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Polytope-based Continuous Scalar Performance Measure with Analytical Gradient for Effective Robot Manipulation

Keerthi Sagar¹, Stéphane Caro², Taşkın Padır³, Philip Long⁴

Abstract—Performance measures are essential to characterize a robot's ability to carry out manipulation tasks. Generally, these measures examine the system's kinematic transformations from configuration to task space, but the *Capacity margin*, a polytope based kinetostatic index, provides additionally, both an accurate evaluation of the twist and wrench capacities of a robotic manipulator. However, this index is the minimum of a discontinuous scalar function leading to difficulties when computing gradients thereby rendering it unsuitable for online numerical optimization. In this paper, we propose a novel performance index using an approximation of the capacity margin. The proposed index is continuous and differentiable, characteristics that are essential for modelling smooth and predictable system behavior. We demonstrate its effectiveness in inverse kinematics and trajectory optimization application. Moreover, to show its practical use, two opposing robot architectures are chosen: (i) Serial robot - *Universal Robot- UR5* (6-dof); *Rethink Robotics-Sawyer Robot* (7-dof) and (ii) Parallel manipulator - *Cable Driven Parallel Robot* to validate the results through both simulation and experiments. A visual representation of the performance index is also presented.

Index Terms—Kinematics, Optimization and Optimal Control, Parallel Robots

I. INTRODUCTION

A Robot's capability to perform motion and exert necessary forces are of fundamental importance in workspace design and task planning. Performance measures provide the necessary tools to quantitatively measure these capabilities, that allow studying, evaluating and optimizing the behaviour of manipulators from design to application phase. Specifically for manipulation control, local indices based on Jacobian matrix [1] are widely used. These measures, due to the dualistic properties [2] between the first order kinematics and statics of a rigid body are termed kinetostatic performance measures.

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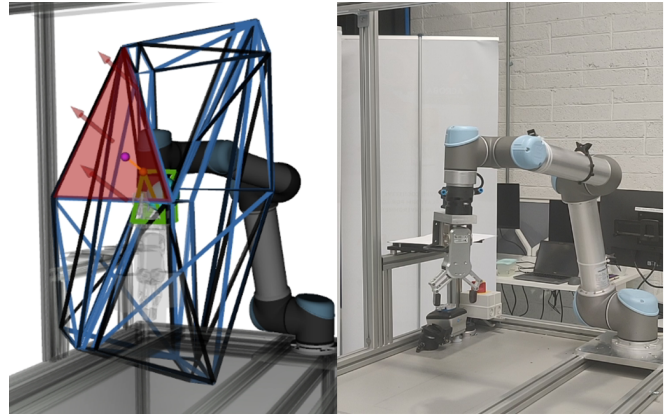


Fig. 1: Continuous capacity margin for robot shown as orange line between the closest vertex (brown) in the desired velocity polytope (green) and the closest facet (red) in the feasible velocity polytope (blue) for a given configuration.

They enable posture variation for generating required motion or specific desired forces at the robot's end-effector. The most widely used measure is the *manipulability index* proposed by Yoshikawa [3], which measures the distance to singular configuration using volume of manipulability ellipsoid. Similarly, the *dexterity index*, analyzed by Salisbury and Craig [4] computes the sphericity/evenness of the velocity ellipsoid via the *condition number*. Manipulability ellipsoid based measures, although widely adapted due to their ease of computation and geometric description, can lead to under-estimation of kinetostatic capacities [5] and generally do not take into consideration the boundary of joint's velocities or torques. On the other hand, manipulability polytopes establish a linear estimate of the exact joint constraints in task space [6] and take into account the robot's torque and velocity joint limits. Hence, they provide a much accurate characterization [7], [8] of the manipulator's capabilities in contrast to manipulability ellipsoids. While historically considered computationally expensive, methods have been developed to substantially reduce polytope computation time [9] and more recently in [7] where online evaluation of force polytopes using improved vertex search algorithm has been demonstrated. Polytopes encapsulate both geometric properties and a system of linear inequalities. The convex nature can be used in conjunction with geometric operations such as Minkowski sum or intersection to obtain capacities of composite chains [10]. Additionally, con-

strained motion polytopes [11], which consider both intrinsic and environmental constraints, can be used as visual aids and virtual guides in virtual reality for shared teleoperation [12]. With its algebraic representation, supplementary constraints such as proximity to danger zones [13], zero-moment points (ZMP) [14] or multi-contact humanoid locations [15] can be easily added. Hence, a polytope based performance index is practical to apply for both accurate and fast evaluation of the capacities of a robot for executing a task.

Guay *et al.* [16] introduced the *Capacity margin* (CM), a polytope based minimum degree of constraint satisfaction index, that quantifies the robustness of equilibrium of an object using a single scalar function. This kinetostatic index is applied to Cable-Driven Parallel Robots (CDPR), to determine Wrench Feasible Workspace (WFW) [16]–[18] with bounded forces/tensions, for path planning [19], designing optimal cable winches [20] for Aerial Cable Towed System (ACTS), reconfiguration planning [21], and subsequently for their dimensional [22] synthesis. Similar to wrench analysis, the CM also provides a good estimate of the available acceleration set for motion generation [23].

Prior work has utilized CM [19] [21], as cost functions with only heuristic based planners, which can have good, but not guaranteed convergence. The index in its original form is based on a discontinuous *min* function which renders it inconvenient for many optimization algorithms. To overcome this, in this article we propose an analytical continuous version of this performance index, denoted as the *continuous capacity margin* (CCM) as shown in Fig. 1, which can be effectively used with simple iterative optimization algorithms. With its explicit analytical gradient, the index can be used for estimating a kinetostatic performance index as a continuous function, and thus alongside numerical optimization solvers to aid in directional search for a solution with faster convergence. The contributions of this work are:

- A continuous scalar performance measure based on the capacity margin is proposed.
- Practical application in inverse kinematics and trajectory optimization for serial robots and wrench-feasible boundary estimation for cable robots are demonstrated.
- Software toolbox for proposed index visualization and gradient computation is provided, <https://gitlab.com/KeerthiSagarSN/rosygradientpolytope>.

The paper is organized as follows, necessary preliminaries for the performance index based on polytopes are presented in Sections II and III. Performance measure formulation is proposed in Section III and its applications demonstrated in Section IV. The concluding remarks are drawn in Section V.

II. KINEMATIC MODELING AND POLYTOPES

Consider a n degree-of-freedom (DOF) manipulator operating in m dimensional space. The pose of the end-effector is represented as \mathbf{x}_e , where $\mathbf{x}_e \in \mathbb{R}^m$ and can be obtained from the manipulator's configuration variables, $\mathbf{q} = [q_1, q_2 \dots q_n]$, using the forward position kinematics, $\mathbf{x}_e = f_{fp}(\mathbf{q})$. For a serial manipulator with m degree-of-freedom and n active joints, the Jacobian matrix $\mathbf{J}(\mathbf{q}) \in \mathbb{R}^{m \times n}$ provides the

necessary mapping from joint twist $\boldsymbol{\nu}_j$ to Cartesian twist $\boldsymbol{\mathcal{V}}$ at end-effector e , defined as

$$\mathbf{J} = [\boldsymbol{\nu}_1 \quad \boldsymbol{\nu}_2 \quad \dots \quad \boldsymbol{\nu}_n]. \quad (1)$$

$\boldsymbol{\mathcal{V}}$ consists of translational and angular velocity components denoted as \mathbf{v} and $\boldsymbol{\omega}$, respectively. The kinematic mapping for a serial manipulator with 6-DOF in its task-space is given as

$$\boldsymbol{\mathcal{V}} = \begin{bmatrix} \mathbf{v} \\ \boldsymbol{\omega} \end{bmatrix} = \mathbf{J}(\mathbf{q})\dot{\mathbf{q}} \quad \text{s.t.} \quad \dot{\mathbf{q}}_{\min} \leq \dot{\mathbf{q}} \leq \dot{\mathbf{q}}_{\max} \quad (2)$$

where $\dot{\mathbf{q}}$ is the joint velocity vector, and $\dot{\mathbf{q}}_{\min}$, $\dot{\mathbf{q}}_{\max}$ are the minimum and maximum joint velocity vector, respectively. Components in $\boldsymbol{\mathcal{V}}$ are chosen based on task requirements.

Dual to the twist space for serial robots, the wrench space is essential to analyse the force capacities of CDPR, a class of parallel manipulators. CDPRs consists of a moving- platform, which is suspended or constrained by a number of cables. CDPRs unlike traditional parallel manipulators with rigid body links, employ cables/flexible links, which can only *pull*. This leads to certain configurations of the end-effector/platform causing slack or no-tension in some cables. Hence, wrench capability analysis is a requisite to ensure the robot can generate arbitrary wrenches in all DOFs.

Let \mathbf{f} denote the wrench at CDPR's end-effector E , where

$$\mathbf{f} = \begin{bmatrix} \mathbf{f} \\ \boldsymbol{\tau} \end{bmatrix} = -\mathbf{W}\mathbf{t} \quad \mathbf{t}_{\min} \leq \mathbf{t} \leq \mathbf{t}_{\max} \quad \left| \mathbf{t}_{\min} > 0 \right. \quad (3)$$

where \mathbf{f} are the forces and torques $\boldsymbol{\tau}$ generated at the platform point E , and $\mathbf{t} = [\mathbf{t}_1, \dots, \mathbf{t}_n]^T$ is the cable tension vector and where the vectors containing the maximum and minimum cable tensions are denoted as \mathbf{t}_{\max} and \mathbf{t}_{\min} respectively. Similar to Jacobian matrix $\mathbf{J}(\mathbf{q})$, $\mathbf{W} = [\mathbf{w}_1 \mathbf{w}_2 \dots \mathbf{w}_n] \in \mathbb{R}^{m \times n}$ is the wrench matrix for the CDPR moving-platform to remain in equilibrium when external wrench \mathbf{f} is applied to the platform, where \mathbf{w}_j is the unit wrench due to cable j .

Given these constraints, the robot's current capacities to generate a desired set of wrenches or twist can be conveniently represented by an m -dimensional polytope in task space. A generalized polytope, \mathcal{P} can be represented using vertex representation, denoted as \mathcal{V} -rep, and is obtained by computing the convex hull of its vertex set. A hyperplane representation denoted, \mathcal{H} -rep, where the polytope is computed using the volume bounded by a finite number of half-spaces. Explicit analytical representation of these polytopes using \mathcal{H} -rep is written as $\mathcal{P} = \mathbf{A}\mathbf{x} \leq \mathbf{B}$, where \mathbf{A} contains the half-space's normals and \mathbf{B} is its shifted distance from the origin along the normal. A desired polytope is defined as \mathcal{P}^D , which represents the required maximum and minimum task-specific wrench or twist capacities for the end-effector at any particular time. For example, for a serial manipulator, when the end-effector is required to operate within a minimum and maximum Cartesian linear velocities in \mathbf{x}, \mathbf{y} and \mathbf{z} directions at all times, then the resulting twist polytope \mathcal{P}^D is a cuboid [24]. Similarly, the feasible polytope, \mathcal{P}^F is defined as (4), is obtained following a linear mapping [25] from the feasible joint-space to the twist-space using the Jacobian operator defined in (2) or from the cable tension space to the Cartesian wrench-space using Wrench matrix as in (3). A compact, yet

implicit representation [7] of these feasible wrench \mathcal{P}_f^F and twist polytope \mathcal{P}_v^F from (2) and (3) can be written as

$$\begin{aligned} \mathcal{P}_f^F &= \{ \mathbf{f} \in \mathbb{R}^m \mid \mathbf{t}_{\min} \leq \mathbf{t} \leq \mathbf{t}_{\max}, \mathbf{W}\mathbf{t} = -\mathbf{f} \} \\ \mathcal{P}_v^F &= \{ \mathcal{V} \in \mathbb{R}^m \mid \dot{\mathbf{q}}_{\min} \leq \dot{\mathbf{q}} \leq \dot{\mathbf{q}}_{\max}, \mathcal{V} = \mathbf{J}(\mathbf{q})\dot{\mathbf{q}} \} \end{aligned} \quad (4)$$

The feasible polytope in this case, is a special type of [24], [25] centro-symmetrical convex polytope known as zonotope. The properties of the zonotope [24] is used to construct feasible twist/wrench capacities using the *hyperplane shifting method*. Unlike polytope vertex search algorithms, these are computationally efficient and non-iterative in nature. In the following, *hyperplane shifting method* and activation functions used in neural networks are adapted to develop a continuous analytical version of the CM index. Although the proposed methodology works for n -DOF, for ease of visual illustration, the task-space twist is limited to linear-velocity components ($\mathbf{v} \in \mathbb{R}^m$) and the task-space wrench to its force ($\mathbf{f} \in \mathbb{R}^m$) components.

III. PROPOSED CONTINUOUS KINETOSTATIC PERFORMANCE INDEX FORMULATION

A. Capacity Margin

The CM is represented as the signed distance between a desired polytope and the feasible polytope, positive when the desired polytope is completely inside the feasible polytope and negative otherwise. Let the position of the k^{th} vertex of the desired polytope \mathcal{P}^D be denoted as $\boldsymbol{\eta}_k^D$, where $\boldsymbol{\eta}^D \in \mathbb{R}^{(l \times m)}$, where l is number of vertices in \mathcal{P}^D , and facets f of the feasible polytope \mathcal{P}^F be defined as \mathbf{p}_f^+ and \mathbf{p}_f^- , where $\{+, -\}$ represent the upper and lower half-space of \mathcal{P}^F , respectively. A distance d_k^f between every vertex $\boldsymbol{\eta}_k^D$ in \mathcal{P}^D and every facet in \mathcal{P}^F , where \mathbf{p}_f is a point on facet f and \mathbf{n}_f is its corresponding normal, is defined as

$$d_k^f = \mathbf{proj}_{\mathbf{n}_f}(\mathbf{p}_f - \boldsymbol{\eta}_k^D) \quad (5)$$

where $\mathbf{proj}_{\mathbf{n}_f}$ is defined as the vector's projection onto the normal \mathbf{n}_f . Let \mathbf{d} be a vector containing all distances from every vertex to every facet, thus, CM is defined as the minimum distance among all these (vertex, facet) pairs, i.e.,

$$\gamma = \min_{f,k}(\mathbf{d}) \quad (6)$$

For the sake of demonstration, the parameters are illustrated in robot's Cartesian space by scaling down the velocity polytope by a factor as shown in Fig. 2.

We propose a continuous kinetostatic performance index $\hat{\gamma}$ based on the above defined CM (γ) [16], [18] of a polytope. The proposed index $\hat{\gamma}$ is an estimated approximation of the actual measure and further can be expressed as a continuous analytical function. The capacity margin and its estimate are derived using *hyperplane shifting method*. For clarity, we present notations of vectors only for twist space represented in (1) and (2).

B. Hyperplane Shifting Method

A hyperplane [24] is a geometrical object that splits a space into two half-spaces. The hyperplane shifting method (HSM) defines a convex polytope [26] as the intersection of half-spaces bounded by its supporting hyperplanes. The convex polytope in this scenario, refers to the feasible twist/wrench set of the robot for a given configuration and the supporting hyperplanes refer to the facets of this polytope.

To fully define the hyperplanes, it is necessary to obtain their orientation by obtaining their corresponding normals and secondly, their shifted distance from the origin. Consider a serial manipulator with n -DOF operating in m dimensional space. We define normal \mathbf{n} , where $\mathbf{n} \in \mathbb{R}^m$, a unit vector perpendicular to a hyperplane that includes the chosen unit twists $\boldsymbol{\nu}_j$ as:

$$\mathbf{n} = \frac{\mathbf{u}}{\|\mathbf{u}\|}, \quad \mathbf{u} = \boldsymbol{\nu}_1 \times \dots \times \boldsymbol{\nu}_{m-1} \quad (7)$$

where \mathbf{u} is the generalized cross product [24] of the selected degrees of freedom, where the i th component of \mathbf{u} is

$$\mathbf{u}_i = (-1)^{i+1} \det([\boldsymbol{\nu}_1 \dots \boldsymbol{\nu}_{m-1}]) \quad (8)$$

where $i\boldsymbol{\nu}_j$ represents $\boldsymbol{\nu}_j$ with its i th component removed.

We develop the index based on the twist capacities of a serial manipulator where $m = 3$, for instance by considering only the Cartesian translational velocities. Following the development in [24], we select a combination of $(m - 1)$ linearly independent twists that can define \mathbf{n} , a unit vector perpendicular to a hyperplane that includes the chosen unit twists. For any two joints, for instance, joint 1 and joint 2 for a serial manipulator with 6 joints ($n = 6$), where only linear velocity components in the twists are considered, i.e $\boldsymbol{\nu}_j \in \mathbb{R}^3$ and with $m = 3$, $\mathbf{n} \in \mathbb{R}^3$ using (7) becomes,

$$\mathbf{n} = \frac{\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2}{\|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\|}, \quad (9)$$

where twists $\boldsymbol{\nu}_1, \boldsymbol{\nu}_2$ define the orientation of the two faces of the hyperplane, while the remaining $n - (m - 1)$ unit twists, denoted as $\boldsymbol{\nu}_j \dots \boldsymbol{\nu}_r$, define its position.

Let \mathbf{N} collect these unit vectors by choosing linearly independent combination of twists $\boldsymbol{\nu}_j$, where $\mathbf{N} = [\mathbf{n}_1, \mathbf{n}_2, \dots, \mathbf{n}_\kappa]^T$, and $\kappa = \binom{n}{m-1}$, with $\binom{\cdot}{\cdot}$ representing the combination operator.

The matrix $\mathbf{C} \in \mathbb{R}^{\binom{n-1}{m-1} \times (n-m-1)}$, is computed by projecting each twist onto all normals excluding those used in the normal's definition. For a twist $\boldsymbol{\nu}_j$ and normal \mathbf{n}_i , the corresponding element in matrix \mathbf{C} is given as

$$c_{ij} = \mathbf{n}_i^T \boldsymbol{\nu}_j. \quad (10)$$

Since points on the hyperplane faces correspond to combinations where joint velocities are at a maximum or minimum, all unit twists are scaled by either 0 or $\delta\dot{\mathbf{q}} = \dot{\mathbf{q}}_{\max} - \dot{\mathbf{q}}_{\min}$. The maximum and minimum distances between the defined hyperplanes are contained respectively within \mathbf{h}^+ and $\mathbf{h}^- \in \mathbb{R}^\kappa$, where the i^{th} element is defined as

$$\mathbf{h}_i^+ = \max\left(\sum_{k=j}^r \alpha_k \delta\dot{\mathbf{q}}_k c_{ik}\right), \quad \mathbf{h}_i^- = \min\left(\sum_{k=j}^r \alpha_k \delta\dot{\mathbf{q}}_k c_{ik}\right) \quad (11)$$

where $\alpha_k = \{0, 1\}$. These can be used to obtain points on parallel hyperplanes as follows

$$\mathbf{P}^+ = \mathbf{h}^+ \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min}, \quad \mathbf{P}^- = \mathbf{h}^- \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min}, \quad (12)$$

where the points contained in $\mathbf{P}^+ = [\mathbf{p}_1^+, \mathbf{p}_2^+, \dots, \mathbf{p}_\kappa^+]$ and $\mathbf{P}^- = [\mathbf{p}_1^-, \mathbf{p}_2^-, \dots, \mathbf{p}_\kappa^-]$ may not necessarily lie on the facets of the zonotope, but lie along the extension of the supporting hyperplane which is not included in the intersection.

Therefore for this selection, the normals to the hyperplane are given by \mathbf{N} and $-\mathbf{N}$, while the shifted distance is given by $\mathbf{N}^T \mathbf{P}^+$ and $-\mathbf{N}^T \mathbf{P}^-$. This is repeated for each combination of the $(m-1)$ linear independent twists. For the proposed case of translational velocities ($m=3$) and a 6-DOF system, there would be $\binom{6}{2}=15$ combinations and thus 30 hyperplanes, defined in \mathcal{H} -representation as

$$\mathbf{A} = [\mathbf{n}_1, \dots, \mathbf{n}_{15}, \dots, -\mathbf{n}_1, \dots, -\mathbf{n}_{15}]^T \quad (13)$$

$$\mathbf{b} = [\mathbf{n}_1^T \mathbf{p}_1^+, \dots, \mathbf{n}_{15}^T \mathbf{p}_{15}^+, \dots, -\mathbf{n}_1^T \mathbf{p}_1^-, \dots, -\mathbf{n}_{15}^T \mathbf{p}_{15}^-]^T \quad (14)$$

In its \mathcal{H} -representation, the zonotope-shaped Feasible Wrench Set A_f^+ and Twist set A_v^+ are expressed as

$$A_f^+ = \mathbf{f} \in \mathbb{R}^m \mid \mathbf{A} \mathbf{f} \leq \mathbf{b}, \quad A_v^+ = \mathbf{v} \in \mathbb{R}^m \mid \mathbf{A} \mathbf{v} \leq \mathbf{b} \quad (15)$$

We direct the readers to [24], [26] for an in-depth understanding of HSM. The capacity margin is obtained by finding the minimum distance between each vertex of the desired twist (\mathcal{P}_v^D) or wrench (\mathcal{P}_f^D) polytope and the corresponding facets of feasible twist (\mathcal{P}_v^F) or wrench (\mathcal{P}_f^F) zonotope computed in (15). The elements of the capacity margin are computed as

$$\Gamma^+ = \mathbf{N}^T (\mathbf{P}^+ - \boldsymbol{\eta}^D), \quad \Gamma^- = \mathbf{N}^T (\mathbf{P}^- - \boldsymbol{\eta}^D) \quad (16)$$

The dot product operator between \mathbf{N}^T and $(\mathbf{P}^+$ and $\mathbf{P}^-)$ preserves information about the signed distance, thus determining when the distance between a facet and vertex becomes negative or zero, indicating infeasibility.

The coordinates Γ^+ and Γ^- are calculated for all l vertices in \mathcal{P}_v^D , i.e. in $\boldsymbol{\eta}^D$ and all f facets of \mathcal{P}_f^F , resulting in $2 \times f \times l$ values, which are arranged as

$$\Gamma^+ = (\Gamma_1^+ \dots \Gamma_{f \times l}^+), \quad \Gamma^- = (\Gamma_1^- \dots \Gamma_{f \times l}^-) \quad (17)$$

The capacity margin is the worse case scenario i.e. the minimum distance between a vertex and a facet, given as

$$\gamma = \min(\Gamma^+, -\Gamma^-) \quad (18)$$

γ defined above is based on the *min* function, and to identify the supporting hyperplane facets requires both *max* and *min* function as shown in (11), which are both discontinuous. To effectively utilize the capacity margin as a performance index for control/optimization, a continuous differentiable function with an analytical gradient is required. The availability of derivatives are essential for control techniques such as gradient-based optimization and more importantly, linearization. The following section provides a smooth estimate of the discontinuous CM (γ).

C. Smooth Hyperplane Definition

To replace the discontinuous *min* and *max* functions, we propose to use the widely-effective sigmoid (*sig*) activation function [27], where

$$\text{sig}(x) = 1.0 / (1.0 + e^{-\lambda x}). \quad (19)$$

and λ is the slope parameter of the sigmoid activation function. It is a non-linear activation function [28] with real-valued inputs where, $f: X \rightarrow Y$ and $\{X \in \mathbb{R}, Y \in [0, 1]\}$. The larger the value of the input in the positive domain, the closer to the activation function firing, i.e. output equalling 1.0. Hence the activation function implicitly assigns higher weights to larger inputs and zero-weights to relatively smaller inputs enabling the effective replacement of the *max* function. Reformulating (11), by eliminating the temporary variable c_{ik} , and redefining the distances as

$$\hat{h}_i^+ = \sum_{k=j}^r \text{sig}(\mathbf{n}_i^T \boldsymbol{\nu}_k) \delta \dot{\mathbf{q}}_k \mathbf{n}_i^T \boldsymbol{\nu}_k \quad (20)$$

$$\hat{h}_i^- = \sum_{k=j}^r \text{sig}(-\mathbf{n}_i^T \boldsymbol{\nu}_k) \delta \dot{\mathbf{q}}_k \mathbf{n}_i^T \boldsymbol{\nu}_k. \quad (21)$$

The *min* function in (11) for identifying h^- is reformulated as a *max* function by assigning negative inputs to the sig function. Similarly, (12) is recalculated using the estimated distances \hat{h}^+ and \hat{h}^- as follows

$$\hat{\mathbf{P}}^+ = \hat{h}^+ \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min}, \quad \hat{\mathbf{P}}^- = \hat{h}^- \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min} \quad (22)$$

The new points, $\hat{\mathbf{P}}^+$, $\hat{\mathbf{P}}^-$ can be used to obtain the CCM. The key characteristic property of this proposed methodology, is that the sigmoid activation is conservative. Regardless of the input value in (19), the output is always bounded between 0 and 1. The characteristic "S" shape curve behaviour of the sigmoid function ensures that the function is smooth, continuous and does not have any sharp spikes or discontinuities.

D. Smooth Capacity Margin

Reformulating the distance array (16) for identifying the smooth capacity margin index as follows

$$\hat{\Gamma}^+ = \mathbf{N}^T (\hat{\mathbf{P}}^+ - \boldsymbol{\eta}^D), \quad \hat{\Gamma}^- = \mathbf{N}^T (\hat{\mathbf{P}}^- - \boldsymbol{\eta}^D) \quad (23)$$

Substituting, (22) into (23), the capacity margin as a function of the joint configuration of the manipulator $\hat{\Gamma}(\mathbf{q})$ is

$$\hat{\Gamma}^+(\mathbf{q}) = \mathbf{N}^T (\hat{h}^+ \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min}) - (\mathbf{N}^T \boldsymbol{\eta}^D) \quad (24)$$

$$\hat{\Gamma}^-(\mathbf{q}) = \mathbf{N}^T (\hat{h}^- \mathbf{N} + \mathbf{J} \dot{\mathbf{q}}_{\min}) - (\mathbf{N}^T \boldsymbol{\eta}^D) \quad (25)$$

recognizing that \mathbf{N} is a matrix of unit vectors, where $\mathbf{N}^T \mathbf{N} = \mathbf{I}$, we simplify this to:

$$\begin{aligned} \hat{\Gamma}^+(\mathbf{q}) &= \hat{h}^+ + \mathbf{N}^T \mathbf{J} \dot{\mathbf{q}}_{\min} - (\mathbf{N}^T \boldsymbol{\eta}^D) \\ \hat{\Gamma}^-(\mathbf{q}) &= \hat{h}^- + \mathbf{N}^T \mathbf{J} \dot{\mathbf{q}}_{\min} - (\mathbf{N}^T \boldsymbol{\eta}^D). \end{aligned} \quad (26)$$

The coordinates $\hat{\Gamma}^+$ and $\hat{\Gamma}^-$ are calculated between all l vertices in $\boldsymbol{\eta}^D$ and all f facets in $\hat{\mathbf{P}}_f^+$ and $\hat{\mathbf{P}}_f^-$ of the continuous feasible twist polytope as shown below

$$\hat{\Gamma}^+ = (\hat{\Gamma}_1^+ \dots \hat{\Gamma}_{f \times l}^+), \quad \hat{\Gamma}^- = (\hat{\Gamma}_1^- \dots \hat{\Gamma}_{f \times l}^-), \quad (27)$$

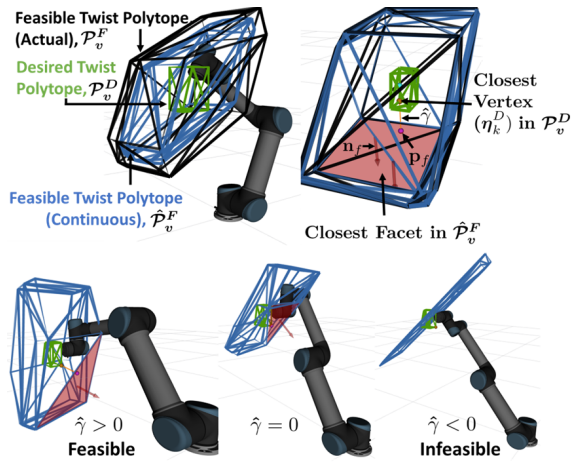


Fig. 2: Polytope representation, velocity scale = 10%, $\lambda = 10$.

Finally, since the capacity margin is defined as the worse case scenario, i.e., $\min(\hat{\Gamma}^+, -\hat{\Gamma}^-)$, to estimate this, we propose the use of the *log-sum-exp* (LSE) or `RealSoftMax` function [29]. Unlike the estimation of hyperplane parameters, an estimate of each distance in the capacity margin vector is required. This is due to the proposed measure ($\hat{\gamma}$) being the minimal distance with respect to all other distances between the vertex and the facet. The sigmoid activation function which is a binary classifier and provides only isolated probabilities is unsuitable. Whereas, `RealSoftMax` function takes into account the probability distribution over all the vector inputs, thereby belonging to the multi-class logistic regression. As the closest (vertex, facet) pair is constantly changing, `RealSoftMax` aids in predicting and keeping track of the next closest minimal pair based on the input joint configuration. For a vector of values $\mathbf{x} = [x_1 \dots x_n]$, LSE is defined as

$$\text{LSE}(\mathbf{x}) = \log(e^{x_1} + e^{x_2} \dots + e^{x_n}) \quad (28)$$

Thus (18) is rewritten as

$$\hat{\gamma} = -\log\left(e^{-\hat{\Gamma}_1^+} + \dots e^{-\hat{\Gamma}_{f \times l}^+} + \dots e^{-\hat{\Gamma}_{f \times l}^-}\right), \quad (29)$$

LSE function estimates the *max* function using a *minus* sign manipulation. Thus, for a desired set of velocities, (29) estimates the robots capacities at a current configuration. The proposed index is applicable to both a serial manipulator's loss of twist capacities and a parallel system or CDPR's loss of wrench capacities by making the following substitutions:

$$\mathbf{J} \rightarrow \mathbf{W}, \quad \mathbf{q} \rightarrow \mathbf{t}, \quad \hat{\mathbf{q}}_{\min}, \hat{\mathbf{q}}_{\max} \rightarrow \mathbf{t}_{\min}, \mathbf{t}_{\max} \quad (30)$$

To effectively use the index, an explicit analytical gradient for any generalized serial manipulator is derived in the following section. Finally, for a serial manipulator the gradient is obtained with respect to the joint configuration, whereas for the CDPR system the gradient is obtained with respect to the platform pose, point E in this case, i.e $\frac{d\hat{\gamma}}{d\mathbf{q}} \rightarrow \frac{d\hat{\gamma}}{dE}$.

E. Gradient of smooth capacity margin

The gradient of (28), is a n component vector given as

$$\frac{d(\text{LSE}(\mathbf{x}))}{d\mathbf{x}} = \left[\frac{e^{x_1}}{e^{x_1} + \dots e^{x_n}} \dots \frac{e^{x_n}}{e^{x_1} + \dots e^{x_n}} \right] \quad (31)$$

where, for numerical stability the implementation of LSE and its gradient is slightly altered¹, using the *log-sum-exp trick* [30]. For a given configuration, suppose $\hat{\gamma} = \min(\hat{\Gamma}) = \hat{\Gamma}_i$, where i is the index of $\hat{\Gamma}$ containing the minimum value. The capacity margin's gradient is given as

$$\frac{d\hat{\gamma}}{d\mathbf{q}} = \frac{d(\text{LSE}(\hat{\Gamma}))}{d\hat{\Gamma}}[i] \cdot \frac{d\hat{\Gamma}_i}{d\mathbf{q}}, \quad (32)$$

where $\frac{d(\text{LSE}(\hat{\Gamma}))}{d\hat{\Gamma}}[i]$ is the i^{th} component of $\frac{d(\text{LSE}(\hat{\Gamma}))}{d\hat{\Gamma}}$, which is implemented by finding the index of the minimum value of $\hat{\Gamma}$. Physical significance of (32) can be seen as the probability that the existing minimum (vertex, facet) pair contributes to the CCM. To derive the analytical gradient, firstly, the gradient of the elements of $\hat{\Gamma}$ with respect to input joint configuration are obtained using chain rule differentiation, where $i \in (f \times l)$ of (26)

$$\begin{aligned} \frac{d\hat{\Gamma}^+}{d\mathbf{q}} &= \frac{\partial \hat{\mathbf{h}}^+}{\partial \mathbf{q}} + \frac{\partial \mathbf{N}^T}{\partial \mathbf{q}} \mathbf{J} \hat{\mathbf{q}}_{\min} + \mathbf{N}^T \frac{\partial \mathbf{J}}{\partial \mathbf{q}} \hat{\mathbf{q}}_{\min} - \frac{\partial \mathbf{N}^T}{\partial \mathbf{q}} \boldsymbol{\eta}^D \\ \frac{d\hat{\Gamma}^-}{d\mathbf{q}} &= \frac{\partial \hat{\mathbf{h}}^-}{\partial \mathbf{q}} + \frac{\partial \mathbf{N}^T}{\partial \mathbf{q}} \mathbf{J} \hat{\mathbf{q}}_{\min} + \mathbf{N}^T \frac{\partial \mathbf{J}}{\partial \mathbf{q}} \hat{\mathbf{q}}_{\min} - \frac{\partial \mathbf{N}^T}{\partial \mathbf{q}} \boldsymbol{\eta}^D. \end{aligned} \quad (33)$$

Each element of (33) is derived. Firstly, $\frac{\partial \hat{\mathbf{h}}^+}{\partial \mathbf{q}}$ is derived as

$$\frac{\partial \hat{\mathbf{h}}^+}{\partial \mathbf{q}} = \sum_{k=j}^r \frac{\partial \text{sig}(\mathbf{n}_i^T \boldsymbol{\nu}_k)}{\partial \mathbf{q}} \delta \dot{\mathbf{q}}_k \mathbf{n}_i^T \boldsymbol{\nu}_k + \text{sig}(\mathbf{n}_i^T \boldsymbol{\nu}_k) \delta \dot{\mathbf{q}}_k \frac{\partial \mathbf{n}_i^T \boldsymbol{\nu}_k}{\partial \mathbf{q}} \quad (34)$$

where, the derivative of the sigmoid function in (34) is computed as shown below, where $x = \mathbf{n}_i^T \boldsymbol{\nu}_k$:

$$\frac{\partial (\text{sig}(x))}{\partial \mathbf{q}} = \text{sig}(x) (1 - \text{sig}(x)) \frac{\partial x}{\partial \mathbf{q}} \quad (35)$$

$$\frac{\partial (\mathbf{n}_i^T \boldsymbol{\nu}_k)}{\partial \mathbf{q}} = \frac{\partial \mathbf{n}_i^T}{\partial \mathbf{q}} \boldsymbol{\nu}_k + \mathbf{n}_i^T \frac{\partial \boldsymbol{\nu}_k}{\partial \mathbf{q}} \quad (36)$$

Secondly, the gradient of each unit normal of the supporting hyperplane containing the input twists is obtained. For example, for twists $\boldsymbol{\nu}_1, \boldsymbol{\nu}_2$, if unit normal $\mathbf{n} = \frac{\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2}{\|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\|}$. The partial derivative of the cross product $\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2$ with respect to \mathbf{q} , i.e $\frac{\partial \mathbf{n}^T}{\partial \mathbf{q}}$ using the quotient rule of differentiation is as follows

$$\frac{\partial \mathbf{n}}{\partial \mathbf{q}} = \frac{(\frac{\partial (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2)}{\partial \mathbf{q}}) \|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\| - (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2) \frac{\partial (\|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\|)}{\partial \mathbf{q}}}{\|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\|^2} \quad (37)$$

where the gradient of the cross product is written and simplified based on skew-symmetric matrix $[\]_{\times}$ as

$$\frac{\partial (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2)}{\partial \mathbf{q}} = -[\boldsymbol{\nu}_2]_{\times} \frac{\partial \boldsymbol{\nu}_1}{\partial \mathbf{q}} + [\boldsymbol{\nu}_1]_{\times} \frac{\partial \boldsymbol{\nu}_2}{\partial \mathbf{q}} \quad (38)$$

The partial derivative of $\|\mathbf{a}(x)\|$ with respect to \mathbf{x} is given as $\frac{\partial \|\mathbf{a}\|}{\partial \mathbf{x}} = (\frac{\partial \mathbf{a}}{\partial \mathbf{x}})^T \mathbf{a} (\mathbf{a}^T \mathbf{a})^{-\frac{1}{2}}$, therefore

$$\frac{\partial (\|\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2\|)}{\partial \mathbf{q}} = \frac{\left(\frac{\partial (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2)}{\partial \mathbf{q}}\right)^T (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2)}{((\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2)^T (\boldsymbol{\nu}_1 \times \boldsymbol{\nu}_2))^{\frac{1}{2}}} \quad (39)$$

¹<https://timvieira.github.io/blog/post/2014/02/11/exp-normalize-trick/>

TABLE I: IK optimization for 100 observation trials

Manipulator		Sigmoid Slope (λ)				
		50	100	150	200	400
UR5	Success	62	63	63	62	66
	μ_{iter}	193.161	162.22	166.44	156.64	126.85
	μ_{CM}	0.568	0.594	0.593	0.602	0.5882
Sawyer	Success	71	78	78	73	73
	μ_{iter}	219.05	210.43	226.68	197.57	233.51
	μ_{CM}	0.603	0.605	0.588	0.595	0.598

$\frac{\partial \mathbf{J}}{\partial \mathbf{q}}$ is the kinematic Hessian tensor which can be obtained analytically from the kinematic Jacobian matrix [31], where $\frac{\partial \mathbf{J}}{\partial \mathbf{q}} = \mathbf{H}$, and $\frac{\partial \nu_k}{\partial \mathbf{q}} = \mathbf{H}[1 \dots m, k, q]$. All gradient terms in (33) can be obtained directly from the Hessian tensor \mathbf{H} without iterative computation, as such, if the manipulator's Jacobian is $\mathbf{J} \in \mathbb{R}^{m \times n}$, then the Hessian is $\mathbf{H} \in \mathbb{R}^{m \times n \times n}$. Hence, the parameters are derived and represented explicitly as a function of the joint configuration. The formulation takes advantage of the multi-processing capabilities, where, gradient of each joint is computed in parallel, resulting in quicker convergence for optimization.

IV. EXPERIMENTS AND RESULTS

To show the CCM's effectiveness, three different scenarios are proposed: the translational velocity for (i) the UR5, a 6-DOF robot ($n=6, m=3$); (ii) Sawyer Robot a 7-DOF ($n=7, m=3$), and planar forces for (iii) a 2-DOF CDPR ($n=4, m=2$).

A. Serial Manipulator

1) *Error analysis:* Experiments are performed that show the continuous nature of CCM ($\hat{\gamma}$) versus CM (γ) for random configurations and CCM's accurate yet conservative nature. First, the error between the CCM ($\hat{\gamma}$) and CM (γ) is compared over 1000 configurations with varying λ as shown in Fig. 3c. The error decreases with increasing slopes, indicating that steeper slopes improve the estimation. The analysis (Fig. 3c) shows that the error lies between (0,1), validating the conservative nature i.e., $\hat{\gamma} \leq \gamma$, showing that every valid $\hat{\gamma}$ is sufficient to indicate a valid γ . Secondly, the numerical gradient of γ , $\frac{d\gamma}{d\mathbf{q}}$ computed through finite differences, and analytical gradient of $\hat{\gamma}$, $\frac{d\hat{\gamma}}{d\mathbf{q}}$, with varying sigmoid slope λ are compared. From a random configuration, one joint is iteratively increased by 0.001 rad. Figures. 3a, 3b, demonstrate the continuous profile of $\hat{\gamma}$ and its corresponding analytical gradient. The discontinuities in the numerical gradient arise from the sudden change in the (vertex, facet) pair corresponding to γ , whereas $\hat{\gamma}$ accounts and preemptively assigns a equal probability for the next closest (vertex, facet) pair, resulting in a smooth transition.

2) *Inverse Kinematics:* In contrast to CM, the continuity of CCM and its corresponding gradient enable the use of gradient based optimization methods for inverse position kinematics (IK) computation. For simplicity, the IK accounts for position and neglects orientation. We use sequential least squares quadratic programming SLSQP within Python3 Scipy module, which transforms complex nonlinear constraint optimization into manageable simple quadratic programming and

explicitly considers, the Jacobian of the objective function and its constraints, in this case the analytical gradient $\frac{d\hat{\gamma}}{d\mathbf{q}}$. An optimization model is formulated to maximize the CCM ($\hat{\gamma}$) while constraining solutions to the desired end-effector position (x_e^p), i.e.,

$$\begin{aligned} & \underset{\delta \mathbf{q}}{\text{minimize}}(-\hat{\gamma}) \\ \text{subject to : } & \|f_{fp}(\mathbf{q} + \delta \mathbf{q}) - x_e^p\| = 0 \\ & \mathbf{q}_{min} \leq \mathbf{q} \leq \mathbf{q}_{max}, \quad \hat{\gamma} > 0 \end{aligned} \quad (40)$$

where $\delta \mathbf{q}$ is the joint variables' displacement and $\mathbf{q}_{min}, \mathbf{q}_{max}$ are the minimum and maximum joint angles. x_e^p is reached by solving the forward kinematics (f_{fp}) as a constraint. A solution is successful, if the performance index is positive and if the solver terminates within 1000 function iterations. Joint limits are considered, but self-collisions neglected. The algorithm's implementation has not been tuned for run-time performance, thus the number of function iterations are used as a more meaningful statistic. The desired Cartesian velocities are in the range of (-0.6 m/s to 0.5 m/s).

For 100 random Cartesian positions, the results in Table.I indicate reduced average iterations (μ_{iter}) to converge to a maximum $\hat{\gamma}$ with the 6-DOF UR5 robot due to fewer active joints involved in gradient evaluation. Overall, for the same desired Cartesian velocity, 7-DOF Sawyer robot had more successful convergences and a slightly better average capacity margin (μ_{CM}). The reduced success are due to incapability of achieving the desired twist polytope. The sigmoid slope must to be carefully selected as the relationship between the sigmoid slope, μ_{iter} and μ_{CM} are not linear. While experiments are based on constant desired polytope, the model can be used for task-based, variable desired Cartesian forces/velocities.

3) *Trajectory Optimization:* To demonstrate the practical application of CCM, we use ($\frac{\partial \hat{\gamma}}{\partial \mathbf{q}}$) to optimize the trajectory of redundant manipulator, through the gradient projection method [32]. The results show that CCM can lead to improved configuration with respect to classical performance measures. The joint velocities for a redundant manipulator to effectuate a desired twist \mathcal{V}^d can be obtained by

$$\dot{\mathbf{q}} = \underbrace{\mathbf{J}^+(\mathbf{q})\mathcal{V}^d}_{\text{end-effector motion}} + \underbrace{(\mathbf{I} - \mathbf{J}^+(\mathbf{q})\mathbf{J}(\mathbf{q}))\dot{\mathbf{q}}_{null}}_{\text{null-space motion}} \quad (41)$$

where $\mathbf{J}^+(\mathbf{q})\mathcal{V}^d$ produces the required task-space motion, \mathbf{I} is the ($n \times n$) identity matrix and $\mathbf{J}^+ = \mathbf{J}^T(\mathbf{J}\mathbf{J}^T)^{-1}$ is the Moore-Penrose pseudo-inverse [32]. The manipulability index [3], w , and its gradient are given as

$$w = \sqrt{\det(\mathbf{J}(\mathbf{q})\mathbf{J}(\mathbf{q})^T)}, \quad \frac{\partial w}{\partial \mathbf{q}_i} = w(\text{trace} \left\{ \frac{\partial \mathbf{J}}{\partial \mathbf{q}_i} \mathbf{J}^+ \right\}) \quad (42)$$

To exploit kinematic redundancy to optimize a secondary criterion, $\dot{\mathbf{q}}_{null} \in \mathbb{R}^n$ is projected into the null space of \mathbf{J}^+ and, to maximise w or $\hat{\gamma}$, $\dot{\mathbf{q}}_{null}$ is defined respectively as $\dot{\mathbf{q}}_{null} = -\frac{\partial w}{\partial \mathbf{q}}$ or $\dot{\mathbf{q}}_{null} = -(\frac{\partial \hat{\gamma}}{\partial \mathbf{q}})$.

A straight line trajectory is defined and discretized into points, $[\mathbf{x}_{init} \dots \mathbf{x}_{final}]$. \mathcal{V}^d is the velocity required to move the end-effector between successive waypoints in a timestep

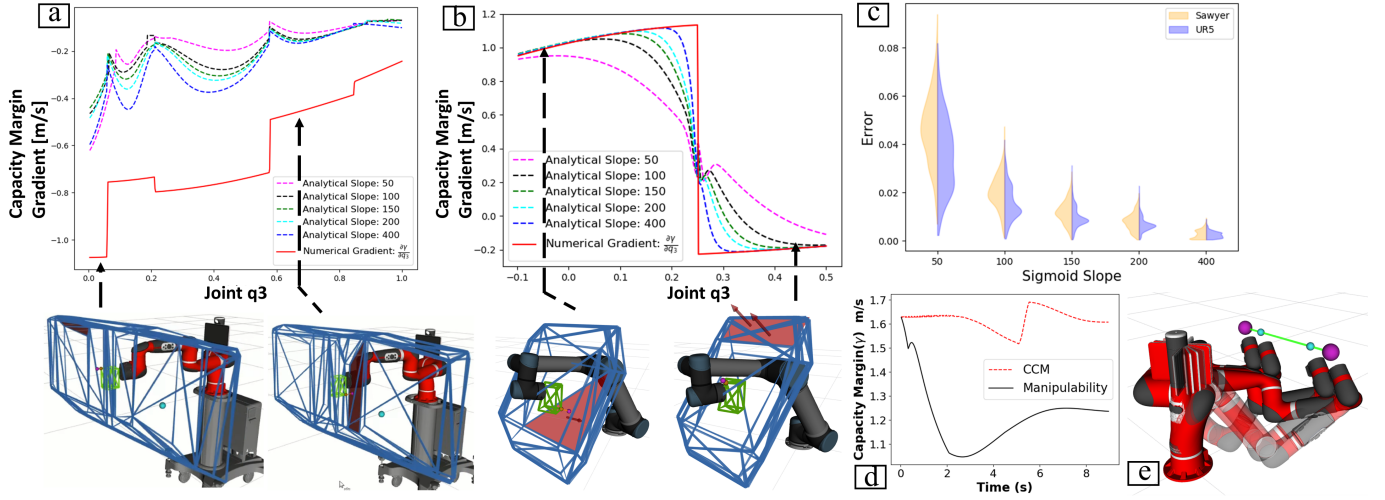


Fig. 3: (a) Sawyer Robot: Comparison between smooth analytical ($\frac{d\hat{\gamma}}{dq}$) gradient and discontinuous finite difference numerical gradient ($\frac{d\gamma}{dq}$) (b) UR5: Comparison between smooth analytical ($\frac{d\hat{\gamma}}{dq}$) and ($\frac{d\gamma}{dq}$); (c) Error estimate between actual (γ) & CCM ($\hat{\gamma}$), where $\text{Error} = \frac{\gamma - \hat{\gamma}}{\max(\gamma)}$ for Sawyer: $\mu_{\text{error}} = 0.0273$ and $\mu_t = 4.8$ ms and for UR5: $\mu_{\text{error}} = 0.0212$ and $\mu_t = 3.7$ ms (d) Comparison between capacity margin γ obtained during gradient-projection optimization using CCM and w , (e) Sawyer robot traversing the linear trajectory with optimized joint profile using CCM ($\lambda = 150$) (solid) and w (transparent).

δt , i.e., $\mathbf{v}^d = \frac{\mathbf{x}_{i+1} - \mathbf{x}_i}{\delta t}$. To evaluate the performance of $\hat{\gamma}$, the joint trajectories are computed for a time period of 10s with maximum velocity of 0.1 m/s. We compare γ obtained from optimizing for $\hat{\gamma}$ versus w , where a common initial pose is used. Fig. 3d shows that CCM provides better overall twist capacities along the trajectory. While, twist capacities are improved with CCM, the joint profile diverges from the initial first time-step as shown in Fig. 3e and indices can lead to very different final configurations. However, it should be noted that local approach of (41) method means there is no guarantee of better configurations throughout the motion.

B. Cable Driven Parallel Robot

The primary objective during design of CDPRs is to ensure operations across a workspace, i.e the end-effector can exert/withstand the forces/moments at the payload. A common practice is to evaluate the capacity margin distribution for a given design along a desired static workspace and understand where wrench feasibility is lost [33].

We demonstrate that the wrench feasible workspace (WFW) boundary for a planar CDPR can be directly estimated using $\hat{\gamma}$. Its components are described with the transpositions from (30), the Hessian represented as $\mathbf{H} = \partial\mathbf{W}$, the normals are obtained from wrench matrix QR factorization, i.e $\mathbf{n}^T = \text{QR}(\mathbf{W})$ [18], while gradient is with respect to end effector position. All the geometric components for computing $\hat{\gamma}$ can be subsequently derived. The approach is validated with a 4 cable-CDPR with $\mathbf{t}_{\min} = 1\text{N}$, $\mathbf{t}_{\max} = 25\text{N}$ and desired forces in the range of $\pm 10\text{N}$ in \mathbf{x} and \mathbf{y} .

To calculate WFW, $\hat{\gamma}$, with varying values of λ , and γ are evaluated across the workspace. The resulting boundaries ($\hat{\gamma} \rightarrow 0$) are seen in Fig. 4(a) while Table II shows the estimation's percentage accuracy when varying λ . For instance, using

TABLE II: Ratio of estimated boundary, $\hat{\kappa} = \frac{\text{Estimated WFW}}{\text{Actual WFW}}$

	Sigmoid Slope λ					
4-cables	2	5	10	20	30	50
$\hat{\kappa}$	0.1277	0.8509	0.9821	0.99913	0.9999	1.0

$\lambda = 30$, $\hat{\gamma}$ identifies 99% of the WFW, while maintaining a relatively smooth surface as show in pink in Fig.4(b).

Moreover, we implement a naive gradient descent optimization. We initialize the CDPR's position at three different configurations as shown in Fig. 4(b), 4(c). The CDPR converges to the maximum of $\hat{\gamma}$, for $\lambda = 30$. When the CDPR is close to the WFW boundary, $\hat{\gamma}$ displays high frequency changes yet the gradient is still sufficiently smooth to guide the system to the maximum value.

V. CONCLUSION

This paper introduces a new performance index and its' analytical gradient. The index is based on the hyperplane shifting method with neural-network based activation functions. We show, using serial and parallel systems, how the index accurately yet conservatively approximates the capacity margin while smoothing discontinuities due to vertex/facet changes. The gradient enables the application to inverse kinematics, trajectory optimization, gradient descent based methods and can be used as a visual indicator during on-line operation. However, the proposed method has some limitations. First, each constraint or degree-of-freedom adds a hyperplane thus increasing the computational burden during gradient calculation. Secondly, the sigmoid parameter, λ must be tuned to balance gradient smoothness with fidelity to the capacity margin. Future work will investigate on-line selection of λ during the optimization process. Furthermore, we will examine the introduction of obstacle-avoidance constraints within the

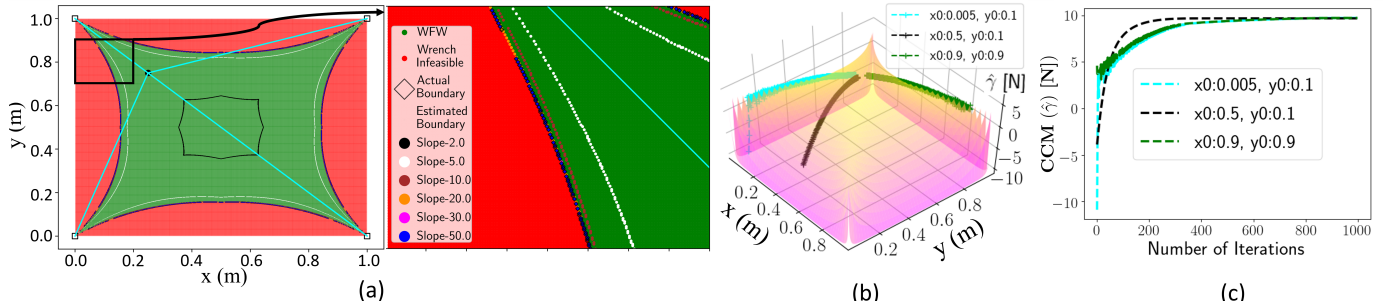


Fig. 4: (a) WFW for feasible wrench set as $\hat{\gamma} \rightarrow 0$, with $t_{\min} = 1\text{N}$ & $t_{\max} = 25\text{N}$ and a desired force of $[-10\text{N}, 10\text{N}]$ in x and y at E , (b) CCM distribution across the workspace and first-order gradient descent method with analytical gradient $\hat{\gamma}$ with different initial positions in the workspace, (c) Gradient descent convergence for the three starting positions.

polytopes and the use of the gradient to determine optimal robot geometry during design phase.

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