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# Influence of pH on the hydrothermal synthesis of Al-substituted smectites (Saponite, Beidellite, and Nontronite)

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20 Abstract-Smectitic clay minerals are unique indicators of paleoenvironmental conditions and exhibit a unique reactivity in the mineral world. Smectites may exhibit tetrahedral substitutions  $(Al^{3+} - and$ 21 sometimes  $Fe^{3+}$  - can substitute for Si<sup>4+</sup> in tetrahedral sites), resulting in a layer charge increase, thereby 22 23 impacting their properties (e.g. swelling and sorption capacities, catalytic properties, expandable 24 abilities). The objective of the present study was to determine the influence of pH conditions on the 25 hydrothermal production of smectite end-members exhibiting tetrahedral Al substitutions (saponite, 26 beidellite, and nontronite), using XRD and FTIR methods. The results of a series of syntheses conducted 27 at various pH allowed discussion of the crystallization pathways of these smectites from a mechanistic 28 point of view. Altogether, the present study provided easily reproducible protocols for the hydrothermal 29 production of pure saponite, nontronite, or beidellite (i.e. with no other mineral). The successful 30 synthesis of pure saponite was achieved by exposing the starting gels to 230°C for 4 days in solutions at pH ranging from 5.5 to 14. The successful synthesis of pure beidellite was achieved by exposing the 31 32 starting gels to 230°C for 9 days in a solution at pH 12. The successful synthesis of pure nontronite was achieved by exposing the starting gels to 150°C for 2.5 days in a solution at pH 12.5. Although 33 34 extrapolating experimental results to natural settings remains difficult, the results of the present study may be of great help to constrain better the geochemical conditions existing or having existed on 35 36 extraterrestrial planetary bodies.

37 Keywords: Smectite; pH; hydrothermal synthesis; XRD; FTIR

#### 38 Introduction

39 Smectitic clay minerals are widespread in the Solar System (Hazen et al., 2013) and can form in many

40 contexts, from alteration settings to magmatic systems, in wide ranges of redox and pH conditions

41 (Meunier, 2005; Meunier et al., 2008; Zhou & Keeling, 2013; Viennet et al., 2017, 2020, 2021; Fox et 42 al., 2021). These strongly anisotropic minerals carry key information about the geochemistry, oxidation 43 state, and water content of the environments in which they were produced (Ehlmann et al., 2011; Fox et 44 al., 2021), making them good indicators of paleoenvironmental and paleoclimatic conditions. Their 45 intrinsic properties (e.g. adsorption capacity, catalytic properties) confer on them a unique reactivity in 46 the mineral world, explaining their huge number of applications in a variety of domains (e.g. Murray 47 1991, 2000; Choy et al., 2007; Carniato et al., 2020), including medicine (Ghadiri et al., 2015; Saadat 48 et al., 2022), pharmaceutics (Carretero & Pozo, 2009; Corbin et al., 2021; Bello et al., 2022), pollution 49 control (Churchman et al., 2006; Ewis et al., 2022), the petroleum industry (Abdo & Haneef, 2013; Li 50 et al., 2023; Salter et al., 2023), and the construction industry (Singh, 2022). It is the exceptional trapping 51 capabilities of smectites that make possible the geological storage of CO<sub>2</sub> or H<sub>2</sub> (Romanov, 2013; Rother 52 et al., 2013; de Jong et al., 2014; Abdulelah et al., 2023; Ho et al., 2023) and radioactive waste (Delage 53 et al., 2010; Landais et al., 2013; Robin et al., 2017; Parrotin et al., 2023). Smectite-rich rocks are also 54 a major reservoir of Rare Earth Elements (Moldoveanu & Papangelakis, 2012; Abbott et al., 2019), and 55 the strong affinities of smectites for organic molecules make them the primary agents for organic carbon sequestration in soils, sediments, and chondrites (Kennedy et al., 2002; Blattmann et al., 2019; Viennet 56 57 et al., 2023) and the probable main actors of the origins of life (Viennet et al., 2021; Kloprogge & 58 Hartman, 2022). Being also the main carriers of water in sediments and in the oceanic crust, they play a 59 great role in subduction settings (Katayama et al., 2015; Hwang et al., 2017). Their anisotropic nature 60 affects the seismic properties of rocks (Almqvist & Mainprice, 2017), as well as the macroscopic behavior of faults (Ikari et al., 2009). 61

62 Smectites are clay minerals with a 2:1 layer silicate structure, each layer being made of an octahedral sheet sandwiched between two Si(Al,Fe<sup>3+</sup>)-O tetrahedral sheets and in which stacking creates 63 expandable interlayer spaces capable of storing water, organics, and exchangeable cations (mostly Ca<sup>2+</sup>, 64 65 Mg<sup>2+</sup>, Na<sup>+</sup>, and K<sup>+</sup>) balancing the overall charge (Meunier, 2005; Fox et al., 2021). While trioctahedral smectites (such as hectorite and saponite) have all octahedral sites filled by mostly divalent cations, 66 dioctahedral smectites (such as nontronite, montmorillonite, and beidellite) have only two of their three 67 68 octahedral sites filled by mostly trivalent cations, the most common octahedral cations being Al, Fe, and Mg. Depending on the pH conditions under which they crystallize, smectites may exhibit tetrahedral 69 substitutions ( $Al^{3+}$  - and sometimes  $Fe^{3+}$  - can substitute for  $Si^{4+}$  in tetrahedral sites), resulting in an 70 71 increase in layer charge, thereby impacting their properties, such as their swelling or sorption capacities, 72 catalytic properties, or expandable abilities (Murray, 2000; Komadel et al., 2005; Meunier, 2005; Fox 73 et al., 2021).

74 Many studies dealing with the synthesis of smectites exist in the literature (Kloprogge, 1999; Zhang et 75 al., 2010; Petit et al., 2017; Dzene et al., 2018; Ponce & Kloprogge, 2020). Although smectites could be 76 synthesized from glasses submitted to high pressure and temperature conditions (Nakazawa et al., 1992; 77 Yamada, 1994, 1995; Tamura, 2000; Kalo et al., 2010), most syntheses are conducted following the sol-78 gel method, i.e. using gels produced at room temperature before being exposed to hydrothermal 79 conditions. A number of syntheses aimed at producing a suite of smectite samples spanning 80 compositional ranges between Fe(II), Fe(III), Mg, and Al end-member species to document precisely 81 their spectroscopic signatures, as recently done by Fox et al. (2021). In parallel, a number of laboratory 82 studies have investigated how conditions (such as temperature and pH) control cation coordination and incorporation into the smectite structure and, in turn, their final crystallochemistry (Harder, 1976; 83 84 Grauby et al., 1993, 1994; Huertas et al., 2000; Andrieux & Petit, 2010; Petit et al., 2015, 2017; Baron 85 et al., 2016a, 2016b; Blukis et al., 2022). For instance, Blukis et al. (2022) recently showed that high pH 86 favors a high degree of crystallinity for saponite. However, those studies were dedicated to a given end-87 member or to a given solid solution (i.e. either the Fe(III)-Mg (nontronite-saponite) or the Fe(III)-Al 88 (nontronite-beidellite) series (Grauby et al., 1993, 1994; Andrieux & Petit, 2010; Petit et al., 2015)).

89 The speciation of Fe, Mg, and Al as a function of pH being different (Millero et al., 1995; Perry &

90 Shafran, 2001; See et al., 2015; Pierrot & Millero, 2017; de Mello Gabriel et al., 2021), the optimal pH

91 for the synthesis of saponite, beidellite, and nontronite is anticipated to be different, with pH conditions 92 strongly influencing their production. The sensitivity of different smectites to pH conditions thus

92 strongly influencing their production. The sensitivity of different shectiles to pH conditions thus 93 remains to be investigated. The purpose of the present study, therefore, was to investigate the influence

94 of pH conditions on the production of smectites, using three different series of hydrothermal syntheses

95 designed to lead (when conditions are optimal) to the crystallization of three smectite end-members

96 containing tetrahedral Al, namely a saponite (Na<sub>0.4</sub>(Si<sub>3.6</sub>Al<sub>0.4</sub>)Mg<sub>3</sub>O<sub>10</sub>(OH)<sub>2</sub>), a beidellite

97  $(Na_{0.4}(Si_{3.6}Al_{0.4})Al_2O_{10}(OH)_2)$ , and a nontronite  $(Na_{0.4}(Si_{3.6}Al_{0.4})Fe^{3+}_2O_{10}(OH)_2)$ .

#### 98 Experimental

99 Sample Preparation

100 The syntheses reported here were conducted via a sol-gel method, i.e. using gels produced at room 101 temperature before being exposed to hydrothermal conditions. To explore the influence of pH conditions, the syntheses reported here used gels produced from solutions at various pH and exposed to 102 103 hydrothermal conditions within solutions at various pH. The preparation of the gels was done following 104 rather classic protocols (Baron et al., 2016a; Petit et al., 2017; Dzene et al., 2018; Fox et al., 2021). 105 Starting solutions were mixed to obtain gels with the Si:Al:Mg, Si:Al:Al, and Si:Al:Fe molar ratios of pure Al-substituted smectite end-members (i.e. 3.6:0.4:3 for the saponite, 3.6:0.4:2 for the beidellite, 106 107 and 3.6:0.4:2 for the nontronite). For all gels, the first step was to pour an AlCl<sub>3</sub> solution into a Na<sub>2</sub>SiO<sub>3</sub> 108 solution under continuous stirring at room temperature, which led to the instantaneous formation of a 109 white precipitate of amorphous aluminosilicate. Then, a given volume of either a MgCl<sub>2</sub>, an FeCl<sub>3</sub>, or an AlCl<sub>3</sub> solution was added to provide the exact quantity of cations needed for the octahedral 110 111 occupancies. Stirring was stopped soon after this step, and the precipitated gel was filtered and rinsed with pure water with a vacuum suction filter device to remove excess salts. Each starting gel was 112 113 immersed in a solution at a given pH in Teflon acid-digestion bombs which were sealed and placed in 114 an oven for several days (syntheses were conducted in pure water or in solutions at pH values ranging from 12 to 14 for saponites and from 12 to 13 for nontronites and beidellites given the high pH sensitivity 115 116 of these smectites). The synthesized products were then filtered and rinsed with pure water (resistivity 117 of 18.2 M $\Omega$ ·cm) to remove any remaining salt. Then, they were dried in an oven at 50°C for 24 h and ground in an agate mortar for characterization using X-ray diffraction (XRD) and Fourier-transform 118 119 infrared spectroscopy (FTIR) as classically done for smectites (Baron et al., 2016a; Petit et al., 2017; 120 Dzene et al., 2018; Fox et al., 2021).

121 Measurements and Characterization

122 The pH of each starting solution, i.e. the solution in equilibrium with the gel and the solution in 123 equilibrium with the products of syntheses, was measured using a Fisher Scientific (Hampton, New 124 Hampshire, USA) Accumet XL600 pH/mV/Temp/ISE/DO/Conductivity Meter calibrated with three

hampshife, USA) Accumet XL000 pH/mV/Temp/ISE/DO/Conductivity Meter canonated with three buffers (pH 7, 10, and 12). The uncertainty for each measurement was estimated to be  $\pm 0.05$  pH units.

125 burlets (pH 7, 10, and 12). The uncertainty for each measurement was estimated to be  $\pm 0.05$  pH units. 126 The *PhreeqC* code associated with the minteq.v4 database was used to determine the major species in

126 The *PhreeqC* code associated with the minteq. v4 database was

- 127 the starting solutions used for the production of gels.
- 128 The XRD data reported here were collected on unoriented powders at room temperature with a step size

129 of  $0.033^{\circ}2\theta$  over the 4–75°2 $\theta$  CoK $\alpha_{1,2}$  (40 mA, 45 kV) angular range and a counting time of 300 ms per

step using an X'Pert Pro instrument from Malvern PANalytical (Malvern, UK), operating at the Institut
 de Minéralogie, de Physique des Matériaux et de Cosmochimie (IMPMC, Paris, France).

- 132 The FTIR data shown here were collected on unoriented powders in attenuated total reflection mode
- 133 (ATR) with a diamond internal reflexion element with a  $4 \text{ cm}^{-1}$  resolution in the mid-infrared (MIR)
- range (4000–400 cm<sup>-1</sup>) using a Fisher Scientific (Hampton, New Hampshire, USA) Nicolet 6700 FTIR

- 135 spectrometer equipped with a KBr beamsplitter and DTGS-KBr detector and operating at the Institut de
- 136 Minéralogie, de Physique des Matériaux et de Cosmochimie (IMPMC, Paris, France).
- 137 Starting Solutions

138 Dilute solutions (0.2 M) of Na<sub>2</sub>SiO<sub>3</sub> (>95%), AlCl<sub>3</sub> (99%), MgCl<sub>2</sub> (>99%), and FeCl<sub>3</sub> (>99%) were

139 prepared using pure water (milliQ -  $18.2 \text{ M}\Omega$ -cm) for the syntheses reported here, leading to a Na<sub>2</sub>SiO<sub>3</sub>

solution at pH 13.1, a MgCl<sub>2</sub> solution at pH 5.4, an FeCl<sub>3</sub> solution at pH 1.8, and an AlCl<sub>3</sub> solution at

- pH 3.4 (Table 1). Some NaOH was added to the AlCl<sub>3</sub> and FeCl<sub>3</sub> solutions to obtain an additional FeCl<sub>3</sub>
  solution at pH 2.1 and four additional AlCl<sub>3</sub> solutions at pH 3.9, 5.3, 8.0, and 10.6 (Table 1). These
- solution at pH 2.1 and four additional AlCl<sub>3</sub> solutions at pH 3.9, 5.3, 8.0, and 10.6 (Table 1). These additional solutions were used to test the influence of the pH of the starting solutions on the synthesis
- 144 of nontronite and beidellite. All chemicals were obtained from Sigma Aldrich (St. Louis, Missouri,
- 145 USA).
- 146 Detailed Protocols
- 147 Saponite syntheses

Four different syntheses were conducted from the gel of saponite composition for the present study. The 148 starting gels were prepared by pouring 556 µL of the AlCl<sub>3</sub> solution at pH 3.4 into 5 mL of the Na<sub>2</sub>SiO<sub>3</sub> 149 150 solution, before being mixed with 4.17 mL of the MgCl<sub>2</sub> solution, to produce, theoretically, about 151 100 mg of a gel stoichiometrically identical to a pure saponite with 0.4 Al in each tetrahedron (Na<sub>0.4</sub>  $(Si_{3,6}Al_{0,4})Mg_3O_{10}(OH)_2)$ . The pH of the solution in equilibrium with the gel was about 10. After 152 filtration, the produced gel was placed into 23 mL Teflon reactors before drying and immersed in 153 154 16.5 mL of pure water at pH 5.5 (i.e. pure water in equilibrium with atmospheric CO<sub>2</sub>) or in 16.5 mL of 155 a solution composed of pure water in which was added NaOH to reach a pH of 12, 13, or 14 (cf Table 2). All reactors were then put in an oven at 230°C (~28 bar) for 4 days, following the recommendations of 156 157 Zhang et al. (2020) for the synthesis of pure saponites. During syntheses above 200°C, saponite particles grow faster during the first 3 days than during the subsequent 10 (Zhang et al., 2020). Of note, although 158 159 saponites can be produced at low temperature (i.e. 90°C; Meyer et al., 2020; Ponce & Kloprogge, 2020), high temperature conditions inhibit the incorporation of Al into octahedral sheets, while enhancing 160

- 161  $[^{4]}Al^{3+}$  for  $[^{4]}Si^{4+}$  tetrahedral substitutions, as reported by Kloprogge and Ponce (2021).
- 162 Beidellite syntheses

Four different syntheses were conducted from the gel of beidellite composition for the present study. The starting gels were prepared by pouring 2.833 mL of the AlCl<sub>3</sub> solution at pH 3.9 into 4.25 mL of the Na<sub>2</sub>SiO<sub>3</sub> solution to produce theoretically ~80 mg of a gel stoichiometrically identical to a pure

- $166 \qquad \text{beidellite with } 0.4 \text{ Al in each tetrahedron } (\text{Na}_{0.4}(\text{Si}_{3.6}\text{Al}_{0.4})\text{Al}_2\text{O}_{10}(\text{OH})_2). \text{ The pH of the solution at room}$
- 167 temperature in equilibrium with the gel was ~4.5. After filtration, the produced gel was kept hydrated
- and placed into 23 mL Teflon reactors and immersed into 12.5 mL of pure water at pH 5.5 (i.e. pure
- 169 water in equilibrium with atmospheric  $CO_2$ ) or into 12.5 mL of a solution composed of pure water in
- which was added NaOH to reach a pH of 12, 12.5, or 13 (cf Table 2). Only 12.5 mL of pure water or solution at pH 12, 12.5, or 13 were used rather than 16.5 mL to prevent overpressure related to the
- solution at p1112, 12.5, of 15 were deed rather than 10.5 mE to prevent overpressure related to the swelling of the gel containing a lot of highly hydrated  $Al^{3+}$ . All reactors were then put in an oven at
- $230^{\circ}$ C (~28 bar) for 9 days, previous successful syntheses having been conducted over 8 to 10 days from
- 174 175–200°C (De Kimpe, 1976; Kloprogge et al., 1993) to well above 300°C (Kloprogge et al., 1990;
- 175 Lantenois et al., 2008).
- 176 Nontronite syntheses
- 177 Three different series of syntheses were conducted from four different gels of nontronite composition
- 178 for the present study. A first series of four syntheses was conducted with a gel produced using the  $AlCl_3$
- solution at pH 3.4, a second series of four syntheses was conducted with a gel produced using the AlCl<sub>3</sub>

180 solution at pH 10.6, and a third series of two syntheses was conducted with either a gel produced using the AlCl<sub>3</sub> solution at pH 5.3 or a gel produced using the AlCl<sub>3</sub> solution at pH 8. Each starting gel was 181 prepared by pouring 833 µL of the AlCl<sub>3</sub> solution into 7.5 mL of the Na<sub>2</sub>SiO<sub>3</sub> solution, before being 182 mixed with 4.17 mL of the FeCl<sub>3</sub> solution, to produce, theoretically, ~165 mg of a gel stoichiometrically 183 184 identical to a pure nontronite with 0.4 Al in each tetrahedron ( $Na_{0.4}(Si_{3.6}Al_{0.4})Fe(III)_2O_{10}(OH)_2$ ). The pH 185 of the solution in equilibrium with the gel prepared with the AlCl<sub>3</sub> solution at pH 3.4 was ~4, while the one formed with the AlCl<sub>3</sub> solution at pH 10.6 reached a pH of 9. After filtration, all the produced gels 186 187 were kept hydrated and placed into 23 mL Teflon reactors. The gels produced using either the AlCl<sub>3</sub> solution at pH 3.4 or the AlCl<sub>3</sub> solution at pH 10.6 were immersed into 16.5 mL of pure water at pH 5.5 188 189 (i.e. pure water in equilibrium with atmospheric  $CO_2$ ) or into 16.5 mL of a solution composed of pure 190 water in which was added NaOH to reach a pH of 12, 12.5, or 13, while the two gels produced using 191 either the AlCl<sub>3</sub> solution at pH 5.3 or the AlCl<sub>3</sub> solution at pH 8 were immersed only into 16.5 mL of a 192 solution composed of pure water in which was added NaOH to reach a pH of 12.5 (cf Table 2). All 193 reactors were then put in an oven at 150°C (4.75 bar) for 2.5 days, following the recommendations of 194 Andrieux & Petit (2010), Baron et al. (2016a), and Dzene et al. (2022). In fact, higher temperatures 195 (>200°C) may favor the formation of kaolinite, hematite (akaganeite), or aegirine (Delvaux et al., 1989; 196 Decarreau et al., 2008; Andrieux & Petit, 2010)

#### 197 **Results**

198 Saponite Syntheses

199 Each of the four syntheses produced a translucid, gelatinous solid residue immersed in a transparent

solution at a pH close to that of the solutions in which the starting gels were immersed (i.e. 7.5 for the
synthesis conducted in pure water, 10.4 in the solution at pH 12, 12.9 in the solution at pH 13, and 13.7
in the solution at pH 14; cf Table 2). These residues turned white upon drying.

203 Whatever the pH of the solution used for the syntheses, the XRD patterns of the synthetic products 204 exhibited peaks typical of the *hkl* reflections of 2:1 trioctahedral saponites (Grauby *et al.*, 1994), i.e. peaks at ~12.7 Å (001), 4.55 Å (02,11), ~3.10 Å (004), 2.60 Å (13,20), 1.73 Å (15,24,31), and ~1.53 Å 205 206 (06,33) (Fig. 1). The 06,33 reflection of these saponites confirmed their trioctahedral nature (>1.51 Å; Brown & Brindley, 1980; Decarreau et al., 1992; Grauby et al., 1994), and the position of the 00l 207 208 reflections indicated that they were mainly monohydrated (Brown & Brindley, 1980, Ferrage et al., 209 2005, 2007). Under vacuum, the  $d_{001}$  of the saponite synthesized in pure water collapsed to 10.45 Å 210 (Fig. S1). The 02,11 and 06,33 reflections of the saponite synthesized in solution at pH 14 shifted to 211 lower angles compared to saponites synthesized in solutions at lower pH (4.58 to 4.55 Å and 1.533 to 212 1.526 Å).

- All synthetic products had a FTIR spectrum exhibiting a broad band near  $3420 \text{ cm}^{-1}$  typical of the vOH vibrations of the water adsorbed within the interlayer spaces of smectites (Fig. 2; Madejová et al., 2017).
- 215 In addition, the FTIR spectra of all synthetic products showed the characteristic bands of saponite, i.e.
- 216 vMg<sub>3</sub>OH and  $\delta$ Mg<sub>3</sub>OH vibrations at 3680 and 655 cm<sup>-1</sup>, respectively; vSi–O vibration near 1000–
- 217 950 cm<sup>-1</sup>; <sup>[4]</sup>Al–O out of plane near 800 cm<sup>-1</sup>; perpendicular Si–O–Mg vibrations at 692 and 532 cm<sup>-1</sup>;
- 218 and  $\delta$ Si–O–Si vibrations near 500 and 455 cm<sup>-1</sup> (Madejová et al., 2017; Kloprogge & Ponce, 2021).
- Bands corresponding to  $vMg_3OH$  and  $\delta Mg_3OH$  vibrations in the FTIR spectra of the saponite synthesized in solution at pH 14 shifted towards higher and lower wavenumbers compared to FTIR
- spectra of saponites synthesized in solutions at lower pH. The band near 3612-3634 cm<sup>-1</sup> is related to
- the stretching vibration of interlayer water molecules (Fig. S2). In this case, the two OH groups of the
- molecule are not equivalent and this signal is assigned to the OH group weakly bonded to the surface
- 224 oxygens (Farmer, 1974).
- 225
- 226

227 Beidellite Syntheses

Each of the four syntheses produced solid residues immersed in a colorless transparent solution at a pH close to that of the solutions in which the starting gels were immersed (i.e. 7.2 for the synthesis conducted in pure water at pH 5.5, 9.4 in the solution at pH 12, 11 in the solution at pH 12.5, and 12 for the synthesis at pH 13; Table 2).

The synthesis conducted in pure water produced a white and pasty solid residue which became very soft upon drying, showing an XRD pattern typical of kaolins, with peaks at 7.17 Å (001), 4.43 Å (020), 4.34 Å (110), 4.41 Å (111), 3.57 Å (002), 3.39 Å (111), 2.55 Å (201), 2.35 Å (003), 1.79 Å (004), and 1.486 Å (060) (Fig. 3; Carroll, 1970). The bands at 3621 and 3692 cm<sup>-1</sup> in the FTIR spectrum of this solid confirms the presence of kaolins (Fig. 4; Kodama, 1962; Zhang et al., 2010; Madejovà et al., 2017).

- The synthesis conducted in the solution at pH 12 produced a translucid, gelatinous solid residue that turned white, with a paper texture, upon drying. The XRD pattern of this synthetic product revealed the
- presence of reflections typical of smectitic clay minerals, with peaks at 12.17 Å (001), 6.12 Å (002),
- 240 4.43 Å (02,11), 3.09 Å (004), 2.53 Å (13,20), 2.04 Å (006), 1.68 Å (15,24,31), and 1.488 Å (06,33)
- (Fig. 3). In addition, the basal spacing of the d(06,33) is typical of dioctahedral Al-rich smectites such
- as beidellite (1.48–1.50 Å; Grauby et al., 1994; Petit et al., 2015). Its presence was suggested also by
- the FTIR spectrum exhibiting characteristic bands of Al-rich smectites, i.e. the bands at  $3670 \text{ cm}^{-1}$
- 244 (vAl<sub>2</sub>OH), 3626 cm<sup>-1</sup> (vAl<sub>2</sub>OH), 1000–950 cm<sup>-1</sup> (vSi–O), 914 cm<sup>-1</sup> ( $\delta$ Al<sub>2</sub>OH), 815 cm<sup>-1</sup> (<sup>[4]</sup>Al–O out of 245 plane), 694 cm<sup>-1</sup> (<sup>[6]</sup>Al–O<sub>ap</sub>), 520 cm<sup>-1</sup> ( $\delta$ Si–O–<sup>[6]</sup>Al), and 455 cm<sup>-1</sup> ( $\delta$ Si–O–<sup>[6]</sup>Al) (Fig. 4; Farmer &
- Russell, 1964; Kloprogge et al., 1990; Zviagina et al., 2004; Lantenois et al., 2008). Under vacuum, the
- 247  $d_{001}$  of the beidellite synthesized in the solution at pH 12 collapsed down to 9.78 Å (Fig. S1).

248 The synthesis conducted in the solution at pH 12.5 produced a solid residue with an XRD pattern exhibiting peaks at 10.96 Å (001), 4.42 Å (02,11), 2.52 Å (13,20), and 1.481 Å (06,33), likely indicating 249 250 the presence of beidellite (i.e. the d(06,33) diffracts between 1.48 and 1.50 Å; Fig. 3). The weak and 251 broad peak of the (001) reflection indicates its poorly crystalline and dehydrated state (Ferrage et al., 252 2007). This beidellite cohabits with two zeolites (which are the main phases of the residue): analcime 253 (diffraction peaks at 5.58, 4.83, 3.65, 3.42, 2.91, 2.42, 2.22, 2.15, 1.90, 1.86, 1.74, 1.71, and 1.59 Å) and 254 a Na-garronite-like zeolite (diffraction peaks at 7.04, 5.75, 5.02, 4.91, 4.08, 3.51, 3.32, 3.18, 3.12, 3.03, 255 2.68, 2.65, 2.04, 1.97, 1.96, and 1.78 Å; Oleksiak et al., 2016; Tayraukham et al., 2020).

256 The synthesis conducted in the solution at pH 13 produced a grainy solid residue with an XRD pattern typical of analcime, with peaks at 6.20 Å, 5.62 Å (011), 4.86 Å (020), 3.79 Å, 3.67 Å (021), 3.41 Å 257 258 (222), 3.24 Å, 2.91 Å (112), 2.80 Å (022), 2.69 Å (130), 2.51 Å (231), 2.43 Å (040), 2.23 Å (032), 2.17 Å (032), 2.12 Å, 2.02 Å, 1.94 Å, 1.90 Å (151), 1.87 Å (141), 1.83 Å, 1.74 Å (051), 1.71 Å (444), 259 1.69 Å (223), 1.66 Å, 1.62 Å, 1.59 Å (043), and 1.50 Å and 1.48 (233) (Fig. 3). Peaks at 2.08 and 1.81 Å 260 (also present in the XRD pattern of the saponite produced in the solution at pH 14; Fig. 1) were attributed 261 262 to an austenitic steel from a spatula used during preparation for XRD. The FTIR spectrum (Fig. 4) shows two bands at 735 and 664 cm<sup>-1</sup> corresponding to vSi–O–Si and vSi–O–Al vibrations, and a band at 263 264 613 cm<sup>-1</sup> attributed to 4-membered rings vibrations of analcime (Mozgawa et al., 2011).

- 613 cm<sup>-</sup> attributed to 4-membered rings vibrations of analcim
- 265
- 266 Nontronite Syntheses

Each of the syntheses conducted in solutions at pH lower than 13 produced red solid residues, with the exception of the syntheses conducted with the AlCl<sub>3</sub> solution at pH 10.6 and the syntheses conducted in solutions at pH 12.5 using the gels obtained with the AlCl<sub>3</sub> solutions above pH 5.4. The latter produced

270 gelatinous solid residues that were green on top and orange below. In contrast, the syntheses conducted

- in solutions at pH 13 produced gelatinous solid residues green on top and yellow below.
- 272

#### 273 Syntheses from the gels obtained using the AlCl<sub>3</sub> solution at pH 3.4

274 Each of the four syntheses produced solid residues immersed in a reddish solution at a pH lower than 275 that of the solution in which the starting gel was immersed (i.e. 4.7 for the synthesis conducted in pure water, 8.3 in the solution at pH 12, 10.7 at pH 12.5, and 12.7 at pH 13; Table 2). The XRD patterns of 276 the synthetic products are very different from one another (Fig. 5). The solid produced in pure water 277 exhibited XRD peaks at 8.96 Å (001), 4.50 Å (02,11), 3.52 Å (002), 2.54 Å (20,13), 2.32 Å (04,22), 278 279 2.15 Å, 1.91 Å, 1.53 Å (06,33), and 1.50 Å, likely corresponding to hisingerite (i.e. a precursor of 280 nontronite containing no Al, nontronite-like phase; Kohyama, 1975; Farmer et al., 1994; Eggleton, 1998), while the solid produced in the solution at pH 12 exhibited only two broad bands near 3.58 and 281 282 1.93 Å, maybe indicating the presence of a semi-amorphous hisingerite). The solid produced in the solution at pH 12.5 showed a broad peak at ~12.3 Å (001) and weak peaks at 4.52 Å (02,11), 3.14 Å 283 (004), 2.59 Å (13,20), 1.72 Å (15,24,31), and 1.524 Å (06,33) corresponding to nontronite (Baron et al., 284 2016a). The solid produced in the solution at pH 13 contained sharper, rather crystalline nontronite 285 286 (XRD peaks at 12.18 Å (001), 4.54 Å (02,11), 3.09 Å (004), 2.61 Å (13,21), and 1.530 Å (06,33); Fig. 5) mixed with analcime (XRD peaks at 5.55, 4.81, 3.67, 3.41, 2.91, 2.68, 2.49, 2.41, 2.22, 1.89, 287 1.86, 1.73, 1.71, and 1.68 Å; Fig. 5). Note that the 06,33 reflection of this nontronite was shifted towards 288 lower angles (greater d spacings) possibly indicating  ${}^{[4]}$ Fe $^{3+}$  for  ${}^{[4]}$ Si $^{4+}$  tetrahedral substitutions and, thus, 289 an increase of its charge (Baron et al., 2016a). The FTIR data (Fig. 6) confirmed the interpretations of 290 the XRD patterns; the bands typical of nontronite (i.e.  $vFe_2^{3+}$ -OH at ~3560 cm<sup>-1</sup>,  $\delta Fe_2^{3+}$ -OH at 815 cm<sup>-1</sup> 291 <sup>1</sup>, the 855 cm<sup>-1</sup> (not assigned), <sup>[4]</sup>Fe–O at 707 cm<sup>-1</sup>, and <sup>[6]</sup>Fe<sup>3+</sup>– $O_{ap} \sim 667$  cm<sup>-1</sup>; Goodman et al., 1976; 292 Baron et al., 2016a; Dzene et al., 2022) were stronger in the FTIR spectrum of the solid produced in the 293 solution at pH 13 than in the FTIR spectrum of the solid produced in the solution at pH 12.5, indicating 294 295 that the nontronite produced at pH 12.5 was poorly crystallized. No band typical of nontronite was 296 observed in the FTIR spectra of the solids produced in solutions at pH lower than 12.5 (Fig. 6).

#### 297 Syntheses from the gels obtained using the AlCl<sub>3</sub> solution at pH 10.6

298 Each of the four syntheses produced solid residues immersed in solutions ranging from reddish to 299 colorless; the lower the pH of the solution in which the synthesis was conducted, the more reddish the 300 solution at the end of the synthesis (Table 2). With the exception of the final solution of the synthesis 301 conducted in pure water at pH which ended at pH 9.7, the final solutions had pH values close to those 302 of the solutions in which the starting gels were immersed (Table 2). The XRD patterns of all the solids show the presence of nontronite, i.e. XRD peaks at 12.43–13.07 Å (001), 4.53–4.56 Å (02,11), 3.10– 303 3.14 Å (004), 2.58–2.62 Å (13,20), 1.73 Å (13,24,31), and 1.522–1.537 Å (06,33) (Baron et al., 2016a; 304 Fig. 5). The increase of the 06,33 reflection position may indicate increasing  ${}^{[4]}$ Fe<sup>3+</sup> for  ${}^{[4]}$ Si<sup>4</sup> tetrahedral 305 306 substitutions with increasing pH (Baron et al., 2016a). The additional presence of bayerite (Al(OH)<sub>3</sub>) 307 can be inferred from the solid produced in pure water, as well as from the solid produced in the solution 308 at pH 12 (although in lower abundance), from the XRD peaks at 4.68, 4.35, 3.18, 2.21, 1.71, and 1.59 Å 309 (Milligan, 1951; Lefevre & Fedoroff, 2002) and the FTIR bands at 3651, 3545, 3461, and  $3418 \text{ cm}^{-1}$ 310 (Phambu et al., 2002 – Figs 5, 6). FTIR spectra of all solid residues showed bands typical of nontronite (i.e. bands at ~3560 cm<sup>-1</sup> (vFe<sub>2</sub>OH), ~950 cm<sup>-1</sup> (vSi–O), ~815 cm<sup>-1</sup> ( $\delta$ Fe<sup>3+</sup><sub>2</sub>OH), ~667 cm<sup>-1</sup> (<sup>[6]</sup>Fe<sup>3+</sup><sub>-</sub> 311  $O_{ap}$ ), 585 cm<sup>-1</sup> ( $\delta$ Si–O) and ~480 cm<sup>-1</sup> (<sup>[6]</sup>Fe–O–Si) (Goodman et al., 1976; Baron et al., 2016a). The 312 bands at 850 and 707 cm<sup>-1</sup> are present commonly in nontronite with large <sup>[6]</sup>Fe occupancy, but the band 313 314 at 707 cm<sup>-1</sup> is present only in the FTIR spectrum of the nontronite produced in the solution at pH 13. Of note, the weak band at ~3635 cm<sup>-1</sup> observed in the FTIR spectra of the solids produced in the solutions 315 316 at pH 12 and 12.5 corresponds to OH vibrations from water (cf Fig. S2). Under vacuum, the  $d_{001}$  of the nontronite synthesized in the solution at pH 12 with the gel produced using the AlCl<sub>3</sub> solution at pH 317 10.6 collapsed to 10.06 Å (Fig. S1). 318

- 319
- 320

321 Syntheses from the gels obtained using the AlCl<sub>3</sub> solutions at pH 5.3 and pH 8

Both syntheses conducted in the solution at pH 12.5 produced nontronite as revealed by XRD (peaks at ~12.7 Å, 4.55 Å / 4.54 Å, 3.1 Å, 2.6 Å, 1.73 Å, 1.528 Å / 1.527 Å; Baron et al., 2016a) and FTIR (bands

at 3564, 812, 855, 707, and 665 cm<sup>-1</sup>; Goodman et al., 1976; Baron et al., 2016a; Figs 5, 6). However, a band at 707 cm<sup>-1</sup>, corresponding to <sup>[4]</sup>Fe–O vibrations, is present in both FTIR spectra, likely indicating

326 <sup>[4]</sup>Fe<sup>3+</sup> for <sup>[4]</sup>Si<sup>4+</sup> tetrahedral substitutions.

- 327
- 328 Discussion
- 329

330 Results show that the conditions required for the syntheses of pure (i.e. with no other mineral) Al-

- 331 substituted smectite end-members (saponite, beidellite, and nontronite) are very different, even though 332 these smectites differ only by the cation present at their octahedral sites. In this article the sensitivity to
- pH conditions of the production of pure saponite, beidellite, and nontronite is discussed and the various
- 334 protocols leading to their successful syntheses are summarized.
- 335 Influence of pH

336 The results reported here show that the synthesis of pure saponite does not depend strongly on the pH 337 of the solution in which the gels of saponite composition are immersed (saponite was obtained in 338 solutions at pH ranging from 5.5 to 14). This can be due to the stability of the starting gel of trioctahedral 339 smectites, as previously observed by Huertas et al. (2000). Of note, the saponites produced in the present 340 study contain tetrahedral Al and no octahedral Al. In fact, the ATR-FTIR spectra of the saponites 341 produced were very similar to the ATR-FTIR spectrum of saponite presented in Kloprogge and Ponce (2021), with a feature at 800–815 cm<sup>-1</sup> attributed to  $\delta$ Al–O of tetrahedral Al (absent from the ATR-FTIR 342 spectrum of talc which contains no tetrahedral Al; Kloprogge & Ponce, 2021). Plus, the absence of the 343 FTIR band attributed to vMg<sub>2</sub>-Al-OH at 3625 cm<sup>-1</sup> is consistent with the absence of octahedral Al 344 345 (Kloprogge & Ponce, 2021).

346 The XRD pattern of the saponite synthesized in solutions at pH 14 exhibited narrower 001 reflections 347 than those of the saponites synthesized in solutions at lower pH. Such a decrease in width indicates a 348 decrease in the  $d_{001}$  distance and can be interpreted as an increase in crystallinity (Zhang et al., 2022). 349 Consistently, high pH conditions during syntheses have been reported to improve both the crystallinity 350 and crystallization rate of saponites (Blukis et al., 2022), as is the case of high temperature conditions (Kloprogge & Frost, 2000; Kloprogge & Ponce, 2021). Still, such a decrease in width may also indicate 351 an increase in the permanent charge, i.e. a higher degree of <sup>[4]</sup>Al<sup>3+</sup> for <sup>[4]</sup>Si<sup>4+</sup> tetrahedral substitutions. 352 Consistently, with increasing pH (from 5.5 to 14), the vSi–O–Si and  $\delta$ Al–O absorption bands shifted 353 from 960 to 944 cm<sup>-1</sup> and from 800 to 815 cm<sup>-1</sup>, respectively, while the vMg<sub>3</sub>OH and  $\delta$ Mg<sub>3</sub>OH 354 absorption bands of the synthesized saponites shifted from 3674 to 3685 cm<sup>-1</sup> and from 655 to 640 cm<sup>-1</sup> 355 356 <sup>1</sup>, respectively (Fig. 2), such shifts having been interpreted previously as an increase of the permanent 357 charge (Pelletier et al., 2003; Meyer et al., 2020). Note that the production of saponites with a higher 358 charge should leave available Si and Mg in the system, possibly leading to the production of other phases such as brucite (Mg(OH)<sub>2</sub>), serpentine (Mg<sub>3</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4</sub>), or talc (Si<sub>4</sub>Mg<sub>3</sub>O<sub>10</sub>(OH)<sub>2</sub>), i.e. an assemblage 359 360 similar to what is obtained with a gel produced using Na<sub>2</sub>SiO<sub>3</sub> and AlCl<sub>3</sub> solutions at pH 14 (Fig. S3). The absence of these minerals in the final residue (only saponite is present according to XRD and FTIR 361 data; Figs 1, 2) suggests either that both Si and Mg in excess have been leached during filtration or that 362 363 some Mg has replaced Na as the interlayer cation. Additional data (such as elemental or NMR data)

- would be required to determine if the saponite produced by immersing the gel in a solution at pH 14 has
- 365 exactly the same composition as those produced at lower pH.

- $366 \qquad \text{In contrast to that of saponite, the synthesis of beidellite is highly sensitive to the pH of the AlCl_3 solution}$
- and the pH of the solution in which gels are immersed. A gel of beidellite composition produced using an AlCl<sub>3</sub> solution at pH 3.4 seems to be very unstable and does not lead to the formation of pure
- beidellite, irrespective of the pH of the solution used for the synthesis (cf Fig. S4). In contrast, a gel of
- beidelite composition produced using an AlCl<sub>3</sub> solution at pH 10.6 will lead systematically to the
- 371 formation of boehmite (cf Fig. S4). Syntheses using gels of beidellite composition immersed in pure
- 372 water will lead to the formation of kaolins, while only zeolites form in solutions at high pH, as also
- reported by De Kimpe (1976) and Huertas et al. (2000). The cause resides in the (pH-dependent) form
- of Si and Al species. In pure water,  $H_4SiO_4$ ,  $Al(OH)_2^+$ , and  $Al(OH)^{2+}$  prevail, and Si and Al ions form
- tetrahedral and octahedral complexes, respectively, while at high pH (>13),  $H_2SiO_4^-$  and  $Al(OH)_4^$ prevail, leading to the formation of only tetrahedral complexes (Table 1). Thus, the successful synthesis
- of pure beidellite occurs only at a very specific pH (i.e. 12), where 4-fold and 6-fold coordinated Al
- 378 species exist, as previously suggested (De Kimpe, 1976).
- The synthesis of <sup>[4]</sup>Al-nontronite is also very sensitive to the pH of solutions, as is the case for <sup>[4]</sup>Fe-379 380 nontronite as acknowledged earlier (Andrieux & Petit, 2010; Boumaiza et al., 2020; Dzene et al., 2022). Only the gel produced using an AlCl<sub>3</sub> solution at pH 10.6 and immersed in a solution at pH 12.5 leads 381 to pure <sup>[4]</sup>Al-nontronite. The syntheses conducted at a pH lower than 12.5 from the gels of nontronite 382 composition produced using an AlCl<sub>3</sub> solution at pH 10.6 led to the production of nontronite together 383 384 with bayerite. Gels produced using an AlCl<sub>3</sub> solution at pH 10.6 and immersed in solutions at pH 13 crystallized into pure nontronite, but these are <sup>[4]</sup>Fe-nontronites as indicated by the shift of the 06,33 385 reflection to lower angles and the shift of the vSi–O to lower wavelengths (Baron et al., 2016a). A high 386 pH, thus, seems to favor <sup>[4]</sup>Fe<sup>3+</sup> for <sup>[4]</sup>Si<sup>4+</sup> tetrahedral substitutions, as historically suggested by Grubb 387 (1969). The use of gels produced using an AlCl<sub>3</sub> solution at pH 3.4 did not lead to the production of 388 389 pure, well crystallized <sup>[4]</sup>Al-nontronite (only poorly crystalline nontronite is obtained at pH 12.5). The 390 immersion of such gels in solutions at lower pH leads to the production of hisingerite (i.e. a precursor 391 of nontronite containing no Al; Kohyama, 1975; Farmer et al., 1994; Eggleton, 1998; Milliken & Bish, 392 2014), while their immersion in solutions at higher pH leads to the production of a zeolite (analcime) 393 together with <sup>[4]</sup>Fe-nontronite.
- 394 Mechanistic Considerations

This section concerns the reactions that have likely occurred during the syntheses of saponite, beidellite, and nontronite. Please note that the equations presented below refer to the theoretical products of the syntheses carried out in the present study.

- 398 Pure saponite can be synthesized following various protocols, at various temperatures (Kloprogge &
- 399 Frost, 2000; Meyer et al., 2020) and for different durations (Zhang et al., 2020), whatever the pH (Blukis
- 400 et al., 2022). Here, saponite was obtained by immersing gels in solutions at pH ranging from 5.5 to 14, 401 probably because the rather large  $Mg^{2+}$  ions did not compute with Al species for tetrahadral substitutions
- 401 probably because the rather large  $Mg^{2+}$  ions did not compete with Al species for tetrahedral substitutions. 402 The major species in the Na<sub>2</sub>SiO<sub>3</sub> solution at 0.2 M at pH 13.1 are H<sub>2</sub>SiO<sub>4</sub><sup>2-</sup> and H<sub>3</sub>SiO<sub>4</sub><sup>-</sup> (Table 1).
- 403 Although Al was present mainly as  $Al^{3+}$  in the AlCl<sub>3</sub> solution, it converted to Al(OH)<sub>4</sub><sup>-</sup> when this
- 404 solution was poured into the Na<sub>2</sub>SiO<sub>3</sub> solution, leading to the polymerization of an aluminosilicate
- 405 network (i.e. tetrahedral complexes) at high pH (as suggested by Besselink et al., 2020). The subsequent
- 406 addition of the MgCl<sub>2</sub> solution was responsible for a slight decrease in the pH (down to 10 Table 2), 407 probably converting the remaining H<sub>2</sub>SiO<sub>4</sub><sup>2-</sup>ions into H<sub>3</sub>SiO<sub>4</sub><sup>-</sup>, thereby leading to the polymerization of
- 408 Mg-rich octahedral complexes. The produced gel crystallized into saponite whatever the pH of the
- 409 solution into which it will be immersed, following the overall simplified reaction, written with respect
- 410 to the main species present in each starting solution at  $20^{\circ}$ C (cf Table 1):
- 411 3.6  $Na_2SiO_{3(s)} + 0.4 AlCl_{3(s)} + 3 MgCl_{2(s)} + 5.04 H_2O$

- 412  $\rightarrow$  2.16 H<sub>2</sub>SiO<sub>4</sub><sup>2-</sup>(aq) + 1.44 H<sub>3</sub>SiO<sub>4</sub><sup>-</sup>(aq) + 0.4 Al<sup>3+</sup>(aq) + 3 Mg<sup>2+</sup>(aq) + 7.2 Na<sup>+</sup>(aq) + 7.2 Cl<sup>-</sup>(aq) + 1.44 OH<sup>-</sup>
- 413 (aq)
- 414  $\rightarrow$  Na<sub>0.4</sub>(Si<sub>3.6</sub>Al<sub>0.4</sub>)Mg<sub>3</sub>O<sub>10</sub>(OH)<sub>2 (s)</sub> + 6.8 NaCl (s) + 0.4 H<sup>+</sup> (aq) + 0.4 Cl<sup>-</sup> (aq) + 3.84 H<sub>2</sub>O (1)

415 Pure beidellite cannot be synthesized over a large range of pH. The pH of the solution in which the gel is immersed for crystallization has to be precisely controlled. Although the formation of tetrahedral 416 417 complexes occurred as for saponite when pouring the AlCl<sub>3</sub> solution at pH 3.9 into the Na<sub>2</sub>SiO<sub>3</sub> solution 418 at 0.2 M at pH 13.1, the subsequent addition of the second AlCl<sub>3</sub> solution was responsible for a large decrease in the pH (down to 4.5 – Table 2), destabilizing the tetrahedral complexes already formed and 419 420 converting the  $H_2SiO_4^{2-}$  ions into  $H_4SiO_4$  and the  $Al(OH)_4^{-}$  ions into  $Al(OH)_2^{+}$ ,  $Al(OH)_2^{++}$ , and  $Al^{3+}$ . The gel obtained with the starting AlCl<sub>3</sub> solution at pH 3.9 will crystallize into pure beidellite only if 421 422 immersed in solutions at pH 12 (allowing the conversion of Al species into  $Al(OH)_4^-$ , and thus the 423 incorporation of tetrahedral Al) followed the overall simplified reaction, written with respect to the main 424 species present in each starting solution at 20°C (cf Table 1):

 $425 \qquad 3.6 \; Na_2 SiO_{3\;(s)} + 2.4 \; AlCl_{3\;(s)} + 0.4 \; NaOH_{\;(aq)} + 5.04 \; H_2O$ 

$$426 \rightarrow 2.16 \text{ H}_2\text{SiO}_4^{2-}_{(aq)} + 1.44 \text{ H}_3\text{SiO}_4^{-}_{(aq)} + 2.4 \text{ Al}^{3+}_{(aq)} + 7.6 \text{ Na}^+_{(aq)} + 7.2 \text{ Cl}^-_{(aq)} + 1.84 \text{ OH}^-_{(aq)}$$

427 
$$\rightarrow$$
 Na<sub>0.4</sub>(Si<sub>3.6</sub>Al<sub>0.4</sub>)Al<sub>2</sub>O<sub>10</sub>(OH)<sub>2 (s)</sub> + 7.2 NaCl (s) + 4.24 H<sub>2</sub>O (2)

428 If the gel is immersed into a solution at pH lower than 12, only kaolins will be produced following Eq. 3,

429 while if the gel is immersed into a solution at pH higher than 12, only zeolites will be produced following

430 Eq. 4 (together with  $SiO_2$  or  $Al(OH)_3$ , respectively, which are likely leached during filtration). Note that

431 a starting AlCl<sub>3</sub> solution at pH above 8, which would contain  $Al(OH)_4^-$  ions, cannot be used to produce a 432 gel because of the inevitable precipitation of AlOOH or Al(OH)<sub>3</sub> (Fig. S4).

 $433 \qquad 3.6 \; Na_2 SiO_3 \; {}_{(s)} + 2.4 \; AlCl_{3\;(s)} + 5.04 \; H_2O$ 

434 
$$\rightarrow$$
 2.16 H<sub>2</sub>SiO<sub>4</sub><sup>2-</sup>(aq) + 1.44 H<sub>3</sub>SiO<sub>4</sub><sup>-</sup>(aq) + 2.4 Al<sup>3+</sup>(aq) + 7.2 Na<sup>+</sup>(aq) + 7.2 Cl<sup>-</sup>(aq) + 1.44 OH<sup>-</sup>(aq)

435 
$$\rightarrow$$
 1.2 Al<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4 (s)</sub> + 7.2 NaCl (s) + 1.2 SiO<sub>2 (s)</sub> + 2.64 H<sub>2</sub>O (3)

436  $3.6 \text{ Na}_2\text{SiO}_{3 (s)} + 2.4 \text{ AlCl}_{3 (s)} + 1.8 \text{ NaOH}_{(aq)} + 5.04 \text{ H}_2\text{O}$ 

$$437 \rightarrow 2.16 \text{ H}_2\text{SiO}_4^{2-}_{(aq)} + 1.44 \text{ H}_3\text{SiO}_4^{-}_{(aq)} + 2.4 \text{ Al}^{3+}_{(aq)} + 9 \text{ Na}^+_{(aq)} + 7.2 \text{ Cl}^-_{(aq)} + 3.24 \text{ OH}^-_{(aq)}$$

438  $\rightarrow$  1.8 NaAlSi<sub>2</sub>O<sub>6</sub>.H<sub>2</sub>O <sub>(s)</sub> + 7.2 NaCl <sub>(s)</sub> + 0.6 Al(OH)<sub>3 (s)</sub> + 3.24 H<sub>2</sub>O (4)

Pure nontronite also cannot be synthesized over a large pH range. As for beidellite, the pH of the solution 439 in which the gel is immersed for crystallization has to be controlled precisely, even though the pH of the 440 starting AlCl<sub>3</sub> solution does not seem to be critical, given that pure <sup>[4]</sup>Fe-nontronite or <sup>[4]</sup>Al-nontronite 441 442 were produced here from AlCl<sub>3</sub> solutions at either pH 3.4 or 10.6. However, here, only the use of an AlCl<sub>3</sub> solution at pH 10.6 led to the production of <sup>[4]</sup>Al-Nontronite. As for saponite and beidellite, the 443 formation of tetrahedral complexes occurred when pouring the AlCl<sub>3</sub> solution into the 0.2 M Na<sub>2</sub>SiO<sub>3</sub> 444 445 solution at pH 13.1. The subsequent addition of the FeCl<sub>3</sub> solution was responsible for only a slight decrease in the pH (down to 9; Table 2), leading to the production of Fe-rich octahedral complexes 446 forming a gel which would crystallize into pure <sup>[4]</sup>Al-nontronite only if immersed in solutions at pH 12.5 447 following the overall simplified reaction, written with respect to the main species present in each starting 448 449 solution at 20°C (cf Table 1):

- 450  $3.6 \text{ Na}_2\text{SiO}_{3 (s)} + 2.4 \text{ AlCl}_{3 (s)} + 2 \text{ FeCl}_{3 (s)} + 0.4 \text{ NaOH}_{(aq)} + 5.49 \text{ H}_2\text{O}$
- 451  $\rightarrow$  2.16 H<sub>2</sub>SiO<sub>4</sub><sup>2-</sup> (aq) + 1.44 H<sub>3</sub>SiO<sub>4</sub><sup>-</sup> (aq) + 0.4 Al(OH)<sub>4</sub><sup>-</sup> (aq) + 0.99 Fe<sup>3+</sup> (aq) + 0.26 Fe<sub>2</sub>(OH)<sub>2</sub><sup>4+</sup> + 0.32
- 452  $\text{FeCl}^{2+}_{(aq)} + 0.17 \text{ FeOH}^{2+}_{(aq)} + 7.6 \text{ Na}^{+}_{(aq)} + 7.2 \text{ Cl}^{-}_{(aq)} + 0.45 \text{ OH}^{-}_{(aq)}$

- 453  $\rightarrow Na_{0.4}(Si_{3.6}Al_{0.4})Fe_2O_{10}(OH)_{2 (s)} + 7.2 NaCl_{(s)} + 4.69 H_2O$  (5)
- 454 At high pH, the major species in solution were  $H_3SiO_4^-$ ,  $H_2SiO_4^{2-}$ ,  $Al(OH)_4^-$ , and  $Fe(OH)_4^-$  (Perry &
- 455 Shafran, 2001; Guan et al., 2009), with  $Al(OH)_4^-$  competing with  $Fe(OH)_4^-$  for tetrahedral substitutions
- 456 (Decarreau & Petit, 2014). According to the present results, if the gel is immersed in a solution at pH
- 457 12.5, Al(OH)<sub>4</sub><sup>-</sup> is preferentially incorporated into tetrahedral sites over  $Fe(OH)_4^-$ , leading eventually to
- the production of <sup>[4]</sup>Al-nontronite. In contrast, if the gel is immersed in a solution at pH higher than 12.5, Fe(OH)<sub>4</sub><sup>-</sup> is incorporated preferentially into tetrahedral sites over Al(OH)<sub>4</sub><sup>-</sup>, eventually leading to the
- 459 Fe(OH)<sub>4</sub> is incorporated preferinging into tetrahedral sites over Al(OH)<sub>4</sub>, eventually leading to the production of <sup>[4]</sup>Fe-nontronite rather than <sup>[4]</sup>Al-nontronite (cf Fig. 6). At pH lower than 12.5, Al seems
- to be incorporated neither in tetrahedral nor octahedral sites and bayerite is produced together with <sup>[4]</sup>Fe-
- 462 nontronite (Fig. 6).

#### 463 Concluding Remarks

- 464 Optimal pH for the Synthesis of Saponite, Beidellite, and Nontronite
- 465 The successful synthesis of saponite was achieved by exposing the starting gels to  $230^{\circ}$ C for 4 days in
- solutions at pH ranging from 5.5 to 14, confirming the pH non-sensitivity of saponite production (Blukis
- 467 et al., 2022). Of note, the gel-to-solution ratio is an important parameter. Here, a mass of about 100 mg
- 468 of gel was immersed into 16.5 mL of solution. In a similar volume of solution, a smaller (50 mg) or a
- 469 larger (200 mg) mass of gel led to the formation of less crystalline saponites (data not shown here).
- 470 The successful synthesis of beidellite was achieved by exposing the gel obtained using the AlCl<sub>3</sub> solution
- 471 at pH 3.9 to 230°C for 9 days in a solution at pH 12. The gel-to-solution ratio is also an important
- 472 parameter. Here, a mass of about 80 mg of gel was immersed into 12.5 mL of solution at pH 12. In a
- 473 similar volume of solution, a lower mass of gel (60 mg) led to the formation of zeolites, while a larger
- 474 mass of gel (100 mg) led to the formation of kaolins and aluminum hydroxides (data not shown here).
- 475 The successful synthesis of nontronite was achieved by exposing the starting gels to  $150^{\circ}$ C for 2.5 days
- in solutions at pH between 12.5 and 13. Pure nontronite was obtained by immersing gels prepared with
   an AlCl<sub>3</sub> solution at a pH above 5 in solutions at pH 12.5 (confirming the results of Andrieux and Petit,
- 478 2010). Here, a mass of about 165 mg of gel was immersed into 16.5 mL of solution. Of note, changing
- this ratio will influence the pH and may lead to the additional production of either analcime or aluminum
- 480 hydroxides (data not shown here).
- 481 Implications for Natural Systems

482 Smectites carry key information on the geochemistry, oxidation state, and water content of the 483 environments in which they were produced (Ehlmann et al., 2011; Fox et al., 2021), making them good 484 indicators of paleoenvironmental and paleoclimatic conditions. Although further characterization (such as elemental or NMR analyses) would be required to ascertain the exact structure and composition of 485 486 the various products more precisely, the present study shows that the optimal pH for the synthesis of 487 saponite, beidellite, or nontronite is different; pH conditions influence strongly the purity and the final 488 crystallochemistry of the smectites produced. Although extrapolating experimental results to natural 489 settings remains complicated, the present results suggest that the crystallochemistry of natural smectites 490 could also be used (a priori) as a paleo-pH proxy. However, smectitic clay minerals are rarely pure in 491 natural settings, and most often exist in a complicated assemblage of various (more or less interstratified) 492 clay mineral phases. Plus, natural clay minerals, either terrestrial or extraterrestrial, have usually 493 experienced a complex history, including possible transport, mixing, reworking, and alteration, without 494 mentioning interactions with organic compounds which may influence the crystallization and the final 495 crystallochemistry of clay minerals (Jacquemot et al., 2019; Viennet et al., 2019, 2022). Last, the precise 496 estimation of an age is fundamental for a proxy to be useful, and it remains very difficult to date smectitic 497 clay minerals other than relying on certain impurities (transition ions) and point defects (radicals) which 498 may be probed using electron paramagnetic resonance spectroscopy (Allard et al., 2012; Balan et al., 499 2020). Still, there is no doubt that the results of the present study will be of great help to constrain better

500 the geochemical conditions existing or having existed on extraterrestrial planetary bodies such as Mars,

- 501 on which the production of clay minerals has been intense (Carter et al., 2013; Ehlmann & Edwards, 502 2014), or on rocky and/or icy small bodies such as Ceres, Enceladus, or Europa on which the production
- 502 of (likely smectitic) clay minerals have recently been reported (Waite et al., 2017; Nordheim et al., 2018;
- 504 Marchi et al., 2019).
- 505

#### 506

#### DECLARATIONS

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#### 512 **Conflicts of interest**

- 513 The authors declare that they have no known competing financial interests or personal relationships that
- 514 could have appeared to influence the work reported in this article.

#### 515 Availability of data and material (data transparency)

- 516 All data are available at
- 517 https://drive.google.com/drive/folders/1prbEh1-XBEL-Qcb8NxvS1qzrygQ873yZ?usp=sharing

#### 518 Authors' contributions

- 519 IC, JCV, and SB designed the present study. IC and JCV performed the FTIR and the XRD analyses,
- 520 with the help of LD and MG. All authors contributed to the interpretation of the data and discussed their
- 521 implications. IC, JCV, and SB wrote the present manuscript, with critical inputs from FB, AB, and EB.
- 522

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#### **TABLES & FIGURES**

Table 1. pH of the solutions  $(0.2 \text{ mol.L}^{-1})$  and the main Si, Al, Fe, and Mg species in solution at 20°C (calculated using *Phreeqc*)

Solutions	pН	Major species in solution	Visual aspect		
Na <sub>2</sub> SiO <sub>3</sub> (0.2 M)	13.1	$H_2SiO_4^{2-}/H_3SiO_4^{-}$	Transparent liquid		
AlCl <sub>3</sub> (0.2 M)	3.4	Al <sup>3+</sup>	Transparent liquid		
FeCl <sub>3</sub> (0.2 M)	1.8	Fe <sup>3+</sup>	Yellow liquid		
MgCl <sub>2</sub> (0.2 M)	5.4	$\mathrm{Mg}^{2+}$	Transparent liquid		
FeCl <sub>3</sub> (0.2 M) + NaOH	2.1	$Fe^{3+}>Fe_2(OH)_2^{4+}>FeCl^{2+}>FeOH^{2+}$	Orange liquid		
AlCl <sub>3</sub> (0.2 M) + NaOH	3.9	Al <sup>3+</sup> >>>Al(OH) <sup>2+</sup>	Transparent liquid		
AlCl <sub>3</sub> (0.2 M) + NaOH	5.3	$Al^{3+}>Al(OH)^{2+}>Al(OH)_{2}^{+}$	White liquid (+ solid Al(OH) <sub>3</sub> )		
AlCl <sub>3</sub> (0.2 M) + NaOH	8	Al(OH) <sub>4</sub> <sup>-</sup>	White and very viscous liquid (+ solid Al(OH) <sub>3</sub> )		
AlCl <sub>3</sub> (0.2 M) + NaOH	10.6	Al(OH) <sub>4</sub> <sup>-</sup>	White viscous liquid (+ solid Al(OH) <sub>3</sub> )		

Theoretical Starting Gel	pH of the Na <sub>2</sub> SiO <sub>3</sub> solution	pH of the AlCl <sub>3</sub> solution	pH of the 3 <sup>rd</sup> solution	Theoretical mass of gel (mg)	$pH_i$	Volume of the added solution (mL)	pH of the added solution	T°C	Synthesis duration (days)	$pH_{\rm f}$	Products	
Saponite	13.1	34	MgCla - pH 5.4	100	10	16.5	55	230	4	75	<sup>[4]</sup> Al-Saponite	
Saponite	13.1	3.4	$MgCl_2 - pH 5.4$	100	10	16.5	12	230	4	10.4	<sup>[4]</sup> Al-Saponite	
Saponite	13.1	3.4	$MgCl_2 - pH 5.4$	100	10	16.5	13	230	4	12.9	<sup>[4]</sup> Al-Saponite	
Saponite	13.1	3.4	MgCl <sub>2</sub> - pH 5.4	100	10	16.5	14	230	4	13.7	<sup>[4]</sup> Al-Saponite	
Beidellite	13.1	3.9	AlCl <sub>3</sub> - pH 3.9	80	4.5	12.5	5.5	230	9	7.2	Kaolins	
Beidellite	13.1	3.9	AlCl <sub>3</sub> - pH 3.9	80	4.5	12.5	12	230	9	9.4	<sup>[4]</sup> Al-Beidellite	
Beidellite	13.1	3.9	AlCl <sub>3</sub> - pH 3.9	80	4.5	12.5	12.5	230	9	11	<sup>[4]</sup> Al-Beidellite+Analcime	
Beidellite	13.1	3.9	AlCl <sub>3</sub> - pH 3.9	80	4.5	12.5	13	230	9	12	Analcime	
Nontronite	13.1	3.4	FeCl <sub>3</sub> - pH 2.1	165	4	16.5	5.5	150	2.5	4.7	Hisingerite	
Nontronite	13.1	3.4	FeCl <sub>3</sub> - pH 2.1	165	4	16.5	12	150	2.5	8.3	Amorphous Gel	
Nontronite	13.1	3.4	FeCl <sub>3</sub> - pH 2.1	165	4	16.5	12.5	150	2.5	10.7	<sup>[4]</sup> Fe-Nontronite	
Nontronite	13.1	3.4	FeCl <sub>3</sub> - pH 2.1	165	4	16.5	13	150	2.5	12.7	<sup>[4]</sup> Fe-Nontronite+Analcime	
		1	1						1			
Nontronite	13.1	5.3	FeCl <sub>3</sub> - pH 2.1	165	-	16.5	12.5	150	2.5	-	<sup>[4]</sup> Fe-Nontronite+Hisingerite	
Nontronite	13.1	8.0	FeCl <sub>3</sub> - pH 2.1	165	-	16.5	12.5	150	2.5	-	<sup>[4]</sup> Fe-Nontronite	
		1	1		1		1	n	1			
Nontronite	13.1	10.6	FeCl <sub>3</sub> - pH 2.1	165	9	16.5	5.5	150	2.5	9.7	<sup>[4]</sup> Fe-Nontronite+Bayerite	
Nontronite	13.1	10.6	FeCl <sub>3</sub> - pH 2.1	165	9	16.5	12	150	2.5	12.1	<sup>[4]</sup> Fe-Nontronite+Bayerite	
Nontronite	13.1	10.6	FeCl <sub>3</sub> - pH 2.1	165	9	16.5	12.5	150	2.5	12.5	<sup>[4]</sup> Al-Nontronite	
Nontronite	13.1	10.6	FeCl <sub>3</sub> - pH 2.1	165	9	16.5	13	150	2.5	12.9	<sup>[4]</sup> Fe-Nontronite	

Table 2. Starting materials, conditions of synthesis of smectites, and synthesized products ( $pH_i$  corresponds to the pH of the solutions in equilibrium with the gel;  $pH_f$  corresponds to the pH of the solutions in equilibrium with the final products)

#### 827 FIGURES



828

829 Figure 1. XRD patterns of products of syntheses conducted with the gels of saponite composition. Peaks

- at 2.09, 2.05, and 1.80 Å are attributed to contamination by austenitic stainless steel during XRD sample
- 831 preparation (Boonruang & Sanumang, 2021)

832



834 Figure 2. FTIR spectra of products of syntheses conducted with the gels of saponite composition



Figure 3. XRD patterns of products of syntheses conducted with the gels of beidellite composition. Bei:
Beidellite. Anl: Analcime. Gis: Gismondine. Kln: Kaolinite. Peaks at 2.08 Å and 1.80 Å are attributed
to contamination by austenitic stainless steel during XRD sample preparation (Boonruang & Sanumang,
2021)



835

841 Figure 4 FTIR spectra of products of syntheses conducted with the gels of beidellite composition

12.74	Anl	Anl Anl 3.41	8.09 Anl	Gel of Anl Anl An	of Nontroni	te with AlCl3 a with AlCl3 at p	t pH 8 + so 1.73 pH 5.3 + so	lution at pH 12.5 1.527 lution at pH 12.5
12.18	5.55 Anl 4.54 4.81	Anl 3.41 3.67 3	Ani 3.092.91	Anl Anl An 2.68 2.492.4 2.61 Gel c	l Anl 1 2.22 of Nontroni	Anl 1.89 / 1.80 te with AlCl <sub>3</sub> a	An 6 1.73 / 1.7 t pH 3.4 +	1 / 1.68 1.530 solution at pH 13
		3.58		Gel of Gel of	Nontronite of Nontroni	with AlCl <sub>3</sub> at p 1.93 te with AlCl <sub>3</sub> a	1.72 pH 3.4 + so	1.524 lution at pH 12.5
8.96	4,50	3.52		2.54 2	.15 Gel of No	1.91 ntronite with A	AlCl <sub>3</sub> at pH	1.53 3.4 + pure water
10	20	30	°2(	40 θ CoKα	50		60	70

Figure 5. XRD patterns of products of syntheses conducted with the gels of nontronite composition. Byr: Bayerite. Anl: Analcime





Figure 6. FTIR spectra of products of syntheses conducted with the gels of nontronite composition