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## Extreme rainfall reduces one-twelfth of China's rice yield

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Extreme climate events constitute a major risk to global food production. Among 1 these, the extreme rainfall is often dismissed from historical analyses and future 2 3 projections, whose impacts and mechanisms remain poorly understood. Here, we find that rice yield reductions due to extreme rainfall in China were comparable 4 to those induced by extreme heat over the last two decades, reaching  $7.3 \pm 0.7\%$ 5 (one standard error) according to nationwide observations and  $8.1 \pm 1.1\%$ 6 according to the crop model incorporating the mechanisms revealed from 7 manipulative experiments. Extreme rainfall reduces rice yield mainly by limiting 8 9 nitrogen availability for tillering that lowers per-area effective panicles and by exerting physical disturbance on pollination that declines per-panicle filled grains. 10 Considering these mechanisms, we projected ~8% additional yield reduction due 11 12 to extreme rainfall under warmer climate by the end of the century. These findings demonstrate the critical importance to account for extreme rainfall in food 13 security assessments, posing greater challenges to climate change adaptation. 14

Extreme climate events have been recognized as a major risk induced by climate 15 change<sup>1</sup>. Agricultural ecosystems are among the most vulnerable to climate extremes, 16 resulting in remarkable damage to crop production<sup>2,3</sup>. For example, extreme climate 17 events have been shown to account for 18-43% of the observed anomalies in global 18 crop yields<sup>4</sup>. The consequences of such yield anomalies vary from fluctuations in food 19 prices, destabilized food supply, to famines<sup>5</sup>. As such, understanding the impacts of 20 extreme climate events on crop yield is critical for adapting food systems to future 21 22 climate change and thus contributing to food security for the growing global populations. Recent studies have focused on elucidating impacts of drought<sup>6,7</sup>, extreme 23 heat<sup>8,9</sup> and cold spells<sup>10</sup>, but the impact of extreme rainfall on yields remain largely 24 uncertain<sup>11,12</sup>. 25

26

27 Estimating the yield loss due to extreme rainfall needs to assess the magnitude of extreme rainfall and timing of crop exposure, both of which are highly heterogeneous 28 over space and time. Spatially, previous studies based on yield statistics and climate 29 30 variables aggregated at administration zones may have smoothed out the highly localized extreme rainfall events, resulting in minimal impact of extreme rainfall being 31 detected ( $-0.6 \pm 1.2\%$ , mean  $\pm$  standard error), which is far below the observational 32 33 damages from other climate extremes (e.g.,  $-8.1 \pm 3.1\%$  from extreme heat,  $-5.2 \pm 2.8\%$ from drought, and  $-10.2 \pm 6.5\%$  from extreme cold; Supplementary Table 1). 34 Temporarily, the exposure of crops to extreme rainfall can be dismissed if using climate 35 data with coarse temporal resolution to explore the climate-yield relationships<sup>3</sup>. The 36

lack of clear mechanistic evidence for extreme rainfall impacts<sup>4,11</sup> has also rendered
crop modelers to dismiss their potential effects in projecting the impacts of climate
change<sup>13</sup>, despite the expected increase in occurrence of extreme rainfall<sup>14</sup>.

40

Rice is the primary calorie source for more than 50% of the world's population, with 41 the largest production in China<sup>15</sup>. Rice is generally considered to be strongly tolerant to 42 extreme rainfall, though this may be an artifact of relatively intense irrigation and 43 drainage management that minimizes adverse effects of rainfall anomalies<sup>16,17</sup>. As a 44 result, extreme rainfall impacts on rice yield remain poorly investigated. Here, we used 45 long-term nationwide observations and multi-level rainfall manipulative experiments 46 to explore the magnitude and mechanisms of extreme rainfall impacts on rice yield in 47 China (see Methods, Supplementary Figs 1 and 2). We further improved a process-48 based crop model by explicitly accounting for the relevant mechanisms to hindcast and 49 project the yield responses to extreme rainfall across China. This combination of field 50 observation, manipulative experiment, and model simulation enables us to address three 51 questions here: what is the magnitude and pattern of change in rice yield due to extreme 52 rainfall? what are the key mechanisms determining rice yield response to extreme 53 rainfall? how strongly do changes in extreme rainfall impact future rice yield? 54

55

#### 56 Evidence from nationwide observations

57 We used a window searching strategy<sup>18</sup> to isolate change in rice yield ( $\Delta Y$ ) induced by

each extreme climate event for specific site and year combinations from the nationwide 58 observations (see Methods, an example in Supplementary Fig. 3, and the definition of 59 events in Supplementary Table 2).  $\Delta Y$  is defined as the relative change in rice yield 60 between the treatment and control cases (in %), after excluding the effects from the 61 other meteorological indicators and changes in rice cultivar and phenology, where in 62 the treatment rice has been exposed to a given extreme event during the rice growing 63 season, and in the control rice has not been exposed to that event and other extreme 64 events either did not occur or were the same as in the treatment. This yielded 707  $\Delta Y$ 65 66 data at 114 sites covering most of rice production areas in China (Supplementary Fig. 1b). These data show that extreme rainfall induced a significant yield reduction by 67 7.3 $\pm$ 0.7% (one standard error) in China (n = 217, P < 0.001, Fig. 1a). Such yield 68 69 reduction is roughly equivalent to 9 times the annual rice yield growth over the past three decades (0.8% yr<sup>-1</sup>, Supplementary Fig. 4). Counter-intuitively, the yield 70 reduction due to extreme rainfall was comparable to that due to extreme heat  $(5.0\pm1.8\%)$ , 71 72 n = 61), and larger than the reductions related to drought (4.4±1.0%, n = 152), extreme cold (3.7 $\pm$ 0.8%, *n* = 163), and the other extreme events (e.g. hail, typhoon and tropical 73 cyclones,  $2.5\pm1.2\%$ , n = 114, Fig. 1a). The larger  $\Delta Y$  for extreme rainfall in relative to 74 other extreme events is robust when comparing different extreme events with similar 75 probability of occurrence (Supplementary Fig. 5). Spatial analyses further confirm that 76 the negative effects of extreme rainfall on rice yield are more pervasive and stronger 77 than those of other extreme events, even though record yield reductions ( $\Delta Y < -20\%$ ) 78 can arise from any type of extreme events considered here (Fig. 1b-f). 79

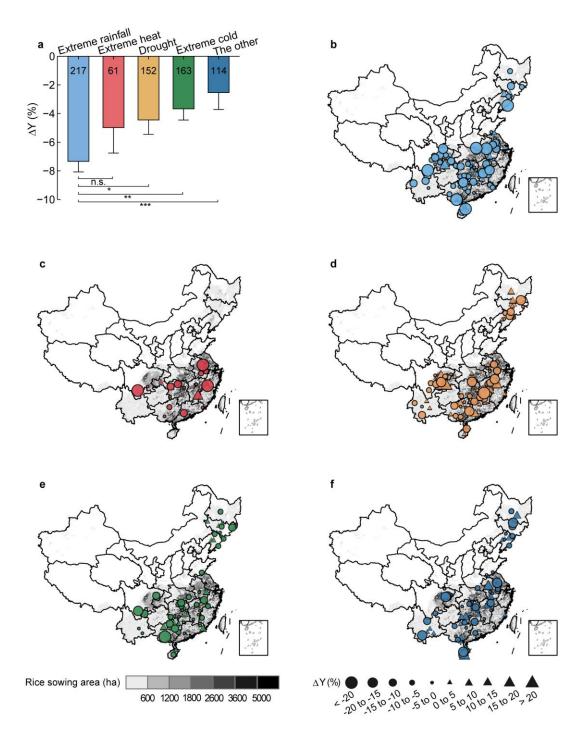




Fig. 1. Changes in rice yield ( $\Delta Y$ ) induced by extreme climate events in China. a. 81 The mean and standard error of  $\Delta Y$  from 1,000-time bootstrap estimates. **b-f**. Pattern 82 of  $\Delta Y$  induced by extreme rainfall, extreme heat, drought, extreme cold, and the other 83 events (typhoon and tropical cyclones), respectively. The digits noted at the base of 84 each bar in panel **a** are the number of  $\Delta Y$ . The Asterisks refer to statistical significance 85 of the differences in mean  $\Delta Y$  between extreme rainfall and other events based on the 86 Wilcoxon rank sum test, with \* P < 0.05, \*\* P < 0.01, \*\*\* P < 0.001, and n.s. for not 87 significant.  $\Delta Y$  in panels **b** to **f** is presented for each site, but averaged in case of 88 89 multiple samples.

90

91	To identify the potential factors determining the magnitude of extreme rainfall impacts,
92	correlation analyses were performed between $\Delta Y$ and extreme rainfall parameters. We
93	define extreme rainfall as the 99 <sup>th</sup> percentile of hourly precipitation during rice growing
94	season for each site (see Methods). The analyses show that extreme rainfall induced $\Delta Y$
95	was negatively correlated with the intensity (cm per hour), the event amount (cm per
96	event for which the break between hourly precipitation lies below 6 hours), and the
97	event duration (hour per event) of extreme rainfall, rather than with the total intensity,
98	frequency or proportion of extreme rainfall (Supplementary Fig. 6). The combining
99	results from the Kruskal-Wallis Rank Sum Test and the Dunn's test affirmed that the
100	repeated extreme rainfall does not add to additional yield loss (Supplementary Fig. 6g).
101	These relationships are robust against variations in the definitions of extreme rainfall
102	(95 <sup>th</sup> , 99 <sup>th</sup> or 99.9 <sup>th</sup> percentile) and of break duration (2, 6 or 12 hours) for consecutive
103	extreme rainfall events (Supplementary Table 3), confirming the key driving indicators
104	are hourly intensity and event amount rather than repeated frequencies. The sensitivities
105	of $\Delta Y$ to extreme rainfall intensity and event amount were $-1.6 \pm 0.7$ % (cm h <sup>-1</sup> ) <sup>-1</sup> and
106	$-0.4 \pm 0.1$ % cm <sup>-1</sup> , respectively (Supplementary Fig. 6a, d). Such a sensitivity of $\Delta Y$ to
107	rainfall intensity is roughly in line with previous field observations (–1.0 $\pm$ 0.2 % (cm
108	$h^{-1}$ ) <sup>-1</sup> , $n = 11$ ), but larger than the results from previous statistical analysis aggregated
109	at administrative scales ( $-0.03 \pm 0.05 \%$ (cm h <sup>-1</sup> ) <sup>-1</sup> , $n = 33$ ; Supplementary Table 1).
110	

## 111 Experimental tests of the extreme rainfall-rice yield relationship

112 To isolate the mechanisms leading to extreme rainfall impacts on  $\Delta Y$ , we established a

series of rainfall manipulative experiments in 2018 and 2019 (see Methods and Fig. 2a 113 for detailed setup). In the experiments, we established four rainfall levels to broadly 114 represent extreme rainfall events across China's rice fields. To test if the impacts differ 115 by growth phases, rainfall manipulation was conducted for each or their combination 116 117 of the three phases (i.e., vegetative phase when tillers are formed, reproductive phase when spikelets reach anthesis, and ripening phase when grains are filled). We quantified 118  $\Delta Y$  between treatment and control plots for which two replicates were considered. In 119 agreement with the nationwide observations,  $\Delta Y$  was  $-1.1 \pm 0.3\%$  and  $-0.6 \pm 0.1\%$  in 120 121 response to 1-centimeter increase in extreme rainfall intensity and event amount, respectively. These effect sizes were also statistically the same between the two 122 experimental years (Supplementary Fig. 7a, b). Analyses of changes in yield 123 components indicated that  $\Delta Y$  were mostly caused by declines in effective panicles per 124 unit land area (EP) and filled grains per panicle (FG), accounting for 22% to 25% and 125 71% to 75% of  $\Delta Y$ , respectively, whereas decreased grain weight (GW) only 126 contributed to approximately 1.4% to 6.0% (Fig. 2 and Supplementary Fig. 7c). 127 Extreme rainfall impacts on yield components depend on growth phase. Extreme 128 rainfall in the vegetative phase mainly reduced EP, while in the reproductive phase, it 129 mainly reduced FG. (Fig. 2). 130

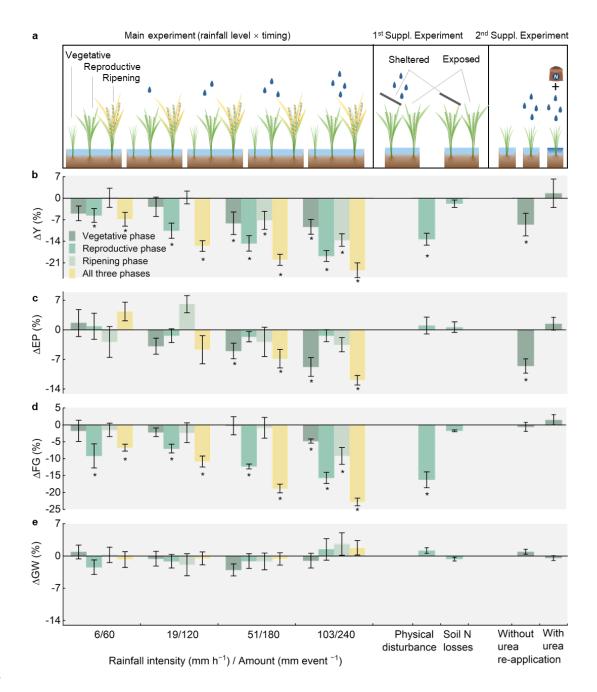




Fig. 2. Effects of simulated rainfall on rice yield and yield components. a. Experimental setup. **b** to **e**. Relative changes in rice yield ( $\Delta$ Y), effective panicles per unit land area ( $\Delta$ EP), filled grains per panicle ( $\Delta$ FG), and grain weight ( $\Delta$ GW), respectively. For the 2<sup>nd</sup> supplementary experiment, the urea re-application rate (i.e., 28.8 kg N ha<sup>-1</sup>) is equal to the average N losses observed from the treatment plots using the same rainfall amount during vegetative phase in main experiments. Asterisk indicates for the significant difference with zero, with P < 0.05.

139

140 We hypothesized that the growth phase-dependent effects of extreme rainfall result

141 from different mechanisms in reducing rice yield, that is, high extreme rainfall intensity

reduces FG through physical disturbance (in terms of kinetic energy) on pollination<sup>19,20</sup>, and high extreme rainfall event amount stresses tillering through inducing soil N losses<sup>21,22</sup>. To test these hypotheses, we conducted two supplementary experiments using the maximum rainfall level (103 mm per hour for intensity or 240 mm per event for event amount) in 2021 (see Methods).

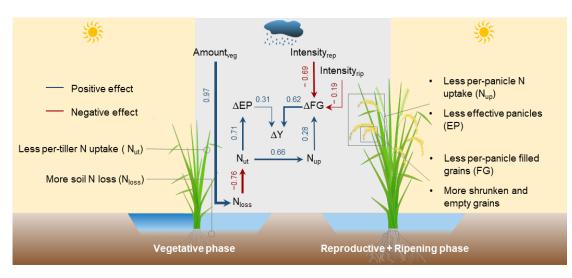
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In the first supplementary experiment, we sheltered half of each rice plot during the 148 reproductive phase so that the sheltered halves of treatment were affected by extreme 149 rainfall only through its effects on soil N losses, but not direct physical disturbance (Fig. 150 2a and Supplementary Fig. 8). We found FG in the sheltered halves of treatment show 151 little difference (< 2.0%) with the control, while FG in the exposed halves of treatment 152 153 decreased by  $18.0 \pm 2.6\%$  (Fig. 2). The empty or shrunken grains were found mostly in the upper part of the panicles of the exposed halves of treatment (Supplementary Fig. 154 9), further supporting that FG were reduced by the physical disturbance that prevents 155 successful pollination, a critical process of yield formation. 156

157

The second supplementary experiment isolates the effects of soil N losses induced by extreme rainfall event amount during the vegetative phase (Supplementary Fig. 8a). We supplied additional urea to half of the treatments, so that if there was a soil N loss induced by the extreme rainfall, it would be compensated. We found that urea reapplication could help maintain N uptake per tiller, and thus successfully stabilized EP and rice yield (Fig. 2). Treatments that did not compensate for N losses caused proportionally similar declines in N uptake per tiller and thereby EP ( $R^2 = 0.70$  and 0.57, respectively, P < 0.001, Supplementary Fig. 10). These findings confirm that the reduced EP was primarily attributable to extreme rainfall event amount that limits soil N availability and crop N uptake causing lower yields.

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169

170Fig. 3. Schematic diagram of extreme rainfall impacts on rice yield. Single-headed171arrows indicate the direction of causation identified by the structural equation172modelling. Blue (red) arrows indicate significant positive (negative) effects (P < 0.05).173Arrow width is proportional to the strength of the relationship, which is characterized174by standardized path coefficients showing next to arrows. Amountveg, extreme rainfall175event amount in vegetative phase; Intensityrep and Intensityrip, extreme rainfall intensity176in reproductive and ripening phases, respectively; ΔY, relative changes in rice yield.

177

Ultimately, we summarized and tested our findings from the experiments with structural equation modelling (SEM) to illustrate the mechanisms by which extreme rainfall reduces rice yield (Fig. 3). The SEM including the direct pathways of rainfall-induced physical disturbance and soil N losses shows the best performance (Supplementary Fig. 11a), explaining 56% of the overall variance in rice yield reductions. This suggests that both pathways are primary mechanisms explaining  $\Delta Y$ , whereas other potential mechanisms (e.g., changes in photosynthesis, leaf area index, phosphorous loss, phosphorous and potassium absorptions) do not show significant effects (Supplementary Fig. 11b-f). In addition to these two pathways, the best-fit SEM also identified an indirect pathway, i.e., rainfall-induced N losses during the vegetative phase, which may also limit per-panicle N uptake during the reproductive phase thereby decreasing FG (Fig. 3 and Supplementary Fig. 11a).

190

#### 191 Crop model improvements for assessing $\Delta Y$

Correctly representing the mechanisms through which extreme rainfall reduces rice 192 yield is critical for diagnosing and projecting spatiotemporal variations in rice yield. 193 We introduced the first physical disturbance module of extreme rainfall on rice yield in 194 ORganizing Carbon and Hydrology in Dynamic EcosystEms for crops (ORCHIDEE-195  $(rop)^{23}$ , which is a process-based crop model including the representation of single, 196 early and late rice types, paddy rice irrigation, and a detailed soil hydrology model<sup>24</sup>. 197 The direct and indirect pathways of extreme rainfall through soil N losses was also 198 199 introduced into the model (see Methods and Supplementary Table 4). The improved model can robustly capture the observed rice yield variability due to year-to-year 200 climate variations and extreme rainfall treatments (the coefficient of determination of 201 0.88 in 2018 and 0.78 in 2019, mean root square error of 0.69 in 2018 and 1.19 in 2019, 202 Supplementary Fig. 12). The model was further validated by field observations of rice 203 yield in 2021 between control and treatment (Supplementary Fig. 12). 204

We then used the high-resolution global precipitation measurement (GPM) datasets<sup>25</sup> 206 to drive the improved model over China in 2001-2016 (see Methods). On average, the 207 improved model hindcasts lower rice yields due to the extreme rainfall by  $8.1 \pm 1.1\%$ 208 (one standard error for interannual variability) for all rice types,  $8.3 \pm 1.0\%$  for single 209 rice,  $8.6 \pm 1.0\%$  for early rice, and  $7.6 \pm 1.3\%$  for late rice (Supplementary Fig. 13a-c). 210 Higher  $\Delta Y$  were simulated eastern China and southern coastal regions which 211 experienced higher rainfall intensities (Supplementary Fig. 13a-c). Factorial model 212 simulations show that physical disturbance induced by extreme rainfall was the most 213 important determinant across 47-95% of rice sowing areas (Supplementary Fig. 13d-f), 214 leading to yield losses of 3.9% for single rice, 5.1% for early rice, and 4.1% for late 215 rice. Extreme rainfall-induced N losses dominated the  $\Delta Y$  mainly in Anhui and Jiangsu 216 provinces where both N application rates<sup>26</sup> and extreme rainfall event amount were 217 relatively high<sup>27</sup> (Supplementary Fig. 13h-j). 218

219

## 220 **Projected impacts of future change in extreme rainfall**

Since extreme rainfall was found to have significant impacts on historical rice yields, a process which was neglected in previous projections under climate change<sup>28,29</sup>, we made a first attempt to project the risk of future rice yield to changing extreme rainfall dynamics. We used the high-resolution climate projection by the IPSL model zoomed over China<sup>30</sup>, which performed well in reproducing the spectral properties of rainfall

including extreme events<sup>31</sup>, to drive the improved model under two climate scenarios 226 (representative concentration pathways [RCP] 4.5 and 8.5; see Methods). Considering 227 228 extreme rainfall impacts led to greater projected yield reductions by the end of this century (2085-2100, Fig. 4). On average, extreme rainfall induces an additional yield 229 230 reduction of 7.6% in China on the top of other climate-change induced impacts under 231 RCP 4.5. We then ranked the additional yield reductions in grid cells from the largest to smallest and calculated the cumulative sowing area affected by a given additional 232 yield change, and found that the sowing areas with additional yield reduction of >7.6%233 accounted for 58% for early rice, 39% for single rice, and 29% for late rice (Fig. 4a). 234 Rice is projected to suffer from extreme rainfall events the most over northeast China 235 and southeast coastal regions (Fig. 4b-d). However, additional yield reductions were 236 237 projected to be weaker under RCP 8.5 relative to RCP 4.5 (Fig. 4e-h), with the national mean reduction due to extreme rainfall of 5.4%, mainly because of larger rice yield 238 reduction induced by stronger warming and carbon dioxide concentrations under RCP 239 8.5 together with no differences in projected extreme rainfall between the two scenarios 240 (Supplementary Fig. 14). These new projections highlight the increasing risk of rice 241 yield reductions induced by extreme rainfall and an urgent need to consider this risk in 242 planning climate change adaptations. 243

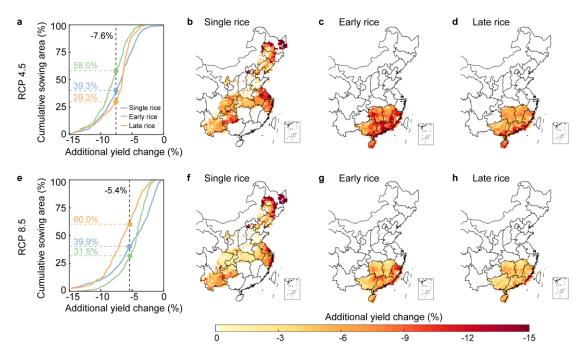


Fig. 4. Future projections of additional yield change induced by extreme rainfall. a and e. cumulative proportions of sowing area affected by a given additional yield change under RCP 4.5 and RCP 8.5, respectively; Black dashed lines represent the national means (-7.6% and -5.4%), and the numbers represent the cumulative proportions of sowing area affected by exceeding the national means. b to d. Patterns of  $\Delta Y$  under RCP 4.5. f to h. Same as panels b to d but under RCP 8.5.

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244

### 252 Discussion

253	While both nationwide observations and model simulations indicated approximately 8%
254	of rice yield lost in China due to extreme rainfall, we note that our analyses are subject
255	to several sources of uncertainties. On the observation side, due to rigorous screening
256	criteria to isolate extreme rainfall impacts from other extreme events, rice yield
257	assessment has been eliminated over the Southeast Coast where extreme rainfall is
258	strong (Supplementary Figs 1b and 15), likely underestimating the extent of extreme
259	rainfall induced $\Delta Y$ . On the modelling side, extreme rainfall intensity and event amount
260	used for driving the historical simulations across China were from the half-hourly and
261	0.1-degree GPM dataset, which is well represented for but still did not fully capture the

observed heaviest rainfall extremes (Supplementary Fig. 16). Thus, our estimates of 262 extreme rainfall impact on rice yield should be viewed as a conservative assessment. 263 264 Another source of uncertainty is related to the setup of our manipulative experiments. The experiments were conducted on cloudy days to approximate natural rainfall 265 266 conditions, but muted the effects of accompanying processes such as reduced air temperature<sup>32</sup> and enhanced waterlogging<sup>33</sup>. These accompanying processes may cause 267 rice diseases and lodging that can further compound rice yield responses<sup>12,34</sup>. Moreover, 268 our experiment focused on uncovering mechanisms of extreme rainfall impacts under 269 regular management, without climatic adaptations, which introduces additional 270 uncertainties in future projection. 271

272

273 Although we focused on rice yields in China that is the largest rice producer globally, attention to other rice producing regions may yield critical insights into the 274 biogeography and generalizability of our findings. Compared to China, rice fields in 275 South and Southeast Asia have smaller N application rates and larger fractions of 276 rainfed rice (Supplementary Fig. 17). Extreme rainfall in these regions may lead to a 277 lower risk of soil N losses and thus lower impacts on tillering. However, these regions 278 279 were more exposed to extreme rainfall given much higher extreme rainfall intensity (Supplementary Fig. 15b), and thus subject to higher risk of physical disturbance. Since 280 across approximately 80% of China's rice fields, extreme rainfall impacts results from 281 direct physical disturbance on pollination, rice yield reductions in South and Southeast 282 Asia should also be significant. Previous projection of rice yield response to climate 283

change without considering the extreme rainfall impacts (e.g., Webber et al.<sup>13</sup>,
Rosenzweig et al.<sup>28</sup>, Jägermeyr et al.<sup>29</sup>, Iizumi et al.<sup>35</sup>) have likely been overly
optimistic in this regard.

287

The impacts of extreme rainfall on other staple crops such as wheat and maize remain 288 to be explored. Although the magnitude and mechanistic representation of rice yield 289 response to extreme rainfall may not be directly applicable to other crops, our research 290 paradigm that combines field observations, manipulative experiments, and processed-291 based modelling is well transferable. Unlike rice, sizable fraction of upland crops were 292 rainfed or under different irrigation-drainage systems<sup>36</sup>. The sensitivity of tillering and 293 pollination processes in response to extreme rainfall may also be different from what 294 we observed here. Therefore, a major research challenge remains to assess the global 295 extreme rainfall impacts for all cereal crops. 296

297

## 298 Methods

## 299 Analysis of nationwide observational data

300 *Yield change induced by extreme climate events*. We collected field observations of rice 301 yield and extreme climate events from the national agrometeorological observation 302 network that is run by the China Meteorological Administration (CMA). This network 303 covers most rice production areas, including single rice in northeast and central China 304 and early and late rice in south China (Supplementary Fig. 1a). It provides rice yield of 2,304 site-year records from 166 sites, and extreme climate events of 8,595 records from 356 sites occurred in the rice growing seasons over the period 1999-2012. Rice yield is defined as actual production divided by the hectare of harvested area. Extreme climate events are recorded on given days for each site and are sorted into five broad categories, i.e., extreme heat, extreme cold, extreme rainfall, drought, and the other events (see definitions in Supplementary Table 2).

311

312 First, the field observations are reproduced by summing the detrended yield and the 313 multi-year average  $(Y_{de})$  for each site and rice type, to avoid the impacts of changing rice cultivar, phenology and technology. Second, to exclude the effects of the other 314 meteorological indicators on yield, a multiple linear regression analysis is applied for 315  $Y_{de}$  against mean temperature, total precipitation and total sunshine hours during rice 316 growing season for each site and rice type. Regression coefficients are determined as 317 the median of 1,000-time bootstrap estimates, and used for predicting yield  $(Yfit_{de})$ . 318 Third, a window searching strategy<sup>18</sup> is applied to find all available control-treatment 319 pairs (YC and YT) to quantify rice yield change ( $\Delta Y$ ) induced separately by different 320 extreme events for a given site and year (Supplementary Fig. 3): 321

322 
$$\Delta Y = \frac{(YT_{de} - YTfit_{de}) - (YC_{de} - YCfit_{de})}{YC_{de}} \times 100\%$$
(1)

This strategy expands on previous studies<sup>3,11,12,37-42</sup> in at least three aspects: (i) It ensures both control and treatment sharing approximately the same management practices (e.g., fertilization, irrigation, and drainage) and geographical conditions (e.g., soil properties and topography), while almost avoiding technology- or cultivar-driven

change in rice yield; (ii) it isolates the impact of a given extreme event on rice yield 327 while largely reducing the noise due to the other events, and (iii) it provides an 328 329 observational evidence for climate extreme impacts on rice yield at the site scale, rather than expected signal from statistical inferences at administrative scales. Finally, a 330 331 dataset of 707 control-treatment pairs from 114 sites were identified, i.e., 217 pairs for extreme rainfall at 82 sites, 152 pairs for drought at 73 sites, 61 pairs for extreme heat 332 at 18 sites, 163 pairs for extreme cold at 61 sites, and 114 pairs for the other events at 333 68 sites (Supplementary Fig. 1b and Data 1). 334

335

The Wilcoxon rank sum test was applied to assess the significance of  $\Delta Y$ . The choice is 336 motivated by the fact that  $\Delta Y$  is not normally distributed (Kolmogorov-Smirnov test, P 337 338 < 0.05). The Wilcoxon rank sum test was also applied to quantify the difference between extreme rainfall and other extreme events. To solve the inconsistency of sample size 339 among the extreme events, we applied the bootstrap resampling to estimate the means 340 and standard error of  $\Delta Y$  by randomly sampling an equal size from the initial dataset 341 for 1000 times. The results show that the differences in  $\Delta Y$  are robust, because  $\Delta Y$  are 342 dominated primarily by climate extremes with moderate effects on rice yields, rather 343 than a few outliers (Supplementary Fig. 18), and are not strongly influenced by sample 344 345 size (Supplementary Fig. 19). In addition, we calculated the percentiles of the extreme events occurred in the period 1999-2012, and found that most of them exceed 95<sup>th</sup> 346 percentile (Supplementary Fig. 5a-d). However, these percentiles are not completely 347 consistent among the events. To test the robustness of the differences in  $\Delta Y$ , we 348

compared the effects of different extreme events with similar percentiles, that is, 95<sup>th</sup> to
99<sup>th</sup> (or 99<sup>th</sup> to 99.8<sup>th</sup>) percentiles for extreme heat and rainfall and 1<sup>st</sup> to 5<sup>th</sup> (or 0.2<sup>nd</sup> to

- <sup>351</sup> 1<sup>st</sup>) percentiles for extreme cold and drought (Supplementary Fig. 5e-f).
- 352

Sensitivity of  $\Delta Y$  to extreme rainfall. We defined extreme rainfall if hourly precipitation 353 exceeds the threshold that is the 99<sup>th</sup> percentile of growing-season hourly precipitation 354 over the reference period 1981–2012 for each site. The data for hourly precipitation 355 were acquired from the CMA. To outstand the main influencing factors, seven extreme 356 357 rainfall parameters, reflecting characteristics of intensity, event amount and repeated extreme rainfalls, were then quantified during rice growing season for each treatment 358 (Supplementary Data 1), including intensity as the maximum hourly precipitation when 359 exceeding the threshold (RX1h, cm  $h^{-1}$ ), total intensity as the sum of hourly 360 precipitation when exceeding the threshold (RX1hTOT, cm  $h^{-1}$ ), frequency as hours or 361 the fraction of the hours when hourly precipitation exceeds the threshold to the length 362 of rice growing season in hours (R99f, hours, and R99p, %), proportion as the sum of 363 hourly precipitation that exceeds the threshold divided by the growing-season total 364 precipitation (R99pPROP, %), event amount as the precipitation amount averaged for 365 extreme rainfall events that involve at least one extreme rainfall and for which the break 366 duration between hourly precipitation does not exceed 6 hours<sup>43</sup> (Rg1event, cm per 367 event), and event duration as the total hours of extreme rainfall events during rice 368 growing season divided by event numbers (ERED, hour per event). 369

370

We then conducted correlation analyses of the extreme rainfall induced  $\Delta Y$  against 371 seven parameters for identifying the potential factors. The correlation emphasizes the 372 373 changes in rice yields caused by the maximum precipitation intensity and event amount, rather than repeated extreme rainfall events nor proportion to total precipitation amount, 374 375 though it may be also affected by large values of parameters over a few sites. To tackle this uncertainty, we applied the bootstrap resampling to estimate the sensitivities and 376 standard error of  $\Delta Y$  in response to extreme rainfall by randomly sampling 90% of the 377 samples from the initial dataset for 1000 times. Besides, Kruskal-Wallis Rank Sum Test 378 379 and Dunn's test are applied to confirm the insignificance of extreme rainfall frequencies (1, 2, 3 and  $\geq$ 4 times) on  $\Delta$ Y. We also tested if  $\Delta$ Y response to extreme rainfall is 380 dependent on the definitions of extreme rainfall. The sensitivity analyses were 381 conducted based on the different thresholds (95<sup>th</sup>, 99<sup>th</sup> or 99.9<sup>th</sup> percentile) to define 382 extreme rainfall and based on the break duration ( $\leq 2, 6, \text{ or } 12 \text{ hours}$ ) to define extreme 383 rainfall event (Supplementary Table 3). 384

385

## 386 Rainfall manipulative experiments

*Plants and cultivation condition.* The experimental site is at the Jingzhou Agrometeorological Experimental Station in Hubei province, China ( $30^{\circ}21^{\circ}N$ , 112°09′E; Supplementary Fig. 2a). It is characterized as subtropical humid monsoon climate, with a mean air temperature of 16 °C and a mean precipitation of 1,095 mm yr<sup>-1</sup>. Soil is classified as Hydragric Anthrosol (Supplementary Table 5). Rice seedling nurseries were managed under the water regime of continuous flooding. Seedlings of

rice (Oryza sativa L.) were transplanted at 30-day seedling ages with a hill spacing of 393  $0.33 \times 0.33$  m (9 hills m<sup>-2</sup>), and harvested after 103 days. Fertilizers of 172 kg ha<sup>-1</sup> N 394 in the form of N-NH<sub>4</sub>, 61 kg ha<sup>-1</sup> P in the form of  $P_2O_5$  and 49 kg ha<sup>-1</sup> K in the form of 395 K<sub>2</sub>O were applied over three events, that was one event with 72 kg ha<sup>-1</sup> N, 53 kg ha<sup>-1</sup> P 396 and 42 kg ha<sup>-1</sup> K applied 1 day before rice transplanting, one with 78.7 kg ha<sup>-1</sup> N 397 applied two weeks after transplantation, and one with 20.9 kg ha<sup>-1</sup> N, 8 kg ha<sup>-1</sup> P and 398 7 kg ha<sup>-1</sup> K applied during the jointing stage. Further details on cultivation condition 399 can be found in Supplementary Fig. 2b. 400

401

Main experiment. The rainfall manipulative experiment was conducted from 2018 to 402 2019, spanning two rice growing seasons. The experiment consisted of ambient control 403 404 and factorial treatments with two replicates, and was designed for extreme rainfall level-timing combinations (Supplementary Fig. 2c), with the results in Supplementary 405 Data 1. The treatments comprised four rainfall levels in each or all of three growth 406 407 phases (i.e., vegetative, reproductive, or ripening phases). There was a total of 34 plots for main experiments, each of which had an area of 6 m<sup>2</sup> (2 m  $\times$  3 m) and was 408 completely isolated by plastic-covering levees and impervious plates at a 0.5-meter 409 distance in between. Throughout the experiment, all plots were subjected to the same 410 agricultural management practices. To avoid the border effects, we use independent-411 samples t-test to compare the yield of ambient control with that of three plots nearby, 412 with each plot owing 150 m<sup>2</sup> (25 m  $\times$  6 m) with the same agricultural management 413 practices, and found no significant differences during three rice growing seasons 414

416

417	We manipulated rainfall levels by running the artificial rainfall manipulation system
418	(NLJY-10, Nanlin Electronics, China) for one hour on two replicates for each treatment,
419	with the rainfall amount of 60, 120, 180, and 240 mm. However, the intensities of
420	manipulative rainfall were far less than natural one because of a lower falling velocity
421	of raindrops. We thus measured the kinetic energy of manipulative rainfalls using the
422	laser precipitation disdrometer (OTT Parsivel <sup>2</sup> , Hach, USA), which is equivalent to the
423	natural rainfall intensities of 6, 19, 51, and 103 mm per hour. These rainfall levels
424	represent most of the broad range of growing-season rainfall extremes (exceeding the
425	99 <sup>th</sup> percentile) across China's rice fields (i.e., 8 to 143 mm per hour and 12 to 526 mm
426	per event observed in the period 1999-2012). To approximate the natural rainfall
427	condition, rainfall manipulation was conducted in cloudy daytime for vegetative and
428	ripening phases, but for reproductive phase specifically at 8:00-13:00 when spikelets
429	reach anthesis in experimental site. To minimize the impact of waterlogging, ponded
430	water was discharged within 12 hours after rainfall manipulation if the depth exceeds
431	100 mm. No plants were washed away or fell due to rainfall manipulation.

432

For each plot, we measured leaf area index, total tiller number, dry weights of leave, stem, and panicle, which were determined from three hills with an average number of tillers (Supplementary Data 1). All leaves, stems, and panicles were oven-dried (DHG500, SUPO Co.) at 75°C for several days. We measured N, P, and K contents in

leave, stem and panicle using a continuous flow analyzer (Elementar, Germany), and 437 net photosynthesis of three flag leaves at two photosynthetic photon flux densities of 438 1500 and 600 µmol m<sup>-2</sup> s<sup>-1</sup> using Li6400 (Li-Cor Inc., USA). These measurements were 439 conducted at seedling, maturity, and during three growth phases. Net photosynthesis 440 441 was measured before and after each rainfall manipulation. In addition, for each 442 treatment, we observed N and P losses via runoff and leaching during the period from the beginning of rainfall manipulation to the time when ponded water level decreased 443 down as that before manipulation. 444

445

At maturity, three hills with an average number of panicles were collected from each 446 plot to determine the yield estimated as the product of effective panicles per unit land 447 448 area (EP), filled grains per panicle (FG), and grain weight (GW) (Supplementary Data 1). The filled grains were oven-dried at 75 °C for at least 72 h, but their weights were 449 adjusted to a fresh weight with a moisture content of 0.15 g H<sub>2</sub>O g<sup>-1</sup>, ref<sup>44</sup>. To determine 450 451 actual yields, the filled grains from the other rice plant hills for all plots were machinethreshed (OUGEDA Co., China) and sun-dried to reach a moisture content of 0.15 g 452 H<sub>2</sub>O g<sup>-1</sup>. The yields for all plots were highly consistent with actual ones 453 (Supplementary Fig. 20). 454

455

We calculated absolute differences in rice yield and in yield components between the controls and treatments, and then converted the absolute differences to relative changes ( $\Delta$ Y,  $\Delta$ EP,  $\Delta$ FG, and  $\Delta$ GW in %) to simplify comparisons among treatments and with

the other experimental results. Note that the compensation relationship between  $\Delta EP$ and  $\Delta FG$  if rice planting distance below 15 cm (ref<sup>45</sup>) can be avoided in our experimental plots as the distance is 30 cm.  $\Delta Y$  is equal to the sum of  $\Delta EP$ ,  $\Delta FG$ , and  $\Delta GW$ , that is  $\Delta Y = \Delta EP + \Delta FG + \Delta GW$  according to the Kaya identity principle<sup>46</sup>. The attribution results help identify the key yield components that were most affected by extreme rainfall. We further identified in which growth phase extreme rainfall regulates the changes in key yield components.

466

1<sup>st</sup> supplementary experiment. To isolate the mechanism driving the causal relationship 467 between extreme rainfall and  $\Delta FG$ , we ran the first supplementary experiment during 468 the reproductive phase in July 2021. In the experiment, extreme rainfall intensity is 103 469 470 mm per hour (Supplementary Fig. 8 and Data 1). For each treatment, transparent impervious film was placed above half of the plot, such that half of the plants were fully 471 exposed to artificial rainfall and the other part was sheltered but experienced the same 472 473 increases in ponded water levels and nutrient losses as the exposed part. To avoid an unintentional influence of film on rice growth, the film was also placed above a half 474 control plots and all films were removed when the experiment ended. 475

476

FG and actual yield in exposed and sheltered parts for each plot were observed. Based on the observations, we attributed the effect of extreme rainfall on  $\Delta$ FG into physical disturbance and soil N loss as below:

480 Total:  $\Delta Y = Y_{ROC} - Y_{COC}, \Delta FG = FG_{ROC} - FG_{COC},$  (2a)

481 Soil N loss (*o*): 
$$\Delta Y_o = Y_{RC} - Y_{CC}$$
,  $\Delta FG_o = FG_{ROC} - FG_{COC}$ , (2b)

482 Physical disturbance (p): 
$$\Delta Y_p = \Delta Y - \Delta Y_o$$
,  $\Delta FG_p = \Delta FG - \Delta FG_o$ , (2c)

483 where *ROC* and *COC* refer to the exposed parts for treatment and control, respectively. 484 *RC* and *CC* refer to the sheltered parts for treatment and control, respectively. In 485 addition, we measured the number of empty and shrunken grains at maturity as well as 486 their distribution along panicle. Such observations further elucidate how extreme 487 rainfall influences rice pollination during reproductive phase.

488

 $2^{nd}$  supplementary experiment. To confirm the causal effect of extreme rainfall on  $\Delta EP$ , 489 we ran the second supplementary experiment during vegetative phase in June 2021. The 490 rainfall amount is 240 mm. The experiment consisted of ambient control and two 491 492 treatments (Supplementary Fig. 8a and Data 1). For treatments, rice plants were fully exposed to artificial rainfall on 6 plots, half of which were re-applied by 28.8 kg N ha<sup>-1</sup> 493 (37% of tillering fertilizer application) in the form of urea after rainfall manipulation. 494 495 The re-application rate was determined as the average of observed N losses from the treatment plots using the same rainfall amount during vegetative phase in 2018 and 496 2019. 497

498

For each plot, we observed EP and the actual yield at maturity as well as N uptake per tiller from transplantation to panicle initiation. Based on the observations, we tested the differences in these three indicators between treatments and controls using the Wilcoxon rank sum test. If no significant differences between N re-applied treatment and control but significant differences between normal treatment and control were
found, the decrease in EP were attributed to N losses induced by extreme rainfall during
the vegetative phase.

506

Path analysis. Structural equation modelling (SEM) implemented in the R package 507 'lavaan 0.6-7'<sup>47</sup> allows us to test different hypotheses governing the pathways by which 508 extreme rainfall affects rice yield. On the basis of potential causal relationships revealed 509 by our experiments and previous literatures<sup>48-50</sup>, a series of SEMs were formulated 510 (Supplementary Fig. 11). The insignificant paths (P > 0.05) were eliminated gradually 511 until all links significantly contributed to the final model. To compare model 512 performance, we conducted a chi-squared difference test and calculated model fit 513 514 statistics (root mean square error of approximation [RMSEA], comparative fit index [CFI], goodness-of-fit index [GFI] and adjusted R squared  $[R_{adj}^2]$ ). Standardized path 515 coefficients were computed according to ref. <sup>51</sup>, which can be interpreted as the change 516 517 in the dependent variable when the independent variable changes by one standard deviation. 518

519

## 520 Process-based modelling for regional assessments

We improved the process-based crop model ORCHIDEE-crop<sup>23,24,52</sup> to account for the impacts of extreme rainfall according to the mechanisms revealed by our rainfall manipulative experiments. We first tested its performance at the experiment sites driven by climate data observed in 2018 and 2019, and further validated by additional observations in the supplement experiment year (2021), and then used the improved
model to hindcast and project the impacts of extreme rainfall on rice yield across China
during 2001-2016 and 2085-2100 under two Representative Concentration Pathways
(RCP 4.5 and 8.5).

529

Model improvement. ORCHIDEE-crop simulates crop phenology, leaf area dynamics, 530 growth of reproductive organs, carbon allocation and managements, as well as carbon, 531 water and energy fluxes of agroecosystems. This model has been applied globally and 532 regionally, and found to robustly reproduce yield variability<sup>53</sup>. ORCHIDE-crop is 533 suitable for this study since it has been optimized for simulating the phenology and 534 yield of single, early and late rice types grown in China<sup>23,52</sup>. It has paddy irrigation and 535 soil hydrology schemes<sup>24</sup>, able to represent the typical irrigation and drainage systems 536 for China's rice fields. It runs in a half-hourly time-step and at 0.5-degree grid cell, 537 suitable for extreme rainfall of short duration, which is a challenging issue for the 538 539 models running at daily time-step.

540

In ORCHIDEE-crop, the rice growth starts from transplanting (LEV), and the growth cycle includes three stages divided by the onset of grain filling (DRP) and the physiological maturity (MAT)<sup>52</sup>. Starting from DRP, the quantity of dry matters accumulated in grains is calculated by applying a progressive "harvest index" to the biomass of the plant. The daily rate of grain increment is proportional to the daily accumulated thermal unit, which could be reduced by frost and extreme heat<sup>54</sup>. The 547 impacts of extreme rainfall are formulated as a factor ( $\alpha$ ) to reduce the rate of grain 548 increment:

549 
$$\alpha = (1 + \Delta EP + \Delta FG),$$
 (3a)

550 
$$\Delta EP = 0.262 \cdot \Delta N_{ut} - 1.644,$$
 (3b)

551 
$$\Delta FG = -0.00424 \cdot KE_{re} - 0.00115 \cdot KE_{ri} + 0.139 \cdot \Delta N_{up} - 3.676, \quad (3c)$$

where  $\Delta N_{ut}$  and  $\Delta N_{up}$  denote relative change in N uptake per tiller and N uptake per 552 panicle during vegetative phase (%) as a function of soil N losses, respectively. KEre 553 denotes kinetic energy (J  $m^{-2} h^{-1}$ ) of the maximum hourly precipitation (exceeding the 554 99th percentile) occurred at 8:00-16:00 in flowering period when spikelets reach 555 anthesis and if hourly air temperature ranges from 23°C to 35°C, ref<sup>55,56</sup>.  $KE_{ri}$  denotes 556 kinetic energy of the maximum hourly precipitation (exceeding the 99<sup>th</sup> percentile) 557 558 during ripening phase. Note that Equation 3 summing  $\Delta EP$  and  $\Delta FG$  is suitable to diagnose and project extreme rainfall induced  $\Delta Y$  across China, because, according to 559 the nationwide survey (Supplementary Data 1), the rice planting distances in China (17 560 to 25 cm) are enough to avoid the compensation relationship between EP and FG<sup>45</sup>. 561 Further details on model equations can be found in Supplementary Table 4. 562

563

*Historical simulation.* Two sets of historical simulations were performed for three rice types over China: (1) the comprehensive simulation (S0) that accounts both impacts of rainfall-induced physical disturbance and soil N losses on rice yield and (2) the partial simulation (S1) that only accounts the impact of physical disturbance. By comparing S0 and S1, we could isolate the impact of soil N losses. The difference between yield simulations from the improved and original models can be attributed to the historical extreme rainfall (Supplementary Data 2), thus we derived  $\Delta Y$  as below:

571 
$$\Delta Y = \frac{\text{Yield (improved model) - Yield (original model)}}{\text{Yield (original model)}} \times 100\%.$$
(4)

572 Details on historical input data can be found in Supplementary Table 6. Specifically, we 573 used field observed rice phenology from the CMA to interpolate 0.1-degree 574 transplanting date<sup>52</sup>. We used the satellite-based gridded precipitation datasets (GPM 575 IMERGv6) to quantify extreme rainfall intensities and event amounts, since it is well 576 represented at the site scale (Supplementary Fig. 16).

577

Future projections. To evaluate the implications of our new findings for future rice yield 578 projections over China, we applied the improved ORCHIDEE-crop to simulate yield 579 580 changes of three rice types under RCP 4.5 and 8.5 with present-day agricultural management practices (Supplementary Data 2). To analyze the effect of future extreme 581 rainfall on rice yield, we estimated additional rice yield loss as the difference in future 582 583 projected yields between the improved and original model versions in 2085-2100 relative to the historical yield simulated by the original model in 2001-2016. To remove 584 systematic deviations of the simulated historical climate, we applied the trend-585 preserving bias-correction<sup>57</sup> to the IPSL projected climate change<sup>30</sup>. The bias correction 586 was then applied to the climate forcing data and extreme rainfall indices during 2085-587 2100. Further details on input data source for future projections can be found in 588 Supplementary Table 6. 589

590

**Data availability**: The data from the national agrometeorological observation network and the rainfall manipulative experiments are available in Supplementary Data 1. Model input data for historical simulations and future projections are available from public data depositories listed in Supplementary Table 6. Model output data for historical simulations and future projections are available in Supplementary Data 2.

596

597 **Code availability**: Source codes for data analyses are available from 598 <u>https://figshare.com/s/2ab948dda43e73da44b5</u> (a link for the review but for the public 599 when being accepted). Source codes for process-based model are available from 600 <u>http://forge.ipsl.jussieu.fr/orchidee</u>, under the French Free Software license, compatible 601 with the GNU GPL (<u>http://cecill.info/licences.en.html</u>).

602

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## 782 Author contributions

F.Z. designed the study. Y.W.J., J.F. and X.H.W. performed all computational analyses.

F.Z., X.H.W., J.F. and Y.W.J. drafted the paper. L.L. provided high-resolution climate

projection using the IPSL model. All co-authors reviewed and commented on themanuscript.

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#### 788 **Competing interests**

789 The authors declare no competing interests.