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A physical method for downscaling land surface temperatures using surface energy balance theory

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22 **Abstract**

23 Fine-resolution land surface temperature (LST) derived from thermal infrared remote sensing
24 images is a good indicator of surface water status and plays an essential role in the exchange of
25 energy and water between land and atmosphere. A physical surface energy balance (SEB)-based
26 LST downscaling method (DTsEB) is developed to downscale coarse remotely sensed thermal
27 infrared LST products with fine-resolution visible and near-infrared data. The DTsEB method is
28 advantageous for its ability to mechanically interrelate surface variables contributing to the spatial
29 variation of LST, to quantitatively weigh the contributions of each related variable within a
30 physical framework, and to efficaciously avoid the subjective selection of scaling factors and the
31 establishment of statistical regression relationships. The applicability of the DTsEB method was
32 tested by downscaling 12 scenes of 990 m Moderate Resolution Imaging Spectroradiometer
33 (MODIS) and aggregated Advanced Spaceborne Thermal Emission and Reflection Radiometer
34 (ASTER) LST products to 90 m resolution at six overpass times between 2005 and 2015 over three
35 9.9 km by 9.9 km cropland (mixed by grass, tree, and built-up land) study areas. Three typical LST
36 downscaling methods, namely the widely applied TsHARP, the later developed least median
37 square regression downscaling (LMS) and the geographically weighted regression (GWR), were
38 introduced for intercomparison. The results showed that the DTsEB method could more effectively
39 reconstruct the subpixel spatial variations in LST within the coarse-resolution pixels and achieve
40 a better downscaling accuracy than the TsHARP, LMS and GWR methods. The DTsEB method
41 yielded, on average, root mean square errors (RMSEs) of 2.01 K and 1.42 K when applied to the
42 MODIS datasets and aggregated ASTER datasets, respectively, which were lower than those
43 obtained with the TsHARP method, with average RMSEs of 2.41 K and 1.71 K, the LMS method,
44 with average RMSEs of 2.35 K and 1.63 K, and the GWR method, with average RMSEs of 2.38
45 K and 1.64 K, respectively. The contributions of the related surface variables to the subpixel spatial
46 variation in the LST varied both spatially and temporally and were different from each other. In
47 summary, the DTsEB method was demonstrated to outperform the TsHARP, LMS, and GWR
48 methods and could be used as a good alternative for downscaling LST products from coarse to fine
49 resolution with high robustness and accuracy.

50 **Keywords:** Land surface temperature; Thermal infrared remote sensing; Surface energy balance;
51 Downscaling; DTsEB

52 **1. Introduction**

53 As a key parameter in the characterization of energy and water exchange between land and
54 atmosphere [Li *et al.*, 2013; Duan & Li, 2016; Anderson *et al.*, 2008], land surface temperature
55 (LST) has been widely applied in a variety of disciplines and related studies on evapotranspiration
56 estimation [Tang *et al.*, 2010; Tang & Li, 2017a], urban heat island monitoring [Weng *et al.*, 2004;
57 Quan *et al.*, 2014], forest fire detection [Eckmann *et al.*, 2008] and biogeochemical process
58 modeling [Zhan *et al.*, 2016]. Satellite-based thermal infrared (TIR) data are directly linked to
59 LSTs through the radiative transfer equation and are recognized as the most reliable source for
60 deriving regional LSTs in a globally consistent and economically feasible manner. However,
61 because of technical constraints, satellite-derived LST datasets always reflect a tradeoff between
62 temporal and spatial resolutions [Bindhu *et al.*, 2013; Liu *et al.*, 2020]. Even within the same
63 satellite, thermal sensors have much lower spatial resolution than visible and near-infrared (VNIR)
64 sensors due to the relatively lower levels of thermal radiation that are emitted by land surface. The
65 relatively low spatial resolution of satellite-derived TIR LSTs often leads to a thermal mixture
66 effect (i.e., blending of multiple thermal elements within a single coarse spatial resolution pixel)
67 [Yang *et al.*, 2011; Zhan *et al.*, 2013] and provides a weak representation of the heterogeneity of
68 the land surface water status and energy exchange. Therefore, techniques to enhance the spatial
69 resolution of satellite-derived LST datasets are highly desirable [Atkinson, 2013; Hutengs &
70 Vohland, 2016].

71 Over the past decades, a large number of LST downscaling (also called *LST sharpening* or
72 *LST disaggregating*) methods have been proposed to transform coarse-resolution LSTs to fine
73 resolution with thermal radiances at the two resolutions invariantly maintained [Kustas *et al.*, 2003;
74 Zhan *et al.*, 2012, 2013]. Most of these LST downscaling methods assume that the relationships
75 between surface parameters (e.g., independent variables) and LSTs (e.g., dependent variable) are
76 scale invariant, and they first construct a mathematical relationship at coarse resolution and
77 subsequently apply the relationship to surface parameters at fine resolution to obtain fine-
78 resolution LSTs. The surface parameters used in LST downscaling have been collectively referred
79 to as scaling factors in some studies (also called *kernels* or *modulation factors* in some other
80 studies); they represent indicators that connect the LSTs and should be achieved at both coarse and
81 fine resolutions [Zhan *et al.*, 2011, 2013; Liu & Moore, 1998; Stathopoulou & Cartalis, 2009;
82 Chen *et al.*, 2014]. Based on the fact that the regional LST variability is most affected by vegetation

83 coverage, vegetation indices (VIs) are the most widely used scaling factors in LST downscaling
84 algorithms. Since the pioneering LST downscaling methods developed by *Kustas et al. [2003]* and
85 *Agam et al. [2007]* (named *DisTrad* and *TsHARP*) were proposed, successful tests and applications
86 of various VIs, such as the normalized difference vegetation index (NDVI) or its derivatives –
87 fractional vegetation cover (f_c), soil-adjusted vegetation index (SAVI) [*Yang et al., 2011*],
88 enhanced vegetation index (EVI) [*Zakšek & Oštir, 2012*], and green ratio vegetation index (GRVI)
89 [*Bonafoni, 2016*] – over different land cover types (especially agricultural areas) have been
90 reported in the LST downscaling literature [*Jeganathan et al., 2011; Essa et al., 2012; Bisquert et*
91 *al., 2016a; Bisquert et al., 2016b; Olivera-Guerra et al., 2017*]. However, because the LST is
92 influenced by multiple factors, the relationships between VIs and LSTs display great limitations
93 for heterogeneous underlying surfaces [*Inamdar & French, 2009; Nichol, 2009; Stathopoulou &*
94 *Cartalis, 2009; Zakšek & Oštir, 2012*]. To compensate for the deficiency of using only simple
95 vegetation parameters in LST downscaling, spectral indices representing different types of land
96 surfaces, such as the normalized difference water index (NDWI), the normalized difference built-
97 up index (NDBI), the enhanced built-up and bareness index (EBBI), the bare soil index (BI), and
98 the temperature vegetation dryness index (TVDI), have also been introduced [*Yang et al., 2011;*
99 *Zakšek & Oštir, 2012; Merlin et al., 2012; Bonafoni, 2016; Li et al., 2019; Agathangelidis &*
100 *Cartalis, 2019; Liu et al., 2020*]. In addition, to modulate the land surface energy distribution,
101 topographic variables, namely, digital elevation models (DEMs) and slope angle, surface
102 emissivity and broadband albedo, were also suggested to be good scaling factors [*Duan & Li, 2016;*
103 *Li et al., 2019; Agathangelidis and Cartalis, 2019; Inamdar & French, 2009; Nichol, 2009;*
104 *Stathopoulou & Cartalis, 2009; Zakšek & Oštir, 2012; Merlin et al., 2010, 2012; Dominguez et*
105 *al., 2011*]. However, although many studies have reported that the accuracy of LST downscaling
106 results increases with the introduction of new or multiple scaling factors, the selection of scaling
107 factors (usually without explicit physical implications) remains mostly subjective, uncertain, and
108 application-specific.

109 For the relationships between scaling factors and LSTs, statistical regression has been widely
110 used in LST downscaling. Both simple linear or quadratic regression relationships and multivariate
111 nonlinear regression relationships between single/multiple scaling factors (e.g., NDVI, DEM,
112 broadband albedo, and surface emissivity) and LSTs were explored in early years [*Kustas et al.,*
113 *2003; Agam et al., 2007; Dominguez et al, 2011; Yang et al., 2011; Merlin et al., 2010, Merlin et*

114 *al.*, 2012; Bonafoni, 2016; Bisquert *et al.*, 2016a]. In recent years, more advanced regression
115 methods, including tree-based regression techniques (such as the gradient boosting machine),
116 kernel-based regression techniques (such as the support vector machine), artificial neural networks,
117 and random forest techniques, which have great advantages in establishing stable relationships
118 between multiple scaling factors and LST, have attracted extensive attention [Yang *et al.*, 2010;
119 Ghosh *et al.*, 2014; Hutengs and Vohland, 2016; Li *et al.*, 2019; Wu and Li, 2019; Agathangelidis
120 and Cartalis, 2019]. Although great success has been reported for LST downscaling, the lack of a
121 clear physical mechanism in these empirical regression relationship-based methods has resulted in
122 difficulties in understanding the interactions of various scaling factors with LSTs and has further
123 hindered the extension of LST downscaling methods to other study areas. Moreover, the selection
124 of different scaling factors and the establishment of corresponding empirical relationship between
125 scaling factors and LST in these different statistics-based methods can even result in different
126 LSTs for the same pixel under different relationship expression formulas and/or different sizes of
127 areas of interest (such as the different LST downscaling results for Changping area in the
128 researches of Yang *et al.* [2011] and Wu & Li [2019]). To date, compared to the statistics-based
129 LST downscaling methods, (semi)physical methods for achieving LST downscaling have seldom
130 been proposed [Bechtel *et al.*, 2012]. While tests have been developed to enhance the thermal
131 details, the apparently unreasonable assumptions of invariable emitted energy (with surface
132 emissivity as the sole factor influencing temperature variation) among the subpixels [Nichol, 2009]
133 or isothermal pixels [Liu & Pu, 2008; Liu & Zhu, 2012] have greatly hindered the wide application
134 of these (semi)physical methods. The dual band method proposed by Dozier [Dozier, 1981] to
135 estimate the percentage of thermally anomalous coverage and its temperature also faces technical
136 limitations because of the requirements of a priori knowledge (such as the predefined specific end
137 members) [Zakšek & Oštir, 2012; Bechtel *et al.*, 2012]. In fact, in the energy and water exchange
138 between land and atmosphere, the spatial variation of LST is more physically and fundamentally
139 linked to the surface energy budget (i.e., the surface net radiation, soil heat flux, sensible heat flux
140 and latent heat flux) than the land surface vegetation information or land cover details [Zhan *et al.*,
141 2013]. From this point of view, the surface energy balance is very likely to provide a robust and
142 physical LST downscaling framework to take into account all parameters that drive the spatial
143 variation of LSTs [Zhan *et al.*, 2013; Merlin *et al.*, 2010].

144 The objective of this study is to develop a physical surface energy balance (SEB)-based LST
145 downscaling method (DTsEB) to avoid a subjective selection of scaling factors and the
146 establishment of statistical regression relationships. To this end, the theoretical relationship
147 between scaling factors and LSTs is at first deduced from the SEB equation and Penman-Monteith
148 equation. Subsequently, the DTsEB method is developed by calculating the total differential of the
149 LST, and then, the fine-resolution LSTs can be obtained by converting the differences between the
150 LSTs at fine and coarse resolutions into differences between the VNIR/SWIR scaling factors at
151 the two resolutions. Finally, this new approach is tested on both 990 m resolution Moderate
152 Resolution Imaging Spectroradiometer (MODIS) LST and aggregated 990 m resolution Advanced
153 Spaceborne Thermal Emission and Reflection Radiometer (ASTER) LST data at six overpass
154 times between 2005 and 2015 over three 9.9 km by 9.9 km cropland (mixed by grass, tree, and
155 built-up land) study areas. As a reference, three typical LST downscaling methods, namely the
156 well-known and most widely applied TsHARP method, the later developed least median square
157 regression downscaling (LMS) method, and the geographically weighted regression (GWR)
158 method, are intercompared with the DTsEB method. Section 2 describes the study areas, the
159 remotely sensed MODIS and ASTER data, and the auxiliary ground-based meteorological data
160 involved in this study. Section 3 presents the methodology of how LST downscaling is performed
161 with the DTsEB method. Section 4 provides the LST downscaling results and discusses the
162 uncertainty, superiority, and weakness of the DTsEB method. Finally, a summary and conclusions
163 are presented in Section 5.

164 **2. Study Area and Data**

165 **2.1. Study area**

166 Three study areas (Figure 1) with spatial dimensions of 9.9 km by 9.9 km and characterized
167 by different climates and soil types were selected to evaluate the adaptability and accuracy of the
168 DTsEB method.

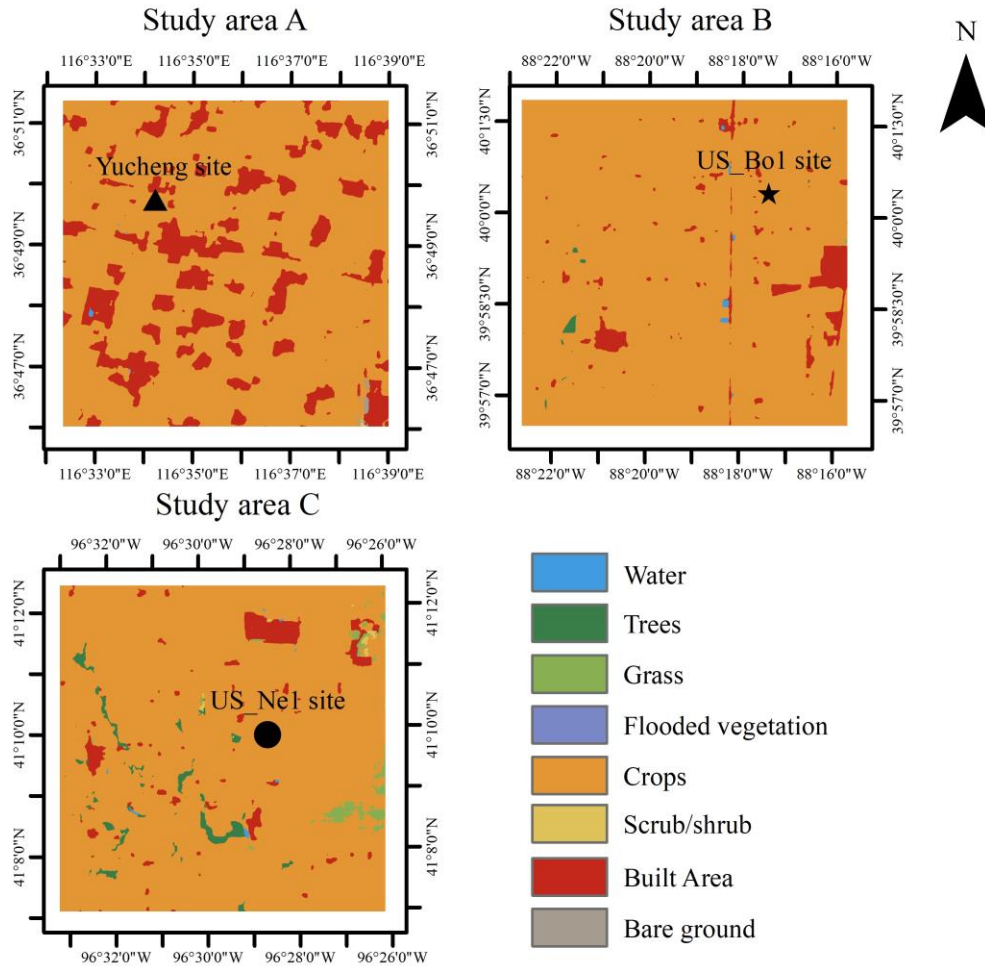
169 Study area A, which surrounds the Yucheng site (36.8291 °N/116.5703 °E, indicated by
170 the solid black triangle in Figure 1), is located in the southwestern part of Yucheng County,
171 Shandong Province, North China. This area is characterized by a subhumid monsoon climate with
172 a mean annual temperature and precipitation of 13.1 °C and 528 mm, respectively. The soil type
173 is classified as sandy loam and the land cover types primarily consist of croplands, bare soil and

174 built-up lands (including roads and buildings). Winter wheat (seeded in mid-October and harvested
175 in mid-June) and summer corn (seeded in late June and harvested in early October) are rotated in
176 this study area. More details of regarding the characteristics of this area can be found in the work
177 by *Tang & Li [2017b]*.

178 Study area B, which surrounds the US_Bo1 site (40.0062 °N/88.2904 °W, marked by the
179 solid black star in Figure 1), is located in the midwestern part of the United States near Champaign,
180 Illinois. Study area B has a deep silty clay loam soil type and is characterized by a humid
181 continental climate with a mean annual temperature of 11 °C and mean annual precipitation of 991
182 mm. The land cover types mainly include crops, trees, and built-up lands. Corn and soybeans are
183 rotated annually in this study area. The detailed information on study area B was provided by
184 *Meyers & Hollinger [2004]*.

185 Study area C, which surrounds the US_Ne1 site (41.1651 °N/96.4766 °W, indicated by the
186 solid black circle in Figure 1), is located at the University of Nebraska Agricultural Research and
187 Development Center near Mead, Nebraska, United States. Study area C has a humid continental
188 climate with a mean annual temperature of 10 °C and mean annual precipitation of 790 mm. The
189 soil type is classified as deep silty clay loam and the land cover/land use types of this study area
190 are mainly crops, built-up areas, trees, and grass. Maize and soybeans are seeded under no-till and
191 are harvested in early November and October, respectively. The detailed information of study area
192 C was provided by *Verma et al. [2005]*.

193 Surface four-component radiation (downwelling and upwelling shortwave and longwave
194 radiation) and meteorological variables, including precipitation, wind speed, relative humidity, air
195 temperature, and atmospheric pressure were regularly measured at half-hourly intervals at the three
196 ground-based sites. The auxiliary meteorological data required for evaluating the LST
197 downscaling methods were obtained from the three sites over the three study areas (Yucheng site
198 in study area A, US_Bo1 site in study area B and US_Ne1 site in study area C).



199
 200 **Figure 1.** Site geolocations (Yucheng site in study area A, US_Bo1 site in study area B and US_Ne1 site in
 201 study area C) and land use/land cover (LULC) types of the three study areas (retrieved from ESA Sentinel-2
 202 imagery at 10 m resolution), including water, trees, grass, flooded vegetation, crops, built-up area, and bare
 203 ground.

204 **2.2 Data**

205 The data used in this study include remotely sensed MODIS and ASTER datasets onboard
 206 the same satellite platform and ground-based meteorological datasets. In each study area, datasets
 207 from two different dates were used to test the LST downscaling algorithm.

208 **2.2.1 MODIS datasets**

209 MODIS data collected from the MODIS/Terra platform, including the MODIS/Terra Land
 210 Surface Temperature/Emissivity Daily L3 Global 1 km SIN Grid product (MOD11A1, Collection
 211 6) and MODIS/Terra Surface Reflectance Daily L2G Global 1 km and 500 m SIN Grid product
 212 (MOD09GA, Collection 6), were used as the original coarse-resolution data in this study. These

213 data were downloaded from the National Aeronautics and Space Administration's (NASA's)
214 Level-1 and Atmosphere Archive and Distribution System (LAADS) Distributed Active Archive
215 Center (DAAC) (<https://ladsweb.modaps.eosdis.nasa.gov/search/>). The two MODIS products
216 were acquired on April 24, 2006, and October 3, 2010, for study area A, on April 15, 2005 and
217 July 29, 2005, for study area B, and on September 2, 2014, and August 20, 2015, for study area C
218 in HDF-EOS format and were reprojected into UTM WGS 1984 50 N, UTM WGS 1984 16 N,
219 and UTM WGS 1984 14 N, respectively, with a resampling interval of 990 m by using the MODIS
220 Reprojection Tool (MRT).

221 Coarse-resolution parameters, such as the normalized difference vegetation index (NDVI)
222 and fractional vegetation cover (f_c) (used to calculate the soil heat flux), broadband albedo and
223 surface emissivity (used to calculate the surface net radiation), and surface resistance and
224 aerodynamic resistance (used to solve the Penman-Monteith equation) at 990 m can be obtained
225 with the MOD11A1 and MOD09GA products. For example, the NDVI is calculated using the
226 reflectance values in the red and near-infrared bands; the surface broadband albedo, r , is estimated
227 using the method of *Liang [2001]* (the method of *Mokhtari et al. [2013]* is applied for ASTER
228 data after April 2008 due to the malfunction of the shortwave infrared detectors), and the surface
229 emissivity, ϵ_s , is calculated using the algorithm proposed by *Qin et al. [2004]* (see the Appendix
230 D).

231 **2.2.2 ASTER datasets**

232 Concurrent remote sensing data from the ASTER sensor onboard the Terra satellite, including
233 the ASTER L2 Surface Kinetic Temperature product (AST_08) and ASTER L2 Surface
234 Reflectance Visible and Near Infrared (VNIR) and Shortwave Infrared (SWIR) product (AST_07),
235 were used as the fine-resolution data in this study. These data were collected from NASA's
236 Earthdata Search web application (<https://search.earthdata.nasa.gov/search/>).

237 The ASTER surface reflectance products (AST_07), with a pixel size of 15 m in the VNIR
238 region and 30 m in the SWIR region were spatially aggregated to 90 m to match the original spatial
239 resolution of ASTER LST (AST_08) in this study. The surface parameters, including NDVI, f_c , r ,
240 ϵ_s , surface resistance, and aerodynamic resistance at 90 m resolution can be calculated with the
241 AST_07 data (see the Appendix D).

242 **2.2.3 Ground-based meteorological data**

243 Half-hourly surface meteorological variables from the three ground sites (e.g., the Yucheng
 244 site in study area A, the US-Bo1 site in study area B and the US_Ne1 site in study area C),
 245 including downward solar radiation, wind speed, relative humidity, air temperature and vapor
 246 pressure, were collected at the Terra satellite overpass times as the auxiliary data in this study.
 247 Given the limited spatial dimensions of the study areas, the spatial variation of near-surface
 248 meteorological data was low and might contribute less to the downscaled LST than the subpixel
 249 heterogeneity of surface parameters. The meteorological data from the ground sites were thus
 250 regarded as spatially representative over the entire study area.

251 2.2.4 Digital elevation data

252 The digital elevation data (DEM) was used as the auxiliary data in the GWR method. 30 m
 253 DEM data of the three study areas (N36E116 for study area A, N39W089 and N40W089 for study
 254 area B, and N41W097 for study area C) collected from the ASTGTM product (Version 3,
 255 <https://search.earthdata.nasa.gov/search/>) were spatially aggregated to 90 m and 990 m to match
 256 the fine and coarse resolution ASTER and MODIS datasets, respectively.

257 3. Methodology

258 3.1 DTsEB method

259 LST is a direct indicator in the exchange of long-wave radiation and turbulent heat fluxes at
 260 the land–atmosphere interface and can effectively characterize the physical processes of surface
 261 energy and water balance at local to global scales [Li *et al.*, 2013]. Considering the physical
 262 interconnections between LSTs and land surface energy, we propose the DTsEB method (the flow
 263 chart is shown in Figure 2) by introducing the surface energy balance equation and Penman-
 264 Monteith equation, as follows:

$$265 \quad R_n = G + H + LE \quad (1)$$

$$266 \quad LE = \frac{\Delta(R_n - G) + \rho C_p VPD / r_a}{\Delta + \gamma(1 + r_s / r_a)} \quad (2)$$

267 where R_n is the surface net radiation, W/m^2 ; G is the soil heat flux, W/m^2 ; H is the sensible heat
 268 flux, W/m^2 ; LE is the latent heat flux, W/m^2 ; Δ is the slope of the saturated vapor pressure versus
 269 air temperature curve, $\text{kPa/}^\circ\text{C}$; ρ is the air density, kg/m^3 ; C_p is the specific heat of air, $\text{J}/(\text{kg }^\circ\text{C})$;

270 VPD is the vapor pressure deficit of air, kPa; r_a is the aerodynamic resistance, s/m; r_s is the surface
 271 resistance, s/m; and γ is the psychrometric constant, kPa/ °C.

272 The sensible heat flux (H) can be approximated by combining the difference between LST
 273 and air temperature (T_a) with the aerodynamic resistance (r_a), as follows:

$$274 \quad H = \rho C_p \frac{LST - T_a}{r_a} \quad (3)$$

275 The soil heat flux (G) is often expressed as a fraction of the surface net radiation (R_n) from
 276 the remote sensing perspective and it is estimated following the work of *Su [2002]* in this study,
 277 as follows:

$$278 \quad G = R_n \left[\Gamma_c + (1 - f_c)(\Gamma_s - \Gamma_c) \right] \quad (4)$$

279 where f_c is the fractional vegetation cover, and Γ_c and Γ_s are the fractions of G to R_n for fully
 280 covered vegetation and dry bare soil, respectively.

281 By combining and rearranging equations (1), (2), (3) and (4), we can mathematically express
 282 the LST with the following equations:

$$283 \quad LST = \frac{r_a \left[1 - \Gamma_s + (\Gamma_s - \Gamma_c) f_c \right] R_n}{\rho C_p} \frac{\gamma (1 + r_s / r_a)}{\Delta + \gamma (1 + r_s / r_a)} - \frac{VPD}{\Delta + \gamma (1 + r_s / r_a)} + T_a \quad (5)$$

284 with

$$285 \quad R_n = (1 - r) R_g + \varepsilon_s \varepsilon_a \sigma T_a^4 - \varepsilon_s \sigma LST^4 \quad (6)$$

$$286 \quad f_c = \left(\frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}} \right)^2 \quad (7)$$

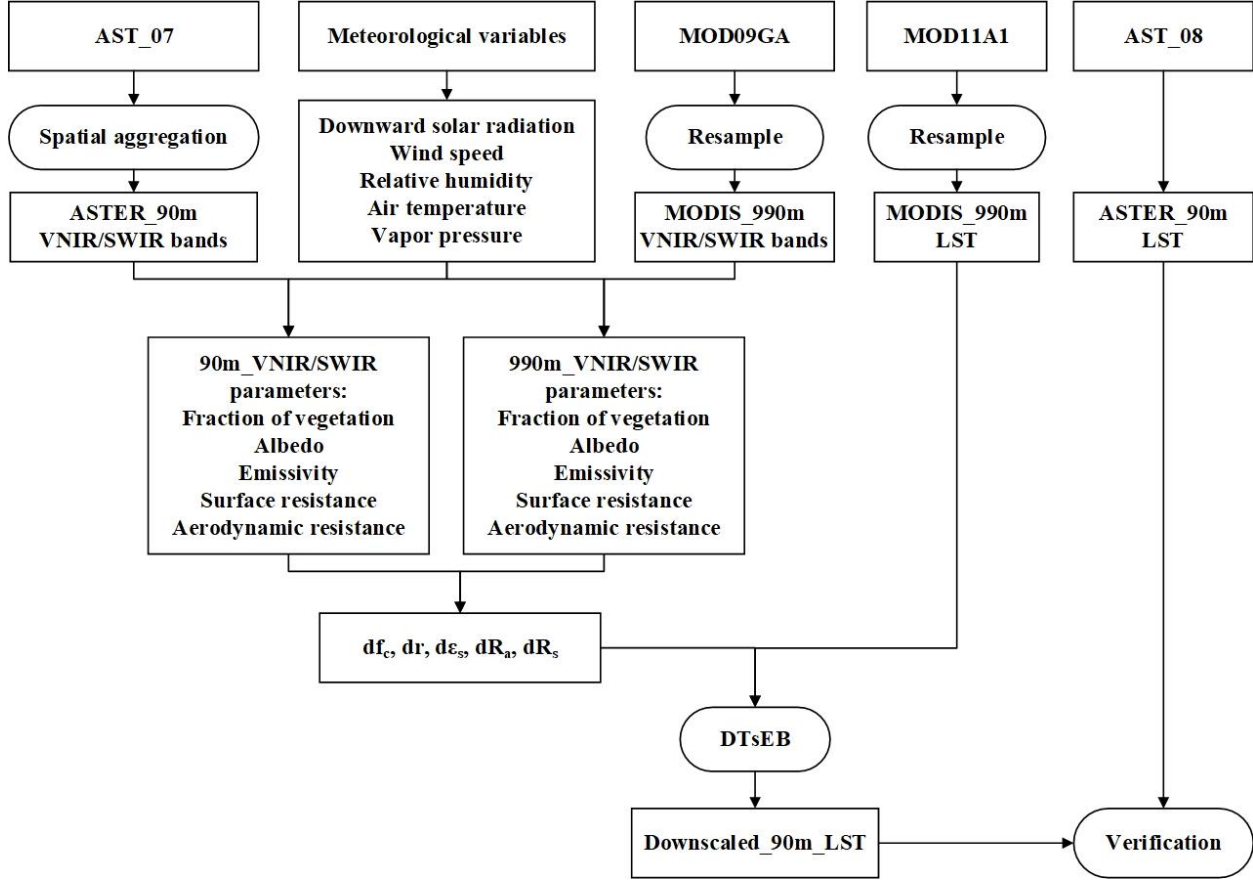
$$287 \quad r_a = \frac{\left[\ln \left(\frac{Z_u - d}{Z_{om}} \right) - \Psi_m \right] \left[\ln \left(\frac{Z_t - d}{Z_{oh}} \right) - \Psi_h \right]}{k^2 u} \quad (8)$$

$$288 \quad r_s = \frac{1}{C_L m(T_{min}) m(VPD) LAI} \quad (9)$$

289 where R_g is the global solar radiation, W/m^2 ; r is the surface albedo; ε_s is the surface emissivity; ε_a
 290 is the atmospheric emissivity; σ is the Stefan-Boltzmann constant; and $NDVI_{min}$ and $NDVI_{max}$ are
 291 the minimum NDVI corresponding to bare soil and the maximum NDVI corresponding to fully
 292 vegetated surfaces, respectively; Z_u and Z_t are the heights at which the wind speed and air
 293 temperature are observed, respectively, m; k is the von Karman constant; u is the wind speed, m/s;
 294 d is the zero plane displacement height, m; z_{om} is the surface momentum roughness height, m; z_{oh}
 295 is the roughness height for surface heat transfer, m; Ψ_m and Ψ_h are the stability correction functions
 296 for momentum and heat transfer, respectively [Paulson, 1970]; LAI is the leaf area index; C_L is the
 297 mean potential stomatal conductance per unit leaf area; $m(T_{min})$ is a multiplier that limits the
 298 potential stomatal conductance by the minimum air temperature; and $m(VPD)$ is a multiplier used
 299 to reduce the potential stomatal conductance when the VPD is sufficient to reduce the canopy
 300 conductance [Mu et al., 2007, 2011]. In Eq. (5), all the surface environmental and ecophysiological
 301 parameters including the fractional vegetation cover, surface emissivity, broadband albedo,
 302 aerodynamic resistance, surface resistance, and surface net radiation that are involved in the
 303 surface energy budget and drive the spatial variation of the LSTs are mechanically interrelated.

304 In this work, $\Gamma_c = 0.05$ and $\Gamma_s = 0.4$ are assumed in the soil heat flux calculations [Daughtry
 305 et al., 1990; Li & Lyons, 1999; Tang et al., 2010]; $z_{oh} = 0.1z_{om}$ where z_{om} is 0.125 times the
 306 vegetation height, h ($z_{om} = 0.125h$), and in this study, following the work of Tang et al. [2013] and
 307 Teixeira et al. [2009], h is estimated as a function of the surface albedo and NDVI. A summary of
 308 how these intermediate variables/parameters are estimated is provided in the Appendix D.

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310

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Figure 2. Flow chart of LST downscaling based on the DTsEB algorithm

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Given the negligible spatial variations in the atmospheric parameters over the subpixels within a coarse pixel, the difference between fine-resolution LST and coarse-resolution LST primarily comes from the heterogeneity of the surface parameters (e.g., albedo, emissivity, fractional vegetation cover, resistance) within the coarse pixel and can be obtained by calculating the total differential of Eq. (5), as follows:

317

$$dLST = \frac{\partial LST}{\partial R_n} dR_n + \frac{\partial LST}{\partial f_c} df_c + \frac{\partial LST}{\partial r_a} dr_a + \frac{\partial LST}{\partial r_s} dr_s \quad (10)$$

318 with

319

$$dR_n = -R_g dr + (\epsilon_a \sigma T_a^4 - \sigma LST_{CR}^4) d\epsilon_s - 4\epsilon_s \sigma LST_{CR}^3 dLST \quad (11)$$

320

We combine equation (11) into equation (10), as follows:

$$dLST = \frac{\frac{\partial LST}{\partial R_n} \left[-R_s dr + (\varepsilon_a \sigma T_a^4 - \sigma LST_{CR}^4) d\varepsilon_s \right] + \frac{\partial LST}{\partial f_c} df_c + \frac{\partial LST}{\partial r_a} dr_a + \frac{\partial LST}{\partial r_s} dr_s}{1 + \frac{\partial LST}{\partial R_n} 4\varepsilon_s \sigma LST_{CR}^3} \quad (12)$$

in which,

$$\frac{\partial LST}{\partial R_n} = \frac{r_a \left[1 - \Gamma_s + (\Gamma_s - \Gamma_c) f_c \right]}{\rho C_p} \frac{\gamma (1 + r_s/r_a)}{\Delta + \gamma (1 + r_s/r_a)} \quad (13)$$

$$\frac{\partial LST}{\partial f_c} = \frac{r_a (\Gamma_s - \Gamma_c) R_n}{\rho C_p} \frac{\gamma (1 + r_s/r_a)}{\Delta + \gamma (1 + r_s/r_a)} \quad (14)$$

$$\frac{\partial LST}{\partial r_a} = \frac{\left[1 - \Gamma_s + (\Gamma_s - \Gamma_c) f_c \right] R_n}{\rho C_p} \frac{\gamma \Delta + \gamma^2 (1 + r_s/r_a)^2}{\left[\Delta + \gamma (1 + r_s/r_a) \right]^2} - \frac{\gamma VPD r_s / r_a^2}{\left[\Delta + \gamma (1 + r_s/r_a) \right]^2} \quad (15)$$

$$\frac{\partial LST}{\partial r_s} = \frac{\left[1 - \Gamma_s + (\Gamma_s - \Gamma_c) f_c \right] R_n}{\rho C_p} \frac{\gamma \Delta}{\left[\Delta + \gamma (1 + r_s/r_a) \right]^2} + \frac{\gamma VPD / r_a}{\left[\Delta + \gamma (1 + r_s/r_a) \right]^2} \quad (16)$$

where the $\frac{\partial LST}{\partial R_n}$, $\frac{\partial LST}{\partial f_c}$, $\frac{\partial LST}{\partial r_a}$ and $\frac{\partial LST}{\partial r_s}$ can be calculated with the coarse resolution VNIR/SWIR and ground-based meteorological data, the dR_n , df_c , dr_a , dr_s , dr , and $d\varepsilon_s$ are the variations of R_n , f_c , r_a , r_s , r , and ε_s between fine and coarse resolutions, respectively, and the $\frac{\partial LST}{\partial R_n} dR_n$, $\frac{\partial LST}{\partial f_c} df_c$, $\frac{\partial LST}{\partial r_a} dr_a$, and $\frac{\partial LST}{\partial r_s} dr_s$ represent the contribution of surface net radiation, the contribution of fraction of the vegetation, the contribution of aerodynamic resistance, and the contribution of surface resistance to $dLST$, respectively. The subscript CR stands for the variable at coarse resolution.

Overall, combining the surface energy balance equation and Penman-Monteith equation, we can express the differences between LSTs at fine and coarse resolutions as a function of the differences between the surface parameters (i.e., $\frac{\partial LST}{\partial R_n} dR_n$, $\frac{\partial LST}{\partial f_c} df_c$, $\frac{\partial LST}{\partial r_a} dr_a$ and $\frac{\partial LST}{\partial r_s} dr_s$, which can be obtained with VNIR/SWIR data) at the two resolutions. The final downscaled fine-resolution LST (LST_{FR}) can be expressed as the sum of the coarse-resolution LST (LST_{CR}) and the LST difference, as follows:

339
$$LST_{FR} = LST_{CR} + dLST \quad (17)$$

340 **3.2 Model application**

341 To better understand error propagation in LST downscaling and how the reference LST truth
342 affects the model performance, the proposed physical-based DTsEB method is evaluated for two
343 different cases. As a reference, the downscaling results from the widely applied TsHARP method
344 [Agam *et al.*, 2007; Kustas *et al.*, 2003], the LMS method [Mukherjee *et al.*, 2014; Bisquert *et al.*,
345 2016], and the GWR method [Duan *et al.*, 2016] (see the Appendix A, B, and C for a description
346 of these three methods) are also intercompared.

347 Case 1: downscaling of the 990 m MODIS LST product to 90 m and validation against the
348 concurrent 90 m ASTER LST product. In this case, six scenes of original coarse-resolution
349 MODIS LST data (990 m) for different growing dates of crops over three study areas were first
350 downscaled to 90 m. Then, the fine-resolution ASTER LST products at 90 m (AST_08) were used
351 as the reference LST data to validate the downscaled results. Because both the MODIS and ASTER
352 sensors are onboard the same satellite platform (Terra), errors caused by altitude and time
353 differences between different satellites can be eliminated.

354 Case 2: downscaling of 990 m aggregated ASTER LST data to 90 m and validation against
355 the 90 m ASTER LST product that was used for aggregation. In this case, the original 90 m ASTER
356 datasets were first spatially aggregated to a resolution of 990 m by assuming the conservation of
357 surface emitted energy for AST_08 LST products and by the arithmetic mean for the AST_07
358 reflectance products. The LST downscaling methods were then performed on these aggregated
359 datasets. The aggregated 990 m LSTs were used here with an implicit assumption that the coarse-
360 resolution LSTs are highly consistent with the reference fine-resolution LSTs. The uncertainties
361 introduced by the differences between the coarse-resolution LSTs and reference fine-resolution
362 LSTs can therefore be excluded to some extent.

363 During model application, essential quality control procedures were conducted to remove
364 pixels (~ 0.3%) characterized by low vegetation cover (NDVI < 0.1) with extremely high r_a and r_s
365 (> 1,000 s/m) values at 90 m resolution, to reduce the abnormalities in the downscaled LSTs in the
366 DTsEB method. Note that fine-resolution data in this study were from 90 m ASTER NVIR and
367 SWIR reflectance products. More generally, for a targeted coarse-resolution LST to be downscaled

368 in practical applications, concurrent (or adjacent) reflectance measurements with the sensor
 369 onboard the same (or other) satellite platform can be used as the fine-resolution data.

370 3.3 Statistical Analyses

371 Once the LST downscaling results are obtained, they were compared with the 90 m reference
 372 fine-resolution ASTER LST. The following statistical metrics, namely the root mean square error
 373 (RMSE), mean absolute error (MAE), mean bias (BIAS), normalized root mean square error
 374 (NRMSE), and correlation coefficient (R), were calculated to measure the model performance, as
 375 shown in Equations (18) - (22):

$$376 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (LST_{dsl,i} - LST_{ref,i})^2}{n}} \quad (18)$$

$$377 \quad MAE = \frac{\sum_{i=1}^n |LST_{dsl,i} - LST_{ref,i}|}{n} \quad (19)$$

$$378 \quad BIAS = \frac{\sum_{i=1}^n (LST_{dsl,i} - LST_{ref,i})}{n} \quad (20)$$

$$379 \quad NRMSE = \frac{RMSE}{SD} \quad (21)$$

$$380 \quad R = \frac{\sum_{i=1}^n (LST_{dsl,i} - \overline{LST_{dsl,i}})(LST_{ref,i} - \overline{LST_{ref,i}})}{\sqrt{\sum_{i=1}^n (LST_{dsl,i} - \overline{LST_{dsl,i}})^2} \sqrt{\sum_{i=1}^n (LST_{ref,i} - \overline{LST_{ref,i}})^2}} \quad (22)$$

381 where, LST_{dsl} is the downscaled LST, LST_{ref} is the reference LST, $\overline{LST_{dsl}}$ and $\overline{LST_{ref}}$ are the
 382 average values of LST_{dsl} and LST_{ref} , respectively, SD is the standard deviation of reference LST.

383 4. Results and Discussion

384 4.1 Application to MODIS datasets

385 Before using the ASTER LST data to evaluate the downscaling results of the coarse-
 386 resolution MODIS LST data, the original 990 m MODIS LST data, the aggregated 990 m ASTER

387 LST data and the reference 90 m ASTER LST data from study areas A, B and C were compared,
388 as shown in Table 1 and Figure 3. A deviation was found between the ASTER LST products
389 (AST08) and the MODIS LST products (MOD11A1) because the former were generated from the
390 Temperature/Emissivity Separation (TES) algorithm while the latter were generated from the
391 generalized spilt-window (GSW) algorithm. From a visual comparison, clear spatial pattern
392 differences between the MODIS LST (Figure 3a) and ASTER LST (Figure 3b) data were observed.
393 The spatial distribution of the MODIS LSTs was smooth, whereas the ASTER LSTs could more
394 effectively reflect sharp variations and spatial heterogeneity over the three study areas. In addition,
395 compared with the ASTER LSTs, the MODIS LSTs were lower overall (approximately 2 K lower
396 on average, see Table 1), especially in the high value range of LSTs. For example, the differences
397 between the highest ASTER and MODIS LSTs on April 24, 2006 for study area A, on July 29,
398 2005 for study area B and on August 20, 2015 for study area C were as great as ~10 K, ~14 K and
399 ~12 K, respectively, while in the low value range of LSTs, the MODIS LSTs were observed to be
400 somewhat higher than the ASTER LSTs (Figure 3 and Table 1). These differences imply that the
401 MODIS LSTs had a narrower value distribution than the ASTER LSTs, and this narrower value
402 distribution was much remarkable than that of aggregated ASTER LSTs.

403 **Table 1.** The minimum, maximum, and mean of the coarse-resolution MODIS LSTs and the aggregated ASTER
404 LSTs for model applications and the reference fine-resolution ASTER LSTs for validation.

Study Area & Date		MODIS LST			Aggregated ASTER LST			ASTER LST		
		at 990 m			at 990 m			at 90 m		
		Min. (K)	Max. (K)	Mean (K)	Min. (K)	Max. (K)	Mean (K)	Min. (K)	Max. (K)	Mean (K)
A	April 24, 2006	294.36	297.80	295.94	295.85	303.43	298.30	294.30	307.34	298.25
A	October 3, 2010	297.64	300.16	298.73	298.63	302.06	300.00	295.20	306.16	299.97
B	April 15, 2005	298.10	303.74	301.28	299.60	307.19	303.89	293.78	310.47	303.86
B	July 29, 2005	299.56	304.18	300.79	300.36	309.91	302.32	298.70	318.20	302.35
C	September 2, 2014	298.0	301.48	299.19	298.66	304.60	300.84	296.50	312.90	300.83
C	August 20, 2015	298.64	302.04	299.64	300.72	305.93	302.42	296.50	314.30	302.41

405 Figures 3 and 4 show the spatial patterns of the LSTs downscaled from MODIS products
406 using the DTsEB, TsHARP, LMS and GWR methods and scatter plots of the comparisons between
407 the downscaled LSTs and ASTER LSTs, respectively. In general, the spatial distributions of the
408 downscaling results based on the DTsEB (Figure 3c), TsHARP (Figure 3d), LMS (Figure 3(e))
409 and GWR (Figure 3(f)) were basically consistent with the spatial distribution of the ASTER LSTs
410 (Figure 3b). All the four LST downscaling methods were able to enhance the spatial details of the
411 original coarse pixels to some extent. However, in reference to the ASTER LSTs, the DTsEB
412 method could more successfully reconstruct the subpixel spatial variations within a coarse MODIS
413 LST pixel and, in particular, display the texture features better than the TsHARP, LMS, and GWR
414 methods for all three study areas. For example, from circled region 1 in study area A on April 24,
415 2006 and circled region 3 in study area C on September 2, 2014, the high-value subpixels within
416 the low-value coarse-resolution pixels were better reproduced in the DTsEB downscaled LSTs,
417 whereas smooth subpixel variation was represented in the TsHARP, LMS, and GWR downscaled
418 LSTs. For the generally high LST values in study area B on April 15, 2005 (see circled region 2
419 in Figure 3), the spatial details of the low LST subpixels that were mixed with high-value pixels
420 could also be better displayed by the DTsEB method. In summary, the DTsEB method
421 outperformed the TsHARP, LMS, and GWR methods in all the study areas, i.e., A, B and C.
422 Especially for high value ranges of LSTs, the DTsEB method better reproduced the spatial details
423 of ASTER LSTs (but possibly with some scatters over study areas B and C, as shown in Figure 4)
424 whereas the TsHARP, LMS, and GWR methods significantly underestimated the reference LSTs.
425 In addition, the LST downscaling results of study areas A and C were better than those of study
426 area B regardless of which method was used. Overall, for the six scenes of LST downscaling, the
427 DTsEB method, with a lower root mean square error (RMSE) of 1.46~3.02 K, mean absolute error
428 (MAE) of 1.20~2.53 K, mean bias of -2.06~-0.23 K, normalized RMSE (by standard deviation of
429 90 m reference ASTER data) of 0.70~1.29 and correlation coefficient of 0.38~0.79, achieved a
430 higher accuracy than the TsHARP, LMS, and GWR methods. By contrast, the TsHARP method,
431 with RMSE values of 1.57~3.21 K, MAE values of 1.31~2.71 K, mean bias values of -2.43~-1.19
432 K, normalized RMSE values of 0.90~1.39 and correlation coefficient values of 0.46~0.76,
433 performed slightly worse than the LMS method, and the GWR method. With an intermediate
434 performance, the LMS method had RMSE values of 1.56~3.38 K, MAE values of 1.30~2.88 K,
435 mean bias values of -2.59~-1.04 K, normalized RMSE values of 0.89~1.44 and correlation

436 coefficient of values 0.39~0.77 and the GWR method had RMSE values of 1.57~3.25 K, MAE
437 values of 1.30~2.74 K, mean bias values of -2.41~-1.15 K, normalized RMSE values of 0.90~1.33
438 and correlation coefficient of values 0.40~0.83 (Table 2 and 4). In other words, the RMSE
439 decreased by 0.11~0.70 K (~17% on average), 0.04~0.50 K (~14% on average), and 0.08~0.58 K
440 (~16% on average) and the MAE decreased by 0.11~0.71 K (~16% on average), 0.09~0.51 K (~14%
441 on average) and 0.10~0.67 K (~15%) when the DTsEB method was applied for LST downscaling
442 instead of the TsHARP method, the LMS method, and the GWR method, respectively.

443 As mentioned in the Methodology section, the sum of the surface net radiation (R_n), fractional
444 vegetation cover (f_c), aerodynamic resistance (r_a), and surface resistance (r_s) contributions
445 constitutes the final $dLST$ (the difference in LST between 990 m and 90 m resolutions) in the
446 DTsEB method. Figure 5 displays the spatial distribution of the contributions of the above four
447 surface parameters in study areas A, B and C. From a visual comparison, the contributions of each
448 of the four parameters varied both spatially and temporally and were different from each other.
449 Compared with those of the other three parameters, the spatial variation of the contribution of r_s
450 (Figure 5(d)) was more similar to that of $dLST$ (Figure 5e). The contribution of r_s (1.26 ± 1.39 K
451 on average) had the greatest impact on the final $dLST$ results, especially for the high-value ranges
452 of $dLST$, whereas the lowest impacts and narrower variation ranges were found for the
453 contributions of R_n (0.33 ± 0.21 K on average, Figure 5a). In addition, the contributions of f_c
454 (Figure 5b) were negatively correlated with $dLST$, while the contributions of r_s were positively
455 correlated with $dLST$, which means that in the process of LST downscaling from a coarse
456 resolution to a fine resolution, an increase in f_c or decrease in r_s could lead to a decrease in LST
457 and vice versa. However, no simple correlation relationship was found between the contribution
458 of R_n or r_a and $dLST$.

459 **Table 2.** Statistical metrics of the validation of the downscaled 90 m LST by the DTsEB, TsHARP, LMS, and GWR methods from the 6 scenes of 990 m
 460 MODIS LST over three study areas with the corresponding 90 m ASTER LST. RMSE is the root mean square error, MAE is the mean absolute error, and
 461 NRMSE is the RMSE normalized by the standard deviation (SD) of the reference 90 m ASTER LST.

Study area & Date		Methods															
		DTsEB				TsHARP				LMS				GWR			
		RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE
A	Apr. 24, 2006	1.84	1.37	-0.89	0.70	2.37	1.72	-1.51	0.90	2.34	1.71	-1.51	0.89	2.36	1.69	-1.56	0.90
A	Oct. 3, 2010	1.46	1.20	-1.04	1.22	1.57	1.31	-1.24	1.31	1.56	1.30	-1.22	1.30	1.57	1.30	-1.23	1.32
B	Apr. 15, 2005	3.02	2.53	-2.06	1.29	3.21	2.71	-2.43	1.37	3.38	2.88	-2.59	1.44	3.25	2.74	-2.41	1.38
B	Jul. 29, 2005	2.03	1.26	-0.74	0.79	2.46	1.54	-1.38	0.96	2.32	1.46	-1.27	0.91	2.44	1.53	-1.39	0.95
C	Sept. 2, 2014	1.92	1.33	-0.23	0.91	2.10	1.52	-1.19	1.00	1.96	1.42	-1.04	0.93	2.00	1.45	-1.15	0.95
C	Aug. 20, 2015	2.06	1.54	-1.05	1.04	2.76	2.25	-2.22	1.39	2.52	2.05	-2.00	1.27	2.64	2.22	-2.20	1.33
Overall		2.01	1.54	-1.00	0.99	2.41	1.84	-1.66	1.16	2.35	1.80	-1.61	1.12	2.38	1.82	-1.66	1.14

462 **Table 3.** Same as Table 2, but for the 990 m aggregated ASTER data.
 463

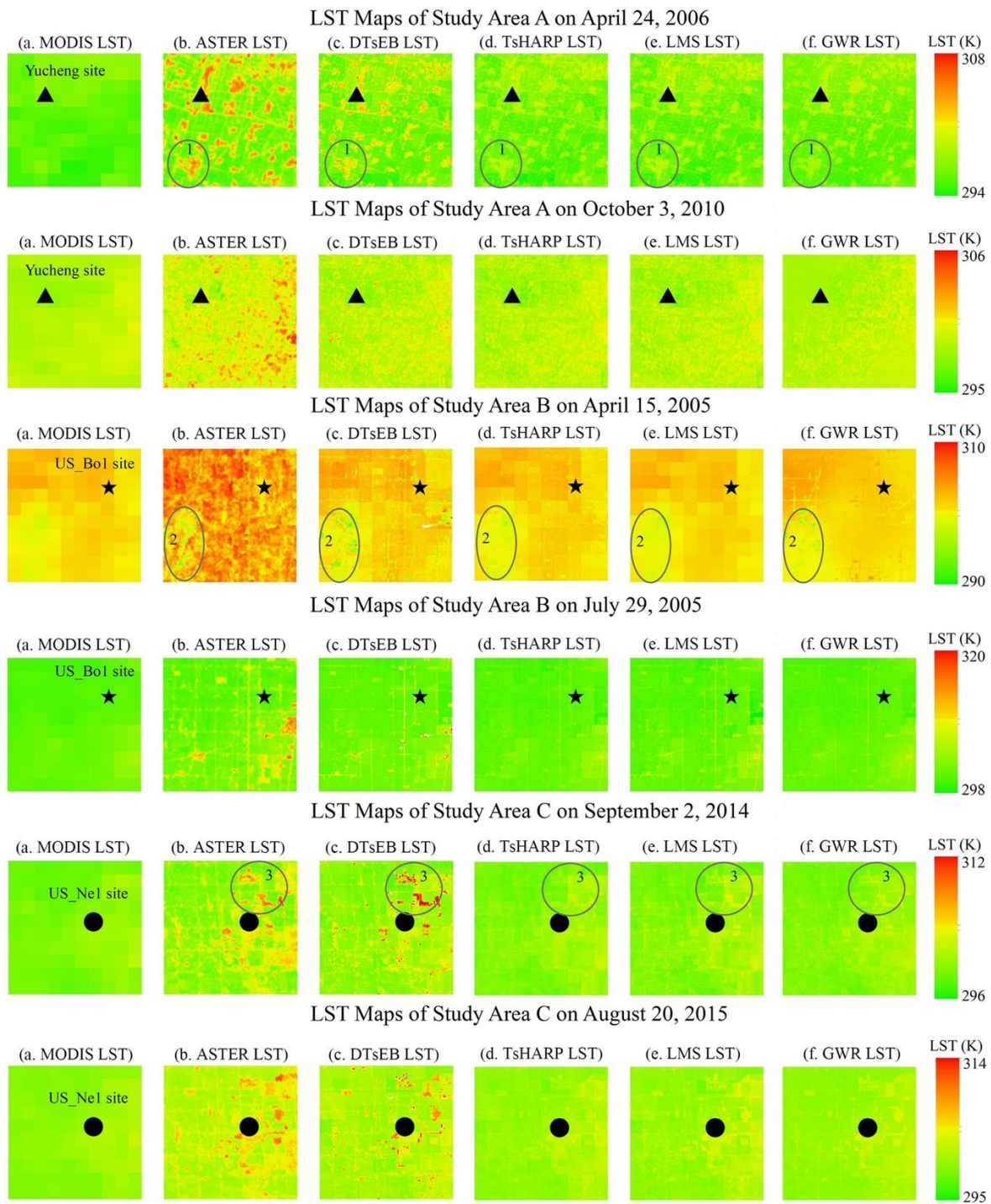
Study area & Date		Methods															
		DTsEB				TsHARP				LMS				GWR			
		RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE	RMSE (K)	MAE (K)	BIAS (K)	NRMSE
A	Apr. 24, 2006	1.54	1.25	0.30	0.59	1.74	1.35	-0.06	0.66	1.69	1.28	0.05	0.65	1.76	1.34	0.05	0.67
A	Oct. 3, 2010	0.95	0.72	0.12	0.79	1.17	0.90	0.41	0.98	1.10	0.86	0.03	0.92	1.12	0.87	0.03	0.94
B	Apr. 15, 2005	1.85	1.45	0.14	0.79	2.32	1.73	-0.03	0.99	2.03	1.58	0.03	0.86	2.36	1.79	0.02	1.00
B	Jul. 29, 2005	1.46	0.99	0.06	0.57	1.97	1.35	-0.24	0.77	2.12	1.30	-0.03	0.83	1.67	1.11	-0.08	0.65
C	Sept. 2, 2014	1.45	1.03	0.25	0.69	1.53	1.13	-0.09	0.73	1.52	1.10	0.01	0.72	1.59	1.16	-0.01	0.75
C	Aug. 20, 2015	1.29	0.92	0.24	0.65	1.51	1.11	-0.08	0.76	1.31	0.89	0.01	0.66	1.32	0.90	0.01	0.66
Overall		1.42	1.06	0.19	0.68	1.71	1.26	-0.02	0.82	1.63	1.17	0.03	0.77	1.64	1.20	0.003	0.78

464
 465

466 **Table 4.** The correlation coefficient (R) between 90 m downscaled LST by the DTsEB, TsHARP, LMS, and GWR methods and the reference 90 m ASTER
 467 LST over the three study areas.

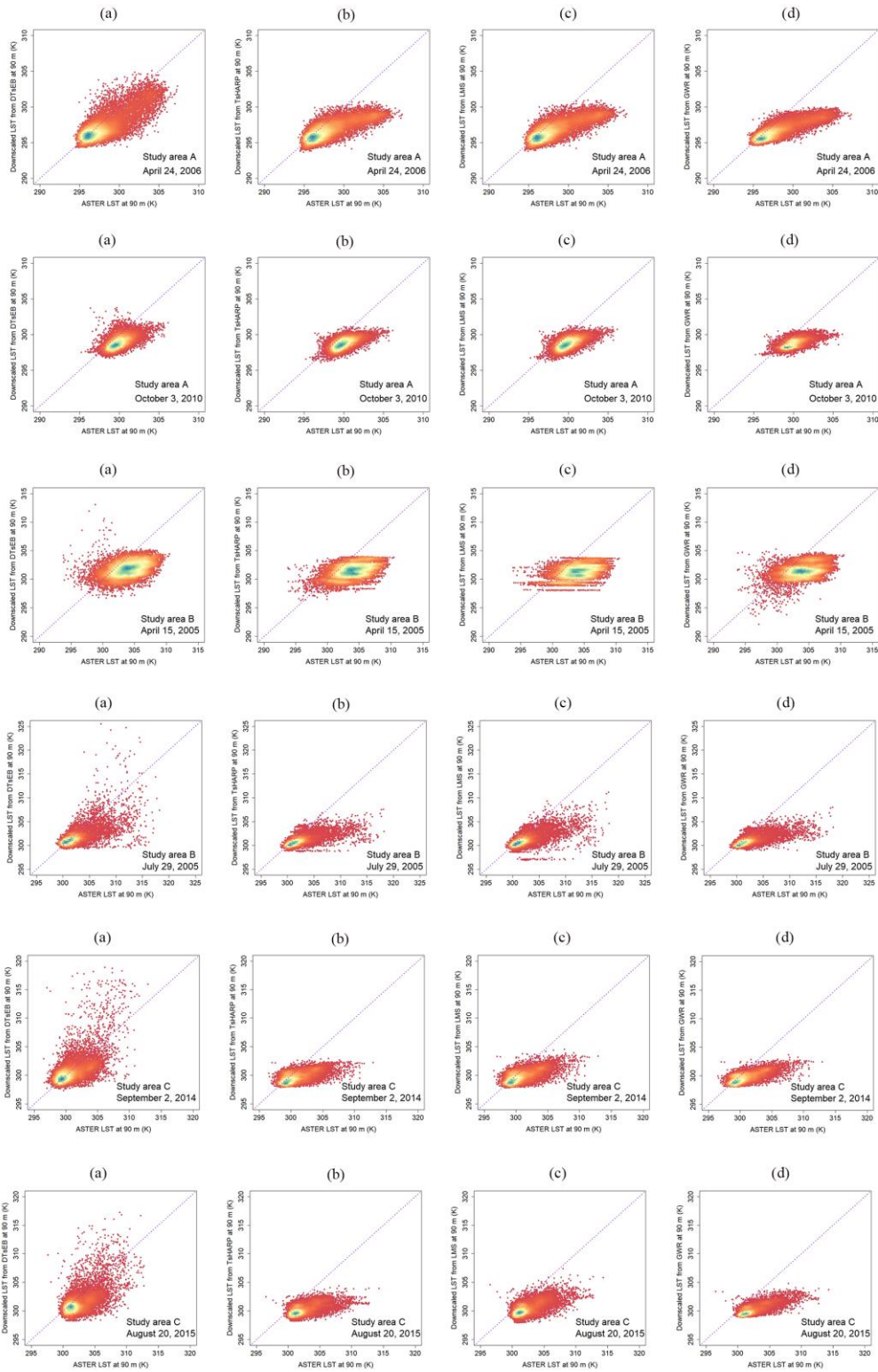
Study area & Date		Methods							
		DTsEB		TsHARP		LMS		GWR	
		MODIS LST	Aggregated ASTER LST	MODIS LST	Aggregated ASTER LST	MODIS LST	Aggregated ASTER LST	MODIS LST	Aggregated ASTER LST
A	Apr. 24, 2006	0.79	0.83	0.76	0.84	0.77	0.85	0.83	0.85
A	Oct. 3, 2010	0.55	0.63	0.59	0.61	0.58	0.61	0.58	0.57
B	Apr. 15, 2005	0.38	0.63	0.46	0.56	0.39	0.60	0.40	0.47
B	Jul. 29, 2005	0.66	0.82	0.66	0.80	0.67	0.80	0.69	0.80
C	Sept. 2, 2014	0.61	0.75	0.59	0.76	0.63	0.76	0.68	0.74
C	Aug. 20, 2015	0.59	0.78	0.58	0.72	0.64	0.80	0.73	0.79
Overall		0.60	0.74	0.61	0.72	0.61	0.74	0.65	0.70

468

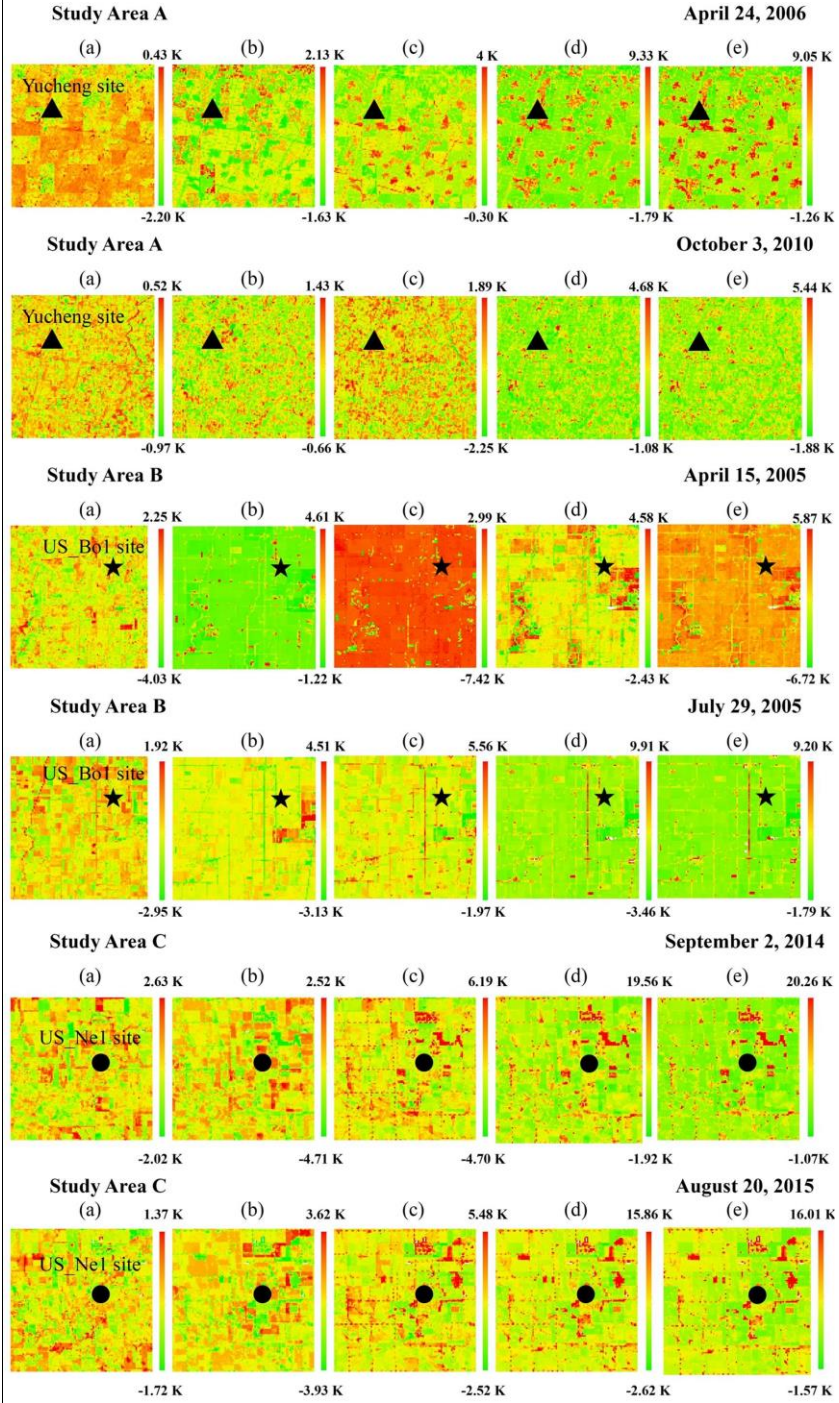


469

470 **Figure 3.** Spatial distribution of the (a) 990 m MODIS LST, (b) 90 m ASTER LST, (c) 90 m LST downscaled
 471 by the DTsEB method, (d) 90 m LST downscaled by the TsHARP method, (e) 90 m LST downscaled by the
 472 LMS method, and (f) 90 m LST downscaled by the GWR method for study areas A, B and C. Circles 1, 2 and 3
 473 (in study area A on April 24, 2006, in study area B on April 15, 2005, and in study area C on September 2, 2014,
 474 respectively) are typical areas highlighted for comparison.



475
 476 **Figure 4.** Comparisons of the 90 m LST downscaled from 990 m MODIS products using the (a) DTsEB (left
 477 panel), (b) TsHARP, (c) LMS, and (d) GWR methods with the ASTER LST for the three study areas.



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Figure 5. Spatial distribution of the contributions of the four surface parameters to the final $dLST$ results in study areas A, B and C by using the MODIS datasets: (a) contribution of the surface net radiation, $\frac{\partial LST}{\partial R_n} dR_n$; (b) contribution of fraction of the vegetation, $\frac{\partial LST}{\partial f_c} df_c$; (c) contribution of the aerodynamic resistance, $\frac{\partial LST}{\partial r_a} dr_a$; (d) contribution of the surface resistance, $\frac{\partial LST}{\partial r_s} dr_s$; and (e) the estimated $dLST$.

483 4.2 Application to aggregated ASTER datasets

484 Similar to the application to the MODIS datasets, the DTsEB, TsHARP, LMS, and GWR
485 methods were also applied to downscale the 990 m resolution aggregated ASTER LSTs to 90 m
486 resolution. Overall, the aggregated ASTER LSTs (Figure 6a) were higher than the MODIS LSTs
487 (Figure 3a) in all the study areas, i.e., A, B and C. Especially in the high-value LST ranges, the
488 aggregated coarse-resolution ASTER LSTs presented a much broader value distribution. The
489 maximum value of the aggregated ASTER LSTs (Table 1) was approximately 4 K higher than that
490 of the MODIS LSTs on average. Furthermore, from a visual comparison, the pixel-to-pixel LST
491 variations were also observed to be larger in the aggregated coarse-resolution LSTs than in the
492 MODIS LSTs. Compared with the reference ASTER LSTs without aggregation, the aggregated
493 ASTER LSTs roughly exhibited the expected similar spatial distributions in all the study areas,
494 i.e., A, B and C. The differences between the mean aggregated ASTER LSTs and the mean fine-
495 resolution ASTER LSTs over the three study areas were less than 0.1 K. The notable differences
496 between the coarse-resolution LSTs and fine-resolution reference LSTs were largely reduced with
497 the use of aggregation datasets.

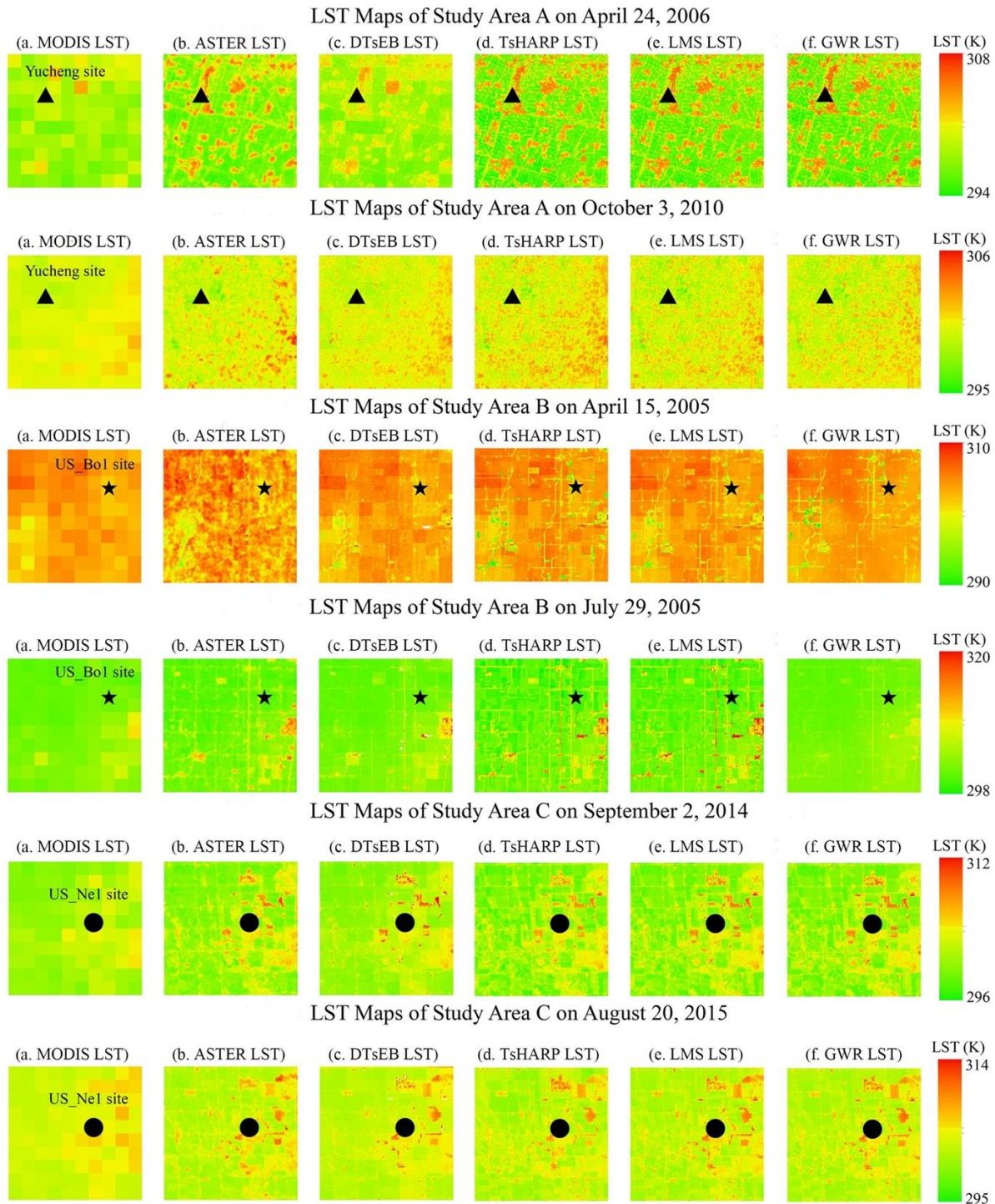
498 The spatial patterns of the 90 m LSTs downscaled from the aggregation datasets using the
499 DTsEB, TsHARP, LMS, and GWR methods over the three study areas are displayed in Figure 6
500 and a scatterplot of the comparison between the downscaled LSTs and the reference fine-resolution
501 ASTER LSTs is presented in Figure 7. Overall, although an overestimation of low LST extremes
502 and an underestimation of high LST extremes were present, the DTsEB, TsHARP, LMS, and
503 GWR methods could all effectively reconstruct subpixel spatial variations within coarse-resolution
504 pixels. The spatial distribution and texture characteristics of the three downscaled LST results were
505 similar and basically consistent with those of the 90 m reference ASTER LSTs. The accuracy of
506 LST downscaling results obtained by the DTsEB method was higher than that obtained by the
507 TsHARP, LMS, and GWR methods, while the LMS method slightly outperformed the TsHARP
508 and GWR method. The RMSE decreased by 0.08~0.51 K (~17% on average) from 1.17~2.32 K
509 for the TsHARP method, by 0.02~0.66 K (~13% on average) from 1.10~2.12 K for the LMS
510 method, and by 0.03~0.51 K (~13% on average) from 1.12~2.36 K for the GWR method, to
511 0.95~1.85 K for the DTsEB method (Table 3). As expected, the normalized RMSE of 0.57~0.79
512 for the DTsEB method was lower than that for the TsHARP method (0.66~0.99), the LMS method
513 (0.65~0.92) and the GWR method (0.65~1.00). Except for the results on August 20, 2015, over

514 study area C, where the MAE of the LMS method and GWR method was negligibly lower (0.03
515 K and 0.02 K, respectively) than that of the DTsEB method, the MAE decreased by 0.10~0.36 K
516 (16% on average) from 0.90~1.73 K for the TsHARP method, by 0.03~0.31 K (9% on average)
517 from 0.86~1.58 K for the LMS method, and by 0.09~0.34 K (12% on average) for the GWR
518 method, to 0.72~1.45 K for the DTsEB method. The correlation coefficient (Table 4), which varied
519 between 0.63 and 0.83, for the DTsEB method was comparable to that for the TsHARP method
520 (between 0.56 and 0.84), the LMS method (between 0.60 and 0.85), and the GWR method
521 (between 0.47 and 0.85), while the bias for the DTsEB method was slightly higher than that for
522 the latter three methods. Furthermore, for all the DTsEB, TsHARP, LMS, and GWR methods,
523 better LST downscaling results were obtained in study areas A and C. The RMSE values obtained
524 in the evaluation of the 90 m LSTs downscaled by the DTsEB method on October 3, 2010 in study
525 area A, for example, were lower than 1 K. However, in the LST downscaling results on April 15,
526 2005 in study area B, a larger bias was observed in the low-value ranges of LSTs for the TsHARP,
527 LMS, and GWR methods (Figure 7).

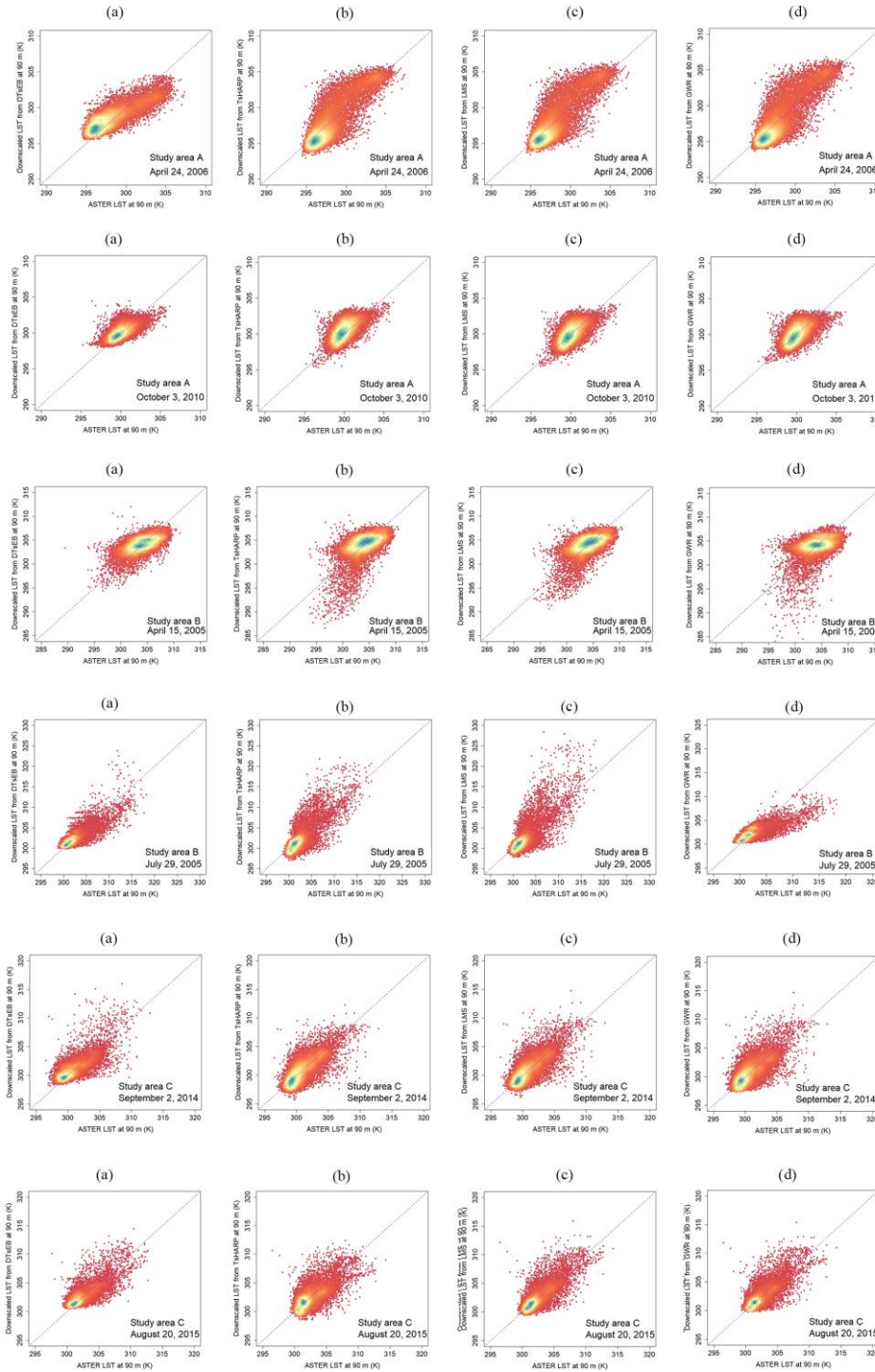
528 Compared with the application to MODIS datasets, the use of aggregated ASTER LST
529 datasets for the DTsEB, TsHARP, LMS, and GWR methods all resulted in improved accuracy of
530 the downscaled results for study areas A, B and C. When using the aggregated ASTER datasets
531 instead of the MODIS datasets, the mean RMSE (MAE) values of the DTsEB, TsHARP, LMS,
532 and GWR downscaled results decreased by 0.59 K (0.48 K), 0.70 K (0.58 K), 0.72 K (0.63 K), and
533 0.74 K (0.62 K), respectively. For study area B on April 15, 2005, the RMSE and MAE values
534 obtained by using the DTsEB decreased by 39% and 43%, respectively, while the TsHARP yielded
535 a 28% decrease in RMSE and a 36% decrease in MAE, the LMS produced a 40% decrease in
536 RMSE and a 45% decrease in MAE, and the GWR yielded a 27% decrease in RMSE and a 35%
537 decrease in MAE compared to the application to the MODIS LSTs. Furthermore, the obvious
538 underestimation in the high-value ranges of LSTs by using the MODIS datasets was effectively
539 improved in the application to the aggregated ASTER LST datasets (see the scatter plot
540 distribution in Figures 4 and 7).

541 Figure 8 displays the spatial patterns of the contributions of the four scaling factors (e.g., R_n ,
542 f_c , r_a , and r_s) to the final $dLST$ when the DTsEB method was applied to the aggregated ASTER
543 LST downscaling. Similar to the findings of the application to the MODIS datasets, the results
544 showed that the contributions of the four parameters were different from each other and varied

545 both spatially and temporally in the application to the aggregated ASTER LSTs; the contributions
546 of all four scaling factors varied from negative to positive. The contribution of r_s was most affected
547 by environmental variables and had a broader value distribution than the other three scaling factors
548 whereas the contribution of R_n had the narrowest value distribution. For example, in study area A
549 on April 24, 2006 and October 3, 2010, the contribution of R_n to the final $dLST$ was less than ± 1
550 K while in study area B on July 29, 2005 and in study area C on September 2, 2015, the greatest
551 contributions of r_s to the final $dLST$ were larger than 18 K and 14 K, respectively. Furthermore,
552 the contributions of r_s (Figure 8c) were positively correlated with the $dLST$ (Figure 8e) and had
553 the greatest impact on the final $dLST$ results (0.72 ± 0.84 K on average), which was similar to the
554 findings in the application to the MODIS LSTs. Meanwhile, the contributions of f_c (Figure 8b)
555 were negatively correlated with $dLST$, which is consistent with the negative correlation
556 relationship between surface vegetation and LSTs. The lowest impact on the final $dLST$ was found
557 in the contributions of R_n (0.19 ± 0.18 K on average, Figure 8a). Compared with the application to
558 the MODIS datasets, the spatial distribution of the contributions of the four scaling factors was
559 smoother, and the contributions of r_a and r_s to the final $dLST$ in high-value ranges were larger.



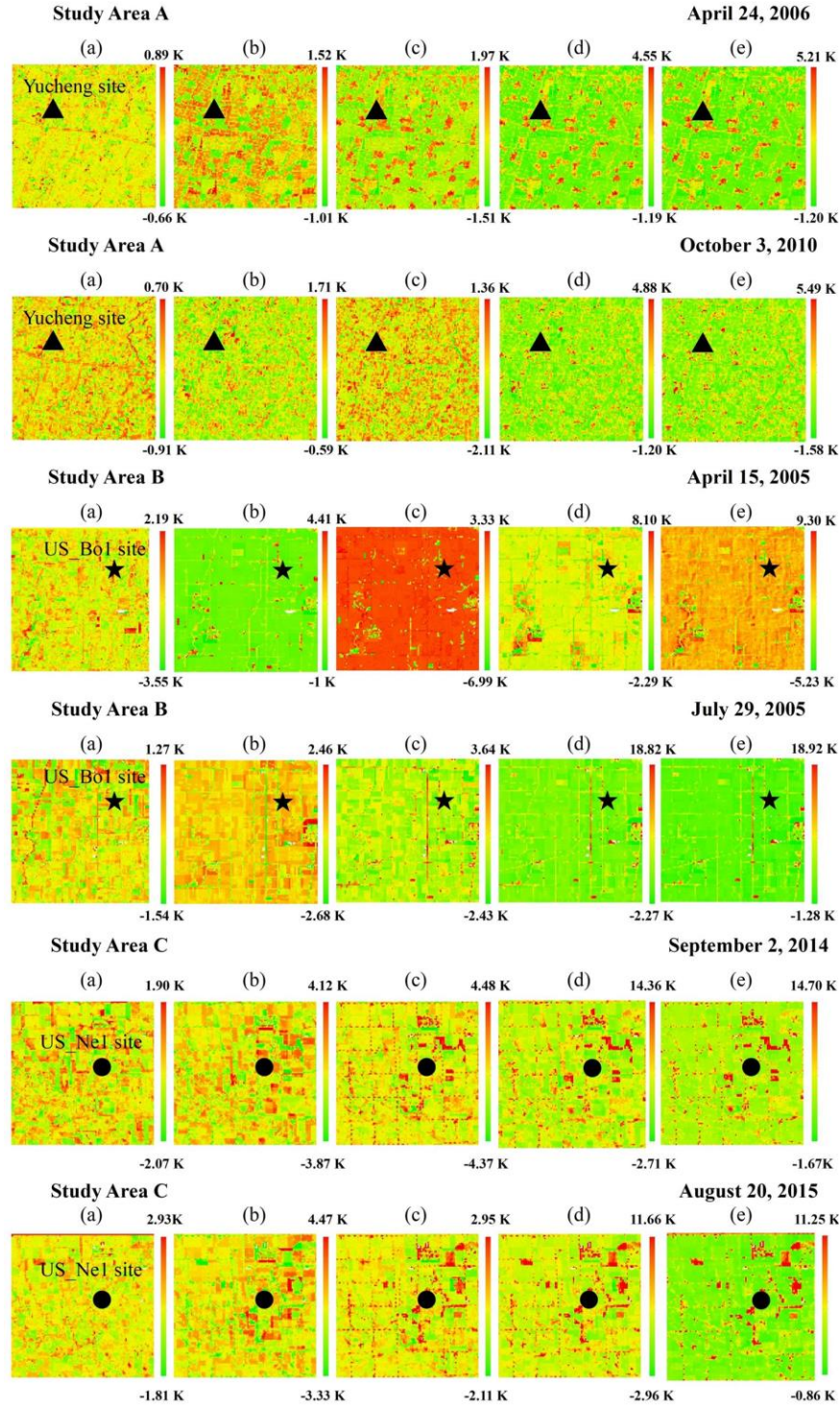
560
 561 **Figure 6.** Spatial distributions of the (a) 990 m aggregated ASTER LSTs, (b) 90 m reference ASTER LSTs, (c)
 562 90 m LSTs downscaled by the DTsEB method, (d) 90 m LSTs downscaled by the TsHARP method, (e) 90 m
 563 LSTs downscaled by the LMS method, and (f) 90 m LSTs downscaled by the GWR method for study areas A,
 564 B and C.
 565



566

567 **Figure 7.** Comparisons of the 90 m LSTs downscaled from the 990 m aggregated ASTER LSTs using the DTsEB

568 (a), TsHARP (b), LMS (c), and GWR (d) methods with ASTER LSTs for the three study areas.



569
 570 **Figure 8.** Spatial distribution of the contributions of four surface parameters to the final $dLST$ results in study
 571 areas A, B, and C determined by using the aggregated ASTER datasets: (a) contribution of the surface net
 572 radiation, $\frac{\partial LST}{\partial R_n} dR_n$; (b) contribution of the fraction of vegetation, $\frac{\partial LST}{\partial f_c} df_c$; (c) contribution of the aerodynamic
 573 resistance, $\frac{\partial LST}{\partial r_a} dr_a$; (d) contribution of the surface resistance, $\frac{\partial LST}{\partial r_s} dr_s$; and (e) the estimated $dLST$.

574 4.3 Discussion

575 The improvements in LST downscaling methods made in recent years mainly include the
576 following two aspects: 1) selecting more appropriate scaling factors and 2) establishing more
577 accurate relationships between LSTs and scaling factors. Regrettably, many of the scaling factors
578 chosen in previous works simply remedied the regressed negative correlation relationships
579 between NDVI and LSTs [Merlin *et al.*, 2010; Yang *et al.*, 2011; Bonafoni, 2016; Duan & Li,
580 2016], which is somewhat arbitrary and site-specific. Furthermore, most of the established
581 relationships between LSTs and scaling factors were from statistical regressions [Agam *et al.*, 2007;
582 Bindhu *et al.*, 2013], which limited the applicability and robustness of the LST downscaling
583 algorithm and even resulted in different LSTs for the same pixel under different regression
584 equations and sizes of areas of interest. Instead of selecting the scaling factors subjectively and
585 using statistical regression relationships with no explicit physical mechanism, the DTsEB method
586 proposed in this paper improves the downscaling of coarse-resolution LSTs by proposing
587 analytical equations. These equations make use of surface energy balance constraints to provide a
588 physically intuitive mechanism for combining the thermal infrared spectrum data (coarse
589 resolution) with the VNIR and SWIR spectrum data (fine resolution).

590 The performance improvements in the DTsEB method against the TsHARP, LMS, and GWR
591 methods varied among different scenes and different underlying surface conditions. The DTsEB
592 downscaled results were better than the TsHARP, LMS, and GWR results, especially in high LST
593 ranges. The underestimations of TsHARP, LMS, and GWR over high LST value pixels (see the
594 scatter plots in Figures 4 and 7) indicate the limitations in the extension of regression equations
595 constructed with narrower NDVI ranges at coarse resolution to applications at wider NDVI ranges
596 at fine resolution. Taking the concurrent 90 m ASTER LST product as the reference LST, the
597 TsHARP method yielded average RMSE (MAE) values of 2.41 K (1.84 K) and 1.71 K (1.26 K)
598 in the downscaling of the 990 m MODIS LSTs and the aggregated ASTER LSTs to 90 m,
599 respectively. By contrast, for the LMS method the average RMSE (MAE) values were 2.35 K
600 (1.80 K) and 1.63 K (1.17 K), respectively, and for the GWR method the average RMSE (MAE)
601 values were 2.38 K (1.82 K) and 1.64 K (1.20 K), respectively. These LST downscaling accuracies
602 of the TsHARP, LMS, and GWR methods are comparable to those achieved in previous studies.
603 For example, Hutengs & Vohland [2016] applied TsHARP to downscale 960 m aggregated ETM+
604 LST to 240 m resolution and obtained an average RMSE of 1.48 K (referenced to 240 m ETM+

605 LST data). In the downscaling of 990 m MODIS LSTs to 90 m resolution, the TsHARP method
606 achieved RMSEs of 3.62 K and 2.16 K (referenced to 90 m ASTER LST data) for two different
607 study areas in *Wu & Li's* work [2019]. The LMS method in *Mukherjee et al.'s* [2014] work
608 produced an average RMSE of 1.43 K in the downscaling of 1000 m MODIS LSTs to 250 m
609 (referenced to 250 m TM LST data) and in *Bisquert et al.'s* [2016] work generated average RMSE
610 values of 1.80 K and 2.10 K in downscaling 960 m MODIS LSTs and aggregated ETM+ LSTs to
611 60 m (referenced to 60 m ETM+ LST data), respectively. *Duan & Li* [2016] introduced the GWR
612 to downscale the 990 m MODIS LST to 90 m (referenced to 90 m ASTER LST data), and obtained
613 an average RMSE (MAE) of 3.1 K (2.3 K). Compared to the TsHARP, LMS, and GWR, the
614 DTsEB method in this study improved LST downscaling, with average RMSEs (MAEs) of 2.01
615 K (1.54 K) and 1.42 K (1.06 K) in the application to the 990 m MODIS datasets and aggregated
616 ASTER datasets, respectively, indicating the effectiveness of this new proposed method. The
617 average RMSE decrease achieved by using the DTsEB (17% and 17% compared to the TsHARP,
618 14% and 13% compared to the LMS, and 16% and 13% compared to the GWR in application to
619 the MODIS datasets and aggregated ASTER datasets, respectively) in the LST downscaling
620 compared favorably to those achieved by the Extended-RFD method (13% to 26% relative to the
621 TsHARP) in *Hutengs & Vohland* [2016] and the regression tree-based method (averages of 20%
622 and 25% relative to the TsHARP for an irrigated agricultural site and heterogeneous naturally
623 vegetated area, respectively) in *Gao et al.* [2012]. Furthermore, compared to the downscaling
624 methods suggested by *Merlin et al.* [2010], *Chen et al.* [2014] and *Duan & Li* [2016], the DTsEB
625 method is also observed to produce similar or better LST accuracy in downscaling kilometer-
626 resolution LSTs to fine resolution. In the work of *Merlin et al.* [2010], broadband albedo was
627 introduced into the TsHARP method to distinguish photosynthetically and nonphotosynthetically
628 active vegetation and finally achieved average RMSEs of 3.81 K and 2.78 K in downscaling 1 km
629 resolution MODIS LSTs and aggregated ASTER LSTs to 100 m resolution, respectively. *Chen et*
630 *al.* [2014] combined the TsHARP method with thin-plate spline interpolation to downscale 1-km-
631 resolution MODIS LSTs to 250 m resolution and obtained an RMSE of 2.38 K. *Duan & Li* [2016]
632 introduced geographically weighted regression to the TsHARP method and obtained an average
633 RMSE of 2.7 K in downscaling 990 m resolution MODIS LSTs to 90 m resolution.

634 The improved LST downscaling results obtained in the application to aggregated ASTER
635 datasets compared to the application to MODIS datasets for the DTsEB, TsHARP, LMS, and GWR

636 methods mainly resulted from the smaller differences between the coarse-resolution aggregated
637 ASTER LSTs and fine-resolution reference ASTER LSTs than between the coarse-resolution
638 MODIS LSTs and fine-resolution reference ASTER LSTs, which is consistent with the previous
639 findings that differences between coarse and reference fine resolution LSTs could directly affect
640 evaluations of downscaling results [Agam *et al.*, 2007; Merlin *et al.*, 2010]. Yang *et al.* [2011]
641 also found that downscaled LSTs often have a relatively high accuracy by using resampling and
642 aggregation methods. Different from the intercalibration of the coarse- and fine-resolution LSTs
643 and surface parameters (such as NDVI) that were obtained from different sensors in the works of
644 Bindhu *et al.* [2013], the datasets from the MODIS and ASTER sensors onboard the same satellite
645 platform, which avoided errors caused by different satellite altitudes and overpass times, were used
646 directly without extra processing in this study. In this aspect, reasonably enhancing the spatial
647 details of original coarse-resolution MODIS LSTs (Real data) is crucial to LST downscaling
648 methods. Given the relatively large differences between the LSTs from these two sensors, the
649 better performance of the DTsEB method compared with the TsHAPR, LMS, and GWR methods,
650 especially in the high-value ranges of LSTs, highlights the high robustness, generality, and
651 accuracy of DTsEB. Nevertheless, both the coarse-resolution remotely sensed LST products and
652 the reference fine-resolution LST products have an intrinsic bias, which is difficult to exclude in
653 LST downscaling.

654 Another advantage of the DTsEB method is its ability to properly quantify the contributions
655 of each scaling factor (e.g., surface net radiation, fraction of vegetation, aerodynamic resistance
656 and surface resistance) within a physical framework. Although the values of these scaling factors
657 are likely to vary with the spatial resolution of the VNIR/SWIR images, the physical relationship
658 remains inviable, whereas the regression-based TsHARP, LMS, and GWR methods and others
659 only attribute the subpixel spatial variations of LST to one or more vegetation indices and
660 topographic variables (e.g., NDVI, NDWI, NDBI, EBBI, BI, TVDI, and DEM, see Introduction
661 Section), and their regression relationships are different from one another. The test results in this
662 study revealed that the surface resistance and aerodynamic resistance were, overall, the largest and
663 second largest factors, respectively, which contributed to the subpixel spatial variations of coarse-
664 resolution land surface temperature for the whole spatial domain in the three study areas. An
665 exception, for which the largest contribution was from the aerodynamic resistance in the
666 downscaling of MODIS surface temperatures, occurred and was possibly due to the intrinsic

667 difference in the surface temperature and reflectance measurements between the MODIS and
668 ASTER sensors. Different from the DTsEB method, the regression-based downscaling techniques
669 (e.g., TsHARP, LMS, GWR and other methods) were flawed in their attribution of the
670 contributions and different attribution results could be obtained from these techniques with
671 different independent variables (e.g., scaling factors), which clearly does not make sense.

672 Downscaled LSTs are often accompanied by the notorious “boxy effect” [Agam *et al.*, 2007,
673 2008; Duan & Li, 2016; Bindhu *et al.*, 2013], which results from the addition of the constant
674 residuals obtained at coarse resolutions. This addition is necessary and can help improve LST
675 downscaling when there are LST differences at coarse resolution between the values calculated by
676 the constructed relationship with the scaling factors and the values extracted from the remotely
677 sensed image to be downscaled. The boxy effects become more pronounced when the residual
678 errors are larger and disappear if LST downscaling is performed without adding the constant
679 residual or the constructed relationship can perfectly (no residual error) represent the remotely
680 sensed LSTs at coarse resolution. Compared to the TsHARP, LMS and GWR methods, the DTsEB
681 method makes use of the dLST that represents the differences between LSTs at fine and coarse
682 resolutions and is expressed as a function of the differences between surface parameters (e.g., dR_n ,
683 df_c , dr_a , and dr_s). The addition of residual field is actually not applied in the DTsEB method.
684 Therefore, the DTsEB method can more effectively reduce the “boxy effect” and thus improve
685 LST downscaling compared to the TsHARP, LMS, and GWR methods because of the higher
686 accuracy of the physical LST equation relative to the regression equation.

687 In brief, the TsHARP, LMS, GWR and other regression-based methods are simple in model
688 structure, do not require auxiliary near-surface data as input but are deficient in their poor
689 spatiotemporal extensibility and in quantifying the contributions of influencing factors (namely,
690 attribution analysis). In contrast, the DTsEB method, developed by theoretical derivations of
691 surface energy balance and Penman-Monteith equation under the assumption of negligible spatial
692 variations in atmospheric parameters over the subpixels within a coarse pixel, has the advantages
693 of a solid physical foundation, the capability to separate the contributions of the influencing factors,
694 and LST downscaling results with a high accuracy. The main limitation of the DTsEB method lies
695 in the requirements for near-surface meteorological data (e.g., incoming solar radiation, air
696 temperature, VPD, and wind speed), which may introduce a certain degree of uncertainty in LST
697 downscaling, especially when the DTsEB method is applied regionally or globally, because pixel-

698 by-pixel meteorological data (e.g., sourced from reanalysis data) should be introduced to consider
699 the spatial variation in near-surface meteorology under such conditions. This data requirement
700 does not add much computational cost. For instance, in-situ meteorological data, such as the
701 FLUXNET and AMERIFLUX datasets, can be used in small-scale study areas (e.g. the study areas
702 (Figure 1) with spatial dimensions of 9.9 km by 9.9 km). As for regional or global study areas,
703 interpolated meteorological data and reanalysis data (such as ERA5 datasets) can be used.
704 Moreover, the uncertainty of the parameterization in the DTsEB algorithm also introduces biases
705 in LST downscaling to some extent. For example, the scatters in the downscaling of high LSTs
706 (primarily over built-up lands) in this study likely resulted from the uncertainty in the
707 determination of roughness height (influencing aerodynamic resistance) and soil heat flux by
708 following the general parameterizations over vegetated surfaces (e.g., cropland, grassland,
709 forestland), indicating that improved parameterization of the DTsEB method for these two
710 parameters is required over built-up lands (beyond the scope of this study). In particular, we did
711 not distinguish the parameterization of surface resistance between crop and built-up lands but
712 applied the same equation as shown in Appendix D to parameterize surface resistance for all land
713 cover types, primarily because the focus of our study was not on the parameterization but on the
714 development of DTsEB downscaling method. Parameterizing surface resistance differently are
715 strongly recommended over cropland, built-up land and other land cover types. In addition, due to
716 the complexities of LST downscaling that come from the uncertainty/error of coarse-resolution
717 and fine-resolution LSTs and VNIR/SWIR reflectance products, downscaling algorithm,
718 parameterization, and inputs, none of the three methods could obtain a normalized RMSE < 0.5 in
719 this study, although these methods have reported RMSE values of similar magnitude to those from
720 previous studies. In short, despite the great progress made in the past for LST downscaling, there
721 remains a long way to go.

722 **5. Summary and Conclusions**

723 A physical LST downscaling method, DTsEB, has been developed to downscale coarse-
724 resolution LST data to a fine resolution. By theoretical derivations of the surface energy balance
725 equation and Penman-Monteith equation, analytical equations for combining thermal infrared data
726 with visible and near-infrared data have been constructed in the newly proposed LST downscaling
727 method. The differences in surface net radiation, fractional vegetation cover, aerodynamic
728 resistance and surface resistance between coarse and fine resolutions are first calculated, and fine-

729 resolution LSTs can then be obtained by converting the differences between the LSTs at the two
730 resolutions to the differences between these surface parameters. The surface energy balance
731 constraint in the DTsEB method provides a robust and physical connection between the scaling
732 factors and LSTs and thus avoids the subjective selection of scaling factors and the use of statistical
733 regression relationships.

734 Because of the comprehensive consideration of various surface parameters related to LSTs,
735 the DTsEB method can effectively reconstruct subpixel spatial variations within coarse-resolution
736 pixels and achieve better downscaling accuracy than the widely adopted TsHARP, LMS, and
737 GWR methods, when tested on 990 m MODIS and aggregated LST products collected between
738 2005 and 2015 over three 9.9 km by 9.9 km cropland (mixed by grass, tree, and built-up land)
739 study areas. The average RMSE (MAE) values in DTsEB decreased by 17% (16%) relative to the
740 TsHARP method, 14% (14%) relative to the LMS method, and 16% (15%) relative to the GWR
741 method for application to 6 scenes of MODIS datasets and by 17% (16%) relative to the TsHARP
742 method, 13% (9%) relative to the LMS method, and 13% (12%) relative to the GWR method for
743 application to 6 scenes of aggregated ASTER datasets.

744 In summary, the DTsEB method has great potential in LST downscaling over various land
745 cover types and satellite sensor data as long as the parameters are properly estimated, because 1)
746 the solid physical foundation makes it robust and highly accurate and 2) the physical association
747 between scaling factors and the LSTs can quantitatively separate the specific contributions of
748 different scaling factors to the LST downscaling results. In the context that most existing LST
749 downscaling methods are based on statistical regression, the physical DTsEB method proposed in
750 this study is instructive and worthwhile. When other high-resolution satellite sensor (e.g. Landsat
751 TM, ETM+, OLI) LST data are used to test the applicability of this new method, one may only
752 perform a simulation of downscaling coarse-resolution aggregated LST to high-resolution LST (as
753 in case 2 shown in Section 3.2) because no coarse-resolution satellite sensor LST concurrent with
754 the high-resolution satellite sensor LST is available. To allow more general conclusions to be made,
755 further work is recommended to evaluate the DTsEB method and the regression-based LST
756 downscaling methods in more regions of the world that are characterized by a wider range of
757 climates and land cover conditions.

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770 **Appendix**

771 **A. TsHARP method**

772 For a comparative analysis of the LST downscaling performance of the DTsEB method, the
773 widely used vegetation-based regression method, TsHARP (more specifically, the TsHARP
774 version, named TsHARPFcS, which was recommended by Agam *et al.* [2007]), was applied in this
775 study. The TsHARP method, a refinement of the disaggregation procedure for radiometric surface
776 temperatures (DisTrad, proposed by Kustas *et al.* [2003]), assumes that the relationship between
777 LSTs and NDVI-based transformed variables is scale invariant. A linear regression between LSTs
778 and the NDVI-based transformed variable is first performed at coarse resolution, as follows:

$$779 \quad f(NDVI_{CR}) = a_0 - a_1(1 - NDVI_{CR})^{0.625} \quad (A1)$$

780 where the subscript CR represents the coarse resolution.

781 Subsequently, the divergence (ΔLST) between the regressed LSTs and the source LSTs,
782 which comes from the spatial variability in LSTs that is driven by factors other than the vegetation
783 cover fraction at coarse resolution, can be calculated, as follows:

$$784 \quad \Delta LST = LST_{CR} - f(NDVI_{CR}) \quad (A2)$$

785 This residual field is finally applied to derive the downscaled fine-resolution LSTs
 786 (LST_{TsHARP}), as follows:

$$\begin{aligned}
 787 \quad LST_{TsHARP} &= f(NDVI_{FR}) + \Delta LST \\
 &= a_0 - a_1(1 - NDVI_{FR})^{0.625} + \Delta LST
 \end{aligned}
 \tag{A3}$$

788 where the subscript FR represents the fine resolution.

789 B. LMS method

790 According to the work of Mukherjee *et al.* [2014], least median square regression
 791 downscaling (LMS), which is less sensitive to outliers than the ordinary least square regression
 792 algorithm (used in TsHARP method), could achieve a better accuracy in LST downscaling.

793 In the ordinary least square regression, the regression parameters slope and intercept are
 794 estimated by minimizing the sum of square residuals, as follows:

$$795 \quad MinSSR = \sum_{i=1}^n (LST_i - f(NDVI_i))^2 \tag{B1}$$

796 In the LMS, the parameter slope and intercept are calculated to yield the least median of the
 797 square residuals, as follows:

$$798 \quad MinMedSR = Median \left\{ (LST_1 - f(NDVI_1)), (LST_2 - f(NDVI_2)), \dots, (LST_n - f(NDVI_n)), \right\} \tag{B2}$$

799 The least median square regression between LST and NDVI is first performed at coarse
 800 resolution, and the divergence (ΔLST) between the regressed LSTs and the source LSTs can be
 801 subsequently calculated. Finally, this residual field ΔLST is added at fine resolution to obtain the
 802 fine-resolution LST.

803 C. GWR method

804 Compared with traditional regression method, geographically weighted regression (GWR)
 805 can fully consider the geographic similarity relationship between the dependent variables and the
 806 independent variables. According to the work of Duan *et al.* [2016], a nonstationary relationship
 807 at coarse-resolution is first established, which can be expressed as:

$$LST_i^{CR} = a_0^{CR}(\mu_i, \nu_i) + a_1^{CR}(\mu_i, \nu_i)NDVI_i^{CR} + a_2^{CR}(\mu_i, \nu_i)DEM_i^{CR} + \Delta_i^{CR} \quad (C1)$$

where the superscript *CR* represents the coarse resolution, the $\alpha_0^{CR}(\mu_i, \nu_i)$, $\alpha_1^{CR}(\mu_i, \nu_i)$, and $\alpha_2^{CR}(\mu_i, \nu_i)$ are the regression coefficients, and the Δ_i^{CR} is the residual at coarse resolution.

Subsequently, the coarse-resolution regression coefficients $\alpha_0^{CR}(\mu_i, \nu_i)$, $\alpha_1^{CR}(\mu_i, \nu_i)$ and $\alpha_2^{CR}(\mu_i, \nu_i)$ and the residual Δ_i^{CR} are interpolated to fine resolution by using the ordinary kriging interpolation technique (according to the work of Duan *et al.* [2016]).

Finally, the fine resolution downscaled LST can be obtained by using the fine resolution NDVI and DEM, as follows:

$$LST_i^{FR} = a_0^{FR}(\mu_i, \nu_i) + a_1^{FR}(\mu_i, \nu_i)NDVI_i^{FR} + a_2^{FR}(\mu_i, \nu_i)DEM_i^{FR} + \Delta_i^{FR} \quad (C2)$$

where the superscript *FR* represents the fine resolution, the $\alpha_0^{FR}(\mu_i, \nu_i)$, $\alpha_1^{FR}(\mu_i, \nu_i)$, $\alpha_2^{FR}(\mu_i, \nu_i)$, and Δ_i^{FR} are the regression coefficients and residual, respectively, which can be obtained with the ordinary kriging interpolation technique.

D. Parameterization of DTsEB

Table D1. Methods for estimating the intermediate variables/parameters in DTsEB

Parameters	Calculation formula	Description	References
	for MODIS: $albedo = 0.160b_1 + 0.291b_2 + 0.243b_3 + 0.116b_4 + 0.112b_5 + 0.081b_7 - 0.015$		
	for ASTER: before April 2008, $albedo = 0.484b_1 + 0.335b_3 - 0.324b_5 + 0.551b_6 + 0.305b_8 - 0.367b_9 - 0.0015$ after April 2008, $albedo = 0.697b_1 + 0.298b_3 + 0.008$	<i>r</i> is the broadband albedo, <i>b_i</i> is the short-wave band spectral reflectances.	Liang, 2003; Mokhtari et al., 2013
<i>G</i>	$G = R_n [\Gamma_c + (1 - f_c)(\Gamma_s - \Gamma_c)]$	<i>G</i> is the soil heat flux, $\Gamma_c = 0.05$; $\Gamma_s = 0.4$.	Su, 2002
<i>f_c</i>	$f_c = \left(\frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}} \right)^2$	$NDVI_{min} = 0.2$; $NDVI_{max} = 0.86$; $NDVI > NDVI_{max}$, $f_c = 1$; $NDVI < NDVI_{min}$, $f_c = 0$.	Prihodko & Goward, 1997; Tang et al., 2010
<i>r_a</i>	$r_a = \frac{\left[\ln \left(\frac{Z_u - d}{Z_{om}} \right) - \Psi_m \right] \left[\ln \left(\frac{Z_r - d}{Z_{oh}} \right) - \Psi_h \right]}{k^2 u}$	<i>r_a</i> is the aerodynamic resistance, Ψ_m and Ψ_h are the stability correction functions for momentum and heat transfer, respectively.	Paulson, 1970; Li et al., 2009

Z_{om}	$Z_{om} = \exp(aNDVI / albedo + b)$	Z_{om} is the roughness length for momentum transfer	Tang et al., 2013;
$VegHeight$	$VegHeight = Z_{om} / 0.123$	$a = 0.26; b = -2.21$	Teixeira et al., 2009
d	$d = \frac{2}{3} VegHeight$	d is the zero-plane displacement height	Allen et al., 2007
Z_{oh}	$Z_{oh} = 0.1Z_{om}$	Z_{oh} is the roughness length, governing the transfer of heat and vapour	Allen et al., 2007
r_s	$r_s = \frac{G_{s1} + G_{s2} + G_{cu}}{G_{s2} \times (G_{s1} + G_{cu}) \times LAI}$	r_s is the surface resistance, G_{s1} , G_{s2} and G_{cu} is the stomatal conductance, leaf boundary-layer conductance, and leaf cuticular conductance, respectively.	Mu et al., 2007; Mu et al., 2011;
	$G_{s1} = C_L \times m(T_{min}) \times m(VPD) \times r_{corr}$	C_L is the mean potential stomatal conductance per unit leaf area, assumed to 0.007 for cropland	
ϵ_s	$\epsilon_s = \epsilon_v f_c R_v + \epsilon_s (1 - f_c) R_s + d\epsilon$	ϵ_s is the surface emissivity, $\epsilon_v=0.986$ and $\epsilon_s=0.972$ are the emissivities of bare soil and vegetation, respectively; R_v and R_s are the temperature ratio for vegetation and bare soil, respectively.	Qin et al., 2004
	$R_v = 0.0585 f_c + 0.9332$		
	$R_s = 0.1068 f_c + 0.9902$		
ϵ_a	$\epsilon_a = 1.08(-\ln \tau_{sw})^{0.265}$	ϵ_a is the atmospheric emissivity, τ_{sw} is the atmospheric transmissivity for short wave radiation	Bastiaanssen, 1995

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