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Efficient and Robust Search of Microbial Genomes via Phylogenetic Compression

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1 **ABSTRACT**

2

3 Comprehensive collections approaching millions of sequenced genomes have become central
4 information sources in the life sciences. However, the rapid growth of these collections has made it
5 effectively impossible to search these data using tools such as BLAST and its successors. Here, we
6 present a technique called phylogenetic compression, which uses evolutionary history to guide
7 compression and efficiently search large collections of microbial genomes using existing algorithms and
8 data structures. We show that, when applied to modern diverse collections approaching millions of
9 genomes, lossless phylogenetic compression improves the compression ratios of assemblies, de Bruijn
10 graphs, and k -mer indexes by one to two orders of magnitude. Additionally, we develop a pipeline for a
11 BLAST-like search over these phylogeny-compressed reference data, and demonstrate it can align genes,
12 plasmids, or entire sequencing experiments against all sequenced bacteria until 2019 on ordinary
13 desktop computers within a few hours. Phylogenetic compression has broad applications in
14 computational biology and may provide a fundamental design principle for future genomics
15 infrastructure.

16 INTRODUCTION

17

18 Comprehensive collections of genomes have become an invaluable resource for research across life
19 sciences. However, their exponential growth, exceeding improvements in computation, makes their
20 storage, distribution, and analysis increasingly cumbersome ¹. As a consequence, traditional search
21 approaches, such as the Basic Local Alignment Search Tool (BLAST) ² and its successors, are becoming
22 less effective with the available reference data, which poses a major challenge for organizations such as
23 the National Center for Biotechnology Information (NCBI) or European Bioinformatics Institute (EBI)
24 in maintaining the searchability of their repositories.

25

26 The key to achieving search scalability are compressive approaches that aim to store and analyze
27 genomes directly in the compressed domain ^{3,4}. Genomic data have low fractal dimension and entropy ⁵,
28 offering the possibility of efficient search algorithms ⁵. However, despite the progress in compression-
29 related areas of computer science ⁴⁻¹⁵, it remains a practical challenge to compute parsimonious
30 compressed representations of the exponentially growing public genome collections.

31

32 Microbial collections are particularly difficult to compress due to the huge number of genomes and their
33 exceptional levels of genetic diversity, which reflect the billions of years of evolution across the domain.
34 Even though substantial efforts have been made to construct comprehensive collections of all sequenced
35 microbial genomes, such as the 661k assembly collection ¹⁶ (661k pre-2019 bacteria) and the BIGSIdata
36 de Bruijn graph collection ¹⁷ (448k de Bruijn graphs of all pre-2017 bacterial and viral raw sequence), the
37 resulting data archives and indexes range from hundreds of gigabytes (661k) to tens of terabytes
38 (BIGSIdata). This scale exceeds the bandwidth, storage, and data processing capacities of most users,
39 making local computation on these data functionally impossible.

40

41 We reasoned that the redundancies among microbial genomes are efficiently predictable, as they reflect
42 underlying processes that created the collection: evolution and sampling. While genomes in nature can
43 accumulate substantial diversity through vertical and horizontal mutational processes, this process is
44 functionally sparse, and at the same time subjected to selective pressures and drift that limit their overall
45 entropy. The amount of sequenced diversity is further limited by selective biases due to culture and
46 research or clinical interests, resulting in sequencing efforts being predominantly focused on narrow
47 subparts of the tree of life, associated with model organisms and human pathogens ¹⁶. Importantly, such
48 subtrees have been shown to be efficiently compressible when considered in isolation, as low-diversity
49 groups of oversampled phylogenetically related genomes, such as isolates of the same species under
50 epidemiological surveillance ^{18,19}. This suggests that the compression of comprehensive collections could
51 be informed by their evolutionary history, reducing the complex problem of general genome

52 compression to the more tractable problem of local compression of phylogenetically grouped and
53 ordered genomes.

54

55 Phylogenetic relatedness is effective at estimating the similarity and compressibility of microbial
56 genomes and their data representations. The closer two genomes are phylogenetically, the closer they
57 are likely to be in terms of mathematical similarity measures, such as the edit distance or k -mer
58 distances²⁰, and thus also more compressible. Importantly, this principle holds not only for genomes,
59 but also for de Bruijn graphs and many k -mer indexes. We reasoned that phylogenetic trees could be
60 embedded into computational schemes in order to group similar data together, as a preprocessing step
61 for boosting local compressibility of data. The well-known Burrows-Wheeler Transform²¹ has a similar
62 purpose in a different context and similar ideas have been used for read and alignment compression²²⁻
63²⁵. Other related ideas have previously been used for scaling up metagenomic classification using
64 taxonomic trees²⁶⁻²⁹ and search in protein databases^{30,31}.

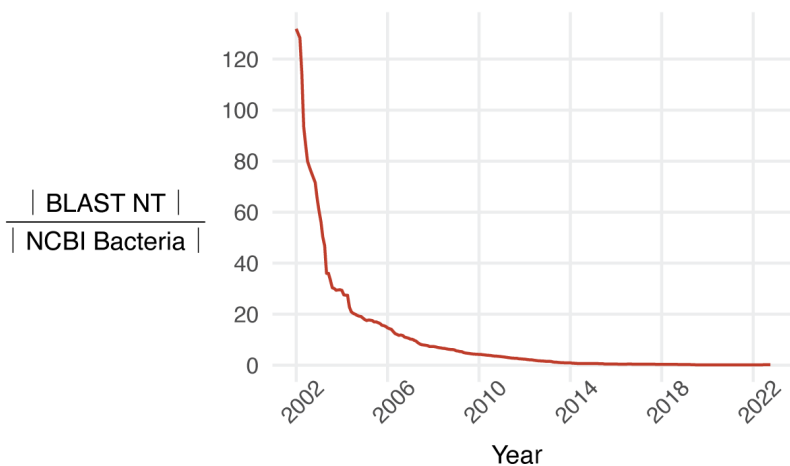
65

66 At present, the public version of BLAST is frequently used to identify the species of a given sequence by
67 comparing it to exemplars, but it is impossible to align against *all* sequenced bacteria. Despite the
68 increasing number of bacterial assemblies available in the NCBI repositories, the searchable fraction of
69 bacteria is exponentially decreasing over time (**Fig. 1a**). This limits our ability to study bacteria in the
70 context of their known diversity, as the gene content of different strains can vary substantially, and
71 important hits can be missed due to the database being unrepresentative.

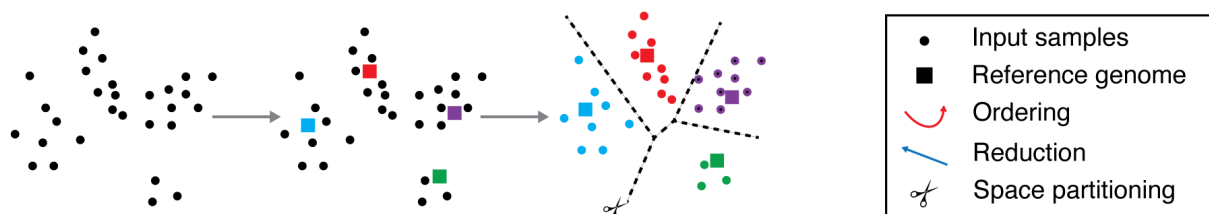
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73 Here, we present a solution to the problem of searching vast libraries of microbial genomes:
74 *phylogenetic compression*, a technique for an evolutionary-guided compression of arbitrarily sized
75 genome collections. We show that the underlying evolutionary structure of microbes can be efficiently
76 approximated and used as a guide for existing compression and indexing tools. Phylogenetic
77 compression can then be applied to collections of assemblies, de Bruijn graphs, and k -mer indexes, and
78 run in parallel for efficient processing. The resulting compression yields benefits ranging from a quicker
79 download (reducing Internet bandwidth and storage costs), to efficient search on personal computers.
80 We show this by implementing BLAST-like search on all sequenced pre-2019 bacterial isolates, which
81 allow us to align genes, plasmids, and sequencing reads on an ordinary laptop or desktop computer
82 within a few hours, a task that was completely infeasible with previous techniques.

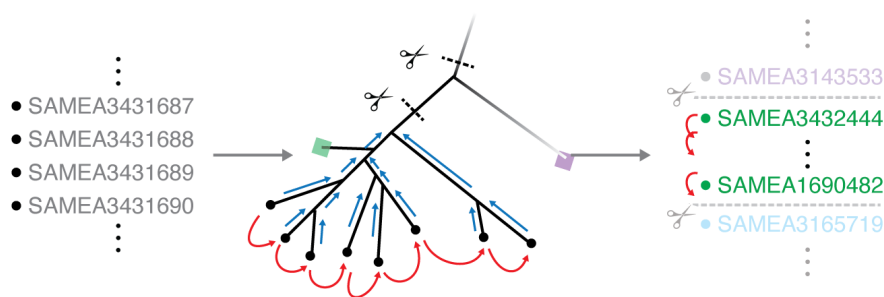
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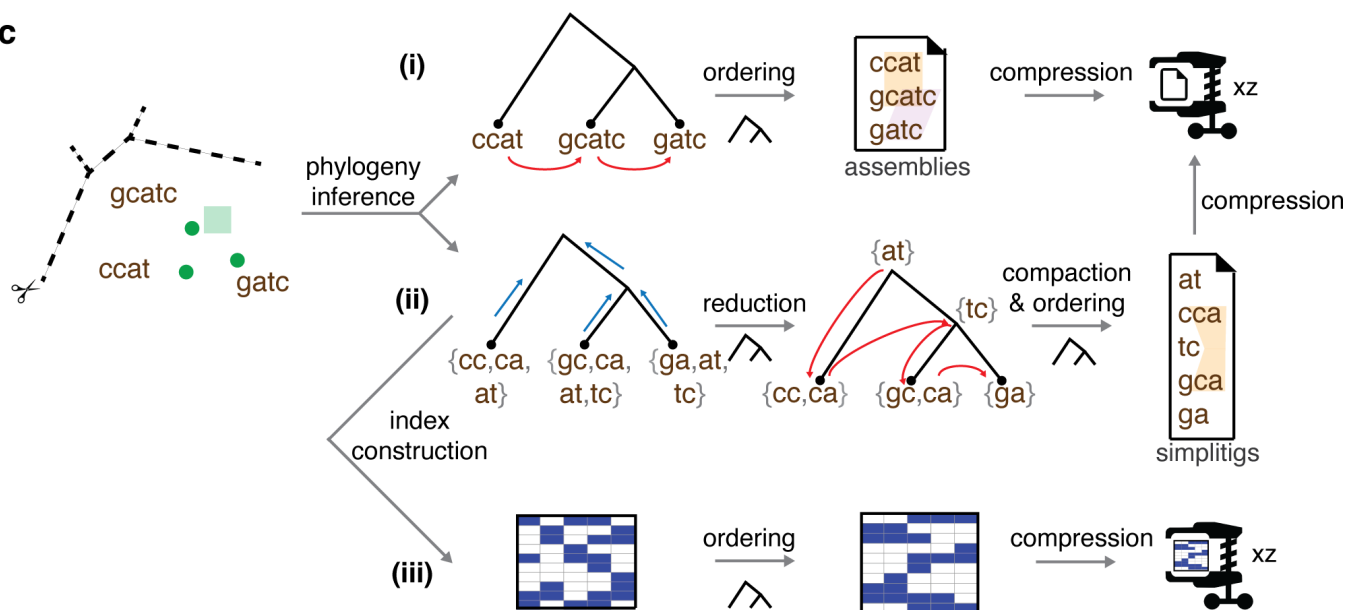
b (i)



(ii)



c



84 **Fig. 1: Overview of phylogenetic compression and its applications to different data types.**

85 **a)** Exponential decrease of data searchability over the past two decades illustrated by the size of the
86 BLAST NT database divided by the size of the NCBI Bacterial Assembly database. **b)** The first three
87 stages of phylogenetic compression prior to the application of a low-level compressor/indexer. **(i)** A
88 given collection is partitioned into size- and diversity-balanced batches of phylogenetically related
89 genomes (e.g., using metagenomic classification of the original reads). **(ii)** The input data are reversibly
90 reordered based on a compressive phylogeny, performed separately for each batch. **c)** Examples of
91 specific protocols for phylogenetic compression of individual data types, performed separately for each
92 batch. **(i)** Assemblies are sorted left-to-right according to the topology of the phylogeny, and then
93 compressed using a low-level compressor such as XZ^{7,32} or MBGC¹⁸. **(ii)** For de Bruijn graphs, *k*-mers
94 are propagated bottom-up along the phylogeny, and the resulting *k*-mer sets are compacted into
95 simplitigs³³, which are then compressed using XZ. **(iii)** For BIGSI *k*-mer indexes, Bloom filters (in
96 columns) are ordered left-to-right according to the phylogeny, and then compressed using XZ.

97

98

99 **RESULTS**

100

101 We developed a technique called phylogenetic compression for evolutionarily informed compression and
102 search of microbial collections (**Fig. 1**, <https://brinda.eu/mof>). Phylogenetic compression combines
103 four ingredients (**Fig. 1b**): 1) *clustering* of samples into phylogenetically related groups, followed by
104 2) inference of a *compressive phylogeny* that acts as a template for 3) *data reordering*, prior to 4) the
105 application of a calibrated *low-level compressor/indexer* (Methods). This general scheme can be
106 instantiated to individual protocols for various data types as we show in **Fig. 1c**; for instance, a set of
107 bacterial assemblies can be phylogenetically compressed by XZ (the Lempel-Ziv Markov-Chain
108 Algorithm⁷, implemented in XZ Utils³²) by a left-to-right enumeration of the assemblies, with respect to
109 the topology of their compressive phylogeny obtained via sketching³⁴.

110

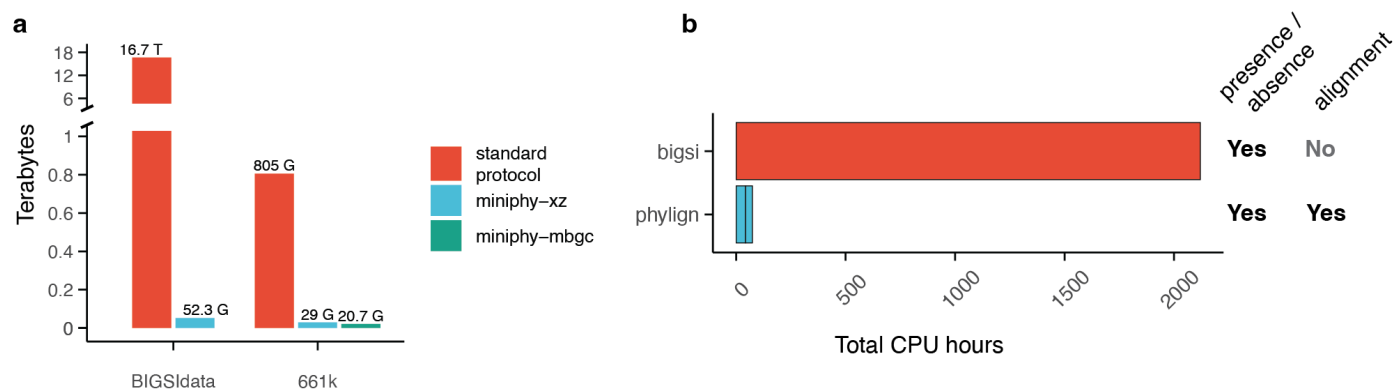
111 We implemented phylogenetic compression protocols for assemblies, for de Bruijn graphs, and for *k*-
112 mer indexes in a tool called MiniPhy (Minimization via Phylogenetic compression,
113 <https://github.com/karel-brinda/miniphy>). To cluster input genomes, MiniPhy builds upon the
114 empirical observation that microbial genomes in public repositories tend to form clusters corresponding
115 to individual species³⁵, and species for individual genomes can be identified rapidly via metagenomic
116 classification³⁶ (**Fig. 1b**, Methods). As some of the resulting clusters may be too large or too small, and
117 thus unbalancing downstream parallelization, it further redistributes the clustered genomes into size-
118 and diversity-balanced batches (Methods, **Supplementary Fig. 1**). This batching enables compression
119 and search in a constant time (using one node per batch on a cluster) or linear time (using a single

120 machine) (Methods). For every batch, a compressive phylogeny – either provided by the user or
121 computed automatically using Mashtree³⁴ / Attotree (<https://github.com/karel-brinda/attotree>,
122 Methods) – is then used for data reordering (Methods). Finally, the obtained reordered data are
123 compressed per batch using XZ with particularly optimized parameters (Methods), and possibly further
124 re-compressed or indexed using some general or specialized low-level tool, such as MBGC¹⁸ or COBS³⁷
125 (Methods).

126
127 We evaluated phylogenetic compression using five microbial collections, selected as representatives of
128 the compression-related tradeoffs between characteristics including data quality, genetic diversity,
129 genome size, and collection size (GISP, NCTC3k, SC2, 661k, and BIGSIdata; Methods, **Supplementary**
130 **Table 1**). We quantified the distribution of their underlying phylogenetic signal (Methods,
131 **Supplementary Table 2, Supplementary Fig. 2**), used them to calibrate the individual steps of the
132 phylogenetic compression workflow (Methods, **Supplementary Fig. 3–5**), and evaluated the resulting
133 performance, tradeoffs, and extremal characteristics (Methods, **Supplementary Table 3,**
134 **Supplementary Fig. 6**). As one extreme, we found that 591k SARS-CoV-2 genomes can be
135 phylogenetically compressed using XZ to only 18.1 bytes/genome (Methods, **Supplementary Table 3,**
136 **Supplementary Fig. 4, 6**), resulting in a file size of 10.7 Mb (13.2× more compressed than GZip). A
137 summary detailing the sensitivity/stability of performance to various factors is provided in
138 **Supplementary Note 1**.

139
140 We found that phylogenetic compression improved the compression of genome assembly collections
141 that comprise hundreds of thousands of isolates of over 1,000 species by more than an order of
142 magnitude compared to the state-of-the-art (**Fig. 2a, Supplementary Table 3**). Specialized high-
143 efficiency compressors such as MBGC¹⁸ are not directly applicable to highly diverse collections,
144 therefore, the compression protocols deployed in practice for extremely large and diverse collections are
145 still based on the standard GZip, such as the 661k collection, containing all bacteria pre-2019 from
146 ENA¹⁶ (n=661,405, 805 GB). Here, MiniPhy recompressed the collection to 29.0 GB (27.8×
147 improvement; 43.8 KB/genome, 0.0898 bits/bp, 5.23 bits/distinct *k*-mer) using XZ as a low-level tool,
148 and further to 20.7 GB (38.9× improvement; 31.3 KB/genome, 0.0642 bits/bp, 3.74 bits/distinct *k*-mer)
149 when combined with MBGC¹⁸ that also accounts for reverse complements (**Fig. 2a, Supplementary**
150 **Table 3, Methods**). Additionally, we found that the lexicographically ordered ENA datasets, as being
151 partially phylogenetically ordered, can serve as an approximation of phylogenetic compression, with
152 compression performance only degraded by a factor of 4.17 compared to full phylogenetic compression
153 (**Supplementary Table 3, Methods**). The resulting compressed files are provided for download from
154 Zenodo (**Supplementary Table 4**).

155



156

157 **Fig. 2: Results of phylogenetic compression. a)** Compression by MiniPhy of the two
 158 comprehensive genome collections: BIGSI (425k de Bruijn graphs; the standard compression is based
 159 on McCortex binary files) and 661k (661k bacterial assemblies; the standard protocol is based on GZip).
 160 For BIGSIdata, MBGC is not included as it does not support simplitigs. **b)** Comparison of the Phylign vs.
 161 BIGSI methods on search of all plasmids from the EBI database. For Phylign, the two segments
 162 correspond to the times of matching and alignment, respectively.

163

164

165 We then studied de Bruijn graphs, a common genome representation directly applicable to raw-read
 166 data^{17,38}, and found that phylogenetic compression can improve state-of-the-art approaches by one-to-
 167 two orders of magnitude (**Fig. 2a, Supplementary Table 3, Methods**). As standard and colored de
 168 Bruijn graphs lack methods for joint compression at the scale of millions of genomes and thousands of
 169 species, single graphs are often distributed individually³⁹. For instance, the graphs of the BIGSIdata
 170 collection¹⁷, comprising all viral and bacterial genomes from pre-2017 ENA (n=447,833), are provided
 171 in an online repository in the McCortex binary format⁴⁰ and occupy in total >16.7 TB (Methods). Here,
 172 we retrieved n=425,160 graphs from the Internet (94.5% of the original count) (Methods) and losslessly
 173 recompressed them using the MiniPhy methodology, with a bottom-up propagation of the *k*-mer
 174 content, to 52.3 GB (319× improvement; 123. KB/genome, 0.248 bits/unitig bp, 10.2 bits/distinct *k*-
 175 mer) (**Fig. 2a, Supplementary Table 3, Methods**). Further, as recent advances in de Bruijn graph
 176 indexing¹⁵ may lead to more efficient storage protocols in the future, we also compared MiniPhy to
 177 MetaGraph³⁸, an optimized tool for indexing on high-performance servers with a large amount of
 178 memory. Here, we found that MiniPhy still provided an improvement of a factor of 5.78 (Methods).

179

180 Phylogenetic compression can be applied to any genomic data structure based on a genome-similarity-
 181 preserving representation (Methods, **Supplementary Note 2**). We demonstrate this using the
 182 Bitsliced Genomic Signature Index (BIGSI)¹⁷ (**Fig. 1c(iii)**), a *k*-mer indexing method using an array of

183 Bloom filters, which is widely used for large-scale genotyping and presence/absence queries of genomic
184 elements^{16,17}. Using the same data, batches, and orders as inferred previously, we phylogenetically
185 compressed the BIGSI indexes of the 661k collection, computed using a modified version of COBS³⁷
186 (**Supplementary Table 5**, Methods). Phylogenetic compression provided an 8.51× overall
187 improvement compared to the original index (from 937 GB to 110 GB), making it finally usable on
188 ordinary computers. After we further omitted the 3.7% genomes that had not passed quality control in
189 the original study¹⁶ (the 661k-HQ collection, visualized in **Supplementary Fig. 7**), the resulting
190 phylogenetic compression ratio improved to 12.3× (72.8 GB) (**Supplementary Table 5**).

191
192 To better understand the impact of phylogenetic compression across the tree of life, we analyzed the
193 661k MiniPhy batches of assemblies and COBS indexes, both before and after compression
194 (**Supplementary Fig. 8**). We found that although the top ten species constituted 80% of the genomic
195 content, they occupied less than half of the database space post-compression for both genome
196 representations (**Supplementary Fig. 8**). Conversely, the ‘dustbin’ batches, which include genomes
197 from sparsely sampled species, expanded to occupy a proportion that was 9.4× larger in the database
198 post-compression, compared to their precompression proportion, again for both representations
199 (**Supplementary Fig. 8**). This consistent effect of compression on both assemblies and COBS indexes
200 suggests that phylogenetic compressibility adheres to the same principles, irrespective of the specific
201 genome representation used, with divergent genomes being a major driver of the final size.

202
203 To demonstrate the practical utility of phylogenetic compression, we used it to implement BLAST-like
204 search across all high-quality pre-2019 bacteria for standard desktop and laptop computers (Phylign,
205 <http://github.com/karel-brinda/phylygn>, Methods). For a given a set of queries, Phylign first identifies
206 for each query those genomes that match best globally across the whole 661k-HQ collection, by
207 proceeding via progressive in-memory decompression and querying of individual phylogenetically
208 compressed COBS³⁷ *k*-mer indexes (described above). Subsequently, Phylign iterates over the
209 phylogenetically compressed genome assemblies (described above) and computes the corresponding full
210 alignments using on-the-fly instances of Minimap 2⁴¹ (Methods). The choice of tools was arbitrary, and
211 other programs or core data structures could readily be used instead. The resulting requirements
212 amount to only 102 GB disk (for the compressed COBS indexes and assemblies: 195 KB/genome, 0.329
213 bits/bp, 23.0 bits/distinct *k*-mer) (**Supplementary Table 6**) and 12 GB RAM, and Phylign can thus be
214 deployed on most modern laptop and desktop computers.

215
216 We first evaluated Phylign with 661k-HQ using three different types of queries – resistance genes (the
217 entire ARG-ANNOT database of resistance genes⁴², n=1,856), plasmids (EBI plasmid database,
218 n=2,826), and a nanopore sequencing experiment (n=158,583 reads), with results available within 3.9,

219 11, and 4.3 hours, respectively, on an iMac desktop (**Supplementary Table 7**). Benchmarking against
220 other tools was not possible, as we were unable to find any tool capable of aligning queries to 661k-HQ
221 in a comparable setup. We therefore used the EBI plasmid dataset to compare Phylign to BIGSI with its
222 original database of 448k genomes (which is essentially a subset of 661k-HQ with 1.43× less genomes)¹⁷.
223 We found that Phylign was over an order of magnitude faster (**Fig. 2b, Supplementary Table 7**); the
224 search required 74.1 CPU hours and improved performance by a factor of 28.6× compared to the same
225 BIGSI benchmark with its smaller database (**Fig. 2b, Supplementary Table 7**), while providing the
226 full alignments rather than presence/absence only (**Fig. 2b**). To our knowledge, this is the first time
227 that alignment to a collection of a comparable size and diversity has been locally performed.

228
229

230 **DISCUSSION**

231

232 It is hard to overstate the impact on bioinformatics of BLAST², which has allowed biologists across the
233 world to simply and rapidly compare their sequence of interest with essentially all known genomes – to
234 the extent that the tool name has become a verb. The web version provided by NCBI/EBI is so standard
235 that it is easy to overlook how representative or complete its database is. However, twenty-four years on,
236 sequencing data is far outstripping BLAST's ability to keep up. Much work has gone into approximate
237 solutions¹⁵, but full alignment to the complete corpus of bacterial genomes has remained impossible. We
238 have addressed this problem and made significant progress, via phylogenetic compression, a highly
239 efficient general technique using evolutionary history of microbes to improve existing compressive data
240 structures and search algorithms by orders of magnitude. More concretely, BLAST-like search of all
241 microbes is now possible, not just for NCBI/EBI, but for anyone on a personal laptop. This has wide-
242 ranging benefits, from an easy and rapid download of large and diverse genome collections, to
243 reductions in bandwidth requirements, transmission/storage costs and computational time.

244

245 Elements of our approach and related techniques have been previously used in other contexts.
246 Reversible reordering to improve compression forms the core of the Burrows-Wheeler Transform²¹ and
247 its associated indexes⁴³⁻⁴⁵, and it has also been used for read compression²²⁻²⁵. Tree hierarchies have
248 been applied in metagenomics for both lossy^{26,27,46} and lossless²⁸ reference data compression. Finally, a
249 divide-and-conquer methodology has been employed to accelerate the inference of species trees⁴⁷.
250 However, this is the first time all these ideas have been combined together to improve the scalability of
251 search in large genome databases.

252

253 As with all forms of compression, our ability to reduce data is fundamentally limited by the underlying
254 entropy. For genome collections, this is not introduced solely by the underlying genetic signal, but it is

255 also tightly connected with the sequencing process and our capacity to reconstruct genomes from
256 sequencing reads. The noise in the underlying k -mer histograms (**Supplementary Fig. 7**) suggests
257 that any method for compression or search will have to address noise in the forms of contamination,
258 missing regions, and technological artifacts, with legacy data posing a major challenge for both storage
259 and analysis. Future methods may choose to incorporate stricter filtering, and as our experiments have
260 demonstrated, this not only helps in reducing data volume but also in improving the quality of search
261 outputs. These issues may be alleviated by innovative computational strategies, such as taxonomic filters
262 ⁴⁸ or sweep deconvolution ⁴⁹.

263

264 In light of technological development, the benefits of phylogenetic compression will grow over time.
265 Currently, only a fraction of the world's microbial diversity has been sequenced. However, as sequencing
266 becomes more comprehensive, the tree of life will not change, thus enhancing the relative advantage of
267 phylogenetic compression. We foresee its use ranging from mobile devices to large-scale distributed
268 cloud environments and anticipate promising applications in global epidemiological surveillance ⁵⁰ and
269 rapid diagnostics ⁵¹. Overall, the phylogenetic compression of data structures has broad applications
270 across computational biology and represents a fundamental design principle for future genomics
271 infrastructure.

272

273

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485 **METHODS**

486 487 488 ***Analysis of the decrease in bacteria BLAST searchability***

489
490 **Estimation of BLAST NT database size.** The size of the BLAST NT database for the time period
491 between 2002-01-01 and 2022-11-01 was estimated using five types of online resources, resulting in
492 n=27 values. First, file sizes were manually recorded from the official NCBI website
493 <https://ftp.ncbi.nih.gov/blast/db/FASTA/> (n=11, between 2020-04-05 and 2022-11-01). Second,
494 additional values were obtained from the snapshots of this website and its NCBI mirrors on
495 <http://web.archive.org> (n=7, between 2012-10-11 and 2022-06-06). Third, archived versions of the NT
496 database were found in diverse online repositories (n=3, between 2017-10-26 and 2021-01-15). Fourth,
497 the NT database size was documented in a software documentation (n=1, 2013-12-03). Finally, the
498 number of base pairs in the NT database was also documented in literature (n=5, between 2002-01-01
499 and 2010-01-01) (**Supplementary Table 8**). Conversion between the sizes of the GZip-compressed NT
500 database and the corresponding total sequence lengths was performed using the 2.04 GZip bits per bp
501 constant, estimated using the NT database as of 2022-06-20.

502
503 **Estimation of NCBI Assembly database size.** The number of bacteria in the NCBI Assembly
504 database ⁵² (<https://www.ncbi.nlm.nih.gov/assembly/>) and their compressed size were estimated from
505 the GenBank assembly summary file
506 https://ftp.ncbi.nlm.nih.gov/genomes/genbank/bacteria/assembly_summary.txt (n=1,280,758 records,
507 downloaded on 2022-11-02). The file was sorted according to the 'seq_rel_date' field and then used for
508 calculating the number of published assemblies till a given date, aggregated per month. The total lengths
509 of assemblies for the corresponding time points were estimated using the mean length of a bacterial
510 genome assembly in the 661k collection (3.90 Mbp) and then converted to the estimated GZip size as
511 previously. Although updates in the `assembly_summary.txt` file, such as the removal of old
512 contaminated records, may influence the resulting statistics, a manual inspection during a several-
513 months-long period showed only a minimal impact of these changes on the old statistics.

514
515 **Comparison of BLAST NT and NCBI Assembly database sizes (Fig 1a).** To compare the sizes of
516 two databases at the same time points, their respective functions were first interpolated in the
517 logarithmic scale using piecewise linear functions from the data extracted above. The resulting
518 interpolations were then used to calculate the estimated proportion of the sizes of NT and the bacteria in
519 the NCBI Assembly database at regular intervals (monthly). Although minor inaccuracies might be
520 present in the calculations (such as variations in the mean bacterial assembly or in the GZip-bits-per-bp

521 conversion across different versions of the databases), these differences do not impact the overall
522 exponential decrease of data searchability.

523

524

525 ***Conceptual overview of phylogenetic compression***

526

527 **General overview.** To organize input genomes into phylogenetic trees and compress/index them in a
528 scalable manner, phylogenetic compression combines four conceptual steps.

529

530 **Step 1: Clustering/batching (Fig. 1b(i)).** The goal of this step is to partition genomes into batches
531 of phylogenetically related genomes, of a limited size and diversity, that can be easily compressed and
532 searched together using highly reduced computational resources. During downstream compression,
533 indexing, and analyses, these individual batches are processed separately, and their maximum size and
534 diversity can establish upper bounds on the maximum time and space necessary for processing a single
535 batch. For instance, in the realm of k -mer aggregative methods (see an overview in ref ¹⁵), this
536 corresponds to a matrix decomposition of a large k -mer annotation matrix into a series of small matrices
537 that have both dimensions small, and analogically in the realm of dictionary compression, to reducing
538 the input strings and dictionary sizes.

539

540 For microbes, clustering can be accomplished rapidly by metagenomic classification ³⁶ applied to the raw
541 reads or other methods for species identification. Microbial genomes in public repositories form distinct
542 clusters, usually (but not always) corresponding to individual species ³⁵, and metagenomic classification
543 can assign individual genomes to these respective clusters, defined by the underlying reference database
544 such as NCBI RefSeq ³⁶. This requires only a constant time per dataset and can be fully parallelized,
545 resulting thus in a constant-time clustering if sufficiently many computational nodes are available.

546

547 The obtained clusters are then reorganized into batches. First, too small clusters are merged, creating a
548 special pseudo-cluster called dustbin, whose purpose is to collect divergent, weakly compressible
549 genomes from sparsely sampled regions of the tree of life. Subsequently, the clusters that are too large –
550 such as those corresponding to oversampled human pathogens (e.g., *S. enterica* or *E. coli*) – as well as
551 the dustbin are then divided into smaller batches, to provide guarantees on the maximum required
552 downstream computational resources per one batch. An additional discussion of batching is provided in
553 **Supplementary Note 3.**

554

555 **Step 2: Inference of a compressive phylogeny (Fig. 1b(ii)).** In this step, the computed batches
556 are equipped with a so-called *compressive phylogeny*, which is a phylogeny approximating the true

557 underlying phylogenetic signal with sufficient resolution for compression purposes. If accurate inference
558 methods such as RAxML⁵³ or FastTree 2⁵⁴ cannot be applied due to the associated bioinformatics
559 complexity or high resource requirements, phylogenies can be rapidly estimated via lighter approaches
560 such as the Mashtree algorithm³⁴ (reimplemented more efficiently in Attotree,
561 <https://github.com/karel-brinda/attotree>) instead, with only a negligible impact on the resulting
562 compression performance (**Supplementary Fig. 5, Supplementary Note 1**).

563
564 **Step 3: Data reduction/reordering (Fig. 1b(ii)).** The compressive phylogenies obtained in the
565 previous step serve as a template for phylogenetic reordering of individual batches. The specific form of
566 reordering can vary depending on the specific data representations, intended applications, and method
567 of subsequent compression or indexing. In principle, the reordering can occur in two directions: as a
568 left-to-right genome reordering based on the topology of the compressive phylogeny, or as a bottom-up
569 reduction of genomic content along the phylogeny (followed by left-to-right enumeration). Regardless of
570 the specific form, this transformation is always reversible, thus sharing similarities with methods such as
571 the Burrows-Wheeler transform²¹.

572
573 **Step 4: Compression or indexing using a calibrated low-level tool (Fig. 1c).** Finally, the
574 reordered data are compressed or indexed using a low-level tool. At this stage, thanks to both phylogeny-
575 based clustering and phylogeny-based reordering, the data are highly locally compressible, which
576 enables to use of a wide range of general and specialized genome compressors/indexes. Nevertheless, it
577 is crucial to ensure that the properties of the underlying algorithms and their parameters are closely
578 tailored to the specific characteristics of the input data and their intended applications. For instance, to
579 compress genomes in FASTA format, compressors based on Lempel-Ziv require the window/dictionary
580 sizes to be large enough to span multiple genomes (**Supplementary Fig. 3a**), and general compressors
581 also critically depend on FASTA being in a one-line format (**Supplementary Fig. 3b**). As a general
582 rule, general compressors must always be carefully tested and calibrated for specific genomic data types,
583 potentially requiring format cleaning and parameter calibration, whereas specialized genome
584 compressors and indexers are usually pre-calibrated in their default setting and provided with well-
585 tested configuration presets. While in many practical scenarios, individual batches are
586 compressed/indexed separately, some protocols may involve merging reordered batches together to
587 create a single comprehensive archive/index.

588
589
590 ***The MiniPhy framework for phylogenetic compression***

591
592 Here, we describe the specific design choices of our implementation of phylogenetic compression for

593 assemblies and de Bruijn graphs. More information and relevant links, including specific tools such as
594 MiniPhy and Phylign and the resulting databases, can be found on the associated website
595 (<https://brinda.eu/mof>).

596
597 **Clustering/batching.** As genome collections encountered in practice can vary greatly in their
598 properties as well as the available metadata, clustering is expected to be performed by the user. The
599 recommended procedure is to identify species clusters using standard metagenomic approaches, such as
600 those implemented in the Kraken software suite⁵⁵ (i.e., Kraken 2⁵⁶ and Bracken⁵⁷ applied on the
601 original read sets), as the obtained abundance profiles can also be used for quality control to filter out
602 those samples that are likely contaminated. The next step is to divide the obtained genome clusters into
603 smaller batches, analogically to the examples in **Supplementary Figure 1** and as discussed in more
604 details in **Supplementary Note 3** (and the corresponding implementation in the MiniPhy package,
605 see below). The order in which genomes are taken within individual clusters can impact the final
606 compression performance; based on our experience, lexicographic order with accessions or ordering
607 according to the number of distinct *k*-mers per genome provide surprisingly good performance as both
608 of these approaches tend to group phylogenetically close genomes closer to each other. The protocol can
609 be customized further to suit the performance characteristics of algorithms downstream, such as by
610 adjusting the batch size or the parameters controlling the creation of dustbin batches (**Supplementary**
611 **Note 3**). If the total size of a collection is small enough, the clustering/batching step may be skipped
612 entirely and the entire collection treated as a single batch.

613
614 **Inference of a compressive phylogeny.** Users have the option to provide a custom tree generated
615 by an accurate inference method such as RAxML⁵³. However, in most practical scenarios, such trees are
616 not available, and MiniPhy then employs Attotree (<https://github.com/karel-brinda/attotree>), an
617 efficient reimplement of the Mashtree algorithm³⁴, to generate a compressive phylogeny through
618 sketching. Both Mashtree and Attotree first use Mash⁵⁸ to estimate the evolutionary distances between
619 all pairs of genomes, which are then used to infer a compressive phylogeny employing the Neighbor-
620 Joining algorithm^{59,60} as implemented in QuickTree⁶¹. The distance computation in Mash is based on
621 estimating the Jaccard indexes of the corresponding *k*-mer sets and then estimating the likely mutation
622 rate under a simple evolutionary model⁶². Finally, MiniPhy post-processes the obtained tree using
623 standard tree-transformation procedures implemented in the ETE3 library⁶³, involving tree
624 standardization, setting a midpoint outgroup, ladderization, and naming the internal nodes.

625
626 **MiniPhy** (<https://github.com/karel-brinda/miniphy>). This is a central package for phylogenetic
627 compression, including support for batching, and for calculating the associated statistics (see below).
628 MiniPhy is implemented as a Snakemake⁶⁴ pipeline, offering three protocols for phylogenetic

629 compression:

- 630 1) Compression of assemblies based on left-to-right reordering.
- 631 2) Compression of de Bruijn graphs represented by simplitigs^{33,65} based on left-to-right reordering.
- 632 3) Compression of de Bruijn graphs through bottom-up k -mer propagation using ProPhyle^{28,29}.

633

634 In the third protocol, k -mer propagation is executed recursively in a bottom-up manner: at each internal
635 node, the k -mer sets of the child nodes are loaded, their intersection computed, stored at the node, the
636 intersection subtracted from the child nodes, and all three k -mer sets saved in the form of simplitigs^{33,65};
637 ProphAsm³³ performs all these operations. This process results in a progressive reduction of the k -mer
638 content within the phylogeny in a lossless manner. Further details on this technique can be found in
639 ref⁶⁶.

640

641 The output of each of the three protocols is a TAR file containing text files in their phylogenetic order,
642 created from the corresponding list of files using the following command:

```
643 tar cvf - -C $(dirname {input.list}) -T {input.list} --dereference
```

644 For assemblies, these text files are the original assembly FASTA files, converted by SeqTK⁶⁷ to the
645 single-line format with all nucleotides in uppercase ('seqtk seq -U {input.fa}'). For simplitigs, the
646 text files are EOL-delimited lists of simplitigs in the order as computed by ProphAsm, obtained from its
647 output using the command 'seqtk seq {input.fa} | grep -v \>'. The resulting TAR file is then
648 compressed using XZ ('xz -9 -T1', see the section about calibration), and the resulting .tar.xz file
649 distributed to users or further recompressed or indexed by other low-level tools, while preserving the
650 underlying order.

651

652 **MiniPhy statistics.** For each of the three implemented protocols, MiniPhy generates a comprehensive
653 set of statistics to quantify the compressibility of the batch, including: 1) *set* (the size of the k -mer set
654 computed from all nodes of the compressive phylogeny), 2) *multiset* (the size of the k -mer multiset
655 computed as a union of k -mer sets from individual nodes), 3) *sum_ns* (the total number of sequences),
656 4) *sum_cl* (the total sequence length), 5) *recs* (the number of records corresponding to individual
657 nodes), and 6) *xz_size* (the size of the TAR file after XZ compression). The sizes of k -mer sets and
658 multisets are determined from k -mer histograms computed by JellyFish 2⁶⁸ (v2.2.10) using the
659 commands:

```
660 jellyfish count --threads {threads} --canonical --mer-len 31 --size 20M \  
661 --output {jf_file} {input}
```

662 followed by

```
663 jellyfish histo --threads {threads} --high 1000000 {jf_file}
```

664 The computed statistics are used for calculating additional compression-related metrics, such as the
665 number of bits per distinct k -mer or kilobytes per genome.

666

667 **Phylogeny-explained redundancy.** By comparing the sizes of k -mer sets and multisets before and
668 after reduction by k -mer propagation along a compressive phylogeny, it is possible to quantify the
669 proportion of the k -mer signal that is explained by the phylogeny. This yields the so-called *phylogeny-*
670 *explained k -mer redundancy*, quantifying the proportion of redundant occurrences of canonical k -mers
671 that can be eliminated through k -mer propagation, out of those potentially eliminable if the phylogeny
672 perfectly explained the distribution of all the k -mers (i.e., every k -mer occurring only once after
673 propagation and thus being associated with a single entire subtree):

$$674 \quad \text{removed_redundancy} = \frac{|multiset_preprop| - |multiset_postprop|}{|multiset_preprop| - |set|}$$

675 For collections comprising multiple batches, these variables refer to the global statistics, i.e., the sizes of
676 set and multiset unions across all batches.

677

678 **MiniPhy-COBS.** MiniPhy-COBS (<https://github.com/leoisl/miniphy-cobs>) is a Snakemake ⁶⁴ pipeline
679 designed to create phylogenetically compressed ClaBS COBS indexes ³⁷ (Classical Bit-sliced index) from
680 assemblies already phylogenetically compressed by MiniPhy. ClaBS is a variant of COBS analogous to
681 the original BIGSI data structure ¹⁷, using Bloom filters of the same size; this property is important for
682 ensuring that the order of Bloom filters is preserved and that the neighboring Bloom filters are mutually
683 compressible (**Supplementary Note 2**). The workflow for each batch involves three main steps:

684

- 685 1) Renaming input assemblies to align their lexicographic and phylogenetic orders within each batch,
- 686 2) Constructing COBS ClaBS indexes with:

```
687     cobs classic-construct -T 8 {batch} {output}.cobs_classic
```

- 688 3) Compressing the obtained indexes using:

```
689     xz -9 -T1 -e --lzma2=preset=9,dict=1500MiB,nice=250
```

690

691 **Updated ProPhyle.** To simplify the integration with MiniPhy for bottom-up k -mer propagation, a new
692 version of ProPhyle ^{28,29} was released (v0.3.3.1, <https://github.com/prophyle/prophyle>). The main
693 improvement compared to previous versions includes the possibility to stop after k -mer propagation,
694 without proceeding to the construction of an FM-index, as such an index is unnecessary for phylogenetic
695 compression using MiniPhy. The new version of ProPhyle is provided in the form of a Github release
696 (<https://github.com/iqbal-lab-org/cobs/releases>) and pre-built packages on Bioconda ⁶⁹.

697

698

699 **Overview of the five test microbial collections**

700

701 **GISP.** The GISP collection comprises 1,102 draft assemblies of *N. gonorrhoeae* clinical isolates,
702 collected in the US between 2000 and 2013 by the Centers for Disease Control and Prevention as part of
703 the Gonococcal Isolate Surveillance Project (GISP) ⁷⁰. These isolates had been sequenced using Illumina
704 HiSeq and assembled using Velvet ⁷¹. The phylogenetic relationships among the isolates are known and
705 had been determined using RAxML ⁵³ after a correction for recombination by Gubbins ⁷². The GISP
706 collection provides an example of a high-quality collection of draft genomes of a single low-diversity
707 bacterial species, generated using a standardized sequencing and assembly protocol.

708

709 **NCTC3k.** The NCTC3k collection comprises 1,065 draft and complete assemblies of isolates of various
710 bacterial species, derived from strains in the National Collection of Type Cultures (NCTC) collection and
711 analyzed by Public Health England, the Wellcome Sanger Institute, and Pacific Biosciences as part of the
712 NCTC 3000 Project ⁷³ (<https://www.culturecollections.org.uk/collections/nctc-3000-project.aspx>). The
713 isolates were sequenced using the PacBio Single Molecule, Real-Time (SMRT) DNA Sequencing
714 technology, and assembled using automated pipelines. The assembled genomes are publicly available
715 from the <https://www.sanger.ac.uk/resources/downloads/bacteria/nctc/> website. The NCTC3k
716 collection provides an example of a collection of high-quality, nearly complete genomes from diverse
717 bacterial species.

718

719 **SC2.** The SC2 collection comprises 590,779 complete assemblies of SARS-CoV-2 isolates obtained from
720 the GISAID database ⁷⁴ as of 2021-05-18. These isolates were collected, sequenced, and assembled by
721 various laboratories worldwide between 2020 and 2021 using various protocols. The phylogeny of the
722 isolates is known and was computed by the sarscov2phylo software
723 (<https://github.com/roblanf/sarscov2phylo/>, ref ⁷⁵). The SC2 collection provides an example of a large
724 collection of genomes of varying quality obtained from epidemiological surveillance of a single viral
725 species at a global scale.

726

727 **BIGSIdata.** The BIGSIdata collection comprises 425,160 cleaned de Bruijn graphs representing nearly
728 all bacterial and viral isolates available in the European Nucleotide Archive (ENA) as of December
729 2016 ¹⁷. These isolates had originally been collected and sequenced by various laboratories worldwide,
730 deposited as raw-read data or genome assemblies to repositories synchronized with the ENA (ENA,
731 NCBI SRA, and DDBJ Sequence Read Archive), and later downloaded and transformed into cleaned de
732 Bruijn graphs using McCortex ^{40,76} (k=31) by the European Bioinformatics Institute (EBI). The resulting
733 graphs were provided on an HTTP/FTP website
734 (http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018), along with metadata on Figshare ⁷⁷,
735 although not all of the original 447,833 graphs could be retrieved in this study (see below). The

736 BIGSIdata collection provides an example of a large and diverse collection of bacterial and virus isolates,
737 collected and sequenced across the globe using various sequencing technologies and all provided in a
738 unified graph representation.

739
740 **661k.** The 661k collection comprises 661,405 draft assemblies of all Illumina-sequenced bacterial
741 isolates present in the ENA as of November 2018¹⁶. These isolates had originally been collected and
742 sequenced by various laboratories worldwide, and their raw-read data deposited to repositories
743 synchronized with the ENA (ENA, NCBI SRA, and DDBJ Sequence Read Archive). The assemblies were
744 generated using a single unified pipeline (<https://github.com/iqbal-lab-org/assemble-all-ena>) based on
745 Shovill (<https://github.com/tseemann/shovill>) by EBI, and provided on an HTTP/FTP website
746 (<https://ftp.ebi.ac.uk/pub/databases/ENA2018-bacteria-661k/>), along with metadata on FigShare⁷⁸.
747 The 661k collection provides an example of a large and diverse collection of assembled bacterial isolates,
748 collected and sequenced across the globe using a single sequencing technology, i.e., the state-of-the-art
749 of the short read-assembly era.

750
751 Basic characteristics of the five test collections, including the original file size, number of samples,
752 species count, and the number of distinct *k*-mers, are provided in **Supplementary Table 1**.

753
754

755 ***Acquisition of the test collections***

756
757 **GISP.** The GISP collection was obtained from the [https://github.com/c2-d2/rase-db-ngonorrhoeae-](https://github.com/c2-d2/rase-db-ngonorrhoeae-gisp)
758 [gisp](https://github.com/c2-d2/rase-db-ngonorrhoeae-gisp) repository (version 04a132c) as published in ref⁵¹. The assemblies (n=1,102) were obtained from
759 the “isolates/contigs” subdirectory of Github repository (containing the original genomes including the
760 plasmids), and the associated RAxML phylogenetic tree was downloaded from the “tree/” subdirectory
761 of the same repository. The original data had originally been analyzed in ref⁷⁰ and provided for
762 download on Zenodo⁷⁹.

763
764 **NCTC3k.** The assemblies were obtained in the GFF format from

765 <ftp://ftp.sanger.ac.uk/pub/project/pathogens/NCTC3000> by
766 `wget -m -np -nH --cut-dirs 3 -retr-symlinks \`
767 `ftp://ftp.sanger.ac.uk/pub/project/pathogens/NCTC3000 .`

768 The obtained files were converted them to the FASTA format by any2fasta
769 (<https://github.com/tseemann/any2fasta>, v0.4.2) parallelized by GNU Parallel⁸⁰ and uploaded to
770 Zenodo (ref⁸¹, <http://doi.org/10.5281/zenodo.4838517>). The number of species in the collection was
771 determined based on the data provided in the main Sanger/Public Health England assembly table for

772 NCTC 3000 (<https://www.sanger.ac.uk/resources/downloads/bacteria/nctc/>, retrieved on 2022-09-
773 14). The HTML table was manually exported to XLSX and used to construct a translation table from
774 NCTC accession numbers to corresponding species. The accessions of the assemblies in our collection
775 were then extracted from file names, translated to species, and the species counted. Overall, this resulted
776 in n=1,065 assemblies of 259 species.

777
778 **SC2.** The SARS-Cov-2 data were downloaded from the GISAID website (<https://www.gisaid.org/>, as of
779 2021-05-18) in the form of an assembly file ('sequences_fasta_2021_05_18.tar.xz', n=1,593,858)
780 and a Sarscov2phylo phylogeny⁸² ('gisaid-hcov-19-phylogeny-2021-05-11.zip', n=590,952). After
781 converting both files to the same set of identifiers and removing isolates with missing data, we obtained
782 n=590,779 genome assemblies organized in a phylogenetic tree.

783
784 **BIGSIdata.** The BIGSI collection data¹⁷ were downloaded from the associated FTP
785 (http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/), including cleaned de Bruijn graphs,
786 taxonomic information inferred using Kraken²⁶, and abundance reports computed using Bracken⁵⁷. The
787 download was done using RSync in groups corresponding to individual EBI prefixes (e.g., DRR000) by

```
788     rsync -avP --min-size=1 --exclude '*stats*' --exclude '*uncleaned*' \  
789     --exclude '*bloom*' --exclude '*log*' \  
790     rsync://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/ctx/{prefix}
```

791 The prefixes were organized into 15 groups of at most 100 prefixes each, and the groups were processed
792 individually in succession on a research computing cluster, with a parallelization using Slurm and jobs
793 deployed using Snakemake⁶⁴ (between 2020-08-01 and 2020-09-15). From the downloaded McCortex
794 files, unitigs were extracted using McCortex:

```
795     bzcat -f {input} | mccortex31 unitigs -m 3G -
```

796 Only those graphs with an uncorrupted McCortex file, Bracken information available, unitigs of total
797 length ≥ 2 kbp with ≤ 15 M distinct k -mers, and with no file system error encountered were used in the
798 subsequent processing. This resulted in n=425,161 de Bruijn graphs (out of the original n=463,331
799 genomes from the FTP or n=447,833 genomes reported in ref¹⁷).

800
801 **661k.** The 661k collection was downloaded in March 2021 from the official FTP repository specified in
802 ref¹⁶, using RSync by

```
803     rsync -avP rsync://ftp.ebi.ac.uk/pub/databases/ENA2018-bacteria-  
804     661k/Assemblies/{pref}
```

805 The command was run for individual prefixes ranging from 000 to 661, which resulted in n=661,405
806 .fa.gz files.

807
808

809 ***Calibration and evaluation of phylogenetic compression***

810

811 **Calibration of XZ as a low-level tool for phylogenetic compression (Supplementary Fig.**

812 **3).** The compression performance of GZip, BZip2, and XZ was evaluated using the GISP collection,
813 converted to the single-line FASTA format and with genomes sorted left-to-right according to the
814 Mashtree phylogeny. For each compressor, the compression was performed with a range of presets and
815 always with a single thread. To evaluate the compression performance with large resources available,
816 two additional manually tuned modes with larger dictionaries, denoted by ‘M’ and ‘MM’, were added to
817 the XZ benchmark, corresponding to the parameters

818 `--lzma2=preset=9,dict=512MiB`

819 and

820 `--lzma2=preset=9,dict=1500MiB,nice=250`

821 respectively.

822

823 To evaluate the impact of different line lengths on the compression, the source FASTA was reformatted
824 for different lengths using SeqTK⁶⁷ and compressed using XZ by

825 `seqtk seq -l {line_length} | xz -9 -T1`

826

827 **Comparison of scaling modes (Supplementary Fig. 4).** The SC2 collection was provided in the
828 left-to-right order according to Sarscov2phylo phylogeny. The genomes were progressively uniformly
829 subsampled, stored as EOL-separated lists of sequences (without sequence headers), and then
830 compressed using individual compressors, namely: 1) XZ: ‘xz -9 -T1’, 2) BZip2: ‘bzip2 --best’,
831 3) GZip: ‘gzip -9’, and 4) Re-Pair^{83,84} (<https://github.com/rwanwork/Re-Pair>, version as of 2021-10-
832 26):

833 `repair -v -I {inp_seqs}; tar cf {inp_seqs}.tar {inp_seqs}.prel {inp_seqs}.seq`

834 As Re-Pair did not provide sufficient scalability for the entire SC2 data set and the implementation
835 suffered from various bugs, the Re-Pair sub-experiment was limited only to $n \leq 70k$, the integrity of the
836 output files always verified via their decompression and line counting, and all archives lacking integrity
837 were discarded from the subsequent analysis.

838

839 The scalability comparisons for the NCTC3k and GISP collections were performed analogically, but
840 using MiniPhy (commit ‘41976c7’) and with sequence headers preserved. The order of all assemblies
841 was first randomized by ‘sort -R’ and the individual sub-samplings for compression then generated as
842 prefixes of this randomized list. The size comparisons were made based on the .tar.xz output file of the
843 pipeline, as well as additional files obtained via their recompression by GZip and BZip2 with the same
844 parameters as above.

845

846 **Order comparison (Supplementary Fig. 5).** The SC2 collection was put into three different
847 orderings: the original ordering (corresponding to the lexicographical ordering by sequence names), the
848 left-to-right ordering of the phylogeny, and a randomized order. In all cases, a custom Python script
849 using BioPython⁸⁵ was used to order the FASTA file and remove sequence names, and its output was
850 compressed by the XZ compressor using 1 thread and the best preset ('xz -T1 -9'). The comparisons
851 for GISP and NCTC3k was performed analogically, but with sequence headers preserved.

852

853 **Summary of MiniPhy calibration.** XZ with the parameters 'xz -9 -T1' was chosen as the default
854 compression procedure for MiniPhy, and Mashtree³⁴ as the default method for inferring compressive
855 phylogenies. These choices were done based on the observations that the most popular method, GZip,
856 always performed poorly for bacteria, although provided a moderate compression performance for
857 viruses. On the other hand, XZ achieved steep compression curves for low-diversity collections, with
858 compression ratio improving by one order per one order increase of the number of genomes, for both
859 viruses and bacteria. NCTC3k as a high-diversity collection was weakly compressible even with the best
860 approaches (<1 order of magnitude of compression after a 3 orders-of-magnitude increase of the
861 number of genomes). One of the best available (but still highly experimental) grammar-based
862 compressors, Re-Pair^{83,84}, achieved a similar asymptotic behavior as XZ, indicative of the potential of
863 grammar compressors for phylogenetic compression to provide random access, but its usability remains
864 experimental. Phylogenetic reordering boosted compression substantially for both low- and high-
865 diversity collections (reduction in size between 38% and 67% compared to random orders). Finally,
866 compressive phylogenies computed using Mashtree³⁴ provided nearly equal compression performance
867 as an accurate approach using RAxML⁵³.

868

869

870 ***Phylogenetic compression of the BIGSI data collection of de Bruijn graphs***

871

872 **Clustering and batching.** For every sample, the output of Kraken²⁶ and Bracken⁵⁷ were extracted
873 from the downloaded data as provided in the online FTP repository
874 (http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/ctx/) in the Bracken files
875 ('{accession}.ctx_bracken.report') as the previously identified most prevalent species
876 (corresponding to the row with the highest value of the 'fraction_total_reads' column). Clustering
877 and batching then proceeded as depicted in **Supplementary Fig. 1** and further commented in
878 **Supplementary Note 3**, with genomes being sorted according to the number of *k*-mers before their
879 partitioning into batches. Overall, the genomes of the 1,443 identified species (clusters) were partitioned
880 into 568 regular batches and 6 dustbin batches, resulting in a total of 574 batches.

881

882 **Phylogenetic compression.** Phylogenetic compression was performed twice, with slightly different
883 workflows.

884

885 First, phylogenetic compression proceeded manually, via a workflow whose modified version was later
886 implemented in MiniPhy. For individual batches, compressive phylogenies were computed using
887 MashTree³⁴ with the default parameters. The resulting trees and McCortex unitig files were then used as
888 input for ProPhyle (v0.3.3.0) to propagate *k*-mers along the phylogenies, compute simplitigs³³, and
889 merge the output FASTA files into a single one by

```
890     prophyle index -k 31 -A -g {dir_genomes} {tree} {batch_name}
```

891 The resulting FASTA files produced by ProPhyle (called ‘index.fa’) were converted into the single-line
892 format using SeqTK⁶⁷ and compressed using XZ by

```
893     seqtk seq {prophyle_index_fa} | xz -9 -T8
```

894 The resulting files occupied 74.4 GB and were deposited on <https://doi.org/10.5281/zenodo.4086456>
895 and <https://doi.org/10.5281/zenodo.4087330>. Support for this version of the data set was incorporated
896 into De-MiniPhy-BIGSIdata (see below), and the correctness of the end-to-end protocol and of the
897 resulting files was validated by De-MiniPhy-BIGSIdata and subsequent *k*-mer counting using kc-c3
898 (<https://github.com/lh3/kmer-cnt>, commit ‘e257471’). The obtained *k*-mer counts were compared to
899 those obtained from the original McCortex files (from the total length and count of unitigs); all *k*-mer
900 counts were equal with the exception of 4 samples with 17–26 more reported *k*-mers after
901 decompression.

902

903 Second, an analogical version of the propagated simplitig files, but without sequence headers and with
904 compression using a single thread only, was later created using the MiniPhy pipeline and resulted in files
905 occupying in total 52.3 GB that were subsequently deposited on
906 <https://doi.org/10.5281/zenodo.5555253>.

907

908 **Decompression of BIGSIdata de Bruijn graphs.** To decompress de Bruijn graphs from the files
909 obtained by *k*-mer propagation, all *k*-mers along all root-to-leaf paths need to be collected. We
910 implemented this specifically for BIGSIdata in a Python package called De-MiniPhy-BIGSIdata
911 (<https://github.com/karel-brinda/De-MiniPhy-BIGSIdata>). The program downloads individual data
912 files from Zenodo from the accessions above (the first version of the dataset) and reconstructs the
913 original *k*-mer sets using the following procedure. First, it decompresses the XZ file of a given batch,
914 splits it according to files corresponding to individual nodes of the compressive phylogeny, recompresses
915 individual nodes using GZip parallelized by GNU Parallel⁸⁰, and for all leaves (genomes) it reconstructs
916 the corresponding *k*-mer sets by merging all GZip files along the corresponding root-to-leaf paths using

917 the Unix cat command. From the obtained output FASTA files, de Bruijn graphs can be easily
918 reconstructed by standard tools such as BCALM2 ⁸⁶.

919

920 **Comparison to the original compression protocol.** As the samples in our BIGSIdata collection
921 do not fully correspond to the data that were used in the original publication of BIGSI ¹⁷, we recalculated
922 the size statistics of the published McCortex files of our graphs based on the FTP list-off files as provided
923 within individual subdirectories of http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/ (as of
924 2021-08-27). These were downloaded per individual prefix directories recursively using wget by

```
925     wget -nv -e robots=off -np -r -A .html \  
926     http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/ctx/{prefix}/
```

927 The corresponding parallelized Snakemake pipeline was run on a desktop computer. This resulted in a
928 table containing 484,463 files, out of which 162,645 were BZip2-compressed. The individual file records
929 were compared with the list of accessions of files that were previously retrieved and sorted in our
930 BIGSIdata collection, and the volume of the source graphs on FTP calculated to be 16.7 TB.

931

932 **Comparison to Metagraph** ³⁸. The size of the phylogenetically compressed BIGSIdata collection was
933 compared to the size of an analogous Metagraph index from the original paper ³⁸, based on the statistics
934 in Table 1 and Supplementary Table 1 therein (the SRA-Microbe collection): n=446,506 indexed
935 datasets, 39.5 G canonical *k*-mers (with the same *k*-mer size *k*=31), and the size of the annotated de
936 Bruijn graph being 291 GB (graph 30 GB + annotations 261 GB). This index was constructed from the
937 same datasets as in the original BIGSI paper ¹⁷ but using a slightly different computational methodology.
938 Consequently, the index of Metagraph contained approximately 4% fewer distinct canonical *k*-mers
939 (*k*=31) compared to BIGSIdata as used in this paper. To compare the two compression approaches
940 (MiniPhy with bottom-up *k*-mer propagation and XZ as a low-level tool vs. Metagraph), both applied to
941 the similar but different input data, we used the number of bits per distinct *k*-mer as the statistic for
942 comparison, which was found to be 10.2 and 58.9, respectively. Therefore, the MiniPhy compression was
943 more efficient by an estimated factor of 5.78. We note that phylogenetic compression could be directly
944 embedded into Metagraph (by imposing the phylogenetic order of columns during index construction),
945 which may help to further reduce its index size.

946

947

948 ***Phylogenetic compression of the 661k assembly collection***

949

950 **Clustering and batching.** Species clusters were identified based on the most prevalent species in the
951 sample as identified using Kraken 2 ⁵⁶ and Bracken ⁵⁷ from the original raw-read data; i.e., based on the
952 'V2' column in the 'File1_full_krakenbracken.txt' file of the supplementary materials of ref ¹⁶. The

953 creation of the dustbin pseudo-cluster and formation of individual batches proceeded by the steps
954 documented in **Supplementary Fig. 1** and as later implemented directly within MiniPhy, with
955 genomes pre-sorted lexicographically according to ENA accessions.

956

957 **Phylogenetic compression using MiniPhy.** The obtained batches were compressed using the
958 MiniPhy pipeline as described above; i.e., compressive phylogenies were computed using MashTree³⁴
959 and used for 1) left-to-right reordering of the assemblies, 2) left-to-right reordering of simplitigs of the
960 corresponding de Bruijn graphs, and 3) bottom-up *k*-mer propagation and simplitig computation by
961 ProPhyle; while in all cases storing the simplitigs and assemblies as text and FASTA file, respectively,
962 followed by a compression by ‘xz -9 -T1’. The compressed assemblies were deposited on
963 <https://doi.org/10.5281/zenodo.4602622>.

964

965 **Calculations of the statistics.** All the statistics used in the plots and tables were calculated based on
966 the numbers obtained from MiniPhy. Additionally, the total number of *k*-mers was calculated using
967 JellyFish⁶⁸ (v2.2.10) by

```
968     jellyfish count --mer-len 31 --size 200G --threads 32 \  
969     --output kmer_counting.jf --out-counter-len=1 --canonical
```

970 which resulted in 44,349,827,744 distinct *k*-mers (28,706,296,898 unique *k*-mers) for the 661k
971 collection and in 35,524,194,027 distinct *k*-mers (22,904,412,202 unique *k*-mers) for the 661k-HQ
972 collection (as described below). The files uploaded to <https://doi.org/10.5281/zenodo.4602622> are
973 higher by approximately 0.2 GB (approx. 0.7% of the total size) compared to the value **Supplementary**
974 **Table 3** as the Zenodo submission was done with an older version of compressive phylogenies without
975 their post-processing.

976

977 **Recompression using MBGC.** Individual phylogenetically compressed batches from the previous
978 step were converted to single FASTA files by ‘tar -xOvf {input.xz}’ and then compressed using
979 MBGC¹⁸ (v1.2.1) with 8 threads and the maximum compression level by

```
980     mbgc -i {input.fasta} -c 3 -t 8 {output.mbgc}
```

981

982 **Compression in the lexicographic order.** Data in ENA and other similar repositories have
983 identifiers assigned in the order in which they are uploaded, individual uploads typically proceed by
984 uploading entire projects, and these typically involve phylogenetically very close genomes. For instance,
985 genomes from a study investigating a hospital outbreak often occupy a range of accessions. Therefore,
986 lexicographically sorted genomes from ENA may be used as an approximation of phylogenetic
987 compression. To compare the compressibility of the 661k collection in the ENA accession lexicographic
988 order to the full phylogenetic compression, we streamed the genomes from the main collection file

989 provided on http://ftp.ebi.ac.uk/pub/databases/ENA2018-bacteria-661k/661_assemblies.tar,
990 decompressed them on-the-fly, converted them to the one-line FASTA format using SeqTK ⁶⁷, and
991 compressed them using XZ with 32 threads by

```
992     pv 661_assemblies.tar | tar -xOf - | gunzip -c | seqtk seq | xz -9 -T32
```

993

994

995 ***Phylogenetic compression of the 661k/661k-HQ k-mer indexes***

996

997 **The 661k-HQ collection.** To reduce biases in *k*-mer matching, a high-quality variant of the 661k
998 collection, called 661k-HQ, was constructed from the 661k collection by excluding genomes that had not
999 passed quality control in the original study ¹⁶ (3.7% of the genomes). For simplicity, the batches and
1000 genome orders in 661k-HQ were kept the same as in 661k.

1001

1002 **Phylogenetic compression of the 661k/661k-HQ COBS indexes.** COBS indexes for the 661k and
1003 661k-HQ collection were constructed per batch using the MiniPhy-COBS pipeline (see the MiniPhy-
1004 COBS section), which produces the ClaBS variant of the index with all Bloom filters of the same size
1005 sorted left-to-right according to the phylogeny, and compresses them using XZ.

1006

1007 **Comparisons to the compact COBS indexes.** The compact variant of the COBS index (default in
1008 COBS), based on adaptive adjustments of Bloom filter sizes through subindexes of different heights, was
1009 used as a baseline in our comparisons. For the 661k collection, we used the original index as provided
1010 (http://ftp.ebi.ac.uk/pub/databases/ENA2018-bacteria-661k/661k.cobs_compact, retrieved on 2022-
1011 09-08, 937 GB). For building a COBS index for 661k-HQ, we used the same construction protocol as in
1012 ref ¹⁶. Both indexes were then compressed on a highly performant server by XZ using 32 cores ('xz -9 -
1013 T32').

1014

1015 All of the obtained data points are provided in **Supplementary Table 5**.

1016

1017

1018 ***Phylign pipeline for alignment against all pre-2019 bacteria from ENA***

1019

1020 **Overview.** The Phylign pipeline (<https://github.com/karel-brinda/phylign>) uses phylogenetically
1021 compressed assemblies (661k) and COBS indexes (661k-HQ) as described above to align queries against
1022 the entire 661k-HQ collection in a fashion similar to BLAST (**Supplementary Note 4**). The search
1023 procedure consists of two phases: matching the queries against the *k*-mer indexes using COBS ³⁷ to
1024 identify the database's most similar genomes for each query, followed by an alignment of the queries to

1025 their best-matching genomes using Minimap 2 ⁴¹. Phylign is developed as a Snakemake ⁶⁴ pipeline, using
1026 Bioconda ⁶⁹ for an automatic software management and the standard Snakemake resource
1027 management ⁶⁴ to control the CPU cores assignments and limit RAM usage according to user-specified
1028 parameters. Upon its first execution, Phylign downloads its phylogenetically compressed reference
1029 database from the Internet (102 GB), consisting of 29.2 GB of assemblies and 72.8 GB of COBS indexes.

1030

1031 **Matching.** The matching step involves *k*-mer matching of all user queries against the entire 661k-HQ
1032 database using a modified version of COBS (vo.3, see below), based on the principle that the number of
1033 *k*-mer matches between a genome and a query correlates with the alignment score ⁸⁷. Each
1034 phylogenetically compressed COBS index is decompressed in memory and queried for the input user
1035 sequences, reporting all matches between the queries and genomes in the current batch with a sufficient
1036 (user-specified) proportion of matching *k*-mers. The computed matches are then aggregated across all
1037 batches, and for each query, only a (user-specified) number of best matches, plus ties, are retained and
1038 passed to the subsequent alignment step. Matching is parallelized by Snakemake, with the number of
1039 threads for each COBS instance adjusted based on batch size.

1040

1041 **Alignment.** For each batch independently and fully in parallel, Phylign then iterates over the
1042 phylogenetically compressed genome assemblies, and if a given genome has at least one match passed
1043 from the matching phase, it builds on-the-fly, in memory, a new Minimap 2 ⁴¹ (v2.24) instance for this
1044 genome and aligns all relevant queries to this genome, while saving Minimap 2 outputs in a batch-
1045 specific output file. Once all batches are processed, the resulting alignments are aggregated and provided
1046 to the user in a modified SAM format ⁸⁸.

1047

1048 **Performance characteristics.** The total matching time is primarily driven by the time complexity of
1049 COBS, with decompression accounting for less than 2 CPU hours (**Supplementary Fig. 9**). In the
1050 alignment step, decompression requires less than 1.5 CPU hours (**Supplementary Fig. 9**), and the
1051 remainder of the time is primarily driven by the time to create a new Minimap2 instance (estimated 0.3
1052 CPU seconds per instance in the current implementation). If the queries are long and Minimap 2 is used
1053 with a sensitive preset, the actual Minimap 2 alignment time becomes the main time component (e.g., in
1054 the plasmid experiment in **Supplementary Tab. 6**).

1055

1056 **Updated COBS.** To integrate COBS into Phylign, new versions of COBS ³⁷ were created (vo.2, vo.3,
1057 <https://github.com/iqbal-lab-org/cobs>). The updates include support for macOS, streaming of indexes
1058 into memory, and multiple bug fixes. The new versions of COBS are provided in the form of Github
1059 releases (<https://github.com/iqbal-lab-org/cobs/releases>) and pre-built packages on Bioconda ⁶⁹.

1060

1061 **Benchmarking of the decompression time.** Decompression times were evaluated on the same
1062 desktop computer as the alignment experiments, separately for the phylogenetically compressed
1063 assemblies vs. COBS indexes and for in-memory decompression (`'xzcat {file} > /dev/null'`) vs. on-
1064 disk decompression (`'xzcat {file} > {tmpfile}'`), resulting in four experiments. Within each
1065 experiment, decompression was parallelized using GNU Parallel (`'parallel -L1 -v -progress'`), with
1066 time measured using GNU time both for the whole experiment and for each batch in a given compressed
1067 representation.

1068
1069

1070 *Evaluating Phylign*

1071

1072 **Overview of the benchmarking procedure.** The search using Phylign was evaluated on three
1073 datasets, representative of different query scenarios: a database of antibiotic resistance genes, a database
1074 of plasmids, and an Oxford nanopore sequencing experiment. In all cases, the search parameters –
1075 including the number of hits of interest, the COBS k -mer threshold, and the Minimap preset – were
1076 tailored to each specific query type. The experiments were conducted on an iMac with a Quad-Core Intel
1077 CPU i7, 4.2 GHz with 4 physical (8 logical) cores and 42.9 GB (40 GiB) RAM.

1078

1079 **Time measurements.** The wall clock and CPU time were measured using GNU time and calculated as
1080 real and usr+sys, respectively. The measurements were done for the matching and alignment steps
1081 separately.

1082

1083 **Memory measurements.** We have not found any reliable way of measuring peak memory
1084 consumption on macOS: both GNU time and the psutil Python library were significantly
1085 underestimating the memory footprint of our Snakemake pipeline. Therefore, we performed additional
1086 measurements on a Linux cluster using the SLURM job manager, using jobs allocated with a
1087 configuration similar to the parameters of our iMac computer. For `'max_ram_gb'` set to 30 GB, we
1088 observed a peak memory consumption of 26.2 GB, thus by 12.7% lower compared to the specified
1089 maximum. Such a discrepancy is expected because the `'max_ram_gb'` parameter defines an upper bound
1090 for the Snakemake resource management ⁶⁴, representing the worst-case scenario for parallel job
1091 combinations.

1092

1093 **Resistance genes – ARGannot.** The resistance genes search was performed using the ARG-ANNOT
1094 database ⁴² comprising 1,856 genes/alleles, as distributed within the SRST2 software toolkit ⁸⁹
1095 (https://github.com/katholt/srst2/blob/master/data/ARGannot_r3.fasta, retrieved on 2022-07-24).
1096 The search parameters were set to require a minimum of 50% matching k -mers, with 1,000 best hits

1097 plus ties taken for every gene/allele query. Alignment was performed with the Minimap preset for short
1098 reads ('sr').

1099
1100 **Plasmids – the EBI plasmid database.** The list of EBI plasmid was downloaded from the associated
1101 EBI website (<https://www.ebi.ac.uk/genomes/plasmid.details.txt>, retrieved on 2022-04-03), and
1102 individual plasmids were subsequently downloaded from the ENA using curl and GNU parallel⁸⁰. The
1103 search parameters were set to require at least 40% matching *k*-mers (the threshold previously used in
1104 ref¹⁷), with 1,000 best hits plus ties taken for every plasmid. Alignment was performed with the
1105 Minimap preset for long, highly divergent sequences ('asm20').

1106
1107 **Oxford Nanopore reads.** The ERR9030361 experiment, comprising 159k nanopore reads from an
1108 isolate of *M. tuberculosis*, was downloaded from SRA NCBI. The search parameters were set to require
1109 at least 40% matching *k*-mers, with 10 best hits plus ties taken for every read. Alignment was performed
1110 with the Minimap preset for nanopore reads ('map-ont').

1111
1112 **Comparison to BIGSI.** As we were unable to reproduce the original plasmid search experiment¹⁷ with
1113 BIGSI on our iMac computer (due to the required database transfer of 1.43 TB over an unstable FTP
1114 connection), we used the values provided in the original publication¹⁷. To ensure a fair comparison, we
1115 focused on evaluating the total CPU time (sys+usr) and verified that our parallelization efficiency was
1116 close to the maximal one (680% out of 800% possible achieved, based on the values in **Supplementary**
1117 **Table 7**).

1118 1119 **DATA AVAILABILITY STATEMENT**

1120 All data supporting the findings of this study and the developed software are available within the paper
1121 and its Supplementary Materials (**Supplementary Table 4**).

1122 1123 **ACKNOWLEDGEMENTS**

1124
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1131 performance compute cluster, supported by the Research Computing Group at Harvard Medical School,
1132 and on the GenOuest bioinformatics core facility (<https://www.genouest.org>).

1133 **Supplementary notes**

1134

1135 **Supplementary Note 1. Stability of phylogenetic compression**

1136

1137 The overall performance of phylogenetic compression stems from a combination of trade-offs between
1138 the individual layers of a given phylogenetic compression protocol (such as for assemblies, de Bruijn
1139 graphs, or k -mer indexes). These layers include the specific clustering and batching strategy,
1140 compressive phylogeny inference, and the low-level compression/indexing technique.

1141

1142 **Clustering.** Clustering can be performed using various direct or indirect methods. All these methods
1143 expected to identify similar clusters thanks to the pronounced species structure across public microbial
1144 isolate dataset ³⁵. However, both classes of approaches have specific caveats that may downgrade the
1145 resulting compression performance.

1146

1147 *Caveats of indirect approaches:* When clustering is based on species identification by Kraken or other
1148 LCA-based classifiers, clustering might be impacted by the loss of resolution due to reference database
1149 growth ⁹⁰. While this is unlikely to significantly affect phylogenetic compression performance with
1150 collections akin to 661k (where phylogenetically related genomes would still be clustered together,
1151 although under biological incorrect species names); a carefully analysis of the data structure will be
1152 necessary for atypical collections, such as those comprised of metagenome-assembled genomes.

1153

1154 *Caveats of direct approaches:* Direct clustering methods, now feasible at the scale of millions
1155 genomes ⁹¹, are contamination-oblivious and may thus be sensitive to various contamination patters
1156 (see, e.g., the discussion of *C. difficile* in ref ⁹¹). Contamination is very common in public genomic
1157 datasets, and if not properly controlled by metagenomic profiling or other quality control techniques, it
1158 can impede both downstream compression and search.

1159

1160 **Batching.** For 661k and BIGSI data, batching has been implemented heuristically, with lexicographic
1161 preordering based on accessions, to ensure that genomes sequenced around the same time would, within
1162 the same species cluster, be batches together. An alternative pre-sorting strategy, based on the number
1163 of k -mers in a given dataset, was tested for BIGSIdata (data not shown), and led to mostly comparable
1164 results.

1165

1166 **Compressive phylogeny.** In most scenarios, compressive phylogeny is used for within-batch
1167 reordering of either assemblies directly or of columns corresponding to individual genomes in case of k -

1168 mer indexes. When clustering and batching are done correctly and a robust low-level compressor used
1169 (e.g., XZ), such reordering by itself provides a moderate improvement (30–55% reduction, see
1170 **Supplementary Fig. 5**). Nevertheless, the impact is much stronger with less advanced compression
1171 techniques; for instance, run-length encoding (RLE) applied to k -mer matrices improves by up to an
1172 order of magnitude when the columns are reordered according to phylogenies (data not shown). When
1173 considering different approaches to compute phylogenies, even sketching combined with neighbor
1174 joining provides a sufficient resolution; Mashree yields nearly as good compression results as full-scale
1175 methods for phylogenetic inference, such as RAxML⁵³. Differences in the resulting compression ratios
1176 are relatively minor, with RAxML phylogenies showing a slight advantage in Lempel-Ziv-based
1177 compression on assemblies over Mash trees (**Supplementary Table 3**), and conversely, Mash trees
1178 exhibit slightly better performance in compressing de Bruijn graphs or k -mer sets (**Supplementary**
1179 **Table 2, 3**).

1180

1181 **Low-level compressor or indexer.** The final performance of phylogenetic compression is
1182 significantly influenced by the capabilities of the used low-level compressor or indexer. For dictionary
1183 compressors, an essential parameter is the dictionary size or the window size (**Supplementary**
1184 **Fig. 3a, Supplementary Fig. 4**), which disqualifies many popular compressors, including gzip and
1185 bzip2. For general compressors applied to assemblies, a crucial factor is converting FASTA to the one-
1186 line format (**Supplementary Fig. 3b**). There are also notable differences in compression speed:
1187 compressing a single batch of assemblies using XZ might require up to several hours (albeit with rapid
1188 decompression), while MBGC (v2.0) requires approximately ten minutes per batch.

1189

1190 **Supplementary Note 2. Genome-similarity-preserving representations in phylogenetic** 1191 **compression**

1192

1193 As a prerequisite for phylogenetic compression, it is fundamental to assume that the core genome
1194 representations preserve similarity. Informally, this means that little changes in the input genome lead
1195 to only little changes in its representation, ensuring that closely phylogenetically related genomes have
1196 highly mutually compressible representations. Although the similarity-preserving property can be
1197 rigorously defined in specific cases using mathematical formalism including specific input and output
1198 distances and embeddings, we adopt a more conceptual perspective to maintain a broader view.

1199

1200 **Examples of genome-similarity-preserving representations:**

- 1201 • **Complete genomes assemblies.** Complete genome assemblies precisely reflect the sequence
1202 of nucleotides in DNA molecules, with single mutation events resulting in single changes in the
1203 assembly.
- 1204 • **Draft genome assemblies.** Similar to complete assemblies, but may not fully resolve
1205 repetitive regions, leading to a fragmented assembly. In contrast to complete assemblies, a single
1206 evolutionary event might induce a more substantial change in the representation. For example, a
1207 mutation in a previously non-resolvable repetitive region could make it resolvable by turning an
1208 exact repeat into an inexact one. Nevertheless, such events are rather infrequent, and for many
1209 compression techniques (e.g., those based on Lempel-Ziv), the distance between the two
1210 representations remains minimal.
- 1211 • **Burrows-Wheeler Transform of assemblies.** The Burrows-Wheeler transform ²¹ is
1212 characterized by its locality, in the sense that a localized change in the input induces only a
1213 localized change in the BWT-transformed string ⁹².
- 1214 • ***k*-mer spectrum.** Changing, deleting, or inserting one nucleotide in the genome alters the *k*-
1215 mer spectrum by the removal and addition of up to $2k+2$ *k*-mers.
- 1216 • **MinHash sketches.** The addition or removal of a *k*-mer to or from a spectrum may lead to the
1217 replacement of one hash value by a smaller or larger one, respectively, and such a replacement
1218 happens only with a very low probability. Therefore, sketches of similar genomes are either
1219 identical or very similar.
- 1220 • **Minimizer de Bruijn graphs.** These combine properties of de Bruijn graphs and minimizers,
1221 with the genome-similarity-preserving property following naturally from this combination.
- 1222 • **Bloom filters of fixed size.** The addition or removal of element to or from a set always alters
1223 the Bloom filter by a maximum of *m* bits, where *m* is the number of hash functions; therefore, a
1224 small change in the genome results only in a small change in the corresponding fixed-size Bloom
1225 filter.

1226
1227 **Examples of representations that are not similarity-preserving:**

- 1228 • **Bloom filters with adaptive sizes.** Adaptive size adjustment (such as implemented in
1229 COBS's default strategy ³⁷, which uses smaller Bloom filters for smaller genomes), disrupts
1230 similarity preservation. For instance, an event such as an acquisition of a plasmid by an *E. coli*
1231 strain may cause the Bloom filter to expand, reflecting an increase in genome and *k*-mer set size,
1232 altering also the underlying hash functions (or the associated modulo function). In consequence,
1233 adaptive-size Bloom filters of even closely related genomes can be very dissimilar. As a result, we
1234 did not use the COBS default strategy, but forced it to use the same size of Bloom filters for all
1235 genomes in a given batch.

1236

1237 **Supplementary Note 4. Core principles of the MiniPhy batching approach**

1238

1239 The batching approach used in this paper, as summarized for the 661k and BIGSIdata collections in
1240 **Supplementary Fig. 1**, is based on the following principles. At its core, phylogenetic compression
1241 involves the phylogenetic reordering of input data. For large collections, this process entails partitioning
1242 genomes into batches that adhere to specific constraints on certain characteristics, and then reordering
1243 them phylogenetically based on compressive phylogenies.

1244

1245 To ensure the essential guarantees from the paper, and to maximize the batches' usability across
1246 combinations of tools and in diverse application use cases, the batches are required to have following
1247 properties:

- 1248 1) **An upper-bounded compressed size** – to guarantee easy internet transmission, even over
1249 unreliable networks.
- 1250 2) **A lower-bounded compressed size** – to limit the negative effects of excessively unbalanced
1251 batches in workflow managers such as Snakemake and Nextflow and in resource allocation
1252 systems such as Slurm.
- 1253 3) **An upper-bounded decompressed size** – to minimize the maximum memory required per
1254 batch in downstream data analysis and to facilitate the parallel processing of multiple batches in
1255 memory-constraint environments.
- 1256 4) **An upper-bounded number of genomes per batch** – to establish a limit on the time
1257 required per batch for phylogenetic inference and for downstream data analyses.
- 1258 5) **Optimization for maximal compression ratio within these constraints** – to minimize
1259 the overall necessary data transmission over the Internet and within a computer (e.g., from disk
1260 to RAM).

1261

1262 On a mathematical level, these constraints lead to interesting optimization problems that may be
1263 formalized and solved by techniques such as integer linear programming or answer set programming, in
1264 combinations with techniques for estimating data compressibility via measures such as the size of
1265 minimal string attractors⁹³, factor complexity⁹⁴, or the δ measure⁹⁵.

1266

1267 However, for simplicity, our approach used in MiniPhy is empirical, informed by the following
1268 observations about bacterial genomes and the structure of ENA:

- 1269 1) **Constrained genome size range.** For bacteria, their genome size can be assumed to fit within
1270 a range of one order of magnitude, typically 1 Mbp to 10 Mbp (see the principles behind
1271 BIGSI¹⁷).

- 1272 2) **Relatedness within bioprojects.** In public repositories such as ENA, sequencing data are
1273 usually uploaded per individual projects, and ENA accession ranges often contain highly
1274 phylogenetically related genomes.
- 1275 3) **Species clusters.** Individual microbial species form clusters in public repositories such as
1276 ENA ³⁵.
- 1277 4) **Sampling biases.** Public repositories exhibit prevalent sampling biases, enabling a rough
1278 classification of bacterial species into two categories: highly sampled and sparsely sampled (see,
1279 e.g., Fig. 1 in ref ¹⁶).

1280

1281 Altogether, this understanding led to the following general heuristic for batching genomes in
1282 comprehensive genome corpuses:

- 1283 1) Cluster genomes based on their species. Specifically, identify the species of each genome, and
1284 then treat all genomes belonging to the same species as individual clusters.
- 1285 2) Within each cluster, arrange genomes in the lexicographic order of their accessions, to maximize
1286 the chance that highly related genomes, sequenced at the same time, stay in the same batch in
1287 the subsequent steps,
- 1288 3) Iterate over individual species clusters and compare their size with a predefined threshold
1289 ('batch-min-size' in MiniPhy):
- 1290 a. $\text{size} \geq \text{threshold}$: Classify the species as highly sampled and proceed according to Step 5.
 - 1291 b. $\text{size} < \text{threshold}$: Classify the species as sparsely sampled and proceed according to Step 4
- 1292 4) Merge all sparsely sampled species clusters into a single pseudo-cluster called a dustbin,
1293 proceeding in the order of lexicographically sorted species names (while preserving the order of
1294 genomes within each cluster).
- 1295 5) Split the dustbin pseudo-cluster into batches of a predefined size ('dustbin-batch-max-size'
1296 in MiniPhy).
- 1297 6) Split each highly sampled species cluster into batches of a predefined size ('batch-max-size' in
1298 MiniPhy).

1299

1300 The calibration of this heuristic was performed empirically, in the environment of the Harvard O2
1301 cluster, with the paratemers adjusted based on observed performance. In particular, if the
1302 Mashree/Attotree inference ³⁴ or XZ compression of any batch exceeded a predefined time limit, the
1303 batch-max-size or dustbin-batch-max-size parameters were modified accordingly.

1304

1305 The resulting heuristic, including the default parameters, is provided in the MiniPhy repository in the
1306 'create_batches.py' script. The heuristic is also summarized, including the specific parameters used
1307 for 611k and the BIGSIdata, in **Supplementary Fig. 1**.

1308

1309 **Supplementary Note 4. Comparison of the Phylign and BLAST approaches**

1310

1311 As tools for alignment against very large genome databases, Phylign and BLAST share many similarities,
1312 but at the same time, they differ in several key aspects. First, while Phylign is tailored specifically for
1313 bacterial genomes, BLAST is typically used with databases that encompass more types of sequences,
1314 including genes, transcripts, and genomes of non-bacterial organisms. Second, both tools produce
1315 alignments and compute alignment scores; however, while BLAST, computing local alignments,
1316 complements the score with an E-value to quantify the expected number of alignments of similar quality
1317 occurring by chance, Phylign targets longer alignments (primarily semiglobal, but can be adjusted by
1318 modifying Minimap parameters) and does not include E-values. Third, while both tools compute
1319 alignments using heuristic approaches, BLAST uses a seed-and-extend procedure, applied at the level of
1320 the entire database, whereas Phylign initially pre-filters target genomes using *k*-mer-based methods and
1321 then applies Minimap's seed-chain-align procedure⁴¹ at the level of individual reference genomes. In
1322 summary, Phylign and BLAST are designed for partially overlapping use cases, but they use different
1323 computational strategies.

1324

1325

Supplementary Tables

1326

Supplementary Table 1: Five test collections used for the calibration and evaluation of phylogenetic compression.

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1331

Characteristics of the genome collection used for calibrating and evaluating phylogenetic compression throughout the paper. Within-genome *k*-mer duplicates refer to the proportion of *k*-mer occurrences (*k*=31, canonical *k*-mers) that disappear when transforming genome assemblies to their corresponding de Bruijn graphs; the fact that this proportion is always low for microbial genomes, even for complete assemblies, suggests that de Bruijn graphs are a faithful representation of microbial genomes and the *k*-mer content can be used for quantifying data redundancy.

Collection	Description			Size		Diversity			Characteristics			
	Original samples	Genome representation	Data source	Nb. of genomes	Total sequence length	Nb. of species	Nb. of distinct <i>k</i> -mers	Within-genome <i>k</i> -mer duplicates	Unified construction pipeline	Data quality	Data volume	Repetitiveness
GISP	<i>N. gonorrhoeae</i> isolates	Draft assemblies ^{70,79}	.tar.gz file (726 MB) https://doi.org/10.5281/zenodo.2618836	1,102	2.36 Gbp	1	4.18 M	2.02%	Yes	Very high	Low	Very high
NCTC3k	Bacterial isolates	Complete and draft assemblies ⁹⁶	.gff files (6.48 GB) ftp://ftp.sanger.ac.uk/pub/project/pathogens/NCTC3000 Converted to FASTA and uploaded to: https://doi.org/10.5281/zenodo.4838517 .fa.gz files (1.25 GB)	1,065	4.35 Gbp	259	992 M	2.80%	Partially	High	Low	Medium
SC2	SARS-CoV-2 isolates	Complete assemblies ⁷⁴	.xz file (201 MB) http://gisaid.org	590,779	17.6 Gbp	1	1.85 M	0.000700%	No	Low	High	Very high
661k	Bacterial isolates	Draft assemblies ⁹⁷	.fa.gz files (805 GB) http://ftp.ebi.ac.uk/pub/databases/ENA2/018-bacteria-661k	661,405	2.58 Tbp	2,336 (est., ref ⁹⁷)	44.3 G	0.846%	Yes	Medium	Very high	High
BIGSIdata	Bacterial and viral isolates	de Bruijn graphs ¹⁷	McCortex files (16.7 TB) http://ftp.ebi.ac.uk/pub/software/bigsi/nat_biotech_2018/ctx	425,160	1.68 Tbp ^a	1,443 (est., ref ¹⁷)	41.1 G	-	Yes	Low	Very high	High

1332

1333

Footnotes:
^a Computed as the total length of unitigs of the individual de Bruijn graphs.

1334 **Supplementary Table 2: Proportion of redundancy explained by compressive phylogenies in the five test collections.**

1335 The amount of reduction of genomic k -mer content ($k=31$, canonical k -mers) via k -mer propagation along compressive phylogenies. k -mer
 1336 multisets correspond to the unions of k -mer sets before and after k -mer propagation, reduction factor is the ratio of their sizes, and removed
 1337 redundancy quantifies the proportion of removed k -mers among the removable ones (100% if each k -mers was entirely associated with a
 1338 single subtree). In the case of BIGSIdata and 661k, a phylogeny was built for each batch independently.

1339

Collection	Compressive phylogeny	k -mer multiset size		Reduction statistics	
		Before reduction	After reduction	Reduction factor	Removed redundancy
GISP	Mashtree	2.31 G	63.3 M	36.5	97.4%
	RAxML	2.31 G	72.6 M	31.8	97.0%
NCTC3k	Mashtree	4.23 G	1.79 G	2.36	75.3%
SC2 ^a	GISAID Sarscov2phylo	1.49 G	32.8 M	45.3	97.8%
BIGSIdata	Mashtree (1 tree/batch, 574 batches)	1.39 T	212. G	6.58	87.4%
661k	Mashtree (1 tree/batch, 305 batches)	2.55 T	233. G	11.0	92.5%

1340 **Footnotes:**

1341 ^a In order to use ProPhyle with SC2, the collection was subsampled to 50k genomes, which corresponds to 8.47% of the original genome count. The original k -mer multiset
 1342 size was 17.5 G.

1343 **Supplementary Table 3: Results of phylogenetic compression.**

1344 Size of the resulting files, mean space per single genome, bits per single base pair in the data, bits per distinct canonical k -mer ($k=31$),
 1345 The three baselines include a FASTA-like baseline computed as 8 bits per single character (i.e., FASTA without sequence headers and EOLs), a
 1346 GZip-like baseline (2 bits per bp), and the file size with original compression protocol.

1347

Collection	Phylogenetic compression protocol			Compression statistics				Improvement over baselines		
	Reordering scheme	Compressive phylogeny	Low-level compressor	Compressed size	Kilobytes per genome	Bits per bp	Bits per distinct k -mer	FASTA-like baseline (8 bits per bp)	GZip-like baseline (2 bits per bp)	Original compression protocol
GISP	Left-to-right	Mashtree	XZ	5.67 MB	5.15	0.0192	10.9	416.×	104.×	-
	Left-to-right	RAxML	XZ	5.44 MB	4.94	0.0184	10.4	434.×	109.×	-
NCTC3k	Left-to-right	Mashtree	XZ	257 MB	242	0.473	2.07	16.9×	4.23×	-
SC2	Left-to-right	Sarscov2phylo	XZ	10.7 MB	0.0181	0.00486	46.2	1,647×	412× ^a	-
BIGSIdata	Batches & k -mer propagation	Mashtree (1 tree/batch, 574 batches)	XZ	52.3 GB	123.	0.248	10.2	32.2×	8.06×	319×
661k	Batches & left-to-right	Mashtree (1 tree/batch, 305 batches)	XZ	29.0 GB	43.8	0.0898	5.23	89.1×	22.3×	27.8×
			MBGC	20.7 GB	31.3	0.0642	3.74	125×	31.2×	38.9×
	Lexicographically by ENA accessions ^b	-	XZ	121 GB	182.	0.374	21.8	21.4×	5.35×	6.67×
			MBGC ^c	-	-	-	-	-	-	-

1348 **Footnotes:**

1349 ^a Due to viral genomes being short, GZip can outperform the 2-bits-per-bp entropy bound that was previously determined from bacteria, and the real improvement of XZ over
 1350 GZip for phylogenetic compression is 13.2× in this case (see also **Supplementary Fig. 4**). The table displays the “GZip-like” value for consistency with the rest of the table.

1351 ^b Dataset accessions in ENA are partially phylogenetically ordered since sequencing studies often involve phylogenetically related genomes that are uploaded in succession.

1352 ^c Computation was systematically failing due to Out-of-Memory events, even for jobs with 200 GB RAM of allocated memory.

1353 **Supplementary Table 4: Software and data provided for download.**

1354 The table lists the developed software for phylogenetic compression and provides links to all phylogenetically compressed versions of the test
 1355 collections (with the exceptions of SC2 that could not be published due to the licensing restrictions of GISAID).

1356

Type	Name	Description	URL
Software	Phylign	Snakemake pipeline	https://github.com/karel-brinda/phylign
	MiniPhy	Snakemake pipeline	https://github.com/karel-brinda/miniphy
	MiniPhy-COBS	Snakemake pipeline	https://github.com/leoisl/miniphy-cobs
	De-MiniPhy-BIGSIdata	Client program to download and decompress de Bruijn graphs from the BIGSIdata collection	https://github.com/karel-brinda/de-miniphy-bigsidata
	ProPhyle (modified, vo.3.3)	ProPhyle metagenomic classifier	https://github.com/prophyle/prophyle
	COBS (modified, vo.3)	COBS <i>k</i> -mer indexer	https://github.com/iqbal-lab-org/cobs
	Attotree	A fast reimplementaion of Mashtree functionality	https://github.com/karel-brinda/attotree
Phylogenetically compressed genome collections	NCTC3k	Assemblies (XZ)	https://doi.org/10.5281/zenodo.5533354
	BIGSIdata	De Bruijn graphs (simplitigs after <i>k</i> -mer propagation; XZ)	https://doi.org/10.5281/zenodo.5555253
	661k	Assemblies (XZ)	https://doi.org/10.5281/zenodo.4602622
		Assemblies (MBGC)	https://doi.org/10.5281/zenodo.6347064
		<i>k</i> -mer index (COBS; XZ)	https://doi.org/10.5281/zenodo.7313926 https://doi.org/10.5281/zenodo.7313942 https://doi.org/10.5281/zenodo.7315499
	661k-HQ	<i>k</i> -mer index (COBS; XZ)	https://doi.org/10.5281/zenodo.6849657 https://doi.org/10.5281/zenodo.6845083

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Supplementary Table 5: Compressibility of different variants of BIGSI indexes for the 661k/661k-HQ collections.

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Collection	Protocol				Compression statistics		
	Index variant	Mode of construction	Low-level compression	Comment	Size	Total improvement over baseline	Improvement over uncompressed
661k	COBS-compact ^a	Per entire collection	None ^b	Baseline (with adaptive Bloom filters)	937. GB	1.00×	1.00×
			XZ ^c	Direct compression	243. GB	3.86×	3.86×
	COBS-classic ^d	Per MiniPhy batch; columns sorted left-to-right	None	Reordered data, Bloom filter size fixed per batch	2.46 TB	0.380×	1.00×
			XZ	Phylogenetic compression	110. GB	8.51×	22.5×
661k-HQ	COBS-compact ^a	Per entire collection	None	Baseline (with adaptive Bloom filters)	893. GB	1.00×	1.00×
			XZ ^c	Direct compression	205. GB	4.35×	4.35×
	COBS-classic ^d	Per MiniPhy batch; columns sorted left-to-right	None	Reordered data, Bloom filter size fixed per batch	1.06 TB	0.842×	1.00×
			XZ	Phylogenetic compression	72.8 GB	12.3×	14.5×

1360

Footnotes:

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^a As the COBS-compact classifies datasets to be indexed into bins based on the number of *k*-mers, it is at the same time also grouping phylogenetically related genomes across the whole database into the same bins. The resulting file is thus moderately compressible using XZ, even though the resulting archive is not suitable for downstream applications because of its size and the associated overheads.

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^b Provided for download on <http://ftp.ebi.ac.uk/pub/databases/ENA2018-bacteria-661k/>.

1364

^c Streamed compression of COBS subindexes that are internally created by COBS based on the number of *k*-mers in individual datasets.

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^d Besides better compressibility by general compressors, COBS-classic brings an additional benefit of decreasing the associated false positive error rate for a majority of the datasets under indexing.

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1369 **Supplementary Table 6: Disk space requirements of Phylign with the 661k-HQ collection.**

1370 The requirements correspond to the version of the database as used by Phylign in **Supplementary Table 6**.

1371

Component	Size requirements [GB]	Kilobytes per genome ^a	Bits per bp ^a
Assemblies ^b	29.2	45.6	0.0942
COBS	72.8	114.	0.235
Total	102.	159.	0.329

1372 **Footnotes:**

1373 ^a The statistics are computed with respect to the characteristics of the 661-HQ collection.

1374 ^b An older version of compressed assemblies is used in Phylign for consistency across experiments. This part of the index is, however, further compressible: first, the files were
1375 generated by an older version of MiniPhy, without tree rebalancing, therefore its size is higher compared to the latest version in **Supplementary Table 3**; second, the
1376 archives contain even low-quality genomes, which could be omitted here.

1377 **Supplementary Table 7: Results of BLAST-like search across the 661k-HQ collection on a desktop computer using Phylign.**

1378 Timing and alignment results for resistance genes, EBI plasmids, and a nanopore sequencing experiment using Phylign, performed on an iMac
 1379 with eight 4.2 GHz cores and 42.9 GB RAM (Methods). Search parameters were adjusted for the corresponding type of search based on the
 1380 typical values in literature (Methods). All measurements were done with in-memory decompression ('index_load_mode' set to 'mem-stream')
 1381 and maximal memory consumption set to 30 GB (the 'max_ram_gb' parameter). The resulting peak memory consumption was estimated to
 1382 be 26.2 GB (Methods).

Experiment				Computational time (real cpu time)			Alignment statistics				
Query dataset	Search parameters	No. of queries	Cumul. length	Matching	Alignment	Total	No. of aligned queries	No. of aligned segments	No. of distinct (genome, query) pairs	No. of target genomes	Nb. of target batches
ARGannot resistance genes	cobs_kmer_thres: 0.5 nb_best_hits: 1000 minimap_preset: sr	1,856	1.65 Mbp	0.417h 2.01h	3.45h 24.9h	3.87h 26.9h	1,713	1,801,997	1,734,405	272,198	286
EBI plasmid database	cobs_kmer_thres: 0.4 nb_best_hits: 1000 minimap_preset: asm20	2,826	224 Mbp	6.61h 43.6h	4.26h 30.5h	10.9h 74.1h	1,871	8,980,429	838,830	205,231	296
Nanopore sequencing experiment (ERR9030361)	cobs_kmer_thres: 0.4 nb_best_hits: 10 minimap_preset: map-ont	158,583	191 Mbp	3.07h 18.1h	1.22h 7.97h	4.29h 26.1h	146,691	4,548,919	3,841,621	47,162	85

1383

1384 **Supplementary Table 8: Reconstructed history of the BLAST NT database.**

1385 The information about size of the BLAST nucleotide database (nt.gz) was retrieved from literature, its associated webpages, and other public
 1386 repositories.

Date	GZip size [GiB]	Length [Gbp]	Source ^a
2002-01-01	-	7.372	https://doi.org/10.1093/bioinformatics/btg250 (ref ⁹⁸)
2003-02-01	-	8.33	https://doi.org/10.1093/nar/gkh435 (ref ⁹⁹)
2004-02-01	-	10	https://doi.org/10.1093/nar/gkh435 (ref ⁹⁹)
2007-07-01	-	21	https://doi.org/10.1186/1471-2164-9-496 (ref ¹⁰⁰)
2010-01-01	-	30	https://doi.org/10.1186/1471-2105-11-340 (ref ¹⁰¹)
2012-10-11	10.7	-	https://web.archive.org/web/20121011234515/http://ftp.ncbi.nih.gov/blast/db/FASTA
2013-12-03	13.8	-	https://web.archive.org/web/20201005113118/https://github.com/PathoScope/PathoScope/wiki/Building-Library
2017-10-26	39.4	-	https://doi.org/10.5281/zenodo.4382154 (ref ¹⁰²)
2019-01-03	47	-	https://openstack.cebitec.uni-bielefeld.de:8080/swift/v1/CAMI_2_DATABASES/ncbi_blast/nt.gz (a part of ref ¹⁰³)
2020-04-05	67	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2020-07-05	72	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2020-08-04	77	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2020-10-11	81	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2021-01-15	90	-	https://doi.org/10.17044/scilifelab.21070063.v1 (ref ¹⁰⁴)
2021-03-21	103	-	https://web.archive.org/web/20210322230129/https://ftp-trace.ncbi.nih.gov/blast/db/FASTA/
2021-03-28	104	-	https://web.archive.org/web/20210402195739/https://ftp.ncbi.nih.gov/blast/db/FASTA/
2021-10-18	138	-	https://web.archive.org/web/20211020093701/ftp://ftp.ncbi.nih.gov/blast/db/FASTA/
2021-11-01	139	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2021-12-13	146	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-01-18	152	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-02-28	161	-	https://web.archive.org/web/20220307133636/https://ftp-trace.ncbi.nih.gov/blast/db/FASTA/
2022-03-21	166	-	https://web.archive.org/web/20220326071216/https://ftp-trace.ncbi.nih.gov/blast/db/FASTA/
2022-06-06	180	-	https://web.archive.org/web/20220609211512/https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-06-20	187	783.58	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-08-06	205	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-10-17	214	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/
2022-11-01	216	-	https://ftp.ncbi.nih.gov/blast/db/FASTA/

1387 **Footnotes:**
 1388 ^a All webpages except <https://ftp.ncbi.nih.gov/blast/db/FASTA/> were retrieved on 2022-11-02.

1389

1390 **Supplementary Figures**

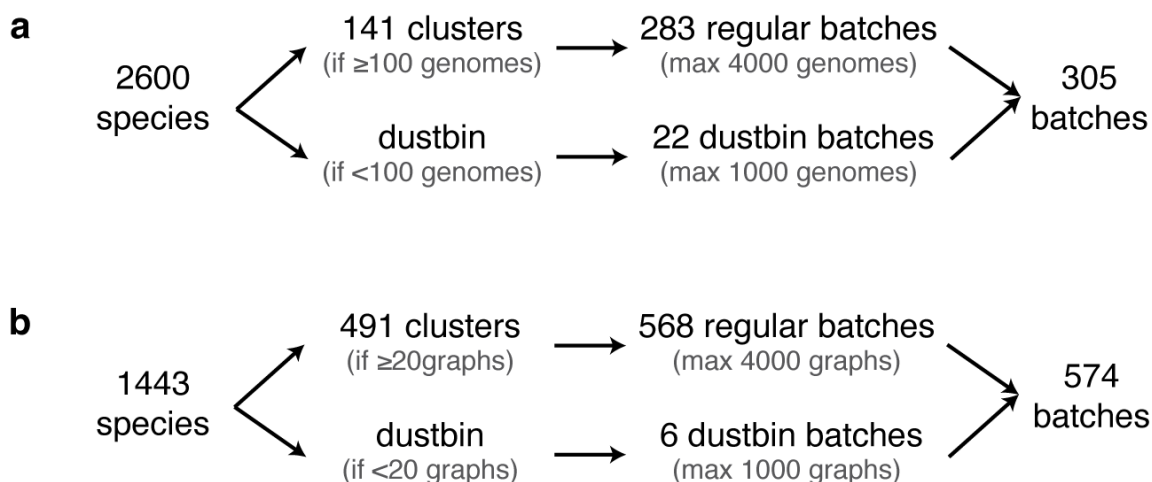
1391

1392

1393 **Supplementary Fig. 1: Batching strategies for the BIGSIdata and 661k collections.**

1394 As a clustering strategy, genomes are grouped by individual species, and clusters that are too small are
1395 placed into a common pseudo-cluster called a dustbin. The obtained clusters and the dustbin are then
1396 divided into size- and diversity-balanced batches. The plot depicts the batching strategies used for the
1397 (a) 661k and (b) BIGSIdata collections. For further discussion of the batching, see **Supplementary**
1398 **Note 3.**

1399



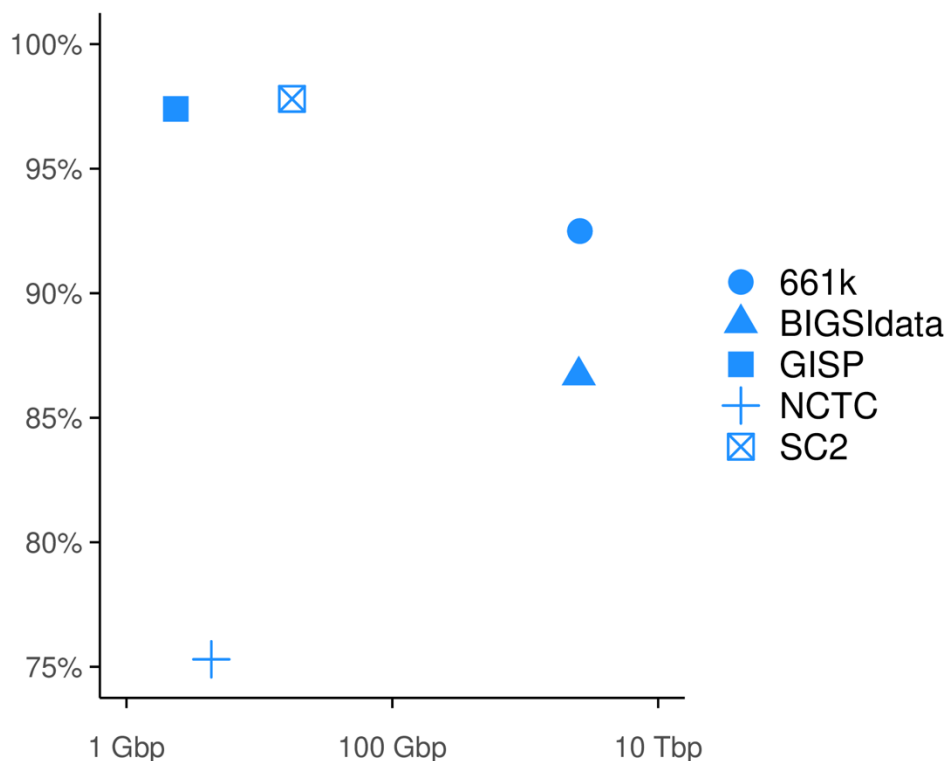
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1402 **Supplementary Fig. 2: Quantification of phylogeny-explained data redundancy in the five**
1403 **test collections.**

1404 The plot depicts the percentage of data redundancy that can be explained by the compressive
1405 phylogenies in each of the five test collections. The explained redundancy is measured by bottom-up k -
1406 mer propagation along the phylogenies performed by ProPhyle^{28,29} and calculated as the proportion of
1407 k -mer duplicities removed by the propagation (see Methods for the formula). A k -mer distribution that
1408 is perfectly explained by the associated compressive phylogeny (i.e., all k -mers associated with complete
1409 subtrees) would result in 100% phylogeny-explained redundancy. The plot shows that for single-species
1410 batches (modeled by the GISP and SC2 collections), the majority of the signal can be explained by their
1411 compressive phylogenies, indicative of their extremely high phylogenetic compressibility. In contrast,
1412 high-diversity batches (modeled by the NCTC3k collection) have more irregularly distributed k -mer
1413 content due to horizontal gene transfer combined with sparse sampling, indicative of their lower
1414 compressibility (see **Supplementary Fig. 4**). Large and diverse collections, such as 661k and
1415 BIGSIdata, exhibit thus a medium level of phylogenetically explained redundancies, with the level
1416 depending on the amount of noise (higher for BIGSIdata and lower for 661k, as also visible in
1417 **Supplementary Fig. 7**).

1418
1419

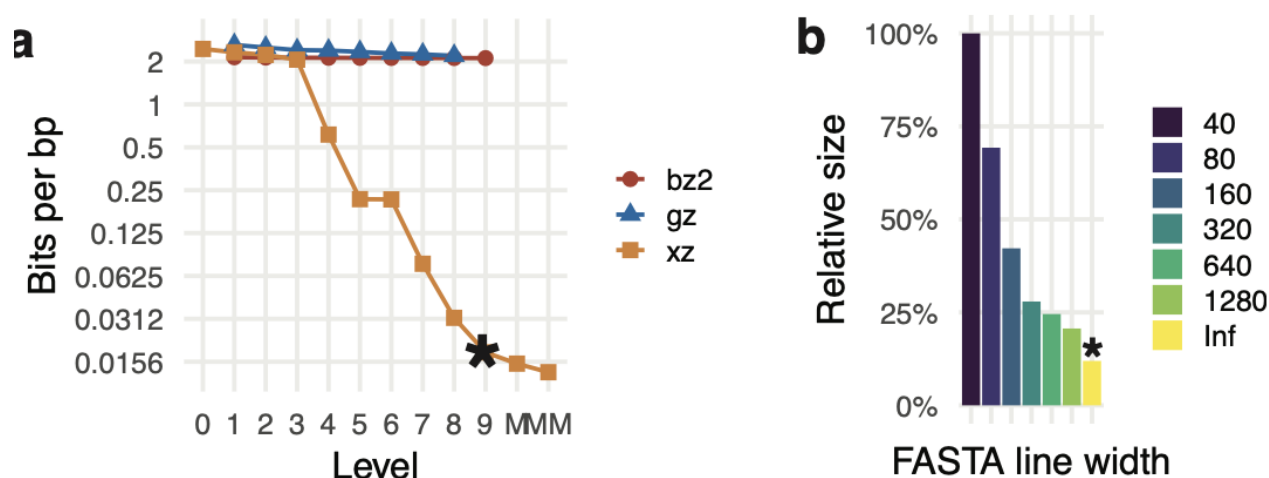


1420

1421 **Supplementary Fig. 3: Calibration of XZ as a low-level tool for phylogenetic compression.**

1422 The comparison was performed using the assemblies from the GISP collection, with genomes sorted left-
 1423 to-right according to the Mashtree phylogeny. In both subplots, asterisk denotes the mode selected for
 1424 phylogenetic compression in MiniPhy. **a)** The plot shows the compression performance XZ, GZip, and
 1425 BZip2 in bits per base pair as a function compression presets (-1, -2, etc.) with single-line FASTA. Given
 1426 the specific sizes of dictionaries and windows used in the individual algorithms and their individual
 1427 presets, only XZ with a level ≥ 4 was capable of compressing bacterial genomes beyond the statistical
 1428 entropy baseline (i.e., approximately 2 bits per bp). M and MM denote additional, manually tuned
 1429 compression modes of XZ with an increased dictionary size (Methods), which slightly improved
 1430 compression performance but at the same time substantially increased memory and CPU time and were
 1431 thus not used in MiniPhy. **b)** The plot shows the impact of the FASTA line length on compression
 1432 performance. With single-line FASTA (denoted by Inf), compression is improved to 12% of the 40 bps
 1433 per line version. The plot highlights the importance of pre-formatting FASTA data before using general
 1434 compressors such as XZ.

1435



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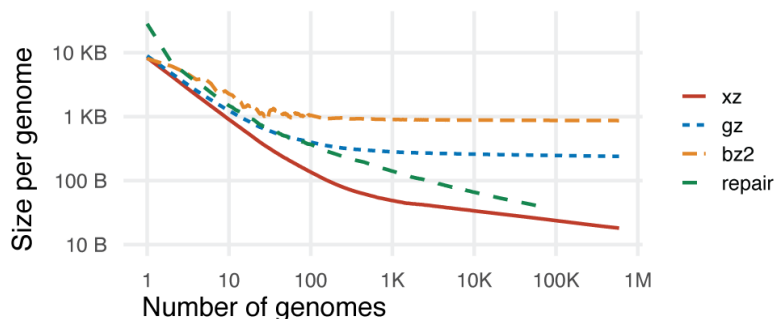
1437

1438 **Supplementary Fig. 4: Comparison of three contrasting compression scaling modes of**
1439 **microbial collections.**

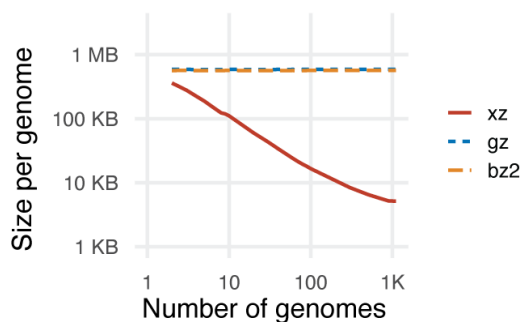
1440 The plots compare on the scaling behavior of the XZ, GZip, BZip2, and RePair compressors on the SC2
1441 (a), GISP (b), and NCTC3k (c) collections, depicting the space per single genome as a function of the
1442 number of jointly compressed genomes progressively increased on logarithmic scales. The results
1443 highlight several key findings. First, XZ consistently outperforms the other compressors. Second, for
1444 viral genomes all compressors are able to overcome the 2-bits-per-bp baseline thanks to their short
1445 genome length, but only XZ is able to compress beyond this limit for bacterial genomes (consistent with
1446 **Supplementary Fig. 3a**). Third, RePair compression can be nearly as effective as XZ for viruses, but
1447 its non-scalability limits its applicability to large datasets. Fourth, the compressibility of divergent
1448 bacteria is substantially limited even with the best compressors, with only a 4× improvement in per-
1449 genome compression for NCTC3k (while the highly compressible SC2 and GISP collections show 171×
1450 and 105× improvement for the same number of genomes).

1451

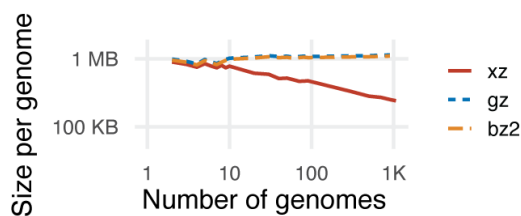
a) SC2 (low-diversity viruses)



b) GISP (low-diversity bacteria)



c) NCTC3k (high-diversity bacteria)

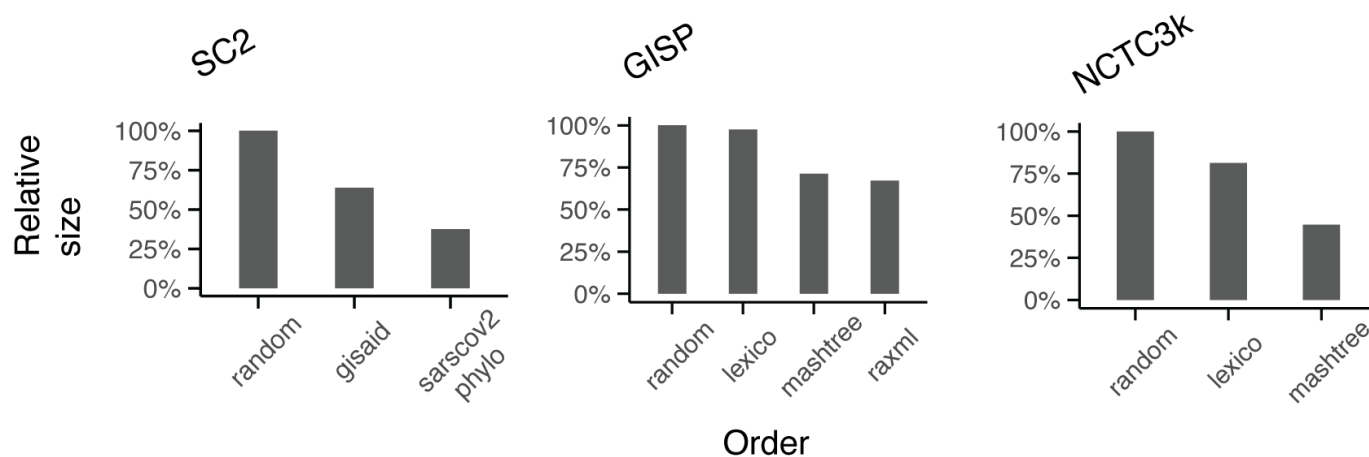


1452

1453 **Supplementary Fig. 5: Impact of within-batch genome order on the compressibility of**
1454 **microbial collections**

1455 While a substantial part of the benefits of phylogenetic compression comes from the organization of
1456 genomes into batches of phylogenetically related genomes, proper genome reordering within individual
1457 batches is also crucial for maximizing data compressibility. The plots demonstrate that the impact of
1458 within-batch reordering grows with the amount of diversity included (GISP vs. NCTC3k) and with the
1459 number of genomes (GISP vs. SC 2). Accurate phylogenies inferred using RAXML provided only little
1460 benefits over trees computed using Mashtree (GISP).

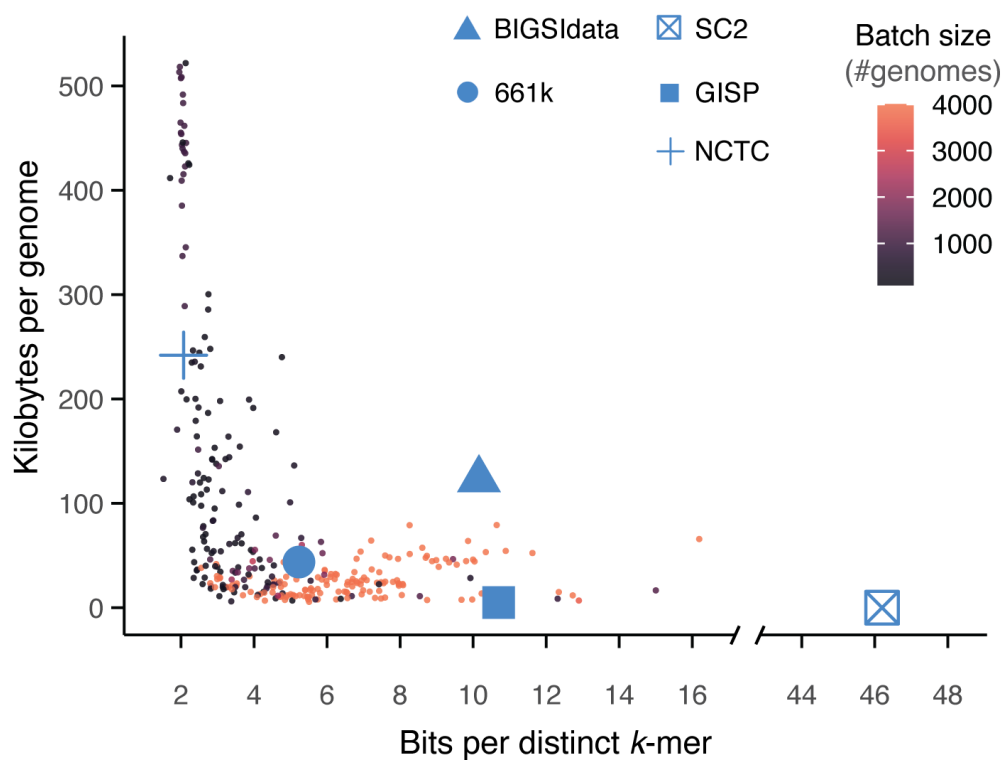
1461



1462 **Supplementary Fig. 6: Compression tradeoffs for the five test collections and for**
1463 **individual batches of the 661k collection.**

1464 The plot illustrates the tradeoff between the per-genome size after compression and the number of bits
1465 per distinct k -mer. The larger points represent individual genome collections and correspond to values
1466 from **Supplementary Table 3**. The smaller points represent individual batches of the 661k collection,
1467 with color indicating the number of genomes in each batch. Overall, the plot reveals the influence of
1468 genomic diversity on the resulting compression characteristics. The tradeoff follows an L-shaped
1469 pattern, where compression of genome groups with a high diversity leads to smaller space per k -mer but
1470 larger space per genome, and conversely for genome groups with a low diversity.

1471

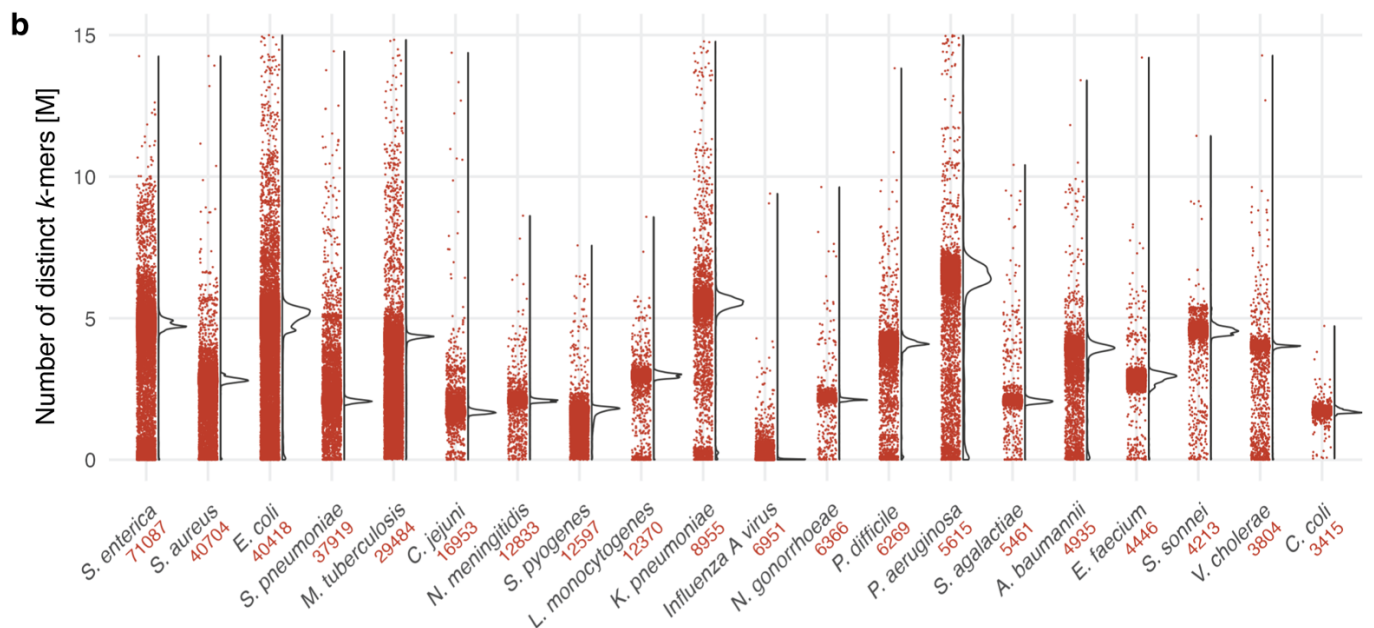
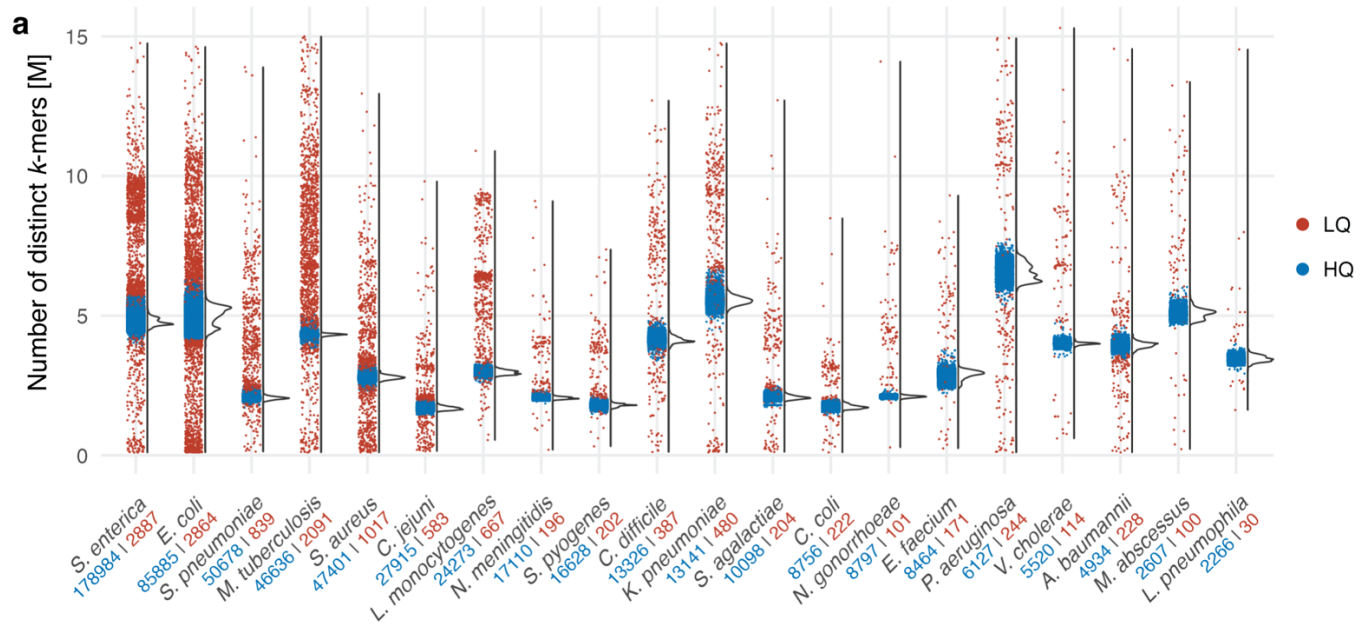


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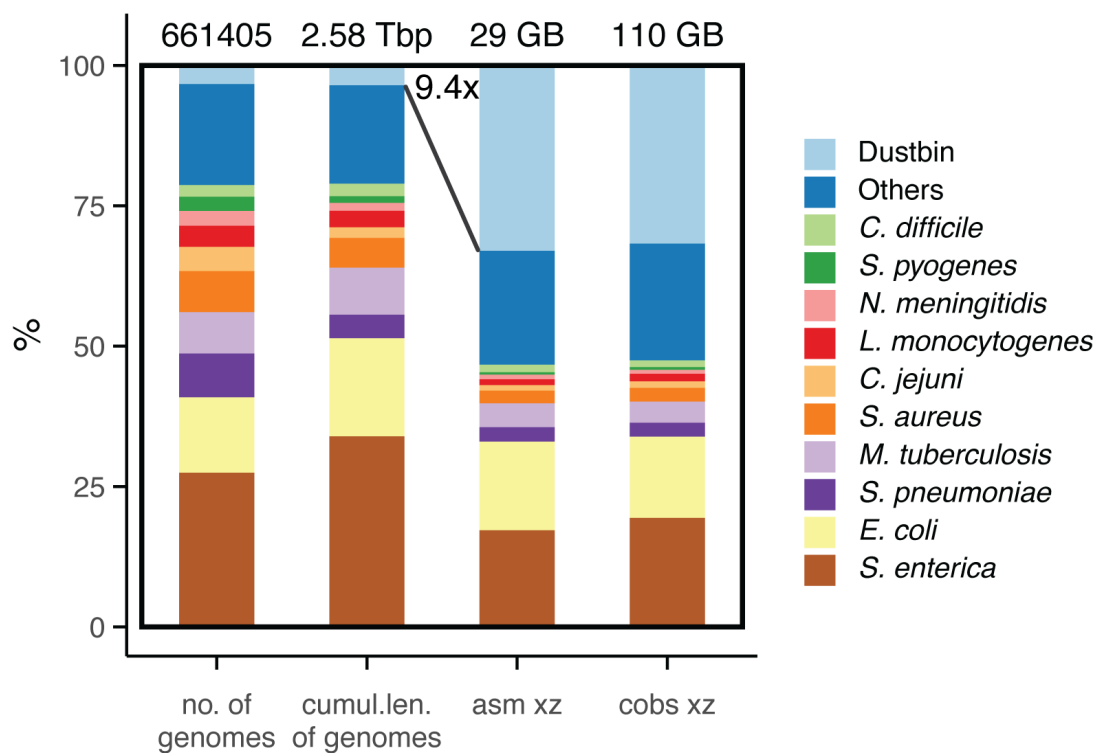
1474 **Supplementary Fig. 7: Distribution of the number of distinct k -mers in the top 20 species**
 1475 **in (a) the 661k and (b) BIGSIdata collections.**

1476 For the 661k collection, colors represent the quality of the assemblies (LQ: low-quality, HQ: high-
 1477 quality), as determined as part of the quality control in ref⁹⁷. For BIGSIdata, no quality control
 1478 information is available. The numbers below the species name indicate the number of samples within
 1479 each category.
 1480



1482 **Supplementary Fig. 8: Proportions of top 10 species in the 661k collection before and**
 1483 **after compression.**

1484 The proportions of individual species (their corresponding batches) of the phylogenetically compressed
 1485 661k collection. The plot depicts the proportions of the top 10 species, the dustbin pseudo-cluster
 1486 comprising divergent genomes, and the remaining species grouped in Others, while comparing the
 1487 following four quantitative characteristics: the number of genomes, their cumulative length, the size of
 1488 the phylogenetically compressed assemblies, and the size of the phylogenetically compressed COBS
 1489 indexes. While transitioning from the number of genomes to their cumulative length has only a little
 1490 impact on the proportions (only corresponding to different mean genome lengths of individual species),
 1491 the divergent genomes occupy a substantially higher proportion of the collection after compression.
 1492 Moreover, despite genome assemblies and *k*-mer COBS indexes are fundamentally different genome
 1493 representations (horizontal vs. vertical, respectively), the observed post-compression proportions in
 1494 them were nearly identical, indicative of that their compression is governed by the same rules.
 1495

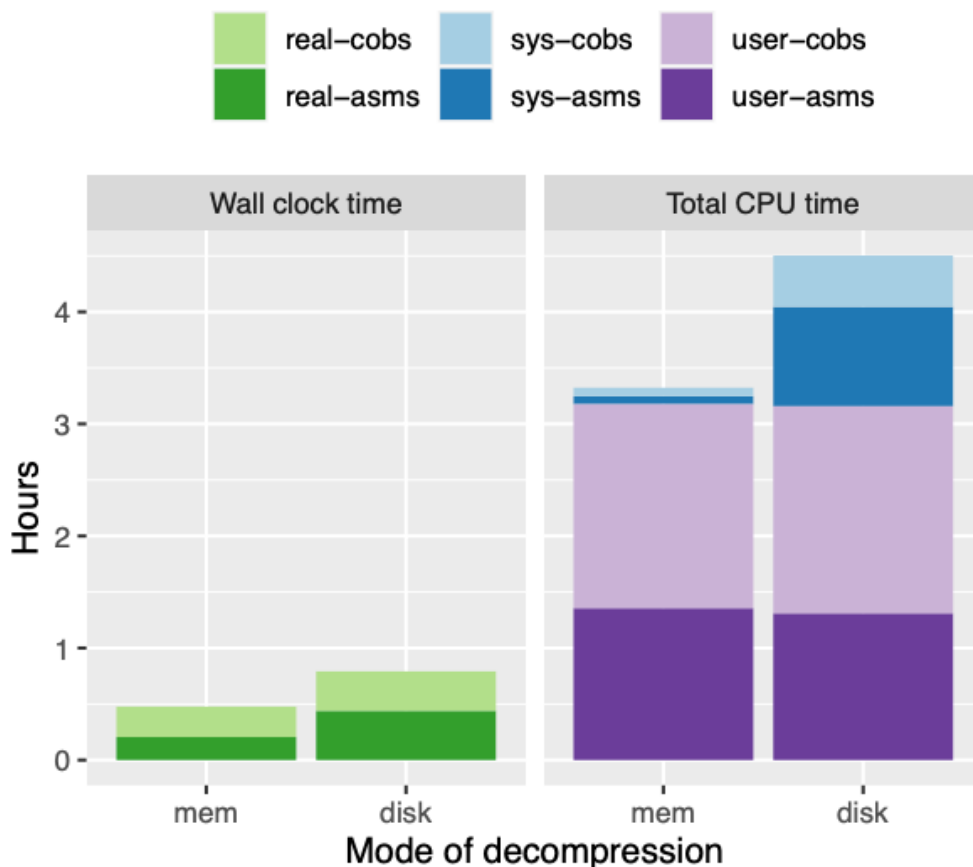


1496

1497 **Supplementary Fig. 9: Time required for decompressing the Phylign database.**

1498 The wall clock and total CPU time required to decompress the Phylign 661k-HQ database, both on a disk
1499 and in memory, measured on an iMac desktop computer with 4 physical (8 logical) cores. The
1500 decompression process in memory, which reflects the type of decompression used by Phylign, was
1501 completed under 30 mins, which is only a fraction of the typical duration of search experiments (see
1502 **Supplementary Tab. 6**).

1503



1504

1505 **SUPPLEMENTARY FILES**

1506
1507 Additional supplementary files are provided in a dedicated online repository on
1508 <http://github.com/karel-brinda/phylogenetic-compression-supplement>.

1509