum; so, sensory organ; st, stomodaeum; T.-G., total-group 1078 (evolutionary group including extinct and extant representatives of a lineage).

1079

1080 Supplementary Discussion. Complementary comments on BST mineralization and
 1081 recommendations regarding interpretations of fossilized putative nervous systems.

1082

Supplementary Figure 1. Anatomical complexity of organ systems exemplified by 1083 extant crustaceans. A, B. Histological sections through the anterior part of Vargula 1084 1085 hilgendorfii (Crustacea, Ostracoda) showing the circulatory and nervous (peri-oesophageal 1086 ring) systems in A and part of the hemolymphatic network in B (see sections location in 1087 C). C. Lateral view of an adult female of Vargula hilgendorfii. D. Idealized section 1088 showing hemolymph circulation (blue arrows). E, F. Lateral view of Nebalia bipes 1089 (Crustacea, Leptostraca) and details of foliaceous thoracopods (carapace removed). G-I, 1090 Dahlella caldarensis (Crustacea, Leptostraca), thoracopods in transmitted light showing 1091 afferent (red arrows) and efferent (blue arrows) hemolymph canals and sinuses running

1092 through thoracopods. A and B are microtome paraffin sections stained with hematoxylin 1093 and eosin. F is an SEM image. Extant arthropods have an extensive network of vessels and 1094 internal hemocoelic cavities filled with hemolymph. Their "open" circulatory system consists of the vascular system, made of dorsal heart and arteries (e.g. aorta) that deliver 1095 hemolymph to various body regions, and of the relatively understudied lacunar system<sup>[64]</sup>. 1096 1097 The cardiovascular unit pumps hemolymph into the lacunar system that bathes all tissues and organs and supplies them with oxygen and nutrients. Oxygen is transported by the 1098 1099 metalloproteins hemocyanin or hemoglobin circulating in the hemolymph from gas 1100 exchange areas, such as gills in marine taxa, or from direct aerial openings in terrestrial tracheate arthropods, to the heart. The supramillimetric size of most Cambrian arthropods 1101 1102 implies the presence of a comparable circulatory system without which the distribution of oxygen and nutrients to tissues would be impossible. Abbreviations are as follows: ac, 1103 1104 afferent channel; ao, aorta; ap, appendage; ec, efferent channel; ft, foliaceous thoracopods; 1105 hc, hemocyte; he, heart; mu, muscle; my, myocardium; nt, neural tissue; oe, oesophagus; por, peri-oesophageal ring. Scales bars: A, B, H, 100 µm; C, E, 1 mm; F, 500 µm; G, 200 1106 μm; H, 100 μm; I, 50 μm. 1107

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Supplementary Figure 2. Early arthropod diagrams with arguable neural tissue evidence. A, *Kerygmachela*. B, Generalized anomalocaridid. C, Leanchoiliid. D, *Mollisonia*. E, Waptiid. F, Fuxianhuiid. Nervous tissues in green, digestive tract in bluegrey, appendicular outlines in grey, all other cuticular outlines in black; numbers represent optic neuropils. Numbers count optic neuropils. Abbreviations: pc, main protocerebral commissure; st, stomodaeum. 

1116	Supplementary Figure 3. Anterior region of Cardiodictyon catenulum (from
1117	Strausfeld et al. <sup>[58]</sup> ). A-C, YKLP11419. D-F, YKLP11418. G-I, YKLP11434. A, D, G,
1118	original plain light photographs. B, E, H, same as A, D, G with color desaturation, except
1119	for the magenta-purple range. C, F, I, isolated magenta-purple coloration from B, E, H on
1120	black background. Yellow dashed lines represent cracks or major relief over the fossil.
1121	Other arrows and annotations are from original publication. Note broad variations in
1122	staining patterns within and among specimens, and how patterns in the head are affected
1123	by cracks and relief. The "eye" is another crack through the superficial oxidized layer and
1124	not a clear biological feature. Scale bars in A and G: 0.5mm. Scale bar for YKLP11418
1125	missing in original publication.