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# The role of paleogeography in Asian monsoon evolution: a review and new insights from climate modelling

<b>D.</b> Tardif $^{1,2,3,3}$	<sup>4</sup> , A-C. Sarr <sup>1</sup> , F. Fluteau <sup>4</sup> , A. Licht <sup>1</sup> , M. Kaya <sup>5</sup> , J-B. Ladant <sup>3</sup> , N.
$Meijer^6$ , Y.	Donnadieu <sup>1</sup> , G. Dupont-Nivet <sup>7,8</sup> , C.T. Bolton <sup>1</sup> , G. Le Hir <sup>4</sup> , Q.
$\mathbf{Pillot}^1$	, F. Poblete $^9$ , P. Sepulchre $^3$ , A. Toumoulin $^{10}$ , W. Banfield $^1$

6 7 8	<sup>1</sup> Aix Marseille Univ, CNRS, IRD, INRAE, CEREGE, Aix-en-Provence, France <sup>2</sup> IRD, DIADE, University of Montpellier, Montpellier, France <sup>3</sup> Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-UVSQ, Université
9	Paris-Saclay, 91191 Gif-sur-Yvette, France
10	<sup>4</sup> Université Paris Cité, Institut de physique du globe de Paris, CNRS, F-75005 Paris, France
11	<sup>5</sup> Department of Geological Engineering, Middle East Technical University, Ankara, Turkey
12	<sup>6</sup> Senckenberg Biodiversity and Climate Research Centre (SBiK-F), Senchenberganlage 25, D-60325
13	Frankfurt am Main, Germany
14	<sup>7</sup> Géosciences Rennes, UMR CNRS 6118, Univ Rennes, Rennes, France
15	<sup>8</sup> Institute of Geosciences, Potsdam University, Potsdam, Germany
16	<sup>9</sup> Departamento de Geología, Facultad de Ciencias Físicas y Matematicas, Universidad de Chile, Chile
17	<sup>10</sup> Department of Botany and Zoology, Faculty of Science, Masaryk University, Brno, Czech Republic

18	Key Points:
19 20	• Modern Asian monsoonal characteristics appeared sequentially during the Cenozoic, driven by distinct paleogeographic and climatic forcing factors.
21 22	• Model results depict monsoon-like precipitation seasonality in parts of Southern and Eastern Asia since the Paleogene.
23 24	• Monsoon-like rainfall regime in Paleogene eastern Asia is triggered by the proto- Tibetan Plateau uplift.
25 26	• Increasing continentality, due to the retreat of the Paratethys Sea and the emergence of the Arabian Platform above sea level, are instrumental in the establishment of sur-
27 28	face temperature gradients that promote moist air advection from the Indian Ocean towards Asia in summer.
29 30	• The Anatolian-Iranian and East African landforms contribute to reinforcing the So- mali Jet that brings moisture to Southeastern Asia in summer.
31 32 33	• The uplift of the Mongolian Plateau drives the formation of the Siberian High in win- ter, which broadly reinforces the East Asian winter monsoon and induces aridification of the Gobi region.
34 35	• The uplift of the Tian Shan and Pamir mountain ranges blocks the westerly moisture flux, forces the northward migration of the Jet Stream in summer, and enhances inland
36 37	Asian aridification. Their effect on the South Asian monsoon is likely dependent on the paleoelevation of neighboring landforms, especially the Anatolian-Iranian Plateau.
38 39	• Additional constraints on the respective timing of uplift and paleoelevation are key to improving our understanding of monsoon establishment.

 $Corresponding \ author: \ Delphine \ Tardif, \ \texttt{delphine.tardif@lsce.ipsl.fr}$ 

 $Corresponding \ author: \ Anta-Clarisse \ Sarr, \ \texttt{anta-clarisse.sarrQuniv-grenoble-alpes.fr}$ 

#### 40 Abstract

The Asian monsoons are triggered by complex interactions between the atmosphere, Asian 41 and African orography, and the surrounding oceans, resulting in highly seasonal climate and 42 specific regional features. It was thought that the Asian monsoon was established during 43 the Neogene, but recent evidence for monsoon-like precipitation seasonality occurring as 44 early as the Paleogene greenhouse period challenges this paradigm. The possible occurrence 45 of monsoons in a climatic and paleogeographic context very different from the present-day 46 questions our understanding of the drivers underpinning this atmospheric phenomenon, in 47 particular with regard to its dependence on geography. In this study, we first take advantage 48 of the wealth of new studies to tentatively draw an up-to-date picture of Asian tectonic 49 and paleoenvironmental evolution throughout the Cenozoic. We then analyze a set of 20 50 paleoclimate simulations spanning the late Eocene to latest Miocene ( $\sim 40-8$  Ma) in order 51 to better understand the evolution of the distinct Asian monsoon subsystems. At odds with 52 the traditional view of a monsoonal evolution driven mainly by Himalayan-Tibetan uplift, 53 our work emphasizes the importance of peripheral mountain ranges in driving the evolution 54 of Asian climate. In particular, the uplift of East African and Anatolian-Iranian mountain 55 ranges, as well as the emergence of the Arabian Peninsula, contribute to shaping the modern 56 South Asian summer monsoon. We also suggest that East Asian monsoon establishment and 57 the aridification of inland Asia are driven by a combination of factors including increasing 58 continentality, the orographic evolution of the Tibetan Plateau, Mongolia, Tian Shan and 59 Pamir, and  $pCO_2$  decrease during the Cenozoic. 60

### 61 **1 Introduction**

The South and East Asian monsoons (SAM and EAM) are highly seasonal climatic 62 phenomena that today support the livelihoods of billions of people. During summer, the 63 Arabian Platform and the Southern Asian continent overheat compared to adjacent Indian 64 and Western Pacific Oceans. These continental masses become the locus of a wide low 65 pressure belt, leading to a regional amplification of the Inter Tropical Convergence Zone 66 (ITCZ) seasonal latitudinal migration, and to the formation of the Somali Jet along the 67 East African coast (Fig. 1). This strong cross-equatorial flow steers low-level moist air 68 from the Indian Ocean to the Asian continent and triggers nutrient-rich upwelling in the 69 western Arabian Sea (Schott & McCreary, 2001; Lévy et al., 2007; Curry et al., 1992). 70 Over the Bay of Bengal, this large scale circulation splits into two branches (SI Fig. 14 f). 71 First, the Indo-Gangetic Low Level Jet (Acosta & Huber, 2017) bifurcates towards northern 72 India and drives important orographic precipitation on the Himalayan foothills during the 73 South Asian summer monsoon (SASM). The second branch continues towards eastern Asia 74 (SI Fig. 14 f) and ascends over northwesterly air masses related to the Jet Stream in 75 the subtropics (Kong et al., 2017; Molnar et al., 2010; Sampe & Xie, 2010), forming the 76 Meiyu-Bayu front, a band of strong convective precipitation stretching from Myanmar to 77 Japan, characterizing the East Asian summer monsoon (EASM) (Ninomiya & Shibagaki, 78 2007). The front progressively migrates northward during spring and summer, following 79 the Jet Stream's seasonal displacement relative to the Tibetan Plateau (TP): it is located 80 south of the TP in winter and moves to its northern edge in summer (Schiemann et al., 81 2009). In winter, the temperature and pressure gradients between the Asian continent and 82 the neighboring oceans reverse, as a consequence of the lower troposphere strong radiative 83 cooling over snow-covered Asia (Jeong et al., 2011; Cohen et al., 2001; Jhun & Lee, 2004). 84 The Siberian High, a wide anticyclone, develops over the Mongolian Plateau (L. Wang 85 & Chen, 2014) (SI Fig. 14 j), flanked by two oceanic low pressure centers, the Aleutian 86 Low (over the Northwestern Pacific Ocean), and the Maritime Continent Low (over the 87 equatorial Western Pacific Ocean). The East Asian winter monsoon (EAWM) results from 88 these pressure patterns, which drive the advection of cold, dry and dust-laden air masses 89 from inland Asia to Eastern and Southern Asia (Fig. 1). 90

The spectacular extent and intensity of the Asian monsoons, combined with the highly 91 dynamic tectonic context of the region, have triggered a lot of interest within the scientific 92 community, in order to understand potential links between orography, land-sea distribution 93 and Asian climate evolution. The Asian monsoons have long been viewed as an atmospheric phenomenon intimately intertwined with the Himalayan-Tibetan Plateau uplift history (e.g. 95 Molnar et al., 1993; Zhisheng et al., 2001; Tada et al., 2016; X. Liu, Guo, et al., 2015). 96 Records of strong upwelling initiating in the Arabian Sea at  $\sim 8$  Ma (Kroon et al., 1991) 97 and, later, evidence for important dust deposition on the Chinese Loess Plateau since  $\sim 22$ 98 Ma (Guo et al., 2002) have rooted the origin of the Asian monsoons in the Neogene. However, 99 a wealth of recent studies challenge this paradigm. Aeolian dust deposits in northeastern 100 Tibet, as well as paleobotanical and isotopic data indicating a seasonal climate in Myanmar 101 and China (Licht et al., 2014; Sorrel et al., 2017; Fang et al., 2021; Zheng et al., 2022; 102 Meng et al., 2018; Y. Xie et al., 2022) have been interpreted as evidence for an active 103 monsoon as early as the late Eocene (see Fig.2 for a summary of available paleoclimate 104 indicators). A potential Paleogene origin for the Asian monsoons questions the traditional 105 view of monsoon development in relation to the Himalayan-Tibetan Plateau uplift history. 106 First, because the Paleogene exhibited a generally warmer and ice-free climate compared to 107 the Neogene (Westerhold et al., 2020). And second, because most modern Asian mountain 108 ranges were in the early stages of their uplift history, and Asian continentality was lower 109 due to the presence of the Paratethys Sea to the west (Kaya et al., 2019) and higher global 110 sea level (see late Eocene paleogeography in Fig. 3 a). 111

Climate modeling provides a unique opportunity to individually test the effect of each 112 potential forcing factor on the resulting climate. For instance, model studies have overall 113 tended to highlight that paleogeography out-competes global  $pCO_2$  variations or far-field 114 ice-sheet effects in driving the initiation and intensification of the SAM and EAM over 115 multi-million years time scales (e.g. Farnsworth et al., 2019; J.-Y. Lee et al., 2015; Zoura et 116 al., 2019; Roe et al., 2016; Thomson et al., 2021), independently of the model complexity, 117 resolution, or type of geography (derived from modern or more realistic paleogeography). 118 Sensitivity experiments of increasing complexity and resolution have helped disentangle the 119 effect of the Himalaya-Tibet (and its sub-units) uplift from that of peripheral landforms, 120 such as the Tian Shan ranges, the Anatolian-Iranian orogen, or the Eastern African and 121 Mongolian landforms (H. Tang et al., 2013; Acosta & Huber, 2020; R. Zhang, Jiang, & 122 Zhang, 2017), and from the effect of changes in land-sea distribution (Fluteau et al., 1999; 123 Z. Zhang et al., 2007). While modeling studies provide a mechanistic basis to test po-124 tential drivers for monsoons and aridity, robust knowledge of the timing and evolution of 125 paleogeography and paleoclimate remains of paramount importance to understanding the 126 complex Cenozoic history of Asian monsoons. The past decade has been rich in both mod-127 eling and field studies, with for example the extension of marine sediment cores back to the 128 Paleogene in the oceans surrounding Asia (see synthesis by Clift et al. (2022)), the increased 129 coverage of well-dated terrestrial paleoclimate indicators from the Asian continent, and new 130 constraints on Cenozoic tectonic and paleoclimate evolution in Asia. 131

In this contribution, we build upon previous work (Sarr et al., 2022; Tardif et al., 132 2020; Barbolini et al., 2020) to provide a comprehensive spatial and temporal picture of 133 large-scale wind circulation, precipitation seasonality, and aridity in continental Asia from 134 the late Eocene to the late Miocene, using up-to-date paleoclimate simulations. In the 135 following sections, we first synthesize Asian monsoon history, as understood from proxy and 136 modeling perspectives, and highlight the remaining outstanding questions (section 2, Fig. 2). 137 Then, we compile a summary of the main paleogeographic events that affected continental 138 Asia and the regions surrounding the Indian Ocean during the Cenozoic (section 3). We 139 further introduce the late Eocene to late Miocene paleogeographic configurations used to 140 run the climate model (Fig.3), as well as the criteria used to track Asian monsoon evolution 141 throughout the different simulations (section 4). Our modeling results are analyzed and 142 discussed in light of current knowledge. 143

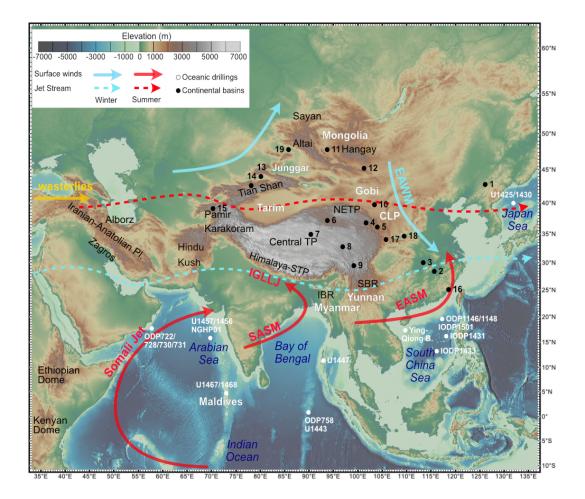


Figure 1. Asian orography (www.geomapapp.org), landforms (black labels), geographical regions (white labels), basins, oceanic drilling sites and seasonal winds, with the following abbreviations: EAWM - East Asian winter monsoon, SASM - South Asian summer monsoon, EASM - East Asian summer monsoon, IGLLJ - Indo-Gangetic Low Level Jet, STP - Southern Tibetan Plateau, NETP - Northeastern Tibetan Plateau, IBR - Indo-Burman Ranges, SBR - Sino-Burman Ranges, CLP - Chinese Loess Plateau. Numbered localities: (1) Huadian B., (2) Qingjiang B., (3) Jianghan B., (4) Xining B., (5) Lanzhou B., (6) Qaidam B., (7) Hoh Xil B., (8) Nangqian B., (9) Markam B., (10) Bayanhot B., (11) Valley of Lakes, (12) Taatsin Gol, (13) Ili B., (14) Issyk Kul B., (15) Tajik B., (16) Zhangpu, (17) Tianshui B., (18) Weihe B., (19) Zaysan B.

#### <sup>144</sup> 2 Evidence for increasingly old Asian monsoons

The classical view of Asian paleoenvironmental evolution during the Cenozoic proposes 145 a transition from a Paleogene "zonal climatic pattern" to a Neogene "monsoonal climatic 146 pattern" (Guo et al., 2008; X. Sun & Wang, 2005; Jia et al., 2003; F. Wu et al., 2022). 147 The zonal pattern is described as a widespread arid to semi-arid band stretching across 148 China and bracketed in its southern and northern borders by two humid belts, whereas the 149 monsoonal pattern consists of a core of pronounced aridity in the Asian interior, surrounded 150 by monsoonal (seasonally wet) climate on its southern and eastern edges. This view is mostly 151 based on paleoclimate proxy compilations (e.g. Boucot et al. (2013)) used to infer either 152 humid (coal, indicators of forested environments, large mammals, etc.) or semi-arid to 153 arid (evaporites, desert and shrub environments, etc.) conditions. Recent evidence for a 154 highly seasonal climate in India, Myanmar and China as early as the Eocene, at least 20 155

Ma earlier than previously documented, nevertheless challenges this paradigm. This section
 comprehensively reviews the broad paleoenvironmental changes inferred from paleoclimate
 indicators in Asia from the late Eocene to the late Miocene, which are compiled in Figure 2
 (upper part). Localities and basins mentioned throughout the text are detailed in Figure 1.

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### 2.1 Eccene to Oligocene paleoenvironmental evolution

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2.1.1 A progressive increase in summer monsoon indicators

162 The oldest evidence for strongly seasonal rainfall in Southern Asia comes from northwestern Indian early Eocene floras (Shukla et al., 2014; Bhatia, Khan, et al., 2021), as well as 163 from mid-late Eocene ( $\sim 40$  Ma) floras and isotopic measurements in freshwater gastropod 164 shells and mammal tooth enamel found in Myanmar (Licht et al., 2014; H. Huang et al., 165 2021). Both regions were however located between 0-10°N at this time (Shukla et al., 2014; 166 Westerweel et al., 2019), and such precipitation seasonality is therefore mainly interpreted 167 as a pronounced seasonal ITCZ migration rather than a monsoonal climate. Further east, 168 in the Yunnan region (Southwestern China), which is today subject to a mixture of SAM 169 and EAM influence, a shift from arid/semi-arid to humid environments is recorded during 170 the late Eocene and interpreted as the onset of the Asian monsoon (Sorrel et al., 2017; Fang 171 et al., 2021; Zheng et al., 2022). This climate transition is inferred by the presence of coal 172 layers, a transition from xerophytic to mixed forest pollen assemblage, a change in lithology 173 interpreted as lake and swamp expansions, as well as the occurrence of fossils of freshwater 174 fish and large mammals. A seasonally wet monsoonal climate is also inferred from isotopic 175 measurements in gastropods shells (Fang et al., 2021). The exact timing of this paleoenvi-176 ronmental change is still debated between 41 and 35.5 Ma, but it nevertheless predates the 177 Eocene-Oligocene Transition (EOT,  $\sim 34$  Ma). The proposed driving mechanisms of this re-178 gional climate shift involve either a threshold response to Tibetan Plateau elevation (Zheng 179 et al., 2022), a side effect of the Paratethys Sea retreat in the west (that would have allowed 180 more moisture penetration from the ocean) (Fang et al., 2021), or the global climate cooling 181 initiated in the Middle Eocene and subsequent sea surface temperature changes (Sorrel et 182 al., 2017). 183

Evidence for the presence of an EASM during the Eocene is also debated. In east-184 ern China, paleovegetation mostly points to warm, humid and weakly seasonal evergreen 185 forested environments close to the coast (Spicer et al., 2016; X. Ma et al., 2012; Pound 186 & Salzmann, 2017), except for late Eocene floras from Huadian (Meng et al., 2018) and 187 Qingjiang Basins (Y. Xie et al., 2022), situated in northeastern and eastern China respec-188 tively, that already indicate highly seasonal rainfall. Further inland, towards the eastern 189 TP, a gradual transition from humid to subhumid and/or seasonally dry paleoenvironments 190 is suggested by botanical evidence (Han et al., 2022; Q. Li et al., 2022) and sedimentary 191 records from various basins displaying an alternation of mudstone and evaporite deposits 192 (D. Wang et al., 2013; Abels et al., 2011). High resolution deposits from the Jianghan Basin 193 spanning the mid-Eocene to early Oligocene ( $\sim$ 40-34 Ma) (C. Huang & Hinnov, 2019) show 194 that the penetration of moisture into eastern China in the late Eocene was likely strongly 195 modulated by orbital forcing. These pieces of evidence suggest that the classical Paleo-196 gene wet/dry zonal climatic pattern (Guo et al., 2008; X. Sun & Wang, 2005) was already 197 periodically disturbed in the late Eocene, preceding the more widespread appearance of 198 monsoon-like precipitation seasonality in the Oligocene. 199

Evidence for increased rainfall seasonality during the Oligocene to early Miocene becomes more common in floras from both China (X. Ma et al., 2012; Herman et al., 2017; Vornlocher et al., 2021; J. Ren et al., 2021; Miao et al., 2013; Ling et al., 2021; S. Li et al., 2018; C. Huang & Hinnov, 2019; H. Tang et al., 2020) and India (Bhatia, Khan, et al., 2021; Spicer et al., 2017; Srivastava et al., 2012). Additionally, paleoceanographic records from the adjacent Bay of Bengal and South China Sea have been used to track the SAM and EAM evolution from the middle Oligocene onwards. Records from the Ying-Qiong Basin

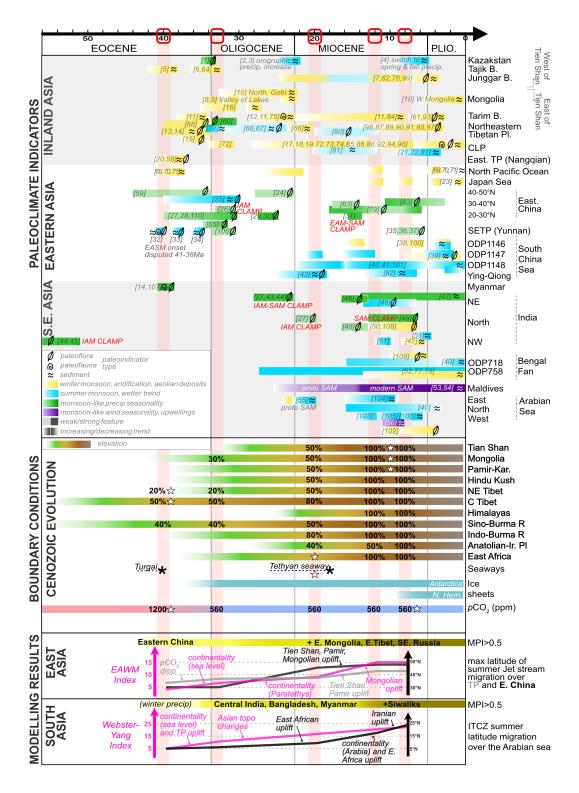


Figure 2. upper Paleoclimate trends recorded in Asia. Bibliographic references in brackets are listed in SI Table 3 ; center Evolution of main landforms, seaways, ice sheets and  $pCO_2$  during the Cenozoic overlain with values used in our reference simulations (elevations expressed in % of modern). White stars indicate parameters that were tested in the sensitivity experiments discussed in the text; bottom Overview of the main monsoon indicator evolution computed from simulations presented in this study. We use the following abbreviations: NETP (SETP) - Northeastern (Southeastern) Tibetan Plateau, CLP - Chinese Loess Plateau.

(South China Sea) show an increase in terrigenous organic matter influx together with a 207 higher proportion of tropical-subtropical angiosperm pollen at  $\sim 25$  Ma, interpreted as a 208 sign of increasing EASM intensity (W. Ding et al., 2021). In the Bay of Bengal (ODP Site 209 758), the radiogenic isotopic composition of clays indicates relatively constant weathering 210 patterns over the last 27 Ma, and by inference stable SASM activity since at least the late 211 Oligocene (S. Ali et al., 2021). 212

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# 2.1.2 Late Eocene aridification in response to the Paratethys Sea retreat and global climate cooling

Markers of subhumid to arid climate are widespread in inland Asia in the Eocene, 215 with many basins (e.g. Xining, Hoh Xil, Qaidam and Tarim) recording pollen assemblages 216 characteristic of a steppe-desert environment (Q. Yuan et al., 2020; Miao, Wu, et al., 2016; 217 X. Ma et al., 2012). During the mid-late Eocene ( $\sim$ 40-34 Ma), inland Asia evolves towards 218 even drier conditions, as suggested by increased proportions of xerophytic plants such as 219 Nitraria and Ephedra in eastern and northeastern Tibet and in the Tarim Basin (Q. Yuan 220 et al., 2020; Barbolini et al., 2020; Hoorn et al., 2012; Miao, Wu, et al., 2016),  $\delta^{18}$ O 221 measurements on oyster shells in the Tarim Basin (Bougeois et al., 2018), decreasing saline 222 lakes in the Xining Basin (Abels et al., 2011), reduced chemical weathering observed in the 223 Qaidam and Xining Basins (Fang et al., 2019),  $\delta^2 H$  isotope analyses on sedimentary leaf 224 waxes *n*-alkanes in the Qaidam Basin (M. Wu et al., 2021) and lipid biomarkers and carbon 225 isotopic compositions from bulk sediments in the Nangqian Basin (J. Wei et al., 2022). The 226 presence of detrital material identified as Asian dust in sediments from the central North 227 Pacific (GPC3 and ODP Site 1215) since  $\sim 40$  Ma also likely indicates the presence of dry 228 conditions on land (Pettke et al., 2002; D. Rea et al., 1985; Ziegler et al., 2007). 229

This late Eocene aridification episode could result from a reduced westerly moisture 230 input following two successive Paratethys Sea regression phases from the Tarim and the Tajik 231 Basins between  $\sim$ 41-37 Ma (Carrapa et al., 2015; J. Sun et al., 2020, 2022; Bougeois et al., 232 2018; Bosboom et al., 2014b; Kaya et al., 2019). High resolution sedimentary records, such as 233 those of the Xining Basin (northeastern Tibet), exhibiting good correlation between wetter 234 saline lake deposits during Paratethys Sea transgressions (Meijer et al., 2019; Bosboom et 235 al., 2014a), confirm the key role played by the westerlies and the Paratethys Sea as a major 236 moisture source for inland Asia in the Eocene. Additionally, the strong obliquity cyclicity 237 imprinted on Xining evaporite/mudflat alternations in the late Eocene (40-34 Ma), coeval 238 with the appearance of loess-like dusts in the alluvial mudflats (Meijer et al., 2021; Licht et 239 al., 2014), hint at a marked influence of high latitude dynamics (incipient polar ice-sheets, 240 variations in the Siberian High intensity) on winter inland Asian climate at that time (Abels 241 et al., 2011; Xiao et al., 2010; Meijer et al., 2021). 242

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#### 2.1.3 Oligocene climate evolution and inception of modern Asian deserts

In the early Oligocene, a few sites situated within the westerly moisture area display 244 increased humidity, recorded by  $\delta^{18}$ O isotopic measurements in pedogenic carbonates in 245 the Tarim and western Qaidam Basins (Kent-Corson et al., 2009), together with  $\delta^2 H$  mea-246 surements in leaf waxes (M. Wu et al., 2021). Leaf fossil CLAMP analysis (Climate Leaf 247 Analysis Multivariate Program (Feng & Poulsen, 2016; Wolfe, 1993; Jacques, Su, et al., 248 2011)), further suggest a rather wet (but not monsoonal) rainfall regime in western Qaidam 249 (Song et al., 2020). On the other hand, evidence for a drier climate is reported within the 250 EAM domain by  $\delta^{18}$ O isotopic measurements in pedogenic carbonates from eastern Qaidam 251 (Y. Sun et al., 2020; Kent-Corson et al., 2009) and in Lanzhou Basin in the Chinese Loess 252 253 plateau area (B. Li et al., 2016). CLAMP analysis on fossil leaves in the Lanzhou (Miao et al., 2013) and southeastern Tibetan Markam Basin (T. Su, Spicer, et al., 2019) advocate for 254 an increased (and monsoon-like) precipitation seasonality due to the TP uplift. Interpreta-255 tions for this climatic trend in eastern China suggest that it may indicate either decreased 256 EASM rainfall due to global cooling after the EOT (M. Wu et al., 2021) or increased winter 257

aridity due to the Paratethys Sea retreat and/or to global sea level fall after the EOT (B. Li
 et al., 2016).

In Inner Mongolia (Taatsin Gol region),  $\delta^{18}$ O and  $\delta^{13}$ C isotopic measurements in 260 pedogenic carbonates indicate aridification since the early Oligocene (Caves Rugenstein et 261 al., 2014) and possible loess occurrence as early as  $\sim 34$  Ma (J. Sun & Windley, 2015). 262 Proposed mechanisms for this drying involve increased continentality due to Paratethys 263 Sea retreat at 34 Ma (J. Sun & Windley, 2015) and an early Hangay Dome uplift that 264 would have acted as a barrier to moisture advection from Siberia to Mongolia as early as 265 the Oligocene (Caves Rugenstein et al., 2014). However, high resolution records reveal 266 several pulses of aridification at  $\sim 34-33$ ,  $\sim 31$ ,  $\sim 28$  and  $\sim 23$  Ma, coeval with periods of 267 ice-sheet expansion, suggesting that these cooling phases were likely the main drivers of 268 Mongolian hydrological dynamics (Baldermann et al., 2021). Further south, in the Gobi 269 region (Bayanhot Basin), two bursts of aridification inferred from environmental magnetic, 270 mineralogical and geochemical data at  $\sim 31$  and  $\sim 28$  Ma further hint at an influence of high 271 latitude ice-sheet fluctuations on Mongolian Oligocene climate (Wasiljeff et al., 2022). 272

In the mid to late Oligocene, eolian dust deposits are documented in three major 273 sites (see Meijer et al. (2021) for a review), namely the Chinese Loess Plateau, from  $\sim 29$ 274 Ma onwards (Garzione et al., 2005; Qiang et al., 2011), the Tarim Basin at  $\sim$ 27-22 Ma 275 (Zheng et al., 2015), and the Junggar Basin at  $\sim 24$  Ma (J. Sun et al., 2010). Aridification 276 is also inferred from  $\delta^{18}$ O isotopic measurements in oysters and pedogenic carbonates from 277 the Tarim Basin at  $\sim 25$  Ma (Bougeois et al., 2018; Kent-Corson et al., 2009) and from 278 isotopic records in stromatolites from the Junggar Basin, loosely dated to the early Miocene 279 (W. Yang et al., 2019). This second step of aridification is coeval with  $\delta^{18}$ O and  $\delta^{13}$ C isotopic 280 measurements from pedogenic carbonates suggesting increased orographic precipitation in 281 the Issyk Kul and Ili Basins, both situated on the western side of the Tian Shan ranges 282 (Hellwig et al., 2018; Macaulay et al., 2016). Collectively, these observations are interpreted 283 as an indication of an uplift episode of the Tian Shan-Pamir ranges, which would have 284 started shielding the Tarim and Junggar Basins from westerly moisture input and favored 285 their aridification (Bougeois et al., 2018; W. Yang et al., 2019). This late Oligocene to 286 early Miocene aridification of the Asian interior is also depicted in several oceanic records, 287 where an increase in dust mass accumulation rate is recorded in the Pacific at  $\sim 25$  Ma (Site 288 GPC3) (D. K. Rea, 1994; Pettke et al., 2002) and at  $\sim 22$  Ma (ODP Site 1215) (Ziegler et 289 al., 2007). A reduction in  $\Delta \delta^{13}$ C coeval to an increase in bulk sediment Mn/Fe and Mn/Ti 290 and for a miniferal Mn/Ca in the eastern Arabian Sea at  $\sim 23$  Ma (NGHP01 Site), reflecting 291 an increase in water column mixing and ventilation, are considered as further evidence for 292 the existence of a proto winter monsoon wind system (Beasley et al., 2021). 293

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#### 2.2 Evolution of seasonal and regional monsoons in the Neogene

The better coverage in both continental and marine records in the Neogene allows drawing a more precise picture of monsoon evolution during the Late Cenozoic. This section reviews the many pieces of evidence which now tend to support a scenario of diachronous evolution of regional monsoons, with a decoupling between summer and winter seasons as well as between monsoon-like precipitation seasonality and monsoon-like wind circulation establishment.

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#### 2.2.1 Neogene South Asian monsoon variations

The occurrence of monsoon-like rainfall seasonality in the eastern SAM domain is attested to by relatively constant isotopic composition of clays derived from silicate weathering since ~27 Ma in the central Bay of Bengal (ODP Site 758) (S. Ali et al., 2021). Middle Miocene (~13 Ma) plant macrofossils from northeasternmost India (Arunachal Pradesh) also attest to modern-like rainfall seasonality at that time (Khan et al., 2014). In Yunnan, the disappearance from the fossil record of *Metasequoia*, a plant whose modern descendant

is intolerant to dry winters, may further attest to a SAM rainfall seasonality intensification 308 after the middle Miocene (L. Wang et al., 2019). On the other hand, plant macrofossils 309 and pollen from the northwestern SAM domain (Nepal, northwestern India and Pakistan) 310 show a progressive vegetation shift from evergreen to deciduous forests from the middle to 311 the latest Miocene, and finally to C4 grasslands (Hoorn et al., 2000; Bhatia et al., 2022; 312 Bhatia, Srivastava, et al., 2021; Srivastava et al., 2018; Tauxe & Feakins, 2020; Vögeli et al., 313 2017; Sanyal et al., 2004). This vegetation change is also recorded in bulk sediments, leaf 314 waxes, pollen and macrofossils in the Arabian Sea at  $\sim$ 8-7 Ma (ODP Site 722 and IODP 315 Site U1457, (Feakins et al., 2020; Y. Huang et al., 2007; Clift et al., 2022)), and in the 316 Bengal fan at  $\sim$ 7-6 Ma (ODP Sites 717/718, (Polissar et al., 2021)). 317

Chemical weathering and sediment accumulation rates from both the Indus Fan and 318 the Bay of Bengal suggest that SASM precipitation reached a maximum in the middle 319 Miocene ( $\sim 16-10$  Ma) before declining between  $\sim 8-3$  Ma (Clift et al., 2008, 2019; Zhou et 320 al., 2021). This has been suggested to reflect an intensification of the SAWM (S. Ali et 321 al., 2021), possibly concomitant with a weakening of the SASM (J. Lee et al., 2020). Wind 322 proxies also point to the presence of a seasonal wind reversal, and corroborate the existence 323 of a winter monsoon since the middle Miocene. In the Arabian Sea, an increased relative 324 abundance of the foraminifera *Globigerina Bulloides* in the sediments was initially used to 325 infer an intensification (or an onset) of the SASM wind circulation at  $\sim 8$  Ma (Kroon et 326 al., 1991), based on the assumption that stronger monsoonal winds would translate into 327 stronger upwelling activity. Those records have since been extended back in time (Bialik 328 et al., 2020; Zhuang et al., 2017; Gupta et al., 2015) and combined with the analysis of 329 current-controlled drift sediments and geochemical tracers from the Maldives archipelago 330 (Betzler et al., 2016). These new studies now point to the establishment of a proto SAM 331 wind circulation in the late Oligocene ( $\sim 25$  Ma), and of its reinforcement in the middle 332 Miocene ( $\sim$ 13-10 Ma) (Betzler et al., 2016; Zhuang et al., 2017; Bialik et al., 2020). Strong 333 SAM wind circulation since at least 10 Ma is also supported by export productivity and 334 sediment accumulation patterns from records in the southern Bay of Bengal (IODP Site 335 U1443) (Bolton et al., 2022), together with clay mineralogy in the Andaman Sea (IODP) 336 Site 1447) (J. Lee et al., 2020) and isotopic and chemical analyses on sediments in the 337 eastern Arabian Sea (IODP Site 1456) (Tripathi et al., 2017)). An intensification of the 338 SAM in the late Miocene ( $\sim$ 8-7 Ma) has also been inferred based on an increase in percent 339 in G. Bulloides and Total Organic Carbon content in sediments from Oman margin (Gupta 340 et al., 2015) but remains debated, as it is not observed in all paleoceanographic records from 341 the Arabian Sea and the Bay of Bengal (Bolton et al., 2022; Tripathi et al., 2017; Betzler 342 et al., 2016; Y. Huang et al., 2007). 343

344

# 2.2.2 East Asian Summer Monsoon evolution in the Neogene

Summer precipitation typical of the EASM likely occurred throughout the Neogene, 345 as shown by records from the South China Sea such as weathering indices (Clift et al., 2008, 346 2014),  $\delta^{13}$ C measurements in black carbon (Jia et al., 2003), pollen, biomarkers, kerogen 347 maceral composition and clay mineralogy (W. Ding et al., 2021). On land,  $\delta^{18}$ O values from 348 paleosol carbonates in the Chinese Loess Plateau (Suarez et al., 2011) and most Chinese 349 paleobotanical and palynological data suggest summer precipitation amounts comparable to 350 modern (Q. Wang et al., 2021; Yao et al., 2011; Xing et al., 2012; Hui et al., 2021; B. Wang 351 et al., 2021; Q.-g. Sun et al., 2002). Many localities nevertheless point to wetter winters 352 due to weaker winter monsoons until the late Miocene (Q. Wang et al., 2021; Liang et al., 353 2022), except for the mid-Miocene Zhangpu biota flora (southeastern China), whose CLAMP 354 signature shows affinities with EAM and SAM floras, thus suggesting adaption to modern-355 356 like rainfall seasonality (B. Wang et al., 2021). A warm and wet period has been identified during the Middle Miocene Climatic Optimum ( $\sim$ 17-14 Ma) in both continental as well as 357 oceanic records and was likely less seasonal than during the rest of the Miocene (Clift et al., 358 2022). The penetration of EASM moisture would have reached as far as the Qaidam Basin, 359 as suggested by lotus fossils (Luo et al., 2022), increased abundance of Fupingopollenites, an 360

extinct palynomorph highly dependent on summer moisture (Miao, Song, et al., 2016), and characterization of iron oxides from the Chinese Loess Plateau (H. Zhao et al., 2020). This warm and wet mid-Miocene climate peak is also inferred from chemical weathering proxies and indicators of stronger physical erosion and fluvial input measured in sediments from the South China Sea at 15-12 Ma (Clift et al., 2014), and from  $\delta^{13}$ C in black carbon at 15 Ma (Jia et al., 2003).

The variations in EASM intensity during the late Miocene and Pliocene remain con-367 troversial in both continental and marine records, with variations in the suggested timing of 368 changes (Clift et al., 2022). A decline in EASM intensity induced by decreasing  $pCO_2$  after 369 the Mid-Miocene Climate Optimum is suggested by weathering, paleoceanographic indices 370 and pollen from the South China Sea (ODP Site 1146) (Clift et al., 2014; Holbourn et al., 371 2021, 2018; Miao et al., 2017; G. Wei et al., 2006), as well as pollen records from the Liupan 372 Mountains (North China) (Jiang & Ding, 2008). On the other hand, in the South China 373 Sea, late Miocene EASM intensification is inferred from  $\delta^{18}$ O and Mg/Ca derived records 374 of surface and sub-surface water characteristics (IODP Site U1501) (C. Yang et al., 2021) 375 and by black carbon  $\delta^{13}$ C (Jia et al., 2003). In China, wetter conditions during the lat-376 est Miocene are suggested by mineralogical, chemical and magnetic analysis of sedimentary 377 deposits in the Chinese Loess Plateau (Ao et al., 2016, 2021; H. Zhao et al., 2020), pollen 378 records from the Tianshui (Hui et al., 2021) and the Weihe Basins (L. Zhao et al., 2020), 379 and by fossil wood, mammals and fish in the Qaidam Basin (Cheng & Yang, 2016; X. Wang 380 et al., 2007). EASM intensification at this time is usually attributed to global warming (Ao 381 et al., 2021; H. Wang et al., 2019) and/or to Neogene TP uplift phases (Hui et al., 2021; 382 X. Ren et al., 2020; H. Zhao et al., 2020). 383

384

#### 2.2.3 Miocene-Pliocene aridification pulses in response to regional uplift

Throughout the Miocene, dust deposition continued in the Chinese Loess Plateau 385 (Guo et al., 2002; Meijer et al., 2021), Tarim-Taklimakan (Kent-Corson et al., 2009; Zheng 386 et al., 2015; Heermance et al., 2018) and Junggar Basins (J. Sun et al., 2010). After a 387 phase of relatively wetter conditions during the Mid-Miocene Climate Optimum (see section 388 2.2.2), a pronounced step-wise aridification was then recorded in most of inland Asia until 389 the Miocene-Pliocene boundary (Lu et al., 2010; Lu & Guo, 2014; Z.-H. Tang & Ding, 390 2013). The timing of aridification pulses varies depending on the region and is attributed 391 to either northeastern TP uplift (Miao et al., 2012), Altai and Tian Shan mountain uplift 392 (Caves Rugenstein et al., 2014, 2017) and/or to late Miocene cooling (Lu et al., 2010; Lu 393 & Guo, 2014; Peng et al., 2016). In the eastern part of inland Asia, encompassing the 394 Chinese Loess Plateau, central China and northeastern TP, data provide strong evidence 395 for aridification, including pollen and biomarkers documenting a step-wise transition from 396 a sub-humid to an arid environment starting at  $\sim 15$  Ma (J. Liu et al., 2016; Miao et al., 397 2011; Jiang & Ding, 2008; Peng et al., 2016), massive grassland expansions at  $\sim$ 11-7 Ma 398 (Suarez et al., 2011; Barbolini et al., 2020; Y. Ma et al., 2005; L. Zhao et al., 2020; H. Wang 399 et al., 2019), widespread eolian dust deposition at ~8-7 Ma (X. Ma & Jiang, 2015; Guo 400 et al., 2002; Qiang et al., 2011; B. Li et al., 2016; Jiang et al., 2017), increased  $\delta^{18}$ O of 401 pedogenic carbonates and terrestrial mammal tooth enamel at  $\sim$ 14-13 and  $\sim$ 7-5 Ma (B. Li 402 et al., 2016; Kent-Corson et al., 2009; M. Wu et al., 2021; W. Liu et al., 2014; Y. Sun et 403 al., 2020; Kaakinen et al., 2006; Y. Wang & Deng, 2005), n-alkane analysis from Qaidam 404 sediments since 13 Ma (Z. Liu et al., 2014) and the dominance of cold-aridiphilous mollusks in the Chinese Loess Plateau from 7-5 Ma (F. Li et al., 2008). The Tarim and Junggar 406 Basins also provide multiple evidence for mid to late Miocene aridification, such as increased 407 proportions of xerophytic plants and grass pollen at  $\sim$ 7-5 Ma (J. Sun et al., 2010; J. Sun 408 409 & Zhang, 2008; J. Sun et al., 2008; Z. Zhang & Sun, 2011; Barbolini et al., 2020), massive aeolian deposition in the western Tarim Basin at  $\sim$ 12-7 Ma (Heermance et al., 2018) and the 410 permanent drying of lakes in the eastern Tarim Basin at  $\sim 5$  Ma (W. Liu et al., 2014). The 411 pedogenic carbonate  $\delta^{18}$ O records of these regions nevertheless offer diverging patterns of 412 evolution, that have tentatively been explained by their locations with respect to the Tian 413

Shan and Altai mountains (Caves Rugenstein et al., 2017). Records from regions today lying 414 upwind of the Tian Shan and Altai mountains, such as the Issyk Kul (Macaulay et al., 2016), 415 Junggar (Charreau et al., 2012) and Zaysan Basins (Caves Rugenstein et al., 2017) exhibit 416 a decline in  $\delta^{18}$ O between 10-5 Ma, which has been interpreted as a shift to spring/fall 417 precipitation following the uplift of the Altai and Tian Shan mountains (Caves Rugenstein 418 et al., 2017). Perennial lake formation in the windward Ili Basin (Kazakhstan) at  $\sim 10$  Ma 419 may further attest that the Tian Shan ranges acted as an orographic barrier for westerlies 420 moisture input (Frisch et al., 2019). On the other hand, records from the Tarim Basin, 421 situated downwind of the Tian Shan ranges, display  $\delta^{18}$ O trends similar to those of the 422 Qaidam Basin (Kent-Corson et al., 2009) with increased values since  $\sim 15$  Ma peaking at 423  $\sim$ 7-5 Ma and which are interpreted as indicating aridification at that time. 424

EAWM intensification is also recorded in the South China Sea at  $\sim$ 15-12,  $\sim$ 8-5 and 425  $\sim 3$  Ma, based on increased black carbon accumulation (whose transportation to the sea 426 would be favored by stronger winter winds) (Jia et al., 2003), planktic and benthic  $\delta^{18}$ O 427 records and mixed layer temperatures (Holbourn et al., 2021, 2018), and clay mineralogy 428 and grain size analysis (Wan et al., 2007). In the Sea of Japan, clay mineral assemblages 429 and isotopic analyses of the silicate fraction covering the last 15 Ma suggest a step-wise 430 drying of Central Asia at 12, 8 and 3.5 Ma (Shen et al., 2017). A sea surface temperature 431 drop of  $\sim 8$  °C recorded between 8-6.6 Ma (IODP Site U1425) is further interpreted as a 432 sign for a marked EAWM intensification (Matsuzaki et al., 2020). At approximately the 433 same time (8-4 Ma), an analysis of aluminosilicate end-member contributions shows a shift 434 from a Taklimakan source to a Gobi-Chinese Loess Plateau source in dust exported to the 435 Sea of Japan (Site U1430), suggesting changes in winter wind circulation patterns, or in the 436 extent of these deserts (Anderson et al., 2020). Further east, in the North Pacific, a relative 437 increase in Asian dust is also recorded at  $\sim 10$  and  $\sim 4$  Ma (D. Rea et al., 1985; Pettke et 438 al., 2002). 439

440

# 2.3 Assessing monsoon forcing factors through climate models

The major drivers of long-term monsoonal variability are topography, land-sea distribution and global climate variations. Attributing a specific change to one or several of these factors is nevertheless complicated because they may co-vary, produce non-linear responses with potentially opposite effects, and are sometimes poorly dated or quantified. Modeling experiments, initially utilizing present-day geographies and boundary conditions, have enabled a better understanding of the role played by these different forcing factors in shaping the regional monsoons.

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# 2.3.1 Topographic influences on the South and East Asian monsoon with present-day geography

The TP has long been considered instrumental in driving the Asian monsoonal cir-450 culation and precipitation, due to its elevated heated surface in summer acting as a "heat 451 pump" driving the convergence of the surrounding air masses, in particular the ITCZ con-452 veying moisture from the Indian Ocean (Kutzbach et al., 1993; Zoura et al., 2019; Molnar 453 et al., 1993; G. Wu et al., 2012). While the presence of the TP would indeed play a key role 454 in the EASM establishment, with the heating of the Plateau inducing a cyclonic circulation 455 anomaly promoting the advection of moist air masses towards Eastern Asia (Z. Zhang et 456 al., 2007; H. Tang et al., 2013; R. Zhang, Jiang, & Zhang, 2017; G. Wu et al., 2012), several 457 studies suggest that the orographic thermal forcing of the Plateau is not as important as 458 previously thought for the SAM establishment. Instead, the physical barrier created by the 459 460 topography would insulate the high moist static energy air (warm wet air masses) from the tropics from the low moist static energy air (cold dry air) brought by the westerlies and 461 induces abundant orographic precipitations in northern India, through steering and forced 462 ascension of moist air masses (Boos & Kuang, 2010, 2013; Acosta & Huber, 2020). Al-463 though the debate between a thermal versus mechanical role for TP on atmospheric flow 464

is still ongoing, a consensus emerged for the need of having an energetic-based framework 465 to study monsoon mechanisms at all timescales (Biasutti et al., 2018), and integrate them 466 within the planetary scale circulation dynamics (Rodwell & Hoskins, 1996). The condensa-467 tion of moisture in these ascending air masses leads to important latent heat release in the high troposphere over northern India, which acts as a positive feedback sustaining summer 469 convection and precipitation in South Asia (He, 2017). On the other hand, the large-scale 470 advection of the ITCZ over Asia in late spring and early summer is essentially driven by 471 surface ocean-continent temperature gradients and resulting pressure patterns (Acosta & 472 Huber, 2020; Merlis et al., 2013). Additionally, numerical simulations show that such en-473 hanced migration of the summer ITCZ is scaled to Asian continental extent, and was likely 474 weaker in the past, when conditions of higher sea level and the presence of the Paratethys Sea 475 prevailed and translated into surface temperature gradients different from today (Z. Zhang 476 et al., 2007). 477

Smaller orographic features have also been shown to play a critical role in shaping and 478 strengthening both the SAM and EAM. The East African highlands, positioned in the path 479 of the ITCZ flow, impact both African and South Asian climate (Bannon, 1979; Rodwell 480 & Hoskins, 1995; Sepulchre et al., 2006). Recent studies have specifically demonstrated 481 that the East African highlands contribute greatly to the strengthening and concentration 482 of the Somali Jet, but that their absence would result, somewhat counter-intuitively, in 483 higher summer precipitation over the SAM region due to increased advection directly from 484 the ocean to the continent (H.-H. Wei & Bordoni, 2016; Chakraborty et al., 2009). This 485 last aspect hints that strong upwelling in the Arabian Sea, related to a strong Somali 486 Jet, may not always be positively correlated with heavy rainfall on land. The Anatolian-487 Iranian landforms, by channeling the Somali Jet and insulating it from the subtropical dry westerly flow, greatly contribute to enhancing moisture transport towards India and 489 eastern Asia (Acosta & Huber, 2020; He, 2017; H. Tang et al., 2013). Additionally, the 490 orographic precipitation observed over smaller Asian landforms, for example the Indian 491 Ghats or the Indo-Burman ranges, have been shown to promote moisture advection and 492 enhanced precipitation in adjacent regions located windward, especially over the Arabian 493 Sea, Bay of Bengal and South China Sea (S.-P. Xie et al., 2006). 494

The effect of the Pamir, Tian Shan and northeastern TP uplifts on the SAM remains 495 unclear. When these landforms are uplifted together with the Mongolian Plateau, a decrease 496 in precipitation is simulated over India (H. Tang et al., 2013). On the other hand, increased 497 rainfall is simulated in India while testing Pamir, Tian Shan and northeastern TP uplift but 498 maintaining a low Mongolian Plateau (R. Zhang, Jiang, & Zhang, 2017; R. Zhang, Jiang, 499 Zhang, Cheng, & Zhang, 2017), highlighting potential counter effects of those mountains 500 on the Asian climate. The Pamir, Tian Shan and Mongolian landforms are also perfectly 501 situated in the mid-latitudes to interfere both dynamically and thermally with planetary-502 scale atmospheric circulation. Numerical simulations show that their uplift enhances aridity 503 in inland Asia (modern Gobi and Taklimakan deserts) and reinforces the EAWM circulation 504 patterns (X. Liu & Yin, 2002; X. Liu, Sun, et al., 2015; Baldwin & Vecchi, 2016; Sato, 2009; 505 R. Zhang, Jiang, Zhang, Cheng, & Zhang, 2017). By creating a cold pool and deviating 506 the westerlies towards northern Siberia, the Mongolian orography has been proposed to be 507 responsible for the northward migration of the subtropical Jet Stream in summer (White et 508 al., 2017; Shi et al., 2015; Sha et al., 2020), and for most of the Siberian High location and 509 intensity in winter (Sha et al., 2015; R. Zhang, Jiang, Zhang, Cheng, & Zhang, 2017). 510

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# 2.3.2 Modeling experiments using paleogeographic reconstructions

Modeling studies based on present-day geographies are limited in the extent to which they allow us to understand past changes, especially for periods when very different geographies prevailed. Paleoclimate simulations using presumed "realistic" paleo-boundary conditions are however rarer, because they usually entail high computational cost and require important background knowledge of past boundary conditions (paleogeography, CO<sub>2</sub>,

solar constant, ice-sheet volume). Such modeling experiments confirm that increasing Asian 517 continentality during the Oligocene and the Miocene, either due to the Paratethys Sea re-518 treat and/or global eustatic sea level fall, is a key driver of increased moisture advection 519 towards Asia in summer (Fluteau et al., 1999; Ramstein et al., 1997; Z. Zhang et al., 2014; 520 Sarr et al., 2022). Under Oligocene conditions, the uplift of the peripheral Tian Shan, Pamir 521 and northeastern portions of the TP has also been shown to promote inland Asian aridity, 522 in contrast to the sole uplift of the central TP (R. Zhang, Jiang, & Zhang, 2017). Under late 523 Eocene conditions, the latitude at which the proto TP uplifts significantly and non-linearly 524 impacts East Asian climate; an uplift in the tropics ( $\sim 11^{\circ}$ N) induces precipitation on the TP 525 foothills but aridification in most of China, whereas an uplift at its modern latitude ( $\sim 26^{\circ}$ N) 526 increases moisture advection to eastern China and aridification in inland Asia (R. Zhang et 527 al., 2018). 528

Paleoclimate modeling offers a contrasted view regarding Eocene climate, with some 529 studies that simulate the presence of monsoonal climates at that time (Huber & Goldner, 530 2012; Licht et al., 2014; Z. Zhang et al., 2022), and others that do not (Tardif et al., 531 2020; Z. Zhang et al., 2012; R. Zhang et al., 2018). Discrepancy among simulations can be 532 attributed to many differences in model structure, resolution, parametrization and setting 533 of boundary conditions, among which the choice of paleogeographic reconstruction is a key 534 aspect. Indeed, many features of Eocene paleogeography remain highly controversial, such as 535 the height of the incipient Tibetan topography, the shape of the Indo-Asian collision zone, 536 the height of peripheral landforms, or the land-sea distribution. Paleoclimate modeling 537 has nevertheless emphasized the importance of geography, rather than  $pCO_2$  variations 538 or far-field ice-sheets effects, as the main driver of both SAM and EAM establishment and 539 intensification on long time scales (Farnsworth et al., 2019; Thomson et al., 2021). Accurate 540 paleogeographic constraints as well as a higher number of sensitivity experiments in a paleo 541 framework are therefore needed, and this is what we intend to contribute with this study. 542

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# 2.4 Summary: a diachronous establishment of SAM and EAM seasonal features, the influences of geography and topography and remaining uncertainties

The past decade has welcomed a large amount of new data based on fossil material 546 and sedimentary archives, both onshore and offshore. The onset of the SAM, EASM and 547 EAWM and their evolution are now clearly shown to be diachronous and is tightly linked to 548 the evolution of land-sea distribution, topography and global climate over long time scales. 549 Some questions, nevertheless, remain to be answered. First, it is unclear whether Paleogene 550 records of highly seasonal precipitation at low latitudes  $(<20^{\circ}N)$  describe a monsoon in 551 the strict sense, given that no wind proxies currently exist for this period and that Eocene 552 paleoclimate modeling studies propose widely diverging scenarios. CLAMP paleobotani-553 cal analyses provide interesting insights on this point. They generally show that, although 554 strong precipitation seasonality is likely present since the Paleogene in broad parts of South-555 eastern Asia (Bhatia, Khan, et al., 2021; Spicer et al., 2017; Herman et al., 2017), leaves did 556 not develop the morphological features characteristic of plants growing in present-day SAM 557 or EAM regions until the middle Miocene ( $\sim 13$  Ma) (Spicer et al., 2017; Bhatia, Srivas-558 tava, et al., 2021). Instead, Paleogene Asian floras display a signature typical of the regions 559 dominated by the ITCZ seasonal migration, also referred to as the Indonesian-Australian 560 monsoon. The reorganization from an ITCZ-dominated to a monsoonal wind circulation 561 pattern may have initiated in the Oligocene ( $\sim 28-23$  Ma, Fig. 2), according to fossils from 562 northeastern India exhibiting a mixture of SAM and ITCZ leaf signatures (Spicer et al., 563 2017). These typical monsoonal signatures in floras were likely driven by a combination 564 of both biotic and abiotic factors, although the CLAMP method is unable to disentangle 565 the forcing factors responsible for these specific signatures. They do, however, add to the 566 body of evidence suggesting that precipitation seasonality may have been established prior 567 to typical monsoonal wind circulation. 568

Second, although modeling studies have started to explore the effects of localized 569 mountain ranges on Asian climate in a paleo context, there is plenty of room for improve-570 ment. For example, the climatic impact of these landforms have often been investigated 571 simultaneously in a single climate simulation (e.g. the uplift of the Tian Shan and Mongo-572 lian orogens), though the main uplift episodes of these landforms may not be coeval (see 573 section 3.1.2). Various hypotheses remain to be tested, such as identifying the drivers of the 574 Siberian High development, and its impact on inland Asian aridity. Indeed, the presence of 575 loess-like deposits since the Eocene in the Xining Basin were tentatively explained by the 576 presence of an active Siberian High at that time (Meijer et al., 2021; Licht et al., 2014). 577 This questions whether the Tian Shan and/or Mongolian orogens are as important for this 578 wide anticyclone as predicted by modeling experiments, since these landforms are thought 579 to have uplifted later, in the Oligocene to late Miocene (see section 3.1.2). In addition, the 580 notion of elevation thresholds is a key point to tackle, as strongly non-linear responses of 581 atmospheric circulation with respect to this parameter are expected. These considerations 582 highlight the need for an accurate representation of Asian paleogeographic evolution in order 583 to better understand monsoon history over long time-scales. 584

## <sup>585</sup> 3 Cenozoic paleogeographic evolution

Although presenting a full literature review of paleogeographic evolution throughout the Cenozoic for the whole region of interest is outside the scope of this study, this section aims at providing an overview of the main features and persistent uncertainties regarding the paleogeographic evolution during the Cenozoic in our region of interest. This allows us to justify which sensitivity tests were performed on specific geographic units (Fig. 3), further introduced in section 4.2.1.

#### 592

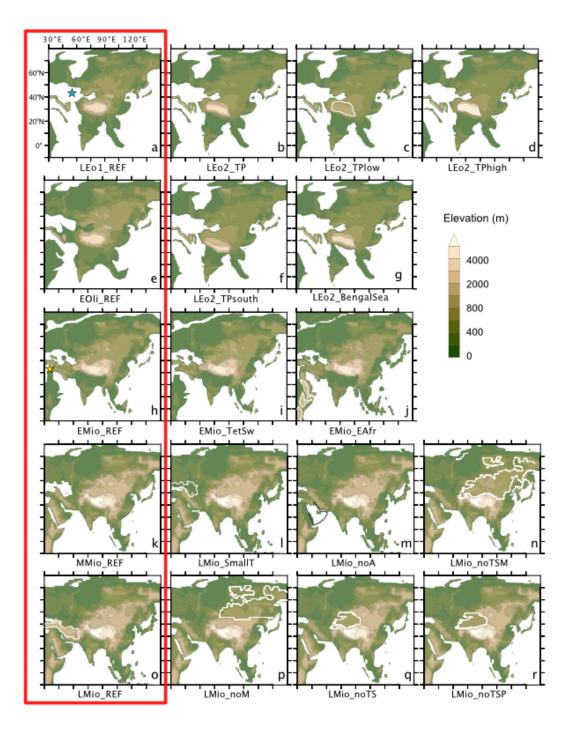
#### 3.1 Indo-Asia collision and Asian topography evolution

The initiation of the Indo-Asian collision, marking the closure of the Neotethys Ocean, 593 is dated around  $\sim 58$  Ma based on sedimentological and paleomagnetic evidence (van Hins-594 bergen et al., 2019; Ingalls et al., 2016). The morphology of the *Greater India* portion (i.e. 595 northern India) before the collision remains unclear. Depending on the collision scenario 596 considered (see Kapp and DeCelles (2019); Poblete et al. (2021); J. R. Ali and Aitchison 597 (2005) for reviews), it is represented as fully emerged (Ingalls et al., 2016; C. Wang et al., 598 2014), partially flooded or divided into two blocks separated by an oceanic basin until the 599 middle-late Eocene (van Hinsbergen et al., 2012, 2019; W. Huang et al., 2015). From the 600 Eocene onwards, the progressive migration of India to the north, its indentation into the 601 Asian continent, and its counterclockwise rotation (Molnar et al., 2010) trigger widespread 602 orographic changes in Asia, which are summarized below. The most important of these 603 events is the TP and Himalayas uplift, and the growth of peripheral mountain ranges such 604 as the Pamir, Tian Shan and the Mongolian Plateau. 605

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### 3.1.1 Himalayas-Tibetan Plateau uplift history

The early uplift history of the Tibetan Plateau is highly debated. Oxygen isotope pale-607 oaltimetry studies suggest that the Gangdese (Southern TP, Lhasa terrane) and Qiangtang 608 mountains (Central TP) were already as high as  $\sim 4000-5000$  m during the early Eocene 609 (Rowley & Currie, 2006; L. Ding et al., 2014; Xu et al., 2013; Xiong et al., 2020; C. Wang et 610 al., 2014). The robustness of this method is however questioned by isotope-enabled climate 611 models, which demonstrate that factors other than elevation affect the  $\delta^{18}$ O precipitation 612 signature, including air mass provenance, climate, or water recycling (Poulsen & Jeffery, 613 2011; Botsyun et al., 2016). A revised TP paleo-elevation of most likely lower than  $\sim 3000$ 614 m in the Oligocene has therefore been suggested (Botsyun et al., 2019). The presence of 615 frost-intolerant fossil flora assemblages in the central TP in the middle Eocene (T. Su et al., 616 2020) and late Oligocene (T. Su, Farnsworth, et al., 2019), as well as clumped isotope mea-617



**Figure 3.** Paleogeographic configurations used in this study for the reference experiments (red box) and alternative configurations used for sensitivity tests. Blue star in (a) highlights the Paratethys Sea location, yellow star in (h) highlights the location of the Tethyan Seaway.

surements (Xiong et al., 2022) advocating for a subtropical climate in this region, also seem 618 incompatible with a TP exceeding 4000 m. Therefore, a more complex morphology for this 619 proto TP has been proposed, suggesting the presence of a low-elevation ( $\sim 1500$  m) valley 620 dividing the almost fully uplifted ( $\sim$ 3000-4000 m) Lhasa and Qiangtang terranes until the 621 late Oligocene (T. Su, Farnsworth, et al., 2019; Xiong et al., 2020; Spicer et al., 2020; Xiong 622 et al., 2022). In the periphery of the proto TP, data collected from different basins offer 623 contradictory interpretations. In southeastern Tibet, at the extremity of the Qiangtang ter-624 rane, paleo-elevation calculated using the CLAMP method suggests that the Markam Basin 625 was already high in the late Eocene ( $\sim 3000 \text{ m}$ ) and could have reached its modern elevation 626 (~3900 m) by the earliest Oligocene (T. Su, Spicer, et al., 2019). Alternately, paleo-elevation 627 based on oxygen isotopic measurements of the nearby Jianchuan Basin (part of the same 628 terrane) could not have exceeded  $\sim 1200$  m in the late Eocene (Gourbet et al., 2017). The 629 northern TP is proposed to have remained relatively low (<2000 m) in the Eocene, before 630 uplifting in the Oligocene or the early Miocene, based on isotopic paleoaltimetry from the 631 Hoh Xil Basin (C. Wang et al., 2014). An early uplift of the northeastern TP has been 632 proposed as early as the late Eocene by increased coniferous pollen proportion in the Xining 633 Basin (Hoorn et al., 2012), although global cooling and the Paratethys Sea retreat may also 634 have promoted coniferous trees expansion in this region, without uplift (Barbolini et al., 635 2020). 636

The history of the TP build-up from the Miocene onwards is less controversial. The 637 Central TP is thought to have reached modern elevation by the early Miocene, while the 638 northern TP uplift was completed by the late Miocene (C. Wang et al., 2014). Stratigraphy 639 and detrital zircon analyses indicate that the western TP (Pamir-Karakoram-Hindu Kush) 640 may have undergone several phases of uplift between the mid-Eocene to latest Miocene 641 (Bershaw et al., 2012; L. Li et al., 2021; Blayney et al., 2016; Carrapa et al., 2015; D. Liu et 642 al., 2017). Finally, the Himalayas start uplifting in the early Miocene ( $\sim 20$  Ma) and reach 643 their modern elevation by the mid-late Miocene ( $\sim 15$  Ma) (Gébelin et al., 2013; L. Ding et 644 al., 2017; Xu et al., 2018; C. Wang et al., 2014; Webb et al., 2017). 645

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3.1.2 Inland Asia and Myanmar mountain building

Asian terranes located in the vicinity of the Himalaya-TP complex are impacted by the 647 Indo-Asian collision. In Myanmar, the Indo-Burman ranges built up in a three-step process, 648 in the late Eocene, at the Oligo-Miocene boundary and Pliocene respectively (Najman et 649 al., 2020; Morley, 2018; Maurin & Rangin, 2009; Westerweel et al., 2020; Licht et al., 2018), 650 as a result of the progressive indentation of the Burma block into the eastern Himalayan 651 collision zone. The Sino-Burman Ranges, which inherit old landforms from previous colli-652 sions between Indochina and Sibumasu terranes (Metcalfe, 2013), experienced major uplift 653 in the mid-late Miocene ( $\sim$ 13-9 Ma) (Cook & Royden, 2008; Clark et al., 2005), as a result of the eastward expansion of the Tibetan Plateau by crustal flow. 655

North of the TP, the collision propagates through reactivated pre-existing tectonic 656 structures and triggers the uplift of the inland Asian orogenic belt: the Tian Shan and the 657 Mongolian (Altai, Hangay and Sayan) landforms (Jolivet et al., 2010). The southwestern 658 and Central Tian Shan uplift initiation is very loosely constrained, either in the middle to 659 late Miocene ( $\sim 15$  Ma, (Käßner et al., 2016)) or during the late Oligocene to early Miocene 660  $(\sim 25 \text{ Ma})$  (Bande et al., 2017; Macaulay et al., 2016, 2014; D. Liu et al., 2017). The 661 northeastern Tian Shan, together with the Savan and Altai regions (in western Mongolia), 662 likely remained quiescent until the late Miocene (Bullen et al., 2003; Caves Rugenstein et al., 663 2017). After a pulse of uplift in the latest Miocene ( $\sim 8$  Ma) for the whole Tian Shan, Sayan 664 and Altai mountains (W. Yuan et al., 2006; Caves Rugenstein et al., 2017; Charreau et al., 665 2005), regional landforms probably reached their modern elevation after a final Pliocene 666 uplift phase ( $\sim$ 5-3 Ma, (Bullen et al., 2003; Caves Rugenstein et al., 2014; De Grave et al., 667 2007, 2009; Jolivet et al., 2007; Vassallo et al., 2007)). The Hangay Dome uplift (in central 668 Mongolia) began in the mid-Oligocene (Caves Rugenstein et al., 2014; Cunningham, 2001), 669

<sup>670</sup> but the date of completion of the uplift remains debated, either in the mid-Miocene ( $\sim$ 13 <sup>671</sup> Ma, (Smith et al., 2016)), or the Pliocene ( $\sim$ 3 Ma, (Yarmolyuk et al., 2008)).

672 673

# 3.2 Evolution of the Peri-Tethys and Middle East region under the interplay of tectonics and global sea level changes

Throughout the Cenozoic, sea level fluctuations in a context of tectonic convergence have led to a profound paleogeographic reorganization and a general increase in continentality of the regions encompassing the Mediterranean Sea, Middle East and inland Asia.

677

# 3.2.1 Retreat of the Paratethys Sea and closure of the Neotethys Ocean

During the Paleocene-Eocene, Eurasia was largely flooded by the proto Paratethys, an 678 epicontinental sea connected to the Arctic Ocean via the Turgai Strait and to the Neotethys 679 Ocean (Meulenkamp & Sissingh, 2003; Golonka, 2009). Paleoenvironmental analyses suggest 680 a maximal extension of the proto Paratethys Sea reaching the Tajik and Tarim Basins in 681 inland Asia at the Paleocene-Eocene transition ( $\sim$ 56 Ma), followed by a long-term westward 682 retreat punctuated by marine transgressions of decreasing magnitude (Kaya et al., 2019; 683 Bosboom et al., 2014b, 2017; Carrapa et al., 2015). In Central Asia, the shrinkage of the proto Paratethys Sea during the Eocene is attributed to far-field effects of the Indo-685 Asia collision and to the early indentation of the Pamir and TP, leading to the progressive 686 infilling of the basins with sediments (Kaya et al., 2019; Carrapa et al., 2015; D. Liu et 687 al., 2017). The onset of the Antarctic ice-sheet at the EOT ( $\sim 33.5$  Ma) resulted in a 688 sea-level drop of  $\sim 70$  m (Miller et al., 2020)), which drastically reduced the extent of the 689 Paratethys and favored its isolation (Kaya et al., 2019; Bosboom et al., 2014b, 2017). Water 690 exchanges between the Paratethys and neighboring oceans were progressively restricted, as 691 indicated by the increasing divergence between the  $\delta^{18}$ O and  $\delta^{13}$ C signatures of Paratethys foraminifera shells and the other oceanic signals at that time (Ozsvárt et al., 2016). The 693 Tethyan Seaway, connecting the Indian and Atlantic Oceans, remained fully open before the 694 Miocene (Straume et al., 2020).  $\epsilon$ Nd isotope data from foraminifers suggest that it became 695 strongly restricted around  $\sim 22$  Ma (Bialik et al., 2019), with intermittent periods of closure, 696 as indicated by evidence of mammal exchanges between Eurasia and Africa across a land 697 bridge (Rögl, 1999; Harzhauser & Piller, 2007). The Tethyan Seaway permanently closed at 698  $\sim$ 14 Ma, due to the combined effect of Arabian-Eurasian plate collision and glacio-eustatic 699 sea level fall associated with cooling following the Mid-Miocene Climatic Optimum (Bialik 700 et al., 2019). Until the late Miocene, the Paratethys Sea experienced phases of transgression, 701 extending as far as  $\sim 60^{\circ}$  E, and regression that may have reduced its surface by up to 70% 702 (Palcu et al., 2021), thus highly modulating Eurasian continentality. 703

704 705

# 3.2.2 Middle-East: Anatolian-Iranian Plateau, Zagros and Alborz Mountains uplift

During the Cenozoic, the Middle-East experienced multiple changes in land-sea distri-706 bution and topography, forced by the collision of the Arabian plate with Eurasia that drove 707 the uplift of the Zagros Mountains and of the Iranian Plateau. The exact timing of the 708 Arabia-Eurasia collision in eastern Anatolia remains highly debated, with estimated dates 709 ranging from the Eocene-Oligocene (Karaoğlan et al., 2016; Darin et al., 2018; McQuarrie 710 & Hinsbergen, 2013; Pirouz et al., 2017), the early Miocene (Okay et al., 2010; Gülyüz et 711 al., 2020), to the late Miocene (H. Su & Zhou, 2020; Z. Zhang et al., 2017). Most stud-712 ies however propose an early Miocene age ( $\sim 20$  Ma) for the hard collision related to the 713 arrival of thick Arabian crust along the Bitilis suture zone (Okay et al., 2010; Cavazza et 714 al., 2018; Gülyüz et al., 2020) and a Miocene age for the uplift of most landforms (Zagros 715 and Alborz mountains, Anatolian-Iranian Plateau). After the collision, the Zagros oro-716 gen builds up during three successive pulses occurring within the last  $\sim 20$  Ma (Agard et 717 al., 2011; Mouthereau, 2011). Field geology, stable isotopes and thermochronology suggest 718

that the Alborz mountains uplift (north of the Zagros) occured during the middle Miocene 719  $(\sim 17.5-13 \text{ Ma})$  (Ballato et al., 2010, 2015) and may have continued until the latest Miocene 720 (Mouthereau et al., 2012). A Miocene age for the initiation of the eastern Anatolian-Iranian 721 Plateau growth is also suggested, based on its youngest marine unit ( $\sim 17$  Ma) (Gülyüz 722 et al., 2020) and apatite fission-track data (18-13 Ma) (Okay et al., 2010; Karaoğlan et 723 al., 2016). Stratigraphic evidence suggests an uplift phase of the eastern Anatolian-Iranian 724 Plateau between 15 and 12 Ma due to crustal shortening and thickening (Mouthereau et al., 725 2012). In contrast, numerical simulations suggest that the final buildup of the Plateau is 726 only reached during the late Miocene ( $\sim$ 7-10 Ma), likely sustained by slab break-off, mantle 727 flow disruption and associated changes in dynamic topography, and followed by isostatic 728 adjustment (François et al., 2014). 729

#### 730

#### 3.3 East Africa and Arabian Peninsula evolution

While much attention has been paid to the Eastern African topography evolution in the 731 Neogene due to its implication for early homining development, its history fits into a broader 732 paleogeographic context rooted in the Paleogene (see Couvreur et al. (2021); Guillocheau et 733 al. (2018) for a synthesis). Landforms in East Africa (Kenyan and Ethiopian plateaus) de-734 veloped during the late Cenozoic, triggered by a combination of tectonics, basaltic flooding, 735 and large wave-length deformation related to the African superswell activity (Moucha & 736 Forte, 2011; Faccenna et al., 2019; Roberts et al., 2012). Uplift in the Ethiopian region be-737 gan during the Eocene, with a doming event sustained by dynamic topography (Roberts et 738 al., 2012; Faccenna et al., 2019) that then propagated to Kenya during the middle Miocene. 739 In the early Oligocene, basaltic flooding in Ethiopia is thought to have contributed to the 740 formation of these large-scale elevated features (Sembroni et al., 2016). Rifting initiated 741 during the middle Miocene with the main uplift of rift shoulders (Wichura et al., 2015). 742 Overall, past elevations during the Cenozoic are poorly constrained in East Africa, but 743 modern elevations were most likely reached by the late Miocene to early Pliocene (Couvreur 744 et al., 2021). 745

The Arabian Peninsula records successive marine and freshwater environments from 746 the early Oligocene to the late Miocene, as indicated by paleo-ichthyofaunas (Otero & Gayet, 747 2001). Before the Neogene, the Arabian plate is assumed to have been flat and at low 748 elevation (Daradich et al., 2003) and thus particularly sensitive to sea-level changes. It was 749 therefore largely submerged during the Eocene, and its southwestern part became partially 750 emerged after the sea-level fall at the EOT (Barrier et al., 2018). During the Miocene, the 751 Arabian plate was tilted when transiting over the East-Africa mantle plume (Vicente de 752 Gouveia et al., 2018), leading to the uplift of the Red Sea margin, and the flooding of its 753 northeastern corner, due to mantle convective drawdown (Daradich et al., 2003; Moucha 754 & Forte, 2011). This mechanism promoted temporary transgression phases in the subdued 755 northeastern region in early Miocene times (Barrier et al., 2018), until its full emergence 756 in the late Miocene, possibly favored by global sea-level fall after the mid-Miocene Climate 757 Transition (Golonka, 2009; Harzhauser & Piller, 2007; Miller et al., 2020). 758

# <sup>759</sup> 4 Materials and Methods

760

#### 4.1 IPSL-CM5A2 model

We perform simulations with the IPSL-CM5A2 Earth System Model (Sepulchre et 761 al., 2020), an updated version of the IPSL-CM5A model (Dufresne et al., 2013) designed 762 for deep-time paleoclimate simulations. It is composed of the atmospheric model LMDz5A 763 (Hourdin et al., 2013), the land surface and vegetation model ORCHIDEE (Krinner et al., 764 2005) and the oceanic model NEMO (v3.6) (Madec, 2016) that also includes the LIM2 sea-765 ice model (Fichefet & Maqueda, 1997). The OASIS coupler ensures synchronization between 766 the different model components (Valcke et al., 2006). The atmospheric model has a nominal 767 horizontal resolution of 96x95 grid points  $(3.75^{\circ} \text{ in longitude by } 1.9^{\circ} \text{ in latitude})$  with 39 768

irregularly distributed vertical levels from the surface to 40 km high. The ORCHIDEE model 769 is coupled with LMDZ5a and redirects runoff water towards the ocean. The vegetation 770 cover is represented through 11 Plant Functional Types, including one describing bare soil. 771 NEMO solves ocean dynamics and thermodynamics equations on a tripolar grid, with two 772 poles located below continental masses in the Northern Hemisphere, thereby avoiding North 773 Pole singularity in the ocean (Madec & Imbard, 1996). It has a nominal resolution of  $2^{\circ}$  by 774  $2^{\circ}$  refined up to  $0.5^{\circ}$  in the equatorial region, with 31 vertical levels, the thickness of which 775 ranges from 10 meters near the surface to 500 meters in the deep ocean. Full description of 776 IPSL-CM5A2 can be found in Sepulchre et al. (2020); Dufresne et al. (2013). IPSL-CM5A2 777 has been previously used for paleoclimatic simulations of the Miocene (Burls et al., 2021: 778 Sarr et al., 2022; Pillot et al., 2022) and the Eocene (Tardif et al., 2020, 2021; Toumoulin 779 et al., 2022, 2020; Barbolini et al., 2020). The ability of the model to simulate the modern 780 Asian climate has previously been described (Sepulchre et al., 2020; Tardif et al., 2020) 781 and compared to observations (SI Fig. 14). While general atmospheric circulation patterns 782 are well reproduced, IPSL-CM5A2 overall underestimates precipitation amounts and delays 783 inland monsoonal precipitation onset by about a month, which are characteristics shared 784 with the earlier IPSL-CM5A version of the model (Sepulchre et al., 2020). 785

#### 4.2 Numerical simulations design

Simulations presented in this study are performed with paleogeographic configurations used in previous work, presented in Figure 3 and Table 1 and detailed below. The reference experiments have been run for 3000 years and the deep ocean has reached quasi-equilibrium after  $\sim 2000$  years of integration. Sensitivity experiments are restarted from their reference experiment and run for 300 to 500 years until upper ocean equilibrium. Model outputs are averaged over the last 50 years of each simulation.

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#### 4.2.1 Paleogeographic reconstructions and sensitivity tests

Our late Eocene reference paleogeography (LEo1\_REF,  $\sim 40$  Ma, Fig. 3 a), previously 794 introduced in Tardif et al. (2020), displays a fully emerged Greater India and a  $\sim$ 3000 m 795 elevation TP. The proto Paratethys Sea fills the Tarim Basin, the Turgai Strait is closed and 796 East African topography is low. Considering the ongoing debate surrounding the extension 797 of India and TP morphology at that time, other configurations are tested: LE02\_TP (Fig. 3 798 b) is an alternative late Eocene paleogeography, with a slightly different TP shape obtained with the "double collision model" (Poblete et al., 2021), from which sensitivity tests with 800 a lower (~800 m, LE02\_TPlow, Fig. 3 c) and a higher TP (~4500 m, LE02\_TPhigh, 801 Fig. 3 d) are derived. Two additional tests are performed. The first exhibits a TP located 802 more to the South (LEo2\_TPsouth, Fig. 3 f) and is obtained with the collision model 803 from Jagoutz et al. (2015). The second features the presence of a remaining inlet between 804 India and continental Asia (LEo2\_BengalSea, Fig. 3 g), according to van Hinsbergen et al. 805 (2012, 2019). The early Oligocene simulation (EOIi\_REF, ~33 Ma, previously introduced 806 in Barbolini et al. (2020)) is designed to represent the Asian paleogeography right after the 807 Eocene-Oligocene Transition (Fig. 3 e). The late Eocene paleogeography of LEo1\_REF is 808 used as a base, on which the sea level is eustatically lowered by 70 m (Miller et al., 2020), 809 to account for a modern-sized ice sheet over Antarctica, as an end-member scenario. This 810 leads to the emergence of continental portions in Arabia and North Africa and the drying 811 of the Tarim Basin and lowlands north of the Turgai Strait, which all act to significantly 812 increase Asian continentality. The former shallow connection between the Paratethys and 813 the Tethys ceases in the Pamir-Zagros region at that time. 814

The early Miocene paleogeography (**EMio\_REF**,  $\sim 20$  Ma, Fig. 3 h), is from Poblete et al. (2021) and was previously introduced in Sarr et al. (2022) and Burls et al. (2021). It displays an Indian subcontinent translated 5° further north compared to the Eocene and an uplifted Central TP close to its modern elevation ( $\sim 5000$  m), whereas western and northeastern TP portions are lower (2000-3000 m). The Tethyan Seaway that previously

**Table 1.** List of reference simulations (bold) and sensitivity experiments used in this study. Abbreviations stand for East Antarctic Ice Sheet (EAIS), Antarctic Ice Sheet (AIS) and Greenland + Antarctic Ice Sheet (G+AIS). The last column details the paleogeographic configurations, highlighting the pairs of simulations that can be compared. For example, the effect of  $pCO_2$  halving in the Eocene is obtained with the "LEO1\_REF - LEO1\_2X" anomaly.

Simulation	$p\mathrm{CO}_2$	Ice sheets	Paleogeography
LEo1_REF	1120	-	from (Tardif et al., 2020)
LEo2_TP	1120	-	from (Poblete et al., 2021)
LEo2_TPlow	1120	-	from LEo2_TP, TP lowered to 800 m
$LEo2_TPhigh$	1120	-	from LEo2_TP, TP raised to 4500 m
$LEo2_TPsouth$	1120	-	from LEo2_TP, TP shifted to the South (Poblete et al., 2021)
LEo2_BengalSea	1120	-	from LEo2_TP, with a Bengal Sea (Poblete et al., 2021)
LEo1_2X	560	-	from LEo1_REF, $pCO_2$ halved to 560 ppm
EOli_REF	560	AIS	from LEo1_REF, 70 m sea level drop (Barbolini et al., 2020)
EMio_REF	560	EAIS	from (Poblete et al., 2021)
EMio_TetSw	560	EAIS	from EMio_REF, open Tethyan Seaway (120 m depth)
EMio_EAfr	560	EAIS	from EMio_REF, modern East African landforms
MMio_REF	560	AIS	from (Sarr et al., 2022)
$LMio\_smallT$	560	AIS	from MMio_REF, reduced Paratethys
LMio_noAr	560	AIS	from LMio_smallT, immersed Arabia
LMio_noM	560	AIS	from LMio_smallT, Mongolia lowered to 800 m
LMio_noTS	560	AIS	from LMio_smallT, Tian Shan lowered to 800 m
LMio_noTSM	560	AIS	from LMio_smallT, Tian Shan+Mongolia lowered to 800 m
LMio_noTSP	560	AIS	from LMio_smallT, Tian Shan+Pamir lowered to 800 m
LMio_REF	<b>560</b>	G+AIS	from (Sarr et al., 2022)
$LMio_{-}1.5X$	420	G+AIS	from LMio_REF, $pCO_2$ lowered to 420 ppm

connected the Mediterranean Sea and the Indian Ocean is closed, but a shallow sea persists at the location of the Arabian Peninsula. The alternative configuration **EMio\_TetSw**, with a 120 m deep Tethyan seaway, is tested (Fig. 3 i). Considering the large uncertainties related to East African elevation, we also test the impact of a full uplift of this region as early as the early Miocene with the **EMio\_EAfr** experiment (Fig. 3 j).

The mid and late Miocene paleogeographies are based on the PRISM4 reconstruction 825 (Dowsett et al., 2016) used in PlioMIP2 (Haywood et al., 2020) with manual modifica-826 tions including the Australian continent shifted southward compared to present day and an 827 emerged Sunda Shelf (Torsvik et al., 2008; Hall et al., 2012) (see Sarr et al. (2022) for details). 828 In the middle (**MMio\_REF**, ~12 Ma, Fig. 3 k) and late Miocene (**LMio\_REF**, ~8 Ma, Fig. 829 3 o) reference paleogeographic configurations, the Himalayas, Tibetan Plateau, Myanmar, 830 inland Asia and East African landforms have reached their present-day configuration (Fig. 831 3). In the mid-Miocene, the elevation of the Anatolian-Iranian orogen is half ( $\sim 1000$  m) that 832 of present-day and the Paratethys Sea extends up to 60°E (**MMio\_REF**), while by the late 833 Miocene (LMio\_REF), the Paratethys is strongly reduced and the Anatolian-Iranian land-834 forms have reached their modern elevation. An intermediate mid-late Miocene reconstruc-835 tion is tested, in which the impact of a Paratethys retreat is investigated (LMio\_smallT, 836 Fig. 3 l). Sensitivity tests are also performed on inland Asian landforms, by lowering to 837  $\sim 800$  m the Mongolian Plateau (LMio\_noM, Fig. 3 p), Tian Shan (LMio\_noTS, Fig. 3 838 q), Mongolia and Tian Shan (LMio\_noTSM, Fig. 3 n), and finally, Tian Shan and Pamir 839 (LMio\_noTSP, Fig. 3 r). Additionally, LMio\_noAr (Fig. 3 m), displaying an almost 840 fully flooded Arabian Peninsula, is designed to account for the high sensitivity of this region 841 to sea level fluctuations during that period. Note that LMio\_smallT is the paleogeography 842 to which all other late and middle Miocene simulations can be compared to. 843

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#### 4.2.2 $pCO_2$ , ice-sheets, solar constant and vegetation

 $CO_2$  concentrations vary from 1120 ppm in the late Eocene to 560 ppm in the late 845 Miocene (Table 1) (Foster et al., 2017; Rae et al., 2021) and other greenhouse gases are 846 maintained at pre-industrial values. Acknowledging uncertainties regarding precise  $pCO_2$ 847 concentrations during the Cenozoic, we perform two additional sensitivity tests on this 848 parameter by prescribing 560 ppm in a late Eocene simulation (LEo1\_2X) and 420 ppm 849 in a late Miocene simulation (LMio\_1.5X). Late Eocene experiments are considered ice-850 free, as  $pCO_2$  is above the threshold for permanent Antarctic glaciation (Ladant et al., 851 2014; DeConto & Pollard, 2003). Unipolar glaciation over the Antarctic continent is used 852 for the Oligocene, early and mid-Miocene, and both Greenland and Antarctic ice-sheets 853 are present in late Miocene configurations (Bierman et al., 2016). The solar constant is 854 adjusted (Gough, 1981) and set to 1360.19 W.m<sup>-2</sup> for late Eocene and early Oligocene 855 simulations, 1362.92 W.m<sup>-2</sup> for early Miocene simulations and 1364.30 W.m<sup>-2</sup> for late and 856 middle Miocene simulations. Although orbital variations are known to impact monsoonal 857 circulation (Zhisheng et al., 2015; P. Wang et al., 2005; Tardif et al., 2021), we prescribe 858 a modern-like orbital configuration in all simulations because this study focuses on the 859 impact of paleogeography. In the absence of congruent global vegetation reconstructions over 860 the Cenozoic, all the experiments are forced with prescribed latitudinal bands of idealized 861 vegetation cover based on modern distribution, as done in previous studies (Tardif et al., 862 2020; Laugié et al., 2020). 863

#### 864

#### 4.3 Monsoon metrics

The evolution of Asian monsoons over time is assessed through specific markers that are characteristic of the present-day SAM and EAM, such as precipitation amounts, seasonality, and wind direction and strength. The evolution of precipitation seasonality using the Monsoon Precipitation Index (MPI) (B. Wang & Ding, 2008) is expressed as follows:

 $MPI = \frac{Seasonal range of precipitation}{Mean annual precipitation}$ 

where the seasonal range of precipitation is the difference between May to September minus November to March precipitations. Monsoon-like seasonality is considered to occur if the seasonal range is superior to 300 mm and more than half of the precipitation falls during the extended summer season (MPI > 0.5). This metric has the benefit of proposing a discrete metric for seasonality amplitude (from 0 to 1, instead of "with or without" monsoon). It also imposes that the wet season occur in summer, unlike other existing precipitation metrics (such as the ratio of the 3 wettest month over the 3 driest month precipitation, commonly used in paleobotany studies).

873 The wind patterns, described via their shape and strength, are the second fundamental characteristic that we focus on. First, we track the latitudinal migration of the Somali Jet 874 over the Arabian Sea (Fluteau et al., 1999) as a proxy for SASM intensity. Second, we use the 875 Webster-Yang Index (Webster et al., 1998), calculated as the difference between the zonal 876 component of 850 and 200 hPa winds ( $U_{850}$ - $U_{200}$ ) in the region 0°:20°N to 40°E:110°E, to 877 evaluate the wind shear between the low and high troposphere over India, which describes the 878 vigor of the SASM zonal circulation. Third, we use the positive thermal anomaly observed 879 at 300 hPa over northern India in summer as a marker of the deep convection characterizing 880 the modern SASM (Boos & Kuang, 2010; Acosta & Huber, 2020). This anomaly is due to 881 the important latent heat release in the high troposphere provoked by the condensation of 882 moisture in convecting air masses ascending the southern flank of the Himalayas (see Fig. 883 14 d). 884

In addition to the precipitation seasonality, we explore the EASM evolution through the latitudinal displacement of the Jet Stream with respect to Tibetan and inland Asian landforms and with surface wind patterns. The EAWM is tracked principally with the evolution of temperature and pressure gradients, leading to the Siberian High formation in winter, and of its associated wind patterns. We also use the EAWM index (L. Wang & Chen, 2014), that accounts for both the North-South (Siberia-Maritime continent) and West-East (Siberia-North Pacific) pressure gradients and is expressed as follows:

$$I_{EAWM} = (2xSLP_S-SLP_{NP}-SLP_{MC})/2$$

where  $SLP_S$ ,  $SLP_{NP}$  and  $SLP_{MC}$  is the area-averaged Sea Level Pressure (SLP) over Siberia (40°:60°N, 70°:120°E), North Pacific (30°:50°N, 140°E:170°W) and the Maritime continent (20°S:10°N, 110°:160°E), respectively.

#### **5 Results**

For each regional monsoon, we first present the results obtained for the five reference numerical simulations (red box in Fig. 3). The underlying forcing factors are then explored, taking advantage of the sensitivity tests summarized in Table 1. The main outcomes in terms of seasonal winds and precipitations are qualitatively summed up in Table 2.

#### 893

894

# 5.1 The South Asian monsoon, controlled by the orography surrounding the Indian Ocean

#### 895

# 5.1.1 Evolution of spatial and seasonal precipitation patterns

In the late Eocene, a wide arid region (< 500 mm/year) spreads from northern In-896 dia to north of proto Tibet (Fig. 4 a). The extent of this simulated arid region is most 897 likely overestimated, which is further discussed in section 6.1. High mean annual precip-898 itation amounts (> 3000 mm/yr) are simulated over equatorial regions in southern India 899 and southeastern Asia. There is no monsoon-like seasonality according to the MPI index in 900 India, because the rainy season in this region occurs in winter (as previously described in 901 Tardif et al. (2020)). The precipitation pattern evolves from the early Oligocene onwards, 902 as the arid region progressively shifts to Arabia and northern Africa (Fig. 4 e, i, m, q). 903 This allows the penetration of rainfall in summer into southeastern Asia and the onset of a 904

Table 2. Overview of each tested forcing factor on seasonal precipitation and wind circulation simulated in each region. Abbreviations stand for Transition Area (TA), cardinal directions (E, W, S, N), basins mentioned in Figure 1 (e.g. Tajik Basin, Tjk)

			SAM				EAM			Inland	Inland Asia
		Precipitation	ation	Winds		Precipitation	tion	Winds	ds	Precip	Precipitation
	Winter	Winter Summer	Seasonality	Summer	Winter		Summer Seasonality	Summer Winter	Winter	Winter	Summer
No TP (LEo)	ł	+++++			+	1	1	2	+ (W)	ł	Z
High TP uplif (LEo)	ł	+	2		++	+	I			T	٢
TP South (LEo)	I	+			2	+	+			ł	٢
Bengal Sea (LEo)	+	+++	I		+	+	2			+	+
CO2 decrease		+ +	+ (TA)	+	+	ł	ì	٢	+	+	+
(EUI)											
CO2 and sea level fall (EOT)		+ + +	+ (TA, North. India)	++		+++	+	+ (E)	++ (W/ NW)	1	+
East Africa uplift	+	++	- (India)	++++	+	+	I	+ (SW)		+	I
(EMio)			+ (TA, Himalaya)	(Somali Jet)							
Paratethys retreat (LMio)	ł	+ +	+	++++	+	+	ł	+(SW)			ł
Arabian peninsula	+	+	ł	+	T	+	+	ì	+ (NW)	I	ı
emergence (LMio)				(Somali Jet)							
lranian uplift (LMio)	:	+ +	+++++	+++ (Somali Jet)	I	+	+			+	+ +
Mongolia uplift	ł	ł	+		1	ł	++++++		+ + +	+ (orog.)	++(orog)
(LMio)									(NN)		(Gobi, Takl., TS. Tik)
TienShan uplift	+	ł	1		I	ł	+	+ (S)		+(orog.)	+(orog.)
										(Gobi Takl.)	
TienShan+Mongoli	+	ł	+		1	ł	+ + +		+++	+(orog.)	+(orog.)
a uplift (LIVIIO)									(14 VI)	-(Gobi)	-(Takl. Tjk.)
TienShan+Pamir	+	+	I I	+ (Somali	ł	٢	ł	+ (SW)		+(orog.)	++(orog.)
uplift (LMio)				Jet)						-(Gobi, Takl.)	-(Tjk)

monsoon-like seasonality in this region, as well as a reinforcement of monsoon-like seasonal-905 ity in Eastern Asia. Over the course of the Miocene, as India drifts northwards, its southern 906 tip receives less precipitation ( $\sim 1500 \text{ mm/yr}$  in the late Miocene, Fig. 4 q) and the intensity 907 and extent of highly seasonal precipitation increases greatly over southern and eastern Asia 908 (red dotted regions in Fig. 4 e, i, m, q). Orographic precipitation over the southern flank of 909 the Himalayas initiates in the mid-Miocene simulation ( $\sim 900 \text{ mm/yr}$ , Fig. 4 m) and further 910 increases in the late Miocene simulation ( $\sim$ 1200-1500 mm/yr, Fig. 4 q). These changes in 911 precipitation patterns in both space and time are triggered by a profound reorganization of 912 surface pressure patterns and winds throughout the set of Cenozoic simulations. 913

914

## 5.1.2 Summer pressure and wind pattern reorganization

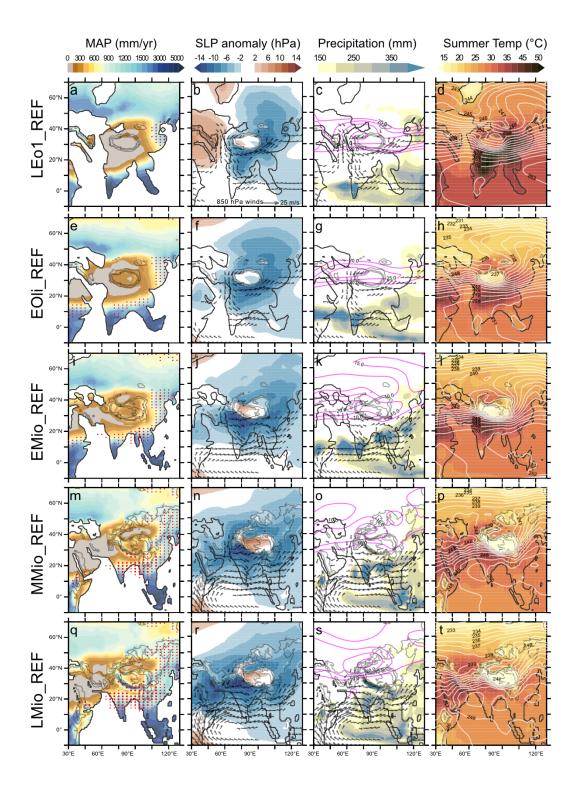
The summer (June to August, JJA) sea level pressure patterns in Eurasia in the late 915 Eocene experiment (Fig. 4 b) contrast strongly with the modern ones (SI Fig. 14 f). A 916 low-pressure center forms, driven by extreme summer temperature over southeastern Asia 917 (up to 50 °C over *Greater India*, Fig. 4 d) whereas the Paratethys Sea and Neotethys 918 Ocean are the locus of a wide high pressure cell (Fig. 4 b). This pressure pattern induces 919 low tropospheric anticyclonic winds that counteract potential moisture advection from the 920 Indian Ocean towards South Asian regions. From the early Oligocene onwards, the shrinkage 921 of the Paratethys Sea and Neotethys Ocean increases Eurasian continentality and the surface 922 of emerged land, such as Anatolia and Arabia. They become the locus of high summer 923 temperatures (35-45 °C, Fig. 4 l, p, t), leading to the progressive widening of the South 924 Asian low-pressure belt, while the Neotethyan anticyclone gradually regresses westward 925 (Fig. 4 f, j, n, r). This low pressure cell reaches its modern-like extent in the mid-Miocene 926 simulation (Fig. 4 n) but a modern-like magnitude ( $\sim$ -14 hPa over Arabia and northern 927 India) occurs only in the late Miocene simulation (Fig. 4 r, SI Fig. 14). 928

Mirroring this progressive strengthening and widening of the summer low-pressure 929 belt over south Asia and Arabia, an increasing amount of moisture-loaded air masses are 930 gradually advected from the Indian Ocean towards the continent over the Cenozoic. A weak 931 cross equatorial flow (<4 m/s, Fig. 4, against  $\sim 25 \text{ m/s}$  today, SI Fig. 14), confined to low 932 latitudes ( $<10^{\circ}$ N, Fig. 5 a, simulations LEo1\_REF and EOIi\_REF), is simulated over the 933 Arabian Sea in the late Eocene and early Oligocene experiments (Fig. 4 b, f). A mostly zonal 934 proto-Somali Jet, also confined to low latitudes (<11°N), is simulated in the early Miocene 935 simulation (Fig. 5 b, simulation EMio\_REF). From the mid-Miocene onwards, and following 936 the reinforcement of the Asian low-pressure belt, the now fully-formed Somali Jet increases 937 in strength and migrates further north over the Arabian Sea ( $\sim 18-24^{\circ}$ N) (Fig. 4 n, r, Fig. 938 5 b, simulations MMio\_REF and LMio\_REF). The simulated tropospheric circulation above 939 southern Asia is drastically rearranged from the late Eocene to the Miocene simulation, as 940 indicated by the Webster-Yang Index. This index doubles between the late Eocene and 941 the early Oligocene (from  $\sim 5$  to 11 m/s, Fig. 5 c, reference simulations LEo1\_REF and 942 EOli\_REF) and keeps increasing in the Miocene (from  $\sim 16$  to 21 m/s, Fig. 5 d, simulations 943 EMio\_REF, MMio\_REF and LMio\_REF). Finally, while a heating of the high troposphere 944 (~300 hPa) due to deep convection is observed in most experiments (Fig. 4 d, h, l, p, t), this 945 heat anomaly relocates over northern India only in the mid-Miocene experiment, following 946 the increase in summer convective precipitation in this region driven by orographic uplift. 947

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# 5.1.3 Limited effect of pCO<sub>2</sub> decrease and alternative Eocene Tibetan Plateau configurations on South Asian summer monsoon evolution

The non-linearity in the response of the Somali Jet through time calls for an individual analysis of the different forcing factors between each of these simulations. Our sensitivity experiments reveal the weak sensitivity of the SASM to  $pCO_2$  changes in comparison to paleogeographic forcing. This is true for the late Eocene experiments, in which a change from 1120 ppm to 560 ppm (difference between experiments LEo1\_2X and LEo1\_REF) only induces minimal variations in the Webster-Yang Index, summer northward ITCZ migration



**Figure 4.** Evolution of summer monsoon diagnostics since the Eocene. **First column:** Mean Annual Precipitation (shading, mm/yr) overlain with regions where the Monsoon Precipitation Index is over 0.5 (thin red dots) and 0.75 (thick red dots); **Second column:** June-August (JJA) normalized Sea Level Pressure (SLP) anomaly defined as the difference between summer SLP and mean annual SLP (shading, hPa) and 850 hPa winds over 4 m/s (vectors); **Third column:** August Jet Stream speed (magenta contour, maximal zonal wind velocity in the mid to high troposphere, in m/s), August monthly precipitation amounts (shading, mm/month) and 850 hPa winds over 4 m/s (vectors); **Fourth column:** JJA mean temperature at 2 m (shading, Celsius) and 300 hPa atmospheric temperature (white contour, Kelvin). On all figure pannels, topography is overlain as gray contours, at 1000 m intervals.

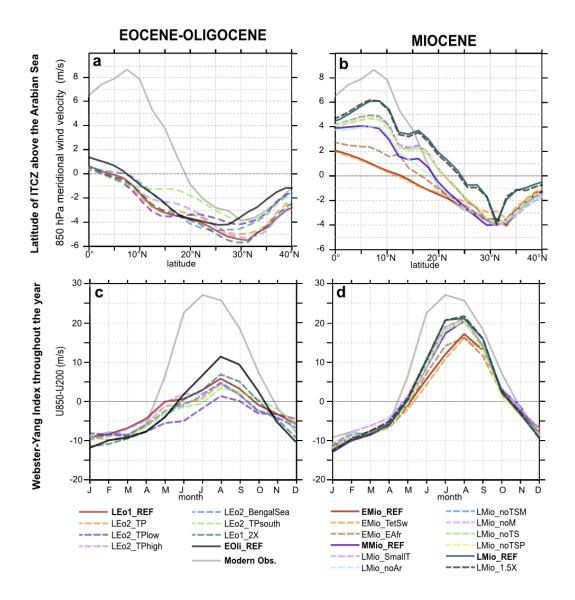


Figure 5. Estimation of the evolution of South Asian monsoon strength in all the simulations via: (**a**, **b**) the calculation of the summer (JJA) cross-equatorial flow latitude over the Arabian Sea, after Fluteau et al. (1999) and (**c**, **d**) the calculation of the Webster-Yang Index (WYI, 850 hPa minus 200 hPa zonal wind velocity, in the region 0:20°N ; 40:110°E, after Webster and Yang (1992)). For better readability, the experiments are split into Eocene-Oligocene (a, c) and Miocene (b, d) simulations. Reference simulations are highlighted in bold characters and continuous lines, while sensitivity tests are in dotted lines.

(Fig. 5 a, c), or monsoon-like seasonality extension (Fig. 7 f). This is also the case for the 956 late Miocene experiments when the  $pCO_2$  is changed from 560 ppm to 420 ppm (difference 957 between experiments LMio\_1.5X and LMio\_REF in Fig. 5 b, d). The paleogeography of 958 Greater India and Tibetan regions have been repeatedly proposed as a driver of monsoon 959 onset or intensification (R. Zhang et al., 2012; Yu et al., 2018; Molnar et al., 1993). While 960 alternative TP configurations lead to slightly different results, their impact on the simulated 961 SASM large-scale wind circulation remains limited (Fig. 5 a, c, Fig. 6). In the late Eocene, 962 increasing TP elevation strengthens the zonal wind shear over India (3000 m, Webster-Yang 963 Index =  $\sim 6$  m/s, for experiment LEo2\_TP, Fig. 5 c) with respect to the Eocene experiment 964 with low TP topography elevation (Webster-Yang Index = 1 m/s, LEo2\_TPlow). Addition-965 ally, the magnitude of the Webster-Yang Index gradually increases with TP latitude, with 966 values of 3 m/s for a southern edge at  $\sim 20^{\circ}$ N (LEo2\_TPsouth), 4 m/s at  $\sim 25^{\circ}$ N (LEo2\_TP), 967 and 6 m/s at  $\sim$  30°N (LEo1\_REF). The presence of a sea inlet flooding *Greater India* in the 968 late Eocene configuration limits the northward migration of the ITCZ in summer (from  $\sim 7$ 969 to 3°N between experiments LEo2\_BengalSea and LEo2\_TP, Fig. 5 c). It also produces a 970 decrease in year-round precipitation, especially in south and inland Asia (Fig. 6 m, n, o). 971

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# 5.1.4 Continentality increase and East African and Iranian uplifts as major drivers of the South Asian monsoon

The changes induced by the TP uplift or by its latitudinal location on South Asian 974 climate are by far out-competed by the effects of land-sea distribution changes, or by the 975 uplift of peripheral mountain ranges (Fig. 5). Indeed, the increase in continentality driven 976 by the Eocene-Oligocene sea level fall induces colder and drier winters (Fig. 7 g), as well 977 as warmer and wetter summers (Fig. 7 h). This results in a monsoon-like seasonality 978 signal expanding over southeastern Asia (Fig. 7 i). It also translates into a doubling of 979 the Webster-Yang Index (from 6 to 11 m/s between experiments EOli\_REF and LEo1\_REF, Fig. 5 c) and an important reorganization of the summer Jet Stream behavior (see section 981 5.2.2). Likewise, increasing continentality during the Miocene appears to be key for inducing 982 a more pronounced migration of the ITCZ over the Arabian Sea (from 18 to 21°N in the 983 more continental case, Fig. 5 b), as well as a slight increase of the Webster-Yang Index 984 (Fig. 5 d). This is achieved either with the emergence of the Arabian Platform (Fig. 8 h), 985 or with the shrinkage of the Paratethys Sea (Fig. 8 e). In both cases, the amplification of 986 the summer low pressure belt increases moisture advection from the Arabian Sea, leading to 987 enhanced summer rainfall in the SAM domain. The increase in precipitation seasonality in the SAM domain is, however, more widespread with a Paratethys Sea retreat than with an 989 emerged Arabian platform (Fig. 8 f, i), due to the contrasted effect of these paleogeographic 990 changes on winter precipitation (Fig. 8 d, g). Both events are also shown to strongly impact 991 central Asian aridity and the climate of the EAM domain. 992

Additionally, the buildup of both East African (difference between experiments EMio\_EAfr-993 EMio\_REF) and Anatolian-Iranian landforms (difference between experiments LMio\_REF-994 LMio\_smallT) is critical for the amplification of the ITCZ migration and strengthening of 995 the SAM. Each uplift triggers a  $\sim 4-5^{\circ}$  northward migration of the ITCZ over the Arabian 996 Sea in summer, as well as a slight increase in the Webster-Yang Index in July (Fig. 5 b, d 997 and SI Fig. 16). The uplift of East African landforms under Early Miocene conditions rein-998 forces the Somali Jet and brings moisture to Iran and the SAM domain in summer (Fig. 9 999 e), which results in an intensification of monsoon-like seasonality in the Himalayan foothills 1000 and in southeastern Asia (mainly Myanmar, Fig. 9 f). Anatolian-Iranian landforms' final 1001 uplift under late Miocene conditions promotes winter aridity and summer precipitation in 1002 the SAM domain through a large strengthening of the Somali Jet, resulting in reinforced 1003 1004 monsoon-like seasonality (Fig. 9 j, k, l). By preventing the advection of drier westerly midlatitudes winds to lower latitudes, the Anatolian-Iranian Plateau channels humidity towards 1005 inland Asia in summer, reducing the aridity of the region. On the other hand, the sole effect 1006 of the Tethyan Seaway closure, without strong modification of land extension in the Arabian 1007 Peninsula region, remains limited in our experiments (+1 m/s for the Webster-Yang Index)1008

between experiments EMio\_REF and EMio\_TetSw in Fig. 5 d), in contrast to the hypothesis that the closure of the Tethyan Seaway may contribute to altering the intensity of the monsoon during the Miocene (Bialik et al., 2019, 2020; Rögl, 1997; J. Sun et al., 2021),

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# 5.2 The East Asian monsoon under the combined influence of Asian orography, continentality and $pCO_2$

# 5.2.1 East Asian summer monsoon: precipitation patterns and summer Jet Stream position evolution

As opposed to the South Asian region, monsoon-like precipitation seasonality is sim-1016 ulated in eastern Asia in all reference experiments, although it remains initially confined 1017 to northeastern China in the Eocene (Fig. 4 a). As this region of monsoon-like precipi-1018 tation expands to southeastern and inland China throughout the Cenozoic and reaches a 1019 modern-like extent in the mid-Miocene experiment, the Paleogene broad zonal arid band 1020 retreats from China (Fig. 4 m). Today, precipitation in eastern China is triggered by the 1021 penetration of the Meiyu-Bayu front as it follows the migration of the Jet Stream north of the TP during summer (Kong et al., 2017). In our simulations, the summer Jet Stream (ap-1023 proximated by the location of maximum zonal wind velocity in the troposphere in August) 1024 circulates above the proto TP and eastern China in a strong zonal flux in the Eocene and 1025 Oligocene experiments (up to 30-35 m/s centered at  $\sim$ 30°N Fig. 4 c, g). It then weakens 1026 (10-20 m/s) and progressively migrates north of the TP in the Miocene experiments (Fig. 4 1027 k, o, s). The Jet configuration evolves from purely zonal (east-west) to more SW-NE. This 1028 relocation of the Jet Stream is accompanied by increased precipitation in eastern China, 1029 southeastern Siberia and Japan, reaching 200-300 mm in August (Fig. 4 k, o, s), which 1030 locally accounts for up to 25% of the annual precipitation. These results suggest that the 1031 evolution of the Jet Stream seasonal displacement indeed amplifies the EASM rainy season, 1032 although seasonal precipitation is simulated prior to the Jet acquiring its modern position 1033 in summer. 1034

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# 5.2.2 Summer Jet Stream migration driven by $pCO_2$ decrease at the EOT and Tian Shan uplift in the Miocene

Our sensitivity experiments allow us to identify the drivers responsible for the estab-1037 lishment of modern-like Jet Stream seasonal migrations over Asia. All 1120 ppm Eocene 1038 simulations display a behavior of the Jet opposite to its modern counterpart, with a north-1039 ward migration in winter and a southward migration in summer independent of the TP 1040 configuration (Fig. 10 a, Fig. 6 e, h, k, n). Halving  $pCO_2$  at the EOT reverses this ten-1041 dency (LEo1\_2X and EOli\_REF, compared to LEo1\_REF), inducing a migration north of 1042 the TP in late summer to early fall (Fig. 10 a, Fig. 7 e, h) and an important decrease in 1043 winter zonal velocity ( $\sim 50$  m/s against  $\sim 70$  m/s in other Eocene simulations, Fig. 10 a). 1044 This northward migration is however restricted to the TP region and the Jet Stream remains 1045 at low latitude over eastern Asia ( $\sim 35^{\circ}$ N, Fig. 10 b, SI Fig. 18), with low summer rainfall 1046 amounts (100-150 mm/month). All subsequent Miocene simulations display an important 1047 latitudinal migration of the Jet over the year, both in the TP region (Fig. 10 c, e) and over 1048 eastern Asia (Fig. 10 d, f). The northward migration of the Jet is comparable between 1049 early Oligocene and early Miocene experiments (up to  $\sim 38-40^{\circ}$ N in September), despite a 1050 higher (from 3000 to 4000 m) and wider TP, due to the incipient uplift of northeastern 1051 and northwestern TP portions in the early Miocene simulation (the Tian Shan is  $\sim 1000$  m 1052 and the NE Tibet  $\sim 2000$  m high). We therefore suggest that the Jet Stream latitudinal 1053 migration is primarily driven by  $pCO_2$  decrease and resulting changes in tropospheric tem-1054 1055 perature gradients, and that a significant threshold in the behavior of the Jet Stream may be crossed at the greenhouse-icehouse transition (EOT,  $\sim 34$  Ma). In contrast, variations in 1056 the Jet Stream latitudinal migration during the Miocene appear to be driven by topographic 1057 changes. Indeed, sensitivity experiments show that the Tian Shan, and to a lesser extent 1058 Mongolian and Pamir topographies, are critical to deviate the Jet northward in summer, 1059

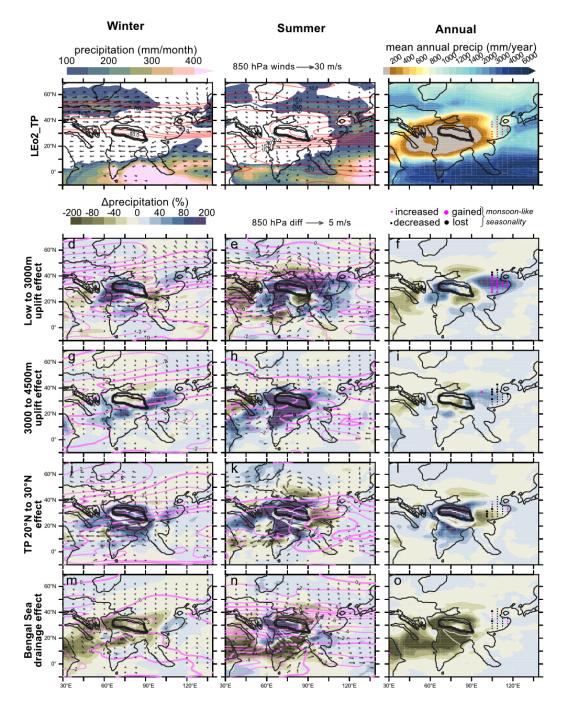


Figure 6. Sensitivity to the Tibetan configurations on Eocene climate: (a, d, g, j, m) winter precipitation (shading), 850 hPa winds (vectors), jet stream speed (contour); (b, e, h, k, n) on summer precipitation (shading), 850 hPa winds (vectors), jet stream speed (contour); (c, f, i, l, o) mean annual precipitation (shading) and monsoon-like seasonality according to the MPI index (red dots). Sensitivity tests are compared to the LEo2\_TP simulation (a, b, c) and anomalies (d to o) are expressed as "test - LEo2\_TP", except for the "lowered TP effect" which is "LEo2\_TP - LEo2\_TPflat"). Precipitation anomalies (shading) are expressed in % and normalized by the averaged precipitation of both simulations (therefore, a change from or to zero mm/year accounts for +/- 200%). In (f, i, l, o): four types of seasonality change induced by uplift are displayed (e.g., regions showing a decrease of monsoon-like seasonality after lowering the TP are in small black dots) ; white and black topographic contours indicate the LEo2\_TP and tested topography.

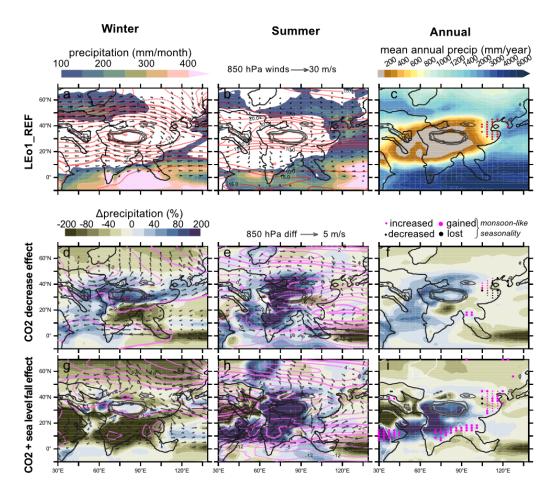


Figure 7. Influence of  $pCO_2$  decrease and sea level fall at the EOT on: (**a**, **d**, **g**) winter precipitation (shading), 850 hPa winds (vectors), Sea Level Pressure (contour); (**b**, **e**, **h**) on summer precipitation (shading), 850 hPa wind (vectors), jet stream speed (contour); (**c**, **f**, **i**) mean annual precipitation (shading) and monsoon-like seasonality according to the MPI index (red dots). Sensitivity tests are compared to the LEo1\_REF simulation (**a**, **b**, **c**) and anomalies (**d to i**) are expressed in order to highlight the impact of the test (i.e. test-LEo1\_REF). We refer the reader to Figure 6 for an extended description of the pannels.

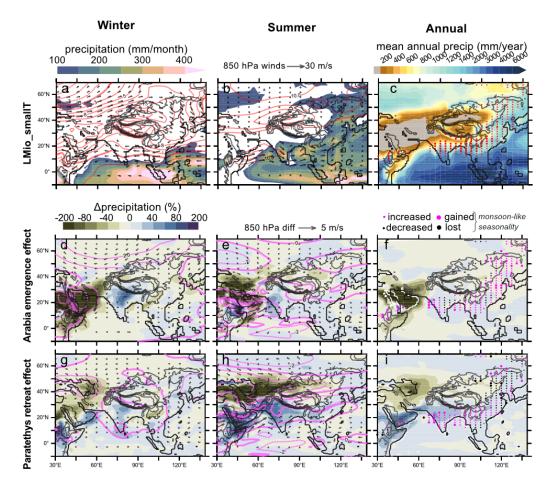


Figure 8. Influence of continentality increase in a mid-late Miocene context on: (a, d, g) winter precipitation (shading), 850 hPa winds (vectors), Sea Level Pressure (contour); (b, e, h) on summer precipitation (shading), 850 hPa winds (vectors), jet stream speed (contour); (c, f, i) and on mean annual precipitation (shading) and monsoon-like seasonality according to the MPI index (red dots). Sensitivity tests are compared to the LMio\_smallT simulation (a, b, c) and anomalies (d to i) are expressed in order to highlight the impact of the continentality increase (i.e. LMio\_smallT-test). We refer the reader to Fig. 6 for an extended description of the pannels.

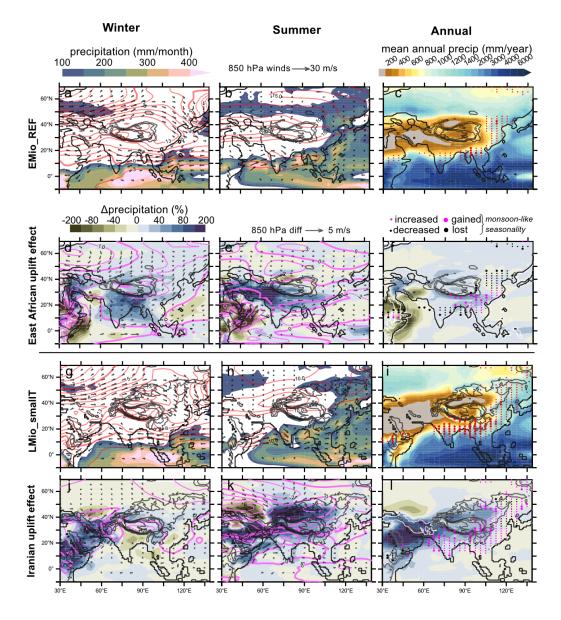


Figure 9. Influence of East African uplift in the early Miocene (a to f) and of Anatolian-Iranian uplift in the late Miocene (g to l) on: (a, d, g, j) winter precipitation (shading), 850 hPa winds (vectors), Sea Level Pressure (contour); (b, e, h, k) on summer precipitation (shading), 850 hPa winds (vectors), jet stream speed (contour); (c, f, i, l) and mean annual precipitation (shading) and monsoon-like seasonality according to the MPI index (red dots). East African (Anatolian-Iranian) uplift is compared to the EMio\_REF simulation (LMio\_SmallT) (a, b, c and g, h, i) and anomalies (d, e, f and j, k, l) are expressed in order to highlight the impact of uplift (i.e. EMio\_EAfr-EMio\_REF and LMio\_REF-LMio\_smallT respectively). We refer the reader to Figure 6 for an extended description of the pannels.

both in the TP region and in eastern Asia (from 39-41°N in LMio\_noTS, LMio\_noTSP, 1060 LMio\_noTSM and LMio\_noM to 43°N in MMio\_REF and LMio\_REF, Fig. 10 e, Fig. 11 h, 1061 k, n). Northward migration of the Jet Stream in summer induces or orgraphic precipitation 1062 on the uplifted landforms, aridification in the windward regions (-20 to -60% of summer pre-1063 cipitation in eastern Kazakhstan and Uzbekistan) and increased summer rainfall in eastern 1064 Asia (Fig. 11 e, h, k, n). Monsoon-like seasonality in eastern Asia (magenta dots in Fig. 11 1065 f, i, l, o) also greatly increases, due to the important effect of uplift of these mountains on 1066 wintertime climate as well (see section 5.2.4). On the other hand, changes in the configura-1067 tion of the Tethyan Seaway in the early Miocene (comparing EMio\_REF and EMio\_TetSw), 1068 the Anatolian-Iranian Plateau uplift (comparing LMio\_SmallT and LMio\_REF), or a pCO<sub>2</sub> 1069 decrease (comparing LMio\_REF and LMio\_1.5X) in the late Miocene, do not yield important 1070 variations in the Jet Stream migration (Fig. 10 c to f). 1071

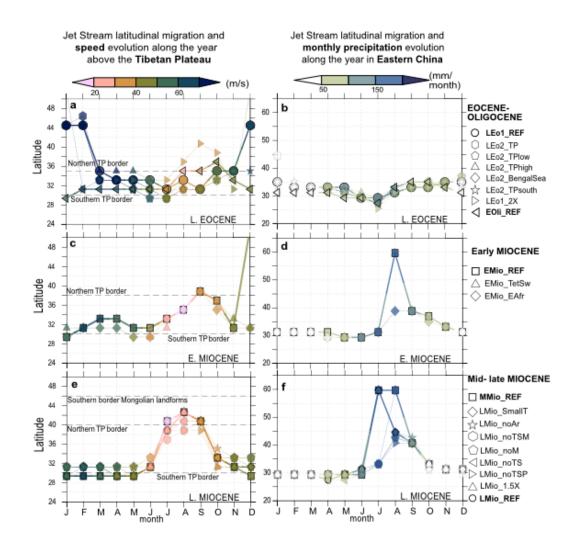


Figure 10. Jet Stream behavior throughout the year over the Tibetan Plateau and eastern China for all sensitivity experiments, divided into (up) late Eocene, (mid) early Miocene and (bottom) mid to late Miocene. (left) Jet Stream latitudinal migration and speed (shading) evolution along the year in the Tibetan region (averaged over 80:90°E). Mean latitudes of the northern and southern bounds of the Himalaya-Tibet and Mongolian landforms are indicated. (right) Jet Stream latitudinal position and precipitation amounts (shading) throughout the year in east Asia (averaged over 100:120°E).

#### 5.2.3 East Asian winter monsoon: the Siberian High genesis

Our results suggest that pressure and wind patterns characterizing the modern EAWM 1073 established progressively, following an important reorganization of surface temperature gra-1074 dients (Fig. 12, Fig. 13). In our Eocene experiment, a high-pressure band centered around 1075 30°N is simulated but remains mainly zonal, following the large-scale winter descending 1076 branch of the Hadley cell driven by latitudinal surface temperature gradients (Fig. 12 b). 1077 The temperature difference between the Tibetan region ( $\sim 10$  °C, located below the high 1078 pressure cell) and neighboring North Pacific Ocean ( $\sim 30$  °C) remains relatively low ( $\sim 20$ 1079  $^{\circ}$ C, Fig. 12 a) compared to modern winter temperature difference (~40  $^{\circ}$ C, Fig. 14 h). As 1080 a result, no significant pressure difference is simulated between the Asian continent and the 1081 North Pacific in the Eocene and the calculated EAWM index is low (<5, Fig. 13), reflecting 1082 only the North-South pressure gradient between Asia and the Maritime Continent. The 1083 extent of the Asian high pressure zone increases gradually in the early Oligocene and early 1084 Miocene experiments (Fig. 12 d, f), but a modern-like Siberian High is only simulated in the 1085 mid and late Miocene experiments, when the anticyclone relocates over Siberia ( $\sim 40-60^{\circ}$ N, 1086 Fig. 12 h, j). Additionally, the (North Pacific) Aleutian low relocates 10° to the south from the early Oligocene onwards and steadily weakens until the late Miocene (from over 1088  $\sim$ 14 to 10 hPa anomaly). These changes concur to progressively increase both North-South 1089 and East-West pressure gradients, and therefore the EAWM index, which reaches maximal 1090 values in the mid and late Miocene experiments ( $\sim 15$ , Fig. 13). Following this simulated 1091 pressure pattern reorganization, low tropospheric winds circulating over China display a 1092 progressive change in orientation: while remaining primarily zonal in the Paleogene and 1093 early Miocene experiments (Fig. 12 b, d), they increasingly tilt in the meridional direction 1094 under the development of the Siberian High in the mid and late Miocene experiments (Fig. 1095 12 h, j). This profound reorganization of atmospheric circulation is driven by temperature 1096 gradient changes and mainly due to the progressive cooling of the Tibetan, Mongolian and 1097 Siberian regions, as illustrated by the  $\sim 22^{\circ}$  southward shift of the 0 °C isotherm between 1098 the late Eocene and the late Miocene simulations (Fig. 12 a, i). 1099

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#### 5.2.4 Siberian High inception driven mainly by Mongolian uplift in the Miocene and its impact on precipitation seasonality

Our sensitivity experiments reveal the very strong impact of the Mongolian landform 1102 uplift (alone or together with the Tian Shan) in the mid-late Miocene on Asian winter 1103 pressure patterns. Indeed, their growth induces a strengthening of both the Siberian High 1104 (up to  $\sim 6$  hPa, Fig. 11 d, g) and the Aleutian Low, resulting in a reinforcement of the 1105 East-West and North-South pressure gradients and ultimately of the EAWM index (from 1106  $\sim 8$  to  $\sim 15$ , Fig. 13). Mongolian (and/or Tian Shan and/or Pamir) uplift also results in 1107 localized precipitation intercepted by the landforms (Fig. 11 d to o), despite the overall 1108 dryness of the inland Asian region (SI Fig. 15). As Mongolian uplift deviates the winter 1109 westerlies flux northward, Eastern China is swept by colder and drier winds coming from 1110 Siberia, which translates into a marked decrease in winter precipitation (up to 80% decrease, 1111 Fig. 11 d). These changes in the wintertime climate lead to an important expansion of 1112 regions displaying monsoon-like precipitation seasonality in eastern Asia (Fig. 11 f, i). 1113 The uplift of Tian Shan landforms, alone or together with the Pamir, has a lower impact 1114 on the winter pressure patterns compared to Mongolia uplift (Fig. 13) but nonetheless 1115 generates an almost complete drying on their eastern flanks (modern Gobi and Taklimakan 1116 deserts) because they act as an orographic barrier to westerly moisture flux (Fig. 11 g, j, 1117 m). Considering other sensitivity experiments, Asian winter climate exhibits only a weak 1118 response to changing Tibetan orography or  $pCO_2$  values for Eocene conditions (SI Fig. 17 1119 1120 a to g, Fig. 13). Likewise, the Miocene winter pressure patterns in Asia are only mildly impacted by the Anatolian-Iranian landform uplift, the closure of the Tethyan Seaway, the 1121 Paratethys extent, or a  $pCO_2$  drop from 560 to 420 ppm, when compared to the effect 1122 triggered by the Mongolian Plateau uplift (Fig. 13, SI Fig. 17 i to n and s, t). 1123

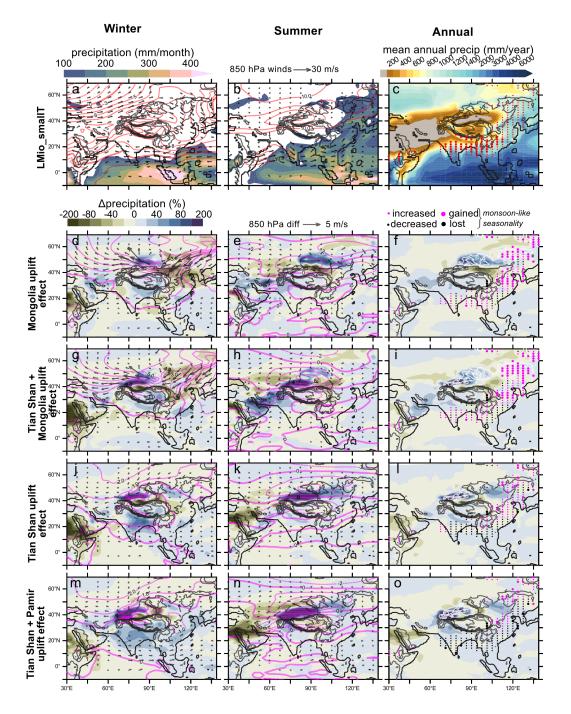


Figure 11. Influence of Mongolia, Tian Shan and Pamir regional uplifts on (left column) winter precipitation (shading), 850 hPa winds (vectors), Sea Level Pressure (contour) ; (middle column) on summer precipitation (shading), 850 hPa wind (vectors), jet stream speed (contour); (right column) mean annual precipitation (shading) and monsoon-like seasonality according to the MPI index (red dots). Sensitivity tests are compared to the LMio\_smallT simulation (a, b, c) and anomalies (d to o) are expressed in order to highlight the impact of the uplift (i.e. LMio\_smallT-test). We refer the reader to Figure 6 for an extended description of the pannels.

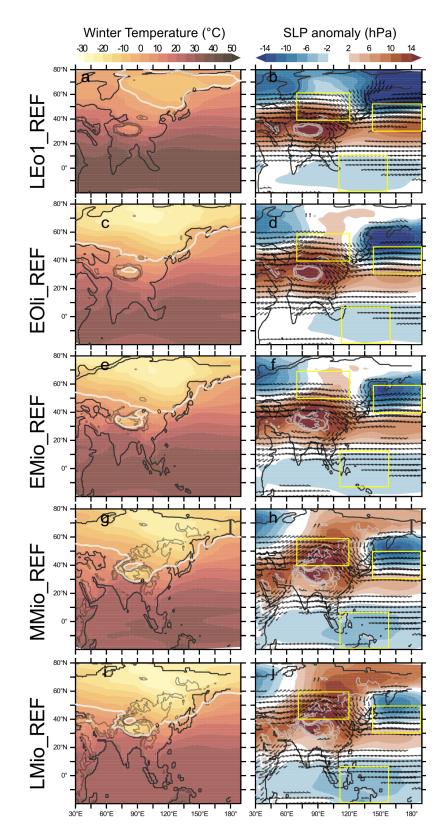


Figure 12. Evolution of the winter monsoon diagnostics throughout the Cenozoic. Left column: December-February (DJF) mean temperature at 2 m (shading, Celsius), and 0 °C isotherm (white contour); Right column: DJF normalized Sea Level Pressure anomaly (shading, hPa) and 850 hPa winds above 4 m/s (vectors). In all captions, topography is overlain in gray contour each 1000 m. Yellow boxes indicate the regions over which the sea level pressure gradients are calculated for the East Asian Winter Monsoon Index, presented in section 4.3

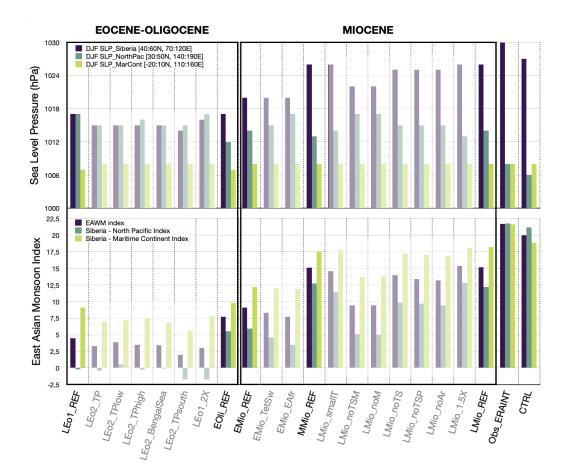


Figure 13. Upper panel: December-February (DJF) Sea Level Pressure (hPa) evolution over Siberia, North Pacific and the Maritime Continent ; lower panel: Evolution of the East Asian Winter Monsoon index (purple) and of the East-West and North-South regional indexes throughout the different reference and sensitivity experiments, after (L. Wang & Chen, 2014).

## 1124 6 Discussion

A long-standing debate pits the effect of continentality against that of orography as 1125 the main driver of Asian climate evolution (Prell & Kutzbach, 1992; Kutzbach et al., 1993; 1126 Ramstein et al., 1997; Fluteau et al., 1999; Z. Zhang et al., 2007; Roe et al., 2016; Zoura 1127 et al., 2019; R. Zhang et al., 2021). Our results (summarized in Table 2) suggest that 1128 these two features impacted different climatic characteristics of the Asian monsoons and all 1129 contributed to the emergence of current Asian climate. In the following sections, we put our 1130 main findings in perspective with available paleoclimatic indicators and previous modeling 1131 1132 work, and tackle specific questions raised in section 2.4.

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## 6.1 Summer monsoons in the Eocene: driven by $pCO_2$ , Tibetan paleogeography or continentality ?

Although the LEo1\_REF experiment simulates the seasonally wet climate indicated 1135 by eastern Chinese floras well (Meng et al., 2018; Y. Xie et al., 2022), it fails to identify the 1136 subhumid to humid climate inferred from fossil paleoflora and paleofauna in northern India 1137 (Saxena & Trivedi, 2009) and Tibet (T. Su et al., 2020; Sorrel et al., 2017). In a previous 1138 study, this south Asian aridity bias in our experiment was interpreted as resulting from the 1139 hot surface temperatures simulated in India, together with strong mid-tropospheric subsi-1140 dence that inhibits deep convection and condensation, especially over northern India (Tardif 1141 et al., 2020). However, a previous study using the same model and paleogeography was able 1142 to simulate monsoon-like climate in both the EAM and SAM domains, providing that or-1143 bital configurations induced summer solar maxima (Tardif et al., 2021). This orbitally-paced 1144 wet/dry climate dichotomy is, at least qualitatively, in agreement with stratigraphic and pa-1145 leobotanic evidence from southern and eastern China (C. Huang & Hinnov, 2019; Licht et 1146 al., 2014) and hints at a strong sensitivity of the South Asian region to surface tempera-1147 ture gradients in the Eocene. Such sensitivity seems further confirmed by the fact that, in 1148 the present study, South Asian Eocene climate appears especially sensitive to experiments 1149 affecting land-sea distribution and global temperature (LEo1\_2X and LEo2\_BengalSea, SI 1150 Fig. 15 f, g). 1151

The East Asian monsoon domain, on the other hand, displays sensitivity to topo-1152 graphic changes in the Tibetan region. We simulate that an uplift of a proto-TP (LEo2\_TP 1153 - LEo2\_TPLow) would result in an onset or increase in EAM monsoon-like precipitation 1154 seasonality due to increased summer precipitation (up to 50%, Fig. 6 e, SI Fig. 15 b, c, 1155 d), in agreement with previous modeling work (R. Zhang et al., 2018; R. Zhang, Jiang, & 1156 Zhang, 2017; R. Zhang et al., 2021; Z. Zhang et al., 2007; X. Liu & Yin, 2002; X. Li et al., 1157 2017; Farnsworth et al., 2019; Thomson et al., 2021). Likewise, the decreased EAM intensity 1158 and increased inland Asian aridity when the souther adge of the TP is situated at 30°N is 1159 coherent with a previous study testing the impact of the latitudinal position of the incipient 1160 TP (R. Zhang et al., 2018). Therefore, the inception or reinforcement of the East Asian 1161 summer monsoon in the Eocene seems intertwined with the TP evolution history, as well 1162 as with existing topography before the TP uplift (e.g. the Lhasa terrane), although their 1163 paleoelevation and extent is not well constrained. Furthermore, we show that monsoon-like 1164 rainfall seasonality may have occurred prior to the establishment of modern-like East Asian 1165 winter monsoon circulation and Jet Stream summer migration, which were likely established 1166 during the late Paleogene or the Neogene. 1167

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# 6.2 Forcing factors of wintertime climate variability

The observation of loess deposits in northeastern Tibet as early as 40 Ma was interpreted as resulting from the periodic inception (or reinforcement) of the Siberian High in winter due to enhanced continentality after the Paratethys Sea retreat (Meijer et al., 2021). Other loess deposits reported during the Oligocene in the Chinese Loess Plateau and Mongolia, apparently coeval with periods of cooling and ice-sheet expansion (J. Sun &

Windley, 2015; Wasiljeff et al., 2022), further suggest the presence of a Siberian High and 1174 corresponding dust transport in the late Paleogene. The potential forcing factors driving the 1175 establishment of this winter pressure pattern have, however, been significantly less studied 1176 than summer monsoons dynamics, with studies mostly focusing on the impact of orography 1177 rather than continentality (White et al., 2017; Sha et al., 2015; Baldwin & Vecchi, 2016). 1178 Our results suggest that both increased continentality and regional uplift contributed to-1179 gether to the progressive inception of the modern Siberian High, while  $pCO_2$  decrease alone 1180 had a lesser impact (Fig. 13). A modern-like Siberian High with typical EAWM wind 1181 patterns is however not simulated until our middle and late Miocene simulations, when the 1182 Tian Shan, but especially the Mongolian landforms, are set to their present-day elevation 1183 in the model. 1184

Previous modeling work based on present-day geography that tested the effect of Mon-1185 golian landform uplift (Sha et al., 2015; Shi et al., 2015; Yu et al., 2018; R. Zhang, Jiang, 1186 Zhang, Cheng, & Zhang, 2017) reproduced the same tendencies that we present here, namely 1187 a strong increase of the winter sea level pressure over Siberia and typical deviation of the 1188 westerlies towards northern latitudes resulting in a pronounced drying of eastern Asia and 1189 EAWM amplification (Fig. 11 d). Other studies focusing more on the summer monsoon 1190 simulate increased EASM precipitation and wind circulation, although they incorporate 1191 Tian Shan uplift together with Mongolia (Yu et al., 2018; R. Zhang et al., 2012; H. Tang 1192 et al., 2013; Zhisheng et al., 2001), which remains coherent with our findings testing the 1193 uplift of both features (Fig. 11 h). Although the effect of Mongolian landforms has not 1194 been explicitly tested in paleoclimate modeling studies using realistic paleogeographic re-1195 constructions, we can generally observe that when a modern-like Mongolian orography is 1196 prescribed, the simulated winds and precipitation are close to the modern-like EAWM (Licht 1197 et al., 2014; X. Li et al., 2017), while this is not the case with a reduced Mongolia eleva-1198 tion (Huber & Goldner, 2012). Given the large uncertainties related to the Hangay Dome 1199 uplift history, performing additional experiments testing the impact of an early uplift in an 1200 Oligocene configuration could enable one to evaluate the potential presence of a Siberian 1201 High at that time, and therefore help interpret early loess-like deposits observed at that 1202 time in the Chinese Loess Plateau and Mongolia (J. Sun & Windley, 2015; Wasiljeff et al., 1203 2022). Loess-like deposition in the Xining Basin at  $\sim 40$  Ma, however, seems too old to 1204 be attributed to the development of the modern Siberian High, given that Mongolia was 1205 probably at low elevation and that continentality alone does not seem sufficient to trigger a 1206 modern-like Siberian anticyclone. This suggests that alternative mechanisms for dust trans-1207 port should be explored for this period, although testing this hypothesis would require new 1208 data such as daily to hourly wind speed model outputs (Aoki et al., 2005). 1209

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# 6.3 The critical role of peripheral landforms in the establishment of monsoon seasonal features in the Miocene

In agreement with previous studies (R. Zhang, Jiang, & Zhang, 2017; Acosta & Huber, 1212 2020; H. Tang et al., 2013), our results highlight the key role played by the Anatolian-1213 Iranian Plateau uplift in modern-like SAM establishment, as it reinforces both summer 1214 rainfall and winter aridity (Fig. 9 j, k). The effect of the Tian Shan uplift on Asian climate 1215 is less conspicuous, likely owing to the proximity of these mountains to other important 1216 landforms (e.g. Pamir-Karakoram, Anatolian-Iranian Plateau, Mongolia), which may result 1217 in competing effects on the regional climate. While a rigorous comparison between our 1218 results and previous studies is hampered by many diverging boundary conditions, all tend 1219 to indicate that the Tian Shan uplift would have: i) increased yearly orographic precipitation 1220 on the ranges, ii) decreased precipitation in inland Asian mid-latitudes and iii) reinforced the 1221 1222 EAM through increased summer precipitation (Fig. 11 m, n, o) (Baldwin & Vecchi, 2016; H. Tang et al., 2013; Yu et al., 2018; R. Zhang, Jiang, & Zhang, 2017; R. Zhang, Jiang, 1223 Zhang, Cheng, & Zhang, 2017). The key role played by the Tian Shan ranges (together with 1224 the Pamir and Mongolian landforms) in triggering the Jet Stream northward migration in 1225 summer (SI Fig. 18 e, f, Fig. 9 e, h, k, n), as suggested by previous modeling work derived 1226

from present-day geography (Sha et al., 2020; Shi et al., 2015), likely contributes to this 1227 EASM intensification. Substantial differences are nevertheless noted with other modeling 1228 studies, especially regarding the impact of Tian Shan uplift on inland Asian and northeastern 1229 Indian climate, likely due to these regions' key location on the westerlies path. Westerlies may indeed be deviated either to the North or the South, depending on the respective 1231 degree of elevation of the Iranian-Anatolian Plateau and the Tian Shan. While we simulate 1232 a weakening of SAM rainfall seasonality due to increased winter precipitation after the Tian 1233 Shan uplift, the opposite trend is simulated in studies where the Anatolian-Iranian Plateau 1234 is already fully uplifted at the time of the Tian Shan uplift, thus preventing the westerlies 1235 from bringing moisture to India (Baldwin & Vecchi, 2016; H. Tang et al., 2013; Yu et al., 1236 2018; R. Zhang, Jiang, & Zhang, 2017; X. Wang et al., 2020). 1237

Our set of simulations also highlights that East African topography can strongly impact 1238 the Neogene evolution of the SASM as it greatly contributes to the establishment of modern-1239 like atmospheric circulation, as discussed by Sarr et al. (2022). The presence of intermediate 1240 to high elevation topography in East Africa in the early Miocene is for example crucial 1241 for the "early" bending of the Somali Jet, before the closure of the West Tethys Seaway 1242 and establishment of Anatolian-Iranian topography with modern-like elevation (Fig. 9 e). 1243 Increasing elevation in Eastern Africa is also responsible, in our simulations, for increasing 1244 SASM precipitation. This contrasts with previous studies that simulate reduced SASM 1245 precipitation, due to African topography blocking the advection of moisture from equatorial 1246 Africa towards India (H.-H. Wei & Bordoni, 2016; Chakraborty et al., 2009). We hypothesize 1247 that this difference may come from these studies using present-day paleogeography, and/or 1248 unchanged fixed sea surface temperatures for all the experiments, thereby neglecting the 1249 potential effect of the East African uplift on Indian Ocean surface temperature gradients. 1250 It is also very likely that the impact of East African highlands uplift on South Asian rainfall 1251 regime may be modulated by the degree of uplift in the Iranian-Anatolian region. Better 1252 constraints on the relative degree of uplift of these different mountain ranges in the past, 1253 although seldom taken into account, is therefore critical to interpreting paleoenvironmental 1254 indicators. 1255

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# 6.4 Continentality increase in the Miocene and acts as an amplifier of SAM, EAM and inland aridity

The northward motion of the ITCZ over the Arabian Sea and the stronger SASM 1258 precipitation in response to continentality increase in the Miocene is a feature clearly sim-1259 ulated in our experiments, and which is obtained with either the Paratethys Sea retreat or 1260 an emerging Arabian Peninsula (Fig. 8 e, h). The role of the Paratethys Sea retreat as a 1261 vector of amplified summer low pressure and resulting moisture advection via a strength-1262 ened Somali Jet is well established by modeling experiments (Ramstein et al., 1997; Fluteau et al., 1999; R. Zhang et al., 2021; Z. Zhang et al., 2007). The Paratethys Sea retreat 1264 also drastically dries the winter-time "westerly corridor" (centered around 40°N, Fig. 8 h), 1265 resulting in increased inland Asian aridity (Fig. 8 i), here again mostly in coherence with 1266 previous modeling studies (R. Zhang et al., 2021; Z. Zhang et al., 2007), and field evidence 1267 (Palcu et al., 2021). On the other hand, the effect of increased land exposure in the Arabian 1268 Peninsula region on Asian climate has been, to our knowledge, significantly less explored by 1269 modeling studies and is rarely mentioned as a potential forcing factor in proxy data studies. 1270 We tentatively attribute this to the lack of constraints and data in this region. Given the 1271 shallow depth and extension of the Arabian Peninsula (roughly comparable to India's area), 1272 it is very likely that the episodes of partial or complete flooding that occurred throughout 1273 the Oligocene to late Miocene (Barrier et al., 2018; Otero & Gayet, 2001) may have had 1274 1275 great consequences on atmospheric circulation patterns. Following Sarr et al. (2022), our results show that increased peninsula exposure reinforces the Somali Jet in summer and en-1276 hances summer precipitation in SAM and EAM domains (Fig. 8 e). Additionally, it drives 1277 the onset of upwelling in the Arabian Sea (see Sarr et al. (2022)), which is traditionally 1278 used as a proxy for the establishment of a monsoon wind regime in South Asia. Our simu-1279

lations suggest that a proto Somali Jet and intense summer precipitation were most likely
established in the middle Miocene, before the Arabian Peninsula emergence, and therefore
before any strong upwelling activity initiated in the Arabian Sea. In addition, the onset of
upwelling in the Arabian Sea was likely uncorrelated to potential Miocene uplift events in
the Himalaya-Tibet region (Sarr et al., 2022).

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#### 6.5 Persistent uncertainties and directions for future work

Our study demonstrates the key role played by land-sea distribution and by all to-1286 pographic features surrounding the Indian Ocean in shaping the modern SAM, EAM and 1287 inland Asia aridity. Nevertheless, additional work is required both from modeling and in the 1288 field to help refine our understanding of the mechanisms at play throughout the Cenozoic 1289 in Asia. By showing the influence of several geographical units on Asian climate during 1290 different geological periods, our results highlight the conceptual limitations of performing 1291 tests based on present-day geographies or assuming homogeneous Asian orographic uplift 1292 throughout geological history to evaluate past monsoon evolution. In that respect, it is not 1293 surprising that most of those type of studies simulate modern-like summer and winter mon-1294 soons features when maintaining high-elevation African, Mongolian and Anatolian-Iranian 1295 landforms, as well as an emerged Arabian platform (Roe et al., 2016; Zoura et al., 2019; 1296 Acosta & Huber, 2020). Our results also suggest that some paleogeographic events consid-1297 ered roughly coeval may have had opposite influences on the Asian climate's seasonal fea-1298 tures, for example the Tian Shan and Anatolian-Iranian Plateau uplift discussed in section 1299 6.2. Refining the relative chronology of these various uplift phases is therefore paramount to 1300 better interpret the variations in monsoon intensity recorded by proxy data. Additionally, 1301 paleoelevation thresholds are likely to be critical for constraining Asian climate evolution, 1302 due to interactions of the topography with either low level (e.g. the summer monsoon winds) 1303 or mid tropospheric (e.g. the Jet Stream) air masses (X. Liu & Yin, 2002; X. Wang et al., 2020; Zhisheng et al., 2001; Prell & Kutzbach, 1992). 1305

We also alwowledge that a better understanding of the seasonal monsoon mechanisms 1306 simulated here would benefit from additional simulations performed with increased spatial 1307 and temporal resolution. Previous work have for example demonstrated the importance of 1308 high spatial resolution in the full depiction of the SASM circulation (Acosta & Huber, 2017) 1309 or the study of the interplay between the summer Jet Stream behavior and the formation of 1310 the Meiyu-Bayu front in Eastern Asia (Kong et al., 2017; Sampe & Xie, 2010). Additional 1311 diagnostics at planetary-scale, may also provide interesting comparison with previous studies 1312 on the impact of monsoon dynamics on large scale circulation patterns and long-distance 1313 teleconnections (Rodwell & Hoskins, 1996; Merlis et al., 2013; Biasutti et al., 2018). 1314

A more precise view of land-sea distribution and regional seaway evolution is also 1315 paramount. Indeed, these factors influence SST gradients, thereby controlling sea level 1316 pressure patterns, low tropospheric circulation, and oceanic circulation. Although our re-1317 sults point to a minimal effect of the mechanical closure of the Tethyan Seaway on regional 1318 climate, other features need to be tested. For example, a better understanding of the In-1319 donesian Gateway and Indonesian throughflow evolution would be of great interest, as these 1320 control in part Indo-Pacific Warm Pool SST distribution (Cane & Molnar, 2001; Tan et 1321 al., 2022) and may thus have influenced Asian climate (Q. Su et al., 2019). While the final 1322 step of the Indonesian Gateway constriction likely occurred during the Pliocene (Auer et 1323 al., 2019), its paleobathymetry and complex geometric configuration remain largely undoc-1324 umented between 17-3.5 Ma (Kuhnt et al., 2004). While no major tectonic deformation is 1325 thought to have occurred during this period, the shallow depth of the gateway likely made it 1326 sensitive to middle Miocene sea level fluctuations (Sosdian & Lear, 2020), with possible im-1327 pact on the Eastern Indian and Western Pacific Oceans surface temperatures and therefore 1328 on SST gradients. Although more distant from Asia, studies have also pointed to a possible 1329 influence of the Central American Seaway (Prabhat et al., 2022) or Drake (Toumoulin et 1330

al., 2020) and Tasman (Scher et al., 2015) Gateway openings on oceanic circulation, which may have in turn impacted sea surface temperature gradients and monsoon activity.

# 1333 7 Conclusions

In this study, we took advantage of recent work that extended the Asian paleo-1334 monsoonal record back to the Paleogene and of the improved understanding of the physical 1335 concepts underpinning the monsoons derived from climate model sensitivity experiments. 1336 Overall, we simulate an intensification of monsoonal metrics throughout the Cenozoic, in 1337 response to evolving paleogeography and global climate trends. Our results suggest that 1338 modern-like monsoons likely existed since at least the mid-late Miocene when considering to-1339 gether patterns of atmospheric circulation as well as seasonality and precipitation amounts, 1340 in agreement with paleoclimate indicators. However, we also show that high rainfall seasonality already existed since the Paleogene in both SAM and EAM domains, before the 1342 establishment of modern atmospheric circulation patterns. In accord with previous studies, 1343 our results highlight the limited effect of  $pCO_2$  on monsoon dynamics, when compared to 1344 the effect of paleogeographic changes. A notable exception is the strong impact of a  $pCO_2$ 1345 drawdown at the EOT on the northward migration of the subtropical Jet Stream during 1346 summer, which increases precipitation in the EAM region. 1347

Our results also strongly suggest that any variations in the land-sea distribution, 1348 whether due to tectonic activity or sea level fluctuations, would have strongly impacted 1349 Asian climate, despite the fact that the exact shape and extent of coastal shallow-water 1350 environments remain poorly constrained. Many episodes of increased continentality are doc-1351 umented over the course of the Cenozoic, including regional regressions of the Paratethys 1352 epicontinental Sea and periodic exposure of the Arabian Platform due to sea level fluctua-1353 tions since the Eocene-Oligocene Transition. All of these events likely favored the enhance-1354 ment of summer moisture penetration into Asia, by promoting the extension of the wide 1355 subtropical low pressure belt, thereby amplifying the seasonal latitudinal migration of the 1356 ITCZ on land. 1357

In addition, while the evolution of the Himalayan-TP complex is recurrently put for-1358 ward as the leading phenomenon driving Asian climate evolution, our results rather point 1359 towards a combined effect of all landforms surrounding the modern Indian Ocean. We also 1360 emphasize that all paleogeographic features do not bear the same importance on the SASM, 1361 EASM or EAWM, respectively. Our simulations suggest that the Tibetan Plateau uplift 1362 likely favored the onset of monsoon-like precipitation seasonality in eastern Asia in the 1363 Eocene, by promoting the advection of moist air masses in summer and partially shielding 1364 the region from westerly moisture input during winter. Alongside that, we show that the up-1365 lift of Eastern African and Anatolian-Iranian landforms was critical to intensify and redirect 1366 the Somali Jet towards the Indian subcontinent, thereby contributing to the development of 1367 a modern-like South Asian monsoon summer circulation and amplifying moisture advection 1368 towards Asia in summer. In addition, in our simulations, the uplift of the Anatolian-Iranian 1369 orogen contributed to enhancing winter aridity in most of southeastern Asia by blocking 1370 moisture advection from the westerlies to lower latitudes. 1371

Our simulations further show that uplift of the Tian Shan and the Mongolian moun-1372 tains was key for the establishment of the winter Siberian High, and resulting aridification 1373 of inland and eastern Asia during winter. The summer Jet Stream migration north of the 1374 Tibetan Plateau was likely amplified in the mid-late Miocene, as the Pamir and Tian Shan 1375 landforms approached their modern elevations and contributed to channeling the jet towards 1376 higher latitudes. This relocation of the Jet Stream amplifies the penetration of moist air 1377 masses over eastern China, which contributes to increasing EAM precipitation seasonality. 1378 Overall, our results do suggest a fundamentally polygenetic history of monsoonal evolution, 1379 in agreement with available paleoclimate indicators and previous modeling studies. This 1380 suggests that the very concept of a "monsoon onset" is inappropriate. We also stress the 1381

- <sup>1382</sup> need for more accurate constraints on the evolution of paleo-shorelines, the relative timing
- of peripheral mountain belts uplift, as well as on the paleo-evelation of landforms in order
- to better understand the evolution of Asian climate in the past.

#### 1385 Acronyms

- 1386 ITCZ Inter Tropical Convergence Zone
- 1387 SASM South Asian Summer Monsoon
- 1388 EASM East Asian Summer Monsoon
- 1389 EAWM East Asian Winter Monsoon
- 1390 **TP** Tibetan Plateau
- 1391 **EOT** Eocene Oligocene Transition
- 1392 CLAMP Climate Leaf Analysis Multivariate Program

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# 3126 8 Supplementary

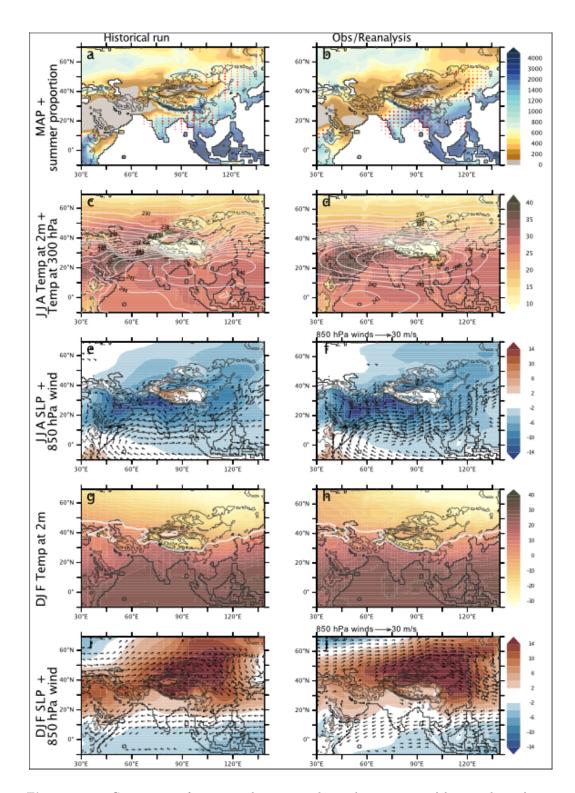
# Reference

	Reference
1	(Averyanova et al., 2021)
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6	(J. Sun et al., 2022)
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8	(J. Sun & Windley, 2015)
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10	(Caves Rugenstein et al., 2014)
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12	(Zheng et al., 2015)
13	(Meijer et al., 2021)
14	(Licht et al., 2014)
15	(Wasiljeff et al., 2022)
16	(Hoorn et al., 2012)
17	(X. Ma & Jiang, 2015)
18	(Guo et al., 2002)
19	(Guo et al., 2008)
20	(Q. Yuan et al., 2020)
21	(Ao et al., 2016)
22	(Ao et al., 2021)
23	(Shen et al., 2017)
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35	(Jacques, Guo, et al., 2011)
36	(Xia et al., 2009)
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39	(Jia et al., 2003)
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41	(Clift, 2020)
42	$(\mathbf{Z}. \text{ Ding et al., } 2021)$
43	(Srivastava et al., 2012)
44	(Bhatia, Khan, et al., 2021)
45	(Shukla et al., 2014)
46	(Khan et al., 2014)
47	(Vögeli et al., $2017$ )
48	(Bhatia et al., 2022)
49 50	(Bhatia, Srivastava, et al., 2021)
50 51	(Srivastava et al., 2018)
51 50	(Sanyal et al., 2004)
52 52	(S. Ali et al., $2021$ ) (Patrice et al. $2016$ )
53 54	(Betzler et al., 2016) (Betzler et al., 2018)
54 55	(Betzler et al., 2018) (Beasley et al., 2021)
$\frac{55}{56}$	(Beasley et al., $2021$ ) (Zhuang et al., $2017$ )
	(2  future for all , 2017) es used in Figure 2 for paleoclimate indi
	$\sim \sim $

Table 3. List of references used in Figure 2 for paleoclimate indicators compilation

	<b>D</b> oference (quite)
57	Reference (suite) ( $P_{\text{args ot al}} = 2010$ )
	(Page et al., 2019) $(O, Yuan et al., 2021)$
58 50	(Q. Yuan et al., $2021$ ) (Margaret al., $2018$ )
59 60	(Meng et al., 2018) $(Ming et al., 2012)$
60 C1	(Miao et al., 2013) (7, 7)
61 62	(Z. Zhang & Sun, 2011)
62	(J. Sun & Zhang, 2008)
63	(Qg. Sun et al., 2002)
64	(J. Sun et al., 2020)
65	(T. Su, Spicer, et al., 2019)
66 67	(M. Wu et al., $2021$ )
67 60	(Song et al.,  2020)
68	(Miao, Wu, et al., 2016)
69	(D. Rea et al., 1985)
70	(Pettke et al., 2002)
71	(Ziegler et al., $2007$ )
72	(B. Li et al., 2016)
73	(Garzione et al., 2005)
74	(Qiang et al., $2011$ )
75	(Bougeois et al., 2018)
76	(W. Yang et al., 2019)
77	(J. Lee et al., 2020)
78	(Bolton et al., 2022)
79	(Hui et al., 2021)
80	(Miao, Song, et al., 2016)
81	(H. Zhao et al., 2020)
82	(C. Yang et al., 2021)
83	(L. Zhao et al., 2020)
84	(Heermance et al., 2018)
85	(J. Liu et al., 2016)
86	(Jiang & Ding, 2008)
87	(Peng et al., 2016)
88	(Suarez et al., 2011 $)$
89	(Barbolini et al., 2020)
90	(Y. Ma et al., 2005)
91	(H. Wang et al., 2019)
92	(Jiang et al., 2017)
93	(W. Liu et al., 2014)
94	(Kaakinen et al., 2006)
95	(Y. Wang & Deng, 2005)
96	(F. Li et al., 2008)
97	(Z. Liu et al., 2014)
98	(Miao et al., 2011)
99	(Charreau et al., 2012)
100	(Holbourn et al., 2018)
101	(G. Wei et al., 2006)
102	(Y. Huang et al., 2007)
103	(Gupta et al., 2015)
104	(X. Yang et al., $2020$ )
105	(Bialik et al., 2020)
106	(H. Tang et al., 2020)
107	(H. Huang et al., 2021)
108	(Hoorn et al., 2000)
109	(Polissar et al., 2021)
110	(Y. Xie et al., 2022)

110 | (Y. Xie et al., 2022)Table 4. (suite) List of references used in Figure 2 for paleoclimate indicators compilation



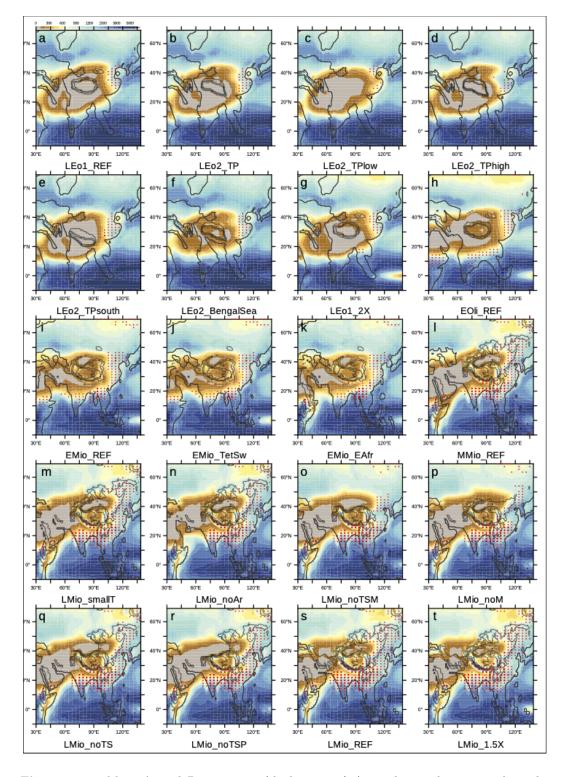


Figure 15. Mean Annual Precipitation (shading, mm/yr), overlain with regions where the Monsoon Precipitation Index (B. Wang & Ding, 2008) is over 0.5 (thin red dots) and 0.75 (thick red dots), for all reference and sensitivity experiments.

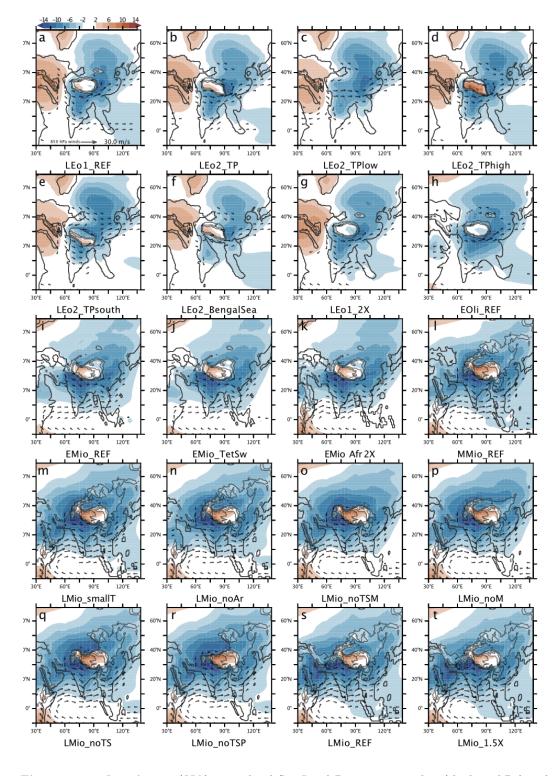


Figure 16. June-August (JJA) normalized Sea Level Pressure anomalies (shading, hPa) and 850 hPa winds over 4 m/s (vectors), for all reference and sensitivity experiments.

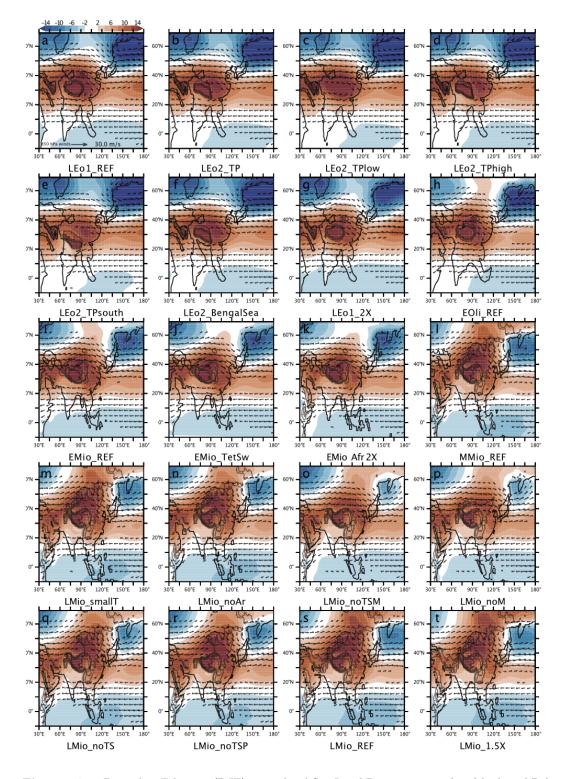


Figure 17. December-February (DJF) normalized Sea Level Pressure anomalies (shading, hPa) and 850 hPa winds over 4 m/s (vectors), for all reference and sensitivity experiments.

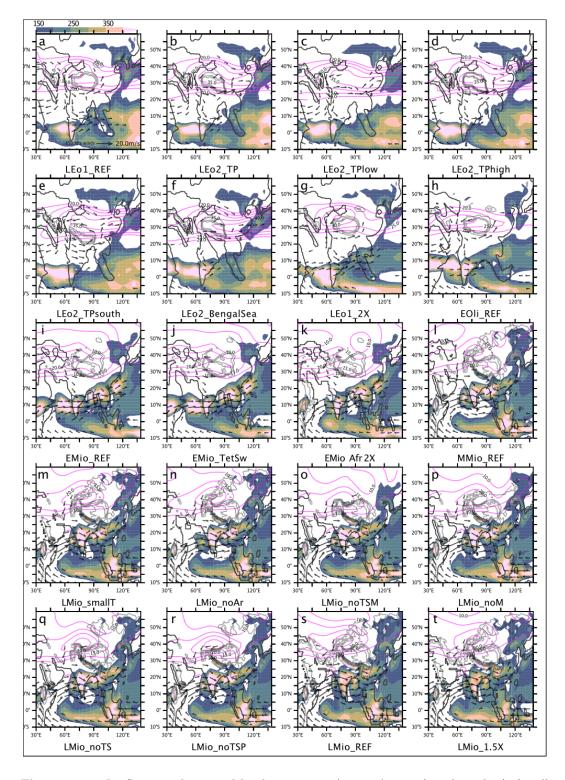


Figure 18. Jet Stream velocity and localization over Asia in August (purple outline), for all sensitivity experiments and precipitation for the month of August (shading, mm/month).