

# Eocene-Oligocene large-scale circulation of the East Asian summer monsoon recorded in neritic carbonates of the proto-South China Sea

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#### **Eocene-Oligocene large-scale circulation of the East Asian summer**

#### 2 monsoon recorded in neritic carbonates of the proto-South China Sea

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#### 13 Abstract

When the modern features of large-scale Asian monsoonal circulation were set-up is poorly 14 constrained and pre-Neogene monsoonal archives are rare. This study investigates the temporal 15 evolution of neritic carbonates in the proto-South China Sea to reconstruct East Asian monsoonal currents and winds during the middle to late Paleogene. Analysis of three-dimensional seismic 17 and well core data from the North-West Palawan block reveals the occurrence of a set of thick 18 19 (>125 m), aggrading *Halimeda* bioherms, early Oligocene in age. By analogy with Holocene green algal buildup counterparts, they are interpreted to form in nutrient-rich areas subject to 20 upwelling currents and reflect the early presence of a strong coastal jet in the Proto-South China 21 Sea, as seen today during the summer monsoonal season. The analysis of the underlying mixed 22 carbonate-siliciclastic ramp shows that mesotrophic conditions already prevailed during the late 23 Eocene, thus suggesting that modern-like summer East Asian Monsoon large-scale circulation 24 was possibly active as early as the late Eocene in the Proto-South China Sea. Evidence for 25

upwelling currents vanishes in late early Oligocene carbonate-producing biota, that are characterized by the significant development of euphotic hermatypic coral communities reflecting lower nutrient concentrations. This turnover coincides with the opening of the modern South China Sea and is interpreted to result from the southward drift of the North-West Palawan block which placed the area away from the Chinese margin and the coastal jet. Our results highlight therefore that many of the features of the summer East Asian Monsoon large-scale circulation are rooted in the middle Paleogene.

**Keywords:** monsoon, carbonates, Paleogene, Southeast Asia, *Halimeda*, coastal jet.

#### 1. Introduction

Carbonate-producing biota are sensitive to many environmental factors including water temperature, light penetration, nutrient concentration, water chemistry, nature of substrate, stratification and circulation patterns within the water column and hydrodynamic regime (e.g. Hallock and Schlager, 1986; Mutti and Hallock, 2003). These factors are primarily controlled by global climate and geodynamics and operate at different time and space scales. For that reason, qualitative and quantitative variations in neritic carbonate production have proven to be excellent records of paleoclimatic and paleoceanographic changes during the Cenozoic in the Indo-Pacific realm (e.g. Mathew et al., 2020), the Caribbean (Reijmer et al., 2002) and the Mediterranean (Cornacchia et al., 2021). In the Indian Ocean, they are influenced by the large-scale monsoonal circulation and document the evolution of the South Asian Monsoon on geological time scales (Betzler et al., 2009).

Like the South Asian Monsoon, the East Asian Monsoon (EAM) is associated with a large-scale circulation that supplies moisture from the Sunda seas, the Pacific, and the South China

Sea (SCS) to East Asia in summer. This summer circulation is characterized by strong southerly winds over the SCS, which are amplified by Sundaland topography and form a southwesterly coastal jet offshore Vietnam (Xie et al., 2003) (Fig. 1A). The coastal jet generates a well-marked upwelling zone in the SCS (Dippner et al., 2007), activity of which has been tracked as early as the early Miocene in heterozoan carbonate systems (Wu et al., 2019). Earlier records of EAM large-scale circulation are rare: on land, paleovegetation reconstructions suggest the presence of a summer, monsoon-like rainy season since at least the middle Eocene (Quan et al., 2012; Licht et al., 2014; Spicer et al., 2016; Sorrel et al., 2017). This proto-monsoonal regime has been either attributed to the seasonal migration of the intertropical convergence zone (ITCZ; Spicer et al., 2016; Farnsworth et al., 2019) or a weak sea-breeze circulation (Tardif et al., 2020). Other authors argued for a major stage of wettening in the Late Eocene, around 36 Ma (Zheng et al., 2022). The study of the Paleogene carbonate systems in the proto-SCS, before the opening of the modern SCS starting in the middle Oligocene (Briais et al., 1993; Li et al., 2014), enables to reconstruct past upwellings and provide insights into potential large-scale monsoonal circulation. They thus provide a key approach to elucidate early EAM dynamics. Hydrocarbon exploration boreholes and seismic acquisition performed on the North Palawan Block (Malampaya Field) (Fig. 1B), revealed the occurrence of Early Oligocene algal-dominated, aggrading carbonate buildups overlying a Upper Eocene mixed carbonate-siliciclastic ramp (Fournier et al., 2005). The present paper aims at 1) characterizing changes in carbonate producing biota during the Late Eocene and Early Oligocene, 2) interpreting the carbonate factories in terms of paleoceanographic settings and upwelling dynamics based on sedimentologic and paleoecological analysis of cores and comparison with modern analogues, and 3) drawing implications on the paleoceanographic evolution of the proto-SCS and early EAM.

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#### 2. Geological setting

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The SCS is the largest marginal sea of the western Pacific region and results from rifting and seafloor spreading along the South China margin (Fig. 1). The SCS was preceded by the Paleogene proto-SCS, a sea of similar amplitude bracketed between the Chinese margin and Borneo (Zhou et al., 1995; Hall, 2002; Morley, 2016). Slab-pull effects related to subduction of the proto-SCS beneath north-west Borneo resulted in early to middle Paleogene rifting along the South China margin and separation of the Calamian-North Palawan-North Borneo microcontinent (CPBC; Hall, 2002). Oceanic sea floor spreading initiated at ca 32-31 Ma and resulted in the southward drift of the CPBC and the opening of the modern SCS between the Chinese margin and the CPBC (Briais et al., 1993; Hutchison et al., 2000; Clift et al., 2008; Li et al., 2014). During the Early-Middle Miocene, the CPBC collided with the accretion wedge of the Paleogene subduction zone of Borneo-North Cagayan, marking the final disappearance of the proto-SCS and causing the end of SCS seafloor spreading (dated at ca. 15 Ma; Briais et al., 1993; Li et al., 2014). There is little evidence for Eocene-earliest Oligocene syn-rift carbonate sedimentation (Fournier et al., 2005), but widespread carbonate platforms are recorded in upper Oligocene and lower Miocene deposits on both the northern (e.g. Wu et al., 2019; Mathew, 2020) and southern margins of the SCS (Malampaya, North Palawan basin: Fournier et al., 2005; Reed Bank: Ding et al., 2015; Dangerous Ground: Steuer et al., 2014). Carbonate platforms from the North Palawan block were drowned during the Middle Miocene because of increased subsidence, down-warping of the northwestern part of the block and important clastic supply from the uplifted Palawan Island (Fulthorpe and Schlanger, 1989). Older Paleogene carbonate systems can be found on the CPBC and are associated with the northern margin of the proto-SCS (Fournier et al., 2005).

Our study area, the gas and oil field of Malampaya (**Fig. 2**), is located in the deep-water (850 m to 1200 m) block SC 38 in offshore Palawan on the North Palawan Block (part of the CPBC). Located 3000 m below the modern sea level, this late Eocene to early Miocene carbonate buildup consists of a 5 km long, 1-2 km wide, and 600 m thick NE-SW oriented body. Previous works on the Malampaya buildups focused on the Nido Limestone reservoir (late Rupelian to Burdigalian); it is made of aggrading, cyclic shallow-water carbonate units dominated by seagrass-related foraminifers, red algae and corals (Fournier et al., 2004; 2005) and punctated by repeated subaerial exposures (Fournier et al., 2004; 2007). Syn-depositional tectonic deformation of the Nido Limestone has been shown to control carbonate accumulation and preservation on top of the buildup (Fournier et al., 2005). There is so far no detailed petrographic and sedimentological investigation of the pre-Nido (Eocene-early Rupelian) carbonates.

#### 3. Database and methods

The data set consists of a 3-D seismic survey acquired by Shell Philippines in 2002 and well data from three wells (MA-1, MA-2 and MA-5) having reached the Eocene and Early Oligocene carbonates. The non-SEG seismic polarity convention has been used (negative amplitude means positive reflection coefficient). The well-to-seismic tie used in this study is that of Fournier and Borgomano (2007). The correspondence between sedimentary units and the main seismic horizons from the Eocene-Lower Oligocene interval is reported in **Fig. 3**. The interpretation of the base of carbonates (BN horizon) and top of the Early Oligocene algal buildups (R20.1 horizon) has been revised in the present work. The chronostratigraphic framework of the Malampaya buildup is based on the letter stage classification, a large benthic foraminifera biozonation (Adams, 1970; Renema, 2007). Key foraminiferal taxa used for the

biostratigraphic analysis and corresponding letter stages are reported in **Fig. 4B**. In contrast to previous works (Fournier et al., 2004), the base of Lower Te stage (**Fig. 4B**) has been defined by the last downhole occurrence of *Heterostegina* (*Vlerkina*) *borneensis* instead of that of the rotaliid *Neorotalia mecatepecensis* which has been reported from Rupelian (Td) limestones in Java (Lunt and Renema, 2014).

A total of 42 thin-sections from the Eocene-Early Oligocene interval has been prepared from core and side-wall samples (in wells MA-1 and MA-2) as well as from cuttings (in well MA-5) and analyzed for detailed petrographic characterization using a conventional transmitted polarized light microscope. Quantitative analysis of the biota has been performed by point-counting (300 points) using *JMicroVision* software.

#### 4. Results

#### 4.1. Chronostratigraphic framework and well-correlation

The base of the carbonate succession is late Eocene in age (Tb letter stage after Adams, 1970; Renema, 2007) in wells MA-1, MA-2 and MA-5 (**Fig. 4** and **Fig. 5**), based on the co-occurrence of *Pellatispira* and *Discocyclina*. Rupelian deposits (Tc-Td letter stage) have been identified within an up to 85 meter-thick interval, on the basis of the occurrence of *Nummulites*, *Halkyardia* and *Borelis pygmaeus* together with the lack of strictly pre-Oligocene taxa such as *Discocyclina* and *Pellatispira*. The presence of *Cycloclypeus* in the upper part of the Rupelian interval in MA-2 (**Fig. 4**), allows characterizing the late Rupelian (Td stage). The base of Chattian deposits has been defined as representing the last downhole occurrence of *Heterostegina borneensis*. The Eocene-Oligocene carbonate succession was subdivided by Fournier and Borgomano (2007) into 6 depositional units (SR1, SR2, SC1.1, SC1.2, SC2.1 and SC2.2). According to the revised biostratigraphic framework, SR1 is late Eocene in age (Tb),

SR2 would correspond to the early Rupelian (Tc) while SC1.1 is comprised entirely within the late Rupelian (Td). The base of Chattian deposits closely corresponds to SC1.2 unit which is characterized by repeated subaerial exposures (Fournier et al., 2004). Based on the revised chronostratigraphic framework and seismic horizon constraints, a well-correlation panel has been established between wells MA-1, MA-2 and MA-3 (**Fig.3**), showing the significant thickening of early Rupelian unit SR2 at well MA-2.

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#### 4.2. Seismic geomorphology of early Rupelian buildups

The 3D interpretation of Base Nido (BN), R10.1 (Top Eocene) and R20.1 (Top early Rupelian) reflectors reveals the occurrence of four main high-relief buildups (Buildups A, B,C,D: Fig. 6 and 7), corresponding to the SR1 unit, whose basal diameter ranges from 800 to 1300 meters and a set of adjacent smaller buildups. Buildups B,C, D are nearly conical in shape while buildup A exhibits a flattened top. Around well MA-2, internal reflectors within Buildup A are mound-shaped and display downlap terminations onto Top Eocene reflectors. Similar downlap terminations are present within buildups B and C (Fig. 6 and 7) but internal reflectors exhibit apparent steeper slopes and locally a more chaotic expression. Below buildups A, B, C and D, Top Eocene and Base Nido reflectors are crosscut by nearly vertical faults thus suggesting that buildups developed on crests of tilted blocks (buildups B,C,D: Fig. 7) or on horst structure (Buildup A: Fig. 6). The western flank of Buildup A is onlapped by top Rupelian (C11.1) reflector while the eastern flank is onlapped by low amplitude reflectors from the Miocene Pagasa siliciclastics (Fig. 2D-E). Buildups B, C and D are overlain by westward dipping Pagasa deposits. The westernmost buildup (Buildup A) has been penetrated by well MA-2, thus making possible the quantification of dimensions, thickness and slope dips of such carbonate bodies and enabling the determination of the nature of carbonate producers. The interval velocity (Supplementary Material S1) of early Rupelian unit (SR2) calculated from well-to-seismic

tie results (5040 m/s) is very consistent with the value computed from the integration of sonic log (5070 m/s). Based on computed interval velocity (5040 m/s) and two-way-time thicknesses measured from seismic data, the maximum thickness of early Rupelian buildups has been estimated to range from 125 m (Buildup A) to 580 m (Buildup C). Estimated dip angles of buildup flanks range from 21° (Buildup A) to 42° (Buildup C). Quantified morphological parameters for buildups A, B, C and D are displayed in **Table 1**.

#### 4.3. Late Eocene and Early Oligocene depositional facies and facies associations

The nature of the carbonate producers leading to the construction of the aggradating carbonate buildups revealed by 3D seismic interpretation (**Fig. 6 & 7**) has been determined by means of a detailed petrographic analysis of the cores and thin sections from well MA-2, located in the upper part of the Buildup A southern flank. The underlying Upper Eocene carbonates have been investigated from the analysis of cores, side-wall samples and cuttings from wells MA-1, MA-2 and MA-5. Grain composition of analysed samples is summarized in **Supplementary Material S2** and average composition is displayed in **Fig. 8**.

# 4.3.1. Late Eocene Facies Association (EFA): quartz-rich bryozan, foraminiferal, coralline algal limestones

In spite of a relative homogeneity in bioclastic composition, two depositional facies have been identified within the late Eocene interval in wells MA-1, MA-2 and MA-5 (**Fig. 3**), based essentially on distinct depositional texture and quartz grain content.

#### Facies FE1: Quartz-rich, foraminiferal-coralline algal grainstone

FE1 facies, identified in the lower part of the Upper Eocene interval in wells MA-1, MA-2 and MA-5 (**Fig. 3**), consists of a foraminiferal-coralline algal grainstone with significant proportions (average: 27%) of angular to sub-angular, fine to medium-grained quartz particles (**Fig. 8 & 9A-B**). Large benthic foraminifers (27% of grains in average) dominate the biotic assemblage together with fragments of encrusting coralline algae (24%). The foraminiferal assemblage is dominated by lense to flat-shaped *Discocyclina* and *Pellatispira*, commonly displaying broken edge. *Nummulites* and miliolids are scarce. Subordinate components are bryozoans (13%) and echinoderms (5%). The intergranular space is entirely occluded by coarse sparry calcite cements.

#### Facies FE2: Quartz-bearing, bryozoan, foraminiferal, coralline algal packstone

Facies FA2 is a quartz-bearing (10% of the grain content in average) packstone (**Fig. 8 & 9C-D**) whose biota is dominated by bryozoan (28%), encrusting coralline algae (27%) and large benthic foraminifers (26%) with minor proportions of echinoderms (5%). Quartz particles are typically fine-grained and angular. Similarly to FE1, the foraminiferal assemblage is dominated by *Discocyclina* and *Pellatispira* together with scarce *Nummulites*, agglutinated foraminifers and miliolids.

## 4.3.2. Early Rupelian Facies Association (ERFA): Halimeda-coralline algalforaminiferal limestones

#### Facies FR1: Halimeda floastone-rudstone

Facies FR1 consists of a *Halimeda* rudstone or *Halimeda* floatstone with a coralline algalforaminiferal grainstone matrix (**Fig. 10A-D**). Sediment is dominated (from 30 to 66% of the grain fraction; average: 42%: **Fig. 8**) by 3–8mm-long segments of rarely broken *Halimeda* segments. Typically, the segments are chaotically jumbled, sometimes vertically-oriented (**Fig. 10A-D**), thus forming large (mm-scale) and irregular shelter cavities. Encrusting coralline algae are the main skeletal grain forming the grainstone matrix (26% in average of the whole skeletal fraction) and are preserved as medium-grained fragments. Articulated coralline algae are also present (5%). The foraminiferal assemblage is dominated by porcelaneous forms (10% in average of the whole skeletal fraction) including miliolids, alveolinids (*Borelis pygmaeus*), soritids and peneroplids. Hyaline forms are scarcer and mainly consist of lense-shaped to flat *Nummulites*. Corals are uncommon (0.25% in average) and preserved as small fragments of branching colonies. The intergranular space may be partially, geopetally filled by peloidal micrite (**Fig. 10A & D**) and the residual pore space may be preserved or occluded by various generations of sparry calcite cements. The occurrence of lime mud sediment postdating perigranular calcite cements in sheltered cavities (**Fig. 10A**) strongly suggest very early, syndepositional cementation of the sediment.

#### Facies FR2: Coralline algal-foraminiferal-Halimeda packstone

Facies FR2 is a coralline algal-foraminiferal packstone encasing sparse and well preserved *Halimeda* thalli (**Fig. 10 E-F**). FR2 is present as meter-thick beds, intercalated within FR1. Encrusting coralline algae are the dominant skeletal component (41% in average: **Fig. 8**) while benthic foraminifers and *Halimeda* represent respectively 26% and 14% of the grain fraction. Echinoderms are a subordinate but significant skeletal component (6%). The foraminiferal assemblage is composed of flat-shaped *Nummulites* and *Amphistegina*, together with textulariids, miliolids and few planktonics. Pteropods (**Fig. 10E**) and solitary coral (**Fig. 10F**) are occasionnaly present.

#### **Facies FR3: Rhodolitic floatstone**

Facies FR3 has been reported only at the base of the early Rupelian interval and forms a 3-meter-thick interval of rhodolithic floatstone which is made of lumpy to fructicose rhodoliths, up to 5 cm in diameter, embedded within a foraminiferal-*Halimeda* packstone (**Fig. 10G**). Rhodoliths represent the dominant skeletal component (46%), while benthic foraminifers and *Halimeda* represent respectively 17% and 28% of the grain fraction (**Fig. 8**). In contrast to facies FR1 and FR2, *Halimeda* thalli are generally broken as well as most of the foraminiferal tests. The foraminiferal assemblage is composed of operculinids, *Amphistegina*, miliolids, alveolinids together with scarce planktonics.

#### 5. DISCUSSION

#### 5.1. Late Eocene mixed carbonate-siliciclastic ramp: a record of syn-rift

#### transgression

The quartz-rich foraminiferal- coralline algal grainstone (FE1 facies) sampled in the lower part of the late Eocene interval from the three analyzed wells contain abundant robust *Discocyclina* thus suggesting shallow, tropical, agitated, open marine waters in inner ramp setting (Sinclair et al., 1998). The lack of matrix mud as well as the broken edges of foraminiferal tests in facies FE1 support also a high energy setting. The other dominant foraminiferal taxa, *Pellatispira*, is known to preferentially thrive in the lower part of the upper photic zone and the upper part of the lower photic zone (Romero et al.,2002), such a bathymetric interval being equivalent with the mesophotic zone and upper oligophotic zone of Pomar (2001). The association of oligo-

mesophotic biota and high-energy markers consistent with a deposition above the fair-weather wave-base (<20 m) may suggest: 1) turbid water conditions since decreased light penetration rates induce a shift of the mesophotic zone toward shallower location (e.g. Pomar et al., 2014), or 2) the occurrence of internal waves breaking obliquely to the sloping ramp (Pomar et al., 2012). Additionally, large benthic foraminifers such as Discocyclina and Pellatispira have a mixotrophic nutrition, which favours their development in low-nutrient conditions, and therefore they preferably thrive in oligotrophic habitats (e.g. Mutti and Hallock, 2003, Hallock and Pomar, 2009). In FE2, the foraminiferal association is similar to that of FE1 but the facies differs in displaying a lime mud matrix, a higher content in bryozoan and finer-grained quartz sand particles. The presence of matrix mud is indicative of low-energy environments, the abundance of bryozoan is likely to represent low-light and nutrient-rich environments and the decreased quartz sand size may suggest a more distal location relatively to terrigenous supplies. Fine-sized quartz grains can be explained by the input of suspended particle supply from erosion of the hinterland (the crest of the Malampaya tilted block: Fig. 2B). Facies FE2 likely occupy a slightly deeper position, below the fair-weather wave base, within a nutrient-enriched oligophotic environment. Robust large benthic foraminifera (Discocyclina) and fragments of coralline algae likely derive from shallower areas. The present results together with the analogy with other Eocene carbonate systems dominated by large benthic foraminifers and coralline algae (e.g. Romero et al. 2002; Khanolkar and Saraswati, 2019) suggest a carbonate ramp system, subject to terrigenous influxes and characterized by a mesophotic, oligotrophic, turbid-water, high-energy outer inner ramp to mid-ramp (FE1 facies) and an oligophotic, mesotrophic, low-energy outer ramp (FE2 facies). Additionnally, the vertical upward succession from FE1 to FE2 suggests that the late Eocene carbonate ramp (SR1 unit sensu Fournier and Borgomano, 2007) developed during a marine transgression over the Pre-Nido basement.

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#### 5.2. Depositional model for early Rupelian *Halimeda* buildups

Except the lowermost 2 meters of carbonate sediments overlying the late Eocene deposits (core 296 297 5) which are made of a rhodolithic floatstone (FR3: Fig. 10G), the carbonate succession crossed by MA-2 well consists essentially of *Halimeda* floatstone-rudstone (FR1) with thin (meter-thick) 298 occurrences of foraminiferal-coralline algal packstones (FR2) (Fig. 11). In FR1 floatstones-299 rudstones, the peloidal and geopetal nature of the sediment infilling the large cavity formed by 300 the chaotic accumulation of *Halimeda* segments, prior to mechanical compaction (**Fig. 10A-D**), 301 suggests early (microbial?) carbonate precipitation leading to a syn-sedimentary lithification. 302 Additionally, the occurrence of peloidal micrite postdating isopacheous cements (Fig. 10A) 303 suggests that early lithification occurred also by means of sparry calcite precipitation. The chaotic 304 orientation and full preservation of Halimeda segments are indicative of rapid sediment 305 accumulation resulting from prolific growth of Halimeda followed by spontaneous plant 306 fragmentation and limited grain transport. Such a rapid Halimeda segment accretion coupled 307 with syn-sedimentary cementation has been shown to result in reef structure development on the 308 slopes of the early Messinian carbonate platform from the Sorbas Basin, Spain (Braga et al., 309 1996). The foraminiferal assemblage from FR1, with common miliolids and porcelaneous 310 epibionts (soritids, *Borelis*) together with lense-to-flat shaped large benthic foraminifera suggest 311 a relatively shallow-water environements (Hallock and Pomar, 2009; Pomar et al., 2014), at the 312 transition between the euphotic and mesophotic zones. The scarcity of micrite particles (except 313 in microbial peloids) in the intergranular space in most samples suggests a minimal water energy, 314 sufficient to remove micron-scale particles. The *Halimeda* floatstone-rudstone likely deposited 315 316 in a quiet-water environment with minimal wave energy near the fair-weather wave base, within the lower euphotic to upper mesophotic zone (~20 meters water-depth in waters of normal 317 transparency). The aggrading nature of the early Rupelian buildups is likely to be related to the 318

319 fast accumulation of *Halimeda* segments and syn-sedimentary lithification, thus forming rigid Halimeda bioherms resisting to the effect of potential storms and limited the offbank export of 320 sediments. The mud-supported FR2 facies, which is interbedded within FR1 Halimeda 321 floatstones-rudstones may represent offbank sedimentation in a slightly deeper and low-energy 322 environment or could be also related to a temporary deepening of the system considering some 323 potential sea-level fluctuations. The foraminiferal assemblage with flat-shaped *Nummulites* and 324 Amphistegina (Hallock and Pomar, 2009) suggests oligo-mesophotic environments. The 325 occurrence of planktonic foraminifers and pteropods is also indicative or relatively deep and open 326 marine environments. The presence of Halimeda plates in reduced proportions may suggest 327 limited offbank transport from the neighbouring *Halimeda* bioherms. They may also derive from 328 329 in situ fragmentation of sparse Halimeda plants growing on a muddy substrate in such oligomesophotic environment. In the rhodolithic floatstone FR3, the mud-supported fabric suggests a 330 deposition below the fair-weather wave base. Rhodolith growth forms have been commonly 331 assumed to be controlled by water energy levels and lumpy to fructicose rhodoliths are often 332 associated with low to moderate energy environments (Bosence, 1983). The broken nature of 333 most of Halimeda plates and foraminiferal tests suggests the occurrence of high energy events. 334 The foraminiferal assemblage, similar to that of FR2 facies suggests oligo-mesophotic 335 environments. Finally, the rhodolithic floatstone (FR3) may be interpreted as being deposited on 336 the slopes of the buildups, in calm, oligo-mesophotic environments subject to episodic high 337 energy events or may just represent an incipient stage of carbonate factory development (FE3) 338 on some meso-oligophotic environment prior to the development of *Halimeda* meadows. The 339 latter facies, captured in well MA-2 at the base of the buildup may represent at downslope lateral 340 equivalent of FR2 facies, or may be restricted to specific hydrodynamic conditions prevailing 341 during the incipient stages of buildup development. 342

#### 5.3. Halimeda buildups development: a record of offshore upwelling

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Seismic interpretation (**Fig. 6 & 7**) show that Halimeda buildups developed on bathymetric highs 345 that are controlled by normal faults associated with rifting of the South China Sea. Increasing 346 347 subsidence rate toward the southeast associated with block tilting (Fig. 12) is likely to be partly responsible for the greater thickness for buildups B, C and D (up to 220 ms TWT, ~580m) 348 compared to buildup A (50 ms TWT, ~125m). These observations indicate that the location of 349 early Oligocene *Halimeda* bioherms was partly driven by small changes of bathymetry controlled 350 by the locus of extensional faults. However, these topographic highs do not fully explain the 351 appearance of *Halimeda* bioherms in the early Oligocene, as many other carbonate systems such 352 as coral reefs (e.g. Gishler and Hudson, 2004) can thrive on shallow bathymetric highs. 353 The distribution of Holocene and modern Halimeda bioherms is restricted to low energy marine 354 355 tropical environments, with a range of water depth from 20 to 50 meters; they form mounds of up to 140 meters high (Mc Neil et al., 2020). They are today observable in five localities: 1) 356 Kalukalukuang Bank, Eastern Java, Indonesia (Roberts et al., 1988), 2) Nicaraguan Rise, Miskito 357 Channel, South-west Caribbean (Hine et al., 1988), 3) Northern Great Barrier Reef, Australia 358 (e.g. Davies and Marshall, 1985; McNeil et al., 2020), 4) Swain Reefs, southern Great Barrier 359 Reef, Australia (Searle and Flood, 1988), 5) Big Bank Shoals, Timor Sea (Heyward et al., 1997). 360 Additional references are displayed in **Supplementary Material S3**. All of them are located 361 coastward of deep-water upwelling zones: the Halimeda bioherms from the Kalukalukuang Bank 362 are located in the southern Makassar Strait where upwelling occurs annually during southeast 363 monsoon period (Utama et al., 2017); the mounds from the Miskito channel develop in nutrient 364 rich-waters related to the upwelling of the ocean-scale Carribean Current impinging the steep 365 366 margin of the Nicaragua shelf (Roberts et al., 1992); the Halimeda algal bioherms from the northern Great Barrier Reef are distributed within a N-S-oriented band located in mid-shelf to 367 outer reef barrier position and appear to develop preferentially in areas where shelf-edge 368

369 upwelling from the Coral Sea intrudes into the shelf (McNeil et al., 2020); finally, Halimeda mounds from the southern Timor Sea occur in nutrient-rich waters subject to upwelling of water 370 from the Indonesian throughflow during winter SE monsoon (Alongi et al., 2013). 371 The close association of *Halimeda* bioherms with upwelling zones is explained by the high 372 concentrations in nutrients which favour the development of benthic frondose algae, including 373 Halimeda, together with benthic suspension feeders (Hallock and Schlager, 1986; Hallock et al., 374 1988). The development of *Halimeda* buildups during the earliest Oligocene in Malampaya is 375 therefore interpreted as resulting from high nutrient concentration on the northwest Palawan shelf 376 and requires the set-up of an upwelling zone offshore in the Proto-SCS, in the absence of any 377 alternate mechanism to explain high nutrient concentrations. These interpretations date the onset 378 of upwelling offshore the Vietnamese coast back to at least the earliest Oligocene, before the sea-379 floor spreading of the SCS. The early Cenozoic paleogeographic history of the Palawan 380 Continental Terrane (PCT), on which the studied carbonate systems are located, is controversial, 381 particularly with regard to its pre-drift location along the South China margin (Morley, 2012; 382 Zahirovik et al., 2014). Analyses of heavy mineral and detrital zircon U-Pb age signatures 383 revealed that the PCT was attached to the South China margin from the Cretaceous until the 384 Oligocene oceanization of the SCS (Shao et al., 2017). As a consequence, even though narrow 385 (<50 km), marine troughs have developed during the Late Eocene to Early Oligocene rifting of 386 the SCS, offshore southern China (Baiyung trough, Pearl River Basin: Sun et al., 2020), the PCT 387 was open to the south-east to a wide oceanic basin (the Proto South China Sea) of comparable 388 dimension (around 1500 km of width along a northwest-southeast transect) and orientation as 389 the present-day SCS (**Fig. 1**). 390

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#### 5.4. Paleoclimatic and paleogeographic implications

393 The genetic link between the upwelling in the modern and Neogene SCS and the southwesterly summer coastal jet offshore Vietnam (Xie et al., 2003; Dippner et al., 2007; Wu et al., 2019) 394 indicates that an early version of the coastal jet was active in the earliest Oligocene (Fig 1 A & 395 B). This jet is the coastal expression of the large-scale summer EAM circulation over the SCS 396 that carries atmospheric moisture from low-latitude oceanic pools to the eastern and northern 397 Chinese coast. Evidence for an active jet in the early Oligocene contrasts with the weak sea-398 breeze model proposed for the late Eocene EAM, which does not involve a large-scale summer 399 circulation (Tardif et al., 2020). It remains unclear if a seasonal ITCZ migration over East Asia, 400 as proposed by several studies to explain monsoon-like rainfall in the Paleogene (Spicer et al., 401 2016, Farnsworth et al., 2019), could seasonally generate such a jet. The modern Australian-402 Indonesian monsoon, modern analogue for an ITCZ-driven monsoon (Spicer et al., 2016), is 403 404 driven by the seasonal migration of sea surface temperature maximum from one hemisphere to the other and is associated with weaker and more gradual changes in wind direction and rainfall 405 than the EAM and South Asian monsoons (Wheeler and McBride, 2005). We thus postulate that 406 the early Oligocene jet of the proto-SCS better reflects the set-up of a modern-like EAM summer 407 large-scale circulation than an ITCZ-driven monsoon. Together with evidence for the Eocene 408 set-up of a modern-like winter EAM circulation based on dust records on land (Licht et al., 2016; 409 Meijer et al., 2021), our results suggest that many of the features of the summer and winter EAM 410 large-scale circulation are rooted in the middle Paleogene, which is also consistent with the 411 climate transition noted by Zheng et al. (2022) in Yunnan at 36 Ma.. 412 The shift from a late Eocene carbonate ramp (facies FE1 and FE2) to a neritic environment 413 influenced by upwelling waters can first be interpreted as related to a strengthening of the EAM 414 summer circulation and associated jet. This interpretation is yet not straightforward, as inland 415 records indicate a decrease in EAM intensity through the Eocene-Oligocene Transition (Page et 416 al., 2019; Licht et al., 2020), caused by the minor yet significant dependency of the EAM 417

hydrological cycle to atmospheric pCO2 (Licht et al., 2014; Farnsworth et al., 2019). The 70 m 418 eustatic drop in the earliest Oligocene resulted in the emergence of significant parts of the 419 southeast Asian shelf, a process that increased land-sea thermal contrasts at the continental scale 420 (Toumoulin et al., 2022). This mechanism can explain summer wind strengthening in the earliest 421 Oligocene in a context of decreased moisture supply. However, the biological associations 422 identified in the Late Eocene ramp reveal that nutrient concentrations increased distally: 423 oligotrophic in the inner to middle ramp (Facies FE1) and mesotrophic in the outer ramp (facies 424 FE2). This suggests that Eocene nutrients derived from ocean waters rather than from continental 425 supply. We thus suggest that the upwelling in the proto-SCS (and the summer jet) possibly 426 predated the earliest Oligocene, and that the apparent lack of *Halimeda* in the Eocene deposits is 427 428 explained by other controlling factors. 429 A bathymetric control on the onset of algal buildups can be ruled out, as both late Eocene and early Oligocene carbonate facies suggest a shallow depth (<50 m) favorable to the development 430 of *Halimeda* bioherms. Sea level drop associated with the Antarctic ice-sheet growth at the 431 Eocene-Oligocene transition possibly resulted in the temporary emergence of our study area; 432 this emergence could mark the top Eocene sequence boundary but left no evidence of subaerial 433 exposure or shallowing trend in the sedimentary record. A decrease of terrigenous supply 434 related to a regional drop of monsoonal intensity, reducing water turbidity and enhancing green 435 algal productivity in the SCS, provides an alternate explanation for the appearance of *Halimeda* 436 bioherms. 437 The disappearance of *Halimeda* build-ups and the shift to a carbonate sedimentation dominated 438 by coralline algae, benthic foraminifers and corals in the late Rupelian Nido Limestone (SC1 439 units) is coeval to the initiation of oceanic sea floor spreading (ca 32-31 Ma) and the opening of 440 the modern SCS between the south Chinese margin and the CPBC (Briais et al., 1993; Li et al., 441 2014). The opening of the modern SCS resulted in an increased southward drift of the CPBC, 442

away from the Chinese margin and the coastal jet. In the long-term, these changes must have 443 forced upwelling to jump north of the CPBC, in the modern SCS, which is achieved by the early 444 Miocene (Wu et al., 2019). The disappearance of *Halimeda* buildups on the North Palawan Block 445 (Fig. 11 and 12) in the late Rupelian can reflect a possible early jump of the upwelling from the 446 proto-SCS to the modern SCS. The late Oligocene and early Miocene carbonate production on 447 the North Palawan Block was dominated by seagrass related biota (Fig. 12) and characterized by 448 significant development of shallow euphotic hermatypic corals (Fournier et al., 2004) thus 449 indicating low to moderate nutrient concentrations. The period spanning from the late Rupelian 450 to the early Miocene was rather a transitory phase where the abyssal depths of the proto-SCS 451 452 were too far away from the coastal jet to be influenced by Ekman pumping and generate seasonal 453 upwellings, while the modern SCS, with its young and narrow oceanic crust, was too shallow for upwellings to start. The disappearance of *Halimeda* build-ups is therefore interpreted to reflect a 454 possible shut-down of upwelling during the late Rupelian in response to this unfavorable 455 paleogeographic configuration. 456

#### 6. Conclusion

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By coupling the interpretation of three-dimensional seismic data and drill cores, we show that three different carbonate systems succeeded each other from the late Eocene to the late early Oligocene offshore North-West Palawan. These results highlight two major turnovers in carbonate production on the northern margin of the Proto-SCS and later on the southern margin of the SCS, and provide insights into paleoclimatic and paleoceanographic changes in South-East Asia from the Late Eocene to the Early Miocene:

-During the Late Eocene, a mixed carbonate-siliciclastic system was dominated by large benthic foraminifers (*Discocyclina-Pellatispira* assemblage), coralline algae and bryozoan, developed in nutrient-enriched and turbid waters. Nutrients were likely sourced by upwelling currents

prevailing in the Proto-SCS while turbidity was controlled by terrigenous supplies from the
 continent.
 -During the Early Oligocene, terrigenous inputs ceased on the North Palawan Block and thick,

-During the Early Oligocene, terrigenous inputs ceased on the North Palawan Block and thick, aggrading *Halimeda* bioherms developed at water-depths ranging from 20 to 50 meters, as a result of the combination of high nutrient supplies sourced by upwelling currents, low-turbidity waters and tectonic subsidence.

-Such upwelling currents affecting the North Palawan Block are interpreted to reflect the onset of a coastal jet in the Proto-SCS which would have resulted from the set-up of a modern-like EAM summer large-scale circulation as early as the late Eocene.

- From the late early Oligocene to the early Miocene, the carbonate production on the North Palawan Block was characterized by significant development of shallow euphotic hermatypic coral communities which indicate a return to lower nutrient levels. Such a carbonate production turnover coincides with the opening of the modern SCS which resulted in a southward drift of the CPBC, away from the Chinese margin and the coastal jet.

This study shows the important and often underestimated potential of neritic carbonate systems and carbonate production turnovers to record major oceanographic changes such as those associated with monsoonal activity. Though our results do not address inland monsoonal circulation neither its low-latitude component, they emphasize the early origin of the offshore large-scale monsoonal circulation in East Asia, and contrast with the more recent, supposed age of the modern South Asian large-scale circulation over the Indian Ocean and the Arabian Sea, dated to the middle Miocene (Sarr et al., 2022).

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#### Figure and Table caption

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Figure 1: (A) East Asia today with modern summer EAM winds (red arrows); SCJ: Summer 668 669 Coastal Jet; SCS: South China Sea; (B) East Asia in the late Eocene (after Poblete et al., 2020); red line: SCS rift; black line: North Borneo subduction zone. CPBC: Calamian-North Palawan-670 North Borneo micro-continent. Our study site is indicated by a yellow star on both figures, blue 671 672 colors indicate marine areas (dark blue: abyssal depths; light blue: continental shelf); green to 673 brown colors indicate emerged areas (green: low altitude; brown: high altitude). Figure 2: A) Location map of the Malamapaya carbonate platform, B) Regional seismic profile 674 showing the development of the Malampaya buildup on the crest of a tilted block. C) Depth 675 map in meters of the top Nido surface and well location (from Fournier et al., 2004). D) 676 677 Lithostratigraphic column of the Malampaya platform, name and age of the main sedimentary units (modified after Fournier and Borgomano, 2007). 678 Figure 3: Correlation panel between wells Malampaya-1, -2 and -5 and correspondence 679 between depositional units and seismic markers. (after Fournier and Borgomano, 2007). Well 680 location is reported on Fig. 2C, Fig. 6 and Fig. 7. 681 Figure 4: A: Malampaya-2 well: porosity and sonic logs, sequences (after Fournier and 682 Borgomano, 2007), seismic markers, distribution of foraminiferal taxa and biostratigraphic 683 684 interpretations; B: Letter-Stage classification (after Boudagher-Fadel and Banner, 1999; Renema, 2007) and the key benthonic foraminiferal taxa used in the present work. 685 Figure 5: Porosity and sonic logs, sequences (after Fournier and Borgomano, 2007), seismic 686 markers, distribution of foraminiferal taxa and biostratigraphic interpretations following the 687

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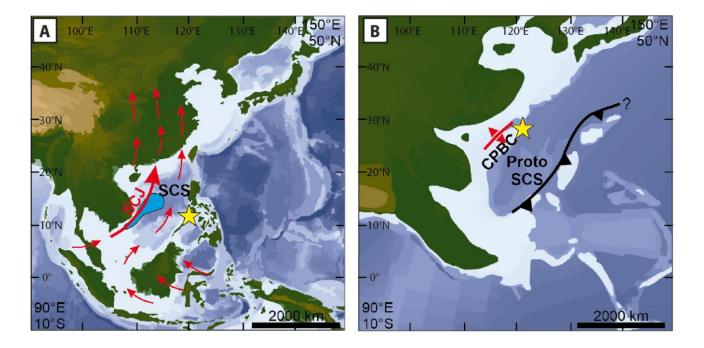
Figure 6: Uninterpreted (A) and interpreted (B) seismic profile (crossline XL1935); C) Two-690 way-time surface of Top Late Eocene (R10.1 reflector) and Top Early Rupelian buildups 691 (R10.2 reflector) and location of crossline XL1935 (A-B). 692 693 Figure 7: Uninterpreted (A) and interpreted (B) arbitrary line crossing buildups A, B,C, D and well Malampaya-2 (location on C and D); C) Two-way-time (TWT) thickness of early 694 Rupelian unit SR1; **D**) Isochron map of Top Early Rupelian (R10.2 reflector). 695 Figure 8: Average grain composition of Upper Eocene (FE1, FE2), Lower Rupelian (FR1, 696 FR2 and FR3) and Upper Rupelian (FR4) facies. 697 698 Figure 9: Thin section microphotograph of Late Eocene microfacies: A-B) Quartz-rich, foraminiferal-coralline algal grainstone (Facies FE1); C-D) Quartz-bearing, bryozoan, 699 foraminiferal, coralline algal packstone. Disc.: Discocyclina; Bry.: bryozoan; CA: coralline 700 algae; Qz: quartz grains (Qz). 701 702 703 Figure 10: A-B-C-D) Thin section microphotograph of early Rupelian microfacies (Facies FR1): Halimeda-rudstone showing random or vertically-oriented Halimeda segments (Hal.), 704 scarce coral branches (Cor.), flat-shaped large benthic foraminifers (LBF), geopetal peloidal 705 matrix infills (pm) and homogeneous micrite infill postdating isopacheous bladed calcite 706 707 cements (black arrow); E-F) Thin section microphotograph of early Rupelian microfacies 708 FR2: Coralline algal-foraminiferal-Halimeda packstone (FR2) with sparse pteropod (white arrow), Nummulites (Num.) and solitary coral (SC); G) Core photograph of macrofacies FR3: 709 Rhodolithic floatstone (Rho.: rhodolith). 710 711 Figure 11: Malampaya-2 well: well-logs, vertical facies distribution, grain composition

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713	Figure 12: Growth pattern of the carbonate buildups of Malampaya from the late Eocene to
714	the early Miocene in relation to tectonic evolution and paleoceanographic changes.
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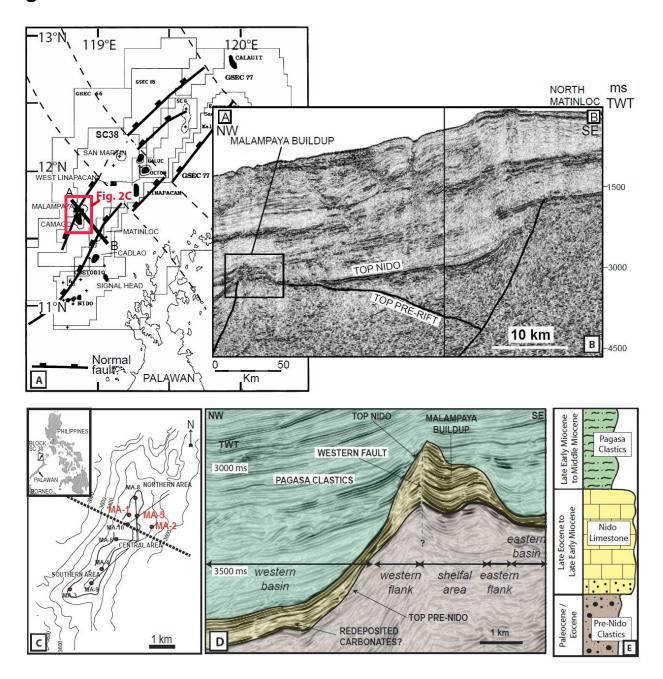
719	Supplementary material
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723	Supplementary Material S1: MA-2 well: well-to seismic tie and interval velocities.
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726	Supplementary Material S2: Grain composition from point-counting on thin-sections.
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728	Supplementary Material S3: Summary of published modern Halimeda bioherms and their
729	geomorphologic and sedimentologic features.

## Figure 01

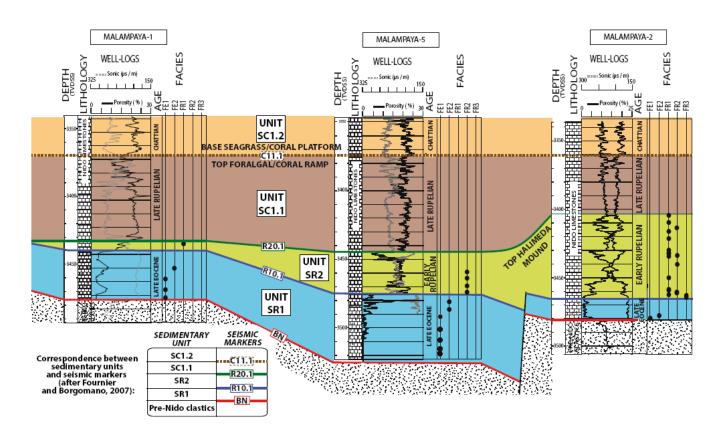


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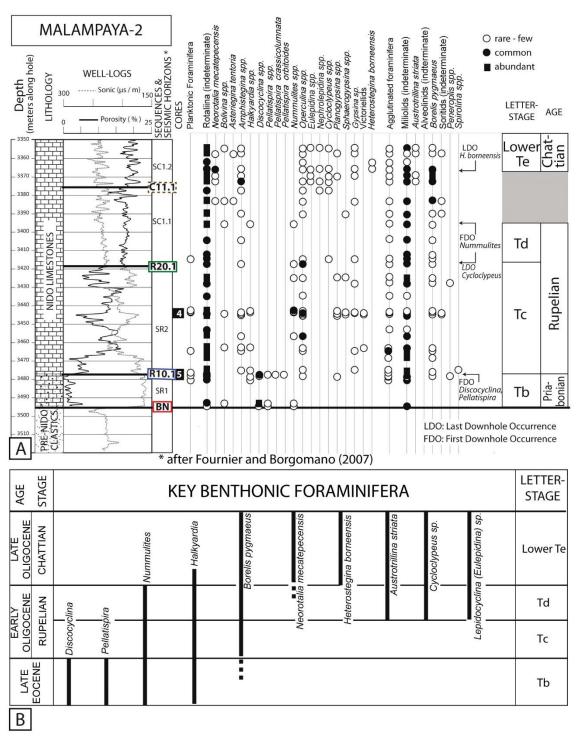
## Figure 02



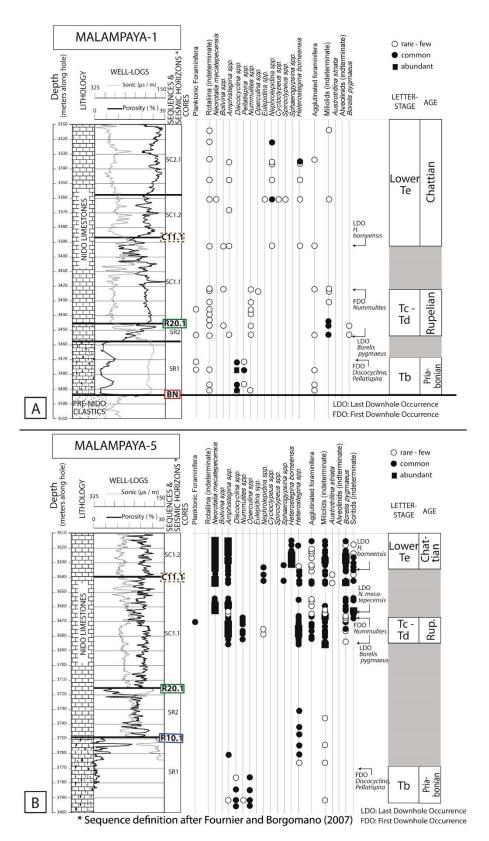
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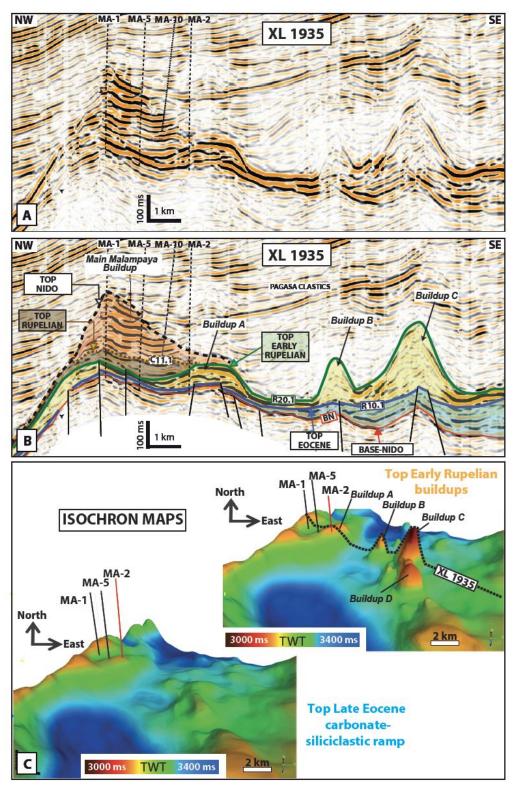
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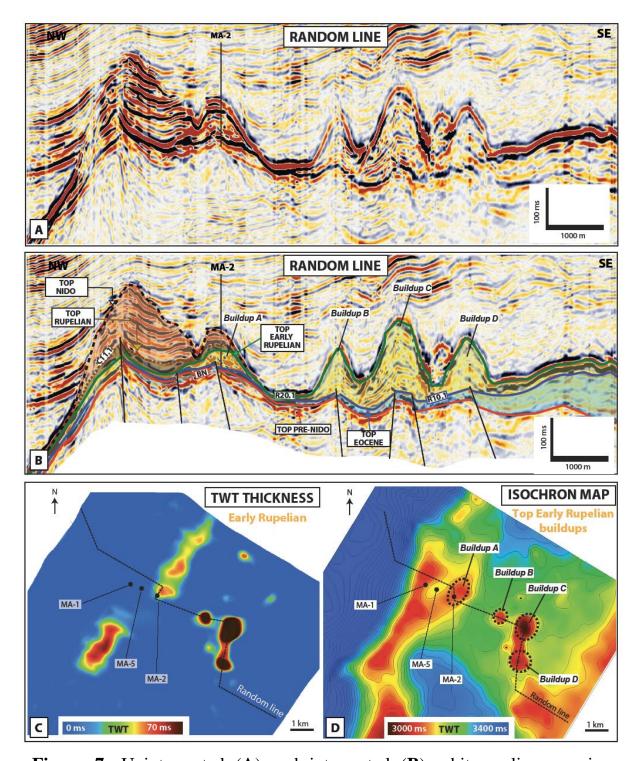
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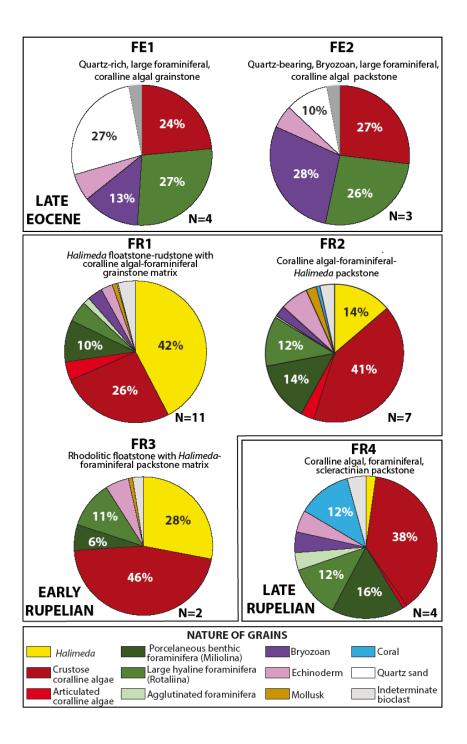
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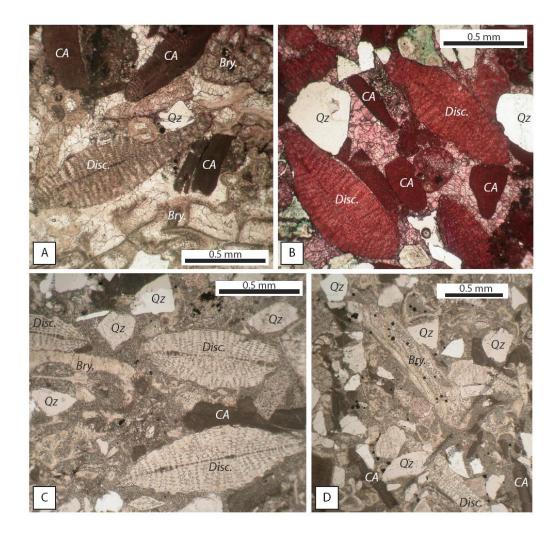
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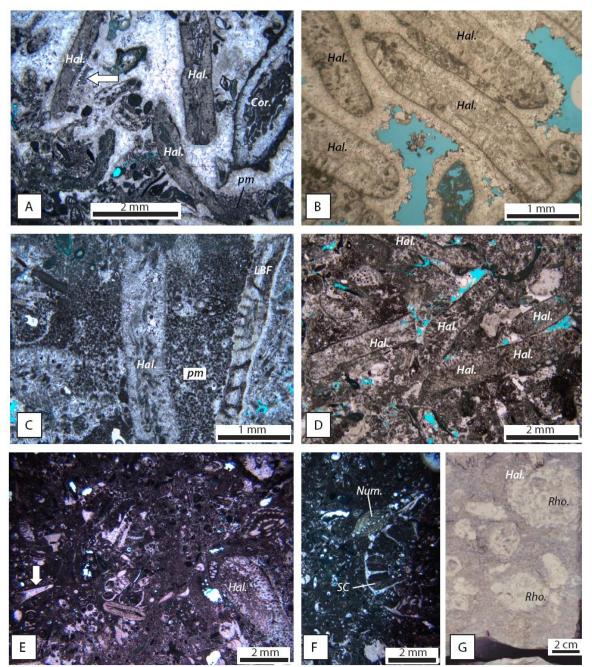
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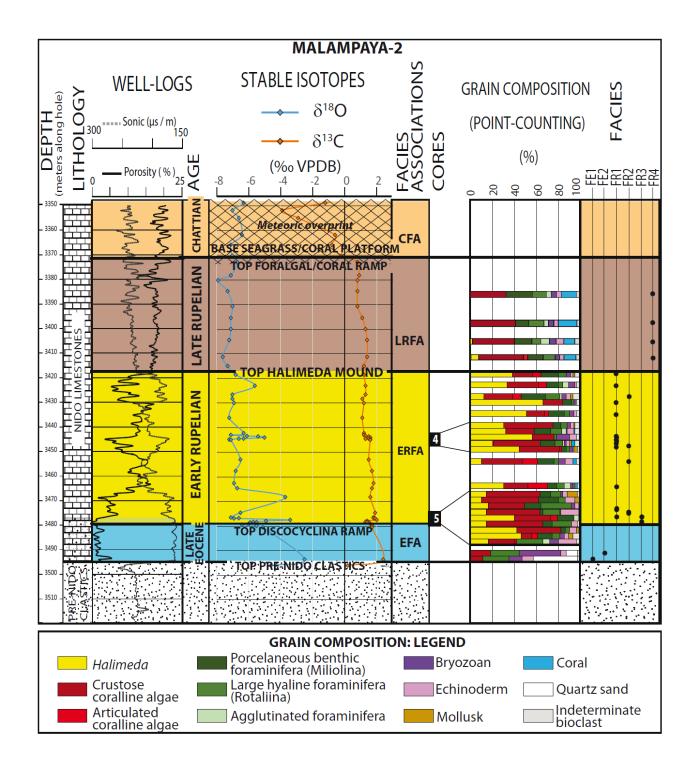
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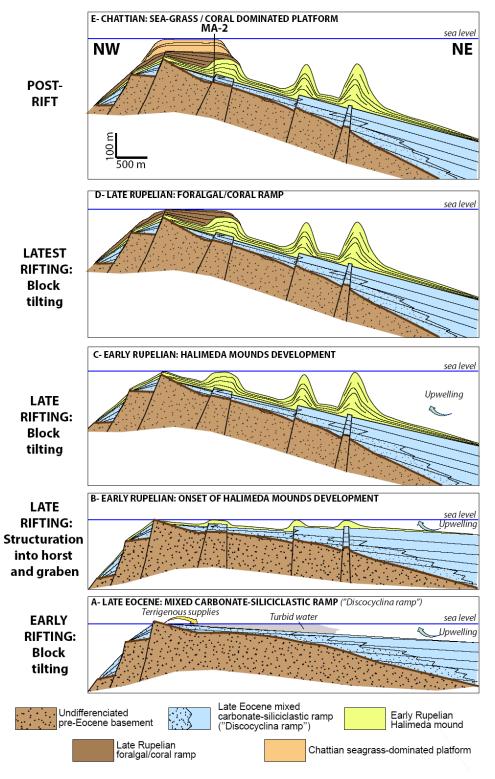
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# Table 1

<b>+</b>		Maximum TWT thickness (ms)	Estimated maximum thickness (m)	Buildup diameter at base (m)	Dip angle of buildup flanks (top buildup surface)	
	Buildup A 50		125	1100	21°	
	Buildup B	150	380	850	42°	
	Buildup C	220	580	1300	40°	
	Buildup D	130	330	800	39°	

 $\textbf{Table 1}: Morphologic \ features \ of \ buildups \ A, \ B, \ C \ and \ D.$ 

## **Supplementary Material S1**

					Interval Ve	ocity (m/s)	
Sedimentary unit	Surface	Seismic Marker	Seismic TWT (ms)	Depth at well (meters, TVDSS)	From well- to-seismic tie	From integration of sonic log	
	Top early					_	
	Rupelian	R20.1	3125	3402			
Early Rupelian							
(SR2)				_	5040	5070	
	Top Eocene	R10.1	3150	3465			
Late Eocene (SR1)					-	6333	
	Base Nido	BN	3170	3480			
Pre-Nido clastics					-	4234	

**Supplementary Material S1:** : MA-2 well: well-to seismic tie and interval velocities.

## **Supplementary Material S2**

	depth (meters				Articulated									
Well	along hole)		Halimeda	Encrusting RA	RA	Porcelaneous F.	Rotaliina	Textulariina	Bryozoan	Echinoderm	mollusks	coral	Qz	indet.
MA-1	3492	FE1	0	27	0	0	35	0	10	5	0	0	20	3
MA-1	3491	FE1	0	32	0	0	28	0	15	4	0	0	18	3
MA-1	3486	FE1	0	24	0	0	23	0	16	6	0	0	28	3
MA-1	3477	FE2	0	28	0	0	29	0	27	5	0	0	8	3
MA-1	3470	FE2	0	35	0	0	24	0	20	6	0	0	12	3
MA-2	3478,45	FR3	42	40	0	4	4	0	C	6	2	0	0	2
MA-2	3477,88	FR3	14	52	0	8	18	0	C	5	0	0	0	3
MA-2	3477,46	FR1	40	33	6	15	0	4	C	0	0	0	0	2
MA-2	3476,85	FR2	14	49	0	3	15	0	1	16	0	0	0	2
MA-2	3476,7	FR2	16	33	0	15	28	0	3	3	0	0	0	2
MA-2	3476,47	FR2	10	52	0	18	6	0	C	9	4	0	0	1
MA-2	3475	FR2	14	49	0	10	9	0	2	4	9	0	0	3
MA-2	3463	FR1	30	22	18	8	7	6	2	1	1	2	0	3
MA-2	3453	FR2	10	37	14	15	4	0	6	7	0	3	0	4
MA-2	3447,3	FR1	44	37	2	4	3	0	3	1	3	0	0	3
MA-2	3445	FR2	20	42	0	15	7	1	2	3	2	3	0	5
MA-2	3444,62	FR1	56	20	0	0	2	0	12	6	0	0	0	4
MA-2	3443,9	FR1	32	26	0	15	10	4	1	. 6	0	1	0	5
MA-2	3442	FR1	30	45	0	7	6	0	3	3	1	0	0	5
MA-2	3436,5	FR1	51	16	4	14	5	0	4	. 2	0	0	0	4
MA-2	3430	FR1	66	18	0	8	2	0	2	0	0	0	0	4
MA-2	3428,5	FR2	12	26	8	22	13	2	4	. 4	2	0	0	7
MA-2	3423,5	FR1	33	29	7	11	4	5	6	1	0	0	0	4
MA-2	3415,5	FR1	38	18	8	16	7	0	4	. 2	2	0	0	5
MA-2	3411,5	FR4	7	41	4	14	11	0	4	4	0	11	0	4
MA-2	3405	FR4	2	38	0	16	8	8	6	7	0	10	0	5
MA-2	3397	FR4	0	41	0	12	14	4	5	4	0	17	0	3
MA-2	3385	FR4	0	32	0	24	15	4	4	. 5	0	11	0	5

Supplementary Material S2: Grain composition from point-counting on thin-sections.

### **Supplementary Material S3**

Modern <u>Halimeda</u> bioherms	Dafaranaa	water- depth	Thickness	diameter at base	slope	Biota	Texture
Miskito Channel, Nicaraguan Rise, SW Caribbean Sea	Reference  Hine et al., 1988	(at top) 40-50m	up to 140	up to 750 m	angle 10-25°	Unbroken Halimeda segment, mollusks, echinoderms, thodoliths	Packstone- grainstone
Kalukalukuang Bank, eastern Java Sea (Indonesia)	Roberts et al, 1987; Phipps and Roberts, 1988	20-40m	20-50m	~100- 200m	10-30°	Disarticulated Halimeda plates, foraminifers	Rudstone- floatstone
Big Bank Shoals, Timor Sea, Australia	Heyward et al., 1997	28-45m					
Northern Great Barrier Reef, Australia	Orme et al., 1978; Davies and Marshall, 1985; Drew and Abel, 1988; Marshall and Davies, 1988; Mc Neil et al., 2016, 2020, 2022)	20-40m	5-20m		10-15°	Halimeda segments (>60%), benthic foraminifers (soritids, alveolinids)	Unconsolidated packstone to floatstone-rudstone
Southern Great Barrier Reef, Australia	Searle and Flood (1988)	20-30m	up to 14m				

**Supplementary Material S3**: : Summary of published modern *Halimeda* bioherms and their geomorphologic and sedimentologic features.

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