

# Assessment of the Electron Correlation Treatment on the Quantum-Classical Dynamics of Retinal Protonated Schiff Base Models: XMS-CASPT2, RMS-CASPT2, and REKS Methods

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1	Assessment of the electron correlation treatment on the quantum-
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17	Abstract
18	
<ol> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> <li>28</li> <li>29</li> <li>30</li> <li>31</li> <li>32</li> </ol>	We compare the performance of three different multiconfigurational wavefunction-based electronic structure methods and two implementations of the spin-restricted ensemble-referenced Kohn-Sham (REKS) method. The study is characterized by three features: i) it uses a small set of quantum-classical trajectories rather than potential energy surface mapping, ii) it focuses, exclusively, on the photoisomerization of retinal protonated Schiff base models, iii) it probes the effect of both methyl substitution and the increase in length of the conjugate $\pi$ -system. For each tested method, the corresponding analytical gradients are used to drive the quantum-classical (Tully's FSSH method) trajectory propagation including the recent multistate XMS-CASPT2 and RMS-CASPT2 gradients. It is shown that while CASSCF, XMS-CASPT2 and RMS-CASPT2 wield consistent photoisomerization dynamics descriptions, REKS produces, in some of these systems, a qualitatively different behavior that is attributed to a flatter and topographically different excited state potential energy surface. The origin of this behavior is traced back to the effect of the employed density functional approximation. The above studies are further expanded by benchmarking, at the CASSCF and REKS levels, the electronic structure methods using a
33 34	QM/MM model of the visual pigment rhodopsin.

36

# **I. INTRODUCTION**

37 The penta-2,4-dieniminium cation (PSB3), a protonated imine featuring three conjugated 38 double-bonds, is a computationally inexpensive model of the retinal chromophore (rPSB) of 39 rhodopsins.<sup>1-4</sup> (see Fig. 1A, top) In rPSB a cationic nitrogen polarizes the conjugated framework 40 leading to changes in electronic character upon electronic excitation and geometrical deformation.<sup>5</sup> 41 These changes are qualitatively replicated by PSB3 where excitation from the ground state ( $S_0$ ) to 42 the first singlet electronically excited state  $(S_1)$  triggers a charge-transfer (CT) from the -C1=N to 43 the C5=C4- moiety. Such character then changes in magnitude along the S1-C2=C3- isomerization 44 path that ends at a S<sub>1</sub>/S<sub>0</sub> conical intersection (CoIn) to continue, after decay, along reactive and unreactive S<sub>0</sub> relaxation paths.<sup>1,6–8</sup> Despite the different characteristics of the photoisomerization 45 46 process shared by PSB3 and rPSB, the increased conjugation in the longer rPSB skeleton produces 47 a longer excited state lifetime. Nonetheless, since the present manuscript is focused on qualitative 48 rather than quantitative features and how these are captured by different electronic structure 49 methods, PSB3 is assumed to be a suitable model. In fact, PSB3 has been systematically used in 50 the past to benchmark other changes associated with the use of different electronic structure methods.<sup>9-11</sup> These and other properties make PSB3 an ideal candidate for benchmarking 51 electronic structure methods that are required to deal with extended potential energy regions with 52 strong electron correlation effects of both S<sub>0</sub> and S<sub>1</sub> states.<sup>6,12</sup> 53

Past benchmark projects<sup>8–10,13–24</sup> have focused almost exclusively on the mapping of the 54 potential energy surfaces (PESs) of parent PSB3. Yet, rPSB features six conjugated double-bonds 55 56 as well as different methyl substitutions and its mechanism is presently studied using quantumclassical trajectories<sup>5</sup> beyond the Born-Oppenheimer approximation rather than PES cross-57 58 sections or minimum energy paths. Therefore, in the present contribution we study the effect of 59 the incorporation in PSB3 of one methyl substituent and  $\pi$ -system length increase on both relaxed 60 S<sub>1</sub> PES cross-sections and quantum-classical trajectory calculations. The computational details of 61 quantum-classical nonadiabatic molecular dynamics simulations used in present study are 62 described in the METHODS section. Our main target is to describe how selected multistate 63 wavefunction and density functional based electronic structure methods describe such properties 64 at both the static and quantum-classical dynamic levels.

65 The analysis of the trajectories will focus on two PSB3 key geometrical parameters (see 66 Fig. 1B, bottom): (i) the torsional angle  $\alpha$  describing the -C2=C3- isomerization coordinate in

67 terms of the C4-C3-C2-C1 dihedral angle, and (ii) the bond length alternation (BLA) describing 68 the degree of  $\pi$ -electron delocalization. The BLA is computed in terms of the difference between 69 the average length of the formal single-bonds and the formal double-bonds along conjugated 70 polyene chain of the investigated protonated Schiff base derivatives, as defined in their closed-71 shell electronic ground state. As schematically displayed in Fig. 1C, BLA and  $\alpha$  will be used to 72 rationalize the changes seen in the computed quantum-classical trajectories. Quantum-classical 73 trajectories typically start with a kick (i.e. vertical) excitation to the  $S_1$  state with some random 74 initial velocity on the Franck-Condon (FC) region, propagate along the  $S_1$  PES and, after hopping to S<sub>0</sub> in the region of a CoIn seam (i.e. S<sub>1</sub>/S<sub>0</sub> intersection space) where  $\alpha$  is ca. 90°, relax on the S<sub>0</sub> 75 76 PES either generating an isomer (reactive red population) or returning to its original configuration (unreactive blue population).<sup>25</sup> Accordingly, for each model considered (see below), we compute 77 78 an S<sub>1</sub> relaxed scan along  $\alpha$  as well a set of 50 quantum-classical trajectories (see Fig. 1B). The 79 results are then presented in terms of values of static ( $S_1$  energy barrier ( $E^a_{S1}$ ),  $S_1$ - $S_0$  energy gap at  $\alpha = 90^{\circ}$  (E<sup>90</sup><sub>S1-S0</sub>)) and dynamic (BLA before and after S<sub>1</sub> relaxation (BLA<sub>S0-S1</sub>), S<sub>1</sub> lifetime ( $\tau_{S1}$ ) 80 and isomerization quantum efficiency (QY<sup>iso</sup>)) descriptors. See the legend of Table 1 for the 81 82 definition of these quantities. We must stress that being only based on a small number of trajectories, "statistical" descriptors such as BLA<sub>S0-S1</sub>,  $\tau_{S1}$  and, most importantly, QY<sup>iso</sup>, are only 83 84 indicative.

Previous work on PSB3<sup>7</sup> has shown that large positive BLA values indicate a covalent (COV) character where the cationic charge is located near the -C1=N moiety, while near-zero or even negative values inform on the percentage of charge transfer (CT) character associated with the cationic charge delocalization towards the C5=C4- moiety (see Fig. 1D). As we will further detail below, such a relationship between geometry and electronic character can be used as a descriptor of the impact of the treatment of electron correlation in each studied electronic structure method on both PES topography and progression along quantum-classical trajectories.

Taking PSB3 and CASSCF as the reference rPSB model and electronic structure method respectively, the changes in the descriptor values, document the effect of: (i) methyl substitution and increase in number of conjugated double bonds in the 1-methyl-*cis*-PSB3 (1cMePSB3), 4methyl-*cis*-PSB3 (4cMePSB3), 4-methyl-*trans*-PSB3 (4tMePSB3), and hepta-2,4,6-trieniminium cation (PSB4) models (see Fig. 2A) and (ii) the change from CASSCF to XMS-CASPT2, RMS-CASPT2, and REKS's SSR(2,2) and SSR(3,2) flavors. The XMS-CASPT2, RMS-CASPT2 levels

98 (collectively called CASPT2-levels) represent multistate wavefunction methods where CASSCF 99 provides the zeroth-order multiconfigurational wavefunction used for successive second-order perturbation theory corrections.<sup>26-28</sup> Instead, SSR(2,2) and SSR(3,2) correspond to two different 100 101 multistate implementations (REKS-levels) of spin-restricted ensemble-referenced Kohn-Sham 102 density functional theory.<sup>29–31</sup> Notice that, in all cases, the calculations necessary to determine the 103 descriptors are performed by using the corresponding analytical gradients. Indeed, the present 104 work has been partially prompted by the fact that XMS-CASPT2 and RMS-CASPT2 gradients have been made available in OpenMolcas,<sup>27</sup> and by the in-house development of an interface 105 between OpenMolcas and GAMESS-US<sup>32–34</sup> providing REKS gradients. Previous PES studies on 106 PSB3 compared the performance of SSR(2,2) and  $CASSCF^{13,18,20}$  as well as for SSR(2,2) and 107 108 XMS-CASPT $2^{21}$ . However, no comparison of the performance of SSR(2,2) vs. SSR(3,2) and 109 RMS-CASPT2 and no description of the changes induced by PSB3 modification have been 110 reported.

111 While CASSCF misses a large part of the electron correlation energy, CASSCF-based 112 quantum mechanics/molecular mechanics (QM/MM) models of rPSB embedded in the cavity of 113 the visual pigment rhodopsin (Rh), have been used in several quantum-classical trajectory 114 studies.<sup>5,25,35–37</sup> Remarkably, such studies have shown that such models reproduce, among other 115 observables, the experimentally observed  $\tau_{S1}$  and QY<sup>iso</sup>. Here, we benchmark, for the first time, 116 the same type of QM/MM model after replacing CASSCF with SSR(2,2) and compare some of 117 the obtained descriptors with the experimental data.

118



Fig. 1. Structure and reactivity of PSB3. (A) Comparison between the all-*trans* rPSB structure and the PSB3 structure. The framed moiety informs on the similarity of the two structures. (B) Most relevant geometrical parameters in this work. (C) Schematic representation of the S<sub>1</sub> and S<sub>0</sub> potential energy profiles along the

123 trans to cis -C2=C3- isomerization path of PSB3. A set of quantum-classical trajectories, together with a 124 reactive (red circle at the hop point) and one non-reactive (blue circle at the hop point) trajectory, are also 125 displayed. Note that the  $S_1$  isomerization path discussed in this paper connects a  $S_1$  relaxed planar structure 126 to the CoIn and it is obtained by scanning the S<sub>1</sub> PES along  $\alpha$ . (D) Relationship between BLA values and 127 charge distribution in PSB3 along a representative CASSCF-driven quantum-classical trajectory. Top: an 128  $S_1$  PSB3 structure extracted before decay to  $S_0$ . Bottom: the same structure after decay (surface hop) to  $S_0$ . 129 The charges on the two circled moieties of PSB3 are computed as sums of the Mulliken charges of the atoms of the corresponding moieties. These are  $R^1 = H_2C=CH-CH$  and  $R^2 = CH-CH=NH_2$ . It can be seen 130 131 how a negative BLA is associated with a ca. 50% charge transfer while a positive BLA with a minor ca. 132 20% charge transfer.

133

As anticipated above, past studies of PSB3 focused on the shape of PESs and CoIn properties. These studies showed that the treatment of electron correlation in various electronic structure methods (see the Supporting Information for a list of acronyms) such as TDDFT,<sup>13,18,19</sup> SF-TDDFT,<sup>13</sup> EOM-SF-CCSD(dT),<sup>8,13</sup> CASSCF,<sup>8,10,13,14,18–24,38</sup>

MRCISD,<sup>8,10,23</sup> MRCISD+Q,<sup>8,10,18,23</sup> QD-NEVPT2,<sup>10,13</sup> CC2,<sup>9,19,22</sup> ADC,<sup>9</sup> 138 SS-CASPT2, <sup>10,13,14,19,22–24</sup> MS-CASPT2, <sup>10,14,21,23,24</sup> XMS-CASPT2, <sup>14,21,23</sup> XMCQDPT2, <sup>10,13</sup> 139 and REKS<sup>13,18,20,21</sup> impacts the isomerization coordinate and energy profile. However, fewer studies 140 addressed the effect of such methods on quantum-classical trajectories.<sup>13,15–17</sup> Among others, 141 Zhang and Herbert compared SF-TDDFT and LR-TDDFT quantum-classical trajectories;<sup>15</sup> 142 143 Filatov et al. discussed the outcomes of quantum-classical trajectories driven by REKS and MS-CASPT2;<sup>16</sup> Gozem et al. compared quantum-classical trajectories generated using SS-CASPT2 144 and CASSCF;<sup>13</sup> Instead, Liu et al. reported on the comparison for MS-CASPT2 and CASSCF.<sup>17</sup> 145

146 Due to the missing dynamic electron correlation treatment, CASSCF does not correctly reproduce vertical excitation energies (VEEs) and, consequently the  $S_1$  and  $S_0$  energy profiles.<sup>39–</sup> 147 <sup>41</sup> An improved description can be obtained after multiconfigurational perturbative correction 148 149 using, for instance, single state (SS-CASPT2) or, multi-state (MS-CASPT2) perturbative methods. However, MS-CASPT2 leads to unphysical predictions in the intersection space region<sup>13,28,42</sup> that 150 can be improved using the extended multi-state XMS-CASPT2 method.<sup>26</sup> In fact, Schapiro and 151 coworkers demonstrated that, for PSB3, XMS-CASPT2 overcomes such issue.<sup>23</sup> In particular, the 152 153 latest variant of it, called "rotated multistate CASPT2" (RMS-CASPT2), is the least sensitive to 154 the number of states included in calculations and can potentially offer certain accuracy 155 improvements,<sup>27</sup> even if extensive benchmarking has not been reported.

156 The spin-restricted ensemble-referenced Kohn-Sham (REKS) method is a state-averaged 157 extension (SA-REKS), and the state-interaction state-averaged REKS (SI-SA-REKS or, for short, SSR) employ ensemble-density functional theory  $(eDFT)^{43}$  to introduce non-dynamic electron 158 159 correlation into the description of S<sub>0</sub> and S<sub>1</sub> states and produces VEEs in a way similar to a 160 wavefunction-based multi-state multi-configurational method.<sup>29,44,45</sup> In fact, eDFT enables a 161 seamless incorporation of multiconfigurational effects, where the results of standard Kohn-Sham 162 (KS) computations are recovered for weakly correlated (e.g. single-reference) systems. In this way, 163 the study of photochemical and thermal reactivities of medium-size organic molecules (e.g. 40-50 164 carbon atoms with 14-16 delocalized electrons) becomes treatable and can be used to simulate reactive dynamics.<sup>46</sup> Accordingly, we include in our benchmark study SSR(2,2) and SSR(3,2) 165 166 methods, where SSR(2,2) employs the perfectly spin-paired singlet (PPS) and the open-shell 167 singlet (OSS) configurations, while the configuration space of SSR(3,2) method is augmented in 168 addition by the doubly excited singlet (DES) configuration thus offering, in principle, a description 169 of doubly excited state character and the  $S_2$  state. In the present study, REKS calculations are done with BHHLYP functional,<sup>47–49</sup> however, other functionals are tested as well. 170

As anticipated above, the interpretation of our results will be guided by a qualitative
theoretical framework based on BLA<sub>S0-S1</sub> values.<sup>7,50</sup> More precisely:

i) Positive BLA<sub>S0-S1</sub> values (i.e. a large decrease of the BLA value upon  $S_1$  relaxation) would indicate large CT character in  $S_1$  (Fig. 2C) and a limited charge delocalization in  $S_0$ . A BLA<sub>S0-S1</sub> approaching zero (i.e. a small change in BLA upon  $S_1$  relaxation) would instead indicate substantial mixing of COV and CT characters and an increased  $S_1$  and  $S_0$  charge delocalization (Fig. 2D),

178 ii) Large CT character increasing along the isomerization path (trajectory) would lead to a 179 barrierless (fast) isomerization and a topographically peaked CoIn (Fig. 2E). In contrast, a mixed 180 COV and CT character that also increases in COV weight along the  $S_1$  isomerization path 181 (trajectory) would lead to a barrier controlled (slow or blocked) isomerization and topographically 182 sloped CoIn that manifest itself through the presence of an avoided crossing (AC) located along 183 the path (Fig. 2F).



185

186 Fig. 2. rPSB models employed in this work. (A)  $S_0$  structures of PSB3, its methylated derivatives and PSB4. 187 (B) Structure of the OM/MM model of Rh used in the present study. The 11-cis rPSB structure corresponds 188 to the QM subsystem treated at the QM level. The rest of the model is treated at the MM level and comprises 189 the parts in blue, red and the ribbons (MM subsystem). The MM residues in red, in blue and the QM 190 subsystem are free to relax during the quantum-classical trajectory calculations. (C) The qualitative 191 relationship between BLA value and electronic structure for a S<sub>0</sub> dominated by a COV character. (D) The 192 same relationship for a  $S_0$  dominated by a mixed COV/CT character. (E) Expected  $S_1$  energy profiles when 193  $S_1$  is dominated by a CT character (and  $S_0$  dominated by a COV character). The diagram on the right 194 displays the energy profiles along a BLA cross section intercepting the CoIn. (F) The same energy profiles 195 when S<sub>1</sub> is dominated by a mixed COV/CT character (and S<sub>0</sub> also dominated by a mixed e COV/CT 196 character). The dashed curves display the energy profiles of panel E, for comparison. The diagram on the 197 right displays the BLA cross section at the avoided crossing (AC) region. 198

**II. RESULTS AND DISCUSSION** 

200

201 The results are presented in three subsections focused on PSB3 and derivatives, PSB4 and 202 the QM/MM Rh model, respectively. The first two subsections start with a description of the 203 changes due to PSB3 structure modifications and (mainly) looking at the CASSCF results. This is 204 followed by a discussion of the differences between the CASPT2-levels and REKS-levels. We will 205 not look at quantitative differences but focus as much as possible on qualitative (mechanistic) 206 differences. In the first section of the Supporting Information and Table S1, we show that, despite 207 the limited number of trajectories propagated for each method, this statistic is in fact sufficient to deliver the key differences between the methods. Fig. S1 shows excited state lifetime ( $\tau_{s1-fit}$ ) from 208 exponential fitting of the S1 population fraction decay. However, in the main text, we will reference 209 210 to excited state lifetimes,  $\tau_{S1}$ , calculated as the simple average time of the S<sub>1</sub>-to-S<sub>0</sub> decay events. 211 The full set of calculated descriptor values are collected in Table 1.

212

213 Table 1. Values of static and dynamic descriptors (see text). BLA<sub>S0-S1</sub> is defined as the BLA time 214 average between 0 and 50 fs (representing the initial S<sub>1</sub> relaxation) minus the average BLA value 215 at 0 fs, BLA<sub>50</sub> (in brackets). E<sup>a</sup><sub>S1</sub> represents the energy difference between the initial (i.e. relaxed 216 planar structure in Fig. 1C) point and the highest point found along the S<sub>1</sub> isomerization ( $\alpha$ ) energy profile. E<sup>CI</sup><sub>S1-S0</sub> is the S<sub>1</sub>-S<sub>0</sub> energy gap in conical intersection region. FTND represents the fraction 217 of trajectories that remains in the  $S_1$  state at the end of the simulation.  $\tau_{S1}$  corresponds to the 218 average S<sub>1</sub>-to-S<sub>0</sub> hop time. QY<sup>iso</sup> is computed in terms of the fraction of hops leading to an 219 isomerized product. The trajectories contributing to FTND are not considered in the QY<sup>iso</sup> 220 221 calculation. The values in parenthesis refer to the fraction of trajectories following the corresponding path.  $QY^{iso}$  standard deviations are also reported as  $\Delta QY^{iso}$ . 222

	BLA <sub>S0-S1</sub>	E <sup>a</sup> S1	E <sup>90</sup> s1-s0	FTND	$\tau_{S1}$	QY <sup>iso</sup>	$\Delta Q Y^{iso}$
	(Å)	(kcal/mol)	(kcal/mol)		(fs)		
PSB3	BLA <sub>S0-S1</sub> , BLA <sub>S0</sub>						
CASSCF	0.14 (0.085)	0.0	1.4	0.00	109	0.63	0.068
XMS-CASPT2	0.10 (0.076)	0.0	1.7	0.06	107	0.66	0.067
RMS-CASPT2	0.07 (0.075)	0.0	0.8	0.04	129	0.60	0.069
SSR(2,2)	0.09 (0.077)	0.4	8.6	0.04	159	0.64	0.068

SSR(3,2)	0.09 (0.077)	0.0	8.6	0.00	155	0.70	0.065
1cisMePSB3							
CASSCF	0.15 (0.100)	0.0	0.0	0.00	105	0.62	0.069
XMS-CASPT2	0.09 (0.091)	0.0	0.6	0.00	114	0.56	0.070
RMS-CASPT2	0.06 (0.083)	0.0	1.1	0.02	164	0.61	0.069
SSR(2,2)	0.09 (0.087)	0.0	4.1	0.00	126	0.55	0.070
SSR(3,2)	0.10 (0.087)	0.0	4.2	0.00	108	0.39	0.069
4cisMePSB3							
CASSCF	0.09 (0.089)	0.0	1.3	0.00	132	0.59	0.070
XMS-CASPT2	0.05 (0.085)	0.0	2.8	0.14	>142	0.67	0.066
RMS-CASPT2	0.04 (0.077)	0.0	0.3	0.04	179	0.63	0.068
SSR(2,2)	0.04 (0.076)	0.0	11.3	0.00	191	0.62	0.069
SSR(3,2)	0.04 (0.076)	0.0	11.3	0.04	184	0.48	0.071
4transMePSB3							
CASSCF	0.11 (0.087)	0.0	2.0	0.04	148	0.60	0.069
XMS-CASPT2	0.04 (0.083)	0.0	2.8	0.40	>166	0.48	0.071
RMS-CASPT2	0.04 (0.076)	0.0	0.3	0.38	>240	0.66	0.067
SSR(2,2)	0.03 (0.065)	0.5	10.5	0.05	227	0.60	0.069
SSR(3,2)	0.05 (0.064)	0.3	12.3	0.12	>217	0.51	0.071
PSB4		a. C2=C3 b. C4=C5	a. C2=C3 b. C4=C5		a. C2=C3 b. C4=C5	a. C2=C3 b. C4=C5	a. C2=C3 b. C4=C5
isomerization along differed double bonds)						c. C6=C7	c. C6=C7
CASSCF	0.12 (0.080)	0.0,ª 0.1 <sup>b</sup>	2.8, <sup>a</sup> 0.7 <sup>b</sup>	0.00	228,ª - <sup>b</sup>	0.58 (0.92), <sup>a</sup> - (0.04) <sup>b</sup> ,	0.070ª
						- (0.04)°	
XMS-CASPT2	0.07 (0.067)	0.0,ª 0.1 <sup>b</sup>	10.6, <sup>a</sup> 4.5 <sup>b</sup>	0.00	306,ª - <sup>b</sup>	0.54 (0.86), <sup>a</sup> - (0.07) <sup>b</sup> ,	0.070ª
DMC CACDTO	0.05 (0.0(1)	0 0 a 0 2b	9.0.a.C.Ob	0.16	> 270 a b	- (0.08)°	0.0(0)
KMS-CASP12	0.05 (0.061)	0.0, 0.3	8.0," 0.9"	0.16	>370,*-*	(0.14)°	0.069*
SSR(2,2)	0.05 (0.059)	1.3,ª 0.3 <sup>b</sup>	19.8, <sup>a</sup> 1.4 <sup>b</sup>	0.64	-,ª >349	- , - <sup>a</sup> 0.75 (0.16) <sup>b</sup> , -	0.061 <sup>b</sup>
					ь	(0.02) <sup>c</sup>	
SSR(3,2)	0.06 (0.063)	0.9,ª 0.0 <sup>b</sup>	19.8, <sup>a</sup> 1.4 <sup>b</sup>	0.54	-,ª >261	$-,^{a} 0.58 (0.24)^{b}, -$	0.070 <sup>b</sup>
Dh						(0.04)°	
	0.12(0.106)			0.02	07	0.64	0.050
CASSUF	0.12 (0.100)	-	-	0.05	9/	0.04	0.068
SSR(2,2)	0.06 (0.073)	-	-	0.30	>17/9	0.21	0.058

225

## From PSB3 to methyl-substituted PSB3

When comparing PSB3 to 4cMePSB3 and 4tMePSB3, all electronic structure methods show a decrease in BLA<sub>S1-S0</sub> (e.g. from 0.14 to 0.09-0.11 Å for CASSCF and the CASPT2-levels and from 0.09 to 0.03-0.04 Å for SSR(2,2)) pointing to a change of the S<sub>1</sub> and S<sub>0</sub> electronic

229 structure upon Me-substitution at C4. This is explained by the Me hyperconjugation stabilizing the 230 positive charge on the C5-C4-C3- moiety and, thus, increasing the weight of the CT character in 231 S<sub>0</sub> and, consequently, the weight of COV character in S<sub>1</sub> (compare Fig. 2C and 2D) with respect 232 to PSB3. This yields more mixed CT/COV characters in both states. Me-substitution at C1 in 233 1cMePSB3 does not show such a mixing effect as the hyperconjugation further stabilizes the COV 234 character in  $S_0$  (and, in principle, the CT character in  $S_1$ ). The slight decrease in BLA<sub>S1-S0</sub> value 235 observed in some cases (e.g. from 0.10 to 0.09 Å at the XMS-CASPT2 level) in 1cMePSB3 with 236 respect to PSB3 is thus attributed to a compensatory effect of the steric repulsion (Fig. S2) between 237 the Me substituent and the CH group in C4. Such steric effect is also present in 4cMePSB3 238 (between Me at C4 and the CH group at C1) but absent in 4tMePSB3.

239 The comparison of the initial part of the PSB3 and 4cMePSB3 and 4tMePSB3 S<sub>1</sub> 240 isomerization energy profiles (see Fig. 3A and Fig. 3B) along the -C2=C3- isomerization 241 coordinate ( $\alpha$ ) display a transition to a slightly reduced slope (4tMePSB3 shows a flatter profile 242 due to a reduced steric repulsion between Me substituent and the CH group at C1) and, for the 243 REKS-levels, a change in the CoIn topography as indicated by the increased S<sub>1</sub>-S<sub>0</sub> energy gap. The 244 above characterization is confirmed by the trajectory calculations that show a 20-40 fs increase in 245  $\tau_{S1}$  at the CASSCF level for 4cMePSB3 and 4tMePSB3 with respect to PSB3 (Table 1). In contrast, 246 1cMePSB3 does not show a significant change in  $\tau_{S1}$  (Fig. 3C). The complete isomerization energy 247 profiles along the -C2=C3- isomerization coordinate ( $\alpha$ ) in 1cMePSB3, 4cMePSB3, and 248 4tMePSB3 are shown in Fig. S3.

With the only exception of SSR(3,2) and despite the above changes, the estimated QY<sup>iso</sup> does not show substantial variations upon Me-substitution. It is possible that the additional configuration in SSR(3,2) alters the phase relationship between the critical modes determining the QY<sup>iso</sup> value.<sup>36</sup> This hypothesis remains to be investigated.



254

255 **Fig. 3.** PSB3 S<sub>1</sub> and S<sub>0</sub> energy profiles along the relaxed S<sub>1</sub> PES scan driven by  $\alpha$  and related quantum-256 classical trajectories. (A) S<sub>1</sub> and S<sub>0</sub> energy profiles along the PSB3 scan from an S<sub>1</sub> relaxed planar structure 257 to a  $\alpha = 90^{\circ}$  twisted structure. The FC and CoIn regions (left and right framed regions, respectively) are 258 detailed in panel B. (B) Left. FC region of the S<sub>1</sub> energy profile. Right. CoIn region of the S<sub>1</sub> energy profile. 259 The color code is the same as the one in panel A. (C) Progression of  $\alpha$  along three different sets of PSB3 260 quantum-classical trajectories computed with three different levels of electronic structure theory. Blue 261 circles correspond to  $S_1$ -to- $S_0$  surface hops leading back to the reactant (unreactive) while red circles 262 correspond to hops leading to the photoproduct (reactive).

CASPT2-levels. In PSB3, the BLA<sub>S1-S0</sub> values produced by the XMS-CASPT2, RMS-CASPT2 are reduced (0.10 Å and 0.07 Å for XMS-CASPT2 and RMS-CASPT2, respectively) and with respect to CASSCF (0.14 Å), implicating that the recovery of the dynamic electron correlation leads to a slightly flatter S<sub>1</sub> energy profile confirmed by, for instance, a 20 fs increase of  $\tau_{S1}$  at the RMS-CASPT2 level (compare left and central panels in Fig. 3C) with respect to CASSCF. Indeed, when comparing PSB3 to 4cMePSB3 and 4tMePSB3 that have augmented S<sub>1</sub> COV characters, one sees a large BLA<sub>S1-S0</sub> variation ultimately leading to values as small as 0.03271 0.05. This implies even flatter  $S_1$  energy profiles justifying the >30 fs increase in  $\tau_{S1}$  with respect 272 to PSB3. Notice that at the XMS-CASPT2 level the  $S_1$  progression becomes, for 10-40% of the 273 trajectories (see FTND value in Table 1 for 4cMePSB3 and 4tMePSB3), slow enough to prevent 274 decay to  $S_0$  within the simulation time. Again, despite such  $\tau_{S1}$  variations, there is no qualitative 275 change in QY<sup>iso</sup> that remains close to the CASSCF value. The trajectories calculated with all CAS-276 level methods for PSB3 and substituted PSB3 models are shown in Fig. S4 and Fig. S5.

277 **REKS-levels**. For PSB3, SSR(2,2) and SSR(3,2) replicate the behavior of the CASPT2-278 levels except for the change in topography of the CoIn that now appears strongly sloped (i.e. it 279 shows an AC) as the ca. 10 kcal/mol values of  $E^{90}_{S1-S0}$  indicate. Things do not change much when 280 comparing PSB3 to 4cMePSB3 or 4tMePSB3 but a change is observed in 1cMePSB3 that displays 281 substantially reduced S<sub>1</sub>/S<sub>0</sub> gap at 90°. In fact, when compared to CASSCF, PSB3 displays similar 282 BLA<sub>S1-S0</sub> variations induced by Me-substituent and a similar ca. 30 fs increase in  $\tau_{S1}$  (compare central panel and right panel in Fig. 3C). The OY<sup>iso</sup> computed at the SSR(2,2) level remains close 283 284 to the CASSCF value but significantly decreases when SSR(3,2) is used. The trajectories 285 calculated with SSR(2,2) and SSR(3,2) for PSB3 and substituted PSB3 models are shown in Fig. 286 S6 and Fig. S7.

287 We conclude that, in general, the dynamics of PSB3 studied at the CASSCF, CASPT2levels and REKS-levels yields the same qualitative mechanisms, timescales and OY<sup>iso's</sup> (with the 288 289 only exception of the SSR(3,2) level). In fact, when comparing PSB3 with 4cMePSB3 or 290 4tMePSB3, all levels show an increase in the  $S_1$  COV character along the  $S_1$  isomerization 291 coordinate and longer  $\tau_{S1}$ . It is also evident that the S<sub>1</sub> COV character also increases when passing 292 from CASSCF to the perturbatively corrected CASPT2-levels and, most notably, to the REKS-293 levels, but this does not seem to have a qualitatively important impact on the resulting 294 isomerization dynamics in the PSB3 derivatives where the extension of the  $\pi$ -system is limited.

295

#### 296 From PSB3 to PSB4

The CASSCF data shows a 0.14 to 0.12 decrease in BLA<sub>S1-S0</sub> when moving from PSB3 to PSB4 pointing to a reduced electronic structure change towards a more mixed S<sub>1</sub> electronic structure. In fact, in PSB3 we see, upon S<sub>1</sub> relaxation, a ca. 0.1 charge translocation (see Fig. 4B, top) from the C2-C1-N to the C5-C4-C3 moiety. In PSB4 this translocation is reduced to 0.03 that is, as discussed above, consistent with the decrease in BLA<sub>S1-S0</sub> and increase in COV character 302 with respect to PSB3. This change is, as expected (according to Scheme 2F), consistent with the 303 slope change in the initial part of the  $S_1$  energy profile along the -C2=C3- isomerization coordinate 304  $(\alpha)$  displayed in Fig. 4A. There is, however, another observation relative to the charge 305 translocation. While in PSB3 its magnitude does not change until nearby a CoIn region is entered 306 at ca. 75° twisting and then goes towards larger CT weights, in PSB4 the charge translocation starts 307 to revert around 40° twisting and continue to decrease until the CoIn region is reached, indicating 308 that, after the initial progression, the COV weight in  $S_1$  is increases, not decreases, along the  $S_1$ 309 isomerization coordinate. This leads to the flatter PSB4 energy profile along  $\alpha$  with respect to 310 PSB3 (compare the "PSB3" panel of Fig. 3B with the left panel of Fig. 4A) and, consequently, to 311 a 120 fs increase in  $\tau_{S1}$  as well as by a more than 200 fs increase (from 150 fs to ca. 350 fs) in the 312 decay time-range (compare Fig. 4C with Fig. 3C) at the CASSCF level. On the other hand, the -313 C2=C3- isomerization of PSB4 described by  $\alpha$ , has a QY<sup>iso</sup> of 0.58 and its therefore only slightly 314 reduced with respect to that of PSB3.

315

The alternative progression (see Fig. 4A center) along the -C4=C5- isomerization coordinate ( $\beta$ ) shows a charge translocation (see Fig. 4B, bottom) corresponding to a constant CT character increase rather than decrease. However, the -C4=C5- channel is found to be energetically less favorable as only two trajectories decay along that path and, therefore, t<sub>S1</sub> and QY<sup>iso</sup> remain undefined even as qualitative descriptor. As shown in Figure S12, this behavior is attributed to the energy barrier (or increased flatness) existing along the  $\beta$  coordinate with respect to the  $\alpha$ coordinate.





324 **Fig. 4.** PSB4  $S_1$  and  $S_0$  energy profiles along the relaxed  $S_1$  PES scan and quantum-classical trajectories 325 driven by  $\alpha$  and  $\beta$  (C2=C3 and C4=C5 respectively. See Fig. 2A). (A) S<sub>1</sub> and S<sub>0</sub> energy profiles along the 326 coordinates corresponding to twists around  $\alpha$  and  $\beta$ . The inset on the right correspond to the framed FC and 327 CoIn regions seen in the left panel. B. Progression of the CT computed as the value of the positive charge 328 residing on the N-containing moieties C2-C1-N and C4-C3-C2-C1-N for the scan along  $\alpha$  (PSB3 and PSB4) 329 and  $\beta$  (PSB4) respectively. (C) Propagation of  $\alpha$  along the simulated CASSCF-driven PSB4 trajectories. Red lines and red circles correspond to trajectories that undergo C2=C3 isomerization and their hopping 330 331 points, respectively. Orange lines and orange circles correspond to trajectories that undergo C4=C5 332 isomerization and their hopping points, respectively. Blue lines and blue circles correspond to unproductive 333 trajectories and their hopping points, respectively. Black lines correspond to trajectories that did not 334 undergo surface hop. (D) Same as C for XMS-CASPT2 (left panel) and SRR(2,2) (right panel) driven 335 trajectories. E. Same as C for  $\beta$ . F. Same as D for  $\beta$ .

337 **CASPT2-levels**. Along the  $\alpha$  channel, both XMS-CASPT2, RMS-CASPT2 display, 338 consistently with the PSB3 study, a further reduced BLA<sub>S1-S0</sub> value and an increase in E<sup>90</sup><sub>S1-S0</sub>. 339 This points to a further increase in the flatness of the  $S_1$  energy surface that explains the ca. 100 fs 340  $\tau_{S1}$  increase with respect to CASSCF (compare Fig. 4C and 4D) with the RMS-CASPT2 341 trajectories being the slowest and the decay time-range the widest. However, consistently with the 342 CASSCF results, the displayed selectivity favors the -C2=C3- isomerization channel (only at the 343 XMS-CASPT2 level one has a branching to the -C4=C5- channel that, however, has only a 7% QY<sup>iso</sup>) that displays QY<sup>iso</sup> values of 0.54 and 0.60 at the XMS-CASPT2 and RMS-CASPT2 levels, 344 respectively and, thus, still close to the PSB3 estimated OY<sup>iso</sup>. The trajectories calculated with all 345 346 CAS-level methods for PSB4 are shown in Fig. S8 and Fig. S9.

347 **REKS-levels**. SSR(2,2) and SSR(3,2) share a behavior that is qualitatively different from 348 that described for the CASSCF and the CASPT2-levels. Indeed, slightly larger  $E^{a}_{S1}$  values as well as very large (20 kcal/mol) E<sup>90</sup><sub>S1-S0</sub>, point to a much slower dynamics that is demonstrated by the 349 350 large 64% and 54% fraction of trajectories that do not decay to S<sub>0</sub> within the simulation time when 351 the calculation is performed at the SSR(2,2) and SSR(3,2) levels, respectively. The most dramatic 352 difference displayed is related to the isomerization regioselectivity that strongly favors progression 353 along the alternative -C4=C5- twisting (angle  $\beta$ ) rather than along the  $\alpha$  angle selected by the 354 wavefunction methods. This is consistent with the differences in the barriers computed along the 355 corresponding  $S_1$  energy profiles that are slightly higher along the -C2=C3- coordinate when computed with the REKS-levels. At the SSR(2,2) level the computed QY<sup>iso</sup> for -C4=C5-356 357 isomerization is very high (0.78). The trajectories calculated with SSR(2,2) and SSR(3,2) for PSB4 358 are shown in Fig. S10 and Fig. S11.

We conclude that the dynamics of PSB4 studied at the CASSCF and CASPT2-levels yields the same qualitative mechanisms, timescales and QY<sup>iso</sup>'s observed in PSB3. However, the corresponding REKS-levels yield a dramatically different dynamics and regioselectivity that are the consequence of a qualitative changes in the electronic structure strongly favoring a COV character of S<sub>1</sub> along the -C2=C3- torsional coordinate  $\alpha$  but not along the -C4=C5- coordinate  $\beta$ (see Fig. 4B) as also noticed in previous reports.<sup>20,51</sup> Again, these changes lead to a change in isomerization regioselectivity, as well as to a change in isomerization timescale.

We investigated if the qualitative changes associated with the use of the REKS-levels could be associated with the smaller active space utilized in SSR(2,2) and SSR(3,2) compared to the 8 electrons in 8 orbitals of CAS-based methods or to a non-ideal choice of the employed density functional (BHHLYP). Moreover, we explore the potential impact of exact exchange on self-

interaction error (SIE)<sup>52</sup> of BHHLYP density functional used in SSR calculations. The results of 370 371 these assessments, that exclusively focused on the reaction paths along  $\alpha$  and  $\beta$ , are reported in the 372 last section of the Supporting Information. Briefly, Fig. S12 shows that, as expected, employing 373 XMS-CASPT2 based on a 2 electrons in 2 orbitals active space yields energy profiles more closely 374 resembling the REKS-level, particularly along  $\beta$ , when compared to the XMS-CASPT2 based on 375 8 electrons in 8 orbitals active space. In the same graph we demonstrate the impact of approximate 376 density functional exchange and dynamic electron correlation on the REKS energy profile by 377 comparing BHHLYP-REKS and HF-REKS calculations. In fact, switching from the BHHLYP-378 REKS to HF-REKS leads to 50% to 100% increase in the exact electron exchange but to a decrease 379 in dynamic electron correlation (HF-REKS does not account for dynamic electron correlation and 380 is therefore expected to exhibit a behavior more akin to CASSCF(2,2) rather than one of the 381 CASPT2 variants). The results show that HF-REKS yields a better agreement with CASSCF(2,2) 382 and suggest that a functional different from the selected BHHLYP may improve the performance 383 of REKS substantially.

384 In order to test this hypothesis we utilized the interface between LIBXC and GAMESS-US<sup>53</sup> to compare the S<sub>1</sub> isomerization energy profiles of PSB4 along torsion angle  $\alpha$ 385 386 calculated using SSR-BHHLYP(2,2) with 50% of exact exchange; SSR-PBE-2X(2,2) with 54% 387 of exact exchange; and SSR-HFLYP(2,2) with 100% of exact exchange. We demonstrate the 388 results of these calculations in Figure S13, which indicates substantially better agreement between 389 the results of SSR-HFLYP(2,2) and XMS-CASPT2(8,8) calculations than in the case of 390 SSR-BHHLYP(2,2). Based on our tests we conclude that the SSR-HFLYP(2,2) method might be 391 a promising option for simulations of PSB models, which is going to be explored further in our 392 future studies.

393

#### 394 **Rh photoisomerization.**

We limit our study to CASSCF-driven and SSR(2,2)-driven ("driven" refers to the level of theory used to compute the gradient of the QM-subsystem in Fig. 2B. Of course, also the full QM trajectories discussed above are CASSCF-driven and SSR(2,2)- or SSR(3,2)-driven) QM/MM quantum-classical trajectories (XMS-CASPT2 and RMS-CASPT2 analytical gradient calculations are presently unpractical for the full rPSB chromophore). In the present work, these results are the only one that can be compared with the experimental data in terms of  $\tau_{S1}$  and QY<sup>iso</sup>. Consistently with the PSB4 results, we find major differences between calculations carried out using the two
levels of electronic structure theory pointing at substantial discrepancy between CASSCF and the
REKS-levels in systems with longer conjugated chains and, therefore, potentially displaying
multiple isomerization coordinates (i.e. in rPSB the competitive isomerizations would be C9=C10,
C11=C12 and C13=C14). Furthermore, consistently with previous reports,<sup>5,25</sup> we also find that
only the CASSCF-driven calculations produce results close to the experimental observations.

407 Experimentally the 11-cis isomer of rPSB in Rh isomerizes exclusively at the -C11=C12-408 bond and in the counterclockwise direction (this is due to the interaction with the chiral protein 409 cavity). Such a selectivity is reproduced by both the CASSCF-driven and SSR(2,2)-driven quantum-classical trajectories.<sup>5,36</sup> In fact, Fig. 5A and 5B show that, at both levels of theory, the 410 majority of hops have  $\alpha$  values ( $\alpha$  is now defined by the C10-C11-C12-C13 dihedral) ranging 411 between -90° and -100°. However, at the CASSCF level, the trajectories hop to  $S_0$  on a 100 fs 412 decay time-range (from 50 to 150 fs) with no trajectory decaying after 210 fs. In contrast, the 413 414 SSR(2,2)-driven decay starts around 100 fs and continues until the end of our simulation. Such a 415 delay is consistent with previous REKS-driven QM/MM studies of microbial rhodopsins, where 416 the calculations predicted average  $S_1$  lifetimes 70-80 fs higher than the experimental value in case of channel rhodopsin and over 100 fs higher in the case of bacteriorhodopsin.<sup>54,55</sup> 417





420 **Fig. 5**. Propagation of the  $\alpha$  along the QM/MM quantum-classical trajectories of bovine rhodopsin. (A) 421 CASSCF driven trajectories. Red lines and red circles correspond to productive trajectories and their 422 hopping points, respectively. Blue lines and blue circles correspond to unproductive trajectories and their

423 hopping points, respectively. Black lines correspond to trajectories that did not undergo surface hop. (B) 424 Same for SSR(2,2) driven trajectories. (C) BLA propagation along the CASSCF driven trajectories. (D) 425 BLA propagation along the SSR(2,2) driven trajectories. (E) CASSCF and SSR(2,2) charge progression 426 along  $\alpha$ . The CT weight is inversely proportional to the charge residing on the C12-C13-C14-C15-N 427 moiety.

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429 The different isomerization progression seen in Fig. 5A and 5B is also reflected in the 430 BLA<sub>S1-S0</sub> values and progression of the BLA coordinate (see Fig. 5C and 5D). The CASSCF and 431 SSR(2,2) levels have  $BLA_{S1-S0}$  values of 0.12 and 0.06 respectively and therefore similar to the 432 PSB3 and PSB4 values. These descriptors indicate a large change in BLA and therefore a large 433 CT character of the  $S_1$  state at the CASSCF level that changes to a mixed COV/CT character at 434 the SSR(2,2) level (see the corresponding schematic resonance formulas in the top panel of Fig. 435 5E). This is confirmed by the substantial differences in the BLA progression along the CASSCFdriven and SSR(2,2)-driven trajectories. In the first case, one has oscillating  $S_1$  BLA values 436 437 comprised, roughly, between -0.10 and 0.10 that produce, after decay to S<sub>0</sub>, values comprised 438 between 0.0 and 0.2 typical of a localized S<sub>0</sub> double bonds and pointing to an S<sub>1</sub> state dominated 439 by a CT character. In contrast, SSR(2,2) produces smaller variations in S<sub>1</sub> BLA indicating 440 significantly mixed CT and COV characters that shift to only slightly more positive values after 441 the decay to  $S_0$ . The CASSCF scenario is consistent with the schematic representation of Fig. 2E 442 while the SSR(2,2) description is consistent with the scheme of Fig. 2F. Such an interpretation is 443 supported by plotting the CT evolution (as already done for PSB4 in terms of the charge of a 444 nitrogen containing moiety) along representative CASSCF-driven and SSR(2,2)-driven 445 trajectories (we selected two trajectories that decay at a short timescale (ca. 50 fs, bottom panel in 446 Fig. 5E) and at a longer timescale (ca. 100 fs, middle panel in Fig. 5E). As it can be seen the charge progression is opposite. As previously documented,<sup>5</sup> the CT character first decreases and then 447 rapidly increases along the CASSCF-driven trajectory explaining the substantial "jump" to more 448 449 positive values (i.e. towards the COV character) upon decay to S<sub>0</sub>. The opposite is seen along the 450 SSR(2,2)-driven trajectory where the CT weight decreases regularly ultimately favoring a large 451 COV weight. Notice that, in the CASSCF-driven case the initial decrease in CT character (more 452 positive values during the first 25 fs) are explained by a mixing between the  $S_1$  and  $S_2$  being  $S_2$  a 453 diradical state with charge distribution similar, but not identical, to a COV state. Such region is abandoned rapidly.<sup>5,35,37</sup> 454

The  $\tau_{S1}$  computed at the SSR(2,2)-driven level reflects a substantially larger distribution of hop points with respect to CASSCF-driven trajectory leading to a much longer  $\tau_{S1}$  value. Furthermore, and most remarkably, SSR(2,2) produces a strongly reduced QY<sup>iso</sup> that is only 20% and therefore far from the CASSCF-driven result that is close to the experimental quantity. In conclusion, the QY<sup>iso</sup> predicted with SSR(2,2) disagree with the established CASSCF and experimental data.<sup>5,36</sup>

461

## 462 III. CONCLUSIONS

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464 The presented benchmark study focuses on a specific, but important, class of biomimetic 465 chromophores and shows that the agreement between different levels of electronic structure theory 466 depends on the model employed even when such models are homologue. The comparison between 467 PSB3 and PSB4 is particularly instructive. In PSB3 all levels including CASSCF, the multi-state 468 levels XMS-CASPT2 and RMS-CASPT2 and, remarkably, the REKS levels SSR(2,2) and 469 SSR(3,2) produce the same type of dynamics in terms, for instance, of isomerization timescale and 470 quantum efficiency also including alkyl substituent effects. However, the inclusion of an extra 471 double bond in PSB4 results in a net separation between the CAS-level and the REKS-level. Again, 472 the CASSCF, the multi-state levels XMS-CASPT2 and RMS-CASPT2 display qualitatively 473 similar dynamics. However, the REKS-level produce a qualitatively different dynamics also 474 leading to a change in isomerization regioselectivity. The PSB4 results helps to understand the 475 differences between the presented Rh QM/MM quantum classical trajectory calculations. In fact, 476 while, as indicated by the PSB4 benchmark, the CASSCF-driven and SSR(2,2)-driven calculations 477 produce different dynamics. Furthermore, the wavefunction-based and DFT-based methods yield 478 fundamental differences in the electronic structure (assessed by looking at the charge distribution) 479 of the same retinal chromophore.

We find that, in the investigated systems, the QY<sup>iso</sup> descriptors are relatively insensitive to the details of the S<sub>1</sub> dynamics when comparing CASSCF with the XMS-CASPT2 and RMS-CASPT2 methods (i.e. with and without large dynamic electron correlation) as well as the REKS levels (except for a substantial QY<sup>iso</sup> increase for the  $\alpha$  isomerization seen in PSB4 when using SSR(2,2)). A large difference in QY<sup>iso</sup> is instead seen when benchmarking the Rh QM/MM model where SSR(2,2) produces a decreased value far from the one experimentally observed.

486 When considering the above observations, one may conclude that the benchmarked REKS 487 variants must be used with care. For instance, they may have limitations when studying the 488 photoisomerization of the retinal chromophore or rPSB models featuring chains with more than 489 three conjugated double bonds. The limitations of SSR(2,2) and SSR(3,2) methods might 490 potentially be associated with their lower ability to describe the change of BLA along the simulated 491 quantum-classical trajectories. Accordingly, it is advisable to test the applicability of SSR(2,2) or 492 SSR(3,2) by comparison with one or more CASPT2-levels methods before running hundreds of 493 trajectories. The comparison must include: 1) the propagation of torsion angle  $\alpha$  and BLA along 494 the simulated trajectories, 2) excited state lifetimes, and 3) QY<sup>iso</sup> along the reactive double bond 495 and, possibly, 4) isomerization regioselectivity. In case of a qualitative agreement, the SSR(2,2) 496 or SSR(3.2) could be applied for extended trajectory studies. Potentially the extension of SSR to 497 SSR(4,4) variant might allow for more universal applicability of REKS. This is a promising 498 research direction that should be addressed in future studies, but it requires additional code 499 development in GAMESS-US since at the moment it only supports SSR(2,2) and SSR(3,2) 500 calculations.

501 In terms of future perspectives, the HF-REKS study of PSB4 mentioned above and detailed 502 in the Supporting Information (Fig. S12) suggests that functionals different from BHHLYP may 503 improve the REKS performance through more balanced reductions of the self-interaction error. In 504 fact, our preliminary data (Fig. S13) indicate that SSR(2,2) calculations utilizing HFLYP density 505 functional with 100% of exact exchange demonstrate better agreement with XMS-CASPT2(8,8) 506 calculations than SSR BHHLYP(2,2) calculations. Potentially the SIE can be further improved 507 with usage of range-separated hybrid functionals. However, recent publication on SIE demonstrates that range separated functionals do not completely resolve the presence of  $SIE^{52}$  and 508 509 implementation of REKS calculations in connection with range-separated hybrid functionals in 510 GAMESS-US would require further code development.

511 Finally, based on recent publications<sup>56,57</sup>, an enhancement of REKS performance might be 512 attained by re-formulating REKS within the framework of the density-corrected DFT method (DC-513 DFT). In fact, for many heteronuclear molecules, the DC-DFT method has shown an accuracy 514 increase in generating PESs with respect to semilocal DFT<sup>57</sup>. Thus, combining DC-DFT with 515 REKS may offer an avenue to improve the ability of REKS in describing the PESs of rPSB models 516 in general.

#### 518 IV. METHODS

519

520 All calculations performed with 6-31G\* basis set. OpenMolcas were and OpenMolcas/Tinker<sup>34,58,59</sup> were used for all CASPT2-levels QM and QM/MM calculations, 521 respectively. The OpenMolcas-GAMESS-US/Tinker interface<sup>34,60-62</sup> was used for all REKS 522 523 calculations. The complete active spaces (CAS) were defined as following for each system: 524 CAS(6,6) for PSB3 and substituted PSB3 models, CAS(8,8) for PSB4 model, CAS(12,12) for full 525 retinal model in bovine rhodopsin namely, all the  $\pi$  and  $\pi^*$  orbitals associated to the conjugated 526 polyenic chains. In addition we used CAS(2,2) active space alongside with CAS(8,8) in XMS-527 CASPT2 calculations of potential energy scans in PSB4 model to elucidate the effect of reduced 528 active space in REKS methods. All REKS calculations and calculations based on CAS(2,2) include 529 only the HOMO and LUMO,  $\pi$  and  $\pi^*$  orbitals in the active space. REKS calculations in present work are done with BHHLYP functional.<sup>47–49</sup> 530

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### 532 Construction of the S1 potential energy scans in PSB models

The potential energy scans are constructed by constraining the geometries of PSB models at values of torsion angle  $\alpha$  ranging between 0 and 90 degrees (using increment of 2 degrees) with subsequent geometry optimization of the given structure in S<sub>1</sub> electronic state. In Fig.s representing the potential energy scans we report the S<sub>1</sub> and S<sub>0</sub> energies corresponding to these structures. In case of PSB4 model we calculate the isomerization energy profiles along both torsion angle  $\alpha$  and torsion angle  $\beta$ . The potential energy scan for each of the PSB models was done at CASSCF, XMS-CASPT2, RMS-CASPT2, SSR(2,2), and SSR(3,2) levels of theory.

540

### 541 Quantum-Classical trajectory computations in PSB models

The initial conditions are generated using Wigner sampling where the vibrational frequencies are calculated using the corresponding CAS-based or REKS method. The propagation of trajectories is quantum-classical where the surface hopping is treated with Tully's fewest switches<sup>63</sup>, along with the Hammes-Schiffer/Tully scheme<sup>64</sup> and the decoherence correction by Granucci and Persico,<sup>65</sup> while the hopping criteria is based on hopping probability. The excited state trajectories are initiated in Franck-Condon region and initially executed for 300 fs; if over 10% of trajectories 548 remains on the excited state potential energy surface, the simulations are extended until the total

- simulation time reaches 600 fs. The molecular dynamics for each of the PSB models was done at
- 550 CASSCF, XMS-CASPT2, RMS-CASPT2, SSR(2,2), and SSR(3,2) levels of theory. For each
- 551 method we used 50 trajectories.
- 552

## 553 Rh QM/MM model preparation

- The Rh QM/MM model was constructed starting from the 2.2 Å resolution crystallographic structure available in the Protein Data Bank (PDB ID 1U19)<sup>66</sup> using the methodology described in our previous publications.<sup>5,36</sup> To generate 50 initial conditions constituent of starting geometries and velocities using Boltzmann distribution corresponding to room temperature we utilized the
- 558 following protocol:
- 559 1) A 20 ns room-temperature dynamics simulation was performed at MM level starting from the
- 560 S<sub>0</sub> equilibrium structure of the Rh QM/MM model with GROMACS.<sup>67</sup> The MD relaxations consist
- of a 50 ps heating followed by 150 ps room-temperature equilibration and 20 ns production with
- a time interval of 1 fs. The input velocities are generated according to a Maxwell distribution at
- temperature of 298 K with a random seed and using the Berendsen thermostat.
- 2) Along the MM dynamics, 200 snapshots (geometries and velocities) were extracted from the 20
- ns production MD at every 100 ps time interval. In present benchmark paper we only utilize 50 of
- these snapshots.
- 567 3) Each snapshot was then employed to start a HF/6-31G\*/Amber level 200 fs trajectory followed
- by a 50 fs a 2-root-state-average CASSCF/6-31G\*/Amber level of theory on the  $S_0$  PES with time
- step of 1 fs. In case of SSR(2,2) simulations we perform the ground state equilibration using 200
- 570 fs trajectory with SSR(2,2)-REKS/6-31G\*/Amber level of theory.
- 571 The geometries and velocities of the final (last time step) form the set representing the Boltzmann
- 572 distribution and, therefore, the initial conditions for  $S_1$  population dynamics.
- 573

# 574 Supporting Information

575 Exponential fit of  $S_1$  life-time for each model system. Steric repulsion estimate. The complete

isomerization energy profiles along the -C2=C3- isomerization coordinate ( $\alpha$ ) in 1cMePSB3, 4cMePSB3, and 4tMePSB3. PSB3, 1cMePSB3, 4cMePSB3, and 4tMePSB3 trajectories produced

578 by CASPT2-levels. PSB3, 1cMePSB3, 4cMePSB3, and 4tMePSB3 trajectories produced by

579 REKS methods. PSB4 trajectories produced by CASPT2-levels. PSB4 trajectories produced by

580 REKS methods. Effect of reduced active space and percentage of exact exchange on the S<sub>1</sub> 581 isomerization energy profiles of PSB4.

582

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